



DHS Exhibit 300 Public Release BY09 (Form) / CBP - Secure Freight Initiative (SFI) (2009) (Item)

Form Report, printed by: Administrator, System, Feb 6, 2008

OVERVIEW

General Information

1. Date of Submission:	Sep 6, 2007
2. Agency:	Department of Homeland Security
3. Bureau:	Security, Enforcement and Investigations (SEI)
4. Name of this Capital Asset:	CBP - Secure Freight Initiative (SFI) (2009)
5. Unique ID:	024-50-01-02-01-5112-00

(For IT investments only, see section 53. For all other, use agency ID system.)

All investments

6. What kind of investment will this be in FY2009?

(Please NOTE: Investments moving to O&M ONLY in FY2009, with Planning/Acquisition activities prior to FY2009 should not select O&M. These investments should indicate their current status.)

Full-Acquisition

7. What was the first budget year this investment was submitted to OMB?

FY2008

8. Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap. [LIMIT: 2500 char]

On October 13, 2006, President George W. Bush signed into effect the Security and Accountability for Every Port Act (or the SAFE Port Act). The purpose of the bill is to harden critical infrastructure, increase port defenses against a possible attack, and raise the standards of maritime container security.

Phase I of the Secure Freight Initiative (SFI) will deploy next-generation technology and integrated systems to scan maritime containers for radiation or other special nuclear material. SFI will leverage scanned data, trade partnerships, and the latest technology to validate the security of cargo present in maritime shipping containers to reduce the risk of terrorism arriving in U.S. ports of entry.

SFI will build on current operational security programs and merge existing and new real-time information about containers transiting the supply chain to create detailed records for use in our government's risk management process to target maritime containers that pose a threat.

Sensor and image data from scanned images will provide knowledge about a shipment, its routing, and its handling, thereby increasing confidence that the shipment does not contain contraband or illicit special nuclear or other radioactive material and has maintained its integrity throughout the voyage. Based on lessons learned from Phase I, a second Phase will be drafted with more extensive deployments to follow.

9. Did the Agency's Executive/Investment Committee approve this request?

Yes

9.a. If "yes," what was the date of this approval?

May 4, 2007

10. Did the Project Manager review this Exhibit?

Yes

12. Has the agency developed and/or promoted cost effective, energy-efficient and environmentally sustainable techniques or practices for this project?

Yes

12.a. Will this investment include electronic assets (including computers)?

Yes

12.b. Is this investment for new construction or major retrofit of a Federal building or facility? (answer applicable to non-IT assets only)

12.b.1. If "yes," is an ESPC or UESC being used to help fund this investment?

12.b.2. If "yes," will this investment meet sustainable design principles?

12.b.3. If "yes," is it designed to be 30% more energy efficient than relevant code?

13. Does this investment support one of the PMA initiatives?

Yes

If "yes," select the initiatives that apply:

Human Capital	Yes
Budget Performance Integration	
Financial Performance	
Expanded E-Government	Yes
Competitive Sourcing	
Faith Based and Community	
Real Property Asset Management	
Eliminating Improper Payments	
Privatization of Military Housing	
R and D Investment Criteria	
Housing and Urban Development Management and Performance	
Broadening Health Insurance Coverage through State Initiatives	
Right Sized Overseas Presence	
Coordination of VA and DoD Programs and Systems	

13.a. Briefly and specifically describe for each selected how this asset directly supports the identified initiative(s)? (e.g. If E-Gov is selected, is it an approved shared service provider or the managing partner?)

SFI / ICS supports Expanded E-Government by providing internal electronic Government services that support overseas cargo inspections that improve the efficiency and effectiveness of targeting processes and improve security of international shipments.

The time SFI / ICS saves its users (inspection teams) supports the Human Capital initiative. SFI maximizes available resources thereby supporting a higher performing workforce.

14. Does this investment support a program assessed using Program Assessment Rating Tool (PART)?

Yes

14.a. If "yes," does this investment address a weakness found during the PART review?

No

14.b. If "yes," what is the name of the PART program assessed by OMB's Program Assessment Rating Tool?

CBP - Border Security Inspections and Trade Facilitation at POE's Program

14.c. If "yes," what rating did the PART receive?

Effective

15. Is this investment for information technology (See section 53 for definition)?

Yes

For information technology investments only:

16. What is the level of the IT Project (per CIO Council's PM Guidance)?

Level 1

17. What project management qualifications does the Project Manager have? (per CIO Council's PM Guidance)

(1) Project manager has been validated as qualified for this investment

18. Is this investment identified as "high risk" on the Q4-FY 2007 agency high risk report (per OMB Memorandum M-05-23)

No

19. Is this a financial management system?

No

19.a. If "yes," does this investment address a FFMIA compliance area?

19.a.1. If "yes," which compliance area: [LIMIT: 250 char]

19.a.2. If "no," what does it address? [LIMIT: 500 char]

19.b. If "yes," please identify the system name(s) and system acronym(s) as reported in the most recent financial systems inventory update required by Circular A-11 section 52. [LIMIT: 2500 char]

20. What is the percentage breakout for the total FY2009 funding request for the following? (This should total 100%)

Area	Percentage
Hardware	10.89
Software	3.40
Services	42.03

Other	43.68	
Total	100.00	★

21. If this project produces information dissemination products for the public, are these products published to the Internet in conformance with OMB Memorandum 05-04 and included in your agency inventory, schedules and priorities?

N/A

23. Are the records produced by this investment appropriately scheduled with the National Archives and Records Administration's approval?

No

Question 24 must be answered by all Investments:

24. Does this investment directly support one of the GAO High Risk Areas?

No

SUMMARY OF SPENDING

SUMMARY OF SPENDING FOR PROJECT PHASES (In Millions)

1. Provide the total estimated life-cycle cost for this investment by completing the following table. All amounts represent budget authority in millions, and are rounded to three decimal places. Federal personnel costs should be included only in the row designated "Government FTE Cost," and should be excluded from the amounts shown for "Planning," "Full Acquisition," and "Operation/Maintenance." The total estimated annual cost of the investment is the sum of costs for "Planning," "Full Acquisition," and "Operation/Maintenance." For Federal buildings and facilities, life-cycle costs should include long term energy, environmental, decommissioning, and/or restoration costs. The costs associated with the entire life-cycle of the investment should be included in this report.

All amounts represent Budget Authority

	PY-1 & Earlier	PY	CY	BY
	-2006	2007	2008	2009
Planning:				
Budgetary Resources	0.400	0.605	0.000	0.000
Acquisition:				
Budgetary Resources	18.297	10.312	26.100	0.000
TOTAL, sum of stages:				
Budgetary Resources	18.697	10.917	26.100	0.000
Maintenance:				
Budgetary Resources	0.000	0.000	0.000	4.776
TOTAL, All Stages				
Budgetary Resources	18.697	10.917	26.100	4.776
Government FTE Costs	0.000	0.213	1.900	3.011
# of FTEs	0.00	2.00	17.00	17.00
Total, BR + FTE Cost	18.697	11.130	28.000	7.787

Note: For the multi-agency investments, this table should include all funding (both managing partner and partner agencies).

Government FTE Costs should not be included as part of the TOTAL represented.

2. Will this project require the agency to hire additional FTE's?

Yes

2.a. If "yes," how many and in what year? [LIMIT: 500 char]

17 FTE are anticipated to be hired in FY 2008 following approval of appropriations bill. These FTEs are required to provide adequate staff at the NTC for planned SFI/ICS port deployments and staff for management oversight in the SFI program office.

3. If the summary of spending has changed from the FY2008 President's budget request, briefly explain those changes. [LIMIT: 2500 char]

SFI is a new initiative launched in August 2006. SFI provided summary of spending information for the FY 2008 President's budget request. This summary of spending changed with the identification of funding for FY 2009 through FY 2013.