



DHS Exhibit 300 Public Release BY09 (Form) / ICE - Student and Exchange Visitor Information System (SEVIS) (2009)

(Item)

Form Report, printed by: Administrator, System, Feb 6, 2008

OVERVIEW

General Information

1. Date of Submission:	Sep 10, 2007
2. Agency:	Department of Homeland Security
3. Bureau:	Security, Enforcement and Investigations (SEI)
4. Name of this Capital Asset:	ICE - Student and Exchange Visitor Information System (SEVIS) (2009)
5. Unique ID:	024-50-01-02-01-5363-00

(For IT investments only, see section 53. For all other, use agency ID system.)

All investments

6. What kind of investment will this be in FY2009?

(Please NOTE: Investments moving to O&M ONLY in FY2009, with Planning/Acquisition activities prior to FY2009 should not select O&M. These investments should indicate their current status.)

Mixed Life Cycle

7. What was the first budget year this investment was submitted to OMB?

FY2001 or earlier

8. Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap. [LIMIT: 2500 char]

SEVIS is an Internet-based system that collects, maintains, and provides reliable information on non-immigrant foreign students (F visa for academic students & M visa for vocational students), exchange visitors (J visa), their dependents (F-2, M-2, and J-2), and the schools and program sponsors approved to access SEVIS and host non-immigrants. SEVIS enables schools and program sponsors to transmit electronic information and event notifications, via the Internet, to the Department of Homeland Security (DHS)/ U.S. Immigration and Customs Enforcement (ICE) and the Department of State (DoS) throughout a student or exchange visitor's stay in the United States. SEVIS implements section 641 of the Illegal Immigration Reform and Immigrant Responsibility Act (IIRIRA) of 1996, which requires ICE to collect current information, on an ongoing basis, from schools and exchange visitor programs relating to non-immigrant foreign students and exchange visitors. SEVIS I is currently in the Operations & Support (O&S) phase.

Throughout the system's short existence, thousands of changes and revisions have been made to SEVIS I to adapt it to the ever-growing needs of its users. A comprehensive SEVIS Feasibility Study (published in January 2007) concluded that no amount of costly and substantial changes to SEVIS I could address the many improvements strongly sought by the academic, law enforcement, and national security communities, nor could they eliminate the vulnerabilities to our national security, identified by the Homeland Security Council (HSC) Policy Coordination Committee (PCC).

DHS approved the development of a business case analysis for SEVIS II in June 2007. SEVIS II is currently in the Acquisition Phase; the system is scheduled to deploy by the end of FY09.

9. Did the Agency's Executive/Investment Committee approve this request?

Yes

9.a. If "yes," what was the date of this approval?

Jun 5, 2007

10. Did the Project Manager review this Exhibit?

Yes

12. Has the agency developed and/or promoted cost effective, energy-efficient and environmentally sustainable techniques or practices for this project?

Yes

12.a. Will this investment include electronic assets (including computers)?

Yes

12.b. Is this investment for new construction or major retrofit of a Federal building or facility? (answer applicable to non-IT assets only)

No

12.b.1. If "yes," is an ESPC or UESC being used to help fund this investment?

12.b.2. If "yes," will this investment meet sustainable design principles?

12.b.3. If "yes," is it designed to be 30% more energy efficient than relevant code?

13. Does this investment support one of the PMA initiatives?

Yes

If "yes," select the initiatives that apply:

Human Capital	No
Budget Performance Integration	No
Financial Performance	No
Expanded E-Government	Yes
Competitive Sourcing	No
Faith Based and Community	No
Real Property Asset Management	No
Eliminating Improper Payments	No
Privatization of Military Housing	No
R and D Investment Criteria	No
Housing and Urban Development Management and Performance	No
Broadening Health Insurance Coverage through State Initiatives	No
Right Sized Overseas Presence	No
Coordination of VA and DoD Programs and Systems	No

13.a. Briefly and specifically describe for each selected how this asset directly supports the identified initiative(s)? (e.g. If E-Gov is selected, is it an approved shared service provider or the managing partner?)

SEVIS II will support the expanded E-Gov initiative through the use of E-forms and E-filing. SEVIS I currently promotes electronic information exchange by allowing government officials to make informed, real-time decisions relating to national security. SEVIS II's introduction of NSEERS flags and the use of a biometric identifier serve to further support the E-Gov initiative, while providing critical benefits of cost savings/avoidance and efficiency.

14. Does this investment support a program assessed using Program Assessment Rating Tool (PART)?

Yes

14.a. If "yes," does this investment address a weakness found during the PART review?

Yes

14.b. If "yes," what is the name of the PART program assessed by OMB's Program Assessment Rating Tool?

ICE - Office of Investigations

14.c. If "yes," what rating did the PART receive?

Adequate

15. Is this investment for information technology (See section 53 for definition)?

Yes

For information technology investments only:

16. What is the level of the IT Project (per CIO Council's PM Guidance)?

Level 1

17. What project management qualifications does the Project Manager have? (per CIO Council's PM Guidance)

(1) Project manager has been validated as qualified for this investment

18. Is this investment identified as "high risk" on the Q4-FY 2007 agency high risk report (per OMB Memorandum M-05-23)

No

19. Is this a financial management system?

No

19.a. If "yes," does this investment address a FFMIA compliance area?

19.a.1. If "yes," which compliance area: [LIMIT: 250 char]

19.a.2. If "no," what does it address? [LIMIT: 500 char]

19.b. If "yes," please identify the system name(s) and system acronym(s) as reported in the most recent financial systems inventory update required by Circular A-11 section 52. [LIMIT: 2500 char]

20. What is the percentage breakout for the total FY2009 funding request for the following? (This should total 100%)

Area	Percentage
Hardware	7.28
Software	80.66

Services	3.41	
Other	8.65	
Total	100.00	★

21. If this project produces information dissemination products for the public, are these products published to the Internet in conformance with OMB Memorandum 05-04 and included in your agency inventory, schedules and priorities?

N/A

23. Are the records produced by this investment appropriately scheduled with the National Archives and Records Administration's approval?

Yes

Question 24 must be answered by all Investments:

24. Does this investment directly support one of the GAO High Risk Areas?

No

SUMMARY OF SPENDING

SUMMARY OF SPENDING FOR PROJECT PHASES (In Millions)

1. Provide the total estimated life-cycle cost for this investment by completing the following table. All amounts represent budget authority in millions, and are rounded to three decimal places. Federal personnel costs should be included only in the row designated "Government FTE Cost," and should be excluded from the amounts shown for "Planning," "Full Acquisition," and "Operation/Maintenance." The total estimated annual cost of the investment is the sum of costs for "Planning," "Full Acquisition," and "Operation/Maintenance." For Federal buildings and facilities, life-cycle costs should include long term energy, environmental, decommissioning, and/or restoration costs. The costs associated with the entire life-cycle of the investment should be included in this report.

All amounts represent Budget Authority

	PY-1 & Earlier	PY	CY	BY
	-2006	2007	2008	2009
Planning:				
Budgetary Resources	1.780	2.100	1.496	1.532
Acquisition:				
Budgetary Resources	34.290	0.000	10.036	26.863
TOTAL, sum of stages:				
Budgetary Resources	36.070	2.100	11.532	28.395
Maintenance:				
Budgetary Resources	61.230	14.466	14.731	16.539
TOTAL, All Stages				
Budgetary Resources	97.300	16.566	26.263	44.934
Government FTE Costs	14.550	1.598	0.000	0.000
# of FTEs	2.00	7.00	0.00	0.00
Total, BR + FTE Cost	111.850	18.164	26.263	44.934

Note: For the multi-agency investments, this table should include all funding (both managing partner and partner agencies).

Government FTE Costs should not be included as part of the TOTAL represented.

2. Will this project require the agency to hire additional FTE's?

Yes

2.a. If "yes," how many and in what year? [LIMIT: 500 char]

The SEVIS project will require 7 additional FTEs in FY2007.

3. If the summary of spending has changed from the FY2008 President's budget request, briefly explain those changes. [LIMIT: 2500 char]