



# DHS Exhibit 300 Public Release BY09 (Form) / USCG - Core Accounting System (CAS) (2009) (Item)

Form Report, printed by: Administrator, System, Feb 6, 2008

## OVERVIEW

### General Information

1. Date of Submission:	Sep 6, 2007
2. Agency:	Department of Homeland Security
3. Bureau:	United States Coast Guard (USCG)
4. Name of this Capital Asset:	USCG - Core Accounting System (CAS) (2009)
5. Unique ID:	024-60-01-01-01-6104-00

(For IT investments only, see section 53. For all other, use agency ID system.)

### All investments

6. What kind of investment will this be in FY2009?

(Please NOTE: Investments moving to O&M ONLY in FY2009, with Planning/Acquisition activities prior to FY2009 should not select O&M. These investments should indicate their current status.)

Operations and Maintenance

7. What was the first budget year this investment was submitted to OMB?

FY2001 or earlier

8. Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap. [LIMIT: 2500 char]

The Core Accounting System (CAS) is the primary accounting system for USCG, Transportation Security Agency (TSA), and Domestic Nuclear Detection Office (DNDO) which hosts a suite of applications at USCG Finance Center in Chesapeake, VA. The CAS suite includes the following applications: Oracle Federal Financials (OFF) (a COTS product that includes Accounts Receivable, Assets, Projects, Inventory, Accounts Payable, Purchasing, and General Ledger); Sunflower (property management system used by TSA); Finance and Procurement Desktop (FPD) (enterprise-wide accounting and procurement system assigned to assist in funds and procurement management); Workflow Imaging Network System (WINS) (imaging and document processing system feeding CAS); Contract Information Management System (CIMS) (contracts management feeding CAS). In previous OMB Ex 300 submissions USCG reported CAS and FPD separately (Sunflower and WINS were not reported at all). For this FY09 submission, USCG reports CAS as a suite of applications, inclusive of OFF, Sunflower, FPD, WINS and CIMS. CAS services over 2,400 units and commands across USCG, TSA and DNDO. The application suite processes reimbursement claims for 100,000 personnel, invoices and payments to thousands of commercial vendors, manages inventories, provides updated budget information and generates regulatory reports. CAS handles these critical services accurately and on time even during times of crisis. Continued maintenance and enhancement of CAS supports DHS' financial consolidation initiatives by providing a state-of-the art, centralized financial system, thus lowering financial costs for DHS and the taxpayer. CAS planning and development stages started in FY99 as a replacement for Department Accounting and Financial Information System. With the creation of Department of Homeland Security, DOT, DHS and OMB approved the CAS project (formerly known as the Coast Guard Oracle Financials, or CGOF project). On June 17, 2003, CAS went into full operation Coast Guard-wide. Implementation expanded to TSA in 2004, and DNDO in December 2006.

9. Did the Agency's Executive/Investment Committee approve this request?

Yes

9.a. If "yes," what was the date of this approval?

Aug 10, 2007

10. Did the Project Manager review this Exhibit?

Yes

12. Has the agency developed and/or promoted cost effective, energy-efficient and environmentally sustainable techniques or practices for this project?

No

12.a. Will this investment include electronic assets (including computers)?

No

12.b. Is this investment for new construction or major retrofit of a Federal building or facility? (answer applicable to non-IT assets only)

No

12.b.1. If "yes," is an ESPC or UESC being used to help fund this investment?

12.b.2. If "yes," will this investment meet sustainable design principles?

12.b.3. If "yes," is it designed to be 30% more energy efficient than relevant code?

13. Does this investment support one of the PMA initiatives?

Yes

If "yes," select the initiatives that apply:

<b>Human Capital</b>	
<b>Budget Performance Integration</b>	Yes
<b>Financial Performance</b>	Yes
<b>Expanded E-Government</b>	Yes
<b>Competitive Sourcing</b>	
<b>Faith Based and Community</b>	
<b>Real Property Asset Management</b>	
<b>Eliminating Improper Payments</b>	
<b>Privatization of Military Housing</b>	
<b>R and D Investment Criteria</b>	
<b>Housing and Urban Development Management and Performance</b>	
<b>Broadening Health Insurance Coverage through State Initiatives</b>	
<b>Right Sized Overseas Presence</b>	
<b>Coordination of VA and DoD Programs and Systems</b>	

13.a. Briefly and specifically describe for each selected how this asset directly supports the identified initiative(s)? (e.g. If E-Gov is selected, is it an approved shared service provider or the managing partner?)

Budget & Performance CAS integrates collection, storage, display. Better process vs old system. Decision makers get improved Fin'l & Budget perf'mce data. Improved Financial mgmt for compliance & reporting, more data quality, timeliness, provides auditable detail transaction level. E-Gov initiatives uses e-Business technology; promotes info sharing for TSA & DNDO, leverage capability for goals, increases DHS productivity. Aligns & supports CG Programs, PWCS (PART rating Moderately Effective).

14. Does this investment support a program assessed using Program Assessment Rating Tool (PART)?

Yes

14.a. If "yes," does this investment address a weakness found during the PART review?

Yes

14.b. If "yes," what is the name of the PART program assessed by OMB's Program Assessment Rating Tool?

USCG - Ports Waterways and Coastal Security

14.c. If "yes," what rating did the PART receive?

Moderately Effective

15. Is this investment for information technology (See section 53 for definition)?

Yes

**For information technology investments only:**

16. What is the level of the IT Project (per CIO Council's PM Guidance)?

Level 3

17. What project management qualifications does the Project Manager have? (per CIO Council's PM Guidance)

(1) Project manager has been validated as qualified for this investment

18. Is this investment identified as "high risk" on the Q4-FY 2007 agency high risk report (per OMB Memorandum M-05-23)

No

19. Is this a financial management system?

Yes

19.a. If "yes," does this investment address a FFMIA compliance area?

Yes

19.a.1. If "yes," which compliance area: [LIMIT: 250 char]

Efficiency & Economy

19.a.2. If "no," what does it address? [LIMIT: 500 char]

19.b. If "yes," please identify the system name(s) and system acronym(s) as reported in the most recent financial systems inventory update required by Circular A-11 section 52. [LIMIT: 2500 char]

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20. What is the percentage breakout for the total FY2009 funding request for the following? (This should total 100%)

Area	Percentage	
Hardware	0.19	
Software	1.13	
Services	11.68	
Other	86.99	
<b>Total</b>	100.00	★

21. If this project produces information dissemination products for the public, are these products published to the Internet in conformance with OMB Memorandum 05-04 and included in your agency inventory, schedules and priorities?

No

23. Are the records produced by this investment appropriately scheduled with the National Archives and Records Administration's approval?

No

Question 24 must be answered by all Investments:

24. Does this investment directly support one of the GAO High Risk Areas?

Yes

<b>SUMMARY OF SPENDING</b>
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<b>SUMMARY OF SPENDING FOR PROJECT PHASES (In Millions)</b>
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1. Provide the total estimated life-cycle cost for this investment by completing the following table. All amounts represent budget authority in millions, and are rounded to three decimal places. Federal personnel costs should be included only in the row designated "Government FTE Cost," and should be excluded from the amounts shown for "Planning," "Full Acquisition," and "Operation/Maintenance." The total estimated annual cost of the investment is the sum of costs for "Planning," "Full Acquisition," and "Operation/Maintenance." For Federal buildings and facilities, life-cycle costs should include long term energy, environmental, decommissioning, and/or restoration costs. The costs associated with the entire life-cycle of the investment should be included in this report.

All amounts represent Budget Authority

	PY-1 & Earlier	PY	CY	BY
	-2006	2007	2008	2009
<b>Planning:</b>				
<b>Budgetary Resources</b>	1.000	0.000	0.000	0.000
<b>Acquisition:</b>				
<b>Budgetary Resources</b>	56.400	0.000	0.000	0.000
<b>TOTAL, sum of stages:</b>				
<b>Budgetary Resources</b>	57.400	0.000	0.000	0.000
<b>Maintenance:</b>				
<b>Budgetary Resources</b>	19.620	8.960	8.960	8.960
<b>TOTAL, All Stages</b>				
<b>Budgetary Resources</b>	77.020	8.960	8.960	8.960
<b>Government FTE Costs</b>	4.030	4.050	4.080	4.110
<b># of FTEs</b>	0.00	0.00	0.00	0.00
<b>Total, BR + FTE Cost</b>	81.050	13.010	13.040	13.070

Note: For the multi-agency investments, this table should include all funding (both managing partner and partner agencies).

Government FTE Costs should not be included as part of the TOTAL represented.

2. Will this project require the agency to hire additional FTE's?

No

2.a. If "yes," how many and in what year? [LIMIT: 500 char]

3. If the summary of spending has changed from the FY2008 President's budget request, briefly explain those changes. [LIMIT: 2500 char]