



DHS Exhibit 300 Public Release BY09 (Form) / USCIS - National File Tracking System (NFTS) (2009) (Item)

Form Report, printed by: Administrator, System, Feb 6, 2008

OVERVIEW

General Information

1. Date of Submission:	Sep 7, 2007
2. Agency:	Department of Homeland Security
3. Bureau:	Citizenship and Immigration Services (CIS)
4. Name of this Capital Asset:	USCIS - National File Tracking System (NFTS) (2009)
5. Unique ID:	024-30-01-02-01-3027-00

(For IT investments only, see section 53. For all other, use agency ID system.)

All investments

6. What kind of investment will this be in FY2009?

(Please NOTE: Investments moving to O&M ONLY in FY2009, with Planning/Acquisition activities prior to FY2009 should not select O&M. These investments should indicate their current status.)

Operations and Maintenance

7. What was the first budget year this investment was submitted to OMB?

FY2001 or earlier

8. Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap. [LIMIT: 2500 char]

The National File Tracking System (NFTS) was developed to better track alien file (A-File) location information and improve data quality and achieve operational efficiencies. NFTS supports the PARTed program, Immigration Services, by supporting the delivery of timely, accurate, and complete information to adjudicators, investigators, inspectors, and border patrol agents. NFTS supports the Department of Homeland Security (DHS) mission by seeking to reduce the immigration benefit backlog and improve customer service through streamlined processes and upgraded A-File tracking. This enhances national security in that federal, state, and local governments have access to alien file data contained within NFTS. NFTS supports business goals by providing efficient access to high quality immigrant information through maintaining accurate file inventory and location information. USCIS is refining its strategy for an enterprise-wide transformation of its Information Technology (IT) Systems. NFTS, as a mission critical system, will be integrated into this initiative. The deployment of a single web-enabled application minimizes system operations and maintenance costs to achieve maximum operational efficiencies. File requests and status changes can be updated within minutes, providing near real-time status with a web-enabled NFTS. Because NFTS provides a single repository of this information, there is no longer a need for reconciliations to ensure accurate accounting of file location data.

9. Did the Agency's Executive/Investment Committee approve this request?

Yes

9.a. If "yes," what was the date of this approval?

Oct 17, 2006

10. Did the Project Manager review this Exhibit?

Yes

12. Has the agency developed and/or promoted cost effective, energy-efficient and environmentally sustainable techniques or practices for this project?

Yes

12.a. Will this investment include electronic assets (including computers)?

Yes

12.b. Is this investment for new construction or major retrofit of a Federal building or facility? (answer applicable to non-IT assets only)

No

12.b.1. If "yes," is an ESPC or UESC being used to help fund this investment?

12.b.2. If "yes," will this investment meet sustainable design principles?

12.b.3. If "yes," is it designed to be 30% more energy efficient than relevant code?

13. Does this investment support one of the PMA initiatives?

Yes

If "yes," select the initiatives that apply:

Human Capital	No
Budget Performance Integration	No
Financial Performance	No
Expanded E-Government	Yes
Competitive Sourcing	No
Faith Based and Community	No
Real Property Asset Management	No
Eliminating Improper Payments	No
Privatization of Military Housing	No
R and D Investment Criteria	No
Housing and Urban Development Management and Performance	No
Broadening Health Insurance Coverage through State Initiatives	No
Right Sized Overseas Presence	No
Coordination of VA and DoD Programs and Systems	No

13.a. Briefly and specifically describe for each selected how this asset directly supports the identified initiative(s)? (e.g. If E-Gov is selected, is it an approved shared service provider or the managing partner?)

NFTS has near real-time interfaces with other DHS systems increasing file location and processing accuracy for DHS law enforcement and benefits officers. NFTS is an approved shared services provider. The centralized database utilizes web technology and provides efficient access to alien file location information. Users see alien file location across all offices instead of only their own office. NFTS provides timely and accurate access to file location information.

14. Does this investment support a program assessed using Program Assessment Rating Tool (PART)?

Yes

14.a. If "yes," does this investment address a weakness found during the PART review?

No

14.b. If "yes," what is the name of the PART program assessed by OMB's Program Assessment Rating Tool?

USCIS - Immigration Services

14.c. If "yes," what rating did the PART receive?

Moderately Effective

15. Is this investment for information technology (See section 53 for definition)?

Yes

For information technology investments only:

16. What is the level of the IT Project (per CIO Council's PM Guidance)?

Level 3

17. What project management qualifications does the Project Manager have? (per CIO Council's PM Guidance)

(1) Project manager has been validated as qualified for this investment

18. Is this investment identified as "high risk" on the Q4-FY 2007 agency high risk report (per OMB Memorandum M-05-23)

No

19. Is this a financial management system?

No

19.a. If "yes," does this investment address a FFMIA compliance area?

19.a.1. If "yes," which compliance area: [LIMIT: 250 char]

19.a.2. If "no," what does it address? [LIMIT: 500 char]

19.b. If "yes," please identify the system name(s) and system acronym(s) as reported in the most recent financial systems inventory update required by Circular A-11 section 52. [LIMIT: 2500 char]

20. What is the percentage breakout for the total FY2009 funding request for the following? (This should total 100%)

Area	Percentage	
Hardware	0.00	
Software	0.00	
Services	85.21	
Other	14.79	
Total	100.00	

21. *If this project produces information dissemination products for the public, are these products published to the Internet in conformance with OMB Memorandum 05-04 and included in your agency inventory, schedules and priorities?*

N/A

23. *Are the records produced by this investment appropriately scheduled with the National Archives and Records Administration's approval?*

No

Question 24 must be answered by all Investments:

24. *Does this investment directly support one of the GAO High Risk Areas?*

No

SUMMARY OF SPENDING

SUMMARY OF SPENDING FOR PROJECT PHASES (In Millions)

1. Provide the total estimated life-cycle cost for this investment by completing the following table. All amounts represent budget authority in millions, and are rounded to three decimal places. Federal personnel costs should be included only in the row designated "Government FTE Cost," and should be excluded from the amounts shown for "Planning," "Full Acquisition," and "Operation/Maintenance." The total estimated annual cost of the investment is the sum of costs for "Planning," "Full Acquisition," and "Operation/Maintenance." For Federal buildings and facilities, life-cycle costs should include long term energy, environmental, decommissioning, and/or restoration costs. The costs associated with the entire life-cycle of the investment should be included in this report.

All amounts represent Budget Authority

	PY-1 & Earlier	PY	CY	BY
	-2006	2007	2008	2009
Planning:				
Budgetary Resources	1.750	0.000	0.000	0.000
Acquisition:				
Budgetary Resources	16.657	0.000	0.000	0.000
TOTAL, sum of stages:				
Budgetary Resources	18.407	0.000	0.000	0.000
Maintenance:				
Budgetary Resources	16.881	4.650	3.750	2.900
TOTAL, All Stages				
Budgetary Resources	35.288	4.650	3.750	2.900
Government FTE Costs	0.960	0.287	0.293	0.299
# of FTEs	16.00	4.00	4.00	4.00
Total, BR + FTE Cost	36.248	4.937	4.043	3.199

Note: For the multi-agency investments, this table should include all funding (both managing partner and partner agencies).

Government FTE Costs should not be included as part of the TOTAL represented.

2. Will this project require the agency to hire additional FTE's?

No

2.a. If "yes," how many and in what year? [LIMIT: 500 char]

3. If the summary of spending has changed from the FY2008 President's budget request, briefly explain those changes. [LIMIT: 2500 char]