

Transcript of **Public Meeting**

Tuesday, January 31, 2023

Meeting of the Data Privacy and Integrity Advisory Committee

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1	U.S. DEPARTMENT OF HOMELAND SECURITY
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3	MEETING OF THE DATA PRIVACY AND INTEGRITY ADVISORY
4	COMMITTEE
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6	PUBLIC MEETING
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11	9:00 a.m.
12	Tuesday, January 31, 2023
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- 1 PROCEEDINGS
- Mr. Bice: All right. Good morning,
- everyone, and welcome. It is 9:00 and this is the
- 4 public meeting of the Data Privacy Integrity
- ⁵ Advisory Committee. We are now called to order.
- We have committee members and members of
- ⁷ the public joining us today. If you have any
- 8 difficulties hearing or seeing at any time please
- 9 let me know. And please remember to mute your mics
- 10 and turn off your camera until it is time for the
- 11 Q&A or public comment.
- The meeting and materials and agenda for
- today's session are on the DPIAC website. Please
- 14 look under Meeting Information.
- And before I take roll I believe we have
- 16 some guests here to join us. So take it away.
- [Pause.]
- Ms. Callahan: Hello, all. This is a
- 19 blast from the past, Mary Ellen Callahan. I am the
- 20 chief of staff to the Deputy Secretary of the
- Department of Homeland Security, and he should be
- joining in just a second. We are just jumping on



- 1 to say a few kind words at the beginning of your
- ² DPIAC. Very nice to see lots of familiar faces, or
- names at least, not faces. But I thought I'd throw
- 4 my face on just for a minute.
- 5 Speak of the devil. Good morning, sir.
- 6 Hi. I was vamping until you got on.
- 7 Mr. Tien: Good morning. Good morning.
- Ms. Callahan: So sir, they've thrown it
- 9 to us to start things off, to make a few opening
- 10 remarks.
- Mr. Tien: Okay. Great. Sorry. I
- 12 apologize for being a minute late here. Thanks
- 13 very much.
- So interestingly, I don't know that DPIAC
- 15 needs to know all of these administrative things,
- they have changed our Team setup so it's harder to
- tell who is actually on. Are Lynn and Mason on?
- Ms. Callahan: There she is.
- Mr. Tien: There's Lynn.
- Ms. Dupree: Hello.
- Mr. Tien: Mason? Okay. There we go.
- Okay. All right, everybody. Thanks very much. We



- ¹ appreciate it.
- Look, I'll keep my comments pretty short.
- 3 Number one, I think as you all know, Lynn Parker
- 4 Dupree is, happily for her, sadly for the
- 5 Department of Homeland Security, for DHS broadly,
- 6 and certainly for America, Lynn is moving on to her
- 7 next great chapter in life. We are all very sad to
- 8 see her go but again, we are happy for her and her
- ⁹ family to be doing this. And the Secretary said
- similar comments, much longer, much more articulate
- than me, at a recent meeting with pretty much the
- 12 entire DHS senior leadership component heads, the
- commandant of the Coast Guard, and literally all of
- 14 the component heads were there. We did a great big
- 15 sendoff for Lynn -- pictures, plaques, everything
- else like that. And I thought, okay, that's good.
- 17 I did a picture with Lynn and everything, and then
- 18 I found out that DPIAC was meeting, and I
- 19 shoehorned my way in and said, look, I think it
- 20 would be really good for DPIAC to hear from me,
- 21 from the Deputy representing the Department,
- 22 representing the Secretary, that we really



- 1 appreciate Lynn, your incredible, outstanding
- ² service to the Administration and to the
- 3 Department, to the people of America. You have
- 4 really made an incredible contribution to not only
- 5 your team but again, to the Department, in so many
- 6 different ways.
- I am not going to sit here and disparage
- 8 the last administration. Let us just say that it
- 9 was tougher sledding for the DHS Privacy Office in
- the prior administration, for so many different
- 11 reasons, in policy but also in terms of
- 12 administration. And Lynn and the team, you know,
- that include Mason, will still be here doing the
- 14 great work, you really tackled in a monumental
- amount of challenges that you all faced, and you
- have really brought us back in a great condition.
- So I just want to echo the Secretary's
- 18 comments to you the other day. He was obviously
- 19 very gracious, but it is really heartfelt, about
- your contributions, and also heartfelt about
- wishing you well in your next chapter.
- Similarly so, since we have the DPIAC on,



- 1 we welcome Mason Clutter for agreeing to serve as
- ² the acting Chief Privacy Officer. It is terrific.
- 3 Mason, thank you for staying on and leading up as
- 4 we call it in the military. We do have the Coast
- ⁵ Guard in DHS and I am former military as well. I
- 6 had Mason in my office here the other day, and I
- ⁷ know you are ready for the task. Substantively,
- ⁸ you are obviously already in the Privacy Office,
- 9 and you know all of the great work that you have
- 10 already done, and we will need you to continue to
- 11 do that, Mason. So thanks again for doing that. I
- 12 look forward to partnering with you.
- Fortunately for me, I am never that
- 14 concerned about what is happening in the Privacy
- 15 Office because I will know pretty quickly if they
- 16 need my help or if I have done something wrong
- because one of my very strategic and one of my
- 18 first decisions when I came into the Department a
- 19 year and a half ago was to ask Mary Ellen Callahan
- 20 to come on as my chief of staff, and also to be the
- 21 deputy chief of staff for the Department. Mary
- 22 Ellen, thankfully, agreed to that. And so I



- 1 thought it would be really nice to hand it off to
- ² Mary Ellen, being, as many of you know, the former
- 3 Chief Privacy Officer for the Department of
- 4 Homeland Security. Mary Ellen.
- 5 Ms. Callahan: Thank you very much, sir,
- 6 and great to see a lot of old friends. This is
- ⁷ kind of like returning home, but also the end of an
- 8 era, candidly. As we look to say goodbye to Lynn
- ⁹ it is also great to see, by the way, Lisa and
- 10 Charles, who I think are the only overlaps on
- 11 DPIAC, but of course my former Deputy, John Kropf,
- 12 it is also great to see you as well.
- I think many of you know Lynn was my
- 14 first special assistant when I was the Chief
- 15 Privacy Officer, and I followed her career with
- 16 absolute delight, both at PCLOB -- she took that
- 17 brief stint to go into the private sector -- but
- 18 candidly as the Secretary had said when he spoke
- 19 about Lynn to the leadership, there was no one who
- 20 could be the Chief Privacy Officer for this
- 21 Administration other than Lynn Parker Dupree. And
- I have been really excited to be able to work with



- 1 her for the past 18 months again, so it has really
- 2 been wonderful. And for that I did want to say
- 3 hello to my privacy friends, but also to say best
- 4 wishes to Lynn as she departs on her next new
- ⁵ journey.
- Thank you, Lynn. Great to see everybody,
- ⁷ and go DPIAC.
- Ms. Dupree: Thank you, Deputy Secretary,
- ⁹ and Mary Ellen, for your kind words. As I have
- 10 said before, the Privacy Office is only as
- 11 successful as the leadership, and having you all in
- 12 the leadership positions, understanding that
- 13 privacy is part of the mission, has made all of the
- 14 different, and I cannot thank you enough for all of
- your support during my tenure. So thank you both.
- Ms. Callahan: Best wishes. Bye,
- everyone.
- Mr. Bice: Good morning, again, and after
- that exciting start I will take a few minutes to go
- over and have a roll call, and then I will turn it
- over to our committee chairperson for opening
- remarks. So bear with me as I go through this roll



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- 1 call. We will try to make this quick. A simple
- "here" or "present" would be fine.
- 3 Sharon Anolik.
- 4 [No response.]
- 5 Mr. Bice: Michael Fitzpatrick.
- 6 Mr. Fitzpatrick: Present.
- 7 Mr. Bice: Mark Francis.
- Mr. Francis: Here.
- 9 Mr. Bice: Joseph Hewitt.
- Mr. Hewitt: Here.
- Mr. Bice: Sarah Knight.
- Ms. Knight: Here.
- Mr. Bice: John Kropf.
- Mr. Kropf: Here.
- Mr. Bice: Ade Odutola.
- [No response.]
- Mr. Bice: Chris Pahl.
- Mr. Pahl: Here.
- Mr. Bice: Charles Palmer.
- Mr. Palmer: Here.
- Mr. Bice: Harriet Pearson.
- Ms. Pearson: Here.



- 1 Mr. Bice: Sasha Romanosky.
- 2 Mr. Romanosky: Here.
- Mr. Bice: Cameron Russell.
- 4 Mr. Russell: Here.
- 5 Mr. Bice: Thomas Siu.
- 6 Mr. Siu: Present and accounted for.
- 7 Mr. Bice: Thank you. Lisa Sotto.
- Ms. Sotto: I am here.
- 9 Mr. Bice: Good morning. Chris Teitzel.
- Mr. Teitzel: Here.
- Mr. Bice: Ray Thomas.
- Mr. Thomas: Present.
- Mr. Bice: And last but not least, Ron
- 14 Whitworth.
- Mr. Whitworth: Here. Good morning.
- Mr. Bice: Good morning, all, and thank
- you again for joining us. If I missed you or if
- 18 you were unable hit that mute button, as I do most
- 19 times, feel free to drop that you are attending in
- 20 the chat and we will record that.
- 21 All right, and without further delay here
- 22 I am going to turn this over to Chairperson Lisa



- 1 Sotto. Good morning.
- Ms. Sotto: Good morning. Thank you so
- much, Bill, and thank you all for joining us today.
- 4 We welcome you to this meeting of the Data Privacy
- 5 and Integrity Advisory Committee.
- Before we begin I would like to thank
- ⁷ Lynn so very, very much for her service to the
- 8 Department. It has been a great pleasure working
- ⁹ with you, Lynn, and we wish you so much luck going
- 10 forward. I think from the bottom of our hearts,
- 11 everybody on this Committee is with me in saying
- 12 that. During your tenure in the Privacy Office you
- have accomplished so much and you have moved this
- office forward in tremendous ways. The Committee
- 15 looks forward to having you come back and speak to
- the Committee in your new capacity, so hopefully
- 17 you will join us to do that, just as Mary Ellen did
- today, which was so lovely to see her.
- Some housekeeping matters before we hear
- from CPO Dupree. I would like to remind everybody
- to please mute your mics. Members of the public
- who would like to address the Committee during the



- 1 public comment portion may do so, and we ask that
- you please email privacycommittee@hq.dhs.gov, or if
- you would like to write it in the chat that is fine
- 4 too and we will be checking the chat.
- 5 The purpose for today's meeting is to
- 6 receive updates on the Privacy Office activities
- ⁷ since our last public meeting, which was in April
- 8 of 2022. And following the public meeting we will
- 9 have an administrative session, so please stay with
- us if you are a Committee member for the
- 11 administrative session.
- I would like to now turn the program over
- to Chief Privacy Officer Lynn Parker Dupree who
- 14 will address the Committee.
- 15 Ms. Dupree: Thank you very much. Good
- morning, everyone, and with today being my last day
- 17 I just wanted to take this opportunity to say that
- 18 it has been an honor and a privilege to serve as
- the Chief Privacy Officer and the Chief FOIA
- Officer for almost two years, and I am so grateful
- for the opportunity to have worked with so many
- hardworking, smart, dedicated public servants.



- 1 And more than that, I also wanted to
- thank the DPIAC members for your service to the
- 3 Department. Since 2004, this Committee has
- 4 provided critical advice, counsel, and
- ⁵ recommendations on all sorts of issues, from
- 6 programmatic, policy, operational, and
- 7 administrative issues related to personally
- 8 identifiable information, data integrity,
- ⁹ transparency, and any privacy issue that impacts
- the Department's mission. And this Committee's
- 11 collective efforts have yielded great outcomes for
- the Department, and it is only possible through
- 13 your commitment of time and effort, which is
- 14 especially notable given your other professional
- 15 responsibilities.
- So again, thank you for your continued
- commitment to Department's mission, and I do hope
- to have the opportunity to work with you all again
- ¹⁹ in the future.
- So since our last meeting, the Privacy
- Office has been working hard to ensure that we can
- 22 continue to turn to the DPIAC for advice on how to



- 1 confront the Department's privacy challenges. We
- 2 have renewed the DPIAC's charter, and I want to
- 3 congratulate our members on their reappointments.
- 4 The Privacy Office is excited to continue their
- 5 work with this Committee, and I am going to be
- 6 following your work and your recommendations on how
- ⁷ the Department can better protect privacy from
- ⁸ afar.
- 9 You know, as we are acutely aware,
- 10 safeguarding data and privacy is critical to
- 11 protecting the public and the homeland, so again,
- 12 thank you for volunteering your time and your
- expertise to help us continue this important work.
- And then during today's meeting I am
- 15 going to update you all on a few key areas of the
- Privacy Office's accomplishments in 2022, and share
- some of the goals for continued progress in 2023.
- 18 I would like to begin with one of our most forward-
- 19 leaning priorities, which is to embed privacy in
- the Department's technological architecture. And
- 21 really, this initiative is designed to be a force
- 22 multiplier for the work that we already do to



- 1 identify and mitigate privacy risks during the
- development of programs. And the vision is to have
- 3 the Privacy Office play a role in identifying,
- 4 promoting, and implementing privacy-enhancing
- 5 technologies.
- So in 2023, we will continue to work in
- ⁷ partnership with the private sector on privacy-
- 8 enhancing technologies through the Science and
- 9 Technology Directorate's Silicon Valley Innovation
- 10 Project. We will also continue to build on the
- 11 success of our 2022 Privacy-Enhancing Technologies
- 12 for the Homeland Security Enterprise workshop, that
- we co-hosted with the S&T Center for Accelerating
- 14 Operational Efficiency. And what that workshop did
- was improve awareness of promising research
- 16 methodologies for privacy-enhancing technologies
- 17 and allow academics to develop an understanding of
- 18 DHS programs and our specific privacy challenges,
- 19 and it connected researchers with available support
- to translate that research that they are doing into
- 21 actual operational practice.
- 22 And so we plan to advance this work



- 1 through additional workshops with the center in the
- ² future and to continue to identify use cases that
- 3 might be solved by privacy-enhancing technologies
- 4 and inform our DHS officials internally about how
- 5 this technology might be used in their programs and
- 6 in their systems.
- We will also continue to focus on the
- 8 Department's use of commercially available data.
- 9 As many of you may recall, in 2022, we tasked the
- 10 Committee with providing recommendations on a
- 11 governance structure for the Department's use of
- 12 commercial data. We have also been working
- internally to understand the Department's
- operational, legal, and privacy needs with respect
- ¹⁵ to commercial data.
- So the Privacy Office will synthesize the
- 17 DPIAC's recommendations and combine that with the
- 18 perspective we have already gathered to develop a
- 19 framework that ensure the Department can use this
- 20 data to achieve its mission while meeting legal
- 21 requirements and uniform privacy safeguards.
- Finally, I am very excited to share that



- we have made significant progress on an initiative
- 2 to provide our components with a tool that they can
- 3 use to manage their privacy programs and improve
- 4 compliance. Specifically, the Privacy Office
- 5 collaborated very closely with our Office of the
- 6 Chief Information Officer and our component privacy
- ⁷ officers to modify the Privacy Office's internal
- 8 compliance tracking tool, which is called PRIV-
- ⁹ CATS. And the vision behind the modifications to
- 10 PRIV-CATS was really to turn that tool into a
- 11 dynamic documentation collaboration platform.
- And so what the tool will do now is
- improve the ability to track compliance
- documentation throughout our entire process, from
- 15 component to headquarters. It will allow us to
- 16 identify areas for increased efficiency. It will
- help us ensure consistency in our approach to
- 18 privacy risk and mitigation across the Department,
- and hopefully help us identify best practices. The
- 20 changes are also designed to help us reduce
- reliance on email and really reduce the
- 22 administrative burden that is associated with



- 1 manual tracking.
- 2 And so throughout 2022, we laid the
- ³ groundwork for this expansion. We spent a
- 4 significant amount of time gathering input from our
- 5 component Privacy Offices to understand their needs
- 6 and their specific technical requirements, to talk
- 7 about how they also might be able to use PRIV-CATS
- 8 to manage their internal programs. We also
- 9 completed user acceptance testing to gather
- 10 valuable feedback on what works well and what
- improvements could be made to PRIV-CATS, and we
- 12 expect to launch the revised version of PRIV-CATS,
- which we are calling PRIV-CATS 2.0, for component
- 14 use this year.
- And again, to the DPIAC, thank you for
- sharing your time, your effort, your expertise with
- the Department. It is critical to protecting the
- 18 nation's homeland and beyond.
- And now I will turn it over to Ross
- Maciejewski, who can provide you with a little more
- 21 detail about the workshop we did with the Center
- 22 for Accelerating Operational Efficiency and the



- 1 status of requests for proposal that came out of
- 2 that work. Thank you.
- Mr. Maciejewski: Great. Thank you so
- 4 much, Lynn. My pleasure to be here. I am Ross
- ⁵ Maciejewski. I am director of one of the ten
- 6 Department of Homeland Security Centers of
- ⁷ Excellence, the Center for Accelerating Operational
- 8 Efficiency. It was my pleasure this summer to be
- ⁹ able to work with the Privacy Office and DHS to
- 10 create a workshop for bringing together academics
- and practitioners from the Department of Homeland
- 12 Security, working on privacy-enhancing
- 13 technologies.
- Our workshop was held on June 21, 2022,
- in the Washington, D.C., facility for Arizona State
- ¹⁶ University, and the idea was to bring together
- people in the Department of Homeland Security that
- 18 had needs for privacy-enhancing technologies as
- well as academics who are working on building these
- out and try to think about how we can better
- integrate these two communities together.
- For those that do not know, privacy-



- 1 enhancing technologies, or PETs, promise the
- 2 ability to control the sharing and use of sensitive
- 3 information while minimizing the risk of
- 4 unauthorized use. These technologies have been
- ⁵ under development by researchers for nearly four
- 6 decades, but have been slow to migrate from the
- 7 research lab into operational use. This workshop
- 8 was designed to help speed the pace of change by
- 9 engaging researchers and practitioners in a joint
- 10 endeavor to solve the hard problems that enable us
- 11 to solve the practical problems so we can put new
- 12 technologies into practice while still keeping risk
- 13 levels low.
- And this workshop could not have advanced
- without the strong support from the Department of
- 16 Homeland Security's Privacy Office, and I really
- 17 need to thank Simson Garfinkel and Lynn Parker
- Dupree for all the help and support they gave me.
- 19 I am not a privacy expert. I am a data science
- 20 expert. I work on data visualization, computer
- graphics. I run this broad center in conjunction
- with DHS Office of University Programs, working on



- operations research, data science, economic
- ² analysis, and homeland security risk science.
- 3 Privacy falls under our umbrella, so leading up the
- 4 team with them was a really great opportunity for
- ⁵ our center.
- So what are PETs? This term emerged in
- ⁷ 2003, and they originally covered a broad range of
- § functional requirements, from anonymity,
- 9 linkability, undetectability, unobservability,
- 10 pseudonymity, and identity management. Examples
- include Pretty Good Privacy, Transport Layer
- 12 Security, and the Onion Router. And these are
- increasingly applied to technology for privacy-
- 14 preserving data publishing and privacy-preserving
- ¹⁵ data analysis.
- Some of the key terms you may have heard
- of would be differential privacy, that was used to
- 18 publish aggregate statistical data while limiting
- 19 privacy laws to individuals. For example, the 2020
- 20 Census uses differential privacy to aggregate the
- 21 statistical data. There are things like secure
- 22 multiparty computation, where you are going to



- 1 learn the results by computation without revealing
- 2 inputs to other. For example, find the age of
- ³ everyone in a room without revealing anyone's age
- 4 to anyone else.
- 5 There is homomorphic encryption, so
- 6 performing operations on encrypted data; private
- ⁷ set intersections, such as find common entries
- 8 between two datasets without revealing either; and
- ⁹ private search, perform a search on a database
- without revealing search terms or retrieved
- 11 records.
- So this idea of having a privacy-
- enhancing technologies symposium for the homeland
- 14 security enterprise began to originate in November
- 15 of 2017, well before my interactions with the
- 16 Privacy Office. There was a workshop on multiparty
- 17 computing and the U.S. Census Bureau, and the
- 18 Census Bureau knew that it wanted to use the
- 19 technology but it was not sure now. So there was a
- day of presentations about what was available to
- 21 academia and industry, and there was a JASON report
- 22 compiled in November of 2020, about secure



- 1 computation for business data.
- 2 And there were two slides from Deloitte's
- 3 presentation, talking about the peak of inflated
- 4 expectation and the slope of enlightenment and how
- ⁵ we are trying to move towards this slope of
- 6 enlightenment with privacy-enhancing technologies
- 7 and getting these out into the field for getting
- 8 real-term use.
- And so in January 2022, the Privacy
- 10 Office had this idea to have a workshop in which
- 11 people from the Department of Homeland Security
- will take about their algorithmic needs for privacy
- and then we're going to get researchers from the
- 14 privacy community to talk about their bag of
- 15 solutions.
- So the core questions the Privacy Office
- began to ask is, well, how can we fund this? What
- is the vending? What is the format? They explored
- 19 partnership with other Federal agencies. There
- were meetings between DHS, CPO, and OSTP, and the
- 21 Chief Privacy Office agreed to fund this.
- 22 After they figured out those sorts of



- 1 items they began looking around to see what venues
- and avenues might make the most sense, and this is
- 3 where the Department of Homeland Security Office of
- 4 University came into play and started connecting
- 5 them with the relevant components that the Office
- 6 of University Programs also funds.
- And the goal of the workshop was to teach
- 8 DHS leaders and program managers about the
- 9 opportunities offered by PETs, and this is a
- 10 fundamental new way of thinking about data
- within 26s within the government. PETs do not fit
- well within the current policy IT systems,
- training, skills, et cetera, and we wanted to
- 14 expose privacy-enhancing technology researchers to
- the needs of government, because researchers may
- 16 not be talking to their partners in the Federal
- Government or understanding the constraints in
- 18 those agencies. And we needed to explain to PETs
- 19 researchers the messiness of government data
- 20 practices, and most PETs cannot be used in a
- 21 government setting without a lot of adaptation. So
- thinking about how we can bring these communities



- 1 together to bridge these challenges.
- 2 And so in February of 2022, DHS developed
- 3 a task order for the Center of Excellence that I
- 4 run, the Center for Accelerating Operational
- ⁵ Efficiency. We provided administrative support,
- 6 venue, food, travel support for invited speakers,
- ⁷ and we decided to go crazy and put this on in July.
- 8 So that gave us a little less than six months to be
- ⁹ able to put together a workshop, and to do this we
- 10 needed to form a review committee. We asked for
- use cases from our government partners, we got
- 12 academics from across the world, and we put out two
- calls for participation. Our first call was a call
- 14 for use cases from government partners, and our
- 15 second call was on white papers from PETs
- 16 researchers across the United States.
- And so in March 2022, working with the
- 18 Chief Privacy Office and Simson Garfinkel,
- 19 specifically, DHS privacy officers solicited use
- 20 cases and problem statements from DHS components.
- We worked with USCIS, Coast Guard, CBP, CISA, FEMA,
- FLETC, ICE, Secret Service, TSA, and we gave them



- 1 this form, saying, okay, give us a title, your
- 2 component, a short description of your privacy-
- ³ enhancing technology needs, tell us how it is done
- 4 today, what are the risks, and is there any funding
- 5 currently going on in your organization with this.
- 6 We developed this template so they knew
- ⁷ what to submit, and then internally, at DHS, they
- 8 collected and edited the use cases, and critically,
- ⁹ they got support from the components they could
- 10 publicly release these. All of these use cases are
- 11 found at this website, on our PETS4HSE.org, and we
- wound up with 11 use cases being able to be
- 13 publicly released from OCHIO, CISA, ICE, OBIM, and
- 14 USCIS. And at the end of this slide deck I have
- all the use cases listed as well for when they
- 16 share this slide deck.
- So the main lessons we learned in
- soliciting these were our template should have had
- maybe better and more specific instructions, and
- 20 perhaps we should have used consistent terminology,
- 21 not use cases and problem statements, where we
- switch between the two words, so trying to get a



- 1 little bit better at this.
- 2 And then some components had difficulty
- 3 coming up with uses cases. This was sort of still
- 4 a foreign concept to them in terms of using some
- 5 newer privacy-enhancing technologies, and some
- 6 components did not want use cases public, even
- 7 though they were deemed unclassified.
- 8 So we also had to receive more uses. We
- 9 would have gotten more use cases from this if we
- would have been able to put in more effort, but
- 11 again, our time period was relatively short. We
- 12 needed one-on-one meetings to explain what privacy-
- enhancing technologies were in detail. Often this
- 14 required discussion to develop the use cases in
- 15 collaboration with the component, and needed to
- have a lot of focus up front on making the use
- 17 cases releasable.
- Moving forward, use cases can inspire
- 19 both developers and other potential users, and it
- would be useful to have a catalog of potential uses
- of PETs within the government to be able to help
- 22 inspire researchers in this area.



So that is how we engage with the

- government side of the house, was getting these use
- 3 cases. On the academic side of the house we engage
- ⁴ PET researchers by creating a call for white papers
- 5 between the Chief Data Officer Directorate,
- 6 Privacy, and ASU. We created this call for white
- papers and posted it to a conference management
- 8 system called EasyChair. This call was promoted by
- 9 email, Twitter, and networks of academics working
- in the privacy-enhancing technology field.
- The main lessons learned here, we had a
- 12 little hiccup in our acronyms, using PETS-4-HSE.
- 13 And the other big thing was we needed to have the
- 14 conference site ready to go early and build the
- program committee early. And again, remember, we
- were doing this all within six months, so overall
- if we were to roll this out again given a little
- bit more lead time would perhaps improve the flow
- of things a little bit better.
- But overall we were really thrilled with
- the results. We had 21 submissions. Given that we
- just sort of had a six-week submission time period



- 1 that was really exciting. We accepted over half
- the submissions, so we wound up with 11 white
- 3 papers to be presented at the workshop. I provided
- 4 the list of white papers, but again, you can go to
- 5 PETS4HSE.org and you could actually download all of
- 6 the white papers from the workshop as well.
- And what was neat is that there was an
- 8 extraordinarily broad range of privacy-enhancing
- ⁹ technologies. Researchers were focused on security
- 10 multiparty computation, homomorphic encryption,
- 11 federated learning, the human computer interaction
- 12 side of privacy. These might all be useful for DHS
- 13 components. The components also could benefit from
- 14 simply technologies too. And this is one of the
- 15 first efforts to bring together researchers and
- 16 potential users of this technology.
- And so now we want to think about what
- 18 are the structural barriers to researchers seeking
- out potential users, and there are mechanisms that
- 20 exist for academic to partner with the government,
- so why aren't they being used?
- 22 And this led to sort of the next



- 1 question. Since we have these centers of
- 2 excellence under the Department of Homeland
- 3 Security Office of University Programs, why can't
- 4 we start using these mechanisms to help better
- ⁵ create this academic-government partnership? And
- 6 so working with the Office of University Programs,
- 7 my center of excellence developed a call for
- 8 proposals for this coming year to try to get
- 9 academics to propose research projects on privacy-
- 10 enhancing technologies.
- And the way these calls for proposal work
- 12 under these centers of excellence is we put out the
- call, researchers respond to the call, the
- 14 proposals undergo academic review, all of those
- that pass the academic review board then go to our
- 16 DHS components to see if anybody wants to champion
- that or serve as the end user for helping guide
- these technologies to get them delivered into
- 19 Department of Homeland Security.
- And so all of this was designed to start
- thinking about how can we bring privacy into the
- 22 forefront of system design, how can we bring



- 1 privacy into the forefront of procurement, can we
- 2 specify functionality without a specific approach
- or vendor, how do we validate solutions, how do we
- 4 avoid vendor lock-in. Each company has its own
- 5 technology and as agencies procure different
- 6 technologies we want to move forward leaning with
- ⁷ respect to privacy.
- 8 And there is also this general lack of
- 9 awareness about privacy-enhancing technologies. So
- this workshop and the RFP were all designed to sort
- of help bring privacy to the forefront, help
- 12 provide some mechanisms for improving this general
- 13 lack of awareness.
- So after we developed this request for
- proposal, remember, the workshop was in July, we
- had all these nice reports from the workshop, all
- these nice white papers, all of the nice use cases.
- 18 We developed a call for proposals in August. The
- 19 request for proposals was due in October. Eight
- 20 proposals were submitted, and they underwent a two-
- 21 phase review process, as I described.
- 22 And I am happy to report that three



- 1 proposals were selected and awards were announced.
- ² I am sorry, I had to type on this slide and put
- ³ four, but three proposals were selected, awards
- ⁴ were announced on January 27th. So the three
- 5 proposals, moving forward, are these three:
- 6 "Cerberus: Guarding Sensitive Data with Trigeneous
- 7 Secure Computations, " "Privacy-Preserving Analytics
- 8 for Non-IID data, and A Federated Query Optimizer
- ⁹ for Privacy-Preserving Analytics and Machine
- 10 Learning."
- So we are really excited to get these
- 12 kicked off. They will be starting in February, and
- we have a variety of different components working
- with these researchers. These projects come from
- 15 Notre Dame, University of Illinois, and Arizona
- 16 State University.
- In conjunction with this, to try to help
- 18 bring privacy-enhancing technologies to the
- 19 forefront, we also worked with the Department of
- Homeland Security and the Privacy Office to develop
- 21 a seminar series on challenges and opportunities
- for privacy-enhancing technologies in the homeland



- 1 security enterprise, and here we got leading
- 2 academic luminaries from across the country to talk
- 3 about their research and spend an hour lunch-and-
- 4 learn at DHS, giving updates on interesting
- 5 technologies and things they see happening at the
- 6 forefront of privacy-enhancing technologies. To
- ⁷ kick us off we had Dr. Raphail Ostrovsky, who is an
- 8 expert in cryptography, followed by Dr. Jean Camp
- ⁹ at the University of Indiana, who is more on the
- 10 user-centric side of privacy. We had Steve Lu at
- 11 Stealth Software Technologies. And then coming up
- 12 we have Dr. Alysa Frik joining us.
- And all of this material in this
- 14 presentation is based upon work by our Department
- of Homeland Security grants award. These and
- 16 conclusions contained in this document are those of
- the authors and should not be interpreted as
- 18 representing official policies.
- And again, I just wanted to really give
- 20 my thanks to Simson Garfinkel and Lynn Parker
- Dupree. It was really exciting for me to be able
- 22 to work with the Privacy Office. They were great



- 1 partners in all of this, and I think we put
- ² together a really nice workshop. I think the RFP
- 3 and upcoming proposals are going to start showing
- 4 this really unique intersection for academic and
- 5 government partnership, and I can't wait to see
- 6 what else we are able to do with the Privacy Office
- ⁷ in the coming years.
- 8 With that I can turn it over for any
- ⁹ questions or move on with the meeting. Thank you.
- 10 Ms. Sotto: Thank you so much, Ross.
- 11 That was really helpful. We've been hearing so
- 12 much about PETs, and it's a terrific acronym. But
- 13 I think there is certainly confusion -- can you all
- 14 hear me okay?
- If you're not speaking -- okay, I think
- 16 somebody just put us on mute.
- Anyway, we really appreciate your
- 18 presentation. There really has been just, I think,
- there's a swirl around PETs, but some confusion as
- 20 to what it really is, and this is very helpful, and
- it's, of course, helpful to get input from so much
- of academia, and what a terrific boon to the



- 1 Department. So thank you very, very much for that.
- Let me open it up to DPIAC members for
- guestions. Do we have any questions? If you do,
- ⁴ just please take yourself off mute and you can just
- 5 chime right in.
- [No response.]
- 7 Ms. Sotto: Ross, I think you've stunned
- 8 us into silence, so thank you so much. I think
- ⁹ we're going to get the slides after the meeting.
- We may, of course, circle back to you as we ponder
- what you've just discussed, and we very much
- 12 appreciate it. Thank you.
- Mr. Maciejewski: Of course. Thank you
- 14 so much for inviting me, and if anybody has
- 15 questions please do feel free to email me. I am
- the only Ross Maciejewski on Google. So thank you.
- Ms. Sotto: Great. All right. So let's
- move on. We are now going to turn to an update on
- our commercial data tasking, so Chris Teitzel, I
- 20 would like to turn it over to you. Chris is the
- 21 Chair of the Technology Subcommittee of the DPIAC,
- 22 and he is going to update us on the subcommittee's



- $^{
 m 1}$ activities related to this tasking. Thank you,
- ² Chris.
- Mr. Teitzel: Thank you, Lisa. And
- 4 before I get started I want to echo all the
- 5 comments said this morning. Ms. Parker Dupree,
- 6 your efforts and work in the Department, and
- ⁷ particularly with the DPIAC, have been
- 8 transformative, and we wish you nothing but the
- 9 best in your future.
- So going back to our previous annual
- 11 meeting, we were tasked with looking at commercial
- 12 data. Now commercial data within the DPIAC has
- been core to what we have done all the way back to
- 14 the outset. In 2005, the Committee issued a report
- 15 around commercial data to reduce false positives in
- the screening programs. It made 13 recommendations
- including that commercial data only be used when
- 18 necessary to satisfy and define purpose, that the
- minimization principle is used when using data, and
- that access to that data is tightly controlled.
- A subsequent report was released in 2006
- 22 around the use of commercial data, and they made



- 1 additional recommendations to supplement the 2005
- ² report. But obviously since 2005, 2006, commercial
- data and the use of commercial data has changed
- 4 greatly. And so, Ms. Parker Dupree, in our
- 5 previous meeting, asked the DPIAC with providing
- our views and recommendations on a governance
- 7 policy and framework related to the use of
- 8 commercial data in Federal programs.
- This was taken back to the subcommittees.
- 10 It was decided that the Policy Subcommittee would
- take lead on this, as it is a policy-related
- 12 tasking. However, as we all can imagine, there is
- quite a bit of technology that goes into the
- 14 collection, use, and dissemination of data within
- the Federal programs, and so the Technology
- 16 Subcommittee was asked to provide a few
- participants as well, to be represented as well in
- 18 this tasking.
- We were able to get together and have a
- 20 few very productive meetings. There are a lot of
- 21 great points and discussions already coming up in
- 22 those meetings, and we are working with the



- 1 Department and different areas of the Department to
- gather information, either on current methods or on
- 3 previous working directives and various work that
- 4 is already being done, as well as a whole host of -
- 5 and I have got a list here -- a whole host of
- 6 questions and areas of concern that the Committee
- yants to take a look at as part of this tasking.
- 8 With the reformation of the DPIAC over
- ⁹ the course of 2022, we are excited to get back into
- that, and we are scheduling new meetings for 2023
- in order to continue on with this tasking. And so
- there is nothing of essence to provide right now,
- but there is quite a bit of work going on. And in
- 14 my opinion, this is going to be one of the more
- involved and important taskings that we have taken
- on in some time because the use of commercial data
- within not only the Department but within all
- 18 Federal programs is a very sensitive issue and
- 19 something that we need to not take lightly.
- The committees are going to be getting
- together likely within the next few weeks and
- working on what our next steps are and where we



- 1 will be going, and I look forward to providing a
- ² further update and hopefully some working papers in
- 3 the near future.
- 4 And with that I will open it up for
- ⁵ questions or toss it back to you, Lisa.
- 6 Ms. Sotto: Thank you. I was going to do
- ⁷ the same. So please, if we have any questions from
- 8 Committee members, now is your chance.
- 9 [No response.]
- Ms. Sotto: I'll just, Chris, add to your
- 11 remarks. This is such an important issue, one that
- 12 is causing huge swirl, so the work that you are
- doing is terrific and important, so thank you very
- 14 much. And I know we have a lot to do ahead on this
- 15 topic.
- And I just want to note, Mason Clutter,
- 17 who will be taking over as the acting DPO, we are
- so excited to welcome you to our ranks, Mason, and
- 19 really delighted to have you ushering us through
- ²⁰ for this continued initiative and the others that
- we are going to be doing. So thank you for
- stepping into the role.



- 1 Ms. Clutter: Thank you. I am looking
- ² forward to it. I look forward to working with you
- 3 and the DPIAC.
- Ms. Sotto: Thank you. And we are here
- ⁵ for you. I just want to make that clear. We are
- 6 available. We are delighted to assist, so please
- 7 call on us. We have got a lot of terrific experts
- on the Committee, so use us. We are here.
- 9 Ms. Clutter: Absolutely. Thank you.
- 10 Ms. Sotto: Terrific. All right.
- So let me turn to the public comment
- 12 period, and I am checking the chat and I have not
- seen any public comments. And there were no
- 14 preregistered individuals who wanted to comment.
- 15 So we will open up the floor to any members of the
- 16 public who would like to speak. I just remind you
- to keep your remarks to under three minutes, as
- 18 described in the Federal Register notice.
- 19 If you are joining by phone, you can
- unmute yourself by pressing *6.
- So let me open it up now. Do we have any
- 22 comments from members of the public? We will give



- 1 it a few minutes.
- 2 [No response.]
- Ms. Sotto: All right. Going once, going
- ⁴ twice. Okay.
- Bill, can I close things out?
- Mr. Bice: Yes. This will conclude what
- ye have scheduled for our agenda, so the public
- 8 meeting can be adjourned, ma'am, yes. Thanks.
- 9 Ms. Sotto: Okay. Well then this
- 10 formally concludes our public comment portion. If
- 11 you would like to submit written comments please do
- 12 so by emailing them to privacycommittee@hq.dhs.gov,
- and if you could please do so by February 13, 2023,
- 14 we would appreciate it. And I just want to also
- 15 note that because the DPIAC operates under the
- 16 provisions of the Federal Advisory Committee Act,
- 17 all written comments will be treated as public
- documents and will be made available for public
- 19 inspection.
- So with that, many, many thanks to Chief
- 21 Privacy Office Lynn Parker Dupree. Lynn, thank you
- 22 so, so much for your service. We really look



- 1 forward to hearing about your next journey, and
- 2 cannot wait to have you back in whatever capacity.
- 3 So thank you, thank you, thank you.
- 4 Thank you also to Committee members and
- 5 to members of the public who participated in
- 6 today's meeting, and thank you to members of
- ⁷ Privacy Office who also joined us, and to Bill.
- 8 Very much appreciated.
- This concludes today's public meeting.
- We are grateful for your interest, and we encourage
- 11 you to please follow the Committee's work by
- 12 checking our Web page, which is, by the way, chock
- 13 full of good privacy materials. So hopefully
- 14 useful.
- The minutes of today's meeting will be
- 16 posted there in the near future, and I would like
- to now turn it over to Bill to begin our
- 18 administrative session.
- (Whereupon, at 9:45 a.m., the open
- session was adjourned.)

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yielded 15:11