

Department of Homeland Security

DHS Grants and Financial Assistance Division (GFAD)

301 7th Street, SW, RM 3051
Mail Stop 0115
Washington, DC 20528

NOTICE OF AWARD

AUTHORIZATION (Legislation/Regulations)
Public Law 115-31, Department of Homeland Security Appropriations
Act, 2017, Title IV, Research, Development, Training and Services

ALL AMOUNTS ARE SHOWN IN USD

REMARKS	(Other Terms and Conditions Attached -	<input checked="" type="radio"/> Yes	<input type="radio"/> No
<p>This is the base award in the amount of \$4,600,000.</p> <p>Carry-forward funding is included in this action.</p> <p>A new restrictions document is attached.</p> <p>There is no funding to JCSU project.</p> <p>There is a set aside for unallocated high priority efforts.</p>		<input checked="" type="radio"/>	<input type="radio"/>

17.OBJ CLASS 4102	18a. VENDOR CODE 566001393	18b. EIN 566001393	19a. UEI D3LHU66KBLD5	19b. DUNS 608195277	20. CONG. DIST. 04
FY-ACCOUNT NO.	DOCUMENT NO.	ADMINISTRATIVE CODE	AMT ACTION FIN ASST	APPROPRIATION	
21. a. U24M70240603	b. STCRC00001A	c. CRC1	d. \$4,600,000.00	e.	
22. a.	b.	c.	d.	e.	
23. a.	b.	c.	d.	e.	

NOTICE OF AWARD (Continuation Sheet)

PAGE 2 of 3

DATE ISSUED

06/21/2023

GRANT NO. 15STCRC00001-09-00

Federal Financial Report Cycle			
Reporting Period Start Date	Reporting Period End Date	Reporting Type	Reporting Period Due Date
04/01/2015	06/30/2015	Quarterly	07/30/2015
07/01/2015	09/30/2015	Quarterly	10/30/2015
10/01/2015	12/31/2015	Quarterly	01/30/2016
01/01/2016	03/31/2016	Quarterly	04/30/2016
04/01/2016	06/30/2016	Quarterly	07/30/2016
07/01/2016	09/30/2016	Quarterly	10/30/2016
10/01/2016	12/31/2016	Quarterly	01/30/2017
01/01/2017	03/31/2017	Quarterly	04/30/2017
04/01/2017	06/30/2017	Quarterly	07/30/2017
07/01/2017	09/30/2017	Quarterly	10/30/2017
10/01/2017	12/31/2017	Quarterly	01/30/2018
01/01/2018	03/31/2018	Quarterly	04/30/2018
04/01/2018	06/30/2018	Quarterly	07/30/2018
07/01/2018	09/30/2018	Quarterly	10/30/2018
10/01/2018	12/31/2018	Quarterly	01/30/2019
01/01/2019	03/31/2019	Quarterly	04/30/2019
04/01/2019	06/30/2019	Quarterly	07/30/2019
07/01/2019	09/30/2019	Quarterly	10/30/2019
10/01/2019	12/31/2019	Quarterly	01/30/2020
01/01/2020	03/31/2020	Quarterly	04/30/2020
04/01/2020	06/30/2020	Final	09/28/2020
07/01/2020	09/30/2020	Quarterly	10/30/2020
10/01/2020	12/31/2020	Quarterly	01/30/2021
01/01/2021	03/31/2021	Quarterly	04/30/2021
04/01/2021	06/30/2021	Quarterly	07/30/2021
07/01/2021	09/30/2021	Quarterly	10/30/2021
10/01/2021	12/31/2021	Quarterly	01/30/2022
01/01/2022	03/31/2022	Quarterly	04/30/2022
04/01/2022	06/30/2022	Quarterly	07/30/2022
07/01/2022	09/30/2022	Quarterly	10/30/2022
10/01/2022	12/31/2022	Quarterly	01/30/2023
01/01/2023	03/31/2023	Quarterly	04/30/2023
04/01/2023	06/30/2023	Quarterly	07/30/2023
07/01/2023	09/30/2023	Quarterly	10/30/2023
10/01/2023	12/31/2023	Quarterly	01/30/2024
01/01/2024	03/31/2024	Quarterly	04/30/2024
04/01/2024	06/30/2024	Final	09/28/2024

Performance Progress Report Cycle			
Reporting Period Start Date	Reporting Period End Date	Reporting Type	Reporting Period Due Date

NOTICE OF AWARD (Continuation Sheet)

PAGE 3 of 3	DATE ISSUED 06/21/2023
GRANT NO. 15STCRC00001-09-00	

07/01/2019	12/31/2019	Semi-Annual	01/30/2020
01/01/2020	06/30/2020	Semi-Annual	07/30/2020
07/01/2020	12/31/2020	Semi-Annual	01/30/2021
01/01/2021	06/30/2021	Semi-Annual	07/30/2021
07/01/2021	12/31/2021	Semi-Annual	01/30/2022
01/01/2022	06/30/2022	Semi-Annual	07/30/2022
07/01/2023	12/31/2023	Semi-Annual	01/30/2024
01/01/2024	06/30/2024	Final	09/28/2024

AWARD ATTACHMENTS

UNIVERSITY OF NORTH CAROLINA AT CHAPEL HILL

15STCRC00001-09-00

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1. FY2023_COASTAL_UNC_AWARDLETTER_signed
 2. TERMS AND CONDITIONS_COASTAL
 3. 2023_COASTAL_RESTRICTIONS_Year 9_DRAFT_5 June 2023



Homeland
Security

June 21, 2023

[REDACTED]
Interim Vice Chancellor for Research
The University of North Carolina at Chapel Hill
104 Airport Drive, Suite 2200, CB 1350
Chapel Hill, NC 27599-1350

Re: **Award Number:** 15STCRC00001
DHS S&T Coastal Resilience Center of Excellence - Center Lead

Dear [REDACTED]

Please find a notice of funding for FY2023 of the above referenced award. This action consists of funds in the amount of \$4,600,000 and authorizes carry-forward in the amount of \$3,840,452. Please find a new restrictions document attached. Please track restrictions as outlined in the enclosed restriction document.

If any additional assistance is required, please have your staff contact [REDACTED]
[REDACTED] Project Officer, at [REDACTED] Grants
Officer at [REDACTED] on administrative matters.

Sincerely,

[REDACTED]
Grants Officer
Grants and Financial Assistance Division
Office of Procurement Operations
Office of the Chief Procurement Officer
Department of Homeland Security

Enclosure(s)

cc: [REDACTED]

CONTINUATION TERMS AND CONDITIONS
GRANTS AND FINANCIAL ASSISTANCE DIVISION (GFAD)

In addition to the DHS Standard Terms and Conditions as outlined here: In addition to the DHS Standard Terms and Conditions shown in Article II, below, and at: DHS Standard Terms and Conditions, the following Terms and Conditions apply specifically to this Award as administered by the Grants and Financial Assistance Division (GFAD):

ARTICLE I. GENERAL ADMINISTRATIVE TERMS AND CONDITIONS

A. GENERAL TERMS AND CONDITIONS

This award is subject to the requirements of the Terms and Conditions outlined in your original award document. The recipient and any sub-recipient must continue to comply with these terms and conditions, as well as the updated terms and conditions presented below.

B. AWARD SPECIFIC TERMS AND CONDITIONS

-The previous award restrictions remain in effect.

C. DHS PROGRAMMATIC INVOLVEMENT

-Previous DHS programmatic involvement remains in effect.

D. GOVERNING PROVISIONS

This award is subject to the requirements of the Governing Provisions outlined in your original award.

E. ORDER OF PRECEDENCE

1. The terms and conditions of this Award.
2. The Funding Opportunity, DHS-14-ST-061-COE-001A, Coastal Resilience Center (CRC)
3. Application and Assurances dated 6/2/2023 as revised
6/2/2023

Award Number: **15STCRC00001** (formerly 2015ST061ND0001-05) Remarks continued:

D. 1) **\$4,600,000** Year 9 base grant funding is awarded, with **\$300,000.00** restricted as specified below; and 2) **\$3,840,452.00** carryover is approved, with **\$523,000** restricted as specified below.

Fiscal Year 2023 Approved Budget

(1) Year 9 Base Funding (FY22 funds)	\$4,600,000
(2) Year 8 Approved Carryover	\$3,840,452
Total Budget	\$8,440,452

1) YEAR 9 BASE FUNDING: \$4,600,000 is awarded in base funding. Of this amount, **\$4,300,000** is released for Management & Administration, Communications, Transition and for research and education projects, as listed in the proposal, and for drafting and submitting Institutional Review Board (IRB) packages, as applicable. If the COE Director and PI do not believe that human subjects research is being conducted, please submit the corresponding IRB forms submitted to the respective universities' Office of Sponsored Research, electronically to the DHS Program Manager. The following award-specific restrictions are in effect:

RESTRICTIONS	DATE ISSUED	DATE LIFTED
a) Management and Administration -- \$100,000 remains restricted pending DHS review and approval of Year 9 project workplans that have (1) incorporated DHS program recommendations and (2) received all other required reviews/approvals as applicable, including S&T Compliance Assurance Program Office review and approval.	7/1/2023	
b) Unallocated – \$200,000 of CRC's research and education budget is restricted for high priority homeland security research and education activities pending DHS receipt and approval of a workplan and budget justification. \$64,411 may be applied from the JCSU Year 9 workplan, which is not approved for funding.	7/1/2023	
c) Human Subjects – No human subjects research or education activities may take place prior to DHS S&T Compliance Assurance Program Office review and approval, per the Terms and Conditions.	7/1/2023	N/A

2) **YEAR 8 APPROVED CARRYOVER: \$3,840,452.00** carryover request is approved. Of this amount, **\$3,317,452.00** of funds are released. The following award-specific restrictions are in effect:

RESTRICTIONS	DATE ISSUED	DATE LIFTED
a) Management and Administration - \$100,000 remains restricted pending DHS review and approval of Year 8 project workplans that have (1) incorporated DHS program recommendations and (2) received all other required reviews/approvals as applicable, <u>including S&T Compliance Assurance Program Office review and approval.</u>	7/1/2023	
b) Human Subjects – no human subjects research or education activities may take place prior to DHS S&T Compliance Assurance Program Office review and approval, per the Terms and Conditions.	7/1/2023	N/A

c) **Joint COE Community Infrastructure Planning Supplemental:** The approved carryover includes **\$423,000**, which as of 9/9/2021 DHS awarded and restricted 100 percent pending development of a detailed workplan and budget justification to develop a research and education approach to enhance the quality and transferability of the Infrastructure Resilience Planning Framework and related assessment tools developed by the Department of Homeland Security (DHS) Cybersecurity and Infrastructure Security Agency (CISA). This work will leverage academic capabilities from across the DHS Centers of Excellence Network in order to apply proven approaches that support the infrastructure resilience planning and implementation capacity of state, local, tribal, and territorial governments to plan, invest and maintain infrastructure systems for critical services through partnerships with universities and associations.