

1. DATE ISSUED MM/DD/YYYY 07/01/2021		1a. SUPERSEDES AWARD NOTICE dated except that any additions or restrictions previously imposed remain in effect unless specifically rescinded	
2. CFDA NO. 97.061 - Cooperative Agreements			
3. ASSISTANCE TYPE Cooperative Agreement			
4. GRANT NO. 17STCIN00001-05-00 Formerly 2017-ST-061-CINA01		5. TYPE OF AWARD Other	
4a. FAIN 17STCIN00001		5a. ACTION TYPE Non-Competing Continuation	
6. PROJECT PERIOD MM/DD/YYYY From 06/15/2017		Through 06/30/2027	
7. BUDGET PERIOD MM/DD/YYYY From 07/01/2021		Through 06/30/2022	
8. TITLE OF PROJECT (OR PROGRAM) CINA COE			

Department of Homeland Security

DHS Grants and Financial Assistance Division (GFAD)

245 Murray Lane, SW  
Mail Stop 0115  
Washington, DC 20528

NOTICE OF AWARD

AUTHORIZATION (Legislation/Regulations)  
Homeland Security Act of 2002, Public Law 107-296, 6 U.S.C 188

9a. GRANTEE NAME AND ADDRESS GEORGE MASON UNIVERSITY 4400 University Dr Fairfax, VA 22030-4422		9b. GRANTEE PROJECT DIRECTOR [REDACTED] 4400 University Dr Fairfax, VA 22030-4422 [REDACTED]	
10a. GRANTEE AUTHORIZING OFFICIAL [REDACTED] 4400 University Dr Fairfax, VA 22030-4422 [REDACTED]		10b. FEDERAL PROJECT OFFICER [REDACTED] 7th and D Street, SW Washington, DC 20407 [REDACTED]	

ALL AMOUNTS ARE SHOWN IN USD

11. APPROVED BUDGET (Excludes Direct Assistance)		12. AWARD COMPUTATION	
I Financial Assistance from the Federal Awarding Agency Only		a. Amount of Federal Financial Assistance (from item 11m) 7,268,834.00	
II Total project costs including grant funds and all other financial participation		b. Less Unobligated Balance From Prior Budget Periods 3,148,834.00	
		c. Less Cumulative Prior Award(s) This Budget Period 0.00	
		d. AMOUNT OF FINANCIAL ASSISTANCE THIS ACTION 4,120,000.00	
		13. Total Federal Funds Awarded to Date for Project Period 18,937,109.00	
		14. RECOMMENDED FUTURE SUPPORT (Subject to the availability of funds and satisfactory progress of the project):	
		YEAR TOTAL DIRECT COSTS YEAR TOTAL DIRECT COSTS	
		a. 6 b. 7 c. 8 d. 9 e. 10 f. 11	
		15. PROGRAM INCOME SHALL BE USED IN ACCORD WITH ONE OF THE FOLLOWING ALTERNATIVES:	
		a. DEDUCTION b. ADDITIONAL COSTS c. MATCHING d. OTHER RESEARCH (Add / Deduct Option) e. OTHER (See REMARKS)	
		b	
		16. THIS AWARD IS BASED ON AN APPLICATION SUBMITTED TO, AND AS APPROVED BY, THE FEDERAL AWARDING AGENCY ON THE ABOVE TITLED PROJECT AND IS SUBJECT TO THE TERMS AND CONDITIONS INCORPORATED EITHER DIRECTLY OR BY REFERENCE IN THE FOLLOWING:	
		a. The grant program legislation b. The grant program regulations. c. This award notice including terms and conditions, if any, noted below under REMARKS d. Federal administrative requirements, cost principles and audit requirements applicable to this grant.	
		In the event there are conflicting or otherwise inconsistent policies applicable to the grant, the above order of precedence shall prevail. Acceptance of the grant terms and conditions is acknowledged by the grantee when funds are drawn or otherwise obtained from the grant payment system.	

REMARKS (Other Terms and Conditions Attached - ☒ Yes ☐ No)

\*\* Base amount of \$3,900,000 is provided along with supplemental funds in the amount of \$220,000 (fully restricted)\*\* There is also carry-forward funding of \$3,148,834 for a total approved budget of \$7,268,834\*\* Please see the attached restrictions document\*\*

GRANTS MANAGEMENT OFFICIAL:

[REDACTED]  
7th and D Street, SW  
Washington DC , DC 20407  
[REDACTED]

17.OBJ CLASS	4102	18a. VENDOR CODE	540836354	18b. EIN	540836354	19. DUNS	077817450	20. CONG. DIST.	11
FY-ACCOUNT NO.		DOCUMENT NO.		ADMINISTRATIVE CODE		AMT ACTION FIN ASST		APPROPRIATION	
21. a.	U13J70130803	b.	STCIN00001A	c.	CIN1	d.	\$220,000.00	e.	
22. a.	U13C70130803	b.	STCIN00001A	c.	CIN1	d.	\$1,900,000.00	e.	
23. a.	U02N70020803	b.	STCIN00001A	c.	CIN1	d.	\$2,000,000.00	e.	

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## NOTICE OF AWARD (Continuation Sheet)

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DATE ISSUED

07/01/2021

GRANT NO. 17STCIN00001-05-00

## Federal Financial Report Cycle

Reporting Period Start Date	Reporting Period End Date	Reporting Type	Reporting Period Due Date
07/01/2018	09/30/2018	Annual	12/29/2018
10/01/2018	12/31/2018	Quarterly	01/30/2019
01/01/2019	03/31/2019	Quarterly	04/30/2019
04/01/2019	06/30/2019	Quarterly	07/30/2019
07/01/2019	09/30/2019	Quarterly	10/30/2019
01/01/2020	03/31/2020	Quarterly	04/30/2020
04/01/2020	06/30/2020	Quarterly	07/30/2020
07/01/2020	09/30/2020	Quarterly	10/30/2020
10/01/2020	12/31/2020	Quarterly	01/30/2021
01/01/2021	03/31/2021	Quarterly	04/30/2021
07/01/2021	09/30/2021	Quarterly	10/30/2021
10/01/2021	12/31/2021	Quarterly	01/30/2022
01/01/2022	03/31/2022	Quarterly	04/30/2022
04/01/2022	06/30/2022	Final	09/28/2022

## AWARD ATTACHMENTS

George Mason University

17STCIN00001-05-00

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1. FY2021\_CINA\_AWARD\_LETTER\_4120000\_signed
  2. 2021 CINA\_TERMS AND CONDITIONS
  3. Restriction Document CINA Year 5\_UPDATED



Homeland  
Security

July 1, 2021

[REDACTED]  
George Mason University  
4400 University Drive  
Fairfax, VA 22030-4422

Re: Grant Number: 17STCIN00001 "Center of Excellence in Criminal Activity Investigations and Network Analysis" (\$3,900,000 Base Funding, \$3,148,834 carry forward funding)

Dear [REDACTED]

Congratulations! The Department of Homeland Security (DHS) has approved your application for a continuation grant award. The base amount of \$3,900,000 is provided along with supplemental funds in the amount of \$220,000. There is also carry-forward funding of \$3,148,834 for a total approved budget of \$7,268,834. Please review the attached restrictions document from program outlining what portion of the funding is restricted.

If you have questions concerning this award, please contact me at [REDACTED]  
[REDACTED]

Sincerely,

[REDACTED]  
Grants and Financial Assistance Division  
Office of Procurement Operations  
Office of the Chief Procurement Officer

**CONTINUATION TERMS AND CONDITIONS**  
**GRANTS AND FINANCIAL ASSISTANCE DIVISION (GFAD)**

In addition to the DHS Standard Terms and Conditions shown in Article II, below, and at: <http://www.dhs.gov/publication/fy15-dhs-standard-terms-and-conditions>, the following Terms and Conditions apply specifically to this Award as administered by the Grants and Financial Assistance Division (GFAD):

**ARTICLE I. ADMINISTRATIVE TERMS AND CONDITIONS**

**A. GENERAL TERMS AND CONDITIONS**

This award is subject to the requirements of the Terms and Conditions outlined in your original award document. The recipient and any sub-recipient must continue to comply with these terms and conditions, as well as the updated terms and conditions presented below.

**B. AWARD SPECIFIC TERMS AND CONDITIONS**

-The previous award restrictions remain in effect.

**C. DHS PROGRAMMATIC INVOLVEMENT**

-Previous DHS programmatic involvement remains in effect.

**D. GOVERNING PROVISIONS**

This award is subject to the requirements of the Governing Provisions outlined in your original award.

**E. ORDER OF PRECEDENCE**

1. The terms and conditions of this Award.
2. The Funding Opportunity, 2017-ST-061-CINA01 , CINA.
3. Application and Assurances dated "6/17/2021 , as revised N/A

Remarks continued:

D. The approved combined budget includes authority to carry forward **\$1,938,064.00** from the CINA's previous budget, **\$0.00** in supplemental funds, and **\$2,383,298.00** in new funds, bringing the total approved Year 5 budget to **\$4,321,362.00**

### Fiscal Year 2021 Approved Budget

(1) Year 5 Base	\$2,383,298.00
(2) Year 4 Carry Forward	\$1,938,064.00
(2) Year 5 Supplemental Funds	\$0.00
Total Approved Budget	\$4,321,362.00

- 1) **Year 5 Base:** Of the total \$3,900,000.00 base budget request, **\$2,383,298.00** is approved and released to continue research portfolio activities, to plan and conduct communication/outreach events, and in support of education activities as outlined in CINA's workplan and budget narrative submission.
- 2) **Year 4 Carry Forward:** Of the total \$3,148,834.00 carry forward request, **\$1,938,064.00** is hereby approved for award and released to continue research portfolio activities, to plan and conduct communication/outreach events, and in support of education activities as outlined in CINA's workplan and budget narrative submission.
- 3) **Year 5 Supplemental Funds:** Of the total \$220,000.00 recommended funding in federal assistance for year 5 supplemental funds, **\$0.00** is approved for award and released at this time.

### Fiscal Year 2021 Restricted Budget

(1) Year 5 Base	\$ 1,516,702.00
(2) Year 4 Carry Forward	\$ 1,210,779.00
(2) Year 5 Supplemental Funds	\$ 220,000.00
Total Restricted Budget	\$ 2,947,481.00

#### 1) Year 5 Restriction:

Of the total \$3,900,000.00 base budget request, \$ 1,516,702.00 are restricted until the conditions below are met.

Of the total \$3,148,834.00 carry forward request, \$ 1,210,779.00 are restricted until the conditions below are met.

Of the \$220,000.00 recommended funding in federal assistance for supplemental funding, \$220,000.00 are restricted until conditions are met.

RESTRICTIONS	DATE ISSUED	DATE LIFTED
a) Project ID Y5-01: \$40,264.00 in base funds are restricted until project obtains privacy and CAPO approval.	6/24/2021	
b) Project ID Y5-02: \$98,822.00 in base funds are restricted until project obtains privacy and CAPO approval.	6/24/2021	
c) Project ID Y5-04: \$59,337.00 in base funds are restricted until project obtains privacy and CAPO approval.	6/24/2021	
d) Project ID Y5-05: \$104,337.00 in base funds are restricted until project obtains privacy and CAPO approval.	6/24/2021	
e) Project ID Y5-06: \$14,337.00 in base funds are restricted until project obtains privacy and CAPO approval.	6/24/2021	
f) Project ID Y5-07: \$36,837.00 in base funds are restricted until project obtains privacy and CAPO approval.	6/24/2021	
g) Project ID Y5-08: \$75,209.00 in carry forward funds are restricted until project obtains privacy and CAPO approval.	6/24/2021	
h) Project ID Y5-09: \$134,071.00 in carry forward funds are restricted until project obtains privacy and CAPO approval.	6/24/2021	
i) Project ID Y5-10: \$59,337.00 in carry forward funds are restricted until project obtains privacy and CAPO approval.	6/24/2021	
j) Project ID Y5-12: \$75,653.00 in carry forward funds are restricted until project obtains privacy and CAPO approval.	6/24/2021	



RESTRICTIONS	DATE ISSUED	DATE LIFTED
k) <b>Project ID Y5-14:</b> \$58,078.00 in base funds are restricted until project obtains privacy and CAPO approval.	6/24/2021	
l) <b>Project ID Y5-15:</b> \$105,139.00 in base funds are restricted until project obtains privacy and CAPO approval. \$35,345.00 in carry forward funds are restricted until project obtains privacy and CAPO approval.	6/24/2021	
m) <b>Project ID Y5-17:</b> \$60,521.00 in carry forward funds is restricted until project obtains privacy and CAPO approval.	6/24/2021	
n) <b>Project ID Y5-18:</b> \$19,962.00 in base funds are is restricted until project obtains privacy and CAPO approval.	6/24/2021	
o) <b>Project ID Y5-19:</b> \$57,560.00 in base funds are is restricted until project obtains privacy and CAPO approval.	6/24/2021	
p) <b>Project ID Y5-X2:</b> \$125,000.00 in base funds are is restricted until project obtains privacy and CAPO approval.	6/24/2021	
q) <b>Project ID Y5-X3:</b> \$188,000.00 in carry forward funds are restricted until transition project is identified and transition milestones and outputs are defined.	6/24/2021	
r) <b>Project ID Y5-X4:</b> \$150,000.00 in carry forward funds are restricted until transition project is identified and transition milestones and outputs are defined.	6/24/2021	
s) <b>Open RFP Reserve Funds (Base):</b> \$797,029.00 in base funds are restricted until projects are identified and obtain privacy and CAPO approval.	6/24/2021	
t) <b>Open RFP Reserve Funds (CF):</b> \$432,643.00 in carry forward funds are restricted until projects are identified and obtain privacy and CAPO approval.	6/24/2021	
u) <b>Supplemental Funds:</b> \$220,000.00 in supplemental funding for CINA hosted communication and outreach engagements is restricted pending grant recipient request for supplemental funding in the grant solutions portal.	6/24/2021	