

1. DATE ISSUED MM/DD/YYYY 1a. SUPERSEDES AWARD NOTICE dated 09/09/2021
09/15/2021 except that any additions or restrictions previously imposed remain in effect unless specifically rescinded

2. CFDA NO.
97.061 - Cooperative Agreements

3. ASSISTANCE TYPE Cooperative Agreement

4. GRANT NO. 17STCIN00001-05-02
Formerly 2017-ST-061-CINA01

5. TYPE OF AWARD
Other

4a. FAIN 17STCIN00001

5a. ACTION TYPE Post Award Amendment

6. PROJECT PERIOD MM/DD/YYYY
From 06/15/2017

Through 06/30/2022

7. BUDGET PERIOD MM/DD/YYYY
From 07/01/2021

Through 06/30/2022

8. TITLE OF PROJECT (OR PROGRAM)
CINA COE

Department of Homeland Security

DHS Grants and Financial Assistance Division (GFAD)

301 7th Street, SW, RM 3051
Mail Stop 0115
Washington, DC 20528

NOTICE OF AWARD

AUTHORIZATION (Legislation/Regulations)
Homeland Security Act of 2002, Public Law 107-296, 6 U.S.C 188

9a. GRANTEE NAME AND ADDRESS
GEORGE MASON UNIVERSITY
4400 University Dr
Fairfax, VA 22030-4422

9b. GRANTEE PROJECT DIRECTOR
[REDACTED]
4400 University Dr
Fairfax, VA 22030-4422
[REDACTED]

10a. GRANTEE AUTHORIZING OFFICIAL
[REDACTED]
4400 University Dr
Fairfax, VA 22030-4422
[REDACTED]

10b. FEDERAL PROJECT OFFICER
[REDACTED]
7th and D Street, SW
Washington, DC 20407
[REDACTED]

ALL AMOUNTS ARE SHOWN IN USD

11. APPROVED BUDGET (Excludes Direct Assistance)

I Financial Assistance from the Federal Awarding Agency Only

II Total project costs including grant funds and all other financial participation

a.	Salaries and Wages	665,978.00
b.	Fringe Benefits	186,063.00
c.	Total Personnel Costs	852,039.00
d.	Equipment	22,410.00
e.	Supplies	117,941.00
f.	Travel	35,774.00
g.	Construction	0.00
h.	Other	568,395.00
i.	Contractual	4,565,028.00
j.	TOTAL DIRECT COSTS	6,181,567.00
k.	INDIRECT COSTS	1,387,247.00
l.	TOTAL APPROVED BUDGET	7,568,834.00
m.	Federal Share	7,568,834.00
n.	Non-Federal Share	0.00

12. AWARD COMPUTATION

a.	Amount of Federal Financial Assistance (from item 11m)	7,568,834.00
b.	Less Unobligated Balance From Prior Budget Periods	3,148,834.00
c.	Less Cumulative Prior Award(s) This Budget Period	4,120,000.00
d.	AMOUNT OF FINANCIAL ASSISTANCE THIS ACTION	300,000.00
13.	Total Federal Funds Awarded to Date for Project Period	19,237,109.00

14. RECOMMENDED FUTURE SUPPORT

(Subject to the availability of funds and satisfactory progress of the project):

YEAR	TOTAL DIRECT COSTS	YEAR	TOTAL DIRECT COSTS
a. 6		d. 9	
b. 7		e. 10	
c. 8		f. 11	

15. PROGRAM INCOME SHALL BE USED IN ACCORD WITH ONE OF THE FOLLOWING ALTERNATIVES:

- a. DEDUCTION
b. ADDITIONAL COSTS
c. MATCHING
d. OTHER RESEARCH (Add / Deduct Option)
e. OTHER (See REMARKS)

b

16. THIS AWARD IS BASED ON AN APPLICATION SUBMITTED TO, AND AS APPROVED BY, THE FEDERAL AWARING AGENCY ON THE ABOVE TITLED PROJECT AND IS SUBJECT TO THE TERMS AND CONDITIONS INCORPORATED EITHER DIRECTLY OR BY REFERENCE IN THE FOLLOWING:

- a. The grant program legislation
b. The grant program regulations.
c. This award notice including terms and conditions, if any, noted below under REMARKS
d. Federal administrative requirements, cost principles and audit requirements applicable to this grant.

In the event there are conflicting or otherwise inconsistent policies applicable to the grant, the above order of precedence shall prevail. Acceptance of the grant terms and conditions is acknowledged by the grantee when funds are drawn or otherwise obtained from the grant payment system.

REMARKS (Other Terms and Conditions Attached - ☒ Yes ☐ No)

Find the updated Restrictions Document Attached to this email New Funding in the amount of \$300K attached- All Restricted**

GRANTS MANAGEMENT OFFICIAL:

[REDACTED]
7th and D Street, SW
Washington DC , DC 20407
[REDACTED]

17. OBJ CLASS	4102	18a. VENDOR CODE	540836354	18b. EIN	540836354	19. DUNS	077817450	20. CONG. DIST.	11
FY-ACCOUNT NO.		DOCUMENT NO.		ADMINISTRATIVE CODE		AMT ACTION FIN ASST		APPROPRIATION	
21. a.	U13K70130803	b.	STCIN00001A	c.	CIN1	d.	\$300,000.00	e.	
22. a.		b.		c.		d.		e.	
23. a.		b.		c.		d.		e.	

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NOTICE OF AWARD (Continuation Sheet)

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DATE ISSUED

09/15/2021

GRANT NO. 17STCIN00001-05-02

Federal Financial Report Cycle			
Reporting Period Start Date	Reporting Period End Date	Reporting Type	Reporting Period Due Date
07/01/2018	09/30/2018	Annual	12/29/2018
10/01/2018	12/31/2018	Quarterly	01/30/2019
01/01/2019	03/31/2019	Quarterly	04/30/2019
04/01/2019	06/30/2019	Quarterly	07/30/2019
07/01/2019	09/30/2019	Quarterly	10/30/2019
01/01/2020	03/31/2020	Quarterly	04/30/2020
04/01/2020	06/30/2020	Quarterly	07/30/2020
07/01/2020	09/30/2020	Quarterly	10/30/2020
10/01/2020	12/31/2020	Quarterly	01/30/2021
01/01/2021	03/31/2021	Quarterly	04/30/2021
04/01/2021	06/30/2021	Final	09/28/2021
07/01/2021	09/30/2021	Quarterly	10/30/2021
10/01/2021	12/31/2021	Quarterly	01/30/2022
01/01/2022	03/31/2022	Quarterly	04/30/2022
04/01/2022	06/30/2022	Final	09/28/2022

Performance Progress Report Cycle			
Reporting Period Start Date	Reporting Period End Date	Reporting Type	Reporting Period Due Date
01/01/2020	06/30/2020	Semi-Annual	07/30/2020
07/01/2020	09/30/2020	Semi-Annual	10/30/2020
10/01/2020	12/31/2020	Quarterly	01/30/2021
01/01/2021	06/30/2021	Semi-Annual	07/30/2021
07/01/2021	12/31/2021	Semi-Annual	01/30/2022
01/01/2022	06/30/2022	Final	09/28/2022

AWARD ATTACHMENTS

George Mason University

17STCIN00001-05-02

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1. MOD2_FY2021_CINA_AWARD_LETTER_300K_SIGNED
 2. 9_3_OUP Restriction Document CINA Year 5_UPDATED with Supplementals Restricted



Homeland
Security

September 15, 2021

[REDACTED]
George Mason University
4400 University Drive
Fairfax, VA 22030-4422

Re: **MOD 1- MOD 2** Grant Number: 17STCIN00001 "Center of Excellence in Criminal Activity Investigations and Network Analysis" (\$300,000 – Restricted Mod 2)

Dear [REDACTED]

The Department of Homeland Security (DHS) has approved your Amendment Request for your grant award. There are two modifications to this award Mod 1- administrative modification and Mod 2 which is a \$300,000 fully restricted supplement. Please review the attached restrictions document from program outlining what portion of the entire award is restricted.

If you have questions concerning this award, please contact me at [REDACTED] or [REDACTED]

Sincerely,

[REDACTED]
Grants and Financial Assistance Division
Office of Procurement Operations
Office of the Chief Procurement Officer

Remarks continued:

D. The approved combined budget includes authority to carry forward **\$1,938,064.00** from the CINA's previous budget, \$0 in supplemental funds, and **\$2,383,298.00** in new funds, bringing the total approved Year 5 budget to **\$4,321,362.00**

Fiscal Year 2021 Approved Budget

(1) Year 5 Base	\$2,383,298.00
(2) Year 4 Carry Forward	\$1,973,400.00
(2) Year 5 Supplemental Funds	\$0
Total Approved Budget	\$4,356,698.00

- 1) **Year 5 Base:** Of the total \$3,900,000.00 base budget request, **\$2,383,298.00** is approved and released to continue research portfolio activities, to plan and conduct communication/outreach events, and in support of education activities as outlined in CINA's workplan and budget narrative submission.
- 2) **Year 4 Carry Forward:** Of the total \$3,148,834.00 carry forward request, **\$1,973,400.00** is hereby approved for award and released to continue research portfolio activities, to plan and conduct communication/outreach events, and in support of education activities as outlined in CINA's workplan and budget narrative submission.
- 3) **Year 5 Supplemental Funds:** Of the total \$520,000.00 recommended funding in federal assistance for year 5 supplemental funds, **\$0** is approved for award and released at this time.

Fiscal Year 2021 Restricted Budget

(1) Year 5 Base	\$1,516,702.00
(2) Year 4 Carry Forward	\$1,175,434.00
(2) Year 5 Supplemental Funds	\$520,000.00
Total Restricted Budget	\$3,212,136.00

1) Year 5 Restriction:

Of the total \$3,900,000.00 base budget request, \$1,516,702.00 are restricted until the conditions below are met.
Of the total \$3,148,834.00 carry forward request, \$35,345 in previously restricted funds are approved and released for research portfolio activities (project Y5-15). **\$1,175,434.00** remains restricted until the conditions below are met.
Of the \$520,000.00 recommended funding in federal assistance for supplemental funding, **\$520,000.00** are restricted until conditions are met.

RESTRICTIONS	DATE ISSUED	DATE LIFTED
a) Project ID Y5-01: \$40,264.00 in base funds are restricted until project obtains privacy and CAPO approval.	6/24/2021	
b) Project ID Y5-02: \$98,822.00 in base funds are restricted until project obtains privacy and CAPO approval.	6/24/2021	
c) Project ID Y5-04: \$59,337.00 in base funds are restricted until project obtains privacy and CAPO approval.	6/24/2021	
d) Project ID Y5-05: \$104,337.00 in base funds are restricted until project obtains privacy and CAPO approval.	6/24/2021	
e) Project ID Y5-06: \$14,337.00 in base funds are restricted until project obtains privacy and CAPO approval.	6/24/2021	
f) Project ID Y5-07: \$36,837.00 in base funds are restricted until project obtains privacy and CAPO approval.	6/24/2021	
g) Project ID Y5-08: \$75,209.00 in carry forward funds are restricted until project obtains privacy and CAPO approval.	6/24/2021	
h) Project ID Y5-09: \$134,071.00 in carry forward funds are restricted until project obtains privacy and CAPO approval.	6/24/2021	
i) Project ID Y5-10: \$59,337.00 in carry forward funds are restricted until project obtains privacy and CAPO approval.	6/24/2021	
j) Project ID Y5-12: \$75,653.00 in carry forward funds are restricted until project obtains privacy and CAPO approval.	6/24/2021	

RESTRICTIONS	DATE ISSUED	DATE LIFTED
k) Project ID Y5-14: \$58,078.00 in base funds are restricted until project obtains privacy and CAPO approval.	6/24/2021	
l) Project ID Y5-15: \$105,139.00 in base funds are restricted until project obtains privacy and CAPO approval. \$35,345.00 in carry forward funds are restricted until project obtains privacy and CAPO approval.	6/24/2021	
m) Project ID Y5-17: \$60,521.00 in carry forward funds is restricted until project obtains privacy and CAPO approval.	6/24/2021	
n) Project ID Y5-18: \$19,962.00 in base funds are is restricted until project obtains privacy and CAPO approval.	6/24/2021	
o) Project ID Y5-19: \$57,560.00 in base funds are is restricted until project obtains privacy and CAPO approval.	6/24/2021	
p) Project ID Y5-X2: \$125,000.00 in base funds are is restricted until project obtains privacy and CAPO approval.	6/24/2021	
q) Project ID Y5-X3: \$188,000.00 in carry forward funds are restricted until transition project is identified and transition milestones and outputs are defined.	6/24/2021	
r) Project ID Y5-X4: \$150,000.00 in carry forward funds are restricted until transition project is identified and transition milestones and outputs are defined.	6/24/2021	
s) Open RFP Reserve Funds (Base): \$797,029.00 in base funds are restricted until projects are identified and obtain privacy and CAPO approval.	6/24/2021	
t) Open RFP Reserve Funds (CF): \$432,643.00 in carry forward funds are restricted until projects are identified and obtain privacy and CAPO approval.	6/24/2021	
u) Supplemental Funds: \$220,000.00 in supplemental funding for CINA hosted communication and outreach engagements is restricted pending grant recipient request for supplemental funding in the grant solutions portal.	6/24/2021	
v) Supplemental Funds: \$300,000.00 for the CINA Identity Sciences Interdisciplinary Research Project - To define and formalize Identity Sciences and Research as an interdisciplinary discipline and identity opportunities to leverage relevant work from related disciplines such as biometrics, privacy, cryptography, computer vision, and pattern recognition.	6/24/2021	