

1. DATE ISSUED MM/DD/YYYY 1a. SUPERSEDES AWARD NOTICE dated 01/27/2021
05/06/2021 except that any additions or restrictions previously imposed
remain in effect unless specifically rescinded

2. CFDA NO.
97.061 - Cooperative Agreements

3. ASSISTANCE TYPE Cooperative Agreement

4. GRANT NO. 17STQAC00001-04-03
Formerly 2017-ST-061-QA0001

5. TYPE OF AWARD
Other

4a. FAIN 17STQAC00001

5a. ACTION TYPE Post Award Amendment

6. PROJECT PERIOD MM/DD/YYYY
From 09/01/2017

Through 06/30/2027

7. BUDGET PERIOD MM/DD/YYYY
From 07/01/2020

Through 06/30/2021

8. TITLE OF PROJECT (OR PROGRAM)

Center of Excellence for Accelerating Operational Efficiency (CAOE) - Supplemental Application - Harmful Narrative Alignment Patterns in the United States

9a. GRANTEE NAME AND ADDRESS

ARIZONA STATE UNIVERSITY
PO BOX 876011
Tempe, AZ 85287-6011

9b. GRANTEE PROJECT DIRECTOR

660 S MILL AVE STE 312
TEMPE, AZ 85281-3670

10a. GRANTEE AUTHORIZING OFFICIAL

660 S MILL AVE STE 312
TEMPE, AZ 85281-3670

10b. FEDERAL PROJECT OFFICER

7th and D Street, SW
Washington, DC 20407

ALL AMOUNTS ARE SHOWN IN USD

11. APPROVED BUDGET (Excludes Direct Assistance)

I Financial Assistance from the Federal Awarding Agency Only

II Total project costs including grant funds and all other financial participation

a. Salaries and Wages	1,320,461.00
b. Fringe Benefits	349,045.00
c. Total Personnel Costs	1,669,506.00
d. Equipment	39,386.00
e. Supplies	65,777.00
f. Travel	344,153.00
g. Construction	0.00
h. Other	3,917,677.00
i. Contractual	0.00
j. TOTAL DIRECT COSTS	6,036,499.00
k. INDIRECT COSTS	1,487,249.00
l. TOTAL APPROVED BUDGET	7,523,748.00
m. Federal Share	7,523,748.00
n. Non-Federal Share	0.00

12. AWARD COMPUTATION

a. Amount of Federal Financial Assistance (from item 11m)	7,523,748.00
b. Less Unobligated Balance From Prior Budget Periods	3,235,248.00
c. Less Cumulative Prior Award(s) This Budget Period	3,968,500.00
d. AMOUNT OF FINANCIAL ASSISTANCE THIS ACTION	320,000.00
13. Total Federal Funds Awarded to Date for Project Period	16,396,604.00

14. RECOMMENDED FUTURE SUPPORT

(Subject to the availability of funds and satisfactory progress of the project):

YEAR	TOTAL DIRECT COSTS	YEAR	TOTAL DIRECT COSTS
a. 5		d. 8	
b. 6		e. 9	
c. 7		f. 10	

15. PROGRAM INCOME SHALL BE USED IN ACCORD WITH ONE OF THE FOLLOWING ALTERNATIVES:

- a. DEDUCTION
b. ADDITIONAL COSTS
c. MATCHING
d. OTHER RESEARCH (Add / Deduct Option)
e. OTHER (See REMARKS)

b

16. THIS AWARD IS BASED ON AN APPLICATION SUBMITTED TO, AND AS APPROVED BY, THE FEDERAL AWARDING AGENCY ON THE ABOVE TITLED PROJECT AND IS SUBJECT TO THE TERMS AND CONDITIONS INCORPORATED EITHER DIRECTLY OR BY REFERENCE IN THE FOLLOWING:

- a. The grant program legislation
b. The grant program regulations.
c. This award notice including terms and conditions, if any, noted below under REMARKS
d. Federal administrative requirements, cost principles and audit requirements applicable to this grant.

In the event there are conflicting or otherwise inconsistent policies applicable to the grant, the above order of precedence shall prevail. Acceptance of the grant terms and conditions is acknowledged by the grantee when funds are drawn or otherwise obtained from the grant payment system.

REMARKS (Other Terms and Conditions Attached -

☒ Yes

☐ No)

See Special Conditions

GRANTS MANAGEMENT OFFICIAL:

7th and D Street, SW
Washington DC , DC 20407

17. OBJ CLASS	4102	18a. VENDOR CODE	1860196696A1	18b. EIN	860196696	19. DUNS	943360412	20. CONG. DIST.	09
FY-ACCOUNT NO.		DOCUMENT NO.		ADMINISTRATIVE CODE		AMT ACTION FIN ASST		APPROPRIATION	
21. a.	91AA70910803	b.	STQAC00001A	c.	QAC1	d.	\$0.00	e.	
22. a.	U02K70020803	b.	STQAC00001A	c.	QAC1	d.	\$0.00	e.	
23. a.	U13F70130803	b.	STQAC00001A	c.	QAC1	d.	\$320,000.00	e.	

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NOTICE OF AWARD (Continuation Sheet)

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DATE ISSUED

05/06/2021

GRANT NO. 17STQAC00001-04-03

Federal Financial Report Cycle

Reporting Period Start Date	Reporting Period End Date	Reporting Type	Reporting Period Due Date
07/01/2018	06/30/2019	Annual	09/28/2019
07/01/2019	06/30/2020	Annual	09/28/2020
07/01/2020	06/30/2021	Annual	09/28/2021

Performance Progress Report Cycle

Reporting Period Start Date	Reporting Period End Date	Reporting Type	Reporting Period Due Date
01/01/2020	06/30/2020	Semi-Annual	09/28/2020
07/01/2020	12/31/2020	Semi-Annual	03/31/2021
01/01/2021	06/30/2021	Final	09/28/2021

SPECIAL CONDITIONS

1. **A) Continuation Award** - Your application was approved in the amount of \$7,447,265. A total of \$3,600,000 is FY20 Continuation Funding, a total of \$3,732,265 is estimated FY19 Carryover Funding and a total of \$115,000 is for a Supplemental Project. A total of \$500,000 of Basic Operations Funding is restricted. Within 45 days of receipt of this letter, please submit a revised workplan to update the project stakeholder engagement strategy for the five new projects this year and the continuing VFRO project.

The request to open a Program Income account has been approved. Per the request, the funds in this account shall be used to offset costs related to the annual TSA Symposium. As stated in Item K. Program Income, of the enclosed Terms and Conditions, all instances of program income shall be listed in the progress and financial reports. (6/30/2020)

B) Amendment #1 – This amendment approves your application for supplemental funding in the amount of **\$253,500** for the projects entitled, “Economic Impacts of COVID-19 Follow-on Studies” (\$115K) and “COVID-19 Red Team Study and Exercise” (\$138.5K).

This amendment also approves the revised workplans, budget, carryover request and other documents submitted July 28, 2020. The term and condition that previously restricted a total of **\$500,000** has been satisfactorily fulfilled and the funds are hereby released. The total approved budget is now **\$7,203,748**, and all funds are available for use.

This amendment also adds a new reporting requirement for all COVID-19 supplemental projects, including the two referenced above, the supplemental project issued May 22, 2020, entitled, “Economic Analysis of COVID-19 Impacts” (\$150K), as well as any future projects that may be issued. The reporting details are as follows:

Quarterly Status Reports for COVID-19 Supplemental Projects

The Performer shall provide quarterly status reports to DHS for the duration of the period of performance. The report shall include:

- Technical progress
- Schedule performance (actual vs. planned)
- Cost performance (actual vs. planned)
- Technical level risks and issues

NOTICE OF AWARD (Continuation Sheet)

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Each quarterly report shall update the timeline for completion of outcomes and milestones. For each outcome or milestone that has changed from the previous quarter, an explanation shall be provided of the cause of the change. The quarterly status report shall also track action items assigned to all parties (DHS included) and identify events in the next quarter (i.e. key meetings).

All other terms and conditions remain unchanged. (8/27/2020)

C) Amendment #2 – This amendment changes the DHS Project Officer of record from Gia Harrigan to Eleanore Hajian. All other terms and conditions remain unchanged. (01/27/2021)

D) Amendment #3 – This amendment approves the supplemental project titled, “*Harmful Narrative Alignment Patterns in the United States*”, totaling **\$320,000**. The total approved budget is now **\$7,523,748**. Of the \$320,000, a total of **\$32,000** is hereby released for project planning and workplan development, and the remaining balance of **\$288,000** is restricted pending submission of a DHS-approved workplan. DHS workplan approval will encompass approval by the S&T Compliance Assurance Program Office. All other terms and conditions remain unchanged. (5/6/2021)

AWARD ATTACHMENTS

AZ Board of Regents on behalf of Arizona State University

17STQAC00001-04-03

1. Amendment Letter



Homeland
Security

May 6, 2021

[REDACTED]
Executive Director, Research Operations
Arizona Board of Regents on behalf of Arizona State University
ORSPA/OKED
P.O. Box 876011
Tempe, AZ 85287-6011

Re: **Award Number: 17STQAC00001-04-03** (formerly 2017-ST-061-QA0001)
Center of Excellence for Accelerating Operational Efficiency (CAOE)

Dear Ms. Clark:

The Department of Homeland Security (DHS) has approved the supplemental project titled, "*Harmful Narrative Alignment Patterns in the United States*", totaling **\$320,000**. The total approved budget is now **\$7,523,748**.

Of the \$320,000, a total of **\$32,000** is hereby released for project planning and workplan development, and the remaining balance of **\$288,000** is restricted pending submission of a DHS-approved workplan. DHS workplan approval will encompass approval by the S&T Compliance Assurance Program Office. All other terms and conditions remain unchanged.

If any additional assistance is required, please have your staff contact [REDACTED] Project Officer, at [REDACTED] on technical/programmatic matters, or [REDACTED] Grants Officer at [REDACTED] on administrative matters.

[REDACTED]
Grants and Financial Assistance Division
Office of Procurement Operations
Office of the Chief Procurement Officer

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