

Department of Homeland Security
DHS Grants and Financial Assistance Division (GFAD)
301 7th Street, SW, RM 3051
Mail Stop 0115
Washington, DC 20528

AUTHORIZATION (Legislation/Regulations)
Homeland Security Act of 2002, Public Law 107-296, 6 U.S.C 188

<p>REMARKS (Other Terms and Conditions Attached - <input checked="" type="radio"/> Yes <input type="radio"/> No)</p> <p>This amendment approves the supplemental application submitted for the "Supply Chain Resilience Issues, Problems and Solutions for the Homeland Security Enterprise" Workshop and awards a total of \$83,312. The revised budget is now \$ 9,781,636. All other terms and conditions remain unchanged.</p>	
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GRANTS MANAGEMENT OFFICIAL:
[REDACTED]
7th And D Street SW
Washington, DC 20407-0001
[REDACTED]

17. OBJ CLASS 4102	18a. VENDOR CODE 1560196696A1	18b. EIN 860196696	19a. UEI NTLHJXM55KZ6	19b. DUNS 943360412	20. CONG. DIST. 09
FY-ACCOUNT NO.	DOCUMENT NO.	ADMINISTRATIVE CODE	AMT ACTION FIN ASST		APPROPRIATION
21. a. U35G70350803	b. STQAC00001A	c. QAC1	d. \$83,312.00		e.
22. a.	b.	c.	d.		e.
23. a.	b.	c.	d.		e.

NOTICE OF AWARD (Continuation Sheet)

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DATE ISSUED

05/29/2024

GRANT NO. 17STQAC00001-07-07

Federal Financial Report Cycle			
Reporting Period Start Date	Reporting Period End Date	Reporting Type	Reporting Period Due Date
07/01/2018	06/30/2019	Annual	09/28/2019
07/01/2019	06/30/2020	Annual	09/28/2020
07/01/2020	06/30/2021	Annual	09/28/2021
07/01/2021	06/30/2022	Annual	07/30/2022
07/01/2022	06/30/2023	Annual	07/30/2023
07/01/2023	06/30/2024	Annual	07/30/2024

Performance Progress Report Cycle			
Reporting Period Start Date	Reporting Period End Date	Reporting Type	Reporting Period Due Date
01/01/2020	06/30/2020	Semi-Annual	09/28/2020
07/01/2020	12/31/2020	Semi-Annual	03/31/2021
01/01/2021	06/30/2021	Semi-Annual	08/29/2021
07/01/2021	12/31/2021	Semi-Annual	03/01/2022
01/01/2022	06/30/2022	Semi-Annual	08/29/2022
07/01/2022	12/31/2022	Semi-Annual	03/01/2023
01/01/2023	06/30/2023	Semi-Annual	08/29/2023
07/01/2023	12/31/2023	Semi-Annual	02/29/2024
01/01/2024	06/30/2024	Semi-Annual	08/29/2024

AWARD ATTACHMENTS

ARIZONA STATE UNIVERSITY

17STQAC00001-07-07

1. Amendment Letter
2. Updated Award Restrictions



Homeland
Security

May 29, 2024

[REDACTED]
Executive Director, Research Operations
Arizona Board of Regents on behalf of Arizona State University
ORSPA/OKED
P.O. Box 876011
Tempe, AZ 85287-6011

Re: **Award Number: 17STQAC00001-07-07** (formerly 2017-ST-061-QA0001)
Center of Excellence for Accelerating Operational Efficiency (CAOE)

Dear [REDACTED]

The Department of Homeland Security has issued an amendment to the above referenced award. This amendment approves the supplemental application submitted for the "Supply Chain Resilience Issues, Problems and Solutions for the Homeland Security Enterprise" Workshop and awards a total of \$83,312. The revised budget is now \$ 9,781,636. All other terms and conditions remain unchanged.

If any additional assistance is required, please have your staff contact [REDACTED] at [REDACTED] on technical/programmatic matters, or [REDACTED] at [REDACTED] on administrative matters.

[REDACTED]
Grants and Financial Assistance Division
Office of Procurement Operations
Office of the Chief Procurement Officer

REL0001347855

Award Number: **17STQAC00001** Remarks continued:

D. 1) **\$4,600,000** FY23 base grant funding is awarded, with **\$1,880,120** restricted as specified below; 2) **\$5,098,324** carryover is approved, with the remaining funding restricted as specified below.

Fiscal Year 2023 Approved Budget	
(1) Year 7 Base Funding	\$4,600,000
(2) Year 6 Approved Carryover	\$5,098,324
Total Budget	\$9,698,324

1) **YEAR 7 BASE FUNDING: \$4,600,000** is awarded in base funding, with **\$2,719,880** approved to be released. The release includes all of the \$2,458,437 of requested Research funding, and all of the \$394,523 requested Education and Training Funding. A total of **\$1,880,120** in base funding is restricted as follows:

RESTRICTIONS	DATE ISSUED	DATE LIFTED
a) \$100,000 (out of the \$645,161) of Administration/Execution funds shall be restricted until DHS has received and approved a plan regarding distribution of responsibilities among Key Personnel.	7/3/2023	2/13/2024
b) \$20,000 (out of the \$119,214) requested for Transition shall be restricted until DHS receives and approves a more robust transition plan.	7/3/2023	2/13/2024
c) \$20,000 (out of the \$242,545) requested for Outreach and Communication shall remain restricted until DHS receives and approves a more robust Outreach and Communication plan.	7/3/2023	2/13/2024
d) \$1,740,120 in Unallocated Funding shall remain restricted for projects pending review and approval of project workplans and transition plans.	7/3/2023	TBD
a) Human Subjects – no human subjects research or education activities may take place prior to DHS S&T Compliance Assurance Program Office review and approval, per the Terms and Conditions.	7/3/2023	N/A

2) **YEAR 6 APPROVED CARRYOVER:** **\$5,098,324** carryover request is approved, with **\$2,948,160** released to focus on Center-level management, Education, and Research. The remaining **\$2,150,164** of the Unallocated Funds are restricted. The following award-specific restrictions are in effect:

A. RESTRICTIONS	DATE ISSUED	DESCRIPTION
<i>Unallocated -- \$2,150,164</i> remains restricted for high priority homeland security research and education pending DHS review and approval of workplans and budget justifications.	7/3/23	N/A
B. RELEASES	DATE LIFTED	DESCRIPTION
\$389,088	7/13/23	<ul style="list-style-type: none"> Release of \$138,900 to fund the project titled, "Combining Human Intelligence with Artificial Intelligence for a Usable, Adaptable SBOM (CHIAUS)". Release of \$250,188 to fund the project titled, "Resilience Engineering for Visual Screening in Security Settings (REVS)"
\$205,648	10/3/23	<ul style="list-style-type: none"> Release of \$205,648 to fund the project titled, "Maritime Interdiction Hackathon Leveraging Synthetic Data"
\$1,158,584	11/2/23	<ul style="list-style-type: none"> Release of \$138,856 to fund the project titled, "Novel Benefit Methods and Values for Homeland Security Distributional and Border Outcomes" Release of \$292,750 to fund the project titled, "Cognitive and Motivational Determinants of Normalcy Bias" Release of \$226,724 to fund the project titled, "Evaluating and Improving Trustworthiness in Large Language Models" Release of \$209,628 to fund the project titled, "MAST for Evaluating Gen AI in Worker-Automation Team Tasks (MEGAWATT)"

		<ul style="list-style-type: none"> • Release of \$263,668 to fund the project titled, "Countering Misinformation in the Era of Large Language Models" • Release of \$26,958 to fund the project titled, "DHS SRT 2023 Follow-on Grant: Towards Equitable Privacy-Preserving Machine Learning Algorithms"
\$137,290	12/18/23	<ul style="list-style-type: none"> • Release of \$137,290 to fund five projects from this year's Hackathon RFP in the Education and Workforce Development Competency Area.
Total Released Amount = \$1,890,610	--	--

E. 1) **\$83,312** is awarded for the "Supply Chain Resilience Issues, Problems and Solutions for the Homeland Security Enterprise" Workshop. (5/29/24)