

1. DATE ISSUED MM/DD/YYYY 06/21/2023		1a. SUPERSEDES AWARD NOTICE dated except that any additions or restrictions previously imposed remain in effect unless specifically rescinded	
2. CFDA NO. 97.061 - Cooperative Agreements			
3. ASSISTANCE TYPE Cooperative Agreement			
4. GRANT NO. 22STESE00001-03-00 Formerly		5. TYPE OF AWARD Other	
4a. FAIN 22STESE00001		5a. ACTION TYPE Non-Competing Continuation	
6. PROJECT PERIOD From MM/DD/YYYY 11/01/2021		Through MM/DD/YYYY 06/30/2031	
7. BUDGET PERIOD From MM/DD/YYYY 07/01/2023		Through MM/DD/YYYY 06/30/2024	
8. TITLE OF PROJECT (OR PROGRAM) The SENTRY (Soft-target Engineering to Neutralize the Threat Reality)			

Department of Homeland Security
DHS Grants and Financial Assistance Division (GFAD)

301 7th Street, SW, RM 3051
Mail Stop 0115
Washington, DC 20528

NOTICE OF AWARD

AUTHORIZATION (Legislation/Regulations)
Homeland Security Act of 2002, Section 308, as amended, P.L. 107-296
codified at 6 U.S.C. 188

9a. GRANTEE NAME AND ADDRESS NORTHEASTERN UNIVERSITY 360 Huntington Ave Boston, MA 02115-5005		9b. GRANTEE PROJECT DIRECTOR [REDACTED] 360 Huntington Ave Boston, MA 02115-5005 [REDACTED]	
10a. GRANTEE AUTHORIZING OFFICIAL [REDACTED] 360 Huntington Ave 540-177 HU NU-RES Finance		10b. FEDERAL PROJECT OFFICER [REDACTED] 7th And D Street SW Washington, DC 20407-0001 [REDACTED]	

ALL AMOUNTS ARE SHOWN IN USD

11. APPROVED BUDGET (Excludes Direct Assistance)		12. AWARD COMPUTATION	
I Financial Assistance from the Federal Awarding Agency Only		a. Amount of Federal Financial Assistance (from item 11m)	
II Total project costs including grant funds and all other financial participation		b. Less Unobligated Balance From Prior Budget Periods	
		c. Less Cumulative Prior Award(s) This Budget Period	
		d. AMOUNT OF FINANCIAL ASSISTANCE THIS ACTION	
		13. Total Federal Funds Awarded to Date for Project Period	
		14. RECOMMENDED FUTURE SUPPORT	
		(Subject to the availability of funds and satisfactory progress of the project):	
		YEAR TOTAL DIRECT COSTS YEAR TOTAL DIRECT COSTS	
		a. 4 b. 5 c. 6 d. 7 e. 8 f. 9	
		15. PROGRAM INCOME SHALL BE USED IN ACCORD WITH ONE OF THE FOLLOWING ALTERNATIVES:	
		a. DEDUCTION b. ADDITIONAL COSTS c. MATCHING d. OTHER RESEARCH (Add / Deduct Option) e. OTHER (See REMARKS)	
		16. THIS AWARD IS BASED ON AN APPLICATION SUBMITTED TO, AND AS APPROVED BY, THE FEDERAL AWARDING AGENCY ON THE ABOVE TITLED PROJECT AND IS SUBJECT TO THE TERMS AND CONDITIONS INCORPORATED EITHER DIRECTLY OR BY REFERENCE IN THE FOLLOWING:	
		a. The grant program legislation b. The grant program regulations c. This award notice including terms and conditions, if any, noted below under REMARKS. d. Federal administrative requirements, cost principles and audit requirements applicable to this grant.	
		In the event there are conflicting or otherwise inconsistent policies applicable to the grant, the above order of precedence shall prevail. Acceptance of the grant terms and conditions is acknowledged by the grantee when funds are drawn or otherwise obtained from the grant payment system.	

REMARKS (Other Terms and Conditions Attached - ☒ Yes ☐ No)
This award provides base funding in the amount of \$4,600,000
This award provides a new restriction document which is attached to this award.

GRANTS MANAGEMENT OFFICIAL:

[REDACTED]
7th and D Street, SW
Washington DC . DC 20407
[REDACTED]

17.OBJ CLASS 4102	18a. VENDOR CODE 041679990	18b. EIN 041679990	19a. UEI HLTMVS2JZBS6	19b. DUNS 001423631	20. CONG. DIST. 07
FY-ACCOUNT NO.	DOCUMENT NO.	ADMINISTRATIVE CODE	AMT ACTION FIN ASST	APPROPRIATION	
21. a. U35B70380803	b. STESE00001A	c. ESE1	d. \$4,600,000.00	e.	
22. a.	b.	c.	d.	e.	
23. a.	b.	c.	d.	e.	

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NOTICE OF AWARD (Continuation Sheet)

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DATE ISSUED

06/21/2023

GRANT NO. 22STESE00001-03-00

Federal Financial Report Cycle			
Reporting Period Start Date	Reporting Period End Date	Reporting Type	Reporting Period Due Date
11/01/2021	12/31/2021	Quarterly	01/30/2022
01/01/2022	03/31/2022	Quarterly	04/30/2022
04/01/2022	06/30/2022	Quarterly	07/30/2022
07/01/2022	09/30/2022	Quarterly	10/30/2022
10/01/2022	12/31/2022	Quarterly	01/30/2023
01/01/2023	03/31/2023	Quarterly	04/30/2023
04/01/2023	06/30/2023	Quarterly	07/30/2023
07/01/2023	09/30/2023	Quarterly	10/30/2023
10/01/2023	12/31/2023	Quarterly	01/30/2024
01/01/2024	03/31/2024	Quarterly	04/30/2024
04/01/2024	06/30/2024	Quarterly	07/30/2024
07/01/2024	09/30/2024	Quarterly	10/30/2024
10/01/2024	12/31/2024	Quarterly	01/30/2025
01/01/2025	03/31/2025	Quarterly	04/30/2025
04/01/2025	06/30/2025	Quarterly	07/30/2025
07/01/2025	09/30/2025	Quarterly	10/30/2025
10/01/2025	12/31/2025	Quarterly	01/30/2026
01/01/2026	03/31/2026	Quarterly	04/30/2026
04/01/2026	06/30/2026	Quarterly	07/30/2026
07/01/2026	09/30/2026	Quarterly	10/30/2026
10/01/2026	12/31/2026	Quarterly	01/30/2027
01/01/2027	03/31/2027	Quarterly	04/30/2027
04/01/2027	06/30/2027	Quarterly	07/30/2027
07/01/2027	09/30/2027	Quarterly	10/30/2027
10/01/2027	12/31/2027	Quarterly	01/30/2028
01/01/2028	03/31/2028	Quarterly	04/30/2028
04/01/2028	06/30/2028	Quarterly	07/30/2028
07/01/2028	09/30/2028	Quarterly	10/30/2028
10/01/2028	12/31/2028	Quarterly	01/30/2029
01/01/2029	03/31/2029	Quarterly	04/30/2029
04/01/2029	06/30/2029	Quarterly	07/30/2029
07/01/2029	09/30/2029	Quarterly	10/30/2029
10/01/2029	12/31/2029	Quarterly	01/30/2030
01/01/2030	03/31/2030	Quarterly	04/30/2030
04/01/2030	06/30/2030	Quarterly	07/30/2030
07/01/2030	09/30/2030	Quarterly	10/30/2030
10/01/2030	12/31/2030	Quarterly	01/30/2031
01/01/2031	03/31/2031	Quarterly	04/30/2031
04/01/2031	06/30/2031	Final	09/28/2031

NOTICE OF AWARD (Continuation Sheet)

PAGE 3 of 3	DATE ISSUED 06/21/2023
GRANT NO. 22STESE00001-03-00	

Performance Progress Report Cycle			
Reporting Period Start Date	Reporting Period End Date	Reporting Type	Reporting Period Due Date
05/01/2022	06/30/2022	Final	09/28/2022
07/01/2022	12/31/2022	Semi-Annual	03/01/2023
07/01/2023	12/31/2023	Semi-Annual	02/29/2024
01/01/2024	06/30/2024	Final	09/28/2024

SPECIAL CONDITIONS

1. Restrict \$400,000 (\$100K from Mgmt and Operations and \$300K unallocated research).

AWARD ATTACHMENTS

NORTHEASTERN UNIVERSITY

22STESE00001-03-00

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1. FY2023_ AWARD LETTER_ NORTHEASTERN_SIGNED
 2. 2023_ TERMS AND CONDITIONS_ ESE
 3. ESE_ SENTRY_ Year 3_ Restrictions Table



Homeland
Security

June 21, 2023

[REDACTED]

Senior Grant Officer, Research Administration
Northeastern University
Research & Admin & Finance
360 Huntington Avenue
960 Renaissance Park
Boston, MA 02115-5005

Dear [REDACTED]

Re: Engineering Secure Environments (ESE) from Targeted Attacks; Award
Number 2013-ST-061-ED0001; (\$4.6 million+ carry forward)

The Department of Homeland Security (DHS) has approved your continuation application for the Center Lead Engineering Secure Environments from Targeted Attacks Center of Excellence (COE). This action consists of new funds in the amount of \$4,600,000 and authorizes carryover of previously obligated funds (\$1,965,345).

Please find the award document, terms and conditions and the restrictions document. If you have questions concerning this award, please contact me at

[REDACTED]

Sincerely,

[REDACTED]

Grants and Financial Assistance Division
Office of Procurement Operations
Office of the Chief Procurement Officer
Department of Homeland Security

CONTINUATION TERMS AND CONDITIONS
GRANTS AND FINANCIAL ASSISTANCE DIVISION (GFAD)

In addition to the DHS Standard Terms and Conditions as outlined here: In addition to the DHS Standard Terms and Conditions shown in Article II, below, and at: DHS Standard Terms and Conditions, the following Terms and Conditions apply specifically to this Award as administered by the Grants and Financial Assistance Division (GFAD):

ARTICLE I. GENERAL ADMINISTRATIVE TERMS AND CONDITIONS

A. GENERAL TERMS AND CONDITIONS

This award is subject to the requirements of the Terms and Conditions outlined in your original award document. The recipient and any sub-recipient must continue to comply with these terms and conditions, as well as the updated terms and conditions presented below.

B. AWARD SPECIFIC TERMS AND CONDITIONS

-The previous award restrictions remain in effect.

C. DHS PROGRAMMATIC INVOLVEMENT

-Previous DHS programmatic involvement remains in effect.

D. GOVERNING PROVISIONS

This award is subject to the requirements of the Governing Provisions outlined in your original award.

E. ORDER OF PRECEDENCE

1. The terms and conditions of this Award.
2. The Funding Opportunity, DHS-21-ST-061-ESE-001, SENTRY
3. Application and Assurances dated 6/2/2023

Award Number: **22STESE00001** Remarks continued:

D. 1) **\$4,600,000** of Year 3 base grant funding is awarded, with **\$400,000.00** restricted as specified below; and 2) **\$1,965,345.00** carryover is approved, with **\$0** restricted as specified below.

Fiscal Year 2023 Approved Budget

(1) Year 3 Base Funding (FY23 funds)	\$4,600,000
(2) Year 2 Approved Carryover	\$1,965,345
Total Budget	\$6,565,345

1) YEAR 3 BASE FUNDING: \$4,600,000 is awarded in base funding. Of this amount, **\$4,200,000** is released for Management & Administration, Communications, Transition and for research and education projects, as listed in the proposal, and for drafting and submitting Institutional Review Board (IRB) packages, as applicable. If the COE Director and PI do not believe that human subjects research is being conducted, please submit the corresponding IRB forms submitted to the respective universities' Office of Sponsored Research, electronically to the DHS Program Manager. The following award-specific restrictions are in effect:

RESTRICTIONS	DATE ISSUED	DATE LIFTED
a) Administration and Operations - \$100,000 is restricted pending DHS review and approval of Year 3 project workplans that have (1) incorporated DHS program recommendations and (2) received all other required reviews/approvals as applicable, including S&T Compliance Assurance Program Office review and approval.	7/1/2023	
b) Unallocated – \$300,000 is restricted for high priority homeland security research and education activities pending DHS receipt and approval of a workplan and budget justification.	7/1/2023	
c) Human Subjects – No human subjects research or education activities may take place prior to DHS S&T Compliance Assurance Program Office review and approval, per the Terms and Conditions.	7/1/2023	N/A

2) YEAR 2 APPROVED CARRYOVER: \$1,965,345.00 carryover request is approved. Of this amount, \$1,965,345.00 of funds are released. The following award-specific restrictions are in effect:

RESTRICTIONS	DATE ISSUED	DATE LIFTED
a) <i>Human Subjects</i> – no human subjects research or education activities may take place prior to DHS S&T Compliance Assurance Program Office review and approval, per the Terms and Conditions.	7/1/2023	N/A