

Department of Homeland Security

Analysis and Operations

Budget Overview



Fiscal Year 2018

Congressional Justification

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Analysis and Operations

Appropriation Organization Structure

Organization Name	Level	Fund Type (* Includes Defense Funding)
Analysis and Operations	Component	
Operations and Support	Appropriation	Discretionary - Appropriation

Analysis and Operations Budget Comparison and Adjustments

Comparison of Budget Authority and Request

Dollars in Thousands

Organization	FY 2016 Revised Enacted			FY 2017 Annualized CR			FY 2018 President's Budget			FY 2017 to FY 2018 Total Changes		
	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount
Operations and Support	845	818	\$260,224	845	818	\$254,303	885	837	\$252,405	40	19	(\$1,898)
Total	845	818	\$260,224	845	818	\$254,303	885	837	\$252,405	40	19	(\$1,898)
Subtotal Discretionary - Appropriation	845	818	\$260,224	845	818	\$254,303	885	837	\$252,405	40	19	(\$1,898)

*FTE reported in this table differ from MAX A-11 due to adjusted Prior Year (PY) totals and Current Year (CY) estimates reported at a later date than the MAX A-11 lock date.

Analysis and Operations Comparison of Obligations *Dollars in Thousands*

Budget Authority	FY 2016	FY 2017	FY 2018
Enacted	\$264,714		
Transfers & Reprogrammings	-		
Delta in Enacted Fee Estimate to Fee Actuals	-		
Enacted Rescissions to Prior Year	(\$4,490)		
Revised Enacted/Request	\$260,224	\$254,303	\$252,405
Carryover and/or Recoveries (Actual/Estimates/Projections)	\$12,136	\$7,087	\$2,032
Rescissions to Current Year/Budget Year	-	-	-
Net Sequestered Resources	-	-	-
Supplementals	-	-	-
Total Budget Authority	\$272,360	\$261,390	\$254,437
Collections – Reimbursable Resources	\$3,659	\$8,000	\$8,000
Total Budget Resources	\$276,019	\$269,390	\$262,437
Obligations (Actual/Projections/Estimates)	\$264,492	\$259,272	\$260,280
Personnel: Positons and FTE			
Enacted/Request Positions	845	845	885
Enacted/Request FTE	818	818	837
Onboard and Actual FTE; Includes Collections - Reimbursable Resources			
Onboard (Actual/Estimates/Projections)	813	862	902
FTE (Actual/Estimates/Projections)	775	833	863

*FTE reported in this table differ from MAX A-11 due to adjusted Prior Year (PY) totals and Current Year (CY) estimates reported at a later date than the MAX A-11 lock date.

Analysis and Operations Personal Compensation and Benefits

Pay Summary *Dollars in Thousands*

Organization	FY 2016 Revised Enacted				FY 2017 Annualized CR				FY 2018 President's Budget				FY 2017 to FY 2018 Total Changes			
	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate
Operations and Support	845	818	\$120,167	\$141.97	845	818	\$121,507	\$143.6	885	837	\$127,617	\$147.64	40	19	\$6,110	\$4.04
Total	845	818	\$120,167	\$141.97	845	818	\$121,507	\$143.6	885	837	\$127,617	\$147.64	40	19	\$6,110	\$4.04
Discretionary - Appropriation	845	818	\$120,167	\$141.97	845	818	\$121,507	\$143.6	885	837	\$127,617	\$147.64	40	19	\$6,110	\$4.04

* The FTE Rate calculation does not include Object Class 11.8-Special Personal Services Payments or 13.0-Benefits for Former Personnel. FTE reported in this table differ from MAX A-11 due to adjusted Prior Year (PY) totals

Pay by Object Class *Dollars in Thousands*

Pay Object Classes	FY 2016 Revised Enacted	FY 2017 Annualized CR	FY 2018 President's Budget	FY 2017 to FY 2018 Change
11.1 Full-time Permanent	\$87,875	\$88,331	\$92,772	\$4,441
11.3 Other than Full-Time Permanent	\$242	\$244	\$246	\$2
11.5 Other Personnel Compensation	\$2,371	\$2,375	\$2,385	\$10
11.8 Special Personal Services Payments	\$3,861	\$3,861	\$3,863	\$2
12.1 Civilian Personnel Benefits	\$25,640	\$26,518	\$28,173	\$1,655
13.0 Benefits for Former Personnel	\$178	\$178	\$178	-
Total - Personnel Compensation and Benefits	\$120,167	\$121,507	\$127,617	\$6,110
Positions and FTE				
Positions - Civilian	845	845	885	40
FTE - Civilian	818	818	837	19

*Object class data reported on this table may differ from MAX A-11 due to adjusted Prior Year (PY) totals and Current Year (CY) estimates which were reported at a later date than the MAX A-11 PY and CY lock dates.

**Analysis and Operations
Non Pay Budget Exhibits**

Non Pay Summary
Dollars in Thousands

Organization	FY 2016 Revised Enacted	FY 2017 Annualized CR	FY 2018 President's Budget	FY 2017 to FY 2018 Total Changes
Operations and Support	\$140,057	\$132,796	\$124,788	(\$8,008)
Total	\$140,057	\$132,796	\$124,788	(\$8,008)
Discretionary - Appropriation	\$140,057	\$132,796	\$124,788	(\$8,008)

Non Pay by Object Class
Dollars in Thousands

Non-Pay Object Classes	FY 2016 Revised Enacted	FY 2017 Annualized CR	FY 2018 President's Budget	FY 2017 to FY 2018 Change
21.0 Travel and Transportation of Persons	\$2,873	\$2,898	\$2,955	\$57
22.0 Transportation of Things	\$281	\$281	\$281	-
23.1 Rental Payments to GSA	\$8,641	\$7,269	\$8,398	\$1,129
23.2 Rental Payments to Others	\$3,707	\$239	\$259	\$20
23.3 Communications, Utilities, and Misc. Charges	\$14,525	-	-	-
24.0 Printing and Reproduction	\$583	\$583	\$583	-
25.1 Advisory and Assistance Services	\$70,022	\$73,113	\$65,071	(\$8,042)
25.2 Other Services from Non-Federal Sources	\$62	\$62	\$52	(\$10)
25.3 Other Goods and Services from Federal Sources	\$26,855	\$36,178	\$32,562	(\$3,616)
25.4 Operation and Maintenance of Facilities	\$15	\$15	\$15	-
25.7 Operation and Maintenance of Equipment	\$5,330	\$5,278	\$5,187	(\$91)
26.0 Supplies and Materials	\$931	\$928	\$973	\$45
31.0 Equipment	\$5,932	\$5,652	\$8,152	\$2,500
32.0 Land and Structures	\$300	\$300	\$300	-
Total - Non Pay Object Classes	\$140,057	\$132,796	\$124,788	(\$8,008)

*Object class data reported on this table may differ from MAX A-11 due to adjusted Prior Year (PY) totals and Current Year (CY) estimates which were reported at a later date than the MAX A-11 PY and CY lock dates.

**Analysis and Operations
Supplemental Budget Justification Exhibits**

Working Capital Fund

Dollars in Thousands

Appropriation and PPA	FY 2016 Revised Enacted	FY 2017 Annualized CR	FY 2018 President's Budget
Operations and Support	\$33,711	\$30,555	\$26,969
Total Working Capital Fund	\$33,711	\$30,555	\$26,969

Authorized/Unauthorized Appropriations

Dollars in Thousands

Budget Activity	Last year of Authorization	Authorized Level	Appropriation in Last Year of Authorization	FY 2018 President's Budget
	Fiscal Year	Amount	Amount	Amount
Operations and Support	N/A	N/A	N/A	\$252,405
Total Direct Authorization/Appropriation	N/A	N/A	N/A	\$252,405

Analysis and Operations Proposed Legislative Language

For necessary expenses of the Office of Intelligence and Analysis and the Office of Operations Coordination, as authorized by title 2 of the Homeland Security Act of 2002 (6 U.S.C. 121 et seq.), [\$265,719,000]; **\$252,405,000**, for operations and support; of which not to exceed \$3,825 shall be for official reception and representation expenses; of which not to exceed \$2,000,000 is available for facility needs associated with secure space at fusion centers, including improvements to buildings; and of which [\$110,091,000] **\$77,915,795** shall remain available until September 30,[2018] 2019. *Note.—A full-year 2017 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Further Continuing Appropriations Act, 2017 (P.L. 114–254). The amounts included for 2017 reflect the annualized level provided by the continuing resolution.*

Language Provision	Explanation
\$265,719,000]; \$252,405,000	Dollar change only. No substantial change proposed.
[\$110,091,000] \$77,915,795	Dollar change only. No substantial change proposed.

Reimbursable Resources

Dollars in Thousands

Collections	FY 2016 Revised Enacted			FY 2017 Annualized CR			FY 2018 President's Budget			FY 2017 to FY 2018 Change		
	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount
Department of Homeland Security - Analysis and Operations	15	14	\$3,659	17	15	\$8,000	17	16	\$8,000	-	1	-
Operations and Support	15	14	\$3,659	17	15	\$8,000	17	16	\$8,000	-	1	-
Total Collections	15	14	\$3,659	17	15	\$8,000	17	16	\$8,000	-	1	-

Department of Homeland Security

Analysis and Operations

Operations and Support



**Fiscal Year 2018
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Operations and Support

Budget Comparison and Adjustments

Comparison of Budget Authority and Request

Dollars in Thousands

Organization	FY 2016 Revised Enacted			FY 2017 Annualized CR			FY 2018 President's Budget			FY 2017 to FY 2018 Total Changes		
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Subtotal Discretionary - Appropriation	845	818	\$260,224	845	818	\$254,303	885	837	\$252,405	40	19	(\$1,898)

Overview

Analysis and Operations provides resources supporting the Office of Intelligence and Analysis and the Office of Operations Coordination. Even though these two offices are distinct in their missions, they work together and with other DHS Components to support the Department’s mission of protecting the Homeland. The mission of the Office of Intelligence and Analysis is to equip the Homeland Security Enterprise (HSE) with the intelligence and information it needs to keep the Homeland safe, secure, and resilient; in support of the Secretary, other government officials, and State, local, and private sector partners. The mission of the Office of Operations Coordination is to provide steady state and crisis action information sharing, situational awareness, and operations coordination to assist the Secretary across the enterprise and during continuity operations; in order to prevent, protect, respond to, and recover from terrorist threats/attacks or threats from other man-made or natural disasters.

Operations and Support
Budget Authority and Obligations
Dollars in Thousands

Budget Authority	FY 2016	FY 2017	FY 2018
Enacted	\$264,714		
Transfers & Reprogrammings	-		
Delta in Enacted Fee Estimate to Fee Actuals	-		
Enacted Rescissions to Prior Year	(\$4,490)		
Revised Enacted/Request	\$260,224	\$254,303	\$252,405
Carryover and/or Recoveries (Actual/Estimates/Projections)	\$12,136	\$7,087	\$2,032
Rescissions to Current Year/Budget Year	-	-	-
Net Sequestered Resources	-	-	-
Supplementals	-	-	-
Total Budget Authority	\$272,360	\$261,390	\$254,437
Collections – Reimbursable Resources	\$3,659	\$8,000	\$8,000
Total Budget Resources	\$276,019	\$269,390	\$262,437
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Enacted/Request Positions	845	845	885
Enacted/Request FTE	818	818	837
Onboard and Actual FTE; Includes Collections - Reimbursable Resources			
Onboard (Actual/Estimates/Projections)	813	862	902
FTE (Actual/Estimates/Projections)	775	833	863

Please reference classified Analysis and Operations annex.

Operations and Support
Summary of Budget Changes
Dollars in Thousands

Budget Formulation Activity	Positions	FTE	Amount
FY 2016 Enacted	845	818	\$264,714
Rescission	-	-	(\$4,490)
Total Rescissions	-	-	(\$4,490)
FY 2016 Revised Enacted	845	818	\$260,224
FY 2017 Annualized CR	845	818	\$254,303
FY 2018 Base Budget	845	818	\$254,303
Technical Adjustment	-	(10)	-
Working Capital Fund	2	2	(\$1,898)
Total Transfers	2	2	(\$1,898)
2016 Annualized Pay Increase	-	-	\$439
2017 Annualized Pay Increase	-	-	\$600
2017 Pay Increase	-	-	\$1,800
2018 Pay Increase	-	-	\$1,636
Increased Retirement Contributions and Other Benefits	-	-	\$131
Total, Pricing Increases	-	-	\$4,606
Non-Pay Offset (See Classified Annex)	-	-	(\$2,967)
Total, Pricing Decreases	-	-	(\$2,967)
Total Adjustments-to-Base	2	(8)	(\$259)
FY 2018 Current Services	847	810	\$254,044
Program Change A	17	16	\$5,509
Total, Program Increases	17	16	\$5,509
Program Change B	21	11	(\$2,372)
Program Change C	-	-	(\$4,776)
Total, Program Decreases	21	11	(\$7,148)
FY 2018 Request	885	837	\$252,405
FY 2017 TO FY 2018 Change	40	19	(\$1,898)

Please reference classified Analysis and Operations annex.

Operations and Support
Justification of Pricing Changes
Dollars in Thousands

Pricing Changes	FY 2018 President's Budget		
	Positions	FTE	Amount
Pricing Change 1 - 2016 Annualized Pay Increase	-	-	\$439
Pricing Change 2 - 2017 Annualized Pay Increase	-	-	\$600
Pricing Change 3 - 2017 Pay Increase	-	-	\$1,800
Pricing Change 4 - 2018 Pay Increase	-	-	\$1,636
Pricing Change 5 - Increased Retirement Contributions and Other Benefits	-	-	\$131
Pricing Change 6 - Non-Pay Offset (See Classified Annex)	-	-	(\$2,967)
Total Pricing Changes	-	-	\$1,639

Please reference classified Analysis and Operations annex.

Operations and Support
Justification of Program Changes
Dollars in Thousands

Program Changes	FY 2018 President's Budget		
	Positions	FTE	Amount
Program Change 1 - Program Change A	17	16	\$5,509
Program Change 2 - Program Change B	21	11	(\$2,372)
Program Change 3 - Program Change C	-	-	(\$4,776)
Total Program Changes	38	27	(\$1,639)

Program Change

Please reference classified Analysis and Operations annex.

**Operations and Support
Personnel Compensation and Benefits**

Pay Summary
Dollars in Thousands

Organization	FY 2016 Revised Enacted				FY 2017 Annualized CR				FY 2018 President's Budget				FY 2017 to FY 2018 Total Changes			
	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate
Operations and Support	845	818	\$120,167	\$141.97	845	818	\$121,507	\$143.6	885	837	\$127,617	\$147.64	40	19	\$6,110	\$4.04
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Discretionary - Appropriation	845	818	\$120,167	\$141.97	845	818	\$121,507	\$143.6	885	837	\$127,617	\$147.64	40	19	\$6,110	\$4.04

* The FTE Rate calculation does not include Object Class 11.8-Special Personal Services Payments or 13.0-Benefits for Former Personnel.

NARRATIVE EXPLANATION OF CHANGES

Please reference classified Analysis and Operations annex.

Operations and Support Pay by Object Class

Dollars in Thousands

Pay Object Classes	FY 2016 Revised Enacted	FY 2017 Annualized CR	FY 2018 President's Budget	FY 2017 to FY 2018 Change
11.1 Full-time Permanent	\$87,875	\$88,331	\$92,772	\$4,441
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13.0 Benefits for Former Personnel	\$178	\$178	\$178	-
Total - Personnel Compensation and Benefits	\$120,167	\$121,507	\$127,617	\$6,110
Positions and FTE				
Positions - Civilian	845	845	885	40
FTE - Civilian	818	818	837	19

Please reference classified Analysis and Operations annex.

Operations and Support Permanent Positions by Grade – Appropriation

Grades and Salary Range	FY 2016 Revised Enacted	FY 2017 Annualized CR	FY 2018 President's Budget	FY 2017 to FY 2018 Change
Total, SES	34	27	29	2
Total, EX	1	1	1	-
GS-15	134	134	132	-2
GS-14	208	208	213	5
GS-13	201	204	213	9
GS-12	132	136	144	8
GS-11	44	44	50	6
GS-9	40	40	52	12
GS-7	46	46	46	-
GS-5	2	2	2	-
GS-4	3	3	3	-
Total Permanent Positions	845	845	885	40
Position Locations				
Headquarters	745	745	785	40
U.S. Field	100	100	100	-
Averages				
Average Personnel Costs, ES Positions	214	216	219	3
Average Personnel Costs, GS Positions	145	147	130	-17
Average Grade, GS Positions	13	13	13	-

¹New hires' personnel costs assume only 1/2 pay for the year, which reduces the average personnel costs for the year.

Please reference classified Analysis and Operations annex.

**Operations and Support
Non Pay Budget Exhibits**

Non Pay Summary

Dollars in Thousands

Organization	FY 2016 Revised Enacted	FY 2017 Annualized CR	FY 2018 President's Budget	FY 2017 to FY 2018 Total Changes
Operations and Support	\$140,057	\$132,796	\$124,788	(\$8,008)
Total	\$140,057	\$132,796	\$124,788	(\$8,008)
Discretionary - Appropriation	\$140,057	\$132,796	\$124,788	(\$8,008)

Please reference classified Analysis and Operations annex.

Operations and Support Non Pay by Object Class

Dollars in Thousands

Non-Pay Object Classes	FY 2016 Revised Enacted	FY 2017 Annualized CR	FY 2018 President's Budget	FY 2017 to FY 2018 Change
21.0 Travel and Transportation of Persons	\$2,873	\$2,898	\$2,955	\$57
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Total - Non Pay Object Classes	\$140,057	\$132,796	\$124,788	(\$8,008)

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