



# CBP FY 2015 Financial Plan

*July 7, 2015*

Fiscal Year 2015 Report to Congress



Homeland  
Security

*U.S. Customs and Border Protection*

# Message from the Deputy Commissioner of CBP

July 7, 2015

I am pleased to present the following “CBP FY 2015 Financial Plan Report,” which has been prepared by U.S. Customs and Border Protection (CBP).

This document has been compiled in response to the language set forth in the Senate Report 113-198 accompanying the *Fiscal Year (FY) 2015 Department of Homeland Security (DHS) Appropriations Act* (P.L. 114-4).

The report provides a plan detailing the staffing, equipment, and funding necessary to support DHS and CBP missions.



Pursuant to congressional requirements, this report is being provided to the following Members of Congress:

The Honorable John Hoeven  
Chairman, Senate Appropriations Subcommittee on Homeland Security

The Honorable Jeanne Shaheen  
Ranking Member, Senate Appropriations Subcommittee on Homeland Security

The Honorable John R. Carter  
Chairman, House Appropriations Subcommittee on Homeland Security

The Honorable Lucille Roybal-Allard  
Ranking Member, House Appropriations Subcommittee on Homeland Security

I would be pleased to respond to any questions you may have. Please do not hesitate to contact my office at (202) 344-1620, or the Department’s Chief Financial Officer, Chip Fulghum, at (202) 447-5751.

Sincerely,

A handwritten signature in black ink, appearing to read "K. McAleenan". The signature is fluid and cursive, with a long horizontal stroke at the end.

Kevin K. McAleenan  
Deputy Commissioner  
U.S. Customs and Border Protection

# Executive Summary

CBP submits its FY 2015 Financial Plan pursuant to the language set forth in the Senate Report 113-198. The requirements of the Senate Report 113-198 were incorporated by reference into the explanatory statement associated with the *FY 2015 Appropriations Act*. This annual report provides a breakout of funding by office for each program, project, and activity in the “Salaries and Expenses” appropriation.



# CBP FY 2015 Financial Plan

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# I. Legislative Language

This document was compiled pursuant to the legislative language set forth below in the Senate Report 113-198. The requirements of the Senate Report 113-198 were incorporated by reference into the explanatory statement associated with the *Fiscal Year (FY) 2015 Department of Homeland Security (DHS) Appropriations Act (P.L. 114-4)*.

Senate Report 113-198 states:

To help facilitate congressional oversight, CBP is directed to submit to the Committee within 60 days after the date of enactment of this act, a financial plan reflecting a detailed breakout of funding by office for each PPA in the “Salaries and Expenses” appropriation. This financial plan shall include a comparison by office to the prior year plan amount and actual expenditures for fiscal year 2014 and planned expenditures for fiscal year 2015.

## II. Background

As the frontline border agency in DHS, U.S. Customs and Border Protection (CBP) has the dual mission of preventing the illegal entry of people and goods into the United States, while also facilitating the flow of legitimate trade and travel into and out of the United States. CBP operates 328 ports of entry (POE) as well as 16 Preclearance offices in Canada, the Caribbean, Ireland, and the United Arab Emirates (Abu Dhabi). In addition, CBP operates international cargo screening programs at more than 60 overseas ports by partnering with host nations to secure maritime cargo.

CBP achieves its mission using a combination of advanced information, risk assessment, appropriate scanning, and other technologies; by partnering with Federal, state, local, tribal, and foreign law enforcement agencies; and by collaborating with private industry and international partners. CBP's approximately 60,000 highly trained employees ensure that the agency performs its mission with vigilance, integrity, and professionalism.

The diverse offices within CBP work together to fulfill several vital missions highlighted in the 2014 Quadrennial Homeland Security Review by:

- protecting our country from the threats of global terrorism, illegal immigration, and trafficking of narcotics and other contraband;
- protecting our economy by enforcing trade laws, intellectual property rights, and collection of revenue on goods imported into the United States;
- protecting our food supply and agricultural industry from pests and disease; and
- increasing the security of our airspace.

The requested financial plan was developed in support of DHS and CBP missions and goals. In addition, this plan is submitted in accordance with the language set forth in the Senate Report

113-198. The requirements of Senate Report 113-198 were incorporated by reference into the explanatory statement associated with the *FY 2015 DHS Appropriations Act* (P. L. 114-4).

### III. Financial Plan

This plan highlights CBP requirements to support frontline staffing increases along with critical investments capable of improving the way CBP does business. By funding CBP frontline staff, the *FY 2015 DHS Appropriations Act* facilitates CBP operations from preventing the illegal movement of people and contraband across our Nation’s borders and associated airspace, to performing the full range of inspection, intelligence analysis, examination, and law enforcement activities at air, land, and sea POEs. This funding level also makes key investments in technology at the POEs, such as recapitalizing the aging Non-Intrusive Inspection (NII) systems. Lastly, the requirements funded in this plan increase intelligence capabilities across CBP to better inform targeting and counter-network operations in order to more effectively deploy existing resources.

The *FY 2014 DHS Appropriations Act* (P.L. 113-76) provided a two-year funding level of \$256 million for the hiring, training, and equipping of 2,000 new CBP Officers at ports of entry; CBP separated the funds into \$90 million in FY 2014 and \$166 million through FY 2015. The aforementioned one-year funding is embedded within the existing program, project, and activity (PPA)-office breakout, whereas the funding available for two years is broken out as a separate line item in the table below.

<b>Salaries and Expenses by PPA by Office</b>			
Dollar Amounts in Thousands			
<b>PPA</b>	<b>FY 2014 Expenditure Plan<sup>1</sup></b>	<b>FY 2014 Obligations</b>	<b>FY 2015 Expenditure Plan</b>
<b>Headquarters, Management and Administration</b>			
Commissioner	27,724	27,713	27,151
Chief Counsel	44,168	43,909	45,483
Congressional Affairs	2,466	2,458	2,504
Internal Affairs	154,626	154,526	139,493
Public Affairs	12,345	12,327	13,009
Training and Development	73,826	73,804	71,585
Technology, Innovation, Acquisition	25,185	25,175	25,277
Intelligence	62,230	62,214	62,235
Administration			
Office of Administration	218,247	218,157	230,298
Human Resources Management (includes workers compensation)	190,979	190,848	152,572
Rent	400,802	400,802	598,593

<sup>1</sup> This column reflects revised enacted funding levels following FY 2014 reprogramming request and transfer actions, and reflects the FY 2014 Revised Enacted column of the FY 2016 President’s Budget submission.

<b>Salaries and Expenses by PPA by Office</b>			
Dollar Amounts in Thousands			
<b>PPA</b>	<b>FY 2014 Expenditure Plan<sup>1</sup></b>	<b>FY 2014 Obligations</b>	<b>FY 2015 Expenditure Plan</b>
<b>Border Security Inspections and Trade Facilitation</b>			
Inspections, Trade, and Travel Facilitation at POEs			
Field Operations	2,521,506	2,526,476	2,676,422
Trade	132,971	127,976	134,102
International Affairs	556	556	0
Harbor Maintenance Fee Collection	3,274	3,274	3,274
International Cargo Screening			
International Affairs	7,189	7,684	6,813
Field Operations	59,793	59,281	62,089
Trade	937	937	0
Other International Programs			
International Affairs	24,858	24,834	25,548
Customs-Trade Partnership Against Terrorism			
Field Operations	40,912	40,911	41,619
Trusted Traveler Programs	5,811	5,811	5,811
Inspection and Detection Technology Investments			
Field Operations/Information and Technology	117,004	116,713	122,811
Automated Targeting Systems			
Field Operations	-	-	-
Intelligence/Information and Technology	-	-	-
National Targeting Center			
Field Operations	65,106	65,085	74,623
Training			
Training & Development	52,373	52,351	33,880
<b>Border Security and Control Between the POEs</b>			
Border Security and Control			
Commissioner Office – Joint Field Command	4,672	4,669	5,057
Border Patrol	3,584,406	3,584,171	3,797,821
Technology, Innovation, Acquisition	45,777	45,818	45,196
Training			
Training and Development	47,114	47,107	56,391
<b>Subtotal, Salaries &amp; Expenses</b>	<b>7,926,857</b>	<b>7,925,587</b>	<b>8,459,657</b>

<b>Salaries and Expenses by PPA by Office</b>			
Dollar Amounts in Thousands			
<b>PPA</b>	<b>FY 2014 Expenditure Plan<sup>1</sup></b>	<b>FY 2014 Obligations</b>	<b>FY 2015 Expenditure Plan</b>
<b>Two-Year CBP Officer Hiring Initiative</b>			
Inspections, Trade, and Travel Facilitation at POEs <sup>2</sup>	3,714	3,714	162,001
<b>Total</b>	<b>\$ 7,930,571</b>	<b>\$ 7,929,301</b>	<b>\$ 8,621,658</b>

Within Salaries and Expenses, the funding level in the *FY 2015 DHS Appropriations Act* also provides sufficient resources to support the following actions:

- Makes \$30 million of the funds provided within this appropriation available for two years (until September 30, 2016) in order to hire, train, and equip CBP Officers at POEs.
  - The report also directs CBP to develop a plan to accelerate the hiring process for CBP Officers within 90 days of passage of the bill.
- Provides that \$10 million be available to sustain traveler process enhancements that were initiated and funded in FY 2014.
- Directs \$9.9 million to expand the Arrival and Departure Information System, which is \$1.9 million less than requested.
- Provides an increase of \$1 million above the requested level for the Customs-Trade Partnership Against Terrorism program in order to proceed with the Web portal project.
- Provides an increase of \$4.5 million above the requested level in support of Counter-Network capabilities at the National Targeting Center.
- Funds the CBP Mobile Program at the requested \$8.3 million level.
- Funds the NII Detection Technology increase at the requested \$11.7 million level and requires an update on the effectiveness of NII technology, including seizures from NII exams.
- Provides \$1 million above the request level to the Office of Intelligence for additional analysts to support the Air and Marine Operations Center activities.
- Funds the National Border Geo-Intelligence Strategy at the requested \$11 million level and requires a brief on how the data collected through the National Border Geo-Intelligence Strategy will assist CBP.
- Provides \$499 thousand above the requested level for additional Horse Patrol Units.
- Does not include the requested \$53.33 million for the 2015 pay raise.
- Realigns \$189 million from the Construction and Facilities Management Appropriation to the Salaries and Expenses appropriation, Rent PPA in

<sup>2</sup> Though the majority of these CBP Officer Hiring Initiative funds are planned for obligation in FY 2015, a small amount of funding was obligated in FY 2014 to ensure continuity in the CBP Officer hiring process.

recognition that the U.S. General Services Administration will not delegate authority to CBP to manage certain land POEs.

## IV. Conclusion

CBP's FY 2015 Financial Plan reflects a detailed breakout of CBP funding for the Salaries and Expenses Account by PPAs, pursuant to the relevant Senate Report language. In order to address critical priorities, CBP anticipates submitting reprogrammings, as well as possible transfers between accounts, in the near future.