



U.S. Department of Homeland Security Annual Performance Report

Fiscal Years 2012 – 2014

Appendix B: Program Evaluations



Homeland
Security

Our Vision

A homeland that is safe, secure, and resilient against terrorism and other hazards.

Our Mission

We will lead efforts to achieve a safe, secure, and resilient homeland. We will counter terrorism and enhance our security; secure and manage our borders; enforce and administer our immigration laws; protect cyber networks and critical infrastructure; and ensure resilience from disasters. We will accomplish these missions while providing essential support to national and economic security and maturing and strengthening the Department of Homeland Security and the homeland security enterprise.

About this Report

The U.S. *Department of Homeland Security Annual Performance Report for Fiscal Years (FY) 2012 – 2014* presents the Department's performance measures and applicable results aligned to our missions, provides the planned performance targets for FY 2013 and FY 2014, and includes information on the Department's Priority Goals. The report is consolidated to incorporate our annual performance plan and annual performance report.

The *FY 2012 – 2014 Annual Performance Report* is one in a series of three reports which comprise the Department's Performance and Accountability Reports:

- ***DHS Annual Financial Report:*** Delivery date – November 14, 2012
- ***DHS Annual Performance Report:*** Delivery date – April 10, 2013
- ***DHS Summary of Performance and Financial Information:*** Delivery date – February 15, 2013

When published, all three reports will be located on our public website at:
http://www.dhs.gov/xabout/budget/editorial_0430.shtm.

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Introduction

Independent program evaluations provide vital input to the Department of Homeland Security (DHS) as they offer insight to the performance of our programs and identify areas for improvement. These evaluations are used across the Department to look critically at how we conduct operations and to confront some of the key challenges facing the Department.

This Appendix provides, in tabular format, a list of the more significant DHS program evaluations conducted in FY 2012 by the U.S. Government Accountability Office (GAO) and the DHS Office of the Inspector General (OIG). For each report, the report name, report number, date issued, and a link to the publicly released report are provided.

Detailed information on the findings and recommendations of all GAO reports is available at: http://www.gao.gov/browse/a-z/Department_of_Homeland_Security_Executive.

Detailed information on the findings and recommendations of all FY 2012 DHS OIG reports is available at: http://www.oig.dhs.gov/index.php?option=com_content&view=article&id=157&Itemid=155.

Program Evaluations

Mission 1: Preventing Terrorism and Enhancing Security		
GAO Reports and Testimony		
Report: Biosurveillance: DHS Should Reevaluate Mission Need and Alternatives before Proceeding with BioWatch Generation-3 Acquisition	Number:	GAO-12-810
	Date:	Sep 10, 2012
Testimony: Aviation Security: 9/11 Anniversary Observations on TSA's Progress and Challenges in Strengthening Aviation Security	Number:	GAO-12-1024T
	Date:	Sep 11, 2012
Testimony: Critical Infrastructure Protection: DHS Is Taking Action to Better Manage Its Chemical Security Program, but It Is Too Early to Assess Results	Number:	GAO-12-567T
	Date:	Sep 11, 2012
Testimony: Combating Nuclear Smuggling: DHS has Developed Plans for Its Global Nuclear Detection Architecture, but Challenges Remain in Deploying Equipment	Number:	GAO-12-941T
	Date:	Jul 26, 2012
Testimony: Transportation Security Administration: Progress and Challenges Faced in Strengthening Three Key Security Programs	Number:	GAO-12-541T
	Date:	Mar 26, 2012
Report: Data Mining: DHS Needs to Improve Executive Oversight of Systems Supporting Counterterrorism	Number:	GAO-11-742
	Date:	Sep 7, 2011
Report: Aviation Security: Actions Needed to Address Challenges and Potential Vulnerabilities Related to Securing Inbound Air Cargo	Number:	GAO-12-632
	Date:	May 10, 2012
Report: Critical Infrastructure: DHS Needs to Refocus Its Efforts to Lead the Government Facilities Sector	Number:	GAO-12-852
	Date:	Aug 13, 2012
Report: Critical Infrastructure Protection: DHS Could Better Manage Security Surveys and Vulnerability Assessments	Number:	GAO-12-378
	Date:	May 31, 2012
DHS OIG Reports		
Report: Federal Protective Service's Exercise of a Contract Option for the Risk Assessment and Management Program (Revised)	Number:	OIG-12-67
	Date:	08/31/12
Report: Efficiency and Effectiveness of TSA's Visible Intermodal Prevention and Response Program Within Rail and Mass Transit Systems (Redacted)	Number:	OIG-12-103
	Date:	08/15/12
Report: Implementation and Coordination of TSA's Secure Flight Program (Redacted)	Number:	OIG-12-94
	Date:	07/04/12
Report: Transportation Security Administration's Efforts To Identify and Track Security Breaches at Our Nation's Airports (Redacted)	Number:	OIG-12-80
	Date:	05/03/12
Report: Transportation Security Administration's Use of Back Scatter Units	Number:	OIG-12-38
	Date:	02/16/12

Mission 2: Securing and Managing Our Borders

GAO Reports and Testimony

Testimony: Maritime Security: Progress and Challenges 10 Years after the Maritime Transportation Security Act	Number:	GAO-12-1009T
	Date:	Sep 11, 2012
Testimony: Border Patrol Strategy: Progress and Challenges in Implementation and Assessment Efforts	Number:	GAO-12-688T
	Date:	May 8, 2012
Report: Border Security: Opportunities Exist to Ensure More Effective Use of DHS's Air and Marine Assets	Number:	GAO-12-518
	Date:	Mar 30, 2012
Report: Export Controls: Proposed Reforms Create Opportunities to Address Enforcement Challenges	Number:	GAO-12-246
	Date:	Mar 27, 2012
Testimony: Supply Chain Security: Container Security Programs Have Matured, but Uncertainty Persists over the Future of 100 Percent Scanning	Number:	GAO-12-422T
	Date:	Feb 7, 2012
Report: Port Security Grant Program: Risk Model, Grant Management, and Effectiveness Measures Could Be Strengthened	Number:	GAO-12-47
	Date:	Nov 17, 2011

DHS OIG Reports

Report: U.S. Customs and Border Protection's Penalty Process – Statute of Limitations	Number:	OIG-12-131
	Date:	09/27/12
Report: CBP's Strategy to Address Illicit Cross Border Tunnels	Number:	OIG-12-132
	Date:	09/26/12
Report: CBP's Use of Unmanned Aircraft Systems in the Nation's Border Security	Number:	OIG-12-85
	Date:	05/30/12

Mission 3: Enforcing and Administering Our Immigration Laws

GAO Reports and Testimony

Report: Secure Communities: Criminal Alien Removals Increased, but Technology Planning Improvements Needed	Number:	GAO-12-708
	Date:	Jul 13, 2012
Testimony: Visa Waiver Program: Additional Actions Needed to Address Risks and Strengthen Overstay Enforcement	Number:	GAO-12-287T
	Date:	Dec 7, 2011
Report: Immigration Benefits: Consistent Adherence to DHS's Acquisition Policy Could Help Improve Transformation Program Outcomes	Number:	GAO-12-66
	Date:	Nov 22, 2011

DHS OIG Reports

Report: U.S. Citizenship and Immigration Services Systematic Alien Verification for Entitlements Program Issues	Number:	OIG-12-125
	Date:	09/19/12
Report: Operations of United States Immigration and Customs Enforcement's Secure Communities (Revised)	Number:	OIG-12-64
	Date:	04/05/12
Report: The Effects of USCIS Adjudication Procedures and Policies on Fraud Detection by Immigration Services Officers	Number:	OIG-12-24
	Date:	01/05/12
Report: U.S. Citizenship and Immigration Services' Progress in Transformation	Number:	OIG-12-12
	Date:	11/23/11

Mission 4: Safeguarding and Securing Cyberspace

GAO Reports and Testimony

Report: Information Security: Better Implementation of Controls for Mobile Devices Should Be Encouraged	Number:	GAO-12-757
	Date:	Sep 18, 2012
Report: Information Technology Reform: Progress Made but Future Cloud Computing Efforts Should be Better Planned	Number:	GAO-12-756
	Date:	Jul 11, 2012
Testimony: IT Supply Chain: Additional Efforts Needed by National Security-Related Agencies to Address Risks	Number:	GAO-12-579T
	Date:	Mar 27, 2012
Report: Critical Infrastructure Protection: Cybersecurity Guidance Is Available, but More Can Be Done to Promote Its Use	Number:	GAO-12-92
	Date:	Dec 9, 2011
Report: Cybersecurity Human Capital: Initiatives Need Better Planning and Coordination	Number:	GAO-12-8
	Date:	Nov 29, 2011

DHS OIG Reports

Report: DHS Needs To Address Portable Device Security Risks	Number:	OIG-12-88
	Date:	06/14/12
Report: DHS Can Strengthen Its International Cybersecurity Programs (Redacted)	Number:	OIG-12-112
	Date:	08/22/12

Mission 5: Ensuring Resilience to Disasters

GAO Reports and Testimony

Report: Influenza Pandemic: Agencies Report Progress in Plans to Protect Federal Workers but Oversight Could Be Improved	Number:	GAO-12-748
	Date:	Jul 25, 2012
Testimony: Managing Preparedness Grants and Assessing National Capabilities: Continuing Challenges Impede FEMA's Progress	Number:	GAO-12-526T
	Date:	Mar 20, 2012
Report: Emergency Communications: Various Challenges Likely to Slow Implementation of a Public Safety Broadband Network	Number:	GAO-12-343
	Date:	Feb 22, 2012

DHS OIG Reports

Report: FEMA's Management of Corrective Actions and Lessons Learned From National Level Exercises	Number:	OIG-12-118
	Date:	09/11/12
Report: Survey of Hazard Mitigation Planning	Number:	OIG-12-109
	Date:	08/09/12
Report: Opportunities to Improve FEMA's Public Assistance Preliminary Damage Assessment Process	Number:	OIG-12-79
	Date:	05/02/12
Report: Annual Report to Congress on States' and Urban Areas' Management of Homeland Security Grant Programs Fiscal Year 2011	Number:	OIG-12-22
	Date:	12/29/11
Report: Future Directions of FEMA's Temporary Housing Assistance Program	Number:	OIG-12--20
	Date:	12/28/11

Providing Essential Support to National and Economic Security

GAO Reports

Report: Observations on the Coast Guard's and the Department of Homeland Security's Fleet Studies	Number:	GAO-12-751R
	Date:	May 31, 2012

Maturing and Strengthening DHS

GAO Reports and Testimony

Report: Information Sharing: DHS Has Demonstrated Leadership and Progress, but Additional Actions Could Help Sustain and Strengthen Efforts	Number:	GAO-12-809
	Date:	Sep 18, 2012
Testimony: Continued Progress Made Improving and Integrating Management Areas, but More Work Remains	Number:	GAO-12-1041T
	Date:	Sep 20, 2012
Report: Oversight and Coordination of Research and Development Should Be Strengthened	Number:	GAO-12-837
	Date:	Sep 12, 2012
Testimony: Actions Needed to Reduce Overlap and Potential Unnecessary Duplication, Achieve Cost Savings, and Strengthen Mission Functions	Number:	GAO-12-464T
	Date:	Mar 8, 2012

DHS OIG Reports

Report: DHS' Intelligence Community Members' Continuity of Operations and Intelligence Readiness	Number:	OIG-12-87
	Date:	06/20/12
Report: DHS Information Technology Management Has Improved, But Challenges Remain	Number:	OIG-12-82
	Date:	05/04/12
Report: DHS' Compliance with Improper Payments Elimination and Recovery Act of 2010 (IPERA)	Number:	OIG-12-48
	Date:	03/13/12
Report: Relationships Between Fusion Centers and Emergency Operations Centers	Number:	OIG-12-15
	Date:	12/06/11
Report: DHS' Efforts To Coordinate and Enhance Its Support and Information Sharing With Fusion Centers	Number:	OIG-12-10
	Date:	11/16/11



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