



# **Working Capital Fund Quarterly Execution Report**

**Fourth Quarter, Fiscal Year 2016**

**November 1, 2016**

**Fiscal Year 2016 Report to Congress**



**Homeland  
Security**

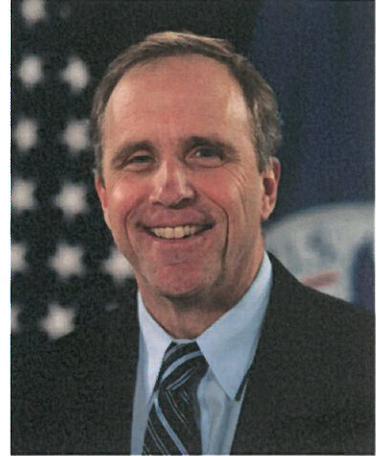
*Office of the Chief Financial Officer*

# Message from the Chief Financial Officer

November 1, 2016

I am pleased to provide the following “Working Capital Fund Quarterly Execution Report” for the fourth quarter of Fiscal Year (FY) 2016, which has been prepared by the Office of the Chief Financial Officer.

This document has been prepared in response to requirements in the *FY 2016 Department of Homeland Security Appropriations Act* (P.L. 114-113) and accompanying Senate Report 114-68. Included in the report are the details of planned execution to actual obligations and expenditures, for each Working Capital Fund Activity, through September 30, 2016.



Pursuant to congressional requirements, this report is being provided to the following Members of Congress:

The Honorable John R. Carter  
Chairman, House Appropriations Subcommittee on Homeland Security

The Honorable Lucille Roybal-Allard  
Ranking Member, House Appropriations Subcommittee on Homeland Security

The Honorable John Hoeven  
Chairman, Senate Appropriations Subcommittee on Homeland Security

The Honorable Jeanne Shaheen  
Ranking Member, Senate Appropriations Subcommittee on Homeland Security

Inquiries relating to this report may be directed to me at (202) 447-5751.

Sincerely,

A handwritten signature in black ink, appearing to read "Chip Fulghum". The signature is stylized and fluid, with a long horizontal stroke extending to the right.

Chip Fulghum  
Deputy Under Secretary for Management and Chief  
Financial Officer



# Working Capital Fund Quarterly Execution Report Fourth Quarter, Fiscal Year 2016

## Table of Contents

I.	Legislative Language .....	1
II.	WCF Fourth Quarter Execution Report .....	2

# I. Legislative Language

This document has been prepared in response to the *Fiscal Year (FY) 2016 Department of Homeland Security Appropriations Act* (P.L. 114-113) and accompanying Senate Report 114-68.

Specifically, P.L. 114-113 states:

*Provided further*, That the Chief Financial Officer of the Department of Homeland Security shall submit a quarterly execution report with activity level detail, not later than 30 days after the end of each quarter.

Senate Report 114-68 states:

Section 504. The bill includes a provision relating to the Department's Working Capital Fund [WCF] ... The WCF table included in the Department's congressional justification accompanying the President's fiscal year 2016 budget shall serve as the control level for quarterly execution reports submitted to the Committee not later than 30 days after the end of each quarter. These reports shall identify any activity added or removed from the fund.

## II. WCF Fourth Quarter Execution Report

	A	B	C	D	E	F	G	H	I
Formula	Planned			Actual Execution		Summary Data			
	FY 2016 Midyear Reassessment <sup>1</sup>	Planned Execution <sup>2</sup>	Total Cmnt	Actual Obligations <sup>3</sup>	Actual Expenses <sup>4</sup>	D + E	B - F	Explanations on Low Execution Rates (Column G)	CR FY 2017 Assessment Level <sup>5</sup>
GSA RENT	141,376,887	141,376,887	-	873,949	140,043,199	140,917,149	459,738		141,376,887
Finance & Acctg Shared Services	24,000,000	24,000,000	-	6,650,989	17,349,011	24,000,000	-		24,000,000
Integrated Audit	14,185,091	14,185,091	-	12,247,234	1,937,857	14,185,091	-		14,185,091
Financial Management Support (OFO)	7,205,000	7,205,000	-	3,360,574	3,843,563	7,204,137	863		-
Bankcard Program	47,000	47,000	-	22,363	21,637	44,000	3,000		47,000
TIER	951,000	951,000	-	190,540	689,439	879,979	71,021		951,000
NFC Payroll Services & Reporting	37,670,899	37,670,899	-	652,652	37,018,247	37,670,899	-		37,670,899
HQ Human Capital Services	12,859,132	12,859,132	-	2,345,935	10,448,689	12,794,624	64,508		-
Human Capital Business Systems (HCBS)	7,226,769	7,226,769	-	2,168,799	5,057,969	7,226,768	1		7,226,769
Flexible Spending Plan	1,286,455	1,286,455	-	72,071	238,053	310,124	976,331	Low execution rate due to lower-than-expected employee participation and the administrative fee to administer these accounts has come down.	1,286,455
DHS EXEC. LEAD. (SES CDP)	2,175,029	2,175,029	-	959,554	462,739	1,422,293	752,736	Low execution rate is a result of salary lapse.	2,175,029
HQ Leadership Development	169,931	169,931	-	16,993	152,937	169,930	-		169,930
National Defense University	829,138	829,138	-	36,430	498,939	535,369	293,769	Low execution rate is a result of salary lapse.	829,138
Research Library & Information Services (RLIS)	10,594,678	10,594,678	-	2,246,684	8,055,748	10,302,432	292,246		10,594,678
DHS One Net	67,547,629	67,547,629	-	43,894,921	23,088,673	66,983,594	564,035		67,547,629
NCR Infrastructure Operations	115,740,961	115,740,961	-	57,623,566	57,853,173	115,476,739	264,222		115,740,961
CLAN Operations	23,586,136	23,586,136	-	9,490,177	12,217,211	21,707,388	1,878,748		23,586,136
Enterprise Licenses Agreements	181,432,681	181,432,681	-	157,537,189	23,334,999	180,872,188	560,493		-
Procurement Operations	44,196,795	44,196,795	-	6,297,911	35,491,903	41,789,813	2,406,982		-
HSPD-12	22,416,352	22,416,352	-	15,035,613	6,326,038	21,361,651	1,054,701		22,416,352
e-Training	8,447,297	8,447,297	-	1,457,192	5,085,142	6,542,334	1,904,963	Low execution rate is a result of salary lapse.	8,447,297
Mail Services	12,606,718	12,606,718	-	1,166,909	10,516,530	11,683,439	923,279		12,606,718
Parking Services	867,108	867,108	12,132	510,343	246,931	757,274	109,834	The Parking contracts were estimated to be \$762,000 but customer's request and needs changed and the actual amount funded on contract was \$612,576, for a difference of \$149,424. The difference is very close to the delta for the Parking activity of \$109,834.	867,108
Sedan Services	1,481,050	1,481,050	16,478	837,955	542,037	1,379,992	101,058		1,481,050
Shuttle Services	1,095,494	1,095,494	-	554,259	390,684	944,943	150,551	The shuttle's contract was estimated to be about \$900,000 but was awarded \$725,000.	1,095,494
Transit Subsidy	4,922,331	4,922,331	-	1,143,906	2,407,022	3,550,928	1,371,403	NPPD and FPS requested \$1,153,218 in transit services but used only \$585,993, the total percentage unused is 41% of the delta (Transit activity's Delta is \$1,371,403). S&T requested \$475,529 in transit service but used \$308,847 the percentage unused is 12% of the transit delta. The total percentage between these offices along is 63% of the delta.	4,922,331
Regulatory Services	442,862	442,862	-	442,860	-	442,860	2		442,862
<b>Fee-For-Service Total</b>	<b>745,360,423</b>	<b>745,360,422</b>	<b>28,610</b>	<b>327,837,570</b>	<b>403,318,368</b>	<b>731,155,938</b>	<b>14,204,484</b>		<b>499,666,814</b>

	A	B	C	D	E	F	G	H	I
	Planned			Actual Execution		Summary Data			
Formula						D + E	B - F		
FY 2016 WCF Service Portfolio	FY 2016 Midyear Reassessment <sup>1</sup>	Planned Execution <sup>2</sup>	Total Cmmt	Actual Obligations <sup>3</sup>	Actual Expenses <sup>4</sup>	Total Obligated/Expended	Delta	Explanations on Low Execution Rates (Column G)	CR FY 2017 Assessment Level <sup>5</sup>
Interagency Council Funding	873,052	873,052	-	-	873,052	873,052	-		873,052
USA Jobs	1,127,719	1,127,719	-	-	1,127,719	1,127,719	-		1,127,719
Enterprise HR Integration (EHRI)	3,115,342	3,115,342	-	-	3,115,342	3,115,342	-		3,115,342
e-Rulemaking	725,532	725,532	-	694,334	31,198	725,532	-		725,532
Human Resources Line of Business	260,870	260,870	-	-	260,870	260,870	-		260,870
e-govBenefits	226,453	226,453	-	-	162,217	162,217	64,236	Low execution due to increase in Component participation and the cost per customer decreased in FY16.	226,453
Financial Management LOB	187,342	187,342	-	-	187,342	187,342	-		187,342
Geospatial LOB	225,000	225,000	-	225,000	-	225,000	-		225,000
Budget Formulation & Execution LOB	110,000	110,000	-	110,000	-	110,000	-		110,000
e-gov.Integrated Acquisition Environment	1,727,136	1,727,136	-	-	1,727,136	1,727,136	-		-
Disaster Management	11,170,000	11,170,000	-	8,415,146	2,754,854	11,170,000	-		-
IAE Loans & Grants	220,784	220,784	-	-	220,784	220,784	-		-
e-gov.Integrated Awards Environment <sup>6</sup>	-	-	-	-	-	-	-		1,947,920
e-Integrated Financial Assistance Environment	472,485	472,485	-	330,995	92,421	423,416	49,069		472,485
Performance Management LOB	53,000	53,000	-	-	53,000	53,000	-		53,000
<b>Government-Wide PPA Total</b>	<b>20,494,715</b>	<b>\$ 20,494,715</b>	<b>\$ -</b>	<b>9,775,475</b>	<b>10,605,935</b>	<b>20,381,410</b>	<b>113,305</b>		<b>9,324,715</b>

	A	B	C	D	E	F	G	H	I
	Planned			Actual Execution		Summary Data			
Formula						D + E	B - F		
FY 2016 WCF Service Portfolio	FY 2016 Midyear Reassessment <sup>1</sup>	Planned Execution <sup>2</sup>	Total Cmmt	Actual Obligations <sup>3</sup>	Actual Expenses <sup>4</sup>	Total Obligated/Expended	Delta	Explanations on Low Execution Rates (Column G)	CR FY 2017 Assessment Level <sup>5</sup>
Capital Planning and Investment Control (CPI)	6,946,416	6,946,416	-	4,628,988	1,849,650	6,478,638	467,778	In FY 2016 there was a billing error in the CPIC Oracle licenses. A reclassification of expense to this correct activity will be done in November 2016, and this balance will be expensed.	6,946,416
Strategic Sourcing	1,910,169	1,910,169	-	279,461	974,568	1,254,029	656,140	Low execution rate is the result of salary lapse due to vacancies for three FTE.	1,910,169
CPO Shared Reporting	5,728,015	5,728,016	-	5,204,400	510,199	5,714,600	11,879		5,728,015
<b>DHS Crosscutting PPA Total</b>	<b>14,584,600</b>	<b>\$ 14,584,600.76</b>	<b>\$ -</b>	<b>10,112,849</b>	<b>3,334,417</b>	<b>13,447,266</b>	<b>1,135,798</b>		<b>14,584,600</b>
Working Capital Fund Operations	1,335,671	1,335,671	-	410,717	892,563	1,303,280	32,391		-
<b>Working Capital Fund Operations</b>	<b>1,335,671</b>	<b>\$ 1,335,671.12</b>	<b>\$ -</b>	<b>410,717</b>	<b>892,563</b>	<b>1,303,280</b>	<b>32,391</b>		<b>-</b>
<b>Total</b>	<b>781,775,409</b>	<b>\$ 781,775,409</b>	<b>\$ 28,609.84</b>	<b>348,136,612</b>	<b>418,151,283</b>	<b>766,287,894</b>	<b>15,485,978</b>		<b>523,576,129</b>

**Footnotes:**

<sup>1</sup> FY 2016 WCF Midyear Assessment Plan.
<sup>2</sup> Cumulative planned obligations and/or expenditures as of the end of the fiscal year according to program office's spend plan.
<sup>3</sup> Total cumulative FY 2016 funds obligated in the financial system through the end of the fiscal year.
<sup>4</sup> Total cumulative FY 2016 actual expenditures through the end of the fiscal year.
<sup>5</sup> Planned FY 2017 Continuing Resolution Assessment Level. These amounts are based on the FY 2016 Mid-Year level. Please note, highlighted Activities will not be included in FY 2017, therefore, those offices' carryover should not be factored into the overall balance.
<sup>6</sup> To increase efficiency, IAE Loans & Grants will merge with E-gov. Integrated Acquisition Environment in FY 2017.