



Department of Homeland Security

Privacy Office

2016 Freedom of Information Act Report
to the Attorney General of the United States

February 2017



Homeland
Security

Message from the Acting Chief Freedom of Information Act Officer



I am pleased to present the Department of Homeland Security's (DHS) 2016 Freedom of Information Act Report to the Attorney General of the United States.

The Freedom of Information Act (FOIA) requires that “each agency . . . submit to the Attorney General of the United States a report which shall cover the preceding fiscal year and which shall include” numerous details regarding the agency’s administration of FOIA.¹ In meeting this requirement, this report plays an important role in promoting transparency by our office. The report highlights the large number of FOIA requests and appeals the Department received this fiscal year, as well as the increase in the backlog.

DHS consistently receives the largest number of FOIA requests of any federal department or agency, receiving almost 40 percent of all requests within the Federal Government. In fiscal year 2016, DHS received 325,780 requests, a 16 percent increase from the previous fiscal year, and processed 310,549 requests. This increase in received requests, which reflects a continued interest in current events, the DHS missions, and the activities of DHS Components, represents the largest amount of requests received by an agency in one fiscal year.

The majority of FOIA requests went to U.S. Citizenship and Immigration Services (USCIS) and originated from individuals seeking immigration related records. U.S. Customs and Border Protection (CBP), U.S. Immigration and Customs Enforcement (ICE), and National Protection and Programs Directorate (NPPD) also received a large share of requests. These Components received 97 percent of all DHS FOIA requests in fiscal year 2016.

The increased volume for immigration records has directly affected the Department’s backlog. The Department’s backlog increased from 35,374 in fiscal year 2015 to more than 46,788 in fiscal year 2016. More than 75 percent of the Department’s backlog resides with the USCIS FOIA Service Center. USCIS has taken the following steps to reduce its backlog: (1) Expanded the use of overtime for its staff to seven days a week and a maximum of 30 hours per week; (2) Actively recruited for and filled 30 additional FOIA positions; (3) Established a full-time team of five specialists dedicated to reviewing and taking final action on all processed FOIA requests, which resulted in an increase in the number of cases completed on a daily basis; and (4) Executed a contract to process backlog requests and began training the contractor staff in October 2016.

The growth in the backlog by itself does not explain the state of the FOIA program. Although the Department’s backlog increased, four Components made significant strides in processing record numbers of requests. CBP decreased its backlog by 87 percent, despite receiving 28 percent more requests in fiscal year 2016. NPPD decreased its backlog by 19 percent, despite receiving 42 percent more requests in fiscal year 2016. The Transportation Security Administration (TSA) decreased its backlog by 21 percent, responding to more than 67 percent

¹ 5 U.S.C. § 552(e)(1) (2006 & Supp. IV 2010).

more requests in FY 2016. ICE also maintained a backlog of fewer than 500 requests, despite receiving 63,385 requests (a 42 percent increase) in fiscal year 2016.

The total cost of processing FOIA requests for DHS, including litigation costs, increased to more than \$57 million in fiscal year 2016 compared to about \$50 million in fiscal year 2015. The average cost of processing a FOIA request in the Department during fiscal year 2016 was approximately \$184, an average cost comparable to fiscal year 2015 but still far below the fiscal year 2014 figure of \$220. The total full time and equivalent FOIA personnel within DHS decreased from 598 in fiscal year 2015 to 529 in fiscal year 2016. In fiscal year 2016, DHS averaged 587 FOIA requests processed per one equivalent FOIA staff.

The DHS Privacy Office continued to implement a multi-pronged approach to proactively address the increased volume of requests and the increased FOIA backlog. This approach includes multitrack processing, providing targeted assistance to Components (e.g., Federal Emergency Management Agency (FEMA) and NPPD), and expanding training opportunities for staff.

DHS has continued to implement measures to enhance its FOIA program by expanding training, offering opportunities to educate and promote DHS FOIA professionals and deploying cross-component teams to assist FOIA processing. Additionally, the DHS Privacy Office initiated several actions to improve the Department's FOIA operation and provide oversight of FOIA processing within the components.

To reduce the Department's backlog during FY 2017, my office will continue to leverage lessons learned from our successful efforts with Components.

Sincerely,

Jonathan R. Cantor
Acting Chief Freedom of Information Act Officer
U.S. Department of Homeland Security

TABLE OF CONTENTS

I.	Basic Information Regarding Report.....	vi
II.	Making a FOIA Request	vi
III.	Acronyms, Definitions, and Exemptions.....	vi
IV.	Exemption 3 Statutes	1
V.	FOIA Requests	
	A. Received, Processed, and Pending FOIA Requests	5
	B. (1) Disposition of FOIA Requests - All Processed Requests	6
	(2) Disposition of FOIA Requests - “Other” Reasons for “Full Denials Based on Reasons Other than Exemptions” from Section V, B(1) Chart....	6
	(3) Disposition of FOIA Requests - Number of Times Exemptions Applied....	8
VI.	Administrative Appeals of Initial Determinations of FOIA Requests	8
	A. Received, Processed, and Pending Administrative Appeals.....	8
	B. Disposition of Administrative Appeals - All Processed Appeals	8
	C. (1) Reasons for Denial on Appeal - Number of Times Exemptions Applied....	9
	(2) Reasons for Denial on Appeal - Reasons Other than Exemptions	9
	(3) Reasons for Denial on Appeal - “Other” Reasons from Section VI, C(2) Chart.....	10
	(4) Response Times for Administrative Appeals.....	10
	(5) Ten Oldest Pending Administrative Appeals	10
VII.	FOIA Requests: Response Times for Processed and Pending Requests.....	12
	A. Processed Requests - Response Time for All Processed Perfected Requests..	12
	B. Processed Requests - Response Time for Perfected Requests in Which Information was Granted	12
	C. Processed Requests - Response Time in Day Increments	13
	(1) Simple Requests Response Time in Day Increments.....	13
	(2) Complex Requests Response Time in Day Increments	13
	(3) Requests Granted Expedited Processing Response Time in Day Increments.....	13
	D. Pending Requests - All Pending Perfected Requests	14
	E. Pending Requests - Ten Oldest Pending Perfected Requests	14
VIII.	Requests for Expedited Processing and Requests for Fee Waivers	15
	A. Requests for Expedited Processing	15
	B. Requests for Fee Waiver	16
IX.	FOIA Personnel and Costs.....	16
X.	Fees Collected for Processing Requests	17
XI.	FOIA Regulations (Including Fee Schedule).....	17
XII.	Backlogs, Consultations, and Comparisons	17
	A. Backlogs of FOIA Requests and Administrative Appeals.....	17
	B. Consultations on FOIA Requests - Received, Processed, and Pending Consultations.....	18
	C. Consultations on FOIA Requests - Ten Oldest Consultations Received	

from Other Agencies and Pending.....	18
D. (1) Comparison of Numbers of Requests from Previous and Current Annual Report - Requests Received, Processed, and Backlogged	19
(2) Comparison of Backlogged Requests from Previous and Current Annual Report.....	20
E. (1) Comparison of Numbers of Administrative Appeals from Previous and Current Annual Report - Appeals Received, Processed, and Backlogged	21
(2) Comparison of Backlogged Administrative Appeals from Previous and Current Annual Report.....	21
XIII. New Annual Report Metrics – 2016 FOIA Improvement Act of 2016.....	22
A. Number of Time Subsection (c) Used	22
B. Number of (a)(2) Records Posted	22
APPENDICES.....	23
APPENDIX A: Composition of the Department of Homeland Security.....	23
APPENDIX B: Organization of the Department of Homeland Security Chart.....	26
APPENDIX C: Names, Addresses, and Contact Information For DHS FOIA Officers	27

I. Basic Information Regarding Report

1. Questions regarding this report may be directed to:

James V.M.L. Holzer
Deputy Chief FOIA Officer
Privacy Office
U.S. Department of Homeland Security
245 Murray Lane SW, Mail Stop 0655
Washington, DC 20528-0655
Phone: 202-343-1743; Fax: 202-343-4011

2. This report can be downloaded from the DHS FOIA website at <http://www.dhs.gov/foia-annual-reports>.

3. Requests for this report in paper form may also be directed to the Deputy Chief FOIA Officer, as listed above.

II. Making a FOIA Request²

1. Names, addresses, and contact numbers for DHS FOIA Officers can be found on our website at <http://www.dhs.gov/foia-contact-information>.

2. Brief description of why requests may not be granted:

The records maintained by the Department often contain private information on individuals or involve law enforcement matters. Because law enforcement records are of specific interest to subjects of investigation, victims of crime, and the public at large, these records are often requested under the Freedom of Information Act. For example, the Department commonly invokes exemptions 6 and 7(C) to prevent unwarranted injury to the privacy interests of individuals, and exemption 7(E) to protect against the disclosure of law enforcement techniques, procedures, and guidelines.

III. Acronyms, Definitions, and Exemptions

1. Agency-specific acronyms or other terms.
 - a. CBP U.S. Customs and Border Protection
 - b. CFO Chief Financial Officer
 - c. CHCO Office of the Chief Human Capital Officer
 - d. CISOMB Office of the Citizenship and Immigration Services Ombudsman
 - e. CRCL Office for Civil Rights & Civil Liberties
 - f. DHS Department of Homeland Security
 - g. DNDO Domestic Nuclear Detection Office
 - h. ESEC Office of the Executive Secretary
 - i. FEMA Federal Emergency Management Agency

² The Privacy Office (PRIV) processes and reports on FOIA requests for PRIV, the Office of the Secretary (including the Military Advisor's Office and the Office of Intergovernmental Affairs), and the following components: CISOMB, DNDO, ESEC, MGMT, OHA, OGC, OLA, OPA, OPS, and PLCY.

j.	FLETC	Federal Law Enforcement Training Centers
k.	I&A	Office of Intelligence and Analysis
l.	IGA	Office of Intergovernmental Affairs
m.	ICE	U.S. Immigration and Customs Enforcement
n.	MGMT	Management Directorate
o.	MIL	Military Advisors Office
p.	NCSC	National Cyber Security Center
q.	NPPD	National Protection and Programs Directorate
r.	OBIM	Office of Biometric Identity Management
s.	OGC	Office of the General Counsel
t.	OHA	Office of Health Affairs
u.	OIG	Office of Inspector General
v.	OLA	Office of Legislative Affairs
w.	OPA	Office of Public Affairs
x.	OPS	Office of Operations Coordination
y.	PLCY	Office of Policy
z.	PRIV	Privacy Office
aa.	S&T	Science and Technology Directorate
bb.	TSA	Transportation Security Administration
cc.	USCG	United States Coast Guard
dd.	USCIS	U.S. Citizenship and Immigration Services
ee.	USSS	United States Secret Service

2. Definition of terms, as used in this report.

- a. **Administrative Appeal** – A request to a federal agency asking that it review at a higher administrative level a FOIA determination made by the agency at the initial request level.
- b. **Average Number** – The number obtained by dividing the sum of a group of numbers by the quantity of numbers in the group. For example, of 3, 7, and 14, the average number is 8, determined by dividing 24 by 3.
- c. **Backlog** – The number of requests or administrative appeals that are pending at an agency at the end of the fiscal year that are beyond the statutory time period for a response.
- d. **Component** – For agencies that process requests on a decentralized basis, a “component” is an entity, also sometimes referred to as an Office, Division, Bureau, Center, or Directorate, within the agency that processes FOIA requests. The FOIA now requires that agencies include in the Annual FOIA Report data for both the agency overall and for each principal Component of the agency.
- e. **Consultation** – The procedure whereby the agency responding to a FOIA request first forwards a record to another agency for its review because that other agency has an interest in the document. Once the agency in receipt of the consultation

finishes its review of the record, it provides its views on the record to the agency that forwarded it. That agency, in turn, will then respond to the FOIA requester.

- f. **Exemption 3 Statute** – A federal statute other than FOIA that exempts information from disclosure and which the agency relies on to withhold information under subsection (b)(3) of the FOIA.³
- g. **FOIA Request** – A FOIA request is generally a request to a federal agency for access to records concerning another person (i.e., a “third-party” request), an organization, or a particular topic of interest. Moreover, because requesters covered by the Privacy Act who seek records concerning themselves (i.e., “first-party” requesters) are afforded the benefit of the access provisions of both FOIA and the Privacy Act, the term “FOIA request” also includes any such “first-party” requests when an agency determines that it must search beyond its Privacy Act “systems of records” or when the agency applies a Privacy Act exemption and therefore looks to FOIA to afford the greatest possible access. DHS applies this same interpretation of the term “FOIA request” even to “first-party” requests from persons not covered by the Privacy Act, e.g., non-U.S. citizens, because DHS by policy provides such persons the ability to access their own records in DHS’s Privacy Act “mixed systems of records” as if they are subject to the Privacy Act’s access provisions, and DHS processes the requests under FOIA as well. Thus, all requests that require DHS to utilize FOIA in responding to the requester are included in this Report.

Additionally, a FOIA request includes records referred to the agency for processing and direct response to the requester. It does not, however, include records for which the agency has received a consultation from another agency. (Consultations are reported separately in Section XII of this Report.)

- h. **Full Grant** – An agency decision to disclose all records in full in response to FOIA request.
- i. **Full Denial** – An agency decision not to release any records in response to a FOIA request because the records are exempt in their entirety under one or more of the FOIA exemptions, or because of a procedural reason, such as when no records could be located.
- j. **Median Number** – The middle, not average, number. For example, of 3, 7, and 14, the median number is 7.
- k. **Multi-Track Processing** – A system in which simple requests requiring relatively minimal review are placed in one processing track and more voluminous and complex requests are placed in one or more other tracks. Requests granted

³ Pursuant to 5 U.S.C. § 552(b)(3) as amended by sec. 564 of Public Law 111-83, a statute enacted after October 28, 2009, can qualify as an Exemption 3 law only if it cites specifically to 5 U.S.C. § 552(b)(3).

expedited processing are placed in yet another track. Requests in each track are processed on a first in/first out basis.

- i. **Expedited Processing** – An agency will process a FOIA request on an expedited basis when a requester satisfies the requirements for expedited processing as set forth in the statute and in agency regulations.
 - ii. **Simple Request** – A FOIA request that an agency using multi-track processing places in its fastest (non-expedited) track based on the volume and/or simplicity of records requested.
 - iii. **Complex Request** – A FOIA request that an agency using multi-track processing places in a slower track based on the high volume and/or complexity of the records requested.
 - l. **Partial Grant/Partial Denial** – An agency decision to disclose portions of the records and to withhold other portions that are exempt under the FOIA, or to otherwise deny a portion of the request for a procedural reason.
 - m. **Pending Request or Pending Administrative Appeal** – A request or administrative appeal for which an agency has not taken final action in all respects.
 - n. **Perfect Request** – A request for records that reasonably describes such records and is made in accordance with published rules stating the time, place, fees (if any) and procedures to be followed.
 - o. **Processed Request or Processed Administrative Appeal** – A request or administrative appeal for which an agency has taken final action in all respects.
 - p. **Range in Number of Days** – The lowest and highest number of days to process requests or administrative appeals.
 - q. **Time Limits** – The time period in the statute for an agency to respond to a FOIA request (ordinarily 20 working days from receipt of a perfected FOIA request).
3. Concise descriptions of FOIA exemptions:
- a. **Exemption 1:** classified national defense and foreign relations information.
 - b. **Exemption 2:** internal agency rules and practices (personnel).
 - c. **Exemption 3:** information that is prohibited from disclosure by another federal law.
 - d. **Exemption 4:** trade secrets and other confidential business information.
 - e. **Exemption 5:** inter-agency or intra-agency communications that are protected by legal privileges.

- f. **Exemption 6:** information involving matters of personal privacy.
- g. **Exemption 7:** records or information compiled for law enforcement purposes, to the extent that the production of those records A) could reasonably be expected to interfere with enforcement proceedings, B) would deprive a person of a right to a fair trial or an impartial adjudication, C) could reasonably be expected to constitute an unwarranted invasion of personal privacy, D) could reasonably be expected to disclose the identity of a confidential source, E) would disclose techniques and procedures for law enforcement investigations or prosecutions, or would disclose guidelines for law enforcement investigations or procedures, or F) could reasonably be expected to endanger the life or physical safety of any individual.
- h. **Exemption 8:** information relating to the supervision of financial institutions.
- i. **Exemption 9:** geological information on wells.

IV. Exemption 3 Statutes

Statute	Type of Information Withheld	Case Citation	Number of Times Relied upon per Component		Total Number of Times Relied upon by Agency
5 U.S.C. app. § 107(a) (Ethics in Government Act of 1978)	Financial disclosure information pertaining to certain government employees	Meyerhoff v. EPA, 958 F.2d 1498, 1500-02 (9th Cir. 1992); Seife v. NIH, 874 F. Supp. 2d 248, 254 (S.D.N.Y. 2012); Concepcion v. FBI, 606 F. Supp. 2d 14, 33 (D.D.C. 2009), renewed motion for summary judgment granted in part on other grounds, 699 F. Supp. 2d 106 (D.D.C. 2010); Glascoe v. DOJ, No. 04-0486, 2005 WL 1139269, at *1 (D.D.C. May 15, 2005).	USCG	1	1
5 U.S.C. §§ 7114(b)(4), 7132 (Civil Service Reform Act)	Defining agencies' and representative's duty to negotiate in good faith to include disclosure of certain labor relations training and guidance materials and limiting the issuance of certain subpoenas	<u>Dubin v. Dep't of Treasury</u> , 555 F. Supp. 408, 412 (N.D. Ga. 1981) (5 U.S.C. § 7114(b)(4)), <u>aff'd</u> , 697 F.2d 1093 (11th Cir. 1983) (unpublished table decision); <u>NTEU v. OPM</u> , No. 76-695, slip op. at 3-4 (D.D.C. July 9, 1979) (5 U.S.C. § 7114(b)(4) and 5 U.S.C. § 7132).	USCG	2	2
6 U.S.C. § 121	Intelligence sources and methods		I&A	52	52
6 U.S.C. § 133(a)(1)	Critical infrastructure information (including the identity of the submitting person or entity) that is voluntarily submitted to a covered Federal agency for use by that agency regarding the security of critical infrastructure and protected systems, analysis, warning, interdependency study, recovery, reconstitution, or other informational purpose, when accompanied by an express statement specified in paragraph 2		FEMA	1	1
10 U.S.C. § 2305(g)	Certain contractor proposals	<u>Roman v. NSA</u> , Nos. 09-2947, 09-4281, 09-3344, 09-2504, 09-5633, 2012 WL 569747, at *7 (E.D.N.Y. Feb. 22, 2012); <u>Margolin v. NASA</u> , No. 09-CV-00421-LRH-VPC, 2011 WL 1303221, at *6 (D. Nev. Mar. 31, 2011).	USCG	5	5

Statute	Type of Information Withheld	Case Citation	Number of Times Relied upon per Component		Total Number of Times Relied upon by Agency
18 U.S.C. § 1461	Mailing obscene or crime-inciting matter	<u>Miller v. California</u> , 413 U.S. 15, 24-25 (1973); <u>Smith v. United States</u> , 431 U.S. 291, 300-02, 309 (1977); and <u>Pope v. Illinois</u> , 481 U.S. 497, 500-01 (1987).	USSS	2	2
18 U.S.C. § 2251	Prohibition on sexual exploitation of children		USSS	1	1
18 U.S.C. § 3509(d) (Federal Victims' Protection and Rights Act)	Certain records containing identifying information pertaining to children involved in criminal proceedings	<u>Davis v. U.S. Postal Inspection Serv.</u> , No. 13-01972, 2014 WL 7014877, at *3 (D.D.C. Dec. 15, 2014); <u>Rodriguez v. U.S. Dep't of Army</u> , 31 F. Supp. 3d 218, 236-37 (D.D.C. 2014).	ICE	14	14
26 U.S.C. §§ 6103, 6105 (Internal Revenue Code)	Certain tax return information, to include Taxpayer Identification Numbers of third parties, and certain tax convention information	<u>Church of Scientology v. IRS</u> , 484 U.S. 9, 15 (1987) (26 U.S.C. § 6103); <u>Leonard v. U.S. Dep't of Treasury</u> , 590 F. App'x. 141, 143-44 (3d Cir. 2014) (per curiam); <u>Pac. Fisheries, Inc. v. IRS</u> , 395 F. App'x. 438, 440 (9th Cir. 2010) (unpublished disposition) (26 U.S.C. §§ 6103, 6105); <u>Tax Analysts v. IRS</u> , 217 F. Supp. 2d 23, 27-29 (D.D.C. 2002) (26 U.S.C. § 6105).	FEMA USCIS	2 1,929	1,931
31 U.S.C. § 5319 (Bank Secrecy Act)	Reports pertaining to monetary instruments transactions filed under subchapter II of chapter 53 of title 31 and records of those reports	<u>Ortiz v. DOJ</u> , No. 12-1674, 2014 WL 4449686, at *4 (D.D.C. Sept. 9, 2014); <u>Rosenberg v. ICE</u> , 13 F. Supp. 3d 92, 114-15 (D.D.C. 2014); <u>Hulstein v. DEA</u> , No. 10-4112, 2011 U.S. Dist. LEXIS 25788, at *7-8 (N.D. Iowa Mar. 11, 2011); <u>Council on Am.-Islamic Relations, Cal. v. FBI</u> , 749 F. Supp. 2d 1104, 1117 (S.D. Cal. 2010); <u>Berger v. IRS</u> , 487 F. Supp. 2d 482, 496-97 (D.N.J. 2007), <u>aff'd on other grounds</u> , 288 F. App'x 829 (3d Cir. 2008).	ICE USSS	7 3	10

Statute	Type of Information Withheld	Case Citation	Number of Times Relied upon per Component		Total Number of Times Relied upon by Agency
41 U.S.C. § 253b(m)(1) (currently at 41 U.S.C. § 4702)	Contractor proposals that are in the possession or control of an executive agency and that have not been set forth or incorporated by reference into contracts	<u>Sinkfield v. HUD</u> , No. 10-885, 2012 U.S. Dist. LEXIS 35233, at *13-15 (S.D. Ohio Mar. 15, 2012); <u>Margolin v. NASA</u> , No. 09-CV-00421, 2011 WL 1303221, at *6 (D. Nev. Mar. 31, 2011); <u>Hornbostel v. U.S. Dep't of the Interior</u> , 305 F. Supp. 2d 21, 30 (D.D.C. 2003), summary affirmance granted, No. 03-5257, 2004 WL 1900562 (D.C. Cir. Aug. 25, 2004).	CBP FEMA ICE TSA USCG USCIS USSS	2 5 1 2 1 8 1	20
41 U.S.C. § 2102 (amending 41 U.S.C. § 423(a)(1))* (Procurement Integrity Act)	Contractor bid or proposal information; source selection information	<u>Legal & Safety Employer Research, Inc. v. U.S. Dep't of the Army</u> , No. Civ. S001748, 2001 WL 34098652, at *3-4 (E.D. Cal. May 4, 2001) (dictum).	FEMA ICE PRIV S&T TSA	2 3 2 3 3	13
42 U.S.C. § 262a(h)	Bioterrorism-related information		S&T	1	1
49 U.S.C. § 114	Information obtained or developed in carrying out security under the authority of the Aviation and Transportation Security Act or under chapter 449 of this title	<u>Skurow v. DHS</u> , No. 11-1296, 2012 WL 4380895, at *9-10 (D.D.C. Sept. 26, 2012); <u>Tooley v. Bush</u> , No. 06-306, 2006 WL 3783142, at *19 (D.D.C. Dec. 21, 2006), <u>aff'd on other grounds</u> , 586 F.3d 1006 (D.C. Cir. 2009); <u>Gordon v. FBI</u> , 390 F. Supp. 2d 897, 900 (N.D. Cal. 2004).	CBP ICE OIG PRIV TSA USCG USSS	546 6,324 1 7 212 2 37	7,129
50 U.S.C. § 403g (currently at 50 U.S.C. § 3507) (Central Intelligence Agency Act of 1949)	Intelligence sources and methods; certain information pertaining to Agency employees, specifically: "the organization, functions, names, official titles, salaries, or numbers of personnel employed by the Agency"	<u>ACLU v. DOJ</u> , 681 F.3d 61, 72-75 (2d Cir. 2012); <u>Larson v. Dep't of State</u> , 565 F.3d 857, 865 n.2 (D.C. Cir. 2009); <u>Berman v. CIA</u> , 501 F.3d 1136, 1137-38, 1140 (9th Cir. 2007); <u>Makky v. Chertoff</u> , 489 F. Supp. 2d 421, 442 (D.N.J. 2007), <u>aff'd on other grounds</u> , 541 F. 3d 205 (3d Cir. 2008).	I&A PRIV USSS	2 1 19	22

Statute	Type of Information Withheld	Case Citation	Number of Times Relied upon per Component		Total Number of Times Relied upon by Agency
50 U.S.C. § 403-1(i)(1) (currently at 50 U.S.C. § 3024(i)(1)) (National Security Act of 1947)	Intelligence sources and methods	<u>CIA v. Sims</u> , 471 U.S. 159, 167 (1985); <u>ACLU v. DOJ</u> , 681 F.3d 61, 72-75 (2d Cir. May 21, 2012); <u>ACLU v. DOD</u> , 628 F.3d 612, 619, 626 (D.C. Cir. 2011); <u>Berman v. CIA</u> , 501 F.3d 1136, 1137-38, 1140 (9th Cir. 2007).	I&A	52	55
			PRIV	2	
			TSA	1	
Fed. R. Crim. P. 6(e), enacted by Act of July 30, 1977, Pub. L. No. 95-78, 91 Stat. 319	Certain records pertaining to grand jury proceedings	<u>Sussman v. USMS</u> , 494 F.3d 1106, 1113 (D.C. Cir. 2007); <u>Fund for Constitutional Gov't v. Nat'l Archives & Records Serv.</u> , 656 F.2d 856, 867-68 (D.C. Cir. 1981); <u>Durham v. U.S. Atty. Gen.</u> , No. 06-843, 2008 WL 620744, at *2 (E.D. Tex. Mar. 3, 2008); <u>Cozen O'Connor v. U.S. Dep't of Treasury</u> , 570 F. Supp. 2d 749, 776 (E.D. Pa. 2008).	USCIS	1	5
			USSS	4	

V. FOIA Requests

A. Received, Processed, and Pending FOIA Requests

Component	Number of Requests Pending as of Start of Fiscal Year	Number of Requests Received in Fiscal Year	Number of Requests Processed in Fiscal Year	Number of Requests Pending as of End of Fiscal Year
CBP	12,657 [§]	66,690	73,235	6,112
CRCL	42 ^{**}	82	66	58
FEMA	871 ^{††}	2,659	1,837	1,693
FLETC	29	81	86	24
I&A	42 ^{‡‡}	238	264	16
ICE	841	63,385	63,605	621
NPPD	6,059 ^{§§}	19,557	20,062	5,554
OIG	100	193	174	119
PRIV	86 ^{***}	599	488	197
S&T	1 ^{†††}	85	80	6
TSA	956 ^{‡‡‡}	769	941	784
USCG	1,912 ^{§§§}	3,010	2,579	2,343
USCIS	24,619 ^{****}	166,732	145,470	45,881
USSS	928	1,700	1,662	966

[§] Number of Requests Pending as of Start of Fiscal Year corrected due to Component reporting error in FY 2015.

^{**} Number of Requests Pending as of Start of Fiscal Year corrected due to Component reporting error in FY 2015.

^{††} Number of Requests Pending as of Start of Fiscal Year corrected due to Component reporting error in FY 2015.

^{‡‡} Number of Requests Pending as of Start of Fiscal Year corrected due to Component reporting error in FY 2015.

^{§§} Number of Requests Pending as of Start of Fiscal Year corrected due to Component reporting error in FY 2015.

^{***} Number of Requests Pending as of Start of Fiscal Year corrected due to Component reporting error in FY 2015.

^{†††} Number of Requests Pending as of Start of Fiscal Year corrected due to Component reporting error in FY 2015.

^{‡‡‡} Number of Requests Pending as of Start of Fiscal Year corrected due to Component reporting error in FY 2015.

^{§§§} Number of Requests Pending as of Start of Fiscal Year corrected due to Component reporting error in FY 2015.

^{****} Number of Requests Pending as of Start of Fiscal Year corrected due to Component reporting error in FY 2015.

Component	Number of Requests Pending as of Start of Fiscal Year	Number of Requests Received in Fiscal Year	Number of Requests Processed in Fiscal Year	Number of Requests Pending as of End of Fiscal Year
AGENCY OVERALL	49,143^{†††}	325,780	310,549	64,374

B. (1) Disposition of FOIA Requests – All Processed Requests

Component	No. of Full Grants	No. of Partial Grants/Partial Denials	No. of Full Denials Based on Exemptions	Number of Full Denials Based on Reasons Other than Exemptions									Total
				No Records	All Records Referred	Request Withdrawn	Fee-Related Reason	Not Reasonably Described	Improper FOIA Request	Not Agency Record	Duplicate Request	Other	
CBP	3,094	29,580	94	25,028	44	476	127	954	8,245	3,224	2,369	0	73,235
CRCL	1	7	1	19	2	0	0	9	14	11	1	1	66
FEMA	113	1,252	4	144	3	21	0	133	83	5	36	43	1,837
FLETC	18	27	2	22	5	3	0	1	5	3	0	0	86
I&A	5	33	39	108	11	2	0	21	14	29	0	2	264
ICE	1,173	54,942	525	3,113	383	33	10	209	1,039	242	150	1,786	63,605
NPPD	798	13,076	9	5,605	5	12	0	15	486	10	43	3	20,062
OIG	13	80	19	27	5	1	1	0	8	5	7	8	174
PRIV	27	116	15	42	64	14	8	171	12	12	0	7	488
S&T	9	11	0	30	12	1	0	12	0	0	5	0	80
TSA	193	435	31	128	7	101	2	22	5	3	6	8	941
USCG	1,249	221	56	814	8	152	10	1	6	18	8	36	2,579
USCIS	10,562	85,958	4,192	20,107	284	123	68	2	7,807	628	15,739	0	145,470
USSS	26	316	51	711	2	94	0	84	286	15	47	30	1,662
AGENCY OVERALL	17,281	186,054	5,038	55,898	835	1,033	226	1,634	18,010	4,206	18,411	1,924	310,549

B. (2) Disposition of FOIA Requests – “Other” Reasons for “Full Denials Based on Reasons Other than Exemptions” from Section V, B(1) Chart

Component	Description	No. of Times Used	Total
CBP	N/A	0	0
CRCL	Litigation	1	1
FEMA	Aggregated	1	43
	Litigation	10	
	Opened in Error	1	
	Unable to Locate Requestor	31	
FLETC	N/A	0	0

^{†††} Number of Requests Pending as of Start of Fiscal Year corrected due to Component reporting error in FY 2015.

Component	Description	No. of Times Used	Total
I&A	Litigation	2	2
ICE	Fugitive Disentitlement Litigation Referred Documents are Not Responsive Unable to Locate Requestor	1,690 13 54 29	1,786
NPPD	Litigation Records Referred Non-Responsive	2 1	3
OIG	Unable to Locate Requester	8	8
PRIV	Aggregated Litigation	2 5	7
S&T	N/A	0	0
TSA	Litigation Unable to Locate Requester	1 7	8
USCG	Litigation Unable to Locate Requester	1 35	36
USCIS	N/A	0	0

Component	Description	No. of Times Used	Total
USSS	Litigation	1	30
	Opened in Error	9	
	Referred Documents are Not Responsive	9	
	Unable to Locate Requester	11	
AGENCY OVERALL			1,924

B. (3) Disposition of FOIA Requests – Number of Times Exemptions Applied

Component	Ex. 1	Ex. 2	Ex. 3	Ex. 4	Ex. 5	Ex. 6	Ex. 7(A)	Ex. 7(B)	Ex. 7(C)	Ex. 7(D)	Ex. 7(E)	Ex. 7(F)	Ex. 8	Ex. 9
CBP	1	1,153	555	56	504	28,164	49	181	28,057	9	27,994	9	0	0
CRCL	0	0	0	0	1	8	0	0	3	0	1	0	0	0
FEMA	3	6	11	1,167	50	1,565	2	1	11	1	58	1	0	0
FLETC	0	3	0	12	6	27	1	0	2	0	2	2	0	0
I&A	7	1	52	0	12	24	1	0	13	4	34	4	0	0
ICE	15	8	6,349	135	5,501	54,159	133	141	54,139	119	50,394	144	0	0
NPPD	0	1	0	1	9	9,900	15	8	9,378	5	10,314	2	0	0
OIG	0	1	1	1	17	80	11	0	85	8	13	0	0	0
PRIV	4	0	19	18	30	119	1	0	26	0	31	3	0	0
S&T	0	0	4	4	4	10	0	0	2	0	5	2	0	0
TSA	1	46	215	41	115	367	5	1	97	3	6	3	0	0
USCG	1	1	11	26	47	214	56	0	87	0	12	0	0	0
USCIS	0	87	1,938	178	27,841	52,781	10	0	69,389	0	72,070	0	0	0
USSS	1	3	72	44	36	296	40	0	294	20	260	8	0	0
AGENCY OVERALL	33	1,310	9,227	1,683	34,173	147,714	324	332	161,583	169	161,194	178	0	0

VI. Administrative Appeals of Initial Determinations of FOIA Requests

A. Received, Processed, and Pending Administrative Appeals

Component	No. of Appeals Pending as of Start of Fiscal Year	No. of Appeals Received in Fiscal Year	No. of Appeals Processed in Fiscal Year	No. of Appeals Pending as of End of Fiscal Year
CBP	116 ^{****}	2,656	2,662	110
FEMA	37 ^{****}	22	19	40
FLETC	0	1	1	0
ICE	161	701	803	59
OGC	27 ^{****}	86	77	36
OIG	2	18	19	1
TSA	4	56	45	15
USCG	22	27	24	25

^{****} Number of Appeals Pending as of Start of Fiscal Year corrected due to Component reporting error in FY 2015.

^{****} Number of Appeals Pending as of Start of Fiscal Year corrected due to Component reporting error in FY 2015.

^{****} Number of Appeals Pending as of Start of Fiscal Year corrected due to Component reporting error in FY 2015.

USCIS	55	1,942	1,952	45
USSS	3	99	89	13
AGENCY OVERALL	427⁺⁺⁺⁺	5,608	5,691	344

B. Disposition of Administrative Appeals – All Processed Appeals

Component	Number Affirmed on Appeal	Number Partially Affirmed & Partially Reversed/Remanded on Appeal	Number Completely Reversed/Remanded on Appeal	Number of Appeals Closed for Other Reasons	Total
CBP	873	480	861	448	2,662
FEMA	7	6	2	4	19
FLETC	0	0	0	1	1
ICE	437	184	43	139	803
OGC	27	3	6	41	77
OIG	13	1	2	3	19
TSA	11	6	5	23	45
USCG	12	2	7	3	24
USCIS	507	840	392	213	1,952
USSS	61	8	11	9	89
AGENCY OVERALL	1,948	1,530	1,329	884	5,691

C. (1) Reasons for Denial on Appeal – Number of Times Exemptions Applied

Component	Ex. 1	Ex. 2	Ex. 3	Ex. 4	Ex. 5	Ex. 6	Ex. 7(A)	Ex. 7(B)	Ex. 7(C)	Ex. 7(D)	Ex. 7(E)	Ex. 7(F)	Ex. 8	Ex. 9
CBP	0	26	53	6	19	1,213	6	16	1,206	1	1,165	3	0	0
FEMA	0	0	0	1	1	9	0	0	2	0	0	0	0	0
FLETC	0	0	0	0	0	0	0	0	0	0	0	0	0	0
ICE	1	8	26	9	41	357	6	1	353	1	241	4	0	0
OGC	2	0	4	0	5	14	0	0	12	0	12	2	0	0
OIG	0	0	0	0	2	5	0	0	5	1	1	0	0	0
TSA	0	1	6	0	2	9	0	0	2	0	0	0	0	0
USCG	0	0	1	1	3	9	0	0	6	0	1	0	0	0
USCIS	0	0	21	0	450	676	0	0	1,017	0	1,050	0	0	0
USSS	0	0	0	1	0	7	0	0	7	1	0	0	0	0
AGENCY OVERALL	3	35	111	18	523	2,299	12	17	2,610	4	2,470	9	0	0

C. (2) Reasons for Denial on Appeal – Reasons Other than Exemptions

Component	No Records	Records Referred at Initial Request Level	Request Withdrawn	Fee-Related Reason	Records not Reasonably Described	Improper Request for Other Reasons	Not Agency Record	Duplicate Request or Appeal	Request in Litigation	Appeal Based Solely on Denial for Expedited Processing	Other *Explain in chart below

⁺⁺⁺⁺ Number of Appeals Pending as of Start of Fiscal Year corrected due to Component reporting error in FY 2015.

CBP	334	34	34	2	3	297	12	64	0	0	2
FEMA	1	0	1	0	0	0	0	2	0	0	0
FLETC	0	0	1	0	0	0	0	0	0	0	0
ICE	39	0	0	18	26	30	0	20	6	0	1
OGC	12	0	1	1	1	0	0	0	0	1	25
OIG	0	1	0	0	0	0	0	0	0	0	2
TSA	0	0	4	0	0	1	0	1	0	2	15
USCG	0	0	0	0	0	0	0	0	1	0	2
USCIS	0	0	0	0	0	203	0	10	0	0	0
USSS	35	0	0	0	0	4	0	0	0	28	0
AGENCY OVERALL	421	35	41	21	30	535	12	97	7	31	47

C. (3) Reasons for Denial on Appeal – “Other” Reasons from Section VI, C (2) Chart

Component	Description	No. of Times Used	Total
CBP	Moot	2	2
FEMA	N/A	0	0
FLETC	N/A	0	0
ICE	Glomar	1	1
OGC	Agency Performed Adequate Search	20	25
	Moot	4	
	Untimely	1	
OIG	Moot	1	2
	Untimely	1	
TSA	Agency Performed Adequate Search	9	15
	Moot	6	
USCG	Moot	1	2
	Unable to Locate Requester	1	
USCIS	N/A	0	0
USSS	N/A	0	0
AGENCY OVERALL			47

C. (4) Response Times for Administrative Appeals

Component	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days
CBP	9.00	18.73	<1	688.00
FEMA	113.00	352.37	2.00	1,743.00
FLETC	1.00	1.00	1.00	1.00
ICE	19.00	37.00	2.00	443.00
OGC	60.00	79.62	13.00	343.00
OIG	15.00	28.16	2.00	278.00
TSA	34.00	58.87	<1	838.00
USCG	230.00	334.63	3.00	1,899.00
USCIS	10.00	9.54	1.00	33.00
USSS	32.00	37.00	15.00	124.00
AGENCY OVERALL	13.00	21.91	<1	1,899.00

C. (5) Ten Oldest Pending Administrative Appeals

Component	Sub-Row Heading	10th	9th	8th	7th	6th	5th	4th	3rd	2nd	Oldest Appeal
CBP	Date of Receipt	2016-06-16	2016-04-05	2016-03-11	2015-01-21	2014-11-07	2014-06-05	2014-03-07	2014-03-07	2013-12-23	2013-12-12
	Number of Days Pending	75	126	143	429	477	585	648	648	697	704
FEMA	Date of Receipt	2012-07-24	2012-05-08	2012-03-06	2011-11-07	2011-10-28	2010-10-05	2010-08-27	2010-05-06	2009-08-31	2009-02-26
	Number of Days Pending	1,052	1,105	1,150	1,231	1,237	1,506	1,532	1,611	1,777	1,907
FLETC	Date of Receipt	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days Pending	0	0	0	0	0	0	0	0	0	0
ICE	Date of Receipt	2016-09-06	2016-08-30	2016-08-30	2016-08-29	2016-08-24	2016-08-02	2016-07-28	2016-07-26	2016-06-30	2016-06-28
	Number of Days Pending	19	23	23	24	27	43	46	48	65	67
OGC	Date of Receipt	2016-06-24	2016-06-17	2016-06-17	2016-05-06	2016-03-28	2016-02-20	2016-02-03	2015-12-17	2015-12-03	2015-12-03
	Number of Days Pending	69	74	74	103	132	157	169	200	210	210
OIG	Date of Receipt	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	2015-07-09
	Number of Days Pending	0	0	0	0	0	0	0	0	0	311
TSA	Date of Receipt	2016-09-07	2016-08-15	2016-08-12	2016-08-05	2016-08-03	2016-07-14	2016-07-14	2016-06-15	2016-06-01	2016-04-15
	Number of Days Pending	18	34	35	40	42	56	56	76	86	118
USCG	Date of Receipt	2015-09-12	2015-09-03	2015-08-10	2015-07-02	2015-05-19	2015-03-19	2015-01-29	2014-10-22	2014-06-09	2013-09-09
	Number of Days Pending	265	271	289	315	346	389	423	489	583	769

Component	Sub-Row Heading	10th	9th	8th	7th	6th	5th	4th	3rd	2nd	Oldest Appeal
USCIS	Date of Receipt	2016-09-16	2016-09-16	2016-09-13	2016-09-13	2016-09-12	2016-09-09	2016-09-09	2016-09-09	2016-09-09	2016-09-09
	Number of Days Pending	11	11	14	14	15	16	16	16	16	16
USSS	Date of Receipt	2016-09-26	2016-09-26	2016-09-26	2016-09-26	2016-09-26	2016-09-26	2016-09-26	2016-09-26	2016-09-26	2016-09-26
	Number of Days Pending	5	5	5	5	5	5	5	5	5	5
AGENCY OVERALL	Date of Receipt	2012-07-24	2012-05-08	2012-03-06	2011-11-07	2011-10-28	2010-10-05	2010-08-27	2010-05-06	2009-08-31	2009-02-26
	Number of Days Pending	1,052	1,105	1,150	1,231	1,237	1,506	1,532	1,611	1,777	1,907

VII. FOIA Requests: Response Time for Processed and Pending Requests

A. Processed Requests – Response Time for All Processed Perfected Requests

Component	Simple				Complex				Expedited			
	Median No. of Days	Average No. of Days	Lowest No. of Days	Highest No. of Days	Median No. of Days	Average No. of Days	Lowest No. of Days	Highest No. of Days	Median No. of Days	Average No. of Days	Lowest No. of Days	Highest No. of Days
CBP	15	20	<1	389	97	121.51	<1	776	7.5	12.73	<1	81
CRCL	20.5	32.43	<1	227	96	144.14	1	398	N/A	N/A	N/A	N/A
FEMA	48	95.39	<1	961	166	245.48	<1	1,103	N/A	N/A	N/A	N/A
FLETC	26	69.06	1	335	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
I&A	17	19.24	<1	95	29.5	73.41	<1	476	192	163.2	35	307
ICE	1	6.09	1	709	37	82.54	1	762	10	20.7	1	357
NPPD	173	166.61	<1	450	52.5	94.7	<1	564	N/A	N/A	N/A	N/A
OIG	14	26.86	1	183	117	203.91	9	881	172	172	172	172
PRIV	6	7.18	<1	20	56.5	88	<1	512	151	151	146	156
S&T	8	10.24	1	54	33	46.31	7	103	N/A	N/A	N/A	N/A
TSA	311.5	350.26	<1	1,202	187	315.89	<1	1,409	468	468	468	468
USCG	8	8.95	<1	20	45	137.39	21	1,439	36.5	36.5	12	61
USCIS	23	23.74	1	441	82	63.82	1	523	46.5	82.5	10	227
USSS	10	10.71	1	20	143	232.07	21	1,770	N/A	N/A	N/A	N/A
AGENCY OVERALL	10	32.91	<1	1,202	82	73.4	<1	1,770	11	26.71	<1	468

B. Processed Requests – Response Time for Perfected Requests in Which Information Was Granted

Component	Simple				Complex				Expedited			
	Median No. of Days	Average No. of Days	Lowest No. of Days	Highest No. of Days	Median No. of Days	Average No. of Days	Lowest No. of Days	Highest No. of Days	Median No. of Days	Average No. of Days	Lowest No. of Days	Highest No. of Days
CBP	20	23.07	<1	282	98	117.24	<1	776	8	14.28	<1	81
CRCL	N/A	N/A	N/A	N/A	91	122.38	26	336	N/A	N/A	N/A	N/A
FEMA	46	70.55	<1	790	138	222.98	<1	1103	N/A	N/A	N/A	N/A
FLETC	28.5	72.78	3	335	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Component	Simple				Complex				Expedited			
	Median No. of Days	Average No. of Days	Lowest No. of Days	Highest No. of Days	Median No. of Days	Average No. of Days	Lowest No. of Days	Highest No. of Days	Median No. of Days	Average No. of Days	Lowest No. of Days	Highest No. of Days
I&A	7.5	7.5	2	13	71	131.94	3	476	141	141	54	242
ICE	1	5.19	1	709	50	105.13	1	762	11	21.15	1	357
NPPD	181	171	<1	450	79	110.09	9	564	N/A	N/A	N/A	N/A
OIG	21	30.9	2	144	124.5	174.11	11	660	N/A	N/A	N/A	N/A
PRIV	N/A	N/A	N/A	N/A	73	95.07	2	416	151	151	146	156
S&T	9	14.27	1	54	52	57.44	23	103	N/A	N/A	N/A	N/A
TSA	238	316.48	<1	1,202	165	285.88	<1	1,409	468	468	468	468
USCG	11	10.67	<1	20	44	79	21	1,197	12	12	12	12
USCIS	25	29.11	1	441	86	83.83	1	523	25	34.33	10	68
USSS	20	19.67	18	20	208	305.32	21	1,770	N/A	N/A	N/A	N/A
AGENCY OVERALL	9	33.06	<1	1,202	86	90.25	<1	1,770	11	23.85	<1	468

C. Processed Requests – Response Time in Day Increments

(1) Simple Requests

Component	1-20 Days	21-40 Days	41-60 Days	61-80 Days	81-100 Days	101-120 Days	121-140 Days	141-160 Days	161-180 Days	181-200 Days	201-300 Days	301-400 Days	401+ Days	Total
CBP	32,684	13,992	4,911	1,264	186	50	43	19	18	14	28	4	0	53,213
CRCL	7	5	1	0	0	0	0	0	0	0	1	0	0	14
FEMA	65	82	63	40	31	6	11	5	2	16	9	8	17	355
FLETC	35	16	6	3	3	6	1	3	2	2	7	2	0	86
I&A	64	37	3	0	1	0	0	0	0	0	0	0	0	105
ICE	60,363	1,383	340	189	143	95	98	73	54	52	214	72	22	63,098
NPPD	150	50	53	1,160	1,797	1,531	1,823	1,669	2,545	1,820	6,906	2	1	19,507
OIG	58	14	5	7	1	2	0	2	0	1	0	0	0	90
PRIV	67	0	0	0	0	0	0	0	0	0	0	0	0	67
S&T	50	4	1	0	0	0	0	0	0	0	0	0	0	55
TSA	31	13	5	7	8	3	3	2	6	0	13	25	72	188
USCG	1,172	0	0	0	0	0	0	0	0	0	0	0	0	1,172
USCIS	11,899	13,158	2,013	524	280	141	67	37	32	14	38	5	2	28,210
USSS	385	0	0	0	0	0	0	0	0	0	0	0	0	385
AGENCY OVERALL	107,030	28,754	7,401	3,194	2,450	1,834	2,046	1,810	2,659	1,919	7,216	118	114	166,545

(2) Complex Requests

Component	1-20 Days	21-40 Days	41-60 Days	61-80 Days	81-100 Days	101-120 Days	121-140 Days	141-160 Days	161-180 Days	181-200 Days	201-300 Days	301-400 Days	401+ Days	Total
CBP	351	724	1,294	1,179	1,694	1,070	658	875	335	193	894	323	221	9,811
CRCL	2	3	6	0	4	0	1	0	0	0	10	3	0	29
FEMA	72	82	85	114	86	70	66	46	49	69	99	107	316	1,261
FLETC	0	0	0	0	0	0	0	0	0	0	0	0	0	0
I&A	47	20	11	9	4	3	0	1	2	1	15	1	2	116
ICE	53	33	26	10	8	6	0	2	2	2	5	7	7	161

NPPD	18	8	2	4	3	6	1	2	1	3	4	0	2	54
OIG	5	10	7	9	4	3	1	3	1	5	8	9	10	75
PRIV	63	39	15	20	24	8	16	8	4	5	14	7	5	228
S&T	2	6	1	1	2	1	0	0	0	0	0	0	0	13
TSA	84	77	46	40	35	33	19	12	14	8	66	50	239	723
USCG	0	356	157	61	45	22	18	15	9	7	30	15	70	805
USCIS	26,683	6,283	3,949	10,960	48,135	12,015	510	227	152	109	192	16	4	109,235
USSS	0	94	113	67	31	32	20	30	50	25	93	43	120	718
AGENCY OVERALL	27,380	7,735	5,712	12,474	50,075	13,269	1,310	1,221	619	427	1,430	581	996	123,229

(3) Requests Granted Expedited Processing

Component	1-20 Days	21-40 Days	41-60 Days	61-80 Days	81-100 Days	101-120 Days	121-140 Days	141-160 Days	161-180 Days	181-200 Days	201-300 Days	301-400 Days	401+ Days	Total
CBP	19	2	0	0	1	0	0	0	0	0	0	0	0	22
CRCL	0	0	0	0	0	0	0	0	0	0	0	0	0	0
FEMA	0	0	0	0	0	0	0	0	0	0	0	0	0	0
FLETC	0	0	0	0	0	0	0	0	0	0	0	0	0	0
I&A	0	3	1	0	0	0	0	1	0	0	3	2	0	10
ICE	277	43	6	10	3	4	4	3	3	0	1	1	0	355
NPPD	0	0	0	0	0	0	0	0	0	0	0	0	0	0
OIG	0	0	0	0	0	0	0	0	1	0	0	0	0	1
PRIV	0	0	0	0	0	0	0	2	0	0	0	0	0	2
S&T	0	0	0	0	0	0	0	0	1	0	0	0	0	1
TSA	0	0	0	0	0	0	0	0	0	0	0	0	1	1
USCG	1	0	0	0	0	0	0	0	0	0	0	0	0	1
USCIS	1	1	0	1	0	0	0	0	0	0	1	0	0	4
USSS	0	0	0	0	0	0	0	0	0	0	0	0	0	0
AGENCY OVERALL	298	49	7	11	4	4	4	6	5	0	5	3	1	397

D. Pending Requests – All Pending Perfected Requests

Component	Simple			Complex			Expedited		
	Number Pending	Median No. of Days	Average No. of Days	Number Pending	Median No. of Days	Average No. of Days	Number Pending	Median No. of Days	Average No. of Days
CBP	4,798	11	23.57	411	237	260.34	2	321	321
CRCL	0	N/A	N/A	56	238	253.13	0	N/A	N/A
FEMA	669	64	79.55	990	160	199.31	0	N/A	N/A
FLETC	24	71.5	100.54	0	N/A	N/A	0	N/A	N/A
I&A	0	N/A	N/A	16	162.5	166.81	0	N/A	N/A
ICE	468	62	86	78	59	76	29	59	49
NPPD	5,399	32	39.26	79	121	157.08	2	1	1
OIG	1	111	111	118	228	274.55	0	0	0
PRIV	19	19	17.37	168	54	85.84	0	N/A	N/A
S&T	2	13	13	4	30	37	0	N/A	N/A
TSA	57	599	528.02	726	214.5	332.8	1	112	112

Component	Simple			Complex			Expedited		
	Number Pending	Median No. of Days	Average No. of Days	Number Pending	Median No. of Days	Average No. of Days	Number Pending	Median No. of Days	Average No. of Days
USCG	163	7	8.83	2180	456.5	464.82	0	N/A	N/A
USCIS	2,253	12	21.68	43,485	51	54.13	2	28.5	28.5
USSS	21	9	9.19	884	261	323.12	0	N/A	N/A
AGENCY OVERALL	13,874	17	36.1	49,195	56	87.01	36	12	61.19

E. Pending Requests – Ten Oldest Pending Perfected Requests

Component	Sub-Row Heading	10th	9th	8th	7th	6th	5th	4th	3rd	2nd	Oldest Request
CBP	Date of Receipt	2014-06-03	2014-05-21	2014-05-02	2014-04-15	2014-04-02	2014-03-20	2014-03-18	2014-02-20	2014-02-04	2013-12-20
	Number of Days Pending	587	595	608	621	630	639	641	659	670	698
CRCL	Date of Receipt	2015-06-08	2015-04-23	2015-04-09	2015-03-19	2015-03-16	2014-12-23	2014-12-16	2014-12-16	2014-12-08	2014-12-04
	Number of Days Pending	333	364	374	389	392	447	452	452	458	460
FEMA	Date of Receipt	2013-07-02	2013-06-24	2013-06-20	2013-06-07	2013-06-04	2013-05-13	2013-05-09	2013-04-01	2013-03-08	2013-01-09
	Number of Days Pending	816	822	824	833	836	851	853	881	897	937
FLETC	Date of Receipt	2016-04-18	2016-04-18	2016-04-18	2016-03-31	2016-02-12	2015-12-04	2015-12-04	2015-11-16	2015-11-10	2015-10-09
	Number of Days Pending	117	117	117	129	162	209	209	222	225	246
I&A	Date of Receipt	2016-03-30	2016-02-23	2016-02-01	2016-01-22	2016-01-14	2015-12-01	2015-10-27	2015-07-10	2015-07-01	2014-12-30
	Number of Days Pending	130	156	171	177	182	212	235	310	316	443
ICE	Date of Receipt	2015-09-25	2015-09-23	2015-09-23	2015-07-30	2015-07-29	2015-07-23	2015-07-08	2015-07-08	2015-06-23	2015-02-23
	Number of Days Pending	256	258	258	296	297	301	312	312	322	407
NPPD	Date of Receipt	2015-07-08	2015-07-08	2015-07-07	2015-06-09	2015-06-01	2015-05-01	2015-04-20	2015-04-08	2015-03-16	2014-08-25
	Number of Days Pending	312	312	313	332	338	358	367	375	392	529
OIG	Date of Receipt	2014-02-26	2014-02-10	2014-01-22	2013-12-19	2013-12-09	2013-09-09	2013-08-30	2013-06-13	2013-02-28	2013-02-01
	Number of Days Pending	260	262	265	299	321	321	352	362	446	480
PRIV	Date of Receipt	2015-09-21	2015-09-17	2015-09-14	2015-07-27	2015-06-24	2015-06-24	2015-05-11	2015-04-27	2014-12-24	2014-11-04
	Number of Days Pending	259	261	264	298	320	320	351	361	473	479
S&T	Date of Receipt	N/A	N/A	N/A	N/A	2016-09-21	2016-09-14	2016-09-12	2016-08-25	2016-08-11	2016-06-07
	Number of Days Pending	0	0	0	0	8	13	15	26	36	82
TSA	Date of Receipt	2012-04-10	2012-04-03	2012-03-26	2012-03-22	2012-02-17	2012-02-08	2012-01-10	2011-11-29	2011-08-11	2011-05-23
	Number of Days Pending	1,125	1,130	1,136	1,138	1,161	1,168	1,188	1,217	1,292	1,349
USCG	Date of Receipt	2010-12-02	2010-11-19	2010-11-08	2010-10-06	2010-10-05	2010-08-04	2010-08-01	2010-07-30	2010-07-28	2010-05-17
	Number of Days Pending	1,467	1,475	1,483	1,505	1,506	1,549	1,551	1,552	1,554	1,604
USCIS	Date of Receipt	2015-08-26	2015-08-24	2015-08-14	2015-08-14	2015-08-07	2015-07-31	2015-07-20	2015-07-10	2015-06-30	2015-06-24
	Number of Days Pending	277	279	285	285	290	295	304	310	317	321
USSS	Date of Receipt	2011-09-08	2011-08-29	2011-08-26	2011-08-26	2011-08-26	2011-08-26	2011-08-26	2011-08-26	2011-08-26	2011-06-07

Component	Sub-Row Heading	10th	9th	8th	7th	6th	5th	4th	3rd	2nd	Oldest Request
	Number of Days Pending	1,272	1,280	1,281	1,281	1,281	1,281	1,281	1,281	1,281	1,339
AGENCY OVERALL	Date of Receipt	2010-12-02	2010-11-19	2010-11-08	2010-10-06	2010-10-05	2010-08-04	2010-08-01	2010-07-30	2010-07-28	2010-05-17
	Number of Days Pending	1,467	1,475	1,483	1,505	1,506	1,549	1,551	1,552	1,554	1,604

VIII. Requests for Expedited Processing and Requests for Fee Waivers

A. Requests for Expedited Processing

Component	Number Granted	Number Denied	Median Number of Days to Adjudicate	Average Number of Days to Adjudicate	Number Adjudicated within 10 Calendar Days
CBP	23	2,055	8	18.6	1,205
CRCL	0	5	1	1	5
FEMA	1	0	1	1	1
FLETC	0	0	N/A	N/A	N/A
I&A	0	15	17	16.47	3
ICE	351	153	1	1.13	504
NPPD	0	2	1	1	2
OIG	0	26	10	13.04	17
PRIV	1	33	1	4.12	31
S&T	0	0	N/A	N/A	N/A
TSA	0	0	N/A	N/A	N/A
USCG	1	1	36.5	36.5	0
USCIS	6	926	1	3.61	874
USSS	0	192	1	1	192
AGENCY OVERALL	383	3,408	1	11.50	2,834

B. Requests for Fee Waiver

Component	Number Granted	Number Denied	Median Number of Days to Adjudicate	Average Number of Days to Adjudicate
CBP	44	183	10	21.12
CRCL	3	7	1	1
FEMA	0	0	N/A	N/A
FLETC	0	0	N/A	N/A
I&A	34	29	1	1.27
ICE	189	180	1	3.69
NPPD	1	0	1	1
OIG	0	1	35	35
PRIV	7	2	5	12.89
S&T	0	1	4	4
TSA	1	0	75	75
USCG	0	0	N/A	N/A
USCIS	150	199	1	4
USSS	0	1	1	1
AGENCY OVERALL	429	603	1	7.26

IX. FOIA Personnel and Costs

Component	Personnel			Costs		
	Number of "Full Time FOIA Employees"	Number of "Equivalent Full-Time FOIA Employees"	Total Number of "Full-Time FOIA Staff" (Col. 1 + Col. 2)	Processing Costs	Litigation - Related Costs	Total Costs
CBP	47	32.29	79.29	\$7,731,041.50	\$0.00	\$7,731,041.50
CRCL	0	0.5	0.5	\$54,443.50	\$0.00	\$54,443.50
FEMA	7	25	32	\$3,614,231.00	\$20,620.00	\$3,634,851.00
FLETC	0	1.2	1.2	\$30,048.28	\$0.00	\$30,048.28
I&A	2	1.2	3.2	\$250,000.00	\$0.00	\$250,000.00
ICE	48	3	51	\$5,894,350.00	\$2,683,898.67	\$8,578,248.67
NPPD	11	0.5	11.5	\$1,271,571.00	\$20,145.04	\$1,291,716.04
OIG	5	3.07	8.07	\$295,069.75	\$482,347.28	\$777,417.03
PRIV	12	4.58	16.58	\$3,102,918.32	\$1,000.00	\$3,103,918.32
S&T	0	1.5	1.5	\$130,000.00	\$0.00	\$130,000.00
TSA	15	2	17	\$1,121,479.00	\$187,005.00	\$1,308,484.00
USCG	14	30.34	44.34	\$3,367,615.93	\$15,000.00	\$3,382,615.93
USCIS	232	14	246	\$22,093,323.60	\$789,145.24	\$22,882,468.84
USSS	12	4.8	16.8	\$1,854,673.76	\$2,057,002.74	\$3,911,676.50
AGENCY OVERALL	405	123.98	528.98	\$50,810,765.64	\$6,256,163.97	\$57,066,929.61

X. Fees Collected for Processing Requests

Component	Total Amount of Fees Collected	Percentage of Total Costs
CBP	\$294,409.14	3.81%
CRCL	\$0.00	0.00%
FEMA	\$0.00	0.00%
FLETC	\$0.00	0.00%
I&A	\$0.00	0.00%
ICE	\$0.00	0.00%
NPPD	\$0.00	0.09%
OIG	\$0.00	0.00%
PRIV	\$413.00	0.01%
S&T	\$540.40	0.42%
TSA	\$0.00	0.00%
USCG	\$14,934.24	0.44%
USCIS	\$10,521.41	0.05%
USSS	\$0.00	0.00%
AGENCY OVERALL	\$320,818.19	0.63%

XI. FOIA Regulations – The Department of Homeland Security FOIA Implementing Regulations are codified at 6 C.F.R. Part 5, dated December 22, 2016. This is the final rule which established procedures for the public to obtain information from the DHS under the Freedom of Information Act and the Privacy Act.

http://www.dhs.gov/xlibrary/assets/FOIA_FedReg_Notice.pdf.

XII. Backlogs, Consultations, and Comparisons

A. Backlogs of FOIA Requests and Administrative Appeals

Component	Number of Backlogged Requests as of the End of Fiscal Year	Number of Backlogged Appeals as of End of Fiscal Year
CBP	1,172	12
CRCL	56	N/A
FEMA	1,447	36
FLETC	20	0
I&A	15	N/A
ICE	471	8
NPPD	3,825	N/A
OGC	0	29
OIG	112	1
PRIV	149	0
S&T	2	N/A
TSA	692	9
USCG	2,180	23
USCIS	35,763	0
USSS	884	0
AGENCY OVERALL	46,788	118

B. Consultations on FOIA Requests – Received, Processed, and Pending Consultations

Component	Number of Consultations Received from Other Agencies that Were Pending at Your Agency as of Start of the Fiscal Year	Number of Consultations Received from Other Agencies During the Fiscal Year	Number of Consultations Received from Other Agencies that Were Processed by Your Agency During the Fiscal Year	Number of Consultations Received from Other Agencies that were Pending at Your Agency as of the End of the Fiscal Year
CBP	76 ⁺⁺⁺⁺	80	99	57
CRCL	0	0	0	0
FEMA	3 ^{§§§§§}	16	8	11
FLETC	0	2	2	0
I&A	2 ^{*****}	10	9	3
ICE	42	79	92	29
NPPD	1	20	9	12
OIG	1	8	8	1

⁺⁺⁺⁺ Number of Consultations Pending as of Start of Fiscal Year corrected due to Component reporting error in FY 2015.

^{§§§§§} Number of Consultations Pending as of Start of Fiscal Year corrected due to Component reporting error in FY 2015.

^{*****} Number of Consultations Pending as of Start of Fiscal Year corrected due to Component reporting error in FY 2015.

Component	Number of Consultations Received from Other Agencies that Were Pending at Your Agency as of Start of the Fiscal Year	Number of Consultations Received from Other Agencies During the Fiscal Year	Number of Consultations Received from Other Agencies that Were Processed by Your Agency During the Fiscal Year	Number of Consultations Received from Other Agencies that were Pending at Your Agency as of the End of the Fiscal Year
PRIV	1 ⁺⁺⁺⁺⁺	28	17	12
S&T	0	2	2	0
TSA	10 ⁺⁺⁺⁺⁺	16	14	12
USCG	32 ⁺⁺⁺⁺⁺	31	15	48
USCIS	2	65	66	1
USSS	17	58	52	23
AGENCY OVERALL	187	415	393	209

C. Consultations on FOIA Requests – Ten Oldest Consultations Received from Other Agencies and Pending

Component	Sub-Row Heading	10th	9th	8th	7th	6th	5th	4th	3rd	2nd	Oldest Request
CBP	Date of Receipt	2014-10-06	2014-10-06	2014-08-19	2014-08-14	2014-06-24	2014-06-17	2014-05-19	2014-04-18	2014-04-18	2014-02-27
	Number of Days Pending	500	500	533	536	572	577	597	618	618	654
CRCL	Date of Receipt	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days Pending	0	0	0	0	0	0	0	0	0	0
FEMA	Date of Receipt	2016-07-28	2016-07-06	2016-06-21	2016-06-20	2016-03-21	2016-02-05	2015-12-15	2015-12-10	2015-09-01	2015-04-10
	Number of Days Pending	46	62	72	73	137	167	202	205	273	373
FLETC	Date of Receipt	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days Pending	0	0	0	0	0	0	0	0	0	0
I&A	Date of Receipt	N/A	N/A	N/A	N/A	N/A	N/A	N/A	2016-07-14	2016-03-07	2015-12-22
	Number of Days Pending	0	0	0	0	0	0	0	56	147	197
ICE	Date of Receipt	2016-05-31	2016-04-07	2016-03-15	2013-08-27	2013-08-16	2013-08-16	2013-08-16	2013-06-24	2013-06-24	2013-06-16
	Number of Days Pending	87	124	141	777	784	784	784	822	822	827
NPPD	Date of Receipt	2016-08-04	2016-08-01	2016-07-27	2016-07-19	2016-07-13	2016-07-01	2016-06-23	2016-06-03	2016-05-06	2016-04-19
	Number of Days Pending	41	44	47	53	57	64	70	84	103	116
OIG	Date of Receipt	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	2014-12-17
	Number of Days Pending	0	0	0	0	0	0	0	0	0	451
PRIV	Date of Receipt	2016-09-27	2016-09-13	2016-09-13	2016-08-15	2016-07-19	2016-07-19	2016-07-14	2016-05-24	2016-03-31	2016-02-02
	Number of Days Pending	4	14	14	34	53	53	56	91	129	170
S&T	Date of Receipt	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days Pending	0	0	0	0	0	0	0	0	0	0
TSA	Date of Receipt	2016-06-21	2016-06-20	2016-06-13	2016-06-01	2016-04-13	2015-12-18	2015-12-18	2015-04-14	2015-03-18	2014-06-24
	Number of Days Pending	72	73	78	86	120	199	199	371	390	572

⁺⁺⁺⁺⁺ Number of Consultations Pending as of Start of Fiscal Year corrected due to Component reporting error in FY 2015.

⁺⁺⁺⁺⁺ Number of Consultations Pending as of Start of Fiscal Year corrected due to Component reporting error in FY 2015.

⁺⁺⁺⁺⁺ Number of Consultations Pending as of Start of Fiscal Year corrected due to Component reporting error in FY 2015.

Component	Sub-Row Heading	10th	9th	8th	7th	6th	5th	4th	3rd	2nd	Oldest Request
USCG	Date of Receipt	2015-05-28	2015-04-03	2015-04-01	2015-01-13	2015-01-13	2015-01-13	2014-12-10	2014-12-10	2013-06-20	2011-05-05
	Number of Days Pending	340	378	380	434	434	434	456	456	824	1,361
USCIS	Date of Receipt	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	2016-06-30
	Number of Days Pending	0	0	0	0	0	0	0	0	0	65
USSS	Date of Receipt	2016-06-21	2016-04-12	2016-04-12	2016-03-02	2016-02-24	2016-01-19	2015-08-14	2014-04-25	2013-09-03	2013-02-13
	Number of Days Pending	72	121	121	150	155	180	285	613	772	914
AGENCY OVERALL	Date of Receipt	2013-08-27	2013-08-16	2013-08-16	2013-08-16	2013-06-24	2013-06-24	2013-06-20	2013-06-16	2013-02-13	2011-05-05
	Number of Days Pending	777	784	784	784	822	822	824	827	914	1,361

D. (1) Comparison of Numbers of Requests from Previous and Current Annual Report – Requests Received, Processed, and Backlogged

Component	Number of Requests Received		Number of Requests Processed	
	Received During Fiscal Year from Last Year's Annual Report	Received During Fiscal Year from Current Annual Report	Processed During Fiscal Year from Last Year's Annual Report	Processed During Fiscal Year from Current Annual Report
CBP	52,290	66,690	77,746	73,235
CRCL	40	82	30	66
FEMA	758	2,659	717	1,837
FLETC	122	81	100	86
I&A	238	238	217	264
ICE	44,748	63,385	101,578	63,605
NPPD	13,781	19,557	12,232	20,062
OIG	261	193	223	174
PRIV	649	599	697	488
S&T	38	85	33	80
TSA	554	769	563	941
USCG	3,176	3,010	2,517	2,579
USCIS	162,986	166,732	150,897	145,470
USSS	1,497	1,700	1,328	1,662
AGENCY OVERALL	281,138	325,780	348,878	310,549

D. (2) Comparison of Backlogged Requests from Previous and Current Annual Report

Component	Number of Backlogged Requests as of End of the Fiscal Year from Previous Annual Report	Number of Backlogged Requests as of End of the Fiscal Year from Current Annual Report
CBP	9,280	1,172
CRCL	14	56
FEMA	760	1,447
FLETC	22	20
I&A	32	15
ICE	555	471
NPPD	4,749	3,825

Component	Number of Backlogged Requests as of End of the Fiscal Year from Previous Annual Report	Number of Backlogged Requests as of End of the Fiscal Year from Current Annual Report
OIG	91	112
PRIV	52	149
S&T	1	2
TSA	876	692
USCG	1,904	2,180
USCIS	16,247	35,763
USSS	791	884
AGENCY OVERALL	35,374	46,788

E. (1) Comparison of Numbers of Administrative Appeals from Previous and Current Annual Report – Appeals Received, Processed, and Backlogged

Component	Number of Appeals Received		Number of Appeals Processed	
	Received During Fiscal Year from Last Year's Annual Report	Received During Fiscal Year from Current Annual Report	Processed During Fiscal Year from Last Year's Annual Report	Processed During Fiscal Year from Current Annual Report
CBP	1,735	2,656	1,647	2,662
FEMA	19	22	14	19
FLETC	0	1	0	1
ICE	599	701	609	803
OGC	116	86	100	77
OIG	19	18	36	19
TSA	20	56	29	45
USCG	30	27	41	24
USCIS	1,987	1,942	2,002	1,952
USSS	154	99	156	89
AGENCY OVERALL	4,679	5,608	4,634	5,691

(2) Comparison of Backlogged Administrative Appeals from Previous and Current Annual Report

Component	Number of Backlogged Appeals as of End of the Fiscal Year from Previous Annual Report	Number of Backlogged Appeals as of End of the Fiscal Year from Current Annual Report
CBP	15	12
FEMA	33	36
FLETC	0	0
ICE	83	8
OGC	32	29
OIG	2	1
TSA	3	9
USCG	20	23
USCIS	0	0
USSS	3	0
AGENCY OVERALL	191	118

XIII. New Annual Report Metrics – 2016 FOIA Improvement Act of 2016
A. Number of Times Subsection (c) Used

Agency/Component	Number of Times Subsection (C) Used
CBP	0
CRCL	0
FEMA	0
FLETC	0
I&A	0
ICE	0
NPPD	0
OIG	0
PRIV	0
S&T	0
TSA	0
USCG	0
USCIS	0
USSS	0
AGENCY OVERALL	0

B. Number of Subsection (a)(2) Postings

Agency/Component	Number of (a)(2) Records Posted by the FOIA Office	Number of (a)(2) Records Posted by Program Offices
CBP	9	0
CRCL	1	0
FEMA	0	0
FLETC	1	0
I&A	1	0
ICE	64	0
NPPD	1	0
OIG	9	154
PRIV	20	0
S&T	1	0
TSA	33	0
USCG	1	0
USCIS	93	0
USSS	0	0
AGENCY OVERALL	234	154

APPENDIX A: Composition of the Department of Homeland Security

The Office of the Secretary oversees Department of Homeland Security (DHS) efforts to counter terrorism and enhance security, secure and manage our borders while facilitating trade and travel, enforce and administer our immigration laws, safeguard and secure cyberspace, build resilience to disasters, and provide essential support for national and economic security - in coordination with federal, state, local, international, tribal, and private sector partners.

Offices:

The Office of the Citizenship and Immigration Services Ombudsman (CISOMB) assists individuals and employers in resolving problems with the U.S. Citizenship and Immigration Services, identifies systemic issues, and makes recommendations to USCIS to improve the delivery of immigration services.

The Office for Civil Rights and Civil Liberties (CRCL) supports the Department as it secures the Nation while preserving individual liberty, fairness, and equality under the law.

The Office of the Executive Secretary (ESEC) assures the accurate and timely dissemination of information and written communications from throughout the Department and our homeland security partners to and from the Secretary and Deputy Secretary.

The Office of Intergovernmental Affairs (IGA) promotes an integrated national approach to homeland security by ensuring, coordinating, and advancing federal interaction with state, local, tribal, and territorial governments.

The Military Advisor's Office advises on facilitating, coordinating, and executing policy, procedures, preparedness activities, and operations between the Department and the Department of Defense.

The Office of the General Counsel (OGC) integrates approximately 1700 lawyers from throughout the Department comprised of a headquarters office with subsidiary divisions and the legal programs for eight Department Components.

The Office of Inspector General (OIG) conducts independent and objective inspections, audits, and investigations to provide oversight and promote excellence, integrity, and accountability in DHS programs and operations.

The Office of Legislative Affairs (OLA) serves as primary liaison to members of Congress and their staffs.

The Office of Public Affairs (OPA) coordinates the public affairs activities of all of the Department's Components and offices.

The Privacy Office (PRIV) works to preserve and enhance privacy protections for all individuals and to promote transparency of Department operations.

Department Components and Directorates:

U.S. Customs and Border Protection (CBP) is responsible for securing the border against all transnational threats and facilitating lawful trade and travel, while enforcing hundreds of U.S. laws at the border, including immigration and drug laws.

The Domestic Nuclear Detection Office (DNDO) works to enhance the nuclear detection efforts of federal, state, territorial, tribal, and local governments, and the private sector and to ensure a coordinated response to such threats.

The Federal Emergency Management Agency (FEMA) supports our citizens and first responders to ensure that as a Nation we work together to build, sustain, and improve our capability to prepare for, protect against, respond to, recover from, and mitigate all hazards.

The Federal Law Enforcement Training Centers (FLETC) mission is "We train those who protect our homeland." To carry out this mission, the FLETC serves as an interagency law enforcement training organization for 91 federal agencies or Partner Organizations. The FLETC also provides training to state, local, rural, tribal, territorial, and international law enforcement agencies.

The Office of Intelligence and Analysis (I&A) is responsible for equipping the Homeland Security Enterprise with the information and intelligence it needs to keep the United States safe, secure, and resilient.

U.S. Immigration and Customs Enforcement (ICE) promotes homeland security and public safety through the criminal and civil enforcement of federal laws governing border control, customs, trade, and immigration.

The Management Directorate provides Department-wide leadership and direction on the full spectrum of management issues. These efforts include integrating common operating standards; managing the Department's delegations and directives; leading investment and portfolio management; and administering six functional lines of business, which are financial management, human resources, facilities and logistics, information technology, security and procurement.

The National Protection and Programs Directorate (NPPD) leads the national effort to protect and enhance the resilience of the Nation's physical and cyber infrastructure.

The Office of Health Affairs (OHA) coordinates all medical activities of DHS to ensure appropriate preparation for and response to incidents having medical significance.

The Office of Operations Coordination (OPS) provides decision support and enables the execution of homeland security responsibilities across the enterprise; promotes situational awareness and information sharing; integrates and synchronizes strategic operations and planning; and administers the DHS continuity program.

The Office of Policy (PLCY) develops Department-wide policies, programs and planning to promote and ensure quality, consistency and integration across all homeland security missions.

The Transportation Security Administration (TSA) protects the Nation's transportation systems to ensure freedom of movement for people and commerce.

The United States Coast Guard (USCG) is one of the five armed forces of the United States and the only military organization within DHS. The Coast Guard protects the maritime economy and the environment, defends our maritime borders, and saves those in peril.

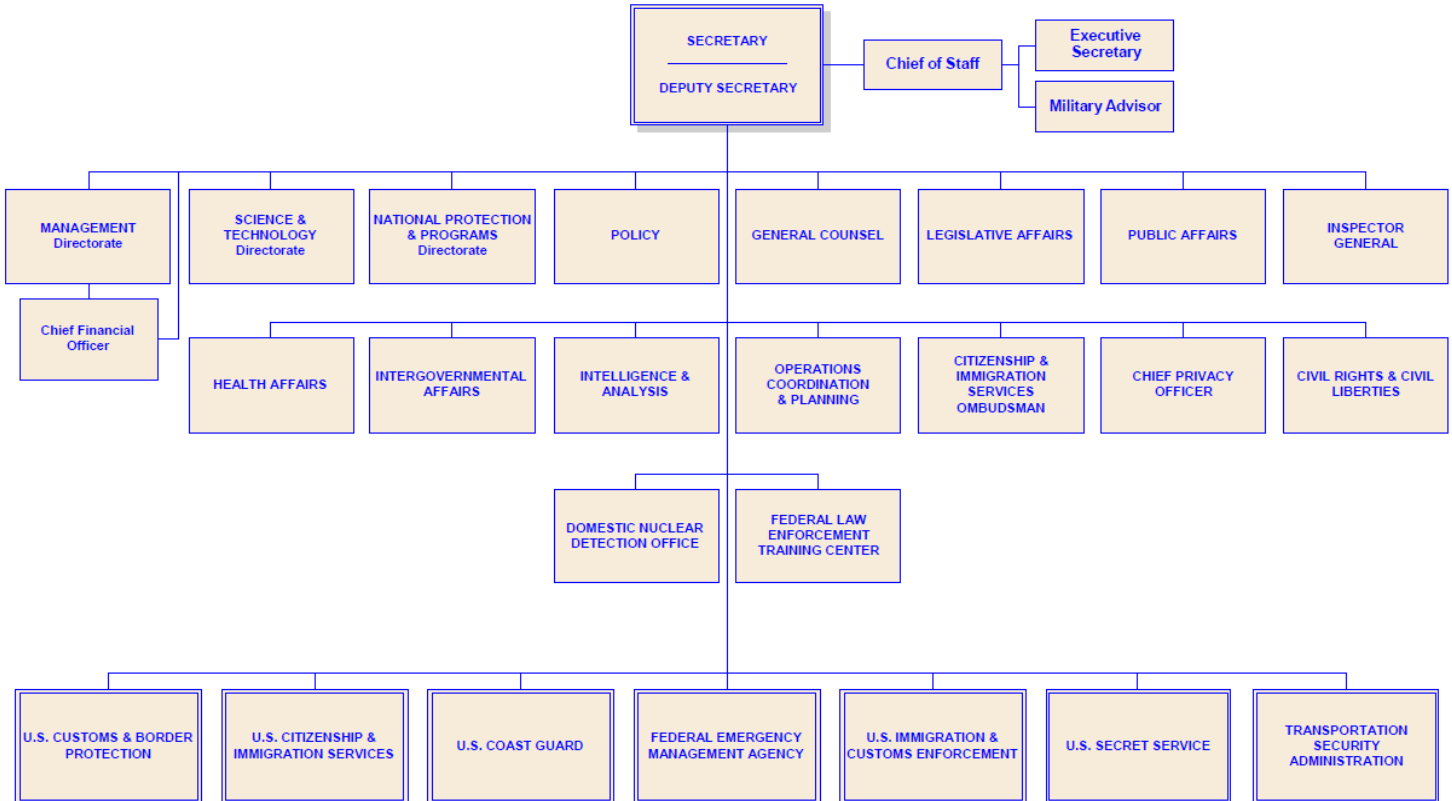
U.S. Citizenship and Immigration Services (USCIS) grants immigration and citizenship benefits, promotes awareness and understanding of citizenship, and ensures the integrity of our immigration system.

The Directorate for Science and Technology (S&T) strengthens America's security and resiliency by providing knowledge products and innovative technology solutions for the Homeland Security Enterprise.

The United States Secret Service (USSS) safeguards the Nation's financial infrastructure and payment systems to preserve the integrity of the economy, and protects national leaders, visiting heads of state and government, designated sites, and National Special Security Events.

APPENDIX B: Organization of the Department of Homeland Security Chart

U.S. DEPARTMENT OF HOMELAND SECURITY



APPENDIX C: Names, Addresses, and Contact Information for DHS FOIA Officers

Department of Homeland Security Chief FOIA Officer

Jonathan R. Cantor, Acting
Chief FOIA Officer
The Privacy Office
U.S. Department of Homeland Security
245 Murray Lane, SW, Mail Stop 0655
Washington, DC 20528-0655

James V.M.L. Holzer
Deputy Chief FOIA Officer
The Privacy Office
U.S. Department of Homeland Security
245 Murray Lane, SW, Mail Stop 0655
Washington, DC 20528-0655

Department of Homeland Security Component FOIA Officers

The Privacy Office
Nicole Barksdale-Perry, Acting
Senior Director, FOIA Operations
Ph: 202-343-1743; Fax: 202-343-4011
U.S. Department of Homeland Security
245 Murray Lane, SW, Mail Stop 0655
Washington, DC 20528-0655

U.S. Customs and Border Protection
Sabrina Burroughs
Ph: 202-344-1610; Fax: 202-325-0230
FOIA Division
1300 Pennsylvania Avenue, NW, Room
3.3D
Washington, DC 20229

U.S. Citizenship and Immigration Services
Jill Eggleston
Ph: 816-350-5521; Fax: 816-350-1793
National Records Center, FOIA/PA Office
P. O. Box 648010
Lee's Summit, MO 64064-8010

Federal Emergency Management Agency
Eric Neuschaefer
Ph: 202-646-3323
Records Management Division
500 C Street, SW
Mail Stop 3172
Washington, DC 20472-3172

Office for Civil Rights and Civil Liberties
Bradley White
Ph: 202-343-1743; Fax: 202-357-1196
DHS-CRCL-FOIA
U.S. Department of Homeland Security
245 Murray Lane, SW, Bldg. 410,
Mail Stop 0190
Washington, DC 20528-0190

Federal Law Enforcement Training Centers
Alicia Aldridge
Ph: 912-261-4512; Fax: 912-267-3113
Building No.681, Suite 187B
Glynco, GA 31524

U.S. Coast Guard
Gaston Brewer
Ph: 202-475-3525 Fax: 202-475-3927
Commandant (CG-611)
2701 Martin Luther King Jr Ave, SE
Stop 7710
Washington, DC 20593-0001

U.S. Immigration and Customs Enforcement
Catrina Pavlik-Keenan
Ph: 866-633-1182; Fax: 202-732-4265
500 12th Street, SW, Mail Stop 5009
Washington, DC 20536-5009

Office of Inspector General
Avery Roselle
Ph: 202-254-4001; Fax: 202-254-4398
U.S. Department of Homeland Security
245 Murray Lane, SW, Mail Stop 0305
Washington, DC 20528-2600

Office of Intelligence and Analysis
Brendan Henry
Ph: 202-447-3783; Fax: 202-612-1936
U.S. Department of Homeland Security
Washington, DC 20528-0001

Directorate for National Protection and
Programs
Toni Fuentes
Ph: 703-235-2211; Fax: 703-235-2052
U.S. Department of Homeland Security
Washington, DC 20528-0380

Science & Technology Directorate
Katrina Hagan
Ph: 202-254-6342; Fax: 202-254-6717
U.S. Department of Homeland Security
Washington, DC 20528-0001

United States Secret Service
Latita Payne
Ph: 202-406-6370; Fax: 202-406-5586
245 Murray Lane, SW, Building T-5
Washington, DC 20223

Transportation Security Administration
Regina McCoy
Ph: 1-866-FOIA-TSA; Fax: 571-227-2300
601 S. 12th Street, TSA-20
11th Floor, East Tower
Arlington, VA 20598-6020