



Homeland  
Security

AUG - 7 2017

MEMORANDUM FOR: John Roth  
Inspector General

Mark Jones  
Council of Inspectors General on Integrity and Efficiency

FROM: Stacy Marcott   
Chief Financial Officer (Acting)

SUBJECT: Quarterly High-Dollar Overpayments Report  
April to June 2017

Attached is the Department's Quarterly High-Dollar Overpayments report, as required by Section 3(f) of Executive Order 13520, *Reducing Improper Payments*. During this period, Components reported one high-dollar overpayment totaling \$627 thousand due to a contract that was not modified on a timely basis. This report also contains information on high-dollar overpayments reported in previous reports that remain uncollected as of this reporting period.

The Department is committed to eliminating payment error in federal programs and increasing transparency. As responsible stewards of taxpayer dollars, reducing improper payments remain a top priority. We continue to monitor programs and strengthen controls to reduce the instances of improper payments, take steps to mitigate systemic weaknesses, and address specific root causes.

Attachments

**Department of Homeland Security  
High-Dollar Overpayments Report  
for the Current Quarter Ending June 30, 2017**

**Current Quarter Activity**

Component	Recipient Type (Entity or Individual)	City	State	Program(s) Responsible	Recovery Actions Taken or Planned	Category (Bill)	Cause of Overpayment Category	Why the Overpayment Occurred And Actions to Prevent Recurrence	Payment Date	High \$ Overpayment Date Identified	Date Overpayment Recouped	Amount Paid	Correct Amount	Overpayment Amount	Recovered Amount	Waived Amount or Documentation Received	Outstanding Balance	Days taken to Recoup Overpayment
USCG	Entity	Newport News	VA	USCG FINCEN Contracts Team	N/A. No recoupment of funds.	Invoice Processing Error	Contract Management Weaknesses	Invoice 11 on Doc ID 2414G14PNY004 was submitted by the vendor for the period of 1-31 July 2016. The contract in place at the time of payment shows the contract period of performance ending 8 July 2016. Based on the KO approval of the invoice on 4 August 2016, FINCEN Contracts Team validated that funds were available and approved for payment. A contract modification was awarded on 11 October 2016 extending the period of performance to 28 October 2016. Reminders to validate the period of performance will be emailed to the CORs and KOs on a bi-monthly basis on the weekly contracts status report.	08/15/16	06/20/17	July 14, 2017	\$626,712.06	\$0.00	\$626,712.06	\$0.00	\$626,712.06	\$0.00	30
<b>Total</b>												\$626,712.06	\$0.00	\$626,712.06	\$0.00	\$626,712.06	\$0.00	

**Department of Homeland Security  
High-Dollar Overpayments Report  
for the Current Quarter Ending June 30, 2017**

**Debts Under Collection by DHS**

Component	Recipient Type (Entity or Individual)	City	State	Program(s) Responsible	Recovery Action Taken or Planned	Payment Date	High \$ Overpayment Date Identified	Amount Paid	Correct Amount	Overpayment Amount	Recovered Amount	Amount waived or determined to be uncollectable	Outstanding Balance	Days Outstanding
FEMA	Entity	Fort Harrison	MT	Homeland Security Grant Program	Notice of potential Debt sent to grantee for resolution of improper transaction. These are potential debts due to lack of supporting documentation during IPERA testing.	March 23, 2015	December 30, 2016	\$114,682.58	\$0.00	\$114,682.58	\$0.00	\$0.00	\$114,682.58	#VALUE!
FEMA	Entity	New York	NY	Transit Security Grant Program	Notice of potential Debt sent to grantee for resolution of improper transaction. These are potential debts due to lack of supporting documentation during IPERA testing.	October 23, 2014	December 30, 2016	\$203,090.42	\$0.00	\$203,090.42	\$0.00	\$0.00	\$203,090.42	#VALUE!
FEMA	Entity	Hingham	MA	Port Security Grant Program	Notice of potential Debt sent to grantee for resolution of improper transaction. These are potential debts due to lack of supporting documentation during IPERA testing.	December 31, 2014	December 30, 2016	\$100,348.38	\$0.00	\$100,348.38	\$0.00	\$0.00	\$100,348.38	#VALUE!
FEMA	Entity	Farmingtonmills	MI	Vendor Payment	Notice of potential Debt sent to vendor for resolution of improper transaction. These are potential debts due to lack of supporting documentation during IPERA testing.	November 25, 2014	December 31, 2016	\$108,769.17	\$54,384.58	\$54,384.59	\$0.00	\$0.00	\$54,384.59	#VALUE!
<b>Total</b>								<b>\$737,663.28</b>	<b>\$54,384.58</b>	<b>\$683,278.70</b>	<b>\$52,143.98</b>	<b>\$0.00</b>	<b>\$631,134.72</b>	

**Department of Homeland Security  
High-Dollar Overpayments Report  
for the Current Quarter Ending June 30, 2017**

**Debts Sent to Treasury Offset Program for Collection**

Component	Recipient Type (Entity or Individual)	City	State	Program(s) Responsible	Recovery Action Taken or Planned	Payment Date	High \$ Overpayment Date Identified	Amount Paid	Correct Amount	Overpayment Amount	Recovered Amount	Outstanding Balance	Days Outstanding
FEMA	Entity	U.S Virgin Islands	U.S. Virgin Islands	Port Security Grant Program	Recoupment package sent to Entity. Debt later sent to the Treasury Offset Program for collection.	November 11, 2008	September 30, 2010	\$19,239.54	\$0.00	\$19,239.54	\$0.00	\$19,239.54	#VALUE!
<b>Total</b>								<b>\$19,239.54</b>	<b>\$0.00</b>	<b>\$19,239.54</b>	<b>\$0.00</b>	<b>\$19,239.54</b>	

**Department of Homeland Security  
High-Dollar Overpayments Report  
for the Current Quarter Ending June 30, 2017**

Debts Cleared or Collected by Component					Recovery Action Taken To Recoup Overpayment	Payment Date	High \$ Overpayment Date Identified	Date Overpayment Recouped	Amount Paid	Correct Amount	Overpayment Amount	Recovered Amount	Waived Amount or Documentation Received	Outstanding Balance	Days taken to Recoup Overpayment
Component	Recipient Type (Entity or Individual)	City	State	Program(s) Responsible											
								Total	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	