Congressional Budget Justification

FY 2017

Homeland Security

Department of Homeland Security

United States Secret Service

Budget Overview

Fiscal Year 2017

Congressional Justification

i. Summary of FY Budget Estimates by Appropriation without Emergency Funding

Department of Homeland Security
United States Secret Service
Summary of FY 2017 Budget Estimates by Appropriation

Total Appropriations
(Dollars in Thousands)

Appropriation	FY 2015[1]			FY 2016			FY 2017			Increase(+) or Decrease(-) for FY 2017								
	Revised Enacted			Enacted			Request			Total Changes			Program Changes			Adjustments-to-Base		
	POS	FTE	Amount	POS	FTE	Amount	POS	FTE	Amount	POS	FTE	Amount	POS	FTE	Amount	POS	FTE	Amount
Operations and Support	6,683	6,298	$1,676,826	6,710	6,477	$1,850,612	6,768	6,701	$1,773,123	58	224	($77,489)	58	30	$2,437	-	194	($79,926)
Procurement, Construction, and Improvements	-	-	$62,183	-	-	$63,899	-	-	$110,627	-	-	$46,728	-	-	$63,953	-	-	($17,225)
Research and Development	-	-	$250	-	-	$250	-	-	$2,500	-	-	$2,250	-	-	$2,250	-	-	-
Federal Assistance	4	3	$18,776	4	4	$18,784	4	4	$4,869	-	-	($13,915)	-	-	($14,000)	-	-	$85
Subtotal, Discretionary	**6,687**	**6,301**	**$1,758,035**	**6,714**	**6,481**	**$1,933,545**	**6,772**	**6,705**	**$1,891,119**	**58**	**224**	**($42,426)**	**58**	**30**	**$54,640**	**-**	**194**	**($97,066)**
DC Annuity (Mandatory)	-	-	$260,000	-	-	$265,000	-	-	$265,000	-	-	-	-	-	-	-	-	-
Subtotal, Mandatory	**-**	**-**	**$260,000**	**-**	**-**	**$265,000**	**-**	**-**	**$265,000**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**
Total, United States Secret Service	**6,687**	**6,301**	**$2,018,035**	**6,714**	**6,481**	**$2,198,545**	**6,772**	**6,705**	**$2,156,119**	**58**	**224**	**($42,426)**	**58**	**30**	**$54,640**	**-**	**194**	**($97,066)**
Subtotal, Enacted Appropriations and Budget Estimates	**6,687**	**6,301**	**$2,018,035**	**6,714**	**6,481**	**$2,198,545**	**6,772**	**6,705**	**$2,156,119**	**58**	**224**	**($42,426)**	**58**	**30**	**$54,640**	**-**	**194**	**($97,066)**
Net, Enacted Appropriations and Budget Estimates:	**6,687**	**6,301**	**$2,018,035**	**6,714**	**6,481**	**$2,198,545**	**6,772**	**6,705**	**$2,156,119**	**58**	**224**	**($42,426)**	**58**	**30**	**$54,640**	**-**	**194**	**($97,066)**

[1] Reflects reprogrammings/transfers, as applicable, and actual FTE. This footnote applies to all FY 2015 Revised Enacted tables throughout the CJ submission.
* FY 2015 and FY 2016 funding levels reflect the CAS structure and are for comparison purposes only.

ii. FY 2017 Investment Summary

Department of Homeland Security
United States Secret Service
FY 2017 Investment Summary- Appropriation Level
(Dollars in Thousands)

Investment Name	PPA(s)	FY 2015 Revised Enacted	FY 2016 Enacted	FY 2017 Request
Enterprise Financial Management System (TOPS)	O&S - Management and Administration	$3,649	$4,102	$4,249
Information Technology Infrastructure (ITI)	O&S - Integrated Operations	$31,623	$31,743	$32,314
Information Integration and Technology Transformation (IITT)	O&S - Integrated Operations	$11,370	$9,405	$9,563
Information Integration and Technology Transformation (IITT)	PCI - Integrated Operations	$33,186	$34,332	$35,674
Total		**$79,828**	**$79,582**	**$81,800**

*FY 2015 and FY 2016 funding levels reflect the CAS structure and are for comparison purposes only.

iii. Status of Congressionally Requested Studies, Reports and Evaluations

Department of Homeland Security
United States Secret Service

Fiscal Year	Due Date	Reference/Citation	Requirement	Status
2016	2/1/2016	House Report 114-215, Title II, p. 69	**Facilities** - The Committee recommends $29,432,000 for facilities, $3,000,000 above the amount requested and $24,052,000 above fiscal year 2015. The increase above the request is for addressing critical deferred maintenance at the JJRTC. The Committee is concerned with changing requirements for the requested funds. To address these concerns, none of the funds provided for facilities may be obligated until five days after the USSS provides detailed obligation plan for facilities funding.	Under development
2016	2/1/2016	P.L. 114-113, Joint Explanatory Statement, Division F, Title II, p. 53	**Implementation of the Protective Mission Panel Findings** - The bill provides $84,500,000 for enhancements associated with findings of the United States Secret Service Protective Mission Panel, including $4,400,000 for the Uniformed Division retention bonus and not less than $8,200,000, available for two years, for the Crown fence replacement. Given this large increase in funding, as well as the complexity and critical nature of these enhancements, the Secret Service is directed to report on the use of these funds in its quarterly obligation plans as directed in title I of this statement.	Under development

iv. Schedule of Authorized/Unauthorized Appropriations by PPA

Department of Homeland Security

United States Secret Service

Schedule of Authorized/Unauthorized Appropriations by Program Project/Activity

(Dollars in Thousands)

Budget Activity	Last Year of Authorization	Authorized Level	Appropriation in Last Year of Authorization	FY 2017 Request
	Fiscal Year	Amount	Amount	Amount
Operations and Support	**N/A**	**N/A**	**N/A**	**$1,773,123**
-Protection	N/A	N/A	N/A	734,547
-Criminal Investigations	N/A	N/A	N/A	49,865
-Integrated Operations	N/A	N/A	N/A	842,995
-Management and Administration	N/A	N/A	N/A	145,716
Procurement, Construction, & Improvements	**N/A**	**N/A**	**N/A**	**$110,627**
-Protection	N/A	N/A	N/A	47,737
-Integrated Operations	N/A	N/A	N/A	62,890
Federal Assistance	**N/A**	**N/A**	**N/A**	**$4,869**
-Criminal Investigations	N/A	N/A	N/A	4,869
Research and Development	**N/A**	**N/A**	**N/A**	**$2,500**
-Protection	N/A	N/A	N/A	2,250
-Integrated Operations	N/A	N/A	N/A	250
Total Direct Authorization/Appropriation	**N/A**	**N/A**	**N/A**	**$1,891,119**

The Secret Service is established in 18 U.S.C. 3056 and 18 U.S.C. 3056A.
18 U.S.C. 1029 and 1030 specifically authorized the Service to investigate offenses under those sections.

Department of Homeland Security

United States Secret Service

Operations and Support

Fiscal Year 2017

Congressional Justification

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Schedule I – Executive Summary of Appropriation Exhibits

A. Summary of Budget Estimates by Program Project Activity- Appropriation Level

Department of Homeland Security
United States Secret Service
Operations and Support
Summary of FY 2017 Budget Estimates by Program Project Activity

FY 2017 Request
(Dollars in Thousands)

Program Project Activity	FY 2015			FY 2016			FY 2017			Increase(+) or Decrease(-) for FY 2017		
	Revised Enacted[1]			Enacted			Request			Total Changes		
	POS	FTE	Amount	POS	FTE	Amount	POS	FTE	Amount	POS	FTE	Amount
Protection	2,973	3,491	$602,041	3,000	2,759	$753,012	3,099	3,054	$734,547	99	334	($18,465)
Criminal Investigations	190	188	$46,730	210	210	$46,953	210	208	$49,865	-	(2)	$2,912
Integrated Operations	2,967	2,105	$876,791	2,947	2,792	$877,159	2,906	2,889	$842,995	(41)	156	($34,164)
Management and Administration	553	514	$151,264	553	716	$173,488	553	550	$145,716	-	(264)	($27,772)
Total, Operations and Support	**6,683**	**6,298**	**$1,676,826**	**6,710**	**6,477**	**$1,850,612**	**6,768**	**6,701**	**$1,773,123**	**58**	**224**	**($77,489)**
Subtotal, Enacted Appropriations & Budget Estimates	**6,683**	**6,298**	**$1,676,826**	**6,710**	**6,477**	**$1,850,612**	**6,768**	**6,701**	**$1,773,123**	**58**	**224**	**($77,489)**
Net, Enacted Appropriations and Budget Estimates:	**6,683**	**6,298**	**$1,676,826**	**6,710**	**6,477**	**$1,850,612**	**6,768**	**6,701**	**$1,773,123**	**58**	**224**	**($77,489)**

[1] Reflects reprogrammings/transfers, as applicable, and actual FTE. This footnote applies to all FY 2015 Revised Enacted tables throughout the CJ submission.
* FY 2015 and FY 2016 funding levels reflect the CAS Structure and are for comparison purposes only.

Overview

The U.S. Secret Service's Operations and Support (O&S) appropriation funds necessary operations, mission support, and associated management and administration (M&A) costs in support of the following mission-based programs, projects, and activities (PPAs):

- **Protection:** As authorized by Title 18 U.S.C. § 3056, the Protection program requires the Secret Service to provide physical safety and security to certain persons, designated facilities, and certain major events. This program is comprised of three distinct activity areas: (1) protection of persons, (2) protection of facilities, and (3) protection of events. The FY 2017 request includes funding to maintain current services and a $1.953 million increase from the FY 2016 funding level for Operational Mission Support (OMS).

- **Integrated Operations:** Integrated Operations provides funding for front-line operations command and control, coordination, and execution of the Secret Service integrated mission of protection and investigations. The FY 2017 request includes funds to maintain current service levels and an increase of $0.484 million for positions to support the completion of a multi-year replacement of outdated National Capital Region Radio Systems.

- **Criminal Investigations:** Criminal Investigations provides funds for day-to-day operations of the Office of Investigations, and Secret Service personnel salaries funded and dedicated solely to criminal investigations. The FY 2017 request includes funding to maintain current service levels.

- **Management and Administration:** M&A includes funds for mission support activities that provide enterprise leadership and management and/or business administration services. These include financial management, acquisition oversight, workforce management, administrative supplies and services, day-to-day management of headquarters (HQ) -related property and assets, management of enterprise legal affairs, and other general, day-to-day M&A requirements. The FY 2017 request includes funding to maintain current service levels.

Salaries related to operations and mission support are included within this appropriation. O&S also provides for the annualization of prior-year funding, fiscal adjustments, and budget activity related to Secret Service programs, projects, and activities. The chart that follows provides total Secret Service staffing from FY 2015 to FY 2017:

Secret Service Staffing by Appropriation: FYs 2015-2017

Appropriation/Position	FY 2015 Revised Enacted		FY 2016 Enacted		FY 2017 Request	
	Positions	FTE**	Positions	FTE	Positions	FTE
Operations and Support	**6,683**	**6,298**	**6,710**	**6,477**	**6,768**	**6,701**
Special Agents	3,319	3,252	3,359	3,246	3,359	3,359
Uniformed Division	1,500	1,329	1,545	1,491	1,545	1,509
Administrative, Professional, and Technical	1,864	1,717	1,806	1,740	1,864	1,833
Federal Assistance	**4**	**3**	**4**	**4**	**4**	**4**
Special Agents	3	2	3	3	3	3
Administrative, Professional, and Technical	1	1	1	1	1	1
TOTALS	**6,687**	**6,301**	**6,714**	**6,481**	**6,772**	**6,705**

* Table includes staffing funded through Operations and Support and Federal Assistance appropriations for comparability.
** FY 2015 reflects actual FTE.

B. FY 2016 to FY 2017 Budget Change - Appropriation Level

Department of Homeland Security
United States Secret Service
Operations and Support

FY 2016 to FY 2017 Budget Change
(Dollars in Thousands)

	Pos.	FTE	Amount
FY 2015 Revised Enacted	-	-	-
FY 2016 Enacted	-	-	-
Adjustments-to-Base			
Transfers to and from other accounts:			
From S&E - National Special Security Event Fund to O&S - Protection	-	-	$4,500
From S&E - Presidential candidate nominee protection to O&S - Protection	320	320	$203,687
From S&E - Protection of persons and facilities to O&S - Protection	2,397	2,266	$492,785
From S&E - Protective Intelligence activities to O&S - Protection	283	173	$38,700
From S&E - Rowley training center to O&S - Protection	-	-	$13,340
From S&E - Domestic Field Ops to O&S - Criminal Investigations	190	190	$44,587
From S&E - Missing and exploited children to O&S - Criminal Investigations	20	20	$2,366
Disaster Management transfer to DHS CIO	-	-	($103)
From ACI&RE - IITT to O&S - Integrated Ops	-	-	$14,130
From ACI&RE - Rowley training center to O&S - Integrated Ops	-	-	$5,557
From S&E - Domestic Field Ops to O&S - Integrated Ops	1,274	1,359	$279,290
From S&E - HQ, Management, and Admin to O&S - Integrated Ops	-	-	$58,218
From S&E - IITT to O&S - Integrated Ops	10	10	$1,057
From S&E - International field offices to O&S - Integrated Ops	65	50	$31,378
From S&E - Protection of persons and facilities to O&S - Integrated Ops	1,096	971	$414,128
From S&E - Protective intelligence activities to O&S - Integrated Ops	231	142	$32,267
From S&E - Rowley training center to O&S - Integrated Ops	271	260	$41,134
From S&E - Protection of persons and facilities to O&S - M&A	162	-	-
From S&E - HQ, Management, and Admin to O&S - M&A	391	716	$173,488
WCF Transfer	-	-	($80)
Total Transfers	**6,710**	**6,477**	**$1,850,429**
Increases			
2017 Pay Raise	-	-	$13,180
Annualization of 2016 Pay Raise	-	-	$4,940
Federal Protective Service Fee	-	-	$864
Funds Realignment	-	166	$13,973
Health Benefits	-	-	$2,749
Increased Retirement Contributions	-	-	$4,802
Protective Mission Enhancements	-	-	$6,726
Operational Mission Support (OMS)	-	-	$3,264
Performance and Learning Management System	-	-	$503
Workforce Maturation	-	-	$10,329
Total, Increases	**-**	**166**	**$61,330**
Decreases			
NON-RECUR: 2016 Presidential Campaign	-	-	($100,853)
NON-RECUR: Protective Mission Enhancements	-	-	($34,910)
Obama Protective Detail	-	28	($3,060)
Operational Mission Support (OMS)	-	-	($2,250)

	Pos.	FTE	Amount
Total, Decreases	**-**	**28**	**($141,073)**
Total Other Adjustments	**-**	**194**	**($79,743)**
Total Adjustments-to-Base	**6,710**	**6,671**	**$1,770,686**
FY 2017 Current Services	**6,710**	**6,671**	**$1,770,686**
Program Changes			
Increases			
Enhanced Operational Mission Support (OMS)	51	26	$1,953
National Capital Region Radio System Upgrade	7	4	$484
Total, Increases	**58**	**30**	**$2,437**
Total Program Changes	**58**	**30**	**$2,437**
FY 2017 Request	**6,768**	**6,701**	**$1,773,123**
FY 2016 to FY 2017 Change	**6,768**	**6,701**	**$1,773,123**

C. FY 2017 Investment Summary - Appropriation Level

Department of Homeland Security
United States Secret Service
Operations and Support
FY 2017 Investment Summary- Appropriation Level
(Dollars in Thousands)

Investment Name	PPA(s)	FY 2015 Revised Enacted	FY 2016 Enacted	FY 2017 Request
Information Integration and Technology Transformation (IITT)	Integrated Operations	$11,370	$9,405	$9,563
Information Technology Infrastructure (ITI)	Integrated Operations	$31,623	$31,743	$32,314
Enterprise Financial Management System (TOPS)	Management and Administration	$3,649	$4,102	$4,249
Total		**$46,642**	**$45,250**	**$46,126**

* FY 2015 and FY 2016 funding levels reflect the CAS structure and are for comparison purposes only.

Schedule II - Program, Project, Activity (PPA) Exhibits

Exhibit D. FY 2016 to FY 2017 Budget Change- PPA Level

Department of Homeland Security
United States Secret Service
Operations and Support
Protection
FY 2016 to FY 2017 Budget Change- PPA Level
(Dollars in Thousands)

Protection		Positions	FTE	Amount
Prior Year	**FY 2015 Revised Enacted**	-	-	-
Base	**FY 2016 Enacted**	-	-	-
Current Services	2017 Pay Raise	-	-	5,421
	Annualization of 2016 Pay Raise	-	-	2,112
	Federal Protective Service Fee	-	-	112
	From S&E - National Special Security Event Fund to O&S - Protection	-	-	4,500
	From S&E - Presidential candidate nominee protection to O&S - Protection	320	320	203,687
	From S&E - Protection of persons and facilities to O&S - Protection	2,397	2,266	492,785
	From S&E - Protective Intelligence activities to O&S - Protection	283	173	38,700
	From S&E - Rowley training center to O&S - Protection	-	-	13,340
	Funds Realignment	48	241	102,064
	Health Benefits	-	-	1,176
	Increased Retirement Contributions	-	-	2,057
	NON-RECUR: 2016 Presidential Campaign	-	-	(100,853)
	NON-RECUR: Protective Mission Enhancements	-	-	(34,910)

Protection		Positions	FTE	Amount
	Obama Protective Detail	-	28	(3,060)
	Operational Mission Support (OMS)	-	-	1,014
	Workforce Maturation	-	-	4,449
Program Changes	Enhanced Operational Mission Support (OMS)	51	26	1,953
Budget Year	**FY 2017 Request**	**3,099**	**3,054**	**734,547**
	Total Change from FY 2016 to FY 2017	**3,099**	**3,054**	**734,547**

PPA DESCRIPTION:

As authorized by Title 18 U.S.C. § 3056, the Secret Service provides physical safety and security to certain persons, designated facilities, and certain major events through the resources provided in the Protection PPA. Protective activities can be categorized into three distinct activity areas, as follows:

- Protection of Persons: Permanent protectees, such as the President and Vice President, are assigned details of Special Agents. Protective details for other protectees, such as former Presidents, their spouses, and visiting heads of state or governments and their accompanying spouses, are staffed with special agents on temporary assignment from Secret Service investigative field offices or headquarters. The Secret Service also protects major Presidential and Vice Presidential candidates, and spouses of eligible candidates. Using advanced protective countermeasures, the Secret Service executes security operations that prevent, deter, minimize, and respond to threats. The protective environment is enhanced by specialized resources within the Secret Service including the Airspace Security Branch, Counter Sniper Team, Counter Assault Team, Emergency Response Team, Counter Surveillance Division (CSD), Hazardous Agent Mitigation and Medical Emergency Response Team, Explosive Detection Unit, and the Magnetometer Operations Unit.

- Protection of Facilities: The Secret Service protects, in part, the following facilities: the White House Complex (WHC); any building in which Presidential offices are located (Treasury Building, New Executive Office Building, Old Executive Office Building); the Treasury Building and grounds; the Vice President's Residence and grounds; and 548 foreign diplomatic missions located in the Washington, D.C. metropolitan area. Protection of these facilities is carried out by Uniformed Division (UD) officers utilizing magnetometer screening operations and effective use of fixed posts, foot beats, bicycle patrols, roving vehicular patrols, and cameras. Technicians assigned to the Counter Sniper Units, Explosive Detection Units, and the Emergency Response Teams provide specialized security in and around the WHC. The White House Vehicle Inspection Teams and the CSD augment the UD work in securing the WHC and other protected sites. The UD Special Operations Section is an additional unit responsible for providing security operations, oversight, and coordination of tours of the White House Mansion and special events.

- Protection of Events: In addition to the protecting persons and facilities, this PPA provides funding for National Special Security Events (NSSEs) as well as major protective events that do not receive an NSSE designation. Examples of past NSSEs include the annual State of the Union Address, Democratic National Convention, Republican National Convention, Presidential Inaugural, and the visit of Pope Francis XVII to Washington, D.C., Philadelphia, and New York City. Meetings of the United Nations General Assembly (UNGA) in New York City are one of the most significant annual protective events, requiring the agency to provide simultaneous protection of heads of state or government, as well as the President, Vice President, and other Secret Service protectees that may be in attendance. The following chart illustrates the varying numbers of UNGA attendees requiring protective security each fiscal year.

Fiscal Year	Event	Head-of-Government or Head of State	Spouses	TOTAL
2008	UNGA 63	116	61	177
2009	UNGA 64	191	62	253
2010	UNGA 65	125	52	177
2011	UNGA 66	132	55	187
2012	UNGA 67	160	50	210
2013	UNGA 68	124	80	204
2014	UNGA 69	142	76	218
2015	UNGA 70	162	74	236

To accomplish its protective mission, the Secret Service conducts day-to-day operations through the following offices, facilities, and programs, which are supported by the Protection PPA:

- Office of Protective Operations (OPO): The Office of Protective Operations plans, directs, coordinates, and implements protective policies, programs, and operations of the Secret Service. The OPO ensures that all protected persons, places, and events receive the most appropriate level of protection, based upon a thorough assessment of associated threats and vulnerabilities, to reduce the risk of harm to the protectee and includes the following divisions:

    - Presidential Protective Division (PPD): This division protects the President of the United States and members of his immediate family at all times; conducts protective security surveys and performs numerous special projects pertinent to Presidential and First Family protection.

- Dignitary Protective Division (DPD): This division provides the planning, organization, and supervision of protective details which are established to ensure the highest level of security possible for visiting Foreign Heads of State/Heads of Government and their accompanying spouses, specified domestic government officials, and others as directed by the President.

- Special Operations Division (SOD): This division is responsible for developing, implementing, and managing specialized protective programs. SOD provides appropriate tactical and other protective support to all protective details to establish a secure environment for designated protectees.

- Special Services Division (SSD): This division is responsible for a variety of programs and activities in support of the protective mission.

  - White House Mail Screening Facility (WHMSF): The Secret Service provides management and operations of the WHMSF, which receives all incoming mail, parcels, and gifts destined for the WHC. The goal of the program is to detect any chemical, biological, radiological, nuclear and explosive hazards at a remote location in order to contain, mitigate, and investigate any vulnerability posed by these threats. All items going to the WHC are screened at a high threat level. The WHMSF allows the Secret Service to consolidate operational elements under one roof and within an existing Secret Service secured perimeter.

- Vice-Presidential Protective Division (VPD): This division protects the Vice President of the United States and members of his immediate family at all times; conducts protective security surveys and performs numerous special projects pertinent to Vice-Presidential protection.

- Uniformed Division (UD): This division is responsible for protecting the White House Complex and foreign embassies and consulates in and around the Washington, D.C. area.

• Office of Strategic Intelligence and Information: The Secret Service maintains protective intelligence and counter surveillance activities to ensure the safety of persons, facilities, and events under its protection. The Office of Strategic Intelligence and Information is charged with conducting, guiding, and providing oversight for all protective intelligence and counter surveillance activities through the following:

  - Protective Intelligence and Assessment Division (PID): This division includes designated Special Agents and intelligence

research specialists who analyze, evaluate, disseminate, and manage information about individuals, groups, and activities that pose potential risks to Secret Service interests. PID maintains a 24-hour operations center to process, evaluate, and refer threat assessments; its personnel also conduct threat assessments, briefings, and other information pertinent to protective intelligence activities. PID also provides training on the evaluation of terrorist groups, techniques, and practices to internal and external public and private entities through the Critical Protective Analysis Group.

  - Counter-Surveillance Division (CSD): This division is comprised of Special Agents and UD officers who detect and report unusual, suspicious, and pre-incident behaviors around Secret Service protected venues. CSD personnel maintain a 24-hour presence in and around fixed venues in the National Capital Region and in the Joint Operations Center. They also conduct vulnerability assessments around fixed and temporary Secret Service protected venues in order to identify likely surveillance points, attack staging and rally areas, and other areas of concern, as well as mitigation recommendations and solutions.

- Office of Technical Development and Mission Support (TEC): This division is responsible for developing and conducting extensive scientific and technical support programs. These programs include the design, installation, and modification of technical security equipment for Secret Service specific applications.

  - Technical Security Division (TSD): This division serves as the focal point for technical security matters within the Secret Service.

  - Operational Mission Support (OMS): The Secret Service uses the OMS initiative to enhance the protection of the President and Vice President at the WHC, the Vice President's Residence, and temporary sites from emerging explosive, chemical, biological, radiological, and cyber threats. OMS includes advanced protective countermeasures designed to address both established and evolving threats, and which must continually be refreshed with technical updates and/or upgrades.

The FY 2017 Budget includes $734.547 million; 3,099 positions; and 3,054 FTE for the Protection PPA to be used as follows:

Personnel Costs

The table below displays the number of Special Agents, UD officers, and Administrative, Professional, and Technical (APT) personnel funded in this PPA.

FY 2017 Staffing – Protection

	Positions	FTE
Special Agent	1,067	1,067
Uniformed Division	1,472	1,436
APT	560	551
Totals	3,099	3,054

Salary and benefits costs for this PPA include all UD officers as well as the portion of Special Agents and APT personnel dedicated to serving only the protective mission of the Secret Service.

The December 15, 2014 report from the Protective Mission Panel (PMP) recommended an increase over then-staffing levels of 85 Special Agents for Presidential Protective Details and 200 additional UD officers at the WHC. The FY 2016 Consolidated Appropriations Act included sufficient funding to achieve these recommendations, and the FY 2017 request continues to support these staffing levels. To this end, the FY 2017 Budget requests $550.184 million for protective staffing.

For the FY 2017 Protection PPA, salaries and benefits costs are calculated as follows:

Salaries and Benefits: For the FY 2017 Protection PPA, the Secret Service included $550.184 million for salaries and benefits, which include FTE costs and other payroll expenses, including the majority of funding for PCS moves. In addition, FTE costs associated with newly hired UD officers assigned to the James J. Rowley Training Center (JJRTC) and FLETC in a basic training status is included here.

Overtime Costs: For the FY 2017 Protection PPA, the Secret Service has included $33.400 million for overtime costs, based on historical data and the anticipated protective tempo. This funding level reflects a decrease of $3.806 from the FY 2016 level primarily due to the non-recur for the 2016 Presidential Campaign.

The following chart provides requested historical UD basic training in support of the protective mission and funded within this PPA:

Uniformed Division Officer Training: FYs 2009-2017

Fiscal Year	Basic Training	
	Classes	Trainees
2009 Actuals	10	186
2010 Actuals	11	209
2011 Actuals	6	136
2012 Actuals	1	21
2013 Actuals	1	35
2014 Actuals	6	130
2015 Revised Enacted	8	151
2016 Enacted	13	312
2017 Request	8	192

The JJRTC is a multi-purpose facility at which a variety of training is provided ranging from basic to various specialized disciplines applicable to many different missions; consequently JJRTC funding, except funding for UD basic training, is provided in the Integrated Operations PPA.

Travel Costs

A significant portion of the Secret Service's budget is consumed by travel costs. In FY 2015, Secret Service protective details, investigative field office personnel, and HQ personnel achieved 100 percent incident-free protection during domestic and international travel stops.

Number of Travel Stops of Selected Protectees*: FYs 2009-2015

Protectee	FY 2009	FY 2010	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015
President	355	364	336	466	318	281	305
First Lady	179	195	240	324	194	187	184
POTUS Family	164	141	147	126	146	110	142
Vice President	404	395	336	442	453	451	445
Second Lady	192	201	201	232	248	226	218
VPOTUS Family	117	226	269	282	428	261	192
Formers**	1,540	1,673	1,469	1,301	1,304	1,446	1,683
TOTAL	**2,951**	**3,195**	**2,998**	**3,173**	**3,091**	**2,962**	**3,169**

*Does not include foreign dignitaries, off the record trips, or in-towns, not requiring a 7-day advance.
** Formers includes Presidents, Vice Presidents, First and Second Lady

For FY 2017, the Secret Service requests $18.000 million in two-year funding for protective travel requirements.

Equipment and Other Costs

The FY 2017 Budget includes funding in the Protection PPA for equipment and other costs. Included are the following sustainment costs for OMS and protective mission enhancements that were recommended by the PMP:

- OMS: $58.193 million in FY 2017 funding is requested to sustain previous OMS investments to include:
  - Enhanced Explosive Detection Systems: $5.373 million
  - Enhanced Chemical, Biological, and Radiological Detections Systems: $9.612 million
  - Presidential Audio Countermeasures: $8.175 million
  - White House Physical Protective Structures: $12.006 million
  - Enhanced White House Camera System: $3.000 million
  - Cyber Protection Activities: $6.800 million
  - Portable Security Systems for Presidential Venues: $0.250 million
  - Staffing (93 FTE): $12.977 million
- Protective Mission Enhancements (PME): Pursuant to recommendations of the PMP, the FY 2015 revised enacted budget included $48.269 million for equipment and technology upgrades in support of the protective mission, and the FY 2016 enacted budget included $84.500 million, supporting activities across multiple Secret Service PPAs. To maintain these investments, the FY 2017 Budget includes $37.636 million, of which $30.910 million is included in the Protection PPA for the following:

- Continues funding received in the FY 2015 Revised Enacted budget ($7.2 million); most notably funding for protective sites, field office broadband upgrade, and counter UAS program;
- Recurs funds from the FY 2016 Enacted budget ($22.6 million); most notably recruitment, technology, and hiring advancements, Uniformed Division retention incentives, and Joint Operations Center upgrades; and
- Other emerging protective requirements ($1.1 million)

Other Equipment: Whether at a permanent protective site such as the WHC or at a temporary protective site, the UD is responsible for the prevention, deterrence, and detection of all items that may be deemed a threat. In support of that mission, UDs employ walk-through metal detectors, handheld metal detectors, portable x-ray machines, physical searches of hand-carried items, and deployment of Explosive Detection Units. From FYs 2008-2015, UD officers screened over 24 million people in support of the Secret Service protection mission, as shown in the table below.

Number of Uniformed Division Magnetometer Unit Screenings

	FY 2008	FY 2009	FY 2010	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015
White House Complex	1,027,012	867,061	1,109,691	936,530	963,306	653,439	824,783	858,961
Other Temporary Protective Sites	3,321,375	3,963,327	931,939	854,382	1,431,749	2,468,889	981,215	2,742,620
TOTALS	**4,348,387**	**4,830,388**	**2,041,640**	**1,790,912**	**2,395,055**	**3,122,329**	**1,805,998**	**3,601,581**

Former President Obama Protective Detail

The FY 2017 Budget requests $43.791 million to support the Obama Protective Division. This includes second year annualization for positions hired in FY 2016 and a non-recur for Permanent Change of Station (PCS) moves. The Secret Service is required to establish a permanent, post-presidency division for President Obama at the end of his term in office. The Obama Protective Division must be fully staffed, trained, and positioned by January 20, 2017. This division will also be responsible for the protection of the former First Lady and at least one minor child.

Presidential Candidate Nominee Protection

The FY 2017 Budget includes $72.134 million, 170 positions, and 170 FTE for Presidential Candidate Nominee Protection. Of this amount, $44.134 million is primarily for travel and overtime associated with the final months of the Campaign, including the 2017

Inauguration, and $28.000 million (170 positions/170 FTE) is for labor costs of existing FTE associated with campaign support.

This funding covers the comprehensive planning and implementation of security plans for the protection of designated candidates/nominees during the 2016 Presidential Campaign, including the Presidential and Vice Presidential Debates and extending through the 2017 Inauguration. The requested resources specifically permit the Secret Service to provide or acquire the security measures needed to protect designated campaign sites, candidates, and their families. The primary costs drivers are travel and overtime during the final weeks of the campaign.

Candidates often visit multiple cities per day and multiple states per week, and the necessary protective assets must always arrive and deploy before each visit. As a result, the Secret Service incurs significant costs for the transportation of persons and things to match the fast-paced operational tempo. The requested funding will allow the Secret Service to ensure that the right people and assets are at the right place, at the right time.

Funding under this PPA for this sub-activity also supports a change in the Secret Service's deployment strategy to a fourth work shift for Presidential Candidate Nominee Protection. Reconfiguring the work schedule to four shifts is intended to minimize frontline fatigue from the constant and fast-paced operational tempo and is consistent with PMP recommendations.

National Special Security Events

The FY 2017 Budget includes $4.500 million in no-year funding for NSSEs. This amount is based on an average of NSSE costs and is intended to support the operational security costs associated with one unanticipated event of average size or the start-up costs required to support a large event or multiple events.

The Secret Service is the lead agency for planning, coordinating, and implementing security operations at designated NSSEs. These events represent some of the most complex and logistically complicated protective operations undertaken by the Secret Service, often requiring anywhere from 3 to 18 months of planning.

Excluding quadrennial campaign-related NSSEs, such as the party nominating conventions, Presidential Inaugurations, and annual State of the Union Addresses, the Secret Service has planned, coordinated, and implemented operational security plans for 18 NSSEs over the past 16 years, an average of 1.2 NSSEs per year since 1998.

As a caveat, the designation of NSSEs is somewhat unpredictable; some NSSEs are known years in advance while others are designated with only weeks or even in a couple of days of notice. In support of NSSE operations, the Secret Service deploys

personnel and resources from across the country and coordinates resources from multiple Federal departments, numerous state and local law enforcement, and public safety jurisdictions. The President's State of the Union Address is typically designated an NSSE each year.

Department of Homeland Security
United States Secret Service
Protection
Justification of Program Changes
(Dollars in Thousands)

Program Increase: Enhanced Operational Mission Support (OMS)
PPA: Protection
Program Increase: FTP 51, FTE 26, $1.953 million

Funding Profile

	FY 2015 Revised Enacted			FY 2016 Enacted			FY 2017 Request		
	FTP	FTE	Dollars ($000)	FTP	FTE	Dollars ($000)	FTP	FTE	Dollars ($000)
Protection							67	67	$56,240
Subtotal, Current Services							**67**	**67**	**$56,240**
Protection							51	26	$1,953
Subtotal, Program Increases							**51**	**26**	**$1,953**
Total Request	67	67	$31,200	67	67	$55,000	**118**	**93**	**$58,193**

* Current services reflects ATBs to include position funding to support OMS.

DESCRIPTION OF ITEM:

This Program Increase includes $1.953 million, 51 positions, and 26 FTE to ensure OMS capabilities keep pace with evolving threats.

OMS supports the protection of the President and Vice President at the WHC, the Vice President's Residence, and temporary sites from emerging explosive, chemical, biological, radiological, and cyber threats. The advanced protective countermeasures that are part of OMS have been designed to address both established and evolving threats.

The implementation of OMS is a multi-year sustainment effort that requires base funding each fiscal year to address these threats. It is critical that the Secret Service have these resources to continually refresh and update/upgrade technical countermeasures in order to ensure a safe environment for the President, Vice President, and other Secret Service protectees.

Justification:

Requested funds provide for sustainment of OMS capabilities to keep pace with evolving threats. Specific work sub-packages associated with OMS funds can be generally grouped as: 1) those largely focusing on incremental enhancements and maintenance of OMS capabilities created via previous requested OMS funds; and 2) those work sub-packages that largely provide for new or substantially expanded capabilities within the OMS portfolio. Detailed descriptions of these additional OMS requirements are classified.

The following chart provides a detailed breakdown for each OMS initiative and distinguishes between funding for sustainment (O&S funded), research and development (R&D funded), and added capabilities (PC&I funded).

OMS Initiatives (Dollars in Thousands)	**FY 2017 O&S Funding**	**FY 2017 PC&I Funding**	**FY 2017 R&D Funding**	**FY 2017 Total Request**
Enhanced Explosive Detection Systems	$5,373.0	$7,190.0	-	$12,563.0
Enhanced Chemical, Biological, and Radiological Detections Systems	$9,612.0	$2,500.0	-	$12,112.0
Presidential Audio Countermeasures	$8,175.0	$3,075.0	-	$11,250.0
White House Physical Protective Structures	$12,006.0	$22,222.0	-	$34,228.0
Enhanced White House Camera System	$3,000.0	-	-	$3,000.0
Cyber Protection Activities	$6,800.0	-	-	$6,800.0
Development of Next Generation Presidential Limousine	-	$11,000.0	-	$11,000.0
Portable Security Systems for Presidential Venues	$250.0	$1,750.0	-	$2,000.0
Protective Systems and Weapons Testing Program	-	-	$2,250.0	$2,250.0
Total Program Costs	**$45,216.0**	**$47,737.0**	**$2,250.0**	**$95,203.0**
Positions	$12,977.0	-	-	$12,977.0
TOTAL, OMS Request	**$58,193.0**	**$47,737.0**	**$2,250.0**	**$108,180.0**

Further detail of OMS sustainment funding requested in FY 2017 is provided below. Additional information that relates to FY 2017 enhancement funding is provided in the Secret Service's PC&I appropriation.

- **Enhanced Explosive Detection Systems ($5.373 million):** These funds will provide capabilities to allow the Secret Service to better meet current and evolving, plausible, credible threats related to explosive devices.
- **Enhanced Chemical, Biological, and Radiological Detections Systems ($9.612 million):** These funds will provide capabilities to allow the Secret Service to better meet current and evolving, plausible, credible chemical, biological and radiological threats.
- **Presidential Audio Countermeasures ($8.175 million):** These funds will provide capabilities to allow the Secret Service to better meet current and evolving threats challenging the Secret Service mission responsibilities related to presidential audio countermeasures.
- **White House Physical Protective Structures ($12.006 million):** These funds will enable the Secret Service to substantially enhance and maintain the physical protective infrastructure necessary to assuredly defend the WHC and its occupants from plausible credible threats, both current and emerging.
- **Enhanced White House Camera System ($3.000 million):** These funds will better enable the Secret Service to enhance and maintain the Enhanced White House Camera System and its associated infrastructure necessary to assuredly defend the executive complex and its occupants from current and emerging threats.
- **Cyber Protection Activities ($6.800 million):** These funds will better enable the Secret Service to meet current rapidly growing and evolving cyber-derived threats.
- **Portable Security Systems for Presidential Venues ($0.250 million):** These funds will better enable the Secret Service to substantially enhance and maintain the portable security systems to assuredly defend the safety of the President, Vice President, and other Secret Service protectees at temporary venues from plausible, credible threats, both current and emerging.

Note: Additional details are available in a classified format.

Impact on Performance:

The implementation of OMS is a multi-year effort to address current and evolving threats. It is critical that the Secret Service have these resources to continually refresh and update/upgrade technical countermeasures in order to ensure a safe environment and provide enhanced security coverage for the President, Vice President, and other Secret Service protectees.

Department of Homeland Security
Operations and Support
Protection

Cost Drivers (Pay & Benefits) - PPA Level (Excludes Reimbursables) ($000s)

	FY 2015 Revised Enacted[1]				FY 2016 Enacted				FY 2017 Request				FY 2016 to FY 2017 Change			
	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost
Civilian Total	2,973	3,491	$465,471	$132	3,000	2,759	$441,252	$160	3,099	3,054	$550,184	$179	99	295	$108,932	$20

[1] Reflects reprogrammings/transfers, as applicable, and actual FTE. The use of FY 2015 actuals and FY 2015 Revised Enacted Budgetary Resources in the CAS Pay Cost Drivers Exhibit may reflect a higher average FTE Cost than represented in the FY 2016 Enacted or FY 2017 Request average cost columns.

NARRATIVE EXPLANATION OF CHANGES:

- **FTE Change FY 2016-2017:** FTE increased by 295 in the Protection PPA from FY 2016 to FY 2017. FTE changes include the following: annualization of the Obama Protective Detail (28 FTE), increased staffing to support OMS (26 FTE); and FTE realignment stemming from restoration of FTE funding reduced in the FY 2016 enacted budget (241 FTE).

- **Personnel Compensation and Benefits (PC&B) Change FY 2016-2017:** Personnel Compensation and Benefits funding increased by $108.932 million in the Protection PPA from FY 2016 to FY 2017 and include the following changes:
  - 2017 1.6% pay raise - $5.421 million
  - Annualization of 2016 1.3% pay raise - $2.112 million
  - Increased retirement contributions - $2.057 million
  - Health benefits - $1.176 million
  - Workforce maturation - $4.449 million
  - Realignment from FY 2016 enacted - $102.064 million
  - OMS Payroll (includes ATB and program increase) - $3.522 million
  - Non-recur 2016 Presidential Campaign - ($11.869) million

- **Average Cost Change FY 2016-2017**: Average cost/FTE increased by $20,000 per position in the Protection PPA due to the factors cited above under PC&B change.

- FY 2017 request estimates $3.158 million for awards. This request remains in line with OPM awards Guidance on Spending Limitations.

Department of Homeland Security
United States Secret Service
Protection
Cost Drivers (Non-Pay) - PPA Level ($000s)

O&S - Protection	2015 Revised Enacted	2016 Enacted	2017 Request	FY 2016 to FY 2017 Change
FY 2017 Non- Pay Cost Drivers (greatest-least)				
Other Contractual Services	$72,515	$101,753	$88,707	($13,046)
Travel and transportation of persons	$24,608	$105,968	$48,409	($57,559)
Equipment	$23,166	$56,568	$12,837	($43,731)
Rent, communications, and utilities	$6,790	$23,347	$13,055	($10,292)
Land and structures	$1,200	$9,740	$8,645	($1,095)
Total	**$128,279**	**$297,376**	**$171,653**	**($125,723)**

* FY 2015 and FY 2016 funding levels reflect the CAS Structure and are for comparison purposes only.

NARRATIVE EXPLANATION OF CHANGES:

- **Other contractual services:** The FY 2017 amount represents a decrease of $13.046 million due to a non-recur for the 2016 Presidential Campaign, an increase to OMS, and an increase for the FY 2017 Federal Protective Service fee.

- **Travel and transportation of persons:** The FY 2017 amount represents a decrease of $57.559 million resulting from a non-recur as the 2016 Presidential Campaign nears a conclusion.

- **Equipment:** The FY 2017 amount represents a decrease of $41.536 million resulting from a non-recur as the 2016 Presidential Campaign nears a conclusion, a non-recur to protective mission enhancements, and a non-recur for the Obama Protective Detail.

- **Rent, communications, and utilities:** The FY 2017 amount represents a decrease of $10.292 million resulting from a non-recur as the 2016 Presidential Campaign nears a conclusion.

- **Land and structures:** The FY 2017 amount represents a decrease of $1.095 million resulting from a non-recur as the 2016 Presidential Campaign nears a conclusion.

Department of Homeland Security
United States Secret Service
Operations and Support
Criminal Investigations
FY 2016 to FY 2017 Budget Change- PPA Level
(Dollars in Thousands)

Criminal Investigations		Positions	FTE	Amount
Prior Year	**FY 2015 Revised Enacted**	-	-	-
Base	**FY 2016 Enacted**	-	-	-
Current Services	2017 Pay Raise	-	-	632
	Annualization of 2016 Pay Raise	-	-	236
	From S&E - Domestic Field Ops to O&S - Criminal Investigations	190	190	44,587
	From S&E - Missing and exploited children to O&S - Criminal Inv	20	20	2,366
	Funds Realignment	-	(2)	558
	Health Benefits	-	-	131
	Increased Retirement Contributions	-	-	228
	Protective Mission Enhancements	-	-	636
	Workforce Maturation	-	-	491
Budget Year	**FY 2017 Request**	**210**	**208**	**49,865**
	Total Change from FY 2016 to FY 2017	**210**	**208**	**49,865**

PPA DESCRIPTION:

The Criminal Investigations PPA provides funding for day-to-day operations in support of the following divisions under the Office of Investigations:

- Criminal Investigative Division (CID): The Criminal Investigative Division assists the field's integrated operations and combats crimes against the United States' financial infrastructure and payment systems, including counterfeiting of domestic and foreign currency, identity theft, electronic crimes, and other computer-related fraud. CID provides this assistance to the field with the aid

of Computer Scientists, Counterfeit Specialists, Document Analysts, Asset Forfeiture Specialists, Forensic Financial Analysts, and Network Intrusion Forensic Analysts.

- Forensic Services Division (FSD): The Secret Service is home to an advanced forensics laboratory, which includes the world's largest ink library, and provides expert analysis related to polygraph examinations, fingerprints, false identification documents, credit cards and other related forensic science areas. FSD provides accurate and timely forensic examinations, offers training and consultation services, and meets visual communication requirements in support of the integrated mission of the Secret Service. Secret Service forensic analysts examine evidence, develop investigative leads, and provide expert courtroom testimony.

- Investigative Support Division: In support of the Secret Service's investigations and protective activities, the Investigative Support Division maintains a 24-hour Operations Center that provides rapid identification of assets and background information on individual, groups, and businesses of interest. The Secret Service employs Investigative Analysts who sort through large amounts of data to identify potential targets; links between figures, patterns, and events; and assets subject to seizure and forfeiture. They conduct independent research on credit card theft, identity theft, money laundering, counterfeiting, and other financial crimes cases.

- International Programs Division (IPD): This division is responsible for providing administrative support, procedures, and guidelines to the Secret Service's 21 foreign field offices to include representatives at Interpol and Europol. IPD also serves as the central liaison point for all international training sponsored by the Department of State, and coordinates Secret Service support of the International Law Enforcement Academies (ILEA) and other bilateral training programs. The Secret Service serves as primary trainers for the:
    - LELD (Law Enforcement Leadership Development Course) which includes Counterfeit/Electronic Crimes/Access Device (core course)
    - BICEP (Basic Investigation of Computers and Electronic Crimes program) (specialized course)
    - CNIC (Computer and Network Intrusion Course) (specialized course)
    - Protection Detail Briefing (specialized course)

- In addition to the criminal investigations work described in this PPA, Secret Service employees assigned to field offices conduct investigative activities that are funded in the Integrated Operations PPA. That related work includes:
    - Collaboration through an established network of 46 Financial Crimes Task Forces and 39 Electronic Crimes Task Forces;
    - Computer-based training to enhance the investigative skills of special agents through the Electronic Crimes Special Agent Program;

- Distribution of relevant cybersecurity information it discovers through its criminal investigations with the National Cybersecurity and Communications Integration Center, Information Sharing and Analysis Organizations, and other relevant cybersecurity entities in order to strengthen the security of cyberspace; and
- Partnerships with international law enforcement counterparts through overseas field offices.

The FY 2017 Budget includes $49.865 million; 210 positions; and 208 FTE for the Criminal Investigations PPA to be used as follows:

Personnel Costs

The table below displays the number of Special Agents, UD officers, and APT personnel funded in this PPA.

FY 2017 Staffing – Criminal Investigations

	Positions	FTE
APT	210	208
Totals	210	208

Salary and benefits costs for this PPA include APT positions assigned to the Office of Investigations. Salaries and benefits of Special Agents assigned to this Office are funded in the Integrated Operations PPA as these personnel support both the protection and investigations elements of the Secret Service's integrated mission. For the FY 2017, the Criminal Investigations PPA, salaries and benefits costs are calculated as follows:

Salaries and Benefits: The Secret Service has included $23.520 million for salaries and benefits, which include FTE costs and other payroll expenses.

Overtime Costs: For the FY 2017 Protection PPA, the Secret Service has included $.100 million for overtime costs, based on historical data and the anticipated protective tempo. This funding level is unchanged from the FY 2016 enacted budget.

Equipment and Other Costs

The request includes $26.345 million for day-to-day operations of the Office of Investigations HQ divisions, equipment, including equipment, contractual services, training, etc.

Protective Mission Enhancements

Pursuant to recommendations of the PMP, the FY 2015 revised enacted budget included $48.269 million and the FY 2016 enacted budget included $84.500 million for PME. To maintain these investments, the FY 2017 Budget includes $37.636 million, of which $0.636 million is included in the Criminal Investigations PPA for recruitment, technology, and hiring advancements.

Department of Homeland Security
United States Secret Service
Criminal Investigations

Cost Drivers (Pay & Benefits) - PPA Level (Excludes Reimbursables) ($000s)

	FY 2015 Revised Enacted[1]				FY 2016 Enacted				FY 2017 Request				FY 2016 to FY 2017 Change			
	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost
Civilian Total	190	188	$21,230	$112	210	210	$23,644	$112	210	208	$25,920	$124	-	(2)	$2,276	$12

[1] Reflects reprogrammings/transfers, as applicable, and actual FTE. The use of FY 2015 actuals and FY 2015 Revised Enacted Budgetary Resources in the CAS Pay Cost Drivers Exhibit may reflect a higher average FTE Cost than represented in the FY 2016 Enacted or FY 2017 Request average cost columns.

NARRATIVE EXPLANATION OF CHANGES:

- **FTE Change FY 2016-2017:** FTE decreased by two in the Criminal Investigations PPA from FY 2016 to FY 2017 resulting from typical staffing attrition.

- **PC&B Change FY 2016-2017:** Personnel Compensation and Benefits funding increased by $2.276 million in the Criminal Investigations PPA from FY 2016 to FY 2017 and include the following changes:
  - 2017 1.6 % pay raise - $0.575 million
  - Annualization of 2016 1.3% pay raise - $0.248 million
  - Increased retirement contributions - $0.240 million
  - Health benefits - $0.138 million
  - Workforce maturation - $0.517 million
  - Realignment from FY 2016 enacted - $0.558 million

- **Average Cost Change FY 2016-2017**: Average cost/FTE increased by $12,000 per position in the Criminal Investigations PPA due to the factors cited above under PC&B change.

- FY 2017 request estimates $0.157 million for awards. This request remains in line with OPM awards Guidance on Spending Limitations.

Department of Homeland Security
United States Secret Service
Criminal Investigations
Cost Drivers (Non-Pay) - PPA Level ($000s)

O&S - Criminal Investigations	2015 Revised Enacted	2016 Enacted	2017 Request	FY 2016 to FY 2017 Change
FY 2017 Non- Pay Cost Drivers (greatest-least)				
Equipment	$11,823	$10,798	$10,798	-
Other Contractual Services	$10,033	$8,820	$9,456	$636
Rent, communications, and utilities	$1,605	$1,605	$1,605	-
Travel and transportation of persons	$1,035	$1,035	$1,035	-
Supplies and materials	$871	$915	$915	-
Total	**$25,367**	**$23,173**	**$23,809**	**$636**

* FY 2015 and FY 2016 funding levels reflect the CAS Structure and are for comparison purposes only.

NARRATIVE EXPLANATION OF CHANGES:

- **Equipment:** The FY 2017 amount represents no dollar change from FY 2016.
- **Other contractual services:** The FY 2017 amount represents an increase of $0.636 million resulting from an increase for Protective Mission Enhancements primarily for Recruitment, Technology, and Hiring Advancements.
- **Rent, communications, and utilities:** The FY 2017 amount represents no dollar change from FY 2016.
- **Travel and transportation of persons:** The FY 2017 amount represents no dollar change from FY 2016.
- **Supplies and materials:** The FY 2017 amount represents no dollar change from FY 2016.

Department of Homeland Security
United States Secret Service
Operations and Support
Integrated Operations
FY 2016 to FY 2017 Budget Change- PPA Level
(Dollars in Thousands)

Integrated Operations		**Positions**	**FTE**	**Amount**
Prior Year	**FY 2015 Revised Enacted**	-	-	-
Base	**FY 2016 Enacted**	-	-	-
Current Services	2017 Pay Raise	-	-	6,203
	Annualization of 2016 Pay Raise	-	-	2,246
	Disaster Management transfer to DHS CIO	-	-	(103)
	Federal Protective Service Fee	-	-	536
	From ACI&RE - IITT to O&S - Integrated Ops	-	-	14,130
	From ACI&RE - Rowley training center to O&S - Integrated Ops	-	-	5,557
	From S&E - Domestic Field Ops to O&S - Integrated Ops	1,274	1,359	279,290
	From S&E - HQ, Management, and Admin to O&S - Integrated Ops	-	-	58,218
	From S&E - IITT to O&S - Integrated Ops	10	10	1,057
	From S&E - International field offices to O&S - Integrated Ops	65	50	31,378
	From S&E - Protection of persons and facilities to O&S - Integrated Ops	1,096	971	414,128
	From S&E - Protective intelligence activities to O&S - Integrated Ops	231	142	32,267
	From S&E - Rowley training center to O&S - Integrated Ops	271	260	41,134
	Funds Realignment	(48)	93	(51,624)
	Health Benefits	-	-	1,249
	Increased Retirement Contributions	-	-	2,180
	Workforce Maturation	-	-	4,665
Program Changes	System Enhancement	7	4	484
Budget Year	**FY 2017 Request**	**2,906**	**2,889**	**842,995**

Integrated Operations		Positions	FTE	Amount
	Total Change from FY 2016 to FY 2017	2,906	2,889	842,995

PPA DESCRIPTION:

The Integrated Operations PPA includes funding for all Secret Service employees assigned to the domestic and international field, the Office of the Chief Information Officer, and the Office of Training. Funding in this PPA also supports command and control as well as supports multiple functions and includes the following:

- Field and Resident Offices: The Secret Service operates field offices and resident offices outside the continental United States, and internationally with a physical presence in 18 foreign countries in support of both the protective and investigative missions. The Service currently operates 42 domestic field offices, 60 resident offices, 13 resident agencies, and 26 domiciles, strategically positioned to support the protective and execute the investigative missions. Through the field offices, Special Agents facilitate advance work conducted prior to the visit of Secret Service protectees and also conduct criminal investigations.

- Field Special Agents: By design, field Special Agents divide their time between conducting criminal investigations and providing protection support as needed, which has proven to be a necessary, effective, and efficient use of staffing resources. While field agents devote a majority of their time to protective intelligence and criminal investigations, they further act as surge capacity of temporary staffing to assist in the Secret Service's protective mission. It is during the course of this integrated operation that agents develop skills that are critical to both being a successful investigator and protective agent. There are direct parallels and similar skills that are inherent in working both complex investigations and conducting protective advances. Interview skills, operational planning, written and oral communication and the establishment of relationships with other federal, state, and local law enforcement agencies transcend the integrated mission.

- Critical Systems Protection (CSP): The CSP program identifies, assesses, and mitigates risk posed by information systems to persons and facilities protected by the Secret Service. The program supports a full spectrum of protective operations to include domestic and foreign trips, as well as NSSEs. It accomplishes its mission in support of the Presidential, Vice Presidential and Dignitary Protective Divisions by assessing the level of risk caused by the disruption, damage or destruction of process control systems critical to a physical event or venue. The CSP Program implements preventative, detective, and corrective controls to reduce risk from a viable cyber threat during protective operations. The result is situational awareness of the overall cyber-security environment during protective operations. CSP is coordinated by special agents assigned to the Office of Investigations who support both elements of the Service's integrated mission.

- James J. Rowley Training Center: The JJRTC is the primary training center for the Secret Service. JJRTC is comprised of 493 square acres of land, six miles of roadways, and 36 buildings featuring multiple classrooms, forearms ranges, physical fitness facilities, tactical villages, and a protective operations driving pad. This infrastructure fosters a quality training environment for new recruits and current employees. Additionally, JJRTC facilities are utilized to provide our Federal, State, and local law enforcement partners with collaborative training related to the Secret Service integrated mission.

  As Secret Service personnel progress through their careers, the JJRTC provides specialized and advanced training for continuing education to meet the emerging needs of the agency as it relates to the protective and investigative missions (e.g., financial crimes, cybercrimes, protective intelligence, etc.). The in-service curriculum consists of comprehensive training in specialized operational and protective tactics, financial crime, cyber-based investigations, and employee and managerial development. At the JJRTC, the Service also conducts research and testing of firearms, ammunition, and firearms related equipment to remain at the forefront of technological changes and potential impacts to Service's protective and investigative missions. In addition, JJRTC administers and controls agency wide acquisition, storage, maintenance, and issuance of firearms and ammunition to all Secret Service offices and gun-carrying personnel.

- Office of the Chief Information Officer (OCIO): The OCIO provides the Director, Deputy Director, Chief Operating Officer and senior staff overall leadership, strategic, tactical, operational direction, advice, and assistance concerning the Secret Service information technology (IT) programs. The office plans and manages the IT resources across the entire Secret Service enterprise and ensures maximum investment effectiveness. The OCIO has the authority to issue Secret Service-wide policy and instructions covering all areas of IT governance and management. The IT Operations Division provides on-site communications and computer support for protective events and NSSE. Additionally, it serves in a liaison capacity between field offices, HQ offices, and other Federal agencies regarding planning, management and application of information technology systems.

The FY 2017 Budget includes $842.995 million; 2,906 positions; and 2,889 FTE for the Integrated Operations PPA to be used as follows:

Personnel Costs

The table below displays the number of Special Agents, UD officers, and APT personnel funded in the PPA.

FY 2017 Staffing – Integrated Operations

	Positions	FTE
Special Agent	2,190	2,190
Uniformed Division	73	73
APT	643	626
Totals	2,906	2,889

Salary and benefits costs for this PPA include all Secret Service employees assigned to the domestic and international field, OCIO, and the Office of Training. These personnel are funded through the Integrated Operations PPA because they support both protection and criminal investigations within the Secret Service's integrated mission. Salaries and benefits costs for this PPA are calculated as follows:

Salaries and Benefits: The Secret Service has included $604.538 million for salaries and benefits, which include FTE costs and other payroll expenses.

Overtime Costs: For the FY 2017 Protection PPA, the Secret Service has included $25.300 million for overtime costs, based on historical data and the anticipated protective tempo. This funding level reflects a decrease of $18.000 million from the FY 2016 level due to the non-recur for the 2016 Presidential Campaign.

Training Costs

For FY 2017, $15.806 million is included in this PPA for training that supports both protection and criminal investigations to include Special Agent basic training, advanced training courses, supplies, like ammunition, FLETC reimbursement, management courses, and professional development.

All Secret Service personnel receive basic, advanced, and marksmanship training at the JJRTC in Beltsville, Maryland, and at FLETC in Glynco, Georgia.

The following chart provides historical and requested Special Agent basic training funded by this PPA:

Special Agent Basic Training Participants: FYs 2009-2017

Fiscal Year	Basic Classes	Special Agent Trainees
2009 Actuals	9	214
2010 Actuals	8	192
2011 Actuals	5	114
2012 Actuals	0	0
2013 Actuals	1	24
2014 Actuals	4	96
2015 Revised Enacted	10	207
2016 Enacted	12	288
2017 Request	7	168

Equipment and Other Costs

The FY 2017 Budget includes $218.651 million for equipment and other costs to support day-to-day operations of the Secret Service domestic and international field; telecommunications and utilities, IT services and equipment, training, and fuel and oil.

Information Integration & Technology Transformation (IITT)

Funds in this PPA support IITT, which was initiated to modernize its enterprise-wide IT environment focusing on four capability areas:

- Enabling (e.g., infrastructure, architecture);
- Mission support (applications supporting mission operations);
- Control (e.g., Security);
- Communications (e.g., White House Communications Agency interoperability (WHCA), and communications upgrades including worldwide tactical communications, classified messaging).

The FY 2017 Budget requests $9.563 million for IITT in the O&S Integrated Operations PPA. These funds cover the costs of IITT program sustainment and enable the Secret Service to provide essential information technology. The table that follows provides a detailed breakdown of FY 2015-2017 IITT funding by project and capability area. In addition, $1.085 million is requested in FY 2017 for continued IITT staffing support. In FY 2017, sustainment is funded through the O&S appropriation while development funds

come from the PC&I appropriation.

Information Integration and Technology Transformation ($ in Thousands)	FY 2015 Revised Enacted	FY 2016 Enacted	FY 2017 Request	FY 2016-17 Change
Enabling Capabilities	**$27,089.8**	**$24,331.5**	**$22,934.2**	**($1,397.3)**
Development to FOC (PC&I in FY2017)				
IT Modernization - EC Development	$10,472.0	$6,121.5	$3,087.4	($3,034.1)
IT Modernization - Help Desk & Support Services	$0.0	$4,628.6	$4,928.6	$300.0
Mainframe Migration & Refactoring	$2,000.0	$2,000.0	$2,000.0	$0.0
IT Network Infrastructure - Development	$6,171.0	$4,015.8	$5,393.2	$1,377.4
Database Architecture - Development	$4,592.0	$4,802.0	$4,812.0	$10.0
Cyber Security - Development	$3,896.4	$5,693.4	$7,304.0	$1,610.6
Sustainment Post-FOC (O&S in FY2017)				
Information Assurance - Support & Equipment Refresh	$3,854.8	$2,763.6	$2,713.0	($50.6)
Control Capabilities	**$3,479.1**	**$4,571.3**	**$4,757.9**	**$186.6**
Development to FOC (PC&I in FY2017)				
Multi-Level Security Development	$3,054.1	$4,170.9	$4,348.8	$177.9
Sustainment Post-FOC (O&S in FY2017)				
Cross Domain Support & Licensing	$425.0	$400.4	$409.1	$8.7
Mission Support Capabilities	**$4,326.1**	**$5,650.0**	**$5,050.0**	**($600.0)**
Development to FOC (PC&I in FY2017)				
COLD2 Development	$3,000.0	$2,900.0	$3,800.0	$900.0
Sustainment Post-FOC (O&S in FY2017)				
PTMS Support	$1,326.1	$1,250.0	$1,250.0	$0.0
Communications Capabilities	**$5,763.6**	**$4,990.8**	**$5,190.9**	**$200.1**
Sustainment Post-FOC (O&S in FY2017)				
Communications Equipment Refresh and Upgrades	$5,763.6	$4,990.8	$5,190.9	$200.1
Subtotal, Development (PC&I Funded)	**$33,185.5**	**$34,332.2**	**$35,674.0**	**$1,341.8**
Subtotal, Sustainment (O&S Funded)	**$11,369.5**	**$9,404.8**	**$9,563.0**	**$158.2**
GRAND TOTAL	**$44,555.0**	**$43,737.0**	**$45,237.0**	**$1,500.0**

* In FY 2017, sustainment is funded through the O&S appropriation while development funds come from the PC&I appropriation. FY 2015 and 2016 columns include notional O&S and PCI splits for comparability.

The requested IITT funding supports the positions hired for IITT that cover the core staff responsible for the management of the program, with a special emphasis on managing the IT Infrastructure Modernization, Database Architecture, Cyber Security, and Information Assurance projects. IITT program management activities require permanent personnel resources to:

- Deliver improved IT and communications capabilities to Secret Service field offices, Special Agents, and UD officers in direct support of the dual mission;
- Deliver the design and implementation of the Secret Service's modernized network architecture;
- Conduct technical reviews on requirements, design, test, and production readiness for emerging IITT projects;
- Prepare acquisition documentation required by the Office of Management and Budget and DHS Management Directives;
- Conduct Risk Working Group meetings to analyze, control and manage program risk information and mitigate impacts to cost, schedule and performance;
- Achieve planned Acquisition Decision Events critical to program success;
- Conduct Executive Steering Group meetings between DHS leadership and the Secret Service's Component Acquisition Executive and Chief Information Officer;
- Complete Program or Project Management Reviews with DHS and Secret Service leadership; and
- Prepare procurement documents for required software, hardware, IT licenses, and IT services.

IT Infrastructure (ITI)

The FY 2017 Budget includes $32.314 million for the IT infrastructure program, which is the information and communication backbone of the Secret Service. ITI provides the foundation for all mission-critical IT-related systems, supports secure sharing of information within the Secret Service, and provides direct access to law enforcement, Department of Defense, and other government agencies. This investment covers the operations and maintenance support of the IT infrastructure, ensuring that it is constantly available to support Secret Service protective and investigative missions and operations. The Secret Service IT infrastructure provides critical operations and maintenance support to maintain the status quo while Secret Service modernizes the IT infrastructure under IITT. FY 2017 funding levels for ITI activities are outlined in the following table.

IT Infrastructure Activities ($ in Thousands)	FY 2017 Request
Network Program – circuits, supplies, and maintenance	$1,731,000
PC/Printer Refresh Program	$3,447,010
Local Area Network (LAN) Program – equipment, supplies, and licenses	$3,244,000
Cyber Security Program	$730,000
IT Strategic Governance	$1,300,000
IT Support Services – video/wireless supplies, copier lease/breakage	$526,000
Joint Operations Center (JOC) Program	$2,850,990
Enterprise Applications Program	$2,000,000
Telephone Program – equipment, supplies, and services	$2,261,000
Radio Program – equipment, supplies, and antennas	$2,280,000
Telecommunications Program – secure phones and cell phone air time	$4,110,000
Subtotal ITI	**$24,480,000**
FTE (60)[1]	$7,834,000
Total ITI	**$32,314,000**

As shown in the table above, the ITI Program consists of base activities that "keep the lights on" for much of the Secret Service's IT and communications systems. These activities include: network leases and maintenance; telephone support; radio installations, engineering support and antennas; hardware lifecycle replacements; local area network (LAN) licenses and maintenance; information security licenses and support; enterprise applications licenses and support; and cellphone airtime.

[1] While 60 FTE are attributed to the Information Resources Management Division (IRMD) as part of the ITI Major Acquisition table included in past Congressional Justifications, actual end-of-year staffing for IRMD in FY 2015 was 156 FTE (9 SAs and 147 APTs).

IT Consolidation

Following establishment of the USSS OCIO in 2015, the Secret Service began evaluating the potential to merge the ITI and IITT programs to enable consolidation of all Secret Service IT funding and enhance governance. Consideration of this merger coincides with several mandates that will require the Secret Service to (1) standardize budgeting and programming through the Common Appropriations Structure and (2) establish management practices that align IT resources with agency missions, goals, programmatic priorities, and statutory requirements through implementation of the Federal Information Technology Acquisition Reform Act (FITARA). Work on this potential merger is ongoing and involves Departmental and other external stakeholders.

Department of Homeland Security
United States Secret Service
Integrated Operations
Justification of Program Changes
(Dollars in Thousands)

Program Increase: National Capital Region Radio System Upgrade
PPA: Integrated Operations
Program Increase: FTP 7, FTE 4, $0.484 million

Funding Profile

	FY 2015 Revised Enacted			FY 2016 Enacted			FY 2017 Request		
	FTP	FTE	Dollars ($000)	FTP	FTE	Dollars ($000)	FTP	FTE	Dollars ($000)
Integrated Operations							-	-	-
Subtotal, Current Services							-	-	-
Integrated Operations							7	4	$484
Subtotal, Program Increases							**7**	**4**	**$484**
Total Request	**-**	**-**	**-**	**-**	**-**	**$16,806***	**7**	**4**	**$484**

* FY 2016 funding included in $34.910 million PME non-recur.

DESCRIPTION OF ITEM:

This Program Increase includes $484 thousand, 7 positions, and 4 FTE for Telecommunications Specialists required to properly support and sustain mission critical, 24x7 operations.

The Secret Service radio systems used by UD officers and Special Agents at the White House Complex (WHC) and the Washington Field Office (WFO) in support of the protective and investigative missions are outdated. The radio systems have increasingly been failing and are beyond their serviceable lives. Failure to upgrade these radio systems can have a significant impact on the safety of protectees.

Additionally, Secret Service UD officers are currently using handheld and mobile VHF radios at both the White House and Foreign

Missions that are in need of replacement. Additionally, Special Agents use these radios for field office investigative cases and when traveling on protective duties.

Telecommunications Specialist positions are required to properly support and sustain mission critical, 24x7 operations. This directly addresses the DHS, which notes "technology systems used on the complex must always remain on the cutting edge" and further recommends that the Secret Service "promote specialized expertise in its budget, workforce and technology functions." These telecommunication specialists will provide direct support to the radio systems, communications security, and other specialized information technology systems utilized by the Secret Service in support of the WHC. Duties will include but not be limited to radio system support, daily system integrity checks, routine maintenance, supporting special agents and UD officers with communications equipment, ensuring special operations vehicles and motorcade vehicles are maintained and repaired immediately, assisting in communications testing, ensuring systems are in a state of readiness if the JOC has to relocate to the WHC. These positions will also work closely with partners from the White House Communications Agency (WHCA), Executive Office of the President (EOP) and other critical partners to maintain overall readiness of the communications systems at the WHC.

These required personnel will align support efforts by the Information Technology Operations Division, who already provide 24x7 support to the Joint Operations Center (JOC). Implementing 24x7 on-site support has improved response time for communication equipment issues, reduced radio system downtime, provided support for routine system checks and testing of both primary and backup systems, and improved overall reliability of the radio systems.

Justification:

The White House Complex and National Capital Radio Systems are outdated and require cutting edge technologies that meet the protective operational requirements and tempo. In addition, these radio systems and handheld radios directly impact and support the safety of protective operations for the WHC, WFO, and the protective details. These radio systems are the life-line and first line of communications for personnel responding to events such as fence jumpers, suspicious packages, active shooters, unauthorized vehicle attempts, protests, investigations, counter sniper, counter surveillance and other protective and investigative communications.

Impact on Performance:

The funding increase will improve the Secret Service's ability to provide enhanced security coverage of its protectees. With the increased threats to the WHC highlighted by such events as September 19, 2014 and November, 26 2015 it has become critically obvious that 24x7 technical support is required on-site to ensure systems and personnel issue equipment is maintained in the highest state of readiness at all times. There is a high operational tempo at the WHC, and this upgrade will provide the WHC with personnel

to maintain the state-of-the-art system that supports operational mission need. Without the requested on-site technical system experts, it will be impossible to maintain the appropriate state of readiness and reliability. These positons are deemed mission critical and essential in supporting the evolving technology support at the WHC.

Department of Homeland Security
United States Secret Service
Integrated Operations

Cost Drivers (Pay & Benefits) - PPA Level (Excludes Reimbursables) ($000s)

	FY 2015 Revised Enacted[1]				FY 2016 Enacted				FY 2017 Request				FY 2016 to FY 2017 Change			
	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost
Civilian Total	2,967	2,105	$591,438	$280	2,947	2,792	$639,619	$229	2,906	2,889	$604,538	$208	(41)	97	($35,081)	($21)

[1] Reflects reprogrammings/transfers, as applicable, and actual FTE. The use of FY 2015 actuals and FY 2015 Revised Enacted Budgetary Resources in the CAS Pay Cost Drivers Exhibit may reflect a higher average FTE Cost than represented in the FY 2016 Enacted or FY 2017 Request average cost columns.

NARRATIVE EXPLANATION OF CHANGES:

- **FTE Change FY 2016-2017:** FTE increased by 97 in the Integrated Operations PPA from FY 2016 to FY 2017. FTE changes include the following: increased staffing to support National Capital Region radio system upgrades (4 FTE) and FTE realignment resulting from the FY 2016 enacted budget (93 FTE).

- **PC&B Change FY 2016-2017:** Personnel Compensation and Benefits funding decreased by $35.081 million in the Integrated Operations PPA from FY 2016 to FY 2017 and include the following changes:
  - 2017 1.6% pay raise - $6.203 million
  - Annualization of FY 2016 1.3% pay raise - $2.246 million
  - Increased retirement contributions - $2.180 million
  - Health benefits - $1.249 million
  - Workforce maturation - $4.665 million
  - Realignment from FY 2016 enacted - ($51.624) million

- **Average Cost Change FY 2016-2017**: Average cost/FTE decreased by $21,000 per position in the Integrated Operations PPA due to the factors cited above under PCB change.

- FY 2017 request estimates $2.672 million for awards. This remains in line with OPM awards Guidance on Spending Limitations.

Department of Homeland Security
United States Secret Service
Integrated Operations
Cost Drivers (Non-Pay) - PPA Level ($000s)

O&S - Integrated Operations	2015 Revised Enacted	2016 Enacted	2017 Request	FY 2016 to FY 2017 Change
FY 2017 Non- Pay Cost Drivers (greatest-least)				
Rent, communications, and utilities	$83,093	$83,092	$83,092	
Travel and transportation of persons	$53,134	$51,613	$51,613	-
Other Contractual Services	$92,156	$46,756	$47,673	$917
Equipment	$39,355	$36,202	$36,202	-
Supplies and materials	$10,290	$10,328	$10,328	-
Total	**$278,028**	**$227,991**	**$228,908**	**$917**

* FY 2015 and FY 2016 funding levels reflect the CAS Structure and are for comparison purposes only.

NARRATIVE EXPLANATION OF CHANGES:

- **Rent, communications, and utilities:** The FY 2017 amount represents no dollar change from FY 2016.
- **Travel and transportation of persons:** The FY 2017 amount represents no dollar change from FY 2016.
- **Other contractual services:** The FY 2017 amount represents an increase of $0.917 million resulting from transfers for IITT, Protective Mission Enhancements, and Disaster Management, an increase for positions hired to support National Capital Region radio system upgrades, and an increase for the FY 2017 Federal Protective Service fee.
- **Equipment:** The FY 2017 amount represents no dollar change from FY 2016.
- **Supplies and materials:** The FY 2017 amount represents no dollar change from FY 2016.

Department of Homeland Security
United States Secret Service
Operations and Support
Management and Administration
FY 2016 to FY 2017 Budget Change- PPA Level
(Dollars in Thousands)

Management and Administration		Positions	FTE	Amount
Prior Year	**FY 2015 Revised Enacted**	-	-	-
Base	**FY 2016 Enacted**	-	-	-
Current Services	2017 Pay Raise	-	-	924
	Annualization of 2016 Pay Raise	-	-	346
	Federal Protective Service Fee	-	-	216
	From S&E - HQ, Management, and Admin to O&S – M&A	391	716	173,488
	From S&E - Protection of persons and facilities to O&S - M&A	162	-	-
	Funds Realignment	-	(166)	(37,025)
	Health Benefits	-	-	193
	Increased Retirement Contributions	-	-	337
	Protective Mission Enhancements	-	-	6,090
	Performance and Learning Management System	-	-	503
	WCF Transfer	-	-	(80)
	Workforce Maturation	-	-	724
Budget Year	**FY 2017 Request**	**553**	**550**	**145,716**
	Total Change from FY 2016 to FY 2017	**553**	**550**	**145,716**

The M&A PPA includes funding for mission support activities that provide enterprise leadership and management and/or business administration services. These are comprised of financial management, acquisition oversight, workforce management, administrative supplies and services, day-to-day management of HQ-related property and assets, management of enterprise legal affairs, and other general, day-to-day M&A requirements. The following provides a brief description of the Secret Service's HQ offices and functions:

- Office of the Director: Leads roughly 6,400 employees in the mission of the Secret Service, safeguarding the Nation's financial

infrastructure and payment systems to preserve the integrity of the economy, and to protect national leaders, visiting heads of state and government, designated sites, and NSSEs.

Office of the Deputy Director: Responsible for the daily protective and investigative operations of the Secret Service and the following Secret Service directorates: Office of Government and Public Affairs, Office of Professional Responsibility, Office of Strategic Intelligence and Information, Office of Technical Development and Mission Support, and the Office of Training.

- Office of the Chief Operating Officer: Responsible for planning and directing all business and programmatic activities for the Secret Service; provides oversight of the Office of the Chief Financial Officer, the Office of Human Resources, the Office of Strategic Planning and Policy and the Office of the Chief Information Officer.

- Office of the Chief Financial Officer: Ensures the Secret Service leadership has financial information to make and implement sound programmatic decisions in support of the agency's protective and investigative responsibilities. In addition to preparing budgets and financial statements, staff and associated divisions develop and administer Secret Service-wide policies, plans, and procedures in budget, finance, accounting, financial systems, statements, relocation and travel services, acquisition and contracting, and facility and property management.

- Office of Strategic Planning and Policy: Coordinates, supports, and develops short and long term strategy planning for the Secret Service. This office improves contemporary business planning and processes, develops effective marketing strategies, leads enterprise-wide policy development, provides Special Project capabilities, and guides an agency-wide unity of effort.

- Office of Human Resources: Directs, coordinates, and implements policies and programs associated with the Secret Service's human capital needs. This office focuses on hiring and retaining a diverse workforce that is highly qualified and high performing in order for the Secret Service to meet its integrated mission of protection and criminal investigations.

- Office of the Chief Counsel: Responds to legal questions regarding Secret Service criminal investigations, handles Federal Tort Claims Act and other administrative claims, and provide advice on ethics, Freedom of Information Act and Privacy Act matters, adverse actions, security clearance determinations, asset forfeiture, garnishments, appropriations, procurement, legislation, and policy matters.

- Office of Government and Public Affairs: Includes the Liaison Division, the Congressional Affairs Program, the Public Affairs Program, and the Homeland Security Program. Functions include providing legislative analysis and advice; acting as liaison to external partners, and serving as the official spokesperson for the Secret Service.

- Office of Professional Responsibility: Ensures that Secret Service offices and programs comply with Secret Service policies and Federal regulations, and helps operational mission areas continue to function efficiently and effectively.

The FY 2017 Budget includes $145.716 million, 553 positions, and 550 FTE for the M&A PPA to be used as follows:

Personnel Costs

The table below displays the number of Special Agents, UD officers, and APT personnel funded in the PPA.

FY 2017 Staffing – Management and Administration

	Positions	FTE
Special Agent	102	102
APT	451	448
Totals	553	550

Salaries and Benefits: The FY 2017 M&A PPA includes $87.106 million for salaries and benefits, which include FTE costs and other payroll expenses for the personnel in Secret Service headquarters divisions described above.

Protective Mission Enhancements (PME)

Pursuant to recommendations of the PMP, the FY 2015 revised enacted budget included $48.269 million the FY 2016 enacted budget included $84.500 million for PME. To maintain these investments, the FY 2017 Budget includes $37.636 million, of which $6.090 million is included in the M&A PPA for recruitment, technology, and hiring advancements.

Equipment and Other Costs

The FY 2017 Budget also includes funding for day-to-day operations of many Secret Service headquarters divisions; including services, training, equipment, and supplies.

Enterprise Financial Management System (TOPS)

The FY 2017 Budget includes $4.249 million for the Enterprise Financial Management System (TOPS), which is in steady state

operation and supports the DHS goal of improving financial management and enables the mission of preventing terrorism and enhancing security. TOPS meets the requirements of OMB Circular A-123, Management's Responsibility for Internal Control; Appendix A Internal Control over Financial Reporting and OMB Circular A-127 Financial Management Systems. TOPS is a comprehensive, integrated financial system that meets critical operational and reporting requirements of the Secret Service and DHS. TOPS has been designated a center of excellence within DHS and supports closure of a DHS gap for improving financial performance. Stakeholders benefit from the integrated financial systems ability to automate and streamline business processes enabling managers to plan and execute core mission activities. Based upon the DHS designation and an operational analysis, the system is meeting or exceeding expectations.

Department of Homeland Security
United States Secret Service
Management and Administration

Cost Drivers (Pay & Benefits) - PPA Level (Excludes Reimbursables) ($000s)

	FY 2015 Revised Enacted[1]				FY 2016 Enacted				FY 2017 Request				FY 2016 to FY 2017 Change			
	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost
Civilian Total	553	514	$83,772	$163	553	716	$121,607	$170	553	550	$87,106	$157	-	(166)	($34,501)	($13)

[1] Reflects reprogrammings/transfers, as applicable, and actual FTE. The use of FY 2015 actuals and FY 2015 Revised Enacted Budgetary Resources in the CAS Pay Cost Drivers Exhibit may reflect a higher average FTE Cost than represented in the FY 2016 Enacted or FY 2017 Request average cost columns.

NARRATIVE EXPLANATION OF CHANGES:

- **FTE Change FY 2016-2017:** FTE decreased by 166 in the Management and Administration PPA from FY 2016 to FY 2017. FTE changes include FTE realignment resulting from the FY 2016 enacted budget (166 FTE).

- **PC&B Change FY 2016-2017:** Personnel Compensation and Benefits funding decreased by $34.501 million in the M&A PPA from FY 2016 to FY 2017 and included the following changes:
  - 2017 pay raise - $0.924 million
  - Annualization of 2016 pay raise - $0.346 million
  - Increased retirement contributions - $0.337 million
  - Health benefits - $0.193 million
  - Workforce maturation - $0.724 million
  - Realignment from FY 2016 enacted - ($37.025) million

- **Average Cost Change FY 2016-2017**: Average cost/FTE decreased by $13,000 per position in the M&A PPA due to the factors cited above under PC&B change.

- FY 2017 request estimates $1.013 million for awards. This request remains in line with OPM awards Guidance on Spending Limitations.

Department of Homeland Security
United States Secret Service
Management and Administration
Cost Drivers (Non-Pay) - PPA Level ($000s)

O&S - Management and Administration	2015 Revised Enacted	2016 Enacted	2017 Request	FY 2016 to FY 2017 Change
FY 2017 Non- Pay Cost Drivers (greatest-least)				
Rent, communications, and utilities	$29,148	$29,148	$29,148	-
Other Contractual Services	$30,153	$14,542	$21,271	$6,729
Equipment	$3,541	$3,541	$3,541	-
Travel and transportation of persons	$1,404	$1,404	$1,404	-
Land and structures	$1,019	$1,019	$1,019	-
Total	**$65,265**	**$49,654**	**$56,383**	**$6,729**

* FY 2015 and FY 2016 funding levels reflect the CAS Structure and are for comparison purposes only.

NARRATIVE EXPLANATION OF CHANGES:

- **Rent:** The FY 2017 amount represents no dollar change from FY 2016.
- **Other contractual services:** The FY 2017 amount represents an increase of $6.729 million resulting from a transfer from the Working Capital Fund, an increase for Protective Mission Enhancements recruitment, technology, and hiring advancements, an increase for the Performance and Learning Management System, and an increase for the FY 2017 Federal Protective Service fee.
- **Equipment:** The FY 2017 amount represents no dollar change from FY 2016.
- **Travel and transportation of persons:** The FY 2017 amount represents no dollar change from FY 2016.
- **Land and structures:** The FY 2017 amount represents no dollar change from FY 2016.

Exhibit E. Justification of Proposed Legislative Language

Department of Homeland Security
United States Secret Service
Operations and Support
Justification of Proposed Legislative Language

For necessary expenses of the **[**United States**]** Secret Service, including purchase of not to exceed 652 vehicles for police-type use for replacement only; hire of passenger motor vehicles; purchase of motorcycles made in the United States; hire of aircraft; **[**services of expert witnesses at such rates as may be determined by the Director of the United States Secret Service;**]** rental of buildings in the District of Columbia, and fencing, lighting, guard booths, and other facilities on private or other property not in Government ownership or control, as may be necessary to perform protective functions; *other minor procurements, construction, and improvements necessary to support Secret Service requirements;***[**payment of per diem or subsistence allowances to employees in cases in which a protective assignment on the actual day or days of the visit of a protectee requires an employee to work 16 hours per day or to remain overnight at a post of duty;**]** conduct of and participation in firearms matches; presentation of awards; travel of **[**United States**]** Secret Service employees on protective missions without regard to the limitations on such expenditures in this or any other Act if **[**approval is obtained in advance from**]** *notice is provided to* the Committees on Appropriations of the Senate and the House of Representatives; **[**research and development; grants to**]** conduct *of* behavioral research in support of protective **[**research**]** *intelligence* and operations; **[**and**]** payment in advance for commercial accommodations as may be necessary to perform protective functions; *payment, without regard to section 5702 of title 5, of subsistence expenses of employees who are on protective missions, whether at or away from their duty stations;* **[**$1,854,526,000**]** *$1,773,123,000*; of which not to exceed $19,125 shall be for official reception and representation expenses; of which not to exceed $100,000 shall be to provide technical assistance and equipment to foreign law enforcement organizations in counterfeit investigations; **[**of which $2,366,000 shall be for forensic and related support of investigations of missing and exploited children; of which $6,000,000 shall be for a grant for activities related to investigations of missing and exploited children and shall remain available until September 30, 2017; and of which not less than $12,000,000 shall be for activities related to training in electronic crimes investigations and forensics:**]** *of which $5,557,000, to remain available until September 30, 2018, shall be for minor procurements, construction, and improvements of the James J. Rowley Training Center; of which $58,192,950 shall be for Operational Mission Support, $8,909,000, to remain available until September 30, 2018; Provided,* That $18,000,000 for protective travel shall remain available until September 30, **[**2017: *Provided further*, That of the amounts made

available under this heading for security improvements at the White House complex, $8,200,000 shall remain available until September 30, 2017**]** *2018*: *Provided further*, That $4,500,000 for National Special Security Events shall remain available until expended: *Provided further, That $9,563,000 for the Information Integration and Technology Transformation sustainment shall remain available until September 30, 2018; Provided further*, That the **[**United States**]** Secret Service is authorized to obligate funds in anticipation of reimbursements from Federal agencies and entities, as defined in section 105 of title 5, United States Code, for personnel receiving training sponsored by the James J. Rowley Training Center, except that total obligations at the end of the fiscal year shall not exceed total budgetary resources available under this heading at the end of the fiscal year: *Provided further*, That none of the funds made available under this heading shall be available to compensate any employee for overtime in an annual amount in excess of $35,000, except that the Secretary of Homeland Security, or the designee of the Secretary, may waive that amount as necessary for national security purposes: *Provided further*, That none of the funds made available to the **[**United States**]** Secret Service by this Act or by previous appropriations Acts may be made available for the protection of the head of a Federal agency other than the Secretary of Homeland Security: *Provided further*, That the Director of the **[**United States**]** Secret Service may enter into an agreement to provide such protection on a fully reimbursable basis: *Provided further*, That none of the funds made available to the **[**United States**]** Secret Service by this Act or by previous appropriations Acts may be obligated for the purpose of opening a new permanent domestic or overseas office or location unless the Committees on Appropriations of the Senate and the House of Representatives are notified 15 days in advance of such obligation: *Provided further*, That for purposes of section 503*(b)* of this Act, $15,000,000 or 10 percent, whichever is less, may be reprogrammed between Protection **[**of Persons and Facilities**]** and **[**Domestic Field**]** *Integrated* Operations.

Explanation of Proposed Change

The legislative language associated with this account has been updated and streamlined to reflect the Department's new Common Appropriations Structure. Funding amounts have been updated to reflect the FY 2017 President's Budget.

Exhibit F. Summary of Fee Collections and Carryover

N/A

Exhibit G. Summary of Reimbursable Resources

Department of Homeland Security
United States Secret Service
Operations and Support
Summary of Reimbursable Resources
(Dollars in Thousands)

	FY 2015 Revised Enacted			FY 2016 Enacted			FY 2017 Request			Increase/Decrease		
Collections by Source:	**Pos**	**FTE**	**Amount**	**Pos**	**FTE**	**Amount**	**Pos**	**FTE**	**Amount**	**Pos**	**FTE**	**Amount**
Department of Justice	-	-	-	-	-	-	-	-	-	-	-	-
Federal Bureau of Investigation	-	-	-	-	-	-	-	-	-	-	-	-
Department of the Treasury	-	-	-	-	-	-	26	26	$20,350	26	26	$20,350
Department of Defense	-	-	-	-	-	-	-	-	$710	-	-	$710
Department of Homeland Security	-	-	-	-	-	-	-	-	$695	-	-	$695
Department of State	-	-	-	-	-	-	-	-	$460	-	-	$460
Total Budgetary Resources	**-**	**-**	**-**	**-**	**-**	**-**	**26**	**26**	**$22,215**	**26**	**26**	**$22,215**

	FY 2015 Revised Enacted			FY 2016 Enacted			FY 2017 Request			Increase/Decrease		
Obligations by Program/Project Activity:	**Pos**	**FTE**	**Amount**	**Pos**	**FTE**	**Amount**	**Pos**	**FTE**	**Amount**	**Pos**	**FTE**	**Amount**
Integrated Operations	-	-	-	-	-	-	-	-	**$17,655**	-	-	**$17,655**
Protection	-	-	-	-	-	-	**26**	**26**	**$4,560**	**26**	**26**	**$4,560**
Total Obligations	**-**	**-**	**-**	**-**	**-**	**-**	**26**	**26**	**$22,215**	**26**	**26**	**$22,215**

Exhibit H. FY 2017 Schedule of Working Capital Fund by Program/Project Activity

Department of Homeland Security
United States Secret Service
Operations and Support
(Dollars in Thousands)

Program/Project/Activity	FY 2015 Revised Enacted Amount	FY 2016 Enacted Amount	FY 2017 Request Amount	Increase/Decrease for FY 2017 Amount
Protection	-	-	$2,661	$2,661
Criminal Investigations	-	-	$24	$24
Integrated Operations	-	-	$1,310	$1,310
Management and Administration	-	-	$1,940	$1,940
Total Working Capital Fund	**-**	**-**	**$5,934**	**$5,934**

Exhibit I. Capital Investment and Construction Initiative Listing

N/A

Exhibit J. Object Class Breakout by Appropriation

Department of Homeland Security
United States Secret Service
Operations and Support

Summary of Requirements by Object Class
(Dollars in Thousands)

Object Classes	2015 Revised Enacted	2016 Enacted	2017 Request	FY 2016 to FY 2017 Change
Personnel and Other Compensation Benefits				
11.1 Full-time Permanent	$652,991	$664,904	$689,714	$24,810
11.3 Other than Full-Time Permanent	$2,710	$2,721	$3,179	$458
11.5 Other Personnel Compensation	$190,391	$230,297	$225,778	($4,519)
11.6 Military Personnel-Basic Allowance for Housing	-	-	-	-
11.7 Military Personnel	-	-	-	-
11.8 Special Personal Services Payments	-	-	-	-
12.1 Civilian Personnel Benefits	$315,819	$328,200	$349,077	$20,877
12.2 Military Personnel Benefits	-	-	-	-
13.0 Benefits for Former Personnel	-	-	-	-
Total, Personnel and Other Compensation Benefits	**$1,161,911**	**$1,226,122**	**$1,267,748**	**$41.626**
Other Object Classes				
21.0 Travel and Transportation of Persons	$80,181	$160,020	$102,461	($57,559)
22.0 Transportation of Things	$5,153	$11,372	$9,923	($1,449)
23.1 Rental Payments to GSA	$89,412	$91,168	$91,168	-
23.2 Rental Payments to Others	$4,000	$4,000	$4,000	-
23.3 Communications, Utilities, and Misc. Charges	$27,224	$42,024	$31,732	($10,292)
24.0 Printing and Reproduction	$752	$1,002	$1,002	-
25.0 Other Contractual Services	-	-	-	-
25.1 Advisory and Assistance Services	-	-	-	-
25.2 Other Services from Non-Federal Sources	$204,857	$171,871	$167,107	($4,764)
25.3 Other Goods and Services from Federal Sources	-	-	-	-
25.4 Operation and Maintenance of Facilities	-	-	-	-
25.5 Research and Development Contracts	-	-	-	-
25.6 Medical Care	-	-	-	-
25.7 Operation and Maintenance of Equipment	-	-	-	-
25.8 Subsistence & Support of Persons	-	-	-	-
26.0 Supplies and Materials	$17,896	$18,505	$18,280	($225)
31.0 Equipment	$77,885	$107,109	$63,378	($43,731)
32.0 Land and Structures	$7,129	$16,993	$15,898	($1,095)

Object Classes	2015 Revised Enacted	2016 Enacted	2017 Request	FY 2016 to FY 2017 Change
33.0 Investments and loans	-	-	-	-
41.0 Grants, Subsidies, and Contributions	-	-	-	-
42.0 Insurance Claims and Indemnities	$426	$426	$426	-
43.1 Interest and Dividends	-	-	-	-
43.2 Immigration Fees	-	-	-	-
44.0 Refunds	-	-	-	-
91.0 Unvouchered	-	-	-	-
93.1 Limitation on expenses	-	-	-	-
99.0 Subtotal, Obligations	-	-	-	-
99.5 Below Reporting Threshold	-	-	-	-
Total, Other Object Classes	**$514,915**	**$624,490**	**$505,375**	**($119,115)**
Total, Direct Obligations	**$1,676,826**	**$1,850,612**	**$1,773,123**	**($77,489)**
Adjustments				
Net Offsetting Collections	-	-	-	-
Unobligated Balance, start of year	-	-	-	-
Unobligated Balance, end of year	-	-	-	-
Recoveries of Prior Year Obligations	-	-	-	-
Offsetting Collections	-	-	-	-
Total Requirements	**$1,676,826**	**$1,850,612**	**$1,773,123**	**($77,489)**

* FY 2015 and FY 2016 funding levels reflect the CAS Structure and are for comparison purposes only.

Exhibit K. Object Class Breakout by PPA

Department of Homeland Security
United States Secret Service
Operations and Support
Protection
Summary of Requirements by Object Class
(Dollars in Thousands)

Object Classes	FY 2015 Revised Enacted	FY 2016 Enacted	FY 2017 Request	FY 2016 to FY 2017 Change
Personnel and Compensation Benefits	-	-	-	-
11.1 Full-time Permanent	$270,229	$237,016	$300,503	$63,487
11.3 Other than Full-Time Permanent	$697	$209	$1,385	$1,176
11.5 Other Personnel Compensation	$70,864	$107,464	$114,406	$6,942
12.1 Civilian Personnel Benefits	$123,681	$96,563	$133,890	$37,327
Total, Personnel and Compensation Benefits	**$465,471**	**$441,252**	**$550,184**	**$108,932**
Other Object Classes	-	-	-	-
21.0 Travel and Transportation of Persons	$24,608	$105,968	$48,409	($57,559)
22.0 Transportation of Things	$1,803	$7,119	$5,670	($1,449)
23.1 Rental Payments to GSA	$3,244	$5,000	$5,000	-
23.3 Communications, Utilities, and Misc. Charges	$3,546	$18,347	$8,055	($10,292)
24.0 Printing and Reproduction	-	$250	$250	-
25.2 Other Services from Non-Federal Sources	$72,515	$101,753	$88,707	($13,046)
26.0 Supplies and Materials	$6,465	$6,992	$6,767	($225)
31.0 Equipment	$23,166	$56,568	$12,837	($43,731)
32.0 Land and Structures	$1,200	$9,740	$8,645	($1,095)
42.0 Insurance Claims and Indemnities	$23	$23	$23	-
Total, Other Object Classes	**$136,570**	**$311,760**	**$184,363**	**($127,397)**
Adjustments	-	-	-	-
Unobligated Balance, start of year	-	-	-	-
Unobligated Balance, end of year	-	-	-	-
Recoveries of Prior Year Obligations	-	-	-	-

Object Classes	FY 2015 Revised Enacted	FY 2016 Enacted	FY 2017 Request	FY 2016 to FY 2017 Change
Personnel and Compensation Benefits	-	-	-	-
Total Requirements	**$602,041**	**$753,012**	**$734,547**	**($18,465)**
Full Time Equivalents	**3,491**	**2,759**	**3,054**	**295**

* FY 2015 and FY 2016 funding levels reflect the CAS Structure and are for comparison purposes only.

Department of Homeland Security
United States Secret Service
Operations and Support
Criminal Investigations

Summary of Requirements by Object Class
(Dollars in Thousands)

Object Classes	FY 2015 Revised Enacted	FY 2016 Enacted	FY 2017 Request	FY 2016 to FY 2017 Change
Personnel and Compensation Benefits	-	-	-	-
11.1 Full-time Permanent	$13,638	$14,830	$15,865	$1,035
11.3 Other than Full-Time Permanent	$380	$392	$411	$19
11.5 Other Personnel Compensation	$1,679	$2,044	$2,351	$307
12.1 Civilian Personnel Benefits	$5,533	$6,378	$7,293	$915
Total, Personnel and Compensation Benefits	**$21,230**	**$23,644**	**$25,920**	**$2,276**
Other Object Classes	-	-	-	-
21.0 Travel and Transportation of Persons	$1,035	$1,035	$1,035	-
22.0 Transportation of Things	$131	$134	$134	-
23.1 Rental Payments to GSA	$988	$988	$988	-
23.3 Communications, Utilities, and Misc. Charges	$617	$617	$617	-
25.2 Other Services from Non-Federal Sources	$10,033	$8,820	$9,456	$636
26.0 Supplies and Materials	$871	$915	$915	-
31.0 Equipment	$11,823	$10,798	$10,798	-
42.0 Insurance Claims and Indemnities	$2	$2	$2	-
Total, Other Object Classes	**$25,500**	**$23,309**	**$23,945**	**$636**
Adjustments	-	-	-	-
Unobligated Balance, start of year	-	-	-	-
Unobligated Balance, end of year	-	-	-	-
Recoveries of Prior Year Obligations	-	-	-	-
Total Requirements	**$46,730**	**$46,953**	**$49,865**	**$2,912**
Full Time Equivalents	**188**	**210**	**208**	**(2)**

* FY 2015 and FY 2016 funding levels reflect the CAS Structure and are for comparison purposes only.

Department of Homeland Security
United States Secret Service
Operations and Support
Integrated Operations

Summary of Requirements by Object Class
(Dollars in Thousands)

Object Classes	FY 2015 Revised Enacted	FY 2016 Enacted	FY 2017 Request	FY 2016 to FY 2017 Change
Personnel and Compensation Benefits	-	-	-	-
11.1 Full-time Permanent	$325,007	$348,647	$327,852	($20,795)
11.3 Other than Full-Time Permanent	$1,326	$1,606	$1,220	($386)
11.5 Other Personnel Compensation	$108,124	$110,705	$104,542	($6,163)
12.1 Civilian Personnel Benefits	$156,981	$178,661	$170,924	($7,737)
Total, Personnel and Compensation Benefits	**$591,438**	**$639,619**	**$604,538**	**($35,081)**
Other Object Classes	-	-	-	-
21.0 Travel and Transportation of Persons	$53,134	$51,613	$51,613	-
22.0 Transportation of Things	$2,378	$3,278	$3,278	-
23.1 Rental Payments to GSA	$56,032	$56,032	$56,032	-
23.2 Rental Payments to Others	$4,000	$4,000	$4,000	-
23.3 Communications, Utilities, and Misc. Charges	$23,061	$23,060	$23,060	-
25.2 Other Services from Non-Federal Sources	$92,156	$46,756	$47,673	$917
26.0 Supplies and Materials	$10,290	$10,328	$10,328	-
31.0 Equipment	$39,355	$36,202	$36,202	-
32.0 Land and Structures	$4,910	$6,234	$6,234	-
42.0 Insurance Claims and Indemnities	$37	$37	$37	-
Total, Other Object Classes	**$285,353**	**$237,540**	**$238,457**	**$917**
Adjustments	-	-	-	-
Unobligated Balance, start of year	-	-	-	-
Unobligated Balance, end of year	-	-	-	-
Recoveries of Prior Year Obligations	-	-	-	-
Total Requirements	**$876,791**	**$877,159**	**$842,995**	**($34,164)**
Full Time Equivalents	**2,105**	**2,792**	**2,889**	**97**

* FY 2015 and FY 2016 funding levels reflect the CAS Structure and are for comparison purposes only.

Department of Homeland Security
United States Secret Service
Operations and Support
Management and Administration

Summary of Requirements by Object Class

(Dollars in Thousands)

Object Classes	FY 2015 Revised Enacted	FY 2016 Enacted	FY 2017 Request	FY 2016 to FY 2017 Change
Personnel and Compensation Benefits	-	-	-	-
11.1 Full-time Permanent	$44,117	$64,411	$45,494	($18,917)
11.3 Other than Full-Time Permanent	$307	$514	$163	($351)
11.5 Other Personnel Compensation	$9,724	$10,084	$4,479	($5,605)
12.1 Civilian Personnel Benefits	$29,624	$46,598	$36,970	($9,628)
Total, Personnel and Compensation Benefits	**$83,772**	**$121,607**	**$87,106**	**($34,501)**
Other Object Classes	-	-	-	-
21.0 Travel and Transportation of Persons	$1,404	$1,404	$1,404	-
22.0 Transportation of Things	$841	$841	$841	-
23.1 Rental Payments to GSA	$29,148	$29,148	$29,148	-
24.0 Printing and Reproduction	$752	$752	$752	-
25.2 Other Services from Non-Federal Sources	$30,153	$14,542	$21,271	$6,729
26.0 Supplies and Materials	$270	$270	$270	-
31.0 Equipment	$3,541	$3,541	$3,541	-
32.0 Land and Structures	$1,019	$1,019	$1,019	-
42.0 Insurance Claims and Indemnities	$364	$364	$364	-
Total, Other Object Classes	**$67,492**	**$51,881**	**$58,610**	**$6,729**
Adjustments	-	-	-	-
Unobligated Balance, start of year	-	-	-	-
Unobligated Balance, end of year	-	-	-	-
Recoveries of Prior Year Obligations	-	-	-	-
Total Requirements	**$151,264**	**$173,488**	**$145,716**	**($27,772)**
Full Time Equivalents	**514**	**716**	**550**	**(166)**

* FY 2015 and FY 2016 funding levels reflect the CAS Structure and are for comparison purposes only.

Exhibit L. Permanent Positions by Grade

Department of Homeland Security
United States Secret Service
Operations and Support
Permanent Positions by Grade

Grades and Salary Range	FY 2015 Revised Enacted Pos.	FY 2016 Enacted Pos.	FY 2017 Request Pos.	FY 2016 to FY 2017 Change Total
Total, SES	-	-	53	53
GS-15	-	-	254	254
GS-14	-	-	672	672
GS-13	-	-	2,968	2,968
GS-12	-	-	250	250
GS-11	-	-	286	286
GS-10	-	-	21	21
GS-9	-	-	425	425
GS-8	-	-	150	150
GS-7	-	-	105	105
GS-6	-	-	9	9
GS-5	-	-	9	9
GS-4	-	-	12	12
GS-3	-	-	7	7
GS-2	-	-	2	2
Other Graded Positions	-	-	1,545	1,545
Total Permanent Positions	-	-	**6,768**	**6,768**
Unfilled Positions EOY	-	-	-	-
Total Permanent Employment EOY	-	-	-	-
Headquarters	-	-	4,487	4,487
U.S. Field	-	-	2,221	2,221
Foreign Field	-	-	60	60
Total, Operations and Support:	-	-	**6,768**	**6,768**
Full Time Equivalents	-	-	**6,701**	**6,701**
Average ES Salary	-	-	-	-
Average GS Salary	-	-	-	-
Average Grade	-	-	-	-

Exhibit M. Changes in Full Time Employment

Department of Homeland Security
United States Secret Service
Operations and Support
Changes in Full Time Employment

	FY 2015	FY 2016	FY 2017
Increases			
From S&E - Presidential candidate nominee protection to O&S - Protection	-	-	320
From S&E - Protection of persons and facilities to O&S - Protection	-	-	2,266
From S&E - Protective Intelligence activities to O&S - Protection	-	-	173
From S&E - Domestic Field Ops to O&S - Criminal Investigations	-	-	190
From S&E - Missing and exploited children to O&S - Criminal Investigations	-	-	20
From S&E - Domestic Field Ops to O&S - Integrated Ops	-	-	1,359
From S&E - IITT to O&S - Integrated Ops	-	-	10
From S&E - International field offices to O&S - Integrated Ops	-	-	50
From S&E - Protection of persons and facilities to O&S - Integrated Ops	-	-	971
From S&E - Protective intelligence activities to O&S - Integrated Ops	-	-	142
From S&E - Rowley training center to O&S - Integrated Ops	-	-	260
From S&E - HQ, Management, and Admin to O&S – M&A	-	-	716
Enhanced Operational Mission Support (OMS)	-	-	26
National Capital Region Radio System Upgrade	-	-	4
Obama Protective Detail			28
Funds Realignment			166
Decreases			
Year End Actuals/Estimated FTEs:	-	-	**6,701**

Department of Homeland Security

United States Secret Service

Procurement, Construction and Improvements

Fiscal Year 2017

Congressional Justification

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Schedule I – Executive Summary of Appropriation Exhibits

A. Summary of Budget Estimates by Program Project Activity- Appropriation Level

Department of Homeland Security
United States Secret Service
Procurement, Construction, and Improvements
Summary of FY 2017 Budget Estimates by Program Project Activity

FY 2017 Request
(Dollars in Thousands)

Program Project Activity	FY 2015 Revised Enacted[1]			FY 2016 Enacted			FY 2017 Request			Increase(+) or Decrease(-) for FY 2017 Total Changes		
	POS	FTE	Amount	POS	FTE	Amount	POS	FTE	Amount	POS	FTE	Amount
Protection	-	-	$11,000	-	-	$11,000	-	-	$47,737	-	-	$36,737
Integrated Operations	-	-	$51,183	-	-	$52,899	-	-	$62,890	-	-	$9,991
Total, Procurement, Construction, and Improvements	**-**	**-**	**$62,183**	**-**	**-**	**$63,899**	**-**	**-**	**$110,627**	**-**	**-**	**$46,728**
Subtotal, Enacted Appropriations & Budget Estimates	**-**	**-**	**$62,183**	**-**	**-**	**$63,899**	**-**	**-**	**$110,627**	**-**	**-**	**$46,728**
Net, Enacted Appropriations and Budget Estimates:	**-**	**-**	**$62,183**	**-**	**-**	**$63,899**	**-**	**-**	**$110,627**	**-**	**-**	**$46,728**

[1]Reflects reprograming/transfer, as applicable, and actual FTE. This footnote applies to all FY 2015 Revise Enacted tables throughout the CJ Submission
*FY 2015 and FY 2016 funding levels reflect the CAS Structure and are for comparison purposes only

Overview

The U.S. Secret Service's Procurement, Construction and Improvements (PC&I) appropriation provides funds necessary for planning operational development, engineering and purchase of one or more end items prior to sustainment in support of the following Programs, Projects, and Activities (PPAs):

- **Protection:** As authorized by Title 18 U.S.C. § 3056, the Secret Service provides physical safety and security to certain persons, designated facilities, and certain major events. Funding in this PPA provides for enhancements to the Operational Mission Support (OMS) program, which supports protection of the President and Vice President at the White House Complex (WHC), the Vice President's Residence, and temporary sites from emerging chemical, biological, radiological, explosive (CBRNE) and cyber threats. The FY 2017 request reflects a $36.737 million increase from the FY 2016 funding level for OMS capability enhancements.

- **Integrated Operations:** This PPA includes PCI investments that support front-line operations command and control, coordination,

and execution of the Secret Service's integrated mission of protection and investigations. Requested FY 2017 funding includes $35.674 million for ongoing enhancements to the Information Integration and Technology Transformation (IITT) program, which ensures the Service's IT infrastructure adequately serves the requirements of the integrated mission. The request also includes a program increase of $27.216 million for a multi-year replacement of outdated National Capital Region Radio Systems.

B. FY 2016 to FY 2017 Budget Change - Appropriation Level

Department of Homeland Security
United States Secret Service
Procurement, Construction, and Improvements

FY 2016 to FY 2017 Budget Change
(Dollars in Thousands)

	Pos.	FTE	Amount
FY 2015 Revised Enacted	-	-	-
FY 2016 Enacted	-	-	-
Adjustments-to-Base			
Transfers to and from other accounts:			
From ACI&RE - Protection to PC&I - Protection	-	-	$11,000
From ACI&RE - IITT to PC&I - Integrated Ops	-	-	$29,607
From ACI&RE - Rowley training center to PC&I - Integrated Ops	-	-	$18,725
From S&E - Protection of persons and facilities to PC&I - Integrated Ops	-	-	$4,567
Total Transfers	-	-	**$63,899**
Increases			
Funds Realignment	-	-	$3,650
Total, Increases	-	-	**$3,650**
Decreases			
NON-RECUR Protective Mission Enhancements	-	-	($20,875)
Total, Decreases	-	-	**($20,875)**
Total Other Adjustments	-	-	**($17,225)**
Total Adjustments-to-Base	-	-	**$46,674**
FY 2017 Current Services	-	-	**$46,674**
Program Changes			
Increases			
Enhanced Operational Mission Support	-	-	$36,737
National Capital Region Radio System Upgrade	-	-	$27,216
Total, Increases	-	-	**$63,953**
Total Program Changes	-	-	**$63,953**
FY 2017 Request	-	-	**$110,627**
FY 2016 to FY 2017 Change	-	-	**$110,627**

C. FY 2017 Investment Summary - Appropriation Level

Department of Homeland Security
United States Secret Service
Procurement, Construction and Improvements
FY 2017 Investment Summary- Appropriation Level
(Dollars in Thousands)

Investment Name	PPA(s)	FY 2015 Revised Enacted	FY 2016 Enacted	FY 2017 Request
Information Integration and Technology Transformation (IITT)	PC&I - Integrated Operations	$33,186	$34,332	$35,674
Total		**$33,186**	**$34,332**	**$35,674**

*FY 2015 and FY 2016 funding levels reflect the CAS structure and are for comparison purposes only.

Exhibit D. FY 2016 to FY 2017 Budget Change- PPA Level

Department of Homeland Security
United States Secret Service
Procurement, Construction and Improvements
Protection
FY 2016 to FY 2017 Budget Change- PPA Level
(Dollars in Thousands)

Protection		Positions	FTE	Amount
Prior Year	**FY 2015 Revised Enacted**	**-**	**-**	**-**
Base	**FY 2016 Enacted**	**-**	**-**	**-**
	From ACI&RE - Protection to PC&I - Protection	-	-	11,000
Program Changes	Enhanced Operational Mission Support	-	-	36,737
Budget Year	**FY 2017 Request**	**-**	**-**	**47,737**
	Total Change from FY 2016 to FY 2017	**-**	**-**	**47,737**

PPA DESCRIPTION:

As authorized by Title 18 U.S.C. § 3056, the Protection mission program requires the Secret Service to provide physical safety and security to certain persons, designated facilities, and certain major events. This program is comprised of three distinct activity areas: (1) protection of persons, (2) protection of facilities, and (3) protection of events.

FY 2017 funding in the PCI Protection PPA includes investments in capability enhancements to Operational Mission Support (OMS).

Department of Homeland Security
United States Secret Service
Protection
Justification of Program Changes
(Dollars in Thousands)

Program Increase: Enhanced Operational Mission Support
PPA: Protection
Program Increase: FTP 0, FTE 0, Dollars $36,737

Funding Profile

	FY 2015 Revised Enacted			FY 2016 Enacted			FY 2017 Request		
	FTP	FTE	Dollars ($000)	FTP	FTE	Dollars ($000)	FTP	FTE	Dollars ($000)
Protection							-	-	$11,000
Subtotal, Current Services							**-**	**-**	**$11,000**
Protection							-	-	$36,737
Subtotal, Program Increases							**-**	**-**	**$36,737**
Total Request	**-**	**-**	**$5,000**	**-**	**-**	**$11,000**	**-**	**-**	**$47,737**

DESCRIPTION OF ITEM:

This Program Increase includes $36.737 million, 0 positions, and 0 FTE to enhance OMS capabilities.

OMS supports the protection of the President and Vice President at the White House Complex (WHC), the Vice President's Residence, and temporary sites from emerging explosive, chemical, biological, radiological, and cyber threats. The advanced protective countermeasures that are part of OMS have been designed to address both established and evolving threats.

The implementation of OMS is a multi-year sustainment effort that requires base funding each fiscal year to address these threats. It is critical that the Secret Service have these resources to continually refresh and update/upgrade technical countermeasures in order to ensure a safe environment for the President, Vice President, and other Secret Service protectees.

Justification:

Requested funds will enhance OMS capabilities. Specific work sub-packages associated with OMS funds can be generally grouped as: 1) those largely

focusing on incremental enhancements and maintenance of OMS capabilities created via previously requested OMS funds; and 2) those work sub-packages that largely provide for new or substantially expanded capabilities within the OMS portfolio. Detailed descriptions of these additional OMS requirements are classified and will be provided separately.

The following chart provides a detailed breakdown for each OMS initiative and distinguishes between funding for added capabilities (PC&I funded), research and development (R&D funded), and sustainment (O&S funded).

OMS Initiatives (Dollars in Thousands)	**FY 2017 O&S Funding**	**FY 2017 PC&I Funding**	**FY 2017 R&D Funding**	**FY 2017 Total Request**
Enhanced Explosive Detection Systems	$5,373.0	$7,190.0	-	$12,563.0
Enhanced Chemical, Biological, and Radiological Detections Systems	$9,612.0	$2,500.0	-	$12,112.0
Presidential Audio Countermeasures	$8,175.0	$3,075.0	-	$11,250.0
White House Physical Protective Structures	$12,006.0	$22,222.0	-	$34,228.0
Enhanced White House Camera System	$3,000.0	-	-	$3,000.0
Cyber Protection Activities	$6,800.0	-	-	$6,800.0
Development of Next Generation Presidential Limousine	-	$11,000.0	-	$11,000.0
Portable Security Systems for Presidential Venues	$250.0	$1,750.0	-	$2,000.0
Protective Systems and Weapons Testing Program	-	-	$2,250.0	$2,250.0
Total Program Costs	**$45,216.0**	**$47,737.0**	**$2,250.0**	**$95,203.0**
Positions	$12,977.0	-	-	$12,977.0
TOTAL, OMS Request	**$58,193.0**	**$47,737.0**	**$2,250.0**	**$108,180.0**

Further detail of OMS PC&I funding requested in FY 2017 is provided on the following page.

Enhanced Explosive Detection Systems **$7.190 million**

These funds will provide capabilities to allow the Secret Service to better meet current and evolving, plausible, credible threats related to explosive devices.

Enhanced Chemical, Biological, and Radiological Detections Systems **$2.500 million**

These funds will provide capabilities to allow the Secret Service to better meet current and evolving, plausible, credible chemical, biological and radiological threats.

Presidential Audio Countermeasures **$3.075 million**

These funds will provide capabilities to allow the Secret Service to better meet current and evolving threats challenging the Secret Service mission responsibilities related to presidential audio countermeasures.

White House Physical Protective Structures **$22.222 million**

These funds will enable the Secret Service to substantially enhance and maintain the physical protective infrastructure necessary to assuredly defend the WHC and its occupants from plausible credible threats, both current and emerging.

Development of Next Generation Presidential Limousine **$11.000 million**

These funds will enable the Secret Service to procure vehicles which are used as the primary mode of safe and secure ground transportation for the President of the United States for local, domestic, and foreign visits. These vehicles are required to meet ongoing operational requirements.

Portable Security Systems for Presidential Venues **$1.750 million**

These funds will better enable the Secret Service to substantially enhance and maintain the portable security systems to assuredly defend the safety of the President, Vice President, and other Secret Service protectees at temporary venues from plausible, credible threats, both current and emerging.

Note: Additional details are available in a classified format.

Impact on Performance:

The implementation of OMS is a multi-year effort to address current and evolving threats. It is critical that the Secret Service have these resources to

continually refresh and update/upgrade technical countermeasures in order to ensure a safe environment and provide enhanced security coverage for the President, Vice President, and other Secret Service protectees.

Department of Homeland Security
United States Secret Service
Protection
Cost Drivers (Non-Pay) - PPA Level ($000s)

PC&I - Protection	2015 Revised Enacted	2016 Enacted	2017 Request	FY 2016 to FY 2017 Change
FY 2017 Non- Pay Cost Drivers (greatest-least)				
Other Contractual Services	-	-	$30,237	$30,237
Equipment	$11,000	$11,000	$17,500	$6,500
Total	**$11,000**	**$11,000**	**$47,737**	**$36,737**

*FY 2015 and FY 2016 funding levels reflect the CAS Structure and are for comparison purposes only

NARRATIVE EXPLANATION OF CHANGES:

- **Other contractual services:** The FY 2017 amount represents an increase of $30.237 million resulting from an increase to OMS.
  - Enhanced Explosive Detection Systems: The FY 2017 amount represents an increase of $4.440 over FY 2016 enacted levels.
  - Enhanced Chemical, Biological, and Radiological Detection Systems: The FY 2017 amount represents an increase of $0.500 over FY 2016 enacted levels.
  - Presidential Audio Countermeasures: The FY 2017 amount represents an increase of $3.075 over FY 2016 enacted levels.
  - White House Physical Protective Structures: The FY 2017 amount represents an increase of $22.222 over FY 2016 enacted levels.

  Additional details are available in a classified format.

- **Equipment:** The FY 2017 amount represents an increase of $6.500 million resulting from an increase to OMS.
  - Enhanced Explosive Detection Systems: The FY 2017 amount represents an increase of $2.750 over FY 2016 enacted levels.
  - Enhanced Chemical, Biological, and Radiological Detection Systems: The FY 2017 amount represents an increase of $2.000 over FY 2016 enacted levels.
  - Portable Security Systems for Presidential Venues: The FY 2017 amount represents an increase of $1.750 over FY 2016 enacted levels.

  Additional details are available in a classified format.

Department of Homeland Security
United States Secret Service
Procurement, Construction and Improvements
Integrated Operations
FY 2016 to FY 2017 Budget Change- PPA Level
(Dollars in Thousands)

Integrated Operations		Positions	FTE	Amount
Prior Year	**FY 2015 Revised Enacted**	**-**	**-**	**-**
Base	**FY 2016 Enacted**	**-**	**-**	**-**
Current Services	From ACI&RE - IITT to PC&I - Integrated Ops	-	-	29,607
	From ACI&RE - Rowley training center to PC&I - Integrated Ops	-	-	18,725
	From S&E - Protection of persons and facilities to PC&I - Integrated Ops	-	-	4,567
	Funds Realignment	-	-	3,650
	NON-RECUR Protective Mission Enhancements	-	-	(20,875)
Program Changes	National Capital Region Radio System Upgrade	-	-	27,216
Budget Year	**FY 2017 Request**	**-**	**-**	**62,890**
	Total Change from FY 2016 to FY 2017	**-**	**-**	**62,890**

PPA DESCRIPTION:

The Integrated Operations PPA includes funding for investments that support front-line operations command and control, coordination, and execution of the Secret Service's integrated mission of protection and investigations.

Funding in the FY 2017 request supports capability improvements to IITT as well as upgrades to the Service's National Capital Region Radio System.

Department of Homeland Security
United States Secret Service
Integrated Operations
Justification of Program Changes
(Dollars in Thousands)

Program Increase: National Capital Region Radio System Upgrade
PPA: Integrated Operations
Program Increase: FTP 0, FTE 0, Dollars $27,216

Funding Profile

	FY 2015 Revised Enacted			FY 2016 Enacted			FY 2017 Request		
	FTP	FTE	Dollars ($000)	FTP	FTE	Dollars ($000)	FTP	FTE	Dollars ($000)
Integrated Operations							-	-	-
Subtotal, Current Services							-	-	-
Integrated Operations							-	-	$27,216
Subtotal, Program Increases							-	-	**$27,216**
Total Request	-	-	-	-	-	-	-	-	**$27,216**

DESCRIPTION OF ITEM:

This Program Increase includes $27.216 million, 0 positions, and 0 FTE to fund replacement of aging Secret Service radio systems in the National Capital Region.

The Secret Service radio systems used by Uniformed Division officers and Special Agents at the White House Complex (WHC) and the Washington Field Office (WFO) in support of the protective and investigative missions are outdated. The radio systems have increasingly been failing and are beyond their serviceable lives. Failure to upgrade these radio systems can have a significant impact on the safety of protectees.

Additionally, Secret Service Uniformed Division officers are currently using handheld and mobile VHF radios at both the White House and Foreign Missions that are in need of replacement. Special Agents also use these radios for field office investigative cases and when traveling on protective duties.

Justification:

The White House Complex and National Capital Radio Systems are outdated and require cutting edge technologies that meet the protective operational requirements and tempo. In addition, these radio systems and handheld radios directly impact protective operations for the WHC, WFO, and the protective details. These radio systems are the life-line and first line of communications for agents and officers responding to events such as fence jumpers, suspicious packages, active shooters, unauthorized vehicle attempts, protests, investigations, counter sniper, counter surveillance, and other protective and investigative communications.

The *Consolidated Appropriations Act, 2016* (P.L. 114-113) provided $16.806 million for Phase I of the National Capital Region (NCR) Radio System Upgrade to execute on a number of Infrastructure equipment buys. This includes the purchase and issuance of 1,600 handheld radios, the purchase and installation of 500 mobile radios, the purchase and beginning of site equipment installation of more than 50 radio sites for transmitters, receivers, and repeaters, and the purchase of a detection system. This funding resides in the O&S appropriation.

The $27.216 million requested in FY 2017 will provide the resources required to complete Phase II, and include the following:

- $13.716 million to purchase and install Radio Infrastructure, which begins the Radio Hub infrastructure build-out that is the heart of the radio network;
- $7.200 million to complete the Site Equipment Installations, to include over 56+ radio sites for transmitters, receivers and repeaters;
- $2.500 million to complete the New Dispatch Console and Infrastructure installations;
- $2.500 million to purchase/install the Point-to-Point and Mesh technologies for the WHC or alternative;
- $800 thousand for GPS Tracking Integration and testing of new tracking solution;
- $500 thousand for Computer Assistant Dispatcher requirements for Protective Situational Awareness, an initiative that will create an enterprise tool that depicts the entire Protective Mission in a single view to significantly increase the operational awareness of protectees, their trips, and Secret Service protective events.

This investment will fulfill the following requirements:

- Complete Full Radio system, with FOC to be delivered between FY 2017 and FY 2018;
- Ensure full integration into the Joint Operations Center and other 24x7 operational locations;
- Provide user / technical training;
- Ensure the out-year sustainment and support contracts are in place to allow for proper maintenance and support.

Impact on Performance:

The funding increase will improve the Secret Service's ability to provide enhanced security coverage of its protectees. With the increased threats to the WHC highlighted by such events as September 19, 2014 and November 26, 2015, it has become critically obvious that 24x7 technical support is

required on-site to ensure systems and personnel-issued equipment is maintained in the highest state of readiness at all times. There is a high operational tempo at the WHC, and this upgrade will provide the WHC with a state-of-the-art system that supports operational mission needs.

Department of Homeland Security
United States Secret Service
Integrated Operations
Cost Drivers (Non-Pay) - PPA Level ($000s)

PC&I - Integrated Operations	2015 Revised Enacted	2016 Enacted	2017 Request	FY 2016 to FY 2017 Change
FY 2017 Non- Pay Cost Drivers (greatest-least)				
Other Contractual Services	$29,712	$36,369	$31,159	($5,210)
Equipment	$13,084	$9,505	$29,731	$20,226
Supplies and Materials	$63	$25	$2,000	$1,975
Land and structures	$8,324	$7,000	-	($7,000)
Total	**$51,183**	**$52,899**	**$62,890**	**$9,991**

*FY 2015 and FY 2016 funding levels reflect the CAS Structure and are for comparison purposes only

NARRATIVE EXPLANATION OF CHANGES:

- **Other contractual services:** The FY 2017 amount represents a decrease of $5.210 million resulting from an increase for National Capital Region Radio System upgrades, an increase for funds realignment for the FY 2016 enacted budget, and a non-recur for Protective Mission Enhancements projects at the James J. Rowley Training Center.

- **Equipment:** The FY 2017 amount represents an increase of $20.226 million resulting from an increase for National Capital Region Radio System upgrades, an increase for funds realignment for the FY 2016 enacted budget, and a non-recur for Protective Mission Enhancements projects at the James J. Rowley Training Center.

- **Supplies and materials:** The FY 2017 amount represents an increase of $1.975 million resulting from an increase for National Capital Region Radio System upgrades, and a non-recur for Protective Mission Enhancements projects at the James J. Rowley Training Center.

- **Land and structures:** The FY 2017 amount represents a decrease of $7.000 million resulting from a non-recur for Protective Mission Enhancements projects at the James J. Rowley Training Center.

Department of Homeland Security
United States Secret Service
Procurement, Construction and Improvements
Integrated Operations

Investment Name: Information Integration and Technology Transformation (UII Number- 024-000004054)

The IITT program is dedicated to ensuring the Secret Service's IT infrastructure adequately serves the requirements of the protective and investigative missions. This PPA includes funding to address recommendations from the National Security Agency (NSA) to address critical IT infrastructure, architecture and application vulnerabilities. The IITT program is focused on modernizing the enterprise-wide IT environment focusing on four capability areas:

- Enabling (e.g., infrastructure, architecture);
- Mission Support (applications supporting mission operations);
- Control (e.g., Security); and
- Communications (e.g., White House Communications Agency interoperability (WHCA), and communications upgrades including worldwide tactical communications, classified messaging).

The Enabling Capabilities portion of the IITT program is on pace to reach Full Operating Capability (FOC) of the modernized system by the first quarter of FY 2018.

Project Request ($000)	**FY 2015 Revised Enacted**	**FY 2016 Enacted**	**FY 2017 Request**	**FY 2016 to FY 2017 Change**
PC&I Planning	-	-	-	-
PC&I Acquisition	$33,185	$35,832	$35,674	($158)
PC&I Salaries	-	-	-	-
PC&I TOTAL	**$33,185**	**$35,832**	**$35,674**	**($158)**
PC&I Obligations*	$23,905	$403		
PC&I Unobligated Balance*	$9,280	$35,429		
PC&I Expenditures*	$6,311	$34		

*FY 2016 Enacted reflects obligations, unobligated balance and expenditures as of November 2015 for PC&I/PPA Only

Planned request for FY 2017 PC&I funding ONLY:

Requested funding in FY 2017 will enable the Secret Service to continue the contracted modernization of its IT network infrastructure, data systems, applications, and system security to fully support present operational requirements. Modernization is being accomplished by means of an extensive redesign of the current infrastructure and the careful selection and integration of interoperable capabilities to support critical mission needs. The Secret Service intends to use FY 2017 resources in four capabilities areas:

- Enabling Capabilities
  During FY 2017, the Secret Service will transition to a modernized operational environment. The Enabling Capabilities (EC) modernization effort involves an extensive and complex re-architecting of the current IT infrastructure and the careful selection and integration of interoperable capabilities based on Secret Service core functions and priorities. It includes an overhaul of the infrastructure, multiple databases, and information assurance components, leveraging previous Stabilization investments and eliminating application hosting on an aging mainframe. The selected EC contractor is currently in the final phase of the multi-year development effort and will complete full system testing during FY 2016. During FY 2017, the Secret Service will transition to the modernized operational environment and will achieve the following:
  - Improvement of network monitoring, security, and communication channels that allow sharing of resources and information by agents and staff in carrying out their protective and investigative missions;
  - Standardization of the Enterprise Application Framework and Databases used throughout the Secret Service which includes a structured collection of records stored on the network;
  - Deployment of the IT Operations Center support for Secret Service-wide operations;
  - Full transition to the modernized operational environment at Secret Service headquarters and achievement of Full Operational Capability (FOC) by first quarter of FY 2018;
  - Conduct post-implementation reviews of the deployed infrastructure to evaluate new capabilities after FOC.

- Control Capabilities
  The Cross Domain initiative (CD) provides advanced security measures to electronically control, send, receive, and track access to Secret Service intelligence data across disparate systems and security classifications domains. Efforts will continue through FY 2017 to integrate across the Secret Service and take full advantage of all the DHS Intelligence Enterprise Cross Domain Service (IE-CDS) capabilities.

  The Multi-Level Security (MLS) enables the secure access of information across Sensitive but Unclassified (SBU), Secret (S), and Top Secret/Sensitive Compartmented Information (TS/SCI) security classification domains. The incremental deployment of the MLS will enable FOC in FY 2017 for all but two field offices.

- Mission Support Capabilities
  The Combined Operations Logistics Database 2 (COLD2) project was created for use by the Office of Protective Operations (OPO) to provide management of personnel and logistics for protective operations and for managing travel information for agents and large protective details. Contractor performance during the development portion of the contract has concluded and a team was formed to develop the way forward for the

program. The Secret Service Executive Review Board (ERB) reviewed the status of the COLD2 project and determined the proper course of action was to continue with COLD2. The ERB validated the COLD2 requirements, but concluded that the original scope of COLD2 was too large and complex to justify pursuing a single solution. They divided COLD2 requirements into four deployable modules and directed that these be addressed as sub-projects under COLD2. The sub-projects in priority order are:

1. Uniformed Division scheduling—Renamed Uniformed Division Resource Management System (UDRMS)
2. Event planning
3. Event execution, tracking, and advance documentation
4. Enterprise-wide scheduling

Development of the revised COLD2 program, UDRMS, will continue in FY 2017. In addition, the event planning module will also begin in FY 2017. The projected FOC for COLD2 is first quarter of FY 2021.

The table that follows provides a detailed breakdown of FY 2015-2017 IITT funding by project and capability area. In FY 2017, development is funded through the PC&I appropriation while sustainment funds come from the O&S appropriation.

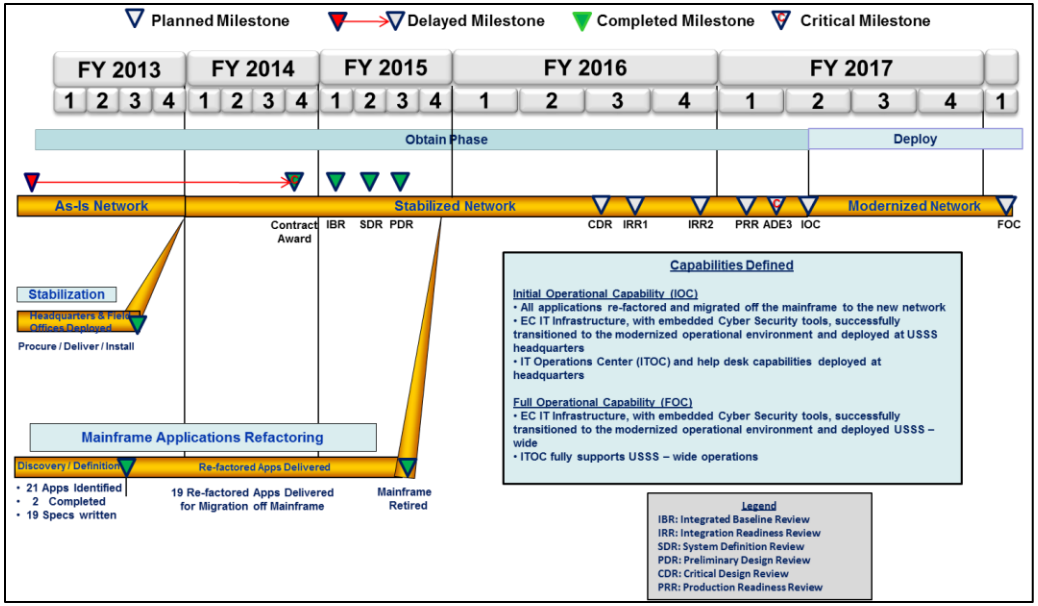
Information Integration and Technology Transformation ($ in Thousands)	FY 2015 Revised Enacted	FY 2016 Enacted	FY 2017 Request	FY 2016-17 Change
Enabling Capabilities	**$27,089.8**	**$24,331.5**	**$22,934.2**	**($1,397.3)**
Development to FOC (PC&I in FY2017)				
IT Modernization - EC Development	$10,472.0	$6,121.5	$3,087.4	($3,034.1)
IT Modernization - Help Desk & Support Services	$0.0	$4,628.6	$4,928.6	$300.0
Mainframe Migration & Refactoring	$2,000.0	$2,000.0	$2,000.0	$0.0
IT Network Infrastructure - Development	$6,171.0	$4,015.8	$5,393.2	$1,377.4
Database Architecture - Development	$4,592.0	$4,802.0	$4,812.0	$10.0
Cyber Security - Development	$3,896.4	$5,693.4	$7,304.0	$1,610.6
Sustainment Post-FOC (O&S in FY2017)				
Information Assurance - Support & Equipment Refresh	$3,854.8	$2,763.6	$2,713.0	($50.6)
Control Capabilities	**$3,479.1**	**$4,571.3**	**$4,757.9**	**$186.6**
Development to FOC (PC&I in FY2017)				
Multi-Level Security Development	$3,054.1	$4,170.9	$4,348.8	$177.9
Sustainment Post-FOC (O&S in FY2017)				
Cross Domain Support & Licensing	$425.0	$400.4	$409.1	$8.7
Mission Support Capabilities	**$4,326.1**	**$5,650.0**	**$5,050.0**	**($600.0)**
Development to FOC (PC&I in FY2017)				
COLD2 Development	$3,000.0	$2,900.0	$3,800.0	$900.0
Sustainment Post-FOC (O&S in FY2017)				
PTMS Support	$1,326.1	$1,250.0	$1,250.0	$0.0
Communications Capabilities	**$5,763.6**	**$4,990.8**	**$5,190.9**	**$200.1**
Sustainment Post-FOC (O&S in FY2017)				
Communications Equipment Refresh and Upgrades	$5,763.6	$4,990.8	$5,190.9	$200.1
Subtotal, Development (PC&I Funded)	**$33,185.5**	**$34,332.2**	**$35,674.0**	**$1,341.8**
Subtotal, Sustainment (O&S Funded)	**$11,369.5**	**$9,404.8**	**$9,563.0**	**$158.2**
GRAND TOTAL	**$44,555.0**	**$43,737.0**	**$45,237.0**	**$1,500.0**

* In FY 2017, development is funded through the PC&I appropriation while sustainment funds come from the O&S appropriation. FY 2015 and 2016 columns include notional O&S and PCI splits for comparability.

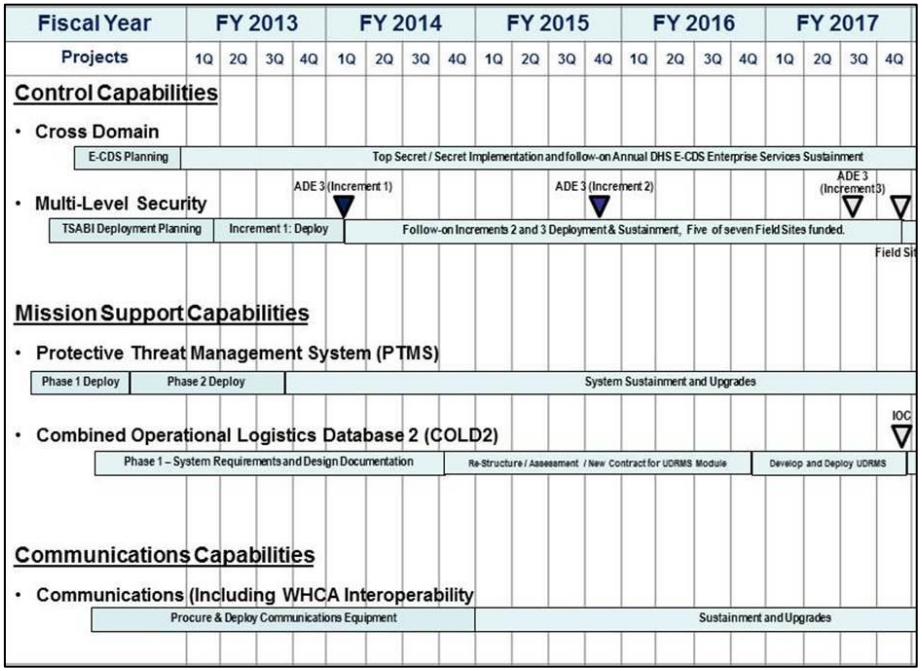
Project Status

The charts below provide schedules for the various IITT capability areas:

Enabling Capabilities



Control, Mission Support, and Communications Capabilities



Key Milestones -FY 2015

- Migration of all applications from the mainframe and dispose of the mainframe.
- Enabling Capabilities, Increment 1-Production Readiness Review.

Key Milestones -FY 2016

- Uniformed Division Resource Management System (UDRMS) (the first increment of the re-structured COLD2 program), Acquisition Decision Event-2B (ADE-2B).
- The Secret Service will be the first DHS component authorized to consolidate multiple desktop computers to access separate security domains into a single thin client desktop (e.g. Top Secret and Secret on the same workstation). Development efforts will continue in FY 2016 with full operational capability anticipated in FY 2017.
- Deploy Increments 1 & 2 for Enabling Capabilities (EC).

Key Milestones -FY 2017

- The Secret Service will transition to the modernized operational environment and will achieve improved network monitoring, security, communication channels to allow sharing of information, standardization of application framework and databases and achieve full transition to the modernized environment at full operational capability by FY 2018.
- Deployment of the IT Operations Center support for Secret Service-wide operations.
- Continued development of COLD 2-Event planning, Event execution and Enterprise-wide Scheduling in FY 2017 and FY 2018.

Exhibit E. Justification of Proposed Legislative Language

Department of Homeland Security
United States Secret Service
Procurement, Construction and Improvements
Justification of Proposed Legislative Language

For necessary expenses for **[**acquisition**]** *procurement*, construction, **[**repair, alteration,**]** and improvement of physical and technological infrastructure, **[**$79,019,000, to remain available until September 30, 2018**]** *$110,627,000, of which $47,737,000 shall be for Operational Mission Support, $45,511,176 to remain available until September 30, 2018, and of which $62,890,000 shall be for Information Integration and Technology Transformation development and other mission needs, to remain available until September 30, 2018.*

Explanation of Proposed Change

The legislative language associated with this account has been updated and streamlined to reflect the Department's new Common Appropriations Structure. Funding amounts have been updated to reflect the FY 2017 President's Budget.

Exhibit F. Summary of Fee Collections and Carryover

N/A

Exhibit G. Summary of Reimbursable Resources

N/A

Exhibit H. FY 2017 Schedule of Working Capital Fund by Program/Project Activity

N/A

Exhibit I. Capital Investment and Construction Initiative Listing

N/A

Exhibit J. Object Class Breakout by Appropriation

Department of Homeland Security
United States Secret Service
Procurement, Construction, and Improvements
Summary of Requirements by Object Class
(Dollars in Thousands)

Object Classes	2015 Revised Enacted	2016 Enacted	2017 Request	FY 2016 to FY 2017 Change
Personnel and Other Compensation Benefits				
11.1 Full-time Permanent	-	-	-	-
11.3 Other than Full-Time Permanent	-	-	-	-
11.5 Other Personnel Compensation	-	-	-	-
11.6 Military Personnel-Basic Allowance for Housing	-	-	-	-
11.7 Military Personnel	-	-	-	-
11.8 Special Personal Services Payments	-	-	-	-
12.1 Civilian Personnel Benefits	-	-	-	-
12.2 Military Personnel Benefits	-	-	-	-
13.0 Benefits for Former Personnel	-	-	-	-
Total, Personnel and Other Compensation Benefits	-	-	-	-
Other Object Classes				
21.0 Travel and Transportation of Persons	-	-	-	-
22.0 Transportation of Things	-	-	-	-
23.1 Rental Payments to GSA	-	-	-	-
23.2 Rental Payments to Others	-	-	-	-
23.3 Communications, Utilities, and Misc. Charges	-	-	-	-
24.0 Printing and Reproduction	-	-	-	-
25.0 Other Contractual Services	-	-	-	-
25.1 Advisory and Assistance Services	-	-	-	-
25.2 Other Services from Non-Federal Sources	$29,712	$36,369	$61,396	$25,027
25.3 Other Goods and Services from Federal Sources	-	-	-	-
25.4 Operation and Maintenance of Facilities	-	-	-	-
25.5 Research and Development Contracts	-	-	-	-
25.6 Medical Care	-	-	-	-
25.7 Operation and Maintenance of Equipment	-	-	-	-
25.8 Subsistence & Support of Persons	-	-	-	-
26.0 Supplies and Materials	$63	$25	$2,000	$1,975
31.0 Equipment	$24,084	$20,505	$47,231	$26,726
32.0 Land and Structures	$8,324	$7,000	-	($7,000)
33.0 Investments and loans	-	-	-	-
41.0 Grants, Subsidies, and Contributions	-	-	-	-
42.0 Insurance Claims and Indemnities	-	-	-	-
43.1 Interest and Dividends	-	-	-	-
43.2 Immigration Fees	-	-	-	-

Object Classes	2015 Revised Enacted	2016 Enacted	2017 Request	FY 2016 to FY 2017 Change
44.0 Refunds	-	-	-	-
91.0 Unvouchered	-	-	-	-
93.1 Limitation on expenses	-	-	-	-
99.0 Subtotal, Obligations	-	-	-	-
99.5 Below Reporting Threshold	-	-	-	-
Total, Other Object Classes	**$62,183**	**$63,899**	**$110,627**	**$46,728**
Total, Direct Obligations	**$62,183**	**$63,899**	**$110,627**	**$46,728**
Adjustments				
Net Offsetting Collections	-	-	-	-
Unobligated Balance, start of year	-	-	-	-
Unobligated Balance, end of year	-	-	-	-
Recoveries of Prior Year Obligations	-	-	-	-
Offsetting Collections	-	-	-	-
Total Requirements	**$62,183**	**$63,899**	**$110,627**	**$46,728**

*FY 2015 and FY 2016 funding levels reflect the CAS Structure and are for comparison purposes only

Exhibit K. Object Class Breakout by PPA

Department of Homeland Security
United States Secret Service
Procurement, Construction, and Improvements
Protection
Summary of Requirements by Object Class
(Dollars in Thousands)

Object Classes	FY 2015 Revised Enacted	FY 2016 Enacted	FY 2017 Request	FY 2016 to FY 2017 Change
Personnel and Compensation Benefits	-	-	-	-
Other Object Classes	-	-	-	-
25.2 Other Services from Non-Federal Sources	-	-	$30,237	$30,237
31.0 Equipment	$11,000	$11,000	$17,500	$6,500
Total, Other Object Classes	**$11,000**	**$11,000**	**$47,737**	**$36,737**
Adjustments	-	-	-	-
Unobligated Balance, start of year	-	-	-	-
Unobligated Balance, end of year	-	-	-	-
Recoveries of Prior Year Obligations	-	-	-	-
Total Requirements	**$11,000**	**$11,000**	**$47,737**	**$36,737**
Full Time Equivalents	-	-	-	-

*FY 2015 and FY 2016 funding levels reflect the CAS Structure and are for comparison purposes only

Department of Homeland Security
United States Secret Service
Procurement, Construction, and Improvements
Integrated Operations
Summary of Requirements by Object Class
(Dollars in Thousands)

Object Classes	FY 2015 Revised Enacted	FY 2016 Enacted	FY 2017 Request	FY 2016 to FY 2017 Change
Personnel and Compensation Benefits	-	-	-	-
Other Object Classes	-	-	-	-
25.2 Other Services from Non-Federal Sources	$29,712	$36,369	$31,159	($5,210)
26.0 Supplies and Materials	$63	$25	$2,000	$1,975
31.0 Equipment	$13,084	$9,505	$29,731	$20,226
32.0 Land and Structures	$8,324	$7,000	-	($7,000)
Total, Other Object Classes	**$51,183**	**$52,899**	**$62,890**	**$9,991**
Adjustments	-	-	-	-
Unobligated Balance, start of year	-	-	-	-
Unobligated Balance, end of year	-	-	-	-
Recoveries of Prior Year Obligations	-	-	-	-
Total Requirements	**$51,183**	**$52,899**	**$62,890**	**$9,991**
Full Time Equivalents	-	-	-	-

*FY 2015 and FY 2016 funding levels reflect the CAS Structure and are for comparison purposes only

Exhibit L. Permanent Positions by Grade

N/A

Exhibit M. Changes in Full Time Employment

N/A

Department of Homeland Security

United States Secret Service

Research and Development

Fiscal Year 2017

Congressional Justification

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Schedule I – Executive Summary of Appropriation Exhibits

A. Summary of Budget Estimates by Program Project Activity- Appropriation Level

Department of Homeland Security
United States Secret Service
Research and Development

Summary of FY 2017 Budget Estimates by Program Project Activity

FY 2017 Request

(Dollars in Thousands)

Program Project Activity	FY 2015			FY 2016			FY 2017			Increase(+) or Decrease(-) for FY 2017		
	Revised Enacted[1]			Enacted			Request			Total Changes		
	POS	FTE	Amount	POS	FTE	Amount	POS	FTE	Amount	POS	FTE	Amount
Protection	-	-	-	-	-	-	-	-	$2,250	-	-	$2,250
Integrated Operations	-	-	$250	-	-	$250	-	-	$250	-	-	-
Total, Research and Development	**-**	**-**	**$250**	**-**	**-**	**$250**	**-**	**-**	**$2,500**	**-**	**-**	**$2,250**
Subtotal, Enacted Appropriations & Budget Estimates	**-**	**-**	**$250**	**-**	**-**	**$250**	**-**	**-**	**$2,500**	**-**	**-**	**$2,250**
Net, Enacted Appropriations and Budget Estimates:	**-**	**-**	**$250**	**-**	**-**	**$250**	**-**	**-**	**$2,500**	**-**	**-**	**$2,250**

[1] Reflects reprograming/transfer, as applicable, and actual FTE. This footnote applies to all FY 2015 Revise Enacted tables throughout the CJ Submission
**FY 2015 and FY 2016 funding levels reflect the CAS Structure and are for comparison purposes only

Overview

The U.S. Secret Service's Research and Development (R&D) appropriation funds projects through Technology Readiness Level 6 in the pursuit of enhanced technologies and products for front-line use. These projects support the following mission-based Programs, Projects, and Activities (PPAs):

- **Protection:** As authorized by Title 18 U.S.C. § 3056, the Secret Service provides physical safety and security to certain persons, designated facilities, and certain major events. Funding in this PPA provides for the Protective Systems and Weapons Testing Program, which conducts R&D for Operational Mission Support (OMS). OMS supports protection of the President and Vice President at the White House Complex (WHC), the Vice President's Residence, and temporary sites from emerging explosive, chemical, biological, radiological, and cyber threats. The FY 2017 request is a program increase of $2.250 million over the FY 2016 funding level.

- **Integrated Operations:** This PPA includes R&D investments to enhance front-line operations and execution of the Secret Service's integrated mission of protection and investigations. Funding in this PPA supports the Secret Service CERT program, which develops computer forensic tools, investigative support for complex cyber investigations and forensic support, training, and critical systems protection tools and training in conjunction with Carnegie Mellon University. The FY 2017 request sustains the FY 2016 funding level of $0.250 million.

B. FY 2016 to FY 2017 Budget Change - Appropriation Level

Department of Homeland Security
United States Secret Service
Research and Development

FY 2016 to FY 2017 Budget Change
(Dollars in Thousands)

	Pos.	FTE	Amount
FY 2015 Revised Enacted	-	-	-
FY 2016 Enacted	-	-	-
Adjustments-to-Base			
Transfers to and from other accounts:			
From S&E - Domestic Field Ops to R&D - Integrated Ops	-	-	$250
Total Transfers	-	-	**$250**
Total Adjustments-to-Base	-	-	**$250**
FY 2017 Current Services	-	-	**$250**
Program Changes			
Increases			
Enhanced Operational Mission Support	-	-	$2,250
Total, Increases	-	-	**$2,250**
Total Program Changes	-	-	**$2,250**
FY 2017 Request	-	-	**$2,500**
FY 2016 to FY 2017 Change	-	-	**$2,500**

C. FY 2017 Investment Summary - Appropriation Level

N/A

Exhibit D. FY 2016 to FY 2017 Budget Change- PPA Level

Department of Homeland Security
United States Secret Service
Research and Development
Protection
FY 2016 to FY 2017 Budget Change- PPA Level
(Dollars in Thousands)

Protection		Positions	FTE	Amount
Prior Year	**FY 2015 Revised Enacted**	**-**	**-**	**-**
Base	**FY 2016 Enacted**	**-**	**-**	**-**
Program Changes	Enhanced Operational Mission Support	-	-	2,250
Budget Year	**FY 2017 Request**	**-**	**-**	**2,250**
	Total Change from FY 2016 to FY 2017	**-**	**-**	**2,250**

PPA DESCRIPTION:

This PPA funds the Protective Systems and Weapons Testing Program in support of the Secret Service's OMS initiative, which enhances the protection of the President and Vice President at the White House Complex, the Vice President's Residence, and temporary sites from emerging explosive, chemical, biological, radiological, and cyber threats. OMS includes advanced protective countermeasures designed to address both established and evolving threats. These countermeasures must continually be refreshed with technical updates and/or upgraded. The FY 2017 request is a program increase of $2.250 million over the FY 2016 funding level.

The implementation of OMS is a multi-year sustainment effort that requires base funding each fiscal year to address these threats. It is critical that the Secret Service have these resources to continually refresh and update/upgrade technical countermeasures in order to ensure a safe environment for the President, Vice President, and other Secret Service protectees.

Further detail of OMS R&D funding requested in FY 2017 is provided below. Additional information that relates to FY 2017 OMS sustainment and procurement funding is provided in the Secret Service's Operations and Support (O&S) and Procurement, Construction, and Improvements (PC&I) appropriations.

Project Description:

Project – FY 2015: $0. FY 2016 Request: $0. FY 2017 funds will provide capabilities to allow the Secret Service to ensure that it properly assesses, plans, and prepares technical capabilities to meet current and evolving needs.

Sub Project

- N/A

Prior Year Key Events

- N/A

Current Year Key Events

- N/A

Budget Year Key Events

- Additional details are available in a classified format.

Funding History

(Thousands)

FY11	FY12	FY13	FY14	FY15	FY16
$0	$0	$0	$0	$0	$0

Project Schedule Including Milestones

- Additional details are available in a classified format.

Delayed Milestones

- Additional details are available in a classified format.

Type of Research

- Developmental: $0.800 million / Applied: $1.450 million

Basic Research		Applied Research		Technology Development	Technology Demonstration	System Development	System Test and Launch		System Viability and Operations
Technology Readiness Level-1	**Technology Readiness Level-2**	**Technology Readiness Level-3**	**Technology Readiness Level-4**	**Technology Readiness Level-5**	**Technology Readiness Level-6**	**Technology Readiness Level-7**	**Technology Readiness Level-8**	**Technology Readiness Level-9**	**Technology Deployment**
Basic Principles Observed/ Reported	Technology Concept/ application formulated	Critical Function or Characteristic proof of concept	Validation in lab Environment	Validation in Relevant Environment	System Prototypes in relevant environment	System Prototypes in operational environment	Actual system completed and qualified through test and demonstration	Actual system proven through successful mission operations	Actual system commences with regular and sustained operations [to be cleared by S&T]

Technical Readiness Level

- 6

Transition Plans

- Additional details are available in a classified format.

Department of Homeland Security
United States Secret Service
Research and Development
Justification of Program Changes
(Dollars in Thousands)

Program Increase: Enhanced Operational Mission Support
PPA: Protection
Program Increase: Positions 0, FTE 0, Dollars $2,250

Funding Profile

	FY 2015 Revised Enacted			FY 2016 Enacted			FY 2017 Request		
	Pos	FTE	Dollars ($000)	Pos	FTE	Dollars ($000)	Pos	FTE	Dollars ($000)
Protection							-	-	-
Subtotal, Current Services							**-**	**-**	**-**
Protection							-	-	$2,250
Subtotal, Program Increases							**-**	**-**	**$2,250**
Total Request	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**$2,250**

DESCRIPTION OF ITEM:

This Program Increase includes $2.250 million, 0 positions, and 0 FTE for research and development under the Protective Systems and Weapons Testing Program in support of OMS. Specifically, these funds support solicitation, testing, and contract award to assess the effectiveness of adversarial weapons and defensive countermeasures weapons.

OMS supports the protection of the President and Vice President at the White House Complex (WHC), the Vice President's Residence, and temporary sites from emerging explosive, chemical, biological, radiological, and cyber threats. The advanced protective countermeasures that are part of OMS have been designed to address both established and evolving threats.

The implementation of OMS is a multi-year sustainment effort that requires base funding each fiscal year to address these threats. It is critical that the Secret Service have these resources to continually refresh and update/upgrade technical countermeasures in order to ensure a safe environment for the President, Vice President, and other Secret Service protectees.

Justification:

Requested funds will support research and development activities to enhance OMS capabilities. Specific work sub-packages associated with these funds can be generally grouped as: 1) those largely focusing on incremental enhancements and maintenance of OMS capabilities created via previously requested OMS funds; and 2) those work sub-packages that largely provide for new or substantially expanded capabilities within the OMS portfolio. Detailed descriptions of these additional OMS requirements are classified.

The following chart provides a detailed breakdown for each OMS initiative and distinguishes between funding for research and development (R&D funded), sustainment (O&S funded), and added capabilities (PC&I funded).

OMS Initiatives (Dollars in Thousands)	**FY 2017 O&S Funding**	**FY 2017 PC&I Funding**	**FY 2017 R&D Funding**	**FY 2017 Total Request**
Enhanced Explosive Detection Systems	$5,373.0	$7,190.0	-	$12,563.0
Enhanced Chemical, Biological, and Radiological Detections Systems	$9,612.0	$2,500.0	-	$12,112.0
Presidential Audio Countermeasures	$8,175.0	$3,075.0	-	$11,250.0
White House Physical Protective Structures	$12,006.0	$22,222.0	-	$34,228.0
Enhanced White House Camera System	$3,000.0	-	-	$3,000.0
Cyber Protection Activities	$6,800.0	-	-	$6,800.0
Development of Next Generation Presidential Limousine	-	$11,000.0	-	$11,000.0
Portable Security Systems for Presidential Venues	$250.0	$1,750.0	-	$2,000.0
Protective Systems and Weapons Testing Program	-	-	$2,250.0	$2,250.0
Total Program Costs	**$45,216.0**	**$47,737.0**	**$2,250.0**	**$95,203.0**
Positions	$12,977.0	-	-	$12,977.0
TOTAL, OMS Request	**$58,193.0**	**$47,737.0**	**$2,250.0**	**$108,180.0**

Further detail of OMS R&D funding requested in FY 2017 is provided below. Additional information that relates to FY 2017 sustainment funding is provided in the Secret Service's O&S appropriation while enhancement funding is addressed in PC&I appropriation.

Protective Systems and Weapons Testing Program **$2.250 million**

These funds will better allow the Secret Service to ensure that it properly assesses, plans, and prepares technical capabilities to meet current and evolving threats.

Note: Additional details are available in a classified format.

Impact on Performance:

The implementation of OMS is a multi-year effort to address current and evolving threats. It is critical that the Secret Service have these resources to continually refresh and update/upgrade technical countermeasures in order to ensure a safe environment and provide enhanced security coverage for the President, Vice President, and other Secret Service protectees.

Department of Homeland Security
United States Secret Service
Research and Development
Protection

Cost Drivers (Non-Pay) - PPA Level ($000s)

R&D - Protection	2015 Revised Enacted	2016 Enacted	2017 Request	FY 2016 to FY 2017 Change
FY 2017 Non- Pay Cost Drivers (greatest-least)				
Other Contractual Services	-	-	$2,250	$2,250
Total	**-**	**-**	**$2,250**	**$2,250**

*FY 2015 and FY 2016 funding levels reflect the CAS structure and are for comparison purposes only.

NARRATIVE EXPLANATION OF CHANGES:

- **Other contractual services:** The FY 2017 amount represents an increase of $2.250 million for solicitation, testing, and contract award to assess the effectiveness of adversarial weapons and defensive countermeasures weapons.

Department of Homeland Security
United States Secret Service
Research and Development
Integrated Operations
FY 2016 to FY 2017 Budget Change- PPA Level
(Dollars in Thousands)

Integrated Operations		Positions	FTE	Amount
Prior Year	**FY 2015 Revised Enacted**	-	-	-
Base	**FY 2016 Enacted**	-	-	-
Current Services	From S&E - Domestic Field Ops to R&D - Integrated Ops	-	-	250
Budget Year	**FY 2017 Request**	-	-	**250**
	Total Change from FY 2016 to FY 2017	-	-	**250**

PPA DESCRIPTION:

This PPA funds the Secret Service's CERT Liaison program. The Secret Service contracts with the Carnegie Mellon Software Engineering Institute to develop technologies and techniques in support of Secret Service cyber investigations and protective operations. Carnegie Mellon's Software Engineering Institute has been a close partner of the Secret Service since 1998. Through collaboration with the Carnegie Mellon University-Software Engineering Institute (CMU-SEI), a Federally- Funded Research Development Center (FFRDC), the U.S. Government realizes significant cost savings by leveraging participating agencies' resources to accomplish shared objectives with the benefit of a perpetual zero-cost to use license for the government.

The CERT liaison program represents the Secret Service's long-standing commitment to develop mission-critical systems, cyber investigative applications, malware analysis and applications that identify, assess, and mitigate threats to the Nation's financial system, critical infrastructure, and persons and facilities protected by the Secret Service. CERT developed the current tool suite that the Secret Service's Critical System Protection Program utilizes, which includes Kaleidoscope (network defense platform), FlipBook (protective advance expert system application) and NightLight (remote sensing and monitoring platform). CERT also developed the Bank Note Processing System, an application to increase efficiency of the processing of counterfeit bank notes in Secret Service field offices.

Project Description:

Project – FY 2015: $0.250 million. FY 2016 Request: $0.250 million. FY 2017 funds will enhance the Service's efforts to develop computer forensic tools, investigative support for complex cyber investigations and forensic support, training, and critical systems protection tools and training.

Sub Project

- N/A

Prior Year Key Events

- September 2015 – CERT delivered FlipBook version 2.0 for user testing and re-deployment to the field.
- November 2015 – CERT delivered five prototype Bank Note Processing Systems.

Current Year Key Events

- May 2016 – CERT scheduled to deliver next generation of NightLight remote sensing/monitoring kits.
- June 2016 – CERT scheduled to deliver updated web interface for Kaleidoscope.
- TBD FY 2016 – Transition of Bank Note Processing System application to Secret Service.

Budget Year Key Events

- TBD FY 2017 – Deployment of Bank Note Processing System Secret Service-wide.

Funding History

(Thousands)

FY11	FY12	FY13	FY14	FY15	FY16
$250	$250	$250	$250	$250	$250

Project Schedule Including Milestones

- N/A

Delayed Milestones

- N/A

Type of Research

- Developmental

Basic Research		Applied Research		Technology Development	Technology Demonstration	System Development	System Test and Launch		System Viability and Operations
Technology Readiness Level-1	**Technology Readiness Level-2**	**Technology Readiness Level-3**	**Technology Readiness Level-4**	**Technology Readiness Level-5**	**Technology Readiness Level-6**	**Technology Readiness Level-7**	**Technology Readiness Level-8**	**Technology Readiness Level-9**	**Technology Deployment**
Basic Principles Observed/ Reported	Technology Concept/ application formulated	Critical Function or Characteristic proof of concept	Validation in lab Environment	Validation in Relevant Environment	System Prototypes in relevant environment	System Prototypes in operational environment	Actual system completed and qualified through test and demonstration	Actual system proven through successful mission operations	Actual system commences with regular and sustained operations [to be cleared by S&T]

Technical Readiness Level

- 6

Transition Plans

- N/A

Department of Homeland Security
United States Secret Service
Research and Development
Integrated Operations

Cost Drivers (Non-Pay) - PPA Level ($000s)

R&D - Integrated Operations	2015 Revised Enacted	2016 Enacted	2017 Request	FY 2016 to FY 2017 Change
FY 2017 Non- Pay Cost Drivers (greatest-least)				
Other Contractual Services	$250	$250	$250	-
Total	**$250**	**$250**	**$250**	**-**

*FY 2015 and FY 2016 funding levels reflect the CAS structure and are for comparison purposes only.

NARRATIVE EXPLANATION OF CHANGES:

- **Other contractual services:** There is no change in the funding level between FY 2016 and FY 2017. Contract funds to enhance the Secret Service's efforts to develop computer forensic tools, investigative support for complex cyber investigations and forensic support, training, and critical systems protection tools and training.

Exhibit E. Justification of Proposed Legislative Language

Department of Homeland Security
United States Secret Service
Research and Development
Justification of Proposed Legislative Language

For necessary expenses for research and development, $2,500,000, of which $2,250,000 shall be for Operational Mission Support to remain available until September 30, 2018.

Explanation of Proposed Change

The legislative language associated with this account has been updated and streamlined to reflect the Department's new Common Appropriations Structure. Funding amounts have been updated to reflect the FY 2017 President's Budget.

Exhibit F. Summary of Fee Collections and Carryover

N/A

Exhibit G. Summary of Reimbursable Resources

N/A

Exhibit H. FY 2017 Schedule of Working Capital Fund by Program/Project Activity

N/A

Exhibit I. Capital Investment and Construction Initiative Listing

N/A

Exhibit J. Object Class Breakout by Appropriation

Department of Homeland Security
United States Secret Service
Research and Development

Summary of Requirements by Object Class
(Dollars in Thousands)

Object Classes	2015 Revised Enacted	2016 Enacted	2017 Request	FY 2016 to FY 2017 Change
Personnel and Other Compensation Benefits				
11.1 Full-time Permanent	-	-	-	-
11.3 Other than Full-Time Permanent	-	-	-	-
11.5 Other Personnel Compensation	-	-	-	-
11.6 Military Personnel-Basic Allowance for Housing	-	-	-	-
11.7 Military Personnel	-	-	-	-
11.8 Special Personal Services Payments	-	-	-	-
12.1 Civilian Personnel Benefits	-	-	-	-
12.2 Military Personnel Benefits	-	-	-	-
13.0 Benefits for Former Personnel	-	-	-	-
Total, Personnel and Other Compensation Benefits	-	-	-	-
Other Object Classes				
21.0 Travel and Transportation of Persons	-	-	-	-
22.0 Transportation of Things	-	-	-	-
23.1 Rental Payments to GSA	-	-	-	-
23.2 Rental Payments to Others	-	-	-	-
23.3 Communications, Utilities, and Misc. Charges	-	-	-	-
24.0 Printing and Reproduction	-	-	-	-
25.0 Other Contractual Services	-	-	-	-
25.1 Advisory and Assistance Services	-	-	-	-
25.2 Other Services from Non-Federal Sources	$250	$250	$2,500	$2,250
25.3 Other Goods and Services from Federal Sources	-	-	-	-
25.4 Operation and Maintenance of Facilities	-	-	-	-
25.5 Research and Development Contracts	-	-	-	-
25.6 Medical Care	-	-	-	-
25.7 Operation and Maintenance of Equipment	-	-	-	-
25.8 Subsistence & Support of Persons	-	-	-	-
26.0 Supplies and Materials	-	-	-	-
31.0 Equipment	-	-	-	-
32.0 Land and Structures	-	-	-	-

Object Classes	2015 Revised Enacted	2016 Enacted	2017 Request	FY 2016 to FY 2017 Change
33.0 Investments and loans	-	-	-	-
41.0 Grants, Subsidies, and Contributions	-	-	-	-
42.0 Insurance Claims and Indemnities	-	-	-	-
43.1 Interest and Dividends	-	-	-	-
43.2 Immigration Fees	-	-	-	-
44.0 Refunds	-	-	-	-
91.0 Unvouchered	-	-	-	-
93.1 Limitation on expenses	-	-	-	-
99.0 Subtotal, Obligations	-	-	-	-
99.5 Below Reporting Threshold	-	-	-	-
Total, Other Object Classes	**$250**	**$250**	**$2,500**	**$2,250**
Total, Direct Obligations	**$250**	**$250**	**$2,500**	**$2,250**
Adjustments				
Net Offsetting Collections	-	-	-	-
Unobligated Balance, start of year	-	-	-	-
Unobligated Balance, end of year	-	-	-	-
Recoveries of Prior Year Obligations	-	-	-	-
Offsetting Collections	-	-	-	-
Total Requirements	**$250**	**$250**	**$2,500**	**$2,250**

*FY 2015 and FY 2016 funding levels reflect the CAS Structure and are for comparison purposes only

Exhibit K. Object Class Breakout by PPA

Department of Homeland Security
United States Secret Service
Research and Development
Protection
Summary of Requirements by Object Class
(Dollars in Thousands)

Object Classes	FY 2015 Revised Enacted	FY 2016 Enacted	FY 2017 Request	FY 2016 to FY 2017 Change
Personnel and Compensation Benefits	-	-	-	-
Other Object Classes	-	-	-	-
25.2 Other Services from Non-Federal Sources	-	-	$2,250	$2,250
Total, Other Object Classes	-	-	**$2,250**	**$2,250**
Total, Direct Obligations	-	-	**$2,250**	**$2,250**
Adjustments	-	-	-	-
Unobligated Balance, start of year	-	-	-	-
Unobligated Balance, end of year	-	-	-	-
Recoveries of Prior Year Obligations	-	-	-	-
Total Requirements	-	-	**$2,250**	**$2,250**
Full Time Equivalents	-	-	-	-

*FY 2015 and FY 2016 funding levels reflect the CAS Structure and are for comparison purposes only

Department of Homeland Security
United States Secret Service
Research and Development
Integrated Operations

Summary of Requirements by Object Class
(Dollars in Thousands)

Object Classes	FY 2015 Revised Enacted	FY 2016 Enacted	FY 2017 Request	FY 2016 to FY 2017 Change
Personnel and Compensation Benefits	-	-	-	-
Other Object Classes	-	-	-	-
25.2 Other Services from Non-Federal Sources	$250	$250	$250	-
Total, Other Object Classes	**$250**	**$250**	**$250**	-
Adjustments	-	-	-	-
Unobligated Balance, start of year	-	-	-	-
Unobligated Balance, end of year	-	-	-	-
Recoveries of Prior Year Obligations	-	-	-	-
Total Requirements	**$250**	**$250**	**$250**	-
Full Time Equivalents	-	-	-	-

*FY 2015 and FY 2016 funding levels reflect the CAS Structure and are for comparison purposes only

Exhibit L. Permanent Positions by Grade

N/A

Exhibit M. Changes in Full Time Employment

N/A

Department of Homeland Security

United States Secret Service

Federal Assistance

Fiscal Year 2017

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Schedule I – Executive Summary of Appropriation Exhibits

A. Summary of Budget Estimates by Program Project Activity- Appropriation Level

Department of Homeland Security
United States Secret Service
Federal Assistance
Summary of FY 2017 Budget Estimates by Program Project Activity

FY 2017 Request
(Dollars in Thousands)

Program Project Activity	FY 2015			FY 2016			FY 2017			Increase(+) or Decrease(-) for FY 2017		
	Revised Enacted[1]			Enacted			Request			Total Changes		
	POS	FTE	Amount	POS	FTE	Amount	POS	FTE	Amount	POS	FTE	Amount
Criminal Investigations	4	3	$18,776	4	4	$18,784	4	4	$4,869	-	-	($13,915)
Total, Federal Assistance	**4**	**3**	**$18,776**	**4**	**4**	**$18,784**	**4**	**4**	**$4,869**	**-**	**-**	**($13,915)**
Subtotal, Enacted Appropriations & Budget Estimates	**4**	**3**	**$18,776**	**4**	**4**	**$18,784**	**4**	**4**	**$4,869**	**-**	**-**	**($13,915)**
Net, Enacted Appropriations and Budget Estimates:	**4**	**3**	**$18,776**	**4**	**4**	**$18,784**	**4**	**4**	**$4,869**	**-**	**-**	**($13,915)**

[1]Reflects reprogrammings/transfers, as applicable, and actual FTE. This footnote applies to all FY 2015 Revised Enacted tables throughout this CJ submission.
*FY 2015 and FY 2016 funding levels reflect the CAS structure and are for comparison purposes only.

Overview

The U.S. Secret Service's Federal Assistance (FA) appropriation provides funds for monetary and non-monetary support to non-DHS entities as outlined in the following Program, Project, and Activity (PPA):

- **Criminal Investigations:** The Secret Service safeguards the Nation's financial infrastructure and payment systems through its financial and cybercrimes investigations. Funding in this PPA includes $4.869 million and 4 FTE to support operations of National Computer Forensics Institute (NCFI), which provides training to state and local law enforcement partners on digital evidence and cybercrime investigations. The FY 2017 request reflects an $8.000 million decrease from FY 2016 due to non-recurred NCFI funding, and also a reduction of $6.000 million for forensic support for missing and exploited children, a function proposed for consolidation at the U.S. Department of Justice (DOJ).

B. FY 2016 to FY 2017 Budget Change - Appropriation Level

Department of Homeland Security
United States Secret Service
Federal Assistance

FY 2016 to FY 2017 Budget Change
(Dollars in Thousands)

	Pos.	FTE	Amount
FY 2015 Revised Enacted	-	-	-
FY 2016 Enacted	-	-	-
Adjustments-to-Base			
Transfers to and from other accounts:			
From S&E - Domestic Field Ops to FA - Criminal Investigations	4	4	$12,784
From S&E - Missing and exploited children to FA - Criminal Investigations	-	-	$6,000
Total Transfers	**4**	**4**	**$18,784**
Increases			
2017 Pay Raise	-	-	$28
Annualization of FY 2016 pay raise	-	-	$12
Health Benefits	-	-	$12
Increased Retirement Contributions	-	-	$7
Workforce Maturation	-	-	$26
Total, Increases	**-**	**-**	**$85**
Total Other Adjustments	**-**	**-**	**$85**
Total Adjustments-to-Base	**4**	**4**	**$18,869**
FY 2017 Current Services	**4**	**4**	**$18,869**
Program Changes			
Decreases			
National Computer Forensics Institute	-	-	($8,000)
Support for missing and exploited children	-	-	($6,000)
Total, Decreases	**-**	**-**	**($14,000)**
Total Program Changes	**-**	**-**	**($14,000)**
FY 2017 Request	**4**	**4**	**$4,869**
FY 2016 to FY 2017 Change	**4**	**4**	**$4,869**

C. FY 2017 Investment Summary - Appropriation Level

N/A

Exhibit D. FY 2016 to FY 2017 Budget Change- PPA Level

Department of Homeland Security
United States Secret Service
Federal Assistance
Criminal Investigations
FY 2016 to FY 2017 Budget Change- PPA Level
(Dollars in Thousands)

Criminal Investigations		Positions	FTE	Amount
Prior Year	**FY 2015 Revised Enacted**	**-**	**-**	**-**
Base	**FY 2016 Enacted**	**-**	**-**	**-**
Current Services	2017 Pay Raise	-	-	28
	Annualization of FY 2016 pay raise	-	-	12
	From S&E - Domestic Field Ops to FA - Criminal Investigations	4	4	12,784
	From S&E - Missing and exploited children to FA - Criminal Investigations	-	-	6,000
	Health Benefits	-	-	12
	Increased Retirement Contributions	-	-	7
	Workforce Maturation	-	-	26
Program Changes	Investigations - National Computer Forensics Institute	-	-	(8,000)
	Investigations - Support for missing and exploited children	-	-	(6,000)
Budget Year	**FY 2017 Request**	**4**	**4**	**4,869**
	Total Change from FY 2016 to FY 2017	**4**	**4**	**4,869**

PPA DESCRIPTION:

This PPA supports operations of the NCFI in Hoover, Alabama, which is the Nation's only federally-funded training center dedicated to instructing state and local officials in digital and cybercrime investigations. The Secret Service will continue to operate NCFI in partnership with the State of Alabama and DHS. Through the NCFI, the Secret Service provides state and local law enforcement partners with the necessary computer-based training, tools, and equipment to enhance their investigative skills making them a "force multiplier" for the Secret Service mission. In FY 2017, the NCFI will provide advanced cybersecurity training to an estimated 350 law enforcement officers, state and local prosecutors, and local judicial officials. This training will allow our partners to conduct an estimated 5,500 computer forensic exams and examine an estimated 1,700 terabytes of information.

Department of Homeland Security
United States Secret Service
Federal Assistance
Justification of Program Changes
(Dollars in Thousands)

Program Decrease: National Computer Forensics Institute
PPA: Criminal Investigations
Program Decrease: Positions 0, FTE 0, Dollars ($8,000)

Funding Profile

	FY 2015 Revised Enacted			FY 2016 Enacted			FY 2017 Request		
	Pos	FTE	Dollars ($000)	Pos	FTE	Dollars ($000)	Pos	FTE	Dollars ($000)
Criminal Investigations							4	4	$12,869
Subtotal, Current Services Level							**4**	**4**	**$12,869**
Criminal Investigations							-	-	($8,000)
Subtotal, Program Decreases							**-**	**-**	**($8,000)**
Total Request	**4**	**3**	**$12,776**	**4**	**4**	**$12,784**	**4**	**4**	**$4,869**

DESCRIPTION OF ITEM:

The NCFI, located in Hoover, Alabama, was established in 2008 through collaboration between the Secret Service, DHS, and the State of Alabama, with a mandate to provide state and local law enforcement, legal, and judicial professionals a free, comprehensive education on current cybercrime trends, investigative methods, and prosecutorial challenges.

Justification:

The Secret Service uses federal funding provided through this appropriation and PPA to train and equip state and local law enforcement officers, state and local prosecutors, and judicial officials. These students include personnel from all 50 states, three U.S.

territories, and over 1,500 agencies nationwide. For FY 2016, Congress provided additional amounts above the President's Budget to facilitate training at the NCFI.

Impact on Performance:

The Secret Service will continue to provide training at the NCFI to the fullest extent resources permit.

Department of Homeland Security
United States Secret Service
Federal Assistance
Justification of Program Changes
(Dollars in Thousands)

Program Decrease: Forensic support for missing and exploited children
PPA: Criminal Investigations
Program Decrease: Positions 0, FTE 0, Dollars $(6,000)

Funding Profile

	FY 2015 Revised Enacted			FY 2016 Enacted			FY 2017 Request		
	Pos	FTE	Dollars ($000)	Pos	FTE	Dollars ($000)	Pos	FTE	Dollars ($000)
Criminal Investigations							-	-	$6,000
Subtotal, Current Services Level							**-**	**-**	**$6,000**
Criminal Investigations							-	-	($6,000)
Subtotal, Program Decreases							**-**	**-**	**($6,000)**
Total Request	**-**	**-**	**$6,000**	**-**	**-**	**$6,000**	**-**	**-**	**-**

DESCRIPTION OF ITEM:

Through Congress, the Secret Service has historically received funding outside the President's Budget for the purpose of providing forensic support for investigations of missing and exploited children and grant funds traditionally given to the National Center for Missing and Exploited Children (NCMEC).

Justification:

The Secret Service intends to continue working with its law enforcement partner agencies by maintaining forensic services support to investigations involving missing and exploited children. The Operations and Support, Criminal Investigations PPA includes $2.366

million to further Secret Service polygraph examinations, latent finger print examinations, handwriting analysis comparisons, and video enhancements – based upon resource availability – to federal, state, and local law enforcement agencies conducting investigations involving missing and exploited children.

Although the Secret Service strongly supports the mission of the NCMEC, the FY 2017 Budget proposes to consolidate the grant function with the DOJ Office of Justice Programs, whose mission is more oriented towards such investigative activities and support. Consequently the DHS Budget does not recur $6.000 million previously associated with NCMEC.

Impact on Performance:

The Secret Service will continue to provide forensic and investigative support to priority federal, state, and local investigations involving missing and exploited children. NCMEC grant funding may be continued through DOJ but is not proposed as part of the Secret Service's budget.

Department of Homeland Security
United States Secret Service
Federal Assistance

Cost Drivers (Pay & Benefits) - PPA Level (Excludes Reimbursables) ($000s)

	FY 2015 Revised Enacted[1]				FY 2016 Enacted				FY 2017 Request				FY 2016 to FY 2017 Change			
	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost
Civilian Total	4	3	$776	$259	4	4	$784	$196	4	4	$869	$217	-	-	$85	$21

[1] Reflects reprogrammings/transfers, as applicable, and actual FTE. The use of FY 2015 Revised Enacted Budgetary Resources in the CAS Pay Cost Drivers Exhibit may reflect a higher average FTE Cost than represented in FY 2016 Enacted or FY 2017 Request average cost columns.

NARRATIVE EXPLANATION OF CHANGES:

- **FTE Change FY 2016-2017:** There is no change in FTE from FY 2016 to FY 2017.

- **Personnel Compensation and Benefits (PC&B) Change FY 2016-2017:** Personnel Compensation and Benefits funding increased by $0.085 million from FY 2016 to FY 2017 due to the following:
  - 2017 pay raise - $0.028 million
  - Annualization of 2016 pay raise - $0.012 million
  - Health benefits - $0.007 million
  - Increased retirement contributions - $0.012 million
  - Workforce maturation - $0.026 million

- **Average Cost Change FY 2016-2017**: Average cost/FTE increased by $21 thousand per position due to the factors cited above under PC&B change.

Department of Homeland Security
United States Secret Service
Criminal Investigations
Cost Drivers (Non-Pay) - PPA Level ($000s)

FA - Criminal Investigations	2015 Revised Enacted	2016 Enacted	2017 Request	FY 2016 to FY 2017 Change
FY 2017 Non- Pay Cost Drivers (greatest-least)				
Equipment	$6,848	$6,848	$2,283	($4,565)
Travel and transportation of persons	$2,656	$2,656	$885	($1,771)
Other Contractual Services	$1,801	$1,801	$601	($1,200)
Supplies and materials	$640	$640	$213	($427)
Rent, communications, and utilities	$55	$55	$18	($37)
Total	**$12,000**	**$12,000**	**$4,000**	**($8,000)**

* FY 2015 and FY 2016 funding levels reflect the CAS Structure and are for comparison purposes only.

NARRATIVE EXPLANATION OF CHANGES:

- **Equipment:** The FY 2017 amount represents a decrease of $4.565 million resulting from non-recurred funds supporting the NCFI.

- **Travel and transportation of persons:** The FY 2017 amount represents a decrease of $1.771 million resulting from non-recurred funds supporting the NCFI.

- **Other contractual services:** The FY 2017 amount represents a decrease of $1.200 million resulting from non-recurred funds supporting the NCFI.

- **Supplies and equipment:** The FY 2017 amount represents a decrease of $0.427 million resulting from non-recurred funds supporting the NCFI.

- **Rent, communications, and utilities:** The FY 2017 amount represents a decrease of $0.037 million resulting from non-recurred funds supporting the NCFI.

Exhibit E. Justification of Proposed Legislative Language

Department of Homeland Security
United States Secret Service
Federal Assistance
Justification of Proposed Legislative Language

For the purpose of providing training for state, local, tribal, and territorial law enforcement personnel, judges, and prosecutors to combat cybercrime, $4,869,000.

Explanation of Proposed Change

The legislative language associated with this account has been updated and streamlined to reflect the Department's new Common Appropriations Structure. Funding amounts have been updated to reflect the FY 2017 President's Budget.

Exhibit F. Summary of Fee Collections and Carryover

N/A

Exhibit G. Summary of Reimbursable Resources

N/A

Exhibit H. FY 2017 Schedule of Working Capital Fund by Program/Project Activity

N/A

Exhibit I. Capital Investment and Construction Initiative Listing

N/A

Exhibit J. Object Class Breakout by Appropriation

Department of Homeland Security
United States Secret Service
Federal Assistance

Summary of Requirements by Object Class

(Dollars in Thousands)

Object Classes	2015 Revised Enacted	2016 Enacted	2017 Request	FY 2016 to FY 2017 Change
Personnel and Other Compensation Benefits				
11.1 Full-time Permanent	$418	$422	$457	$35
11.3 Other than Full-Time Permanent	$8	$8	$8	-
11.5 Other Personnel Compensation	$125	$126	$136	$10
11.6 Military Personnel-Basic Allowance for Housing	-	-	-	-
11.7 Military Personnel	-	-	-	-
11.8 Special Personal Services Payments	-	-	-	-
12.1 Civilian Personnel Benefits	$225	$228	$268	$40
12.2 Military Personnel Benefits	-	-	-	-
13.0 Benefits for Former Personnel	-	-	-	-
Total, Personnel and Other Compensation Benefits	**$776**	**$784**	**$869**	**$85**
Other Object Classes				
21.0 Travel and Transportation of Persons	$2,656	$2,656	$885	($1,771)
22.0 Transportation of Things	-	-	-	-
23.1 Rental Payments to GSA	-	-	-	-
23.2 Rental Payments to Others	-	-	-	-
23.3 Communications, Utilities, and Misc. Charges	$55	$55	$18	($37)
24.0 Printing and Reproduction	-	-	-	-
25.0 Other Contractual Services	-	-	-	-
25.1 Advisory and Assistance Services	-	-	-	-
25.2 Other Services from Non-Federal Sources	$1,801	$1,801	$601	($1,200)
25.3 Other Goods and Services from Federal Sources	-	-	-	-
25.4 Operation and Maintenance of Facilities	-	-	-	-
25.5 Research and Development Contracts	-	-	-	-
25.6 Medical Care	-	-	-	-
25.7 Operation and Maintenance of Equipment	-	-	-	-
25.8 Subsistence & Support of Persons	-	-	-	-
26.0 Supplies and Materials	$640	$640	$213	($427)
31.0 Equipment	$6,848	$6,848	$2,283	($4,565)
32.0 Land and Structures	-	-	-	-

Object Classes	2015 Revised Enacted	2016 Enacted	2017 Request	FY 2016 to FY 2017 Change
33.0 Investments and loans	-	-	-	-
41.0 Grants, Subsidies, and Contributions	$6,000	$6,000	-	($6,000)
42.0 Insurance Claims and Indemnities	-	-	-	-
43.1 Interest and Dividends	-	-	-	-
43.2 Immigration Fees	-	-	-	-
44.0 Refunds	-	-	-	-
91.0 Unvouchered	-	-	-	-
93.1 Limitation on expenses	-	-	-	-
99.0 Subtotal, Obligations	-	-	-	-
99.5 Below Reporting Threshold	-	-	-	-
Total, Other Object Classes	**$18,000**	**$18,000**	**$4,000**	**($14,000)**
Total, Direct Obligations	**$18,776**	**$18,784**	**$4,869**	**($13,915)**
Adjustments				
Net Offsetting Collections	-	-	-	-
Unobligated Balance, start of year	-	-	-	-
Unobligated Balance, end of year	-	-	-	-
Recoveries of Prior Year Obligations	-	-	-	-
Offsetting Collections	-	-	-	-
Total Requirements	**$18,776**	**$18,784**	**$4,869**	**($13,915)**

*FY 2015 and FY 2016 funding levels reflect the CAS structure and are for comparison purposes only.

Exhibit K. Object Class Breakout by PPA

Department of Homeland Security
United States Secret Service
Federal Assistance
Criminal Investigations
Summary of Requirements by Object Class
(Dollars in Thousands)

Object Classes	FY 2015 Revised Enacted	FY 2016 Enacted	FY 2017 Request	FY 2016 to FY 2017 Change
Personnel and Compensation Benefits	-	-	-	-
11.1 Full-time Permanent	$418	$422	$457	$35
11.3 Other than Full-Time Permanent	$8	$8	$8	-
11.5 Other Personnel Compensation	$125	$126	$136	$10
12.1 Civilian Personnel Benefits	$225	$228	$268	$40
Total, Personnel and Compensation Benefits	**$776**	**$784**	**$869**	**$85**
Other Object Classes	-	-	-	-
21.0 Travel and Transportation of Persons	$2,656	$2,656	$885	($1,771)
23.3 Communications, Utilities, and Misc. Charges	$55	$55	$18	($37)
25.2 Other Services from Non-Federal Sources	$1,801	$1,801	$601	($1,200)
26.0 Supplies and Materials	$640	$640	$213	($427)
31.0 Equipment	$6,848	$6,848	$2,283	($4,565)
41.0 Grants, Subsidies, and Contributions	$6,000	$6,000	-	($6,000)
Total, Other Object Classes	**$18,000**	**$18,000**	**$4,000**	**($14,000)**
Adjustments	-	-	-	-
Unobligated Balance, start of year	-	-	-	-
Unobligated Balance, end of year	-	-	-	-
Recoveries of Prior Year Obligations	-	-	-	-
Total Requirements	**$18,776**	**$18,784**	**$4,869**	**($13,915)**
Full Time Equivalents	**3**	**4**	**4**	-

*FY 2015 and FY 2016 funding levels reflect the CAS structure and are for comparison purposes only.

Exhibit L. Permanent Positions by Grade

Department of Homeland Security
United States Secret Service
Federal Assistance
Permanent Positions by Grade

Grades and Salary Range	FY 2015 Revised Enacted Pos.	FY 2016 Enacted Pos.	FY 2017 Request Pos.	FY 2016 to FY 2017 Change Total
GS-14	-	-	1	1
GS-13	-	-	2	2
GS-11	-	-	1	1
Total Permanent Positions	-	-	**4**	**4**
Unfilled Positions EOY	-	-	-	-
Total Permanent Employment EOY	-	-	-	-
U.S. Field	-	-	4	4
Total, Federal Assistance:	-	-	**4**	**4**
Full Time Equivalents	-	-	**4**	**4**
Average ES Salary	-	-	-	-
Average GS Salary	-	-	-	-
Average Grade	-	-	-	-

Exhibit M. Changes in Full Time Employment

Department of Homeland Security
United States Secret Service
Federal Assistance
Changes in Full Time Employment

	FY 2015	FY 2016	FY 2017
Increases			
From S&E - Domestic Field Ops to FA - Criminal Investigations	-	-	4
Decreases			
Year End Actuals/Estimated FTEs:	**-**	**-**	**4**

Department of Homeland Security

United States Secret Service

Salaries and Expenses

Fiscal Year 2017

Congressional Justification

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II. Summary of FY 2017 Budget Estimates by Program/Project Activity (PPA)

Department of Homeland Security
Salaries and Expenses
Summary of FY 2017 Budget Estimates by Program Project Activity

FY 2017 Request
(Dollars in Thousands)

Program Project Activity	FY 2015			FY 2016			FY 2017			Increase(+) or Decrease(-) for FY 2017								
	Revised Enacted[1]			Enacted			Request			Total Changes			Program Changes			Adjustments-to-Base		
	POS	FTE	Amount	POS	FTE	Amount	POS	FTE	Amount	POS	FTE	Amount	POS	FTE	Amount	POS	FTE	Amount
Information Integration & Technology Transformation	10	10	$1,025	10	10	$1,057	-	-	-	(10)	(10)	($1,057)	-	-	-	(10)	(10)	($1,057)
Protection	**4,172**	**3,552**	**$1,022,862**	**4,489**	**3,872**	**$1,190,634**	**-**	**-**	**-**	**(4,489)**	**(3,872)**	**($1,190,634)**	**-**	**-**	**-**	**(4,489)**	**(3,872)**	**($1,190,634)**
Protection of persons and facilities	3,628	3,212	$882,448	3,655	3,237	$911,480	-	-	-	(3,655)	(3,237)	($911,480)	-	-	-	(3,655)	(3,237)	($911,480)
National Special Security Event Fund	-	-	$48,471	-	-	$4,500	-	-	-	-	-	($4,500)	-	-	-	-	-	($4,500)
Protective intelligence activities	514	310	$66,443	514	315	$70,967	-	-	-	(514)	(315)	($70,967)	-	-	-	(514)	(315)	($70,967)
Presidential candidate nominee protection	30	30	$25,500	320	320	$203,687	-	-	-	(320)	(320)	($203,687)	-	-	-	(320)	(320)	($203,687)
Investigations [and Field operations]	**1,843**	**1,805**	**$407,733**	**1,553**	**1,623**	**$376,655**	**-**	**-**	**-**	**(1,553)**	**(1,623)**	**($376,655)**	**-**	**-**	**-**	**(1,553)**	**(1,623)**	**($376,655)**
Domestic field operations	1,758	1,745	$365,458	1,468	1,553	$336,911	-	-	-	(1,468)	(1,553)	($336,911)	-	-	-	(1,468)	(1,553)	($336,911)
International field office administration and operations	65	52	$33,970	65	50	$31,378	-	-	-	(65)	(50)	($31,378)	-	-	-	(65)	(50)	($31,378)
Forensic support and grants to missing and exploited children	20	8	$8,305	20	20	$8,366	-	-	-	(20)	(20)	($8,366)	-	-	-	(20)	(20)	($8,366)
Administration	**391**	**674**	**$209,931**	**391**	**716**	**$231,706**	**-**	**-**	**-**	**(391)**	**(716)**	**($231,706)**	**-**	**-**	**-**	**(391)**	**(716)**	**($231,706)**
Headquarters, management and administration	391	674	$209,931	391	716	$231,706	-	-	-	(391)	(716)	($231,706)	-	-	-	(391)	(716)	($231,706)
Training	**271**	**260**	**$61,567**	**271**	**260**	**$54,474**	**-**	**-**	**-**	**(271)**	**(260)**	**($54,474)**	**-**	**-**	**-**	**(271)**	**(260)**	**($54,474)**
Rowley training center	271	260	$61,567	271	260	$54,474	-	-	-	(271)	(260)	($54,474)	-	-	-	(271)	(260)	($54,474)
Total, Salaries and Expenses	**6,687**	**6,301**	**$1,703,118**	**6,714**	**6,481**	**$1,854,526**	**-**	**-**	**-**	**(6,714)**	**(6,481)**	**($1,854,526)**	**-**	**-**	**-**	**(6,714)**	**(6,481)**	**($1,854,526)**
Subtotal, Enacted Appropriations & Budget Estimates	**6,687**	**6,301**	**$1,703,118**	**6,714**	**6,481**	**$1,854,526**	**-**	**-**	**-**	**(6,714)**	**(6,481)**	**($1,854,526)**	**-**	**-**	**-**	**(6,714)**	**(6,481)**	**($1,854,526)**
505 Rescission	**-**	**-**	**(1,257)**	**-**	**-**	**($4,213)**	**-**	**-**	**-**	**-**	**-**	**$4,213**	**-**	**-**	**-**	**-**	**-**	**$4,213**
Net, Enacted Appropriations and Budget Estimates:	**6,687**	**6,301**	**$1,701,861**	**6,714**	**6,481**	**$1,850,313**	**-**	**-**	**-**	**(6,714)**	**(6,481)**	**($1,850,313)**	**-**	**-**	**-**	**(6,714)**	**(6,481)**	**($1,850,313)**

[1] Reflects reprogrammings/transfers, as applicable, and actual FTE. This footnote applies to all FY15 revised Enacted tables throughout the CJ submission.

III. Current Services Program Description by PPA

Department of Homeland Security
United States Secret Service
Protection of Persons and Facilities
Program Performance Justification
(Dollars in Thousands)

PPA: Protection of persons and facilities

	Perm. Pos.	FTE	Amount
FY 2015 Revised Enacted	**3,628**	**3,212**	**$882,448**
FY 2016 Enacted	**3,655**	**3,237**	**$911,480**
2017 Adjustments-to-Base	(3,655)	(3,237)	($911,480)
FY 2017 Current Services	**-**	**-**	**-**
FY 2017 Program Change	-	-	-
FY 2017 Total Request	**-**	**-**	**-**
Total Change 2016 to 2017	(3,655)	(3,237)	($911,480)

CURRENT SERVICES PROGRAM DESCRIPTION:
As a result of the Common Appropriation Structure, FY 2016 base funds will be transferred out to:

Protection of persons and facilities		**Positions**	**FTE**	**Amount**
Prior Year	**FY 2015 Revised Enacted**	**3,628**	**3,212**	**882,448**
Base	**FY 2016 Enacted**	**3,655**	**3,237**	**911,480**
Current Services	From S&E - Protection of persons and facilities To O&S - Integrated Ops	(1,096)	(971)	(414,128)
	From S&E - Protection of persons and facilities To O&S - M&A	(162)	-	-
	From S&E - Protection of persons and facilities To O&S - Protection	(2,397)	(2,266)	(492,785)
	From S&E - Protection of persons and facilities To PC&I - Integrated Ops	-	-	(4,567)
Budget Year	**FY 2017 Request**	**-**	**-**	**-**
	Total Change from FY 2016 to FY 2017	**(3,655)**	**(3,237)**	**(911,480)**

Department of Homeland Security
United States Secret Service
National Special Security Event Fund
Program Performance Justification
(Dollars in Thousands)

PPA: National Special Security Event Fund

	Perm. Pos.	FTE	Amount
FY 2015 Revised Enacted	-	-	**$48,471**
FY 2016 Enacted	-	-	**$4,500**
2017 Adjustments-to-Base	-	-	($4,500)
FY 2017 Current Services	-	-	-
FY 2017 Program Change	-	-	-
FY 2017 Total Request	-	-	-
Total Change 2016 to 2017	-	-	($4,500)

CURRENT SERVICES PROGRAM DESCRIPTION:
As a result of the Common Appropriation Structure, FY 2016 base funds will be transferred out to:

National Special Security Event Fund		Positions	FTE	Amount
Prior Year	**FY 2015 Revised Enacted**	-	-	**48,471**
Base	**FY 2016 Enacted**	-	-	**4,500**
Current Services	From S&E - National Special Security Event Fund To O&S - Protection	-	-	(4,500)
Budget Year	**FY 2017 Request**	-	-	-
	Total Change from FY 2016 to FY 2017	-	-	**(4,500)**

Department of Homeland Security
United States Secret Service
Protective Intelligence Activities
Program Performance Justification
(Dollars in Thousands)

PPA: Protective intelligence activities

	Perm. Pos.	FTE	Amount
FY 2015 Revised Enacted	**514**	**310**	**$66,443**
FY 2016 Enacted	**514**	**315**	**$70,967**
2017 Adjustments-to-Base	(514)	(315)	($70,967)
FY 2017 Current Services	**-**	**-**	**-**
FY 2017 Program Change	-	-	-
FY 2017 Total Request	**-**	**-**	**-**
Total Change 2016 to 2017	(514)	(315)	($70,967)

CURRENT SERVICES PROGRAM DESCRIPTION:
As a result of the Common Appropriation Structure, FY 2016 base funds will be transferred out to:

Protective intelligence activities		**Positions**	**FTE**	**Amount**
Prior Year	**FY 2015 Revised Enacted**	**514**	**310**	**66,443**
Base	**FY 2016 Enacted**	**514**	**315**	**70,967**
Current Services	From S&E - Protective intelligence activities To O&S - Integrated Ops	(231)	(142)	(32,267)
	From S&E - Protective intelligence activities To O&S - Protection	(283)	(173)	(38,700)
Budget Year	**FY 2017 Request**	**-**	**-**	**-**
	Total Change from FY 2016 to FY 2017	**(514)**	**(315)**	**(70,967)**

Department of Homeland Security
United States Secret Service
Presidential Candidate Nominee Protection
Program Performance Justification
(Dollars in Thousands)

PPA: Presidential candidate nominee protection

	Perm. Pos.	FTE	Amount
FY 2015 Revised Enacted	**30**	**30**	**$25,500**
FY 2016 Enacted	**320**	**320**	**$203,687**
2017 Adjustments-to-Base	(320)	(320)	($203,687)
FY 2017 Current Services	**-**	**-**	**-**
FY 2017 Program Change	-	-	-
FY 2017 Total Request	**-**	**-**	**-**
Total Change 2016 to 2017	(320)	(320)	($203,687)

CURRENT SERVICES PROGRAM DESCRIPTION:
As a result of the Common Appropriation Structure, FY 2016 base funds will be transferred out to:

Presidential candidate nominee protection		**Positions**	**FTE**	**Amount**
Prior Year	**FY 2015 Revised Enacted**	**30**	**30**	**25,500**
Base	**FY 2016 Enacted**	**320**	**320**	**203,687**
Current Services	From S&E - Presidential candidate nominee protection To O&S - Protection	(320)	(320)	(203,687)
Budget Year	**FY 2017 Request**	**-**	**-**	**-**
	Total Change from FY 2016 to FY 2017	**(320)**	**(320)**	**(203,687)**

Department of Homeland Security
United States Secret Service
Domestic Field Operations
Program Performance Justification
(Dollars in Thousands)

PPA: Domestic field operations

	Perm. Pos.	FTE	Amount
FY 2015 Revised Enacted	**1,758**	**1,745**	**$365,458**
FY 2016 Enacted	**1,468**	**1,553**	**$336,911**
2017 Adjustments-to-Base	(1,468)	(1,553)	($336,911)
FY 2017 Current Services	**-**	**-**	**-**
FY 2017 Program Change	-	-	-
FY 2017 Total Request	**-**	**-**	**-**
Total Change 2016 to 2017	(1,468)	(1,553)	($336,911)

CURRENT SERVICES PROGRAM DESCRIPTION:
As a result of the Common Appropriation Structure, FY 2016 base funds will be transferred out to:

Domestic field operations		**Positions**	**FTE**	**Amount**
Prior Year	**FY 2015 Revised Enacted**	**1,758**	**1,745**	**365,458**
Base	**FY 2016 Enacted**	**1,468**	**1,553**	**336,911**
Current Services	From S&E - Domestic Field Ops To FA - Criminal Investigations	(4)	(4)	(12,784)
	From S&E - Domestic Field Ops To O&S - Criminal Investigations	(190)	(190)	(44,587)
	From S&E - Domestic Field Ops To O&S - Integrated Ops	(1,274)	(1,359)	(279,290)
	From S&E - Domestic Field Ops To R&D - Integrated Ops	-	-	(250)
Budget Year	**FY 2017 Request**	**-**	**-**	**-**
	Total Change from FY 2016 to FY 2017	**(1,468)**	**(1,553)**	**(336,911)**

Department of Homeland Security
United States Secret Service
International Field Office Administration and Operations
Program Performance Justification
(Dollars in Thousands)

PPA: International field office administration and operations

	Perm. Pos.	FTE	Amount
FY 2015 Revised Enacted	**65**	**52**	**$33,970**
FY 2016 Enacted	**65**	**50**	**$31,378**
2017 Adjustments-to-Base	(65)	(50)	($31,378)
FY 2017 Current Services	**-**	**-**	**-**
FY 2017 Program Change	-	-	-
FY 2017 Total Request	**-**	**-**	**-**
Total Change 2016 to 2017	(65)	(50)	($31,378)

CURRENT SERVICES PROGRAM DESCRIPTION:
As a result of the Common Appropriation Structure, FY 2016 base funds will be transferred out to:

International field office administration and operations		Positions	FTE	Amount
Prior Year	**FY 2015 Revised Enacted**	**65**	**52**	**33,970**
Base	**FY 2016 Enacted**	**65**	**50**	**31,378**
Current Services	From S&E - International field offices To O&S - Integrated Ops	(65)	(50)	(31,378)
Budget Year	**FY 2017 Request**	**-**	**-**	**-**
	Total Change from FY 2016 to FY 2017	**(65)**	**(50)**	**(31,378)**

Department of Homeland Security
United States Secret Service
Forensic Support and Grants to Missing and Exploited Children
Program Performance Justification
(Dollars in Thousands)

PPA: Forensic support and grants to missing and exploited children

	Perm. Pos.	FTE	Amount
FY 2015 Revised Enacted	**20**	**8**	**$8,305**
FY 2016 Enacted	**20**	**20**	**$8,366**
2017 Adjustments-to-Base	(20)	(20)	($8,366)
FY 2017 Current Services	**-**	**-**	**-**
FY 2017 Program Change	-	-	-
FY 2017 Total Request	**-**	**-**	**-**
Total Change 2016 to 2017	(20)	(20)	($8,366)

CURRENT SERVICES PROGRAM DESCRIPTION:
As a result of the Common Appropriation Structure, FY 2016 base funds will be transferred out to:

Forensic support and grants to missing and exploited children		**Positions**	**FTE**	**Amount**
Prior Year	**FY 2015 Revised Enacted**	**20**	**8**	**8,305**
Base	**FY 2016 Enacted**	**20**	**20**	**8,366**
Current Services	From S&E - Missing and exploited children To FA - Criminal Investigations	-	-	(6,000)
	From S&E - Missing and exploited children To O&S - Criminal Investigations	(20)	(20)	(2,366)
Budget Year	**FY 2017 Request**	**-**	**-**	**-**
	Total Change from FY 2016 to FY 2017	**(20)**	**(20)**	**(8,366)**

Department of Homeland Security
United States Secret Service
Headquarters, Management and Administration
Program Performance Justification
(Dollars in Thousands)

PPA: Headquarters, management and administration

	Perm. Pos.	FTE	Amount
FY 2015 Revised Enacted	**391**	**674**	**$209,931**
FY 2016 Enacted	**391**	**716**	**$231,706**
2017 Adjustments-to-Base	(391)	(716)	($231,706)
FY 2017 Current Services	**-**	**-**	**-**
FY 2017 Program Change	-	-	-
FY 2017 Total Request	**-**	**-**	**-**
Total Change 2016 to 2017	(391)	(716)	($231,706)

CURRENT SERVICES PROGRAM DESCRIPTION:
As a result of the Common Appropriation Structure, FY 2016 base funds will be transferred out to:

Headquarters, management and administration		**Positions**	**FTE**	**Amount**
Prior Year	**FY 2015 Revised Enacted**	**391**	**674**	**209,931**
Base	**FY 2016 Enacted**	**391**	**716**	**231,706**
Current Services	From S&E - HQ, Management, and Admin To O&S - Integrated Ops	-	-	(58,218)
	From S&E - HQ, Management, and Admin To O&S - M&A	(391)	(716)	(173,488)
Budget Year	**FY 2017 Request**	**-**	**-**	**-**
	Total Change from FY 2016 to FY 2017	**(391)**	**(716)**	**(231,706)**

Department of Homeland Security
United States Secret Service
Rowley Training Center
Program Performance Justification
(Dollars in Thousands)

PPA: Rowley training center

	Perm. Pos.	FTE	Amount
FY 2015 Revised Enacted	**271**	**260**	**$61,567**
FY 2016 Enacted	**271**	**260**	**$54,474**
2017 Adjustments-to-Base	(271)	(260)	($54,474)
FY 2017 Current Services	**-**	**-**	**-**
FY 2017 Program Change	-	-	-
FY 2017 Total Request	**-**	**-**	**-**
Total Change 2016 to 2017	(271)	(260)	($54,474)

CURRENT SERVICES PROGRAM DESCRIPTION:

As a result of the Common Appropriation Structure, FY 2016 base funds will be transferred out to:

Rowley training center		**Positions**	**FTE**	**Amount**
Prior Year	**FY 2015 Revised Enacted**	**271**	**260**	**61,567**
Base	**FY 2016 Enacted**	**271**	**260**	**54,474**
Current Services	From S&E - Rowley training center To O&S - Integrated Ops	(271)	(260)	(41,134)
	From S&E - Rowley training center To O&S - Protection	-	-	(13,340)
Budget Year	**FY 2017 Request**	**-**	**-**	**-**
	Total Change from FY 2016 to FY 2017	**(271)**	**(260)**	**(54,474)**

Department of Homeland Security
United States Secret Service
Information Integration & Technology Transformation
Program Performance Justification
(Dollars in Thousands)

PPA: Information Integration & Technology Transformation

	Perm. Pos.	FTE	Amount
FY 2015 Revised Enacted	**10**	**10**	**$1,025**
FY 2016 Enacted	**10**	**10**	**$1,057**
2017 Adjustments-to-Base	(10)	(10)	($1,057)
FY 2017 Current Services	**-**	**-**	**-**
FY 2017 Program Change	-	-	-
FY 2017 Total Request	**-**	**-**	**-**
Total Change 2016 to 2017	(10)	(10)	($1,057)

CURRENT SERVICES PROGRAM DESCRIPTION:
As a result of the Common Appropriation Structure, FY 2016 base funds will be transferred out to:

Information Integration & Technology Transformation		**Positions**	**FTE**	**Amount**
Prior Year	**FY 2015 Revised Enacted**	**10**	**10**	**1,025**
Base	**FY 2016 Enacted**	**10**	**10**	**1,057**
Current Services	From S&E - IITT To O&S - Integrated Ops	(10)	(10)	(1,057)
Budget Year	**FY 2017 Request**	**-**	**-**	**-**
	Total Change from FY 2016 to FY 2017	**(10)**	**(10)**	**(1,057)**

V. Exhibits and Other Supporting Material
B. FY 2016 to FY 2017 Budget Change

Department of Homeland Security
United States Secret Service
Salaries and Expenses
FY 2016 to FY 2017 Budget Change
(Dollars in Thousands)

	Pos.	FTE	Amount
FY 2015 Revised Enacted	**6,687**	**6,301**	**$1,703,118**
FY 2016 Enacted	**6,714**	**6,481**	**$1,854,526**
Adjustments-to-Base			
Transfers to and from other accounts:			
From S&E - IITT To O&S - Integrated Ops	(10)	(10)	($1,057)
From S&E - Protection of persons and facilities To O&S - Integrated Ops	(1,096)	(971)	($414,128)
From S&E - Protection of persons and facilities To O&S - M&A	(162)	-	-
From S&E - Protection of persons and facilities To O&S - Protection	(2,397)	(2,266)	($492,785)
From S&E - Protection of persons and facilities To PC&I - Integrated Ops	-	-	($4,567)
From S&E - National Special Security Event Fund To O&S - Protection	-	-	($4,500)
From S&E - Protective intelligence activities To O&S - Integrated Ops	(231)	(142)	($32,267)
From S&E - Protective intelligence activities To O&S - Protection	(283)	(173)	($38,700)
From S&E - Presidential candidate nominee protection To O&S - Protection	(320)	(320)	($203,687)
From S&E - Domestic Field Ops To FA - Criminal Investigations	(4)	(4)	($12,784)
From S&E - Domestic Field Ops To O&S - Criminal Investigations	(190)	(190)	($44,587)
From S&E - Domestic Field Ops To O&S - Integrated Ops	(1,274)	(1,359)	($279,290)
From S&E - Domestic Field Ops To R&D - Integrated Ops	-	-	($250)
From S&E - International field offices To O&S - Integrated Ops	(65)	(50)	($31,378)
From S&E - Missing and exploited children To FA - Criminal Investigations	-	-	($6,000)
From S&E - Missing and exploited children To O&S - Criminal Investigations	(20)	(20)	($2,366)
From S&E - HQ, Management, and Admin To O&S - Integrated Ops	-	-	($58,218)
From S&E - HQ, Management, and Admin To O&S - M&A	(391)	(716)	($173,488)
From S&E - Rowley training center To O&S - Integrated Ops	(271)	(260)	($41,134)
From S&E - Rowley training center To O&S - Protection	-	-	($13,340)
Total Transfers	**(6,714)**	**(6,481)**	**($1,854,526)**
Total Adjustments-to-Base	**(6,714)**	**(6,481)**	**($1,854,526)**
FY 2017 Current Services	-	-	-
FY 2017 Request	-	-	-
FY 2016 to FY 2017 Change	**(6,714)**	**(6,481)**	**($1,854,526)**

E. Summary of Requirements By Object Class

Department of Homeland Security
United States Secret Service
Salaries and Expenses
Summary of Requirements by Object Class
(Dollars in Thousands)

Object Classes	2015 Revised Enacted	2016 Enacted	2017 Request	FY 2016 to FY 2017 Change
Personnel and Other Compensation Benefits				
11.1 Full-time Permanent	$642,903	$669,267	-	($669,267)
11.3 Other than Full-Time Permanent	$2,436	$2,728	-	($2,728)
11.5 Other Personnel Compensation	$190,622	$230,292	-	($230,292)
11.6 Military Personnel-Basic Allowance for Housing	-	-	-	-
11.7 Military Personnel	-	-	-	-
11.8 Special Personal Services Payments	-	-	-	-
12.1 Civilian Personnel Benefits	$310,533	$321,926	-	($321,926)
12.2 Military Personnel Benefits	-	-	-	-
13.0 Benefits for Former Personnel	-	-	-	-
Total, Personnel and Other Compensation Benefits	**$1,146,494**	**$1,224,213**	**-**	**($1,224,213)**
Other Object Classes				
21.0 Travel and Transportation of Persons	$101,566	$168,026	-	($168,026)
22.0 Transportation of Things	$5,247	$8,609	-	($8,609)
23.1 Rental Payments to GSA	$89,412	$90,180	-	($90,180)
23.2 Rental Payments to Others	$5,737	$4,000	-	($4,000)
23.3 Communications, Utilities, and Misc. Charges	$34,458	$41,936	-	($41,936)
24.0 Printing and Reproduction	$773	$1,002	-	($1,002)
25.2 Other Services from Non-Federal Sources	$187,414	$164,185	-	($164,185)
26.0 Supplies and Materials	$21,484	$18,169	-	($18,169)
31.0 Equipment	$98,286	$112,110	-	($112,110)
32.0 Land and Structures	$5,821	$15,670	-	($15,670)
41.0 Grants, Subsidies, and Contributions	$6,000	$6,000	-	($6,000)
42.0 Insurance Claims and Indemnities	$426	$426	-	($426)
Total, Other Object Classes	**$556,624**	**$630,313**	**-**	**($630,313)**
Total, Direct Obligations	**$1,703,118**	**$1,854,526**	**-**	**($1,854,526)**
Adjustments				
Net Offsetting Collections	-	-	-	-
Unobligated Balance, start of year	-	-	-	-
Unobligated Balance, end of year	-	-	-	-
Recoveries of Prior Year Obligations	-	-	-	-
Offsetting Collections	-	-	-	-
Total Requirements	**$1,703,118**	**$1,854,526**	**-**	**($1,854,526)**

F. Permanent Positions by Grade

Department of Homeland Security
United States Secret Service
Salaries and Expenses

Permanent Positions by Grade

Grades and Salary Range	FY 2015 Revised Enacted Pos.	FY 2016 Enacted Pos.	FY 2017 Request Pos.	FY 2016 to FY 2017 Change Total
Total, SES	52	52	-	(52)
GS-15	223	223	-	(223)
GS-14	644	644	-	(644)
GS-13	3,120	3,124	-	(3,124)
GS-12	370	366	-	(366)
GS-11	252	252	-	(252)
GS-10	25	25	-	(25)
GS-9	267	294	-	(294)
GS-8	150	150	-	(150)
GS-7	80	80	-	(80)
GS-6	7	7	-	(7)
GS-5	13	13	-	(13)
GS-4	18	18	-	(18)
GS-3	9	9	-	(9)
Other Graded Positions	1,457	1,457	-	(1,457)
Total Permanent Positions	**6,687**	**6,714**	**-**	**(6,714)**
Unfilled Positions EOY	-	-	-	-
Total Permanent Employment EOY	-	-	-	-
Headquarters	4,070	4,087	-	(4,087)
U.S. Field	2,552	2,562	-	(2,562)
Foreign Field	65	65	-	(65)
Total, Operating Expenses:	**6,687**	**6,714**	**-**	**(6,714)**
Full Time Equivalents	**6,301**	**6,481**	**-**	**(6,481)**
Average ES Salary	147,462	148,937	-	(148,937)
Average GS Salary	87,428	88,302	-	(88,302)
Average Grade	12	12	-	(12)

H. PPA Budget Justifications

Department of Homeland Security
United States Secret Service
Salaries and Expenses
Information Integration & Technology Transformation
Summary of Requirements by Object Class
(Dollars in Thousands)

Object Classes	FY 2015 Revised Enacted	FY 2016 Enacted	FY 2017 Request	FY 2016 to FY 2017 Change
Personnel and Compensation Benefits	**-**	**-**	**-**	**-**
11.1 Full-time Permanent	$803	$819	-	($819)
11.5 Other Personnel Compensation	$69	$73	-	($73)
12.1 Civilian Personnel Benefits	$153	$165	-	($165)
Total, Personnel and Compensation Benefits	**$1,025**	**$1,057**	**-**	**($1,057)**
Other Object Classes	**-**	**-**	**-**	**-**
Adjustments	**-**	**-**	**-**	**-**
Unobligated Balance, start of year	-	-	-	-
Unobligated Balance, end of year	-	-	-	-
Recoveries of Prior Year Obligations	-	-	-	-
Total Requirements	**$1,025**	**$1,057**	**-**	**($1,057)**
Full Time Equivalents	**10**	**10**	**-**	**(10)**

Department of Homeland Security
United States Secret Service
Salaries and Expenses
Protection of persons and facilities
Summary of Requirements by Object Class
(Dollars in Thousands)

Object Classes	FY 2015 Revised Enacted	FY 2016 Enacted	FY 2017 Request	FY 2016 to FY 2017 Change
Personnel and Compensation Benefits	-	-	-	-
11.1 Full-time Permanent	$370,219	$371,257	-	($371,257)
11.3 Other than Full-Time Permanent	$505	$690	-	($690)
11.5 Other Personnel Compensation	$113,724	$130,390	-	($130,390)
12.1 Civilian Personnel Benefits	$162,267	$147,076	-	($147,076)
Total, Personnel and Compensation Benefits	**$646,715**	**$649,413**	-	**($649,413)**
Other Object Classes	-	-	-	-
21.0 Travel and Transportation of Persons	$65,921	$72,417	-	($72,417)
22.0 Transportation of Things	$1,624	$3,417	-	($3,417)
23.1 Rental Payments to GSA	$35,103	$35,859	-	($35,859)
23.2 Rental Payments to Others	$25	$25	-	($25)
23.3 Communications, Utilities, and Misc. Charges	$22,461	$15,749	-	($15,749)
24.0 Printing and Reproduction	$126	$364	-	($364)
25.2 Other Services from Non-Federal Sources	$70,787	$51,618	-	($51,618)
26.0 Supplies and Materials	$6,402	$6,397	-	($6,397)
31.0 Equipment	$31,962	$66,129	-	($66,129)
32.0 Land and Structures	$955	$9,726	-	($9,726)
42.0 Insurance Claims and Indemnities	$367	$366	-	($366)
Total, Other Object Classes	**$235,733**	**$262,067**	-	**($262,067)**
Adjustments	-	-	-	-
Unobligated Balance, start of year	-	-	-	-
Unobligated Balance, end of year	-	-	-	-
Recoveries of Prior Year Obligations	-	-	-	-
Total Requirements	**$882,448**	**$911,480**	-	**($911,480)**
Full Time Equivalents	**3,212**	**3,237**	-	**(3,237)**

Department of Homeland Security
United States Secret Service
Salaries and Expenses
National Special Security Event Fund
Summary of Requirements by Object Class
(Dollars in Thousands)

Object Classes	FY 2015 Revised Enacted	FY 2016 Enacted	FY 2017 Request	FY 2016 to FY 2017 Change
Personnel and Compensation Benefits	-	-	-	-
11.1 Full-time Permanent	$3,545	-	-	-
11.5 Other Personnel Compensation	$1,300	$1,300	-	($1,300)
Total, Personnel and Compensation Benefits	**$4,845**	**$1,300**	-	**($1,300)**
Other Object Classes	-	-	-	-
21.0 Travel and Transportation of Persons	$21,186	$2,200	-	($2,200)
22.0 Transportation of Things	$92	-	-	-
23.2 Rental Payments to Others	$1,737	-	-	-
24.0 Printing and Reproduction	$21	-	-	-
25.2 Other Services from Non-Federal Sources	$20,470	$1,000	-	($1,000)
26.0 Supplies and Materials	$40	-	-	-
31.0 Equipment	$63	-	-	-
32.0 Land and Structures	$17	-	-	-
Total, Other Object Classes	**$43,626**	**$3,200**	-	**($3,200)**
Adjustments	-	-	-	-
Unobligated Balance, start of year	-	-	-	-
Unobligated Balance, end of year	-	-	-	-
Recoveries of Prior Year Obligations	-	-	-	-
Total Requirements	**$48,471**	**$4,500**	-	**($4,500)**
Full Time Equivalents	-	-	-	-

Department of Homeland Security
United States Secret Service
Salaries and Expenses
Protective intelligence activities
Summary of Requirements by Object Class
(Dollars in Thousands)

Object Classes	FY 2015 Revised Enacted	FY 2016 Enacted	FY 2017 Request	FY 2016 to FY 2017 Change
Personnel and Compensation Benefits	**-**	**-**	**-**	**-**
11.1 Full-time Permanent	$32,313	$32,844	-	($32,844)
11.3 Other than Full-Time Permanent	$236	$249	-	($249)
11.5 Other Personnel Compensation	$9,028	$9,463	-	($9,463)
12.1 Civilian Personnel Benefits	$15,498	$15,713	-	($15,713)
Total, Personnel and Compensation Benefits	**$57,075**	**$58,269**	**-**	**($58,269)**
Other Object Classes	**-**	**-**	**-**	**-**
21.0 Travel and Transportation of Persons	$496	$496	-	($496)
22.0 Transportation of Things	$10	$10	-	($10)
23.1 Rental Payments to GSA	$6,797	$6,797	-	($6,797)
23.3 Communications, Utilities, and Misc. Charges	$431	$431	-	($431)
24.0 Printing and Reproduction	$21	$21	-	($21)
25.2 Other Services from Non-Federal Sources	$716	$3,399	-	($3,399)
26.0 Supplies and Materials	$672	$672	-	($672)
31.0 Equipment	$183	$830	-	($830)
32.0 Land and Structures	$42	$42	-	($42)
Total, Other Object Classes	**$9,368**	**$12,698**	**-**	**($12,698)**
Adjustments	**-**	**-**	**-**	**-**
Unobligated Balance, start of year	-	-	-	-
Unobligated Balance, end of year	-	-	-	-
Recoveries of Prior Year Obligations	-	-	-	-
Total Requirements	**$66,443**	**$70,967**	**-**	**($70,967)**
Full Time Equivalents	**310**	**315**	**-**	**(315)**

Department of Homeland Security
United States Secret Service
Salaries and Expenses
Presidential candidate nominee protection
Summary of Requirements by Object Class
(Dollars in Thousands)

Object Classes	FY 2015 Revised Enacted	FY 2016 Enacted	FY 2017 Request	FY 2016 to FY 2017 Change
Personnel and Compensation Benefits	**-**	**-**	**-**	**-**
11.1 Full-time Permanent	$4,000	$42,290	-	($42,290)
11.5 Other Personnel Compensation	-	$23,675	-	($23,675)
12.1 Civilian Personnel Benefits	-	$16,410	-	($16,410)
Total, Personnel and Compensation Benefits	**$4,000**	**$82,375**	**-**	**($82,375)**
Other Object Classes	**-**	**-**	**-**	**-**
21.0 Travel and Transportation of Persons	$2,000	$81,299	-	($81,299)
22.0 Transportation of Things	-	$1,721	-	($1,721)
23.1 Rental Payments to GSA	-	$1,000	-	($1,000)
23.3 Communications, Utilities, and Misc. Charges	-	$14,202	-	($14,202)
24.0 Printing and Reproduction	-	$12	-	($12)
25.2 Other Services from Non-Federal Sources	$1,000	$14,584	-	($14,584)
26.0 Supplies and Materials	$1,000	$457	-	($457)
31.0 Equipment	$17,500	$6,942	-	($6,942)
32.0 Land and Structures	-	$1,095	-	($1,095)
Total, Other Object Classes	**$21,500**	**$121,312**	**-**	**($121,312)**
Adjustments	**-**	**-**	**-**	**-**
Unobligated Balance, start of year	-	-	-	-
Unobligated Balance, end of year	-	-	-	-
Recoveries of Prior Year Obligations	-	-	-	-
Total Requirements	**$25,500**	**$203,687**	**-**	**($203,687)**
Full Time Equivalents	**30**	**320**	**-**	**(320)**

Department of Homeland Security
United States Secret Service
Salaries and Expenses
Domestic field operations
Summary of Requirements by Object Class
(Dollars in Thousands)

Object Classes	FY 2015 Revised Enacted	FY 2016 Enacted	FY 2017 Request	FY 2016 to FY 2017 Change
Personnel and Compensation Benefits	**-**	**-**	**-**	**-**
11.1 Full-time Permanent	$161,872	$138,140	-	($138,140)
11.3 Other than Full-Time Permanent	$1,034	$1,043	-	($1,043)
11.5 Other Personnel Compensation	$43,916	$42,503	-	($42,503)
12.1 Civilian Personnel Benefits	$80,612	$78,804	-	($78,804)
Total, Personnel and Compensation Benefits	**$287,434**	**$260,490**	**-**	**($260,490)**
Other Object Classes	**-**	**-**	**-**	**-**
21.0 Travel and Transportation of Persons	$3,953	$6,559	-	($6,559)
22.0 Transportation of Things	$533	$473	-	($473)
23.1 Rental Payments to GSA	$30,843	$29,855	-	($29,855)
23.3 Communications, Utilities, and Misc. Charges	$521	$513	-	($513)
24.0 Printing and Reproduction	$40	$40	-	($40)
25.2 Other Services from Non-Federal Sources	$10,863	$11,554	-	($11,554)
26.0 Supplies and Materials	$5,930	$6,197	-	($6,197)
31.0 Equipment	$23,770	$19,659	-	($19,659)
32.0 Land and Structures	$1,571	$1,571	-	($1,571)
Total, Other Object Classes	**$78,024**	**$76,421**	**-**	**($76,421)**
Adjustments	**-**	**-**	**-**	**-**
Unobligated Balance, start of year	-	-	-	-
Unobligated Balance, end of year	-	-	-	-
Recoveries of Prior Year Obligations	-	-	-	-
Total Requirements	**$365,458**	**$336,911**	**-**	**($336,911)**
Full Time Equivalents	**1,745**	**1,553**	**-**	**(1,553)**

Department of Homeland Security
United States Secret Service
Salaries and Expenses
International field office administration and operations
Summary of Requirements by Object Class
(Dollars in Thousands)

Object Classes	FY 2015 Revised Enacted	FY 2016 Enacted	FY 2017 Request	FY 2016 to FY 2017 Change
Personnel and Compensation Benefits	**-**	**-**	**-**	**-**
11.1 Full-time Permanent	$5,123	$3,946	-	($3,946)
11.3 Other than Full-Time Permanent	$42	$33	-	($33)
11.5 Other Personnel Compensation	$1,070	$1,162	-	($1,162)
12.1 Civilian Personnel Benefits	$6,345	$5,310	-	($5,310)
Total, Personnel and Compensation Benefits	**$12,580**	**$10,451**	**-**	**($10,451)**
Other Object Classes	**-**	**-**	**-**	**-**
21.0 Travel and Transportation of Persons	$1,491	$941	-	($941)
22.0 Transportation of Things	$899	$899	-	($899)
23.2 Rental Payments to Others	$3,975	$3,975	-	($3,975)
23.3 Communications, Utilities, and Misc. Charges	$888	$888	-	($888)
25.2 Other Services from Non-Federal Sources	$13,153	$13,240	-	($13,240)
26.0 Supplies and Materials	$423	$423	-	($423)
31.0 Equipment	$561	$561	-	($561)
Total, Other Object Classes	**$21,390**	**$20,927**	**-**	**($20,927)**
Adjustments	**-**	**-**	**-**	**-**
Unobligated Balance, start of year	-	-	-	-
Unobligated Balance, end of year	-	-	-	-
Recoveries of Prior Year Obligations	-	-	-	-
Total Requirements	**$33,970**	**$31,378**	**-**	**($31,378)**
Full Time Equivalents	**52**	**50**	**-**	**(50)**

Department of Homeland Security
United States Secret Service
Salaries and Expenses
Forensic support and grants to missing and exploited children
Summary of Requirements by Object Class
(Dollars in Thousands)

Object Classes	FY 2015 Revised Enacted	FY 2016 Enacted	FY 2017 Request	FY 2016 to FY 2017 Change
Personnel and Compensation Benefits	**-**	**-**	**-**	**-**
11.1 Full-time Permanent	$979	$1,011	-	($1,011)
11.3 Other than Full-Time Permanent	$7	$8	-	($8)
11.5 Other Personnel Compensation	$271	$281	-	($281)
12.1 Civilian Personnel Benefits	$759	$777	-	($777)
Total, Personnel and Compensation Benefits	**$2,016**	**$2,077**	**-**	**($2,077)**
Other Object Classes	**-**	**-**	**-**	**-**
22.0 Transportation of Things	$3	$3	-	($3)
25.2 Other Services from Non-Federal Sources	$27	$27	-	($27)
26.0 Supplies and Materials	$44	$44	-	($44)
31.0 Equipment	$215	$215	-	($215)
41.0 Grants, Subsidies, and Contributions	$6,000	$6,000	-	($6,000)
Total, Other Object Classes	**$6,289**	**$6,289**	**-**	**($6,289)**
Adjustments	**-**	**-**	**-**	**-**
Unobligated Balance, start of year	-	-	-	-
Unobligated Balance, end of year	-	-	-	-
Recoveries of Prior Year Obligations	-	-	-	-
Total Requirements	**$8,305**	**$8,366**	**-**	**($8,366)**
Full Time Equivalents	**8**	**20**	**-**	**(20)**

Department of Homeland Security
United States Secret Service
Salaries and Expenses
Headquarters, management and administration
Summary of Requirements by Object Class
(Dollars in Thousands)

Object Classes	FY 2015 Revised Enacted	FY 2016 Enacted	FY 2017 Request	FY 2016 to FY 2017 Change
Personnel and Compensation Benefits	**-**	**-**	**-**	**-**
11.1 Full-time Permanent	$41,659	$56,049	-	($56,049)
11.3 Other than Full-Time Permanent	$448	$527	-	($527)
11.5 Other Personnel Compensation	$15,013	$14,801	-	($14,801)
12.1 Civilian Personnel Benefits	$31,829	$44,435	-	($44,435)
Total, Personnel and Compensation Benefits	**$88,949**	**$115,812**	**-**	**($115,812)**
Other Object Classes	**-**	**-**	**-**	**-**
21.0 Travel and Transportation of Persons	$2,631	$1,197	-	($1,197)
22.0 Transportation of Things	$2,032	$2,032	-	($2,032)
23.1 Rental Payments to GSA	$16,669	$16,669	-	($16,669)
23.3 Communications, Utilities, and Misc. Charges	$9,272	$9,271	-	($9,271)
24.0 Printing and Reproduction	$563	$563	-	($563)
25.2 Other Services from Non-Federal Sources	$64,639	$63,373	-	($63,373)
26.0 Supplies and Materials	$2,694	$2,665	-	($2,665)
31.0 Equipment	$19,221	$16,862	-	($16,862)
32.0 Land and Structures	$3,202	$3,202	-	($3,202)
42.0 Insurance Claims and Indemnities	$59	$60	-	($60)
Total, Other Object Classes	**$120,982**	**$115,894**	**-**	**($115,894)**
Adjustments	**-**	**-**	**-**	**-**
Unobligated Balance, start of year	-	-	-	-
Unobligated Balance, end of year	-	-	-	-
Recoveries of Prior Year Obligations	-	-	-	-
Total Requirements	**$209,931**	**$231,706**	**-**	**($231,706)**
Full Time Equivalents	**674**	**716**	**-**	**(716)**

Department of Homeland Security
United States Secret Service
Salaries and Expenses
Rowley training center
Summary of Requirements by Object Class
(Dollars in Thousands)

Object Classes	FY 2015 Revised Enacted	FY 2016 Enacted	FY 2017 Request	FY 2016 to FY 2017 Change
Personnel and Compensation Benefits	**-**	**-**	**-**	**-**
11.1 Full-time Permanent	$22,390	$22,911	-	($22,911)
11.3 Other than Full-Time Permanent	$164	$178	-	($178)
11.5 Other Personnel Compensation	$6,231	$6,644	-	($6,644)
12.1 Civilian Personnel Benefits	$13,070	$13,236	-	($13,236)
Total, Personnel and Compensation Benefits	**$41,855**	**$42,969**	**-**	**($42,969)**
Other Object Classes	**-**	**-**	**-**	**-**
21.0 Travel and Transportation of Persons	$3,888	$2,917	-	($2,917)
22.0 Transportation of Things	$54	$54	-	($54)
23.3 Communications, Utilities, and Misc. Charges	$885	$882	-	($882)
24.0 Printing and Reproduction	$2	$2	-	($2)
25.2 Other Services from Non-Federal Sources	$5,759	$5,390	-	($5,390)
26.0 Supplies and Materials	$4,279	$1,314	-	($1,314)
31.0 Equipment	$4,811	$912	-	($912)
32.0 Land and Structures	$34	$34	-	($34)
Total, Other Object Classes	**$19,712**	**$11,505**	**-**	**($11,505)**
Adjustments	**-**	**-**	**-**	**-**
Unobligated Balance, start of year	-	-	-	-
Unobligated Balance, end of year	-	-	-	-
Recoveries of Prior Year Obligations	-	-	-	-
Total Requirements	**$61,567**	**$54,474**	**-**	**($54,474)**
Full Time Equivalents	**260**	**260**	**-**	**(260)**

I. Changes In Full Time Employment

Department of Homeland Security
United States Secret Service
Salaries and Expenses
Changes in Full Time Employment

	FY 2015	FY 2016	FY 2017
BASE: Year End Actual from Prior Year	**6,572**	**6,301**	**6,481**
Increases			
Former President Obama Protective Detail	-	90	-
Forensic support and grants to missing and exploited children	-	20	-
Increase	-	70	-
Subtotal, Increases	**-**	**180**	**-**
Decreases			
Transfer to CAS	-	-	(6,481)
Subtotal, Decreases	**-**	**-**	**(6,481)**
Year End Actuals/Estimated FTEs:	**6,301**	**6,481**	**-**
Net Change from prior year base to Budget Year Estimate:	**-**	**180**	**(6,481)**

J. FY 2017 Schedule of Working Capital Fund by Program/Project Activity

N/A

Department of Homeland Security

United States Secret Service

Acquisition, Construction, Improvements, and Related Expenses

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Fiscal Year 2017

Congressional Justification

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II. Summary of FY 2017 Budget Estimates by Program/Project Activity (PPA)

Department of Homeland Security
Acquisition, Construction, Improvements, and Related Expenses
Summary of FY 2017 Budget Estimates by Program Project Activity

FY 2017 Request
(Dollars in Thousands)

Program Project Activity	FY 2015			FY 2016			FY 2017			Increase(+) or Decrease(-) for FY 2017								
	Revised Enacted[1]			Enacted			Request			Total Changes			Program Changes			Adjustments-to-Base		
	POS	FTE	Amount	POS	FTE	Amount	POS	FTE	Amount	POS	FTE	Amount	POS	FTE	Amount	POS	FTE	Amount
James J. Rowley Training Center	-	-	$10,362	-	-	$24,282	-	-	-	-	-	-	-	-	-	-	-	($24,282)
Information Integration & Technology Transformation	-	-	$44,555	-	-	$43,737	-	-	-	-	-	-	-	-	-	-	-	($43,737)
Protection of Persons and Facilities	-	-	-	-	-	$11,000	-	-	-	-	-	-	-	-	-	-	-	($11,000)
Total, Acquisition, Construction, and Improvements	**-**	**-**	**$54,917**	**-**	**-**	**$79,019**	**-**	**-**	-	-	-	-	-	**-**	**-**	**-**	**-**	**($79,019)**
									-	-		-	-					
Subtotal, Enacted Appropriations & Budget Estimates	**-**	**-**	**$54,917**	**-**	**-**	**$79,019**	**-**	**-**	-	-	-	-	-	**-**	**-**	**-**	**-**	**($79,019)**
Net, Enacted Appropriations and Budget Estimates:	**-**	**-**	**$54,917**	**-**	**-**	**$79,019**	**-**	**-**	-	-	-	-	-	**-**	**-**	**-**	**-**	**($79,019)**

[1] Reflects reprogrammings/transfers, as applicable, and actual FTE. This footnote applies to all FY15 revised Enacted tables throughout the CJ submission.

III. Current Services Program Description by PPA

Department of Homeland Security
United States Secret Service
James J. Rowley Training Center
Program Performance Justification
(Dollars in Thousands)

PPA: James J. Rowley Training Center

	Perm. Pos.	FTE	Amount
FY 2015 Revised Enacted	-	-	**$10,362**
FY 2016 Enacted	-	-	**$24,282**
2017 Adjustments-to-Base	-	-	($24,282)
FY 2017 Current Services	-	-	-
FY 2017 Program Change	-	-	-
FY 2017 Total Request	-	-	-
Total Change 2016 to 2017	-	-	($24,282)

CURRENT SERVICES PROGRAM DESCRIPTION:
As a result of the Common Appropriation Structure, FY 2016 base funds will be transferred out to:

James J. Rowley Training Center		Positions	FTE	Amount
Prior Year	**FY 2015 Revised Enacted**	-	-	**10,362**
Base	**FY 2016 Enacted**	-	-	**24,282**
Current Services	From ACI&RE - Rowley training center To O&S - Integrated Ops	-	-	(5,557)
	From ACI&RE - Rowley training center To PC&I - Integrated Ops	-	-	(18,725)
Budget Year	**FY 2017 Request**	-	-	-
	Total Change from FY 2016 to FY 2017	-	-	**(24,282)**

Department of Homeland Security
United States Secret Service
Information Integration & Technology Transformation
Program Performance Justification
(Dollars in Thousands)

PPA: Information Integration & Technology Transformation

	Perm. Pos.	FTE	Amount
FY 2015 Revised Enacted	-	-	**$44,555**
FY 2016 Enacted	-	-	**$43,737**
2017 Adjustments-to-Base	-	-	($43,737)
FY 2017 Current Services	-	-	-
FY 2017 Program Change	-	-	-
FY 2017 Total Request	-	-	-
Total Change 2016 to 2017	-	-	($43,737)

CURRENT SERVICES PROGRAM DESCRIPTION:
As a result of the Common Appropriation Structure, FY 2016 base funds will be transferred out to:

Information Integration & Technology Transformation		Positions	FTE	Amount
Prior Year	**FY 2015 Revised Enacted**	-	-	**44,555**
Base	**FY 2016 Enacted**	-	-	**43,737**
Current Services	From ACI&RE - IITT To O&S - Integrated Ops	-	-	(14,130)
	From ACI&RE - IITT To PC&I - Integrated Ops	-	-	(29,607)
Budget Year	**FY 2017 Request**	-	-	-
	Total Change from FY 2016 to FY 2017	-	-	**(43,737)**

Department of Homeland Security
United States Secret Service
Protection of Persons and Facilities
Program Performance Justification
(Dollars in Thousands)

PPA: Protection of Persons and Facilities

	Perm. Pos.	FTE	Amount
FY 2015 Revised Enacted	-	-	-
FY 2016 Enacted	-	-	**$11,000**
2017 Adjustments-to-Base	-	-	($11,000)
FY 2017 Current Services	-	-	-
FY 2017 Program Change	-	-	-
FY 2017 Total Request	-	-	-
Total Change 2016 to 2017	-	-	($11,000)

CURRENT SERVICES PROGRAM DESCRIPTION:
As a result of the Common Appropriation Structure, FY 2016 base funds will be transferred out to:

Information Integration & Technology Transformation		**Positions**	**FTE**	**Amount**
Prior Year	**FY 2015 Revised Enacted**	-	-	-
Base	**FY 2016 Enacted**	-	-	**11,000**
Current Services	From ACI&RE - Protection To PC&I - Protection	-	-	(11,000)
Budget Year	**FY 2017 Request**	-	-	-
	Total Change from FY 2016 to FY 2017	-	-	**(11,000)**

V. Exhibits and Other Supporting Material
B. FY 2016 to FY 2017 Budget Change

Department of Homeland Security
United States Secret Service
Acquisition, Construction, Improvements, and Related Expenses
FY 2016 to FY 2017 Budget Change
(Dollars in Thousands)

	Pos.	FTE	Amount
FY 2015 Revised Enacted	**-**	**-**	**$54,917**
FY 2016 Enacted	**-**	**-**	**$79,019**
Adjustments-to-Base			
Transfers to and from other accounts:			
From ACI&RE - Rowley training center To O&S - Integrated Ops	-	-	($5,557)
From ACI&RE - Rowley training center To PC&I - Integrated Ops	-	-	($18,725)
From ACI&RE - IITT To O&S - Integrated Ops	-	-	($14,130)
From ACI&RE - IITT To PC&I - Integrated Ops	-	-	($29,607)
From ACI&RE - Protection To PC&I - Protection	-	-	($11,000)
Total Transfers	**-**	**-**	**($79,019)**
Total Adjustments-to-Base	**-**	**-**	**($79,019)**
FY 2017 Current Services	**-**	**-**	**($79,019)**
FY 2017 Request	**-**	**-**	**-**
FY 2016 to FY 2017 Change	**-**	**-**	**($79,019)**

E. Summary of Requirements By Object Class

Department of Homeland Security
United States Secret Service
Acquisition, Construction, Improvements, and Related Expenses
Summary of Requirements by Object Class
(Dollars in Thousands)

Object Classes	2015 Revised Enacted	2016 Enacted	2017 Request	FY 2016 to FY 2017 Change
Personnel and Other Compensation Benefits				
Total, Personnel and Other Compensation Benefits	-	-	-	-
Other Object Classes				
23.3 Communications, Utilities, and Misc. Charges	$20	$20	-	($20)
25.2 Other Services from Non-Federal Sources	$40,500	$51,327	-	($51,327)
26.0 Supplies and Materials	$38	$63	-	($63)
31.0 Equipment	$13,035	$19,285	-	($19,285)
32.0 Land and Structures	$1,324	$8,324	-	($8,324)
Total, Other Object Classes	**$54,917**	**$79,019**	**-**	**($79,019)**
Total, Direct Obligations	**$54,917**	**$79,019**	**-**	**($79,019)**
Adjustments				
Net Offsetting Collections	-	-	-	-
Unobligated Balance, start of year	-	-	-	-
Unobligated Balance, end of year	-	-	-	-
Recoveries of Prior Year Obligations	-	-	-	-
Offsetting Collections	-	-	-	-
Total Requirements	**$54,917**	**$79,019**	**-**	**($79,019)**

F. Permanent Positions by Grade

N/A

H. PPA Budget Justifications

Department of Homeland Security
United States Secret Service
Acquisition, Construction, Improvements, and Related Expenses
James J. Rowley Training Center
Summary of Requirements by Object Class
(Dollars in Thousands)

Object Classes	FY 2015 Revised Enacted	FY 2016 Enacted	FY 2017 Request	FY 2016 to FY 2017 Change
Personnel and Compensation Benefits	-	-	-	-
Other Object Classes	-	-	-	-
25.2 Other Services from Non-Federal Sources	$8,965	$15,810	-	($15,810)
26.0 Supplies and Materials	$38	$63	-	($63)
31.0 Equipment	$35	$85	-	($85)
32.0 Land and Structures	$1,324	$8,324	-	($8,324)
Total, Other Object Classes	**$10,362**	**$24,282**	-	**($24,282)**
Adjustments	-	-	-	-
Unobligated Balance, start of year	-	-	-	-
Unobligated Balance, end of year	-	-	-	-
Recoveries of Prior Year Obligations	-	-	-	-
Total Requirements	**$10,362**	**$24,282**	-	**($24,282)**
Full Time Equivalents	-	-	-	-

Department of Homeland Security
United States Secret Service
Acquisition, Construction, Improvements, and Related Expenses
Information Integration & Technology Transformation
Summary of Requirements by Object Class
(Dollars in Thousands)

Object Classes	FY 2015 Revised Enacted	FY 2016 Enacted	FY 2017 Request	FY 2016 to FY 2017 Change
Personnel and Compensation Benefits	-	-	-	-
Other Object Classes	-	-	-	-
23.3 Communications, Utilities, and Misc. Charges	$20	$20	-	($20)
25.2 Other Services from Non-Federal Sources	$31,535	$30,717	-	($30,717)
31.0 Equipment	$13,000	$13,000	-	($13,000)
Total, Other Object Classes	**$44,555**	**$43,737**	-	**($43,737)**
Adjustments	-	-	-	-
Unobligated Balance, start of year	-	-	-	-
Unobligated Balance, end of year	-	-	-	-
Recoveries of Prior Year Obligations	-	-	-	-
Total Requirements	**$44,555**	**$43,737**	-	**($43,737)**
Full Time Equivalents	-	-	-	-

Department of Homeland Security
United States Secret Service
Acquisition, Construction, Improvements, and Related Expenses
Protection of persons and facilities
Summary of Requirements by Object Class
(Dollars in Thousands)

Object Classes	FY 2015 Revised Enacted	FY 2016 Enacted	FY 2017 Request	FY 2016 to FY 2017 Change
Personnel and Compensation Benefits	-	-	-	-
Other Object Classes	-	-	-	-
25.2 Other Services from Non-Federal Sources	-	$4,800	-	($4,800)
31.0 Equipment	-	$6,200	-	($6,200)
Total, Other Object Classes	-	**$11,000**	-	**($11,000)**
Adjustments	-	-	-	-
Unobligated Balance, start of year	-	-	-	-
Unobligated Balance, end of year	-	-	-	-
Recoveries of Prior Year Obligations	-	-	-	-
Total Requirements	-	**$11,000**	-	**($11,000)**
Full Time Equivalents	-	-	-	-

I. Changes In Full Time Employment

N/A

J. FY 2017 Schedule of Working Capital Fund by Program/Project Activity

N/A

Department of Homeland Security

United States Secret Service

Fiscal Year 2017

Strategic Context
Congressional Submission

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A. Component Overview

The United States Secret Service is comprised of the following mission-oriented programs that support achievement of the DHS strategic missions, goals, and objectives.

Protection: The Protection program protects the President and Vice President and their families, former Presidents and their spouses, and other designated individuals; secures the White House Complex, Vice President's Residence, and other designated places; and designs, coordinates, and implements operational security plans for designated National Special Security Events (NSSEs). Additionally, the Protection program investigates, evaluates, disseminates, and maintains information concerning known, potential, or perceived threats to protectees and NSSEs. The program conducts intelligence activities in advance of protectee travel and NSSEs. It also ensures the safety and security of Presidential and Vice Presidential candidates, nominees, and their spouses. The program is staffed by special agents, uniformed officers, and administrative, professional, and technical personnel and works closely with the military and federal, state, county, local, and international law enforcement organizations.

Criminal Investigations: The Criminal Investigations program exists to safeguard the payment and financial systems of the United States and has evolved to enforce not only counterfeiting laws that preserve the integrity of U.S. currency, but a wide range of financial and computer-based crimes that threaten the Nation's economy. To combat these crimes, the Secret Service adopted a proactive approach utilizing advanced technologies and specialized skills to provide rapid responses and critical information in support of financial analysis, infrastructure protection, and criminal investigations. The administrative, professional, and technical personnel within the Criminal Investigations program work closely with Secret Service's domestic and international field offices and provide support for investigations to identify, locate, and apprehend criminal organizations and individuals involved in counterfeiting, access device fraud, cyber intrusion, identity crimes, bank fraud, and illicit financing operations.

Integrated Operations: The Integrated Operations program includes funding for all Secret Service employees assigned to the domestic and international field, command and control functions, and elements of the training staff that support and execute the protective and investigative missions. Through the field offices, Special Agents facilitate advance work conducted prior to the visit of Secret Service protectees and also conduct criminal investigations to safeguard the payment and financial systems of the United States. Additionally, this program includes all Special Agents from the Office of Investigations. At the James J. Rowley Training Center, this program provides in-service curriculum consisting of comprehensive training in specialized operational and protective tactics, financial crime, cyber-based investigations, and employee and managerial development. This program develops and manages IT solutions as well as provides on-site communications and computer support for the entire Secret Service.

Retiree Pay and Benefits: Retiree Pay and Benefits includes funding for payments to former military and non-military departmental members of USSS and their predecessors. It also funds

survivor annuity payments under the Retired Serviceman's Family Protection Plan and the Survivor Benefit Plan, as well as medical benefits for retirees and their dependents.

Management and Administration: The Management and Administration program captures activities that provide enterprise leadership, management and/or business administration services and describes the capabilities and activities that support the day-to-day management and administrative functions enabling the Secret Service to operate efficiently and effectively. Key capabilities include conducting agency planning and performance management, managing finances, managing agency workforce, acquiring goods and services, managing information technology, managing agency property and assets, managing agency communications, managing legal affairs, and providing general management and administration.

FY 2017 Budget Request

The table below shows USSS's FY 2017 Budget request by its mission-oriented programs.

Program	FY 2017 Request	
	FTE	Dollars (in thousands)
Protection	3,054	784,534
Criminal Investigations	212	54,734
Integrated Operations	2,889	906,135
Retiree Pay and Benefits	-	265,000
Management and Administration	550	145,716
Total Budget Request	6,705	2,156,119

B. Component Contributions to Achieving Departmental Missions

The table below shows the alignment of the USSS's programs to the DHS Missions and Mature and Strengthen Homeland Security.

Programs	DHS Missions					Mature and Strengthen Homeland Security
	Prevent Terrorism and Enhance Security	Secure and Manage Our Borders	Enforce and Administer Our Immigration Laws	Safeguard and Secure Cyberspace	Strengthen National Preparedness and Resilience	
Protection	100%					

Programs	DHS Missions					Mature and Strengthen Homeland Security
	Prevent Terrorism and Enhance Security	Secure and Manage Our Borders	Enforce and Administer Our Immigration Laws	Safeguard and Secure Cyberspace	Strengthen National Preparedness and Resilience	
Criminal Investigations	100%					
Integrated Operations	87%			13%		
Management and Administration	2%					98%

Mission 1: Prevent Terrorism and Enhance Security

Resources Requested

USSS's resources supporting *Prevent Terrorism and Enhance Security* are provided in the table below.

$ in thousands

Program	FY 2015 Revised Enacted		FY 2016 Enacted		FY 2017 Request	
	$	FTE*	$	FTE	$	FTE
Protection	613,041	2,912	764,012	2,759	784,534	3,054
Criminal Investigations	57,140	172	57,371	194	54,734	212
Integrated Operations	807,574	2,584	811,611	2,406	787,106	2,488
Management and Administration	6,731	-	9,177	-	3,282	-
Total	1,484,486	5,668	1,642,171	5,359	1,629,656	5,754

*Strategic Contexts reflect reprogrammings/transfers, as applicable, and revised enacted FTE. Tables throughout the CJ submission will use FY 2015 actual FTEs.

Performance Measures

For *Prevent Terrorism and Enhance Security*, two types of performance measures are presented. Strategic Measures represent USSS measures that gauge achievement for this mission area, and are considered to be our Government Performance and Results Act Modernization Act (GPRAMA) performance measures. Additional Management Measures are displayed, as appropriate, to provide a more thorough context of expected performance results.

Strategic Measures

Measure: Financial crimes loss prevented through a criminal investigation (in billions)
Description: An estimate of the direct dollar loss to the public that was prevented due to Secret

Service intervention or interruption of a criminal venture through a criminal investigation. This estimate is based on the likely amount of financial crime that would have occurred had the offender not been identified nor the criminal enterprise disrupted, and reflects the Secret Service's efforts to reduce financial losses to the public attributable to financial crimes.						
Fiscal Year:	**FY 2012**	**FY 2013**	**FY 2014**	**FY 2015**	**FY 2016**	**FY 2017**
Target:	$1.40	$1.80	$1.90	$2.70	$1.80	$1.90
Result:	$2.75	$4.20	$3.04	$1.47	N/A	N/A

Measure: Percent of currency identified as counterfeit						
Description: The dollar value of counterfeit notes passed on the public reported as a percent of dollars of genuine currency. This measure is calculated by dividing the dollar value of counterfeit notes passed by the dollar value of genuine currency in circulation. This measure is an indicator of the proportion of counterfeit currency relative to the amount of genuine U.S. Currency in circulation, and reflects our efforts to reduce financial losses to the public attributable to counterfeit currency.						
Fiscal Year:	**FY 2012**	**FY 2013**	**FY 2014**	**FY 2015**	**FY 2016**	**FY 2017**
Target:	<0.0098%	<0.0090%	<0.0088%	<0.0088%	<0.0090%	<0.0088%
Result:	0.0085%	0.0072%	0.0068%	0.0058%	N/A	N/A

Measure: Percent of National Special Security Events that were successfully completed						
Description: This measure is a percentage of the total number of National Special Security Events (NSSEs) completed in a Fiscal Year that were successful. A successfully completed NSSE is one where once the event has commenced, a security incident(s) inside the Secret Service - protected venue did not preclude the event's agenda from proceeding to its scheduled conclusion.						
Fiscal Year:	**FY 2012**	**FY 2013**	**FY 2014**	**FY 2015**	**FY 2016**	**FY 2017**
Target:	100%	100%	100%	100%	100%	100%
Result:	100%	100%	100%	100%	N/A	N/A

Measure: Percent of protectees that arrive and depart safely						
Description: This measure gauges the percent of travel stops where Secret Service protectees arrive and depart safely. The performance target is always 100%.						
Fiscal Year:	**FY 2012**	**FY 2013**	**FY 2014**	**FY 2015**	**FY 2016**	**FY 2017**
Target:	100%	100%	100%	100%	100%	100%
Result:	100%	100%	100%	100%	N/A	N/A

Measure: Percent of total protection activities that are incident-free at the White House Complex, Vice President's Residence, and other protected facilities

Description: This measure gauges the percent of instances where the Secret Service provides incident free protection to the White House Complex, Vice President's Residence, and other protected facilities. An incident is defined as someone who is assaulted or receives an injury from an attack while inside the White House Complex, Vice President's Residence, or other protected facility.						
Fiscal Year:	**FY 2012**	**FY 2013**	**FY 2014**	**FY 2015**	**FY 2016**	**FY 2017**
Target:	100%	100%	100%	100%	100%	100%
Result:	100%	100%	99.7%	100%	N/A	N/A

Management Measures

Measure: Percent of instances protectees arrive and depart safely (campaign protectees)						
Description: This measure represents the percent of travel stops where the protectee safely arrives and departs. The security of protectees is the ultimate priority of the Secret Service; therefore, all necessary resources are utilized before and during a protective assignment in order to provide the highest-quality protection the Secret Service demands for all protectees.						
Fiscal Year:	**FY 2012**	**FY 2013**	**FY 2014**	**FY 2015**	**FY 2016**	**FY 2017**
Target:	100%	100%	N/A	N/A	100%	100%
Result:	100%	100%	N/A	N/A	N/A	N/A

Measure: Percent of instances protectees arrive and depart safely (domestic protectees)						
Description: The percent of travel stops where our Nation's leaders and other protectees arrive and depart safely. The security of protectees is the ultimate priority of the Secret Service; therefore, all necessary resources are utilized before and during a protective assignment in order to provide the highest-quality protection the Secret Service demands for all protectees.						
Fiscal Year:	**FY 2012**	**FY 2013**	**FY 2014**	**FY 2015**	**FY 2016**	**FY 2017**
Target:	100%	100%	100%	100%	100%	100%
Result:	100%	100%	100%	100%	N/A	N/A

Measure: Percent of instances protectees arrive and depart safely (Foreign Dignitaries)						
Description: The percent of travel stops where visiting world leader protectees safely arrive and depart. The security of protectees is the ultimate priority of the Secret Service; therefore, all necessary resources are utilized before and during a protective assignment in order to provide the highest-quality protection the Secret Service demands for all protectees.						
Fiscal Year:	**FY 2012**	**FY 2013**	**FY 2014**	**FY 2015**	**FY 2016**	**FY 2017**
Target:	100%	100%	100%	100%	100%	100%
Result:	100%	100%	100%	100%	N/A	N/A

Measure: Percent of protective travel instances that fall within estimated resource requirements						
Description: The measure identifies the variance between the initial request for protective resources and the final approved resources. Program managers perform an internal quality assurance assessment on the initial resource request received from the Secret Service advance team. During this assessment, quality assurance decisions are made on how to best deploy protective resources without compromising safety and security. Resource allocation decisions are then determined and approved. Through the quality assurance process the Secret Service is proactive in the stewardship of resources (cost savings) provided through taxpayer dollars.						
Fiscal Year:	**FY 2012**	**FY 2013**	**FY 2014**	**FY 2015**	**FY 2016**	**FY 2017**
Target:	N/A	90%	85%	85%	85%	85%
Result:	N/A	85%	86%	88%	N/A	N/A

Measure: Number of information sharing events with the law enforcement and intelligence community						
Description: This measure gauges the number of information sharing events with both internal entities and external partner agencies as an assessment of the effectiveness of information dissemination. Information sharing events include: National Threat Assessment Center briefings, Targeted Violence Information Sharing System (TAVISS) briefings, agency alerts, specialty desk briefings and other training, spot reports, suspicious activity reporting, advisories, permanent protectee threat assessments, major events assessments, and certain other assessment products. Sharing information about threats, hazards, and protective actions will allow for the internal and external coordination needed to prevent successful attacks.						
Fiscal Year:	**FY 2012**	**FY 2013**	**FY 2014**	**FY 2015**	**FY 2016**	**FY 2017**
Target:	N/A	1,000	1,000	1,000	1,200	1,200
Result:	N/A	1,410	1,152	1,637	N/A	N/A

Mission 4: Safeguard and Secure Cyberspace

Resources Requested

USSS's resources supporting *Safeguard and Secure Cyberspace* are provided in the table below.

$ in thousands

Program	**FY 2015 Revised Enacted**		**FY 2016 Enacted**		**FY 2017 Request**	
	$	FTE*	$	FTE	$	FTE
Integrated Operations	120,650	415	118,697	386	119,029	401
Management and Administration	286	-	423	-	119	-
Total	120,936	415	119,120	386	119,148	401

*Strategic Contexts reflect reprogrammings/transfers, as applicable, and revised enacted FTE. Tables throughout the CJ submission will use FY 2015 actual FTEs.

Performance Measures

For *Safeguard and Secure Cyberspace*, two types of performance measures are presented. Strategic Measures represent USSS's measures that gauge achievement for this mission area, and are considered to be our Government Performance and Results Act Modernization Act (GPRAMA) performance measures. Additional Management Measures are displayed, as appropriate, to provide a more thorough context of expected performance results.

Strategic Measures

Measure: Amount of dollar loss prevented by Secret Service cyber investigations (in millions)						
Description: This measure is an estimate of the direct dollar loss to the public prevented due to cyber investigations by Secret Service. The dollar loss prevented is based on the estimated amount of cyber losses that would have occurred had the offender not been identified nor the criminal enterprise interrupted. The measure reflects the Secret Service's efforts to reduce cyber related financial losses to the public.						
Fiscal Year:	**FY 2012**	**FY 2013**	**FY 2014**	**FY 2015**	**FY 2016**	**FY 2017**
Target:	N/A	$800	$900	$727	$575	$600
Result:	N/A	$1,119	$384	$589	N/A	N/A

Measure: Number of cyber mitigation responses						
Description: This measure represents the number of cyber mitigation responses provided by the Secret Service. The USSS responds to organizations that suspect a malicious network intrusion has occurred and implements mitigation responses to secure the network(s). Each cyber mitigation response involves one or more of the following activities related to a particular network intrusion: identifying potential victims/subjects, notifying victims/subjects, interviewing victims/subjects, confirming network intrusion, supporting mitigation of breach activity, and retrieving and analyzing forensic evidence. State or Federal arrests resulting from and/or related to these intrusions are measured separately.						
Fiscal Year:	**FY 2012**	**FY 2013**	**FY 2014**	**FY 2015**	**FY 2016**	**FY 2017**
Target:	N/A	N/A	N/A	N/A	410	430
Result:	N/A	N/A	N/A	N/A	N/A	N/A

Measure: Number of financial accounts recovered (in millions)
Description: This measure represents the number of financial accounts recovered during cyber investigations. Financial accounts include bank accounts, credit card accounts, PayPal and other online money transfer accounts.

Fiscal Year:	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017
Target:	N/A	2.0	2.1	0.40	0.40	0.40
Result:	N/A	3.9	0.29	0.93	N/A	N/A

Measure: Number of law enforcement individuals trained in cyber crime and cyber forensics both domestically and overseas						
Description: This measure represents the number of individuals trained in cyber crime and cyber forensics by the Secret Service. This specialized technical training occurs both domestically and overseas in an effort to strengthen our ability to fight cyber crime.						
Fiscal Year:	**FY 2012**	**FY 2013**	**FY 2014**	**FY 2015**	**FY 2016**	**FY 2017**
Target:	N/A	1,000	1,000	1,300	1,800	1,900
Result:	N/A	1,517	1,533	2,070	N/A	N/A

Measure: Terabytes of data forensically analyzed for criminal investigations						
Description: This measure represents the amount of data, in terabytes, forensically analyzed through Secret Service investigations. This data is now protected by the Secret Service from future malicious use.						
Fiscal Year:	**FY 2012**	**FY 2013**	**FY 2014**	**FY 2015**	**FY 2016**	**FY 2017**
Target:	N/A	1,600	2,900	3,500	6,000	7,000
Result:	N/A	4,002	4,902	6,052	N/A	N/A

Management Measures

Measure: Number of hours of cyber crime training provided to law enforcement both domestically and overseas						
Description: This measure represents the number of cyber crime training hours provided by the Secret Service. This specialized technical training occurs both domestically and overseas in an effort to strengthen our ability to fight cyber crime.						
Fiscal Year:	**FY 2012**	**FY 2013**	**FY 2014**	**FY 2015**	**FY 2016**	**FY 2017**
Target:	N/A	38,000	45,000	80,000	85,000	87,000
Result:	N/A	77,028	84,800	95,542	N/A	N/A

Mature and Strengthen Homeland Security

Resources Requested

USSS's resources supporting *Mature and Strengthen Homeland Security* are provided in the table below.

$ in thousands

Program	FY 2015 Revised Enacted		FY 2016 Enacted		FY 2017 Request	
	$	FTE*	$	FTE	$	FTE
Criminal Investigations	8,366	20	8,366	20	-	-
Management and Administration	144,247	489	163,888	716	142,315	550
Total	152,613	509	172,254	736	142,315	550

*Strategic Contexts reflect reprogrammings/transfers, as applicable, and revised enacted FTE. Tables throughout the CJ submission will use FY 2015 actual FTEs.

Performance Measures

For Mature and Strengthen Homeland Security, strategic performance measures are presented. Strategic Measures represent measures that gauge achievement for this mission area, and are considered to be our Government Performance and Results Act Modernization Act (GPRAMA) performance measures.

Strategic Measures

Measure: Percent of National Center for Missing and Exploited Children (NCMEC) examinations requested that are conducted						
Description: This measure represents the percentage of Secret Service computer and polygraph forensic exams conducted in support of any investigation involving missing or exploited children in relation to the number of computer and polygraph forensic exams requested.						
Fiscal Year:	**FY 2012**	**FY 2013**	**FY 2014**	**FY 2015**	**FY 2016**	**FY 2017**
Target:	100%	100%	100%	100%	100%	100%
Result:	100%	100%	100%	100%	N/A	N/A

Department of Homeland Security

National Protection and Programs Directorate

Budget Overview

Fiscal Year 2017

Congressional Justification

i. Summary of FY Budget Estimates by Appropriation without Emergency Funding

Department of Homeland Security
National Protection and Programs Directorate
Summary of FY 2017 Budget Estimates by Appropriation

Total Appropriations
(Dollars in Thousands)

Appropriation	FY 2015			FY 2016			FY 2017			Increase(+) or Decrease(-) for FY 2017								
	Revised Enacted[1]			Enacted			Request			Total Changes			Program Changes			Adjustments-to-Base		
	POS	FTE	Amount	POS	FTE	Amount	POS	FTE	Amount	POS	FTE	Amount	POS	FTE	Amount	POS	FTE	Amount
Operations and Support	2,155	1,650	$1,179,406	2,275	1,792	$1,296,763	2,289	2,085	1,152,502	14	293	($144,261)	202	113	$44,648	(188)	180	($188,909)
Procurement, Construction, and Improvements	-	-	$351,486	-	-	$332,723	-	-	$436,797	-	-	$104,074	-	-	$436,797	-	-	($332,723)
Research and Development	-	-	$3,694	-	-	$6,119	-	-	$4,469	-	-	($1,650)	-	-	($1,650)	-	-	-
Federal Protective Service	1,466	1,290	$1,342,606	1,481	1,386	$1,443,449	1,602	1,507	$1,451,078	121	121	$7,629	121	121	$22,456	-	-	($14,827)
Subtotal, Discretionary	**3,621**	**2,940**	**$2,877,192**	**3,756**	**3,178**	**$3,079,054**	**3,891**	**3,592**	**$3,044,846**	**135**	**414**	**($34,208)**	**323**	**234**	**$502,251**	**(188)**	**180**	**($536,459)**
Total	**3,621**	**2,940**	**$2,877,192**	**3,756**	**3,178**	**$3,079,054**	**3,891**	**3,592**	**$3,044,846**	**135**	**414**	**($34,208)**	**323**	**234**	**$502,251**	**(188)**	**180**	**($536,459)**
Subtotal, Enacted Appropriations and Budget Estimates	**3,621**	**2,940**	**$2,877,192**	**3,756**	**3,178**	**$3,079,054**	**3,891**	**3,592**	**$3,044,846**	**135**	**414**	**($34,208)**	**323**	**234**	**$502,251**	**(188)**	**180**	**($536,459)**
Less: Adjustments for Other Funding Sources	**(1,466)**	**(1,290)**	**($1,342,606)**	**(1,481)**	**(1,386)**	**($1,443,449)**	**(1,602)**	**(1,507)**	**($1,451,078)**	**(121)**	**(121)**	**($7,629)**	**(121)**	**(121)**	**($22,456)**	**-**	**-**	**$14,827**
Net, Enacted Appropriations and Budget Estimates:	**2,155**	**1,650**	**$1,534,586**	**2,275**	**1,792**	**$1,635,605**	**2,289**	**2,085**	**$1,593,768**	**14**	**293**	**($41,837)**	**202**	**113**	**$479,795**	**(188)**	**180**	**($521,632)**

[1]Reflects reprogrammings/transfers, as applicable, and actual FTE. This footnote applies to all FY 2015 Revised Enacted tables throughout the CJ Submission *FY 2015 and FY 2016 funding levels reflect the CAS Structure and are for comparison purposes only.

ii. FY 2017 Investment Summary

Department of Homeland Security
National Protection and Programs Directorate
FY 2017 Investment Summary- Appropriation Level
(Dollars in Thousands)

Investment Name	PPA(s)	FY 2015 Revised Enacted	FY 2016 Enacted	FY 2017 Request
OBIM – Homeland Advanced Recognition Technology (HART)	Biometric Identity Management	-	$65,000	-
OBIM – IDENT	Biometric Identity Management	$169,372	$144,625	-
Continuous Diagnostics & Mitigation	Continuous Diagnostics & Mitigation	$204,071	$102,659	$274,801
National Cybersecurity Protection System	National Cybersecurity Protection System	$359,000	$460,514	$471,079
Next Generation Networks – Priority Services	Priority Telecommunications Services	$53,293	$80,384	$89,627
Total		**$785,736**	**$853,182**	**$835,507**

*FY 2015 and FY 2016 funding levels reflect the CAS Structure and are for comparison purposes only.

iii. Status of Congressionally Requested Studies, Reports and Evaluations

Fiscal Year	Due Date	Reference/Citation	Requirement	Status
2015	7/3/2015	P.L. 114-4, Senate Report 113-198	NPPD is directed to conduct a review of the availability and benefit (including cost savings and security) of using cybersecurity personnel and facilities outside of the National Capital Region [NCR] to serve the Federal and national need. Findings of this review shall be reported to Congress no later than 120 days after the date of enactment of this act. Further, should DHS gain special hiring authority for cybersecurity personnel, the authority shall not be used in any case unless consideration has first been given to using personnel and facilities outside the NCR.	With Component
2016	2/9/2016	Senate Report 114-68	The Committee, as in previous years, includes a provision requiring a strategic human capital plan. It is noted that the first strategic plan was submitted on March 12, 2014, and GAO is currently reviewing it as required in the joint explanatory statement accompanying the Consolidated Appropriations Act, 2014. Should the review be completed and find no outstanding issues before enactment of the fiscal year 2016 act, it is possible the requirement will not need to be continued.	With Component

iv. Schedule of Authorized/Unauthorized Appropriations by PPA

Department of Homeland Security
National Protection and Programs Directorate
Schedule of Authorized/Unauthorized Appropriations by Program Project/Activity
(Dollars in Thousands)

Budget Activity	Last Year of Authorization	Authorized Level	Appropriation in Last Year of Authorization	FY 2017 Request
	Fiscal Year	Amount	Amount	Amount
Operations and Support	**N/A**	**N/A**	**N/A**	**$1,152,502**
Infrastructure Analysis				$283,709
Management and Administration				$90,042
Infrastructure Capacity Building				$241,888
Protect Infrastructure				$536,863
Procurement, Construction, and Improvements	**N/A**	**N/A**	**N/A**	**$436,797**
Protect Infrastructure				$436,797
Research and Development	**N/A**	**N/A**	**N/A**	**$4,469**
Infrastructure Capacity Building				$3,669
Protect Infrastructure				$800
Total Direct Authorization/Appropriation	**N/A**	**N/A**	**N/A**	**$1,593,768**
Federal Protective Service	**N/A**	**N/A**	**N/A**	**$1,451,078**

Department of Homeland Security

National Protection and Programs Directorate

Operations and Support

Fiscal Year 2017

Congressional Justification

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Schedule I – Executive Summary of Appropriation Exhibits

Department of Homeland Security
National Protection and Programs Directorate (NPPD)
Operations and Support
FY 2017 Request (Dollars in Thousands)

Program Project Activity	FY 2015 Revised Enacted[1]			FY 2016 Enacted			FY 2017 Request[2]			Increase(+) or Decrease(-) for FY 2017 Total Changes		
	POS	FTE	Amount	POS	FTE	Amount	POS	FTE	Amount	POS	FTE	Amount
Infrastructure Analysis	**451**	**327**	**$227,455**	**505**	**358**	**$230,214**	**626**	**545**	**$283,709**	**121**	**187**	**$53,495**
Critical Infrastructure Technology and Architecture	67	48	$21,093	64	50	$23,119	64	60	$19,889	-	10	($3,230)
Cyber & Infrastructure Analysis	72	50	$28,417	100	64	$35,531	108	100	$32,712	8	36	($2,819)
Cyber Integration & Coordination	89	65	$25,218	105	78	$24,253	114	101	$28,867	9	23	$4,614
Cyber Readiness & Response	175	125	$135,594	188	126	$130,477	292	239	$182,777	104	113	$52,300
Emergency Communications Preparedness	18	15	$4,592	18	15	$4,752	18	16	$4,740	-	1	($12)
Cyber and Infrastructure Awareness and Reporting	30	24	$12,541	30	25	$12,082	30	29	$14,724	-	4	$2,642
Management and Administration	**486**	**371**	**$85,439**	**500**	**429**	**$90,182**	**482**	**457**	**$90,042**	**(18)**	**28**	**($140)**
Mission Support	486	371	$85,439	500	429	$90,182	482	457	$90,042	(18)	28	($140)
Infrastructure Capacity Building	**549**	**411**	**$223,195**	**567**	**433**	**$246,752**	**611**	**555**	**$241,888**	**44**	**122**	**($4,864)**
Bombing Prevention	20	17	$11,826	20	19	$14,206	-	-	-	(20)	(19)	($14,206)
Critical Infrastructure Partnerships	11	9	$9,931	11	9	$9,883	11	10	$9,245	-	1	($638)
Critical Cyber Infrastructure Resilience	26	16	$14,418	34	20	$20,189	48	40	$26,423	14	20	$6,234
Emergency Communications Preparedness	71	61	$38,290	75	65	$35,824	75	72	$34,727	-	7	($1,097)
Enhanced Cybersecurity Services	11	6	$1,454	19	11	$18,088	19	18	$19,286	-	7	$1,198
Federal Network Resilience	52	41	$28,414	58	34	$29,347	85	68	$37,724	27	34	$8,377
National Infrastructure Protection Plan Management	20	14	$9,856	22	17	$12,386	28	24	$11,560	6	7	($826)
Protective Security Advisors	122	97	$30,734	121	98	$27,186	138	124	$32,290	17	26	$5,104
Sector Specific Agency Management	129	86	$25,214	122	97	$24,342	122	116	$25,190	-	19	$848
Stakeholder Engagement & Requirements	59	44	$17,577	57	43	$16,523	57	57	$18,106	-	14	$1,583
Cybersecurity Education, Outreach, and Awareness	10	5	$17,580	10	5	$17,553	10	9	$8,020	-	4	($9,533)
Vulnerability Assessments	18	15	$17,901	18	15	$21,225	18	17	$19,317	-	2	($1,908)
Protect Infrastructure	**669**	**541**	**$643,317**	**703**	**572**	**$729,615**	**570**	**528**	**$536,863**	**(133)**	**(44)**	**($192,752)**
Biometric Identity Management	208	166	$247,056	177	168	$217,473	-	-	-	(177)	(168)	($217,473)
Continuous Diagnostics & Mitigation	15	12	$2,108	30	28	$5,224	54	39	$7,830	24	11	$2,606
Infrastructure Security Compliance	263	231	$82,271	293	238	$77,600	313	297	$78,856	20	59	$1,256
National Cybersecurity Protection System	149	103	$261,695	169	110	$368,776	169	160	$389,308	-	50	$20,532
Priority Telecommunications Services	34	29	$50,187	34	28	$60,542	34	32	$60,869	-	4	$327
Total, Operations and Support	**2,155**	**1,650**	**$1,179,406**	**2,275**	**1,792**	**$1,296,763**	**2,289**	**2,085**	**$1,152,502**	**14**	**293**	**($144,261)**
Net, Enacted Appropriations and Budget Estimates:	**2,155**	**1,650**	**$1,179,406**	**2,275**	**1,792**	**$1,296,763**	**2,289**	**2,085**	**$1,152,502**	**14**	**293**	**($144,261)**

[1]Reflects reprogrammings/transfers, as applicable, and actual FTE. This footnote applies to all FY 2015 Revised Enacted tables throughout the CJ Submission. FY 2015 and FY 2016 funding levels reflect the CAS Structure and are for comparison purposes only. [2]The FY 2017 Request consists of $61.878 million in non-defense funding and $1,090.624 million in 050 defense funding.

A. Overview

In 2015, the National Protection and Programs Directorate (NPPD) initiated planning to reorganize into an operational component and proposed to change its name to Cyber and Infrastructure Protection. NPPD submitted a transition plan to Congress outlining the details of this proposal on October 28, 2015. The plan calls for consolidating current operational activities into three subcomponents: the National Cybersecurity and Communications Integration Center, Infrastructure Security, and the Federal Protective Service. These subcomponents will be supported by centralized mission support functions that provide acquisition, business, strategic, and analytical services. This organizational change will achieve greater unity of effort, enhance the effectiveness of operational activities, and improve the quality of mission support to programs. DHS looks forward to continued engagement with Congress on NPPD's reorganization.

The NPPD Operations & Support (O&S) appropriation funds NPPD core operations to enhance the security and resilience of infrastructure against terrorist attacks, cyber events, natural disasters, and other large-scale incidents. Secure and resilient infrastructure is essential for national security, economic vitality, and public health and safety. Critical infrastructure security and resilience efforts must address all hazards—from terrorism and other criminal activities to natural disasters and cyber threats.

The O&S appropriation funds the costs of necessary operations, mission support, and associated management and administration (M&A) to execute these programs. Activities are organized according to the following program/project/activity (PPA) structure:

Management and Administration – The M&A PPA funds Directorate leadership and management, coordination of Directorate activities with DHS Headquarters and Components, program oversight, and mission support services. NPPD M&A provides funding to lead and coordinate Directorate activities necessary to protect the Nation's critical infrastructure and reduce physical and cyber risks to infrastructure. This PPA also funds support activities necessary to enable these efforts.

Infrastructure Analysis – The Infrastructure Analysis PPA funds efforts to ensure the security and resilience of critical infrastructure by assisting security partners in identifying and mitigating vulnerabilities and assessing the impact of risk management efforts. The activities funded through this PPA also ensure decision-makers have a full understanding of potential impacts from all hazards through comprehensive consequence analysis during both steady-state and crisis action. In addition, the PPA funds activities to provide situational awareness capabilities that include integrated, actionable information about emerging trends, imminent threats, and the status of incidents that may impact critical infrastructure. This PPA also funds support activities necessary to enable these efforts.

Infrastructure Capacity Building – The Infrastructure Capacity Building PPA funds increasing the security and resilience of facilities, systems, networks, and surrounding communities to cyber, physical, and human risks through partnership efforts. To this end, the

PPA funds activities to provide analysis, expertise, and other technical assistance to critical infrastructure owners and operators and facilitate access to and exchange of information and intelligence necessary to strengthen the security and resilience of critical infrastructure, including a nationwide framework to guide infrastructure security and resilience efforts. The PPA also funds activities that enable Federal Departments and agencies to address cybersecurity challenges; partner with the private sector, military, and intelligence communities to mitigate vulnerabilities and threats to information technology assets; and facilitate collaboration and partnerships on cyber issues with public and private sector partners. In addition, the PPA funds activities to provide training, coordination, tools, and guidance to help NPPD's Federal, state, local, tribal, territorial, and industry partners develop their emergency communications capabilities. This PPA also funds support activities necessary to enable these efforts.

Protect Infrastructure – The Protect Infrastructure PPA funds NPPD efforts to manage risks to infrastructure directly. This includes activities to support integrated intrusion detection, analytics, information sharing, intrusion prevention, diagnostics, and mitigation capabilities to protect Federal networks. This also includes support for capabilities to maintain essential government functions and operations, as well as support response and recovery efforts during a disaster. In addition, this PPA funds activities to secure high-risk chemical facilities and prevent the use of certain chemicals in a terrorist act. This PPA also funds support activities necessary to enable these efforts.

B. FY 2016 to FY 2017 Budget Change - Appropriation Level

Department of Homeland Security
National Protection and Programs Directorate (NPPD)
Operations and Support

FY 2016 to FY 2017 Budget Change
(Dollars in Thousands)

	Pos.	FTE	Amount
Adjustments-to-Base			
Transfers to and from other accounts:			
From IPIS / Business Operations to O&S / Infrastructure Analysis	1	1	$154
From IPIS / Business Operations to O&S / Management & Administration	27	26	$6,406
From IPIS / Business Operations to O&S / Infrastructure Capacity Building	2	2	$308
From IPIS / Business Operations to O&S / Protect Infrastructure	1	1	$154
From IPIS / CI Protection to O&S / Infrastructure Analysis	29	25	$8,856
From IPIS / CI Protection to O&S / Infrastructure Capacity Building	6	5	$866
From IPIS / CI Protection to O&S / Protect Infrastructure	6	4	$1,102
From IPIS / CICPA to O&S / Infrastructure Analysis	28	14	$48,036
From IPIS / CICPA to O&S / Management & Administration	3	2	$312
From IPIS / CICPA to O&S / Infrastructure Capacity Building	47	24	$25,881
From IPIS / Cybersecurity Coordination to O&S / Infrastructure Analysis	14	13	$4,434
From IPIS / Federal Network Security to O&S / Infrastructure Analysis	11	7	$2,980
From IPIS / Federal Network Security to O&S / Management & Administration	8	5	$1,107
From IPIS / Federal Network Security to O&S / Infrastructure Capacity Building	58	33	$29,309
From IPIS / Federal Network Security to O&S / Protect Infrastructure	30	28	$5,224
From IPIS / GCSM to O&S / Infrastructure Analysis	1	1	$124
From IPIS / GCSM to O&S / Management & Administration	1	1	$124
From IPIS / GCSM to O&S / Infrastructure Capacity Building	21	15	$24,175
From IPIS / GCSM to O&S / Protect Infrastructure	2	2	$249
From IPIS / IA&P to O&S / Infrastructure Analysis	100	64	$35,531
From IPIS / IA&P to O&S / Management & Administration	13	8	$4,048
From IPIS / IA&P to O&S / Infrastructure Capacity Building	38	34	$35,431
From IPIS / Infrastructure Security Compliance to O&S / Protect Infrastructure	293	238	$77,600
From IPIS / NGN to O&S / Infrastructure Analysis	8	7	$1,167
From IPIS / NGN to O&S / Protect Infrastructure	4	4	$667
From IPIS / NSD to O&S / Infrastructure Capacity Building	4	2	$15,851
From IPIS / NSD to O&S / Protect Infrastructure	165	106	$368,233
From IPIS / OEC to O&S / Management & Administration	8	8	$1,261
From IPIS / OEC to O&S / Infrastructure Capacity Building	63	54	$32,156
From IPIS / OEC to O&S / Protect Infrastructure	5	5	$788
From IPIS / Prog Study Enhance Telecom to O&S / Infrastructure Analysis	8	6	$7,340
From IPIS / Prog Study Enhance Telecom to O&S / Management & Administration	2	2	$331
From IPIS / Prog Study Enhance Telecom to O&S / Infrastructure Capacity Building	-	-	$2,102
From IPIS / Prog Study Enhance Telecom to O&S / Protect Infrastructure	4	3	$561
From IPIS / PTS to O&S / Infrastructure Analysis	5	5	$628
From IPIS / PTS to O&S / Management & Administration	16	12	$2,001
From IPIS / PTS to O&S / Infrastructure Capacity Building	24	22	$3,042
From IPIS / PTS to O&S / Protect Infrastructure	15	12	$57,424
From IPIS / Regional Field Operations to O&S / Infrastructure Analysis	30	25	$12,082
From IPIS / Regional Field Operations to O&S / Infrastructure Capacity Building	132	107	$37,069
From IPIS / SMG to O&S / Infrastructure Analysis	64	50	$23,119

	Pos.	FTE	Amount
From IPIS / SMG to O&S / Management & Administration	2	2	$7,712
From IPIS / SMG to O&S / Infrastructure Capacity Building	144	114	$36,728
From IPIS / US-CERT Operations to O&S / Infrastructure Analysis	206	140	$85,763
From IPIS / US-CERT Operations to O&S / Management & Administration	34	26	$4,748
From IPIS / US-CERT Operations to O&S / Infrastructure Capacity Building	28	21	$3,834
From IPIS / US-CERT Operations to O&S / Protect Infrastructure	1	1	$140
From M&A / Directorate Administration to O&S / Management & Administration	386	337	$62,132
From Office of Biometric Identity Management to O&S / Protect Infrastructure	177	168	$217,473
From O&S / Protect Infrastructure to Customs and Border Protection from OBIM	(177)	(168)	($217,473)
From O&S / M&A to Office of Biometric Identity Management	(19)	(18)	($2,523)
From O&S / ICB to CBRNE for Office of Bombing Prevention	(20)	(19)	($14,206)
From O&S / ICB to Office of Community Partnerships to Counter Violent Extremism	(3)	(3)	($541)
From O&S / Infrastructure Capacity Building for Cybersecurity Education	-	-	($1,500)
WCF Transfer	-	-	($6,878)
Total Transfers	**2,056**	**1,584**	**$1,053,642**
Increases			
2016 Salaries & Benefits Rightsizing	-	337	$33,833
2017 Pay Raise	-	-	$3,594
Annualization of 2016 Pay Raise	-	-	$863
Annualization of Prior Year Positions	-	33	$6,580
Increased FPS Fees	-	-	$38
Increased Retirement Contributions	-	-	$122
O&M Escalation for Major Acquisitions	-	-	$19,700
Total, Increases	**-**	**372**	**$64,730**
Decreases			
Attrition & Lapse Rate Savings	-	(11)	($4,184)
DHS Balanced Workforce Initiative	32	28	($28)
Efficiencies	-	-	($5,246)
MS-ISAC Adjustment	-	-	($500)
Position, Navigation, & Timing PMO	(1)	(1)	($160)
Total, Decreases	**31**	**16**	**($10,118)**
Total Other Adjustments	**31**	**388**	**$54,612**
Total Adjustments-to-Base	**2,087**	**1,972**	**$1,108,254**
FY 2017 Current Services	**2,087**	**1,972**	**$1,108,254**
Program Changes			
Increases			
Automated Information Sharing (AIS) Threat Dissemination	-	-	$8,253
Continuous Diagnostics and Mitigation (CDM)	24	12	$1,970
Cyber Design and Engineering Teams	9	5	$944
Cyber Security Advisors (CSAs)	14	8	$5,441
Cyber and Infrastructure Awareness and Reporting	-	-	$2,000
Emergency Support Function #2 - Communications Operations	-	-	$1,256
Federal Information Security Management Act (FISMA)	18	9	$6,214
Geospatial Analysis	3	2	$612
ICS-CERT Operator Training and Additional Assessments	-	-	$5,006
NCCIC Staffing Plan	111	64	$39,799
Production, Management, & Training	5	3	$590
Protective Security Advisors (PSAs)	17	9	$2,315
Technology Development and Deployment Program (TDDP)	1	1	$110
Total, Increases	**202**	**113**	**$74,510**
Decreases			
Ammonium Nitrate Security	-	-	($4,500)
Cybersecurity Assurance	-	-	($305)
Cybersecurity Education	-	-	($8,270)

	Pos.	FTE	Amount
Daily Open Source Infrastructure Report	-	-	($847)
High Risk Infrastructure Vulnerabilities	-	-	($5,657)
Infrastructure Protection (IP) Gateway	-	-	($4,219)
Network and Infrastructure Security - Trusted Internet Connection (TIC)	-	-	($225)
Software Assurance	-	-	($1,679)
Statewide Medical Emergency Communication	-	-	($2,000)
Technical Assistance Analytical Products	-	-	($1,060)
Three-Year Strategic Plan for Infrastructure Protection	-	-	($1,500)
Total, Decreases	**-**	**-**	**($30,262)**
Total Program Changes	**202**	**113**	**$44,248**
FY 2017 Request	**2,289**	**2,085**	**$1,152,502**
FY 2016 to FY 2017 Change	**2,289**	**2,085**	**$1,152,502**

C. FY 2017 Investment Summary - Appropriation Level

Department of Homeland Security
National Protection and Programs Directorate (NPPD)
Operations and Support
FY 2017 Investment Summary- Appropriation Level
(Dollars in Thousands)

Investment Name	Sub-PPA(s)	FY 2015 Revised Enacted	FY 2016 Enacted	FY 2017 Request
OBIM – IDENT	Biometric Identity Management	$169,372	$144,625	-
Continuous Diagnostics & Mitigation	Continuous Diagnostics & Mitigation	$2,108	$5,224	$7,830
National Cybersecurity Protection System	National Cybersecurity Protection System	$261,195	$368,776	$389,308
Next Generation Networks - Priority Services	Priority Telecommunications Services	$1,572	$1,834	$1,572
Total		**$434,247**	**$520,459**	**$398,710**

*FY 2015 and FY 2016 funding levels reflect the CAS structure and are for comparison purposes only.

Exhibit D. FY 2016 to FY 2017 Budget Change- PPA Level

Department of Homeland Security
National Protection and Programs Directorate
Operations and Support
Infrastructure Analysis
FY 2016 to FY 2017 Budget Change- PPA Level
(Dollars in Thousands)

Infrastructure Analysis		Positions	FTE	Amount
Current Services	2016 Salaries & Benefits Rightsizing	-	107	8,853
	2017 Pay Raise	-	-	868
	Annualization of Prior Year Positions	-	2	364
	Annualization of 2016 Pay Raise	-	-	193
	Annualization of Prior Year Positions	-	11	2,002
	Attrition & Lapse Rate Savings	-	(3)	(1,259)
	DHS Balanced Workforce Initiative	2	1	171
	Efficiencies	-	-	(3,800)
	From IPIS / Business Operations to O&S / Infrastructure Analysis	1	1	154
	From IPIS / CI Protection to O&S / Infrastructure Analysis	29	25	8,856
	From IPIS / CICPA to O&S / Infrastructure Analysis	28	14	48,036
	From IPIS / Cybersecurity Coordination to O&S / Infrastructure Analysis	14	13	4,434
	From IPIS / Federal Network Security to O&S / Infrastructure Analysis	11	7	2,980
	From IPIS / GCSM to O&S / Infrastructure Analysis	1	1	124
	From IPIS / IA&P to O&S / Infrastructure	100	64	35,531

Infrastructure Analysis		Positions	FTE	Amount
	Analysis			
	From IPIS / NGN to O&S / Infrastructure Analysis	8	7	1,167
	From IPIS / PTS to O&S / Infrastructure Analysis	5	5	628
	From IPIS / Prog Study Enhance Telecom to O&S / Infrastructure Analysis	8	6	7,340
	From IPIS / Regional Field Operations to O&S / Infrastructure Analysis	30	25	12,082
	From IPIS / SMG to O&S / Infrastructure Analysis	64	50	23,119
	From IPIS / US-CERT Operations to O&S / Infrastructure Analysis	206	140	85,763
	Increased FPS Fees	-	-	4
	Increased Retirement Contributions	-	-	29
	MS-ISAC Adjustment	-	-	(500)
	O&M Escalation for Major Acquisitions	-	-	198
	WCF Transfer	-	-	(1,268)
Program Changes	Automated Information Sharing (AIS) Threat Dissemination	-	-	8,253
	Cyber and Infrastructure Awareness and Reporting	-	-	2,000
	Emergency Support Function #2 - Communications Operations	-	-	1,256
	Geospatial Analysis	3	2	612
	High Risk Infrastructure Vulnerabilities	-	-	(5,657)
	ICS-CERT Operator Training and Additional Assessments	-	-	5,006
	Infrastructure Protection (IP) Gateway	-	-	(4,219)
	NCCIC Staffing Plan	111	64	39,799
	Production, Management, & Training	5	3	590
Budget Year	**FY 2017 Request**	**626**	**545**	**283,709**
	Total Change from FY 2016 to FY 2017	**626**	**545**	**283,709**

PPA DESCRIPTION:

NPPD requests $283.709 million and 626 FTP/545 FTE for the Infrastructure Analysis PPA under the Operations & Support appropriation.

The Infrastructure Analysis PPA funds efforts to ensure the security and resilience of critical infrastructure by assisting security partners with identifying and mitigating vulnerabilities assessing the impact of risk management efforts. The activities funded through this PPA also ensure decision-makers have a full understanding of potential impacts from all hazards through comprehensive consequence analysis during both steady-state and crisis action. In addition, the PPA funds activities to provide a situational awareness capability that includes integrated, actionable information about emerging trends, imminent threats, and the status of incidents that may impact critical infrastructure. This PPA also funds support activities necessary to enable these efforts.

The Infrastructure Analysis PPA supports six budget projects – Critical Infrastructure Technology & Architecture, Cyber & Infrastructure Analysis, Cyber Integration & Coordination, Cyber Readiness & Response, Emergency Communications Preparedness, and Cyber & Infrastructure Awareness & Reporting.

In FY 2017, NPPD will continue to improve the delivery of services in the field by integrating physical and cyber threat mitigation actions, providing unity of effort for stakeholders, and eliminating redundancy of business support to allow for increased depth and breadth of services for customers. NPPD will implement an integrated approach for field operations, including day-to-day coordination of the various programs available to stakeholders nationwide operating in the field as well as incident response. This approach will include co-location of NPPD component field staff and mission support staff, coordination with FPS operations; and devolving outreach, exercises, analysis and training, currently performed at headquarters, to regional offices.

National Cybersecurity and Communications Integration Center (NCCIC) Funding
The total budget for the NCCIC is $212.602 million in FY 2017. The amount is spread amongst the following PPAs: $211.285 million in Infrastructure Analysis, $0.980 million in Infrastructure Capacity Building, and $0.337 million in Management and Administration.

Department of Homeland Security
National Protection and Programs Directorate (NPPD)
Infrastructure Analysis
Justification of Program Changes
(Dollars in Thousands)

Program Change: **Infrastructure Analysis**
PPA: Infrastructure Analysis
Program Change: FTP 119, FTE 69, Dollars $47,640

Funding Profile

	FY 2015 Revised Enacted			FY 2016 Enacted			FY 2017 Request		
	FTP	FTE	Dollars ($000)	FTP	FTE	Dollars ($000)	FTP	FTE	Dollars ($000)
Current Services: Infrastructure Analysis - Critical Infrastructure Technology and Architecture							64	60	$24,108
Current Services: Infrastructure Analysis - Cyber & Infrastructure Analysis							100	95	$37,167
Current Services: Infrastructure Analysis - Cyber Integration & Coordination							107	97	$25,965
Current Services: Infrastructure Analysis - Cyber Readiness & Response							188	179	$131,365
Current Services: Infrastructure Analysis - Emergency Communications Preparedness							18	16	$4,740
Current Services: Infrastructure Analysis - Cyber and Infrastructure Awareness and Reporting							30	29	$12,724
Subtotal, Current Services							**507**	**476**	**$236,069**
Program Increase: Geospatial Analysis - Cyber & Infrastructure Analysis							3	2	$612
Program Increase: Production, Management, & Training - Cyber &							5	3	$590

	FY 2015 Revised Enacted			FY 2016 Enacted			FY 2017 Request		
	FTP	FTE	Dollars ($000)	FTP	FTE	Dollars ($000)	FTP	FTE	Dollars ($000)
Infrastructure Analysis									
Program Increase: NCCIC Staffing Plan - Cyber Integration & Coordination							7	4	$2,902
Program Increase: Automated Information Sharing (AIS) Threat Dissemination - Cyber Readiness & Response							-	-	$8,253
Program Increase: Emergency Support Function #2 - Communications Operations - Cyber Readiness & Response							-	-	$1,256
Program Increase: ICS-CERT Operator Training and Additional Assessments - Cyber Readiness & Response							-	-	$5,006
Program Increase: NCCIC Staffing Plan - Cyber Readiness & Response							104	60	$36,897
Program Increase: Cyber and Infrastructure Awareness and Reporting - Cyber and Infrastructure Awareness and Reporting							-	-	$2,000
Subtotal, Program Increases							**119**	**69**	**$57,516**
Program Decrease: Infrastructure Protection (IP) Gateway - Critical Infrastructure Technology and Architecture							-	-	($4,219)
Program Decrease: High Risk Infrastructure Vulnerabilities - Cyber & Infrastructure Analysis							-	-	($5,657)
Subtotal, Program Decreases							**-**	**-**	**($9,876)**
Total Request							**626**	**545**	**$283,709**

Summary of Infrastructure Analysis Program Changes

NPPD requests the following program increases:

1. NCCIC Staffing Plan – $39.799 million, 111 FTP/64 FTE
2. Automated Information Sharing (AIS) Threat Dissemination – $8.253 million
3. Industrial Control System-Cyber Emergency Response Team (ICS-CERT) Operator Training and Additional Assessments – $5.006 million
4. Cyber and Infrastructure Awareness and Reporting – $2.000 million
5. Emergency Support Function #2 – Communications Operations – $1.256 million
6. Geospatial Analysis – $0.612 million, 3 FTP/2 FTE
7. Production, Management, & Training – $0.590 million, 5 FTP/3 FTE

NPPD requests the following program decreases:

1. High Risk Infrastructure Vulnerabilities – $5.657 million
2. Infrastructure Protection (IP) Gateway – $4.219 million

Program Increase #1: NCCIC Staffing Plan

NPPD requests an increase of $39.799 million and 111 FTP/64 FTE to implement the first phase of the National Cybersecurity and Communications Integration Center (NCCIC) Staffing Plan. NPPD performed a thorough review of workload-based staffing requirements proportionate to increased analytic demands for FY 2017-2021. The product of that review was the NCCIC Staffing Plan, delivered to Congress in 2015.

The NCCIC Staffing Plan identifies workload-based staffing requirements proportionate to increased analytic demands for NCCIC products and services in FYs 2017-2021. The resource plan details the functional layout of the NCCIC, provides the current state of the NCCIC staff, and describes the desired future state for each NCCIC branch, including the resources required to reach that state. This funding is vital for the NCCIC to effectively support Federal agencies and private sector companies in identifying, responding to, and recovering from cybersecurity incidents; planning and conducting cybersecurity exercises for the NCCIC and its stakeholders; and providing 24x7 operational watch floor support to the National Coordinating Center for Communications (NCC).

During a significant cybersecurity incident or proximate to a known significant risk, FY 2017 funding will support specialized NCCIC teams. These teams will apply capabilities from across the NCCIC, such as “red team” penetration testing and “hunting” for adversary

activity, to address the victim's specific risk management requirements. DHS will lead the teams in consultation with the National Security Council, the Office of Management and Budget (OMB) and appropriate partner agencies.

This funding request also supports the National Cybersecurity Assessment and Technical Services (NCATS), as recent events highlight that DHS needs more capacity to proactively assess agency risks. Agency assessments ensure that all large and high-risk agencies can be compared using a standard approach. This proactive assessment and search for malicious cyber activity on Federal networks will allow DHS to be more aggressive in discovering network vulnerabilities and potential malicious activity, such as the OPM data breach.

The NCCIC staffing plan additionally supports the Department's cyber incident response capabilities provided by the US Computer Emergency Readiness Team (US-CERT) and Industrial Control Systems Cyber Emergency Response Team (ICS-CERT). US-CERT and ICS-CERT assist government agencies and private sector companies' in protecting their IT systems and control systems, respectively, against emerging cyber threats, vulnerabilities, and/or incidents. Both US-CERT and ICS-CERT share timely and actionable cybersecurity information with Federal, state, local, tribal, and territorial (SLTT) agencies and organizations; the Intelligence Community; private sector constituents; and international partners. US-CERT and ICS-CERT also conduct vulnerability and malware analysis and support forensic investigations.

In FY 2017, US-CERT will be divided into two constituent elements: (1) US-CERT/Incident Response (IR) and (2) US-CERT/Detection and Analysis (D&A). (These organizations may be given different names, but will remain under the overarching "US-CERT" brand).

US-CERT/IR will provide assistance to Federal agencies, SLTT governments and private sector partners that have been victimized by a significant cybersecurity compromise, or where there is evidence to suggest that a specific adversary may have accessed or maintained a presence on the victim's network. While these two situations are allied, they involve a different capability from US-CERT/IR. In the former case, where a victim has experienced a known compromise, US-CERT/IR will conduct on-site or remote activities to diagnose the extent of the compromise, remove the adversary from the network, and help the victim restore itself to a more secure state. In the latter case, US-CERT/IR will "hunt" across an organization's network to determine whether a compromise has in fact occurred.

US-CERT/D&A, conversely, will engage in three principal activities. First, US-CERT/D&A will analyze data from the EINSTEIN intrusion detection and prevention system in order to identify potential compromises of Federal networks and alert impacted agencies. Second, US-CERT/D&A will develop and disseminate cybersecurity threat, vulnerability, and mitigation information to government and private sector partners via both automated and manual means. Finally, US-CERT/D&A will use data from government and private

sector partners to develop indicators of malicious activity that are used in the EINSTEIN system to detect potential compromises, sharing with government and private sector partners to support their own cybersecurity.

Justification:
The NCCIC serves as the U.S. Government's civilian hub for cybersecurity incident response, coordination, information, and analysis. The NCCIC operates at the intersection of government, private sector, and international network defense and communications communities, applying unique analytic perspectives, ensuring shared situational awareness, and orchestrating synchronized response, mitigation, and recovery efforts while protecting the Constitutional and privacy rights of Americans in both the cybersecurity and communications domains. Funding for the NCCIC Staffing Plan allows NPPD to onboard Federal & contractor personnel to support the growth in demand for NCCIC services, including 24x7x365 operational staffing at both Glebe and Pensacola locations and funds to maintain readiness to execute national security/emergency preparedness activities.

Additional resources to build Federal civilian cybersecurity teams under the leadership of DHS will support the NCCIC's long-term efforts to support Federal agencies in managing cybersecurity events or incidents to limit damage, increase the confidence of external stakeholders, and drives timely recovery. The NCCIC red team penetration testing and cyber hunt capabilities will support the proactive identification of vulnerabilities and threats in agency networks. Through the NCCIC Staffing Plan, the bifurcation of US-CERT, and the proposed NCCIC teaming construct, agencies will default to using DHS or a DHS-provided surge capacity for incident response, red team penetration testing, cyber hunt and the additional proactive agency assessments to search for malicious cyber activity on Federal networks to discover network vulnerabilities and potential malicious activity.

Impact on Performance:
FY 2017 funding will allow NPPD to expand the capacity of the NCCIC 24x7 watch floor from three shifts to five shifts, ensuring operational readiness and allowing all personnel to gain additional skills and training opportunities. The NCCIC will also be able to expand the size and capabilities of its red team penetration testing and cyber hunt teams to help Federal agencies identify and mitigate risks to their critical systems and provide assessments for critical infrastructure companies and State, local, tribal, and territorial governments. Furthermore, the NCCIC currently has the capacity to simultaneously respond to two incidents in steady state and four incidents if surging, as the NCCIC is currently experiencing. FY 2017 funding will allow the NCCIC to simultaneously respond to eight incidents in steady state and 12 incidents when surge capacity is required.

Program Increase #2: Automated Indicator Sharing (AIS) Threat Dissemination

NPPD requests an increase of $8.253 million to fulfill timely sharing requirements of the Cybersecurity Act of 2015 which states the NCCIC shall perform "the timely sharing of classified cyber threat indicators and defensive measures to "to prevent or mitigate adverse effects from such cybersecurity threats". The AIS initiative addresses policy issues enabling the automated receipt, filtering, analysis, and dissemination of cyber threat indicators, in addition to the technology pieces (STIX, TAXII, cloud environment and any other commercial components required to sanitize or redact indicators with personally identifiable information or Protected Critical Infrastructure Information (PCII)).

Justification:
The AIS initiative will include participants across the private sector (including Information Sharing and Analysis Organizations), Federal Departments and agencies, State, local, tribal and territorial partners and international entities. Under the Cybersecurity Act of 2015, AIS will be required to include cyber threat data elements and defensive measures. In order to meet the requirements of the Cybersecurity Act of 2015 and the National Cybersecurity Protection Act of 2014, the NCCIC will use the requested resources to:

- Operate the TAXII cyber threat indicator gateway, with a focus on the NCPS receipt and dissemination infrastructure, internal data management infrastructure, and capabilities to transmit information. This will include the ability to tag information and limit access to it by some customers (e.g. by masking, locking, or other means).
- Conduct exercises with private sector companies and partner agencies to encourage sharing and highlight the benefits it can provide. These will raise awareness, recruit information sharing participants, and institutionalize cooperation between DHS, FBI, and the NSA.
- Support privacy and civil rights/civil liberties compliance oversight.
- Support ongoing policy work as this effort expands.

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Impact on Performance:
The requested resources will provide technical subject matter experts to support the AIS initiative for "near-real-time" cyber threat indicator sharing capability. Operational maintenance of the cyber threat indicator gateway includes receipt and dissemination infrastructure, internal data management infrastructure, and capabilities to transmit information. The TAXII server has an annual maintenance cost for the cloud environment. Without these funds, the TAXII server infrastructure would be at risk of not being fully maintained or scaled out to include the increased participation called for in the Cybersecurity Act of 2015. In addition, DHS cannot conduct cyber threat sharing specific exercises to ensure broad participation across the private sector and with other critical federal departments and agencies.

Program Increase #3: ICS-CERT Operator Training and Additional Assessments

NPPD requests an increase of $5.006 million to provide advanced operator training and additional assessments for the ICS-CERT. ICS-CERT works to protect and secure control systems essential to the Nation's overarching security and economy. ICS-CERT's relationships, risk mitigation and analysis techniques, and ability to keep pace with the compounding number of exploited vulnerabilities enable our Nation's industrial control systems and stakeholders to operate under reduce cyber risk and prepare for future vulnerabilities. The total base for the ICS-CERT program is $34.772 million and 24 FTP/20 FTE. This request will increase the program to $39.778 million.

Justification:
NPPD is responsible for leading the Federal Government's efforts to improve the technical cybersecurity expertise and capabilities of partners tasked with preventing, mitigating, and responding to threats against the Nation's industrial control systems. The request will provide additional onsite assessments including Design Architecture Review, Network Architecture Verification & Validation, and SAFEGUARD. Funding will allow workforce development initiatives to provide additional advanced training courses to improve technical cybersecurity expertise and capabilities of partners.

Impact on Performance:
The additional resources will provide the ability to conduct up to 120 additional assessments on top of the 75 currently planned by using contractor support. This will enable additional on-site assessment activity. The additional resources will also support an increased number of in-depth architectural reviews, which are being requested at an increasing pace by critical infrastructure stakeholders.

NPPD has seen a 75% increase in control systems incident response reporting requiring action. NPPD receives more requests for control systems-related on-site support from critical infrastructure than it can respond to with existing resources. In order to prioritize these resource intense activities, NPPD uses a risk based approach to applying response resources leveraging the ICS-CERT Incident Scoring System (IISS).

Program Increase #4: Cyber and Infrastructure Awareness and Reporting

NPPD requests an increase of $2.000 million to provide enhanced, integrated, actionable information about emerging trends, imminent threats, and the status of incidents that may impact critical infrastructure. The base for this effort is $12.724 million and 30 FTP/29 FTE. The request will increase the program to $14.724 million.

Justification:
The request will provide funding for the development and implementation of a data strategy to identify new authoritative data sources to enhance the situational awareness capability for NPPD.

Impact on Performance:
The additional resources will enable automating data inputs with a focus on lifeline critical sectors by enhancing processes, policies, and technology. Specifically, the additional resources will be used to build data bridges, create dashboards, and program automatic report generation to monitor for abnormalities that may indicate emerging threats and hazards. NPPD will rapidly, effectively, and efficiently identify anomalies or impacts to infrastructure across the Nation, vet that information for accuracy, and more immediately provide that situational awareness to critical infrastructure stakeholders.

Program Increase #5: Emergency Support Function #2 – Communications Operations

NPPD requests an increase of $1.256 million to provide enhanced, integrated, actionable information about emerging trends, imminent threats, and the status of incidents that may impact critical infrastructure.

Justification:
The request will enable NPPD to sustain critical operational capabilities and fully support emergency communications response and recovery efforts under ESF #2 – Communications of the National Response Framework.

Impact on Performance:
The additional resources will allow NPPD to provide essential operational capabilities required to sustain its performance of the ESF #2 national coordinator role. The additional resources will restore contractor support to previous senior level of expertise as subject matter experts and technical analysts, provide training and exercise events, upgrade local area network equipment, and enhance interagency coordination and information sharing.

Program Increase #6: Geospatial Analysis

NPPD requests an increase of $0.612 million and 3 FTP/2 FTE to provide enhanced, integrated, actionable information about emerging trends, imminent threats, and the status of incidents that may impact critical infrastructure.

Justification:
The request will match the heightened demand for geospatial analytics. The additional tools will meet the forecasted demand for requested services.

Impact on Performance:
The additional resources will ensure that operational geospatial information products are timely and actionable, including crisis action and daily reporting in support of analytical products. These additional resources will allow NPPD to meet the forecasted demand for services/capabilities. The increased geospatial services, visualization, and technology development will advance analysis capabilities and provide decision support and analysis tools directly to NPPD, the critical infrastructure community, and the homeland security enterprise.

Program Increase #7: Production, Management, & Training

NPPD requests an increase of $0.590 million and 5 FTP/3 FTE to provide enhanced, integrated, actionable information about emerging trends, imminent threats, and the status of incidents that may impact critical infrastructure.

Justification:
The request will enable a more in-depth review of the growing number of products. It will accommodate increased requests for visualizations from mobile users, and development and delivery of mission-related training.

Impact on Performance:
The additional resources will allow for an in-house review, design, and training development capability. NPPD will support 300 total products, including the creation of 225 Strategic and Tactical Products. Additionally, 30 training events will be developed and added to the existing curriculum.

Program Decrease #1: High Risk Infrastructure Vulnerabilities

NPPD requests a decrease of $5.657 million for High Risk Infrastructure Vulnerabilities. The base for this effort is $18.650 million. The request will reduce funding to $12.993 million.

Justification:
FY 2016 enactment included an additional $5.657 million above the FY 2016 President's Budget to expand the modeling, simulation, and analysis provided by the National Infrastructure Simulation and Analysis Center (NISAC). This decrease is the amount of funding provided above the FY 2016 President's Budget.

Impact on Performance:
NPPD will non-recur the one-time increase in funding for High Risk Infrastructure Vulnerabilities provided in FY 2016.

Program Decrease #2: Infrastructure Protection (IP) Gateway

NPPD requests a decrease of $4.219 million for IP Gateway.

Justification:
FY 2016 enactment included an additional $4.219 million above the FY 2016 President's Budget to expedite development of the IP Gateway, which provides critical infrastructure information through a comprehensive database. This decrease is the amount of funding provided above the FY 2016 President's Budget.

Impact on Performance:
Operational costs for database elements developed in FY 2016 and the continuation of development activities from FY 2016 will be absorbed within base funding levels in FY 2017.

Department of Homeland Security
National Protection and Programs Directorate
Operations and Support
Infrastructure Analysis

Cost Drivers (Pay & Benefits) - PPA Level (Excludes Reimbursables) ($000s)

	FY 2015 Revised Enacted				FY 2016 Enacted				FY 2017 Request				FY 2016 – 2017 Change			
	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost
Civilian Total	451	327	$53,452	$162	505	358	$63,196	$176	626	545	$82,076	$150	121	187	$18,880	($26)
Military Total	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-

*FY 2015 and FY 2016 funding levels reflect the CAS structure and are for comparison purposes only.

NARRATIVE EXPLANATION OF CHANGES – PAY COST DRIVERS:

- **FTE Change FY 2016-2017:** Changes to FTE include Annualization of Prior Year Positions (+13), 2016 Rightsizing (+107), DHS Balanced Workforce Initiative (+1), Attrition and Lapse Rate Savings (-3), and Program Changes (+69).
- **PCB Change FY 2016-2017:** A 1.6% inflation factor was applied to 2017 salaries and benefits costs. This figure includes all salaries and benefits, career ladder promotions, within grade increases, awards, and overtime for 626 FTP/545 FTE.
- FY 2017 request estimates $0.479 million for GS awards and $0.015 million for SES awards. This request remains in line with OPM Awards Guidance on Spending Limitations.

Cost Drivers (Non-Pay) - PPA Level ($000s)

Infrastructure Analysis	2015 Revised Enacted	2016 Enacted	2017 Request	FY 2016 to FY 2017 Change
FY 2017 Non- Pay Cost Drivers (greatest-least)				
US-CERT	$63,987	$61,909	$85,058	$23,149
ICS-CERT	$31,716	$31,784	$44,449	$12,665
NISAC	$14,584	$18,650	$12,993	($5,657)
Cyber Exercises	$4,059	$4,043	$6,517	$2,474
Operations & Integration	$5,715	$5,712	$5,579	($133)
Total	**$120,061**	**$122,098**	**$154,596**	**$32,498**

*FY 2015 and FY 2016 funding levels reflect the CAS structure and are for comparison purposes only.

NARRATIVE EXPLANATION OF CHANGES – NON-PAY COST DRIVERS:

- **US-CERT:** includes NCATS technical support and NCCIC 24x7 operational and technical support, including Service Desk; engineering expertise, incident management, deployment and analysis and Service Desk support. FY 2017 request supports US-CERT network and EINSTEIN analytics and specialized NCCIC team capabilities, including NCCIC penetration testing, enhanced incident response capabilities, and cyber hunt teams; a FY 2017 reduction to US-CERT operations due to efficiencies gained.

- **ICS-CERT:** includes vulnerability analysis; watch floor operation; incident response coordination and implementation; and cyber analytic tools development and deployment. FY 2017 request includes support for additional onsite assessments and responses; increased malware analysis capacity; additional tool development and advanced training opportunities; and watch operations support.

- **NISAC**: NISAC is a modeling, simulation, and analysis program providing strategic, multidisciplinary analyses of interdependencies and the consequences of infrastructure disruptions across all 16 critical infrastructure sectors at national, regional, and local levels. NISAC experts have developed and are employing tools to address the complexities of interdependent national infrastructure, including process-based systems dynamics models, mathematical network optimization

models, physics-based models of existing infrastructure, and high-fidelity agent-based simulations of systems. Decrease reflects completion of High Risk Infrastructure Vulnerabilities effort.

- **Cyber Exercises:** includes subject matter expertise and support services associated with planning and conducting cyber security exercises for the NCCIC and its stakeholders. FY 2017 request includes program increase for enhanced Cyber Exercises support services.

- **Operations and Integrations:** includes National Coordinating Center planning and operational support and NCCIC 24x7 operational support.

Department of Homeland Security
National Protection and Programs Directorate
Operations and Support
Management and Administration
FY 2016 to FY 2017 Budget Change- PPA Level
(Dollars in Thousands)

Management and Administration		Positions	FTE	Amount
Current Services	2016 Salaries & Benefits Rightsizing	-	47	3,036
	2017 Pay Raise	-	-	837
	Annualization of 2016 Pay Raise	-	-	228
	Attrition & Lapse Rate Savings	-	(2)	(599)
	DHS Balanced Workforce Initiative	1	1	171
	Efficiencies	-	-	(1,169)
	From IPIS / Business Operations to O&S / Management & Administration	27	26	6,406
	From IPIS / CICPA to O&S / Management & Administration	3	2	312
	From IPIS / Federal Network Security to O&S / Management & Administration	8	5	1,107
	From IPIS / GCSM to O&S / Management & Administration	1	1	124
	From IPIS / IA&P to O&S / Management & Administration	13	8	4,048
	From IPIS / OEC to O&S / Management & Administration	8	8	1,261
	From IPIS / PTS to O&S / Management & Administration	16	12	2,001
	From IPIS / Prog Study Enhance Telecom to O&S / Management & Administration	2	2	331
	From IPIS / SMG to O&S / Management & Administration	2	2	7,712
	From IPIS / US-CERT Operations to O&S / Management & Administration	34	26	4,748
	From M&A / Directorate Administration to O&S / Management & Administration	386	337	62,132

Management and Administration		Positions	FTE	Amount
	From O&S / M&A to Office of Biometric Identity Management	(19)	(18)	(2,523)
	Increased FPS Fees	-	-	18
	Increased Retirement Contributions	-	-	28
	WCF Transfer	-	-	(167)
Budget Year	**FY 2017 Request**	**482**	**457**	**90,042**
	Total Change from FY 2016 to FY 2017	**482**	**457**	**90,042**

Note: This PPA includes $61.878 million in non-defense funding for FY 2017.

PPA DESCRIPTION:

NPPD requests $90.042 million and 482 FTP/457 FTE for the Management & Administration PPA under the Operations & Support appropriation.

The Management & Administration (M&A) PPA funds Directorate leadership and management, coordination of Directorate activities with DHS Headquarters and Components, program oversight, and mission support services. NPPD M&A provides funding to lead and coordinate Directorate activities as part of protecting the Nation's critical infrastructure, reducing physical and cyber risks to infrastructure. This includes business support services necessary to ensure that programs operate effectively by maintaining necessary oversight responsibility to direct program policy and strategy, prioritize and determine resource allocation across programs, and oversee effective program execution. This PPA also funds support activities necessary to enable these efforts.

The M&A PPA supports one budget project – Mission Support.

In FY 2017, NPPD will continue to improve the delivery of services in the field by integrating physical and cyber threat mitigation actions, providing unity of effort for stakeholders, and eliminating redundancy of business support to allow for increased depth and breadth of services for customers. NPPD will implement an integrated approach for field operations, including day-to-day coordination of the various programs available to stakeholders nationwide operating in the field as well as incident response. This approach will include co-location of NPPD component field staff and mission support staff, coordination with FPS operations; and devolving outreach, exercises, analysis and training, currently performed at headquarters, to regional offices.

NCCIC Funding

The total budget for the NCCIC is $212.602 million in FY 2017. The amount is spread amongst the following PPAs: $211.285 million in Infrastructure Analysis, $0.980 million in Infrastructure Capacity Building, and $0.337 million in Management and Administration.

Department of Homeland Security
National Protection and Programs Directorate
Operations and Support
Management and Administration

Cost Drivers (Pay & Benefits) - PPA Level (Excludes Reimbursables) ($000s)

	FY 2015 Revised Enacted				FY 2016 Enacted				FY 2017 Request				FY 2016 – 2017 Change			
	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost
Civilian Total	486	371	$65,119	$175	500	429	$64,992	$150	482	457	$70,511	$153	(18)	28	$5,519	$3
Military Total	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-

*FY 2015 and FY 2016 funding levels reflect the CAS structure and are for comparison purposes only.

NARRATIVE EXPLANATION OF CHANGES – PAY COST DRIVERS:

- **FTE Change FY 2016-2017:** Changes to FTE include Transfers Out (-18), 2016 Rightsizing (+47), DHS Balanced Workforce Initiative (+1),

  and Attrition and Lapse Rate Savings (-2).
- **PCB Change FY 2016-2017:** A 1.6% inflation factor was applied to 2017 salaries and benefits costs. This figure includes all salaries and

  benefits, career ladder promotions, within grade increases, awards, and overtime for 482 FTP/457 FTE.
- FY 2017 request estimates $0.493 million for GS awards and $0.076 million for SES awards. This request remains in line with OPM

  Awards Guidance on Spending Limitations.

Department of Homeland Security
National Protection and Programs Directorate (NPPD)
Management and Administration
Cost Drivers (Non-Pay) - PPA Level ($000s)

Management and Administration	2015 Revised Enacted	2016 Enacted	2017 Request	FY 2016 to FY 2017 Change
FY 2017 Non- Pay Cost Drivers (greatest-least)				
Mission Support Services	$8,382	$8,466	$8,551	$85
Working Capital Fund/GSA Rent	$8,160	$7,921	$7,754	($167)
Employee Travel, Training, and Supplies	$841	$841	$841	-
Total	**$17,383**	**$17,228**	**$17,146**	**($82)**

*FY 2015 and FY 2016 funding levels reflect the CAS structure and are for comparison purposes only.

NARRATIVE EXPLANATION OF CHANGES – NON-PAY COST DRIVERS:

- **Mission Support Services:** Funding supports the management and oversight of NPPD's programs. FY 2017 funding assumes the continuation of FY 2016 requirements, with inflation.

- **Working Capital Fund/GSA Rent:** A managed account from which a full range of oversight support services such as GSA rent, finance, accounting, information technology, human capital, and personnel administration are provided to all DHS components. The FY 2017 Request includes a transfer of funding to DHS headquarters for centrally managed activities.

- **Employees Travel, Training, and Supplies:** Includes funding for NPPD leadership and employee travel, professional development and training, and office supplies needed to complete professional duties and responsibilities. Costs are assumed to stay constant through FY 2017.

Department of Homeland Security
National Protection and Programs Directorate
Operations and Support
Infrastructure Capacity Building
FY 2016 to FY 2017 Budget Change- PPA Level
(Dollars in Thousands)

Infrastructure Capacity Building		Positions	FTE	Amount
Current Services	2016 Salaries & Benefits Rightsizing	-	95	10,696
	2017 Pay Raise	-	-	965
	Annualization of 2016 Pay Raise	-	-	239
	Annualization of Prior Year Positions	-	13	2,576
	Attrition & Lapse Rate Savings	-	(2)	(608)
	DHS Balanced Workforce Initiative	9	7	30
	Efficiencies	-	-	(255)
	From IPIS / Business Operations to O&S / Infrastructure Capacity Building	2	2	308
	From IPIS / CI Protection to O&S / Infrastructure Capacity Building	6	5	866
	From IPIS / CICPA to O&S / Infrastructure Capacity Building	47	24	25,881
	From IPIS / Federal Network Security to O&S / Infrastructure Capacity Building	58	33	29,309
	From IPIS / GCSM to O&S / Infrastructure Capacity Building	21	15	24,175
	From IPIS / IA&P to O&S / Infrastructure Capacity Building	38	34	35,431
	From IPIS / NSD to O&S / Infrastructure Capacity Building	4	2	15,851
	From IPIS / OEC to O&S / Infrastructure Capacity Building	63	54	32,156
	From IPIS / PTS to O&S / Infrastructure Capacity Building	24	22	3,042
	From IPIS / Prog Study Enhance Telecom to O&S / Infrastructure Capacity Building	-	-	2,102
	From IPIS / Regional Field Operations to O&S / Infrastructure Capacity Building	132	107	37,069

Infrastructure Capacity Building		Positions	FTE	Amount
	From IPIS / SMG to O&S / Infrastructure Capacity Building	144	114	36,728
	From IPIS / US-CERT Operations to O&S / Infrastructure Capacity Building	28	21	3,834
	From O&S / ICB to CBRNE for Office of Bombing Prevention	(20)	(19)	(14,206)
	From O&S / ICB to Office of Community Partnerships to Counter Violent Extremism	(3)	(3)	(541)
	From O&S / Infrastructure Capacity Building for Cybersecurity Education	-	-	(1,500)
	Increased FPS Fees	-	-	1
	Increased Retirement Contributions	-	-	34
	O&M Escalation for Major Acquisitions	-	-	42
	Position, Navigation, & Timing PMO	(1)	(1)	(160)
	WCF Transfer	-	-	(1,315)
Program Changes	Cyber Design and Engineering Teams	9	5	944
	Cyber Security Advisors (CSAs)	14	8	5,441
	Cybersecurity Assurance	-	-	(305)
	Cybersecurity Education	-	-	(8,270)
	Daily Open Source Infrastructure Report	-	-	(847)
	Federal Information Security Management Act (FISMA)	18	9	6,214
	Network and Infrastructure Security - Trusted Internet Connection (TIC)	-	-	(225)
	Protective Security Advisors (PSAs)	17	9	2,315
	Software Assurance	-	-	(1,679)
	Statewide Medical Emergency Communication	-	-	(2,000)
	Technical Assistance Analytical Products	-	-	(1,060)
	Technology Development and Deployment Program (TDDP)	1	1	110
	Three-Year Strategic Plan for Infrastructure Protection (IP)	-	-	(1,500)
Budget Year	**FY 2017 Request**	**611**	**555**	**241,888**
	Total Change from FY 2016 to FY 2017	**611**	**555**	**241,888**

PPA DESCRIPTION:

NPPD requests $241.888 million and 611 FTP/555 FTE for the Infrastructure Capacity Building PPA under the Operations & Support appropriation.

The Infrastructure Capacity Building PPA funds increasing the security and resilience of facilities, systems, networks, and surrounding communities to cyber, physical, and human risks through partnership efforts. To this end, NPPD provides analysis, expertise, and other technical assistance to critical infrastructure owners and operators and facilitate access to and exchange of information and intelligence necessary to strengthen the security and resilience of critical infrastructure, including a nationwide framework to guide infrastructure security and resilience efforts. NPPD also enables Federal Departments and Agencies to address cybersecurity challenges; partnering with the private sector, military, and intelligence communities to mitigate vulnerabilities and threats to information technology assets; and facilitating collaboration and partnerships on cyber issues with public and private sector partners. In addition, NPPD provides training, coordination, tools, and guidance to help its Federal, state, local, tribal, territorial and industry partners develop their emergency communications capabilities. In FY 2017, NPPD will continue to improve the delivery of services in the field by integrating physical and cyber threat mitigation actions, providing unity of effort for stakeholders, and eliminating redundancy of business support to allow for increased depth and breadth of services for customers. NPPD will implement an integrated approach for field operations, including day-to-day coordination of the various programs available to stakeholders nationwide operating in the field as well as incident response. This approach will include co-location of NPPD component field staff and mission support staff, coordination with FPS operations; and devolving outreach, exercises, analysis and training, currently performed at headquarters, to regional offices. This PPA also funds support activities necessary to enable these efforts.

The Infrastructure Capacity Building PPA supports 12 budget projects, as outlined below – Critical Infrastructure Partnerships, Critical Cyber Infrastructure Resilience, Emergency Communications Preparedness, Enhanced Cybersecurity Services, Federal Network Resilience, National Infrastructure Protection Plan Management, Priority Telecommunications Services, Protective Security Advisors, Sector Specific Agency Management, Stakeholder Engagement & Requirements, Cybersecurity Education, Outreach & Awareness, and Vulnerability Assessments.

NCCIC Funding

The total budget for the NCCIC is $212.602 million in FY 2017. The amount is spread amongst the following PPAs: $211.285 million in Infrastructure Analysis, $0.980 million in Infrastructure Capacity Building, and $0.337 million in Management and Administration.

Department of Homeland Security
National Protection and Programs Directorate
Operations and Support
Infrastructure Capacity Building
Justification of Program Changes
(Dollars in Thousands)

Program Change: Infrastructure Capacity Building
PPA: Infrastructure Capacity Building
Program Change: FTP 59, FTE 32, Dollars $(0.462)

Funding Profile

	FY 2015 Revised Enacted			FY 2016 Enacted			FY 2017 Request		
	FTP	FTE	Dollars ($000)	FTP	FTE	Dollars ($000)	FTP	FTE	Dollars ($000)
Current Services: Infrastructure Capacity Building - Critical Infrastructure Partnerships							11	10	$10,092
Current Services: Infrastructure Capacity Building - Critical Cyber Infrastructure Resilience							34	32	$22,661
Current Services: Infrastructure Capacity Building - Emergency Communications Preparedness							75	72	$36,727
Current Services: Infrastructure Capacity Building - Enhanced Cybersecurity Services							19	18	$19,286
Current Services: Infrastructure Capacity Building - Federal Network Resilience							58	54	$31,096
Current Services: Infrastructure Capacity Building - National Infrastructure Protection Plan Management							27	23	$11,850
Current Services: Infrastructure Capacity Building - Protective Security Advisors							121	115	$29,975
Current Services: Infrastructure							122	116	$25,850

	FY 2015 Revised Enacted			FY 2016 Enacted			FY 2017 Request		
	FTP	FTE	Dollars ($000)	FTP	FTE	Dollars ($000)	FTP	FTE	Dollars ($000)
Capacity Building - Sector Specific Agency Management									
Current Services: Infrastructure Capacity Building - Stakeholder Engagement & Requirements							57	57	$18,106
Current Services: Infrastructure Capacity Building - Cybersecurity Education, Outreach, and Awareness							10	9	$16,290
Current Services: Infrastructure Capacity Building - Vulnerability Assessments							18	17	$20,817
Subtotal, Current Services							**552**	**523**	**$242,750**
Program Increase: Cyber Security Advisors (CSAs) - Critical Cyber Infrastructure Resilience							14	8	$5,441
Program Increase: Cyber Design and Engineering Teams - Federal Network Resilience							9	5	$944
Program Increase: Federal Information Security Management Act (FISMA) - Federal Network Resilience							18	9	$6,214
Program Increase: Technology Development and Deployment Program (TDDP) - National Infrastructure Protection Plan Management							1	1	$110
Program Increase: Protective Security Advisors (PSAs) - Protective Security Advisors							17	9	$2,315
Subtotal, Program Increases							**59**	**32**	**$15,024**
Program Decrease: Daily Open Source Infrastructure Report - Critical Infrastructure Partnerships							-	-	($847)
Program Decrease: Software Assurance - Critical Cyber Infrastructure Resilience							-	-	($1,679)
Program Decrease: Statewide Medical Emergency Communication -							-	-	($2,000)

	FY 2015 Revised Enacted			FY 2016 Enacted			FY 2017 Request		
	FTP	FTE	Dollars ($000)	FTP	FTE	Dollars ($000)	FTP	FTE	Dollars ($000)
Emergency Communications Preparedness									
Program Decrease: Cybersecurity Assurance - Federal Network Resilience							-	-	($305)
Program Decrease: Network and Infrastructure Security - Trusted Internet Connection (TIC) - Federal Network Resilience							-	-	($225)
Program Decrease: Technical Assistance Analytical Products - Sector Specific Agency Management							-	-	($1,060)
Program Decrease: Cybersecurity Education - Cybersecurity Education, Outreach, and Awareness							-	-	($8,270)
Program Decrease: Three-Year Strategic Plan for Infrastructure Protection (IP) - Vulnerability Assessments							-	-	($1,500)
Subtotal, Program Decreases							**-**	**-**	**($15,886)**
Total Request							**611**	**555**	**$241,888**

Summary of Infrastructure Capacity Building Program Changes

NPPD requests the following program increases:

1. Federal Information Security Management Act (FISMA) – $6.214 million, 18 FTP/9 FTE
2. Cyber Security Advisors (CSAs) – $5.441 million, 14 FTP/8 FTE
3. Protective Security Advisors (PSAs) – $2.315 million, 17 FTP/9FTE
4. Cyber Design and Engineering Teams – $0.944 million, 9 FTP/5 FTE
5. Technology Development and Deployment Program (TDDP) – $0.110 million, 1 FTP/1 FTE

NPPD requests the following program decreases:

1. Cybersecurity Education – $8.270 million
2. Statewide Emergency Medical Communication – $2.000 million
3. Three-Year Strategic Plan for Infrastructure Protection (IP) – $1.500 million
4. Software Assurance – $1.679 million
5. Technical Assistance Analytical Products – $1.060 million
6. Daily Open Source Infrastructure Report – $0.847 million
7. Cybersecurity Assurance – $0.305 million
8. Network and Infrastructure Security – Trusted Internet Connection (TIC) – $0.225 million

Program Increase #1: FISMA

NPPD requests an increase of $6.214 million and 18 FTP/9 FTE for FISMA. The base for this effort is $7.475 million and 11 FTP/10 FTE. The request will increase funding to $13.689 million and 29 FTP/19 FTE.

Justification:
This request enables NPPD to execute new authorities under FISMA, to include administering the implementation of Federal government-wide policies, assisting OMB in carrying out its responsibilities, monitoring agency implementation of policies, and coordinating Federal government-wide efforts on information security policies and practices. This includes the issuance of binding operational directives that implement National Institute of Standards and Technology (NIST) standards.

Impact on Performance:
The additional resources will improve the quality of security measures and advance the security posture of the Federal civilian enterprise. The additional funds will enhance NPPD's collaboration efforts with key government cybersecurity partners to improve the FISMA reporting process and cybersecurity framework by providing Federal civilian agencies with a better understanding of their own cybersecurity risk and areas of potential improvement, providing oversight entities (OMB, Inspectors General, the Government Accountability Office (GAO), and Congress) with valid insights into relative cybersecurity maturity across the Executive Branch, and developing and implementing operational policies that drive strategic cybersecurity improvements across the Federal government.

Program Increase #2: Cyber Security Advisors (CSAs)

NPPD requests an increase of $5.441 million and 14 FTP/8 FTE for CSAs. The CSA base is $9.110 million and 16 FTP/12 FTE. The request will increase funding to $14.551 million and 30 FTP/20 FTE. Three FTE will provide additional supply chain support and CSA Support Center support.

Justification:
This request allows NPPD to expand the number of regionally-based CSAs from 16 to 27. The CSAs, working in coordination with PSAs, currently function as the link between critical infrastructure owners and operators, community leadership, and other NPPD programs. Additional supply chain support and CSA Support Center support will be provided.

Impact on Performance:
The additional resources will allow NPPD to conduct an additional 25 Cyber Resilience Reviews (CRRs), keeping pace with demand. Resources also enable a secondary External Dependencies Management (EDM) assessment on the information and communication technology (ICT) service supply chain, helping organizations develop and understand how well they manage the risks arising from external supply chain dependencies. The ICT service supply chain consists of outside parties that operate, provide or maintain information and communications technology for the organization. Common examples include externally provided web and data hosting, telecommunications services and data centers. Available to all critical infrastructure entities, NPPD has conducted EDM supply chain assessments for the Defense Industrial Base, Financial, Energy, and Water sectors.

Program Increase #3: Protective Security Advisors (PSAs)

NPPD requests an increase of $2.315 million and 17 FTP/9 FTE for PSAs. The PSA base is $29.975 million and 121 FTP/115 FTE. The request will increase funding to $32.290 million and 138 FTP/124 FTE. All ten regions will be supported by 127 PSAs and 11 mission support personnel.

Justification:
This request expands the PSA program to facilitate partnerships and improve product delivery to additional critical infrastructure communities. Identification of the communities where the new PSAs will be located is based on multiple factors, including concentration of leveled critical infrastructure, cyber and physical infrastructure and density, assistance visit requirements, population, risk factors, and needs assessments from field Supervisory PSAs.

Impact on Performance:
This request expands the PSA program to facilitate partnerships and improve product delivery to additional critical infrastructure communities. The increase will provide additional PSA coverage to nine of the twenty-eight high-risk Urban Area Security Initiatives (UASI). This is an increase of 33 percent to regional preparedness efforts within the highest risk major metropolitan areas throughout the United States. In addition, the funding will increase the number of security assessments by 20 percent and the product delivery of active shooter and other risk mitigation training to an additional 15% of stakeholders annually. Lastly, the funding will allow for continued support for cyber reviews and surveys through CRRs and Cyber Infrastructure Survey Tools (C-IST) delivery, which are a critical supplement for the Cyber Security Advisors (CSAs).

Program Increase #4: Cyber Design and Engineering Teams

NPPD requests an increase of $0.944 million and 9 FTP/5 FTE for Cyber Design and Engineering Teams. The base for this effort is $3.460 million and 6 FTP/3 FTE. The request will increase funding to $4.404 million and 15 FTP/8 FTE.

Justification:
This request will equip specialized cyber design and engineering teams to provide cyber engineering assistance to Federal Departments, agencies and individual stakeholders to effectively secure their high value systems from cyber threats. NPPD assists agencies with continuous improvement in securing and safeguarding Federal information and networks. This funding will support assessments in areas with cyber security challenges to protect high value information and information systems across the government. The design and engineering team will support the pre-incident evaluation of agency systems and operations, with the goal of identifying opportunities for improvement in design, architecture, and engineering. This team can also support Federal partners after an incident in longer term remediation including providing assistance and expertise for rebuilding affected systems.

Impact on Performance:
The additional $0.944 million will strengthen NPPD's ability to manage continues risk and take advantage of the data and analytics so customers can start to thrive and not just survive. Without additional resources agencies are forced to manage rapidly increasing, speed, and sophistication of attacks in today's cyber risk environment with insignificant support. Today's threats take many forms and are constantly evolving so the risk is ever present.

Furthermore, increased funding will enhance organization key program areas, will improve and help customers anticipate, prevent, detect, and respond to threats. These improvements will provide opportunities with actionable information and acute insight into their

unique environments, to better manage risk. Additional resources increase asset protection, improve the quality of security measures, and advance the security posture of the Federal civilian enterprise.

Program Increase #5: Technology Development and Deployment Program (TDDP)

NPPD requests an increase of $0.110 million and 1 FTP/1 FTE for TDDP.

Justification:
This request will provide much needed personnel to enhance the protection and resilience of critical infrastructure. The requested FTE will manage a unique contracting vehicle to pursue new innovative technologies for rapid deployment through a competition that will identify projects devised to solve resilient design challenges, develop tools to support infrastructure investment decisions, and create products that can aid in detecting malicious activity.

Impact on Performance:
The additional resources will give NPPD the flexibility to connect with private sector counterparts to conduct and coordinate cutting edge critical infrastructure community-based research and technology without employing the formal, lengthy standard Federal procurement processes. The planned competition will promote technology transfer and commercialization of products for the critical infrastructure community. Prototypes identified through this process can then be brought to market and disseminated broadly to support the critical infrastructure community. At present, NPPD has minimal capacity to build the legal framework and expand the outreach network necessary to connect customers to TDDP projects.

Program Decrease #1: Cybersecurity Education

NPPD requests a decrease of $8.270 million for Cybersecurity Education. The base for this effort is $15.384 million and 7 FTP/7 FTE. The request will reduce funding to $7.114 million.

Justification:
This reduction will reduce support for higher education training and workforce development programs.

Impact on Performance:

Impacts of this reduction will be mitigated in part upon creating a comprehensive training portal, merging the existing National Initiative for Cybersecurity Careers and Studies (NICCS) Portal and Federal Virtual Training Environment (FedVTE) and Federal Cybersecurity Training Events (FedCTE) into a 'Cyber University' portal in FY 2016. Merging the NICCS portal and FedVTE/CTE development and operations and maintenance (O&M) services will provide five percent annual cost savings beginning in FY 2017 due to a reduction in overhead costs to manage two independent websites/platforms. Additionally, the reduction will be mitigated by comprehensive investments across the government in expanded scholarship for service and cybersecurity curriculum development.

Program Decrease #2: Statewide Emergency Medical Communication

NPPD requests a decrease of $2.000 million for Statewide Emergency Medical Communication. The request will non-recur funding for this effort.

Justification:
FY 2016 enactment included an additional $2.000 million above the President's Budget to establish or sustain statewide medical communications systems and utilize existing infrastructures to improve the delivery of rural medical care. This funding is not being requested again in FY 2017.

Impact on Performance:
NPPD expects minimal impact as the initiative was for a demonstration project with limited scope.

Program Decrease #3: Three-Year Strategic Plan for Infrastructure Protection (IP)

NPPD requests a decrease of $1.500 million to non-recur funding provided in FY 2016.

Justification:
FY 2016 enactment included an additional $1.500 million above the President's Budget to prepare a three year strategic plan to guide vulnerability assessments, analytic assessments, and the Regional Resiliency Assessment Program. Since the funding was provided for a specific, short-term deliverable, the funding will not be requested again in FY 2017.

Impact on Performance:
NPPD expects minimal impact as the strategic plan should be completed in FY 2016.

Program Decrease #4: Software Assurance

NPPD requests a decrease of $1.679 million for Software Assurance.

Justification:
The reduction merges the Software Assurance program with the Research and Standards Integration program funded in the Research and Development appropriation.

Impact on Performance:
NPPD expects minimal impact as both programs focus on working with partners to develop cybersecurity standards for government and industry. The two programs will work to prioritize their requirements jointly, resulting in contract funding savings.

Program Decrease #5: Technical Assistance Analytical Products

NPPD requests a decrease of $1.060 million for Technical Assistance Analytical Products.

Justification:
The reduction will eliminate the analytical support required for development of implementation roadmaps for six critical infrastructure sectors: Chemical; Commercial Facilities; Critical Manufacturing; Dams; Emergency Services; and Nuclear Reactors, Materials, and Waste Sectors.

Impact on Performance:
NPPD expects minimal impact as enhancements to situational awareness and partnership building will mitigate much of the risk through programmatic efficiencies and improvements.

Program Decrease #6: Daily Open Source Infrastructure Report

NPPD requests a decrease of $0.847 million for a Daily Open Source Infrastructure Report.

Justification:
This reduction eliminates the research, production, and writing support services of the Open Source Infrastructure Report.

Impact on Performance:
NPPD expects minimal impact as enhancements to situational awareness and partnership building will mitigate much of the risk through increased information sharing. Additionally, critical infrastructure partners will be able to access the information from other open sources.

Program Decrease #7: Cybersecurity Assurance

NPPD requests a decrease of $0.305 million for Cybersecurity Capability Validation (CCV) assessments. The base for this effort is $12.481 million and 23 FTP/20 FTE. The request will reduce funding to $12.176 million.

Justification:
This reduction will eliminate CCV assessments (blue teams) for two Federal departments and/or agencies.

Impact on Performance:
NPPD expects minimal impact as accelerated deployments of continuous monitoring capabilities will mitigate much of the risk.

Program Decrease #8: Network and Infrastructure Security – Trusted Internet Connection (TIC)

NPPD requests a decrease of $0.225 million for Network and Infrastructure Security. The base for this effort is $6.489 million and 14 FTP/14 FTE. The request will reduce funding to $6.264 million.

Justification:
This reduction will limit the ability to provide TIC assessment analysis and plans of action and milestones (POAMs) for small and micro Federal civilian departments and agencies.

Impact on Performance:
NPPD expects minimal impact as accelerated deployments of continuous monitoring capabilities will mitigate much of the risk.

Department of Homeland Security
National Protection and Programs Directorate
Operations and Support
Infrastructure Capacity Building

Cost Drivers (Pay & Benefits) - PPA Level (Excludes Reimbursables) ($000s)

	FY 2015 Revised Enacted				FY 2016 Enacted				FY 2017 Request				FY 2016 – 2017 Change			
	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost
Civilian Total	549	411	$68,613	$166	567	433	$71,958	$165	611	555	$88,511	$158	44	122	$16,553	($7)
Military Total	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-

*FY 2015 and FY 2016 funding levels reflect the CAS structure and are for comparison purposes only.

NARRATIVE EXPLANATION OF CHANGES – PAY COST DRIVERS:

- **FTE Change FY 2016-2017:** Changes to FTE include Transfers Out (-23), Annualization of Prior Year Positions (+13), 2016 Rightsizing (+95), DHS Balanced Workforce Initiative (+7), Attrition and Lapse Rate Savings (-2), and Program Changes (+32).
- **PCB Change FY 2016-2017:** A 1.6% inflation factor was applied to 2017 salaries and benefits costs. This figure includes all salaries and benefits, career ladder promotions, within grade increases, awards, and overtime for 611 FTP/555 FTE.
- FY 2017 request estimates $0.524 million for GS awards and $0.054 million for SES awards. This request remains in line with OPM Awards Guidance on Spending Limitations.

Department of Homeland Security
National Protection and Programs Directorate
Operations and Support
Infrastructure Capacity Building

Cost Drivers (Non-Pay) - PPA Level ($000s)

Infrastructure Capacity Building	2015 Revised Enacted	2016 Enacted	2017 Request	FY 2016 to FY 2017 Change
FY 2017 Non- Pay Cost Drivers (greatest-least)				
Stakeholder Risk Assessment & Mitigation	$11,841	$16,867	$19,225	$2,358
Specialized Security Services & Support	-	$15,484	$15,564	$80
Cybersecurity Performance Management	$3,214	$5,490	$9,792	$4,302
Technical Support	$8,037	$9,494	$9,770	$276
Public Safety & NS/EP Partnership	$10,976	$11,042	$9,042	($2,000)
Total	**$34,068**	**$58,377**	**$63,393**	**$5,016**

*FY 2015 and FY 2016 funding levels reflect the CAS structure and are for comparison purposes only.

NARRATIVE EXPLANATION OF CHANGES – NON-PAY COST DRIVERS:

- **Stakeholder Risk Assessment and Mitigation:** provides assessment tools, technique/method development support related to the CRR and External Dependencies Management (EDM) assessments, and supports supply chain risk analysis capabilities. FY 2017 requests additional resources to support the Cybersecurity Advisor (CSA) initiative and reduction to Software Assurance.

- **Specialized Security Services & Support:** supports the Enhanced Cybersecurity Services Commercial Service Providers (CSPs)/Operational Implementers (OIs) through the security process towards Authorization To Operate (ATO), personnel security support, and general security support for system operation. Subject Matter Expert (SME) support utilized for CSP/OI system accreditation assessments, advanced countermeasure catalog, technical support for architecture design reviews, and technical consulting for new security requirements.

- **Cybersecurity Performance Management:** Includes enhanced program management and FISMA support services. FY 2017 increase includes enhanced support required to execute additional authorities under FISMA.

- **Technical Support:** Regional Resiliency Assessment Program (RRAP) Projects support for data collection, aggregation,

analysis, assessment methodology, product development and support in implementing resilience enhancement options. Costs also include RRAP portfolio support and the Infrastructure Protection Report Series (IPRS).

- **Public Safety & NS/EP Partnerships:** includes support to Office of Emergency Communications' stakeholder engagement and outreach operations, engaging partners at all levels of government – Federal, State, local, tribal, and international and in industry – to focus on improving public safety, national security, and emergency communications. FY 2017 decrease reflects reduction of statewide medical communication system.

Department of Homeland Security
National Protection and Programs Directorate
Operations and Support
Protect Infrastructure
FY 2016 to FY 2017 Budget Change- PPA Level
(Dollars in Thousands)

Protect Infrastructure		Positions	FTE	Amount
Current Services	2016 Salaries & Benefits Rightsizing	-	88	11,248
	2017 Pay Raise	-	-	924
	Annualization of 2016 Pay Raise	-	-	203
	Annualization of Prior Year Positions	-	9	1,638
	Attrition & Lapse Rate Savings	-	(4)	(1,718)
	DHS Balanced Workforce Initiative	20	19	(400)
	Efficiencies	-	-	(22)
	From IPIS / Business Operations to O&S / Protect Infrastructure	1	1	154
	From IPIS / CI Protection to O&S / Protect Infrastructure	6	4	1,102
	From IPIS / Federal Network Security to O&S / Protect Infrastructure	30	28	5,224
	From IPIS / GCSM to O&S / Protect Infrastructure	2	2	249
	From IPIS / Infrastructure Security Compliance to O&S / Protect Infrastructure	293	238	77,600
	From IPIS / NGN to O&S / Protect Infrastructure	4	4	667
	From IPIS / NSD to O&S / Protect Infrastructure	165	106	368,233
	From IPIS / OEC to O&S / Protect Infrastructure	5	5	788
	From IPIS / PTS to O&S / Protect Infrastructure	15	12	57,424
	From IPIS / Prog Study Enhance Telecom to O&S / Protect Infrastructure	4	3	561
	From IPIS / US-CERT Operations to O&S / Protect Infrastructure	1	1	140
	From O&S / Protect Infrastructure to Customs and Border Protection from OBIM	(177)	(168)	(217,473)
	From Office of Biometric Identity Management	177	168	217,473

Protect Infrastructure		Positions	FTE	Amount
	to O&S / Protect Infrastructure			
	Increased FPS Fees	-	-	15
	Increased Retirement Contributions	-	-	31
	O&M Escalation for Major Acquisitions	-	-	19,460
	WCF Transfer	-	-	(4,128)
Program Changes	Ammonium Nitrate Security	-	-	(4,500)
	Continuous Diagnostics and Mitigation (CDM)	24	12	1,970
Budget Year	**FY 2017 Request**	**570**	**528**	**536,863**
	Total Change from FY 2016 to FY 2017	**570**	**528**	**536,863**

PPA DESCRIPTION:

NPPD requests $536.863 million and 570 FTP/528 FTE for the Protect Infrastructure PPA under the Operations & Support appropriation.

The Protect Infrastructure PPA funds NPPD efforts to manage risks to infrastructure directly. This includes activities to support integrated intrusion detection, analytics, information sharing, intrusion prevention, diagnostics, and mitigation capabilities to protect Federal networks. This also includes support for capabilities to maintain essential government functions and operations, as well as support response and recovery efforts during a disaster. In addition, this PPA funds activities to secure high-risk chemical facilities and prevent the use of certain chemicals in a terrorist act. This PPA also funds support activities necessary to enable these efforts.

The Protect Infrastructure PPA supports five budget projects, as outlined below – Continuous Diagnostics & Mitigation, Federal Network Resilience, Infrastructure Security Compliance, National Cybersecurity Protection System, and Priority Telecommunications Services.

In FY 2017, NPPD will continue to improve the delivery of services in the field by integrating physical and cyber threat mitigation actions, providing unity of effort for stakeholders, and eliminating redundancy of business support to allow for increased depth and breadth of services for customers. NPPD will implement an integrated approach for field operations, including day-to-day coordination of the various programs available to stakeholders nationwide operating in the field as well as incident response. This approach will include co-location of NPPD component field staff and mission support staff, coordination with FPS operations; and devolving outreach, exercises, analysis and training, currently performed at headquarters, to regional offices.

Department of Homeland Security
National Protection and Programs Directorate
Operations and Support
Protect Infrastructure
Justification of Program Changes
(Dollars in Thousands)

Program Change: Protect Infrastructure
PPA: Protect Infrastructure
Program Change: FTP 24, FTE 12, Dollars $(2,530)

Funding Profile

	FY 2015 Revised Enacted			FY 2016 Enacted			FY 2017 Request		
	FTP	FTE	Dollars ($000)	FTP	FTE	Dollars ($000)	FTP	FTE	Dollars ($000)
Current Services: Protect Infrastructure - Continuous Diagnostics & Mitigation							30	27	$5,860
Current Services: Protect Infrastructure - Infrastructure Security Compliance							313	297	$83,356
Current Services: Protect Infrastructure - National Cybersecurity Protection System							169	160	$389,308
Current Services: Protect Infrastructure - Priority Telecommunications Services							34	32	$60,869
Subtotal, Current Services							**546**	**516**	**$539,393**
Program Increase: Continuous Diagnostics and Mitigation (CDM) - Continuous Diagnostics & Mitigation							24	12	$1,970
Subtotal, Program Increases							**24**	**12**	**$1,970**
Program Decrease: Ammonium Nitrate Security - Infrastructure Security Compliance							-	-	($4,500)
Subtotal, Program Decreases							**-**	**-**	**($4,500)**
Total Request							**570**	**528**	**$536,863**

Summary of Protect Infrastructure Program Changes

NPPD requests the following program increase:

1. Continuous Diagnostics and Mitigation (CDM) – $1.970 million, 24 FTP/12 FTE

NPPD requests the following program decrease:

1. Ammonium Nitrate Security – $4.500 million

Program Increase #1: Continuous Diagnostics and Mitigation (CDM)

NPPD requests $1.970 million and 24 FTP/12 FTE for the CDM program. Base funding is $5.860 million and 30 FTP/27 FTE. The request will increase funding to $7.830 million and 54 FTP/39 FTE.

Justification:
The CDM program is not sufficiently staffed to support the program acceleration beginning. While contractor support is key to deployment and integration of CDM capabilities, there are inherently governmental functions to manage the roll-outs, planning, and implementation that must be filled by Federal employees. Furthermore, the acceleration of Phase 3 and the addition of a new fourth phase, requires additional FTEs to cover project management, requirements and engineering.

Impact on Performance:
The additional resources will allow NPPD to fully staff the CDM program management office (PMO), in accordance with the program's staffing plan, and ensure due diligence is applied to fully planning and implementing CDM phases as the Federal Government strengthens its defenses against continual attacks and the dynamic threat landscape. CDM will continue to utilize additional matrixed NPPD staff in order to provide appropriate program management. The lack of sufficient resources will slow the delivery of cyber tools, sensors and integration services to the civilian Federal workforce, and reduce the capability of Federal information technology security staff to address cyber threats.

Program Decrease #1: Ammonium Nitrate Security

NPPD requests a decrease of $4.500 million for Ammonium Nitrate Security.

Justification:
Funding was provided in FY 2016 enacted for ammonium nitrate and other improvised explosive device precursors (IED). The decrease will end research of chemicals that can be used in IEDs, to include ammonium nitrate. Required funding for ammonium nitrate regulation is dependent on the agreed upon legislative and regulatory path forward for securing IED precursors.

Impact on Performance:
NPPD expects minimal impact in FY 2017, but expects this requirement, or a similar requirement, relating to securing and preventing the misappropriation of IED precursors, to continue in the future. DHS looks forward to working with Congress to create a legislative structure that addresses IED precursors, not just ammonium nitrate.

Department of Homeland Security
Operations and Support
Protect Infrastructure

Cost Drivers (Pay & Benefits) - PPA Level (Excludes Reimbursables) ($000s)

	FY 2015 Revised Enacted				FY 2016 Enacted				FY 2017 Request				FY 2016 – 2017 Change			
	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost
Civilian Total	669	541	$83,768	$154	703	572	$88,808	$154	570	528	$80,022	$151	(133)	(44)	($8,786)	($4)
Military Total	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-

*FY 2015 and FY 2016 funding levels reflect the CAS structure and are for comparison purposes only.

NARRATIVE EXPLANATION OF CHANGES – PAY COST DRIVERS:

- **FTE Change FY 2016-2017:** Changes to FTE include Transfers Out (-168), Annualization of Prior Year Positions (+9), 2016 Rightsizing (+88), DHS Balanced Workforce Initiative (+19), Attrition and Lapse Rate Savings (-4), and Program Changes (+12).
- **PCB Change FY 2016-2017:** A 1.6% inflation factor was applied to 2017 salaries and benefits costs. This figure includes all salaries and benefits, career ladder promotions, within grade increases, awards, and overtime for 570 FTP/528 FTE.
- FY 2017 request estimates $0.498 million for GS awards and $0.038 million for SES awards. This request remains in line with OPM Awards Guidance on Spending Limitations.

Department of Homeland Security
National Protection and Programs Directorate
Operations and Support
Protect Infrastructure

Cost Drivers (Non-Pay) - PPA Level ($000s)

Protect Infrastructure	2015 Revised Enacted	2016 Enacted	2017 Request	FY 2016 to FY 2017 Change
FY 2017 Non- Pay Cost Drivers (greatest-least)				
Intrusion Detection and Prevention	$98,759	$180,709	$157,902	($22,807)
Analytics	$47,649	$49,925	$64,929	$15,004
Program, Planning, and Operations	$54,388	$61,032	$62,150	$1,118
Core Infrastructure	$29,834	$43,002	$57,582	$14,580
Communications Portfolio Management	$45,575	$55,915	$56,069	$154
Total	**$276,205**	**$390,583**	**$398,632**	**$8,049**

*FY 2015 and FY 2016 funding levels reflect the CAS structure and are for comparison purposes only.

NARRATIVE EXPLANATION OF CHANGES – NON-PAY COST DRIVERS:

- **NCPS Intrusion Detection and Prevention -** deploys additional intrusion prevention capabilities via the internet service providers (ISPs), such as web content filtering. The deployment of these additional capabilities (ISP or non-ISP provided) will close gaps from detection to prevention, allowing automated, streaming analytics to find new previously unknown threats. Also funds E2 expansion, maintenance of sensors at Nests versus TIC/MTIPS, and increases sensor diversity to expand beyond signature-based sensors, including sensors that alert off of network traffic characteristics and machine behavior.

- **NCPS Analytics:** sustains the security information and event management (SIEM), Advanced Malware Analysis Center (AMAC), and Enhanced Analytics capabilities, including generation and configuration efforts for aggregating, correlating, and visualizing network flow information across the federal infrastructure; cyber threat collection, reporting and analysis; increases Mission Operating Environment (MOE) capacity to support the predicted user base expansion from delivery of NCPS InfoShare capabilities; supports deployment of Next Gen MOE capabilities designed in FY2015; collection, correlation, and exchange of up-to-date malware data and analysis; and packet capture tools.

- **NCPS Program, Planning and Operations:** maintains program artifacts and planning, program, and engineering support. Also funds Shared Services and Working Capital Fund.

- **NCPS Core Infrastructure:** sustains MOE and Top Secret Mission Operating Environment (TS MOE).

- **PTS Communications Portfolio Managemen**t: includes Government Emergency Telecommunications Service (GETS), Special Routing and Arrangement Service (SRAS), and Wireless Priority Service (WPS) service in 13 Local Exchange and 6 cellular carriers networks, providing service auditing, test and reporting of network service verification, user Help Desk support, and performance and usage data reporting. Also provides continued development, deployment, operation, administration, and maintenance of NS/EP Priority Services to include GETS, SRAS, and WPS in long distance, local exchange and cellular networks

FY 2016 to FY 2017 Budget Change- Sub-PPA Level

Summary Tables of Sub-PPA
Department of Homeland Security
National Protection and Programs Directorate
Operations and Support
Infrastructure Analysis
Critical Infrastructure Technology and Architecture
FY 2016 to FY 2017 Budget Change- Sub-PPA Level
(Dollars in Thousands)

Critical Infrastructure Technology and Architecture		Positions	FTE	Amount
Current Services	2016 Salaries & Benefits Rightsizing	-	10	813
	2017 Pay Raise	-	-	97
	Annualization of 2016 Pay Raise	-	-	26
	Efficiencies	-	-	(22)
	From IPIS / SMG to O&S / Infrastructure Analysis	64	50	23,119
	Increased Retirement Contributions	-	-	4
	O&M Escalation for Major Acquisitions	-	-	198
	WCF Transfer	-	-	(127)
Program Changes	Infrastructure Protection (IP) Gateway	-	-	(4,219)
Budget Year	**FY 2017 Request**	**64**	**60**	**19,889**
	Total Change from FY 2016 to FY 2017	**64**	**60**	**19,889**

SUB-PPA DESCRIPTION:

The Critical Infrastructure Technology & Architecture (CITA) budget project provides Federal, State, and local governments, and private sector stakeholders with innovative information technology (IT) solutions to efficiently gather, manage, share, and protect physical and cyber risk data for critical infrastructure and enable near-real time situational awareness. The CITA project also manages

the Infrastructure Protection (IP) Gateway, which is NPPD's signature voluntary IT system, ensuring there is a single, centrally-managed repository which enables the collection, visualization, storage, retrieval, search, and manipulation of critical infrastructure information.

In FY 2017, the CITA project will continue to fund operating and maintaining the IP Gateway suite of capabilities while pursuing enhancements to improve functionality, usability, and performance. The CITA project will also fund the planning and analysis of alternatives for the migration of the system to a DHS enterprise data solution with a priority towards capabilities that leverage cost-effective commodity-based or 'cloud' solutions, pursue enhanced information sharing, situational awareness, and dependency analysis of lifeline sectors supporting identified nationally-critical infrastructure. Lastly, in FY 2017, the CITA project will fund releasing an updated PCII Final Rule after adjudicating comments received during the Public Comment period in FY 2016; this will be the first update to the PCII Final Rule since originally implementing the Critical Infrastructure Information Act of 2002. The CITA project will also enhance support to stakeholders through establishing regional customer support capability for the IP Gateway, PCII and NPPD information protection, data and technology capabilities.

Department of Homeland Security
Infrastructure Analysis
Critical Infrastructure Technology and Architecture

Cost Drivers (Pay & Benefits) – Sub-PPA Level (Excludes Reimbursables) ($000s)

	FY 2015 Revised Enacted				FY 2016 Enacted				FY 2017 Request				FY 2016 – 2017 Change			
	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost
Civilian Total	67	48	$8,165	$169	64	50	$7,252	$144	64	60	$8,192	$136	-	10	$940	($9)
Military Total	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-

*FY 2015 and FY 2016 funding levels reflect the CAS structure and are for comparison purposes only.

Department of Homeland Security
National Protection and Programs Directorate
Operations and Support
Infrastructure Analysis
Critical Infrastructure Technology and Architecture
Cost Drivers (Non-Pay) – Sub-PPA Level ($000s)

Critical Infrastructure Technology and Architecture	2015 Revised Enacted	2016 Enacted	2017 Request	FY 2016 to FY 2017 Change
FY 2017 Non- Pay Cost Drivers (greatest-least)				
IP Gateway Maintenance	$6,248	$5,705	$5,500	($205)
IP Gateway Bi-Annual Releases	$2,993	$1,768	$1,803	$35
Program and Technical Support	$1,848	$1,576	$843	($733)
Expedite Development of IP Gateway		$4,219		($4,219)
Total	**$11,089**	**$13,268**	**$8,146**	**($5,122)**

*FY 2015 and FY 2016 funding levels reflect the CAS structure and are for comparison purposes only.

NARRATIVE EXPLANATION OF CHANGES – NON-PAY COST DRIVERS:

- **IP Gateway Maintenance:** Includes costs for the operations and maintenance (O&M) of the developed primary IT System ('IP Gateway'). O&M includes costs for hardware, software licensing, Tier 1-3 Help Desk and customer support activities, system hosting and power, and basic support and services to maintain an operational capability. Funding values provided are based on actual (FY 2015) and planned (FY 2016, FY 2017) costs associated with four contracts.

- **IP Gateway Bi-Annual Release:** Includes steady-state updates and enhancements to the primary IT system (IP Gateway) to address security vulnerabilities; improve usability, availability, or user management; and enhance capabilities without changing the functionality or expanding the scope (non-developmental activities). Funding values are based on actuals (FY 2015) and planned (FY 2016, FY 2017) activities on multiple contract vehicles.

- **Program and Technical Support:** Includes costs for administrative, policy and programmatic support services. Funding values provided are based on actual (FY 2015) and planned (FY 2016, FY2017) costs associated with two contracts.

- **Expedite Development of IP Gateway:** FY 2016 enacted included an additional $4.219 million above the FY 2016 President's Budget to expedite development of the IP Gateway.

Summary Tables of Sub-PPA
Department of Homeland Security
National Protection and Programs Directorate
Operations and Support
Infrastructure Analysis
Cyber & Infrastructure Analysis
FY 2016 to FY 2017 Budget Change- Sub-PPA Level
(Dollars in Thousands)

Cyber & Infrastructure Analysis		Positions	FTE	Amount
Current Services	2016 Salaries & Benefits Rightsizing	-	31	2,164
	2017 Pay Raise	-	-	171
	Annualization of Prior Year Positions	-	2	364
	Annualization of 2016 Pay Raise	-	-	27
	Attrition & Lapse Rate Savings	-	(2)	(899)
	Efficiencies	-	-	(5)
	From IPIS / IA&P to O&S / Infrastructure Analysis	100	64	35,531
	Increased FPS Fees	-	-	4
	Increased Retirement Contributions	-	-	5
	WCF Transfer	-	-	(195)
Program Changes	Geospatial Analysis	3	2	612
	High Risk Infrastructure Vulnerabilities	-	-	(5,657)
	Production, Management, & Training	5	3	590
Budget Year	**FY 2017 Request**	**108**	**100**	**32,712**
	Total Change from FY 2016 to FY 2017	**108**	**100**	**32,712**

SUB-PPA DESCRIPTION:

The Cyber & Infrastructure Analysis budget project funds analysis on dependencies, interdependencies, and cascading effects of physical and cyber incidents affecting critical infrastructure in order to improve security and resilience of the Nation's critical infrastructure. The analysis funded through the Cyber & Infrastructure Analysis project directly supports NPPD's operational functions with real-time operational analysis of direct effects and potential consequences, including actionable information about how imminent threats, significant trends, and recent incidents may affect critical infrastructure.

In FY 2017, the Cyber & Infrastructure Analysis project will fund the annual refresh of the National Critical Infrastructure Prioritization Program and the Cyber-Dependent Infrastructure lists, producing a total of 750 operational analytic products, providing two National Risk Estimates, producing eight strategic analytic reports, and producing 24 modeling and simulation capability development reports.

Department of Homeland Security
National Protection and Programs Directorate
Operations and Support
Infrastructure Analysis
Cyber & Infrastructure Analysis

Cost Drivers (Pay & Benefits) – Sub-PPA Level (Excludes Reimbursables) ($000s)

	FY 2015 Revised Enacted				FY 2016 Enacted				FY 2017 Request				FY 2016 – 2017 Change			
	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost
Civilian Total	72	50	$7,877	$157	100	64	$12,247	$190	108	100	$14,814	$147	8	36	$2,567	($43)
Military Total	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-

*FY 2015 and FY 2016 funding levels reflect the CAS structure and are for comparison purposes only.

NARRATIVE EXPLANATION OF CHANGES PAY COST DRIVERS:

- **FTE Change FY 2016-2017:** Changes to FTE include Annualization of Prior Year Positions (+2), 2016 Rightsizing (+31), Attrition and Lapse Rate Savings (-2), and Program Changes (+3 for Production Management and Training, +2 for Geospatial Analysis).
- **PCB Change FY 2016-2017:** A 1.6% inflation factor was applied to 2017 salaries and benefits costs. This figure includes all salaries and benefits, career ladder promotions, within grade increases, awards, and overtime for 108 FTP/100 FTE.
- FY 2017 request estimates $0.929 million for GS awards and $0.009 million for SES awards. This request remains in line with OPM Awards Guidance on Spending Limitations.

Department of Homeland Security
National Protection and Programs Directorate
Operations and Support
Infrastructure Analysis
Cyber & Infrastructure Analysis
Cost Drivers (Non-Pay) – Sub-PPA Level ($000s)

Appropriation - Cyber & Infrastructure Analysis	2015 Revised Enacted	2016 Enacted	2017 Request	FY 2016 to FY 2017 Change
FY 2017 Non- Pay Cost Drivers (greatest-least)				
NISAC	$14,584	$18,650	$12,993	($5,657)
Technical Services Support	$2,142	$2,141	$2,152	$11
Geospatial Analysis Support	$1,016	$1,016	$1,628	$612
Production Management & Training	$386	$243	$833	$590
Geospatial Analysis Hardware and Software	$100	$145	$292	$147
Total	**$18,228**	**$22,195**	**$17,898**	**($4,297)**

*FY 2015 and FY 2016 funding levels reflect the CAS structure and are for comparison purposes only.

NARRATIVE EXPLANATION OF CHANGES – NON-PAY COST DRIVERS:

- **NISAC**: NISAC is a modeling, simulation, and analysis program providing strategic, multidisciplinary analyses of interdependencies and the consequences of infrastructure disruptions across all 16 critical infrastructure sectors at national, regional, and local levels. NISAC experts have developed and are employing tools to address the complexities of interdependent national infrastructure, including process-based systems dynamics models, mathematical network optimization models, physics-based models of existing infrastructure, and high-fidelity agent-based simulations of systems. FY 2017 decrease reflects completion of High Risk Infrastructure Vulnerabilities effort.

- **Technical Support Services:** provides day to day analytic intelligence planning, program/project management support to include editing and graphic design, strategic planning and external affairs services.

- **Geospatial Analysis Support:** includes a program increase in technical support services to advance analysis capabilities and provide decision support and analysis tools directly to NPPD, CI community, and the homeland security enterprise.

- **Production Management and Training:** includes a program increase for additional training and products to include the creation of strategic and tactical products.

- **Geospatial Analysis Hardware and Software:** includes software and hardware to meet heightened demand for geospatial analysis products.

Summary Tables of Sub-PPA
Department of Homeland Security
National Protection and Programs Directorate
Operations and Support
Infrastructure Analysis
Cyber Integration & Coordination
FY 2016 to FY 2017 Budget Change- Sub-PPA Level
(Dollars in Thousands)

Cyber Integration & Coordination		**Positions**	**FTE**	**Amount**
Current Services	2016 Salaries & Benefits Rightsizing	-	18	1,433
	2017 Pay Raise	-	-	195
	Annualization of 2016 Pay Raise	-	-	40
	DHS Balanced Workforce Initiative	2	1	171
	From IPIS / Business Operations to O&S / Infrastructure Analysis	1	1	154
	From IPIS / CI Protection to O&S / Infrastructure Analysis	10	9	2,490
	From IPIS / CICPA to O&S / Infrastructure Analysis	4	2	4,533
	From IPIS / Cybersecurity Coordination to O&S / Infrastructure Analysis	13	12	4,246
	From IPIS / GCSM to O&S / Infrastructure Analysis	1	1	124
	From IPIS / Prog Study Enhance Telecom to O&S / Infrastructure Analysis	1	1	2,931
	From IPIS / US-CERT Operations to O&S / Infrastructure Analysis	75	52	9,775
	Increased Retirement Contributions	-	-	6
	WCF Transfer	-	-	(133)
Program Changes	NCCIC Staffing Plan	7	4	2,902
Budget Year	**FY 2017 Request**	**114**	**101**	**28,867**
	Total Change from FY 2016 to FY 2017	**114**	**101**	**28,867**

SUB-PPA DESCRIPTION:

The Cyber Integration & Coordination budget project funds integrated analysis of cybersecurity and communications resilience, coordinates multi-partner preparedness training and exercises, and provides specialized modeling and analyses of current and future protocols, algorithms, network designs, and capabilities. This project funds a centralized location to coordinate and integrate information from the six Federal cybersecurity centers to provide a fused view of cyber activity across the intelligence, defense, civil, law enforcement, and counterintelligence domains for all government and private sector stakeholders.

In FY 2017, the Cyber Integration & Coordination project will fund media and collective analysis allowing users to utilize large amounts of data for differing mission needs and providing analytics that would identify non-obvious and/or emerging trends that would allow informed actions prior to incidents. The Cyber Integration & Coordination project will also fund strategic cyber threat analysis to reduce the risk of malicious actors exploiting vulnerabilities; providing telecommunication analysis during emergency preparation and disaster response operations; and assessing the national continuity communications system. Additionally, the Cyber Integration & Coordination project will fund Cyber Storm, the National Level Exercise, two National Team exercises, the Eagle Horizon Continuity of Operations National Exercise, and DHS National Level Exercise events, the Capstone Series exercises, and a handful of Emergency Support Function (ESF #2) communications-related events.

Department of Homeland Security
National Protection and Programs Directorate
Operations and Support
Infrastructure Analysis
Cyber Integration & Coordination
Cost Drivers (Pay & Benefits) – Sub-PPA Level (Excludes Reimbursables) ($000s)

	FY 2015 Revised Enacted				FY 2016 Enacted				FY 2017 Request				FY 2016 – 2017 Change			
	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost
Civilian Total	89	65	$12,326	$189	105	78	$14,498	$185	114	101	$16,771	$165	9	23	$2,273	($20)
Military Total	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-

*FY 2015 and FY2016 funding levels reflect the CAS structure and are for comparison purposes only.

NARRATIVE EXPLANATION OF CHANGES – PAY COST DRIVERS:

- **FTE Change FY 2016-2017:** Changes to FTE include 2016 Rightsizing (+18), DHS Balanced Workforce Initiative (+1), and Program Changes (+4 for NCCIC Staffing).
- **PCB Change FY 2016-2017:** A 1.6% inflation factor was applied to 2017 salaries and benefits costs. This figure includes all salaries and benefits, career ladder promotions, within grade increases, awards, and overtime for 114 FTP/101 FTE.
- FY 2017 request estimates $0.110 million for GS awards and $0 for SES awards. This request remains in line with OPM Awards Guidance on Spending Limitations.

Department of Homeland Security
National Protection and Programs Directorate
Operations and Support
Infrastructure Analysis
Cyber Integration & Coordination
Cost Drivers (Non-Pay) – Sub-PPA Level ($000s)

Cyber Integration & Coordination	2015 Revised Enacted	2016 Enacted	2017 Request	FY 2016 to FY 2017 Change
FY 2017 Non- Pay Cost Drivers (greatest-least)				
Cyber Exercises	$4,059	$4,043	$6,517	$2,474
Operations & Integration	$5,715	$5,712	$5,579	($133)
Total	**$9,774**	**$9,755**	**$12,096**	**$2,341**

*FY 2015 and FY2016 funding levels reflect the CAS structure and are for comparison purposes only.

NARRATIVE EXPLANATION OF CHANGES – NON-PAY COST DRIVERS:

- **Cyber Exercises:** includes subject matter expertise and support services associated with planning and conducting cyber security exercises for the NCCIC and its stakeholders. FY 2017 request includes program increase for enhanced Cyber Exercises support services.

- **Operations and Integrations:** includes National Coordinating Center planning and operational support and NCCIC 24x7 operational support.

Summary Tables of Sub-PPA
Department of Homeland Security
National Protection and Programs Directorate
Operations and Support
Infrastructure Analysis
Cyber Readiness & Response
FY 2016 to FY 2017 Budget Change- Sub-PPA Level
(Dollars in Thousands)

Cyber Readiness & Response		Positions	FTE	Amount
Current Services	2016 Salaries & Benefits Rightsizing	-	43	3,786
	2017 Pay Raise	-	-	317
	Annualization of 2016 Pay Raise	-	-	77
	Annualization of Prior Year Positions	-	11	2,002
	Attrition & Lapse Rate Savings	-	(1)	(360)
	Efficiencies	-	-	(3,725)
	From IPIS / CI Protection to O&S / Infrastructure Analysis	19	16	6,366
	From IPIS / CICPA to O&S / Infrastructure Analysis	24	12	43,503
	From IPIS / Cybersecurity Coordination to O&S / Infrastructure Analysis	1	1	188
	From IPIS / Federal Network Security to O&S / Infrastructure Analysis	11	7	2,980
	From IPIS / PTS to O&S / Infrastructure Analysis	1	1	125
	From IPIS / Prog Study Enhance Telecom to O&S / Infrastructure Analysis	1	1	1,327
	From IPIS / US-CERT Operations to O&S / Infrastructure Analysis	131	88	75,988
	Increased Retirement Contributions	-	-	12
	MS-ISAC Adjustment	-	-	(500)
	WCF Transfer	-	-	(721)
Program Changes	Automated Information Sharing (AIS) Threat Dissemination	-	-	8,253

Cyber Readiness & Response		Positions	FTE	Amount
	Emergency Support Function #2 - Communications Operations	-	-	1,256
	ICS-CERT Operator Training and Additional Assessments	-	-	5,006
	NCCIC Staffing Plan	104	60	36,897
Budget Year	**FY 2017 Request**	**292**	**239**	**182,777**
	Total Change from FY 2016 to FY 2017	**292**	**239**	**182,777**

SUB-PPA DESCRIPTION:

The Cyber Readiness & Response budget project funds the provision of immediate responses to cyber incidents; timely notifications regarding current and potential security threats and vulnerabilities; and technical assistance to information system operators. Associated products and services are provided by the United States Computer Emergency Readiness Team, the National Coordinating Center for Communications, the Industrial Control Systems Cyber Emergency Response Team, National Cybersecurity Assessment and Technical Services, and the Multi-State Information Sharing and Analysis Center (MS-ISAC). In particular, it enables NPPD to provide:

- Real-time situational awareness and response readiness for events potentially affecting the nation's communications infrastructure. In FY 2017, the NPPD will continue 24x7 watch operations, improve real-time situational awareness reporting for NS/EP communications for Federal departments and agencies, and maintain baseline operational readiness to respond to events.

- A centralized forum for information sharing between the Federal government and SLTT governments, providing opportunities to analyze information and correlation across SLTT members. In FY 2017, NPPD will use the MS-ISAC to continue providing base services to all MS-ISAC members as well as supporting the Managed Security Services program by providing netflow analysis and intrusion detection capabilities services to participating States and territories.

- Analysis to stakeholders to reduce cyber threats and vulnerabilities, disseminates cyber threat warning information to public and private sector partners, and provides response and recovery support for national assets. This includes a 24x7 capacity, enabling immediate customer support and incident response. In FY 2017, NPPD will continue supporting stakeholders at all levels – Federal, SLTT, and the private sector – to address and improve interoperable emergency communications. NPPD will leverage automated information delivery of feedback information to identify, triage, and analyze cyber activity, and rapidly

develop and disseminate mitigation and risk management strategies.

- Proactive security and incident response support through two capabilities – Risk and Vulnerability Assessments (RVA) and Cyber Hygiene. RVAs (red teaming) are one-on-one engagement with stakeholders that combine national threat and vulnerability information with data collected and discovered through onsite assessment activities to provide stakeholder specific risk analysis reports with actionable remediation recommendations prioritized by risk. Cyber Hygiene scanning identifies internet exploitable vulnerabilities and notifies system owners of critical weaknesses so that proactive action to mitigate the risk can be taken prior to exploitation. In FY 2017, NPPD will conduct 40 RVAs of selected Federal civilian Departments and agencies, conduct scanning of high-risk agencies, and respond to incidents as required and/or requested.

- Risk mitigation, analysis, and incident response to industrial control systems owners, operators, and stakeholders, in order to reduce cyber risk and prepare for future vulnerabilities. NPPD provides cybersecurity training courses for industrial control systems incident prevention and response, maintains the Cybersecurity Evaluation Tool, a self-assessment tool provided freely to critical infrastructure partners, and supports industrial control systems cybersecurity standards development. In FY 2017, NPPD will provide malware analysis, vulnerability handling and mitigation, incident response as requested and/or required, conduct 75 voluntary cybersecurity assessments, and produce alerts, advisories, and other informational products.

- Classified Program: The justification for this program will be made available under a separate cover.

Department of Homeland Security
National Protection and Programs Directorate
Operations and Support
Infrastructure Analysis
Cyber Readiness & Response
Cost Drivers (Pay & Benefits) – Sub-PPA Level (Excludes Reimbursables) ($000s)

	FY 2015 Revised Enacted				FY 2016 Enacted				FY 2017 Request				FY 2016 – 2017 Change			
	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost
Civilian Total	175	125	$18,646	$148	188	126	$22,527	$178	292	239	$34,857	$145	104	113	$12,330	($33)
Military Total	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-

*FY 2015 and FY2016 funding levels reflect the CAS structure and are for comparison purposes only.

NARRATIVE EXPLANATION OF CHANGES – PAY COST DRIVERS:

- **FTE Change FY 2016-2017:** Changes to FTE include Annualization of Prior Year Positions (+11), 2016 Rightsizing (+43), Attrition and Lapse Rate Savings (-1), and Program Changes (+60 for NCCIC Staffing).
- **PCB Change FY 2016-2017:** A 1.6% inflation factor was applied to 2017 salaries and benefits costs. This figure includes all salaries and benefits, career ladder promotions, within grade increases, awards, and overtime for 292 FTP/239 FTE.
- FY 2017 request estimates $0.171 million for GS awards and $0.006 million for SES awards. This request remains in line with OPM Awards Guidance on Spending Limitations.

Department of Homeland Security
National Protection and Programs Directorate
Operations and Support
Infrastructure Analysis
Cyber Readiness & Response
Cost Drivers (Non-Pay) – Sub-PPA Level ($000s)

Cyber Readiness & Response	2015 Revised Enacted	2016 Enacted	2017 Request	FY 2016 to FY 2017 Change
FY 2017 Non- Pay Cost Drivers (greatest-least)				
US-CERT	$63,987	$61,909	$85,058	$23,149
ICS-CERT	$31,716	$31,784	$44,449	$12,665
MS-ISAC	$12,956	$9,500	$9,000	($500)
National Coordinating Center	$4,760	$4,757	$8,810	$4,053
Total	**$113,419**	**$107,950**	**$147,317**	**$39,367**

*FY 2015 and FY2016 funding levels reflect the CAS structure and are for comparison purposes only.

NARRATIVE EXPLANATION OF CHANGES – NON-PAY COST DRIVERS:

- **US-CERT:** includes NCATS technical support and NCCIC 24x7 operational and technical support, including Service Desk; engineering expertise, incident management, deployment and analysis and Service Desk support. FY 2017 request supports US-CERT network and EINSTEIN analytics and specialized NCCIC capabilities, including NCCIC penetration testing, enhanced incident response capabilities, and cyber hunt teams; reduction to US-CERT operations is also included due to efficiencies gained.

- **ICS-CERT:** includes vulnerability analysis; watch floor operation; incident response coordination and implementation; and cyber analytic tools development and deployment. FY 2017 request includes support for additional onsite assessments and responses; increased malware analysis capacity; additional tool development and advanced training opportunities; and watch operations support.

- **MS-ISAC:** provides support necessary to maintain a centralized forum for information sharing between the Federal Government and State, local, tribal, and territorial governments. FY 2017 base was adjusted to reflect additional cost sharing with the States.

- **National Coordinating Center:** provides support services to the NCC emergency response, training and exercise, planning, and preparation efforts for restoration of communications infrastructure and NS/EP; maintains White House directed Title Globe program; supports NCCIC watch operations. FY 2017 request includes enhanced support of National Coordinating Center ESF-2; Modeling, Analysis, and Continuity support; 24x7 watch support; and Network Design and Analysis Capability.

Summary Tables of Sub-PPA
Department of Homeland Security
National Protection and Programs Directorate
Operations and Support
Infrastructure Analysis
Emergency Communications Preparedness
FY 2016 to FY 2017 Budget Change- Sub-PPA Level
(Dollars in Thousands)

Emergency Communications Preparedness		Positions	FTE	Amount
Current Services	2016 Salaries & Benefits Rightsizing	-	1	(22)
	2017 Pay Raise	-	-	29
	Annualization of 2016 Pay Raise	-	-	7
	From IPIS / NGN to O&S / Infrastructure Analysis	8	7	1,167
	From IPIS / PTS to O&S / Infrastructure Analysis	4	4	503
	From IPIS / Prog Study Enhance Telecom to O&S / Infrastructure Analysis	6	4	3,082
	WCF Transfer	-	-	(26)
Budget Year	**FY 2017 Request**	**18**	**16**	**4,740**
	Total Change from FY 2016 to FY 2017	**18**	**16**	**4,740**

SUB-PPA DESCRIPTION:

The Emergency Communications Preparedness budget project provides specialized modeling and analysis to predict and mitigate the effect of communications failures during times of crisis. Through these analyses, it provides critical risk assessments for the Communications sector during response to a man-made or natural disasters, in addition to assisting restoration and recovery efforts.

Department of Homeland Security
National Protection and Programs Directorate
Operations and Support
Infrastructure Analysis
Emergency Communications Preparedness
Cost Drivers (Pay & Benefits) – Sub-PPA Level (Excludes Reimbursables) ($000s)

	FY 2015 Revised Enacted				FY 2016 Enacted				FY 2017 Request				FY 2016 – 2017 Change			
	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost
Civilian Total	18	15	$2,279	$151	18	15	$2,440	$162	18	16	$2,454	$152	-	1	$14	($9)
Military Total	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-

*FY 2015 and FY 2016 funding levels reflect the CAS structure and are for comparison purposes only.

NARRATIVE EXPLANATION OF CHANGES – PAY COST DRIVERS:

- **FTE Change FY 2016-2017:** Changes to FTE include 2016 Rightsizing (+1).
- **PCB Change FY 2016-2017:** A 1.6% inflation factor was applied to 2017 salaries and benefits costs. This figure includes all salaries and benefits, career ladder promotions, within grade increases, awards, and overtime for 18 FTP/16 FTE.
- FY 2017 request estimates $0.019 million for GS awards and $0 for SES awards. This request remains in line with OPM Awards Guidance on Spending Limitations.

Department of Homeland Security
National Protection and Programs Directorate
Operations and Support
Infrastructure Analysis
Emergency Communications Preparedness
Cost Drivers (Non-Pay) – Sub-PPA Level ($000s)

Emergency Communications Preparedness	2015 Revised Enacted	2016 Enacted	2017 Request	FY 2016 to FY 2017 Change
FY 2017 Non- Pay Cost Drivers (greatest-least)				
Modeling, Analysis, and Technical Assessments	$2,313	$2,312	$2,286	($26)
Total	**$2,313**	**$2,312**	**$2,286**	**($26)**

*FY 2015 and FY 2016 funding levels reflect the CAS structure and are for comparison purposes only.

NARRATIVE EXPLANATION OF CHANGES – NON-PAY COST DRIVERS:

- **Modeling, Analysis, and Technical Assessments:** conducts analysis and technical assessments for National Security and Emergency Preparedness (NS/EP) communications systems standards, designs and operating parameters. NS/EP communications systems that are currently being evaluated include:

    - Priority services (GETS, WPS, TSP, SRAS)
    - 911 and NG-911
    - Land Mobile Radio
    - Tactical broadband systems
    - Alert and warning systems

This effort ensures NS/EP communications meet user requirements for their specific implementation needs.

Summary Tables of Sub-PPA
Department of Homeland Security
National Protection and Programs Directorate
Operations and Support
Infrastructure Analysis
Cyber and Infrastructure Awareness and Reporting
FY 2016 to FY 2017 Budget Change- Sub-PPA Level
(Dollars in Thousands)

Cyber and Infrastructure Awareness and Reporting		Positions	FTE	Amount
Current Services	2016 Salaries & Benefits Rightsizing	-	4	679
	2017 Pay Raise	-	-	59
	Annualization of 2016 Pay Raise	-	-	16
	Efficiencies	-	-	(48)
	From IPIS / Regional Field Operations to O&S / Infrastructure Analysis	30	25	12,082
	Increased Retirement Contributions	-	-	2
	WCF Transfer	-	-	(66)
Program Changes	Cyber and Infrastructure Awareness and Reporting	-	-	2,000
Budget Year	**FY 2017 Request**	**30**	**29**	**14,724**
	Total Change from FY 2016 to FY 2017	**30**	**29**	**14,724**

SUB-PPA DESCRIPTION:

The Cyber and Infrastructure Awareness and Reporting budget project funds the 24x7 watch function for critical infrastructure situational awareness and operational coordination across NPPD. The operational coordination and watch activities operated by the NICC and funded by Cyber and Infrastructure Awareness and Reporting serve as a functional element of the Department's National Operations Center (NOC) and NPPD primary coordination mechanism to the rest of the Department. Through the watch center, NPPD staffs an information and coordination hub of a national network dedicated to protecting critical infrastructure essential to the Nation's security, health and safety, and economic vitality. The project funds vetting, creating, disseminating, and tracking requests for information or action from internal and external critical infrastructure partners, enabling partners to address the most demanding restoration priorities and make the most informed decisions throughout a crisis.

Critical Infrastructure Situational Awareness assists NPPD in the creation of strategic and operational analyses to include implementation plans focused on enhancing situational awareness capabilities. In FY 2017, Critical Infrastructure Situational Awareness will focus on developing electronic authoritative data sources for potential data feeds utilizing the outreach and partnership capabilities across NPPD. This will be accomplished through a comprehensive recognition and assessment of available and obtainable data feeds to determine comprehensiveness, accuracy, and availability of information.

Readiness to Support Information Sharing and Incident Response supports incident response capabilities with the Critical Infrastructure Crisis Action Team (CI-CAT). In FY 2017, this will fund developing and facilitating 12 training sessions for CI-CAT staff to ensure readiness, communications, and mission-focus during incident response activations. Through these operational coordination and watch functions, NPPD ensures the organization is prepared for Continuity of Operations and all hazards planning to enable. Through cross-component operational planning, NPPD ensures overall readiness capabilities for a disaster-resilient workforce.

Department of Homeland Security
National Protection and Programs Directorate
Operations and Support
Infrastructure Analysis
Cyber and Infrastructure Awareness and Reporting
Cost Drivers (Pay & Benefits) – Sub-PPA Level (Excludes Reimbursables) ($000s)

	FY 2015 Revised Enacted				FY 2016 Enacted				FY 2017 Request				FY 2016 – 2017 Change			
	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost
Civilian Total	30	24	$4,159	$172	30	25	$4,232	$168	30	29	$4,988	$171	-	4	$756	$3
Military Total	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-

*FY 2015 and FY 2016 funding levels reflect the CAS structure and are for comparison purposes only.

NARRATIVE EXPLANATION OF CHANGES – PAY COST DRIVERS:

- **FTE Change FY 2016-2017:** Changes to FTE include 2016 Rightsizing (+4).
- **PCB Change FY 2016-2017:** A 1.6% inflation factor was applied to 2017 salaries and benefits costs. This figure includes all salaries and benefits, career ladder promotions, within grade increases, awards, and overtime for 30 FTP/29 FTE.
- FY 2017 request estimates $0.032 million for GS awards and $0 for SES awards. This request remains in line with OPM Awards Guidance on Spending Limitations.

Department of Homeland Security
National Protection and Programs Directorate
Operations and Support
Infrastructure Analysis
Cyber and Infrastructure Awareness and Reporting
Cost Drivers (Non-Pay) – Sub-PPA Level ($000s)

Cyber and Infrastructure Awareness and Reporting	2015 Revised Enacted	2016 Enacted	2017 Request	FY 2016 to FY 2017 Change
FY 2017 Non- Pay Cost Drivers (greatest-least)				
NICC Watch Operations Contract	$4,387	$4,475	$5,281	$806
Data Strategy for Near Time Situational Awareness	$235	-	$2,000	$2,000
Contingency Planning Support Contract	$1,168	$902	$902	-
WebEOC (via MACE) Contract	$450	$450	$450	-
Total	**$6,240**	**$5,827**	**$8,633**	**$2,806**

*FY 2015 and FY 2016 funding levels reflect the CAS structure and are for comparison purposes only.

NARRATIVE EXPLANATION OF CHANGES – NON-PAY COST DRIVERS:

- **NICC Watch Operations:** This cost is for the National Infrastructure Coordinating Center (NICC) Watch Operations contract. Plans are to recompete this contract in FY 2017 which reflects the increase funding. The NICC would restructure the contract requirements and reprioritize the NICC spend plan to support this contract activity.

- **Data Strategy for Near Time Situational Awareness:** In FY 2017, NPPD will focus on developing electronic authoritative data sources for potential data feeds utilizing the outreach and partnership capabilities across NPPD to assist in identifying these data sources from across the sectors, with a concerted focus on the life line sectors (communications, transportation systems, energy, water/wastewater systems). This would be accomplished through a comprehensive recognition and assessment of available and obtainable data feeds to determine comprehensiveness, accuracy, and availability of information focused on enhancing situational awareness capabilities.

- **Contingency Planning Support:** The contingency planning effort includes: establishing the mechanisms, processes, and procedures to facilitate collaborative planning across NPPD and within the regions; developing Suite of Plans (Steady State Plans, Special Event Support Plans, and Incident Management and Support Plans as well as various functional and scenario specific plans); developing plans based on the needs of the directorate and the requirements established by leadership; representing NPPD

in Department and Interagency planning initiatives; and participating in the incident management support efforts for the directorate.

- **WebEOC (via MACE) Contract:** The NICC utilizes the Web Emergency Operations Center (WebEOC), a modified commercial off-the-shelf (COTS) application that is hosted on the IP Gateway, to effectively monitor the Nation's CI and enable incident management for conditions and events that threaten, or could significantly impact, these assets and systems. This support is provided via the NPPD MACE contract.

Summary Tables of Sub-PPA
Department of Homeland Security
National Protection and Programs Directorate
Operations and Support
Management and Administration
Mission Support
FY 2016 to FY 2017 Budget Change- Sub-PPA Level
(Dollars in Thousands)

Mission Support		Positions	FTE	Amount
Current Services	2016 Salaries & Benefits Rightsizing	-	47	3,036
	2017 Pay Raise	-	-	837
	Annualization of 2016 Pay Raise	-	-	228
	Attrition & Lapse Rate Savings	-	(2)	(599)
	DHS Balanced Workforce Initiative	1	1	171
	Efficiencies	-	-	(1,169)
	From IPIS / Business Operations to O&S / Management & Administration	27	26	6,406
	From IPIS / CICPA to O&S / Management & Administration	3	2	312
	From IPIS / Federal Network Security to O&S / Management & Administration	8	5	1,107
	From IPIS / GCSM to O&S / Management & Administration	1	1	124
	From IPIS / IA&P to O&S / Management & Administration	13	8	4,048
	From IPIS / OEC to O&S / Management & Administration	8	8	1,261
	From IPIS / PTS to O&S / Management & Administration	16	12	2,001
	From IPIS / Prog Study Enhance Telecom to O&S / Management & Administration	2	2	331
	From IPIS / SMG to O&S / Management & Administration	2	2	7,712
	From IPIS / US-CERT Operations to O&S / Management & Administration	34	26	4,748

Mission Support		Positions	FTE	Amount
	From M&A / Directorate Administration to O&S / Management & Administration	386	337	62,132
	From O&S / M&A to Office of Biometric Identity Management	(19)	(18)	(2,523)
	Increased FPS Fees	-	-	18
	Increased Retirement Contributions	-	-	28
	WCF Transfer	-	-	(167)
Budget Year	**FY 2017 Request**	**482**	**457**	**90,042**
	Total Change from FY 2016 to FY 2017	**482**	**457**	**90,042**

Note: This PPA includes $61.878 million in non -050 defense funding for FY 2017.

SUB-PPA DESCRIPTION:

The Mission Support budget project provides funding for Directorate leadership and the management and oversight of NPPD programs through various functions as outlined below. Mission support personnel are co-located with NPPD personnel across programs.

Mission support includes: Chief of Staff, Executive Secretariat, Freedom of Information Act, Records Management, Audit Liaison, External Affairs, Requirements and Capabilities Planning, Budget Formulation and Execution, Performance Management, Financial Operations, Financial Reporting, Internal Controls, Economics, Acquisitions, Procurement, Professional Development and Training, Employee and Labor Relations, Human Capital Operations, Workforce Analysis and Reporting, Human Capital Support Team, Facilities, Real Property Management, Environmental Management, Personal Property, Fleet, Administrative Support, Information Technology, Business Continuity and Emergency Preparedness, Strategy Policy, and Plans, International Affairs, Privacy, Internal Affairs, Program Review and Evaluation, Security Management, and Legal.

Department of Homeland Security
National Protection and Programs Directorate
Operations and Support
Management and Administration
Mission Support

Cost Drivers (Pay & Benefits) – Sub-PPA Level (Excludes Reimbursables) ($000s)

	FY 2015 Revised Enacted				FY 2016 Enacted				FY 2017 Request				FY 2016 to FY 2017 Change			
	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost
Civilian Total	486	371	$65,119	$175	500	429	$64,992	$150	482	457	$70,511	$153	(18)	28	$5,519	$3
Military Total	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-

*FY 2015 and FY 2016 funding levels reflect the CAS structure and are for comparison purposes only.

NARRATIVE EXPLANATION OF CHANGES – PAY COST DRIVERS:

- **FTE Change FY 2016-2017:** Changes to FTE include Transfers Out (-18), 2016 Rightsizing (+47), DHS Balanced Workforce Initiative (+1), and Attrition and Lapse Rate Savings (-2).
- **PCB Change FY 2016-2017:** A 1.6% inflation factor was applied to 2017 salaries and benefits costs. This figure includes all salaries and benefits, career ladder promotions, within grade increases, awards, and overtime for 482 FTP/457 FTE.
- FY 2017 request estimates $0.493 million for GS awards and $0.076 million for SES awards. This request remains in line with OPM Awards Guidance on Spending Limitations.

Department of Homeland Security
National Protection and Programs Directorate
Operations and Support
Management and Administration
Mission Support

Cost Drivers (Non-Pay) – Sub-PPA Level ($000s)

Mission Support	2015 Revised Enacted	2016 Enacted	2017 Request	FY 2016 to FY 2017 Change
FY 2017 Non- Pay Cost Drivers (greatest-least)				
Mission Support Services	$8,382	$8,466	$8,551	$85
Working Capital Fund/GSA Rent	$8,160	$7,921	$7,754	($167)
Employee Travel, Training, and Supplies	$841	$841	$841	-
Total	**$17,383**	**$17,228**	**$17,146**	**($82)**

*FY 2015 and FY 2016 funding levels reflect the CAS structure and are for comparison purposes only.

NARRATIVE EXPLANATION OF CHANGES – NON-PAY COST DRIVERS:

- **Mission Support Services:** Funding supports the management and oversight of NPPD's programs. FY 2017 funding assumes the continuation of FY 2016 requirements, with inflation.

- **Working Capital Fund/GSA Rent:** A managed account from which a full range of oversight support services such as GSA rent, finance, accounting, information technology, human capital, and personnel administration are provided to all DHS components. The FY 2017 Request includes a transfer of funding to DHS headquarters for centrally managed activities.

- **Employees Travel, Training, and Supplies:** Includes funding for NPPD leadership and employee travel, professional development and training, and office supplies needed to complete professional duties and responsibilities. Costs are assumed to stay constant through FY 2017.

Summary Tables of Sub-PPA
Department of Homeland Security
National Protection and Programs Directorate
Operations and Support
Infrastructure Capacity Building
Bombing Prevention
FY 2016 to FY 2017 Budget Change- Sub-PPA Level
(Dollars in Thousands)

Bombing Prevention		**Positions**	**FTE**	**Amount**
Current Services	From IPIS / IA&P to O&S / Infrastructure Capacity Building	20	19	14,206
	From O&S / ICB to CBRNE for Office of Bombing Prevention	(20)	(19)	(14,206)
Budget Year	**FY 2017 Request**	**-**	**-**	**-**
	Total Change from FY 2016 to FY 2017	**-**	**--**	**-**

SUB-PPA DESCRIPTION:

In FY2017, Bombing Prevention transferred to the Chemical, Biological, Radiological, Nuclear, and Explosives (CBRNE) Office.

Summary Tables of Sub-PPA
Department of Homeland Security
National Protection and Programs Directorate
Operations and Support
Infrastructure Capacity Building
Critical Infrastructure Partnerships
FY 2016 to FY 2017 Budget Change- Sub-PPA Level
(Dollars in Thousands)

Critical Infrastructure Partnerships		Positions	FTE	Amount
Current Services	2016 Salaries & Benefits Rightsizing	-	1	234
	2017 Pay Raise	-	-	19
	Annualization of 2016 Pay Raise	-	-	6
	From IPIS / Regional Field Operations to O&S / Infrastructure Capacity Building	11	9	9,883
	Increased Retirement Contributions	-	-	1
	WCF Transfer	-	-	(51)
Program Changes	Daily Open Source Infrastructure Report	-	-	(847)
Budget Year	**FY 2017 Request**	**11**	**10**	**9,245**
	Total Change from FY 2016 to FY 2017	**11**	**10**	**9,245**

SUB-PPA DESCRIPTION:

The Critical Infrastructure Partnerships budget project funds a comprehensive network of stakeholder engagement structures and processes through which critical infrastructure stakeholders coordinate and communicate within their communities and across sectors and geographic jurisdictions. Specifically, it funds partnership council and regional forum operational support; implementation and reliable operation of critical infrastructure information sharing mechanisms in collaboration with critical infrastructure stakeholders, the Department, other Federal agencies, and State and local governments through the Homeland Security Information Network for Critical Infrastructure (HSIN-CI); and critical infrastructure training and education programs. The Critical Infrastructure Partnerships budget project also funds effective legal and policy-compliant mechanisms and procedures in support of a unifying information-sharing environment for the stakeholder community, from the national to the local level.

In FY 2017, the Critical Infrastructure Partnerships budget project will fund strengthening HSIN-CI as the primary collaboration tool for critical infrastructure information sharing, taking advantage of new design features and communication functionalities to access information more readily. The Critical Infrastructure Partnerships budget project will fund headquarters and field-based activities in

support of the State, Local, Tribal, and Territorial Government Coordinating Council (SLTTGCC) and Regional Consortium Coordinating Council (RC3) efforts to expand membership and increase participation and conduct pilot initiatives to support design and implementation of regional capacity building efforts. Finally, the Critical Infrastructure Partnerships budget project funds the development of supporting products in collaboration with academic institutions to invigorate critical infrastructure security and resilience disciplines.

Department of Homeland Security
National Protection and Programs Directorate
Operations and Support
Infrastructure Capacity Building
Critical Infrastructure Partnerships
Cost Drivers (Pay & Benefits) – Sub-PPA Level (Excludes Reimbursables) ($000s)

	FY 2015 Revised Enacted				FY 2016 Enacted				FY 2017 Request				FY 2016 to FY 2017 Change			
	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost
Civilian Total	11	9	$1,472	$163	11	9	$1,426	$157	11	10	$1,683	$167	-	1	$257	$10
Military Total	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-

*FY 2015 and FY 2016 funding levels reflect the CAS structure and are for comparison purposes only.

NARRATIVE EXPLANATION OF CHANGES – PAY COST DRIVERS:

- **FTE Change FY 2016-2017:** Changes to FTE include 2016 Rightsizing (+1).
- **PCB Change FY 2016-2017:** A 1.6% inflation factor was applied to 2017 salaries and benefits costs. This figure includes all salaries and benefits, career ladder promotions, within grade increases, awards, and overtime for 11 FTP/10 FTE.
- FY 2017 request estimates $0.010 million for GS awards and $0 for SES awards. This request remains in line with OPM Awards Guidance on Spending Limitations.

Department of Homeland Security
National Protection and Programs Directorate
Operations and Support
Infrastructure Capacity Building
Critical Infrastructure Partnerships

Critical Infrastructure Partnerships	2015 Revised Enacted	2016 Enacted	2017 Request	FY 2016 to FY 2017 Change
FY 2017 Non- Pay Cost Drivers (greatest-least)				
Homeland Security Information Network - Critical Infrastructure (HSIN-CI)	$5,039	$6,542	$5,647	($895)
Partnership Council Management & Outreach	$3,096	$1,613	$1,613	-
Higher Education Initiative	$213	$216	$216	-
SLTT Partnership Invitational Travel	$111	$86	$86	-
Total	**$8,459**	**$8,457**	**$7,562**	**($895)**

*FY 2015 and FY 2016 funding levels reflect the CAS structure and are for comparison purposes only.

NARRATIVE EXPLANATION OF CHANGES – NON-PAY COST DRIVERS:

- **Homeland Security Information Network - Critical Infrastructure (HSIN-CI) Management & Operations:** the primary collaboration tool for critical infrastructure information sharing is the Homeland Security Information Network for Critical Infrastructure (HSIN-CI). HSIN-CI is a secure, unclassified, web-based communications system that serves as the primary, nationwide DHS collaboration system for sharing sensitive, but unclassified information. HSIN-CI supports information sharing by serving as a common platform to provide critical infrastructure stakeholders with advanced tactical and planning communication functionalities. This effort supports the implementation and reliable operation of critical infrastructure information sharing mechanisms in collaboration with critical infrastructure stakeholders, the Department, other Federal agencies, and State and local governments. Funding change reflects elimination of the Daily Open Source Infrastructure Reports.

- **Partnership Council Management & Outreach:** this effort enhances critical infrastructure protection and resilience by building and sustaining a comprehensive network of stakeholder engagement structures and engagement processes through which critical infrastructure stakeholders coordinate and communicate within their communities and across sectors and geographic jurisdictions. The effort will support effective legal and policy-compliant structure and procedures through the Critical Infrastructure Partnership Advisory Council (CIPAC) and other mechanisms for engagement within and among critical infrastructure public-private partnerships and a unifying information-sharing environment for the stakeholder community, from the national to the local level.

- **Higher Education Initiative:** develops and expands a higher-education comprehensive curriculum in collaboration with academic institutions to invigorate critical infrastructure security and resilience disciplines.

- **Invitational Travel:** supports the active participation of State, local, and territorial partners.

Summary Tables of Sub-PPA
Department of Homeland Security
National Protection and Programs Directorate
Operations and Support
Infrastructure Capacity Building
Critical Cyber Infrastructure Resilience
FY 2016 to FY 2017 Budget Change- Sub-PPA Level
(Dollars in Thousands)

Critical Cyber Infrastructure Resilience		Positions	FTE	Amount
Current Services	2016 Salaries & Benefits Rightsizing	-	6	1,424
	2017 Pay Raise	-	-	82
	Annualization of 2016 Pay Raise	-	-	16
	Annualization of Prior Year Positions	-	6	1,092
	From IPIS / CICPA to O&S / Infrastructure Capacity Building	20	9	12,794
	From IPIS / GCSM to O&S / Infrastructure Capacity Building	13	10	7,270
	From IPIS / PTS to O&S / Infrastructure Capacity Building	1	1	125
	Increased Retirement Contributions	-	-	1
	WCF Transfer	-	-	(143)
Program Changes	Cyber Security Advisors (CSAs)	14	8	5,441
	Software Assurance	-	-	(1,679)
Budget Year	**FY 2017 Request**	**48**	**40**	**26,423**
	Total Change from FY 2016 to FY 2017	**48**	**40**	**26,423**

SUB-PPA DESCRIPTION:

The Cyber Infrastructure Resilience budget project funds regional cybersecurity support through the Cyber Security Advisors initiative and protects the information and communication technology supply chain. The Cyber Security Advisors provide a field-based Federal resource to regions, communities, and businesses in order to protect information and communication technology components within the Nation's critical infrastructure. It also provides support to the Information Sharing and Analysis Organization

(ISAO) initiative. ISAO is a cooperative agreement that allows companies and other entities to share information with each other on a voluntary basis and to actively participate in Departmental information sharing programs even if they do not fit into an existing critical infrastructure sector.

In FY 2017, Cyber Infrastructure Resilience will facilitate 150 voluntary CRRs and metric collection on the adoption or implementation of the Cybersecurity Framework, deploy 16 Cyber Security Advisors through the NPPD regional offices to provide cyber expertise to the cyber-centric Regional Resilience Assessment Program (RRAPs) and other joint operational activities, provide ongoing information and communication technology supply chain subject matter expertise to NIST, and support ISAO efforts.

Department of Homeland Security
National Protection and Programs Directorate
Operations and Support
Infrastructure Capacity Building
Critical Cyber Infrastructure Resilience
Cost Drivers (Pay & Benefits) – Sub-PPA Level (Excludes Reimbursables) ($000s)

	FY 2015 Revised Enacted				FY 2016 Enacted				FY 2017 Request				FY 2016 to FY 2017 Change			
	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost
Civilian Total	26	16	$2,577	$160	34	20	$3,322	$165	48	40	$7,198	$179	14	20	$3,876	$14
Military Total	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-

*FY 2015 and FY 2016 funding levels reflect the CAS structure and are for comparison purposes only.

NARRATIVE EXPLANATION OF CHANGES – PAY COST DRIVERS:

- **FTE Change FY 2016-2017:** Changes to FTE include Annualization of Prior Year Positions (+6), 2016 Rightsizing (+6), and Program Changes (+8 for Cybersecurity Advisors).
- **PCB Change FY 2016-2017:** A 1.6% inflation factor was applied to 2017 salaries and benefits costs. This figure includes all salaries and benefits, career ladder promotions, within grade increases, awards, and overtime for 48 FTP/40 FTE.
- FY 2017 request estimates $0.025 million for GS awards and $0 for SES awards. This request remains in line with OPM Awards Guidance on Spending Limitations.

Department of Homeland Security
National Protection and Programs Directorate
Operations and Support
Infrastructure Capacity Building
Critical Cyber Infrastructure Resilience

Cost Drivers (Non-Pay) – Sub-PPA Level ($000s)

Critical Cyber Infrastructure Resilience	2015 Revised Enacted	2016 Enacted	2017 Request	FY 2016 to FY 2017 Change
FY 2017 Non- Pay Cost Drivers (greatest-least)				
Stakeholder Risk Assessment & Mitigation	$9,641	$14,667	$17,025	$2,358
ISAO Cooperative Agreement	$2,200	$2,200	$2,200	-
Total	**$11,841**	**$16,867**	**$19,225**	**$2,358**

*FY 2015 and FY 2016 funding levels reflect the CAS structure and are for comparison purposes only.

NARRATIVE EXPLANATION OF CHANGES – NON-PAY COST DRIVERS:

- **Stakeholder Risk Assessment and Mitigation:** provides assessment tools, technique/method development support related to the CRR and EDM assessments, and supports supply chain risk analysis capabilities. FY 2017 requests additional resources to support the CSA initiative and reduction to Software Assurance.

- **ISAO Cooperative Agreement:** ISAO is a cooperative agreement that allows companies and other entities to share information with each other on a voluntary basis and to actively participate in Departmental information sharing programs even if they do not fit into an existing critical infrastructure sector.

Summary Tables of Sub-PPA
Department of Homeland Security
National Protection and Programs Directorate
Operations and Support
Infrastructure Capacity Building
Emergency Communications Preparedness
FY 2016 to FY 2017 Budget Change- Sub-PPA Level
(Dollars in Thousands)

Emergency Communications Preparedness		**Positions**	**FTE**	**Amount**
Current Services	2016 Salaries & Benefits Rightsizing	-	7	1,041
	2017 Pay Raise	-	-	132
	Annualization of 2016 Pay Raise	-	-	34
	Attrition & Lapse Rate Savings	-	-	(120)
	From IPIS / CI Protection to O&S / Infrastructure Capacity Building	6	5	810
	From IPIS / OEC to O&S / Infrastructure Capacity Building	63	54	32,156
	From IPIS / PTS to O&S / Infrastructure Capacity Building	6	6	756
	From IPIS / Prog Study Enhance Telecom to O&S / Infrastructure Capacity Building	-	-	2,102
	Increased Retirement Contributions	-	-	4
	WCF Transfer	-	-	(188)
Program Changes	Statewide Medical Emergency Communication	-	-	(2,000)
Budget Year	**FY 2017 Request**	**75**	**72**	**34,727**
	Total Change from FY 2016 to FY 2017	**75**	**72**	**34,727**

SUB-PPA DESCRIPTION:

The Emergency Communications Preparedness budget project provides emergency communications capabilities – operability, interoperability, and continuous communications – at all levels of government and across all public safety disciplines, including strategic planning, aligning grants, developing common investment and infrastructure planning approaches, representing Federal users on national-level policy and standards issues, and coordinating between domestic and international stakeholders along the borders.

In FY 2017, the Emergency Communications Preparedness budget project will fund participation in over 40 standards engagements, work with the commercial industry and collaborate on new communications standards in the wireless, satellite, and Internet Protocol arenas, conduct approximately 250 technical assistance engagements for SLTT governments in the field, of which 115 will support Statewide Communication Interoperability Plans, and continue in-depth stakeholder engagements with the National Council of Statewide Interoperability Coordinators and SAFECOM.

Department of Homeland Security
National Protection and Programs Directorate
Operations and Support
Infrastructure Capacity Building
Emergency Communications Preparedness

Cost Drivers (Pay & Benefits) – Sub-PPA Level (Excludes Reimbursables) ($000s)

	FY 2015 Revised Enacted				FY 2016 Enacted				FY 2017 Request				FY 2016 to FY 2017 Change			
	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost
Civilian Total	71	61	$9,574	$156	75	65	$10,031	$153	75	72	$11,242	$155	-	7	$1,211	$2
Military Total	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-

*FY 2015 and FY 2016 funding levels reflect the CAS structure and are for comparison purposes only.

NARRATIVE EXPLANATION OF CHANGES – PAY COST DRIVERS:

- **FTE Change FY 2016-2017:** Changes to FTE include 2016 Rightsizing (+7).
- **PCB Change FY 2016-2017:** A 1.6% inflation factor was applied to 2017 salaries and benefits costs. This figure includes all salaries and benefits, career ladder promotions, within grade increases, awards, and overtime for 75 FTP/72 FTE.
- FY 2017 request estimates $0.076 million for GS awards and $0.008 million for SES awards. This request remains in line with OPM Awards Guidance on Spending Limitations.

Department of Homeland Security
National Protection and Programs Directorate
Operations and Support
Infrastructure Capacity Building
Emergency Communications Preparedness
Cost Drivers (Non-Pay) – Sub-PPA Level ($000s)

Emergency Communications Preparedness	2015 Revised Enacted	2016 Enacted	2017 Request	FY 2016 to FY 2017 Change
FY 2017 Non- Pay Cost Drivers (greatest-least)				
Public Safety &NS/EP Partnership	$10,976	$11,042	$9,042	($2,000)
Technical Assistance	$6,014	$6,014	$6,014	-
Regional Coordination	$5,570	$5,570	$5,570	-
Architecture & Advanced Technologies	$504	$504	$504	-
Total	**$23,064**	**$23,130**	**$21,130**	**($2,000)**

*FY 2015 and FY 2016 funding levels reflect the CAS structure and are for comparison purposes only.

NARRATIVE EXPLANATION OF CHANGES – NON-PAY COST DRIVERS:

- **Public Safety & NS/EP Partnerships:** includes support to Office of Emergency Communications' stakeholder engagement and outreach operations, engaging partners at all levels of government – Federal, State, local, tribal, and international and in industry – to focus on improving public safety, national security, and emergency communications. FY 2017 decrease reflects reduction of statewide medical communication system.

- **Technical Assistance:** provides support services to execute the Interoperable Communications Technical Assistance Program (ICTAP), enhancing interoperable communications among local, State/territory, and Federal emergency responders and public safety officials.

- **Regional Coordination:** provides regional and local level support to strengthen emergency communications and response capabilities, enabling Federal and SLTT governments to develop and update plans, procedures and strategic priorities.

- **Architecture & Advanced Technologies:** provides national policy and planning technical and business support; Public Safety

Communications Research (PSCR) testbed O&M, the test platform from developmental test efforts supporting NGN; and providing Committee on Foreign Investment in the U. S. (CFIUS) support for activities related to OEC telecom equities.

Summary Tables of Sub-PPA
Department of Homeland Security
National Protection and Programs Directorate
Operations and Support
Infrastructure Capacity Building
Enhanced Cybersecurity Services
FY 2016 to FY 2017 Budget Change- Sub-PPA Level
(Dollars in Thousands)

Enhanced Cybersecurity Services		Positions	FTE	Amount
Current Services	2016 Salaries & Benefits Rightsizing	-	4	720
	2017 Pay Raise	-	-	32
	Annualization of 2016 Pay Raise	-	-	5
	Annualization of Prior Year Positions	-	3	546
	From IPIS / CICPA to O&S / Infrastructure Capacity Building	10	5	1,534
	From IPIS / NSD to O&S / Infrastructure Capacity Building	4	2	15,851
	From IPIS / US-CERT Operations to O&S / Infrastructure Capacity Building	5	4	703
	Increased Retirement Contributions	-	-	1
	WCF Transfer	-	-	(106)
Budget Year	**FY 2017 Request**	**19**	**18**	**19,286**
	Total Change from FY 2016 to FY 2017	**19**	**18**	**19,286**

SUB-PPA DESCRIPTION:

The Enhanced Cybersecurity Services (ECS) budget project funds support to U.S.-based public and private entities and increases their resilience against cyber and physical attacks, as well as natural disasters and terrorist attacks, by sharing cyber threat information with qualified Commercial Service Providers and Operational Implementers (CSPs/OIs). The budget project funds engagements and validation with critical infrastructure partners, coordination with interagency data providers, and conducting research into the development of new countermeasures and services. These activities are closely coordinated with other cybersecurity information sharing activities on the development, vetting, and transmittal of indicators to the providers and with the teams that review and accredit the CSPs.

In FY 2017, the ECS budget project will continue to fund sharing timely, actionable, and vetted Government Furnished Information with qualified CSPs/OIs. These resources will also sustain on-going engagement and coordination with CSPs, Sector Specific Agencies (SSA), and critical infrastructure entities interested in pursuing the accreditation process of their ECS intrusion prevention system.

Department of Homeland Security
National Protection and Programs Directorate
Operations and Support
Infrastructure Capacity Building
Enhanced Cybersecurity Services
Cost Drivers (Pay & Benefits) – Sub-PPA Level (Excludes Reimbursables) ($000s)

	FY 2015 Revised Enacted				FY 2016 Enacted				FY 2017 Request				FY 2016 to FY 2017 Change			
	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost
Civilian Total	11	6	$1,454	$241	19	11	$2,002	$181	19	18	$3,186	$176	-	7	$1,184	($5)
Military Total	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-

*FY 2015 and FY 2016 funding levels reflect the CAS structure and are for comparison purposes only.

NARRATIVE EXPLANATION OF CHANGES – PAY COST DRIVERS:

- **FTE Change FY 2016-2017:** Changes to FTE include Annualization of Prior Year Positions (+3) and 2016 Rightsizing (+4).
- **PCB Change FY 2016-2017:** A 1.6% inflation factor was applied to 2017 salaries and benefits costs. This figure includes all salaries and benefits, career ladder promotions, within grade increases, awards, and overtime for 19 FTP/18 FTE.
- FY 2017 request estimates $0.015 million for GS awards and $0 for SES awards. This request remains in line with OPM Awards Guidance on Spending Limitations.

Department of Homeland Security
National Protection and Programs Directorate
Operations and Support
Infrastructure Capacity Building
Enhanced Cybersecurity Services
Cost Drivers (Non-Pay) – Sub-PPA Level ($000s)

Enhanced Cybersecurity Services	2015 Revised Enacted	2016 Enacted	2017 Request	FY 2016 to FY 2017 Change
FY 2017 Non- Pay Cost Drivers (greatest-least)				
Specialized Security Services & Support	-	$15,484	$15,564	$80
Total	**-**	**$15,484**	**$15,564**	**$80**

*FY 2015 and FY 2016 funding levels reflect the CAS structure and are for comparison purposes only.

NARRATIVE EXPLANATION OF CHANGES – NON-PAY COST DRIVERS:

- **Specialized Security Services & Support:** supports the CSP/OI through the security process towards Authorization To Operate (ATO), personnel security support, and general security support for system operation. SME support utilized for CSP/OI system accreditation assessments, advanced countermeasure catalog, technical support for architecture design reviews, and technical consulting for new security requirements.

Summary Tables of Sub-PPA
Department of Homeland Security
National Protection and Programs Directorate
Operations and Support
Infrastructure Capacity Building
Federal Network Resilience
FY 2016 to FY 2017 Budget Change- Sub-PPA Level
(Dollars in Thousands)

Federal Network Resilience		**Positions**	**FTE**	**Amount**
Current Services	2016 Salaries & Benefits Rightsizing	-	18	1,297
	2017 Pay Raise	-	-	104
	Annualization of 2016 Pay Raise	-	-	22
	Annualization of Prior Year Positions	-	3	756
	Attrition & Lapse Rate Savings	-	(1)	(263)
	Efficiencies	-	-	(4)
	From IPIS / Business Operations to O&S / Infrastructure Capacity Building	2	2	308
	From IPIS / Federal Network Security to O&S / Infrastructure Capacity Building	56	32	29,039
	Increased Retirement Contributions	-	-	3
	O&M Escalation for Major Acquisitions	-	-	42
	WCF Transfer	-	-	(208)
Program Changes	Cyber Design and Engineering Teams	9	5	944
	Cybersecurity Assurance	-	-	(305)
	Federal Information Security Management Act (FISMA)	18	9	6,214
	Network and Infrastructure Security - Trusted Internet Connection (TIC)	-	-	(225)
Budget Year	**FY 2017 Request**	**85**	**68**	**37,724**
	Total Change from FY 2016 to FY 2017	**85**	**68**	**37,724**

SUB-PPA DESCRIPTION:

The Federal Network Resilience budget project funds Federal departments and agencies capabilities to secure their systems and networks. It also funds a single, accountable focal point for achieving cyber infrastructure security and compliance throughout the Federal enterprise.

Trusted Internet Connections (TIC) Initiative, managed with OMB, optimizes network security capabilities into a common solution for the Federal information enterprise and facilitates reducing and consolidating external connections, including Internet points of presence, through approved access points. In FY 2017, the TIC Initiative will provide civilian Federal departments and agencies with practical, implementable, schematic designs for common information security functions, identify common cybersecurity requirements across agencies, and provide a small cadre of cybersecurity specialists dedicated to assisting small and micro-agencies with government-wide cybersecurity policies and directives.

FISMA Initiative supports the mitigation of cyber risk across Federal agencies, aligning outcome-oriented FISMA metrics with the Cybersecurity Framework, and provides OMB and Federal departments and agencies with support to understand current cybersecurity baselines while increasing future baselines. In FY 2017, the FISMA Initiative will fully execute its new authorities, including administering the implementation of Federal government-wide policies, assisting OMB in carrying out its responsibilities, monitoring agency implementation of policies, and coordinating Federal government-wide efforts on information security policies and practices. This includes the issuance of binding operational directives that implement NIST standards.

Information Systems Security Line of Business (ISSLoB) delivers strategic sourcing solutions enabling Federal government-wide cost savings for information technology security products and services. As the program management office and overseer of the Shared Service Centers (SSC) for the initiative, ISSLoB identifies information systems security solutions that reduce costs through shared services, eliminates duplication, and increases specialized expertise, freeing up resources for mission-specific requirements and other tailored security requirements. In FY 2017, ISSLoB will achieve annual cost savings government-wide by using at least two ISSLoB Blanket Purchase Agreements (BPAs) or SSCs.

Cybersecurity Capability Validation (CCV) assessments (blue teams) are conducted to assess and validate each Federal civilian departments' and/or agencies' implementation of technical (tools and technologies) and operational (people, processes, and security program maturity) cybersecurity capabilities required by Federal laws, policies, and initiatives. CCV assessments incorporate document reviews, staff interviews, operational observations, and direct testing when possible to provide an objective, repeatable, and consistent methodology ensuring fairness, and facilitating government-wide trending and analysis. In FY 2017, CCV will conduct between 18-22 CCV assessments.

Department of Homeland Security
National Protection and Programs Directorate
Operations and Support
Infrastructure Capacity Building
Federal Network Resilience

Cost Drivers (Pay & Benefits) – Sub-PPA Level (Excludes Reimbursables) ($000s)

	FY 2015 Revised Enacted				FY 2016 Enacted				FY 2017 Request				FY 2016 to FY 2017 Change			
	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost
Civilian Total	52	41	$6,643	$161	58	34	$7,866	$230	85	68	$11,223	$164	27	34	$3,357	($66)
Military Total	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-

*FY 2015 and FY 2016 funding levels reflect the CAS structure and are for comparison purposes only.

NARRATIVE EXPLANATION OF CHANGES – PAY COST DRIVERS:

- **FTE Change FY 2016-2017:** Changes to FTE include Annualization of Prior Year Positions (+3), 2016 Rightsizing (+18), Attrition and Lapse Rate Savings (-1), and Program Changes (+5 for NCCIC Staffing, +9 for FISMA).
- **PCB Change FY 2016-2017:** A 1.6% inflation factor was applied to 2017 salaries and benefits costs. This figure includes all salaries and benefits, career ladder promotions, within grade increases, awards, and overtime for 85 FTP/68 FTE.
- FY 2017 request estimates $0.059 million for GS awards and $0.023 million for SES awards. This request remains in line with OPM Awards Guidance on Spending Limitations.

Department of Homeland Security
National Protection and Programs Directorate
Operations and Support
Infrastructure Capacity Building
Federal Network Resilience
Cost Drivers (Non-Pay) – Sub-PPA Level ($000s)

Federal Network Resilience	2015 Revised Enacted	2016 Enacted	2017 Request	FY 2016 to FY 2017 Change
FY 2017 Non- Pay Cost Drivers (greatest-least)				
Cybersecurity Performance Management	$3,214	$5,490	$9,792	$4,302
Cybersecurity Assurance	$7,686	$8,904	$8,599	($305)
Network & Infrastructure Security	$4,514	$4,492	$4,266	($226)
Requirements & Acquisition Support	$2,595	$2,595	$2,637	$42
Total	**$18,009**	**$21,481**	**$25,294**	**$3,813**

*FY 2015 and FY 2016 funding levels reflect the CAS structure and are for comparison purposes only.

NARRATIVE EXPLANATION OF CHANGES – NON-PAY COST DRIVERS:

- **Cybersecurity Performance Management:** Includes enhanced program management and FISMA support services. FY 2017 increase includes enhanced support required to execute additional authorities under FISMA.

- **Cybersecurity Assurance:** Includes sustained program management support services and engineering subject matter expertise in support of the Cybersecurity Capability Validation (CCV) assessment initiative. FY 2017 reflects elimination of CCV assessments to two Federal Departments/agencies.

- **Network & Infrastructure Security:** Includes sustained program management support services FNR PMO support services and Trusted Internet Connection (TIC) engineering support. FY 2017 reduction reflects limited TIC compliance cybersecurity support, mitigated by accelerated CDM deployments.

- **Requirements & Acquisition Support:** Includes sustained program management support services and engineering subject matter expertise in support of the Information Systems Security Line of Business (ISSLoB).

Summary Tables of Sub-PPA
Department of Homeland Security
National Protection and Programs Directorate
Operations and Support
Infrastructure Capacity Building
National Infrastructure Protection Plan Management
FY 2016 to FY 2017 Budget Change- Sub-PPA Level
(Dollars in Thousands)

National Infrastructure Protection Plan Management		Positions	FTE	Amount
Current Services	2016 Salaries & Benefits Rightsizing	-	3	268
	2017 Pay Raise	-	-	36
	Annualization of 2016 Pay Raise	-	-	8
	Annualization of Prior Year Positions	-	1	182
	Attrition & Lapse Rate Savings	-	(1)	(225)
	DHS Balanced Workforce Initiative	9	7	30
	Efficiencies	-	-	(81)
	From IPIS / SMG to O&S / Infrastructure Capacity Building	22	17	12,386
	From O&S / ICB to Office of Community Partnerships to Counter Violent Extremism	(3)	(3)	(541)
	Increased Retirement Contributions	-	-	1
	Position, Navigation, & Timing PMO	(1)	(1)	(160)
	WCF Transfer	-	-	(54)
Program Changes	Technical Assistance Analytical Products	-	-	(400)
	Technology Development and Deployment Program (TDDP)	1	1	110
Budget Year	**FY 2017 Request**	**28**	**24**	**11,560**
	Total Change from FY 2016 to FY 2017	**28**	**24**	**11,560**

SUB-PPA DESCRIPTION:

The National Infrastructure Protection Plan (NIPP) Management budget project supports the framework governing the Nation's approach to critical infrastructure security and resilience. It leads NIPP implementation processes. Through the processes, it sets national priorities for critical infrastructure by working with private sector, State and local governments, and regional partners to identify areas where NIPP coordinating structures can increase the overall security and resilience of the Nation by focusing efforts on the strategic environment. NIPP Management also funds the Interagency Security Committee to enhance the quality and effectiveness of security in and protection of building and facilities in the United States occupied by Federal employees for nonmilitary activities. NIPP Management also funds the Technology Development and Deployment Program which gathers requirements from NIPP Councils and funds innovative ideas related to critical infrastructure security and resilience.

In FY 2017, the NIPP Management budget project will continue to fund the monitoring and researching of emerging risks, critical infrastructure vulnerabilities, and strategic trends to identify areas where NIPP coordinating structures can increase the overall security and resilience of the Nation by focusing efforts on the strategic environment. In addition, funds will contribute to strategic initiatives to mitigate risks to the nation's critical infrastructure associated with Position, Navigation and Timing technologies. Funds will also provide for continued implementation of the Interagency Security Committee (ISC) compliance authorities to support adoption of security standards by Federal facilities within the National Capital Area and across the country. Lastly, funds will support the ability to provide critical infrastructure measurement and analysis support to DHS and NPPD, as well as national reporting requirements support to partners, including the analysis and development of the Critical Infrastructure National Annual Report.

Department of Homeland Security
National Protection and Programs Directorate
Operations and Support
Infrastructure Capacity Building
National Infrastructure Protection Plan Management
Cost Drivers (Pay & Benefits) – Sub-PPA Level (Excludes Reimbursables) ($000s)

	FY 2015 Revised Enacted				FY 2016 Enacted				FY 2017 Request				FY 2016 to FY 2017 Change			
	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost
Civilian Total	20	14	$2,438	$173	22	17	$2,797	$164	28	24	$3,836	$159	6	7	$1,039	($5)
Military Total	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-

*FY 2015 and FY 2016 funding levels reflect the CAS structure and are for comparison purposes only.

NARRATIVE EXPLANATION OF CHANGES – PAY COST DRIVERS:

- **FTE Change FY 2016-2017:** Changes to FTE include Transfers Out (-4), Annualization of Prior Year Positions (+1), 2016 Rightsizing (+3), DHS Balanced Workforce Initiative (+7), Attrition and Lapse Rate Savings (-1), and Program Changes (+1 for TDDP).
- **PCB Change FY 2016-2017:** A 1.6% inflation factor was applied to 2017 salaries and benefits costs. This figure includes all salaries and benefits, career ladder promotions, within grade increases, awards, and overtime for 28 FTP/24 FTE.
- FY 2017 request estimates $0.021 million for GS awards and $0 for SES awards. This request remains in line with OPM Awards Guidance on Spending Limitations.

Department of Homeland Security
National Protection and Programs Directorate
Operations and Support
Infrastructure Capacity Building
National Infrastructure Protection Plan Management
Cost Drivers (Non-Pay) – Sub-PPA Level ($000s)

National Infrastructure Protection Plan Management	2015 Revised Enacted	2016 Enacted	2017 Request	FY 2016 to FY 2017 Change
FY 2017 Non- Pay Cost Drivers (greatest-least)				
Program Analysis & Evaluation	$1,553	$3,368	$3,384	$16
NIPP Policy Planning, Implementation, and Risk Management	$1,518	$2,416	$2,126	($290)
Interagency Security Committee	$1,603	$1,642	$1,682	$40
Total	**$4,674**	**$7,426**	**$7,192**	**($234)**

*FY 2015 and FY 2016 funding levels reflect the CAS structure and are for comparison purposes only.

NARRATIVE EXPLANATION OF CHANGES – NON-PAY COST DRIVERS:

- **Program Analysis and Evaluation:** contract support for analytical, statistical, and consulting in the area of program analysis and evaluation. Increase reflects inflation cost between option years.

- **NIPP Policy, Planning, Implementation, and Risk Management:** includes analytical analysis, policy development, and strategic planning for infrastructure protection and implementation of the NIPP, to include evaluation of evolving threats. Decrease reflects removal of Position, Navigation, and Timing (PNT) requirements. The Office of Policy will now be responsible for this activity.

- **Interagency Security Committee (ISC):** contract support for policy analysis and development within the area of security and the ISC. This effort also includes technical support in the area of design, development and implementation of an outreach and compliance program for the ISC. Increase reflects inflation cost between option years.

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Summary Tables of Sub-PPA
Department of Homeland Security
National Protection and Programs Directorate
Operations and Support
Infrastructure Capacity Building
Protective Security Advisors
FY 2016 to FY 2017 Budget Change- Sub-PPA Level
(Dollars in Thousands)

Protective Security Advisors		Positions	FTE	Amount
Current Services	2016 Salaries & Benefits Rightsizing	-	17	2,684
	2017 Pay Raise	-	-	226
	Annualization of 2016 Pay Raise	-	-	61
	Efficiencies	-	-	(12)
	From IPIS / Regional Field Operations to O&S / Infrastructure Capacity Building	121	98	27,186
	Increased FPS Fees	-	-	1
	Increased Retirement Contributions	-	-	7
	WCF Transfer	-	-	(178)
Program Changes	Protective Security Advisors (PSAs)	17	9	2,315
Budget Year	**FY 2017 Request**	**138**	**124**	**32,290**
	Total Change from FY 2016 to FY 2017	**138**	**124**	**32,290**

SUB-PPA DESCRIPTION:

The Protective Security Advisors (PSA) budget project provides proactive engagement with SLTT government mission partners and the private sector to protect the Nation's critical infrastructure through the Protective Security Advisors initiative. The PSAs are located across the United States and serve as onsite critical infrastructure and vulnerability assessment specialists for the Department, while providing a local perspective to the national risk picture by identifying, assessing, monitoring, and minimizing the risk to critical infrastructure at the State, local, and regional levels. The PSAs conduct security surveys and assessments, conduct contingency and incident-specific outreach activities, provide support to National Security Special Events (NSSEs) and Special Event Assessment Rating (SEAR) events, provide incident response, and coordinate and facilitate training.
In FY 2017, the PSA budget project will support all 10 regions with 129 PSAs and 9 mission support personnel. PSAs will conduct 750 Security and Resilience Assessments (SRA) and support field outreach for 15 RRAPs.

Department of Homeland Security
National Protection and Programs Directorate
Operations and Support
Infrastructure Capacity Building
Protective Security Advisors

Cost Drivers (Pay & Benefits) – Sub-PPA Level (Excludes Reimbursables) ($000s)

	FY 2015 Revised Enacted				FY 2016 Enacted				FY 2017 Request				FY 2016 to FY 2017 Change			
	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost
Civilian Total	122	97	$16,426	$168	121	98	$16,640	$169	138	124	$21,404	$172	17	26	$4,764	$3
Military Total	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-

*FY 2015 and FY 2016 funding levels reflect the CAS structure and are for comparison purposes only.

NARRATIVE EXPLANATION OF CHANGES – PAY COST DRIVERS:

- **FTE Change FY 2016-2017:** Changes to FTE include 2016 Rightsizing (+17) and Program Changes (+9 for Protective Security Advisors).
- **PCB Change FY 2016-2017:** A 1.6% inflation factor was applied to 2017 salaries and benefits costs. This figure includes all salaries and benefits, career ladder promotions, within grade increases, awards, and overtime for 138 FTP/124 FTE.
- FY 2017 request estimates $0.126 million for GS awards and $0.011 million for SES awards. This request remains in line with OPM Awards Guidance on Spending Limitations.

Department of Homeland Security
National Protection and Programs Directorate
Operations and Support
Infrastructure Capacity Building
Protective Security Advisors
Cost Drivers (Non-Pay) – Sub-PPA Level ($000s)

Protective Security Advisors	2015 Revised Enacted	2016 Enacted	2017 Request	FY 2016 to FY 2017 Change
FY 2017 Non- Pay Cost Drivers (greatest-least)				
Contract Support	$3,755	$3,872	$3,872	-
Field-Based Personnel Support	$2,487	$2,447	$3,655	$1,208
Technical Support	$2,269	$1,606	$2,309	$703
Travel	$1,050	$1,050	$1,050	-
Total	**$9,561**	**$8,975**	**$10,886**	**$1,911**

*FY 2015 and FY 2016 funding levels reflect the CAS structure and are for comparison purposes only.

NARRATIVE EXPLANATION OF CHANGES – NON-PAY COST DRIVERS:

- **Contract Support:** Contract support for Computer Based Assessment Tool (CBAT) video capture, National Special Security Event (NSSE), Analytics, Regional Support Team (RST), Program, and Special Events/Exercise Planning support.

- **Field-Based Personnel Support:** Field-based personnel cost for offices, vehicles, IT, equipment, and training. Costs based on historical execution adjusted for the requested increase in PSAs.

- **Technical Support:** Technical support from DOE National Laboratories for Enhanced Critical Infrastructure Protection (ECIP)/Infrastructure Survey Tool (IST)/Rapid Survey Tool (RST) Support, Special Events and Domestic Incident Tool (SEDIT), Dependency Analysis, and PSA Activity Reporting.

- **Travel:** PSAs spend the vast majority of their time meeting with various security partners throughout their region of responsibility and thus spend a significant amount of time traveling. The demand for training (i.e. active shooter) and security assessments has greatly increased with small to medium sized commercial facilities, faith-based organizations, and special events. The cost reflects the request for an increase in PSAs.

Summary Tables of Sub-PPA
Department of Homeland Security
National Protection and Programs Directorate
Operations and Support
Infrastructure Capacity Building
Sector Specific Agency Management
FY 2016 to FY 2017 Budget Change- Sub-PPA Level
(Dollars in Thousands)

Sector Specific Agency Management		Positions	FTE	Amount
Current Services	2016 Salaries & Benefits Rightsizing	-	19	1,562
	2017 Pay Raise	-	-	183
	Annualization of 2016 Pay Raise	-	-	49
	Efficiencies	-	-	(156)
	From IPIS / SMG to O&S / Infrastructure Capacity Building	122	97	24,342
	Increased Retirement Contributions	-	-	8
	WCF Transfer	-	-	(138)
Program Changes	Technical Assistance Analytical Products	-	-	(660)
Budget Year	**FY 2017 Request**	**122**	**116**	**25,190**
	Total Change from FY 2016 to FY 2017	**122**	**116**	**25,190**

SUB-PPA DESCRIPTION:

The Sector Specific Agency (SSA) Management budget project funds the unified public-private sector effort to coordinate, develop, and implement comprehensive plans, programs, and strategies enhancing the security and resilience of the Nation's critical infrastructure. The project funds strengthening collaboration and coordination across all 16 critical infrastructure sectors and executing SSA responsibilities for nine sectors: Chemical; Commercial Facilities; Communications; Critical Manufacturing; Dams; Emergency Services; Government Facilities (with the General Services Administration); Information Technology; and Nuclear Reactors, Materials, and Waste. The SSA Management project funds a sector-specific and cross-sector integration hub to sustain and expand partnerships both internal and external to DHS and to positively influence risk management decision-making processes of critical infrastructure stakeholders across the infrastructure lifecycle. This project measures outcome-level success by consolidating and tracking stakeholder feedback related to products and services during informal and formal stakeholder engagements.

In FY 2017, the SSA Management budget project will fund field-based activities to enhance the capacity of infrastructure owners and operators including the support of post disaster infrastructure recovery needs. This includes funding 40 workshops across the country in support of active shooter preparedness, providing access to best practices and resources specifically tailored for use by critical infrastructure stakeholders. In addition, this project will fund targeted threat and security outreach engagements to public and private critical infrastructure owners and operators. In FY 2017, the project will also fund critical infrastructure stakeholder training through online and onsite training products and resources to build capacity across the full range of public and private sector stakeholders. The project will fund critical infrastructure stakeholder exercises that will address physical and cyber aspects, thus providing public and private sector stakeholders with effective mechanisms to test and validate their state of preparedness, resilience, and information-sharing processes. Finally, this project will fund sector-specific and cross-sector efforts to consolidate and evaluate best practices and standards, technical products and resources, and post-event lessons learned.

Department of Homeland Security
National Protection and Programs Directorate
Operations and Support
Infrastructure Capacity Building
Sector Specific Agency Management

Cost Drivers (Pay & Benefits) – Sub-PPA Level (Excludes Reimbursables) ($000s)

	FY 2015 Revised Enacted				FY 2016 Enacted				FY 2017 Request				FY 2016 to FY 2017 Change			
	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost
Civilian Total	129	86	$14,828	$171	122	97	$14,164	$145	122	116	$15,975	$137	-	19	$1,811	($8)
Military Total	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-

*FY 2015 and FY 2016 funding levels reflect the CAS structure and are for comparison purposes only.

NARRATIVE EXPLANATION OF CHANGES – PAY COST DRIVERS:

- **FTE Change FY 2016-2017:** Changes to FTE include 2016 Rightsizing (+19).
- **PCB Change FY 2016-2017:** A 1.6% inflation factor was applied to 2017 salaries and benefits costs. This figure includes all salaries and benefits, career ladder promotions, within grade increases, awards, and overtime for 122 FTP/116 FTE.
- FY 2017 request estimates $0.107 million for GS awards and $0.012 million for SES awards. This request remains in line with OPM Awards Guidance on Spending Limitations.

Department of Homeland Security
National Protection and Programs Directorate
Operations and Support
Infrastructure Capacity Building
Sector Specific Agency Management
Cost Drivers (Non-Pay) – Sub-PPA Level ($000s)

Sector Specific Agency Management	2015 Revised Enacted	2016 Enacted	2017 Request	FY 2016 to FY 2017 Change
FY 2017 Non- Pay Cost Drivers (greatest-least)				
Sector-Specific and Cross-Sector Support	$8,900	$8,505	$7,548	($957)
Infrastructure Development & Recovery (IDR)	$1,486	$1,667	$1,667	-
Total	**$10,386**	**$10,172**	**$9,215**	**($957)**

*FY 2015 and FY 2016 funding levels reflect the CAS structure and are for comparison purposes only.

NARRATIVE EXPLANATION OF CHANGES – NON-PAY COST DRIVERS:

- **Sector-Specific & Cross-Sector Support:** This effort supports the unified public-private sector effort to coordinate, develop, and implement comprehensive plans, programs, and strategies enhancing the security and resilience of the Nation's critical infrastructure. Funding supports activities to strengthen collaboration and coordination across all 16 critical infrastructure sectors and execution of SSA responsibilities for six sectors (Chemical; Commercial Facilities; Critical Manufacturing; Dams; Emergency Services; and Nuclear Reactors, Materials, and Waste). A series of workshops across the country assist in active shooter preparedness, providing access to best practices and resources specifically tailored for use by critical infrastructure stakeholders. In addition, this effort coordinates and leads targeted threat and security outreach engagements to public and private critical infrastructure owners and operators. This effort also supports critical infrastructure stakeholder training through online and onsite training products and resources to build capacity across the full range of public and private sector stakeholders. Critical infrastructure stakeholder exercises will address physical and cyber aspects, thus providing public and private sector stakeholders with effective mechanisms to test and validate their state of preparedness, resilience, and information-sharing processes. Finally, this effort supports sector-specific and cross-sector initiatives to consolidate and evaluate best practices and standards, technical products and resources, and post-event lessons learned. Funding decrease reflects program reduction for Technical Assistance.

- **Infrastructure Development & Recovery (IDR):** This effort develops, coordinates, and supports the implementation of

integrated security and resilience solutions across the critical infrastructure partnership, with focus on infrastructure development, maintenance, and recovery. To achieve these goals, this effort promotes a holistic approach to strengthening security and resilience that incorporates resilient strategies, policies, and best practices and informs the planning, design, construction, and day-to-day operations of critical infrastructure.

Summary Tables of Sub-PPA
Department of Homeland Security
National Protection and Programs Directorate
Operations and Support
Infrastructure Capacity Building
Stakeholder Engagement & Requirements
FY 2016 to FY 2017 Budget Change- Sub-PPA Level
(Dollars in Thousands)

Stakeholder Engagement & Requirements		Positions	FTE	Amount
Current Services	2016 Salaries & Benefits Rightsizing	-	14	1,550
	2017 Pay Raise	-	-	102
	Annualization of 2016 Pay Raise	-	-	25
	From IPIS / CI Protection to O&S / Infrastructure Capacity Building	-	-	56
	From IPIS / CICPA to O&S / Infrastructure Capacity Building	14	9	10,781
	From IPIS / Federal Network Security to O&S / Infrastructure Capacity Building	2	1	270
	From IPIS / GCSM to O&S / Infrastructure Capacity Building	1	1	124
	From IPIS / PTS to O&S / Infrastructure Capacity Building	17	15	2,161
	From IPIS / US-CERT Operations to O&S / Infrastructure Capacity Building	23	17	3,131
	Increased Retirement Contributions	-	-	5
	WCF Transfer	-	-	(99)
Budget Year	**FY 2017 Request**	**57**	**57**	**18,106**
	Total Change from FY 2016 to FY 2017	**57**	**57**	**18,106**

SUB-PPA DESCRIPTION:

The Stakeholder Engagement & Requirements budget project funds enhancing domestic cybersecurity and communications partnerships in critical infrastructure sectors, building partnerships which advance national cybersecurity and emergency

communications objectives, providing an information exchange between government and industry, and supporting outreach and awareness activities. It funds national planning efforts to mature national cyber and communications resiliency efforts, and implement cybersecurity strategies that build assurance and resilience across the cyber sector community.

In FY 2017, the Stakeholder Engagement & Requirements budget project will continue to fund the Critical Infrastructure Cyber Community (C^3) Voluntary Program as well as supporting national awareness campaign efforts including National Cybersecurity Awareness Month and Stop. Think. Connect. ™, and providing assistance to internal and external partners in the field as well as from headquarters, including the Nationwide Public Safety Broadband Network (NSPBN), cyber risk assessment planning efforts, and SLTT entities. The project will also fund developing, communicating, and utilizing planning frameworks and supporting capabilities to implement functions-based risk management approaches, increasing National Security Information Exchanges industry information sharing partner membership by 10 percent, and participating in large scale outreach opportunities, material development, digital outreach, and new partner engagement.

Department of Homeland Security
National Protection and Programs Directorate
Operations and Support
Infrastructure Capacity Building
Stakeholder Engagement & Requirements
Cost Drivers (Pay & Benefits) – Sub-PPA Level (Excludes Reimbursables) ($000s)

	FY 2015 Revised Enacted				FY 2016 Enacted				FY 2017 Request				FY 2016 to FY 2017 Change			
	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost
Civilian Total	59	44	$6,868	$155	57	43	$7,145	$165	57	57	$8,827	$154	-	14	$1,682	($11)
Military Total	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-

*FY 2015 and FY 2016 funding levels reflect the CAS structure and are for comparison purposes only.

NARRATIVE EXPLANATION OF CHANGES – PAY COST DRIVERS:

- **FTE Change FY 2016-2017:** Changes to FTE include 2016 Rightsizing (+14).
- **PCB Change FY 2016-2017:** A 1.6% inflation factor was applied to 2017 salaries and benefits costs. This figure includes all salaries and benefits, career ladder promotions, within grade increases, awards, and overtime for 57 FTP/57 FTE.
- FY 2017 request estimates $0.054 million for GS awards and $0 for SES awards. This request remains in line with OPM Awards Guidance on Spending Limitations.

Department of Homeland Security
National Protection and Programs Directorate
Operations and Support
Infrastructure Capacity Building
Stakeholder Engagement & Requirements
Cost Drivers (Non-Pay) – Sub-PPA Level ($000s)

Stakeholder Engagement & Requirements	2015 Revised Enacted	2016 Enacted	2017 Request	FY 2016 to FY 2017 Change
FY 2017 Non- Pay Cost Drivers (greatest-least)				
Industry Engagement & Resilience Support	$7,145	$7,262	$7,262	-
Government Engagement	$2,560	$1,575	$1,575	-
Total	**$9,705**	**$8,837**	**$8,837**	**-**

*FY 2015 and FY 2016 funding levels reflect the CAS structure and are for comparison purposes only.

NARRATIVE EXPLANATION OF CHANGES – NON-PAY COST DRIVERS:

- **Industry Engagement & Resilience Support:** Includes IER strategic planning, expert guidance and external affairs messaging; Critical Infrastructure Cyber Community (C^3) Voluntary Program support. The C^3 Voluntary Program supports industry in increasing cyber resilience, awareness and use of the Cybersecurity Framework.

- **Government Engagement:** Provides Information Sharing and Analysis Organizations (ISAO) management and technical support; and SLTT liaison support.

Summary Tables of Sub-PPA
Department of Homeland Security
National Protection and Programs Directorate
Operations and Support
Infrastructure Capacity Building
Cybersecurity Education, Outreach, and Awareness
FY 2016 to FY 2017 Budget Change- Sub-PPA Level
(Dollars in Thousands)

Mission Support		**Positions**	**FTE**	**Amount**
Current Services	2016 Salaries & Benefits Rightsizing	-	4	258
	2017 Pay Raise	-	-	18
	Annualization of 2016 Pay Raise	-	-	4
	From IPIS / CICPA to O&S / Infrastructure Capacity Building	3	1	772
	From IPIS / GCSM to O&S / Infrastructure Capacity Building	7	4	16,781
	Increased Retirement Contributions	-	-	1
	WCF Transfer	-	-	(44)
	From O&S / Infrastructure Capacity Building for Cybersecurity Education	-	-	(1,500)
Program Changes	Cybersecurity Education	-	-	(8,270)
Budget Year	**FY 2017 Request**	**10**	**9**	**8,020**
	Total Change from FY 2016 to FY 2017	**10**	**9**	**8,020**

SUB-PPA DESCRIPTION:

The Cybersecurity Education, Outreach, & Awareness budget project funds national cybersecurity education, training, awareness, and workforce development efforts to create a more resilient and cyber capable Nation. It develops the current and future cybersecurity workforce, institutionalizes cybersecurity as a profession, provides free training to government employees at all levels, and educates the public about best practices in online safety.

In FY 2017, the Cybersecurity Education, Outreach, & Awareness project will fund activities to lead or co-lead NICE in all three

focus areas, maintain support for the National Centers of Academic Excellence and Scholarship for Service (SFS) programs will continue to be supported, provide the Federal Virtual Training Environment (FedVTE) and the Federal Cybersecurity Training Events (FedCTE) programs, support the National Cybersecurity Workforce Framework, and continue maintenance of the National Initiative for Cybersecurity Careers and Studies (NICCS) and CyberU Portals to provide nationwide access to cybersecurity education, training, and professional development resources.

Department of Homeland Security
National Protection and Programs Directorate
Operations and Support
Infrastructure Capacity Building
Cybersecurity Education, Outreach, and Awareness
Cost Drivers (Pay & Benefits) – Sub-PPA Level (Excludes Reimbursables) ($000s)

	FY 2015 Revised Enacted				FY 2016 Enacted				FY 2017 Request				FY 2016 to FY 2017 Change			
	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost
Civilian Total	10	5	$1,106	$220	10	5	$1,232	$245	10	9	$1,513	$167	-	4	$281	($78)
Military Total	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-

*FY 2015 and FY 2016 funding levels reflect the CAS structure and are for comparison purposes only.

NARRATIVE EXPLANATION OF CHANGES – PAY COST DRIVERS:

- **FTE Change FY 2016-2017:** Changes to FTE include 2016 Rightsizing (+4).
- **PCB Change FY 2016-2017:** A 1.6% inflation factor was applied to 2017 salaries and benefits costs. This figure includes all salaries and benefits, career ladder promotions, within grade increases, awards, and overtime for 10 FTP/9 FTE.
- FY 2017 request estimates $0.009 million for GS awards and $0 for SES awards. This request remains in line with OPM Awards Guidance on Spending Limitations.

Department of Homeland Security
National Protection and Programs Directorate
Operations and Support
Infrastructure Capacity Building
Cybersecurity Education, Outreach, and Awareness
Cost Drivers (Non-Pay) – Sub-PPA Level ($000s)

Cybersecurity Education, Outreach, and Awareness	2015 Revised Enacted	2016 Enacted	2017 Request	FY 2016 to FY 2017 Change
FY 2017 Non- Pay Cost Drivers (greatest-least)				
Training & Workforce Development	$10,600	$10,600	$4,007	($6,593)
Higher Education Programs	$500	$2,500	$2,500	-
K-12 Education	$3,000	$1,500	-	($1,500)
Total	**$15,200**	**$14,600**	**$6,507**	**($7,593)**

*FY 2015 and FY 2016 funding levels reflect the CAS structure and are for comparison purposes only.

NARRATIVE EXPLANATION OF CHANGES – NON-PAY COST DRIVERS:

- **Training & Workforce Development:** Provides support to NICE; CyberU development and support; Federal Virtual Training Environment (FedVTE) course development and hosting; and Federal Cybersecurity Training Events (FedCTE) support. FY 2017 request reflects reduced funding for all Training & Workforce activities; strategic focus will be placed on NICE and the CyberU initiative.

- **Higher Education Programs:** Provides support to the National Centers of Academic Excellence (CAE), Scholarship for Service (SFS), and initiatives with higher education institutions (e.g., community colleges, 4-year education institutions, etc.), preparing a growing number of cybersecurity professionals to meet the need to reduce vulnerabilities in the Nation's networks. FY 2017 request reflects a reduction in institution support.

- **K-12 Education:** Provides support to the Integrated Cybersecurity Education Communities (ICEC) project. FY 2017 request reflects transfer of responsibility for the ICEC project from NPPD to DHS Chief Human Capital Officer.

Summary Tables of Sub-PPA
Department of Homeland Security
National Protection and Programs Directorate
Operations and Support
Infrastructure Capacity Building
Vulnerability Assessments
FY 2016 to FY 2017 Budget Change- Sub-PPA Level
(Dollars in Thousands)

Vulnerability Assessments		Positions	FTE	Amount
Current Services	2016 Salaries & Benefits Rightsizing	-	2	(342)
	2017 Pay Raise	-	-	31
	Annualization of 2016 Pay Raise	-	-	9
	Efficiencies	-	-	(2)
	From IPIS / IA&P to O&S / Infrastructure Capacity Building	18	15	21,225
	Increased Retirement Contributions	-	-	2
	WCF Transfer	-	-	(106)
Program Changes	Three-Year Strategic Plan for Infrastructure Protection (IP)	-	-	(1,500)
Budget Year	**FY 2017 Request**	**18**	**17**	**19,317**
	Total Change from FY 2016 to FY 2017	**18**	**17**	**19,317**

SUB-PPA DESCRIPTION:

The Vulnerability Assessments budget project funds the capability to identify infrastructure vulnerabilities and enhance security and resilience by developing and deploying a scalable assessment methodology and tracking protective and resilience measures of other Federal, SLTT, and private sector security partners. It funds voluntary programs that identify vulnerabilities, support collaborative security planning, and recommend protective measures and risk mitigation strategies. Through the assessment of critical infrastructure resilience and vulnerabilities – using the RRAPs and other mechanisms –the Vulnerability Assessments project funds the foundation for risk-informed implementation of protective programs designed to prevent, deter, and mitigate risk to infrastructure while enabling timely, efficient response and restoration in all-hazards post-event situations.

Regional Resiliency Assessment Program (RRAP) evaluates critical infrastructure on a regional level to examine vulnerabilities, threats, and potential consequences from an all-hazards perspective to identify interdependencies, cascading effects, resilience characteristics, and gaps. Each RRAP project involves one year of intensive data collection, analysis, and product development, and several years of close coordination and participation of Federal, SLTT, and private sector partners and implementation of resilience enhancement options.

Infrastructure Visualization Platform captures 360-degree spherical color video of facilities, routes, and other specific areas of interest and combines it with technical site-assessment data, structural schematics, and other relevant site data to create an interactive visual guide of any location. These assessments are conducted jointly with Federal and SLTT officials, along with critical infrastructure owners and operators, in support of vulnerability assessments, special events, and contingency operations.

In FY 2017, the Vulnerability Assessments project will fund field-based activities of oversight, support, and analysis for 15 RRAPs and conducting 750 Infrastructure Survey Tool security surveys of critical infrastructure.

Department of Homeland Security
National Protection and Programs Directorate
Operations and Support
Infrastructure Capacity Building
Vulnerability Assessments
Cost Drivers (Pay & Benefits) – Sub-PPA Level (Excludes Reimbursables) ($000s)

	FY 2015 Revised Enacted				FY 2016 Enacted				FY 2017 Request				FY 2016 to FY 2017 Change			
	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost
Civilian Total	18	15	$2,401	$159	18	15	$2,722	$180	18	17	$2,424	$142	-	2	($298)	($39)
Military Total	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-

*FY 2015 and FY 2016 funding levels reflect the CAS structure and are for comparison purposes only.

NARRATIVE EXPLANATION OF CHANGES – PAY COST DRIVERS:

- **FTE Change FY 2016-2017:** Changes to FTE include 2016 Rightsizing (+2).
- **PCB Change FY 2016-2017:** A 1.6% inflation factor was applied to 2017 salaries and benefits costs. This figure includes all salaries and benefits, career ladder promotions, within grade increases, awards, and overtime for 18 FTP/17 FTE.
- FY 2017 request estimates $0.021 million for GS awards and $0 for SES awards. This request remains in line with OPM Awards Guidance on Spending Limitations.

Department of Homeland Security
National Protection and Programs Directorate
Operations and Support
Infrastructure Capacity Building
Vulnerability Assessments
Cost Drivers (Non-Pay) – Sub-PPA Level ($000s)

Vulnerability Assessments	2015 Revised Enacted	2016 Enacted	2017 Request	FY 2016 to FY 2017 Change
FY 2017 Non- Pay Cost Drivers (greatest-least)				
Technical Support	$8,037	$9,494	$9,770	$276
National Guard Support	$3,749	$3,773	$3,848	$75
Contract Support	$1,674	$1,918	$1,955	$37
Total	**$13,460**	**$15,185**	**$15,573**	**$388**

*FY 2015 and FY 2016 funding levels reflect the CAS structure and are for comparison purposes only.

NARRATIVE EXPLANATION OF CHANGES – NON-PAY COST DRIVERS:

- **Technical Support:** Regional Resiliency Assessment Program (RRAP) Projects support for data collection, aggregation, analysis, assessment methodology, product development and support in implementing resilience enhancement options. Costs also include RRAP portfolio support and the Infrastructure Protection Report Series (IPRS).

- **National Guard Support:** Pays salaries, travel and required O&M costs for 32 military and contract personnel to conduct field work associated with vulnerability assessments, Regional Resiliency Assessment Program (RRAP) Projects, Risk Mitigation and C-IED Training and Computer Based Assessment Tool (CBAT) video capture.

- **Contract Support:** Contract support for analytic and program support as well as RRAP Projects HQ coordination and expertise. Cost based on extension of current execution.

Summary Tables of Sub-PPA
Department of Homeland Security
National Protection and Programs Directorate
Operations and Support
Protect Infrastructure
Biometric Identity Management
FY 2016 to FY 2017 Budget Change- Sub-PPA Level
(Dollars in Thousands)

Biometric Identity Management		Positions	FTE	Amount
Current Services	From O&S / Protect Infrastructure to Customs and Border Protection from OBIM	(177)	(168)	(217,473)
	From Office of Biometric Identity Management to O&S / Protect Infrastructure	177	168	217,473
Budget Year	**FY 2017 Request**	**-**	**-**	**-**
	Total Change from FY 2016 to FY 2017	**-**	**-**	**-**

SUB-PPA DESCRIPTION:

In FY2017, the Office of Biometric Identity Management transferred to Customs and Border Protection.

Department of Homeland Security
National Protection and Programs Directorate
Operations and Support
Protect Infrastructure
Biometric Identity Management
Cost Drivers (Pay & Benefits) – Sub-PPA Level (Excludes Reimbursables) ($000s)

	FY 2015 Revised Enacted				FY 2016 Enacted				FY 2017 Request				FY 2016 to FY 2017 Change			
	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost
Civilian Total	208	166	$25,188	$151	177	168	$23,511	$139	-	-	-	-	(177)	(168)	($23,511)	($139)
Military Total	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-

*FY 2015 and FY 2016 funding levels reflect the CAS structure and are for comparison purposes only.

Summary Tables of Sub-PPA
Department of Homeland Security
National Protection and Programs Directorate
Operations and Support
Protect Infrastructure
Continuous Diagnostics & Mitigation
FY 2016 to FY 2017 Budget Change- Sub-PPA Level
(Dollars in Thousands)

Continuous Diagnostics & Mitigation		Positions	FTE	Amount
Current Services	2016 Salaries & Benefits Rightsizing	-	-	10
	2017 Pay Raise	-	-	51
	Annualization of 2016 Pay Raise	-	-	7
	Attrition & Lapse Rate Savings	-	(1)	(437)
	Efficiencies	-	-	(1)
	From IPIS / Federal Network Security to O&S / Protect Infrastructure	30	28	5,224
	Increased Retirement Contributions	-	-	1
	O&M Escalation for Major Acquisitions	-	-	2,167
	WCF Transfer	-	-	(1,162)
Program Changes	Continuous Diagnostics and Mitigation (CDM)	24	12	1,970
Budget Year	**FY 2017 Request**	**54**	**39**	**7,830**
	Total Change from FY 2016 to FY 2017	**54**	**39**	**7,830**

SUB-PPA DESCRIPTION:

The Continuous Diagnostics & Mitigation (CDM) budget project funds providing Federal agencies with the capability to identify cybersecurity risks, prioritize these risks based upon potential impacts, and mitigate the most significant problems first. The CDM program consists of the following capability-based phases: Phase 1, Endpoint Management (what is on the network); Phase 2, Manage Accounts for People and Services (who is on the network); Phase 3, Manage Events and Security Lifecycle (what is happening on the network): and a newly proposed Phase 4 to address data protection on agency networks.

Prior-year funding supported the phased-in implementation of Phase 1 and Phase 2 capabilities. The resources were used to procure tools, sensors, and integration services using the CDM Continuous Monitoring as a Service (CMaaS) Blanket Purchase Agreements (BPAs).

In FY 2017, CDM will provide tool license maintenance for Phase 1 task orders awarded in FY 2015 and FY 2016. The Department has committed to fund the first two years of license costs for participating Federal departments and agencies. This approach applies to the procurements underway for the 23 Chief Financial (CFO) Act agencies, plus the Executive Office of the President and the United States Postal Service. After the second year, agencies will assume the cost of tool license maintenance.

CDM will provide product license maintenance funding for shared services for the non-CFO Act agencies (mainly small and micro agencies) using a private cloud solution.

Continuous Diagnostics & Mitigation – IT Program

The Continuous Diagnostics & Mitigation Level 1Acquisition program furthers the ability to execute the responsibilities delegated to DHS in OMB Memorandum M-10-28. The continuous monitoring trends, when cross-correlated with US-CERT and EINSTEIN, allows the Department to provide a Federal civilian government-wide view on security measures needing priority attention. Additionally, the cyber diagnostic strategy will provide timely, targeted, and prioritized visibility into security issues, allowing agencies to address worst problems first. The program provides tested continuous monitoring, diagnosis, and mitigation activities. CDM will centrally oversee the procurement, operations, and maintenance of diagnostic sensors (tools) and dashboards deployed to each agency. In addition, the program will maintain a dashboard to provide situational awareness on a Federal level.

Department of Homeland Security
National Protection and Programs Directorate
Operations and Support
Protect Infrastructure
Continuous Diagnostics & Mitigation
Cost Drivers (Pay & Benefits) – Sub-PPA Level (Excludes Reimbursables) ($000s)

	FY 2015 Revised Enacted				FY 2016 Enacted				FY 2017 Request				FY 2016 to FY 2017 Change			
	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost
Civilian Total	15	12	$2,108	$175	30	28	$4,150	$147	54	39	$6,032	$154	24	11	$1,882	$6
Military Total	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-

*FY 2015 and FY 2016 funding levels reflect the CAS structure and are for comparison purposes only.

NARRATIVE EXPLANATION OF CHANGES – PAY COST DRIVERS:

- **FTE Change FY 2016-2017:** Changes to FTE include Attrition and Lapse Rate Savings (-1) and Program Changes (+12 for CDM Program Office).
- **PCB Change FY 2016-2017:** A 1.6% inflation factor was applied to 2017 salaries and benefits costs. This figure includes all salaries and benefits, career ladder promotions, within grade increases, awards, and overtime for 54 FTP/39 FTE.
- FY 2017 request estimates $0.031 million for GS awards and $0 for SES awards. This request remains in line with OPM Awards Guidance on Spending Limitations.

Department of Homeland Security
National Protection and Programs Directorate
Operations and Support
Protect Infrastructure
Continuous Diagnostics & Mitigation
Cost Drivers (Non-Pay) – Sub-PPA Level ($000s)

Continuous Diagnostics & Mitigation	2015 Revised Enacted	2016 Enacted	2017 Request	FY 2016 to FY 2017 Change
FY 2017 Non- Pay Cost Drivers (greatest-least)				
CDM Task Order 2.F Shared Services	-	-	$1,011	$1,011
Federal Dashboard	-	$1,074	$787	($287)
Total	**-**	**$1,074**	**$1,798**	**$724**

*FY 2015 and FY 2016 funding levels reflect the CAS structure and are for comparison purposes only.

NARRATIVE EXPLANATION OF CHANGES – NON-PAY COST DRIVERS:

- **CDM Task Order 2.F Shared Services:** Includes costs that will be incurred for hosting and maintaining the CDM shared service environment for the approximately 40 non-CFO Act small/micro agencies participating as part of Task Order 2 Group F. This approach provides CDM Phase 1 and Phase 2 capabilities using a multi-tenant approach toward tool, sensor, and dashboard deployment. Increase reflects Program Office growth.

- **Federal Dashboard:** Consists of CDM costs for the Dashboard. FY 2016 costs include license maintenance for both the Federal and Agency-level Dashboards, and hosting/maintenance for the Federal Dashboard. FY 2017 reduction due to Agencies assuming responsibility for the Agency-level Dashboards beginning in FY 2017.

Summary Tables of Sub-PPA
Department of Homeland Security
National Protection and Programs Directorate
Operations and Support
Protect Infrastructure
Infrastructure Security Compliance
FY 2016 to FY 2017 Budget Change- Sub-PPA Level
(Dollars in Thousands)

Infrastructure Security Compliance		Positions	FTE	Amount
Current Services	2016 Salaries & Benefits Rightsizing	-	42	7,117
	2017 Pay Raise	-	-	513
	Annualization of 2016 Pay Raise	-	-	117
	Attrition & Lapse Rate Savings	-	(2)	(1,018)
	DHS Balanced Workforce Initiative	20	19	(400)
	Efficiencies	-	-	(10)
	From IPIS / Infrastructure Security Compliance to O&S / Protect Infrastructure	293	238	77,600
	Increased FPS Fees	-	-	3
	Increased Retirement Contributions	-	-	17
	O&M Escalation for Major Acquisitions	-	-	(241)
	WCF Transfer	-	-	(342)
Program Changes	Ammonium Nitrate Security	-	-	(4,500)
Budget Year	**FY 2017 Request**	**313**	**297**	**78,856**
	Total Change from FY 2016 to FY 2017	**313**	**297**	**78,856**

SUB-PPA DESCRIPTION:

The Infrastructure Security Compliance (ISC) budget project funds securing the Nation's high-risk chemical facilities through the systematic regulation, inspection, and enforcement under the authority of the Chemical Facility Anti-Terrorism Standards (CFATS). In support of CFATS and explosive precursor security, the ISC project provides funding for regulatory mission support, regulation compliance determination, field operations support, and technical engineering for facilities data collection and analysis tools, including the Chemical Security Assessment Tool (CSAT) suite.

In FY 2017, the ISC project will continue to fund CFATS activities, including determination of facility regulatory coverage, site security plan authorization and approval, inspections of covered facilities, regulatory development and revision, personnel surety vetting, and compliance enforcement. In addition, the ISC project funds execution of outreach efforts and initiatives designed to both increase cross-agency unity of effort under Executive Order 13650, and to enhance efforts to identify covered industry populations that may be subject to regulation under CFATS. The ISC project will also continue to fund acquiring services for the production environment data center (hosting, monitoring, administration, etc.), data administration, operation of the technical help desk, and cybersecurity for the CSAT program.

The CSAT suite provides for developing a suite of online capabilities, including the CSAT survey tool and the Chemical Security Evaluation and Compliance System (CHEMSEC) workflow management tool. It will include future development efforts addressing requirements resulting from future Chemical Facility Anti-Terrorism Standards (CFATS) legislative changes, process reengineering initiatives, and system migration to a DHS Data Center or cloud-based infrastructure as a service (IaaS) hosting provider. CSAT fills the gap of non-existing capabilities by providing for the electronic submission of extensive facility data, controlled use of such data, inspection related and correspondence materials, and workflow processes.

Department of Homeland Security
National Protection and Programs Directorate
Operations and Support
Protect Infrastructure
Infrastructure Security Compliance
Cost Drivers (Pay & Benefits) – Sub-PPA Level (Excludes Reimbursables) ($000s)

	FY 2015 Revised Enacted				FY 2016 Enacted				FY 2017 Request				FY 2016 to FY 2017 Change			
	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost
Civilian Total	263	231	$32,394	$139	293	238	$35,292	$147	313	297	$44,208	$148	20	59	$8,916	$1
Military Total	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-

*FY 2015 and FY 2016 funding levels reflect the CAS structure and are for comparison purposes only.

NARRATIVE EXPLANATION OF CHANGES – PAY COST DRIVERS:

- **FTE Change FY 2016-2017:** Changes to FTE include 2016 Rightsizing (+42), DHS Balanced Workforce Initiative (+19), and Attrition and Lapse Rate Savings (-2).
- **PCB Change FY 2016-2017:** A 1.6% inflation factor was applied to 2017 salaries and benefits costs. This figure includes all salaries and benefits, career ladder promotions, within grade increases, awards, and overtime for 313 FTP/297 FTE.
- FY 2017 request estimates $0.268 million for GS awards and $0.027 million for SES awards. This request remains in line with OPM Awards Guidance on Spending Limitations.

Department of Homeland Security
National Protection and Programs Directorate
Operations and Support
Protect Infrastructure
Infrastructure Security Compliance
Cost Drivers (Non-Pay) – Sub-PPA Level ($000s)

Infrastructure Security Compliance	2015 Revised Enacted	2016 Enacted	2017 Request	FY 2016 to FY 2017 Change
FY 2017 Non- Pay Cost Drivers (greatest-least)				
Chemical Security Assessment Tool (CSAT)	$13,087	$13,300	$14,929	$1,629
Regulation Compliance Determination	$22,789	$18,521	$12,590	($5,931)
Mission Support Services	$4,424	$4,301	$2,783	($1,518)
Travel	$2,490	$2,500	$2,500	-
Field Operations Support Services	$1,724	$1,548	$1,548	-
Total	**$44,514**	**$40,170**	**$34,350**	**($5,820)**

*FY 2015 and FY 2016 funding levels reflect the CAS structure and are for comparison purposes only.

NARRATIVE EXPLANATION OF CHANGES – NON-PAY COST DRIVERS:

- **Chemical Security Assessment Tool (CSAT):** FY 2017 funding is for the operations and maintenance of CSAT.

- **Regulation Compliance Determination:** includes regulation and compliance with Executive Order 13650, Potentially Non-Compliant Facilities, Outreach Implementation Plan, 2014 CFATS ACT EAP, and the implementation of the Personnel Surety program. The reduction in FY 2017 also includes removal of FY 2016 funding for Ammonium Nitrate.

- **Mission Support Services:** includes mission support items such as Administrative Support services and training program development. The reduction in FY 2017 is attributed to savings from the DHS Balanced Workforce Initiative.

- **Travel:** includes travel for the regulation, inspection, and enforcement of CFATS.

- **Field Operations Support Services:** includes travel for inspectors to conduct CFATS regulatory inspections and enforcement actions. This also include outreach to CFATS potentially non-compliance facilities to ensure that facilities required to report chemicals of interest to the Department have filed the necessary report of chemicals.

Summary Tables of Sub-PPA
Department of Homeland Security
National Protection and Programs Directorate
Operations and Support
Protect Infrastructure
National Cybersecurity Protection System
FY 2016 to FY 2017 Budget Change- Sub-PPA Level
(Dollars in Thousands)

National Cybersecurity Protection System		Positions	FTE	Amount
Current Services	2016 Salaries & Benefits Rightsizing	-	42	4,011
	2017 Pay Raise	-	-	305
	Annualization of 2016 Pay Raise	-	-	64
	Annualization of Prior Year Positions	-	9	1,638
	Attrition & Lapse Rate Savings	-	(1)	(263)
	Efficiencies	-	-	(10)
	From IPIS / Business Operations to O&S / Protect Infrastructure	1	1	154
	From IPIS / GCSM to O&S / Protect Infrastructure	2	2	249
	From IPIS / NSD to O&S / Protect Infrastructure	165	106	368,233
	From IPIS / US-CERT Operations to O&S / Protect Infrastructure	1	1	140
	Increased FPS Fees	-	-	10
	Increased Retirement Contributions	-	-	10
	O&M Escalation for Major Acquisitions	-	-	16,761
	WCF Transfer	-	-	(1,994)
Budget Year	**FY 2017 Request**	**169**	**160**	**389,308**
	Total Change from FY 2016 to FY 2017	**169**	**160**	**389,308**

SUB-PPA DESCRIPTION:

The National Cybersecurity Protection System (NCPS) budget project funds enhancing the protection of Federal civilian Department and agency IT and communications infrastructure from cyber threats through the design, development, deployment, and sustainment of NCPS, operationally known as EINSTEIN. NCPS is an integrated system delivering intrusion detection, advanced analytics, intrusion prevention, and information sharing capabilities that combat and mitigate cyber threats to the Federal executive branch information and networks.

In FY 2009, EINSTEIN 2 began deployment; EINSTEIN 2 meticulously collects network flow traffic to and from participating Federal executive departments' and agencies' networks, and provides an intrusion detection capability that alerts when a pre-defined specific cyber threat is detected. In FY 2014, EINSTEN 3 Accelerated (E^3A) began deployment; E^3A acts on suspected malicious e-mail and domain name server traffic preventing harm to protected agencies' networks. EINSTEIN 2 and E^3A network intrusion detection capability uses a set of custom signatures[1] derived from numerous sources. When a signature alerts on a known or suspected cyber threat, E^3A will act on that threat to stop malicious traffic and prevent harm to the intended targets.

In FY 2017, NCPS will fund currently deployed operational elements of all capabilities, and invest in new capabilities for analytics, information sharing, and intrusion prevention.

National Cybersecurity Protection System – IT Program

The NCPS major Acquisition program, operationally known as EINSTEIN, is an integral part of the cyber fabric, providing near real-time protection and information for Federal civilian Departments and agencies, cyber centers, and other Federal, State, and local authorities. NCPS consists of the hardware, software, supporting processes, training, and services being developed and acquired to support the Department's mission requirements as delineated in the CNCI and mandated in NSPD-54/HSPD-23. NCPS provides a wide range of cybersecurity capabilities for the .gov domain: intrusion detection; intrusion prevention; advanced cyber and communications analytics; and information sharing and collaboration.

[1]Signatures are specific machine readable patterns of network traffic that affect the integrity, confidentiality, or availability of computer networks, systems, and information. For example, a specific signature might identify a known computer virus that is designed to delete files from a computer without authorization.

Department of Homeland Security
National Protection and Programs Directorate
Operations and Support
Protect Infrastructure
National Cybersecurity Protection System
Cost Drivers (Pay & Benefits) – Sub-PPA Level (Excludes Reimbursables) ($000s)

	FY 2015 Revised Enacted				FY 2016 Enacted				FY 2017 Request				FY 2016 to FY 2017 Change			
	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost
Civilian Total	149	103	$19,826	$191	169	110	$21,228	$192	169	160	$24,982	$155	-	50	$3,754	($37)
Military Total	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-

*FY 2015 and FY 2016 funding levels reflect the CAS structure and are for comparison purposes only.

NARRATIVE EXPLANATION OF CHANGES – PAY COST DRIVERS:

- **FTE Change FY 2016-2017:** Changes to FTE include Annualization of Prior Year Positions (+9), 2016 Rightsizing (+42), and Attrition and Lapse Rate Savings (-1).
- **PCB Change FY 2016-2017:** A 1.6% inflation factor was applied to 2017 salaries and benefits costs. This figure includes all salaries and benefits, career ladder promotions, within grade increases, awards, and overtime for 169 FTP/160 FTE.
- FY 2017 request estimates $0.161 million for GS awards and $0 for SES awards. This request remains in line with OPM Awards Guidance on Spending Limitations.

Department of Homeland Security
National Protection and Programs Directorate
Operations and Support
Protect Infrastructure
National Cybersecurity Protection System
Cost Drivers (Non-Pay) – Sub-PPA Level ($000s)

National Cybersecurity Protection System	2015 Revised Enacted	2016 Enacted	2017 Request	FY 2016 to FY 2017 Change
FY 2017 Non- Pay Cost Drivers (greatest-least)				
Intrusion Detection and Prevention	$98,759	$180,709	$157,902	($22,807)
Analytics	$47,649	$49,925	$64,929	$15,004
Program, Planning, and Operations	$54,388	$61,032	$62,150	$1,118
Core Infrastructure	$29,834	$43,002	$57,582	$14,580
Information Sharing	$11,236	$12,880	$21,763	$8,883
Total	**$241,866**	**$347,548**	**$364,326**	**$16,778**

*FY 2015 and FY 2016 funding levels reflect the CAS structure and are for comparison purposes only.

NARRATIVE EXPLANATION OF CHANGES – NON-PAY COST DRIVERS:

- **Intrusion Detection and Prevention:** deploys additional intrusion prevention capabilities via the ISPs, such as web content filtering. The deployment of these additional capabilities (ISP or non-ISP provided) will close gaps from detection to prevention, allowing automated, streaming analytics to find new, previously unknown threats. Also funds E2 expansion, maintenance of sensors at Nests versus TIC/MTIPS, and increases sensor diversity to expand beyond signature-based sensors, including sensors that alert off of network traffic characteristics and machine behavior.

- **Analytics:** sustains the SIEM, AMAC, and Enhanced Analytics capabilities, including: generation and configuration efforts for aggregating, correlating, and visualizing network flow information across the Federal infrastructure; cyber threat collection, reporting and analysis; increases Mission Operating Environment (MOE) capacity to support the predicted user base expansion from delivery of NCPS InfoShare capabilities; supports deployment of Next Gen MOE capabilities designed in FY 2015; collection, correlation, and exchange of up-to-date malware data and analysis; and packet capture tools.

- **Program, Planning and Operations:** maintains program artifacts and planning, program, and engineering support. Also funds Shared Services and Working Capital Fund.

- **Core Infrastructure:** sustains MOE and Top Secret Mission Operating Environment (TS MOE).

- **Information Sharing:** deploys advanced tools and technologies expanding the cybersecurity community's access to DHS threat information & analysis. Continue integrating processes across multiple security fabrics (Cross Domain Solution).

Summary Tables of Sub-PPA
Department of Homeland Security
National Protection and Programs Directorate
Operations and Support
Protect Infrastructure
Priority Telecommunications Services
FY 2016 to FY 2017 Budget Change- Sub-PPA Level
(Dollars in Thousands)

Priority Telecommunications Services		Positions	FTE	Amount
Current Services	2016 Salaries & Benefits Rightsizing	-	4	110
	2017 Pay Raise	-	-	55
	Annualization of 2016 Pay Raise	-	-	15
	Efficiencies	-	-	(1)
	From IPIS / CI Protection to O&S / Protect Infrastructure	6	4	1,102
	From IPIS / NGN to O&S / Protect Infrastructure	4	4	667
	From IPIS / OEC to O&S / Protect Infrastructure	5	5	788
	From IPIS / PTS to O&S / Protect Infrastructure	15	12	57,424
	From IPIS / Prog Study Enhance Telecom to O&S / Protect Infrastructure	4	3	561
	Increased FPS Fees	-	-	2
	Increased Retirement Contributions	-	-	3
	O&M Escalation for Major Acquisitions	-	-	773
	WCF Transfer	-	-	(630)
Budget Year	**FY 2017 Request**	**34**	**32**	**60,869**
	Total Change from FY 2016 to FY 2017	**34**	**32**	**60,869**

SUB-PPA DESCRIPTION:

The Priority Telecommunications Services (PTS) budget project funds leveraging the Nation's public telephone networks, including cellular systems, during a disaster to enable voice communications for response and recovery coordination efforts and maintaining

essential government functions and operations. It maintains priority services that provide NS/EP voice telecommunications for all levels of government and industry organizations during times when networks are congested or degraded, thus enhancing call completion capabilities for national leadership, other NS/EP users, and first responders.

Government Emergency Telecommunications Service (GETS) provides priority communications on commercial landline communications networks during times of commercial telephone network congestion due to natural or man-made disasters and National Security Events (NSEs). This architecture provides a greater than 90 percent call completion rate for GETS.

Wireless Priority Service (WPS) enhances the ability to complete wireless calls during a crisis. WPS provides nationwide wireless subscriber coverage during commercial cellular telephone network congestion due to natural or man-made disasters and NSEs. This architecture provides a greater than 80 percent call completion rate for WPS.

Special Routing Arrangement Service (SRAS) provides non–traceable, priority communication service over commercial wireless and wireline telecommunications networks for a special user community. SRAS operates under all conditions, including natural and man-made disasters, cyber-attacks, threatened and actual terrorist attacks, major disasters, and other emergencies, even when conventional communications services are congested or degraded.

Telecommunications Service Priority (TSP) is the regulatory, administrative, and operational system authorizing and providing priority treatment of NS/EP telecommunications services. The TSP program provides NS/EP users with priority restoration and provisioning of telecommunications services vital to maintaining readiness or response to an incident. TSP will reconcile 75 percent of the previous year's vendor-supplied confirmation data to maintain accuracy of restoration data and priorities.

National Security/Emergency Preparedness Priority Telecommunications Services – IT Program

The NS/EP Priority Telecommunications Services Level 3 Acquisition program is comprised of three parts – GETS, WPS, and SRAS. GETS interoperates with the Defense Switched Network, Federal Technology Service and the Diplomatic Telecommunications Service to provide end-to-end priority on the public switched landline telephone network for more than 235,000 eligible users, from Federal leadership to first responders. WPS provides end-to-end radio channel access priority on the public cellular network for eligible users, from Federal leadership to first responders. SRAS provides special Federal government users with a switched voice and voice-band data priority communications service by utilizing the AT&T, Verizon Business, and Sprint Long Distance networks and connecting wireless carrier networks and Local Exchange Carrier (LEC) networks during national security emergencies. Special features include non-traceability of the calling and called parties and exploitation of the diversity and survivability of network assets.

Department of Homeland Security
National Protection and Programs Directorate
Operations and Support
Protect Infrastructure
Priority Telecommunications Services
Cost Drivers (Pay & Benefits) – Sub-PPA Level (Excludes Reimbursables) ($000s)

	FY 2015 Revised Enacted				FY 2016 Enacted				FY 2017 Request				FY 2016 to FY 2017 Change			
	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost
Civilian Total	34	29	$4,252	$146	34	28	$4,627	$164	34	32	$4,800	$149	-	4	$173	($15)
Military Total	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-

*FY 2015 and FY 2016 funding levels reflect the CAS structure and are for comparison purposes only.

NARRATIVE EXPLANATION OF CHANGES – PAY COST DRIVERS:

- **FTE Change FY 2016-2017:** Changes to FTE include 2016 Rightsizing (+4).
- **PCB Change FY 2016-2017:** A 1.6% inflation factor was applied to 2017 salaries and benefits costs. This figure includes all salaries and benefits, career ladder promotions, within grade increases, awards, and overtime for 34 FTP/32 FTE.
- FY 2017 request estimates $0.035 million for GS awards and $0.011 million for SES awards. This request remains in line with OPM Awards Guidance on Spending Limitations.

Department of Homeland Security
National Protection and Programs Directorate
Operations and Support
Protect Infrastructure
Priority Telecommunications Services
Cost Drivers (Non-Pay) – Sub-PPA Level ($000s)

Priority Telecommunications Services	2015 Revised Enacted	2016 Enacted	2017 Request	FY 2016 to FY 2017 Change
FY 2017 Non- Pay Cost Drivers (greatest-least)				
Communications Portfolio Management	$45,575	$55,915	$56,069	$154
Total	**$45,575**	**$55,915**	**$56,069**	**$154**

*FY 2015 and FY 2016 funding levels reflect the CAS structure and are for comparison purposes only.

NARRATIVE EXPLANATION OF CHANGES – NON-PAY COST DRIVERS:

- **Communications Portfolio Management:** includes GETS, SRAS, and WPS service in 13 Local Exchange and 6 cellular carriers networks, providing service auditing, test and reporting of network service verification, user Help Desk support, and performance and usage data reporting. Also provides continued development, deployment, operation, administration, and maintenance of NS/EP Priority Services to include GETS, SRAS, and WPS in long distance, local exchange and cellular networks.

Schedule III – Other Exhibits

Exhibit E. Justification of Proposed Legislative Language

Department of Homeland Security
National Protection and Programs Directorate
Operations and Support

For necessary expenses for the National Protection and Programs Directorate, as authorized by title II of the Homeland Security Act of 2002 (6 U.S.C. 121 et seq.), including minor procurements, construction and improvements, $1,152,502,000, of which $12,993,000 shall remain available until September 30, 2018: *Provided*, That not to exceed $3,825 shall be for official reception and representation expenses.

Language Provision	Explanation
	The legislative language associated with this account has been updated and streamlined to reflect the Department's new Common Appropriations Structure. Funding amounts have been updated to reflect the FY 2017 President's Budget.

Exhibit F. Summary of Fee Collections and Carryover

Department of Homeland Security
National Protection and Programs Directorate
Operations and Support
Summary of Fee Collections and Carryover
(Dollars in Thousands)

N/A

Exhibit G. Summary of Reimbursable Resources

N/A

Exhibit H. FY 2017 Schedule of Working Capital Fund by Program/Project Activity

Department of Homeland Security
National Protection and Programs Directorate (NPPD)
Operations and Support
(Dollars in Thousands)

Program/Project/Activity	FY 2015 Revised Enacted Amount	FY 2016 Enacted Amount	FY 2017 Request Amount	Increase/Decrease for FY 2017 Amount
Critical Infrastructure Technology and Architecture	-	-	$409	$409
Mission Support	-	-	$7,754	$7,754
Cyber & Infrastructure Analysis	-	-	$614	$614
Critical Infrastructure Partnerships	-	-	$263	$263
Cyber Integration & Coordination	-	-	$423	$423
Critical Cyber Infrastructure Resilience	-	-	$741	$741
Cyber Readiness & Response	-	-	$5,151	$5,151
Emergency Communications Preparedness	-	-	$820	$820
Infrastructure Security Compliance	-	-	$1,240	$1,240
Emergency Communications Preparedness	-	-	$80	$80
Enhanced Cybersecurity Services	-	-	$562	$562
National Cybersecurity Protection System	-	-	$22,803	$22,803
Cyber and Infrastructure Awareness and Reporting	-	-	$339	$339
Federal Network Resilience	-	-	$925	$925
Priority Telecommunications Services	-	-	$5,014	$5,014
National Infrastructure Protection Plan Management	-	-	$303	$303
Protective Security Advisors	-	-	$384	$384
Sector Specific Agency Management	-	-	$339	$339
Stakeholder Engagement & Requirements	-	-	$325	$325
Cybersecurity Education, Outreach, and Awareness	-	-	$227	$227
Vulnerability Assessments	-	-	$589	$589
Total Working Capital Fund	**-**	**-**	**$49,305**	**$49,305**

Exhibit I. Capital Investment and Construction Initiative Listing

Department of Homeland Security

National Protection and Programs Directorate
Operations and Support
Capital Investment and Construction Initiative Listing

N/A

Exhibit J. Object Class Breakout by Appropriation

Department of Homeland Security
National Protection and Programs Directorate (NPPD)
Operations and Support

Summary of Requirements by Object Class

(Dollars in Thousands)

Object Classes	2015 Revised Enacted	2016 Enacted	2017 Request	FY 2016 to FY 2017 Change
Personnel and Other Compensation Benefits				
11.1 Full-time Permanent	$203,216	$216,720	$240,843	$24,123
11.3 Other than Full-Time Permanent	$4,066	$4,335	$4,816	$481
11.5 Other Personnel Compensation	$6,776	$7,224	$8,027	$803
12.1 Civilian Personnel Benefits	$56,894	$60,675	$67,434	$6,759
Total, Personnel and Other Compensation Benefits	**$270,952**	**$288,954**	**$321,120**	**$32,166**
Other Object Classes				
21.0 Travel and Transportation of Persons	$7,741	$7,762	$8,249	$487
22.0 Transportation of Things	$69	$152	$76	($76)
23.1 Rental Payments to GSA	$42,225	$38,838	$38,072	($766)
23.2 Rental Payments to Others	$2,326	$2,326	$2,326	-
23.3 Communications, Utilities, and Misc. Charges	$3,601	$12,150	$2,426	($9,724)
24.0 Printing and Reproduction	$263	$263	$206	($57)
25.1 Advisory and Assistance Services	$429,230	$501,348	$505,497	$4,149
25.2 Other Services from Non-Federal Sources	$33,323	$42,756	$14,021	($28,735)
25.3 Other Goods and Services from Federal Sources	$180,546	$211,168	$210,928	($240)
25.4 Operation and Maintenance of Facilities	$3,118	$3,195	$3,220	$25
25.6 Medical Care	$5	$5	$5	-
25.7 Operation and Maintenance of Equipment	$125,512	$125,250	$7,730	($117,520)
25.8 Subsistence & Support of Persons	$2	$2	$2	-
26.0 Supplies and Materials	$533	$503	$392	($111)
31.0 Equipment	$61,301	$46,388	$24,529	($21,859)
32.0 Land and Structures	$3	$3	$3	-
41.0 Grants, Subsidies, and Contributions	$18,656	$15,700	$13,700	($2,000)
Total, Other Object Classes	**$908,454**	**$1,007,809**	**$831,382**	**($176,427)**
Total, Direct Obligations	**$1,179,406**	**$1,296,763**	**$1,152,502**	**($144,261)**
Adjustments				

Object Classes	2015 Revised Enacted	2016 Enacted	2017 Request	FY 2016 to FY 2017 Change
Net Offsetting Collections	-	-	-	-
Unobligated Balance, start of year	-	-	-	-
Unobligated Balance, end of year	-	-	-	-
Recoveries of Prior Year Obligations	-	-	-	-
Offsetting Collections	-	-	-	-
Total Requirements	**$1,179,406**	**$1,296,763**	**$1,152,502**	**($144,261)**

*FY 2015 and FY2016 funding levels reflect the CAS structure and are for comparison purposes only.

Exhibit K. Object Class Breakout by PPA

Department of Homeland Security
National Protection and Programs Directorate (NPPD)
Operations and Support
Infrastructure Analysis
Summary of Requirements by Object Class
(Dollars in Thousands)

Object Classes	FY 2015 Revised Enacted	FY 2016 Enacted	FY 2017 Request	FY 2016 to FY 2017 Change
Personnel and Compensation Benefits	-	-	-	-
11.1 Full-time Permanent	$40,090	$47,397	$61,558	$14,161
11.3 Other than Full-Time Permanent	$802	$949	$1,232	$283
11.5 Other Personnel Compensation	$1,336	$1,579	$2,051	$472
12.1 Civilian Personnel Benefits	$11,224	$13,271	$17,235	$3,964
Total, Personnel and Compensation Benefits	**$53,452**	**$63,196**	**$82,076**	**$18,880**
Other Object Classes	-	-	-	-
21.0 Travel and Transportation of Persons	$988	$1,068	$1,505	$437
22.0 Transportation of Things	$36	$39	$39	-
23.1 Rental Payments to GSA	$4,607	$3,912	$4,403	$491
23.3 Communications, Utilities, and Misc. Charges	$2,161	$2,108	$2,129	$21
24.0 Printing and Reproduction	$5	$10	$13	$3
25.1 Advisory and Assistance Services	$93,736	$87,650	$104,811	$17,161
25.2 Other Services from Non-Federal Sources	$603	$609	$614	$5
25.3 Other Goods and Services from Federal Sources	$53,904	$57,055	$74,017	$16,962
25.4 Operation and Maintenance of Facilities	$704	$759	$784	$25
25.6 Medical Care	$1	$1	$1	-
25.7 Operation and Maintenance of Equipment	$3,449	$3,450	$3,450	-
25.8 Subsistence & Support of Persons	$2	$2	$2	-
26.0 Supplies and Materials	$78	$80	$85	$5
31.0 Equipment	$773	$775	$780	$5
41.0 Grants, Subsidies, and Contributions	$12,956	$9,500	$9,000	($500)
Total, Other Object Classes	**$174,003**	**$167,018**	**$201,633**	**$34,615**
Adjustments	-	-	-	-
Unobligated Balance, start of year	-	-	-	-

Object Classes	FY 2015 Revised Enacted	FY 2016 Enacted	FY 2017 Request	FY 2016 to FY 2017 Change
Personnel and Compensation Benefits	**-**	**-**	**-**	**-**
Unobligated Balance, end of year	-	-	-	-
Recoveries of Prior Year Obligations	-	-	-	-
Total Requirements	**$227,455**	**$230,214**	**$283,709**	**$53,4957**
Full Time Equivalents	**327**	**358**	**545**	**186**

*FY 2015 and FY2016 funding levels reflect the CAS structure and are for comparison purposes only.

Department of Homeland Security
National Protection and Programs Directorate (NPPD)
Operations and Support
Management and Administration
Summary of Requirements by Object Class
(Dollars in Thousands)

Object Classes	FY 2015 Revised Enacted	FY 2016 Enacted	FY 2017 Request	FY 2016 to FY 2017 Change
Personnel and Compensation Benefits	-	-	-	-
11.1 Full-time Permanent	$48,839	$48,744	$52,883	$4,139
11.3 Other than Full-Time Permanent	$977	$975	$1,058	$83
11.5 Other Personnel Compensation	$1,628	$1,625	$1,763	$138
12.1 Civilian Personnel Benefits	$13,675	$13,648	$14,807	$1,159
Total, Personnel and Compensation Benefits	**$65,119**	**$64,992**	**$70,511**	**$5,519**
Other Object Classes	-	-	-	-
21.0 Travel and Transportation of Persons	$567	$567	$567	-
23.1 Rental Payments to GSA	$5,709	$5,520	$5,172	($348)
23.2 Rental Payments to Others	$126	$126	$126	-
23.3 Communications, Utilities, and Misc. Charges	$250	$275	$247	($28)
25.1 Advisory and Assistance Services	$5,877	$8,594	$5,877	($2,717)
25.2 Other Services from Non-Federal Sources	$2,506	$4,823	$2,506	($2,317)
25.3 Other Goods and Services from Federal Sources	$3,368	$3,368	$3,119	($249)
25.7 Operation and Maintenance of Equipment	$1,476	$1,476	$1,476	-
26.0 Supplies and Materials	$125	$125	$125	-
31.0 Equipment	$313	$313	$313	-
32.0 Land and Structures	$3	$3	$3	-
Total, Other Object Classes	**$20,320**	**$25,190**	**$19,531**	**($5,659)**
Adjustments	-	-	-	-
Unobligated Balance, start of year	-	-	-	-
Unobligated Balance, end of year	-	-	-	-
Recoveries of Prior Year Obligations	-	-	-	-
Total Requirements	**$85,439**	**$90,182**	**$90,042**	**($140)**
Full Time Equivalents	**371**	**429**	**457**	**28**

Department of Homeland Security
National Protection and Programs Directorate (NPPD)
Operations and Support
Infrastructure Capacity Building

Summary of Requirements by Object Class
(Dollars in Thousands)

Object Classes	FY 2015 Revised Enacted	FY 2016 Enacted	FY 2017 Request	FY 2016 to FY 2017 Change
Personnel and Compensation Benefits	-	-	-	-
11.1 Full-time Permanent	$51,461	$53,972	$66,384	$12,412
11.3 Other than Full-Time Permanent	$1,030	$1,080	$1,326	$246
11.5 Other Personnel Compensation	$1,717	$1,799	$2,213	$414
12.1 Civilian Personnel Benefits	$14,405	$15,107	$18,588	$3,481
Total, Personnel and Compensation Benefits	**$68,613**	**$71,958**	**$88,511**	**$16,553**
Other Object Classes	-	-	-	-
21.0 Travel and Transportation of Persons	$3,436	$3,358	$3,548	$190
22.0 Transportation of Things	$24	$25	$28	$3
23.1 Rental Payments to GSA	$7,377	$7,822	$7,361	($461)
23.2 Rental Payments to Others	$175	$175	$175	-
24.0 Printing and Reproduction	$228	$223	$163	($60)
25.1 Advisory and Assistance Services	$76,412	$77,111	$58,183	($18,928)
25.2 Other Services from Non-Federal Sources	$3,040	$9,473	$10,473	$1,000
25.3 Other Goods and Services from Federal Sources	$56,543	$68,691	$67,016	($1,675)
25.4 Operation and Maintenance of Facilities	$1,072	$1,119	$1,119	-
25.6 Medical Care	$4	$4	$4	-
25.7 Operation and Maintenance of Equipment	-	$15	$15	-
26.0 Supplies and Materials	$109	$109	$109	-
31.0 Equipment	$462	$469	$483	$14
41.0 Grants, Subsidies, and Contributions	$5,700	$6,200	$4,700	($1,500)
Total, Other Object Classes	**$154,582**	**$174,794**	**$153,377**	**($21,417)**
Adjustments	-	-	-	-
Unobligated Balance, start of year	-	-	-	-
Unobligated Balance, end of year	-	-	-	-
Recoveries of Prior Year Obligations	-	-	-	-
Total Requirements	**$223,195**	**$246,752**	**$241,888**	**($4,864)**
Full Time Equivalents	**411**	**433**	**555**	**122**

Department of Homeland Security
National Protection and Programs Directorate (NPPD)
Operations and Support
Protect Infrastructure

Summary of Requirements by Object Class
(Dollars in Thousands)

Object Classes	FY 2015 Revised Enacted	FY 2016 Enacted	FY 2017 Request	FY 2016 to FY 2017 Change
Personnel and Compensation Benefits	-	-	-	-
11.1 Full-time Permanent	$62,826	$66,607	$60,018	($6,589)
11.3 Other than Full-Time Permanent	$1,257	$1,331	$1,200	($131)
11.5 Other Personnel Compensation	$2,095	$2,221	$2,000	($221)
12.1 Civilian Personnel Benefits	$17,590	$18,649	$16,804	($1,845)
Total, Personnel and Compensation Benefits	**$83,768**	**$88,808**	**$80,022**	**($8,786)**
Other Object Classes	-	-	-	-
21.0 Travel and Transportation of Persons	$2,750	$2,769	$2,629	($140)
22.0 Transportation of Things	$9	$88	$9	($79)
23.1 Rental Payments to GSA	$24,532	$21,584	$21,136	($448)
23.2 Rental Payments to Others	$2,025	$2,025	$2,025	-
23.3 Communications, Utilities, and Misc. Charges	$1,190	$9,767	$50	($9,717)
24.0 Printing and Reproduction	$30	$30	$30	-
25.1 Advisory and Assistance Services	$253,205	$327,993	$336,626	$8,633
25.2 Other Services from Non-Federal Sources	$27,174	$27,851	$428	($27,423)
25.3 Other Goods and Services from Federal Sources	$66,731	$82,054	$66,776	($15,278)
25.4 Operation and Maintenance of Facilities	$1,342	$1,317	$1,317	-
25.7 Operation and Maintenance of Equipment	$120,587	$120,309	$2,789	($117,520)
26.0 Supplies and Materials	$221	$189	$73	($116)
31.0 Equipment	$59,753	$44,831	$22,953	($21,878)
Total, Other Object Classes	**$559,549**	**$640,807**	**$456,841**	**($183,966)**
Adjustments	-	-	-	-
Unobligated Balance, start of year	-	-	-	-
Unobligated Balance, end of year	-	-	-	-
Recoveries of Prior Year Obligations	-	-	-	-
Total Requirements	**$643,317**	**$729,615**	**$536,863**	**($192,752)**
Full Time Equivalents	**541**	**572**	**528**	**(44)**

Exhibit L. Permanent Positions by Grade

Department of Homeland Security
National Protection and Programs Directorate (NPPD)
Operations and Support
Permanent Positions by Grade

Grades and Salary Range	FY 2015 Revised Enacted Pos.	FY 2016 Enacted Pos.	FY 2017 Request Pos.	FY 2016 to FY 2017 Change Total
Total, SES	-	-	46	46
GS-15	-	-	315	315
GS-14	-	-	704	704
GS-13	-	-	696	696
GS-12	-	-	374	374
GS-11	-	-	113	113
GS-9	-	-	30	30
GS-8	-	-	1	1
GS-7	-	-	10	10
Total Permanent Positions	**-**	**-**	**2,289**	**2,289**
Unfilled Positions EOY	-	-	-	-
Total Permanent Employment EOY	-	-	2,289	2,289
Headquarters	-	-	1,834	1,834
U.S. Field	-	-	455	455
Total, Operations and Support:	**-**	**-**	**2,289**	**2,289**
Full Time Equivalents	**-**	**-**	**2,085**	**2,085**
Average ES Salary	-	-	160,113	160,113
Average GS Salary	-	-	109,058	109,058
Average Grade	-	-	13	13

Exhibit M. Changes in Full Time Employment

	FY 2015	FY 2016	FY 2017
Increases			
From IPIS / SMG to O&S / Infrastructure Analysis	-	-	50
From IPIS / IA&P to O&S / Infrastructure Analysis	-	-	64
From IPIS / Business Operations to O&S / Infrastructure Analysis	-	-	1
From IPIS / CI Protection to O&S / Infrastructure Analysis	-	-	25
From IPIS / CICPA to O&S / Infrastructure Analysis	-	-	14
From IPIS / Cybersecurity Coordination to O&S / Infrastructure Analysis	-	-	13
From IPIS / GCSM to O&S / Infrastructure Analysis	-	-	1
From IPIS / US-CERT Operations to O&S / Infrastructure Analysis	-	-	140
From IPIS / Federal Network Security to O&S / Infrastructure Analysis	-	-	7
From IPIS / NGN to O&S / Infrastructure Analysis	-	-	7
From IPIS / PTS to O&S / Infrastructure Analysis	-	-	5
From IPIS / Prog Study Enhance Telecom to O&S / Infrastructure Analysis	-	-	6
From IPIS / Regional Field Operations to O&S / Infrastructure Analysis	-	-	25
From IPIS / Business Operations to O&S / Management & Administration	-	-	26
From IPIS / CICPA to O&S / Management & Administration	-	-	2
From IPIS / Federal Network Security to O&S / Management & Administration	-	-	5
From IPIS / GCSM to O&S / Management & Administration	-	-	1
From IPIS / IA&P to O&S / Management & Administration	-	-	8
From IPIS / OEC to O&S / Management & Administration	-	-	8
From IPIS / PTS to O&S / Management & Administration	-	-	12
From IPIS / Prog Study Enhance Telecom to O&S / Management & Administration	-	-	2
From IPIS / SMG to O&S / Management & Administration	-	-	2
From IPIS / US-CERT Operations to O&S / Management & Administration	-	-	26
From M&A / Directorate Administration to O&S / Management & Administration	-	-	337
From IPIS / IA&P to O&S / Infrastructure Capacity Building	-	-	34
From IPIS / Regional Field Operations to O&S / Infrastructure Capacity Building	-	-	107
From IPIS / CICPA to O&S / Infrastructure Capacity Building	-	-	24
From IPIS / GCSM to O&S / Infrastructure Capacity Building	-	-	15
From IPIS / PTS to O&S / Infrastructure Capacity Building	-	-	22
From IPIS / CI Protection to O&S / Infrastructure Capacity Building	-	-	5
From IPIS / OEC to O&S / Infrastructure Capacity Building	-	-	54
From IPIS / NSD to O&S / Infrastructure Capacity Building	-	-	2
From IPIS / US-CERT Operations to O&S / Infrastructure Capacity Building	-	-	21
From IPIS / Business Operations to O&S / Infrastructure Capacity Building	-	-	2
From IPIS / Federal Network Security to O&S / Infrastructure Capacity Building	-	-	33
From IPIS / SMG to O&S / Infrastructure Capacity Building	-	-	114
From Office of Biometric Identity Management to O&S / Protect Infrastructure	-	-	168
From IPIS / Federal Network Security to O&S / Protect Infrastructure	-	-	28
From IPIS / Infrastructure Security Compliance to O&S / Protect Infrastructure	-	-	238
From IPIS / Business Operations to O&S / Protect Infrastructure	-	-	1
From IPIS / GCSM to O&S / Protect Infrastructure	-	-	2
From IPIS / NSD to O&S / Protect Infrastructure	-	-	106
From IPIS / US-CERT Operations to O&S / Protect Infrastructure	-	-	1
From IPIS / CI Protection to O&S / Protect Infrastructure	-	-	4

	FY 2015	FY 2016	FY 2017
From IPIS / NGN to O&S / Protect Infrastructure	-	-	4
From IPIS / OEC to O&S / Protect Infrastructure	-	-	5
From IPIS / PTS to O&S / Protect Infrastructure	-	-	12
From IPIS / Prog Study Enhance Telecom to O&S / Protect Infrastructure	-	-	3
Geospatial Analysis	-	-	2
Production, Management, & Training	-	-	3
NCCIC Staffing Plan	-	-	64
Cyber Security Advisors (CSAs)	-	-	8
Cyber Design and Engineering Teams	-	-	5
Federal Information Security Management Act (FISMA)	-	-	9
Technology Development and Deployment Program (TDDP)	-	-	1
Protective Security Advisors (PSAs)	-	-	9
Continuous Diagnostics and Mitigation (CDM)	-	-	12
Decreases			
From O&S / M&A to Office of Biometric Identity Management	-	-	(18)
From O&S / ICB to CBRNE for Office of Bombing Prevention	-	-	(19)
From O&S / ICB to Office of Community Partnerships to Counter Violent Extremism	-	-	(3)
From O&S / Protect Infrastructure to Customs and Border Protection from OBIM	-	-	(168)
Year End Actuals/Estimated FTEs:	-	-	**2,085**

Department of Homeland Security

National Protection and Programs Directorate

Procurement, Construction, and Improvements

Fiscal Year 2017

Congressional Justification

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Schedule I – Executive Summary of Appropriation Exhibits

A. Summary of Budget Estimates by Program Project Activity- Appropriation Level

Department of Homeland Security
National Protection and Programs Directorate (NPPD)
Procurement, Construction, and Improvements
Summary of Budget Estimates by Program Project Activity

FY 2017 Request
(Dollars in Thousands)

Program Project Activity	FY 2015			FY 2016			FY 2017			Increase(+) or Decrease(-) for FY 2017		
	Revised Enacted[1]			Enacted			Request			Total Changes		
	POS	FTE	Amount	POS	FTE	Amount	POS	FTE	Amount	POS	FTE	Amount
Protect Infrastructure	-	-	**$351,486**	-	-	**$332,723**	-	-	**$436,797**	-	-	**$104,074**
Biometric Identity Management	-	-	-	-	-	$65,000	-	-	-	-	-	($65,000)
Continuous Diagnostics & Mitigation	-	-	$201,963	-	-	$97,435	-	-	$266,971	-	-	$169,536
National Cybersecurity Protection System	-	-	$97,802	-	-	$91,738	-	-	$81,771	-	-	($9,967)
Priority Telecommunications Services	-	-	$51,721	-	-	$78,550	-	-	$88,055	-	-	$9,505
Total, Procurement, Construction, and Improvements	-	-	**$351,486**	-	-	**$332,723**	-	-	**$436,797**	-	-	**$104,074**
Subtotal, Enacted Appropriations & Budget Estimates	-	-	**$351,486**	-	-	**$332,723**	-	-	**$436,797**	-	-	**$104,074**
Less: Adjustments for Other Funding Sources	-	-	-	-	-	-	-	-	-			
Net, Enacted Appropriations and Budget Estimates:	-	-	**$351,486**	-	-	**$332,723**	-	-	**$436,797**	-	-	**$104,074**

[1]Reflects reprogrammings/transfers, as applicable, and actual FTE. This footnote applies to all FY 2015 Revised Enacted tables throughout the CJ Submission.

A. Overview

In 2015, the National Protection and Programs Directorate (NPPD) initiated planning to reorganize into an operational component and proposed to change its name to Cyber and Infrastructure Protection. NPPD submitted a transition plan to Congress outlining the details of this proposal on October 28, 2015. This organizational change will achieve greater unity of effort, enhance the effectiveness of operational activities, and improve the quality of mission support to programs. The plan calls for consolidating current operational

activities into three subcomponents: the National Cybersecurity and Communications Integration Center, Infrastructure Security, and the Federal Protective Service. These subcomponents will be supported by centralized mission support functions that provide acquisition, business, strategic, and analytical services. DHS looks forward to continued engagement with Congress on NPPD's reorganization.

NPPD's Procurement, Construction, and Improvements (PC&I) appropriation supports activities which enhance the security and resilience of infrastructure terrorist attacks, cyber events, natural disasters, other large-scale incidents, including national security special events. Secure and resilient infrastructure is essential for national security, economic vitality, and public health and safety. This includes activities to understand and manage risk from natural disasters, terrorist attacks, or other disasters to the Nation's critical infrastructure, systems, and assets. Critical infrastructure security and resilience efforts must address all hazards—from terrorism and other criminal activities to natural disasters to cybersecurity threats.

PC&I provides funds necessary for the planning, operational development, engineering and purchase of one or more assets (which hereinafter also refers to end items) prior to sustainment. Information Technology (IT) -related PC&I may consist of one or more end items which provide useful software and/or hardware in an operational (production) environment, including non-tangible assets.

Unless otherwise stipulated by regulation or statute, an end item, or improvement project, purchased with PC&I funding has:

- Personal Property, a unit cost of greater than $250,000, or
- Real Property, a unit cost of greater than $2 million.

The PC&I Appropriation is a zero based budget request. All investments within the appropriation are justified for the budget year with previous year's investment levels provided.

- Salaries directly and solely related to PC&I programs are included within this appropriation. PC&I programs that are supported with fee revenues should include those amounts in addition to appropriated amounts in programmatic and budget presentations.
- Components with MAOL investments (levels 1-2) that are in the PC&I phase for FY 2017 display costs in this appropriation fund type.

Activities are organized according to the following program/project/activity (PPA) structure:

<u>Protect Infrastructure</u> – The Protect Infrastructure PPA funds NPPD efforts to manage risks to infrastructure directly. This includes activities to support integrated intrusion detection, analytics, information sharing, intrusion prevention, diagnostics, and mitigation

capabilities to protect Federal networks. This also includes support for capabilities to maintain essential government functions and operations, as well as support response and recovery efforts during a disaster. In addition, this PPA funds activities to secure high-risk chemical facilities and prevent the use of certain chemicals in a terrorist act. This PPA also funds support activities necessary to enable these efforts.

B. FY 2016 to FY 2017 Budget Change - Appropriation Level

Department of Homeland Security
National Protection and Programs Directorate (NPPD)
Procurement, Construction, and Improvements

FY 2016 to FY 2017 Budget Change
(Dollars in Thousands)

	Pos.	FTE	Amount
Adjustments-to-Base			
Transfers to and from other accounts:			
From OBIM to PC&I / Protect Infrastructure	-	-	$65,000
From PC&I / Protect Infrastructure to CBP for OBIM	-	-	($65,000)
From IPIS / Federal Network Security to PC&I / Protect Infrastructure	-	-	$97,435
From IPIS / NSD to PC&I / Protect Infrastructure	-	-	$91,738
From IPIS / NGN to PC&I / Protect Infrastructure	-	-	$78,550
Total Transfers	**-**	**-**	**$267,723**
Decreases			
Non-Recurring Adjustment for Major Acquisitions	-	-	($267,723)
Total, Decreases	**-**	**-**	**($267,723)**
Total Other Adjustments	**-**	**-**	**($267,723)**
Total Adjustments-to-Base	**-**	**-**	**-**
FY 2017 Current Services	**-**	**-**	**-**
Program Changes			
Increases			
Continuous Diagnostics & Mitigation	-	-	$266,971
National Cybersecurity Protection System	-	-	$81,771
Next Generation Networks	-	-	$88,055
Total, Increases	**-**	**-**	**$436,797**
Total Program Changes	**-**	**-**	**$436,797**
FY 2017 Request	**-**	**-**	**$436,797**
FY 2016 to FY 2017 Change	**-**	**-**	**$436,797**

C. FY 2017 Investment Summary - Appropriation Level

Department of Homeland Security
National Protection and Programs Directorate (NPPD)
Procurement, Construction and Improvements
FY 2017 Investment Summary- Appropriation Level
(Dollars in Thousands)

Investment Name	Sub-PPA(s)	FY 2015 Revised Enacted	FY 2016 Enacted	FY 2017 Request
Homeland Advanced Recognition Technology (HART)	Biometric Identity Management	-	$65,000	-
Continuous Diagnostics & Mitigation	Continuous Diagnostics & Mitigation	$201,963	$97,435	$266,971
National Cybersecurity Protection System	National Cybersecurity Protection System	$97,805	$91,738	$81,771
Next Generation Networks	Priority Telecommunications Services	$51,721	$78,550	$88,055
Total		**$351,489**	**$332,723**	**$436,797**

*FY 2015 and FY2016 funding levels reflect the CAS structure and are for comparison purposes only.

Exhibit D. FY 2016 to FY 2017 Budget Change- PPA Level

Department of Homeland Security
National Protection and Programs Directorate
Procurement, Construction, and Improvements
Protect Infrastructure
FY 2016 to FY 2017 Budget Change- PPA Level
(Dollars in Thousands)

Procurement, Construction, and Improvements		Positions	FTE	Amount
	From IPIS / Federal Network Security to PC&I / Protect Infrastructure	-	-	97,435
	From IPIS / NGN to PC&I / Protect Infrastructure	-	-	78,550
	From IPIS / NSD to PC&I / Protect Infrastructure	-	-	91,738
	From OBIM to PC&I / Protect Infrastructure	-	-	65,000
	From PC&I / Protect Infrastructure to CBP for OBIM	-	-	(65,000)
	Non-Recurring Adjustment for Major Acquisitions	-	-	(267,723)
	Continuous Diagnostics & Mitigation	-	-	266,971
	National Cybersecurity Protection System	-	-	81,771
	Next Generation Networks	-	-	88,055
Budget Year	**FY 2017 Request**	**-**	**-**	**436,797**
	Total Change from FY 2016 to FY 2017	**-**	**-**	**436,797**

PPA DESCRIPTION:

NPPD requests $436.797 million for the Protect Infrastructure PPA under the PC&I appropriation.

The Protect Infrastructure PPA funds NPPD efforts to manage risks to infrastructure directly. This includes activities to support integrated intrusion detection, analytics, information sharing, intrusion prevention, diagnostics, and mitigation capabilities to protect Federal networks. This also includes support for capabilities to maintain essential government functions and operations, as well as support response and recovery efforts during a disaster. In addition, this PPA funds activities to secure high-risk chemical facilities and prevent the use of certain chemicals in a terrorist act. This PPA also funds support activities necessary to enable these efforts.

The Adjustments-to-Base included in this PPA are for non-recurring costs for Continuous Diagnostics and Mitigation, National Cybersecurity Protection System, and Next Generation Networks.

Department of Homeland Security
National Protection and Programs Directorate
Procurement, Construction, and Improvements
Protect Infrastructure
Justification of Program Changes
(Dollars in Thousands)

Program Increase: CDM, NCPS and NGN
PPA: Protect Infrastructure
Program Increase: FTP 0, FTE 0, Dollars $436,797

Funding Profile

	FY 2015 Revised Enacted			FY 2016 Enacted			FY 2017 Request		
	FTP	FTE	Dollars ($000)	FTP	FTE	Dollars ($000)	FTP	FTE	Dollars ($000)
Program Increase: Continuous Diagnostics & Mitigation - Continuous Diagnostics & Mitigation							-	-	$266,971
Program Increase: National Cybersecurity Protection System - National Cybersecurity Protection System							-	-	$81,771
Program Increase: Next Generation Networks - Priority Telecommunications Services							-	-	$88,055
Subtotal, Program Increases							**-**	**-**	**$436,797**
Total Request							**-**	**-**	**$436,797**

Summary of Protect Infrastructure Program Changes

NPPD requests the following program increases:

1. Continuous Diagnostics & Mitigation – $266.971 million
2. National Cybersecurity Protection System – $81.771 million
3. Next Generation Networks-Priority Services – $88.055 million

Program Increase #1: Continuous Diagnostics & Mitigation

NPPD requests $266.971 million for Continuous Diagnostics & Mitigation (CDM) planning and acquisition purposes.

Justification:
The request will allow CDM to accelerate the availability and expand the capabilities across the entire civilian Federal domain. Originally conceived as a three-phased program, the ever-changing cybersecurity landscape requires the CDM program to evolve beyond network protections to include data protections. This will significantly enhance Federal civilian agency capabilities to strengthen their ability to prepare for and respond to incident management, as well as protect data through stronger boundary protection and other means. CDM Phase 1 offers tools that allow the agency to continuously know what software and hardware they have on their network and to understand whether or not those assets are vulnerable and are configured securely. CDM Phase 2 provides tools to ensure agency employees do not access information or systems beyond their established privileges, credentials, and training. CDM plans to integrate Phase 2 capabilities that will strengthen account management in the Federal civilian workspace, as well as to start CDM Phase 3, focusing on boundary protection, including data loss prevention, and incident response which will provide capability to Federal civilian departments and agencies to identify and protect against anomalous activity inside Federal networks and alerting security personnel for expedited remediation. In this case, anomalous activity includes an adversary's attempt to move across a network and access sensitive data. CDM Phase 4 will expand the program to include additional tools and services to protect sensitive and high value asset data within agency networks. CDM Phase 4 will be provided to agencies that require advanced security controls and system specific architectures to protect systems and data. The data level protections included in Phases 3 and 4 are categorized as:

- Digital Rights Management;
- Micro-Segmentation;
- Data Masking;
- Encryption and Decryption; and,
- Mobile Device Management

Deployment of these capabilities will initially target high-value assets to better protect the government's most sensitive data

Impact on Performance:
The additional resources will support all phases of the CDM program to include the accelerated implementation of Phases 3 and deploying a new fourth phase, providing the necessary software and services that will allow DHS and Federal agencies to continuously manage their boundaries, security events, building security into system lifecycles and enhancing data protection in a variety of ways. Additional funds are required to plan across all the phases for increased planning, monitoring, and oversight.

Program Increase #2: National Cybersecurity Protection System

NPPD requests $81.771 million for National Cybersecurity Protection System (NCPS), operationally known as EINSTEIN, planning and acquisition purposes.

Justification:
The request will allow NPPD to continue deploying new intrusion prevention, information sharing, and analytic capabilities across the Federal civilian departments and agencies to enhance protection from cyber threats.

Impact on Performance:
The additional resources will enable continued deployment of an intrusion prevention capability to the Tier 1 Internet Service Providers, allowing DHS to provide active defense for a variety of Federal network traffic protocols. In addition, NPPD will begin deploying additional prevention services utilizing threat, source, destination and target profiling against threats evading typical technologies.

Program Increase #3: Next Generation Network – Priority Services

NPPD requests $88.055 million for Next Generation Network – Priority Services (NGN-PS) planning and acquisition purposes.

Justification:
The requested $88.055 million will keep NPPD's Priority Telecommunications Services on track with the carriers' transition schedules.

Telecommunications carriers are replacing circuit-based technology with Internet Protocol (IP)-based technology to complete telephone calls. The new technology will render existing priority services technology obsolete without the upgrades funded by NGN-PS. It is critical that the upgrades are completed and tested prior to the carriers removing their legacy networks. Cellular carriers began offering single mode phones in FY 2016, which will only allow IP voice, so no fallback to the existing priority services will be available.

Impact on Performance:
The additional resources will allow the program to maintain priority cellular voice and meet the requirements for NS/EP preparedness.

Department of Homeland Security
National Protection and Programs Directorate (NPPD)
Procurement, Construction, and Improvements
Cost Drivers (Non-Pay) - PPA Level ($000s)

Appropriation - Procurement, Construction, and Improvements	2015 Revised Enacted	2016 Enacted	2017 Request	FY 2016 to FY 2017 Change
FY 2017 Non- Pay Cost Drivers (greatest-least)				
CDM Phases 2, 3, and 4	$114,692	$21,610	$227,929	$206,319
NGN - Increment 2 - Wireless Networks	$36,644	$60,461	$67,600	$7,139
NCPS - Information Sharing	$30,382	$52,549	$38,847	($13,702)
NCPS - Program Planning and Operations	$9,786	$18,019	$23,556	$5,537
NCPS - Analytics	$7,747	$15,265	$15,265	-
Total	**$199,251**	**$167,904**	**$373,197**	**$218,995**

*FY 2015 and FY 2016 funding levels reflect the CAS structure and are for comparison purposes only.

NARRATIVE EXPLANATION OF CHANGES – NON-PAY COST DRIVERS:

- **CDM –** The CDM program deploys capabilities to Federal civilian agencies via multiple phases:
    - **CDM Phase 2 –** provides tools to ensure agency employees do not access information or systems beyond their established privileges, credentials, and training.
    - **CDM Phase 3 –** identifies what is happening on agency networks and provides tools and services to help agencies identify and respond to anomalous activity. These tools and services were the first step in shoring up the security gaps within the Federal IT ecosystem.
    - **CDM Phase 4 –** will expand the program to include tools and services to protect the data within agency networks. These include mimicking current data stores (data masking), encoding the transfer of data (encryption), creating multiple compartments within a system for data storage (micro-segmentation), only allowing people with specific credentials to access and manipulate specific data (digital rights management), and deploying, securing, monitoring, integrating and managing mobile devices, such as smartphones, tablets and laptops, in the workplace (mobile device management).

- **NGN Increment 2 - Wireless Networks –** NGN Increment 2 works with major commercial telecommunications carriers as they transition to IP-based infrastructures to ensure the continuity of NS/EP priority service.

- **NCPS Information Sharing –** NCPS Information Sharing deploys advanced tools and technologies expanding the cybersecurity community's access to DHS threat information & analysis. Continue integrating processes across multiple security fabrics (Cross Domain Solution).

- **NCPS Program, Planning and Operations -** maintains program artifacts and planning, program, and engineering support.

- **NCPS Analytics -** deploys streaming analytics capabilities to identify patterns in live data that include processes and tools associated with near real-time analysis of data streams, continues maturing streaming Analytics to identify patterns in live data and include processes and tools associated with near real-time analysis, and introduces and generates threat indicator confidence levels and reputation scores

FY 2016 to FY 2017 Budget Change- Sub-PPA Level

Summary Tables of Sub-PPA
Department of Homeland Security
National Protection and Programs Directorate
Procurement, Construction, and Improvements
Protect Infrastructure
Biometric Identity Management
FY 2016 to FY 2017 Budget Change- Sub-PPA Level
(Dollars in Thousands)

Biometric Identity Management		Positions	FTE	Amount
Prior Year	**FY 2015 Revised Enacted**	-	-	-
Base	**FY 2016 Enacted**	-	-	-
Current Services	From OBIM to PC&I / Protect Infrastructure	-	-	65,000
	From PC&I / Protect Infrastructure to CBP for OBIM	-	-	(65,000)
Budget Year	**FY 2017 Request**	-	-	-
	Total Change from FY 2016 to FY 2017	-	-	-

SUB-PPA DESCRIPTION:

In FY 2017, the Office of Biometric Identity Management is transferred to Customs and Border Protection.

Department of Homeland Security
National Protection and Programs Directorate
Procurement, Construction, and Improvements
Protect Infrastructure
Biometric Identity Management

Cost Drivers (Non-Pay) – Sub-PPA Level ($000s)

Biometric Identity Management	2015 Revised Enacted	2016 Enacted	2017 Request	FY 2016 to FY 2017 Change
FY 2017 Non- Pay Cost Drivers (greatest-least)				
Homeland Advanced Recognition Technology (HART)	-	$65,000	-	($65,000)
Total	**-**	**$65,000**	**-**	**($65,000)**

*FY 2015 and FY 2016 funding levels reflect the CAS Structure and are for comparison purposes only.

N/A

Summary Tables of Sub-PPA
Department of Homeland Security
National Protection and Programs Directorate
Procurement, Construction, and Improvements
Protect Infrastructure
Continuous Diagnostics & Mitigation
FY 2016 to FY 2017 Budget Change- Sub-PPA Level
(Dollars in Thousands)

Continuous Diagnostics & Mitigation		Positions	FTE	Amount
Prior Year	**FY 2015 Revised Enacted**	**-**	**-**	**-**
Base	**FY 2016 Enacted**	**-**	**-**	**-**
	From IPIS / Federal Network Security to PC&I / Protect Infrastructure	-	-	97,435
	Non-Recurring Adjustment for Major Acquisitions	-	-	(97,435)
Program Changes	Continuous Diagnostics & Mitigation	-	-	266,971
Budget Year	**FY 2017 Request**	**-**	**-**	**266,971**
	Total Change from FY 2016 to FY 2017	**-**	**-**	**266,971**

SUB-PPA DESCRIPTION:

The Continuous Diagnostics & Mitigation major Acquisition program furthers the ability to execute the responsibilities delegated to DHS in OMB Memorandums M-10-28, M-14-03, and M-15-01. The continuous monitoring trends, when cross-correlated with US-CERT and EINSTEIN, allows the Department to provide a Federal civilian government-wide view on security measures needing priority attention. Additionally, the cyber diagnostic strategy will provide timely, targeted, and prioritized visibility into security issues, allowing agencies to address worst problems first. The program provides tested continuous monitoring, diagnosis, and mitigation activities. CDM will centrally oversee the procurement, operations, and maintenance of diagnostic sensors (tools) and dashboards deployed to each agency. In addition, the program will maintain a dashboard to provide situational awareness on a Federal level.

In FY 2017, CDM will provide tool license maintenance for Phase 1 task orders awarded in FY 2015 and FY 2016. The Department has committed to fund the first two years of license costs for participating Federal departments and agencies. This approach applies to

the procurements underway for the 23 Chief Financial (CFO) Act agencies, plus the Executive Office of the President and the United States Postal Service. After the second year, these agencies will be responsible for the license maintenance for these tools.

CDM will provide product license maintenance funding for shared services for the non-CFO Act agencies (mainly small and micro agencies) using a private cloud solution.

Investment Name: Continuous Diagnostics & Mitigation (UII Number- 024-000009571)

Project Request ($000)	FY 2015 Revised Enacted	FY 2016 Enacted	FY 2017 Request	FY 2016 to FY 2017 Change
PC&I Planning	28,972	27,464	82,521	55,057
PC&I Acquisition	172,991	69,971	184,450	114,479
PC&I Salaries	-	-	-	-
PC&I TOTAL	**201,963**	**97,435**	**266,971**	**169,536**
PC&I Obligations*	175,252	4,785		
PC&I Unobligated Balance*	26,711	92,444		
PC&I Expenditures*	-	206		

*FY 2016 Enacted reflects obligations, unobligated balance and expenditures as of November 2015 for PC&I/PPA Only

Planned request for FY 2017 PC&I funding:

The requested $266.971 million will allow CDM to accelerate the availability of all phases of the program to include CDM Phases 3 and deploy an expanded fourth phase capabilities across the entire civilian Federal domain.

Quantity

Quantities are not applicable for this acquisition.

Project Status

Description	Delivery Order PRIV	**Completion Date**	Sep 30, 2016
Description	Task Order 2 Group F	**Completion Date**	Jun 30, 2016
Description	Delivery Order CRED	**Completion Date**	Sep 30, 2016

Key Milestones

Description	IOC	**Completion Date**	Dec 31, 2016
Description	Integration & Testing Readiness Review	**Completion Date**	Jan 31, 2017
Description	Operational Test Readiness Review	**Completion Date**	Dec 31, 2016
Description	ADE 3	**Completion Date**	Feb 28, 2017
Description	FOC	**Completion Date**	Dec 31, 2018

Department of Homeland Security
National Protection and Programs Directorate
Procurement, Construction, and Improvements
Protect Infrastructure
Continuous Diagnostics & Mitigation

Cost Drivers (Non-Pay) – Sub-PPA Level ($000s)

Continuous Diagnostics & Mitigation	2015 Revised Enacted	2016 Enacted	2017 Request	FY 2016 to FY 2017 Change
FY 2017 Non- Pay Cost Drivers (greatest-least)				
CDM Phase 1, 2, 3, and 4	$159,382	$64,962	$242,064	$177,102
Total	**$159,382**	**$64,962**	**$242,064**	**$177,102**

*FY 2015 and FY 2016 funding levels reflect the CAS Structure and are for comparison purposes only.

NARRATIVE EXPLANATION OF CHANGES – NON-PAY COST DRIVERS:

- **CDM –** The CDM program deploys capabilities to Federal civilian agencies via multiple phases:
    - **CDM Phase 1 –** provides tools that allow an agency to continuously know what software and hardware they have on their network and to understand whether or not those assets are vulnerable and are configured securely.
    - **CDM Phase 2 –** provides tools to ensure agency employees do not access information or systems beyond their established privileges, credentials, and training.
    - **CDM Phase 3 –** identifies what is happening on agency networks and provides tools and services to help agencies identify and respond to anomalous activity. These tools and services were the first step in shoring up the security gaps within the Federal IT ecosystem.
    - **CDM Phase 4 –** expands the program to include tools and services to protect the data within agency networks. These include mimicking current data stores (data masking), encoding the transfer of data (encryption), creating multiple compartments within a system for data storage (micro-segmentation), only allowing people with specific credentials to access and manipulate specific data (digital rights management), and deploying, securing, monitoring, integrating and managing mobile devices, such as smartphones, tablets and laptops, in the workplace (mobile device management).

Summary Tables of Sub-PPA
Department of Homeland Security
National Protection and Programs Directorate
Procurement, Construction, and Improvements
Protect Infrastructure
National Cybersecurity Protection System
FY 2016 to FY 2017 Budget Change-Sub-PPA Level
(Dollars in Thousands)

National Cybersecurity Protection System		Positions	FTE	Amount
Prior Year	**FY 2015 Revised Enacted**	**-**	**-**	**-**
Base	**FY 2016 Enacted**	**-**	**-**	**-**
Current Services	From IPIS / NSD to PC&I / Protect Infrastructure	-	-	91,738
	Non-Recurring Adjustment for Major Acquisitions	-	-	(91,738)
Program Changes	National Cybersecurity Protection System	-	-	81,771
Budget Year	**FY 2017 Request**	**-**	**-**	**81,771**
	Total Change from FY 2016 to FY 2017	**-**	**-**	**81,771**

SUB-PPA DESCRIPTION:

The National Cybersecurity Protection System (NCPS) budget project enhances the protection of Federal civilian department and agency IT infrastructure from cyber threats through the design, development, deployment, and sustainment of the National Cybersecurity Protection System (NCPS), operationally known as EINSTEIN. NCPS is an integrated system delivering intrusion detection, advanced analytics, intrusion prevention, and information sharing capabilities that combat and mitigate cyber threats to the Federal executive branch information and networks.

In FY 2009, EINSTEIN 2 began deployment; EINSTEIN 2 meticulously collects network flow traffic to and from participating Federal executive departments' and agencies' networks, and provides an intrusion detection capability that alerts when a pre-defined specific cyber threat is detected. In FY 2014, EINSTEN 3 Accelerated (E^3A) began deployment; E^3A acts on suspected malicious traffic by deploying countermeasures to include e-mail and domain name server traffic preventing harm to protected agencies' networks. EINSTEIN 2 and E^3A network intrusion detection capability uses a set of custom signatures derived from numerous

sources. When a signature alerts on a known or suspected cyber threat, E^3A will act on that threat to stop malicious traffic and prevent harm to the intended targets.

Investment Name: National Cybersecurity & Protection System (UII Number- 024-000009508)

Project Request ($000)	FY 2015 Revised Enacted	FY 2016 Enacted	FY 2017 Request	FY 2016 to FY 2017 Change
PC&I Planning	5,508	33,467	39,726	6,259
PC&I Acquisition	92,294	58,271	42,045	(16,226)
PC&I Salaries	-	-	-	-
PC&I TOTAL	**97,802**	**91,738**	**81,771**	**(9,967)**
PC&I Obligations*	58,263	1,863		
PC&I Unobligated Balance*	39,539	89,625		
PC&I Expenditures*	-	250		

*FY 2016 Enacted reflects obligations, unobligated balance and expenditures as of November 2015 for PC&I/PPA Only

Planned request for FY 2017 PC&I funding:

The requested $81.771 million will allow NPPD to continue deploying new intrusion prevention, information sharing, and analytic capabilities across the Federal civilian departments and agencies to enhance protection from cyber threats.

Quantity

The NCPS provides services to protect Civilian Departments and Agencies from Cyber incidents, therefore quantities do not apply.

Project Status

Description	Blk 2.2 Conduct Planning for System Information Sharing Capabilities for FY16 Q1 & Q2	**Completion Date**	Mar 31, 2016
Description	Blk 2.2 Conduct Development for System Information Sharing Capabilities for FY16 Q1 & Q2	**Completion Date**	Mar 31, 2016
Description	Blk 3.0 Procurement of Managed Services from ISPs and development of NEST and Traffic Aggregation Capabilities for FY16 Q1 & Q2	**Completion Date**	Mar 31, 2016
Description	O&M Apply NCPS hardware/software system upgrades and maintenance agreements, standard technical refresh, and security patch implementation to existing NCPS equipment deployed prior to FY15 Q4	**Completion Date**	Mar 31, 2016
Description	Blk 3.0 Procurement of Managed Services from ISPs and development of NEST and Traffic Aggregation Capabilities for FY16	**Completion Date**	Sept 30, 2016
Description	Blk 3.0 Procurement of Managed Services from ISPs and development of NEST and Traffic Aggregation Capabilities for FY16 Q3 & Q4	**Completion Date**	Sept 30, 2016
Description	Blk 2.2 Conduct Planning for System Information Sharing Capabilities for FY16	**Completion Date**	Sept 30, 2016
Description	Blk 2.2 Conduct Planning for System Information Sharing Capabilities for FY16 Q3 & Q4	**Completion Date**	Sept 30, 2016
Description	Blk 2.2 Conduct Development for System Information Sharing Capabilities for FY16	**Completion Date**	Sept 30, 2016
Description	Blk 2.2 Conduct Development for System Information Sharing Capabilities for FY16 Q3 & Q4	**Completion Date**	Sept 30, 2016
Description	O&M Apply NCPS hardware/software system upgrades and maintenance agreements, standard technical refresh, and security patch implementation to existing NCPS equipment deployed prior to FY16	**Completion Date**	Sept 30, 2016
Description	O&M Apply NCPS hardware/software system upgrades and maintenance agreements, standard technical refresh, and security patch implementation to existing NCPS equipment deployed prior to FY16 Q2	**Completion Date**	Sept 30, 2016

Key Milestones

Description	NCPS Block 2.2/Information Sharing ADE-2B	**Completed**	Jul 20, 2015
Description	NCPS Block 3.0/E3A ADE-2C	**Completed**	Jun 23, 2015
Description	NCPS Block 3.0/E3A ADE-3	**Completion Date**	Dec 31, 2017

Description	NCPS Block 2.2/Information Sharing ADE-2C	**Completion Date**	Mar 31, 2017
Description	NCPS Block 2.2/Information Sharing ADE-3	**Completion Date**	Jun 30, 2018

Department of Homeland Security
National Protection and Programs Directorate
Procurement, Construction, and Improvements
Protect Infrastructure
National Cybersecurity Protection System
Cost Drivers (Non-Pay) – Sub-PPA Level ($000s)

National Cybersecurity Protection System	2015 Revised Enacted	2016 Enacted	2017 Request	FY 2016 to FY 2017 Change
FY 2017 Non- Pay Cost Drivers (greatest-least)				
NCPS - Information Sharing	$30,382	$52,549	$38,847	($13,702)
NCPS - Program Planning and Operations	$9,786	$18,019	$23,556	$5,537
NCPS - Analytics	$7,747	$15,265	$15,265	-
NCPS - Intrusion Prevention	$49,887	$5,905	$4,103	($1,802)
Total	**$97,802**	**$91,738**	**$81,771**	**($9,967)**

*FY 2015 and FY 2016 funding levels reflect the CAS Structure and are for comparison purposes only.

NARRATIVE EXPLANATION OF CHANGES – NON-PAY COST DRIVERS:

The National Cybersecurity Protection System (NCPS) major Acquisition program, operationally known as EINSTEIN, is an integral part of the cyber fabric, providing near real-time protection and information for Federal civilian departments and agencies, cyber centers, and other Federal, State, and local authorities. NCPS consists of the hardware, software, supporting processes, training, and services being developed and acquired to support the Department's mission requirements as delineated in the CNCI and mandated in NSPD-54/HSPD-23. NCPS provides a wide range of cybersecurity capabilities for the .gov domain: intrusion detection; intrusion prevention; advanced cyber analytics; and information sharing and collaboration.

Summary Tables of Sub-PPA
Department of Homeland Security
National Protection and Programs Directorate
Procurement, Construction, and Improvements
Protect Infrastructure
Priority Telecommunications Services
FY 2016 to FY 2017 Budget Change- Sub-PPA Level
(Dollars in Thousands)

Priority Telecommunications Services		Positions	FTE	Amount
Prior Year	**FY 2015 Revised Enacted**	**-**	**-**	**-**
Base	**FY 2016 Enacted**	**-**	**-**	**-**
Current Services	From IPIS / NGN to PC&I / Protect Infrastructure	-	-	78,550
	Non-Recurring Adjustment for Major Acquisitions	-	-	(78,550)
Program Changes	Next Generation Networks	-	-	88,055
Budget Year	**FY 2017 Request**	**-**	**-**	**88,055**
	Total Change from FY 2016 to FY 2017	**-**	**-**	**88,055**

SUB-PPA DESCRIPTION:

The Priority Telecommunications Services (PTS) budget project leverages the Nation's public telephone networks, including cellular systems, during a disaster to enable voice communications for response and recovery coordination efforts and maintaining essential government functions and operations.

Next Generation Networks Priority Services (NGN-PS) ensures the continuity of priority telecommunications during an emergency through the continuation of NS/EP priority services currently provided via commercial networks. As major commercial telecommunications carriers replace their current circuit-switched infrastructure with Internet Protocol (IP)-based infrastructure, NGN-PS upgrades will ensure new network infrastructures are capable to accommodate the more than 450,000 authorized users with the ability to communicate during crises. When deployed, NGN-PS technologies will provide all levels of government with priority communications capabilities over robust and diverse nationwide communications networks at a fraction of the cost required to build and maintain a government-owned system.

NGN-PS is a multi-phase, multi-increment, technology insertion that will ultimately deliver priority voice, video, and data communication services. Phase 1, Increment 1 addresses the transition of legacy, priority voice capabilities in the commercial carriers' long distance core networks. Phase 1, Increment 2 works with major commercial telecommunications carriers as they transition to IP-based infrastructures to ensure the continuity of NS/EP priority service. Phase 1, Increment 3 is required for the Government Emergency Telecommunications Service (GETS) and Special Routing Arrangement Service (SRAS) portion of the network that supports priority at the local exchange carrier (LEC). Phase 2 and Phase 3 will priority over video and data over IP wireless networks.

Investment Name: Next Generation Network Priority Service (NGN-PS) (UII Number- 024-000009540)

Project Request ($000)	FY 2015 Revised Enacted	FY 2016 Enacted	FY 2017 Request	FY 2016 to FY 2017 Change
PC&I Planning	-	-	-	-
PC&I Acquisition	51,721	78,550	88,055	9,505
PC&I Salaries	-	-	-	-
PC&I TOTAL	**51,721**	**78,550**	**88,055**	**9,505**
PC&I Obligations*	47,193	191		
PC&I Unobligated Balance*	361	78,351		
PC&I Expenditures*	4,167	8		

*FY 2016 Enacted reflects obligations, unobligated balance and expenditures as of November 2015 for PC&I/PPA Only

Planned request for FY 2017 PC&I funding:

The requested $88.055 million will keep NPPD's Priority Telecommunications Services on track with the carriers' transition schedules.

Quantity

Quantities are not applicable for this acquisition.

Project Status

Description	SDR Phase 1 Increment 2	**Completion Date**	Apr 01, 2016

Key Milestones

Description	IOC - Increment 2 (Wireless Access) and CAE FTR	**Completion Date**	Aug 31, 2017
Description	Full Operational Capability - Increment 1 (Core) and ADE 3 Decision	**Completion Date**	Mar 31, 2019
Description	FOC - Increment 2 (Wireless Access) and ADE 3	**Completion Date**	Dec 31, 2019

Department of Homeland Security
National Protection and Programs Directorate
Procurement, Construction, and Improvements
Protect Infrastructure
Priority Telecommunications Services
Cost Drivers (Non-Pay) – Sub-PPA Level ($000s)

Priority Telecommunications Services	2015 Revised Enacted	2016 Enacted	2017 Request	FY 2016 to FY 2017 Change
FY 2017 Non- Pay Cost Drivers (greatest-least)				
NGN - Increment 2 - Wireless Networks	$36,644	$60,461	$67,600	$7,139
NGN - Increment 1 - Core Networks	$7,000	$10,037	$11,855	$1,818
NGN - Mission Support Services	$8,077	$8,052	$8,600	$548
Total	**$51,721**	**$78,550**	**$88,055**	**$9,505**

*FY 2015 and FY 2016 funding levels reflect the CAS Structure and are for comparison purposes only.

NARRATIVE EXPLANATION OF CHANGES – NON-PAY COST DRIVERS:

Next Generation Networks Priority Services (NGN-PS) major Acquisition program responds to Executive Order 13618, which directs the Secretary of DHS to "oversee the development, testing, implementation, and sustainment of NS/EP communications, including: communications that support Continuity of Government; Federal, State, local, territorial, and tribal emergency preparedness and response communications." Legacy PTS provides priority over commercial service provider (SP) networks. Service providers are replacing their aging circuit-switched networks with packet-switched networks creating the operational gap met by NGN-PS. NGN-PS addresses a capability gap by providing highly survivable, commercial telecomm assets to provide the Federal government with priority communications capabilities over robust and diverse nationwide networks at a fraction of the cost required to build a Federal government-owned system. NGN-PS is a multi-phase/multi-increment technology insertion. The operations and maintenance of this program is paid through the NS/EP Priority Telecommunications Services program.

Schedule III – Other Exhibits

Exhibit E. Justification of Proposed Legislative Language

Department of Homeland Security
National Protection and Programs Directorate
Procurement, Construction, and Improvements

For procurement, construction, and improvement expenses by the National Protection and Programs Directorate, as authorized by title II of the Homeland Security Act of 2002 (6 U.S.C. 121 et seq.), $436,797,000 shall be available until September 30, 2018.

Language Provision	Explanation
	The legislative language associated with this account has been updated and streamlined to reflect the Department's new Common Appropriations Structure. Funding amounts have been updated to reflect the FY 2017 President's Budget.

Exhibit G. Summary of Reimbursable Resources

N/A

Exhibit H. FY 2017 Schedule of Working Capital Fund by Program/Project Activity

Department of Homeland Security
National Protection and Programs Directorate (NPPD)
Procurement, Construction, and Improvements
(Dollars in Thousands)

Progam/Project/Activity	FY 2015 Revised Enacted Amount	FY 2016 Enacted Amount	FY 2017 Request Amount	Increase/Decrease for FY 2017 Amount
Continuous Diagnostics & Mitigation	-	-	$12,452	$12,452
Total Working Capital Fund	**-**	**-**	**$12,452**	**$12,452**

Exhibit I. Capital Investment and Construction Initiative Listing

Department of Homeland Security

National Protection and Programs Directorate
Procurement, Construction, and Improvements
Capital Investment and Construction Initiative Listing

Investments are identified in the Schedule I – C. FY 2017 Investment Summary at each Appropriation level and detailed in the narratives of the underlying PPAs. The Budget Overview Section "ii- FY 2017 Investment Summary- Appropriation Level" table provides a listing of NPPD Major Acquisition Oversight List (MAOL) Level 1 & 2 Investments".

Exhibit J. Object Class Breakout by Appropriation

Department of Homeland Security
National Protection and Programs Directorate (NPPD)
Procurement, Construction, and Improvements
Summary of Requirements by Object Class
(Dollars in Thousands)

Object Classes	2015 Revised Enacted	2016 Enacted	2017 Request	FY 2016 to FY 2017 Change
Personnel and Other Compensation Benefits				
Total, Personnel and Other Compensation Benefits	**-**	**-**	**-**	**-**
Other Object Classes				
21.0 Travel and Transportation of Persons	-	$14	$14	-
23.1 Rental Payments to GSA	$4,300	$3,093	$3,884	$791
23.3 Communications, Utilities, and Misc. Charges	$436	-	-	-
25.1 Advisory and Assistance Services	$85,109	$8,179	$8,179	-
25.3 Other Goods and Services from Federal Sources	$250,762	$209,267	$382,675	$173,408
31.0 Equipment	$10,681	$112,170	$42,045	($70,125)
Total, Other Object Classes	**$351,486**	**$332,723**	**$436,797**	**$104,074**
Total, Direct Obligations	**$351,486**	**$332,723**	**$436,797**	**$104,074**
Adjustments				
Net Offsetting Collections	-	-	-	-
Unobligated Balance, start of year	-	-	-	-
Unobligated Balance, end of year	-	-	-	-
Recoveries of Prior Year Obligations	-	-	-	-
Offsetting Collections	-	-	-	-
Total Requirements	**$351,486**	**$332,723**	**$436,797**	**$104,074**

*FY 2015 and FY 2016 funding levels reflect the CAS Structure and are for comparison purposes only.

Exhibit K. Object Class Breakout by PPA

Department of Homeland Security
National Protection and Programs Directorate (NPPD)
Procurement, Construction, and Improvements
Protect Infrastructure
Summary of Requirements by Object Class
(Dollars in Thousands)

Object Classes	FY 2015 Revised Enacted	FY 2016 Enacted	FY 2017 Request	FY 2016 to FY 2017 Change
Personnel and Compensation Benefits	-	-	-	-
Other Object Classes	-	-	-	-
21.0 Travel and Transportation of Persons	-	$14	$14	-
23.1 Rental Payments to GSA	$4,300	$3,093	$3,884	$791
23.3 Communications, Utilities, and Misc. Charges	$436	-	-	-
25.1 Advisory and Assistance Services	$85,109	$8,179	$8,179	-
25.3 Other Goods and Services from Federal Sources	$250,762	$209,267	$382,675	$173,408
25.4 Operation and Maintenance of Facilities	$198	-	-	-
31.0 Equipment	$10,681	$112,170	$42,045	($70,125)
Total, Other Object Classes	**$351,486**	**$332,723**	**$436,797**	**($104,074)**
Adjustments	-	-	-	-
Unobligated Balance, start of year	-	-	-	-
Unobligated Balance, end of year	-	-	-	-
Recoveries of Prior Year Obligations	-	-	-	-
Total Requirements	**$351,486**	**$332,723**	**$436,797**	**($104,074)**
Full Time Equivalents	-	-	-	-

*FY 2015 and FY 2016 funding levels reflect the CAS Structure and are for comparison purposes only.

Exhibit L. Permanent Positions by Grade

N/A

Exhibit M. Changes in Full Time Employment

N/A

Department of Homeland Security

National Protection and Programs Directorate

Research and Development

Fiscal Year 2017

Congressional Justification

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Schedule I – Executive Summary of Appropriation Exhibits

A. Summary of Budget Estimates by Program Project Activity- Appropriation Level

Department of Homeland Security
National Protection and Programs Directorate (NPPD)
Research and Development
Summary of Budget Estimates by Program Project Activity

FY 2017 Request
(Dollars in Thousands)

Program Project Activity	FY 2015 Revised Enacted[1]			FY 2016 Enacted			FY 2017 Request			Increase(+) or Decrease(-) for FY 2017 Total Changes		
	POS	FTE	Amount	POS	FTE	Amount	POS	FTE	Amount	POS	FTE	Amount
Infrastructure Capacity Building	**-**	**-**	**$2,594**	**-**	**-**	**$5,319**	**-**	**-**	**$3,669**	**-**	**-**	**($1,650)**
Technology Advancements & Innovation	-	-	$1,530	-	-	$2,030	-	-	$2,030	-	-	-
Sector Specific Agency (SSA) Management	-	-	$424	-	-	$424	-	-	$424	-	-	-
National Infrastructure Protection Plan (NIPP) Management	-	-	$640	-	-	$2,865	-	-	$1,215	-	-	($1,650)
Protect Infrastructure	**-**	**-**	**$1,100**	**-**	**-**	**$800**	**-**	**-**	**$800**	**-**	**-**	**-**
Infrastructure Security Compliance	-	-	$1,100	-	-	$800	-	-	$800	-	-	-
Total, Research and Development	**-**	**-**	**$3,694**	**-**	**-**	**$6,119**	**-**	**-**	**$4,469**	**-**	**-**	**($1,650)**
Subtotal, Enacted Appropriations & Budget Estimates	**-**	**-**	**$3,694**	**-**	**-**	**$6,119**	**-**	**-**	**$4,469**	**-**	**-**	**($1,650)**
Less: Adjustments for Other Funding Sources	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**			
Net, Enacted Appropriations and Budget Estimates:	**-**	**-**	**$3,694**	**-**	**-**	**$6,119**	**-**	**-**	**$4,469**	**-**	**-**	**($1,650)**

[1]Reflects reprogrammings/transfers, as applicable, and actual FTE. This footnote applies to all FY 2015 Revised Enacted tables throughout the CJ Submission. FY 2015 and FY 2016 funding levels reflect the CAS Structure and are for comparison purposes only.

Overview

In 2015, the National Protection and Programs Directorate (NPPD) initiated planning to reorganize into an operational component and proposed to change its name to Cyber and Infrastructure Protection. NPPD submitted a transition plan to Congress outlining the details of this proposal on October 28, 2015. The plan calls for consolidating current operational activities into three subcomponents: the National Cybersecurity and Communications Integration Center, Infrastructure Security, and the Federal Protective Service. These subcomponents will be supported by centralized mission support functions that provide acquisition, business, strategic, and analytical services. This organizational change will achieve greater unity of effort, enhance the effectiveness of operational activities, and improve the quality of mission support to programs. DHS looks forward to continued engagement with Congress on NPPD's reorganization.

The NPPD Research & Development (R&D) appropriation includes funds necessary for supporting the search for new or refined knowledge and ideas and for the application or use of such knowledge and ideas for the development of new or improved products and processes with the expectation of maintaining or increasing national economic productive capacity or yielding other future benefits. Activities are organized according to the following program/project/activity (PPA) structure:

Infrastructure Capacity Building – The Infrastructure Capacity Building PPA funds increasing the security and resilience of facilities, systems, networks, and surrounding communities to cyber, physical, and human risks through partnership efforts. To this end, the PPA funds activities to provide analysis, expertise, and other technical assistance to critical infrastructure owners and operators and facilitate access to and exchange of information and intelligence necessary to strengthen the security and resilience of critical infrastructure, including a nationwide framework to guide infrastructure security and resilience efforts. The PPA also funds activities that enable Federal Departments and agencies to address cybersecurity challenges; partner with the private sector, military, and intelligence communities to mitigate vulnerabilities and threats to information technology assets; and facilitate collaboration and partnerships on cyber issues with public and private sector partners. In addition, the PPA funds activities to provide training, coordination, tools, and guidance to help NPPD's Federal, state, local, tribal, territorial, and industry partners develop their emergency communications capabilities. This PPA also funds support activities necessary to enable these efforts.

Protect Infrastructure – The Protect Infrastructure PPA funds NPPD's efforts to manage risks to infrastructure directly. This includes activities to support integrated intrusion detection, analytics, information sharing, intrusion prevention, diagnostics, and mitigation capabilities to protect Federal networks. This also includes support for capabilities to maintain essential government functions and operations, as well as support response and recovery efforts during a disaster. In addition, this PPA funds activities to secure high-risk chemical facilities and prevent the use of certain chemicals in a terrorist act. This PPA also funds support activities necessary to enable these efforts.

B. FY 2016 to FY 2017 Budget Change - Appropriation Level

Department of Homeland Security
National Protection and Programs Directorate (NPPD)
Research and Development

FY 2016 to FY 2017 Budget Change
(Dollars in Thousands)

	Pos.	FTE	Amount
Adjustments-to-Base			
Transfers to and from other accounts:			
From IPIS / GCSM to R&D / Infrastructure Capacity Building	-	-	$2,030
From IPIS / SMG to R&D / Infrastructure Capacity Building	-	-	$3,289
From IPIS / ISC to R&D / Protect Infrastructure	-	-	$800
Total Transfers	**-**	**-**	**$6,119**
Total Adjustments-to-Base	**-**	**-**	**$6,119**
FY 2017 Current Services	**-**	**-**	**$6,119**
Program Changes			
Increases			
Technology Development & Deployment Program	-	-	$640
Total, Increases	**-**	**-**	**$640**
Decreases			
Critical Infrastructure Protection Technology	-	-	($2,000)
Position, Navigation, and Timing	-	-	($290)
Total, Decreases	**-**	**-**	**($2,290)**
Total Program Changes	**-**	**-**	**($1,650)**
FY 2017 Request	**-**	**-**	**$4,469**
FY 2016 to FY 2017 Change	**-**	**-**	**$4,469**

C. FY 2017 Investment Summary - Appropriation Level

N/A

Exhibit D. FY 2016 to FY 2017 Budget Change- PPA Level

Department of Homeland Security
National Protection and Programs Directorate
Research and Development
Infrastructure Capacity Building
FY 2016 to FY 2017 Budget Change- PPA Level
(Dollars in Thousands)

Infrastructure Capacity Building		Positions	FTE	Amount
	From IPIS / GCSM to R&D / Infrastructure Capacity Building	-	-	2,030
	From IPIS / SMG to R&D / Infrastructure Capacity Building	-	-	3,289
Program Changes	Critical Infrastructure Protection Technology	-	-	(2,000)
	Position, Navigation, and Timing	-	-	(290)
	Technology Development & Deployment Program	-	-	640
Budget Year	**FY 2017 Request**	**-**	**-**	**3,669**
	Total Change from FY 2016 to FY 2017	**-**	**-**	**3,669**

PPA DESCRIPTION:

NPPD requests $3.669 million for the Infrastructure Capacity Building PPA under the Research & Development appropriation.

The Infrastructure Capacity Building PPA supports three budget projects, as outlined below –National Infrastructure Protection Plan Management, Sector Specific Agency Management, and Technology Advancements & Innovation.

Department of Homeland Security
National Protection and Programs Directorate (NPPD)
Infrastructure Capacity Building
Justification of Program Changes
(Dollars in Thousands)

Program Change: TDDP, CIPT, and PNT
PPA: Infrastructure Capacity Building
Program Change: FTP 0, FTE 0, Dollars $3,669

Funding Profile

	FY 2015 Revised Enacted			FY 2016 Enacted			FY 2017 Request		
	FTP	FTE	Dollars ($000)	FTP	FTE	Dollars ($000)	FTP	FTE	Dollars ($000)
Current Services: Infrastructure Capacity Building - Technology Advancements & Innovation							-	-	$2,030
Current Services: Infrastructure Capacity Building - SSA Management							-	-	$424
Current Services: Infrastructure Capacity Building - NIPP Management							-	-	$2,865
Subtotal, Current Services								**-**	**$5,319**
Program Increase: Technology Development & Deployment Program - NIPP Management							-	-	$640
Subtotal, Program Increases							**-**	**-**	**$640**
Program Decrease: Critical Infrastructure Protection Technology - NIPP Management							-	-	($2,000)
Program Decrease: Position, Navigation, and Timing - NIPP Management							-	-	($290)
Subtotal, Program Decreases							**-**	**-**	**($2,290)**
Total Request							**-**	**-**	**$3,669**

Summary of Infrastructure Capacity Building Program Changes

NPPD requests the following program increase:

1. Technology Development & Deployment Program – $0.640 million

NPPD requests the following program decreases:

1. Critical Infrastructure Protection Technology – $2.000 million
2. Position, Navigation, and Timing – $0.290 million

Program Increase #1: Technology Development & Deployment Program

NPPD requests $0.640 million for the Technology Development & Deployment (TDDP) Program.

Justification:
The request will provide much needed resources and knowledge to enhance the protection and resilience of critical infrastructure. NPPD will be able to utilize a unique contracting vehicle to pursue new innovative technologies for rapid deployment through a competition that will identify projects devised to solve resilient design challenges, develop tools to support infrastructure investment decisions, and create products that can aid in detecting malicious activity.

Impact on Performance:
The additional resources will give NPPD the flexibility to connect with private sector counterparts to conduct and coordinate cutting edge critical infrastructure community-based research and technology without employing the formal, lengthy standard Federal procurement processes. The planned competition will promote technology transfer and commercialization of products for the critical infrastructure community. Prototypes identified through this process can then be brought to market and disseminated broadly to support the critical infrastructure community. At present, NPPD has minimal capacity to build the legal framework and expand the outreach network necessary to connect customers to TDDP projects.

Program Decrease #1: Critical Infrastructure Protection Technology

NPPD requests a decrease of $2.000 million for Critical Infrastructure Protection Technology.

Justification:
FY 2016 enacted included an additional $2.000 million above the FY 2016 President's Budget to define agency needs, identify requirements for community-level critical infrastructure protection and resiliency, and rapidly develop, test, and transition to use technologies that address needs and requirements. Since the agency needs will be defined and requirements identified, there is a reduction of funds to a level for implementation in FY 2017. Remaining funds will be used to continue to implement the defined agency needs and community-level requirements.

Impact on Performance:
A reduction of funds will impact the level and quantity of technologies for critical infrastructure protection and resiliency that can be rapidly developed, tested, and transitioned for use.

Program Decrease #2: Position, Navigation, and Timing

NPPD requests a decrease of $0.290 million for Position, Navigation, and Timing (PNT).

Justification:
The decrease reduces funding for interagency policy coordination for PNT issues.

Impact on Performance:
Although the decrease reduces funding for interagency policy coordination for PNT issues within NPPD, the Office of Policy will take a larger role in PNT issues.

Department of Homeland Security
National Protection and Programs Directorate
Research and Development
Infrastructure Capacity Building

Cost Drivers (Non-Pay) - PPA Level ($000s)

Infrastructure Capacity Building	2015 Revised Enacted	2016 Enacted	2017 Request	FY 2016 to FY 2017 Change
FY 2017 Non- Pay Cost Drivers (greatest-least)				
Research & Standards Integration	$1,530	$2,030	$2,030	-
Technology Development and Deployment Program	$640	$2,000	$640	($1,360)
Positioning, Navigation, and Timing (PNT) Research	-	$865	$575	($290)
Infrastructure Development and Recovery	$424	$424	$424	-
Total	**$2,594**	**$5,319**	**$3,669**	**($1,650)**

*FY 2015 and FY 2016 funding levels reflect the CAS Structure and are for comparison purposes only.

NARRATIVE EXPLANATION OF CHANGES – NON-PAY COST DRIVERS:

- **Research & Standards Integration -** enables participation in international standards activities to support cybersecurity ecosystem architecture. Additionally this effort promotes industry adoption of Software Identification (SWID) tags and provides technical and management support for the Information Technology Sector Critical Infrastructure Partnership Advisory Council (CIPAC).

- **Technology Development and Deployment Program -** The Technology Development and Deployment Program (TDDP), an Other Transaction Agreement (OTA), with the National Institute of Hometown Security supports research, development, and application of innovative technology for community-based critical infrastructure protection and develops a pragmatic approach for protecting critical infrastructure against terrorist attacks and other emergency situations. This program also addresses community-based critical infrastructure protection priorities and develops homeland security technologies that may be transitioned to commercialization. In FY 2017, the funding under TDDP will be the NIPP Security & Resilience Challenge, which is an initiative that supports NPPD's responsibility to lead the national effort to help secure critical infrastructure through collaboration with the nation's critical infrastructure community. Through the Innovation Challenge, NPPD is providing stakeholders in the critical infrastructure community a leading-edge, partnership approach to developing innovated,

cost-effective and ready for use knowledge products and technologies that enhance critical infrastructure protection and resilience.

- **Positioning, Navigation, and Timing (PNT) Research -** The Positioning, Navigation, and Timing (PNT) Project Management Office (PMO) is working with the critical infrastructure community to ensure they understand their operational dependencies on PNT and are managing associated risks. This effort will continue to develop products, programs, and mitigation strategies for critical infrastructure owners. Focus areas for research and development will include the confirmation of precision requirements for critical infrastructure PNT users. This effort is coordinated with DHS Science and Technology's GPS Vulnerability Assessment in the Critical Infrastructure to support testing of equipment vulnerabilities to jamming and spoofing and development of back-up PNT alternatives to GPS to increase critical infrastructure resilience.

- **Infrastructure Development and Recovery -** supports research and analysis efforts within the Infrastructure Development and Recovery (IDR) program. These analytic efforts will improve both policymaker and critical infrastructure community understanding of and progress toward more resilient critical infrastructure. Efforts will include community-level stakeholder engagement focused on resilience efforts, development and publication of information products, communication materials, and tools supporting the IDR program, and development of strategic white papers and research summaries.

Department of Homeland Security
National Protection and Programs Directorate
Research and Development
Protect Infrastructure
FY 2016 to FY 2017 Budget Change- PPA Level
(Dollars in Thousands)

Protect Infrastructure		**Positions**	**FTE**	**Amount**
Prior Year	**FY 2015 Revised Enacted**	**-**	**-**	**-**
Base	**FY 2016 Enacted**	**-**	**-**	**-**
Current Services	From IPIS / ISC to R&D / Protect Infrastructure	-	-	800
Budget Year	**FY 2017 Request**	**-**	**-**	**800**
	Total Change from FY 2016 to FY 2017	**-**	**-**	**800**

PPA DESCRIPTION:

NPPD requests $0.800 million for the Protect Infrastructure PPA under the Research & Development appropriation.

The Protect Infrastructure PPA supports the Infrastructure Security Compliance budget project.

Department of Homeland Security
National Protection and Programs Directorate
Research and Development
Protect Infrastructure

Cost Drivers (Non-Pay) - PPA Level ($000s)

Protect Infrastructure	2015 Revised Enacted	2016 Enacted	2017 Request	FY 2016 to FY 2017 Change
FY 2017 Non- Pay Cost Drivers (greatest-least)				
Chemical Testing	$1,100	$800	$800	-
Total	**$1,100**	**$800**	**$800**	**-**

*FY 2015 and FY 2016 funding levels reflect the CAS Structure and are for comparison purposes only.

NARRATIVE EXPLANATION OF CHANGES – NON-PAY COST DRIVERS:

- **Chemical Testing -** funds continue efforts on testing and analysis of improvised explosive device precursors that may be added to Appendix A in accordance with section 6(d) Executive Order 13650, "IMPROVING CHEMICAL FACILITY SAFETY AND SECURITY". This section states that the Secretary of Homeland Security shall identify a list of chemicals, which should be considered for addition to the Chemical Facility Anti-Terrorism Standards (CFATS) Chemicals of Interest (COI) list. The testing and analysis will not only provide support for potential additions to Appendix A, but also will be used to help support screening threshold quantities (STQ) and concentrations for rulemaking.

Exhibit D. FY 2016 to FY 2017 Budget Change- Sub-PPA Level

Summary Tables of Sub-PPA
Department of Homeland Security
National Protection and Programs Directorate
Research and Development
Infrastructure Capacity Building
Technology Advancements & Innovation
FY 2016 to FY 2017 Budget Change- Sub-PPA Level
(Dollars in Thousands)

Technology Advancements & Innovation		Positions	FTE	Amount
Prior Year	**FY 2015 Revised Enacted**	**-**	**-**	**-**
Base	**FY 2016 Enacted**	**-**	**-**	**-**
Current Services	From IPIS / GCSM to R&D / Infrastructure Capacity Building	-	-	2,030
Budget Year	**FY 2017 Request**	**-**	**-**	**2,030**
	Total Change from FY 2016 to FY 2017	**-**	**-**	**2,030**

SUB-PPA DESCRIPTION:

The Technology Advancements & Innovation budget project funds a framework to increase flow of data to strengthen the cyber ecosystem, promoting interoperability across current and future capabilities for all stakeholders. This will drive innovative and cost effective security products, services, and solutions throughout the cyber ecosystem by emphasizing cooperative partnerships and information sharing between Federal departments and agencies, the private sector, and standards organizations on national and international levels. The project will fund identifying and communicating emergent needs for enhancements to existing standards or the development of additional standards, based on identified gaps with respect to DHS and NPPD interests. It will serve as a technology transition facilitator, accelerating the integration of technologies into systems and programs.

In FY 2017, the Technology Advancements & Innovation project will fund the advancement and adoption of national and international information and communication technology and cybersecurity standards, both within and external to the Department, and the continued leadership in standards committees within national and international standards development organizations.

Department of Homeland Security
National Protection and Programs Directorate
Research and Development
Infrastructure Capacity Building
Technology Advancements & Innovation
Cost Drivers (Non-Pay) – Sub-PPA Level ($000s)

Technology Advancements & Innovation	2015 Revised Enacted	2016 Enacted	2017 Request	FY 2016 to FY 2017 Change
FY 2017 Non- Pay Cost Drivers (greatest-least)				
Research & Standards Integration	$1,530	$2,030	$2,030	-
Total	**$1,530**	**$2,030**	**$2,030**	**-**

*FY 2015 and FY 2016 funding levels reflect the CAS Structure and are for comparison purposes only.

NARRATIVE EXPLANATION OF CHANGES – NON-PAY COST DRIVERS:

- **Research & Standards Integration -** enables participation in international standards activities to support cybersecurity ecosystem architecture. Additionally this effort promotes industry adoption of Software Identification (SWID) tags and provides technical and management support for the Information Technology Sector Critical Infrastructure Partnership Advisory Council (CIPAC).

Summary Tables of Sub-PPA
Department of Homeland Security
National Protection and Programs Directorate
Research and Development
Infrastructure Capacity Building
SSA Management
FY 2016 to FY 2017 Budget Change- Sub-PPA Level
(Dollars in Thousands)

SSA Management		Positions	FTE	Amount
Prior Year	**FY 2015 Revised Enacted**	**-**	**-**	**-**
Base	**FY 2016 Enacted**	**-**	**-**	**-**
Current Services	From IPIS / SMG to R&D / Infrastructure Capacity Building	-	-	424
Budget Year	**FY 2017 Request**	**-**	**-**	**424**
	Total Change from FY 2016 to FY 2017	**-**	**-**	**424**

SUB-PPA DESCRIPTION:

The Sector Specific Agency (SSA) Management budget project funds the unified public-private sector effort to coordinate, develop, and implement comprehensive plans, programs, and strategies enhancing the security and resilience of the Nation's critical infrastructure. The project funds strengthening collaboration and coordination across all 16 critical infrastructure sectors and executing SSA responsibilities for nine sectors: Chemical; Commercial Facilities; Communications; Critical Manufacturing; Dams; Emergency Services; Government Facilities (with the General Services Administration); Information Technology; and Nuclear Reactors, Materials, and Waste. The SSA Management project funds a sector-specific and cross-sector integration hub to sustain and expand partnerships both internal and external to DHS and to positively influence risk management decision-making processes of critical infrastructure stakeholders across the infrastructure lifecycle. This project measures outcome-level success by consolidating and tracking stakeholder feedback related to products and services during informal and formal stakeholder engagements.

In FY 2017, the SSA Management budget project will fund field-based activities to enhance the capacity of infrastructure owners and operators including by supporting post disaster infrastructure recovery needs. This includes funding 40 workshops across the country in support of active shooter preparedness, providing access to best practices and resources specifically tailored for use by critical infrastructure stakeholders. In addition, this project will fund targeted threat and security outreach engagements to public and private

critical infrastructure owners and operators. In FY 2017, the project will also fund critical infrastructure stakeholder training through online and onsite training products and resources to build capacity across the full range of public and private sector stakeholders. The project will fund critical infrastructure stakeholder exercises that will address physical and cyber aspects, thus providing public and private sector stakeholders with effective mechanisms to test and validate their state of preparedness, resilience, and information-sharing processes. Finally, this project will fund sector-specific and cross-sector efforts to consolidate and evaluate best practices and standards, technical products and resources, and post-event lessons learned.

Department of Homeland Security
National Protection and Programs Directorate
Research and Development
Infrastructure Capacity Building
SSA Management
Cost Drivers (Non-Pay) – Sub-PPA Level ($000s)

SSA Management	2015 Revised Enacted	2016 Enacted	2017 Request	FY 2016 to FY 2017 Change
FY 2017 Non- Pay Cost Drivers (greatest-least)				
Infrastructure Development and Recovery	$424	$424	$424	-
Total	**$424**	**$424**	**$424**	**-**

*FY 2015 and FY 2016 funding levels reflect the CAS Structure and are for comparison purposes only.

NARRATIVE EXPLANATION OF CHANGES – NON-PAY COST DRIVERS:

- **Infrastructure Development and Recovery -** supports research and analysis efforts within the Infrastructure Development and Recovery (IDR) program. These analytic efforts will improve both policymaker and critical infrastructure community understanding of and progress toward more resilient critical infrastructure. Efforts will include community-level stakeholder engagement focused on resilience efforts, development and publication of information products, communication materials, and tools supporting the IDR program, and development of strategic white papers and research summaries.

Summary Tables of Sub-PPA
Department of Homeland Security
National Protection and Programs Directorate
Research and Development
Infrastructure Capacity Building
NIPP Management
FY 2016 to FY 2017 Budget Change- Sub-PPA Level
(Dollars in Thousands)

NIPP Management		Positions	FTE	Amount
Prior Year	**FY 2015 Revised Enacted**	**-**	**-**	**-**
Base	**FY 2016 Enacted**	**-**	**-**	**-**
	From IPIS / SMG to R&D / Infrastructure Capacity Building	-	-	2,865
Program Changes	Critical Infrastructure Protection Technology	-	-	(2,000)
	Position, Navigation, and Timing	-	-	(290)
	Technology Development & Deployment Program	-	-	640
Budget Year	**FY 2017 Request**	**-**	**-**	**1,215**
	Total Change from FY 2016 to FY 2017	**-**	**-**	**1,215**

SUB-PPA DESCRIPTION:

The National Infrastructure Protection Plan (NIPP) Management budget project funds support to the framework governing the Nation's approach to critical infrastructure security and resilience. It leads NIPP implementation processes. Through the processes, it sets national priorities for critical infrastructure by working with private sector, State and local governments, and regional partners to identify areas where NIPP coordinating structures can increase the overall security and resilience of the Nation by focusing efforts on the strategic environment. NIPP Management also funds the Interagency Security Committee to enhance the quality and effectiveness of security in and protection of building and facilities in the United States occupied by Federal employees for nonmilitary activities. NIPP Management also funds the Technology Development and Deployment Program which gathers requirements from NIPP Councils and funds innovative ideas related to critical infrastructure security and resilience.

In FY 2017, the NIPP Management budget project will continue to fund the monitoring and researching of emerging risks, critical infrastructure vulnerabilities, and strategic trends to identify areas where NIPP coordinating structures can increase the overall security and resilience of the Nation by focusing efforts on the strategic environment. In addition, funds will contribute to strategic initiatives to mitigate risks to the nation's critical infrastructure associated with Position, Navigation and Timing technologies. Funds will also provide for continued implementation of the Interagency Security Committee (ISC) compliance authorities to support adoption of security standards by Federal facilities within the National Capital Area and across the country. Lastly, funds will support the ability to provide critical infrastructure measurement and analysis support to DHS and NPPD, as well as national reporting requirements support to partners, including the analysis and development of the Critical Infrastructure National Annual Report.

Department of Homeland Security
National Protection and Programs Directorate
Research and Development
Infrastructure Capacity Building
NIPP Management
Cost Drivers (Non-Pay) – Sub-PPA Level ($000s)

NIPP Management	2015 Revised Enacted	2016 Enacted	2017 Request	FY 2016 to FY 2017 Change
FY 2017 Non- Pay Cost Drivers (greatest-least)				
Technology Development and Deployment Program	$640	$2,000	$640	($1,360)
Positioning, Navigation, and Timing (PNT) Research	-	$865	$575	($290)
Total	**$640**	**$2,865**	**$1,215**	**($1,650)**

*FY 2015 and FY 2016 funding levels reflect the CAS Structure and are for comparison purposes only.

NARRATIVE EXPLANATION OF CHANGES – NON-PAY COST DRIVERS:

- **Technology Development and Deployment Program -** The Technology Development and Deployment Program (TDDP), an Other Transaction Agreement (OTA), with the National Institute of Hometown Security supports research, development, and application of innovative technology for community-based critical infrastructure protection and develops a pragmatic approach for protecting critical infrastructure against terrorist attacks and other emergency situations. This program also addresses community-based CIP priorities and develops homeland security technologies that may be transitioned to commercialization. In FY 2017, the funding under TDDP will be the NIPP Security & Resilience Challenge, which is an initiative that supports its responsibility to lead the national effort to help secure critical infrastructure through collaboration with the nation's critical infrastructure community. Through the Innovation Challenge, NPPD is providing stakeholders in the critical infrastructure community a leading-edge, partnership approach to developing innovated, cost-effective and ready for use knowledge products and technologies that enhance critical infrastructure protection and resilience.

- **Positioning, Navigation, and Timing (PNT) Research -** The Positioning, Navigation, and Timing (PNT) Project Management Office (PMO) is working with the critical infrastructure community to ensure they understand their operational dependencies on PNT and are managing associated risks. This effort will continue to develop products, programs, and mitigation strategies for critical infrastructure owners. Focus areas for research and development will include the confirmation

of precision requirements for critical infrastructure PNT users. This effort is coordinated with DHS Science and Technology's GPS Vulnerability Assessment in the Critical Infrastructure to support testing of equipment vulnerabilities to jamming and spoofing and development of back-up PNT alternatives to GPS to increase critical infrastructure resilience.

Summary Tables of Sub-PPA
Department of Homeland Security
National Protection and Programs Directorate
Research and Development
Protect Infrastructure
Infrastructure Security Compliance
FY 2016 to FY 2017 Budget Change- Sub-PPA Level
(Dollars in Thousands)

Infrastructure Security Compliance		Positions	FTE	Amount
Prior Year	**FY 2015 Revised Enacted**	**-**	**-**	**-**
Base	**FY 2016 Enacted**	**-**	**-**	**-**
Current Services	From IPIS / ISC to R&D / Protect Infrastructure	-	-	800
Budget Year	**FY 2017 Request**	**-**	**-**	**800**
	Total Change from FY 2016 to FY 2017	**-**	**-**	**800**

SUB-PPA DESCRIPTION:

The Infrastructure Security Compliance (ISC) budget project funds securing the Nation's high-risk chemical facilities through the systematic regulation, inspection, and enforcement under the authority of the Chemical Facility Anti-Terrorism Standards (CFATS). In support of CFATS and explosive precursor security, the ISC project provides funding for regulatory mission support, regulation compliance determination, field operations support, and technical engineering for facilities data collection and analysis tools, including the Chemical Security Assessment Tool (CSAT) suite.

In FY 2017, the ISC project will continue to fund CFATS activities, including determination of facility regulatory coverage, site security plan authorization and approval, inspections of covered facilities, regulatory development and revision, personnel surety vetting, and compliance enforcement. In addition, the ISC project funds execution of outreach efforts and initiatives designed to both increase cross-agency unity of effort under Executive Order 13650, and to enhance efforts to identify covered industry populations that may be subject to regulation under CFATS. The ISC project will also continue to fund acquiring services for the production environment data center (hosting, monitoring, administration, etc.), data administration, operation of the technical help desk, and cybersecurity for the CSAT program.

Department of Homeland Security
National Protection and Programs Directorate
Research and Development
Protect Infrastructure
Infrastructure Security Compliance
Cost Drivers (Non-Pay) – Sub-PPA Level ($000s)

Infrastructure Security Compliance	2015 Revised Enacted	2016 Enacted	2017 Request	FY 2016 to FY 2017 Change
FY 2017 Non- Pay Cost Drivers (greatest-least)				
Chemical Testing	$1,100	$800	$800	-
Total	**$1,100**	**$800**	**$800**	**-**

*FY 2015 and FY 2016 funding levels reflect the CAS Structure and are for comparison purposes only.

NARRATIVE EXPLANATION OF CHANGES – NON-PAY COST DRIVERS:

- **Chemical Testing -** funds continue efforts on testing and analysis of improvised explosive device precursors that may be added to Appendix A in accordance with section 6(d) Executive Order 13650, "IMPROVING CHEMICAL FACILITY SAFETY AND SECURITY". This section states that the Secretary of Homeland Security shall identify a list of chemicals, which should be considered for addition to the Chemical Facility Anti-Terrorism Standards (CFATS) Chemicals of Interest (COI) list. The testing and analysis will not only provide support for potential additions to Appendix A, but also will be used to help support screening threshold quantities (STQ) and concentrations for rulemaking.

Schedule III – Other Exhibits

Exhibit E. Justification of Proposed Legislative Language

Department of Homeland Security
National Protection and Programs Directorate
Research and Development

For research and development expenses of the National Protection and Programs Directorate, as authorized by title II of the Homeland Security Act of 2002 (6 U.S.C. 121 et seq.), $4,469,000 to remain available until September 30, 2017.

Language Provision	Explanation
	The legislative language associated with this account has been updated and streamlined to reflect the Department's new Common Appropriations Structure. Funding amounts have been updated to reflect the FY 2017 President's Budget.

Exhibit F. Summary of Fee Collections and Carryover

Department of Homeland Security
National Protection and Programs Directorate
Research and Development
Summary of Fee Collections and Carryover
(Dollars in Thousands)

N/A

Exhibit G. Summary of Reimbursable Resources

N/A

Exhibit H. FY 2017 Schedule of Working Capital Fund by Program/Project Activity

N/A

Exhibit I. Capital Investment and Construction Initiative Listing

Department of Homeland Security

National Protection and Programs Directorate
Research and Development
Capital Investment and Construction Initiative Listing

N/A

Exhibit J. Object Class Breakout by Appropriation

Department of Homeland Security
National Protection and Programs Directorate (NPPD)
Research and Development
Summary of Requirements by Object Class
(Dollars in Thousands)

Object Classes	2015 Revised Enacted	2016 Enacted	2017 Request	FY 2016 to FY 2017 Change
Personnel and Other Compensation Benefits				
Total, Personnel and Other Compensation Benefits	-	-	-	-
Other Object Classes				
25.5 Research and Development Contracts	$3,694	$6,119	$4,469	($1,650)
Total, Other Object Classes	**$3,694**	**$6,119**	**$4,469**	($1,650)
Total, Direct Obligations	**$3,694**	**$6,119**	**$4,469**	($1,650)
Adjustments			-	- -
			-	- -
Net Offsetting Collections	-	-	-	-
Unobligated Balance, start of year	-	-	-	-
Unobligated Balance, end of year	-	-	-	-
Recoveries of Prior Year Obligations	-	-	-	-
Offsetting Collections	-	-	-	-
Total Requirements	**$3,694**	**$6,119**	**$4,469**	($1,650)

*FY 2015 and FY 2016 funding levels reflect the CAS Structure and are for comparison purposes only.

Exhibit K. Object Class Breakout by PPA

Department of Homeland Security
National Protection and Programs Directorate (NPPD)
Research and Development
Infrastructure Capacity Building
Technology Advancements & Innovation
Summary of Requirements by Object Class
(Dollars in Thousands)

Object Classes	FY 2015 Revised Enacted	FY 2016 Enacted	FY 2017 Request	FY 2016 to FY 2017 Change
Personnel and Compensation Benefits	-	-	-	-
Other Object Classes	-	-	-	-
25.5 Research and Development Contracts	$1,530	$2,030	$2,030	-
Total, Other Object Classes	**$1,530**	**$2,030**	**$2,030**	-
Adjustments	-	-	-	-
Unobligated Balance, start of year	-	-	-	-
Unobligated Balance, end of year	-	-	-	-
Recoveries of Prior Year Obligations	-	-	-	-
Total Requirements	**$1,530**	**$2,030**	**$2,030**	-
Full Time Equivalents	-	-	-	-

*FY 2015 and FY 2016 funding levels reflect the CAS Structure and are for comparison purposes only.

Department of Homeland Security
National Protection and Programs Directorate (NPPD)
Research and Development
Infrastructure Capacity Building
SSA Management
Summary of Requirements by Object Class
(Dollars in Thousands)

Object Classes	FY 2015 Revised Enacted	FY 2016 Enacted	FY 2017 Request	FY 2016 to FY 2017 Change
Personnel and Compensation Benefits	-	-	-	-
Other Object Classes	-	-	-	-
25.5 Research and Development Contracts	$424	$424	$424	-
Total, Other Object Classes	**$424**	**$424**	**$424**	-
Adjustments	-	-	-	-
Unobligated Balance, start of year	-	-	-	-
Unobligated Balance, end of year	-	-	-	-
Recoveries of Prior Year Obligations	-	-	-	-
Total Requirements	**$424**	**$424**	**$424**	-
Full Time Equivalents	-	-	-	-

*FY 2015 and FY 2016 funding levels reflect the CAS Structure and are for comparison purposes only.

Department of Homeland Security
National Protection and Programs Directorate (NPPD)
Research and Development
Infrastructure Capacity Building
NIPP Management
Summary of Requirements by Object Class
(Dollars in Thousands)

Object Classes	FY 2015 Revised Enacted	FY 2016 Enacted	FY 2017 Request	FY 2016 to FY 2017 Change
Personnel and Compensation Benefits	-	-	-	-
Other Object Classes	-	-	-	-
25.5 Research and Development Contracts	$640	$2,865	$1,215	($1,650)
Total, Other Object Classes	**$640**	**$2,865**	**$1,215**	**($1,650)**
Adjustments	-	-	-	-
Unobligated Balance, start of year	-	-	-	-
Unobligated Balance, end of year	-	-	-	-
Recoveries of Prior Year Obligations	-	-	-	-
Total Requirements	**$640**	**$2,865**	**$1,215**	**($1,650)**
Full Time Equivalents	-	-	-	-

*FY 2015 and FY 2016 funding levels reflect the CAS Structure and are for comparison purposes only.

Department of Homeland Security
National Protection and Programs Directorate (NPPD)
Research and Development
Protect Infrastructure
Infrastructure Security Compliance
Summary of Requirements by Object Class
(Dollars in Thousands)

Object Classes	FY 2015 Revised Enacted	FY 2016 Enacted	FY 2017 Request	FY 2016 to FY 2017 Change
Personnel and Compensation Benefits	-	-	-	-
Other Object Classes	-	-	-	-
25.5 Research and Development Contracts	$1,100	$800	$800	-
Total, Other Object Classes	**$1,100**	**$800**	**$800**	-
Adjustments	-	-	-	-
Unobligated Balance, start of year	-	-	-	-
Unobligated Balance, end of year	-	-	-	-
Recoveries of Prior Year Obligations	-	-	-	-
Total Requirements	**$1,100**	**$800**	**$800**	-
Full Time Equivalents	-	-	-	-

*FY 2015 and FY 2016 funding levels reflect the CAS Structure and are for comparison purposes only.

Exhibit L. Permanent Positions by Grade

N/A

Exhibit M. Changes in Full Time Employment

N/A

Department of Homeland Security

National Protection and Programs Directorate

Federal Protective Service

Fiscal Year 2017

Congressional Justification

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Schedule I – Executive Summary of Appropriation Exhibits

Summary of Budget Estimates by Program Project Activity- Appropriation Level

Department of Homeland Security
National Protection and Programs Directorate (NPPD)
Federal Protective Service
Summary of FY 2017 Budget Estimates by Program Project Activity

FY 2017 Request
(Dollars in Thousands)

Program Project Activity	FY 2015			FY 2016			FY 2017			Increase(+) or Decrease(-) for FY 2017		
	Revised Enacted[1]			Enacted			Request			Total Changes		
	POS	FTE	Amount	POS	FTE	Amount	POS	FTE	Amount	POS	FTE	Amount
Operations and Support	1,466	1,290	$1,342,606	1,481	1,386	$1,443,449	1,602	1,507	$1,451,078	121	121	$7,629
Total, Federal Protective Service	**1,466**	**1,290**	**$1,342,606**	**1,481**	**1,386**	**$1,443,449**	**1,602**	**1,507**	**$1,451,078**	**121**	**121**	**$7,629**
Subtotal, Enacted Appropriations & Budget Estimates	**1,466**	**1,290**	**$1,342,606**	**1,481**	**1,386**	**$1,443,449**	**1,602**	**1,507**	**$1,451,078**	**121**	**121**	**$7,629**
Less: Adjustments for Other Funding Sources	-	-	-	-	-	-	-	-	-			
Net, Enacted Appropriations and Budget Estimates:	**1,466**	**1,290**	**$1,342,606**	**1,481**	**1,386**	**$1,443,449**	**1,602**	**1,507**	**$1,451,078**	**121**	**121**	**$7,629**

[1]Reflects reprogrammings/transfers, as applicable, and actual FTE. This footnote applies to all FY 2015 Revised Enacted tables throughout the CJ Submission. *FY 2015 and FY 2016 funding levels reflect the CAS Structure and are for comparison purposes only.

A. Overview

In 2015, the National Protection and Programs Directorate (NPPD) initiated planning to reorganize into an operational component and proposed to change its name to Cyber and Infrastructure Protection. NPPD submitted a transition plan to Congress outlining the details of this proposal on October 28, 2015. This organizational change will achieve greater unity of effort, enhance the effectiveness of operational activities, and improve the quality of mission support to programs. The transition will better integrate the Federal Protective Service (FPS) with NPPD's other programs. This integration will ensure expertise, insights, relationships, and data are better shared between FPS and the rest of NPPD's activities on behalf of Federal, State, local, tribal, territorial, and private sector partners. DHS looks forward to continued engagement with Congress on NPPD's reorganization.

FPS, a full-cost recovery operation, provides infrastructure security and resilience against terrorist attacks, cyber events, natural disasters, other large-scale incidents that may impact Federal facilities. Secure and resilient infrastructure is essential for national security, economic vitality, and public health and safety. Critical infrastructure security and resilience efforts must address all hazards—from terrorism and other criminal activities to natural disasters to cyber threats.

NPPD protects Federal facilities and occupants by conducting law enforcement and protective security services, and by using intelligence and information from Federal, State, local, tribal, territorial, and private sector partners. NPPD delivers security services to more than 9,300 General Services Administration (GSA) owned, leased, or operated facilities in 11 regions across the country. Security services include the use of approximately 13,000 contracted Protective Security Officers (PSOs), technical countermeasure (TCM) programs, and equipment. These services provide a comprehensive risk-based approach to facility protection allowing NPPD to prioritize its operations to prevent, detect, assess, respond to, and disrupt criminal and other incidents endangering the Federal community.

The FY 2017 request includes 1,602 positions, 1,507 FTE, and $1.451 billion for FPS operations. This is an increase of 121 FTP/FTE and $7.629 million in offsetting collection authority from the FY 2016 President's Budget. The FY 2017 request also includes a $0.04 basic security fee increase from $0.74 to $0.78 and a 2 percent adjustment in the oversight fee from 6 percent to 8 percent.

B. FY 2016 to FY 2017 Budget Change - Appropriation Level

Department of Homeland Security
National Protection and Programs Directorate (NPPD)
Federal Protective Service

FY 2016 to FY 2017 Budget Change
(Dollars in Thousands)

	Pos.	FTE	Amount
Adjustments-to-Base			
Transfers to and from other accounts:			
Transfer In from FPS / Basic Security	1,481	1,386	$275,763
Transfer In from FPS / Building Specific Security	-	-	$665,121
Transfer In from FPS / Reimbursable Agency Specific Security	-	-	$502,565
Total Transfers	**1,481**	**1,386**	**$1,443,449**
Increases			
2017 Pay Raise	-	-	$2,285
Annualization of 2016 Pay Raise	-	-	$606
Total, Increases	**-**	**-**	**$2,891**
Decreases			
Rightsize Offsetting Collection Authority	-	-	($17,718)
Total, Decreases	**-**	**-**	**($17,718)**
Total Other Adjustments	**-**	**-**	**($14,827)**
Total Adjustments-to-Base	**1,481**	**1,386**	**$1,428,622**
FY 2017 Current Services	**1,481**	**1,386**	**$1,428,622**
Program Changes			
Increases			
FPS Rapid Protection Force	121	121	$22,456
Total, Increases	**121**	**121**	**$22,456**
Total Program Changes	**121**	**121**	**$22,456**
FY 2017 Request	**1,602**	**1,507**	**$1,451,078**
FY 2016 to FY 2017 Change	**1,602**	**1,507**	**$1,451,078**

C. FY 2017 Investment Summary - Appropriation Level

N/A

Schedule II – Program, Project, Activity (PPA) Exhibits

Exhibit D. FY 2016 to FY 2017 Budget Change- PPA Level

Department of Homeland Security
National Protection and Programs Directorate
Federal Protective Service
Operations and Support
FY 2016 to FY 2017 Budget Change- PPA Level
(Dollars in Thousands)

Operations and Support		Positions	FTE	Amount
Current Services	2017 Pay Raise	-	-	2,285
	Annualization of 2016 Pay Raise	-	-	606
	Rightsize Offsetting Collection Authority	-	-	(17,718)
	From FPS / Basic Security	1,481	1,386	275,763
	From FPS / Building Specific Security	-	-	665,121
	From FPS / Reimbursable Agency Specific Security	-	-	502,565
Program Changes	FPS Rapid Protection Force	121	121	22,456
Budget Year	**FY 2017 Request**	**1,602**	**1,507**	**1,451,078**
	Total Change from FY 2016 to FY 2017	**1,602**	**1,507**	**1,451,078**

PPA DESCRIPTION:

The FY 2017 President's Budget includes 1,602 positions, 1,507 FTE, and $1.451 billion for FPS operations. This is an increase of 121 FTP/FTE and $7.629 million in offsetting collection authority from the FY 2016 President's Budget. To sustain base operations and fund an additional 121 FTP/FTE, the President's Budget includes a $0.04 increase in the basic security fee from $0.74 to $0.78 and a 2 percent increase in the oversight fee from 6 percent to 8 percent.

FPS is a full-cost recovery operation, meaning all expenses incurred are funded through offsetting collections. The following five revenue sources fund all expenses associated with law enforcement, security management, and support activities:

1) Basic Security Fee: A charge assessed for all GSA-controlled space. The revenue collected provides funding for statutory and regulatory service requirements from activities associated with law enforcement, threat management and information sharing, protective and criminal investigations, risk management, oversight and administration of the contracted PSOs and technical countermeasures security programs, as well as MegaCenter alarm monitoring and dispatch operations, training, and equipment.

2) Building-Specific Security Revenue: Building-specific security revenue is used to implement and maintain security requirements specifically fashioned for a facility in accordance with Interagency Security Committee (ISC) standards. Building-specific security services include acquisition, oversight, and administration of PSOs, security equipment installation, operations, maintenance and repair, consultation on security fixtures, and contractor background suitability determinations.

3) Reimbursable Agency-Specific Security Revenue: Reimbursable agency-specific security activities are funded via a Security Work Authorization (SWA), or reimbursable agreement, between NPPD and another Federal agency. Security services provided are similar to building-specific, but are performed for an individual customer. Reimbursable agency-specific security agreements may include other traditional reimbursable activity, such as FEMA mission assignments.

4) Building-Specific Security Oversight Fee: An oversight fee is applied to building-specific security costs. The oversight fee funds NPPD for direct and indirect costs associated with providing building-specific security. Revenue collected from the oversight fee is combined with the basic security fee and reimbursable agency-specific security fee to fund operating requirements.

5) Reimbursable Agency-Specific Security Oversight Fee: An oversight fee is applied to reimbursable agency-specific security costs. The oversight fee funds NPPD for direct and indirect costs associated with delivering agency-specific protective services. Revenue collected from the oversight fee is combined with the basic security fee and building-specific security oversight fee to fund operating requirements.

The table below lists revenue by customer agency for FY 2015 Actual, FY 2016 Enacted, and FY 2017 Request. The President's Budget includes a $0.04 increase in the basic security fee from $0.74 to $0.78 and a 2 percent increase in the oversight fee from 6 percent to 8 percent.

Agency Name ($ in thousands)	FY 2015 Actual	FY 2016 Enacted	FY 2017 Request	Increase/ Decrease
DEPARTMENT OF HOMELAND SECURITY	240,874	250,054	264,553	14,499
SOCIAL SECURITY ADMINISTRATION	209,072	216,713	228,611	11,898
DEPARTMENT OF JUSTICE	147,959	153,160	162,275	9,115
DEPARTMENT OF THE TREASURY	104,843	108,534	114,808	6,274
DEPARTMENT OF HEALTH & HUMAN SERVICES	84,321	87,587	92,750	5,163
JUDICIARY	76,501	78,718	83,401	4,683
GENERAL SERVICES ADMINISTRATION	68,872	71,381	75,652	4,271
DEPARTMENT OF VETERANS AFFAIRS	28,943	30,041	31,839	1,798
ENVIRONMENTAL PROTECTION AGENCY	28,378	29,486	31,260	1,774
DEPARTMENT OF AGRICULTURE	28,575	29,494	31,238	1,744
OFFICE OF THE SECRETARY OF DEFENSE	23,629	24,407	25,842	1,435
DEPARTMENT OF INTERIOR	22,719	23,313	24,669	1,356
DEPARTMENT OF COMMERCE	21,312	21,966	23,282	1,316
AGENCY FOR INTERNATIONAL DEVELOPMENT	14,626	15,272	16,195	923
CORPS OF ENGINEERS, CIVIL	14,697	15,226	16,133	907
DEPARTMENT OF LABOR	14,721	15,215	16,126	911
DEPARTMENT OF TRANSPORTATION	13,989	14,418	15,257	839
NUCLEAR REGULATORY COMMISSION	12,025	12,546	13,308	762
DEPARTMENT OF EDUCATION	9,919	10,334	10,971	637
INDEPENDENT US GOVERNMENT OFFICES	9,495	9,868	10,468	600
DEPARTMENT OF ARMY	9,266	9,540	10,092	552
DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT	8,486	8,759	9,285	526
DEPARTMENT OF ENERGY	7,560	7,701	8,144	443
DEPARTMENT OF STATE	7,451	7,525	7,946	421
NATIONAL ARCHIVES AND RECORDS ADMINISTRATION	7,093	7,239	7,654	415
SMALL BUSINESS ADMINISTRATION	4,903	5,082	5,387	305
DEPARTMENT OF NAVY	4,678	4,841	5,136	295
UNITED STATES POSTAL SERVICE	4,474	4,631	4,910	279

Agency Name ($ in thousands)	FY 2015 Actual	FY 2016 Enacted	FY 2017 Request	Increase/ Decrease
EXECUTIVE OFFICE OF THE PRESIDENT	3,643	3,789	4,009	220
NATIONAL LABOR RELATIONS BOARD	3,225	3,355	3,561	206
EQUAL EMPLOYMENT OPPORTUNITY COMMISSION	2,734	2,835	3,009	174
NATIONAL SCIENCE FOUNDATION	2,530	2,630	2,793	163
ARCHITECT OF THE CAPITOL	2,055	2,143	2,277	134
FEDERAL TRADE COMMISSION	1,912	1,985	2,107	122
OFFICE OF PERSONNEL MANAGEMENT	1,405	1,419	1,498	79
INTERNATIONAL TRADE COMMISSION	1,290	1,346	1,430	84
DEPARTMENT OF AIR FORCE	897	912	963	51
CONSUMER PRODUCT SAFETY COMMISSION	737	761	803	42
FEDERAL COMMUNICATIONS COMMISSION	722	728	768	40
EXPORT - IMPORT BANK OF THE UNITED STATES	694	722	767	45
TAX COURT OF THE UNITED STATES	688	709	752	43
CONGRESS	600	622	660	38
FEDERAL MARITIME COMMISSION	541	566	601	35
RAILROAD RETIREMENT BOARD	526	537	568	31
NATIONAL AERONAUTICS AND SPACE ADMINISTRATION	483	484	511	27
CONGRESSIONAL BUDGET OFFICE	376	389	412	23
SMITHSONIAN INSTITUTION	321	332	352	20
GOVERNMENT ACCOUNTABILITY OFFICE	319	328	348	20
SECURITIES AND EXCHANGE COMMISSION	314	319	338	19
FEDERAL MEDIATION AND CONCILIATION SERVICE	248	254	268	14
LIBRARY OF CONGRESS	226	226	238	12
NATIONAL FOUNDATION ON THE ARTS AND HUMANITIES	114	114	120	6
FEDERAL LABOR RELATIONS AUTHORITY	103	106	112	6
FEDERAL RETIREMENT THRIFT INVESTMENT BOARD	84	84	88	4
U.S. OFFICE OF SPECIAL COUNSEL	68	70	74	4
GOVERNMENT PRINTING OFFICE	29	30	32	2
SELECTIVE SERVICE SYSTEM	18	18	19	1
AMERICAN BATTLE MONUMENTS COMMISSION	12	12	13	1
AVAILABLE AUTHORITY FOR NEW CUSTOMER REQUIREMENTS	86,311	142,573	74,395	(68,178)
TOTAL	**1,342,606**	**1,443,449**	**1,451,078**	**7,629**

The FPS budget structure is comprised of:

- Operations – which encompasses the basic security fee and the oversight fees; and
- Countermeasures – which includes all pass-through countermeasure contracts (PSO and TCM requirements) funded by customer agencies.

The table below shows the division of revenue between Operations and Countermeasures.

Federal Protective Service (Dollars in Thousands)	**FY 2015 Revised Enacted**	**FY 2016 Enacted**	**FY 2017 Request**
Basic Security	$275,763	$275,763	$290,669
Building-Specific Security – Oversight Fee	$31,723	$35,248	$43,892
Reimbursable Agency-Specific Security - Oversight Fee	$23,349	$25,447	$34,331
Total FPS Operations	**$330,835**	**$336,458**	**$368,892**

Federal Protective Service (Dollars in Thousands)	**FY 2015 Revised Enacted**	**FY 2016 Enacted**	**FY 2017 Request**
Building-Specific Security Countermeasures	**$568,892**	**$629,873**	**$603,951**
Countermeasures	*$528,724*	*$587,473*	*$548,656*
Available Authority For New Customer Requirements	*$40,168*	*$42,400*	*$55,295*
Reimbursable Agency-Specific Security Countermeasures	**$442,879**	**$477,118**	**$478,235**
Countermeasures	*$389,151*	*$424,118*	*$429,133*
Available Authority For New Customer Requirements	*$53,728*	*$53,000*	*$49,102*
Total Countermeasures	**$1,011,771**	**$1,106,991**	**$1,082,186**

Grand Total	**$1,342,606**	**$1,443,449**	**$1,451,078**

FPS Operations

In FY 2017, FPS operating expense requirements are $394.988 million, which will be funded with $368.892 million in current year operating revenue and $26.096 million in prior year carryover and recoveries. FPS is working on a sustainable revenue model where the revenue collected sustains the requirements of the same year as reliance on carryover and recoveries is a short-term fix and not a long-term solution.

At the beginning of each fiscal year, FPS requests authority, via OMB, to collect revenue sufficient to accommodate changing customer requirements. New customer security requirements can be accommodated because FPS has the funding authority necessary to provide new security without potential procedural delays caused by requesting additional offsetting collection authority.

The paragraphs below describe the major operating expense categories in FY 2017.

Protective Services

Protective Services provided by FPS include law enforcement operations, investigations, criminal intelligence and information sharing, K-9 operations, MegaCenter operations, critical incidents, and special security operations. Recent threats and attacks, both internationally and domestically, require FPS to invest an increasing number of its law enforcement resources to rapidly respond to various locations across the country.

Law Enforcement Operations

FPS law enforcement operations encompass all aspects and resources required to deliver law enforcement protective services. FPS law enforcement personnel perform facility security assessments (FSAs) in accordance with ISC standards, and provide oversight and inspection of the approximately 13,000 PSOs at roughly 6,000 posts in over 3,000 buildings across the United States and its territories. FPS has a target of completing over 2,000 FSAs annually for Federal facilities. FPS law enforcement personnel also respond to more than 40,000 incidents per year at Federal facilities and perform visible deterrence through mobile patrol and response activities. FPS law enforcement personnel are assigned to analyze criminal intelligence, investigate threats made against Federal employees, and investigate incidents occurring in Federal facilities. Training, firearms, ammunition, and uniforms all support the ability of FPS law enforcement personnel to deploy each day to protect Federal facilities.

FPS is also responsible for deploying its law enforcement officers to Federal facilities adjacent to or in the vicinity of national security events (e.g., the national conventions and inauguration held every four years.) These deployments are consistent with risk assessments and intelligence coordinated with other Federal, State, and local law enforcement organizations and/or to

provide protective services during national emergencies. Additionally, FPS works closely with the Administrative Office of the U.S. Courts and the U.S. Marshals Service to provide for the protection of Federal Courthouses during high-profile trials (such as terrorists, cartel drug lords, and other trials where protests or other security incidents may occur).

MegaCenter and Radio Communications
FPS personnel are required to respond to a variety of incidents 24 hours a day, including in-progress criminal activity and the protection of life and property. FPS maintains four MegaCenters strategically located throughout the country to provide around-the-clock assistance. They provide a vital communications link and efficient flow of information between FPS law enforcement personnel, PSOs manning posts or patrolling Federal facilities, and other Federal, State, and local law enforcement entities.

Training
FPS Training and Professional Development (TPD) provides both administrative and law enforcement training. Training for FPS law enforcement officers consists of basic and advanced training requirements, including Field Entry Level Training, Field Standards and Certifications Training, Field Advanced and Refresher Training, and Training Development and Delivery.

Mission Support
FPS mission and business support activities include headquarters leadership; management and operations; field operations oversight; training; resource management to include budget, finance, revenue, and performance management; administrative services; contracting and procurement; human capital coordination; program management; and acquisition program; information technology system planning and oversight; and fleet management. FPS anticipates maintaining a fleet of around 1,300 vehicles in FY 2017. The majority of the FPS fleet is leased through GSA, with the exception of specialty vehicles (i.e., mobile command vehicles, x-ray vehicles, motorcycles) which are purchased because they are unavailable to lease through GSA.

Countermeasures

Countermeasures consist of security services provided through building-specific and reimbursable agency-specific security.

Building-specific security services are provided in accordance with security requirements generated through an FSA or customer request. Building costs are distributed to tenants based on square footage from GSA Occupancy Agreements and are recovered on a monthly basis. Charges include an oversight fee to fund FPS oversight and overhead costs.

Reimbursable agency-specific security, also called tenant-specific security, is negotiated via SWAs or reimbursable agreements between FPS and another Federal agency. These security services (PSOs and TCMs) are similar to building-specific, but are configured for an individual customer rather than a multi-tenant facility. Reimbursements consist of the estimated direct cost of the security services requested, plus the oversight fee.

The paragraphs below provide further detail regarding countermeasures procured.

Protective Security Officers (PSOs)

Contract PSO services are a key component of FPS's protective security mission. Individual risk assessments combined with specific customer requirements drive the placement of PSOs. PSOs provide:

- Access Control: ensuring access to secured areas in accordance to access control procedures;
- Control Center Operations: integrating multiple countermeasures through monitoring of security equipment and facilitating communication with other posts or law enforcement as necessary;
- Patrol and Response: patrolling and monitoring the facility for safety, security, and enforcement purposes;
- Screening: identifying and interdicting unlawful, dangerous, or prohibited items to ensure that they do not enter a secured area; and
- Visitor Processing: identifying and documenting visiting individuals.

Technical Countermeasures (TCMs)

TCMs include x-ray machines, magnetometers, alarm systems, and camera systems. The FPS national countermeasure program includes design, implementation, and oversight as well as collaborative efforts with Federal partners, such as DHS's S&T Directorate and TSA.

Activity Based Costing (ABC)

FPS continues to refine its ABC model, a strong management tool that clearly identifies the costs to protect Federal facilities and their occupants. The model enables operational decisions and tradeoffs involving risks associated with the cost and performance of the impacted activities. The ABC cost model informed both the FY 2016 budget request as well as this budget submission.

Using FY 2015 expenses and FY 2015 distribution of labor survey results, FPS has projected an FY 2017 view of resource consumption using the ABC model. The deployment of the 1,602 FTP/1,507 FTE by activity groupings is set forth in the table below. The activity groupings in the table are only used to present greater transparency into FPS's operational and mission support activities.

Activity Groups	Total Head-count*	Total FTE	Total Cost ($M)***
Primary	1,205	815	$ 154.9
Law Enforcement Response	951	128	$ 22.3
Protective Investigations	307	16	$ 3.9
Facility Security Assessments (FSA)	902	245	$ 46.9
Protective Security Officer Services	940	160	$ 29.4
Criminal Investigations	544	39	$ 8.9
Technical Countermeasure Services	528	34	$ 7.6
Law Enforcement Policing and Patrol	828	111	$ 18.7
Critical Incident and Special Security Operations	774	52	$ 11.2
Facility Tenant Support	665	25	$ 4.5
National Infrastructure Protection Plan (NIPP) Government Facilities Sector (GFS) Support	140	2	$ 0.8
Delegation Management	101	3	$ 0.7
Secondary	1,034	182	$ 74.8
MegaCenter Operations	291	18	$ 30.0
K-9 Operations	144	64	$ 12.9
Criminal Intelligence and Information Sharing	444	24	$ 6.7
PSO Suitability	159	19	$ 14.2
Covert Security Testing	241	6	$ 1.4
Operation Shield	645	19	$ 3.3
Federal, State, and Local Coordination	674	27	$ 5.3
Contingency Operations	228	5	$ 1.0
Enabling	1,163	223	$ 48.0
Field Entry Level Training	195	39	$ 8.6
Field Standards and Certifications Training	831	40	$ 9.5
Field Advanced and Refresher Training	873	43	$ 8.8
Training Development and Delivery	278	31	$ 6.3
Administrative Training	384	15	$ 4.4
Stakeholder Engagement and Liaison	857	55	$ 10.4
Support	794	280	$ 116.1
Acquisition and Procurement	415	70	$ 16.4
Budget, Finance, Revenue, and Performance Management	297	57	$ 22.4
General Counsel	41	1	$ 2.5
Human Capital Management	197	37	$ 13.3
Information Technology	87	17	$ 27.5
Logistics, Facilities, Fleet, and Property Accountability and Management	317	43	$ 15.8
National Field Operations Oversight (Headquarters Operations)	77	29	$ 6.9
Personnel Security Management	79	13	$ 6.8
Policy and Contingency Planning	128	10	$ 2.8
Public Affairs	52	3	$ 1.7
Supplementary Services ****	247	7	$ 1.2
Supplementary Services	247	7	$ 1.2
Grand Total	**1,602****	**1,507**	**$ 395.0**

Notes:

* Individual positions within FPS are often required to support multiple activities; as such, each individual may be counted in multiple activities. The numbers do not distinguish the percentage of time an individual spends on each activity.

** This is not the sum of the head count outlined in the activity groupings, rather it is the number of positions requested in FY 2017.

*** FPS will use prior year carryover and recoveries to support its FY 2017 operation requirements

**** Supplementary Services reflects that FPS will no longer conduct FSA and Daycare adjudications in FY 2017

Department of Homeland Security
National Protection and Programs Directorate (NPPD)
Operations and Support
Justification of Program Changes
(Dollars in Thousands)

Program Increase: FPS Rapid Protection Force
PPA: Operations and Support
Program Increase: FTP 121, FTE 121, Dollars $22,456

Funding Profile

	FY 2015 Revised Enacted			FY 2016 Enacted			FY 2017 Request		
	FTP	FTE	Dollars ($000)	FTP	FTE	Dollars ($000)	FTP	FTE	Dollars ($000)
Program Increase: FPS Rapid Protection Force - Operations and Support							121	121	$22,456
Subtotal, Program Increases							**121**	**121**	**$22,456**
Total Request							**121**	**121**	**$22,456**

Description of Item:

The FY 2017 President's Budget includes an increase of $22.456 million and 121 FTP/FTE to establish an FPS Rapid Protection Force (RPF); a professionally trained and equipped cadre of experienced law enforcement personnel that can respond to heightened threat situations. On September 25, 2015, the Secretary of DHS directed FPS to continue previously enhanced Operation Blue Surge protection operations. This authorization also included the rapid constitution of national or regional task forces. To meet this new requirement, FPS will assemble, train, and designate the first increment of the RPF in FY 2016. This new capability allows NPPD to maintain current risk levels while responding to specific security threats at Federal facilities or geographic areas. During steady-state operations, this force will be integrated within FPS regions and will engage in day-to-day FPS law enforcement operations. The 121 FTP/FTE includes 117 Law Enforcement FTP/FTE and 4 support FTP/FTE.

To sustain base operations and fund an FPS RPF, the basic security fee will increase from $0.74 to $0.78 and the oversight fee from 6 percent to 8 percent.

Justification:

Recent heightened threat-level events, both international and domestic, required FPS to rapidly deploy law enforcement resources. Most recently, Operations Blue Surge, Sparta, and Boston Finish Line required the deployment of over 15 percent (160) of NPPD law enforcement personnel to enhance the protection of Federal facilities and personnel in areas and locations of increased risk and threat levels. These rapid deployments are essential to the safety and security of the at-risk facilities and personnel. However, when rapid deployment is required, the capability of NPPD law enforcement to complete daily assigned duties and respond to normal calls for service is significantly reduced. The additional 121 FTP/FTE provide NPPD the capability/capacity to respond to various threats as they occur without increasing risk levels or degrading security. RPF members will be in one of the following four phases:

1. Steady State – the RPF will integrate within FPS regions and will engage in day-to-day FPS law enforcement operations.

2. Imminent Threat – consists of the RPF increasing activity (deployed) following an indication and warning of an imminent threat, incident or after a requirement is identified for operations requiring support. If time permits, this phase includes planning and logistics to provide optimal support to the RPF.

3. Incident – consists of the RPF's actions during the active period of an incident, special event, natural disaster or surge operation.

4. Transition to Steady State – consists of the RPF's transition to steady state which may mean that while additional FPS law enforcement presence is still needed the RPF may be better utilized elsewhere or be recalled to regroup and re-supply. This phase begins upon the conclusion of the incident and ends when the RPF resumes steady state operational posture.

Impact on Performance:

The Rapid Protection Force will increase security and protective posture through high-visibility patrols, surveillance, increased protective countermeasures, and increased tenant security training across Federal facilities. The increased presence of law enforcement personnel in Federal facilities facilitates completion of post inspections and facility security assessments.

Department of Homeland Security
Federal Protective Service
Operations and Support

Cost Drivers (Pay & Benefits) - PPA Level (Excludes Reimbursables) ($000s)

	FY 2015 Revised Enacted[1]				FY 2016 Enacted				FY 2017 Request				FY 2016 – 2017 Change			
	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost
Civilian Total	1,466	1,290	$181,301	$140	1,481	1,386	$190,383	$136	1,602	1,507	$205,624	$135	121	121	$15,241	($1)
Military Total	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-

[1]Reflects reprogrammings/transfers, as applicable, and actual FTE. The use of FY 2015 Actuals and FY2015 Revised Enacted Budgetary Resources in the CAS Pay Cost Drivers Exhibit may reflect a higher average FTE Cost than represented in the FY 2016 Enacted or FY 2017 Request average cost columns.

NARRATIVE EXPLANATION OF CHANGES – PAY COST DRIVERS:

- **FTE Change FY 2016-2017:**

  An additional 121 FTE are requested for the Rapid Protection Force (RPF); 117 Law Enforcement FTE and 4 support FTE.

- **Personnel Compensation and Benefits (PC&B) Change FY 2016-2017:**

  The total PC&B figure includes all salaries and benefits, career ladder promotions, within grade increases, awards, and overtime for 1,602 FTP/1,507 FTE. Pay estimate includes a 1.6% pay inflation factor effective January 2017. The additional 121 FTE for the RPF is estimated to cost $12.351 million in FY 2017.

- **Average Cost Change FY 2016-2017**:

  Average cost in FY 2017 stays very consistent with costs in FY 2016. Less overtime for the law enforcement personnel is assumed in FY 2017 costs.

- FY 2017 request estimates $1.828 million for performance awards. This request remains in line with OPM Awards Guidance on Spending Limitations.

Cost Drivers (Pay & Benefits) – Law Enforcement versus Non-Law Enforcement ($000s)

	FY15 Revised Enacted				FY16 Enacted				FY17 Request				FY16-17 Change			
	FTP	FTE	Amount	Avg Cost	FTP	FTE	Amount	Avg Cost	FTP	FTE	Amount	Avg Cost	FTP	FTE	Amount	Avg Cost
Law Enforcement	1076	1007	$146,775	$146	1086	1017	$148,683	$146	1203	1134	$163,257	$144	117	117	$14,574	($2)
Non-Law Enforcement	390	283	$34,526	$122	395	369	$41,700	$113	399	373	$42,367	$114	4	4	$667	$1

Department of Homeland Security
National Protection and Programs Directorate (NPPD)
Operations and Support
Cost Drivers (Non-Pay) - PPA Level ($000s)

Appropriation - Operations and Support	2015 Revised Enacted	2016 Enacted	2017 Request	FY 2016 to FY 2017 Change
FY 2017 Non- Pay Cost Drivers (greatest-least)				
Protective Secuirty Officer Contracts	$893,962	$920,781	$948,404	$27,623
Nationwide IT Infrastructure Operations and Maintenance	$39,577	$40,764	$41,987	$1,223
MegaCenter Dispatch Operations	$28,398	$29,250	$30,127	$877
Rent - GSA	$31,430	$29,344	$29,637	$293
Technical Countermeasure Contracts	$18,384	$18,936	$19,504	$568
Total	**$1,011,751**	**$1,039,075**	**$1,069,659**	**$30,584**

NARRATIVE EXPLANATION OF CHANGES – NON-PAY COST DRIVERS:

- **FY 2017 Non- Pay Cost Driver- Physical Security Officer Contracts**

  Contract PSO services are a key component of the execution of NPPD's protective security mission. Individual risk assessments combined with the specific customer requirements of each facility drive the placement of PSO-staffed security posts at different facilities. These posts are charged with minimizing specific vulnerabilities to identified credible threats.

  FPS applied a 3% increase to FY 2017 amounts to account to the wage rate increases and inflationary adjustments related to FPS' personnel and equipment contracts.

- **FY 2017 Non- Pay Cost Driver- MegaCenter/Dispatch Support Operations**

  FPS maintains contractor support for its four nationwide MegaCenters and anticipates costs to increase by approximately 3% due to inflationary adjustments. Additionally, there are significant costs associated with the radio operations and tactical communications used within the MegaCenters. FPS anticipates about a 3% increase as these systems are maintained.

- **FY 2017 Non- Pay Cost Driver- Technical Countermeasure Contracts**

FPS applied a 3% increase to account for inflationary adjustments related to equipment contracts.

- **FY 2017 Non- Pay Cost Driver- Nationwide IT Infrastructure Operation and Maintenance (O&M)**

  FPS applied a 1.3% inflation rate for FY 2016 and FY 2017 as it anticipates continued growth in these areas as it purchases new equipment and funds capital projects to support the mission.

- **FY 2017 Non- Pay Cost Driver- GSA Rent Costs**

  FPS occupies approximately 975,000 square feet of operational and support space obtained through GSA. Rent costs are derived from annual estimates provided by GSA and the DHS Working Capital Fund. In FY 2016, these costs decreased by approximately 3.4%. FPS does not anticipate a similar cost decrease in FY 2017.

Schedule III – Other Exhibits

Exhibit E. Justification of Proposed Legislative Language

Department of Homeland Security
National Protection and Programs Directorate
Operations and Support

The revenues and collections of security fees credited to this account shall be available until expended for necessary expenses related to the protection of federally owned and leased buildings and for the operations of the Federal Protective Service.

Language Provision	Explanation
	The legislative language associated with this account has been updated and streamlined to reflect the Department's new Common Appropriations Structure. Funding amounts have been updated to reflect the FY 2017 President's Budget.

Exhibit F. Summary of Fee Collections and Carryover

Department of Homeland Security
National Protection and Programs Directorate
Operations and Support
Summary of Fee Collections and Carryover
(Dollars in Thousands)

	FY 2015 Revised Enacted	FY 2016 Enacted	FY 2017 Request
Collections (Actual or Anticipated)	$1,277,441	$1,443,449	$1,451,078
Carryover (Includes Recoveries)	$206,059	$200,096	$138,248
Portion of Current Year Collection Sequestered	-	-	-
Previous Year Sequestered Funds Available	-	-	-
Total Budget Authority	**$1,483,500**	**$1,643,545**	**$1,589,326**

- Statutory Authority:

  Section 1706 of the Homeland Security Act of 2002, codified at 40 USC 1315(a) vests in the Secretary of DHS the authority and responsibility to “protect the buildings, grounds, and property that are owned, occupied, or secured by the Federal Government” ; 6 USC 203(3) & 6 USC 232(a). The authority for FPS to recover the cost of law enforcement and security services can be found at 41 USC 586(c) (implemented at 41 CFR 102-85.135). Congress recognizes and confirms FPS' reimbursable authority in the annual appropriations.

- Authorized Uses:

  Offsetting collections are to be used for the protection of federally owned and leased buildings and for the operations of the FPS.

Historical Collections
(Dollars in Thousands)

	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015
FPS[1]	$1,024,665	$1,150,806	$1,174,721	$1,254,587	$1,277,441

[1] Includes Unfilled Customer Orders

- Change Mechanism:
  The FPS security charges are reviewed in the annual budget formulation process to ensure revenue and collections are sufficient to meet anticipated operational and security costs. If the analysis shows expenditures exceeding revenue, a fee increase is proposed. The fee increase is rigorously analyzed by NPPD, DHS, and OMB. If OMB agrees to an increase, the change is included in the President's Budget Request to Congress.

- Previous Changes:

  In FY 2012, the basic security fee was raised to recover the cost of a staffing increase directed by Congress in the FY 2011 Appropriation. The FY 2017 request includes a $0.04 basic security fee increase from $0.74 to $0.78 and a 2 percent adjustment in the oversight fee from 6 percent to 8 percent.

- Recovery Rate:

  The FY 2017 fee increase enables FPS to recover the full cost of the staffing increase required to establish the Rapid Protection Force. Shortfalls associated with current operations will continue to be funded from prior year carryover and recoveries.

- Forecasting Methodology:

  Estimated collections are based on the number of rentable square feet in the GSA real property inventory receiving protection from FPS and the reimbursable security requested by individual federal agencies. Security services provided by FPS will increase if threat levels increase.

- Contingency Plan:

  While it is unlikely actual revenue would fluctuate significantly during the fiscal year, FPS maintains sufficient carryover funds to address minor shortfalls in revenue.

Exhibit G. Summary of Reimbursable Resources

Department of Homeland Security
National Protection and Programs Directorate (NPPD)
Federal Protective Service
Summary of Reimbursable Resources
(Dollars in Thousands)

	FY 2015 Revised Enacted			FY 2016 Enacted			FY 2017 Request			Increase/Decrease		
Collections by Source:	**Pos**	**FTE**	**Amount**	**Pos**	**FTE**	**Amount**	**Pos**	**FTE**	**Amount**	**Pos**	**FTE**	**Amount**
DEPARTMENT OF INTERIOR	-	-					-	-	$24,669	-	-	($24,669)
SOCIAL SECURITY ADMINISTRATION	-	-					-	-	$228,611	-	-	($228,611)
DEPARTMENT OF HOMELAND SECURITY	-	-					-	-	$264,553	-	-	($264,553)
JUDICIARY	-	-					-	-	$83,401	-	-	($83,401)
NATIONAL ARCHIVES AND RECORDS ADMINISTRATION	-	-					-	-	$7,654	-	-	($7,654)
SMALL BUSINESS ADMINISTRATION	-	-					-	-	$5,387	-	-	($5,387)
SMITHSONIAN INSTITUTION	-	-					-	-	$352	-	-	($352)
SECURITIES AND EXCHANGE COMMISSION	-	-					-	-	$338	-	-	($338)
INDEPENDENT US GOVERNMENT OFFICES	-	-					-	-	$10,468	-	-	($10,468)
OFFICE OF THE SECRETARY OF DEFENSE	-	-					-	-	$25,842	-	-	($25,842)
UNITED STATES POSTAL SERVICE	-	-					-	-	$4,910	-	-	($4,910)
EQUAL EMPLOYMENT OPPORTUNITY COMMISSION	-	-					-	-	$3,009	-	-	($3,009)
ARCHITECT OF THE CAPITOL	-	-					-	-	$2,277	-	-	($2,277)
OFFICE OF PERSONNEL MANAGEMENT	-	-					-	-	$1,498	-	-	($1,498)
INDEPENDENT US GOVERNMENT OFFICES	-	-					-	-	$1,430	-	-	($1,430)
DEPARTMENT OF AIR FORCE	-	-					-	-	$963	-	-	($963)
FEDERAL COMMUNICATIONS COMMISSION	-	-					-	-	$768	-	-	($768)
EXPORT - IMPORT BANK OF THE UNITED STATES	-	-					-	-	$767	-	-	($767)
TAX COURT OF THE UNITED STATES	-	-					-	-	$752	-	-	($752)
RAILROAD RETIREMENT BOARD	-	-					-	-	$568	-	-	($568)
FEDERAL MEDIATION AND CONCILIATION SERVICE	-	-					-	-	$268	-	-	($268)
NATIONAL FOUNDATION ON THE ARTS AND HUMANITIES	-	-					-	-	$120	-	-	($120)
FEDERAL LABOR RELATIONS AUTHORITY	-	-					-	-	$112	-	-	($112)
FEDERAL RETIREMENT THRIFT INVESTMENT BOARD	-	-					-	-	$88	-	-	($88)
U.S. OFFICE OF SPECIAL COUNSEL	-	-					-	-	$74	-	-	($74)
GOVERNMENT PRINTING OFFICE	-	-					-	-	$32	-	-	($32)

AMERICAN BATTLE MONUMENTS COMMISSION	-	-					-	-	$13	-	-	($13)
AVAILABLE AUTHORITY FOR NEW CUSTOMER REQUIREMENTS	-	-					-	-	$74,395	-	-	($74,395)
AGENCY FOR INTERNATIONAL DEVELOPMENT	-	-					-	-	$16,195	-	-	($16,195)
CORPS OF ENGINEERS, CIVIL	-	-					-	-	$16,133	-	-	($16,133)
DEPARTMENT OF LABOR	-	-					-	-	$16,126	-	-	($16,126)
DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT	-	-					-	-	$9,285	-	-	($9,285)
NATIONAL LABOR RELATIONS BOARD	-	-					-	-	$3,561	-	-	($3,561)
NATIONAL SCIENCE FOUNDATION	-	-					-	-	$2,793	-	-	($2,793)
FEDERAL TRADE COMMISSION	-	-					-	-	$2,107	-	-	($2,107)
CONGRESS	-	-					-	-	$660	-	-	($660)
FEDERAL MARITIME COMMISSION	-	-					-	-	$601	-	-	($601)
NATIONAL AERONAUTICS AND SPACE ADMINISTRATION	-	-					-	-	$511	-	-	($511)
CONGRESSIONAL BUDGET OFFICE	-	-					-	-	$412	-	-	($412)
GOVERNMENT ACCOUNTABILITY OFFICE	-	-					-	-	$348	-	-	($348)
LIBRARY OF CONGRESS	-	-					-	-	$238	-	-	($238)
SELECTIVE SERVICE SYSTEM	-	-					-	-	$19	-	-	($19)
DEPARTMENT OF JUSTICE	-	-					-	-	$162,275	-	-	($162,275)
ENVIRONMENTAL PROTECTION AGENCY	-	-					-	-	$31,260	-	-	($31,260)
DEPARTMENT OF COMMERCE	-	-					-	-	$23,282	-	-	($23,282)
EXECUTIVE OFFICE OF THE PRESIDENT	-	-					-	-	$4,009	-	-	($4,009)
DEPARTMENT OF VETERANS AFFAIRS	-	-					-	-	$31,839	-	-	($31,839)
DEPARTMENT OF ARMY	-	-					-	-	$10,092	-	-	($10,092)
DEPARTMENT OF NAVY	-	-					-	-	$5,136	-	-	($5,136)
CONSUMER PRODUCT SAFETY COMMISSION	-	-					-	-	$803	-	-	($803)
DEPARTMENT OF THE TREASURY	-	-					-	-	$114,808	-	-	($114,808)
DEPARTMENT OF TRANSPORTATION	-	-					-	-	$15,257	-	-	($15,257)
DEPARTMENT OF EDUCATION	-	-					-	-	$10,971	-	-	($10,971)
DEPARTMENT OF STATE	-	-					-	-	$7,946	-	-	($7,946)
GENERAL SERVICES ADMINISTRATION	-	-					-	-	$75,652	-	-	($75,652)
DEPARTMENT OF AGRICULTURE	-	-					-	-	$31,238	-	-	($31,238)
NUCLEAR REGULATORY COMMISSION	-	-					-	-	$13,308	-	-	($13,308)
DEPARTMENT OF HEALTH & HUMAN SERVICES	-	-					-	-	$92,750	-	-	($92,750)
DEPARTMENT OF ENERGY	-	-					-	-	$8,144	-	-	($8,144)
Total Budgetary Resources	-	-					-	-	**$1,451,078**	-	-	**($1,451,078)**

	FY 2015 Revised Enacted			FY 2016 Enacted			FY 2017 Request			Increase/Decrease		
Obligations by Program/Project Activity:	**Pos**	**FTE**	**Amount**	**Pos**	**FTE**	**Amount**	**Pos**	**FTE**	**Amount**	**Pos**	**FTE**	**Amount**
Federal Protective Service	-	-					-	-	**$1,451,078**	-	-	**($1,451,078**
Total Obligations	-	-					-	-	**$1,451,078**	-	-	**($1,451,078**

Exhibit H. FY 2017 Schedule of Working Capital Fund by Program/Project Activity

Department of Homeland Security
National Protection and Programs Directorate (NPPD)
Federal Protective Service
(Dollars in Thousands)

Progam/Project/Activity	FY 2015 Revised Enacted Amount	FY 2016 Enacted Amount	FY 2017 Request Amount	Increase/Decrease for FY 2017 Amount
Operations and Support			$12,723	$12,723
Total Working Capital Fund			**$12,723**	**$12,723**

Exhibit I. Capital Investment and Construction Initiative Listing

Department of Homeland Security

National Protection and Programs Directorate
Federal Protective Service
Operations and Support
Capital Investment and Construction Initiative Listing

N/A

Exhibit J. Object Class Breakout by Appropriation

Department of Homeland Security
National Protection and Programs Directorate (NPPD)
Federal Protective Service

Summary of Requirements by Object Class

(Dollars in Thousands)

Object Classes	2015 Revised Enacted	2016 Enacted	2017 Request	FY 2016 to FY 2017 Change
Personnel and Other Compensation Benefits				
11.1 Full-time Permanent	$115,731	$121,528	$131,257	$9,729
11.3 Other than Full-Time Permanent	$1,472	$1,546	$1,670	$124
11.5 Other Personnel Compensation	$21,881	$22,977	$24,816	$1,839
11.6 Military Personnel-Basic Allowance for Housing	-	-	-	-
11.7 Military Personnel	-	-	-	-
11.8 Special Personal Services Payments	-	-	-	-
12.1 Civilian Personnel Benefits	$42,217	$44,332	$47,880	$3,548
12.2 Military Personnel Benefits	-	-	-	-
13.0 Benefits for Former Personnel	-	-	-	-
Total, Personnel and Other Compensation Benefits	**$181,301**	**$190,383**	**$205,623**	**$15,240**
Other Object Classes				
21.0 Travel and Transportation of Persons	$12,561	$12,687	$12,814	$127
22.0 Transportation of Things	$12,568	$12,694	$12,821	$127
23.1 Rental Payments to GSA	$31,430	$29,344	$29,637	$293
23.2 Rental Payments to Others	$59	$60	$61	$1
23.3 Communications, Utilities, and Misc. Charges	$9,317	$9,410	$9,501	$91
24.0 Printing and Reproduction	-	-	-	-
25.0 Other Contractual Services	-	-	-	-
25.1 Advisory and Assistance Services	$27,457	$27,732	$28,009	$277
25.2 Other Services from Non-Federal Sources	$1,039,271	$1,147,891	$1,111,553	($36,338)
25.3 Other Goods and Services from Federal Sources	$8,478	$8,563	$8,647	$84
25.4 Operation and Maintenance of Facilities	$693	$700	$707	$7
25.5 Research and Development Contracts	-	-	-	-
25.6 Medical Care	-	-	-	-
25.7 Operation and Maintenance of Equipment	$54,071	$54,774	$55,486	$712
25.8 Subsistence & Support of Persons	$4,281	$4,324	$4,367	$43
26.0 Supplies and Materials	$4,691	$4,738	$4,785	$47
31.0 Equipment	$6,928	$6,997	$7,067	$70
32.0 Land and Structures	-	-	-	-
33.0 Investments and loans	-	-	-	-

Object Classes	2015 Revised Enacted	2016 Enacted	2017 Request	FY 2016 to FY 2017 Change
41.0 Grants, Subsidies, and Contributions	-	-	-	-
42.0 Insurance Claims and Indemnities	-	-	-	-
43.1 Interest and Dividends	-	-	-	-
43.2 Immigration Fees	-	-	-	-
44.0 Refunds	-	-	-	-
91.0 Unvouchered	-	-	-	-
93.1 Limitation on expenses	-	-	-	-
99.0 Subtotal, Obligations	-	-	-	-
99.5 Below Reporting Threshold	-	-	-	-
Total, Other Object Classes	**$1,211,805**	**$1,319,914**	**$1,285,455**	**($34,459)**
Total, Direct Obligations	**$1,393,106**	**$1,510,297**	**$1,491,078**	**($19,219)**
Adjustments				
Net Offsetting Collections	-	-	-	-
Unobligated Balance, start of year	($206,059)	($200,096)	($158,248)	$41,848
Unobligated Balance, end of year	$200,096	$158,248	$138,248	($20,000)
Recoveries of Prior Year Obligations	($44,537)	($25,000)	($20,000)	$5,000
Offsetting Collections	-	-	-	-
Total Requirements	**$1,342,606**	**$1,443,449**	**$1,451,078**	**$7,629**

*FY 2015 and FY 2016 funding levels reflect the CAS Structure and are for comparison purposes only.

Exhibit K. Object Class Breakout by PPA

Department of Homeland Security
National Protection and Programs Directorate (NPPD)
Federal Protective Service
Operations and Support
Summary of Requirements by Object Class
(Dollars in Thousands)

Object Classes	FY 2015 Revised Enacted	FY 2016 Enacted	FY 2017 Request	FY 2016 to FY 2017 Change
Personnel and Compensation Benefits	-	-	-	-
11.1 Full-time Permanent	$115,731	$121,528	$131,257	$9,729
11.3 Other than Full-Time Permanent	$1,472	$1,546	$1,670	$124
11.5 Other Personnel Compensation	$21,881	$22,977	$24,816	$1,839
12.1 Civilian Personnel Benefits	$42,217	$44,332	$47,880	$3,548
Total, Personnel and Compensation Benefits	**$181,301**	**$190,383**	**$205,623**	**$15,240**
Other Object Classes	-	-	-	-
21.0 Travel and Transportation of Persons	$12,561	$12,687	$12,814	$127
22.0 Transportation of Things	$12,568	$12,694	$12,821	$127
23.1 Rental Payments to GSA	$31,430	$29,344	$29,637	$293
23.2 Rental Payments to Others	$59	$60	$61	$1
23.3 Communications, Utilities, and Misc. Charges	$9,317	$9,410	$9,501	$91
25.1 Advisory and Assistance Services	$27,457	$27,732	$28,009	$277
25.2 Other Services from Non-Federal Sources	$1,039,271	$1,147,891	$1,111,553	($36,338)
25.3 Other Goods and Services from Federal Sources	$8,478	$8,563	$8,647	$84
25.4 Operation and Maintenance of Facilities	$693	$700	$707	$7
25.7 Operation and Maintenance of Equipment	$54,071	$54,774	$55,486	$712
25.8 Subsistence & Support of Persons	$4,281	$4,324	$4,367	$43
26.0 Supplies and Materials	$4,691	$4,738	$4,785	$47
31.0 Equipment	$6,928	$6,997	$7,067	$70
Total, Other Object Classes	**$1,211,805**	**$1,319,914**	**$1,285,455**	**($34,459)**
Adjustments	-	-	-	-
Unobligated Balance, start of year	($206,059)	($200,096)	($158,248)	$41,848
Unobligated Balance, end of year	$200,096	$158,248	$138,248	($20,000)
Recoveries of Prior Year Obligations	($44,537)	($25,000)	($20,000)	$5,000
Total, Adjustments	**($50,500)**	**($66,848)**	**($40,000)**	**$26,848**

Object Classes	FY 2015 Revised Enacted	FY 2016 Enacted	FY 2017 Request	FY 2016 to FY 2017 Change
Personnel and Compensation Benefits	-	-	-	-
Total Requirements	**$1,342,606**	**$1,443,449**	**$1,451,078**	**$7,629**
Full Time Equivalents	**1,290**	**1,386**	**1,507**	**121**

*FY 2015 and FY 2016 funding levels reflect the CAS Structure and are for comparison purposes only.

Exhibit L. Permanent Positions by Grade

Department of Homeland Security
National Protection and Programs Directorate (NPPD)
Federal Protective Service

Permanent Positions by Grade

Grades and Salary Range	FY 2015 Revised Enacted Pos.	FY 2016 Enacted Pos.	FY 2017 Request Pos.	FY 2016 to FY 2017 Change Total
Total, SES	-	-	8	8
GS-15	-	-	40	40
GS-14	-	-	150	150
GS-13	-	-	373	373
GS-12	-	-	621	621
GS-11	-	-	90	90
GS-10	-	-	2	2
GS-9	-	-	140	140
GS-8	-	-	16	16
GS-7	-	-	121	121
GS-6	-	-	1	1
GS-5	-	-	40	40
Total Permanent Positions	-	-	**1,602**	**1,602**
Unfilled Positions EOY	-	-	95	95
Total Permanent Employment EOY	-	-	1,507	1,507
Headquarters	-	-	273	273
U.S. Field	-	-	1,329	1,329
Total, Federal Protective Service:	-	-	**1,602**	**1,602**
Full Time Equivalents	-	-	**1,507**	**1,507**
Average ES Salary	-	-	168,619	168,619
Average GS Salary	-	-	89,796	89,796
Average Grade	-	-	12	12

Exhibit M. Changes in Full Time Employment

	FY 2015	FY 2016	FY 2017
Increases			
Transfer In from FPS / Basic Security	-	-	1,386
FPS Rapid Protection Force	-	-	121
Decreases			
Year End Actuals/Estimated FTEs:	-	-	**1,507**

Department of Homeland Security

National Protection & Programs Directorate
Management and Administration

Fiscal Year 2017

Congressional Justification

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II. Summary of FY 2017 Budget Estimates by Program/Project Activity (PPA)

Department of Homeland Security
National Protection & Programs Directorate
Management and Administration

Summary of FY 2017 Budget Estimates by Program Project Activity

FY 2017 Request
(Dollars in Thousands)

Program Project Activity	FY 2015			FY 2016			FY 2017			Increase(+) or Decrease(-) for FY 2017								
	Revised Enacted			Enacted			Request			Total Changes			Program Changes			Adjustments-to-Base		
	POS	FTE	Amount	POS	FTE	Amount	POS	FTE	Amount	POS	FTE	Amount	POS	FTE	Amount	POS	FTE	Amount
Directorate Administration	376	288	$61,651	386	337	$62,132	-	-	-	(386)	(337)	($62,132)	-	-	-	(386)	(337)	($62,132)
Total, Management and Administration	**376**	**288**	**$61,651**	**386**	**337**	**$62,132**	**-**	**-**	**-**	**(386)**	**(337)**	**($62,132)**	**-**	**-**	**-**	**(386)**	**(337)**	**($62,132)**
Subtotal, Enacted Appropriations & Budget Estimates	**376**	**288**	**$61,651**	**386**	**337**	**$62,132**	**-**	**-**	**-**	**(386)**	**(337)**	**($62,132)**	**-**	**-**	**-**	**(386)**	**(337)**	**($62,132)**
505 Rescission	**-**	**-**	**107**	**-**	**-**	**27**	**-**	**-**	**-**	-	-	27	-	-	-	-	-	27
Net, Enacted Appropriations and Budget Estimates:	**376**	**288**	**$61,544**	**386**	**337**	**$62,105**	**-**	**-**	**-**	**(386)**	**(337)**	**($62,105)**	**-**	**-**	**-**	**(386)**	**(337)**	**($62,105)**

[1]*Reflects reprogrammings/transfers, as applicable, and actual FTE. This footnote applies to all FY 2015 Revised Enacted tables throughout the CJ Submission.*

III. Current Services Program Description by PPA

Department of Homeland Security
National Protection & Programs Directorate
Directorate Administration
Program Performance Justification
(Dollars in Thousands)

PPA: Directorate Administration

	Perm. Pos.	FTE	Amount
FY 2015 Revised Enacted	**376**	**288**	**$61,651**
FY 2016 President's Budget	**386**	**337**	**$62,132**
2017 Adjustments-to-Base	(386)	(337)	($62,132)
FY 2017 Current Services	**-**	**-**	**-**
FY 2017 Program Change	-	-	-
FY 2017 Total Request	**-**	**-**	**-**
Total Change 2016 to 2017	(386)	(337)	($62,132)

CURRENT SERVICES PROGRAM DESCRIPTION:

As a result of the Common Appropriation Structure, FY 2016 base funds will be transferred to:

Directorate Administration		Positions	FTE	Amount
Prior Year	**FY 2015 Revised Enacted**	**376**	**288**	**61,651**
Base	**FY 2016 Enacted**	**386**	**337**	**62,132**
Current Services	From M&A / Directorate Administration to O&S / Management & Administration	(386)	(337)	(62,132)
Budget Year	**FY 2017 Request**	**-**	**-**	**-**
	Total Change from FY 2016 to FY 2017	**(386)**	**(337)**	**(62,132)**

V. Exhibits and Other Supporting Material

B. FY 2016 to FY 2017 Budget Change

Department of Homeland Security
National Protection & Programs Directorate
Management and Administration

FY 2016 to FY 2017 Budget Change
(Dollars in Thousands)

	Pos.	FTE	Amount
FY 2015 Revised Enacted	**376**	**288**	**$61,651**
FY 2016 Enacted	**386**	**337**	**$62,132**
Adjustments-to-Base			
Transfers to and from other accounts:			
From M&A / Directorate Administration to O&S / Management & Administration	(386)	(337)	($62,132)
Total Transfers	**(386)**	**(337)**	**($62,132)**
Total Adjustments-to-Base	**(386)**	**(337)**	**($62,132)**
FY 2017 Current Services	**(386)**	**(337)**	**($62,132)**
FY 2017 Request	**-**	**-**	**-**
FY 2016 to FY 2017 Change	**(386)**	**(337)**	**($62,132)**

D. Summary of Reimbursable Resources

N/A

E. Summary of Requirements By Object Class

Department of Homeland Security
National Protection & Programs Directorate
Management and Administration
Summary of Requirements by Object Class
(Dollars in Thousands)

Object Classes	2015 Revised Enacted	2016 Enacted	2017 Request	FY 2016 to FY 2017 Change
Personnel and Other Compensation Benefits				
11.1 Full-time Permanent	$38,658	$36,668	-	($36,668)
11.3 Other than Full-Time Permanent	$773	$733	-	($733)
11.5 Other Personnel Compensation	$1,289	$1,222	-	($1,222)
12.1 Civilian Personnel Benefits	$10,825	$10,267	-	($10,267)
Total, Personnel and Other Compensation Benefits	**$51,545**	**$48,890**	**-**	**($48,890)**
Other Object Classes				
21.0 Travel and Transportation of Persons	$85	$85	-	($85)
23.1 Rental Payments to GSA	$2,709	$3,200	-	($3,200)
23.2 Rental Payments to Others	$65	$65	-	($65)
23.3 Communications, Utilities, and Misc. Charges	$120	$120	-	($120)
25.1 Advisory and Assistance Services	$4,292	$5,576	-	($5,576)
25.2 Other Services from Non-Federal Sources	$35	$35	-	($35)
25.3 Other Goods and Services from Federal Sources	$2,063	$3,400	-	($3,400)
25.7 Operation and Maintenance of Equipment	$701	$725	-	($725)
25.8 Subsistence & Support of Persons	-	-	-	-
26.0 Supplies and Materials	$22	$22	-	($22)
31.0 Equipment	$14	$14	-	($14)
Total, Other Object Classes	**$10,106**	**$13,242**	**-**	**($13,242)**
Total, Direct Obligations	**$61,651**	**$62,132**	**-**	**($62,132)**
Adjustments				
Net Offsetting Collections	-	-	-	-
Unobligated Balance, start of year	-	-	-	-
Unobligated Balance, end of year	-	-	-	-
Recoveries of Prior Year Obligations	-	-	-	-
Offsetting Collections	-	-	-	-
Total Requirements	**$61,651**	**$62,132**	**-**	**($62,132)**

F. Permanent Positions by Grade

Department of Homeland Security
National Protection & Programs Directorate
Management and Administration

Permanent Positions by Grade

Grades and Salary Range	FY 2015 Revised Enacted Pos.	FY 2016 Enacted Pos.	FY 2017 Request Pos.	FY 2016 to FY 2017 Change Total
Total, SES	23	23	-	(23)
Total, EX	1	1	-	(1)
GS-15	64	63	-	(63)
GS-14	63	61	-	(61)
GS-13	91	104	-	(104)
GS-12	73	71	-	(71)
GS-11	35	36	-	(36)
GS-9	17	18	-	(18)
GS-7	7	7	-	(7)
GS-4	1	1	-	(1)
GS-3	1	1	-	(1)
Total Permanent Positions	**376**	**386**	**-**	**(386)**
Unfilled Positions EOY	-	-	-	-
Total Permanent Employment EOY	376	386	-	(386)
Headquarters	376	386	-	(386)
Total, Management and Administration:	**376**	**386**	**-**	**(386)**
Full Time Equivalents	**288**	**337**	**-**	**(337)**
Average ES Salary	170,597	172,303	-	(172,303)
Average GS Salary	106,610	110,475	-	(110,475)
Average Grade	13	13	-	(13)

H. PPA Budget Justifications

Department of Homeland Security
National Protection & Programs Directorate
Management and Administration
Directorate Administration
Summary of Requirements by Object Class
(Dollars in Thousands)

Object Classes	FY 2015 Revised Enacted	FY 2016 Enacted	FY 2017 Request	FY 2016 to FY 2017 Change
Personnel and Compensation Benefits	-	-	-	-
11.1 Full-time Permanent	$38,658	$36,668	-	($36,668)
11.3 Other than Full-Time Permanent	$773	$733	-	($733)
11.5 Other Personnel Compensation	$1,289	$1,222	-	($1,222)
12.1 Civilian Personnel Benefits	$10,825	$10,267	-	($10,267)
Total, Personnel and Compensation Benefits	**$51,545**	**$48,890**	-	**($48,890)**
Other Object Classes	-	-	-	-
21.0 Travel and Transportation of Persons	$85	$85	-	($85)
23.1 Rental Payments to GSA	$2,709	$3,200	-	($3,200)
23.2 Rental Payments to Others	$65	$65	-	($65)
23.3 Communications, Utilities, and Misc. Charges	$120	$120	-	($120)
25.1 Advisory and Assistance Services	$4,292	$5,576	-	($5,576)
25.2 Other Services from Non-Federal Sources	$35	$35	-	($35)
25.3 Other Goods and Services from Federal Sources	$2,063	$3,400	-	($3,400)
25.7 Operation and Maintenance of Equipment	$701	$725	-	($725)
26.0 Supplies and Materials	$22	$22	-	($22)
31.0 Equipment	$14	$14	-	($14)
Total, Other Object Classes	**$10,106**	**$13,242**	-	**($13,242)**
Adjustments	-	-	-	-
Unobligated Balance, start of year	-	-	-	-
Unobligated Balance, end of year	-	-	-	-
Recoveries of Prior Year Obligations	-	-	-	-
Total Requirements	**$61,651**	**$62,132**	-	**($62,132)**
Full Time Equivalents	**288**	**337**	-	**(337)**

I. Changes In Full Time Employment

	FY 2015	FY 2016	FY 2017
BASE: Year End Actual from Prior Year	**305**	**288**	**-**
Increases			
OBIM Transfer - OBIM	-	18	-
OBIM Transfer - FSO	-	1	-
Annualization of Prior Year Positions	38	36	-
Actual FTE Adjustment	-	52	-
Balance Workforce Initiative	1	9	-
Subtotal, Increases	**39**	**116**	**-**
Decreases			
Lapse & Attrition Rate	-	(19)	-
ASIP Transfer - OCIA	(4)	-	-
Adjustment for Enacted FTE	-	(30)	-
Transfer Out to Operations & Support / Management & Administration	-	-	-)
Actual FTE Adjustment	(52)	-	-
Mission Operations Support	-	(18)	-
Subtotal, Decreases	**(56)**	**(67)**	**-**
Year End Actuals/Estimated FTEs:	**288**	**337**	**-**
Net Change from prior year base to Budget Year Estimate:	**(17)**	**49**	**-**

J. FY 2017 Schedule of Working Capital Fund by Program/Project Activity

Department of Homeland Security
National Protection & Programs Directorate
Management and Administration
(Dollars in Thousands)

Program/Project/Activity	FY 2015 Revised Enacted Amount	FY 2016 Enacted Amount	FY 2017 Request Amount	Increase/Decrease for FY 2017 Amount
Directorate Administration	$926	$710	-	($710)
Total Working Capital Fund	**$926**	**$710**	**-**	**($710)**

Department of Homeland Security

National Protection & Programs Directorate

Infrastructure Protection and Information Security

Fiscal Year 2017

Congressional Justification

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II. Summary of FY 2017 Budget Estimates by Program/Project Activity (PPA)

Department of Homeland Security
National Protection & Programs Directorate
Infrastructure Protection and Information Security

Summary of FY 2017 Budget Estimates by Program Project Activity

FY 2017 Request
(Dollars in Thousands)

Program Project Activity	FY 2015			FY 2016			FY 2017			Increase(+) or Decrease(-) for FY 2017								
	Revised Enacted			Enacted			Request			Total Changes			Program Changes			Adjustments-to-Base		
	POS	FTE	Amount	POS	FTE	Amount	POS	FTE	Amount	POS	FTE	Amount	POS	FTE	Amount	POS	FTE	Amount
Infrastructure Protection	**765**	**600**	**$263,032**	**816**	**642**	**$273,409**	**-**	**-**	**-**	**(816)**	**(642)**	**($273,409)**	**-**	**-**	**-**	**(816)**	**(642)**	**($273,409)**
Infrastructure Analysis and Planning	121	89	$61,494	151	106	$75,010	-	-	-	(151)	(106)	($75,010)	-	-	-	(151)	(106)	($75,010)
Infrastructure Security Compliance	263	231	$83,371	293	238	$78,400	-	-	-	(293)	(238)	($78,400)	-	-	-	(293)	(238)	($78,400)
Regional Field Operations	163	130	$53,206	162	132	$49,151	-	-	-	(162)	(132)	($49,151)	-	-	-	(162)	(132)	($49,151)
Sector Management and Governance	218	150	$64,961	210	166	$70,848	-	-	-	(210)	(166)	($70,848)	-	-	-	(210)	(166)	($70,848)
Cybersecurity	**607**	**432**	**$800,200**	**693**	**471**	**$818,749**	**-**	**-**	**-**	**(693)**	**(471)**	**($818,749)**	**-**	**-**	**-**	**(693)**	**(471)**	**($818,749)**
Business Operations	34	29	$4,780	31	30	$7,022	-	-	-	(31)	(30)	($7,022)	-	-	-	(31)	(30)	($7,022)
Critical Infrastructure Cyber Protection and Awareness	67	37	$72,761	78	40	$74,229	-	-	-	(78)	(40)	($74,229)	-	-	-	(78)	(40)	($74,229)
Cybersecurity Coordination	14	12	$4,311	14	13	$4,434	-	-	-	(14)	(13)	($4,434)	-	-	-	(14)	(13)	($4,434)
Federal Network Security	84	66	$236,200	107	73	$136,055	-	-	-	(107)	(73)	($136,055)	-	-	-	(107)	(73)	($136,055)
Global Cybersecurity Management	23	17	$25,775	25	19	$26,702	-	-	-	(25)	(19)	($26,702)	-	-	-	(25)	(19)	($26,702)
Network Security Deployment	145	99	$359,000	169	108	$475,822	-	-	-	(169)	(108)	($475,822)	-	-	-	(169)	(108)	($475,822)
US Computer Emergency Readiness Team	240	172	$97,373	269	188	$94,485	-	-	-	(269)	(188)	($94,485)	-	-	-	(269)	(188)	($94,485)
Communications	**199**	**164**	**$162,647**	**203**	**174**	**$198,842**	**-**	**-**	**-**	**(203)**	**(174)**	**($198,842)**	**-**	**-**	**-**	**(203)**	**(174)**	**($198,842)**
Priority Telecommunications Services	60	49	$52,328	60	51	$63,095	-	-	-	(60)	(51)	($63,095)	-	-	-	(60)	(51)	($63,095)
Next Generation Networks	12	10	$53,293	12	11	$80,384	-	-	-	(12)	(11)	($80,384)	-	-	-	(12)	(11)	($80,384)
Programs to Study & Enhance Telecommunications	14	12	$10,092	14	11	$10,334	-	-	-	(14)	(11)	($10,334)	-	-	-	(14)	(11)	($10,334)
Critical Infrastructure Protection	41	30	$10,403	41	34	$10,824	-	-	-	(41)	(34)	($10,824)	-	-	-	(41)	(34)	($10,824)
Office of Emergency Communications	72	63	$36,531	76	67	$34,205	-	-	-	(76)	(67)	($34,205)	-	-	-	(76)	(67)	($34,205)
Total, Infrastructure Protection and Information Security	**1,571**	**1,196**	**$1,225,879**	**1,712**	**1,287**	**$1,291,000**	**-**	**-**	**-**	**(1,712)**	**(1,287)**	**($1,291,000)**	**-**	**-**	**-**	**(1,712)**	**(1,287)**	**($1,291,000)**
Subtotal, Enacted Appropriations & Budget Estimates	**1,571**	**1,196**	**$1,225,879**	**1,712**	**1,287**	**$1,291,000**	**-**	**-**	**-**	**(1,712)**	**(1,287)**	**($1,291,000)**	**-**	**-**	**-**	**(1,712)**	**(1,287)**	**($1,291,000)**
505 Rescission	-	-	679	-	-	188	-	-	-	-	-	188	-	-	-	-	-	188
Net, Enacted Appropriations and Budget Estimates:	**1,571**	**1,196**	**$1,225,200**	**1,712**	**1,287**	**$1,290,812**	**-**	**-**	**-**	**(1,712)**	**(1,287)**	**($1,290,812)**	**-**	**-**	**-**	**(1,712)**	**(1,287)**	**($1,290,812)**

[1]*Reflects reprogrammings/transfers, as applicable, and actual FTE. This footnote applies to all FY 2015 Revised Enacted tables throughout the CJ Submission.*

III. Current Services Program Description by PPA

Department of Homeland Security
National Protection & Programs Directorate
Infrastructure Analysis and Planning
Program Performance Justification
(Dollars in Thousands)

PPA: Infrastructure Analysis and Planning

	Perm. Pos.	FTE	Amount
FY 2015 Revised Enacted	**121**	**89**	**$61,494**
FY 2016 President's Budget	**151**	**106**	**$75,010**
2017 Adjustments-to-Base	(151)	(106)	($75,010)
FY 2017 Current Services	**-**	**-**	**-**
FY 2017 Program Change	-	-	-
FY 2017 Total Request	**-**	**-**	**-**
Total Change 2016 to 2017	(151)	(106)	($75,010)

CURRENT SERVICES PROGRAM DESCRIPTION:

As a result of the Common Appropriation Structure, FY 2016 base funds will be transferred out to:

Infrastructure Analysis and Planning		Positions	FTE	Amount
Prior Year	**FY 2015 Revised Enacted**	**121**	**89**	**61,494**
Base	**FY 2016 Enacted**	**151**	**106**	**75,010**
Current Services	From IPIS / IA&P to O&S / Infrastructure Analysis	(100)	(64)	(35,531)
	From IPIS / IA&P to O&S / Infrastructure Capacity Building	(38)	(34)	(35,431)
	From IPIS / IA&P to O&S / Management & Administration	(13)	(8)	(4,048)
Budget Year	**FY 2017 Request**	**-**	**-**	**-**
	Total Change from FY 2016 to FY 2017	**(151)**	**(106)**	**(75,010)**

Department of Homeland Security
National Protection & Programs Directorate
Sector Management and Governance
Program Performance Justification
(Dollars in Thousands)

PPA: Sector Management and Governance

	Perm. Pos.	FTE	Amount
FY 2015 Revised Enacted	**218**	**150**	**$64,961**
FY 2016 President's Budget	**210**	**166**	**$70,848**
2017 Adjustments-to-Base	(210)	(166)	($70,848)
FY 2017 Current Services	**-**	**-**	**-**
FY 2017 Program Change	-	-	-
FY 2017 Total Request	**-**	**-**	**-**
Total Change 2016 to 2017	(210)	(166)	($70,848)

CURRENT SERVICES PROGRAM DESCRIPTION:

As a result of the Common Appropriation Structure, FY 2016 base funds will be transferred out to:

Sector Management and Governance		**Positions**	**FTE**	**Amount**
Prior Year	**FY 2015 Revised Enacted**	**218**	**150**	**64,961**
Base	**FY 2016 Enacted**	**210**	**166**	**70,848**
Current Services	From IPIS / SMG to O&S / Infrastructure Analysis	(64)	(50)	(23,119)
	From IPIS / SMG to O&S / Management & Administration	(2)	(2)	(7,712)
	From IPIS / SMG to to O&S / Infrastructure Capacity Building	(144)	(114)	(36,728)
	From IPIS / SMG to to R&D / Infrastructure Capacity Building	-	-	(3,289)
Budget Year	**FY 2017 Request**	**-**	**-**	**-**
	Total Change from FY 2016 to FY 2017	**(210)**	**(166)**	**(70,848)**

Department of Homeland Security
National Protection & Programs Directorate
Regional Field Operations
Program Performance Justification
(Dollars in Thousands)

PPA: Regional Field Operations

	Perm. Pos.	FTE	Amount
FY 2015 Revised Enacted	**163**	**130**	**$53,206**
FY 2016 President's Budget	**162**	**132**	**$49,151**
2017 Adjustments-to-Base	(162)	(132)	($49,151)
FY 2017 Current Services	**-**	**-**	**-**
FY 2017 Program Change	-	-	-
FY 2017 Total Request	**-**	**-**	**-**
Total Change 2016 to 2017	(162)	(132)	($49,151)

CURRENT SERVICES PROGRAM DESCRIPTION:

As a result of the Common Appropriation Structure, FY 2016 base funds will be transferred out to:

Regional Field Operations		**Positions**	**FTE**	**Amount**
Prior Year	**FY 2015 Revised Enacted**	**163**	**130**	**53,206**
Base	**FY 2016 Enacted**	**162**	**132**	**49,151**
Current Services	From IPIS / Regional Field Operations to O&S / Infrastructure Analysis	(30)	(25)	(12,082)
	From IPIS / Regional Field Operations to O&S / Infrastructure Capacity Building	(132)	(107)	(37,069)
Budget Year	**FY 2017 Request**	**-**	**-**	**-**
	Total Change from FY 2016 to FY 2017	**(162)**	**(132)**	**(49,151)**

Department of Homeland Security
National Protection & Programs Directorate
Infrastructure Security Compliance
Program Performance Justification
(Dollars in Thousands)

PPA: Infrastructure Security Compliance

	Perm. Pos.	FTE	Amount
FY 2015 Revised Enacted	**263**	**231**	**$83,371**
FY 2016 President's Budget	**293**	**238**	**$78,400**
2017 Adjustments-to-Base	(293)	(238)	($78,400)
FY 2017 Current Services	**-**	**-**	**-**
FY 2017 Program Change	-	-	-
FY 2017 Total Request	**-**	**-**	**-**
Total Change 2016 to 2017	(293)	(238)	($78,400)

CURRENT SERVICES PROGRAM DESCRIPTION:

As a result of the Common Appropriation Structure, FY 2016 base funds will be transferred out to:

Infrastructure Security Compliance		Positions	FTE	Amount
Prior Year	**FY 2015 Revised Enacted**	**263**	**231**	**83,371**
Base	**FY 2016 Enacted**	**293**	**238**	**78,400**
Current Services	From IPIS / Infrastructure Security Compliance to O&S / Protect Infrastructure	(293)	(238)	(77,600)
	From IPIS / Infrastructure Security Compliance to R&D / Protect Infrastructure	-	-	(800)
Budget Year	**FY 2017 Request**	**-**	**-**	**-**
	Total Change from FY 2016 to FY 2017	**(293)**	**(238)**	**(78,400)**

Department of Homeland Security
National Protection & Programs Directorate
Cybersecurity Coordination
Program Performance Justification
(Dollars in Thousands)

PPA: Cybersecurity Coordination

	Perm. Pos.	FTE	Amount
FY 2015 Revised Enacted	**14**	**12**	**$4,311**
FY 2016 President's Budget	**14**	**13**	**$4,434**
2017 Adjustments-to-Base	(14)	(13)	($4,434)
FY 2017 Current Services	**-**	**-**	**-**
FY 2017 Program Change	-	-	-
FY 2017 Total Request	**-**	**-**	**-**
Total Change 2016 to 2017	(14)	(13)	($4,434)

CURRENT SERVICES PROGRAM DESCRIPTION:

As a result of the Common Appropriation Structure, FY 2016 base funds will be transferred out to:

Cybersecurity Coordination		Positions	FTE	Amount
Prior Year	**FY 2015 Revised Enacted**	**14**	**12**	**4,311**
Base	**FY 2016 Enacted**	**14**	**13**	**4,434**
Current Services	From IPIS / Cybersecurity Coordination to O&S / Infrastructure Analysis	(14)	(13)	(4,434)
Budget Year	**FY 2017 Request**	**-**	**-**	**-**
	Total Change from FY 2016 to FY 2017	**(14)**	**(13)**	**(4,434)**

Department of Homeland Security
National Protection & Programs Directorate
US Computer Emergency Readiness Team
Program Performance Justification
(Dollars in Thousands)

PPA: US Computer Emergency Readiness Team

	Perm. Pos.	FTE	Amount
FY 2015 Revised Enacted	**240**	**172**	**$97,373**
FY 2016 President's Budget	**269**	**188**	**$94,485**
2017 Adjustments-to-Base	(269)	(188)	($94,485)
FY 2017 Current Services	**-**	**-**	**-**
FY 2017 Program Change	-	-	-
FY 2017 Total Request	**-**	**-**	**-**
Total Change 2016 to 2017	(269)	(188)	($94,485)

CURRENT SERVICES PROGRAM DESCRIPTION:

As a result of the Common Appropriation Structure, FY 2016 base funds will be transferred out to:

US Computer Emergency Readiness Team		Positions	FTE	Amount
Prior Year	**FY 2015 Revised Enacted**	**240**	**172**	**97,373**
Base	**FY 2016 Enacted**	**269**	**188**	**94,485**
Current Services	From IPIS / US-CERT Operations to O&S / Infrastructure Analysis	(206)	(140)	(85,763)
	From IPIS / US-CERT Operations to O&S / Infrastructure Capacity Building	(28)	(21)	(3,834)
	From IPIS / US-CERT Operations to O&S / Management & Administration	(34)	(26)	(4,748)
	From IPIS / US-CERT Operations to O&S / Protect Infrastructure	(1)	(1)	(140)
Budget Year	**FY 2017 Request**	**-**	**-**	**-**
	Total Change from FY 2016 to FY 2017	**(269)**	**(188)**	**(94,485)**

Department of Homeland Security
National Protection & Programs Directorate
Federal Network Security
Program Performance Justification
(Dollars in Thousands)

PPA: Federal Network Security

	Perm. Pos.	FTE	Amount
FY 2015 Revised Enacted	**84**	**66**	**$236,200**
FY 2016 President's Budget	**107**	**73**	**$136,055**
2017 Adjustments-to-Base	(107)	(73)	($136,055)
FY 2017 Current Services	**-**	**-**	**-**
FY 2017 Program Change	-	-	-
FY 2017 Total Request	**-**	**-**	**-**
Total Change 2016 to 2017	(107)	(73)	($136,055)

CURRENT SERVICES PROGRAM DESCRIPTION:

As a result of the Common Appropriation Structure, FY 2016 base funds will be transferred out to:

Federal Network Security		**Positions**	**FTE**	**Amount**
Prior Year	**FY 2015 Revised Enacted**	**84**	**66**	**236,200**
Base	**FY 2016 Enacted**	**107**	**73**	**136,055**
Current Services	From IPIS / Federal Network Security to O&S / Infrastructure Analysis	(11)	(7)	(2,980)
	From IPIS / Federal Network Security to O&S / Infrastructure Capacity Building	(58)	(33)	(29,309)
	From IPIS / Federal Network Security to O&S / Management & Administration	(8)	(5)	(1,107)
	From IPIS / Federal Network Security to O&S / Protect Infrastructure	(30)	(28)	(5,224)
	From IPIS / Federal Network Security to PC&I / Protect Infrastructure	-	-	(97,435)
Budget Year	**FY 2017 Request**	**-**	**-**	**-**
	Total Change from FY 2016 to FY 2017	**(107)**	**(73)**	**(136,055)**

Department of Homeland Security
National Protection & Programs Directorate
Network Security Deployment
Program Performance Justification
(Dollars in Thousands)

PPA: Network Security Deployment

	Perm. Pos.	FTE	Amount
FY 2015 Revised Enacted	**145**	**99**	**$359,000**
FY 2016 President's Budget	**169**	**108**	**$475,822**
2017 Adjustments-to-Base	(169)	(108)	($475,822)
FY 2017 Current Services	**-**	**-**	**-**
FY 2017 Program Change	-	-	-
FY 2017 Total Request	**-**	**-**	**-**
Total Change 2016 to 2017	(169)	(108)	($475,822)

CURRENT SERVICES PROGRAM DESCRIPTION:

As a result of the Common Appropriation Structure, FY 2016 base funds will be transferred out to:

Network Security Deployment		Positions	FTE	Amount
Prior Year	**FY 2015 Revised Enacted**	**145**	**99**	**359,000**
Base	**FY 2016 Enacted**	**169**	**108**	**475,822**
Current Services	From IPIS / NSD to O&S / Infrastructure Capacity Building	(4)	(2)	(15,851)
	From IPIS / NSD to O&S / Protect Infrastructure	(165)	(106)	(368,233)
	From IPIS / NSD to PC&I / Protect Infrastructure	-	-	(91,738)
Budget Year	**FY 2017 Request**	**-**	**-**	**-**
	Total Change from FY 2016 to FY 2017	**(169)**	**(108)**	**(475,822)**

Department of Homeland Security
National Protection & Programs Directorate
Global Cybersecurity Management
Program Performance Justification
(Dollars in Thousands)

PPA: Global Cybersecurity Management

	Perm. Pos.	FTE	Amount
FY 2015 Revised Enacted	**23**	**17**	**$25,775**
FY 2016 President's Budget	**25**	**19**	**$26,702**
2017 Adjustments-to-Base	(25)	(19)	($26,702)
FY 2017 Current Services	**-**	**-**	**-**
FY 2017 Program Change	-	-	-
FY 2017 Total Request	**-**	**-**	**-**
Total Change 2016 to 2017	(25)	(19)	($26,702)

CURRENT SERVICES PROGRAM DESCRIPTION:

As a result of the Common Appropriation Structure, FY 2016 base funds will be transferred out to:

Global Cybersecurity Management		Positions	FTE	Amount
Prior Year	**FY 2015 Revised Enacted**	**23**	**17**	**25,775**
Base	**FY 2016 Enacted**	**25**	**19**	**26,702**
Current Services	From IPIS / GCSM to O&S / Infrastructure Analysis	(1)	(1)	(124)
	From IPIS / GCSM to O&S / Infrastructure Capacity Building	(21)	(15)	(24,175)
	From IPIS / GCSM to O&S / Management & Administration	(1)	(1)	(124)
	From IPIS / GCSM to O&S / Protect Infrastructure	(2)	(2)	(249)
	From IPIS / GCSM to R&D / Infrastructure Capacity Building	-	-	(2,030)
Budget Year	**FY 2017 Request**	**-**	**-**	**-**
	Total Change from FY 2016 to FY 2017	**(25)**	**(19)**	**(26,702)**

Department of Homeland Security
National Protection & Programs Directorate
Critical Infrastructure Cyber Protection and Awareness
Program Performance Justification
(Dollars in Thousands)

PPA: Critical Infrastructure Cyber Protection and Awareness

	Perm. Pos.	FTE	Amount
FY 2015 Revised Enacted	**67**	**37**	**$72,761**
FY 2016 President's Budget	**78**	**40**	**$74,229**
2017 Adjustments-to-Base	(78)	(40)	($74,229)
FY 2017 Current Services	**-**	**-**	**-**
FY 2017 Program Change	-	-	-
FY 2017 Total Request	**-**	**-**	**-**
Total Change 2016 to 2017	(78)	(40)	($74,229)

CURRENT SERVICES PROGRAM DESCRIPTION:

As a result of the Common Appropriation Structure, FY 2016 base funds will be transferred out to:

Critical Infrastructure Cyber Protection and Awareness		Positions	FTE	Amount
Prior Year	**FY 2015 Revised Enacted**	**67**	**37**	**72,761**
Base	**FY 2016 Enacted**	**78**	**40**	**74,229**
Current Services	From IPIS / CICPA to O&S / Infrastructure Analysis	(28)	(14)	(48,036)
	From IPIS / CICPA to O&S / Infrastructure Capacity Building	(47)	(24)	(25,881)
	From IPIS / CICPA to O&S / Management & Administration	(3)	(2)	(312)
Budget Year	**FY 2017 Request**	**-**	**-**	**-**
	Total Change from FY 2016 to FY 2017	**(78)**	**(40)**	**(74,229)**

Department of Homeland Security
National Protection & Programs Directorate
Business Operations
Program Performance Justification
(Dollars in Thousands)

PPA: Business Operations

	Perm. Pos.	FTE	Amount
FY 2015 Revised Enacted	**34**	**29**	**$4,780**
FY 2016 President's Budget	**31**	**30**	**$7,022**
2017 Adjustments-to-Base	(31)	(30)	($7,022)
FY 2017 Current Services	**-**	**-**	**-**
FY 2017 Program Change	-	-	-
FY 2017 Total Request	**-**	**-**	**-**
Total Change 2016 to 2017	(31)	(30)	($7,022)

CURRENT SERVICES PROGRAM DESCRIPTION:

As a result of the Common Appropriation Structure, FY 2016 base funds will be transferred out to:

Business Operations		**Positions**	**FTE**	**Amount**
Prior Year	**FY 2015 Revised Enacted**	**34**	**29**	**4,780**
Base	**FY 2016 Enacted**	**31**	**30**	**7,022**
Current Services	From IPIS / Business Operations to O&S / Infrastructure Analysis	(1)	(1)	(154)
	From IPIS / Business Operations to O&S / Infrastructure Capacity Building	(2)	(2)	(308)
	From IPIS / Business Operations to O&S / Management & Administration	(27)	(26)	(6,406)
	From IPIS / Business Operations to O&S / Protect Infrastructure	(1)	(1)	(154)
Budget Year	**FY 2017 Request**	**-**	**-**	**-**
	Total Change from FY 2016 to FY 2017	**(31)**	**(30)**	**(7,022)**

Department of Homeland Security
National Protection & Programs Directorate
Office of Emergency Communications
Program Performance Justification
(Dollars in Thousands)

PPA: Office of Emergency Communications

	Perm. Pos.	FTE	Amount
FY 2015 Revised Enacted	**72**	**63**	**$36,531**
FY 2016 President's Budget	**76**	**67**	**$34,205**
2017 Adjustments-to-Base	(76)	(67)	($34,205)
FY 2017 Current Services	**-**	**-**	**-**
FY 2017 Program Change	-	-	-
FY 2017 Total Request	**-**	**-**	**-**
Total Change 2016 to 2017	(76)	(67)	($34,205)

CURRENT SERVICES PROGRAM DESCRIPTION:

As a result of the Common Appropriation Structure, FY 2016 base funds will be transferred out to:

Office of Emergency Communications		Positions	FTE	Amount
Prior Year	**FY 2015 Revised Enacted**	**72**	**63**	**36,531**
Base	**FY 2016 Enacted**	**76**	**67**	**34,205**
Current Services	From IPIS / OEC to O&S / Infrastructure Capacity Building	(63)	(54)	(32,156)
	From IPIS / OEC to O&S / Management & Administration	(8)	(8)	(1,261)
	From IPIS / OEC to O&S / Protect Infrastructure	(5)	(5)	(788)
Budget Year	**FY 2017 Request**	**-**	**-**	**-**
	Total Change from FY 2016 to FY 2017	**(76)**	**(67)**	**(34,205)**

Department of Homeland Security
National Protection & Programs Directorate
Priority Telecommunications Services
Program Performance Justification
(Dollars in Thousands)

PPA: Priority Telecommunications Services

	Perm. Pos.	FTE	Amount
FY 2015 Revised Enacted	**60**	**49**	**$52,328**
FY 2016 President's Budget	**60**	**51**	**$63,095**
2017 Adjustments-to-Base	(60)	(51)	($63,095)
FY 2017 Current Services	**-**	**-**	**-**
FY 2017 Program Change	-	-	-
FY 2017 Total Request	**-**	**-**	**-**
Total Change 2016 to 2017	(60)	(51)	($63,095)

CURRENT SERVICES PROGRAM DESCRIPTION:

As a result of the Common Appropriation Structure, FY 2016 base funds will be transferred out to:

Priority Telecommunications Services		Positions	FTE	Amount
Prior Year	**FY 2015 Revised Enacted**	**60**	**49**	**52,328**
Base	**FY 2016 Enacted**	**60**	**51**	**63,095**
Current Services	From IPIS / PTS to O&S / Infrastructure Analysis	(5)	(5)	(628)
	From IPIS / PTS to O&S / Infrastructure Capacity Building	(24)	(22)	(3,042)
	From IPIS / PTS to O&S / Management & Administration	(16)	(12)	(2,001)
	From IPIS / PTS to O&S / Protect Infrastructure	(15)	(12)	(57,424)
Budget Year	**FY 2017 Request**	**-**	**-**	**-**
	Total Change from FY 2016 to FY 2017	**(60)**	**(51)**	**(63,095)**

Department of Homeland Security
National Protection & Programs Directorate
Next Generation Networks
Program Performance Justification
(Dollars in Thousands)

PPA: Next Generation Networks

	Perm. Pos.	FTE	Amount
FY 2015 Revised Enacted	**12**	**10**	**$53,293**
FY 2016 President's Budget	**12**	**11**	**$80,384**
2017 Adjustments-to-Base	(12)	(11)	($80,384)
FY 2017 Current Services	**-**	**-**	**-**
FY 2017 Program Change	-	-	-
FY 2017 Total Request	**-**	**-**	**-**
Total Change 2016 to 2017	(12)	(11)	($80,384)

CURRENT SERVICES PROGRAM DESCRIPTION:

As a result of the Common Appropriation Structure, FY 2016 base funds will be transferred out to:

Next Generation Networks		**Positions**	**FTE**	**Amount**
Prior Year	**FY 2015 Revised Enacted**	**12**	**10**	**53,293**
Base	**FY 2016 Enacted**	**12**	**11**	**80,384**
Current Services	From IPIS / NGN to O&S / Infrastructure Analysis	(8)	(7)	(1,167)
	From IPIS / NGN to O&S / Protect Infrastructure	(4)	(4)	(667)
	From IPIS / NGN to PC&I / Protect Infrastructure	-	-	(78,550)
Budget Year	**FY 2017 Request**	**-**	**-**	**-**
	Total Change from FY 2016 to FY 2017	**(12)**	**(11)**	**(80,384)**

Department of Homeland Security
National Protection & Programs Directorate
Programs to Study & Enhance Telecommunications
Program Performance Justification
(Dollars in Thousands)

PPA: Programs to Study & Enhance Telecommunications

	Perm. Pos.	FTE	Amount
FY 2015 Revised Enacted	**14**	**12**	**$10,092**
FY 2016 President's Budget	**14**	**11**	**$10,334**
2017 Adjustments-to-Base	(14)	(11)	($10,334)
FY 2017 Current Services	**-**	**-**	**-**
FY 2017 Program Change	-	-	-
FY 2017 Total Request	**-**	**-**	**-**
Total Change 2016 to 2017	(14)	(11)	($10,334)

CURRENT SERVICES PROGRAM DESCRIPTION:

As a result of the Common Appropriation Structure, FY 2016 base funds will be transferred out to:

Programs to Study & Enhance Telecommunications		**Positions**	**FTE**	**Amount**
Prior Year	**FY 2015 Revised Enacted**	**14**	**12**	**10,092**
Base	**FY 2016 Enacted**	**14**	**11**	**10,334**
Current Services	From IPIS / Prog Study Enhance Telecom to O&S / Infrastructure Analysis	(8)	(6)	(7,340)
	From IPIS / Prog Study Enhance Telecom to O&S / Infrastructure Capacity Building	-	-	(2,102)
	From IPIS / Prog Study Enhance Telecom to O&S / Management & Administration	(2)	(2)	(331)
	From IPIS / Prog Study Enhance Telecom to O&S / Protect Infrastructure	(4)	(3)	(561)
Budget Year	**FY 2017 Request**	**-**	**-**	**-**
	Total Change from FY 2016 to FY 2017	**(14)**	**(11)**	**(10,334)**

Department of Homeland Security
National Protection & Programs Directorate
Critical Infrastructure Protection
Program Performance Justification
(Dollars in Thousands)

PPA: Critical Infrastructure Protection

	Perm. Pos.	FTE	Amount
FY 2015 Revised Enacted	**41**	**30**	**$10,403**
FY 2016 President's Budget	**41**	**34**	**$10,824**
2017 Adjustments-to-Base	(41)	(34)	($10,824)
FY 2017 Current Services	**-**	**-**	**-**
FY 2017 Program Change	-	-	-
FY 2017 Total Request	**-**	**-**	**-**
Total Change 2016 to 2017	(41)	(34)	($10,824)

CURRENT SERVICES PROGRAM DESCRIPTION:

As a result of the Common Appropriation Structure, FY 2016 base funds will be transferred out to:

Critical Infrastructure Protection		Positions	FTE	Amount
Prior Year	**FY 2015 Revised Enacted**	**41**	**30**	**10,403**
Base	**FY 2016 Enacted**	**41**	**34**	**10,824**
Current Services	From IPIS / CI Protection to O&S / Infrastructure Analysis	(29)	(25)	(8,856)
	From IPIS / CI Protection to O&S / Infrastructure Capacity Building	(6)	(5)	(866)
	From IPIS / CI Protection to O&S / Protect Infrastructure	(6)	(4)	(1,102)
Budget Year	**FY 2017 Request**	**-**	**-**	**-**
	Total Change from FY 2016 to FY 2017	**(41)**	**(34)**	**(10,824)**

V. Exhibits and Other Supporting Material
B. FY 2016 to FY 2017 Budget Change

Department of Homeland Security
National Protection & Programs Directorate
Infrastructure Protection and Information Security

FY 2016 to FY 2017 Budget Change
(Dollars in Thousands)

	Pos.	FTE	Amount
FY 2015 Revised Enacted	**1,571**	**1,196**	**$1,225,879**
FY 2016 Enacted	**1,712**	**1,287**	**$1,291,000**
Adjustments-to-Base			
Transfers to and from other accounts:			
From IPIS / IA&P to O&S / Infrastructure Analysis	(100)	(64)	($35,531)
From IPIS / IA&P to O&S / Infrastructure Capacity Building	(38)	(34)	($35,431)
From IPIS / IA&P to O&S / Management & Administration	(13)	(8)	($4,048)
From IPIS / Infrastructure Security Compliance to O&S / Protect Infrastructure	(293)	(238)	($77,600)
From IPIS / Infrastructure Security Compliance to R&D / Protect Infrastructure	-	-	($800)
From IPIS / Regional Field Operations to O&S / Infrastructure Analysis	(30)	(25)	($12,082)
From IPIS / Regional Field Operations to O&S / Infrastructure Capacity Building	(132)	(107)	($37,069)
From IPIS / SMG to O&S / Infrastructure Analysis	(64)	(50)	($23,119)
From IPIS / SMG to O&S / Management & Administration	(2)	(2)	($7,712)
From IPIS / SMG to to O&S / Infrastructure Capacity Building	(144)	(114)	($36,728)
From IPIS / SMG to to R&D / Infrastructure Capacity Building	-	-	($3,289)
From IPIS / Business Operations to O&S / Infrastructure Analysis	(1)	(1)	($154)
From IPIS / Business Operations to O&S / Infrastructure Capacity Building	(2)	(2)	($308)
From IPIS / Business Operations to O&S / Management & Administration	(27)	(26)	($6,406)
From IPIS / Business Operations to O&S / Protect Infrastructure	(1)	(1)	($154)
From IPIS / CICPA to O&S / Infrastructure Analysis	(28)	(14)	($48,036)
From IPIS / CICPA to O&S / Infrastructure Capacity Building	(47)	(24)	($25,881)
From IPIS / CICPA to O&S / Management & Administration	(3)	(2)	($312)
From IPIS / Cybersecurity Coordination to O&S / Infrastructure Analysis	(14)	(13)	($4,434)
From IPIS / Federal Network Security to O&S / Infrastructure Analysis	(11)	(7)	($2,980)
From IPIS / Federal Network Security to O&S / Infrastructure Capacity Building	(58)	(33)	($29,309)
From IPIS / Federal Network Security to O&S / Management & Administration	(8)	(5)	($1,107)
From IPIS / Federal Network Security to O&S / Protect Infrastructure	(30)	(28)	($5,224)
From IPIS / Federal Network Security to PC&I / Protect Infrastructure	-	-	($97,435)
From IPIS / GCSM to O&S / Infrastructure Analysis	(1)	(1)	($124)
From IPIS / GCSM to O&S / Infrastructure Capacity Building	(21)	(15)	($24,175)
From IPIS / GCSM to O&S / Management & Administration	(1)	(1)	($124)
From IPIS / GCSM to O&S / Protect Infrastructure	(2)	(2)	($249)
From IPIS / GCSM to R&D / Infrastructure Capacity Building	-	-	($2,030)
From IPIS / NSD to O&S / Infrastructure Capacity Building	(4)	(2)	($15,851)
From IPIS / NSD to O&S / Protect Infrastructure	(165)	(106)	($368,233)
From IPIS / NSD to PC&I / Protect Infrastructure	-	-	($91,738)
From IPIS / US-CERT Operations to O&S / Infrastructure Analysis	(206)	(140)	($85,763)
From IPIS / US-CERT Operations to O&S / Infrastructure Capacity Building	(28)	(21)	($3,834)
From IPIS / US-CERT Operations to O&S / Management & Administration	(34)	(26)	($4,748)
From IPIS / US-CERT Operations to O&S / Protect Infrastructure	(1)	(1)	($140)
From IPIS / PTS to O&S / Infrastructure Analysis	(5)	(5)	($628)
From IPIS / PTS to O&S / Infrastructure Capacity Building	(24)	(22)	($3,042)
From IPIS / PTS to O&S / Management & Administration	(16)	(12)	($2,001)
From IPIS / PTS to O&S / Protect Infrastructure	(15)	(12)	($57,424)
From IPIS / NGN to O&S / Infrastructure Analysis	(8)	(7)	($1,167)
From IPIS / NGN to O&S / Protect Infrastructure	(4)	(4)	($667)
From IPIS / NGN to PC&I / Protect Infrastructure	-	-	($78,550)
From IPIS / Prog Study Enhance Telecom to O&S / Infrastructure Analysis	(8)	(6)	($7,340)
From IPIS / Prog Study Enhance Telecom to O&S / Infrastructure Capacity Building	-	-	($2,102)
From IPIS / Prog Study Enhance Telecom to O&S / Management & Administration	(2)	(2)	($331)
From IPIS / Prog Study Enhance Telecom to O&S / Protect Infrastructure	(4)	(3)	($561)
From IPIS / CI Protection to O&S / Infrastructure Analysis	(29)	(25)	($8,856)
From IPIS / CI Protection to O&S / Infrastructure Capacity Building	(6)	(5)	($866)
From IPIS / CI Protection to O&S / Protect Infrastructure	(6)	(4)	($1,102)
From IPIS / OEC to O&S / Infrastructure Capacity Building	(63)	(54)	($32,156)
From IPIS / OEC to O&S / Management & Administration	(8)	(8)	($1,261)
From IPIS / OEC to O&S / Protect Infrastructure	(5)	(5)	($788)
Total Transfers	**(1,712)**	**(1,287)**	**($1,291,000)**
FY 2017 Current Services	**(1,712)**	**(1,287)**	**($1,291,000)**
FY 2017 Request	-	-	-
FY 2016 to FY 2017 Change	-	-	-

D. Summary of Reimbursable Resources

N/A

E. Summary of Requirements By Object Class

Department of Homeland Security
Infrastructure Protection and Information Security
Summary of Requirements by Object Class
(Dollars in Thousands)

Object Classes	2015 Revised Enacted	2016 Enacted	2017 Request	FY 2016 to FY 2017 Change
Personnel and Other Compensation Benefits				
11.1 Full-time Permanent	$148,312	$162,415	-	-$162,415
11.3 Other than Full-Time Permanent	2,966	3,246	-	-3,246
11.5 Other Personnel Compensation	4,944	5,413	-	-5,413
12.1 Civilian Personnel Benefits	41,526	45,479	-	-45,479
Total, Personnel and Other Compensation Benefits	**197,748**	**216,553**	**-**	**-216,553**
Other Object Classes				
21.0 Travel and Transportation of Persons	7,237	7,232	-	-7,232
22.0 Transportation of Things	42	42	-	-42
23.1 Rental Payments to GSA	25,979	26,256	-	-26,256
23.2 Rental Payments to Others	3,035	3,035	-	-3,035
23.3 Communications, Utilities, and Misc. Charges	11,144	11,390	-	-11,390
24.0 Printing and Reproduction	100	100	-	-100
25.1 Advisory and Assistance Services	195,126	228,665	-	-228,665
25.2 Other Services from Non-Federal Sources	79,056	60,046	-	-60,046
25.3 Other Goods and Services from Federal Sources	197,353	207,606	-	-207,606
25.4 Operation and Maintenance of Facilities	696	745	-	-745
25.7 Operation and Maintenance of Equipment	220,301	274,892	-	-274,892
26.0 Supplies and Materials	963	969	-	-969
31.0 Equipment	269,764	241,090	-	-241,090
32.0 Land and Structures	350	350	-	-350
41.0 Grants, Subsidies, and Contributions	16,985	12,029	-	-12,029
Total, Other Object Classes	**1,028,131**	**1,074,447**	**-**	**-1,074,447**
Total, Direct Obligations	**1,225,879**	**1,291,000**	**-**	**-1,291,000**
Adjustments				
Net Offsetting Collections	-	-	-	-
Unobligated Balance, start of year	-	-	-	-
Unobligated Balance, end of year	-	-	-	-
Recoveries of Prior Year Obligations	-	-	-	-
Offsetting Collections	-	-	-	-
Total Requirements	**1,225,879**	**1,291,000**	**-**	**-1,291,000**
Full Time Equivalents	**1,196**	**1,287**	**-**	**-1,287**

F. Permanent Positions by Grade

Department of Homeland Security
National Protection & Programs Directorate
Infrastructure Protection and Information Security

Permanent Positions by Grade

Grades and Salary Range	FY 2015 Revised Enacted Pos.	FY 2016 Enacted Pos.	FY 2017 Request Pos.	FY 2016 to FY 2017 Change Total
Total, SES	18	21	-	(21)
GS-15	223	248	-	(248)
GS-14	532	556	-	(556)
GS-13	456	521	-	(521)
GS-12	234	265	-	(265)
GS-11	87	80	-	(80)
GS-9	18	18	-	(18)
GS-8	1	1	-	(1)
GS-7	2	2	-	(2)
Total Permanent Positions	**1,571**	**1,712**	**-**	**(1,712)**
Unfilled Positions EOY	-	-	-	-
Total Permanent Employment EOY	-	-	-	-
Headquarters	1,250	1,338	-	(1,338)
U.S. Field	321	374	-	(374)
Total, Infrastructure Protection and Information Security:	**1,571**	**1,712**	**-**	**(1,712)**
Full Time Equivalents	**1,196**	**1,287**	**-**	**(1,287)**
Average ES Salary	143,812	143,812	-	(143,812)
Average GS Salary	102,785	104,841	-	(104,841)
Average Grade	13	13	-	(13)

H. PPA Budget Justifications

Department of Homeland Security
National Protection & Programs Directorate
Infrastructure Protection and Information Security
Infrastructure Protection
Infrastructure Analysis and Planning
Summary of Requirements by Object Class
(Dollars in Thousands)

Object Classes	FY 2015 Revised Enacted	FY 2016 Enacted	FY 2017 Request	FY 2016 to FY 2017 Change
Personnel and Compensation Benefits	-	-	-	-
11.1 Full-time Permanent	$10,730	$14,592	-	($14,592)
11.3 Other than Full-Time Permanent	$215	$292	-	($292)
11.5 Other Personnel Compensation	$358	$486	-	($486)
12.1 Civilian Personnel Benefits	$3,004	$4,086	-	($4,086)
Total, Personnel and Compensation Benefits	**$14,307**	**$19,456**	**-**	**($19,456)**
Other Object Classes	-	-	-	-
21.0 Travel and Transportation of Persons	$380	$380	-	($380)
22.0 Transportation of Things	$2	$2	-	($2)
23.1 Rental Payments to GSA	$1,123	$937	-	($937)
23.2 Rental Payments to Others	$250	$250	-	($250)
24.0 Printing and Reproduction	$35	$35	-	($35)
25.1 Advisory and Assistance Services	$14,403	$21,524	-	($21,524)
25.2 Other Services from Non-Federal Sources	$169	$1,816	-	($1,816)
25.3 Other Goods and Services from Federal Sources	$30,386	$29,673	-	($29,673)
25.7 Operation and Maintenance of Equipment	$50	$548	-	($548)
26.0 Supplies and Materials	$63	$63	-	($63)
31.0 Equipment	$326	$326	-	($326)
Total, Other Object Classes	**$47,187**	**$55,554**	**-**	**($55,554)**
Adjustments	-	-	-	-
Unobligated Balance, start of year	-	-	-	-
Unobligated Balance, end of year	-	-	-	-
Recoveries of Prior Year Obligations	-	-	-	-
Total Requirements	**$61,494**	**$75,010**	**-**	**($75,010)**
Full Time Equivalents	**89**	**106**	**-**	**(106)**

Department of Homeland Security
National Protection & Programs Directorate
Infrastructure Protection and Information Security
Infrastructure Protection
Infrastructure Security Compliance

Summary of Requirements by Object Class

(Dollars in Thousands)

Object Classes	FY 2015 Revised Enacted	FY 2016 Enacted	FY 2017 Request	FY 2016 to FY 2017 Change
Personnel and Compensation Benefits	**-**	**-**	**-**	**-**
11.1 Full-time Permanent	$24,295	$26,470	-	($26,470)
11.3 Other than Full-Time Permanent	$486	$529	-	($529)
11.5 Other Personnel Compensation	$810	$882	-	($882)
12.1 Civilian Personnel Benefits	$6,803	$7,411	-	($7,411)
Total, Personnel and Compensation Benefits	**$32,394**	**$35,292**	**-**	**($35,292)**
Other Object Classes	**-**	**-**	**-**	**-**
21.0 Travel and Transportation of Persons	$1,920	$1,920	-	($1,920)
22.0 Transportation of Things	$15	$15	-	($15)
23.1 Rental Payments to GSA	$2,701	$2,701	-	($2,701)
23.2 Rental Payments to Others	$650	$650	-	($650)
24.0 Printing and Reproduction	$10	$10	-	($10)
25.1 Advisory and Assistance Services	$19,181	$17,396	-	($17,396)
25.2 Other Services from Non-Federal Sources	$50	$50	-	($50)
25.3 Other Goods and Services from Federal Sources	$25,500	$19,416	-	($19,416)
25.7 Operation and Maintenance of Equipment	$50	$50	-	($50)
26.0 Supplies and Materials	$100	$100	-	($100)
31.0 Equipment	$600	$600	-	($600)
32.0 Land and Structures	$200	$200	-	($200)
Total, Other Object Classes	**$50,977**	**$43,108**	**-**	**($43,108)**
Adjustments	**-**	**-**	**-**	**-**
Unobligated Balance, start of year	-	-	-	-
Unobligated Balance, end of year	-	-	-	-
Recoveries of Prior Year Obligations	-	-	-	-
Total Requirements	**$83,371**	**$78,400**	**-**	**($78,400)**
Full Time Equivalents	**231**	**238**	**-**	**(238)**

Department of Homeland Security
National Protection & Programs Directorate
Infrastructure Protection and Information Security
Infrastructure Protection
Regional Field Operations

Summary of Requirements by Object Class

(Dollars in Thousands)

Object Classes	FY 2015 Revised Enacted	FY 2016 Enacted	FY 2017 Request	FY 2016 to FY 2017 Change
Personnel and Compensation Benefits	-	-	-	-
11.1 Full-time Permanent	$16,543	$16,724	-	($16,724)
11.3 Other than Full-Time Permanent	$331	$334	-	($334)
11.5 Other Personnel Compensation	$551	$557	-	($557)
12.1 Civilian Personnel Benefits	$4,632	$4,683	-	($4,683)
Total, Personnel and Compensation Benefits	**$22,057**	**$22,298**	**-**	**($22,298)**
Other Object Classes	-	-	-	-
21.0 Travel and Transportation of Persons	$1,225	$1,225	-	($1,225)
22.0 Transportation of Things	$20	$20	-	($20)
23.1 Rental Payments to GSA	$1,176	$1,176	-	($1,176)
23.2 Rental Payments to Others	$10	$10	-	($10)
24.0 Printing and Reproduction	$10	$10	-	($10)
25.1 Advisory and Assistance Services	$18,494	$14,795	-	($14,795)
25.2 Other Services from Non-Federal Sources	$1,500	$1,500	-	($1,500)
25.3 Other Goods and Services from Federal Sources	$5,484	$4,972	-	($4,972)
25.7 Operation and Maintenance of Equipment	$2,650	$2,650	-	($2,650)
26.0 Supplies and Materials	$80	$80	-	($80)
31.0 Equipment	$500	$415	-	($415)
Total, Other Object Classes	**$31,149**	**$26,853**	**-**	**($26,853)**
Adjustments	-	-	-	-
Unobligated Balance, start of year	-	-	-	-
Unobligated Balance, end of year	-	-	-	-
Recoveries of Prior Year Obligations	-	-	-	-
Total Requirements	**$53,206**	**$49,151**	**-**	**($49,151)**
Full Time Equivalents	**130**	**132**	**-**	**(132)**

Department of Homeland Security
National Protection & Programs Directorate
Infrastructure Protection and Information Security
Infrastructure Protection
Sector Management and Governance

Summary of Requirements by Object Class
(Dollars in Thousands)

Object Classes	FY 2015 Revised Enacted	FY 2016 Enacted	FY 2017 Request	FY 2016 to FY 2017 Change
Personnel and Compensation Benefits	**-**	**-**	**-**	**-**
11.1 Full-time Permanent	$19,346	$18,416	-	($18,416)
11.3 Other than Full-Time Permanent	$387	$368	-	($368)
11.5 Other Personnel Compensation	$645	$614	-	($614)
12.1 Civilian Personnel Benefits	$5,417	$5,157	-	($5,157)
Total, Personnel and Compensation Benefits	**$25,795**	**$24,555**	**-**	**($24,555)**
Other Object Classes	**-**	**-**	**-**	**-**
21.0 Travel and Transportation of Persons	$500	$500	-	($500)
23.1 Rental Payments to GSA	$3,000	$3,000	-	($3,000)
23.2 Rental Payments to Others	$250	$250	-	($250)
23.3 Communications, Utilities, and Misc. Charges	$100	$100	-	($100)
24.0 Printing and Reproduction	$30	$30	-	($30)
25.1 Advisory and Assistance Services	$16,777	$21,925	-	($21,925)
25.2 Other Services from Non-Federal Sources	$1,200	$1,200	-	($1,200)
25.3 Other Goods and Services from Federal Sources	$13,009	$14,988	-	($14,988)
25.4 Operation and Maintenance of Facilities	$150	$150	-	($150)
25.7 Operation and Maintenance of Equipment	$3,500	$3,500	-	($3,500)
26.0 Supplies and Materials	$150	$150	-	($150)
31.0 Equipment	$350	$350	-	($350)
32.0 Land and Structures	$150	$150	-	($150)
Total, Other Object Classes	**$39,166**	**$46,293**	**-**	**($46,293)**
Adjustments	**-**	**-**	**-**	**-**
Unobligated Balance, start of year	-	-	-	-
Unobligated Balance, end of year	-	-	-	-
Recoveries of Prior Year Obligations	-	-	-	-
Total Requirements	**$64,961**	**$70,848**	**-**	**($70,848)**
Full Time Equivalents	**150**	**166**	**-**	**(166)**

Department of Homeland Security
National Protection & Programs Directorate
Infrastructure Protection and Information Security
Cybersecurity
Business Operations

Summary of Requirements by Object Class

(Dollars in Thousands)

Object Classes	FY 2015 Revised Enacted	FY 2016 Enacted	FY 2017 Request	FY 2016 to FY 2017 Change
Personnel and Compensation Benefits	**-**	**-**	**-**	**-**
11.1 Full-time Permanent	$3,062	$3,462	-	($3,462)
11.3 Other than Full-Time Permanent	$61	$69	-	($69)
11.5 Other Personnel Compensation	$102	$116	-	($116)
12.1 Civilian Personnel Benefits	$858	$969	-	($969)
Total, Personnel and Compensation Benefits	**$4,083**	**$4,616**	**-**	**($4,616)**
Other Object Classes	**-**	**-**	**-**	**-**
21.0 Travel and Transportation of Persons	$559	$503	-	($503)
23.1 Rental Payments to GSA	$17	$41	-	($41)
25.1 Advisory and Assistance Services	-	$1,710	-	($1,710)
25.2 Other Services from Non-Federal Sources	$12	$6	-	($6)
25.3 Other Goods and Services from Federal Sources	$48	$129	-	($129)
25.4 Operation and Maintenance of Facilities	$23	$17	-	($17)
26.0 Supplies and Materials	$3	-	-	-
31.0 Equipment	$35	-	-	-
Total, Other Object Classes	**$697**	**$2,406**	**-**	**($2,406)**
Adjustments	**-**	**-**	**-**	**-**
Unobligated Balance, start of year	-	-	-	-
Unobligated Balance, end of year	-	-	-	-
Recoveries of Prior Year Obligations	-	-	-	-
Total Requirements	**$4,780**	**$7,022**	**-**	**($7,022)**
Full Time Equivalents	**29**	**30**	**-**	**(30)**

Department of Homeland Security
National Protection & Programs Directorate
Infrastructure Protection and Information Security
Cybersecurity
Critical Infrastructure Cyber Protection and Awareness

Summary of Requirements by Object Class

(Dollars in Thousands)

Object Classes	FY 2015 Revised Enacted	FY 2016 Enacted	FY 2017 Request	FY 2016 to FY 2017 Change
Personnel and Compensation Benefits	**-**	**-**	**-**	**-**
11.1 Full-time Permanent	$5,087	$5,559	-	($5,559)
11.3 Other than Full-Time Permanent	$101	$111	-	($111)
11.5 Other Personnel Compensation	$170	$185	-	($185)
12.1 Civilian Personnel Benefits	$1,424	$1,557	-	($1,557)
Total, Personnel and Compensation Benefits	**$6,782**	**$7,412**	**-**	**($7,412)**
Other Object Classes	**-**	**-**	**-**	**-**
21.0 Travel and Transportation of Persons	$508	$508	-	($508)
23.1 Rental Payments to GSA	$1,497	$2,654	-	($2,654)
23.2 Rental Payments to Others	$501	$501	-	($501)
25.1 Advisory and Assistance Services	$13,217	$17,417	-	($17,417)
25.2 Other Services from Non-Federal Sources	$10,179	$9,061	-	($9,061)
25.3 Other Goods and Services from Federal Sources	$29,242	$26,097	-	($26,097)
26.0 Supplies and Materials	$50	$50	-	($50)
41.0 Grants, Subsidies, and Contributions	$10,785	$10,529	-	($10,529)
Total, Other Object Classes	**$65,979**	**$66,817**	**-**	**($66,817)**
Adjustments	**-**	**-**	**-**	**-**
Unobligated Balance, start of year	-	-	-	-
Unobligated Balance, end of year	-	-	-	-
Recoveries of Prior Year Obligations	-	-	-	-
Total Requirements	**$72,761**	**$74,229**	**-**	**($74,229)**
Full Time Equivalents	**37**	**40**	**-**	**(40)**

Department of Homeland Security
National Protection & Programs Directorate
Infrastructure Protection and Information Security
Cybersecurity
Cybersecurity Coordination

Summary of Requirements by Object Class

(Dollars in Thousands)

Object Classes	FY 2015 Revised Enacted	FY 2016 Enacted	FY 2017 Request	FY 2016 to FY 2017 Change
Personnel and Compensation Benefits	**-**	**-**	**-**	**-**
11.1 Full-time Permanent	$1,854	$1,947	-	($1,947)
11.3 Other than Full-Time Permanent	$37	$39	-	($39)
11.5 Other Personnel Compensation	$62	$65	-	($65)
12.1 Civilian Personnel Benefits	$519	$545	-	($545)
Total, Personnel and Compensation Benefits	**$2,472**	**$2,596**	**-**	**($2,596)**
Other Object Classes	**-**	**-**	**-**	**-**
21.0 Travel and Transportation of Persons	$41	$41	-	($41)
23.1 Rental Payments to GSA	$184	$184	-	($184)
25.1 Advisory and Assistance Services	$1,061	$1,061	-	($1,061)
25.2 Other Services from Non-Federal Sources	$106	$106	-	($106)
25.3 Other Goods and Services from Federal Sources	$285	$284	-	($284)
25.7 Operation and Maintenance of Equipment	$152	$152	-	($152)
26.0 Supplies and Materials	$10	$10	-	($10)
Total, Other Object Classes	**$1,839**	**$1,838**	**-**	**($1,838)**
Adjustments	**-**	**-**	**-**	**-**
Unobligated Balance, start of year	-	-	-	-
Unobligated Balance, end of year	-	-	-	-
Recoveries of Prior Year Obligations	-	-	-	-
Total Requirements	**$4,311**	**$4,434**	**-**	**($4,434)**
Full Time Equivalents	**12**	**13**	**-**	**(13)**

Department of Homeland Security
National Protection & Programs Directorate
Infrastructure Protection and Information Security
Cybersecurity
Federal Network Security

Summary of Requirements by Object Class

(Dollars in Thousands)

Object Classes	FY 2015 Revised Enacted	FY 2016 Enacted	FY 2017 Request	FY 2016 to FY 2017 Change
Personnel and Compensation Benefits	**-**	**-**	**-**	**-**
11.1 Full-time Permanent	$8,225	$10,924	-	($10,924)
11.3 Other than Full-Time Permanent	$164	$218	-	($218)
11.5 Other Personnel Compensation	$274	$364	-	($364)
12.1 Civilian Personnel Benefits	$2,303	$3,059	-	($3,059)
Total, Personnel and Compensation Benefits	**$10,966**	**$14,565**	**-**	**($14,565)**
Other Object Classes	**-**	**-**	**-**	**-**
21.0 Travel and Transportation of Persons	$99	$99	-	($99)
23.1 Rental Payments to GSA	$4,591	$3,328	-	($3,328)
23.2 Rental Payments to Others	$53	$53	-	($53)
23.3 Communications, Utilities, and Misc. Charges	$144	$144	-	($144)
25.1 Advisory and Assistance Services	$8,578	$11,530	-	($11,530)
25.2 Other Services from Non-Federal Sources	$23,077	$9,183	-	($9,183)
25.3 Other Goods and Services from Federal Sources	$10,271	$10,200	-	($10,200)
25.7 Operation and Maintenance of Equipment	$24,128	$23,345	-	($23,345)
31.0 Equipment	$154,293	$63,608	-	($63,608)
Total, Other Object Classes	**$225,234**	**$121,490**	**-**	**($121,490)**
Adjustments	**-**	**-**	**-**	**-**
Unobligated Balance, start of year	-	-	-	-
Unobligated Balance, end of year	-	-	-	-
Recoveries of Prior Year Obligations	-	-	-	-
Total Requirements	**$236,200**	**$136,055**	**-**	**($136,055)**
Full Time Equivalents	**66**	**73**	**-**	**(73)**

Department of Homeland Security
National Protection & Programs Directorate
Infrastructure Protection and Information Security
Cybersecurity
Global Cybersecurity Management

Summary of Requirements by Object Class

(Dollars in Thousands)

Object Classes	FY 2015 Revised Enacted	FY 2016 Enacted	FY 2017 Request	FY 2016 to FY 2017 Change
Personnel and Compensation Benefits	**-**	**-**	**-**	**-**
11.1 Full-time Permanent	$1,971	$2,303	-	($2,303)
11.3 Other than Full-Time Permanent	$39	$46	-	($46)
11.5 Other Personnel Compensation	$66	$77	-	($77)
12.1 Civilian Personnel Benefits	$552	$645	-	($645)
Total, Personnel and Compensation Benefits	**$2,628**	**$3,071**	**-**	**($3,071)**
Other Object Classes	**-**	**-**	**-**	**-**
21.0 Travel and Transportation of Persons	$159	$159	-	($159)
23.1 Rental Payments to GSA	$551	$398	-	($398)
23.2 Rental Payments to Others	$63	$63	-	($63)
25.1 Advisory and Assistance Services	$15,162	$16,652	-	($16,652)
25.2 Other Services from Non-Federal Sources	$1,506	$1,506	-	($1,506)
25.3 Other Goods and Services from Federal Sources	$2,656	$2,803	-	($2,803)
25.7 Operation and Maintenance of Equipment	-	$500	-	($500)
26.0 Supplies and Materials	$50	$50	-	($50)
41.0 Grants, Subsidies, and Contributions	$3,000	$1,500	-	($1,500)
Total, Other Object Classes	**$23,147**	**$23,631**	**-**	**($23,631)**
Adjustments	**-**	**-**	**-**	**-**
Unobligated Balance, start of year	-	-	-	-
Unobligated Balance, end of year	-	-	-	-
Recoveries of Prior Year Obligations	-	-	-	-
Total Requirements	**$25,775**	**$26,702**	**-**	**($26,702)**
Full Time Equivalents	**17**	**19**	**-**	**(19)**

Department of Homeland Security
National Protection & Programs Directorate
Infrastructure Protection and Information Security
Cybersecurity
Network Security Deployment

Summary of Requirements by Object Class

(Dollars in Thousands)

Object Classes	FY 2015 Revised Enacted	FY 2016 Enacted	FY 2017 Request	FY 2016 to FY 2017 Change
Personnel and Compensation Benefits	**-**	**-**	**-**	**-**
11.1 Full-time Permanent	$14,497	$15,789	-	($15,789)
11.3 Other than Full-Time Permanent	$290	$316	-	($316)
11.5 Other Personnel Compensation	$483	$526	-	($526)
12.1 Civilian Personnel Benefits	$4,059	$4,421	-	($4,421)
Total, Personnel and Compensation Benefits	**$19,329**	**$21,052**	**-**	**($21,052)**
Other Object Classes	**-**	**-**	**-**	**-**
21.0 Travel and Transportation of Persons	$191	$242	-	($242)
23.1 Rental Payments to GSA	$5,045	$5,799	-	($5,799)
23.2 Rental Payments to Others	$400	$400	-	($400)
23.3 Communications, Utilities, and Misc. Charges	$910	$1,156	-	($1,156)
25.1 Advisory and Assistance Services	$20,198	$26,361	-	($26,361)
25.3 Other Goods and Services from Federal Sources	$55,000	$70,534	-	($70,534)
25.4 Operation and Maintenance of Facilities	$203	$258	-	($258)
25.7 Operation and Maintenance of Equipment	$158,787	$201,574	-	($201,574)
26.0 Supplies and Materials	$35	$44	-	($44)
31.0 Equipment	$98,902	$148,402	-	($148,402)
Total, Other Object Classes	**$339,671**	**$454,770**	**-**	**($454,770)**
Adjustments	**-**	**-**	**-**	**-**
Unobligated Balance, start of year	-	-	-	-
Unobligated Balance, end of year	-	-	-	-
Recoveries of Prior Year Obligations	-	-	-	-
Total Requirements	**$359,000**	**$475,822**	**-**	**($475,822)**
Full Time Equivalents	**99**	**108**	**-**	**(108)**

Department of Homeland Security
National Protection & Programs Directorate
Infrastructure Protection and Information Security
Cybersecurity
US Computer Emergency Readiness Team

Summary of Requirements by Object Class

(Dollars in Thousands)

Object Classes	FY 2015 Revised Enacted	FY 2016 Enacted	FY 2017 Request	FY 2016 to FY 2017 Change
Personnel and Compensation Benefits	**-**	**-**	**-**	**-**
11.1 Full-time Permanent	$23,765	$25,557	-	($25,557)
11.3 Other than Full-Time Permanent	$475	$511	-	($511)
11.5 Other Personnel Compensation	$792	$852	-	($852)
12.1 Civilian Personnel Benefits	$6,654	$7,156	-	($7,156)
Total, Personnel and Compensation Benefits	**$31,686**	**$34,076**	**-**	**($34,076)**
Other Object Classes	**-**	**-**	**-**	**-**
21.0 Travel and Transportation of Persons	$191	$191	-	($191)
23.1 Rental Payments to GSA	$2,166	$2,166	-	($2,166)
23.3 Communications, Utilities, and Misc. Charges	$6	$6	-	($6)
25.1 Advisory and Assistance Services	$218	$218	-	($218)
25.2 Other Services from Non-Federal Sources	$38,778	$33,139	-	($33,139)
25.3 Other Goods and Services from Federal Sources	$5,264	$5,236	-	($5,236)
25.7 Operation and Maintenance of Equipment	$15,758	$17,347	-	($17,347)
26.0 Supplies and Materials	$106	$106	-	($106)
31.0 Equipment	-	$2,000	-	($2,000)
41.0 Grants, Subsidies, and Contributions	$3,200	-	-	-
Total, Other Object Classes	**$65,687**	**$60,409**	**-**	**($60,409)**
Adjustments	**-**	**-**	**-**	**-**
Unobligated Balance, start of year	-	-	-	-
Unobligated Balance, end of year	-	-	-	-
Recoveries of Prior Year Obligations	-	-	-	-
Total Requirements	**$97,373**	**$94,485**	**-**	**($94,485)**
Full Time Equivalents	**172**	**188**	**-**	**(188)**

Department of Homeland Security
National Protection & Programs Directorate
Infrastructure Protection and Information Security
Communications
Priority Telecommunications Services

Summary of Requirements by Object Class

(Dollars in Thousands)

Object Classes	FY 2015 Revised Enacted	FY 2016 Enacted	FY 2017 Request	FY 2016 to FY 2017 Change
Personnel and Compensation Benefits	**-**	**-**	**-**	**-**
11.1 Full-time Permanent	$5,084	$5,674	-	($5,674)
11.3 Other than Full-Time Permanent	$102	$113	-	($113)
11.5 Other Personnel Compensation	$169	$189	-	($189)
12.1 Civilian Personnel Benefits	$1,423	$1,589	-	($1,589)
Total, Personnel and Compensation Benefits	**$6,778**	**$7,565**	**-**	**($7,565)**
Other Object Classes	**-**	**-**	**-**	**-**
21.0 Travel and Transportation of Persons	$83	$83	-	($83)
23.1 Rental Payments to GSA	$1,084	$936	-	($936)
23.2 Rental Payments to Others	$858	$858	-	($858)
23.3 Communications, Utilities, and Misc. Charges	$9,984	$9,984	-	($9,984)
25.1 Advisory and Assistance Services	$13,937	$13,937	-	($13,937)
25.3 Other Goods and Services from Federal Sources	$3,300	$3,428	-	($3,428)
25.7 Operation and Maintenance of Equipment	$15,211	$25,211	-	($25,211)
26.0 Supplies and Materials	$164	$164	-	($164)
31.0 Equipment	$929	$929	-	($929)
Total, Other Object Classes	**$45,550**	**$55,530**	**-**	**($55,530)**
Adjustments	**-**	**-**	**-**	**-**
Unobligated Balance, start of year	-	-	-	-
Unobligated Balance, end of year	-	-	-	-
Recoveries of Prior Year Obligations	-	-	-	-
Total Requirements	**$52,328**	**$63,095**	**-**	**($63,095)**
Full Time Equivalents	**49**	**51**	**-**	**(51)**

Department of Homeland Security
National Protection & Programs Directorate
Infrastructure Protection and Information Security
Communications
Next Generation Networks

Summary of Requirements by Object Class
(Dollars in Thousands)

Object Classes	FY 2015 Revised Enacted	FY 2016 Enacted	FY 2017 Request	FY 2016 to FY 2017 Change
Personnel and Compensation Benefits	**-**	**-**	**-**	**-**
11.1 Full-time Permanent	$1,179	$1,375	-	($1,375)
11.3 Other than Full-Time Permanent	$24	$28	-	($28)
11.5 Other Personnel Compensation	$39	$46	-	($46)
12.1 Civilian Personnel Benefits	$330	$385	-	($385)
Total, Personnel and Compensation Benefits	**$1,572**	**$1,834**	**-**	**($1,834)**
Other Object Classes	**-**	**-**	**-**	**-**
21.0 Travel and Transportation of Persons	$50	$50	-	($50)
23.1 Rental Payments to GSA	$1,231	$1,324	-	($1,324)
25.1 Advisory and Assistance Services	$33,135	$48,527	-	($48,527)
25.3 Other Goods and Services from Federal Sources	$3,501	$4,214	-	($4,214)
26.0 Supplies and Materials	$18	$18	-	($18)
31.0 Equipment	$13,786	$24,417	-	($24,417)
Total, Other Object Classes	**$51,721**	**$78,550**	**-**	**($78,550)**
Adjustments	**-**	**-**	**-**	**-**
Unobligated Balance, start of year	-	-	-	-
Unobligated Balance, end of year	-	-	-	-
Recoveries of Prior Year Obligations	-	-	-	-
Total Requirements	**$53,293**	**$80,384**	**-**	**($80,384)**
Full Time Equivalents	**10**	**11**	**-**	**(11)**

Department of Homeland Security
National Protection & Programs Directorate
Infrastructure Protection and Information Security
Communications
Programs to Study & Enhance Telecommunications

Summary of Requirements by Object Class

(Dollars in Thousands)

Object Classes	FY 2015 Revised Enacted	FY 2016 Enacted	FY 2017 Request	FY 2016 to FY 2017 Change
Personnel and Compensation Benefits	**-**	**-**	**-**	**-**
11.1 Full-time Permanent	$1,361	$1,545	-	($1,545)
11.3 Other than Full-Time Permanent	$27	$30	-	($30)
11.5 Other Personnel Compensation	$46	$51	-	($51)
12.1 Civilian Personnel Benefits	$381	$434	-	($434)
Total, Personnel and Compensation Benefits	**$1,815**	**$2,060**	**-**	**($2,060)**
Other Object Classes	**-**	**-**	**-**	**-**
21.0 Travel and Transportation of Persons	$77	$77	-	($77)
23.1 Rental Payments to GSA	$197	$196	-	($196)
25.1 Advisory and Assistance Services	$7,095	$7,095	-	($7,095)
25.3 Other Goods and Services from Federal Sources	$893	$891	-	($891)
26.0 Supplies and Materials	$15	$15	-	($15)
Total, Other Object Classes	**$8,277**	**$8,274**	**-**	**($8,274)**
Adjustments	**-**	**-**	**-**	**-**
Unobligated Balance, start of year	-	-	-	-
Unobligated Balance, end of year	-	-	-	-
Recoveries of Prior Year Obligations	-	-	-	-
Total Requirements	**$10,092**	**$10,334**	**-**	**($10,334)**
Full Time Equivalents	**12**	**11**	**-**	**(11)**

Department of Homeland Security
National Protection & Programs Directorate
Infrastructure Protection and Information Security
Communications
Critical Infrastructure Protection

Summary of Requirements by Object Class

(Dollars in Thousands)

Object Classes	FY 2015 Revised Enacted	FY 2016 Enacted	FY 2017 Request	FY 2016 to FY 2017 Change
Personnel and Compensation Benefits	**-**	**-**	**-**	**-**
11.1 Full-time Permanent	$3,875	$4,193	-	($4,193)
11.3 Other than Full-Time Permanent	$78	$84	-	($84)
11.5 Other Personnel Compensation	$129	$140	-	($140)
12.1 Civilian Personnel Benefits	$1,085	$1,174	-	($1,174)
Total, Personnel and Compensation Benefits	**$5,167**	**$5,591**	**-**	**($5,591)**
Other Object Classes	**-**	**-**	**-**	**-**
21.0 Travel and Transportation of Persons	$266	$266	-	($266)
23.1 Rental Payments to GSA	$166	$166	-	($166)
25.1 Advisory and Assistance Services	$1,669	$1,669	-	($1,669)
25.2 Other Services from Non-Federal Sources	$2,293	$2,293	-	($2,293)
25.3 Other Goods and Services from Federal Sources	$750	$747	-	($747)
26.0 Supplies and Materials	$54	$54	-	($54)
31.0 Equipment	$38	$38	-	($38)
Total, Other Object Classes	**$5,236**	**$5,233**	**-**	**($5,233)**
Adjustments	**-**	**-**	**-**	**-**
Unobligated Balance, start of year	-	-	-	-
Unobligated Balance, end of year	-	-	-	-
Recoveries of Prior Year Obligations	-	-	-	-
Total Requirements	**$10,403**	**$10,824**	**-**	**($10,824)**
Full Time Equivalents	**30**	**34**	**-**	**(34)**

Department of Homeland Security
National Protection & Programs Directorate
Infrastructure Protection and Information Security
Communications
Office of Emergency Communications

Summary of Requirements by Object Class

(Dollars in Thousands)

Object Classes	FY 2015 Revised Enacted	FY 2016 Enacted	FY 2017 Request	FY 2016 to FY 2017 Change
Personnel and Compensation Benefits	**-**	**-**	**-**	**-**
11.1 Full-time Permanent	$7,438	$7,885	-	($7,885)
11.3 Other than Full-Time Permanent	$149	$158	-	($158)
11.5 Other Personnel Compensation	$248	$263	-	($263)
12.1 Civilian Personnel Benefits	$2,082	$2,208	-	($2,208)
Total, Personnel and Compensation Benefits	**$9,917**	**$10,514**	**-**	**($10,514)**
Other Object Classes	**-**	**-**	**-**	**-**
21.0 Travel and Transportation of Persons	$988	$988	-	($988)
22.0 Transportation of Things	$5	$5	-	($5)
23.1 Rental Payments to GSA	$1,250	$1,250	-	($1,250)
24.0 Printing and Reproduction	$15	$15	-	($15)
25.1 Advisory and Assistance Services	$12,001	$6,848	-	($6,848)
25.2 Other Services from Non-Federal Sources	$186	$186	-	($186)
25.3 Other Goods and Services from Federal Sources	$11,764	$13,994	-	($13,994)
25.4 Operation and Maintenance of Facilities	$320	$320	-	($320)
25.7 Operation and Maintenance of Equipment	$15	$15	-	($15)
26.0 Supplies and Materials	$65	$65	-	($65)
31.0 Equipment	$5	$5	-	($5)
Total, Other Object Classes	**$26,614**	**$23,691**	**-**	**($23,691)**
Adjustments	**-**	**-**	**-**	**-**
Unobligated Balance, start of year	-	-	-	-
Unobligated Balance, end of year	-	-	-	-
Recoveries of Prior Year Obligations	-	-	-	-
Total Requirements	**$36,531**	**$34,205**	**-**	**($34,205)**
Full Time Equivalents	**63**	**67**	**-**	**(67)**

I. Changes In Full Time Employment

	FY 2015	FY 2016	FY 2017
BASE: Year End Actual from Prior Year	**1,373**	**1,196**	-
Increases			
Acquisition & Investment Adjustments	-	9	-
New Positions	34	41	-
Adjustment for Actuals	-	348	-
Annualization of Prior Year Positions (including Balanced Workforce)	68	27	-
Balanced Workforce Positions	65	88	-
Transfer of Position from Assistant Secretary, IP	4	-	-
Subtotal, Increases	**171**	**513**	-
Decreases			
Business & Program Support Efficiencies	-	(19)	-
VERA & VSIP	-	(3)	-
Lapse & Attrition Rate	-	(80)	-
Adjustment for Enacted FTE	-	(320)	-
Transfer Out to O&S / Infrastructure Analysis	-	-	-
Transfer Out to O&S / Infrastructure Capacity Building	-	-	-
Transfer Out to O&S / Protect Infrastucture	-	-	-
Transfer Out to O&S / Management & Administration	-	-	-
Adjustment for Actuals	(348)	-	-
Subtotal, Decreases	**(348)**	**(422)**	-
			-
			-
Year End Actuals/Estimated FTEs:	**1,196**	**1,287**	-
Net Change from prior year base to Budget Year Estimate:	**(177)**	**91**	-

J. FY 2017 Schedule of Working Capital Fund by Program/Project Activity

Department of Homeland Security
National Protection & Programs Directorate
Infrastructure Protection and Information Security
(Dollars in Thousands)

Progam/Project/Activity	FY 2015 Revised Enacted Amount	FY 2016 Enacted Amount	FY 2017 Request Amount	Increase/Decrease for FY 2017 Amount
Infrastructure Analysis and Planning	$4,317	$3,917	-	($3,917)
Business Operations	64	$170	-	($170)
Priority Telecommunications Services	4,167	$3,915	-	($3,915)
Infrastructure Security Compliance	4,665	$3,040	-	($3,040)
Critical Infrastructure Cyber Protection and Awareness	5,752	$4,711	-	($4,711)
Next Generation Networks	4,732	$5,538	-	($5,538)
Regional Field Operations	2,851	$1,894	-	($1,894)
Cybersecurity Coordination	168	$129	-	($129)
Programs to Study & Enhance Telecommunications	757	$583	-	($583)
Sector Management and Governance	3,583	$3,123	-	($3,123)
Federal Network Security	17,645	$13,918	-	($13,918)
Critical Infrastructure Protection	479	$369	-	($369)
Global Cybersecurity Management	2,118	$1,666	-	($1,666)
Office of Emergency Communications	2,436	$1,670	-	($1,670)
Network Security Deployment	10,860	$21,875	-	($21,875)
US Computer Emergency Readiness Team	6,011	$4,259	-	($4,259)
Total Working Capital Fund	**$70,605**	**$70,777**	**-**	**($70,777)**

Department of Homeland Security

National Protection & Programs Directorate

Office of Biometric Identity Management

Fiscal Year 2017

Congressional Justification

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II. Summary of FY 2017 Budget Estimates by Program/Project Activity (PPA)

Department of Homeland Security
National Protection & Programs Directorate
Office of Biometric Identity Management

Summary of FY 2017 Budget Estimates by Program Project Activity

FY 2017 Request
(Dollars in Thousands)

Program Project Activity	FY 2015			FY 2016			FY 2017			Increase(+) or Decrease(-) for FY 2017								
	Revised Enacted			Enacted			Request			Total Changes			Program Changes			Adjustments-to-Base		
	POS	FTE	Amount	POS	FTE	Amount	POS	FTE	Amount	POS	FTE	Amount	POS	FTE	Amount	POS	FTE	Amount
Office of Biometric Identity Management	208	166	$247,056	177	168	$282,473	-	-	-	(177)	(168)	($282,473)	-	-	-	(177)	(168)	($282,473)
Total, Office of Biometric Identity Management	**208**	**166**	**$247,056**	**177**	**168**	**$282,473**	**-**	**-**	**-**	**(177)**	**(168)**	**($282,473)**	**-**	**-**	**-**	**(177)**	**(168)**	**($282,473)**
Subtotal, Enacted Appropriations & Budget Estimates	**208**	**166**	**$247,056**	**177**	**168**	**$282,473**	**-**	**-**	**-**	**(177)**	**(168)**	**($282,473)**	**-**	**-**	**-**	**(177)**	**(168)**	**($282,473)**
505 Rescission	**-**	**-**	**26**	**-**	**-**	**-**		**-**	**-**	-	-	1	-	-	-	-	-	1
Net, Enacted Appropriations and Budget Estimates:	**208**	**166**	**$247,030**	**177**	**168**	**$282,447**	**-**	**-**	**-**	**(177)**	**(168)**	**($282,472)**	**-**	**-**	**-**	**(177)**	**(168)**	**($282,472)**

[1]*Reflects reprogrammings/transfers, as applicable, and actual FTE. This footnote applies to all FY 2015 Revised Enacted tables throughout the CJ Submission.*

III. Current Services Program Description by PPA

Department of Homeland Security
National Protection & Programs Directorate
Office of Biometric Identity Management
Program Performance Justification
(Dollars in Thousands)

PPA: Office of Biometric Identity Management

	Perm. Pos.	FTE	Amount
FY 2015 Revised Enacted	**208**	**166**	**$247,056**
FY 2016 President's Budget	**177**	**168**	**$282,473**
2017 Adjustments-to-Base	(177)	(168)	($282,473)
FY 2017 Current Services	**-**	**-**	**-**
FY 2017 Program Change	-	-	-
FY 2017 Total Request	**-**	**-**	**-**
Total Change 2016 to 2017	(177)	(168)	($282,473)

CURRENT SERVICES PROGRAM DESCRIPTION:

As a result of the Common Appropriation Structure, FY 2016 base funds will be transferred out to:

Office of Biometric Identity Management		**Positions**	**FTE**	**Amount**
Prior Year	**FY 2015 Revised Enacted**	**208**	**166**	**247,056**
Base	**FY 2016 Enacted**	**177**	**168**	**282,473**
Current Services	From OBIM to O&S / Protect Infrastructure	(177)	(168)	(217,473)
	From OBIM to PC&I / Protect Infrastructure	-	-	(65,000)
Budget Year	**FY 2017 Request**	**-**	**-**	**-**
	Total Change from FY 2016 to FY 2017	**(177)**	**(168)**	**(282,473)**

V. Exhibits and Other Supporting Material
B. FY 2016 to FY 2017 Budget Change

Department of Homeland Security
National Protection & Programs Directorate
Office of Biometric Identity Management

FY 2016 to FY 2017 Budget Change
(Dollars in Thousands)

	Pos.	FTE	Amount
FY 2015 Revised Enacted	**208**	**166**	**$247,056**
FY 2016 Enacted	**177**	**168**	**$282,473**
Adjustments-to-Base			
Transfers to and from other accounts:			
From OBIM to O&S / Protect Infrastructure	(177)	(168)	($217,473)
From OBIM to PC&I / Protect Infrastructure	-	-	($65,000)
Total Transfers	**(177)**	**(168)**	**($282,473)**
Total Adjustments-to-Base	**(177)**	**(168)**	**($282,473)**
FY 2017 Current Services	**(177)**	**(168)**	**($282,473)**
FY 2017 Request	**-**	**-**	
FY 2016 to FY 2017 Change	**(177)**	**(168)**	**($282,473)**

D. Summary of Reimbursable Resources

N/A

E. Summary of Requirements By Object Class

Department of Homeland Security
National Protection & Programs Directorate
Office of Biometric Identity Management
Summary of Requirements by Object Class
(Dollars in Thousands)

Object Classes	2015 Revised Enacted	2016 Enacted	2017 Request	FY 2016 to FY 2017 Change
Personnel and Other Compensation Benefits				
11.1 Full-time Permanent	$18,891	$17,633	-	($17,633)
11.3 Other than Full-Time Permanent	$378	$353	-	($353)
11.5 Other Personnel Compensation	$630	$588	-	($588)
12.1 Civilian Personnel Benefits	$5,289	$4,937	-	($4,937)
Total, Personnel and Other Compensation Benefits	**$25,188**	**$23,511**	**-**	**($23,511)**
Other Object Classes				
21.0 Travel and Transportation of Persons	$156	$140	-	($140)
22.0 Transportation of Things	-	$79	-	($79)
23.1 Rental Payments to GSA	$3,681	$3,304	-	($3,304)
23.3 Communications, Utilities, and Misc. Charges	$1,140	$9,717	-	($9,717)
25.1 Advisory and Assistance Services	-	$826	-	($826)
25.2 Other Services from Non-Federal Sources	$24,407	$23,528	-	($23,528)
25.3 Other Goods and Services from Federal Sources	$14,854	$14,668	-	($14,668)
25.7 Operation and Maintenance of Equipment	$117,798	$117,520	-	($117,520)
25.8 Subsistence & Support of Persons	-	-	-	-
26.0 Supplies and Materials	$130	$116	-	($116)
31.0 Equipment	$59,702	$89,064	-	($89,064)
32.0 Land and Structures	-	-	-	-
Total, Other Object Classes	**$221,868**	**$258,962**	**-**	**($258,962)**
Total, Direct Obligations	**$247,056**	**$282,473**	**-**	**($282,473)**
Adjustments				
Net Offsetting Collections	-	-	-	-
Unobligated Balance, start of year	-	-	-	-
Unobligated Balance, end of year	-	-	-	-
Recoveries of Prior Year Obligations	-	-	-	-
Offsetting Collections	-	-	-	-
Total Requirements	**$247,056**	**$282,473**	**-**	**($282,473)**

F. Permanent Positions by Grade

Department of Homeland Security
National Protection & Programs Directorate
Office of Biometric Identity Management

Permanent Positions by Grade

Grades and Salary Range	FY 2015 Revised Enacted Pos.	FY 2016 Enacted Pos.	FY 2017 Request Pos.	FY 2016 to FY 2017 Change Total
Total, SES	3	1	-	(1)
GS-15	34	26	-	(26)
GS-14	77	68	-	(68)
GS-13	31	26	-	(26)
GS-12	38	34	-	(34)
GS-11	13	12	-	(12)
GS-9	9	8	-	(8)
GS-7	3	2	-	(2)
Total Permanent Positions	**208**	**177**	**-**	**(177)**
Unfilled Positions EOY	-	-	-	-
Total Permanent Employment EOY	208	177	-	(177)
Headquarters	208	177	-	(177)
Total, Office of Biometric Identity Management:	**208**	**177**	**-**	**(177)**
Full Time Equivalents	**166**	**168**	**-**	**(168)**
Average ES Salary	168,529	170,215	-	(170,215)
Average GS Salary	107,722	98,453	-	(98,453)
Average Grade	14	13	-	(13)

H. PPA Budget Justifications

Department of Homeland Security
National Protection & Programs Directorate
Office of Biometric Identity Management
Summary of Requirements by Object Class
(Dollars in Thousands)

Object Classes	FY 2015 Revised Enacted	FY 2016 Enacted	FY 2017 Request	FY 2016 to FY 2017 Change
Personnel and Compensation Benefits	-	-	-	-
11.1 Full-time Permanent	$18,891	$17,633	-	($17,633)
11.3 Other than Full-Time Permanent	$378	$353	-	($353)
11.5 Other Personnel Compensation	$630	$588	-	($588)
12.1 Civilian Personnel Benefits	$5,289	$4,937	-	($4,937)
Total, Personnel and Compensation Benefits	**$25,188**	**$23,511**	-	**($23,511)**
Other Object Classes	-	-	-	-
21.0 Travel and Transportation of Persons	$156	$140	-	($140)
22.0 Transportation of Things	-	$79	-	($79)
23.1 Rental Payments to GSA	$3,681	$3,304	-	($3,304)
23.3 Communications, Utilities, and Misc. Charges	$1,140	$9,717	-	($9,717)
25.1 Advisory and Assistance Services	-	$826	-	($826)
25.2 Other Services from Non-Federal Sources	$24,407	$23,528	-	($23,528)
25.3 Other Goods and Services from Federal Sources	$14,854	$14,668	-	($14,668)
25.7 Operation and Maintenance of Equipment	$117,798	$117,520	-	($117,520)
26.0 Supplies and Materials	$130	$116	-	($116)
31.0 Equipment	$59,702	$89,064	-	($89,064)
Total, Other Object Classes	**$221,868**	**$258,962**	-	**($258,962)**
Adjustments	-	-	-	-
Unobligated Balance, start of year	-	-	-	-
Unobligated Balance, end of year	-	-	-	-
Recoveries of Prior Year Obligations	-	-	-	-
Total Requirements	**$247,056**	**$282,473**	-	**($282,473)**
Full Time Equivalents	**166**	**168**	-	**(168)**

I. Changes In Full Time Employment

	FY 2015	FY 2016	FY 2017
BASE: Year End Actual from Prior Year	**207**	**166**	**-**
Increases			
Adjustment to Actual	-	42	-
Balanced Workforce	1	-	-
Subtotal, Increases	**1**	**42**	**-**
Decreases			
HQ Efficiency VERA & VSIP	-	(1)	-
Lapse & Attrition Rate	-	(9)	-
Business and Program Support Efficiencies	-	(11)	-
Transfer of OBIM facility security officer to M&A	-	(1)	-
Transfer Out to O&S / Protect Infrastructure	-	-	-
Adjustment to Actual	(42)	-	-
Transfer OBIM management & business support functions to M&A	-	(18)	-
Subtotal, Decreases	**(42)**	**(40)**	**-)**
Year End Actuals/Estimated FTEs:	**166**	**168**	**-**
Net Change from prior year base to Budget Year Estimate:	**(41)**	**2**	**-**

J. FY 2017 Schedule of Working Capital Fund by Program/Project Activity

Department of Homeland Security
National Protection & Programs Directorate
Office of Biometric Identity Management
(Dollars in Thousands)

Progam/Project/Activity	FY 2015 Revised Enacted Amount	FY 2016 Enacted Amount	FY 2017 Request Amount	Increase/Decrease for FY 2017 Amount
Office of Biometric Identity Management	$13,792	$12,105	-	($12,105)
Total Working Capital Fund	**$13,792**	**$12,105**	**-**	**($12,105)**

Department of Homeland Security

National Protection & Programs Directorate
Federal Protective Service

Fiscal Year 2017

Congressional Justification

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II. Summary of FY 2017 Budget Estimates by Program/Project/Activity (PPA)

Department of Homeland Security
National Protection & Programs Directorate
Federal Protective Service

Summary of FY 2017 Budget Estimates by Program Project Activity

FY 2017 Request
(Dollars in Thousands)

Program Project Activity	FY 2015			FY 2016			FY 2017			Increase(+) or Decrease(-) for FY 2017								
	Revised Enacted			Enacted			Request			Total Changes			Program Changes			Adjustments-to-Base		
	POS	FTE	Amount	POS	FTE	Amount	POS	FTE	Amount	POS	FTE	Amount	POS	FTE	Amount	POS	FTE	Amount
Basic Security	1,466	1,290	$275,763	1,481	1,386	$275,763	-	-	-	(1,481)	(1,386)	($275,763)	-	-	-	(1,481)	(1,386)	($275,763)
Building Specific Security	-	-	$600,615	-	-	$665,121	-	-	-	-	-	($665,121)	-	-	-	-	-	($665,121)
Reimbursable Agency Specific Security	-	-	$466,228	-	-	$502,565	-	-	-	-	-	($502,565)	-	-	-	-	-	($502,565)
Total, Federal Protective Service	**1,466**	**1,290**	**$1,342,606**	**1,481**	**1,386**	**$1,443,449**	**-**	**-**	**-**	**(1,481)**	**(1,386)**	**($1,443,449)**	**-**	**-**	**-**	**(1,481)**	**(1,386)**	**($1,443,449)**
Subtotal, Enacted Appropriations & Budget Estimates	**1,466**	**1,290**	**$1,342,606**	**1,481**	**1,386**	**$1,443,449**	**-**	**-**	**-**	**(1,481)**	**(1,386)**	**($1,443,449)**	**-**	**-**	**-**	**(1,481)**	**(1,386)**	**($1,443,449)**
Less: Adjustments for Other Funding Sources	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Net, Enacted Appropriations and Budget Estimates:	**1,466**	**1,290**	**$1,342,606**	**1,481**	**1,386**	**$1,443,449**	**-**	**-**	**-**	**(1,481)**	**(1,386)**	**($1,443,449)**	**-**	**-**	**-**	**(1,481)**	**(1,386)**	**($1,443,449)**

[1]*Reflects reprogrammings/transfers, as applicable, and actual FTE. This footnote applies to all FY 2015 Revised Enacted tables throughout the CJ Submission.*

III. Current Services Program Description by PPA

Department of Homeland Security
National Protection & Programs Directorate
Basic Security
Program Performance Justification
(Dollars in Thousands)

PPA: Basic Security

	Perm. Pos.	FTE	Amount
FY 2015 Revised Enacted	**1,466**	**1,290**	**$275,763**
FY 2016 Enacted	**1,481**	**1,386**	**$275,763**
2017 Adjustments-to-Base	(1,481)	(1,386)	($275,763)
FY 2017 Current Services	**-**	**-**	**-**
FY 2017 Program Change	-	-	-
FY 2017 Total Request	**-**	**-**	**-**
Total Change 2016 to 2017	(1,481)	(1,386)	($275,763)

CURRENT SERVICES PROGRAM DESCRIPTION:

As a result of the Common Appropriation Structure, FY 2016 base funds will be transferred out from the Basic Security PPA to the Operations and Support PPA.

Basic Security		**Positions**	**FTE**	**Amount**
Prior Year	**FY 2015 Revised Enacted**	**1,466**	**1,290**	**275,763**
Base	**FY 2016 Enacted**	**1,481**	**1,386**	**275,763**
	Transfer Out to FPS / O&S PPA	(1,481)	(1,386)	(275,763)
Budget Year	**FY 2017 Request**	**-**	**-**	**-**
	Total Change from FY 2016 to FY 2017	**(1,481)**	**(1,386)**	**(275,763)**

Department of Homeland Security
National Protection & Programs Directorate
Building Specific Security
Program Performance Justification
(Dollars in Thousands)

PPA: Building Specific Security

	Perm. Pos.	FTE	Amount
FY 2015 Revised Enacted	-	-	**$600,615**
FY 2016 Enacted	-	-	**$665,121**
2017 Adjustments-to-Base	-	-	($665,121)
FY 2017 Current Services	-	-	-
FY 2017 Program Change	-	-	-
FY 2017 Total Request	-	-	-
Total Change 2016 to 2017	-	-	($665,121)

CURRENT SERVICES PROGRAM DESCRIPTION:

As a result of the Common Appropriation Structure, FY 2016 base funds will be transferred out from the Building Specific Security PPA to the Operations and Support PPA.

Building Specific Security		**Positions**	**FTE**	**Amount**
Prior Year	**FY 2015 Revised Enacted**	-	-	**600,615**
Base	**FY 2016 Enacted**	-	-	**665,121**
	Transfer Out to FPS O&S PPA	-	-	(665,121)
Budget Year	**FY 2017 Request**	-	-	-
	Total Change from FY 2016 to FY 2017	-	-	**(665,121)**

Department of Homeland Security
National Protection & Programs Directorate
Reimbursable Agency Specific Security
Program Performance Justification
(Dollars in Thousands)

PPA: Reimbursable Agency Specific Security

	Perm. Pos.	FTE	Amount
FY 2015 Revised Enacted	-	-	**$466,228**
FY 2016 Enacted	-	-	**$502,565**
2017 Adjustments-to-Base	-	-	($502,565)
FY 2017 Current Services	-	-	-
FY 2017 Program Change	-	-	-
FY 2017 Total Request	-	-	-
Total Change 2016 to 2017	-	-	($502,565)

CURRENT SERVICES PROGRAM DESCRIPTION:

As a result of the Common Appropriation Structure, FY 2016 base funds will be transferred out from the Reimbursable Agency Specific Security PPA to the Operations and Support PPA.

Reimbursable Agency Specific Security		**Positions**	**FTE**	**Amount**
Prior Year	***FY 2015 Revised Enacted***	-	-	**466,228**
Base	***FY 2016 Enacted***	-	-	**502,565**
	Transfer Out to FPS O&S PPA	-	-	(502,565)
Budget Year	***FY 2017 Request***	-	-	-
	Total Change from FY 2016 to FY 2017	-	-	**(502,565)**

V. Exhibits and Other Supporting Material
B. FY 2016 to FY 2017 Budget Change

Department of Homeland Security
National Protection & Programs Directorate
Federal Protective Service

FY 2016 to FY 2017 Budget Change
(Dollars in Thousands)

	Pos.	FTE	Amount
FY 2015 Revised Enacted	**1,466**	**1,290**	**$1,342,606**
FY 2016 Enacted	**1,481**	**1,386**	**$1,443,449**
Adjustments-to-Base			
Transfers to and from other accounts:			
Transfer Out to FPS O&S PPA	(1,481)	(1,386)	($1,443,449)
Total Transfers	**(1,481)**	**(1,386)**	**($1,443,449)**
Total Adjustments-to-Base	**(1,481)**	**(1,386)**	**($1,443,449)**
FY 2017 Current Services	**-**	**-**	**-**
FY 2017 Request	**-**	**(1,386)**	**($1,443,449)**
FY 2016 to FY 2017 Change	**(1,481)**	**(1,386)**	**($1,443,449)**

D. Summary of Reimbursable Resources

N/A

E. Summary of Requirements By Object Class

Department of Homeland Security
National Protection & Programs Directorate
Federal Protective Service

Summary of Requirements by Object Class
(Dollars in Thousands)

Object Classes	2015 Revised Enacted	2016 Enacted	2017 Request	FY 2016 to FY 2017 Change
Personnel and Other Compensation Benefits				
11.1 Full-time Permanent	$115,731	$121,528	-	($121,528)
11.3 Other than Full-Time Permanent	$1,472	$1,546	-	($1,546)
11.5 Other Personnel Compensation	$21,881	$22,977	-	($22,977)
12.1 Civilian Personnel Benefits	$42,217	$44,332	-	($44,332)
Total, Personnel and Other Compensation Benefits	**$181,301**	**$190,383**	**-**	**($190,383)**
Other Object Classes				
21.0 Travel and Transportation of Persons	$12,561	$12,687	-	($12,687)
22.0 Transportation of Things	$12,568	$12,694	-	($12,694)
23.1 Rental Payments to GSA	$31,430	$29,344	-	($29,344)
23.2 Rental Payments to Others	$59	$60	-	($60)
23.3 Communications, Utilities, and Misc. Charges	$9,317	$9,410	-	($9,410)
25.1 Advisory and Assistance Services	$27,457	$27,732	-	($27,732)
25.2 Other Services from Non-Federal Sources	$1,039,271	$1,147,891	-	($1,147,891)
25.3 Other Goods and Services from Federal Sources	$8,478	$8,563	-	($8,563)
25.4 Operation and Maintenance of Facilities	$693	$700	-	($700)
25.7 Operation and Maintenance of Equipment	$54,071	$54,774	-	($54,774)
25.8 Subsistence & Support of Persons	$4,281	$4,324	-	($4,324)
26.0 Supplies and Materials	$4,691	$4,738	-	($4,738)
31.0 Equipment	$6,928	$6,997	-	($6,997)
Total, Other Object Classes	**$1,211,805**	**$1,319,914**	**-**	**($1,319,914)**
Total, Direct Obligations	**$1,393,106**	**$1,510,297**	**-**	**($1,510,297)**
Adjustments				
Net Offsetting Collections	-	-	-	-
Unobligated Balance, start of year	($206,059)	($200,096)	-	$200,096
Unobligated Balance, end of year	$200,096	$158,248	-	($158,248)
Recoveries of Prior Year Obligations	($44,537)	($25,000)	-	$25,000
Offsetting Collections	-	-	-	-
Total Requirements	**$1,342,606**	**$1,443,449**	**-**	**($1,443,449)**

F. Permanent Positions by Grade

Department of Homeland Security
National Protection & Programs Directorate
Federal Protective Service

Permanent Positions by Grade

Grades and Salary Range	FY 2015 Revised Enacted Pos.	FY 2016 Enacted Pos.	FY 2017 Request Pos.	FY 2016 to FY 2017 Change Total
Total, SES	8	8	-	(8)
GS-15	40	40	-	(40)
GS-14	145	145	-	(145)
GS-13	338	348	-	(348)
GS-12	500	530	-	(530)
GS-11	72	90	-	(90)
GS-10	2	2	-	(2)
GS-9	140	140	-	(140)
GS-8	17	16	-	(16)
GS-7	150	121	-	(121)
GS-6	1	1	-	(1)
GS-5	53	40	-	(40)
Total Permanent Positions	**1,466**	**1,481**	**-**	**(1,481)**
Unfilled Positions EOY	95	95	-	(95)
Total Permanent Employment EOY	1,371	1,386	-	(1,386)
Headquarters	258	273	-	(273)
U.S. Field	1,208	1,208	-	(1,208)
Total, Federal Protective Service:	**1,466**	**1,481**	**-**	**(1,481)**
Full Time Equivalents	**1,290**	**1,386**	**-**	**(1,386)**
Average ES Salary	168,597	168,614	-	(168,614)
Average GS Salary	89,775	89,784	-	(89,784)
Average Grade	12	12	-	(12)

H. PPA Budget Justifications

Department of Homeland Security
National Protection & Programs Directorate
Federal Protective Service
Basic Security

Summary of Requirements by Object Class
(Dollars in Thousands)

Object Classes	FY 2015 Revised Enacted	FY 2016 Enacted	FY 2017 Request	FY 2016 to FY 2017 Change
Personnel and Compensation Benefits	**-**	**-**	**-**	**-**
11.1 Full-time Permanent	$115,731	$121,528	-	($121,528)
11.3 Other than Full-Time Permanent	$1,472	$1,546	-	($1,546)
11.5 Other Personnel Compensation	$21,881	$22,977	-	($22,977)
12.1 Civilian Personnel Benefits	$42,217	$44,332	-	($44,332)
Total, Personnel and Compensation Benefits	**$181,301**	**$190,383**	**-**	**($190,383)**
Other Object Classes	**-**	**-**	**-**	**-**
21.0 Travel and Transportation of Persons	$12,561	$12,687	-	($12,687)
22.0 Transportation of Things	$12,493	$12,618	-	($12,618)
23.1 Rental Payments to GSA	$31,430	$29,344	-	($29,344)
23.2 Rental Payments to Others	$59	$60	-	($60)
23.3 Communications, Utilities, and Misc. Charges	$4,659	$4,706	-	($4,706)
25.1 Advisory and Assistance Services	$17,689	$15,689	-	($15,689)
25.2 Other Services from Non-Federal Sources	$30,120	$23,157	-	($23,157)
25.3 Other Goods and Services from Federal Sources	$4,725	$4,725	-	($4,725)
25.4 Operation and Maintenance of Facilities	$675	$675	-	($675)
25.7 Operation and Maintenance of Equipment	$26,110	$25,813	-	($25,813)
25.8 Subsistence & Support of Persons	$52	$52	-	($52)
26.0 Supplies and Materials	$4,648	$4,648	-	($4,648)
31.0 Equipment	$3,690	$2,759	-	($2,759)
Total, Other Object Classes	**$148,911**	**$136,933**	**-**	**($136,933)**
Adjustments	**-**	**-**	**-**	**-**
Unobligated Balance, start of year	($72,367)	($70,367)	-	$70,367
Unobligated Balance, end of year	$39,710	$29,710	-	($29,710)
Recoveries of Prior Year Obligations	($21,792)	($10,896)	-	$10,896
Total, Adjustments	**($54,449)**	**($51,553)**	**-**	**$51,553**
Total Requirements	**$275,763**	**$275,763**	**-**	**($275,763)**
Full Time Equivalents	**1,290**	**1,386**	**-**	**(1,386)**

Department of Homeland Security
National Protection & Programs Directorate
Federal Protective Service
Building Specific Security

Summary of Requirements by Object Class

(Dollars in Thousands)

Object Classes	FY 2015 Revised Enacted	FY 2016 Enacted	FY 2017 Request	FY 2016 to FY 2017 Change
Personnel and Compensation Benefits	-	-	-	-
Other Object Classes	-	-	-	-
22.0 Transportation of Things	$62	$62	-	($62)
23.3 Communications, Utilities, and Misc. Charges	$4,493	$4,538	-	($4,538)
25.1 Advisory and Assistance Services	$3,332	$5,607	-	($5,607)
25.2 Other Services from Non-Federal Sources	$573,476	$637,600	-	($637,600)
25.4 Operation and Maintenance of Facilities	$18	$25	-	($25)
25.7 Operation and Maintenance of Equipment	$23,736	$24,736	-	($24,736)
25.8 Subsistence & Support of Persons	$4,108	$4,151	-	($4,151)
26.0 Supplies and Materials	$31	$78	-	($78)
31.0 Equipment	$1,923	$2,923	-	($2,923)
Total, Other Object Classes	**$611,179**	**$679,720**	**-**	**($679,720)**
Adjustments	-	-	-	-
Unobligated Balance, start of year	($51,615)	($49,615)	-	$49,615
Unobligated Balance, end of year	$48,981	$38,981	-	($38,981)
Recoveries of Prior Year Obligations	($7,930)	($3,965)	-	$3,965
Total, Adjustments	**($10,564)**	**($14,599)**	**-**	**$14,599**
Total Requirements	**$600,615**	**$665,121**	**-**	**($665,121)**
Full Time Equivalents	-	-	-	-

Department of Homeland Security
National Protection & Programs Directorate
Federal Protective Service
Reimbursable Agency Specific Security

Summary of Requirements by Object Class

(Dollars in Thousands)

Object Classes	FY 2015 Revised Enacted	FY 2016 Enacted	FY 2017 Request	FY 2016 to FY 2017 Change
Personnel and Compensation Benefits	-	-	-	-
Other Object Classes	-	-	-	-
22.0 Transportation of Things	$13	$14	-	($14)
23.3 Communications, Utilities, and Misc. Charges	$165	$166	-	($166)
25.1 Advisory and Assistance Services	$6,436	$6,436	-	($6,436)
25.2 Other Services from Non-Federal Sources	$435,675	$487,134	-	($487,134)
25.3 Other Goods and Services from Federal Sources	$3,753	$3,838	-	($3,838)
25.7 Operation and Maintenance of Equipment	$4,225	$4,225	-	($4,225)
25.8 Subsistence & Support of Persons	$121	$121	-	($121)
26.0 Supplies and Materials	$12	$12	-	($12)
31.0 Equipment	$1,315	$1,315	-	($1,315)
Total, Other Object Classes	**$451,715**	**$503,261**	-	**($503,261)**
Adjustments	-	-	-	-
Unobligated Balance, start of year	($82,077)	($80,114)	-	$80,114
Unobligated Balance, end of year	$111,405	$89,557	-	($89,557)
Recoveries of Prior Year Obligations	($14,815)	($10,139)	-	$10,139
Total, Adjustments	**$14,513**	**($696)**	-	**$696**
Total Requirements	**$466,228**	**$502,565**	-	**($502,565)**
Full Time Equivalents	-	-	-	-

I. Changes In Full Time Employment

	FY 2015	FY 2016	FY 2017
BASE: Year End Actual from Prior Year	**1,371**	**1,290**	**-**
Increases			
Additional Fee Funded Staff	-	81	-
Balance Workforce Initiative	-	15	-
Subtotal, Increases	**-**	**96**	**-**
Decreases			
19. Adjustment for Actual / Enacted FTE	(17)	-	-
Transfer Out to O&S Fee Account / Protect Infrastructure Fee Account	-	-	-
Subtotal, Decreases	**(17)**	**-**	**-**
Year End Actuals/Estimated FTEs:	**1,354**	**1,386**	**-**
Net Change from prior year base to Budget Year Estimate:	**(17)**	**96**	**-**

J. FY 2017 Schedule of Working Capital Fund by Program/Project Activity

Department of Homeland Security
National Protection & Programs Directorate
Federal Protective Service
(Dollars in Thousands)

Program/Project/Activity	FY 2015 Revised Enacted Amount	FY 2016 Enacted Amount	FY 2017 Request Amount	Increase/Decrease for FY 2017 Amount
Basic Security	$11,279	$9,001	-	($9,001)
Total Working Capital Fund	**$11,279**	**$9,001**	**-**	**($9,001)**

Department of Homeland Security

National Protection and Programs Directorate

Fiscal Year 2017

Strategic Context
Congressional Submission

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A. Component Overview

The National Protection and Programs Directorate (NPPD) is comprised of the following mission-oriented programs that support achievement of the DHS strategic missions, goals, and objectives.

Infrastructure Analysis: NPPD Infrastructure Analysis includes efforts to ensure the security and resilience of critical infrastructure by assisting security partners with identifying and mitigating vulnerabilities assessing the impact of risk management efforts. NPPD Infrastructure Analysis ensures decision-makers have a full understanding of potential impacts from all hazards through comprehensive consequence analysis during both steady-state and crisis action. It funds activities to provide a situational awareness capability that includes integrated, actionable information about emerging trends, imminent threats, and the status of incidents that may impact critical infrastructure.

Infrastructure Capacity Building: NPPD Infrastructure Capacity Building increases the preparedness of facilities, systems, and surrounding communities to cyber, physical, and human risks through partnership efforts. It provides analysis, expertise, and other technical assistance to critical infrastructure owners and operators and facilitate access to and exchange of information and intelligence necessary to strengthen the security and resilience of critical infrastructure, including a nationwide framework to guide infrastructure security and resilience efforts. It enables Federal departments and agencies to address cybersecurity challenges, partners with the stakeholder communities to mitigate vulnerabilities and threats to information technology assets, and facilitates collaboration and partnerships on cyber issues with public and private sector partners. It provides training, coordination, tools, and guidance to help its Federal, SLTT, and industry partners develop their emergency communications capabilities.

Protect Infrastructure: NPPD Protect Infrastructure manages risks to infrastructure directly. This includes activities to support integrated intrusion detection, analytics, information sharing, intrusion prevention, diagnostics, and mitigation capabilities to protect Federal networks. This also includes support for capabilities to maintain essential government functions and operations, as well as support response and recovery efforts during a disaster. In addition, it funds activities to secure high-risk chemical facilities and prevent the use of certain chemicals in a terrorist act. Finally, these activities include those to protect Federal facilities and those who occupy them by conducting law enforcement and protective security services, and leveraging access to the intelligence and information resources of our network of Federal, State, local, tribal, territorial, and private sector partners.

Management & Administration: NPPD M&A includes Directorate leadership and management, coordination of Directorate activities with DHS Headquarters and Components, and program oversight and mission support services. NPPD M&A provides funding to lead and coordinate Directorate activities as part of protecting the Nation's critical infrastructure, reducing physical and cyber risks to infrastructure. This includes business support services necessary to ensure that programs operate effectively by maintaining necessary oversight responsibility to direct program policy and strategy, prioritize and determine resource allocation across programs, and oversee effective program execution.

FY 2017 Budget Request

The table below shows NPPD's FY 2017 Budget request by its mission-oriented programs.

Program	FY 2017 Request	
	FTE	Dollars (in thousands)
Infrastructure Analysis	545	283,709
Infrastructure Capacity Building	555	245,557
Protect Infrastructure	2,035	2,425,538
Management and Administration	457	90,042
Total Budget Request	3,592	3,044,846
Less Federal Protective Service Fee	1,507	1,451,078
Total Net Budget Request	2,085	1,593,768

B. Component Contributions to Achieving Departmental Missions

The table below shows the alignment of the NPPD programs to the DHS Missions and Mature and Strengthen Homeland Security.

Programs	DHS Missions					Mature and Strengthen Homeland Security
	Prevent Terrorism and Enhance Security	Secure and Manage Our Borders	Enforce and Administer Our Immigration Laws	Safeguard and Secure Cyberspace	Strengthen National Preparedness and Resilience	
Infrastructure Analysis				98%	2%	
Infrastructure Capacity Building				86%	14%	

Programs	DHS Missions					Mature and Strengthen Homeland Security
	Prevent Terrorism and Enhance Security	Secure and Manage Our Borders	Enforce and Administer Our Immigration Laws	Safeguard and Secure Cyberspace	Strengthen National Preparedness and Resilience	
Protect Infrastructure	54%			38.5%	7.5%	
Management and Administration						100%

Mission 1: Prevent Terrorism and Enhance Security

Resources Requested

NPPD resources supporting *Prevent Terrorism and Enhance Security* are provided in the table below.

$ in thousands

Program	2015 Revised Enacted		2016 Enacted		2017 Request	
	$	FTE*	$	FTE	$	FTE
Infrastructure Capacity Building	11,826	17	14,206	19		
Protect Infrastructure	1,423,229	1,517	1,520,407	1,622	1,529,263	1,802
Total	1,435,055	1,534	1,534,613	1,641	1,529,263	1,802

*Reflects reprogrammings/transfers, as applicable, and actual FTE. This footnote applies to all FY 2015 Revised Enacted tables throughout the CJ submission.

Performance Measures

For *Prevent Terrorism and Enhance Security*, two types of performance measures are presented. Strategic Measures represent NPPD's measures that gauge achievement for this mission area, and are considered to be our Government Performance and Results Act Modernization Act (GPRAMA) performance measures. Additional Management Measures are displayed, as appropriate, to provide a more thorough context of expected performance results.

Strategic Measures

Measure: Percent of performance standards implemented by the highest risk chemical facilities and verified by DHS
Description: This measure reports the percent of applicable risk based performance standards (RBPS) that are approved and implemented within site security plans (SSPs) or alternative security programs (ASPs) for Tier 1 and Tier 2 facilities that are compliant with the Chemical Facility Anti-terrorism Standards (CFATS) regulation. Following submission of a proposed

SSP/ASP by a covered facility, the CFATS regulatory authority will conduct an "authorization inspection" of the covered facility to verify that the SSP/ASP is compliant with the CFATS regulation. For this measure, SSPs/ASPs determined to meet the RBPS requirements with current and planned measures will be approved. Upon approval of its SSP/ASP, the covered facility is required to fully implement the existing measures that are described in the SSP/ASP.						
Fiscal Year:	**FY 2012**	**FY 2013**	**FY 2014**	**FY 2015**	**FY 2016**	**FY 2017**
Target:	N/A	57%	97%	95%	95%	95%
Result:	N/A	46%	78%	93%	N/A	N/A

Measure: Percent of tenants satisfied with the level of security provided at federal facilities						
Description: This measure assesses the effectiveness of security services provided by the Federal Protective Service (FPS) to the Government Services Agency (GSA) tenants through the use of a formal customer satisfaction survey. FPS uses the feedback from this survey to identify opportunities for improvement in the security services provided to its customers.						
Fiscal Year:	**FY 2012**	**FY 2013**	**FY 2014**	**FY 2015**	**FY 2016**	**FY 2017**
Target:	83%	84%	85%	86%	87%	88%
Result:	78%	82%	76%	71%*	N/A	N/A

* To increase customer satisfaction with FPS service delivery, FPS will continue its Customer Portfolio Engagement activities sponsored at the Executive level for standardized implementation across every FPS region to address the downward trend.

Management Measures

Measure: Percent of contract security force evaluations conducted at high-risk facilities resulting in no countermeasure-related deficiencies						
Description: This performance measure provides the percentage of Facility Security Level IV facilities identified with no countermeasure-related deficiencies during contract security force evaluations conducted during each fiscal year quarter. Countermeasure-related deficiencies are the total of covert security testing (investigative operation used to identify deficiencies in security countermeasures, training, procedures, and technology) deficiencies and countermeasure (access control, alarms, barriers, communications, guard force, screening, and surveillance) deficiencies identified during post inspections. Level IV is defined as high risk based on the Interagency Security Committee Standards as having over 450 federal employees; high volume of public contact; more than 150,000 square feet of space; tenant agencies that may include high-risk law enforcement and intelligence agencies, courts, judicial offices, and highly sensitive government records.						
Fiscal Year:	**FY 2012**	**FY 2013**	**FY 2014**	**FY 2015**	**FY 2016**	**FY 2017**
Target:	90%	98%	98%	98%	98%	98%
Result:	98%	97%	97%	97%	N/A	N/A

Measure: Percent of performance standards implemented by high risk (Tier 3 and Tier 4) chemical facilities and verified by DHS						
Description: This measure reports the percent of applicable risk based performance standards (RBPS) that are approved and implemented within site security plans (SSPs) or alternative security programs (ASPs) for Tier 3 and Tier 4 facilities that are compliant with the Chemical Facility Anti-Terrorism Standards (CFATS) regulation. Following submission of a proposed SSP/ASP by a covered facility, the CFATS regulatory authority will conduct an "authorization inspection" of the covered facility to verify that the SSP/ASP is compliant with the CFATS regulation. For this measure, SSPs/ASPs determined to meet the RBPS requirements with current and planned measures will be approved. Upon approval of its SSP/ASP, the covered facility is required to fully implement the measures that are described in the SSP/ASP.						
Fiscal Year:	**FY 2012**	**FY 2013**	**FY 2014**	**FY 2015**	**FY 2016**	**FY 2017**
Target:	N/A	N/A	N/A	45%	75%	95%
Result:	N/A	N/A	N/A	73%	N/A	N/A

Measure: Percent of required post inspections completed of federal facilities						
Description: This measure will report the percent achievement by Federal Protective Service (FPS) in completing Protective Security Officer (PSO) post inspections. The number of post inspections conducted per year are set annually per directives guiding protective security force performance monitoring. FPS sets policy to ensure that countermeasures are effectively functioning as designed and that the contracted service is in compliance with contract requirements. FPS post inspections review compliance in the operation of the post including identification of the individual on duty, post desk book, cleanliness and orderly operation of the post, PSO knowledge of the post orders, and PSO performance of duties in accordance with the post orders (to include professionalism, proper certifications and licenses). When technical countermeasures are deployed at a post, the effectiveness of that countermeasure is also assessed.						
Fiscal Year:	**FY 2012**	**FY 2013**	**FY 2014**	**FY 2015**	**FY 2016**	**FY 2017**
Target:	N/A	100%	100%	100%	100%	100%
Result:	N/A	79%	74%	100%	N/A	N/A

Measure: Percent of respondents reporting that the counter improvised explosive device information provided by DHS is a valuable resource to support their responsibilities*
Description: This measure provides an indication of the value of information sharing via the Technical Resource for Incident Prevention (TRIPwire) to a diverse array of homeland security stakeholders (federal, state, local, territorial, tribal, and private sector partners) related to improvised explosive device (IED) threats and corresponding preparedness measures. TRIPwire users complete voluntary questionnaires on a quarterly basis rating their satisfaction with the utility of the information provided by TRIPwire. This ensures that TRIPwire's information sharing capabilities are keeping pace with what users seek and need to support their responsibilities.

Fiscal Year:	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017
Target:	N/A	N/A	N/A	75%	78%	78%
Result:	N/A	N/A	N/A	84%	N/A	N/A

*The Office of Bombing Prevention will be transferred from NPPD to the Chemical, Biological, Radiological, Nuclear, and Explosives Directorate in FY17. This measure will transfer to the CBRNE Directorate at the start of FY17.

Mission 2: Secure and Manage Our Borders

Resources Requested

NPPD resources supporting *Secure and Manage Our Borders* are provided in the table below.

$ in thousands

Program	FY 2015 Revised Enacted		FY 2016 Enacted		FY 2017 Request	
	$	FTE*	$	FTE	$	FTE
Protect Infrastructure	104,407	75	118,140	76	0	0
Total	104,407	75	118,140	76	0	0

*Reflects reprogrammings/transfers, as applicable, and actual FTE. This footnote applies to all FY 2015 Revised Enacted tables throughout the CJ submission.

Performance Measures

For *Secure and Manage Our Borders*, Management measures are displayed to provide a more thorough context of expected performance results.

Management Measures

Measure: Average biometric watch list search times for queries from ports of entry (in seconds)*						
Description: This measure reports the average response time of biometric watchlist queries processed through the Automated Biometric Identification System (IDENT) system in response to queries from ports of entry (POE) where fingerprints are captured. The target is less than 10 seconds to provide critical watchlist and identity screening information to inspectors in a timely manner to facilitate traveler processing.						
Fiscal Year:	**FY 2012**	**FY 2013**	**FY 2014**	**FY 2015**	**FY 2016**	**FY 2017**
Target:	<10	<10	<10	<10	<10	<10
Result:	10.11	6.92	6.54	6.32	N/A	N/A

*The Office of Biometric Identity Management will be transferred from NPPD to Customs and Border Protection (CBP) in FY17. This measure will transfer to the CBP at the start of FY17.

Measure: Percent of daily travelers referred to additional screening due to false fingerprint matches*						
Description: OBIM will measure the percent of travelers whose biometric information are queried through OBIM's biometric matching systems and are referred to secondary screening because of false matches. This will include travelers whose information was incorrectly matched to somebody on a watchlist ("watchlist false accepts") and travelers whose fingerprints were incorrectly matched to other peoples' fingerprints ("finger print 1-1 mismatches"). This information is collected daily by OBIM based on screenings conducted at ports of entry by Customs and Border Protection.						
Fiscal Year:	**FY 2012**	**FY 2013**	**FY 2014**	**FY 2015**	**FY 2016**	**FY 2017**
Target:	<=0.33%	<=0.32%	<=0.31%	<=0.30%	<=0.20%	<=0.19%
Result:	0.26%	0.12%	0.10%	0.10%	N/A	N/A

*The Office of Biometric Identity Management will be transferred from NPPD to Customs and Border Protection (CBP) in FY17. This measure will transfer to the CBP at the start of FY17.

Mission 3: Enforce and Administer Our Immigration Laws

Resources Requested

NPPD resources supporting *Enforce and Administer Our Immigration Laws* are provided in the table below.

$ in thousands

Program	FY 2015 Revised Enacted		FY 2016 Enacted		FY 2017 Request	
	$	FTE*	$	FTE	$	FTE
Protect Infrastructure	142,649	91	164,333	92	0	0
Total	142,649	91	164,333	92	0	0

*Reflects reprogrammings/transfers, as applicable, and actual FTE. This footnote applies to all FY 2015 Revised Enacted tables throughout the CJ submission.

Performance Measures

For *Enforce and Administer Our Immigration Laws*, Management Measures are displayed to provide a more thorough context of expected performance results.

Management Measures

Measure: Average biometric watch list search times for queries from U.S. consulates (in minutes)*
Description: This measure is used to determine the average amount of time required to complete an automated search processed through the Office of Biometric Identity Management (OBIM) Automated Biometric Identification System (IDENT) system in response to queries

from consular offices worldwide where fingerprints are captured as part of the BioVisa form process. The service level agreement with the Department of State is less than 15 minutes to provide critical identity and watch list information in a timely manner to not impede traveler processing. In light of past performance, the program has set a target of processing BioVisa searches within 5 minutes.						
Fiscal Year:	**FY 2012**	**FY 2013**	**FY 2014**	**FY 2015**	**FY 2016**	**FY 2017**
Target:	<5	<5	<5	<5	<5	<5
Result:	0.91	0.65	1.06	4.05	N/A	N/A

*The Office of Biometric Identity Management will be transferred from NPPD to Customs and Border Protection (CBP) in FY17. This measure will transfer to the CBP at the start of FY17.

Mission 4: Safeguard and Secure Cyberspace

Resources Requested

NPPD resources supporting *Safeguard and Secure Cyberspace* are provided in the table below.

$ in thousands

Program	2015 Revised Enacted		2016 Enacted		2017 Request	
	$	FTE*	$	FTE	$	FTE
Infrastructure Analysis	222,863	312	225,462	343	278,969	529
Infrastructure Capacity Building	175,673	333	202,041	349	210,830	483
Protect Infrastructure	566,316	119	564,615	140	747,351	201
Total	964,852	764	992,118	832	1,237,150	1,213

*Reflects reprogrammings/transfers, as applicable, and actual FTE. This footnote applies to all FY 2015 Revised Enacted tables throughout the CJ submission.

Performance Measures

For *Safeguard and Secure Cyberspace*, two types of performance measures are presented. Strategic Measures represent NPPD's measures that gauge achievement for this mission area, and are considered to be our Government Performance and Results Act Modernization Act (GPRAMA) performance measures. Additional Management Measures are displayed, as appropriate, to provide a more thorough context of expected performance results.

Strategic Measures

Measure: Percent of facilities that are likely to integrate vulnerability assessment or survey information into security and resilience enhancements
Description: This measure demonstrates the percent of facilities that are likely to enhance their security and resilience by integrating Infrastructure Protection vulnerability assessment or survey

information. Providing facilities with vulnerability information allows them to understand and reduce risk of the Nation's critical infrastructure.						
Fiscal Year:	**FY 2012**	**FY 2013**	**FY 2014**	**FY 2015**	**FY 2016**	**FY 2017**
Target:	N/A	N/A	65%	80%	80%	80%
Result:	N/A	N/A	89%	90%	N/A	N/A

Measure: Percent of high risk facilities that receive a facility security assessment in compliance with the Interagency Security Committee (ISC) schedule						
Description: This measure reports the percentage of high risk (Facility Security Level 3 & 4) facilities that receive a facility security assessment (FSA) in compliance with the ISC schedule. An FSA is a standardized comprehensive risk assessment that examines credible threats to Federal buildings and the vulnerabilities and consequences associated with those threats. Credible threats include crime activity or potential acts of terrorism. Each facility is assessed against a baseline level of protection and countermeasures are recommended to mitigate the gap identified to the baseline or other credible threats and vulnerabilities unique to a facility. Requirements for the frequency of Federal building security assessments are driven by the ISC standards with high risk facility assessments occurring on a three year cycle.						
Fiscal Year:	**FY 2012**	**FY 2013**	**FY 2014**	**FY 2015**	**FY 2016**	**FY 2017**
Target:	N/A	63%	100%	100%	100%	100%
Result:	N/A	34%	93%	100%	N/A	N/A

Measure: Percent of incidents detected by the U.S. Computer Emergency Readiness Team for which targeted agencies are notified within 30 minutes						
Description: The United States Computer Emergency Readiness Team (US-CERT) detects malicious cyber activity targeting Federal agencies. This measure assesses the percent of incidents directed at Federal agencies and detected by the US-CERT for which agencies are informed of this malicious activity within 30 minutes. This measure demonstrates the US-CERT's ability to share situational awareness of malicious activity with its Federal agency stakeholders through the EINSTEIN intrusion detection systems and other tools. The numerator for this measure is the number of notifications within 30 minutes and the denominator is the total of incidents detected.						
Fiscal Year:	**FY 2012**	**FY 2013**	**FY 2014**	**FY 2015**	**FY 2016**	**FY 2017**
Target:	N/A	86%	90%	92%	94%	96%
Result:	N/A	89%	87.2%	96.6%	N/A	N/A

Measure: Percent of known malicious cyber traffic prevented from causing harm at federal agencies
Description: This performance measure assesses the percent of known malicious activity that is mitigated on federal agencies' networks through an active defense capability known as

EINSTEIN 3 Accelerated (E3A). This is achieved by actively defending against malicious activity through detection and prevention, and applying countermeasures if needed for protection. This measure assesses the ability of the Department of Homeland Security to defend federal civilian agency networks from cyber threats.						
Fiscal Year:	**FY 2012**	**FY 2013**	**FY 2014**	**FY 2015**	**FY 2016**	**FY 2017**
Target:	N/A	N/A	80%	85%	100%	100%
Result:	N/A	N/A	100%	100%	N/A	N/A

Measure: Percent of organizations that have implemented at least one cybersecurity enhancement after receiving a cybersecurity vulnerability assessment or survey						
Description: This measure addresses the extent to which critical infrastructure owners and operators use the results of cybersecurity vulnerability and resiliency assessments to improve their cybersecurity posture. This measure demonstrates the percent of assessed asset owners and operators that are not only developing a better understanding of their cybersecurity posture, but also implementing at least one cybersecurity enhancement to improve that posture.						
Fiscal Year:	**FY 2012**	**FY 2013**	**FY 2014**	**FY 2015**	**FY 2016**	**FY 2017**
Target:	N/A	50%	55%	60%	100%	100%
Result:	N/A	100%	63%	100%	N/A	N/A

Measure: Percent of respondents indicating that operational cybersecurity information products provided by DHS are timely and actionable						
Description: This measure assesses whether the products that the DHS National Cybersecurity and Communications Integration Center (NCCIC) provides are timely and actionable for its customers. The NCCIC will follow up with cyber customers, to whom information products were provided, in order to determine the timeliness and effectiveness of those products. A customer survey will be used to acquire data on areas such as usefulness, timeliness, actionable nature, and relevance.						
Fiscal Year:	**FY 2012**	**FY 2013**	**FY 2014**	**FY 2015**	**FY 2016**	**FY 2017**
Target:	50%	60%	75%	82%	85%	90%
Result:	58%	74%	79%	81%	N/A	N/A

Measure: Percent of respondents reporting that DHS critical infrastructure information will inform their decision making on risk mitigation and resilience enhancements
Description: This measure will report the percent of critical infrastructure partners who participated in education, training, exercise, and information sharing activities developed or coordinated by the Office of Infrastructure Protection and indicated that the information and products received are useful for informing their risk management programs and influencing future decision-making regarding safety and/or security improvements and/or resilience enhancements at their facilities. Active outreach efforts and effective public-private partnerships

on critical infrastructure issues help to reduce risk and increase resilience across the country.						
Fiscal Year:	**FY 2012**	**FY 2013**	**FY 2014**	**FY 2015**	**FY 2016**	**FY 2017**
Target:	N/A	N/A	N/A	N/A	71%	74%
Result:	N/A	N/A	N/A	N/A	N/A	N/A

Measure: Percent of survey respondents that were satisfied or very satisfied with the timeliness and relevance of cyber and infrastructure analysis based products						
Description: The Office of Cyber and Infrastructure Analysis (OCIA) produces infrastructure analytic products for DHS customers to make meaningful risk investment and resource allocation decisions in both crisis and steady state environments in order to reduce the impacts of infrastructure disruptions. In order for our customers to apply the knowledge gained from our products they must have the right information in a timely manner to inform decisions. Survey respondents comment on their level of satisfaction with both timeliness and relevance (two separate questions) of OCIA's analytic products which, in turn, provides OCIA with feedback that will be used to improve future products. OCIA averages the two responses for one metric. This is relevant to OCIA achieving its mission since the purpose of OCIA's analytic products are to inform decision-makers. Their feedback matters to the core of OCIA's purpose and is important to help OCIA gauge its progress toward accomplishing its mission.						
Fiscal Year:	**FY 2012**	**FY 2013**	**FY 2014**	**FY 2015**	**FY 2016**	**FY 2017**
Target:	N/A	N/A	N/A	N/A	80%	82%
Result:	N/A	N/A	N/A	N/A	N/A	N/A

Measure: Percent of traffic monitored for cyber intrusions at civilian Federal Executive Branch agencies						
Description: This measure assesses DHS's scope of coverage for malicious activity across those non-DOD Chief Financial Officers (CFO) Act and Trusted Internet Connection Access Provider (TICAP) Federal Executive Branch civilian agency networks. Federal Executive branch network monitoring uses EINSTEIN 2 intrusion detection system sensors, which are deployed to Trusted Internet Connections locations at agencies or Internet Service Providers. These sensors capture network flow information and provide alerts when signatures, indicative of malicious activity, are triggered by inbound or outbound traffic. The federal government's situational awareness of malicious activity across its systems will increase as more networks are monitored and the methodology will require data normalization to account for the addition of large numbers of networks.						
Fiscal Year:	**FY 2012**	**FY 2013**	**FY 2014**	**FY 2015**	**FY 2016**	**FY 2017**
Target:	55%	70%	85%	89%	95%	95%
Result:	73.0%	82.4%	88.5%	94.3%	N/A	N/A

Management Measures

Measure: Percent of annual assessments completed for twenty-three cabinet level agencies and one-third of all non-cabinet level agencies						
Description: This measure assesses how many risk and vulnerability assessments (RVAs) DHS provides each year and compares that result to the total number of targeted Federal, civilian Executive Branch agencies for that year. Each year, DHS will target twenty-three cabinet level agencies and one-third of the remaining 102 Federal, civilian Executive Branch agencies. Therefore, each of the targeted cabinet level agencies will receive an annual RVA, and the other targeted agencies will receive triennial RVAs. DHS leverages cybersecurity assessment methodologies, commercial best practices and threat intelligence integration to conduct the RVAs that enables cybersecurity stakeholders to better develop decision making and risk management guidance.						
Fiscal Year:	**FY 2012**	**FY 2013**	**FY 2014**	**FY 2015**	**FY 2016**	**FY 2017**
Target:	N/A	N/A	N/A	N/A	50%	60%
Result:	N/A	N/A	N/A	N/A	N/A	N/A

Measure: Percent of federal agencies participating in the Continuous Diagnostics and Mitigation program						
Description: This performance measure assesses the extent to which Federal agencies are becoming participants in the Continuous Diagnostics and Mitigation (CDM) program, which prepares federal departments and agencies to receive advanced analytical oversight of their respective networks.						
Fiscal Year:	**FY 2012**	**FY 2013**	**FY 2014**	**FY 2015**	**FY 2016**	**FY 2017**
Target:	N/A	N/A	80%	90%	95%	98%
Result:	N/A	N/A	46%	54%*	N/A	N/A

*As of September 30, 2015, 67 of 124 Departments/Agencies (D/As) have signed Continuous Diagnostics and Mitigation (CDM) Memoranda of Agreement (MOA). 124 D/As represent the amount of Federal civilian agencies working with the CDM Program Office. While the target is not met when measured by counting the number of participating agencies, MOAs that have been signed account for approximately 98% of the total Federal civilian workforce when measured by the population of users.

Measure: Percent of malware submissions for which a report is generated within 15 minutes						
Description: This performance measure assesses how quickly the National Cybersecurity and Communications Integration Center analyzes and generates a report for malware submitted through automated processes to the United States Computer Emergency Readiness Team's (US-CERT) Advanced Malware Analysis Center (AMAC).						
Fiscal Year:	**FY 2012**	**FY 2013**	**FY 2014**	**FY 2015**	**FY 2016**	**FY 2017**
Target:	N/A	N/A	75%	80%	100%	100%
Result:	N/A	N/A	94%	100%	N/A	N/A

Measure: Percent of targeted stakeholders participating in National Cyber Exercise and Planning Program (NCEPP) cyber exercises per year						
Description: This measure is calculated by dividing the number of cybersecurity exercises per year by 65, which is the number required to exercise each priority stakeholder once every two years. The primary stakeholders, totaling 130, include: all CFO Act Federal Departments and Agencies (24), the 54 States and Territories; members of the 16 critical infrastructure sectors; and members of the International Watch and Warning Network, European Commission, plus two other bilateral countries.						
Fiscal Year:	**FY 2012**	**FY 2013**	**FY 2014**	**FY 2015**	**FY 2016**	**FY 2017**
Target:	N/A	N/A	N/A	N/A	70%	80%
Result:	N/A	N/A	N/A	N/A	N/A	N/A

Mission 5: Strengthen National Preparedness and Resilience

Resources Requested

NPPD resources supporting *Strengthen National Preparedness and Resilience* are provided in the table below.

$ in thousands

Program	FY 2015 Revised Enacted		FY 2016 Enacted		FY 2017 Request	
	$	FTE*	$	FTE	$	FTE
Infrastructure Analysis	4,592	15	4,752	15	4,740	16
Infrastructure Capacity Building	38,290	61	35,824	65	34,727	72
Protect Infrastructure	101,908	29	139,092	28	148,924	32
Total	144,790	105	179,668	108	188,391	120

*Reflects reprogrammings/transfers, as applicable, and actual FTE. This footnote applies to all FY 2015 Revised Enacted tables throughout the CJ submission.

Performance Measures

For *Strengthen National Preparedness and Resilience*, strategic performance measures are presented. Strategic Measures represent measures that gauge achievement for this mission area, and are considered to be our Government Performance and Results Act Modernization Act (GPRAMA) performance measures.

Strategic Measures

Measure: Percent of calls made by National Security/Emergency Preparedness users during

emergency situations that DHS ensured were connected						
Description: This measure gauges the Government Emergency Telecommunications Service (GETS) call completion rate. The GETS call completion rate is the percent of calls that a National Security/Emergency Preparedness (NS/EP) user completes via public telephone network, landline, or wireless, to communicate with the intended user/location/system/etc, under all-hazard scenarios. Hazard scenarios include terrorist attacks or natural disasters such as a hurricane or an earthquake.						
Fiscal Year:	**FY 2012**	**FY 2013**	**FY 2014**	**FY 2015**	**FY 2016**	**FY 2017**
Target:	90%	100%	100%	97%	97.5%	98%
Result:	99.4%	96.8%	99.3%	99.3%	N/A	N/A

Measure: Percent of States and Territories with operational communications capabilities at the highest levels relative to Threat and Hazard Identification and Risk Assessment (THIRA) preparedness targets						
Description: This measure uses the Threat and Hazard Identification and Risk Assessment (THIRA) and State Preparedness Report (SPR) process, conducted by FEMA on an annual basis, to identify the level of Operational Communications capabilities reported by the 56 States and Territories inclusive of applicable Urban Areas. The measure reflects the level of increase or decrease in those capabilities relative to targets established through the THIRA. The result is calculated by identifying the number of States and Territories scoring a “4” or “5” on a 5-point scale where1 indicates little-to-no capability and 5 indicates that they have all or nearly all of the Operational Communications capabilities required to meet their targets. That number forms the numerator, which is divided by 56 and multiplied by 100 to achieve the percentage.						
Fiscal Year:	**FY 2012**	**FY 2013**	**FY 2014**	**FY 2015**	**FY 2016**	**FY 2017**
Target:	N/A	N/A	N/A	N/A	55%	56%
Result:	N/A	N/A	N/A	N/A	N/A	N/A

Mature and Strengthen Homeland Security

Resources Requested

NPPD resources supporting *Mature and Strengthen Homeland Security* are provided in the table below.

$ in thousands

Program	FY 2015 Revised Enacted		FY 2016 Enacted		FY 2017 Request	
	$	FTE*	$	FTE	$	FTE
Management and Administration	85,439	371	90,182	429	90,042	457
Total	85,439	371	90,182	429	90,042	457

*Reflects reprogrammings/transfers, as applicable, and actual FTE. This footnote applies to all FY 2015 Revised Enacted tables throughout the CJ submission.

Performance Measures

NPPD contributes to this mission, but does not have performance measures in this area.

Department of Homeland Security

Federal Emergency Management Agency

Budget Overview

i. Summary of FY Budget Estimates by Appropriation without Emergency Funding

Department of Homeland Security
Federal Emergency Management Agency
Summary of FY 2017 Budget Estimates by Appropriation
Total Appropriations
(Dollars in Thousands)

Appropriation	FY 2015[1]			FY 2016			FY 2017			Increase(+) or Decrease(-) for FY 2017								
	Revised Enacted[2]			Enacted			Request			Total Changes			Program Changes			Adjustments-to-Base		
	POS	FTE	Amount	POS	FTE	Amount	POS	FTE	Amount	POS	FTE	Amount	POS	FTE	Amount	POS	FTE	Amount
Operations and Support	3,662	3,475	$793,399	3,746	3,351	$801,109	3,746	3,470	$927,524	-	119	$126,415	-	-	$25,447	-	119	$100,968
Procurement, Construction, and Improvements	-	-	$31,500	-	-	$43,300	-	-	$35,273	-	-	($8,027)	-	-	$4,050	-	-	($12,077)
Federal Assistance	808	725	$2,935,870	827	741	$3,160,345	854	789	$2,518,277	27	48	($642,068)	27	15	$102,330	-	33	($744,398)
Radiological Emergency Preparedness	170	158	$7,378	170	153	($118)	170	153	($265)	-	-	($147)	-	-	-	-	-	($147)
National Flood Insurance Fund	383	297	$5,181,053	383	371	$5,219,642	429	412	$6,154,479	46	41	$934,837	46	46	-	-	(5)	$934,837
Disaster Relief Fund	55	5,068	$6,634,465	55	4,899	$7,350,693	55	4,905	$7,024,515	-	6	($326,178)	-	-	-	-	6	($326,178)
Direct Assistance Disaster Loan Program	-	-	-	-	-	-	-	-	(120,000)	-	-	($120,000)	-	-	-	-	-	($120,000)
Subtotal, Enacted Appropriations and Budget Estimates	**5,078**	**9,723**	**$15,583,665**	**5,181**	**9,515**	**$16,574,971**	**5,254**	**9,729**	**$16,539,803**	**73**	**214**	**($35,168)**	**73**	**61**	**$131,827**	**-**	**153**	**($166,995)**
Less: Adjustments for Other Funding Sources																		
National Flood Insurance Fund (mandatory)	42	33	$4,993,159	42	42	$5,038,444	163	158	$5,972,680	121	116	$934,236	-	-	-	121	116	$934,236
Net, Enacted Appropriations and Budget Estimates:	**5,036**	**9,690**	**$10,590,506**	**5,139**	**9,473**	**$11,536,527**	**5,091**	**9,571**	**$10,567,123**	**(48)**	**98**	**($969,404)**	**73**	**61**	**$131,827**	**(121)**	**37**	**($1,101,231)**

[1]Reflects reprogrammings/transfers, as applicable, and actual FTE. This footnote applies to all FY 2015 Revised Enacted tables throughout the CJ Submission
[2]FY 2015 and FY 2016 funding levels reflect the CAS Structure and are for comparison purposes only.

ii. FY 2017 Investment Summary

Department of Homeland Security
Federal Emergency Management Agency
FY 2017 Investment Summary- Appropriation Level
(Dollars in Thousands)

Investment Name	PPA(s)	FY 2015 Revised Enacted	FY 2016 Enacted	FY 2017 Request
Center for Domestic Preparedness Improvement Project	PCI- Preparedness and Protection	-	-	$4,050
Integrated Public Alert Warning System	PCI- Preparedness and Protection	-	$2,800	$2,800
Mount Weather Capital Improvement Project	PCI- Preparedness and Protection	$30,000	$27,500	$15,500
USFA Facility Improvement	PCI- Preparedness and Protection	$1,500	$1,500	$1,500
Grants Modernization	PCI- Preparedness and Protection	-	$10,000	-
Grants Modernization	PCI- Recovery and Response	-	$1,500	$11,423
Grants Modernization	DRF-Information Technology Support	-	$1,900	-
Risk Mapping, Analysis, and Planning (RiskMAP)	FA- Mitigation	$100,000	$190,000	$177,531
NFIP IT Phoenix	NFIF - Mandatory - PCI	$21,095	$22,338	$20,321
Risk Mapping	NFIF- FA	$121,272	$121,389	$133,717
Contracts - IT S&S Contract Costs	NFIF - Mandatory - FA	$67,425	$47,055	$46,292
Total		**$341,292**	**$425,982**	**$413,134**

iii. Status of Congressionally Requested Studies, Reports and Evaluations

Department of Homeland Security
Federal Emergency Management Agency

Fiscal Year	Due Date	Reference/Citation	Requirement	Status
2015	5/20/2015	P. L. 114-4	FY 2015 Q2 Disaster Contracts Report	Under Development
2015	7/15/2015	P. L. 114-4	FY 2015 Q3 DRS Report	Under Development
2015	8/20/2015	P. L. 114-4	FY 2015 Q3 Disaster Contracts Report	Under Development
2015	10/15/2015	P. L. 114-4	FY 2015 Q4 DRS Report	Under Development
2015	11/4/2015	P. L. 114-4	Public Assistance Alternative Procedures Program - Q3	Under Development
2015	11/20/2015	P. L. 114-4	FY 2015 Q4 Disaster Contracts Report	Under Development
2015	2/5/2016	P. L. 114-4	Public Assistance Alternative Procedures Program - Q4	Under Development
2016	2/5/2016	P. L. 114-113	Monthly DRF Report	Under Development
2016	2/9/2016	P. L. 114-113	Annual DRF Anticipated Needs Report	Under Development
2016	2/15/2016	P. L. 114-113	DRS Obligations Against Spend Plan 1st Quarter	Under Development
2016	3/5/2016	P. L. 114-113	Monthly DRF Report	Under Development

iv. Schedule of Authorized/Unauthorized Appropriations by PPA

Department of Homeland Security
Federal Emergency Management Agency
Schedule of Authorized/Unauthorized Appropriations by Program Project/Activity
(Dollars in Thousands)

Budget Activity	Last Year of Authorization	Authorized Level	Appropriation in Last Year of Authorization	FY 2017 Request
	Fiscal Year	Amount	Amount	Amount
Operations and Support				**$927,524**
Management & Administration PPA				**$447,794**
Integrated Operations PPA				**$182,256**
Mitigation PPA				**$10,614**
National Flood Insurance Act of 1968, as amended (42 U.S.C. 4001 et seq.)	2012	---	---	
Preparedness and Protection PPA				**$49,674**
Section 1014 of the USA PATRIOT ACT (42 U.S.C. 3714)	2001	---	---	
9/11 Commission Recommendations Act (P.L. 110-53)	2007	---	---	
Homeland Security Act of 2002 (P.L. 107-296)	2002	---	---	
National Security Act of 1947, as amended (U.S.C. 404,405)	2002	---	---	
Response and Recovery PPA				**$237,186**
Robert T. Stafford Disaster Relief and Emergency Assistance Act, as amended (42 U.S.C. 5121 et seq.)	1988	N/A	N/A	
Procurement, Construction, and Improvements				**$35,273**
Preparedness and Protection PPA				**$23,850**
9/11 Commission Recommendations Act (P.L. 110-53)	2007	N/A	N/A	
Homeland Security Act of 2002 (P.L. 107-296)	2002	N/A	N/A	
National Security Act of 1947, as amended (50 U.S.C. 404,405)	2002	---	---	

Budget Activity	Last Year of Authorization	Authorized Level	Appropriation in Last Year of Authorization	FY 2017 Request
Response and Recovery PPA				**$11,423**
Robert T. Stafford Disaster Relief and Emergency Assistance Act, as amended (42 U.S.C. 5121 et seq.)	1988	N/A	N/A	
Federal Assistance				**$2,518,277**
Mitigation PPA				**$246,290**
Management and Administration				
Earthquake Hazards Reduction Act of 1977 (42 U.S.C. 7704 et seq.)	2005	21,000	N/A	
National Dam Safety Program Act (33 U.S.C. 467 et seq.)	2014	9,200	N/A	
Flood Map Modernization Fund				
National Flood Insurance Act of 1968, as amended (42 U.S.C. 4001 et seq.)	2012	---	---	
National Pre-Disaster Mitigation Fund				
Robert T. Stafford Disaster Relief and Emergency Assistance Act, as amended (42 U.S.C. 5133.)	1988	180,000	125,364	
Preparedness and Protection PPA				**$2,171,987**
Management and Administration				
Section 24102 of Amtrak Improvement Act (49 U.S.C. 20101, 24102) 87 Stat. 548	2012	---	---	
9/11 Commission Recommendations Act (P.L. 110-53)	2007	---	---	
Homeland Security Act of 2002 (P.L. 107-296)	2002	---	---	
State Homeland Security Grant Program (6 U.S.C. 605)	2008	950,000	950,000	
Emergency Management Performance Grants (6 U.S.C. 762)	2008	400,000	300,000	
Interoperable Emergency Communication Grants (6 U.S.C. 579)	2008	50,000	50,000	
Regional Catastrophic Preparedness Grants	N/A	N/A	N/A	
Metropolitan Medical Response Grant Program (6 U.S.C. 723)	2007	N/A	N/A	
Assistance to Firefighter Grants, (P.L. 112-239 at title XVIII, Subtitle A)	2013	750,000	675,000	

Budget Activity	Last Year of Authorization	Authorized Level	Appropriation in Last Year of Authorization	FY 2017 Request
National Security and Terrorism Prevention (REAL ID) (P.L. 109-13; codified at 49 U.S.C. 30301 note)	2005	N/A	N/A	
Citizen Corps	N/A	N/A	N/A	
Urban Area Security Initiative (6 U.S.C. 604)	2008	850,000	820,000	
Port Security Grants, section 70107(a)-(h) of Maritime Transportation Security Act of 2002 (46 U.S.C. 70107(a)-(h))	2007	400,000	210,000	
Rail/Mass Transit Grants (P.L. 110-53)	2007	175,000	175,000	
National Security and Terrorism Prevention (BZP) (Real ID - P.L. 109-13; 49 U.S.C. 30301 note)	N/A	N/A	N/A	
National Domestic Preparedness Consortium	2008	189,000		
Continuing and Emerging Training Grants				
Defense Production Act of 1950 (50 U.S.C. App. 2061 et seq.)	2014	133,000	N/A	
Technical Assistance Program	N/A	N/A	N/A	
Evaluation and National Assessment Program	N/A	N/A	N/A	
National Exercise Program	2007	N/A	---	
Center for Domestic Preparedness (6 USC 763a)	2008	57,000		
U.S. Fire Administration				
Federal Fire Prevention and Control Act of 1974, as amended (codified at 15 U.S.C. 2201 note and 6 U.S.C. 101 et seq.)	2013	76,490	44,000	
Response and Recovery PPA				**$100,000**
McKinney-Vento Homeless Assistance Act (42 U.S.C. 11331 et seq.)	2006	N/A	N/A	
Direct Assistance Disaster Loan Program Account				**($120,000)**
Robert T. Stafford Disaster Relief and Emergency Assistance Act, as amended (42 U.S.C. 5162.)	1988	N/A	N/A	
Section 502 of the Congressional Budget Act of 1974 (2 U.S.C. 661a)	N/A	N/A	N/A	

Budget Activity	Last Year of Authorization	Authorized Level	Appropriation in Last Year of Authorization	FY 2017 Request
Disaster Relief Fund				**$7,024,515**[1]
Robert T. Stafford Disaster Relief and Emergency Assistance Act, as amended (42 U.S.C. 5121 et seq.)	N/A	N/A	N/A	
Total Direct Authorization/Appropriation				**$10,829,589**
Fee Accounts				**$6,154,214**
National Flood Insurance Fund				**$6,154,479**
Flood Mitigation and Flood Insurance Operations	2012	N/A	22,000	
Flood Mapping and Floodplain Management	2012	N/A	149,000	
Flood Grants				
Severe Repetitive Loss Mitigation	2012	N/A	10,000	
Repetitive Flood Claims	2012	N/A	10,000	
Flood Mitigation Assistance	2012	N/A	40,000	
Authorities:				
National Flood Insurance Act of 1968, as amended (42 U.S.C. 4001 et seq.); Flood Disaster Protection Act of 1973 (42 U.S.C. 4001 et seq.); Biggert-Waters Flood Insurance Reform Act of 2012 (Public Law 112-141, 126 Stat. 916)	2012	N/A	N/A	
Radiological Emergency Preparedness Program				**($265)**
Authorities:				
Title III of the Departments of Veterans Affairs and Housing and Urban Development, and Independent Agencies Appropriations Act, 1999 (42 U.S.C. 5196e)	1999	N/A	12,849	

[1] This total is net of the $24 million proposed transfer to the DHS Office of Inspector General as well as the proposed cancellation of $300 million in anticipated DRF base recoveries.

Department of Homeland Security

Federal Emergency Management Agency

Operations and Support

Fiscal Year 2017

Congressional Justification

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Schedule I – Executive Summary of Appropriation Exhibits

A. Summary of Budget Estimates by Program Project Activity- Appropriation Level

Department of Homeland Security
Federal Emergency Management Agency
Operations and Support
Summary of FY 2017 Budget Estimates by Program Project Activity

FY 2017 Request
(Dollars in Thousands)

	FY 2015			FY 2016			FY 2017			Increase(+) or Decrease(-) for FY 2017		
Program Project Activity	**Revised Enacted[1]**			**Enacted**			**Request**			**Total Changes**		
	POS	**FTE**	**Amount**	**POS**	**FTE**	**Amount**	**POS**	**FTE**	**Amount**	**POS**	**FTE**	**Amount**
Management and Administration	1,208	1,138	$334,237	1,264	1,168	$353,134	1,264	1,199	$447,794	-	31	$94,660
Integrated Operations	1,115	1,066	$166,084	1,115	1,030	$166,195	1,115	1,067	$182,256	-	37	$16,061
Mitigation	51	43	$11,363	56	52	$10,685	56	55	$10,614	-	3	($71)
Preparedness and Protection	128	116	$49,940	158	137	$48,708	158	130	$49,674	-	(7)	$966
Response and Recovery	1,160	1,112	$231,775	1,153	964	$222,387	1,153	1,019	$237,186	-	55	$14,799
Total, Operations and Support	**3,662**	**3,475**	**$793,399**	**3,746**	**3,351**	**$801,109**	**3,746**	**3,470**	**$927,524**	**-**	**119**	**$126,415**
Subtotal, Enacted Appropriations & Budget	**3,662**	**3,475**	**$793,399**	**3,746**	**3,351**	**$801,109**	**3,746**	**3,470**	**$927,524**	**-**	**119**	**$126,415**
Net, Enacted Appropriations and Budget Estimates:	**3,662**	**3,475**	**$793,399**	**3,746**	**3,351**	**$801,109**	**3,746**	**3,470**	**$927,524**	**-**	**119**	**$126,415**

[1]Reflects reprogrammings/transfers, as applicable, and actual FTE. This footnote applies to all FY 2015 Revised Enacted tables throughout the CJ Submission
*FY 2015 and FY 2016 funding levels reflect the CAS Structure and are for comparison purposes only.

Overview

Operations and Support (O&S) provides core mission funding for the development and maintenance of an integrated, nationwide capability to prepare for, mitigate against, respond to, and recover from the consequences of major disasters and emergencies regardless of cause, in partnership with other Federal agencies, State, Local, Tribal and Territorial (SLTT) governments, volunteer organizations, and the private sector. The O&S appropriation supports core operations for all FEMA organizations, providing resources for mission activities, and administrative support. Resources are directed to both Headquarters and Regional operations, which fund necessary operations, mission support, and associated Management and Administration costs in support of the following mission programs:

- **Management and Administration:**

  Headquarters Activities

  Headquarters Activities (Administrative Office) incorporate the essential functions of the Agency. The coordination of all policy, strategic planning, resources, managerial and administrative actions and activities are supported through this command and control function.

  Mission Support

  Mission Support provides the corporate support, tools, and resources the Agency needs to accomplish its mission of preparedness, protection, response, recovery, and mitigation. Functions include information technology, human capital management, acquisition management, security, and administration which include facilities management, records management, and occupational health and safety.

  Centrally Managed Accounts

  Centrally managed activities are managed at the enterprise level to ensure efficient and effective cost management.

- **Integrated Operations:**

  Regional Activities

  Regional Activities support the programmatic and doctrinal guidance developed by Headquarters, which serves as the Agency's point of contact with whole community stakeholders and provide incident management and support during disasters. Regional Operations include the leadership, management, and mission support functions of the 10 FEMA Regions.

  Mission Support

  Mission Support enables the Agency's mission by providing strategic leadership to and assuring the timely, efficient and effective delivery of facilities management and portfolio management and planning.

- **Mitigation:**

  The Mitigation program seeks to reduce or eliminate long-term risks to people and property from hazards and their effects. FEMA mitigation efforts create safer communities, enabling people to recover more rapidly from floods and other disasters while relieving financial impacts.

- **Protection and Preparedness:**

  Protection and Preparedness is responsible for the coordination of preparedness and protection-related activities throughout FEMA, including grants, planning, training, exercises, individual and community preparedness, assessments, lessons learned and continuity.

- **Response and Recovery:**
  FEMA executes response and recovery operations through established incident management and incident support entities that capitalize on the Agency's nationwide organizational structure, occupying specific disaster facilities at the National Headquarters level, in the affected Regional offices, and in temporary field locations established near the scene of a disaster or emergency. These two missions, which are significantly interconnected are integrated under FEMA's Office of Response and Recovery (ORR).

  The Response mission is to conduct emergency operations to save lives and property by: positioning emergency equipment, personnel and supplies; evacuating survivors; providing food, water, shelter, and medical care to those in need; and restoring critical public services. FEMA conducts response operations in partnership with SLTT governments, interagency Federal partners, non-governmental organizations and the private sector.

  The Recovery mission also supports the rebuilding of communities, so that individuals, civic institutions, businesses, and governmental organizations can function on their own, return to a life of normalcy and protect against future hazards. This is accomplished through a combination of programs and functions that provide direct and indirect support, including programs specifically designed to assist both individuals and SLTT governments that have been affected by disasters.

DRS Realignment – Notably, the FY 2017 request reflects the transfer of $102.8 million in legacy DRS funding from the DRF to O&S. This realignment is designed to bolster transparency and to improve the coordination and strategic management of enterprise-wide assets.

B. FY 2016 to FY 2017 Budget Change - Appropriation Level

Department of Homeland Security
Federal Emergency Management Agency
Operations and Support

FY 2016 to FY 2017 Budget Change
(Dollars in Thousands)

	Pos.	FTE	Amount
FY 2015 Revised Enacted	-	-	-
FY 2016 Enacted	-	-	-
Adjustments-to-Base			
Transfers to and from other accounts:[1]			
Transfer in from Legacy Salaries & Expenses ARO to MA PPA *(CAS)*	492	457	$82,130
Transfer in from Legacy Salaries & Expenses CM to MA PPA *(CAS)*	-	-	$100,917
Transfer in from Legacy Salaries & Expenses MS to MA PPA *(CAS)*	772	711	$170,087
Transfer in from Legacy Salaries & Expenses ARO to IO PPA *(CAS)*	1,115	1,030	$154,672
Transfer in from Legacy Salaries & Expenses MS to IO PPA *(CAS)*	-	-	$11,523
Transfer in from Legacy Salaries & Expenses Mitigation to Mitigation PPA *(CAS)*	56	52	$10,685
Transfer in from Legacy Salaries & Expenses PNP to PNP PPA *(CAS)*	158	137	$48,708
Transfer in from Legacy Salaries & Expenses Recovery to R&R PPA *(CAS)*	378	316	$49,763
Transfer in from Legacy Salaries & Expenses Response to R&R PPA *(CAS)*	775	648	$172,624
Transfer from DRF to M&A PPA *(DRS Realignment)*	-	-	$94,784
Transfer from DRF to Mitigation PPA *(DRS Realignment)*	-	-	$100
Transfer from DRF to R&R PPA *(DRS Realignment)*	-	-	$7,910
Total Transfers	**-**	**-**	**$903,903**
Increases			
Annualization of 2016 Pay Raise	-	-	$1,510
2017 Pay Raise	-	-	$5,541
ARO-Reasonable Accommodations	-	-	$500
Annualization of Prior Year IPAWS funding for operating costs	-	-	$43
MS-Federal Protective Service Fee	-	-	$625
MS-Performance Management and Learning Systems	-	-	$284
OCIO Cybersecurity Operations & Maintenance	-	-	$1,389
M&A PPA FY 2017 Hiring Initiative	-	119	$3,266
Regional Continuity Support	-	-	$655
Total, Increases	**-**	**119**	**13,813**
Decreases			
CBRNE Support Office Efficiencies	-	-	($1,000)
R&R – Operational Capabilities and Resource-Sharing Efficiencies	-	-	($2,359)
Offset for Increased CDP Training Utilization	-	-	($659)
Grant Systems Modernization Efficiency	-	-	($427)
Non-Recur Financial Systems Modernization: ARO PPA	-	-	($430)
Urban Search and Rescue Agreements	-	-	($3,949)
Non-Recur of FY16 Cybersecurity Increase	-	-	($6,815)
Total, Decreases	**-**	**-**	**($15,639)**
Total Other Adjustments	**-**	**119**	**($1,826)**
Total Adjustments-to-Base	**3,746**	**3,470**	**$902,077**
FY 2017 Current Services	**3,746**	**3,470**	**$902,077**
Program Changes			
Increases			
ARO-Increase to Regional Offices	-	-	$325
Email as a Service	-	-	$775
MS-Background Investigation and Unit Pricing	-	-	$2,392
MS-Increase for Replacement Leases	-	-	$13,599
SharePoint Remediation	-	-	$792
Strategic Procurement Planning	-	-	$423
TACCOM Lifecycle Replacement	-	-	$4,300
Recovery- Interagency Recovery Coordination and Assessment	-	-	$2,841
Total, Increases	**-**	**-**	**$25,447**

	Pos.	FTE	Amount
Decreases	-	-	-
Total, Decreases	-	-	-
Total Program Changes	-	-	$25,447
FY 2017 Request	3,746	3,470	$927,524
FY 2016 to FY 2017 Change	3,746	3,470	$927,524

[1]The FY2017 request for Operations and Support reflects two different net-zero transfer actions: 1.) Legacy to CAS transfers totaling $801.109 million and 2.) DRS Realignment transfers from the DRF to O&S totaling $102.794 million

C. FY 2017 Investment Summary - Appropriation Level

N/A

D. FY 2016 to FY 2017 Budget Change- PPA Level

Department of Homeland Security
Federal Emergency Management Agency
Operations and Support
Management and Administration
FY 2016 to FY 2017 Budget Change- PPA Level
(Dollars in Thousands)

Management and Administration		Positions	FTE	Amount
Prior Year	**FY 2015 Revised Enacted**	-	-	-
Base	**FY 2016 Enacted**	-	-	-
Current Services	Transfer in from Legacy S&E/ARO to MA PPA *(CAS)*	492	457	$82,130
	Transfer in from Legacy S&E/CM to MA PPA *(CAS)*	-	-	$100,917
	Transfer in from Legacy S&E/MS to MA PPA *(CAS)*	772	711	$170,087
	Transfer in from DRF to M&A PPA *(DRS)*	-	-	$94,784
	Realignment of FDRC Costs from MA PPA to R&R PPA	(19)	(19)	($3,696)
	Annualization of 2016 Pay Raise	-	-	526
	2017 Pay Raise	-	-	1,927
	ARO-Reasonable Accommodations	-	-	500
	MS-Federal Protective Service Fee	-	-	625
	MS-Performance Management and Learning Systems	-	-	284
	Non-Recur Financial Systems Modernization: ARO PPA	-	-	(430)
	OCIO Cybersecurity Operations and Maintenance	-	-	1,389
	FY 2017 Hiring Initiative – MA PPA	-	50	1,184
	Non-Recur of FY16 Cybersecurity Increase			($6,815)
Program Changes	Email as a Service	-	-	775
	MS-Background Investigation	-	-	2,392
	Regional SharePoint Implementation & Support	-	-	792
	Strategic Procurement Planning	-	-	423
Budget Year	**FY 2017 Request**	**1,245**	**1,199**	**447,794**
	Total Change from FY 2016 to FY 2017	**1,245**	**1,199**	**447,794**

PPA DESCRIPTION: Management and Administration ($447.794 million)

Management and Administration activities incorporate the essential command and control functions of the Agency, as well as coordinate all policy, strategic planning, resources, managerial and administrative actions. FEMA requests $447.794 million, 1,245 positions and 1,199 FTE in the Management and Administration PPA. This includes $94.784 million in legacy DRS funds transferred from the DRF as well as -$124,000 in adjustments to base and program increases found in the subsequent charts for the Administrative Office, Mission Support and Centrally Managed sub-PPAs:

SUB-PPA: Administrative Office ($83.043 million)

Activity/Office	FY 2015 CAS Revised Enacted	FY 2016 CAS Enacted	FY 2017 CAS Request	FY16/17 Change
Administrative Office				
Office of the Administrator	7,112	6,716	6,884	168
Office of Chief Counsel	12,575	10,213	10,953	740
Office of Equal Rights	14,163	4,029	4,656	627
Office of External Affairs	-	13,861	14,613	752
Office of Policy and Program Analysis	11,637	10,560	10,652	92
Office of Federal Disaster Coordination	169	3,667	-	(3,667)
National Capital Region Coordination	3,400	3,422	3,460	38
Office of the Chief Financial Officer	29,696	29,661	31,825	2,164
Cybersecurity	1,400	-	-	-
Office of the Chief Component Human Capital Officer	654	-	-	-
Subtotal Budget	**80,806**	**82,129**	**83,043**	**914**
Positions	460	492	473	(19)

FEMA requests $83.043 million, 473 positions and 451 FTE in the Administrative Office in FY 2017. This supports the $1.308 million increase in salaries and benefits associated with planned hiring initiatives, as well as the FY17 pay increase assumption, the $3.232 million transfer in of Disaster Readiness Support funding associated with the development, acquisition, and maintenance of enterprise-wide IT systems, the $3.696 million (19 FTE) transfer out of the Office of Federal Disaster Coordination, an increase of $0.500 million in support of providing accommodations to employees needing specific meeting, communication and program accessibility, and a decrease of $0.430 million for non-recurring financial systems modernization.

SUB-PPA: Mission Support ($198.053 million)

Activity/Office	FY 2015 CAS Revised Enacted	FY 2016 CAS Enacted	FY 2017 CAS Request	FY17/16 Change
Mission Support				
Office of the Chief Executive Officer	5,808	5,617	5,295	(322)
Office of the Chief Administrative Officer	22,264	24,994	25,452	458
Office of the Chief Component Human Capital Officer	21,373	24,277	25,418	1,141
Office of the Chief Information Officer	64,937	83,094	104,337	21,243
Office of the Chief Procurement Officer	21,859	21,961	23,989	2,028
Office of the Chief Security Officer	9,988	10,144	13,562	3,418
Subtotal Budget	**146,229**	**170,087**	**198,053**	**27,966**
Positions	748	772	772	

FEMA requests $198.053 million, 772 positions, and 748 FTE in Mission Support. This supports the $25.824 million transfer in of Disaster Readiness Support funding associated with the development, acquisition, and maintenance of enterprise-wide IT systems, a $1.960 million increase in salaries and benefits associated with planned hiring initiatives, the $0.316 million annualization of the 2016 pay raise, an increase of $2.392 million for background investigations, an increase of $0.775 million for Email as a Service migration, a reduction of $6.815 million to non-recur the FY16 enacted increase for one-time cyber security investments, an increase of $0.792 million for SharePoint 2010 platform migration, an increase of $0.423 million for Strategic Procurement Planning initiative, and increase of $1.389 million for operational support for CIO investments, an increase of $0.625 million for the Federal Protective Service Fee and an increase of $0.284 million for the Performance Management Learning Systems enhancement.

SUB-PPA: Centrally Managed ($166.698 million)

Activity/Office	FY 2015 CAS Revised Enacted	FY 2016 CAS Enacted	FY 2017 CAS Request	FY17/16 Change
Centrally Managed				
Office of the Chief Financial Officer	34,665	34,905	52,155	17,250
Office of the Chief Administrative Officer	33,918	32,086	65,694	33,608
Office of the Chief Information Officer	17,663	17,663	20,813	3,150
Office of the Chief Security Officer	17,203	16,263	28,036	11,773
Subtotal Budget	**103,449**	**100,917**	**166,698**	**65,781**
Positions				

FEMA requests $166.698 million 0 positions and 0 FTE in the Centrally Managed Accounts. This includes a $65.728 million transfer of Disaster

Readiness Support funding associated with the development, acquisition, and maintenance of enterprise-wide IT systems and costs of leasing, maintaining and operating facilities and spaces and a increase of $.053 million for annualization of pay raise and the FY 2017 pay raise.

Centrally Managed accounts fund critical bills that must be managed at the enterprise level to ensure efficient and effective cost management, which includes streamlining cost factors and prompt payment. Centrally managed accounts directly help achieve the objectives laid out in FEMA Strategic Plan priority 5 by strengthening FEMA's organizational foundation through directly providing essential services to ensure that FEMA employees are able to effectively and efficiently complete their duties. Centrally Managed accounts include:

- DHS Working Capital Fund (WCF)
- Mount Weather WCF contributions
- Rent
- Printing
- Postage
- Mail Operations (DHS Mail Facility Ops)
- Building Specific Security
- Wireless and Wire line Communications
- IT Project Management
- Long Haul (data) Circuits

Department of Homeland Security
Federal Emergency Management Agency
Management and Administration
Justification of Program Changes
(Dollars in Thousands)

Funding Profile

	FY 2015 Revised Enacted			FY 2016 Enacted			FY 2017 Request		
	FTP	FTE	Dollars ($000)	FTP	FTE	Dollars ($000)	FTP	FTE	Dollars ($000)
Program Increase: Email as a Service							-	-	$775
Program Increase: MS-Background Investigation and Unit Pricing							-	-	$2,392
Program Increase: SharePoint Remediation							-	-	$792
Program Increase: Strategic Procurement Planning							-	-	$423
Subtotal, Program Increases							**-**	**-**	**$4,382**
Total Request							**-**	**-**	**$4,382**

Program Change 1: Email as a Service (EAAS) ($0.775 million)

DESCRIPTION OF ITEM:

An increase of $0.775 million is attributed to the EaaS email solution which supports the Agency's mission requirements.

Justification: Since the migration to the DHS service, EaaS, in 2011, the service level has provided 96% availability. Unlike other DHS components that have alternative command and control system to email, FEMA has based almost the entirety of its machine-to-machine, person-to-person, and person-to-machine data transfer on EaaS. It is critical to meeting FEMA mission objectives to implement an EaaS solution that has 99.9% reliability, restoring the required additional 3.9% reliability or 20,498 minutes of downtime per year that the current system lacks.

Impact on Performance: Email is a FEMA Mission Essential System and the large amount of downtime experience with the current solution impacts the Agency's ability to meet its mission by delaying critical electronic communications required. This funding increase will decrease vulnerabilities in the Agency's ability to respond to disasters and provide the necessary core support services.

Program Change 2: Background Check Throughput ($2.392 million)

DESCRIPTION OF ITEM:

An increase of $2.392 million supports additional background investigations required and the increased cost per investigation.

Justification: The Office of the Chief Security Officer (OCSO) is requesting funding to ensure FEMA can conduct the background investigations necessary to hire new personnel and backfill for attrition, as well as conduct the required Periodic Re-investigations for the existing workforce. The current funding levels in FY 2015 and FY 2016 are not sufficient to meet requirements for Periodic Investigations and will cause an increase of backlog for cases to carry forward into FY 2017. Furthermore, the revised costs charged by OPM have risen by a weighted average of 17% from the per-unit cost previously used to budget for these expenses. If this requirement is not met, the Agency will be exposed to greater risk of insufficient vetted personnel to carry out the Agency's mission as well as inadequate resources to support disaster survivors.

Impact on Performance: FEMA will be able to provide the necessary core support services to employ/accomplish both disaster and non-disaster operations. The implications of not supplementing the funding gap will create vulnerabilities in FEMA's ability to execute core tenets of its mission.

Program Change 3: Regional SharePoint Implementation and Support ($0.792 million)

DESCRIPTION OF ITEM:

An increase of $0.792 million is attributed to the regional migration to SharePoint 2010 platform.

Justification: FEMA recently migrated to the SharePoint 2010 platform, with the exception of FEMA Regions. Staffing was fully provided during the SharePoint 2010 implementation and included a total of 12 contractors, including six sustainment/operations and maintenance (O&M) staff plus six migration staff. However, the implementation was not enterprise-wide and many of the Agency's Regions are currently without an upgrade, or support to SharePoint.

Impact on Performance: An increase in funding will provide adequate resources and will enable the Regional migration and complete FEMA's enterprise migration to the SharePoint 2010 platform.

Program Change 4: Chief Procurement Office Strategic Planning ($0.423 million)

DESCRIPTION OF ITEM:

An increase of $0.423 million is supports the Office of the Chief Procurement Officer (OCPO) Strategic Planning initiative.

Justification: OCPO's Strategic Planning Section is tasked with institutionalizing long-range acquisition planning across the Agency. This requires OCPO to validate major contracts in collaboration with Program Office, establish integrated project teams and track and complete acquisition milestones for each requirement in the collaboration with Agency offices and stakeholders.

Impact on Performance: This increase of funding will support OCPO's ability to execute core principles of its mission: FEMA Objective 5.3: Strengthen the linkages among strategy, budget, execution and performance through a comprehensive resource management system; Mission Support Strategic Goal 5: Prepare for the future with a strategic focus; and Mission Support FY 2015-16 Operating Plan Theme 1: Organizational Health.

Department of Homeland Security
Operations and Support
Management and Administration

Cost Drivers (Pay & Benefits) - PPA Level (Excludes Reimbursables) ($000s)

	FY 2015 Revised Enacted				FY 2016 Enacted				FY 2017 Request				Delta FY 2016 - 2017			
	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost
Civilian Total	1,208	1,060	$163,052	$134	1,264	1,168	$160,658	$137	1,245	1,199	$166,666	$139	-	31	$6,008	$2

[1]Reflects reprogrammings/transfers, as applicable, and actual FTE. The use of FY 2015 Actuals and FY2015 Revised Enacted Budgetary Resources in the CAS Pay Cost Drivers Exhibit may reflect a higher average FTE Cost than represented in the FY 2016 Enacted or FY 2017 Request average cost columns.

NARRATIVE EXPLANATION OF CHANGES – PAY COST DRIVERS:

- **FTE Change FY 2016-2017:** There is a total increase of 31 FTE. This increase is comprised of an additional 50 FTE in FY 2017 is due to an Agency-wide effort to dramatically streamline the hiring process and a transfer out of 19 FTE to Response and Recovery for the Office of Federal Disaster Coordination.
- **Personnel and Compensation Benefits Change FY 2016-2017:** The increase of $6.008 million in FY 2017 is due to an Agency-wide effort to dramatically streamline the hiring process. This increase is also due to the increase for OCPO's Strategic Planning Section and OCIO's Regional migration of SharePoint 2010.
- **Average Cost Change FY 2016-2017**: The average cost per FTE increased by $2,000 due to the FY 2017 pay raise.

Department of Homeland Security
Federal Emergency Management Agency
Management and Administration
Cost Drivers (Non-Pay) - PPA Level ($000s)

Appropriation - Management and Administration	2015 Revised Enacted	2016 Enacted	2017 Request	FY 2016 to FY 2017 Change
FY 2017 Non- Pay Cost Drivers (greatest-least)				
Other Services from Non-Federal Sources	$74,168	$93,788	$168,657	$74,869
Rental Payments to GSA	$26,934	$26,934	$51,531	$25,597
Other Goods and Services for Federal Sources	$16,841	$15,984	$10,694	($5,290)
Total	**$91,009**	**$109,772**	**$230,882**	**$121,110**

*FY 2015 and FY 2016 funding levels reflect the CAS Structure and are for comparison purposes only.

NARRATIVE EXPLANATION OF CHANGES – NON-PAY COST DRIVERS:

Administrative Offices and Mission Support

- **Other Services from Non-Federal Sources Cost Change FY 2016-2017:** Costs for other services from non-federal sources increase by 121.110 million in FY 2017. This significant cost growth is driven by transfer of Disaster Readiness Support activities. These activities will support the development, acquisition and maintenance of enterprise-wide IT systems as well as the costs of leasing, maintaining and operating facilities, or space required on a permanent basis.

- **Rental Payments to GSA Cost Change FY 2016-2017:** Costs for other services from non-federal sources increase by $25.597 million in FY 2017. This significant cost growth is driven by transfer of Disaster Readiness Support activities. These activities will the costs of leasing, maintaining and operating facilities, or space required on a permanent basis. This increase is partially offset by rent payment savings associated with FEMA's reduced enterprise footprint.

- **Other Goods and Services from Federal Sources Cost Change FY 2016-2017:** Costs for other goods and services from non-federal sources decrease by $5.290 million in FY 2017. Increases for requirements supporting the IT Resiliency and Cyber Security initiatives and background investigations are partially offset by decreases due to the reduction in Working Capital Fund.

Department of Homeland Security
Federal Emergency Management Agency
Operations and Support
Integrated Operations
FY 2016 to FY 2017 Budget Change- PPA Level
(Dollars in Thousands)

Integrated Operations		Positions	FTE	Amount
Prior Year	**FY 2015 Revised Enacted**	-	-	-
Base	**FY 2016 Enacted**	-	-	-
Current Services	Transfer in from Legacy S&E/ARO to IO PPA (CAS)	1,115	1,030	154,672
	Transfer in from Legacy S&E/MS to IO PPA (CAS)	-	-	11,523
	Annualization of FY 2016 Pay Raise	-	-	455
	FY 2017 Pay Raise	-	-	1,682
	FY 2017 Hiring Initiative – IO PPA	-	37	-
Program Changes	ARO-Increase to Regional Offices	-	-	325
	MS-Increase for Replacement Leases	-	-	13,599
Budget Year	**FY 2017 Request**	**1,115**	**1,067**	**182,256**
	Total Change from FY 2016 to FY 2017	**1,115**	**1,067**	**182,256**

PPA DESCRIPTION: Integrated Operations ($182.256 million)

FEMA's Integrated Operations provide strategic leadership to and assures the timely, efficient and effective delivery of facilities management and portfolio management and planning. FEMA requests $182.256 million, 1,115 positions and 1,067 FTE in the Integrated Operations PPA in FY 2017. The IO total includes a CAS transfer of $166.195 million in addition to $16.061 million in 2017 proposed adjustments to base and program increases. These changes include the $1.682 million FY 2017 pay increase, the $0.455 annualization of the FY 2016 pay raise, the $0.325 increase for Regional Office operations and an increase of $13.599 million for replacement leases.

SUB-PPA: Mission Support ($25.122 million)

Activity/Office	FY 2015 CAS Revised Enacted	FY 2016 CAS Enacted	FY 2017 CAS Request	FY17/16 Change
Mission Support				
Office of the Chief Administrative Officer	6,461	11,523	25,122	13,599
Subtotal Budget	**6,461**	**11,523**	**25,122**	**13,599**
Positions				

The Office of the Chief Administrative Officer's (OCAO) Integrated Operations function is to conduct real estate portfolio management and planning. FEMA requests $25.122 million, 0 positions and 0 FTE in the Integrated Operations PPA in FY 2017. This request includes a program increase of $13.599 million for costs associated with unfunded replacement leases.

SUB-PPA: Regional Offices ($157.134 million)

Activity/Office	FY 2015 CAS Revised Enacted	FY 2016 CAS Enacted	FY 2017 CAS Request	FY17/16 Change
Regional Offices				
Region 01	12,993	12,482	12,640	158
Region 02	16,119	15,416	15,666	250
Region 03	13,589	13,100	13,290	190
Region 04	19,288	18,782	19,110	328
Region 05	15,998	15,544	15,800	256
Region 06	17,218	16,707	16,979	272
Region 07	14,085	13,649	13,860	211
Region 08	13,461	12,947	13,130	183
Region 09	22,368	22,013	22,423	410
Region 10	14,504	14,032	14,235	203
Subtotal Budget	**159,623**	**154,672**	**157,134**	**2,462**
Positions	1,115	1,115	1,115	

FEMA's Regional Offices perform an array of mission functions, each office is led by a Regional Administrator, who reports directly to the FEMA Administrator and is organized into programmatic divisions that resemble the structure and scope of the agency. Regional Offices assist the Agency across all five of FEMA's Strategic Plan priorities. Regional offices are integral for FEMA to meet its' mission and provide support to communities during disasters. Regional Offices directly support objective 2.1 of unified and coordinated federal response and recovery operations successfully support and complement state, local, tribal and territorial incident operations. FEMA requests $157.134 million; 1,115 positions; and 1,067 FTE in the Integrated Operations PPA in FY 2017. This supports the $1.682 million 2017 pay increase, $0.455 million for the annualization of the 2016 pay raise, and $0.325 million associated with the proposed Regional Office enhancement.

Department of Homeland Security
Federal Emergency Management Agency
Integrated Operations
Justification of Program Changes
(Dollars in Thousands)

Funding Profile

	FY 2015 Revised Enacted			FY 2016 Enacted			FY 2017 Request		
	FTP	FTE	Dollars ($000)	FTP	FTE	Dollars ($000)	FTP	FTE	Dollars ($000)
Program Increase: MS-Increase for Replacement Leases - Integrated Operations							-	-	$13,599
Subtotal, Program Increases							**-**	**-**	**$13,599**
Total Request							**-**	**-**	**$13,599**

Program Change 1: Replacement Leases ($13.599 million)

DESCRIPTION OF ITEM:

FEMA holds a critical function to build and maintain an operational capacity for emergency response and to increase the overall preparedness of the country. This function is effectively executed when mission enabling facilities are acquired, maintained and available to support its attainment upon provision of safe and secure facilities.

Justification: An increase in Integrated Operations PPA of $13.600 million is to support the Regional Operations projection of the replacement lease cost increases for Regions III and V operations. This funding, together with $4.6 million in base resources, supports the following tasks related to capital planning, return on investment decisions, future space planning and projections, project development, lease, build, or purchase decisions analysis and project prioritization for real property for the FEMA portfolio:

- $13.5 million to support anticipated replacement lease costs in Region V
- $8.7 million to support anticipated replacement lease costs in Region III, including costs associated with the Maryland National Processing Service Center (NPSC)

This will be done in coordination with GSA and DHS nationwide.

Impact on Performance: Funding to support such lease will allow the Agency to increase capabilities and provide sufficient and effective delivery of facilities management and planning within Region III and V operations. Funding will also allow FEMA to fund deferred maintenance and repair requirements at a level consistent with GSA and NIST best practices.

Department of Homeland Security
Operations and Support
Integrated Operations

Cost Drivers (Pay & Benefits) - PPA Level (Excludes Reimbursables) ($000s)

	FY 2015 Revised Enacted				FY 2016 Enacted				FY 2017 Request				Delta FY 2016 - 2017			
	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost
Civilian Total	1,115	1,003	$144,064	$128	1,115	1,030	$140,102	$136	1,115	1,067	$147,686	$138	-	37	$7,584	$2

[1]Reflects reprogrammings/transfers, as applicable, and actual FTE. The use of FY 2015 Actuals and FY2015 Revised Enacted Budgetary Resources in the CAS Pay Cost Drivers Exhibit may reflect a higher average FTE Cost than represented in the FY 2016 Enacted or FY 2017 Request average cost columns.

NARRATIVE EXPLANATION OF CHANGES – PAY COST DRIVERS:

- **FTE Change FY 2016-2017:** An increase of 37 FTE in FY 2017 is primarily is due to an Agency-wide effort to dramatically streamline the hiring process. This increase is also due to the increase of Regional Offices support for FEMA owned facilities, multi-year real property (life-cycle) and capital improvement planning, budget formulation, validation and budget estimation, implementation and execution.
- **Personnel and Compensation Benefits Change FY 2016-2017:** The $7.584 million PCB change from FY 2016-FY 2017 is attributed to an Agency-wide effort to dramatically streamline the hiring process as well as the restoration of the vacancy savings efficiency taken in the FY16 enacted bill.
- **Average Cost Change FY 2016-17:** The average cost per FTE increased by $2,000 due to the FY 2017 pay raise.

Department of Homeland Security
Federal Emergency Management Agency
Integrated Operations
Cost Drivers (Non-Pay) - PPA Level ($000s)

Appropriation – Integrated Operations	2015 Revised Enacted	2016 Enacted	2017 Request	FY 2016 to FY 2017 Change
FY 2017 Non- Pay Cost Drivers (greatest-least)				
Mission Support	$6,461	$11,523	$25,122	$13,599
Total	**$6,461**	**$11,523**	**$25,122**	**$13,599**

*FY 2015 and FY 2016 funding levels reflect the CAS Structure and are for comparison purposes only

NARRATIVE EXPLANATION OF CHANGES – NON-PAY COST DRIVERS:

- **Operation and Maintenance of Facilities Cost Change FY 2016-2017:** Costs for operation and maintenance of facilities increase by $13.599 million in FY 2017. This significant cost growth is driven by the regional operations projection of the replacement lease cost increases for regions III and V. These leasing operations will allow FEMA to further consolidate its enterprise footprint, leading to additional rent reductions like those identified in the FY 2017 FEMA budget.

Department of Homeland Security
Federal Emergency Management Agency
Operations and Support
Mitigation
FY 2016 to FY 2017 Budget Change- PPA Level
(Dollars in Thousands)

Mitigation		Positions	FTE	Amount
Prior Year	**FY 2015 Revised Enacted**	**-**	**-**	**-**
Base	**FY 2016 Enacted**	**-**	**-**	**-**
Current Services	Transfer from S&E to Mitigation	56	52	10,685
	Transfer from DRS to O&S Mitigation	-	-	100
	Grants System Modernization Reduction	-	-	(427)
	Annualization of 2016 Pay Raise	-	-	23
	2017 Pay Raise	-	-	86
	FY 2017 Hiring Initiative	-	3	147
Budget Year	**FY 2017 Request**	**56**	**55**	**10,614**
	Total Change from FY 2016 to FY 2017	**56**	**55**	**10,614**

PPA DESCRIPTION: **Mitigation ($10.614 million)**

The Federal Insurance and Mitigation Administration (FIMA) creates safer communities by reducing risk of loss of life and property and preventing implementing measures to minimize potential disaster losses. Mitigation advances FEMA's 2014-2018 Strategic Plan priority 4 to enable disaster risk reduction nationally. This is accomplished through three core competencies and two support activities: analyzing risk though identification and assessment (supporting Strategic Plan objective 4.1); reducing risk through land use, building codes, and the Hazard Mitigation Grant Program (supporting Strategic Plan objective 4.2); spreading risk of flood loss through the National Flood Insurance Program (supporting Strategic Plan objective 4.3); environmental planning and historic preservation; and the regional and disaster support activity. These core competencies and support activities work jointly to reduce the likelihood of future losses, to enable individuals to recover more rapidly from floods and other disasters, and to minimize the financial impact of disasters.

Activity/Office	FY 2015 CAS Revised Enacted	FY 2016 CAS Enacted	FY 2017 CAS Request	FY17/16 Change
Mitigation				
Building Science	1,054	999	1,222	224
Hazard Mitigation Grant Program (HMGP)	1,160	1,004	333	(671)
Environmental & Historic Preservation	1,957	1,992	2,106	115
Disaster Management Support	1,359	1,264	1,427	162
Business Management Division (BMD)	4,095	4,449	4,269	(180)
Cyber Security	600	-	-	-
Resilience Star	1,138	977	1,256	279
Subtotal Budget	**11,363**	**10,685**	**10,614**	**(71)**
Positions	51	56	56	

FEMA requests $10.614 million, 56 positions, and 55 FTE in the Mitigation PPA in FY 2017. This supports the $0.233 million 2017 pay increase and FTE funding adjustments, a $0.023 million annualization of the 2016 pay raise, a transfer in of $0.100 million from DRS, and a $0.427 million Grants Systems Modernization reduction.

Department of Homeland Security
Federal Emergency Management Agency
Mitigation
Justification of Program Changes
(Dollars in Thousands)

N/A

DESCRIPTION OF ITEM: There are no FY 2017 program changes within the Mitigation PPA.

Department of Homeland Security
Operations and Support
Mitigation

Cost Drivers (Pay & Benefits) - PPA Level (Excludes Reimbursables) ($000s)

	FY 2015 Revised Enacted				FY 2016 Enacted				FY 2017 Request				Delta FY 2016 - 2017			
	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost
Civilian Total	51	42	$6,463	$126	56	52	$7,004	$135	56	55	$7,543	$137	-	3	$539	$2

[1]Reflects reprogrammings/transfers, as applicable, and actual FTE. The use of FY 2015 Actuals and FY2015 Revised Enacted Budgetary Resources in the CAS Pay Cost Drivers Exhibit may reflect a higher average FTE Cost than represented in the FY 2016 Enacted or FY 2017 Request average cost columns.

NARRATIVE EXPLANATION OF CHANGES – PAY COST DRIVERS:

- **FTE Change FY 2016-2017:** An increase of 3 FTE in the Mitigation PPA is due to an Agency-wide effort to streamline the hiring process.
- **Personnel and Compensation Benefits Change FY 2016-2017:** The $0.539 million PCB change from FY 2016-2017 is attributed to an Agency-wide effort to streamline the hiring process.
- **Average Cost Change FY 2016-2017**: The average cost per FTE increased by $2,000 due to the FY 2017 pay raise.

Department of Homeland Security
Federal Emergency Management Agency
Mitigation

Cost Drivers (Non-Pay) - PPA Level ($000s)

Appropriation - Mitigation	2015 Revised Enacted	2016 Enacted	2017 Request	FY 2016 to FY 2017 Change
FY 2017 Non- Pay Cost Drivers (greatest-least)				
Other Services from Non-Federal Sources	$4,497	$3,278	$2,668	($610)
Total	**$4,497**	**$3,278**	**$2,668**	**($610)**

NARRATIVE EXPLANATION OF CHANGES – NON-PAY COST DRIVERS:

- **Other Services from Non-Federal Sources Cost Change FY 2016-2017:** Costs for other services from non-federal sources decrease by $0.610 million in FY 2017. This decrease is primarily driven by the Grants Legacy System Modernization reduction of $0.427 million.

Department of Homeland Security
Federal Emergency Management Agency
Operations and Support
Preparedness and Protection
FY 2016 to FY 2017 Budget Change- PPA Level
(Dollars in Thousands)

Preparedness and Protection		Positions	FTE	Amount
Prior Year	**FY 2015 Revised Enacted**	**-**	**-**	**-**
Base	**FY 2016 Enacted**	**-**	**-**	**-**
Current Services	Transfer in from Legacy S&E/P&P to P&P PPA (CAS)	158	137	48,708
	Annualization of FY 2016 Pay Raise	-	-	63
	FY 2017 Pay Raise	-	-	205
	Annualization of Prior Year IPAWS funding for operating costs	-	-	43
	Pay Workload Projection and Efficiencies	-	(7)	-
	Regional Continuity Support	-	-	655
Budget Year	**FY 2017 Request**	**158**	**130**	**49,674**
	Total Change from FY 2016 to FY 2017	**158**	**130**	**49,674**

PPA DESCRIPTION: Preparedness and Protection ($49.674 million)

Preparedness and Protection (PNP) is responsible for the coordination of preparedness and protection related activities throughout FEMA. This includes planning, training, exercises, individual and community preparedness, assessments, lessons learned, and continuity.

FEMA requests $49.674 million, 158 positions, and 130 FTE in the Preparedness and Protection PPA in FY 2017. This supports the $0.205 million 2017 pay increase, the $0.063 million annualization of 2016 pay raise, the $0.043 million annualization of prior year IPAWS funding for operating costs and an increase of $0.655 million for enhanced continuity support to FEMA regions.

National Continuity Programs

FEMA's National Continuity Programs (NCP) serve the public by preserving our Nation's constitutional form of government across a wide range of potential threats and emergencies. NCP serves as the President's lead agent for ensuring the first National Essential Function (NEF) of the Executive Branch, thereby allowing for the continuance of our Nation's constitutional government under all conditions. NCP directly supports two FEMA Strategic Plan objectives, including objective 1.3 on increasing disaster awareness and action by improving communication. NCP also serves as the lead for the supporting strategy to ensure continuity of Federal government operations during a wide range of emergencies under objective 3.1 which is to strengthen the capabilities with the greatest potential to change outcomes on the ground in catastrophic disasters.

Activity/Office	FY 2015 CAS Revised Enacted	FY 2016 CAS Enacted	FY 2017 CAS Request	FY16/17 Change
Preparedness and Protection				
Office of the Assistant Administrator	3,427	3,442	4,101	659
Continuity of Operations	5,689	5,426	5,305	(121)
Operations	17,734	16,497	15,271	(1,226)
Readiness	12,238	11,194	10,999	(195)
IPAWS	10,852	12,149	13,998	1,849
Subtotal Budget	**49,940**	**48,708**	**49,674**	**966**
Positions	128	158	158	

Department of Homeland Security
Operations and Support
Preparedness and Protection

Cost Drivers (Pay & Benefits) - PPA Level (Excludes Reimbursables) ($000s)

	FY 2015 Revised Enacted				FY 2016 Enacted				FY 2017 Request				Delta FY 2016 - 2017			
	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost
Civilian Total	128	118	$16,213	$126	158	137	$20,008	$146	158	130	$18,004	$138	-	(7)	($2,004)	($8)

[1]Reflects reprogrammings/transfers, as applicable, and actual FTE. The use of FY 2015 Actuals and FY2015 Revised Enacted Budgetary Resources in the CAS Pay Cost Drivers Exhibit may reflect a higher average FTE Cost than represented in the FY 2016 Enacted or FY 2017 Request average cost columns.

NARRATIVE EXPLANATION OF CHANGES – PAY COST DRIVERS:

- **FTE Change FY 2016-2017:** A FY 2017 decrease of 7 FTE is due to program workload projections and efficiencies.
- **Personnel and Compensation Benefits Change FY 2016-2017:** The decrease of $2.004 million in PCB change from FY 2016-FY 2017 is due to program workload projections and efficiencies.
- **Average Cost Change FY 2016-2017:** The average cost per FTE decreased by $8,000.

Department of Homeland Security
Federal Emergency Management Agency
Preparedness and Protection

Cost Drivers (Non-Pay) - PPA Level ($000s)

Appropriation – Preparedness and Protection	2015 Revised Enacted	2016 Enacted	2017 Request	FY 2016 to FY 2017 Change
FY 2017 Non- Pay Cost Drivers (greatest-least)				
Other Services from Non-Federal Sources	$25,767	$20,914	$21,914	$1,000
Other Goods and Services from Federal Sources	$4,258	$4,300	$5,300	$1,000
Total	$30,025	$25,214	$27,214	$2,000

*FY 2015 and FY 2016 funding levels reflect the CAS Structure and are for comparison purposes only.

NARRATIVE EXPLANATION OF CHANGES – NON-PAY COST DRIVERS:

Other Services from Non-Federal Sources Cost Change FY 2016-2017: Costs for other services from non-federal sources and other goods and services from federal sources increases of $2.000 million from FY 2016 to FY 2017. The primary reason for the cost increase in FY 2017 is the continued support of program management and the operations, maintenance, life-cycle updates and enhancements of these assets to satisfy Agency strategic and operational goals and objectives.

Department of Homeland Security
Federal Emergency Management Agency
Operations and Support
Response and Recovery
FY 2016 to FY 2017 Budget Change- PPA Level
(Dollars in Thousands)

Response and Recovery		Positions	FTE	Amount
Prior Year	**FY 2015 Revised Enacted**	**-**	**-**	**-**
Base	**FY 2016 Enacted**	**-**	**-**	**-**
Current Services	Transfer in from Legacy S&E/Recovery to R&R PPA (CAS)	378	316	49,763
	Transfer in from Legacy S&E/Response to R&R PPA (CAS)	775	648	172,624
	Transfer in from DRF to R&R PPA (DRS)	-	-	7,910
	Realignment of FDRC Costs from M&A PPA	19	19	3,696
	Annualization of 2016 Pay Raise			443
	2017 Pay Raise	-	-	1,641
	CBRNE Support Office Efficiencies	-	-	(1,000)
	Operational Capabilities and Resource-Sharing Efficiencies			(2,359)
	Offset for Increased CDP Training Utilization			(659)
	FY 2017 Hiring Initiative	-	36	1,935
	Urban Search and Rescue Agreements	-	-	(3,949)
Program Changes	TACCOM Lifecycle Replacement	-	-	4,300
	Recovery- Interagency Recovery Coordination and Assessment Support	-	-	2,841
Budget Year	**FY 2017 Request**	**1,172**	**1,019**	**237,186**
	Total Change from FY 2016 to FY 2017	**1,172**	**1,019**	**237,186**

<u>PPA DESCRIPTION:</u> Response and Recovery ($237.186 million)

The Office of Response and Recovery provides leadership to build, sustain, and improve the coordination and delivery of support to citizens and State, local, tribal and territorial governments to save lives, reduce suffering, protect property and recover from all hazards. The Office of Response and Recovery supports FEMA 2014-2018 Strategic Plan priorities including becoming more survivor-centric in mission and program delivery, becoming an expeditionary organization, posturing and building for catastrophic disasters, enabling disaster risk reduction nationally, and strengthening FEMA's organizational foundation.

FEMA requests $237.186 million, 1,172 positions, and 1,019 FTE in the Response and Recovery PPA in FY 2017. This level reflects

$222.387 million in CAS-related transfers, a DRS transfer in of $7.910 million, and adjustments to base and program changes totaling $6.889 million.

Proposed changes include the FDRC realignment of $3.696 million, 19 positions, and 19 FTE from M&A PPA, the $3.576 million 2017 pay increase and FTE funding increases, the $0.443 million annualization of the 2016 pay raise, a decrease of $1.000 million for CBRNE, a decrease of $2.359 million for operational capabilities and resource-sharing efficiencies, the decrease of $0.659 for the offset of Incident Workforce Management space, an increase of $4.300 million for Lifecycle Replacement for Tactical Communications (TACCOM), an increase of $2.841 million for Interagency Recovery Coordination and Assessment support, and a $3.949 million decrease associated with Urban Search and Rescue (USAR) cooperative agreements.

Activity/Office	FY 2015 CAS Revised Enacted	FY 2016 CAS Enacted	FY 2017 CAS Request	FY16/17 Change
Response and Recovery				
Non-Pay	96,614	91,390	99,199	7,809
Salaries and Benefits	135,161	130,997	137,987	6,990
Subtotal Budget	**231,775**	**222,387**	**237,186**	**14,799**
Positions	1,160	1,153	1,172	19

Response

FEMA's Response Directorate provides and coordinates the core Federal disaster response operational capabilities needed to save lives, minimize suffering, and protect property in communities throughout the nation overwhelmed by the impact of an incident, regardless of cause. Response program activities encompass the coordination of all Federal emergency management response operations, response planning and integration of Federal, State, tribal, and local disaster programs in order to ensure efficient and effective delivery of immediate emergency assistance to individuals and communities impacted and overwhelmed by these disasters, emergencies, or acts of terrorism. Although supporting all FEMA Strategic priorities, the Response Directorate heavily focuses on priorities 2 and 3 focused on being expeditionary and building for catastrophic disasters. In support of the FEMA Strategic Plan priority 2, the Response Directorate works to improve the alignment of FEMA incident operations with the needs of state, local, tribal, and territorial partners under objective 2.1; to ensure the Agency is expeditionary in improving the individual and collective readiness and capabilities of FEMA's workforce under objective 2.2; and to optimize the assignment of assets in support of incident operations under objective 2.3. In support of priority 3, the Response Directorate works to strengthen capabilities with the greatest potential to change outcomes on the ground in catastrophic disasters under objective 3.1 and to operationalize resource-sharing opportunities for catastrophic disasters under objective 3.2.

Activity/Office	FY 2015 CAS Revised Enacted	FY 2016 CAS Enacted	FY 2017 CAS Request	FY16/17 Change
Response				
Non-Pay	87,359	84,539	85,713	1,174
Salaries and Benefits	88,627	88,085	92,786	4,701
Subtotal Budget	**175,986**	**172,624**	**178,499**	**5,875**
Positions	767	775	784	9

Recovery

The Recovery program directly supports FEMA Strategic Plan priority 1, which is focused on being survivor-centric in mission and program delivery. Objective 1.1 is focused on streamlining and simplifying disaster services for individuals and communities, with the key outcome that those services are transparent, efficient, and effective in meeting the needs of survivors. FEMA will be better prepared, engaged and provide support to local leaders and tribal officials to help focus on survivor and community needs and capabilities to strengthen planning for future management of disasters.

Activity/Office	FY 2015 CAS Revised Enacted	FY 2016 CAS Enacted	FY 2017 CAS Request	FY16/17 Change
Recovery				
Non-Pay	9,255	6,851	13,486	6,635
Salaries and Benefits	46,534	42,912	45,201	2,289
Subtotal Budget	**55,789**	**49,763**	**58,687**	**8,924**
Positions	393	378	388	10

Department of Homeland Security
Federal Emergency Management Agency
Response and Recovery
Justification of Program Changes
(Dollars in Thousands)

	FY 2015 Revised Enacted			FY 2016 Enacted			FY 2017 Request		
	FTP	FTE	Dollars ($000)	FTP	FTE	Dollars ($000)	FTP	FTE	Dollars ($000)
Program Increase: TACCOM Lifecycle Replacement Modernization							-	-	$4,300
Program Increase: Interagency Recovery Coordination and Assessment Initiative									$2,841
Subtotal, Program Increases							**-**	**-**	**$7,141**
Total Request							**-**	**-**	**$7,141**

Program Change 1: Tactical Communications (TACCOM) Lifecycle Replacement Modernization ($4.300 million)

DESCRIPTION OF ITEM: The Associate Administrator, Office of Response and Recovery (ORR), made it a priority to fix emergency communications used to support disaster field operations by procuring tactical multiband Land Mobile Radios (LMR). The multiband is the approved lifecycle replacement for the current generation Motorola XTS-5000 radio platform. Currently, there are no multiband radios in the inventory. The replacement of these radios is vital to the safety of FEMA's deployed emergency personnel, as well as to the accomplishment of the Agency's response mission. The Motorola XTS 5000 radios currently in use were issued over 10 years ago. Since that date, that radio model has become obsolete and will no longer be supported by the manufacturer sometime between FY2017 and 2021. The next generation tactical LMR is a software defined radio (SDR).

Justification: An increase of $4.300 million is to support the efforts to recapitalize tactical communications. FEMA will be able to achieve the subscriber target with a 7-year purchase plan.

Impact on Performance: Funding this effort will support the procurement of 1,127 radios each year for the next 5-years, to accomplish the planned FY 2021 total of 5,635 If approved, this approach will build national mobile communications capabilities to stabilize and recover from catastrophic events and meet incident management and DHS interoperability requirements.

Program Change 2: Interagency Recovery Coordination and Assessment Initiative ($2.841 million)

DESCRIPTION OF ITEM: The Interagency Recovery Coordination and Assessment Support Initiative (a new initiative formerly known as the Recovery Cadre Management program) includes $2,841 million to build FEMA investments to integrate the assessment, development and reporting of interagency operational readiness and support in the Recovery Mission Area. FEMA's Interagency Recovery Coordination and Assessment Support initiative focuses on building out an integrated approach to disaster recovery management through enhancing interagency staff capacity and building a more effective interagency collaboration platform.

Justification: The 2015 Interagency Recovery Readiness Assessment identified significant gaps in capability across the federal interagency to support the recovery core capabilities as identified in the National Preparedness Goal. The steady investment and progress made in implementing the National Disaster Recovery Framework, maturing key governance and relationships across the federal interagency and improving service to disaster survivors and communities would be at considerable risk without addressing the identified gaps in readiness of the interagency recovery mission area. An increase of $2.841 million is to support FEMA's interagency coordination and assessment staff to ensure each Recovery Support Function has dedicated support to assist with development of action plans to address identified capability gaps through development of procedures, training programs, assessment systems, and field position credentialing and enhancing an integrated technology platform that facilitates faster, more effective, and more meaningful information and data exchange and an interagency PMO-like performance function.

Impact on Performance: This proposal will provide greater capacity for the federal interagency to not only define key metrics that measure improved and more effective delivery of Recovery Mission support to states, tribes, territories, communities, and survivors, but also ensure the commitment to agencies to define, report, and assess performance gaps.

Department of Homeland Security
Operations and Support
Response and Recovery

Cost Drivers (Pay & Benefits) - PPA Level (Excludes Reimbursables) ($000s)

	FY 2015 Revised Enacted				FY 2016 Enacted				FY 2017 Request				Delta FY 2016 - 2017			
	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost
Civilian Total	1,160	1,046	$135,161	$116	1,153	964	$130,997	$136	1,172	1,019	$140,562	$138	-	55	$9,565	$2

[1]Reflects reprogrammings/transfers, as applicable, and actual FTE. The use of FY 2015 Actuals and FY2015 Revised Enacted Budgetary Resources in the CAS Pay Cost Drivers Exhibit may reflect a higher average FTE Cost than represented in the FY 2016 Enacted or FY 2017 Request average cost columns.

NARRATIVE EXPLANATION OF CHANGES – PAY COST DRIVERS:

- **FTE Change FY 2016-2017:** There is a total FTE increase of 55 in Response and Recovery. There is an increase of 36 FTE due to an Agency-wide effort to streamline the hiring process and an increase of 19 as a realignment from the O&S M&A PPA.
- **Personnel and Compensation Benefits Change FY 2016-2017:** The $9.565 million PCB change from FY 2016-2017 is due to the Agency-wide effort to streamline the hiring process and the restoration of the one-time vacancy efficiency taken in the FY16 enacted bill, in addition to a realignment of 19 FTE from O&S M&A PPA.
- **Average Cost Change FY 2016-2017**: The average cost per FTE increased by $2,000 due to the FY 2017 pay raise.

Department of Homeland Security
Federal Emergency Management Agency
Response and Recovery

Cost Drivers (Non-Pay) - PPA Level ($000s)

Appropriation - Response and Recovery	2015 Revised Enacted	2016 Enacted	2017 Request	FY 2016 to FY 2017 Change
FY 2017 Non- Pay Cost Drivers (greatest-least)				
Other Services from Non-Federal Sources	$38,578	$29,258	$32,992	$3,734
Total	**$38,578**	**$29,258**	**$32,992**	**$3,734**

NARRATIVE EXPLANATION OF CHANGES – NON-PAY COST DRIVERS:

- **Other Services from Non-Federal Sources Cost Change FY 2016-2017:** Costs for other services from non-federal sources increase by $3.734 million in FY 2017. This significant cost increase is attributed to the maintenance of the Equipment Rates System, Equipment Watch, the functional implementation of the contract writing tool, PRISM and the business processes and continued annual maintenance of the current system AAMS.

E. Justification of Proposed Legislative Language

Department of Homeland Security
Federal Emergency Management Agency
Operations and Support

For necessary expenses of the Federal Emergency Management Agency, including minor procurements, construction, and improvements, [$960,754,000] **$927,524,000**, including activities authorized by the National Flood Insurance Act of 1968 (42 U.S.C. 4001 et seq.), the Robert T. Stafford Disaster Relief and Emergency Assistance Act (42 U.S.C. 5121 et seq.), the Cerro Grande Fire Assistance Act of 2000 (division C, title I, 114 Stat. 583), the Earthquake Hazards Reduction Act of 1977 (42 U.S.C. 7701 et seq.), the Defense Production Act of 1950 (50 U.S.C. App. 2061 et seq.), sections 107 and 303 of the National Security Act of 1947 (50 U.S.C. 404, 405), [Reorganization Plan No. 3 of 1978 (5 U.S.C. App.),] the National Dam Safety Program Act (33 U.S.C. 467 et seq.), the Homeland Security Act of 2002 (6 U.S.C. 101 et seq.), the Implementing Recommendations of the 9/11 Commission Act of 2007 (Public Law 110–53), the Federal Fire Prevention and Control Act of 1974 (15 U.S.C. 2201 et seq.), and the Post-Katrina Emergency Management Reform Act of 2006 (Public Law 109–295; 120 Stat. 1394)[, the Biggert-Waters Flood Insurance Reform Act of 2012 (Public Law 112–141, 126 Stat. 916), and the Homeowner Flood Insurance Affordability Act of 2014 (Public Law 113–89)]: Provided, That not to exceed $2,250 shall be for official reception and representation expenses[: Provided further, That of the total amount made available under this heading, $35,180,000 shall be for the Urban Search and Rescue Response System, of which none is available for Federal Emergency Management Agency administrative costs: Provided further, That of the total amount made available under this heading, $27,500,000 shall remain available until September 30, 2017, for capital improvements and other expenses related to continuity of operations at the Mount Weather Emergency Operations Center: Provided further, That of the total amount made available, $3,422,000 shall be for the Office of National Capital Region Coordination].

Explanation of Proposed Change

The legislative language associated with this account has been updated and streamlined to reflect the Department's new Common Appropriations Structure. Funding amounts have been updated to reflect the FY 2017 President's Budget

F. Summary of Fee Collections and Carryover

N/A

G. Summary of Reimbursable Resources

Department of Homeland Security
Federal Emergency Management Agency
Operations and Support
Summary of Reimbursable Resources
(Dollars in Thousands)

	FY 2015 Revised Enacted			FY 2016 Enacted			FY 2017 Request			Increase/Decrease		
Collections by Source:	**Pos**	**FTE**	**Amount**	**Pos**	**FTE**	**Amount**	**Pos**	**FTE**	**Amount**	**Pos**	**FTE**	**Amount**
Department of Interior	-	-	$50	-	-	$50	-	-	$50	-	-	-
Department of Education, DAIP	-	-	$83	-	-	$83	-	-	$83	-	-	-
Department of Housing & Urban Development, DAIP	-	-	$130	-	-	$130	-	-	$130	-	-	-
Department of Agriculture, DAIP	-	-	$1,011	-	-	$1,011	-	-	$1,011	-	-	-
Department of Army (CSEPP)	22	22	$34,300	19	19	$33,900	19	19	$33,900	-	-	-
Department of Labor, DAIP	-	-	$411	-	-	$411	-	-	$411	-	-	-
Department of Interior, DAIP	-	-	$42	-	-	$42	-	-	$42	-	-	-
Department of Justice, DAIP	-	-	$96	-	-	$96	-	-	$96	-	-	-
Department of Veterans Affairs, DAIP	-	-	$194	-	-	$194	-	-	$194	-	-	-
Office of Personnel Management, DAIP	-	-	$42	-	-	$42	-	-	$42	-	-	-
Department of Defense, DAIP	-	-	$30	-	-	$30	-	-	$30	-	-	-
Department of Treasury, DAIP	-	-	$130	-	-	$130	-	-	$130	-	-	-
Department of Commerce, DAIP	-	-	$30	-	-	$30	-	-	$30	-	-	-
Social Security Administration, DAIP	-	-	$183	-	-	$183	-	-	$183	-	-	-
Department of Justice	-	-	$90	-	-	$90	-	-	$90	-	-	-
Small Business Administration, DAIP	-	-	$465	-	-	$465	-	-	$465	-	-	-
Department of Health and Human Services, DAIP	-	-	$195	-	-	$195	-	-	$195	-	-	-
Department of Homeland Security, OPO	-	-	$11,250	-	-	$11,250	-	-	$11,250	-	-	-
Department of Commerce	-	-	$30	-	-	$30	-	-	$30	-	-	-
US Army Corps of Engineers	-	-	$58	-	-	$58	-	-	$58	-	-	-
Department of Health and Human Services, NDMS	-	-	$853	-	-	$853	-	-	$853	-	-	-
US Coast Guard, National Pollution Funds Center	-	-	$3,500	-	-	$3,500	-	-	$3,500	-	-	-
Department of Homeland Security, CBP	-	-	$723	-	-	$723	-	-	$723	-	-	-
Department of Homeland Security - OCIO	-	-	$1,533	-	-	$1,533	-	-	$1,533	-	-	-
Department of Defense	-	-	$464	-	-	$464	-	-	$464	-	-	-
Department of Homeland Security	5	5	$2,503	5	5	$2,503	5	5	$2,503	-	-	-
Total Budgetary Resources	**27**	**27**	**$58,396**	**24**	**24**	**$57,996**	**24**	**24**	**$57,996**	-	-	-

	FY 2015 Revised Enacted			FY 2016 Enacted			FY 2017 Request			Increase/Decrease		
Obligations by Program/Project Activity:	**Pos**	**FTE**	**Amount**	**Pos**	**FTE**	**Amount**	**Pos**	**FTE**	**Amount**	**Pos**	**FTE**	**Amount**
Response	-	-	**$242**	-	-	**$242**	-	-	**$242**	-	-	-
Preparedness and Protection	**22**	**22**	**$34,881**	**19**	**19**	**$34,481**	**19**	**19**	**$34,481**	-	-	-
Centrally Managed Accounts	-	-	**$1,422**	-	-	**$1,422**	-	-	**$1,422**	-	-	-
Administrative and Regional Offices	**5**	**5**	**$17,702**	**5**	**5**	**$17,702**	**5**	**5**	**$17,702**	-	-	-
Mission Support	-	-	**$4,149**	-	-	**$4,149**	-	-	**$4,149**	-	-	-
Total Obligations	**27**	**27**	**$58,396**	**24**	**24**	**$57,996**	**24**	**24**	**$57,996**	-	-	-

H. FY 2017 Schedule of Working Capital Fund by Program/Project Activity

Department of Homeland Security
Federal Emergency Management Agency
Operations and Support
(Dollars in Thousands)

Program/Project/Activity	FY 2015 Revised Enacted Amount	FY 2016 Enacted Amount	FY 2017 Request Amount	Increase/Decrease for FY 2017 Amount
Management and Administration	$6,354	$7,742	$11,468	$3,726
Integrated Operations	$5,865	$6,826	$3,138	($3,688)
Mitigation	$268	$341	$156	($185)
Preparedness and Protection	$673	$910	$380	($530)
Response and Recovery	$6,102	$6,382	$2,914	($3,468)
Total Working Capital Fund	**$19,262**	**$22,201**	**$18,056**	**($4,145)**

I. Capital Investment and Construction Initiative Listing

N/A

J. Object Class Breakout by Appropriation

Department of Homeland Security
Federal Emergency Management Agency
Operations and Support
Summary of Requirements by Object Class
(Dollars in Thousands)

Object Classes	2015 Revised Enacted	2016 Enacted	2017 Request	FY 2016 to FY 2017 Change
Personnel and Compensation Benefits				
11.1 Full-time Permanent	$334,765	$330,314	$345,933	$15,619
11.3 Other than Full-Time Permanent	-	-	-	-
11.5 Other Personnel Compensation	-	-	-	-
11.6 Military Personnel-Basic Allowance for Housing	-	-	-	-
11.7 Military Personnel	-	-	-	-
11.8 Special Personal Services Payments	-	-	-	-
12.1 Civilian Personnel Benefits	$130,188	$128,455	$134,528	$6,073
12.2 Military Personnel Benefits	-	-	-	-
13.0 Benefits for Former Personnel	-	-	-	-
Total, Personnel and Other Compensation Benefits	**$464,953**	**$458,769**	**$480,461**	**$21,692**
Other Object Classes				
21.0 Travel and Transportation of Persons	$9,201	$8,552	$8,207	($345)
22.0 Transportation of Things	$494	$494	$407	($87)
23.1 Rental Payments to GSA	$26,934	$26,934	$57,089	$30,155
23.2 Rental Payments to Others	-	-	-	-
23.3 Communications, Utilities, and Misc. Charges	$24,895	$26,136	$21,482	($4,654)
24.0 Printing and Reproduction	$697	$697	$697	-
25.0 Other Contractual Services	-	-	-	-
25.1 Advisory and Assistance Services	$11,094	$9,690	$8,094	($1,596)
25.2 Other Services from Non-Federal Sources	$154,284	$156,317	$230,909	$74,592
25.3 Other Goods and Services from Federal Sources	$28,320	$27,505	$22,874	($4,631)
25.4 Operation and Maintenance of Facilities	$4,049	$11,097	$23,533	$12,436
25.5 Research and Development Contracts	-	-	-	-
25.6 Medical Care	$16	$16	$16	-
25.7 Operation and Maintenance of Equipment	$4,008	$4,008	$4,008	-
25.8 Subsistence & Support of Persons	-	-	-	-
26.0 Supplies and Materials	$5,482	$4,706	$5,171	$465
31.0 Equipment	$15,388	$24,585	$21,575	($3,010)
32.0 Land and Structures	$15,095	$15,094	$16,028	$934
33.0 Investments and loans	-	-	-	-
41.0 Grants, Subsidies, and Contributions	$28,081	$27,600	$27,600	-
42.0 Insurance Claims and Indemnities	-	-	-	-
43.1 Interest and Dividends	$409	$409	$409	-

Object Classes	2015 Revised Enacted	2016 Enacted	2017 Request	FY 2016 to FY 2017 Change
43.2 Immigration Fees	-	-	-	-
44.0 Refunds	-	-	-	-
91.0 Unvouchered	-	-	-	-
93.1 Limitation on expenses	-	-	-	-
99.0 Subtotal, Obligations	-	-	-	-
99.5 Below Reporting Threshold	-	-	-	-
Total, Other Object Classes	**$328,447**	**$358,640**	**$447,063**	**$88,423**
Total, Direct Obligations	**$793,400**	**$802,609**	**$927,524**	**$124,915**
Adjustments				
Net Offsetting Collections	-	-	-	-
Unobligated Balance, start of year	-	-	-	-
Unobligated Balance, end of year	-	-	-	-
Recoveries of Prior Year Obligations	-	-	-	-
Offsetting Collections	-	-	-	-
Total Requirements	**$793,400**	**$802,609**	**$927,524**	**$124,915**

*FY 2015 and FY 2016 funding levels reflect the CAS Structure and are for comparison purposes only

K. Object Class Breakout by PPA

Department of Homeland Security
Federal Emergency Management Agency
Operations and Support
Management and Administration
Summary of Requirements by Object Class
(Dollars in Thousands)

Object Classes	FY 2015 Revised Enacted	FY 2016 Enacted	FY 2017 Request	FY 2016 to FY 2017 Change
Personnel and Compensation Benefits				
11.1 Full-time Permanent	$117,397	$115,674	$119,854	$4,180
12.1 Civilian Personnel Benefits	$45,655	$44,984	$46,812	$1,828
Total, Personnel and Compensation Benefits	**$163,052**	**$160,658**	**$166,666**	**$6,008**
Other Object Classes				
21.0 Travel and Transportation of Persons	$2,885	$2,340	$1,891	($449)
22.0 Transportation of Things	$239	$239	$239	-
23.1 Rental Payments to GSA	$26,934	$26,934	$57,089	($30,155)
23.3 Communications, Utilities, and Misc. Charges	$21,891	$23,131	$18,811	($4,320)
24.0 Printing and Reproduction	$278	$278	$278	-
25.1 Advisory and Assistance Services	$2,900	$3,900	$2,900	($1,000)
25.2 Other Services from Non-Federal Sources	$74,168	$93,788	$165,674	$71,866
25.3 Other Goods and Services from Federal Sources	$16,841	$15,984	$10,694	($5,290)
25.4 Operation and Maintenance of Facilities	$2,041	$2,820	$2,692	($128)
25.7 Operation and Maintenance of Equipment	$2,502	$2,502	$2,502	-
26.0 Supplies and Materials	$902	$902	$902	-
31.0 Equipment	$5,821	$5,875	$3,775	($2,100)
32.0 Land and Structures	$13,784	$13,783	$14,717	$934
Total, Other Object Classes	**$171,186**	**$192,476**	**$281,128**	**$88,652**
Adjustments	-	-	-	-
Unobligated Balance, start of year	-	-	-	-
Unobligated Balance, end of year	-	-	-	-
Recoveries of Prior Year Obligations	-	-	-	-
Total Requirements	**$334,238**	**$353,134**	**$447,794**	**$94,660**
Full Time Equivalents	**1,208**	**1,168**	**1,199**	**31**

*FY 2015 and FY 2016 funding levels reflect the CAS Structure and are for comparison purposes only

Department of Homeland Security
Federal Emergency Management Agency
Operations and Support
Integrated Operations
Summary of Requirements by Object Class
(Dollars in Thousands)

Object Classes	FY 2015 Revised Enacted	FY 2016 Enacted	FY 2017 Request	FY 2016 to FY 2017 Change
Personnel and Compensation Benefits				
11.1 Full-time Permanent	$103,726	$100,873	$106,334	$5,461
12.1 Civilian Personnel Benefits	$40,338	$39,229	$41,352	$2,123
Total, Personnel and Compensation Benefits	**$144,064**	**$140,102**	**$147,686**	**$7,584**
Other Object Classes				
21.0 Travel and Transportation of Persons	$1,131	$1,131	$1,131	-
22.0 Transportation of Things	$172	$172	$85	($87)
23.3 Communications, Utilities, and Misc. Charges	$667	$667	$333	($334)
24.0 Printing and Reproduction	$309	$309	$309	-
25.1 Advisory and Assistance Services	$1,191	$1,191	$595	($596)
25.2 Other Services from Non-Federal Sources	$11,274	$9,079	$7,661	($1,418)
25.3 Other Goods and Services from Federal Sources	$682	$682	$341	($341)
25.4 Operation and Maintenance of Facilities	$1,221	$7,489	$20,053	$12,564
25.6 Medical Care	$16	$16	$16	-
25.7 Operation and Maintenance of Equipment	$561	$561	$561	-
26.0 Supplies and Materials	$914	$914	$603	($311)
31.0 Equipment	$2,775	$2,775	$1,775	($1,000)
32.0 Land and Structures	$698	$698	$698	-
43.1 Interest and Dividends	$409	$409	$409	-
Total, Other Object Classes	**$22,020**	**$26,093**	**$34,570**	**$8,477**
Adjustments	**-**	**-**	**-**	**-**
Unobligated Balance, start of year	-	-	-	-
Unobligated Balance, end of year	-	-	-	-
Recoveries of Prior Year Obligations	-	-	-	-
Total Requirements	**$166,084**	**$166,195**	**$182,256**	**$16,061**
Full Time Equivalents	**1,115**	**1,030**	**1,067**	**37**

*FY 2015 and FY 2016 funding levels reflect the CAS Structure and are for comparison purposes only

Department of Homeland Security
Federal Emergency Management Agency
Operations and Support
Mitigation
Summary of Requirements by Object Class
(Dollars in Thousands)

Object Classes	FY 2015 Revised Enacted	FY 2016 Enacted	FY 2017 Request	FY 2016 to FY 2017 Change
Personnel and Compensation Benefits				
11.1 Full-time Permanent	$4,653	$5,043	$5,431	$388
12.1 Civilian Personnel Benefits	$1,810	$1,961	$2,112	$151
Total, Personnel and Compensation Benefits	**$6,463**	**$7,004**	**$7,543**	**$539**
Other Object Classes				
21.0 Travel and Transportation of Persons	$183	$183	$183	-
22.0 Transportation of Things	$9	$9	$9	-
24.0 Printing and Reproduction	$28	$28	$28	-
25.1 Advisory and Assistance Services	$8	$8	$8	-
25.2 Other Services from Non-Federal Sources	$4,497	$3,278	$2,668	($610)
25.3 Other Goods and Services from Federal Sources	$86	$86	$86	-
26.0 Supplies and Materials	$6	$6	$6	-
31.0 Equipment	$8	$8	$8	-
41.0 Grants, Subsidies, and Contributions	$75	$75	$75	-
Total, Other Object Classes	**$4,900**	**$3,681**	**$3,071**	**($610)**
Adjustments	-	-	-	-
Unobligated Balance, start of year	-	-	-	-
Unobligated Balance, end of year	-	-	-	-
Recoveries of Prior Year Obligations	-	-	-	-
Total Requirements	**$11,363**	**$10,685**	**$10,614**	**($71)**
Full Time Equivalents	**51**	**52**	**55**	**3**

Department of Homeland Security
Federal Emergency Management Agency
Operations and Support
Preparedness and Protection
Summary of Requirements by Object Class
(Dollars in Thousands)

Object Classes	FY 2015 Revised Enacted	FY 2016 Enacted	FY 2017 Request	FY 2016 to FY 2017 Change
Personnel and Compensation Benefits				
11.1 Full-time Permanent	$11,673	$14,406	$12,963	($1,443)
12.1 Civilian Personnel Benefits	$4,540	$5,602	$5,041	($561)
Total, Personnel and Compensation Benefits	**$16,213**	**$20,008**	**$18,004**	**($2,004)**
Other Object Classes				
21.0 Travel and Transportation of Persons	$1,249	$1,145	$1,249	($104)
23.3 Communications, Utilities, and Misc. Charges	$55	$56	$56	-
24.0 Printing and Reproduction	$7	$7	$7	-
25.1 Advisory and Assistance Services	$75	$75	$75	-
25.2 Other Services from Non-Federal Sources	$25,767	$20,914	$21,914	$1,000
25.3 Other Goods and Services from Federal Sources	$4,258	$4,300	$5,300	$1,000
25.4 Operation and Maintenance of Facilities	$190	$191	$191	-
26.0 Supplies and Materials	$894	$118	$894	($776)
31.0 Equipment	$751	$1,894	$1,984	($90)
41.0 Grants, Subsidies, and Contributions	$481	-	-	-
Total, Other Object Classes	**$33,727**	**$28,700**	**$31,670**	**($11,830)**
Adjustments	-	-	-	-
Unobligated Balance, start of year	-	-	-	-
Unobligated Balance, end of year	-	-	-	-
Recoveries of Prior Year Obligations	-	-	-	-
Total Requirements	**$49,940**	**$48,708**	**$49,674**	**966**
Full Time Equivalents	**128**	**137**	**130**	**(7)**

Department of Homeland Security
Federal Emergency Management Agency
Operations and Support
Response and Recovery
Summary of Requirements by Object Class
(Dollars in Thousands)

Object Classes	FY 2015 Revised Enacted	FY 2016 Enacted	FY 2017 Request	FY 2016 to FY 2017 Change
Personnel and Compensation Benefits				
11.1 Full-time Permanent	$97,316	$94,318	$101,351	$7,033
12.1 Civilian Personnel Benefits	$37,845	$36,679	$39,211	$2,532
Total, Personnel and Compensation Benefits	**$135,161**	**$130,997**	**$140,562**	**$6,990**
Other Object Classes				
21.0 Travel and Transportation of Persons	$3,753	$3,753	$3,753	-
22.0 Transportation of Things	$74	$74	$74	-
23.3 Communications, Utilities, and Misc. Charges	$2,282	$2,282	$2,282	-
24.0 Printing and Reproduction	$75	$75	$75	-
25.1 Advisory and Assistance Services	$6,920	$4,516	$4,516	-
25.2 Other Services from Non-Federal Sources	$38,578	$29,258	$32,992	$3,734
25.3 Other Goods and Services from Federal Sources	$6,453	$6,453	$6,453	-
25.4 Operation and Maintenance of Facilities	$597	$597	$597	-
25.7 Operation and Maintenance of Equipment	$945	$945	$945	-
26.0 Supplies and Materials	$2,766	$2,766	$2,766	-
31.0 Equipment	$6,033	$14,033	$14,033	-
32.0 Land and Structures	$613	$613	$613	-
41.0 Grants, Subsidies, and Contributions	$27,525	$27,525	$27,525	-
Total, Other Object Classes	**$96,614**	**$92,890**	**$96,624**	**$3,734**
Adjustments	-	-	-	-
Unobligated Balance, start of year	-	-	-	-
Unobligated Balance, end of year	-	-	-	-
Recoveries of Prior Year Obligations	-	-	-	-
Total Requirements	**$231,775**	**$223,887**	**$237,186**	**$13,299**
Full Time Equivalents	**1,160**	**964**	**1,019**	**505**

L. Permanent Positions by Grade

Department of Homeland Security
Federal Emergency Management Agency
Operations and Support

Permanent Positions by Grade

Grades and Salary Range	FY 2015 Revised Enacted Pos.	FY 2016 Enacted Pos.	FY 2017 Request Pos.	FY 2016 to FY 2017 Change Total
Total, SES	70	64	64	-
Total, EX	4	3	3	-
GS-15	370	341	341	-
GS-14	730	675	675	-
GS-13	1,008	1,209	1,209	-
GS-12	964	964	964	-
GS-11	260	236	236	-
GS-9	90	90	90	-
GS-8	24	24	24	-
GS-7	53	53	53	-
GS-6	7	7	7	-
GS-5	3	3	3	-
GS-4	3	3	3	-
Other Graded Positions	76	74	74	-
Total Permanent Positions	**3,662**	**3,746**	**3,746**	**-**
Unfilled Positions EOY	-	-	-	-
Total Permanent Employment EOY	-	-	-	-
Headquarters	2,547	2,631	2,631	-
U.S. Field	1,115	1,115	1,115	-
Total, Operations and Support:	**3,662**	**3,746**	**3,746**	**-**
Full Time Equivalents	**3,269**	**3,351**	**3,470**	**119**
Average ES Salary	162,349	164,460	167,091	2,631
Average GS Salary	92,858	94,065	95,570	1,505
Average Grade	13	13	13	-

M. Changes in Full Time Employment

	FY 2015	FY 2016	FY 2017
Increases			
Transfer from S&E ARO to M&A	-	-	457
Transfer from S&E MS to M&A	-	-	711
Transfer from S&E - ARO to IO	-	-	1,030
Transfer from S&E to Mitigation	-	-	52
Transfer from S&E P&P to P&P	-	-	137
Transfer from Recovery to O&S R& R	-	-	316
Transfer from Response to O&S R & R	-	-	648
M&A PPA FY 2017 Hiring Initiative	-	-	119
Decreases			
Year End Actuals/Estimated FTEs:	**3,269**	**3,351**	**3,470**

Department of Homeland Security

Federal Emergency Management Agency

Procurement, Construction and Improvements

Fiscal Year 2017

Congressional Justification

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Schedule I – Executive Summary of Appropriation Exhibits

Exhibit A. Summary of Budget Estimates by Program Project Activity- Appropriation Level

Department of Homeland Security
Federal Emergency Management Agency (CAS-FEMA)
Procurement, Construction, and Improvements
Summary of FY 2017 Budget Estimates by Program Project Activity

Program Project Activity	FY 2015			FY 2016			FY 2017			Increase(+) or Decrease(-) for FY 2017		
	Revised Enacted			Enacted			Request			Total Changes		
	POS	FTE	Amount	POS	FTE	Amount	POS	FTE	Amount	POS	FTE	Amount
Preparedness and Protection	-	-	$31,500	-	-	$41,800	-	-	$23,850	-	-	($17,950)
Recovery and Response	-	-	-	-	-	$1,500	-	-	$11,423	-	-	$9,923
Total, Procurement, Construction, and Improvements	**-**	**-**	**$31,500**	**-**	**-**	**$43,300**	**-**	**-**	**$35,273**	**-**	**-**	**($8,027)**
Subtotal, Enacted Appropriations & Budget Estimates	**-**	**-**	**$31,500**	**-**	**-**	**$43,300**	**-**	**-**	**$35,273**	**-**	**-**	**($8,027)**
Less: Adjustments for Other Funding Sources	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**
Net, Enacted Appropriations and Budget Estimates:	**-**	**-**	**$31,500**	**-**	**-**	**$43,300**			**$35,273**	**-**	**-**	**($8,027)**

*FY 2015 and FY 2016 funding levels reflect the CAS Structure and are for comparison purposes only

Overview

Procurement, Construction and Improvements (PC&I) provide funds necessary for the planning, operational development, engineering and purchase of one or more assets (which hereinafter also refers to end items) prior to sustainment. Information Technology (IT) - related PC&I may consist of one or more end items which provide useful software and/or hardware in an operational (production) environment, including non-tangible assets.

Unless otherwise stipulated by regulation or statute, an end item, or improvement project, purchased with PC&I funding has: Personal Property, a unit cost of greater than $250,000, or Real Property, a unit cost of greater than $2 million.
The PC&I Appropriation is a zero based budget request. All investments within the appropriation are justified for the budget year with previous year's investment levels provided. Salaries directly and solely related to PC&I programs are included within this appropriation. PC&I programs that are supported with fee revenues should include those amounts in addition to appropriated amounts in programmatic and budget presentations. Components with investments (levels 1-3) on the Department's Major Acquisition Oversight List that are in the PC&I phase for FY 2017 should display such costs in this appropriation fund type.

Funds necessary operations and mission in support of the following PPAs:

- **PPA 1: Preparedness and Protection $23,850,000**

  The FY 2017 Request provides funding for construction related activities and system modernization efforts within the Protection and National Preparedness PPA.

  Mount Weather Emergency Operations Center (MWEOC) - $15,500,000
  The MWEOC provides facilities, logistics support, communications, operations centers, and supports personnel for a wide variety of vital government functions. MWEOC's mission is to manage, operate, and maintain the associated facility in support of FEMA and other federal departments' and agencies' emergency management programs at all times and under all conditions.

  Integrated Public Alert Warning System (IPAWS) - $2,800,000
  The Integrated Public Alert and Warning System (IPAWS) is an incremental effort, building an enhanced system with standards and protocols integrating existing emergency alert systems, modernizing the Emergency Alert System (EAS) and creating new capabilities to leverage new technologies for public safety alert and warning.

  Center for Domestic Preparedness (CDP) - $4,050,000
  The CDP provides specialized, all-hazards preparedness training in support of the National Training and Education Symposium (NTES) to State, Local, Tribal and Territorial (SLTT) emergency responders on skills tied to national priorities, particularly those related to chemical, biological, radiological and nuclear weapons of mass destruction.

  United States Fire Administration $1,500,000
  USFA trains the nation's first responders at the National Emergency Training Center (NETC) located on the USFA campus in Emmitsburg, Maryland. The NETC is comprised of 30 buildings covering 107 acres. The facility includes 424 single-occupancy dormitory rooms, 18 classrooms, two auditoriums, and several specialty training areas for classes such as arson investigation, exercise simulations and emergency operations center training. Most of the buildings on the campus are listed with the Historical Society. Funding will be used to improve the usage and energy consumption while maintaining the historical preservation of the campus.

- **PPA 2: Response and Recovery $11,423,000**

  In FY 2017, funds are provided to support the Grants Systems Management Modernization initiative. This initiative aligns with FEMA's Strategic Plan objective 5.4, which is focused on streamlining and strengthening FEMA's business processes and systems. ORR will facilitate an agency-wide integrated project team tasked with modernizing and consolidating the agency's various grants

management systems. The current grants management environment is overly complex, inflexible, costly, and difficult to maintain. The process relies on multiple systems operating on multiple platforms, each utilizing different technologies that are non-standard, and many of the platforms are no longer supported by the vendors. The capabilities and data elements are duplicated multiple times. The individual systems have continued to evolve independently.

Exhibit B. FY 2016 to FY 2017 Budget Change - Appropriation Level

Department of Homeland Security
Federal Emergency Management Agency (CAS-FEMA)
Procurement, Construction, and Improvements
FY 2016 to FY 2017 Budget Change – Appropriation Level
(Dollars in Thousands)

	Pos.	FTE	Amount
FY 2015 Revised Enacted	-	-	-
FY 2016 Enacted	-	-	-
Adjustments-to-Base			
Transfers to and from other accounts:			
Transfer in from Legacy S&E/PNP to PNP PPA *(CAS)*	-	-	$40,300
Transfer in from Legacy S&E/Response to R&R PPA *(CAS)*	-	-	$1,500
Transfer in from USFA to PNP PPA *(CAS)*	-	-	$1,500
Transfer in from DRF to R&R PPA *(DRS Realignment)*	-	-	$1,900
Total Transfers	-	-	**$45,200**
Decreases			
Decrease in annual project requirements	-	-	($477)
Non recur of prior year increase for Grants Modernization	-	-	($1,500)
Adjustment to reflect FY2017 MWEOC capital needs	-	-	($12,000)
Total, Decreases	-	-	**($13,977)**
Total Adjustments-to-Base	-	-	**($13,977)**
FY 2017 Current Services	-	-	**$31,223**
Program Changes			
Increases			
Increase for Center for Domestic Preparedness	-	-	$4,050
Total, Increases			**$4,050**
Total Program Changes	-	-	**$4,050**
FY 2017 Request	-	-	**$35,273**
FY 2016 to FY 2017 Change	-	-	**($35,273)**

Exhibit C. FY 2017 Investment Summary - Appropriation Level

Department of Homeland Security
Federal Emergency Management Agency
Procurement, Construction and Improvements
FY 2017 Investment Summary- Appropriation Level
(Dollars in Thousands)

Investment Name	PPA(s)	FY 2015	FY 2016	FY 2017
MWEOC	Preparedness and Protection	$30,000	$27,500	$15,500
IPAWS	Preparedness and Protection		$2,800	$2,800
CDP project	Preparedness and Protection			$4,050
USFA Facility Improvement	Preparedness and Protection	$1,500	$1,500	$1,500
Grant Modernization PNP	Preparedness and Protection		$10,000	
Grant Modernization ORR	Response and Recovery		$1,500	$11,423
Investment Totals		**$31,500**	**$43,300**	**$35,273**

* FY 2015 and FY 2016 funding levels reflect the CAS structure and are for comparison purposes only.

Schedule II – Program, Project, Activity (PPA) Exhibits

A. FY 2016 to FY 2017 Budget Change- PPA Level

Department of Homeland Security
Federal Emergency Management Agency
Procurement, Construction and Improvements
Preparedness and Protection
FY 2016 to FY 2017 Budget Change- PPA Level
(Dollars in Thousands)

Preparedness and Protection		**FTP**	**FTE**	**Amount**
Prior Year	**FY 2015 Revised Enacted**	**-**	**-**	**-**
Base	**FY 2016 Enacted**	**-**	**-**	**-**
Current Services	Transfer in from Legacy USFA/NETC to P&P PPA *(CAS)*	-	-	1,500
	Transfer in from Legacy S&E/P&P to P&P PPA *(CAS)*	-	-	40,300
	Reallocation of Grants Modernization from P&P to ORR PPA	-	-	(10,000)
	Adjustment to reflect FY2017 projected MWEOC capital needs			(12,000)
Program Change	Increase for Center for Domestic Preparedness	-	-	4,050
Budget Year	**FY 2017 Request**	**-**	**-**	**23,850**
	Total Change from FY 2016 to FY 2017	**-**	**-**	**23,850**

PREPAREDNESS AND PROTECTION DESCRIPTION:

Mount Weather Emergency Operations Center (MWEOC) Capital Investment Plan - $15.5 million
The mission of the Mount Weather Emergency Operations Center is to manage, operate, and maintain facilities in support of FEMA and other federal departments and agencies emergency management programs at all times and under all conditions. The infrastructure upgrades requested will enhance Mt. Weather's resiliency in support of the mission, correct life/safety issues, upgrade IT/communications, maintain and sustain equipment, and provide the community with enhanced, more secure connectivity.

Integrated Public Alert and Warning System (IPAWS) Modernization - $2.8 million
The Integrated Public Alert Warning System's (IPAWS) program develops and pilots new technologies and alerting methodologies in partnership with Federal, State, and Local public safety stakeholders, private sector alert and warning industry, and regulatory partners to integrate and improve all

aspects of public alerting. The $2.8 million request in PC&I funding represents the first allocation of multiyear appropriation to this MAOL level 2 Acquisition Program.

Center for Domestic Preparedness Facility Investment - $4.050 million
The Center for Domestic Preparedness provides specialized, all-hazards preparedness training in support of NTES to SLTT emergency responders on skills tied to national priorities, particularly those related to chemical, biological, radiological and nuclear weapons of mass destruction. The requested funding will support CDP's most urgent facility upgrades. Specifically, the facility upgrades address infrastructure shortfalls on campus and include facility enhancements such as the following.

- Expand campus kitchen with space, gas, and electrical upgrades to increase capacity, as well as adding food storage capacity.
- Expand food preparation area and seating capacity in two main dining halls. The renovations will add space, gas, electrical power, and much needed restrooms. The new seating arrangement will allow the CDP to serve 400 students in a 1.5 hour time window.
- Extend dorm security systems to include dorms outside the current security fence.
- Renovate hospital wards and construct an isolation ward on second floor of the Noble training facility for pandemic and biological event training.
- Design and develop training venue(s) at the COBRA training facility for a multi-functional Biological Hazard training facility.

National Emergency Training Center (NETC) Facility Investment - $1.5 million
The National Emergency Training Center (NETC) is a premier fire and emergency management training center, which directly supports both FEMA strategic imperatives by fostering innovation and learning while supporting the whole community approach to emergency management in the classroom environment. Elements of all five FEMA Administrator Priorities are supported through training and exercises delivered at the NETC by the National Fire Academy and the Emergency Management Institute, as well as the services provided by the National Fire Programs Division. The United States Fire Administration requests funds to continue to improve the physical condition and infrastructure of the campus buildings and grounds through environmental stewardship initiatives with a focus on the historical nature of the facility as a whole.

Department of Homeland Security
Federal Emergency Management Agency (CAS-FEMA)
Procurement, Construction and Improvements
Preparedness and Protection
Justification of Program Changes
(Dollars in Thousands)

Funding Profile

	FY 2015 Revised Enacted			FY 2016 Enacted			FY 2017 Request		
	FTP	FTE	Dollars ($000)	FTP	FTE	Dollars ($000)	FTP	FTE	Dollars ($000)
Program Increase: Center for Domestic Preparedness							-	-	$4,050
Subtotal, Program Increases							**-**	**-**	**$4,050**
Total Request							**-**	**-**	**$4,050**

Program Change: Center for Domestic Preparedness

Description of Item:
This new requirement provides the Center for Domestic Preparedness (CDP) with modern infrastructure, facilities, and equipment needed to create Center-wide upgrades that will provide the foundation needed for long-term execution of the Center's and FEMA's training partner's missions. It will lead to excellence in training venues and provide consistent and reliable services to internal and external customers. It will provide the Agency an efficient and cost effective campus that is structured to support FEMA Strategic Priorities, specifically, it will meet the intention of FEMA Strategic Priority 3: Posture and Build Capability for Catastrophic Disasters and FEMA Strategic Priority 5: Strengthen FEMA's Organizational Foundation. It will also create necessary infrastructure, facility, and equipment changes needed to enhance preparedness of FEMA's Federal staff and state, local, and tribal responders to meet changing threats while posturing their capabilities to respond to and recover from catastrophic disasters.

Justification: The new and growing mission to support external partners through direct training support and use of training facilities is taxing the capacity and infrastructure of the CDP. The continued and expanding support of external training programs, both FEMA and other agencies, requires additional capital in the CDP budget to address critically needed infrastructure/equipment/and facilities upgrades to sustain these vital training programs. The increase in students and additional training programs exceed the capacity of the current campus infrastructure.

Impacts on Performance: To modernize training facilities at the CDP through targeted infrastructure, equipment, and facility enhancements to respond to the increase in students and additional training programs that exceed the capacity of the current campus infrastructure. This will provide necessary upgrades needed on the CDP campus to facilitate sustainable, long-term effective and efficient delivery of training in the execution of the CDP's primary mission to train state, local, and tribal responders while at the same time providing support to FEMA and other Federal Agencies training at the CDP.

Department of Homeland Security
Federal Emergency Management Agency
Procurement, Construction and Improvements
Preparedness and Protection

Cost Drivers (Non-Pay) - PPA Level ($000s)

Preparedness and Protection	2015 Revised Enacted*	2016 Enacted*	2017 Request	FY 2016 to FY 2017 Change
FY 2017 Non- Pay Cost Drivers (greatest-least)				
Land and Structure	$31,500	$ 29,000	$21,050	($7,950)
Other Contractual Services		$12,800	$2,800	($10,000)
Total	$31,500	$41,800	$23,850	($17,950)

* FY 2015 and FY 2016 funding levels reflect the CAS structure and are for comparison purposes only.

NARRATIVE EXPLANATION OF CHANGES – NON-PAY COST DRIVERS:

- Land and Structure Cost Change FY2016-2017: Costs for land and structures net decrease of $7.950 million in FY 2017 is associated with the completion of scheduled projects at the Mount Weather Emergency Operation Center, partially offset by the requested increase for facilities investments at the Center for Domestic Preparedness.

- Other Contractual Services Cost Change FY2016-2017: The decrease of $10.000 million represents the reallocation of the Grants Management Modernization funding to the Response and Recovery PPA.

Department of Homeland Security
Federal Emergency Management Agency
Procurement, Construction and Improvements
Office of Recovery and Response
FY 2016 to FY 2017 Budget Change- PPA Level
(Dollars in Thousands)

Response and Recovery		**FTP**	**FTE**	**Amount**
Prior Year	**FY 2015 Revised Enacted**	**-**	**-**	**-**
Base	**FY 2016 Enacted**	**-**	**-**	**-**
Current Services	Transfer in from Legacy S&E/Response to R&R PPA *(CAS)*	-	-	1,500
	Transfer in from DRF for Grants Modernization *(DRS Realignment)*	-	-	1,900
	Reallocation of Grants Modernization from P&P to ORR PPA	-	-	10,000
	Non recur of prior year increase for Grants Modernization	-	-	(1,500)
	Decrease in annual grants modernization program requirement	-	-	(477)
Budget Year	**FY 2017 Request**	**-**	**-**	**11,423**
	Total Change from FY 2016 to FY 2017	**-**	**-**	**11,423**

RESPONSE AND RECOVERY DESCRIPTION:

Grants Systems Modernization - $11.423 million

The current grants management processes and systems do not provide data transparency and require users to create spreadsheets and ad hoc tools to get the required views. These shortcomings lead to increased costs and reduced responsiveness to FEMA's mission due to a significant number of manual processes and poor reporting functionality. The Grants Systems Management Modernization initiative will develop and implement an enterprise solution that seamlessly integrates with FEMA's enterprise financial systems, improving real-time data analytics and reporting. FEMA obligates an average of $10 billion of grants annually through programs including Recovery's Public Assistance and Individual Assistance programs, Preparedness and National Protection's State and Local Programs, and Federal Insurance and Mitigation Administration's Hazard Mitigation Grant Program. By streamlining the systems used to administer these and other grants programs, this effort will have a lasting impact on FEMA's ability to carry out its statutory requirements by increasing the effectiveness of these grant programs and improving funding traceability throughout the grant lifecycle.

Department of Homeland Security
Federal Emergency Management Agency
Procurement, Construction and Improvements
Office of Recovery and Response

Cost Drivers (Non-Pay) - PPA Level ($000s)

Office of Response and Recovery	2015 Revised Enacted*	2016 Enacted*	2017 Request	FY 2016 to FY 2017 Change
FY 2017 Non- Pay Cost Drivers (greatest-least)				
Contracts	-	$1,500	$9,523	$8,023
Advisory and assistance services	-	-	$1,400	$1,400
Travel and transportation	-	-	$300	$300
Equipment	-	-	$200	$200
Total	-	$1,500	$11,423	$9,923

* FY 2015 and FY 2016 funding levels reflect the CAS structure and are for comparison purposes only.

NARRATIVE EXPLANATION OF CHANGES – NON-PAY COST DRIVERS:

- Contracts Cost Change FY2016-2017: Costs for contracts increase by $8.023 million in FY 2017 is due to the reallocation of the $10 million appropriated for Grants Management Modernization in FY 2016 from the Protection and Preparedness PPA. These funds support FEMA's Integrated Planning Team (IPT) utilization of contractual services in order to streamline and strengthen FEMA's business processes and systems transformation.

- Advisory and Assistance services Cost Change FY2016-2017: The request of $1.400 million in FY 2017 is due to the reallocation of the $10 million appropriated for Grants Management Modernization in FY 2016 from the Protection and Preparedness PPA. These funds support FEMA's IPT utilization of advisory services to support the Analysis of Alternatives in order to streamline and strengthen FEMA's business processes and systems transformation.

- Travel and transportation Cost Change FY2016-2017: The request of $300 thousand in FY 2017 is due to the reallocation of the $10 million appropriated for Grants Management Modernization in FY 2016 from the Protection and Preparedness PPA. FEMA's IPT travel and transportation needs enable them to collect requirements and gather stakeholder inputs for the design and development of the grants system.

- Equipment Cost Change FY2016-2017: The request of $200 thousand in FY 2017 is due to the reallocation of the $10 million appropriated for Grants Management Modernization in FY 2016 from the Protection and Preparedness PPA. This equipment will be used to support the IPT's operations.

Schedule II

Department of Homeland Security
Federal Emergency Management Agency
Procurement, Construction and Improvements
Preparedness and Protection

Investment Name: Integrated Public Alert and Warning System (IPAWS)

Project Request ($000)	FY 2015 Revised Enacted*	FY 2016 Enacted*	FY 2017 Request	FY 2016 to FY 2017 Change
PC&I Planning	$0	$0	$0	$0
PC&I Acquisition	$0	$2,800	$2,800	$0
PC&I Salaries	$0	$0	$0	$0
PC&I TOTAL	**$0**	**$2,800**	**$2,800**	**$0**
PC&I Obligations*	$0	$0		
PC&I Unobligated Balance*	$0	$2,800		
PC&I Expenditures*	$0	$0		

*FY 2016 Enacted reflects obligations, unobligated balance and expenditures as of November 2015 for PC&I/PPA Only

Planned request for FY 2017 PC&I funding ONLY:

The $2.8 million in PCI funding represents the second year of appropriated funding for IPAWS modernization and the first allocation of multiyear appropriation to this MAOL level 2 Acquisition Program. This funding supports continuing efforts to attain a 99.99 percent IPAWS-OPEN system availability and provide the services needed to broadcast alerts across the Nation through various platforms.

Key Milestones

1. Conduct Analysis of Alternatives (AoA) with FEMA OCIO (FITARA compliance and proof of concept).
2. Conduct full Analysis of Alternatives (AoA) to comply with DHS Acquisition Review Board (ARB) guidance for full implementation.
3. Transition initiation upon DHS ARB Approval.
4. Obtain Actions.
   a. Initial Oracle 11g Licenses /Annual Support
   b. IPAWS Support (Other than FEMA Process Cost Below)
   c. Site-to-Site Load Balancing (Akamai)

d. Additional Bandwidth (EAS Feed)
e. DHS/FEMA Process Cost
f. Carrier Connectivity Costs (VPN's)
g. Monitoring/Alerting Capability (NetIQ, GRID, etc.)
h. Security Independent Verification & Validation (IV&V)

Schedule III – Other Exhibits

Exhibit E. Justification of Proposed Legislative Language

Department of Homeland Security
Federal Emergency Management Agency
Procurement, Construction and Improvements

For procurement, construction, facilities, or information technology improvement expenses of the Federal Emergency Management Agency, $35,273,000, which shall remain available until September 30, 2019; of which $15,500,000 is for capital improvements and related expenses necessary for the Mount Weather Emergency Operations Center; of which $4,050,000 is for capital improvements and related expenses necessary for the Center for Domestic Preparedness; of which $1,500,000 is for capital improvements and related expenses necessary for the U.S. Fire Administration; of which $2,800,000 is for the Integrated Public Alert and Warning System; and of which $11,423,000 is for Grants Management Modernization.

Exhibit F. Summary of Fee Collections and Carryover

N/A

Exhibit G. Summary of Reimbursable Resources

N/A

Exhibit H. FY 2017 Schedule of Working Capital Fund by Program/Project Activity

N/A

Exhibit I. Capital Investment and Construction Initiative Listing

Department of Homeland Security

Federal Emergency Management Agency
Procurement, Construction, and Improvements
Capital Investment and Construction Initiative Listing

Capital Investment and Construction Initiative Listing	2015 Revised Enacted	2016 Enacted	2017 Request	FY 2016 to FY 2017 Change
MWEOC	$30,000	$ 27,500	$15,500	($12,000)
CDP Project	-	-	$4,050	$4,050
USFA Facility Improvement	$1,500	$1,500	$1,500	-
IPAWS	-	$2,800	$2,800	-
Grant Modernization- PNP	-	$10,000	-	($10,000)
Grant Modernization- DRF	-	-	$1,900	$1,900
Grant Modernization- ORR	-	$1,500	$9,523	$8,023
Total	$31,500	$43,300	$35,273	($8,027)

Exhibit J. Object Class Breakout by Appropriation

Department of Homeland Security
Federal Emergency Management Agency (CAS-FEMA)
Procurement, Construction, and Improvements
Summary of Requirements by Object Class
(Dollars in Thousands)

Object Classes	2015 Revised Enacted	2016 Enacted	2017 Request	FY 2016 to FY 2017 Change
Personnel and Other Compensation Benefits				
Total, Personnel and Other Compensation Benefits	-	-	-	-
Other Object Classes				
21.0 Travel and Transportation of Persons	-	-	$300	$300
25.1 Advisory and Assistance Services	-	-	$1,400	$1,400
25.2 Other Services from Non-Federal Sources	-	$14,300	$12,323	($1,977)
25.3 Other Goods and Services from Federal Sources	-	-	-	-
31.0 Equipment	-	-	$200	$200
32.0 Land and Structures	$31,500	$29,000	$21,050	($7,950)
Total, Other Object Classes	**31,500**	**43,300**	**$35,273**	**($8,027)**
Total, Direct Obligations	**$31,500**	**$43,300**	**$35,273**	**($8,027)**
Adjustments				
Net Offsetting Collections	-	-	-	-
Unobligated Balance, start of year	-	-	-	-
Unobligated Balance, end of year	-	-	-	-
Recoveries of Prior Year Obligations	-	-	-	-
Offsetting Collections	-	-	-	-
Total Requirements	**$31,500**	**$43,300**	**$35,273**	**($8,027)**

Exhibit K. Object Class Breakout by PPA

Department of Homeland Security
Federal Emergency Management Agency (CAS-FEMA)
Procurement, Construction, and Improvements
Preparedness and Protection
Summary of Requirements by Object Class
(Dollars in Thousands)

Object Classes	FY 2015 Revised Enacted	FY 2016 Enacted	FY 2017 Request	FY 2016 to FY 2017 Change
Personnel and Compensation Benefits	-	-	-	-
Other Object Classes	-	-	-	-
25.2 Other Services from Non-Federal Sources	-	$12,800	$2,800	($10,000)
25.3 Other Goods and Services from Federal Sources		-	-	-
32.0 Land and Structures	$31,500	$29,000	$21,050	($7,950)
Total, Other Object Classes	**$31,500**	**$41,800**	**$23,850**	**($17,950)**
Adjustments	-	-	-	-
Unobligated Balance, start of year	-	-	-	-
Unobligated Balance, end of year	-	-	-	-
Recoveries of Prior Year Obligations	-	-	-	-
Total Requirements	**$31,500**	**$41,800**	**$23,850**	**($17,950)**
Full Time Equivalents	-	-	-	-

Department of Homeland Security
Federal Emergency Management Agency (CAS-FEMA)
Procurement, Construction, and Improvements
Recovery and Response
Summary of Requirements by Object Class
(Dollars in Thousands)

Object Classes	FY 2015 Revised Enacted	FY 2016 Enacted	FY 2017 Request	FY 2016 to FY 2017 Change
Personnel and Compensation Benefits	-	-	-	-
Other Object Classes	-	-	-	-
21.0 Travel and Transportation of Persons	-	-	$300	$300
25.1 Advisory and Assistance Services	-	-	$1,400	$1,400
25.2 Other Services from Non-Federal Sources	-	$1,500	$9,523	$8,023
31.0 Equipment	-	-	$200	$200
Total, Other Object Classes	-	**$1,500**	**$11,423**	**$9,923**
Adjustments	-	-	-	-
Unobligated Balance, start of year	-	-	-	-
Unobligated Balance, end of year	-	-	-	-
Recoveries of Prior Year Obligations	-	-	-	-
Total Requirements	-	**$1,500**	**$11,423**	**$9,923**
Full Time Equivalents	-	-	-	-

Exhibit L. Permanent Positions by Grade

N/A

Exhibit M. Changes in Full Time Employment

N/A

Department of Homeland Security

Federal Emergency Management Agency

Federal Assistance

Fiscal Year 2017

Congressional Justification

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Schedule I – Executive Summary of Appropriation Exhibits

A. Summary of Budget Estimates by Program Project Activity- Appropriation Level

Department of Homeland Security
Federal Emergency Management Agency
Federal Assistance
Summary of FY 2017 Budget Estimates by Program Project Activity

FY 2017 Request
(Dollars in Thousands)

Program Project Activity	FY 2015 Revised Enacted[1]			FY 2016 Enacted			FY 2017 Request			Increase(+) or Decrease(-) for FY 2017 Total Changes		
	POS	FTE	Amount	POS	FTE	Amount	POS	FTE	Amount	POS	FTE	Amount
Mitigation	**71**	**53**	**$142,513**	**84**	**76**	**$307,272**	**98**	**84**	**$246,290**	**14**	**8**	**($60,982)**
Management and Administration - Mitigation	11	11	$17,513	11	10	$17,272	11	11	$14,274	-	1	($2,998)
Flood Hazard Mapping and Risk Analysis Program	57	40	$100,000	57	57	$190,000	71	64	$177,531	14	7	($12,470)
National Predisaster Mitigation Program	3	2	$25,000	16	9	$100,000	16	9	$54,486	-	-	($45,514)
Preparedness and Protection	**737**	**672**	**$2,673,357**	**743**	**665**	**$2,733,073**	**756**	**705**	**$2,171,987**	**13**	**40**	**($561,086)**
Management and Administration	364	344	$100,857	369	320	$100,573	369	323	$96,682	-	3	($3,891)
Assistance to Firefighter Grants	-	-	$340,000	-	-	$345,000	-	-	$335,000	-	-	($10,000)
State and Local Programs	-	-	$1,267,000	-	-	$1,317,000	-	-	$857,000	-	-	($460,000)
Education, Training, and Exercises	239	210	$233,000	240	211	$233,000	252	247	$157,493	12	36	($75,507)
Staffing for Adequate Fire and Emergency Response Grants	-	-	$340,000	-	-	$345,000	-	-	$335,000	-	-	($10,000)
Emergency Management Performance Grants	-	-	$350,000	-	-	$350,000	-	-	$350,000	-	-	-
United States Fire Administration	134	118	$42,500	134	134	$42,500	135	135	$40,812	1	1	($1,688)
Response and Recovery	**-**	**-**	**$120,000**	**-**	**-**	**$120,000**	**-**	**-**	**$100,000**	**-**	**-**	**($20,000)**
Emergency Food and Shelter	-	-	$120,000	-	-	$120,000	-	-	$100,000	-	-	($20,000)
Total, Federal Assistance	**808**	**725**	**$2,935,870**	**827**	**741**	**$3,160,345**	**854**	**789**	**$2,518,277**	**27**	**48**	**($642,068)**
Subtotal, Enacted Appropriations & Budget Estimates	**808**	**725**	**$2,935,870**	**827**	**741**	**$3,160,345**	**854**	**789**	**$2,518,277**	**27**	**48**	**(642,068)**
Rescission of prior year unobligated balances pursuant to P.L. 114-4	-	-	($24,083)	-	-	-	-	-	-	-	-	-
Rescission of prior year unobligated balances pursuant to P.L. 114-113	-	-	-	-	-	($14,333)	-	-	-	-	-	$14,333
Net, Enacted Appropriations and Budget Estimates:	**808**	**725**	**$2,911,787**	**827**	**741**	**$3,146,012**	**854**	**789**	**$2,518,277**	**27**	**48**	**($627,735)**

[1]Reflects reprogrammings/transfers, as applicable, and actual FTE. This footnote applies to all FY 2015 Revised Enacted tables throughout the CJ Submission
*FY2015 and FY 2016 funding levels reflect the CAS Structure and are for comparison purposes only.

Overview

FEMA provides for grants, training, exercises, and other support to assist Federal agencies, States, Local, Tribal, and Territorial jurisdictions to mitigate, prepare for and recover from terrorism and natural disasters in support of the following programs:

- **Mitigation** seeks to reduce or eliminate long-term risks to people and property from hazards and their effects. Mitigation creates safer communities, enabling people to recover more rapidly from floods and other disasters, and reducing the financial impact on Federal, State, Tribal and local governments and communities. FEMA requests $246.290 million to support the National Dam Safety Program (NDSP), National Earthquake Hazards Reduction Program (NEHRP), Flood Hazard Mapping and Risk Analysis Fund (Risk MAP), and the National Predisaster Mitigation Fund (PDM). Mitigation advances FEMA's Strategic Plan priority 4 to enable disaster risk reduction nationally by providing the critical information necessary to reduce disaster risk.

- **Preparedness and Protection** seeks to reduce the loss of life and property and protect the Nation by planning, training, exercising, and building the emergency management profession. As manager and coordinator of the preparedness cycle, FEMA provides assistance, support, and leadership to help Federal, State, Local, Tribal and Territorial governments and the private sector build the operational capabilities needed to implement preparedness strategies successfully. Preparedness and Protection directly supports several 2014-2018 FEMA Strategic Plan priorities as the Agency works to be survivor centric in mission and program delivery, become expeditionary, posture and build capability for catastrophic disasters, and enabling disaster risk reduction nationally. This supports objective 1.3 in increasing disaster awareness and action by improving communication. Additionally, the grants awarded to whole community partners, training and exercises support objectives 3.1 in strengthening the capabilities with the greatest potential to change outcomes on the ground in catastrophic disasters and 3.2 by supporting national-scale operations and resource sharing opportunities for catastrophic disasters. FEMA requests $2.172 billion to support Management and Administration, Assistance to Firefighter Grant, State and Local Grants, Education, Training, and Exercises, Staffing for Adequate Fire and Emergency Response Grants, Emergency Management Performance Grants and the United States Fire Administration.

- **Response and Recovery** provides the leadership necessary to build, sustain, and improve the coordination and delivery of disaster response and recovery support to citizens and States, Local, Tribal, and Territorial governments. ORR oversees all aspects of planning and execution in compliance with the Robert T. Stafford Disaster Relief, the Emergency Assistance Act, the Post Katrina Emergency Reform Act, and the Sandy Recovery Improvement Act. Inherent in this, ORR processes all gubernatorial requests for emergency declarations and major disasters to be declared by the President. FEMA requests $100.000 million for the Emergency Food and Shelter Program, and is requesting the program and budget authority be transitioned to the Department of Housing and Urban Development (HUD).

B. FY 2016 to FY 2017 Budget Change - Appropriation Level

Department of Homeland Security
Federal Emergency Management Agency
Federal Assistance

FY 2016 to FY 2017 Budget Change
(Dollars in Thousands)

	Pos.	FTE	Amount
FY 2015 Revised Enacted	-	-	-
FY 2016 Enacted	-	-	-
Adjustments-to-Base			
Transfers to and from other accounts:			
Transfer in from U.S. Fire Administration to PNP PPA (CAS)	134	134	$42,500
Transfer in from Salaries & Expenses to Mitigation PPA (CAS)	11	10	$17,272
Transfer in from Flood Hazard Mapping and Risk Analysis to Mitigation PPA (CAS)	57	57	$190,000
Transfer in from Pre-Disaster Mitigation Program to Mitigation PPA (CAS)	16	9	$100,000
Transfer in from Salaries & Expenses to Preparedness and Protection (PNP) PPA (CAS)	369	320	$100,573
Transfer in from Assistance to Firefighter Grants to PNP PPA (CAS)	-	-	$690,000
Transfer in from State & Local Programs (SALP) to PN P PPA (CAS)	-	-	$1,317,000
Transfer in from SALP Education, Training, and Exercises to PNP PPA (CAS)	240	211	$233,000
Transfer in from Emergency Management Performance Grants to PNP PPA (CAS)	-	-	$350,000
Transfer in from Emergency Food and Shelter to PNP PPA (CAS)	-	-	$120,000
Total Transfers	**827**	**741**	**$3,160,345**
Increases			
2017 Pay Increase	-	-	$2,627
Annualization of 2016 Pay Raise	-	-	$307
Annualization of vacancy fill	-	33	$591
Total, Increases	**-**	**33**	**$3,525**
Decreases			
Continuing Training Grants	-	-	($11,521)
Efficiencies from student stipends and training delivery	-	-	($3,256)
Efficiencies saved through grants modernization	-	-	($2,806)
Reduction toEmergency Management Compact Assistance	-	-	($1,122)
Reduction to Individual Community Preparedness Division	-	-	($1,000)
Reduction to National Domestic Preparedness Consortium	-	-	($62,000)
Reduction to Administrative contract	-	-	($2,609)
Reduction to Pre-Disaster Mitigation Program	-	-	($45,532)
Reduction to Assistance to Firefighter Grants	-	-	($10,000)
Reduction in Emergency Food and Shelter	-	-	($20,000)
Reduction in resources for Flood Hazard Mapping and Risk Analysis	-	-	($13,516)
Reduction to National Fire Incident Reporting System due to prior year increase	-	-	($1,000)
Reduction to Fallen Fire Fighter memorial fund due to prior year increase	-	-	($418)
Reduction to State Fire Training Grants due to prior year increase	-	-	($1,000)
Reduction to Port Security Grant Program	-	-	($7,000)
Reduction to State Homeland Security Grant Program	-	-	($267,000)
Reduction to Transit Security Grant Program	-	-	($15,000)
Reduction to Urban Area Security Initiative	-	-	($270,000)
Reduction to Staffing for Adequate Fire and Emergency Response Grants	-	-	($10,000)
Reduction to Earthquake Hazard Reduction Program	-	-	($1,518)
Reduction to National Dam Safety Program	-		($1,625)
Total, Decreases	**-**	**-**	**($747,923)**

	Pos.	FTE	Amount
Total Other Adjustments	**-**	**33**	**($744,398)**
Total Adjustments-to-Base	**827**	**774**	**$2,415,947**
FY 2017 Current Services	**827**	**774**	**$2,415,947**
Program Changes			
Increases			
Increase in staff to support increased Flood Hazard Mapping and Risk Analysis funding levels	14	7	$966
Increase in staff to support Center for Domestic Preparedness	12	7	$864
Increase to support U.S. Fire Administration's Distance Learning Capability Enhancement	1	1	$500
Regional Competitive grants	-	-	$100,000
Total, Increases	**27**	**15**	**$102,330**
Decreases			
Total, Decreases	**-**	**-**	**-**
Total Program Changes	**27**	**15**	**$102,330**
FY 2017 Request	**854**	**789**	**$2,518,277**
FY 2016 to FY 2017 Change	**854**	**789**	**$2,518,277**

C. FY 2017 Investment Summary – Appropriation Level

Department of Homeland Security
Federal Emergency Management Agency
Federal Assistance
Mitigation

Investment Name	PPA(s)	FY 2015 Revised Enacted	FY 2016 Enacted	FY 2017 Request
Risk Mapping	Mitigation	$100,000	$190,000	$177,531
Total		**$100,000**	**$190,000**	**$177,531**

Schedule II – Program, Project, Activity (PPA) Exhibits

Department of Homeland Security
Federal Emergency Management Agency
Federal Assistance
Mitigation
FY 2016 to FY 2017 Budget Change- PPA Level
(Dollars in Thousands)

Mitigation		Positions	FTE	Amount
Prior Year	**FY 2015 Revised Enacted**	**-**	**-**	**-**
Base	**FY 2016 Enacted**	**-**	**-**	**-**
Current Services	Transfer from Flood Hazard Mapping and Risk Analysis	57	57	$190,000
	Transfer in from Pre-Disaster Mitigation Program	16	9	100,000
	Transfer in from S&E Mitigation PPA	11	10	17,272
	2017 Pay Increase	-	-	93
	Annualization of 2016 Pay Raise	-	-	29
	Annualization of vacancy fill	-	1	121
	Reduction in resources for Pre-Disaster Mitigation Program	-	-	(45,532)
	Reduction in resources for Flood Hazard Mapping and Risk Analysis	-	-	(13,516)
	Reduction in National Dam Safety Program	-	-	(1,625)
	Reduction in National Earthquake Hazard Program	-	-	(1,518)
Program Change	Increase in staff to support increased Flood Hazard Mapping and Risk Analysis funding levels	14	7	966
Budget Year	**FY 2017 Request**	**98**	**84**	**246,290**
	Total Change from FY 2016 to FY 2017	**98**	**84**	**246,290**

PPA DESCRIPTION:

FEMA requests $246.290 million, 98 full time positions (FTP) and 84 full time equivalent (FTE) for the mitigation mission.

Mitigation seeks to reduce or eliminate long-term risks to people and property from hazards and their effects. Mitigation benefits society by creating safer communities, enabling people to recover more rapidly from floods and other disasters, and reducing the financial impact on Federal, State, Tribal and local governments and communities. Mitigation advances FEMA's Strategic Plan priority 4 to enable disaster risk reduction nationally by providing the critical information necessary to reduce disaster risk. FEMA's Mitigation mission is accomplished through the following basic mitigation business lines: Risk Analysis, Risk Reduction, and Risk Insurance.

Department of Homeland Security
Federal Emergency Management Agency
Mitigation
Justification of Program Changes
(Dollars in Thousands)

Funding Profile

	FY 2015 Revised Enacted			FY 2016 Enacted			FY 2017 Request		
	FTP	FTE	Dollars ($000)	FTP	FTE	Dollars ($000)	FTP	FTE	Dollars ($000)
Program Increase: Increase in staff to support increased Flood Hazard Mapping and Risk Analysis funding levels							14	7	$966
Subtotal, Program Increases							**14**	**7**	**$966**
Total Request							**14**	**7**	**$966**

Program Change: Increase in staff to support increased Flood Hazard Mapping and Risk Analysis funding levels

Description of Item:
FEMA is requesting 14 positions, 7 FTE and $966,000 to support the Flood Hazard Mapping and Risk Analysis program's FY 2017 funding level.

Justification:
This request will further the delivery of quality data that increases public awareness and leads to action that reduces risk to life and property through collaboration with State, local, and tribal governments. The additional FTE requested will allow FEMA to manage the increased contracts and grants, build, and maintain the relationships with more communities.

Impacts on Performance:

FEMA will be able to effectively and efficiently manage and provide the necessary oversight for the program to improve mission execution, best utilizing the increased funding.

Department of Homeland Security
Federal Assistance
Mitigation

Cost Drivers (Pay & Benefits) – PPA Level (Excludes Reimbursables) ($000s)

	FY 2015 Revised Enacted[1]				FY 2016 Enacted				FY 2017 Request				Delta FY 2016 – 2017			
	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost
Civilian Total	71	53	$8,189	115	84	76	$10,437	137	98	84	$11,566	$138	14	8	$1,129	$1

[1]Reflects reprogrammings/transfers, as applicable, and actual FTE. The use of FY 2015 Actuals and FY2015 Revised Enacted Budgetary Resources in the CAS Pay Cost Drivers Exhibit may reflect a higher average FTE Cost than represented in the FY 2016 Enacted or FY 2017 Request average cost columns.

NARRATIVE EXPLANATION OF CHANGES – PAY COST DRIVERS:

- **FTE Change FY 2016-2017:** An increase in FTE is due to an increase in staffing levels in order to better align staff with mission requirements.
- **Personnel Compensation and Benefits Change FY 2016-2017:** An increase in Personnel Compensation and Benefits is due to an increase in staffing levels in order to better align staff with mission requirements.
- **Average Cost Change FY 2016-2017:** The average cost increase per FTE is based on the FY 2017 pay raise.
- **Bonuses and Performance Awards:** N/A

Department of Homeland Security
Federal Emergency Management Agency
Mitigation
Cost Drivers (Non-Pay) – PPA Level ($000s)

Mitigation*	2015 Revised Enacted**	2016 Enacted**	2017 Request	FY 2016 to FY 2017 Change
FY 2017 Non- Pay Cost Drivers (greatest-least)				
PDM Grants	$72,898	$108,025	$111,578	$3,553
Flood Hazard Mapping and Risk Analysis– Contracts	$49,066	$97,603	$91,081	($6,522)
Flood Hazard Mapping and Risk Analysis – Grants	$48,881	$72,996	$73,093	$97
M&A – Other services from non federal sources	$6,877	$6,809	$6,385	($424)
M&A – Grants, subsidies, and contributions	$8,892	$8,892	$6,311	($2,581)
Total	**$186,614**	**$294,325**	**$288,448**	**($5,877)**

*FY 2015 and FY 2016 funding levels reflect the CAS Structure and are for comparison purposes only.
** Budgeted amounts include carryover balances from prior years.

NARRATIVE EXPLANATION OF CHANGES – NON-PAY COST DRIVERS:

- **FY 2017 Non-Pay Cost Drivers: Pre-Disaster Mitigation (PDM) Grants**

  Grants empower SLTT stakeholders to mitigate risk in their communities. The FY 2017 amount was derived based on historical funding estimates and actuals as well as future forecasted needs for these grants.

- **FY 2017 Non-Pay Cost Drivers: Flood Hazard Mapping and Risk Analysis – Contracts**

  Due to the reduction of anticipated grant applications there is a corresponding decrease in the amount of contract support required to support grant operations. The FY17 amount was derived based on historical funding estimates and actuals as well as future forecasted needs for these contracts.

- **FY 2017 Non-Pay Cost Drivers Flood Hazard Mapping and Risk Analysis – Grants**

  Due to the increased capability of jurisdictions at the State and Local level, FEMA anticipates fewer grant and subsidy applications. The FY 2017 amount was derived based on historical funding estimates and actuals as well as future forecasted needs for these grants.

- **FY 2017 Non-Pay Cost Drivers: M&A – Other Services from Non-Federal Sources**

  There is a reduction in administrative contract support.

- **FY 2017 Non-Pay Cost Drivers: M&A – Grants, subsidies, and contributions**

  Due to the increased capability of jurisdictions at the State and Local level, FEMA anticipates fewer grant and subsidy applications in FY 2017.

FY 2016 to FY 2017 Budget Change- Sub-PPA Level

Summary Tables of Sub-PPA
Department of Homeland Security
Federal Emergency Management Agency
Federal Assistance
Mitigation
Management and Administration
FY 2016 to FY 2017 Budget Change- PPA Level
(Dollars in Thousands)

Management and Administration – Mitigation		Positions	FTE	Amount
Prior Year	**FY 2015 Revised Enacted**	**-**	**-**	**-**
Base	**FY 2016 Enacted**	**-**	**-**	**-**
Current Services	Transfer in from S&E Mitigation PPA	11	10	17,272
	2017 Pay Increase	-	-	20
	Annualization of 2016 pay raise	-	-	5
	Annualization of vacancy fill	-	1	119
	National Dam Safety Program	-	-	(1,625)
	National Earthquake Hazard Reduction Program	-	-	(1,518)
Budget Year	**FY 2017 Request**	**11**	**11**	**14,274**
	Total Change from FY 2016 to FY 2017	**11**	**11**	**14,274**

Description:

FEMA requests $14.274 million, 11 FTP, and 11 FTE for Management and Administration within the Mitigation PPA. Management and Administration includes funding for the National Earthquake Hazard Reduction Program (NEHRP) and the National Dam Safety Program (NDSP).

Management and Administration activities reduce risk to life and property through the use of land use controls, building practices, and other tools. These activities address risk in both the existing built environment and in future development, and they occur in both pre- and post-disaster environments.

NEHRP

Congress established NEHRP in 1977 and authorized agencies to conduct research on the causes and effects of earthquakes, produce technical guidance to develop earthquake resistant design and construction standards, and developed techniques to educate the public about earthquake hazards and mitigation. FEMA plays a key role in developing the seismic provisions of model building codes and associated design standards, a

major focus and important in reducing the losses from major U.S. earthquakes. FEMA maintains several grant programs that help state and local governments plan and implement earthquake mitigation measures.

NDSP

As a critical element of the Nation's infrastructure, dams bring water, power, flood control, recreational opportunities, economic possibilities, and many other advantages. FEMA assists states and communities in recognizing their risk and helps them develop mitigation plans. Through the National Dam Safety Program, FEMA coordinates efforts through collaborative partnerships between States, Federal agencies, and private sector and other stakeholders to secure the safety of these dams through research, training, and public awareness. The NDSP provides financial assistance to States' dam safety activities including:

- Inspecting and monitoring dams
- Training safety staff and inspectors
- Providing analysis and research to support the improvement of State Dam Safety Programs
- Preparing Emergency Action Plans
- Creating public and emergency manager awareness campaigns on dam safety

Department of Homeland Security
Federal Emergency Management Agency
Federal Assistance
Mitigation
Management and Administration

Cost Drivers (Pay & Benefits) – Sub-PPA Level (Excludes Reimbursables) ($000s)

	FY 2015 Revised Enacted[1]				FY 2016 Enacted				FY 2017 Request				Delta FY 2016 – 2017			
	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost
Civilian Total	11	11	$1,549	$141	11	10	$1,376	$137	11	11	$1,521	$138	-	1	$145	$1

[1]Reflects reprogrammings/transfers, as applicable, and actual FTE. The use of FY 2015 Actuals and FY2015 Revised Enacted Budgetary Resources in the CAS Pay Cost Drivers Exhibit may reflect a higher average FTE Cost than represented in the FY 2016 Enacted or FY 2017 Request average cost columns.

Description:

- **FTE Change FY 2016-2017:** There is an increase of 1 FTE in FY 2017 in order to better align staff with mission requirements.
- **Personnel Compensation and Benefits Change FY 2016-2017:** There is an increase of $145K from FY 2016 in PC&B.
- **Average Cost Change FY 2016-2017:** No significant change from FY 2016 to FY 2017.
- **Bonuses and Performance Awards:** No significant change from FY 2016 to FY 2017.

Department of Homeland Security
Federal Emergency Management Agency
Federal Assistance
Mitigation
Management and Administration

Cost Drivers (Non-Pay) – Sub-PPA Level ($000s)

Management and Administration – Mitigation	2015 Revised Enacted*	2016 Enacted*	2017 Request	FY 2016 to FY 2017 Change
FY 2017 Non- Pay Cost Drivers (greatest-least)				
Travel and transportation	$195	$195	$195	-
Other services from non federal sources	$6,877	$6,809	$6,385	($424)
Grants, subsidies, and contributions	$8,892	$8,892	$6,311	($2,581)
Total	**$15,964**	**$15,896**	**$12,891**	**($3,005)**

*FY 2015 and FY 2016 funding levels reflect the CAS Structure and are for comparison purposes only.

SUB-PPA JUSTIFICATION:

- **FY 2017 Non-Pay Cost Drivers: Travel and Transportation**

  Travel and transportation expenses allow personnel to communicate the Federal Insurance and Management Agency (FIMA)'s mission to the SLTT community. Through direct communication FEMA is better equipped to execute the mitigation mission creating a more resilient nation. There is no change from FY 2016 to FY 2017.
- **FY 2017 Non-Pay Cost Drivers: Other Services from Non-Federal Sources**

  There is a reduction in administrative contract support.
- **FY 2017 Non-Pay Cost Drivers: Grants, subsidies, and contributions**

  Due to the increased capability of jurisdictions at the State and Local level, FEMA anticipates fewer grant and subsidy applications.

Summary Tables of Sub-PPA
Department of Homeland Security
Federal Emergency Management Agency
Federal Assistance
Mitigation
Flood Hazard Mapping and Risk Analysis Program
FY 2016 to FY 2017 Budget Change- PPA Level
(Dollars in Thousands)

Flood Hazard Mapping and Risk Analysis Program		Positions	FTE	Amount
Prior Year	**FY 2015 Revised Enacted**	**-**	**-**	**-**
Base	**FY 2016 Enacted**	**-**	**-**	**-**
Current Services	Transfer from Flood Hazard Mapping and Risk Analysis	57	57	190,000
	2017 Pay Raise	-	-	61
	Annualization of 2016 pay increase	-	-	20
	Reduction in resources for Risk MAP	-	-	(13,516)
Program Change	Increase in staff to support increased Flood Hazard Mapping Analysis funding levels	14	7	966
Budget Year	**FY 2017 Request**	**71**	**64**	**177,531**
	Total Change from FY 2016 to FY 2017	**71**	**64**	**177,531**

Description:

FEMA requests $177.531 million, 71 FTP, and 64 FTE for FY 2017.

The mission of the Risk MAP is to deliver quality data that increases public awareness and leads to action that reduces risk to life and property through collaboration with State, Local, Tribal and Territorial. Risk MAP drives actions nationally to reduce flood risk by addressing flood hazard data update needs, supporting natural hazard mitigation planning by State, Local, Tribal and Territorial governments, and providing the flood risk data needed to manage the National Flood Insurance Program (NFIP) financial exposure.

Federal Emergency Management Agency (FEMA) is charged with maintaining reliable flood hazard information to support the NFIP, where the U.S. Government has an exposure of $1.2 trillion. The flood hazard maps determine who is required to purchase flood insurance and the data used to produce the flood hazard maps are used to price the insurance. Flood insurance helps minimize financial impacts to property owners and reduce tax payer costs from flood damages across the nation and appropriate pricing of flood insurance supports the financial strength of the NFIP. These activities support FEMA's Strategic Plan objective 4.3 to enhance the effectiveness, financial stability, and affordability of the NFIP.

These NFIP mapping efforts also provide communities and citizens with information needed to plan for and take actions to reduce the risk of loss of life and property from flooding. Funding for Risk MAP supports FEMA's efforts to address existing gaps in the flood hazard data inventory and address changes that continue to occur over time, supporting FEMA Strategic Plan objective 4.1 on providing credible and actionable data and tools to support risk-informed decision-making.

Department of Homeland Security
Mitigation
Flood Hazard Mapping and Risk Analysis Program

Cost Drivers (Pay & Benefits) – PPA Level (Excludes Reimbursables) ($000s)

	FY 2015 Revised Enacted[1]				FY 2016 Enacted				FY 2017 Request				Delta FY 2016 – 2017			
	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost
Civilian Total	57	40	$6,216	$108	57	57	$7,866	$137	71	64	$8,832	$138	14	7	$996	1

[1]Reflects reprogrammings/transfers, as applicable, and actual FTE. The use of FY 2015 Actuals and FY2015 Revised Enacted Budgetary Resources in the CAS Pay Cost Drivers Exhibit may reflect a higher average FTE Cost than represented in the FY 2016 Enacted or FY 2017 Request average cost columns.

NARRATIVE EXPLANATION OF CHANGES – PAY COST DRIVERS:

- **FTE Change FY 2016-2017:** FEMA requests an additional 7 FTE from the 2016 enacted level, providing FEMA with the ability to fully implement the expanded mapping responsibilities in accordance with recent recommendations from the Technical Mapping Advisory Council. In addition, the requested funding will allow FEMA to make major progress in achieving the vision of Risk MAP in implementing community resilience. Under Risk MAP, FEMA will perform flood hazard mapping and risk analysis, increase awareness of flood risk and work with communities to identify action to reduce flood risk and increase resilience. This includes meeting the statutory requirement to review the flood hazard maps on a five-year cycle and address flood hazard data update needs over time.
- **Personnel Compensation and Benefits Change FY 2016-2017:** The FY 2017 request includes an additional $996K in PC&B providing FEMA with the ability to fully implement the expanded mapping responsibilities in accordance with recent recommendations from the Technical Mapping Advisory Council.
- **Average Cost Change FY 2016-2017:** The average cost increase per FTE is based on the FY 2017 pay raise.
- **Bonuses and Performance Awards:** N/A

Department of Homeland Security
Federal Emergency Management Agency
Federal Assistance
Mitigation
Flood Hazard Mapping and Risk Analysis Program
Cost Drivers (Non-Pay) – Sub-PPA Level ($000s)

Flood Hazard Mapping and Risk Analysis Program*	2015 Revised Enacted**	2016 Enacted**	2017 Request	FY 2016 to FY 2017 Change
FY 2017 Non- Pay Cost Drivers (greatest-least)				
Flood Hazard Mapping and Risk Analysis – Contracts	$49,066	$97,603	$91,081	($6,522)
Flood Hazard Mapping and Risk Analysis – Grants	$48,881	$72,996	$73,093	$97
Rental Payments to GSA	$300	$300	$300	-
Travel and Transportation of Persons	$256	$256	$300	$44
Equipment	$79	$79	$79	-
Total	**$98,582**	**$171,234**	**$164,853**	**($6,381)**

*FY 2015 and FY 2016 funding levels reflect the CAS Structure and are for comparison purposes only.
** Budgeted amounts include carryover balances from prior years.

SUB-PPA JUSTIFICATION:

- **FY 2017 Non-Pay Cost Drivers: Contracts**

  Due to the reduction of anticipated grant applications there is a corresponding decrease in the amount of contract support required to support grant operations. The FY 2017 amount was derived based on historical funding estimates and actuals as well as future forecasted needs for these contracts.

- **FY 2017 Non-Pay Cost Drivers: Grants**

  Due to the increased capability of jurisdictions at the State and local level, FEMA anticipates fewer grant and subsidy applications. The FY 2017 amount was derived based on historical funding estimates and actuals as well as future forecasted needs for these grants.

- **FY 2017 Non-Pay Cost Drivers: Rental Payments to GSA**

  Rental payments to GSA reflect facility costs. The FY 2017 amount was derived based on historical funding estimates and actuals as well as future forecasted needs for rental payments. There is no change from FY 2016.

- **FY 2017 Non-Pay Cost Drivers: Travel and Transportation of Persons**

  In line with FEMA's mission to support local jurisdictions with flood mapping. FEMA is requesting additional resources for stakeholder outreach.

- **FY 2017 Non-Pay Cost Drivers: Equipment**

  Equipment costs are derived from mapping software and licensing agreements that support mapping efforts. The FY 2017 amount was derived based on historical funding estimates and actuals as well as future forecasted needs for equipment. There is no change from FY 2016.

Summary Tables of Sub-PPA
Department of Homeland Security
Federal Emergency Management Agency
Federal Assistance
Mitigation
National Predisaster Mitigation Program
FY 2016 to FY 2017 Budget Change- PPA Level
(Dollars in Thousands)

National Predisaster Mitigation Program		Positions	FTE	Amount
Prior Year	**FY 2015 Revised Enacted**	**-**	**-**	**-**
Base	**FY 2016 Enacted**	**-**	**-**	**-**
Current Services	Transfer in from Pre-Disaster Mitigation Program	16	9	100,000
	2017 Pay increase	-	-	12
	Annualization of 2016 Pay Raise	-	-	4
	Annualization of vacancy fill	-	-	2
	Reduction in resources for Pre-Disaster Mitigation Program	-	-	(45,532)
Budget Year	**FY 2017 Request**	**16**	**9**	**54,486**
	Total Change from FY 2016 to FY 2017	**16**	**9**	**54,486**

Description:

FEMA requests $54.486 million, 16 FTP, and 9 FTE for FY 2017.

The National Pre-Disaster Mitigation Program (PDM) provides technical assistance and grants to State, territory, local, and Tribal governments to reduce the risks associated with disasters. The resources support the development and enhancement of hazard mitigation plans, as well as the implementation of disaster mitigation projects.

The PDM supports the Department's goal of strengthening capacity at all levels of society to withstand threats and hazards, and also directly supports the FEMA Strategic Plan objective 4.2 about incentivizing and facilitating investments to manage current and future risk. Enabling State, territory, local and tribal governments to proactively make risk-based decisions, PDM provides Federal funding to State, territory, local and tribal governments for eligible planning and project activities. PDM supports the development of hazard mitigation planning and/or project applications that implement physical measures to avoid and/or reduce damage associated with natural disasters. Funding in FY 2017 for the PDM program supports climate resilience, as well as recommendations made by the State, Local, and Tribal Leaders Climate Resilience Task Force.

Department of Homeland Security
Federal Emergency Management Agency
Federal Assistance
Mitigation
National Predisaster Mitigation Program

Cost Drivers (Pay & Benefits) – Sub-PPA Level (Excludes Reimbursables) ($000s)

	FY 2015 Revised Enacted[1]				FY 2016 Enacted				FY 2017 Request				Delta FY 2016 – 2017			
	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost
Civilian Total	3	2	$424	212	16	9	$1,195	$133	16	9	$1,213	$135	-	-	$18	$2

[1]Reflects reprogrammings/transfers, as applicable, and actual FTE. The use of FY 2015 Actuals and FY2015 Revised Enacted Budgetary Resources in the CAS Pay Cost Drivers Exhibit may reflect a higher average FTE Cost than represented in the FY 2016 Enacted or FY 2017 Request average cost columns.

Description:
NARRATIVE EXPLANATION OF CHANGES – PAY COST DRIVERS:

- **FTE Change FY 2016-2017:** There is no change in FTE for this program in FY 2017.
- **Personnel Compensation and Benefits Change FY 2016-2017:** There is no significant change from FY 2016 to FY 2017.
- **Average Cost Change FY 2016-2017:** The average cost increase per FTE is based on the FY 2017 pay raise.
- **Bonuses and Performance Awards:** N/A

Department of Homeland Security
Federal Emergency Management Agency
Federal Assistance
Mitigation
National Predisaster Mitigation Program
Cost Drivers (Non-Pay) – Sub-PPA Level ($000s)

National Predisaster Mitigation Program*	2015 Revised Enacted**	2016 Enacted**	2017 Request	FY 2016 to FY 2017 Change
FY 2017 Non- Pay Cost Drivers (greatest-least)				
PDM Grants	$72,898	$108,025	$111,578	$3,553
PDM Contracts	$1,000	$4,310	$4,318	$8
FIMA Common Costs	$537	$537	$537	-
PDM Travel	$63	$63	$63	-
FEMA Enterprise Costs	$49	$98	$91	($7)
Total	**$74,547**	**$113,033**	**$116,587**	**$3,554**

*FY 2015 and FY 2016 funding levels reflect the CAS Structure and are for comparison purposes only.
** Budgeted amounts include carryover balances from prior years.

SUB-PPA JUSTIFICATION:

- **FY 2017 Non-Pay Cost Drivers: PDM Grants**

  Based on FEMA's assessment of the anticipated increase in the number of grant applications, FEMA is requesting additional funds for PDM Grants. The FY 2017 amount was derived based on historical funding estimates, estimated carryover from prior years and future forecasted needs for these grants.

- **FY 2017 Non-Pay Cost Drivers: PDM Contracts**
  Due to the anticipated increase in grants, there will be a commensurate increase to contract support.

- **FY 2017 Non-Pay Cost Drivers: FIMA Common Costs**

  The common costs include activities that support budget activities and front office activities. The FY 2017 amount was derived based on historical funding estimates and actuals as well as future forecasted needs for common costs. There is no significant change from FY 2016 to FY 2017.

- **FY 2017 Non-Pay Cost Drivers: PDM Travel**

  In line with FEMA's mission to support local jurisdictions with mitigation efforts, travel funding levels allow for FEMA personnel to meet with the SLTT community to ensure mitigation practices are effectively communicated. There is no change from FY 2016 to FY 2017.

- **FY 2017 Non-Pay Cost Drivers: FEMA Enterprise Costs**

  FIMA does not need to contribute any additional resources to FEMA's enterprise costs, which includes facility expenses and technology support. The FY 2017 amount was derived based on historical funding estimates and actuals as well as future forecasted needs for enterprise costs. There is no change from FY 2016 to FY 2017.

Department of Homeland Security
Federal Emergency Management Agency
Federal Assistance
Preparedness and Protection
FY 2016 to FY 2017 Budget Change- PPA Level
(Dollars in Thousands)

Preparedness and Protection		Positions	FTE	Amount
Prior Year	**FY 2015 Revised Enacted**	-	-	-
Base	**FY 2016 Enacted**	-	-	-
Current Services	Transfer in from Emergency Management Performance Grants	-	-	350,000
	Transfer in from S&E PNP PPA	369	320	100,573
	Transfer in from Assistance to Firefighter Grant	-	-	345,000
	Transfer in from Staffing for Adequate Fire and Emergency Response Grant PPA	-	-	345,000
	Transfer in from USFA	134	134	42,500
	Transfer in from State And Local Programs – Education Training and Exercises PPA	240	211	233,000
	Transfer in from State and Local Programs	-	-	1,317,000
	2017 Pay Increase	-	-	2,534
	Annualization of 2016 pay increase	-	-	278
	Annualization of vacancy fill	-	32	470
	Continuing Training Grants	-	-	(11,521)
	Efficiencies from student stipends and training delivery	-	-	(3,256)
	Efficiencies saved through grants modernization	-	-	(2,806)
	National Domestic Preparedness Consortium	-	-	(62,000)
	Program Efficiencies Administrative contract reductions	-	-	(2,609)
	Reduction to Assistance to Firefighter Grant	-	-	(10,000)
	Reduction to Port Security Grant Program (PSGP)	-	-	(7,000)
	Reduction to State Homeland Security Grant Program (SHSP)	-	-	(267,000)
	Reduction to Transit Security Grant Program (TSGP)	-	-	(15,000)
	Reduction to Urban Area Security Initiative (UASI)	-	-	(270,000)
	Reduction to Staffing for Adequate Fire and Emergency Response Grant (SAFER)	-	-	(10,000)

Preparedness and Protection		Positions	FTE	Amount
	Decrease for Emergency Management Assistance Compact			(1,122)
	Joint Counter Terrorism Awareness Workshops (JCTAWS)			$1,000
	Decrease to Individual Community Preparedness			($1,000)
	Reduction to National Fire Incident Reporting System (NFIRS) due to prior year increase			($1,000)
	Reduction to State Fire Training Grants due to prior year increase			(1,000)
	Reduction to Fallen fire fighters memorial due to prior year increase			(418)
	Realign funding to PNP M&A for JCTAWS			($1,000)
Program Changes	Center for Domestic Preparedness	12	7	864
	USFA Distance Learning Capability Enhancement	1	1	500
	Regional Competitive grants	-	-	100,000
Budget Year	**FY 2017 Request**	**756**	**705**	**2,171,987**
	Total Change from FY 2016 to FY 2017	**756**	**705**	**2,171,987**

PPA DESCRIPTION:

FEMA requests $2.172 billion, 756 FTP, and 705 FTE to support Preparedness and Protection.

Protection and National Preparedness (PNP) is responsible for the coordination of preparedness and protection- related activities throughout FEMA. This includes grants, planning, training, exercises, individual and community preparedness, assessments, lessons learned, and continuity. PNP directly supports several FEMA Strategic Plan priorities as the Agency works to be survivor centric in mission and program delivery, become expeditionary, and posture and build capability for catastrophic disasters. PNP supports objective 1.3 in increasing disaster awareness and action by improving communication. Additionally, the grants awarded to whole community partners, training and exercises support objectives 3.1 in strengthening the capabilities with the greatest potential to change outcomes on the ground in catastrophic disasters and 3.2 by supporting national-scale operations and resource sharing opportunities for catastrophic disasters. PNP is composed of the Office of Deputy Administrator (ODA), Strategic Resource Management Office (SRMO), Office of Counterterrorism and Security Preparedness (OCSP), Office of Preparedness Integration and Coordination (OPIC), National Preparedness Directorate (NPD), Grant Programs Directorate (GPD) and National Continuity Programs (NCP).

Department of Homeland Security
Federal Emergency Management Agency
Preparedness and Protection
Justification of Program Changes
(Dollars in Thousands)

Funding Profile

	FY 2015 Revised Enacted			FY 2016 Enacted			FY 2017 Request		
	FTP	FTE	Dollars ($000)	FTP	FTE	Dollars ($000)	FTP	FTE	Dollars ($000)
Program Increase: Regional Competitive grants – State and Local Programs							-	-	$100,000
Program Increase: Increase Staffing for Center for Domestic Preparedness-Exercise, Training, and Education							12	7	$864
Program Increase: Distance Learning Capability- U.S. Fire Administration							1	1	$500
Subtotal, Program Increases							**13**	**8**	**$101,364**
Total Request							**13**	**8**	**$101,364**

Program Change 1: Regional Competitive Grants – State and Local Programs

Description of Item:
FEMA is requesting a new Regional Competitive Grant Program.

Justification:
This new grant program will target critical capability gaps at the regional level. Gaps will be identified through an analysis of state and regional THIRAs, the annual National Preparedness Report, the Strategic National Risk Assessment, and other assessments of national risk and capabilities.

Impacts on Performance:
Funding will be awarded on a competitive basis. The grant program will work to improve the national preparedness of the nation, particularly in closing regional capability gaps.

Program Change 2 : Center for Domestic Preparedness (CDP) – Education, Training, and Exercises

Description of Item:
FEMA is requesting to hire 10 FTEs to support increased administrative duties due to increased demand for the CDP. Funding is also requested for FEMA to hire 1 FTE (laboratory biotechnician) and increase the grade for a biologist, as well as hire 1 FTE to conduct environmental technology duties.

Justification:
FEMA's requests additional FTEs for the following reasons:

- 10 FTEs will support administrative duties required to operate the CDP. The additional staff are necessary so that CDP can meet internal and external requests for CDP to both facilitate trainings for federal partners and allow federal partners to utilize the training facilities. The increase in funding for CDP staff is partially offset with a decrease in FEMA's Operations and Support account.
- The biotechnician and biologist FTE positions align with the proposed CDP facility enhancements in FEMA's PC&I account to renovate and upgrade biohazard and hospital facilities.
- An additional environmental technician is necessary to help the CDP continue to meet environmental requirements that have changed in the past few years.

Impacts on Performance:
The following are expected impacts on performance:

- CDP's funding request will improve the CDP's ability to facilitate the training of Federal partners that utilize CDP facilities. With the additional administrative staff, the CDP will be able to provide more efficient and effective financial oversight, logistical support, contractual oversight, and management/scheduling of the training programs of Federal training partners.
- The laboratory biotechnician and biologist grade increase are necessary for FEMA to continue to meet the strategic priorities of the Quadrennial Homeland Security Review, which identified biological threats and hazards as priorities. CDP is one of the few training centers that provides specialized and complex hands-on training, and the additional FTEs will help FEMA to train SLTT and federal partners in this area.
- The environmental technician will help the CDP to meet current environmental requirements.

Program Change 3 : Distance Learning Capability – U.S. Fire Administration

Description of Item:
FEMA is requesting additional funding to support the conversion of three residential courses to mediated online offerings per year.

Justification:
The National Fire Academy (NFA) regards the use of on-line mediated instruction as another training/educational tool to deliver its curriculum content. On-line mediated instruction is not intended to eliminate or replace NFA's traditional face-to-face courses. Rather, the on-line mediated courses will provide another venue for the delivery of critical curriculum content that will service and help fill the competency gaps for the Nation's fire and emergency services. In those cases where courses are completely converted to the on-line mediated format, space will then be available to offer courses that require more hands-on/technical learning for those courses that have a wait-listed audience. Delivery and development of new and on-going traditional face-to-face NFA courses will continue.

Impacts on Performance:
The $500K will allow approximately 2700 student enrollments to move from on campus to mediated online when fully implemented. The resulting savings in stipends and associated expenses will be reprogrammed to support on campus classes that are oversubscribed and are not suitable for online delivery such as the Arson and Simulation classes.

Department of Homeland Security
Federal Emergency Management Agency
Preparedness and Protection

Cost Drivers (Pay & Benefits) – PPA Level (Excludes Reimbursables) ($000s)

	FY 2015 Revised Enacted[1]				FY 2016 Enacted				FY 2017 Request				Delta FY 2016 – 2017			
	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost
Civilian Total	737	672	$83,835	$125	743	665	$83,636	$126	756	705	$87,782	$125	13	40	$4,146	($1)

[1]Reflects reprogrammings/transfers, as applicable, and actual FTE. The use of FY 2015 Actuals and FY2015 Revised Enacted Budgetary Resources in the CAS Pay Cost Drivers Exhibit may reflect a higher average FTE Cost than represented in the FY 2016 Enacted or FY 2017 Request average cost columns.

NARRATIVE EXPLANATION OF CHANGES – PAY COST DRIVERS:

- **FTE Change FY 2016-2017:** An increase of FTE in FY 2017 is due to the addition of 10 positions for administrative support, one laboratory biotechnician position, and one position for environmental technology duties at the Center for Domestic Preparedness. The increase in FTEs will help CDP to continue to support other FEMA offices and federal partners that utilize the Center and give CDP the necessary staff to meet technical requirements. The increase in FTE also is due to the increase of one FTE at the U.S. Fire Administration to support the implementation of their distance learning programs. In 2017 FEMA recalculated the cost of an average employee. This recalculation in 2017 better reflects the number of FTE in PNP.
- **Personnel Compensation and Benefits Change FY 2016-2017:** An increase of Personnel Compensation and Benefits in FY 2017 is due to the annual pay increase.
- **Average Cost Change FY 2016-2017:** The reduction in cost of the average employee was significantly reduced in the Exercise, Training, and Education sub PPA of PNP .

Department of Homeland Security
Federal Emergency Management Agency
Preparedness and Protection
Cost Drivers (Non-Pay) – PPA Level ($000s)

Preparedness and Protection	2015 Revised Enacted	2016 Enacted	2017 Request	FY 2016 to FY 2017 Change
FY 2017 Non- Pay Cost Drivers (greatest-least)				
State and Local Preparedness Grants	$1,267,000	$1,317,000	$857,000	($460,000)
Emergency Management Performance Grants	$350,000	$350,000	$350,000	-
Assistance to Firefighter Grants	$340,000	$345,000	$335,000	($10,000)
Staffing for Adequate Fire and Emergency Response Grants	$340,000	$345,000	$335,000	($10,000)
Grants, Subsidies, and contributions	$82,000	$82,000	$82,000	-
Total	**$2,367,000**	**$2,369,000**	**$1,959,000**	**($480,000)**

*FY 2015 and FY 2016 funding levels reflect the CAS Structure and are for comparison purposes only.

NARRATIVE EXPLANATION OF CHANGES – NON-PAY COST DRIVERS:

- **FY 2017 Non-Pay Cost Drivers: State and Local Preparedness Grants**

  The reduction to State and Local Preparedness Grants reflects FEMA's successful investments in prior year grants awarded. FEMA grants provide investments to support core capabilities and incorporate effective measures. These measures inform investments based on required capability levels rather than the funding levels of prior years.

- **FY 2017 Non-Pay Cost Drivers: Emergency Management Performance Grants**

  EMPG is a formula-based grant program that provides assistance to States and territories in order to sustain and enhance the effectiveness of their emergency management programs for all hazards preparedness. Funds are provided to all 50 States and six territories as well as to the Federated States of Micronesia and the Republic of the Marshall Islands.

- **FY 2017 Non-Pay Cost Drivers: Assistance to Firefighter Grants**

  The reduction to Assistance to Firefighter Grants reflects FEMA's successful investments in prior year grants awarded. FEMA grants provide investments to support core capabilities and incorporate effective measures. These measures inform investments based on required capability levels rather than the funding levels of prior years.

- **FY 2017 Non-Pay Cost Drivers: Staffing for Adequate Fire and Emergency Response Grants**

  The reduction to Staffing for Adequate Fire and Emergency Response Grants reflects FEMA's successful investments in prior year grants awarded. FEMA grants provide investments to support core capabilities and incorporate effective measures. These measures inform investments based on required capability levels rather than the funding levels of prior years.

- **FY 2017 Non-Pay Cost Drivers: Grants, Subsidies, and contributions**

  FEMA provides grants to Universities in order to advance the study of Homeland Security as a substantive field of research, scholarship, and professional discipline. FEMA also provides student stipents for advanced education and seminars for Homeland Security and National Preparedness. FEMA funds various Executive Education Seminars, and Mobile Training Teams.

Summary Tables of Sub-PPA
Department of Homeland Security
Federal Emergency Management Agency
Preparedness and Protection
United States Fire Administration
FY 2016 to FY 2017 Budget Change- Sub-PPA Level
(Dollars in Thousands)

United States Fire Administration		Positions	FTE	Amount
Prior Year	**FY 2015 Revised Enacted**	-	-	-
Base	**FY 2016 Enacted**	-	-	-
Current Services	Transfer in from USFA	134	134	42,500
	2017 Pay Increase	-	-	181
	Annualization of 2016 pay raise	-	-	49
	Reduction to NFIRS due to prior year increase			(1,000)
	Reduction to State Fire Training Grants due to prior year increase	-	-	(1,000)
	Reduction to National Fallen Firefighters Memorial due to prior year increase			(418)
Program Change	Distance Learning Capability Enhancement	1	1	500
Budget Year	**FY 2017 Request**	**135**	**135**	**40,812**
	Total Change from FY 2016 to FY 2017	**135**	**135**	**40,812**

Sub-PPA DESCRIPTION:

The United States Fire Administration (USFA) program promotes fire awareness, safety and risk reduction across communities, including children and adults with disabilities and others with access and functional needs. In support of FEMA Strategic Plan priority 4 of enabling disaster risk reduction nationally, USFA supports objective 4.1 to provide credible and actionable data and tools to support risk-informed decision-making. The Strategic Plan also highlights strategy 4.1.5 of promoting fire awareness, safety and risk reduction across the whole community, directly performed by USFA.USFA prepares the Nation's first responders through ongoing training in evaluating and minimizing community risk; improving protection of critical infrastructure; and preparing to respond to all-hazard emergencies. USFA utilizes the assets: the National Emergency Training Center (NETC) facilities and support services; Management, Operations, and Support Services (MOSS)' National Fire Academy (NFA); and the National Fire Programs (NFP) Division to fulfill its mission.

- **NETC Management, Operations, and Support Services Division**

  MOSS provides administrative, operational, and emergency services in support of the program activities and special groups at NETC. MOSS manages, operates, and maintains the NETC facilities, comprised of 30 buildings covering 107 acres, and training resources. The facility includes 424 single-occupancy dormitory rooms (453 total beds), 18 classrooms, two auditoriums, and several specialty training areas for classes such as arson investigation, exercise simulations and emergency operations center training; in addition to off-site and independent study training. Resident classes run from two days to two weeks in duration and are conducted 46-47 weeks a year. The facility can handle approximately 15,000 resident students a year, depending on the duration of the classes.

- **National Fire Academy (NFA)**

  The NFA's training mission targets State and local first responders and their leaders, not Federal employees. With its training programs, the NFA is building a cadre of national assets that can be deployed during national disasters. All NFA courses are closely related to the DHS/FEMA mission; providing students with the competencies needed to implement community based preparedness, mitigation and response efforts. Competent fire and emergency service leaders mitigate and manage incidents at the local level so that emergency response does not escalate up to the State, regional or national levels – the NFA develops those leaders.

  The NFA uses its resources to develop, deliver, and manage education and training programs with a National focus and programs which exceed State and local capabilities because of cost or demand. The NFA supports State and local training organizations in fulfilling their obligation to the career and volunteer fire and emergency services; through its State and local partnerships, NFA trains more than 105,000 annually.

- **National Fire Programs Division (NFP)**

  NFP works to reduce the Nation's losses caused by fire through improving the fire prevention, preparedness and response capabilities of local communities and their first responders. NFP, through the National Fire Data Center (NFDC), manages the National Fire Incident Reporting System (NFIRS) which is the world's largest annual national collection of fire department incident data and is the only all hazard database in DHS/FEMA. NFP develops and disseminates public fire education programs with an emphasis on high risk populations including children, older adults, and individuals with access and functional needs. NFP encourages public and private partnerships to promote research focused specifically on issues of fire detection, prevention, suppression technologies, and first responder health and safety. NFP develops and delivers programs designed to enhance the capacity of the Nation's fire and emergency services as well as the public to prevent, mitigate, prepare for and respond to all hazard incidents during local, regional, and National emergencies. The USFA is the only Federal organization created specifically for the purpose of addressing the national fire problem.

Department of Homeland Security
Federal Assistance
United States Fire Administration

Cost Drivers (Pay & Benefits) – PPA Level (Excludes Reimbursables) ($000s)

	FY 2015 Revised Enacted[1]				FY 2016 Enacted				FY 2017 Request				Delta FY 2016 – 2017			
	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost
Civilian Total	134	118	14,907	$126	134	134	15,050	$112	135	135	$15,280	$113	1	1	$230	$1

[1]Reflects reprogrammings/transfers, as applicable, and actual FTE. The use of FY 2015 Actuals and FY2015 Revised Enacted Budgetary Resources in the CAS Pay Cost Drivers Exhibit may reflect a higher average FTE Cost than represented in the FY 2016 Enacted or FY 2017 Request average cost columns.

NARRATIVE EXPLANATION OF CHANGES – PAY COST DRIVERS:

- **FTE Change FY 2016-2017:** The increase in FTE reflects an additional FTE to support technical programming needs for NFA's Distance Learning Program.
- **Personnel Compensation and Benefits Change FY 2016-2017:** An increase in Personnel Compensation and Benefits is attributed to the FY 2017 annual pay increase.
- **Average Cost Change FY 2016-2017:** The average cost increase per FTE is based on the FY 2017 pay raise.

Department of Homeland Security
Federal Emergency Management Agency
United States Fire Administration

Cost Drivers (Non-Pay) – PPA Level ($000s)

United States Fire Administration	2015 Revised Enacted	2016 Enacted	2017 Request	FY 2016 to FY 2017 Change
FY 2017 Non- Pay Cost Drivers (greatest-least)				
National Fire Academy (NFA) Training	$7,242	$6,664	$7,109	$445
National Emergency Training Center (NETC) Security services	$1,615	$1,653	$1,653	$0
IT Support Services	$1,457	$1,538	$1,538	0
Facility Operation and student support services	$7,974	$7,474	$7,467	($7)
Total	**$18,288**	**$17,329**	**$17,767**	**$438**

*FY 2015 and FY 2016 funding levels reflect the CAS Structure and are for comparison purposes only.

NARRATIVE EXPLANATION OF CHANGES – NON-PAY COST DRIVERS:

- **FY 2017 Non-Pay Cost Drivers: National Fire Academy (NFA) Training**

  The NFA uses its resources to develop, deliver, and manage education and training programs with a National focus and programs which exceed State and local capabilities because of cost or demand. The NFA supports State and local training organizations in fulfilling their obligation to the career and volunteer fire and emergency services; through its State and local partnerships, NFA trains more than 105,000 annually. The increase in funding will support the conversion of three residential courses to mediated online offerings per year. The online courses preserve the integrity and academic rigor of face-to-face delivery, while also freeing scarce campus facilities for the delivery of other curriculum that must be done in a hands-on environment.

- **FY 2017 Non-Pay Cost Drivers: National Emergency Training Center (NETC) Security services**

  Security services support the facility, which includes 424 single-occupancy dormitory rooms (453 total beds), 18 classrooms, two auditoriums, and several specialty training areas for classes such as arson investigation, exercise simulations and emergency operations center training; in addition to off-site and independent study training.

- **FY 2017 Non-Pay Cost Drivers: IT Support Services**

  Information Technology services support the various training centers and facilities within the U.S Fire Administration.

- **FY 2017 Non-Pay Cost Drivers: Facility Operation and student support services**

  These costs include facility operations and student support of the various training programs that the U.S. Fire Administration provides to various fire departments throughout the U.S.

Summary Tables of Sub-PPA
Department of Homeland Security
Federal Emergency Management Agency
Federal Assistance
Preparedness and Protection
Management and Administration
FY 2016 to FY 2017 Budget Change- PPA Level
(Dollars in Thousands)

Management and Administration		Positions	FTE	Amount
Prior Year	**FY 2015 Revised Enacted**	-	-	-
Base	**FY 2016 Enacted**	-	-	-
Current Services	Transfer in from S&E PNP PPA	369	320	100,573
	2017 Pay increase	-	-	2,033
	Annualization of 2016 pay increase	-	-	143
	Annualization of vacancy fill	-	3	470
	Joint Counter Terrorism Awareness Workshops (JCTAWS)			1,000
	Decrease for Emergency Management Assistance Compact			(1,122)
	Individual Community Preparedness Division			(1,000)
	Efficiencies saved through grants modernization	-	-	(2,806)
	Administrative contract reductions	-	-	(2,609)
Budget Year	**FY 2017 Request**	**369**	**323**	**96,682**
	Total Change from FY 2016 to FY 2017	**369**	**323**	**96,682**

Description:

FEMA requests $96.682 million, 369 FTP, and 323 FTE for FY 2017. Activities performed under Preparedness and Protection directly support several FEMA Strategic Plan priorities as the Agency works to be survivor centric in mission and program delivery, become expeditionary, and posture and build capability for catastrophic disasters. PNP supports objective 1.3 in increasing disaster awareness and action by improving communication. Additionally, the grants awarded to whole community partners, training and exercises support objectives 3.1 in strengthening the capabilities with the greatest potential to change outcomes on the ground in catastrophic disasters and 3.2 by supporting national-scale operations and resource sharing opportunities for catastrophic disasters.

This amount includes $1 million in additional funding for Joint Counter Terrorism Awareness Workshops (JCTAWS), which in the 2016 Enacted Budget was reflected in SALP grants account. The 2017 Budget realigns the $1 million so it is reflected in the same sub-PPA where the JCTAWS program is managed.

Department of Homeland Security
Federal Emergency Management Agency
Federal Assistance
Preparedness and Protection
Management and Administration

Cost Drivers (Pay & Benefits) – Sub-PPA Level (Excludes Reimbursables) ($000s)

	FY 2015 Revised Enacted[1]				FY 2016 Enacted				FY 2017 Request				Delta FY 2016 – 2017			
	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost
Civilian Total	364	364	$42,649	$116	369	320	$41,985	$131	369	323	$44,630	$138	-	3	$2,645	$7

[1]Reflects reprogrammings/transfers, as applicable, and actual FTE. The use of FY 2015 Actuals and FY2015 Revised Enacted Budgetary Resources in the CAS Pay Cost Drivers Exhibit may reflect a higher average FTE Cost than represented in the FY 2016 Enacted or FY 2017 Request average cost columns.

NARRATIVE EXPLANATION OF CHANGES – PAY COST DRIVERS:

- **FTE Change FY16-2017:** There is an incease of 3 FTE in FY 2017, due to the recalculation of the updated average cost of FTE.
- **Personnel Compensation and Benefits Change** The change in Personnel Compensation and Benefits is attributed to the annual pay increase.
- **Average Cost Change FY16-2017**: The average cost increase per FTE is based on the FY 2017 pay raise.

Department of Homeland Security
Federal Emergency Management Agency
Federal Assistance
Preparedness and Protection
Management and Administration

Cost Drivers (Non-Pay) – Sub-PPA Level ($000s)

Management and Administration - PNP	2015 Revised Enacted	2016 Enacted	2017 Request	FY 2016 to FY 2017 Change
FY 2017 Non- Pay Cost Drivers (greatest-least)				
Contracts	$39,501	$41,309	$38,503	($2,806)
Other services from non-federal sources	$11,780	$11,270	$10,750	($520)
Grants subsidies and contributions	$3,035	$3,841	$3,341	($500)
Travel, transportation of persons	$2,590	$2,481	$2,022	($459)
Equipment	$602	$1,058	$1,058	-
Total	**$57,508**	**$59,959**	**$55,674**	**($4,285)**

*FY 2015 and FY 2016 funding levels reflect the CAS Structure and are for comparison purposes only.

NARRATIVE EXPLANATION OF CHANGES – NON-PAY COST DRIVERS:

- **FY 2017 Non-Pay Cost Drivers: Contracts**
  The $2.806 million decrease is due to efficiency savings through the Grants Modernization Initiative which will reduce the costs associated with managing the grants programs through the administrative reduction in contracts associated with the grant programs.
- **FY 2017 Non-Pay Cost Drivers: Other services from non-federal sources**
  These services fund the sustainment and enhancement of the Grant reporting tool, ND Grants system, and AFG online system.
- **FY 2017 Non-Pay Cost Drivers: Grants subsidies and contributions**
  This funds student stipends for citizen corps training and reimbursement of eligible SLTT students under the stipend program for transportation costs to attend National Incident Management System (NIMS) and National Response Framework (NRF) courses. It also includes grants to Universities in support of emergency management preparedness.
- **FY 2017 Non-Pay Cost Drivers: Travel, transportation of persons**
  These costs are associated with conducting applicant technical assistance, award monitoring and peer review panels at non-Federal facilities each year w/approx.100-200 panelists attending each review. Grant Operations Travel costs allow for training and technical assistance to grantees and coordinates with regions on new and revised grant administration processes.
- **FY 2017 Non-Pay Cost Drivers: Equipment**
  This funding provides basic equipment for staff, such as computers, monitors, and mobile devices. The equipment is purchased in compliance with OCIO requirements. The equipment supports training and education and field communications.

Summary Tables of Sub-PPA
Department of Homeland Security
Federal Emergency Management Agency
Federal Assistance
Preparedness and Protection
Assistance to Firefighter Grants
FY 2016 to FY 2017 Budget Change- PPA Level
(Dollars in Thousands)

Assistance to Firefighter Grants		Positions	FTE	Amount
Prior Year	**FY 2015 Revised Enacted**	**-**	**-**	**-**
Base	**FY 2016 Enacted**	**-**	**-**	**-**
Current Services	Transfer in from Assistance to Firefighter Grants	-	-	345,000
	Reduction due to effectiveness in prior year grant programs	-	-	(10,000)
Budget Year	**FY 2017 Request**	**-**	**-**	**335,000**
	Total Change from FY 2016 to FY 2017	**-**	**-**	**335,000**

Description:

FEMA requests $335.000 million, 0 positions, and 0 FTE for Assistance to Firefighter Grants in FY 2017. Through the Assistance to Firefighter Grants (AFG) program, FEMA awards grants directly to fire departments, unaffiliated EMS organizations and State Fire Training Academies throughout the United States to support projects that improve the effectiveness and safety of the Nation's first responders in homeland security, firefighting, and EMS operations. The program is administered by FEMA's Grant Programs Directorate in cooperation with the U.S. Fire Administration (USFA). AFG directly supports priority 4 of the FEMA Strategic Plan to enable disaster risk reduction nationally. More specifically, AFG supports a specific strategy under objective 4.1 in promoting fire awareness, safety and risk reduction across the whole community. The AFG grant process is fully automated through an electronic grants system. Applicants apply online, grants are awarded electronically, grantees manage their grants (payment requests, amendments, etc.) online, and grants are monitored and closed electronically. For FY 2017, eligible applicants will be invited to submit an application for assistance in the following program areas identified as being most-critical to enhancing the capabilities of the fire and EMS services:

- Operations and Safety programs, which include training, equipment, and personal protective equipment;
- Firefighting Vehicle Acquisition, examples of which include: pumpers, brush trucks, tankers/ tenders, rescue vehicles, ambulances;
- Wellness/fitness activities;
- Modifications to facilities;
- Fire Prevention and Safety (FP&S) activities, which include awareness and education, arson prevention, code enforcement, burn prevention, and firefighter safety research and development programs.

Funding allocations are aligned to the core capabilities described in the National Preparedness Goal. Priorities for each of the funding activities are determined by DHS/FEMA, and are based on recommendations from the Nation's fire service (represented by nine major fire service organizations).

Applications that most closely align to those announced priorities are reviewed by peer review panels. Grant awards primarily are based on the results of the peer reviews.

Under Section 33 of the Fire Prevention and Control Act of 1974, as amended January 2, 2013 (15§ 2229a), AFG must expend a minimum of 10 percent of available grant funding for fire prevention activities. The primary goal of the FP&S program is to reduce injury and prevent deaths for populations determined as high risk by the applicants. In addition to fire departments and unaffiliated EMS organizations, non-profit organizations, public health institutions and universities are eligible to apply for research & development funding.

Department of Homeland Security
Federal Emergency Management Agency
Federal Assistance
Preparedness and Protection
Assistance to Firefighter Grants

Cost Drivers (Non-Pay) – Sub-PPA Level ($000s)

Assistance to Firefighter Grants	2015 Revised Enacted	2016 Enacted	2017 Request	FY 2016 to FY 2017 Change
FY 2017 Non- Pay Cost Drivers (greatest-least)				
Assistance to Firefighter Grants	$340,000	$345,000	$335,000	($10,000)
Total	**$340,000**	**$345,000**	**$335,000**	**($10,000)**

*FY 2015 and FY 2016 funding levels reflect the CAS Structure and are for comparison purposes only.

NARRATIVE EXPLANATION OF CHANGES – NON-PAY COST DRIVERS:

- **FY 2017 Non-Pay Cost Drivers: Assistance to Firefighter Grants**
  FEMA requests $335.000 million in FY 2017. This amount is a $10 million decrease from FY 2016. The reduction reflects FEMA's successful investments in prior year grants awarded. FEMA grants provide investments to support core capabilities and incorporate effective measures. These measures inform investments based on required capability levels rather than the funding levels of prior years.

Department of Homeland Security
Federal Emergency Management Agency
Federal Assistance
Preparedness and Protection
State and Local Programs
FY 2016 to FY 2017 Budget Change- PPA Level
(Dollars in Thousands)

State and Local Programs		Positions	FTE	Amount
Prior Year	**FY 2015 Revised Enacted**	**-**	**-**	**-**
Base	**FY 2016 Enacted**	**-**	**-**	**-**
Current Services	Transfer in from State and Local Programs	-	-	1,317,000
	Reduction to Port Security Grant Program (PSGP)	-	-	(7,000)
	Reduction to State Homeland Security Grant Program (SHSGP)	-	-	(267,000)
	Reduction to Transit Security Grant Program (TSGP)	-	-	(15,000)
	Realigning funding to PNP M&A for JCTAWS			($1,000)
	Reduction to UASI	-	-	(270,000)
Program Changes	Regional Competitive grants	-	-	100,000
Budget Year	**FY 2017 Request**	**-**	**-**	**857,000**
	Total Change from FY 2016 to FY 2017	**-**	**-**	**857,000**

Description:

FEMA requests $857.000 million, 0 positions, and 0 FTE for State and Local Programs within the Preparedness and Protection PPA. State and Local Programs include funding for the Homeland Security Grant Program, which is comprised of the State Homeland Security Program (including Operation Stonegarden and Tribal Homeland Security Grant Program), Urban Area Security Initiative (includes Non-Profit Security Grant Program); Port Security Grant Program; Transit Security Grant Program; and Intercity Passenger Rail/Amtrak Program. This number includes $49 Million for Countering Violent Extremism (CVE) and activities targeted at preventing, preparing for, and responding to complex, coordinated terrorist attacks. These grant programs support the achievement of FEMA Strategic Plan objectives 3.1 focused on strengthening the capabilities with the greatest potential to change outcomes on the ground in catastrophic disasters, as well as objective 4.2 on incentivizing and facilitating investments to manage current and future risk. FEMA is requesting funding for the Regional Competitive grant program; funding will be awarded on a competitive basis. Gaps will be identified through an analysis of state and regional THIRAs, the annual National Preparedness Report, the Strategic National Risk Assessment, and other assessments of national risk and capabilities.

State and Local Programs
Grants Programs
Dollars in Thousands

Activity/Office	FY 2015 Revised Enacted	FY 2016 Enacted	FY 2017 Request	FY 2017 +/- FY 2016
State Homeland Security Grant Program	$467,000	$467,000	$200,000	($267,000)
Port Security Grant Program	$100,000	$100,000	$93,000	($7,000)
Transit Security Grant Program	$100,000	$100,000	$85,000	($15,000)
Urban Area Security Iniative	$600,000	$600,000	$330,000	($270,000)
Countering Violent Extremism (CVE)	-	$50,000	$49,000	($1,000)
Regional Competition Grant Program	-	-	$100,000	$100,000
Total Budget	**$1,267,000**	**$1,317,000**	**$857,000**	**($460,000)**
Positions	-	-	-	-

Department of Homeland Security
Federal Emergency Management Agency
Federal Assistance
Preparedness and Protection
State and Local Programs

Cost Drivers (Non-Pay) – Sub-PPA Level ($000s)

State and Local Programs	2015 Revised Enacted	2016 Enacted	2017 Request	FY 2016 to FY 2017 Change
FY 2017 Non- Pay Cost Drivers (greatest-least)				
Grants	$1,267,000	$1,317,000	$857,000	($460,000)
Total	**$1,267,000**	**$1,317,000**	**$857,000**	**($460,000)**

*FY 2015 and FY 2016 funding levels reflect the CAS Structure and are for comparison purposes only.

NARRATIVE EXPLANATION OF CHANGES – NON-PAY COST DRIVERS:

- **FY 2017 Non-Pay Cost Drivers: State and Local Program**

  FEMA is requesting $857.000 million for State and Local Programs for FY 2017. This number includes $10.000 million in grant funds for Countering Violent Extremism, $39.0 million for activities targeted at preventing, preparing for, responding to Complex Coordinated terrorist attacks.

  The reduction from FY 2016 reflects FEMA's successful investments in prior year grants awarded. FEMA grants provide investments to support core capabilities and incorporate effective measures. These measures inform investments based on required capability levels rather than the funding levels of prior years.

  The reduction also reflects the realignment of $1 million in funding for JCTAWS to the Management and Administration sub-PPA.

Department of Homeland Security
Federal Emergency Management Agency
Federal Assistance
Preparedness and Protection
Education, Training and Exercises
FY 2016 to FY 2017 Budget Change- PPA Level
(Dollars in Thousands)

Education, Training, and Exercises		**Positions**	**FTE**	**Amount**
Prior Year	**FY 2015 Revised Enacted**	**-**	**-**	**-**
Base	**FY 2016 Enacted**	**-**	**-**	**-**
Current Services	Transfer in from SALP ETE PPA	240	211	233,000
	2017 Pay Increase	-	-	320
	Annualization of 2016 pay raise	-	-	86
	Recalculations of FTE's		29	
	Continuing Training Grants	-	-	(11,521)
	Efficiencies from student stipends and training delivery	-	-	(3,256)
	National Domestic Preparedness Consortium	-	-	(62,000)
Program Change	Center for Domestic Preparedness	12	7	864
Budget Year	**FY 2017 Request**	**252**	**247**	**157,493**
	Total Change from FY 2016 to FY 2017	**252**	**247**	**157,493**

Description:

FEMA requests $157.493 million, 252 FTP and 247 FTE in FY 2017 for Education, Training and Exercises. The Education, Training and Exercises sub-PPA is comprised of the Emergency Management Institute (EMI), the National Exercise Program (NEP), the Center for Domestic Preparedness (CDP), the National Domestic Preparedness Consortium (NDPC), and the Center for Homeland Defense and Security (CHDS).

Emergency Management Institute

EMI is the emergency management community's flagship training institution for Federal, SLTT governments, volunteer, public, and private sector officials to strengthen emergency management core competencies. EMI directly supports the implementation of the National Preparedness System, National Preparedness Goal, National Incident Management System, and the National Planning Frameworks by developing critical knowledge and skills to improve and sustain the Nation's capability to prepare for, protect against, respond to, recover from, and mitigate all hazards using the whole community approach. EMI supports all of the FEMA Strategic Plan priorities as the Agency works to becoming more survivor-centric in mission and program delivery, becoming an expeditionary organization, posturing and building for catastrophic disasters, enabling disaster risk reduction

nationally, and strengthening FEMA's organizational foundation. Through its training, EMI works to directly support objectives 1.2 of provide support to local leaders and tribal officials to strengthen recovery and mitigation core capabilities, 3.1 of strengthening capabilities with the greatest potential to change outcomes on the ground in catastrophic disasters, and 5.1 in building, managing and strengthening the FEMA workforce. EMI trains more than 2 million students annually. Training delivery systems include residential, onsite training; offsite delivery in partnership with external emergency management training systems, colleges, and universities; and technology-based platforms to conduct individual training courses for emergency management personnel across the Nation and internationally. In FY 2017, EMI will increase partnerships with FEMA regions, SLTT governments, federal agencies, and other whole community entities to leverage training support and outcomes. As part of the National Training and Education System (NTES), EMI will align its curriculum for the SLTT audience with the NPS core capabilities to facilitate data-driven, requirements-based decision-making to support increased operational efficiency by applying resources to efforts/activities to achieve the greatest measurable impact on preparedness across the System.

National Exercise Program

The National Exercise Program (NEP) is administered and managed by the National Preparedness Directorate's (NPD) National Exercise Division (NED). The NEP serves as the principal mechanism for examining national preparedness and across the entire homeland security and emergency management enterprise. The purpose of the NEP is to design, coordinate, conduct, and evaluate exercises that rigorously test our Nation's ability to perform missions and functions that prevent, protect against, respond to, recover from, and mitigate all hazards.

The NEP fosters a systematic interaction of public officials at every level of jurisdiction, and incorporates participation of the private-sector, academic institutions, faith-based and non-governmental organizations, along with the public. Each two-year NEP cycle is risk-driven, capabilities-based, guided by objectives, and responsive to changes in policy and lessons learned from previous exercises and actual events; and culminate in a capstone full scale exercise.

Exercises conducted in the NEP employ the doctrine and methodology of the Homeland Security Exercise and Evaluation Program (HSEEP) which provides exercise guidance and principles constituting a national standard for homeland security exercises. The HSEEP Toolkit serves as the homeland security technology enterprise, providing tools to aid local, state, tribal, territorial and federal partners in implementing all six areas of the National Preparedness System. The HSEEP Toolkit is an online portal which integrates distinct technology-enabled tools developed and hosted by NPD/PNP into a single environment.

In FY 2017 FEMA will initiate the third NEP cycle. The FY 2017 cycle will continue to incorporate lessons learned from the previous cycles, analyze key findings and trends from exercises and real world events, be driven by data from the THIRAs, State Preparedness Reports, and the National Preparedness Report, and consider emerging threats to ensure NEP priorities are consistent with the greatest preparedness risks.

The Center for Domestic Preparedness

The CDP provides specialized, all-hazards preparedness training in support of NTES to SLTT emergency responders on skills tied to national priorities, particularly those related to chemical, biological, radiological and nuclear weapons of mass destruction. To date, the CDP has trained more than 895,690 students from SLTT agencies. Training for SLTT responders is provided for ten disciplines and is designed to build the Nation's capacity to

respond to and recover from disasters in a quick and robust fashion, therefore, strengthening the nation's preparedness and resilience in support of emergency and disaster declarations, response operations, exercises, and National Special Security Events.

The Chemical, Ordnance, Biological, and Radiological Training Facility (COBRATF) is the Nation's only toxic-agent training facility for civilian responders, providing a unique environment that enables them to train using military nerve agents and live biological materials in a safe and realistic training environment. The CDP is upgrading the training in the COBRATF to include a state of the art protective mask that meets Occupational Safety and Health Administration (OSHA) and National Institute for Occupational Safety and Health (NIOSH) standards. The masks allow students to train with equipment that mirrors local assets. The Noble Training Facility is also operated as part of the CDP and is the only hospital facility in the United States dedicated solely to training hospital and healthcare professionals in disaster preparedness and mass casualty operations. The Noble Training Facility addresses training gaps identified by the healthcare response community and trains healthcare professionals to meet all-hazard threats in a realistic hospital environment. In addition to developing and delivering emergency responder training at various levels for a broad range of emergency response disciplines, the CDP also participates in efforts to standardize training for SLTT responders while maintaining training quality and gathering meaningful evaluation data for continuous program analysis, improvement and effective program management.

The CDP utilizes strategic partnerships with State Administrative Agency training points of contact to define comprehensive training approaches that meet state, local, and tribal identified training needs. This analysis will focus CDP's training to meet emerging threats while providing preparation for National Special Security Events and other Threat and Hazard Identification and Risk Assessment (THIRA) based requirements. The CDP also leverages partnerships with leading healthcare regulatory, accreditation, and credentialing organizations, including the American College of Physicians, the American Hospital Association, and the American Medical Association to enhance the CDP's ability to develop cutting edge curriculum that addresses the medical profession's identified training gaps in responding to mass casualty events and emerging threats.

The CDP has a vital role in building the knowledge, skills, and abilities of EMA's workforce by hosting and supporting the training of FEMA's Incident Management Assistance Teams (IMATs), Federal Incident Workforce Academy, and FEMA Corps. The CDP works collaboratively with other Federal to develop and deliver all-hazards training to meet national priorities to meet new threats. A priority focus of the CDP is to identify and implement the necessary changes to the organizational structure, campus infrastructure, and support contracts to more effectively and efficiently support both the SLTT training mission and these collaborative partnerships. The CDP maintains a campus of 172 acres and approximately 935,000 square feet of training and support facilities that provide the Department, Agency and other Federal training partners with an effective and efficient environment for training.

National Domestic Preparedness Consortium

Consistent with the 9/11 Reauthorization Act of 2007, the NDPC consists of the following members:

- Energetic Materials Research and Testing Center (EMRTC), Institute of Mining and Technology, Socorro, NM
- National Center for Bio-medical Research and Training (NCBRT) Louisiana State University, Baton Rouge, LA
- National Emergency Response and Rescue Training Center (NERRTC), Texas Engineering Extension Service (TEEX), Texas A&M University, College Station, TX
- Counter Terrorism Operations Support (CTOS), Nevada National Security Site (NNSS), National Nuclear Security Administration, U.S. Department of Energy, Las Vegas, NV

- National Disaster Preparedness Training Center at the University of Hawaii
- Transportation Technology Center, Inc.

The NDPC provides first responders with a comprehensive all hazards training program that includes a focus on weapons of mass destruction (WMD) and natural disasters aimed to improve their capacity to prevent, protect against, respond to, and recover from all hazards events including acts of terrorism. In administering this program, the FEMA's National Training and Education Division (NTED) oversees, coordinates, and strives to institutionalize the development and delivery of comprehensive training that targets members of the responder community, providing WMD knowledge to enhance their skills and abilities. Ensuring the quality and consistency of training of first responders is a key element in FEMA's preparedness mission.

Each of the NDPC components focuses on development and delivery of products and services according to its institutional expertise. The NDPC specifically focuses on enhancing first responders' capability to prepare for, respond to, protect against, and recover from all hazards incidents involving CBRNE agents and natural hazards. Under this arrangement, the NDPC demonstrated results in the Nation's unified effort to bring all levels of government, business, and the emergency response community to enhanced levels of preparedness.

In support of the Goal, select NDPC training partners target emerging trends such as crude oil transport by rail and spectator sports and special events in course development procedures. These specific hazards present significant risks for the nations' emergency responders as potential targets for international and domestic terrorists.

The Center for Homeland Defense and Security

CHDS develops and offers an array of educational resources to the entire homeland security enterprise. CHDS began offering a Master of Arts degree in January 2003. Since that time, more than 786 of the nation's homeland security leaders have earned master's degrees there. CHDS also provides education directly to governors, mayors, and other state and local leaders through more than 313 Executive Education Seminars, reaching more than 10,900 officials nationwide. The Center added an Executive Leaders Program in 2006 to provide policy and strategy education to high-level professionals from the Federal, tribal, State, local, territorial and private sectors; more than 480 professionals have participated in the program to date. To meet demand for its graduate program and meet the needs of the National Capital Region, CHDS opened a second campus in Shepherdstown, West Virginia, in 2007. The University and Agency Partnership Initiative (UAPI) shares CHDS' state-of-art curriculum with more than 300 colleges and universities from across the country further enabling the capacity and reach of homeland security education. Additional resources offered through the Center include the Homeland Security Digital Library, the nation's premier repository for homeland security documents, and Homeland Security Affairs, the nation's first peer-reviewed, digital journal dedicated to homeland security policy and issues.

Department of Homeland Security
Federal Emergency Management Agency
Federal Assistance
Preparedness and Protection
Education, Training and Exercises

Cost Drivers (Pay & Benefits) – Sub-PPA Level (Excludes Reimbursables) ($000s)

	FY 2015 Revised Enacted[1]				FY 2016 Enacted				FY 2017 Request				Delta FY 2016 - 2017			
	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost
Civilian Total	239	210	$26,279	$125	240	211	$26,601	$126	252	247	$27,872	$113	12	36	$1,271	($13)

[1]Reflects reprogrammings/transfers, as applicable, and actual FTE. The use of FY 2015 Actuals and FY2015 Revised Enacted Budgetary Resources in the CAS Pay Cost Drivers Exhibit may reflect a higher average FTE Cost than represented in the FY 2016 Enacted or FY 2017 Request average cost columns.

Description:

- **FTE Change FY 2016-2017:** The Center for Domestic Preparedness is hiring additional administrative staff (12 positions) that will support CDP's increased engagement with other FEMA offices and federal partners. Funding is also provided to support FEMA's environmental requirements and for a laboratory bio technician. The CDP's current staffing levels are to meet the CDP's statutory mission and are not sufficient to plan, manage, and sustain the necessary levels of support needed for the long-term sustainment of the new training that is now being accomplished at the CDP. The additional staff will be utilized to provide planning, training management oversight, and sustainment functions such as logistical support, Information technology, financial oversight, etc.

  In 2017 FEMA recalculated the cost per employee. The reduction in cost per employee in FY 2017 was significantly reduced in the Exercise, Training, and Education based on the cost per FTE. This recalculation in 2017 better reflects ETE's personnel funding requirements.

- **Personnel Compensation and Benefits Change FY 2016-2017:** The increase in Personnel Compensation and Benefits is attributed to the expansion of administration and environmental technology duties.

- **Average Cost Change FY 2016-2017:** A decrease to the average annual cost is attributed to the hiring of new employees and the attrition of more costly senior employees.

Department of Homeland Security
Federal Emergency Management Agency
Federal Assistance
Preparedness and Protection
Education, Training and Exercises

Cost Drivers (Non-Pay) – Sub-PPA Level ($000s)

Education, Training, and Exercises	2015 Revised Enacted*	2016 Enacted*	2017 Request	FY 2016 to FY 2017 Change
FY 2017 Non- Pay Cost Drivers (greatest-least)				
Subsistence & Support of persons	$101,130	$102,130	$90,094	($12,036)
Grants, Subsides, and contributions	$89,924	$88,602	$24,705	($63,897)
Travel and transportation	$5,784	$5,784	$5,804	$20
Communications, Utilities, and misc charges	$1,936	$1,936	$1,936	-
Equipment	$2,240	$2,240	$2,240	-
Total	**$200,987**	**$200,692**	**$124,779**	**($75,913)**

*FY 2015 and FY 2016 funding levels reflect the CAS Structure and are for comparison purposes only.

SUB-PPA JUSTIFICATION:

- **FY 2017 Non-Pay Cost Drivers: Subsistence & Support of Persons**
  The reduction in cost is associated with the reduced subsistence & support of persons for National Training Exercises and the reduced frequency of exercises from prior years.
- **FY 2017 Non-Pay Cost Drivers: Grants, Subsidies, and contributions**
  Grants, subsidies, and contributions provides training to help the Nation prevent, prepare for, respond to, and recover from acts of domestic and international terrorism, WMDs, and high-consequence all hazards events through teaching, training, technical assistance, and research. The reduction is in grants associated with Universities and subsidies to national training programs.
- **FY 2017 Non-Pay Cost Drivers: Other services from non federal sources**
  Other services from nonfederal sources are software and hardware operations and maintenance that support exercises and training activities.
- **FY 2017 Non-Pay Cost Drivers: Travel and transportation**
  Travel & Transportation covers staff travel and invitational travel needs in supporting ICPD initiatives and FEMA regions in support of community preparedness, including Youth preparedness Council Meeting (YPC) and ICP Awards.
- **FY 2017 Non-Pay Cost Drivers: Communications, Utilities, and Misc charges**
  This includes equipment lease and maintenance charges to support training, and exercise programs.
- **FY 2017 Non-Pay Cost Drivers: Equipment**
  Equipment funding provides basic equipment for staff - computers, monitors, mobile devices. The equipment is purchased to comply with OCIO requirements. The equipment will support training and education and field communications equipment.

Department of Homeland Security
Federal Emergency Management Agency
Federal Assistance
Preparedness and Protection
Staffing for Adequate Fire and Emergency Response Grants
FY 2016 to FY 2017 Budget Change- PPA Level
(Dollars in Thousands)

Staffing for Adequate Fire and Emergency Response Grants		Positions	FTE	Amount
Prior Year	**FY 2015 Revised Enacted**	**-**	**-**	**-**
Base	**FY 2016 Enacted**	**-**	**-**	**-**
Current Services	Transfer in from SALP - SAFER PPA	-	-	345,000
	Reduction to grant	-	-	(10,000)
Budget Year	**FY 2017 Request**	**-**	**-**	**335,000**
	Total Change from FY 2016 to FY 2017	**-**	**-**	**335,000**

Description:

FEMA requests $335.000 million, 0 positions, and 0 FTE for Staffing for Adequate Fire and Emergency Response Grants in FY 2017.

Staffing for Adequate Fire and Emergency Response (SAFER) grants provide funding to improve the readiness and capability of local firefighters for all-hazards emergencies, including firefighting operations. Fire departments and volunteer firefighter interest organizations receive grants to help them increase or maintain the number of trained, "front line" firefighters available in their communities. SAFER grants directly supports priority 4 of the FEMA Strategic Plan to enable disaster risk reduction nationally. More specifically, funding from SAFER grants support a specific strategy under objective 4.1 in promoting fire awareness, safety and risk reduction across the whole community. The goal of SAFER is to enhance the local fire departments' abilities to comply with staffing, response and operational standards established by the National Fire Protection Association (NFPA).

FEMA strongly encourages applicants, to the extent practicable, to seek, recruit, and hire post-9/11 veterans to increase their ranks within their department in order to take advantage of the provisions of the Veterans Opportunity to Work (VOW) to Hire Heroes Act of 2011.

Department of Homeland Security
Federal Emergency Management Agency
Federal Assistance
Preparedness and Protection
Staffing for Adequate Fire and Emergency Response Grants

Cost Drivers (Non-Pay) – Sub-PPA Level ($000s)

Staffing for Adequate Fire and Emergency Response Grants	2015 Revised Enacted	2016 Enacted	2017 Request	FY 2016 to FY 2017 Change
FY 2017 Non- Pay Cost Drivers (greatest-least)				
Staffing for Adequate Fire and Emergency Response Grants	$340,000	$345,000	$335,000	($10,000)
Total	**$340,000**	**$345,000**	**$335,000**	**($10,000)**

*FY 2015 and FY 2016 funding levels reflect the CAS Structure and are for comparison purposes only.

SUB-PPA JUSTIFICATION:

- **FY 2017 Non-Pay Cost Drivers: Staffing for Adequate Fire and Emergency Response Grants**

  FEMA requests $335.000 million for Staffing for Adequate Fire and Emergency Response Grants.

  The reduction reflects FEMA's successful investments in prior year grants awarded. FEMA grants provide investments to support core capabilities and incorporate effective measures. These measures inform investments based on required capability levels rather than the funding levels of prior years.

Department of Homeland Security
Federal Emergency Management Agency
Federal Assistance
Preparedness and Protection
Emergency Management Performance Grants
FY 2016 to FY 2017 Budget Change- PPA Level
(Dollars in Thousands)

Emergency Management Performance Grants		Positions	FTE	Amount
Prior Year	**FY 2015 Revised Enacted**	-	-	-
Base	**FY 2016 Enacted**	-	-	-
	Transfer in from SALP - EMPG PPA	-	-	350,000
Budget Year	**FY 2017 Request**	-	-	**350,000**
	Total Change from FY 2016 to FY 2017	-	-	**350,000**

Description:

EMPG is a formula-based grant program that provides assistance to States and territories in order to sustain and enhance the effectiveness of their emergency management programs for all hazards preparedness. Funds are provided to all 50 States and six territories as well as to the Federated States of Micronesia and the Republic of the Marshall Islands. The EMPG program directly supports FEMA Strategic Plan objective 3.1 in strengthening capabilities with the greatest potential to change outcomes on the ground in catastrophic disasters. FEMA provides grantees with the flexibility to allocate funds according to risk and to address the most urgent needs within their State/territory relating to disaster protection, prevention, mitigation, response, and recovery.

The EMPG Program plays an important role in the implementation of the National Preparedness System. The program supports the building, sustainment, and delivery of core capabilities essential to achieving the National Preparedness Goal (the Goal) of a secure and resilient nation. Delivering core capabilities requires the combined effort of the whole community, rather than the exclusive effort of any single organization or level of government. The EMPG Program's allowable costs support efforts to address capability gaps in the 31 core capabilities across the Prevention, Protection, Mitigation, Response, and Recovery mission areas. In addition, EMPG funding supports key functional areas of emergency management: laws and authorities; threat, hazard identification and risk assessment; hazard management; resource management; planning; direction, control, and coordination; communications and warning; operations and procedures; logistics and facilities; training; exercises; public education and information; and finance and administration.

EMPG has a 50 percent Federal cost share with the State or local government who are required to pay for the remainder of eligible costs under the program. Additionally, a maximum of five percent of funds awarded can be used, by both the state and local Emergency Management Agency (EMA) for Management and Administration (M&A) purposes associated with the grant award. If the State Administrative Agent (SAA) is not the EMA, the SAA is not eligible to retain funds for M&A.

Department of Homeland Security
Federal Emergency Management Agency
Federal Assistance
Preparedness and Protection
Emergency Management Performance Grants

Cost Drivers (Non-Pay) – Sub-PPA Level ($000s)

Emergency Management Performance Grants	2015 Revised Enacted	2016 Enacted	2017 Request	FY 2016 to FY 2017 Change
FY 2017 Non- Pay Cost Drivers (greatest-least)				
Emergency Management Performance Grants	$350,000-	$350,000	$350,000	0
Total	**$350,000-**	**$350,000**	**$350,000**	**0**

*FY 2015 and FY 2016 funding levels reflect the CAS Structure and are for comparison purposes only.

SUB-PPA JUSTIFICATION:

- **FY 2017 Non-Pay Cost Drivers: Grants, Subsidies, and contributions**

  There are no changes to the program.

Department of Homeland Security
Federal Emergency Management Agency
Federal Assistance
Response and Recovery
FY 2016 to FY 2017 Budget Change- PPA Level
(Dollars in Thousands)

Response and Recovery		**Positions**	**FTE**	**Amount**
Prior Year	**FY 2015 Revised Enacted**	**-**	**-**	**-**
Base	**FY 2016 Enacted**	**-**	**-**	**-**
Current Services	Transfer in from EFS	-	-	120,000
	Reduction in grant	-	-	(20,000)
Budget Year	**FY 2017 Request**	**-**	**-**	**100,000**
	Total Change from FY 2016 to FY 2017	**-**	**-**	**100,000**

PPA DESCRIPTION:

FEMA requests $100.000 million, 0 positions, and 0 FTE for the Response and Recovery mission to support the Emergency Food and Shelter Program.

The Office of Response and Recovery provides guidance leadership and oversight to build, sustain, and improve the coordination and delivery of support to citizens and State, Local, Tribal and Territorial governments to save lives, reduce suffering, protect property and recover from all hazards. Response and Recovery supports FEMA 2014-2018 Strategic Plan priorities including becoming more survivor-centric in mission and program delivery, becoming an expeditionary organization, posturing and building for catastrophic disasters, enabling disaster risk reduction nationally, and strengthening FEMA's organizational foundation.

The Emergency Food and Shelter (EFS) Program provides grants to nonprofit and governmental organizations at the local level to supplement their programs for emergency food and shelter. Program funding is distributed by the National Board which is chaired by FEMA and consists of representatives from six national charitable organizations including the American Red Cross, Catholic Charities USA, The Jewish Federations of North America, the National Council of Churches of Christ in the USA, The Salvation Army, and the United Way Worldwide.

Local jurisdictions, including cities or counties, qualify for EFS program support when they demonstrate the highest need for emergency food and shelter services as determined by the National Board's formula which is based on national unemployment and poverty rates. Funding also may be provided to jurisdictions that do not qualify for funding under the formula through the National Board's State Set-Aside Committee process. The National Board allocates a portion of appropriated funds to each state based upon the unemployment rates in jurisdictions that did not qualify for direct funding under the National Board's formula. The State Set-Aside Committee process allows states to address pockets of homelessness and poverty or address the immediate needs of a locality that might be going through a high economic impact event not reflected in the national criteria. Of note, disaster events are not a factor currently used to determine the allocation of EFS grants.

Department of Homeland Security
Federal Emergency Management Agency
Response and Recovery

Cost Drivers (Non-Pay) - PPA Level ($000s)

Response and Recovery	2015 Revised Enacted	2016 Enacted	2017 Request	FY 2016 to FY 2017 Change
FY 2017 Non- Pay Cost Drivers (greatest-least)				
Grants	$120,000	$120,000	$100,000	($20,000)
Total	**$120,000**	**$120,000**	**$100,000**	**($20,000)**

*FY 2015 and FY 2016 funding levels reflect the CAS Structure and are for comparison purposes only.

NARRATIVE EXPLANATION OF CHANGES – NON-PAY COST DRIVERS:

- **FY 2017 Non-Pay Cost Drivers: Grants**

  In FY 2017, FEMA requests $100.000 million, 0 positions, and 0 FTE for EFS Program.

  Further FEMA requests EFS authority to transfer to the Department of Housing and Urban Development (HUD), as its mission is better aligned with the goals of the EFS program. FEMA and HUD believe that this transition will improve program mission alignment, improve coordination, increase accountability and transparency, as well as maximize the effectiveness of the use of these funds.

  Federal expertise in homelessness assistance is largely resident at HUD, and HUD is already responsible for implementing many of the other authorities granted by the McKinney-Vento Homeless Assistance Act. This includes the Emergency Solutions Grants program which, similar to EFS, provides funds to states, counties, and cities for emergency shelters, street outreach, and other essential services to assist people who are living on the streets, as well as newer interventions such as rapid re-housing and homelessness prevention.

  Fragmentation and overlap of services exists among Federal homeless assistance programs. However, through improved coordination between Federal, state and local partners, the Administration has made significant progress toward the goals of Opening Doors: The Federal Strategic Plan to End Homelessness. FEMA will continue to support disaster food and shelter requirements under its emergency assistance authorities in the Stafford Act.

E. Justification of Proposed Legislative Language

Department of Homeland Security
Federal Emergency Management Agency
Federal Assistance

For activities of the Federal Emergency Management Agency associated with the provision of federal assistance, $2,518,276,700, as follows:
(a) to carry out the emergency food and shelter program pursuant to title III of the McKinney-Vento Homeless Assistance Act (42 U.S.C. 11331 et seq.), $100,000,000, to remain available until expended: Provided, That total administrative costs shall not exceed 3.5 percent of the total amount made available under this heading: Provided further, That the Administrator of the Federal Emergency Management Agency (Administrator) may transfer funds appropriated under this heading to "Department of Housing and Urban Development—Homeless Assistance Grants": Provided further, That, if funds are transferred pursuant to the previous proviso, notwithstanding the references to the Administrator in 42 U.S.C 11331 through 11335 and 11341, the Secretary of Housing and Urban Development shall carry out the functions of the Administrator with respect to the Emergency Food and Shelter Program, including with respect to the National Board;
(b) for the predisaster mitigation grant program under section 203 of the Robert T. Stafford Disaster Relief and Emergency Assistance Act (42 U.S.C. 5133), $54,485,623, to remain available until expended;
(c) for necessary expenses, including administrative costs, under section 1360 of the National Flood Insurance Act of 1968 (42 U.S.C. 4101), under sections 100215, 100216, 100226, 100230, and 100246 of the Biggert-Waters Flood Insurance Reform Act of 2012, (Public Law 112–141, 126 Stat. 916), and under section 17 of the Homeowner Flood Insurance Affordability Act of 2014 (Public Law 113–89, 128 Stat. 1020), $177,530,500, and such additional sums as may be provided by State and local governments or other political subdivisions for cost-shared mapping activities under section 1360(f)(2) of such Act (42 U.S.C. 4101(f)(2)), to remain available until expended;
(d) for necessary expenses of the United States Fire Administration and for other purposes, as authorized by the Federal Fire Prevention and Control Act of 1974 (15 U.S.C. 2201 et seq.) and the Homeland Security Act of 2002 (6 U.S.C. 101 et seq.), $40,811,513;
(e) for grants, contracts, cooperative agreements, and other activities, $2,131,175,064, which shall be allocated as follows:
(1) $200,000,000 shall be for the State Homeland Security Grant Program under section 2004 of the Homeland Security Act of 2002 (6 U.S.C. 605);
(2) $330,000,000 shall be for the Urban Area Security Initiative under section 2003 of the Homeland Security Act of 2002 (6 U.S.C. 604);
(3) $85,000,000 shall be for the Public Transportation Security Assistance and Railroad Security Assistance, under sections 1406 and 1513 of the Implementing Recommendations of the 9/11 Commission Act of 2007 (6 U.S.C. 1135 and 1163), of which $10,000,000 shall be for Amtrak security: Provided, That such public transportation security assistance shall be provided directly to public transportation agencies;
(4) $93,000,000 shall be for the Port Security Grants in accordance with 46 U.S.C. 70107: Provided, That, for paragraphs (1) through (4) above, notwithstanding section 2008(a)(11) of the Homeland Security Act of 2002 (6 U.S.C. 609(a)(11)), or any other provision of law, a recipient or subrecipient of a grant may use not more than 5 percent of the amount of the grant or subgrant made available to them under this heading for expenses directly related to administration of the grant: Provided further, That applications for grants under these headings shall be made available to eligible applicants not later than 60 days after the date of enactment of this Act, eligible applicants shall submit applications not later than 80 days after the grant announcement, and the Administrator of the Federal Emergency Management Agency shall act within 65 days after the receipt of an application: Provided further, That for grants under paragraphs (1) and (2), the installation of communications towers is not considered construction of a building or other physical facility: Provided further, That grantees shall provide reports on their use of funds, as determined necessary by the Secretary of Homeland Security: Provided further, That notwithstanding section 2008 (a)(11) of the Homeland Security Act of

2002 (6 U.S.C. 609(a)(11)), the Administrator of the Federal Emergency Management Agency may use the funds provided in paragraph (g) to acquire real property for the purpose of establishing or appropriately extending the security buffer zones around Federal Emergency Management Agency training facilities;
(5) $670,000,000, to remain available until September 30, 2018, shall be for necessary expenses for programs authorized by the Federal Fire Prevention and Control Act of 1974 (15 U.S.C. 2201 et seq.), of which $335,000,000 shall be available to carry out section 33 of that Act (15 U.S.C. 2229), and $335,000,000 shall be available to carry out section 34 of that Act (15 U.S.C. 2229a);
(6) $350,000,000 shall be available for necessary expenses for emergency management performance grants, as authorized by the Robert T. Stafford Disaster Relief and Emergency Assistance Act (42 U.S.C. 5121 et seq.), the Earthquake Hazards Reduction Act of 1977 (42 U.S.C. 7701 et seq.), 6 U.S.C. 762, and Reorganization Plan No. 3 of 1978 (5 U.S.C. App.);
(7) $157,492,665 shall be for training, exercises, technical assistance, and other programs, of which $36,000,000 shall be for National Domestic Preparedness Consortium for the purpose of achieving the National Preparedness Goal, $37,643,000 shall be for the Center for Homeland Defense and Security to continue master's degree, executive leadership and associated programs in homeland security and the Emergency Management Institute, and $83,849,665 shall be for the Center for Domestic Preparedness and the National Exercise Division;
(8) $96,682,399 shall be available for the necessary expenses of Protection and National Preparedness, including any activities authorized by the Homeland Security Act of 2002 (6 U.S.C. 101 et seq.), the Post-Katrina Emergency Management Reform Act of 2006 (Public Law 109–295; 120 Stat. 1394), and Title VI of the Robert T. Stafford Disaster Relief and Emergency Assistance Act (42 U.S.C. 5133);
(9) $49,000,000, to remain available until September 30, 2018, shall be for necessary expenses for emergent threats from violent extremism and from complex, coordinated terrorist attacks; and
(10) $100,000,000, to remain available until September 30, 2018, shall be for a regional competitive grant program; and
(f) $14,274,000, for necessary expenses, including activities authorized by the Earthquake Hazards Reduction Act of 1977 (42 U.S.C. 7701 et seq.) and the National Dam Safety Program Act (33 U.S.C. 467 et seq.).

Explanation of Proposed Change

The legislative language associated with this account has been updated and streamlined to reflect the Department’s new Common Appropriations Structure. Funding amounts have been updated to reflect the FY 2017 President’s Budget

F. Summary of Fee Collections and Carryover

Department of Homeland Security
Federal Emergency Management Agency
Federal Assistance
Summary of Fee Collections and Carryover
(Dollars in Thousands)

N/A

G. Summary of Reimbursable Resources

Department of Homeland Security
Federal Emergency Management Agency
Federal Assistance

Summary of Reimbursable Resources
(Dollars in Thousands)

	FY 2015 Revised Enacted			FY 2016 Enacted			FY 2017 Request			Increase/Decrease		
Collections by Source:	**Pos**	**FTE**	**Amount**	**Pos**	**FTE**	**Amount**	**Pos**	**FTE**	**Amount**	**Pos**	**FTE**	**Amount**
HHS Assistant Secretary for Preparedness and Response (ASPR)	-	-	$504	-	-	$650	-	-	$650	-	-	-
Department of Veterans Affairs (VA), Office of Emergency Mangement (OEM)	-	-	$650	-	-	$700	-	-	$700	-	-	-
DHS Office of Infastructure Protection (IP), Office for Bombing Preventions (OBP)	-	-	$391	-	-	$175	-	-	$175	-	-	-
Domestic Nuclear Detection Office (DNDO)	-	-	$70	-	-	$100	-	-	$100	-	-	-
U.S.. Department of Agriculture (USDA), Animal and Plant Health Inspection Service (APHIS)	-	-	$5	-	-	-	-	-	-	-	-	-
DHS – Federal Law Enforcement Training Center	-	-	-	-	-	$20		-	$20	-	-	-
Federal Bureau of Investigation (FBI))	-	-	-	-	-	$35	-	-	$35	-	-	-
Total Budgetary Resources	**-**	**-**	**$1,620**	**-**	**-**	**$1,680**	**-**	**-**	**$1,680**	**-**	**-**	**-**

	FY 2015 Revised Enacted			FY 2016 Enacted			FY 2017 Request			Increase/Decrease		
Obligations by Program/Project Activity:	**Pos**	**FTE**	**Amount**	**Pos**	**FTE**	**Amount**	**Pos**	**FTE**	**Amount**	**Pos**	**FTE**	**Amount**
Preparedness and Protection/Education, Training, and Exercises	-	-	**$1,620**	-	-	**$1,680**	-	-	**$1,680**	-	-	-
Total Obligations	**-**	**-**	**$1,620**	**-**	**-**	**$1,680**	**-**	**-**	**$1,680**	**-**	**-**	**-**

H. FY 2017 Schedule of Working Capital Fund by Program/Project Activity

Department of Homeland Security
Federal Emergency Management Agency
Federal Assistance
(Dollars in Thousands)

Progam/Project/Activity	FY 2015 Revised Enacted Amount	FY 2016 Enacted Amount	FY 2017 Request Amount	Increase/Decrease for FY 2017 Amount
United States Fire Administration	-	-	$45	$45
Management and Administration - Mitigation	$8	$9	$4	($5)
Management and Administration - PP	257	$300	$130	($170)
Flood Hazard Mapping and Risk Analysis Program	40	$53	$36	($17)
National Predisaster Mitigation Fund	2	$8	$4	($4)
Education, Training, and Exercises	-	-	$80	$80
Total Working Capital Fund	**$307**	**$370**	**$299**	**($71)**

I. Capital Investment and Construction Initiative Listing

N/A

J. Object Class Breakout by Appropriation

Department of Homeland Security
Federal Emergency Management Agency
Federal Assistance

Summary of Requirements by Object Class

(Dollars in Thousands)

Object Classes	2015 Revised Enacted	2016 Enacted	2017 Request	FY 2016 to FY 2017 Change
Personnel and Other Compensation Benefits				
11.1 Full-time Permanent	$67,799	$68,802	$72,587	$3,785
11.3 Other than Full-Time Permanent	$35	$30	$30	-
11.5 Other Personnel Compensation	$507	$632	$632	-
11.8 Special Personal Services Payments	$29	-	-	-
12.1 Civilian Personnel Benefits	$23,654	$24,609	$26,099	$1,490
13.0 Benefits for Former Personnel	-	-	-	-
Total, Personnel and Other Compensation Benefits	**$92,024**	**$94,073**	**$99,348**	**$5,275**
Other Object Classes				
21.0 Travel and Transportation of Persons	$9,070	$8,794	$8,699	($95)
22.0 Transportation of Things	$148	$133	$133	-
23.1 Rental Payments to GSA	$3,858	$3,858	$3,858	-
23.2 Rental Payments to Others	$40	$315	$315	-
23.3 Communications, Utilities, and Misc. Charges	$4,459	$5,784	$4,565	($1,219)
24.0 Printing and Reproduction	$919	$534	$534	-
25.1 Advisory and Assistance Services	$5,207	$10,595	$9,263	($1,332)
25.2 Other Services from Non-Federal Sources	$70,377	$111,687	$116,823	$5,136
25.3 Other Goods and Services from Federal Sources	$637	$386	$328	($58)
25.4 Operation and Maintenance of Facilities	$8,362	$5,887	$5,658	(229)
25.5 Research and Development Contracts	$75	$75	$75	-
25.6 Medical Care	$563	$300	$300	-
25.7 Operation and Maintenance of Equipment	$4,966	$5,389	$5,389	-
25.8 Subsistence & Support of Persons	$140,631	$143,439	$118,585	($24,854)
26.0 Supplies and Materials	$2,111	$2,867	$2,475	($392)
31.0 Equipment	$4,464	$5,220	$5,113	($107)
33.0 Investments and loans	-	-	-	-
41.0 Grants, Subsidies, and Contributions	$2,640,102	$2,751,009	$2,235,125	($515,884)
42.0 Insurance Claims and Indemnities	-	-	-	-
Total, Other Object Classes	**$2,895,989**	**$3,056,272**	**$2,517,238**	**($539,034)**
Total, Direct Obligations	**$2,988,013**	**$3,150,345**	**$2,616,586**	**($533,759)**
Adjustments				
Net Offsetting Collections	-	-	-	-
Unobligated Balance, start of year	($849,641)	($797,498)	($807,498)	($10,000)
Unobligated Balance, end of year	$797,498	$807,498	$709,189	($98,309)
Recoveries of Prior Year Obligations	-	-	-	-
Offsetting Collections	-	-	-	-
Total Requirements	**$2,935,870**	**$3,160,345**	**$2,518,277**	**($642,068)**

K. Object Class Breakout by PPA

Department of Homeland Security
Federal Emergency Management Agency
Federal Assistance
Mitigation
Summary of Requirements by Object Class
(Dollars in Thousands)

Object Classes	FY 2015 Revised Enacted	FY 2016 Enacted	FY 2017 Request	FY 2016 to FY 2017 Change
Personnel and Compensation Benefits				
11.1 Full-time Permanent	$5,787	$7,737	$7,916	$179
11.3 Other than Full-Time Permanent	$30	$30	$30	-
11.5 Other Personnel Compensation	$10	$10	$10	-
12.1 Civilian Personnel Benefits	$2,362	$2,660	$3,610	$950
Total, Personnel and Compensation Benefits	**$8,189**	**$10,437**	**$11,566**	**$1,129**
Other Object Classes	-	-	-	-
21.0 Travel and Transportation of Persons	$561	$574	$618	$44
23.1 Rental Payments to GSA	$300	$300	$300	-
23.3 Communications, Utilities, and Misc. Charges	$77	$83	$73	($10)
25.1 Advisory and Assistance Services	$63	$63	$63	-
25.2 Other Services from Non-Federal Sources	$56,845	$105,348	$102,339	($3,009)
25.3 Other Goods and Services from Federal Sources	$220	$278	$220	($58)
26.0 Supplies and Materials	$70	$90	$50	($40)
31.0 Equipment	$100	$186	$79	($107)
41.0 Grants, Subsidies, and Contributions	$130,671	$189,913	$190,982	$1,069
Total, Other Object Classes	**$188,907**	**$296,835**	**$294,724**	**(2,111)**
Adjustments	-	-	-	-
Unobligated Balance, start of year	($153,772)	($99,189)	(99,189)	-
Unobligated Balance, end of year	$99,189	$99,189	$39,189	($60,000)
Recoveries of Prior Year Obligations	-	-	-	-
Total, Adjustments	**($54,583)**	**-**	**($60,000)**	**($60,000)**
Total Requirements	**$142,513**	**$307,272**	**$246,290**	**($60,982)**
Full Time Equivalents	**53**	**76**	**84**	**8**

Department of Homeland Security
Federal Emergency Management Agency
Federal Assistance
Preparedness and Protection
Summary of Requirements by Object Class
(Dollars in Thousands)

Object Classes	FY 2015 Revised Enacted	FY 2016 Enacted	FY 2017 Request	FY 2016 to FY 2017 Change
Personnel and Compensation Benefits	-	-	-	-
11.1 Full-time Permanent	$62,017	$61,065	$64,671	$3,606
11.5 Other Personnel Compensation	$497	$622	$622	$0
12.1 Civilian Personnel Benefits	$21,321	$21,949	$22,489	$540
Total, Personnel and Compensation Benefits	**$83,835**	**$83,636**	**$87,782**	**$4,146**
Other Object Classes				
21.0 Travel and Transportation of Persons	$8,509	$8,220	$8,081	($139)
22.0 Transportation of Things	$148	$133	$133	-
23.1 Rental Payments to GSA	$3,558	$3,558	$3,558	-
23.2 Rental Payments to Others	$40	$315	$315	-
23.3 Communications, Utilities, and Misc. Charges	$4,382	$5,701	$4,492	($1,209)
24.0 Printing and Reproduction	$919	$534	$534	-
25.1 Advisory and Assistance Services	$5,144	$10,532	$9,200	($1,332)
25.2 Other Services from Non-Federal Sources	$13,532	$6,339	$14,484	$8,145
25.3 Other Goods and Services from Federal Sources	$417	$108	$108	-
25.4 Operation and Maintenance of Facilities	$8,362	$5,887	$5,658	($229)
25.5 Research and Development Contracts	$75	$75	$75	-
25.6 Medical Care	$563	$300	$300	-
25.7 Operation and Maintenance of Equipment	$4,966	$5,389	$5,389	-
25.8 Subsistence & Support of Persons	$140,631	$143,439	$118,585	($24,854)
26.0 Supplies and Materials	$2,041	$2,777	$2,425	($352)
31.0 Equipment	$4,364	5,034	$5,034	-
41.0 Grants, Subsidies, and Contributions	$2,389,431	$2,441,096	$1,944,143	($496,953)
Total, Other Object Classes	**$2,587,082**	**$2,639,437**	**$2,122,514**	**($516,923)**
Adjustments	-	-	-	-
Unobligated Balance, start of year	($695,869)	($698,309)	($708,309)	($10,000)
Unobligated Balance, end of year	$698,309	$708,309	$670,000	($38,309)
Recoveries of Prior Year Obligations	-	-	-	-
Total, Adjustments	**$2,440**	**$10,000**	**($38,309)**	**($48,309)**
Total Requirements	**$2,673,357**	**$2,733,073**	**$2,171,987**	**($561,086)**
Full Time Equivalents	**672**	**665**	**705**	**40**

Department of Homeland Security
Federal Emergency Management Agency
Federal Assistance
Response and Recovery
Summary of Requirements by Object Class
(Dollars in Thousands)

Object Classes	FY 2015 Revised Enacted	FY 2016 Enacted	FY 2017 Request	FY 2016 to FY 2017 Change
Personnel and Compensation Benefits	-	-	-	-
Other Object Classes	-	-	-	-
41.0 Grants, Subsidies, and Contributions	$120,006	$120,000	$100,000	($20,000)
Total, Other Object Classes	**$120,006**	**$120,000**	**$100,000**	**($20,000)**
Adjustments	-	-	-	-
Unobligated Balance, start of year	($6)	-	-	-
Unobligated Balance, end of year	-	-	-	-
Recoveries of Prior Year Obligations	-	-	-	-
Total, Adjustments	**($6)**	-	-	-
Total Requirements	**$120,000**	**$120,000**	**$100,000**	**($20,000)**
Full Time Equivalents	-	-	-	-

L. Permanent Positions by Grade

Department of Homeland Security
Federal Emergency Management Agency
Federal Assistance

Permanent Positions by Grade

Grades and Salary Range	FY 2015 Revised Enacted Pos.	FY 2016 Enacted Pos.	FY 2017 Request Pos.	FY 2016 to FY 2017 Change Total
Total, SES	13	13	13	0
GS-15	54	54	54	0
GS-14	101	102	106	4
GS-13	304	305	317	12
GS-12	161	174	185	11
GS-11	54	58	58	0
GS-10	2	1	1	0
GS-9	47	47	47	0
GS-8	11	11	11	0
GS-7	41	42	42	0
GS-6	5	5	5	0
GS-5	7	7	7	0
GS-4	8	8	8	0
Total Permanent Positions	**808**	**827**	**854**	**27**
Unfilled Positions EOY	-	-	-	-
Total Permanent Employment EOY	-	-	-	-
Headquarters	567	580	598	18
U.S. Field	241	247	256	9
Total, Federal Assistance:	**808**	**827**	**854**	**27**
Full Time Equivalents	**725**	**741**	**789**	**48**
Average ES Salary	$158,700	$160,300	$161,903	$1,603
Average GS Salary	$96,878	$98,289	$99,862	$1,573
Average Grade	GS-13	GS-13	GS-13	-

M. Changes in Full Time Employment

	FY 2015	FY 2016	FY 2017
Increases			
Transfer in from USFA	-	-	134
Transfer in from S&E Mitigation PPA	-	-	10
Transfer from Flood Hazard Mapping and Risk Analysis	-	-	57
Transfer in from Pre-Disaster Mitigation Fund	-	-	9
Transfer in from S&E PNP PPA	-	-	320
Transfer in from SALP ETE PPA	-	-	211
Annualization of vacancy fill	-	-	33
Increase in staff to support increased Flood Hazard Mapping and Risk Analysis funding levels	-	-	7
Center for Domestic Preparedness			7
Distance Learning Capability Enhancement	-	-	1
Increases			
Year End Actuals/Estimated FTEs:	**-**	**-**	**789**

Department of Homeland Security

Federal Emergency Management Agency

Radiological Emergency Preparedness Program

Fiscal Year 2017

Congressional Justification

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Schedule I – Executive Summary of Appropriation Exhibits

A. Summary of Budget Estimates by Program Project Activity- Appropriation Level

Department of Homeland Security
Radiological Emergency Preparedness Program (REPP)
Summary of FY 2017 Budget Estimates by Program Project Activity

FY 2017 Request
(Dollars in Thousands)

Program Project Activity	FY 2015			FY 2016			FY 2017			Increase(+) or Decrease(-) for FY 2017		
	Revised Enacted[1]			Enacted			Request			Total Changes		
	POS	FTE	Amount	POS	FTE	Amount	POS	FTE	Amount	POS	FTE	Amount
Radiological Emergency Preparedness Program	170	158	$7,378	170	153	($118)	170	153	($265)	-	-	($147)
Total, Radiological Emergency Preparedness Program (REPP)	-	-	-	-	-	-	-	-	-	-	-	-
Subtotal, Enacted Appropriations & Budget Estimates	**170**	**158**	**$7,378**	**170**	**153**	**($118)**	**170**	**153**	**($265)**	**-**	**-**	**($147)**
Less: Adjustments for Other Funding Sources	-	-	-	-	-	-	-	-	-	-	-	-
Net, Enacted Appropriations and Budget Estimates:	**170**	**158**	**$7,378**	**170**	**153**	**($118)**	**170**	**153**	**($265)**	**-**	**-**	**($147)**

[1]Reflects reprogrammings/transfers, as applicable, and actual FTE. This footnote applies to all FY 2015 Revised Enacted tables throughout the CJ Submission

Overview

The Radiological Emergency Preparedness Program (REPP) ensures that the public health and safety of citizens living near commercial nuclear power plants will be adequately protected in the event of a nuclear power station incident. REPP directly supports the FEMA 2014-2018 Strategic Plan priority 3 focused on posturing and building capability for catastrophic disasters. Within that priority, objective 3.1 highlights the need to strengthen capabilities with the greatest potential to change outcomes on the ground in catastrophic disasters. REPP does so through drills and exercises, training curricula, rulemaking and policy development, preparedness committees, and alerts and notifications. In addition, REPP issues regulations, policies, and guidance for emergency planning and preparedness in the communities surrounding nuclear power plants, and evaluates State and local radiological emergency plans, providing technical assistance and feedback. Finally, REPP develops and delivers radiological emergency management training throughout the country to Federal, State, tribal, and local emergency personnel.

FEMA requests 170 positions, 153 FTE and net budget authority of ($265) thousand for FY 2017. The net budget authority consists of $35.700 million in offsetting collections and REPP operating expenses of $35.435 million.

B. FY 2016 to FY 2017 Budget Change - Appropriation Level

Department of Homeland Security

Radiological Emergency Preparedness Program (REPP)

FY 2016 to FY 2017 Budget Change
(Dollars in Thousands)

	Pos.	FTE	Amount
FY 2015 Revised Enacted	**170**	**158**	**$7,378**
FY 2016 Enacted	**170**	**153**	**($118)**
Adjustments-to-Base			
Increases			
2017 Pay Increase	-	-	$250
Annualization of 2016 pay raise	-	-	$68
Total, Increases	**-**	**-**	**$318**
Decreases			
Adjustments from user fees cost model recalculation	-	-	($465)
Total, Decreases	**-**	**-**	**($465)**
Total Other Adjustments	**-**	**-**	**($147)**
Total Adjustments-to-Base	**-**	**-**	**($147)**
FY 2017 Current Services	**170**	**153**	**($265)**
FY 2017 Request	**170**	**153**	**($265)**
FY 2016 to FY 2017 Change	**-**	**-**	**($147)**

C. FY 2017 Investment Summary - Appropriation Level

D. FY 2016 to FY 2017 Budget Change- PPA Level

Department of Homeland Security
Federal Emergency Management Agency
Radiological Emergency Preparedness Program (REPP)
Radiological Emergency Preparedness
FY 2016 to FY 2017 Budget Change- PPA Level
(Dollars in Thousands)

Radiological Emergency Preparedness Program		Positions	FTE	Amount
Prior Year	**FY 2015 Revised Enacted**	**170**	**158**	**$7,378**
Base	**FY 2016 Enacted**	**170**	**153**	**($118)**
Current Services	2017 Pay Increase	-	-	250
	Adjustments from user fees cost model recalculation	-	-	(465)
	Annualization of 2016 pay raise	-	-	68
Budget Year	**FY 2017 Request**	**170**	**153**	**($265)**
	Total Change from FY 2016 to FY 2017	**-**	**-**	**($147)**

PPA DESCRIPTION:

REPP ensures that the public health and safety of citizens living near commercial nuclear power plants will be adequately protected in the event of a nuclear power station incident. In addition, the program informs and educates the public about radiological emergency preparedness. REPP provides funding only for emergency preparedness activities of State and local governments that take place beyond nuclear power plant boundaries.

Drills and Exercises
In FY 2017, REPP will evaluate State and local government response to 36 radiological emergency preparedness exercises in the States and counties within the Emergency Planning Zones of Nuclear Regulatory Commission (NRC)-licensed commercial nuclear power plant facilities. These exercises improve State and local government preparedness throughout participating States, and particularly in the emergency planning areas of commercial nuclear power plants.

Radiological Emergency Preparedness (REP) Training Curriculum
In FY 2017, REPP will deliver 60 REP Training courses to over 1,000 emergency responders, increasing preparedness capabilities for radiological emergencies. The REPP Course curriculum consists of:

- REP Accident Assessment Course (RAAC);

- REP Core Concept Course (RCCC),;
- REP Exercise Evaluation Course (REEC);
- REP Plan Review Course (RPRC);
- REP Disaster Initiated Review (RDIR); and
- REP Exercise Controller Course (RECC).

These courses are taught throughout the FEMA Regions as requested by our stakeholders and will continue to be made available in FY 2017. Additional courses conducted at FEMA's Center for Domestic Preparedness (CDP) include:

- Radiological Emergency Response Operation (RERO);
- Advance Radiological Incident Operations (ARIO); and
- Radiological Train the Trainer Course (RTtTC).

Currently, REP is developing an Independent Study course titled REP Disaster Initiated Review (RDIR). The RDIR will provide instruction on how to evaluate preparedness around nuclear power plants after natural disasters/emergencies. To complement the Independent Study course, the following activities will be developed and delivered: a RDIR Virtual Training Environment (VTE), a Virtual RDIR Tabletop Exercise, an Accident Assessment for Decision-Makers course, an Accident Assessment for Emergency Managers course and plans to extend the RCCC to add a section that would address Hostile Action Based event response. REP FY 2017 Training is currently partnering with the Center for Domestic Preparedness (CDP) and Emergency Management Institute (EMI), along with State and local governments throughout the regions to provide courses to over 1,000 emergency responders.

Rulemaking and Policy Development

REPP develops policy and guidance and coordinates the regulatory program foundation with the NRC as the policies relate to offsite planning and preparedness, including the implementation of the National Preparedness System. Outreach and implementation guidance is required for Federal, State, tribal, and local stakeholders on any refined or newly developed REPP guidance.

In FY 2017, REPP will continue the review, drafting and final issuance of the program regulations that govern the program (44 CFR Parts 350-354). This effort, which began in FY 2014, is a significant undertaking to ensure that REPP regulations reflect contemporary national preparedness doctrine, tenets, and lessons learned from the Fukushima accident in 2011. Examples include risk communications strategies to the public during an incident, enhancing coordination with Federal, State, local and tribal partners and stakeholders, and developing more precise financial models and methodologies for the assessment and collection of user fees from the nuclear industry. In partnership with the Nuclear Regulatory Commission, FEMA's Technological Hazards Division (THD) will finalize and publish the revision to Nuclear Regulations (NUREG) 0654/FEMA REP 1, REV2.This is the first revision to NUREG 0654 since first published in 1980.

Radiological Emergency Preparedness Executive Education Program

In FY2017, REPP, in conjunction with the Center for Homeland Defense and Security (CHDS), will deliver two sessions of the Radiological Emergency Preparedness Executive Education Program to key leaders in FEMA, the NRC, the nuclear industry, and State, local and tribal offsite response organizations. This program utilizes a collaborative classroom environment designed to develop strategic thinking and planning as it applies in the radiological emergency preparedness field. In addition, REPP and CHDS will deliver two special seminars or Mobile Education Teams (METs) to address specific program areas.

Federal Radiological Preparedness Coordinating Committee (FRPCC)

REPP co-chairs the FRPCC with the FEMA Response Directorate. In FY 2017, the FRPCC will provide ongoing training and certifications to members of the Advisory Team for Environment, Food and Health, or A-Team. The A-Team is a radiological emergency response group tasked with providing critical advice and protective action recommendations to State and local governments on behalf of its member agencies during all radiological emergencies. The permanent membership includes representatives from the Environmental Protection Agency (EPA); the Department of Health and Human Services, specifically the Food and Drug Administration (FDA) and the Centers for Disease Control and Prevention (CDC); and the Department of Agriculture (USDA).

In FY 2017, the FRPCC will also coordinate final changes to the Environmental Protection Agency (EPA) Protective Action Guides, and any updates to the Nuclear/Radiological Incident Annex of the National Response and Recovery Frameworks.

Alert and Notification Enhancements

REPP maintains oversight of Public Alert and Notification systems at over 64 sites in 36 States. These systems are designed to provide prompt alerting to the public in the event of an emergency. In FY 2017, REPP, in partnership with the Chemical Stockpile Emergency Preparedness Program (CSEPP), FEMA's National Integration Center (NIC), and the National Continuity Programs' (NCP) Integrated Public Alert and Warning System (IPAWS) Office, will provide direct technical support to State and local governments in implementing the latest public alert and notification technologies and procedures, enhancing emergency preparedness for all hazards.

Information Technology (IT) Modernization & Resource Efficiencies

In FY 2017, REPP IT systems will fully conform to FEMA security and enterprise architecture requirements. The new exercise evaluation and cost modeling systems will institutionalize added managerial support and functionality to enable REPP to integrate 1) strategic planning, 2) staff performance work plans, 3) cost management, 4) Planning Programming, Budgeting and Execution (PPBE)-based budget/formulation/execution, 5) vendor/contract management and 6) performance management requirements in support of the 2014-2018 FEMA Strategic Plan. This will result in more accurate budgeting and more effective spend plan execution.

Department of Homeland Security
Radiological Emergency Preparedness Program (REPP)
Radiological Emergency Preparedness
Cost Drivers (Pay & Benefits) - PPA Level (Excludes Reimbursables) ($000s)

	FY 2015 Revised Enacted[1]				FY 2016 Enacted				FY 2017 Request				Delta FY 2016 - 2017			
	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost
Civilian Total	**170**	**158**	**$22,130**	**$140**	**170**	**153**	**$22,744**	**$149**	**170**	**153**	**$23,091**	**$150**	**-**	**-**	**$347**	**$1**

[1]Reflects reprogrammings/transfers, as applicable, and actual FTE. The use of FY 2015 Actuals and FY2015 Revised Enacted Budgetary Resources in the CAS Pay Cost Drivers Exhibit may reflect a higher average FTE Cost than represented in the FY 2016 Enacted or FY 2017 Request average cost columns.

NARRATIVE EXPLANATION OF CHANGES – PAY COST DRIVERS:

- **FTE Change FY 2016-2017:** The FTE level for the REP Program remains constant from FY 2016 to FY 2017.
- **Personnel Compensation and Benefits Change FY 2016-2017:** Pay costs and benefits increased marginally due to the annualization of pay raise.
- **Average Cost Change FY 2016 – 2017:** The average cost change from FY 2016-2017 is a nominal yet normal increase in personnel costs.
- **Bonuses and Performance Awards:** FY 2017 request estimates $45 thousand for bonuses and performance awards. This request remains in line with OPM Awards Guidance on Spending Limitation.

Department of Homeland Security
Radiological Emergency Preparedness Program (REPP)
Radiological Emergency Preparedness
Cost Drivers (Non-Pay) - PPA Level ($000s)

Radiological Emergency Preparedness	2015 Revised Enacted	2016 Enacted	2017 Request	FY 2016 to FY 2017 Change
FY 2017 Non- Pay Cost Drivers (greatest-least)				
Other services from Non-Federal Sources	$13,730	$11,291	$9,226	($2,065)
Travel and Transportation of Persons	$2,414	$3,380	$3,211	($169)
Rental payments to GSA	$1,583	$1,583	$1,583	-
Communications, utilities, and miscellaneous charges	$946	$946	$946	-
Equipment	$193	$264	$264	-
Total	**$18,866**	**$17,464**	**$15,230**	**($2,234)**

*FY 2015 and FY 2016 funding levels reflect the CAS Structure and are for comparison purposes only

NARRATIVE EXPLANATION OF CHANGES – NON-PAY COST DRIVERS:

- **FY 2017 Other services from Non-Federal Sources (Contracts) -**The REP Program continues a downward trend in program costs. This is due to an anticipated six percent reduction in REPP exercise costs in the upcoming fiscal year, and REPP's continued close monitoring of fiscal year spending against collections.

- **FY 2017 Travel:** Lowered program costs, namely travel, are a result of prior year nuclear reactor decommissions and increased rigor applied to the program's ability to assess true exercise costs.

- **FY 2017 Rental Payment to GSA:** Costs for the REPP share of centrally managed rental payments for GSA properties occupied by REPP remains constant from FY 2016 to FY 2017.

- **FY 2017 Communications and utilities:** The funds used to pay for Utilities and Communication at REPP locations remains constant from FY 2016 to FY 2017.

- **FY 2017 Equipment:** Costs for equipment remains constant from FY 2016 to FY 2017. These costs include IT type equipment used during exercises at the different regional areas.

E. Justification of Proposed Legislative Language

Department of Homeland Security
Federal Emergency Management Agency
Radiological Emergency Preparedness Program

The aggregate charges assessed during fiscal year [2016]***2017***[1], as authorized in title III of the Departments of Veterans Affairs and Housing and Urban Development, and Independent Agencies Appropriations Act, 1999 (42 U.S.C. 5196e), shall not be less than 100 percent of the amounts anticipated by the Department of Homeland Security necessary for its radiological emergency preparedness program for the next fiscal year: Provided, That the methodology for assessment and collection of fees shall be fair and equitable and shall reflect costs of providing such services, including administrative costs of collecting such fees: Provided further, That fees received under this heading shall be deposited in this account as offsetting collections and will become available for authorized purposes on October 1, [2016]***2017***[1], and remain available until expended.

Language Provision	Explanation
1. …[2016]***2017***…	Fiscal year change only. No substantial change proposed.
2. …[2016]***2017***…	Fiscal year change only. No substantial change proposed.

F. Summary of Fee Collections and Carryover

Department of Homeland Security
Federal Emergency Management Agency
Radiological Emergency Preparedness Program
Summary of Fee Collections and Carryover
(Dollars in Thousands)

	FY 2015 Revised Enacted	FY 2016 Enacted	FY 2017 Request
Collections (Actual or Anticipated)	(39,243)	(39,832)	(35,700)
Carryover (Includes Recoveries)	(1,180)	(5,520)	(4,779)
Portion of Current Year Collection Sequestered	-	-	-
Previous Year Sequestered Funds Available	-	-	-
Total Budget Authority	**($40,423)**	**($45,352)**	**($40,479)**

REPP was established following the Three Mile Island accident in 1979. Following the accident, the Kemeny Commission recommended implementing federal off-site radiological emergency planning and preparedness. On December 7, 1979, President Carter transferred the federal lead role for oversight of off-site radiological emergency planning and preparedness activities from the U.S. Nuclear Regulatory Commission (NRC) to FEMA. In 1980, FEMA and the NRC entered into a memorandum of understanding, which establishes a framework of cooperation between the two agencies. That same year, FEMA and the NRC jointly issued NUREG-0654, FEMA-REP-1, Rev. 1, "Criteria for Preparation and Evaluation of Radiological Emergency Response Plans and Preparedness in Support of Nuclear Power Plants."

These are the relevant statutes and policies that authorize the REP program fee collections:

- ***P.L. 96-295*:** The Nuclear Regulatory Commission Authorization Act of 1980 established emergency planning and preparedness as the legal basis for commercial nuclear power plant licensing.

- ***44 CFR Part 350*:** "Review and Approval of State and Local Radiological Emergency Plans and Preparedness" established the policies and procedures for FEMA's review, evaluation, and approval of State, tribal, and local government REP plans and procedures, including the REP exercise program.

- ***44 CFR Part 353*:** Fees in this rulemaking are applicable only to services directly related to obtaining and maintaining an operating license. Fees must be a direct cost to site-specific services (regulatory exercises and drills). Fees do not include REPP generic services.

- ***44 CFR Part 354*:** Fees in this rulemaking are applicable to recover 100 percent of the obligations for REPP and remain available until expended. Fees include all REPP services performed by FEMA federal employees and contractors.

G. Summary of Reimbursable Resources

H. FY 2017 Schedule of Working Capital Fund by Program/Project Activity

Department of Homeland Security

Radiological Emergency Preparedness Program (REPP)
(Dollars in Thousands)

Program/Project/Activity	FY 2015 Revised Enacted Amount	FY 2016 Enacted Amount	FY 2017 Request Amount	Increase/Decrease for FY 2017 Amount
Radiological Emergency Preparedness	$674	$768	$661	($107)
Total Working Capital Fund	**$674**	**$768**	**$661**	**($107)**

I. Capital Investment and Construction Initiative Listing

J. Object Class Breakout by Appropriation

Department of Homeland Security
Federal Emergency Management Agency
Radiological Emergency Preparedness Program (REPP)
Summary of Requirements by Object Class
(Dollars in Thousands)

Object Classes	2015 Revised Enacted	2016 Enacted	2017 Request	FY 2016 to FY 2017 Change
Personnel and Other Compensation Benefits				
11.1 Full-time Permanent	$15,509	$16,019	$16,264	$245
11.5 Other Personnel Compensation	126	129	131	2
12.1 Civilian Personnel Benefits	6,495	6,596	6,696	100
Total, Personnel and Other Compensation Benefits	**$22,130**	**$22,744**	**$23,091**	**$1,157**
Other Object Classes				
21.0 Travel and Transportation of Persons	2,414	3,380	3,211	(169)
22.0 Transportation of Things	11	11	11	-
23.1 Rental Payments to GSA	1,583	1,583	1,583	-
23.2 Rental Payments to Others	-	-	-	-
23.3 Communications, Utilities, and Misc. Charges	946	946	946	-
24.0 Printing and Reproduction	75	59	59	-
25.1 Advisory and Assistance Services	81	100	100	-
25.2 Other Services from Non-Federal Sources	13,730	11,291	9,226	(2,065)
25.3 Other Goods and Services from Federal Sources	4	4	4	-
25.4 Operation and Maintenance of Facilities	61	-	-	-
26.0 Supplies and Materials	115	219	219	-
31.0 Equipment	193	264	264	-
44.0 Refunds	6,465	4,633	-	(4,633)
Total, Other Object Classes	**$25,671**	**$22,490**	**$15,623**	**($6,867)**
Total, Direct Obligations	**$47,801**	**$45,234**	**$38,714**	**($6,520)**
Adjustments				
Unobligated Balance, start of year	(3,626)	(6,851)	(3,331)	3,520
Unobligated Balance, end of year	6,851	3,331	2,052	(12,792)
Recoveries of Prior Year Obligations	(4,405)	(2,000)	(2,000)	-
Offsetting Collections	(39,243)	(39,832)	(35,700)	4,132
Total Requirements	**$7,378**	**($118)**	**($265)**	**($147)**

K. Object Class Breakout by PPA

Department of Homeland Security
Federal Emergency Management Agency
Radiological Emergency Preparedness Program (REPP)
Summary of Requirements by Object Class
(Dollars in Thousands)

Object Classes	2015 Revised Enacted	2016 Enacted	2017 Request	FY 2016 to FY 2017 Change
Personnel and Other Compensation Benefits				
11.1 Full-time Permanent	$15,509	$16,019	$16,264	$245
11.5 Other Personnel Compensation	126	129	131	2
12.1 Civilian Personnel Benefits	6,495	6,596	6,696	100
Total, Personnel and Other Compensation Benefits	**$22,130**	**$22,744**	**$23,091**	**$1,157**
Other Object Classes				
21.0 Travel and Transportation of Persons	2,414	3,380	3,211	(169)
22.0 Transportation of Things	11	11	11	-
23.1 Rental Payments to GSA	1,583	1,583	1,583	-
23.2 Rental Payments to Others	-	-	-	-
23.3 Communications, Utilities, and Misc. Charges	946	946	946	-
24.0 Printing and Reproduction	75	59	59	-
25.1 Advisory and Assistance Services	81	100	100	-
25.2 Other Services from Non-Federal Sources	13,730	11,291	9,226	(2,065)
25.3 Other Goods and Services from Federal Sources	4	4	4	-
25.4 Operation and Maintenance of Facilities	61	-	-	-
26.0 Supplies and Materials	115	219	219	-
31.0 Equipment	193	264	264	-
44.0 Refunds	6,465	4,633	-	(4,633)
Total, Other Object Classes	**$25,671**	**$22,490**	**$15,623**	**($6,867)**
Total, Direct Obligations	**$47,801**	**$45,234**	**$38,714**	**($6,520)**
Adjustments				
Unobligated Balance, start of year	(3,626)	(6,851)	(3,331)	3,520
Unobligated Balance, end of year	6,851	3,331	2,052	(1,279)
Recoveries of Prior Year Obligations	(4,405)	(2,000)	(2,000)	-
Offsetting Collections	(39,243)	(39,832)	(35,700)	4,132
Total Requirements	**$7,378**	**($118)**	**($265)**	**($147)**

L. Permanent Positions by Grade

Department of Homeland Security
Federal Emergency Management Agency
Radiological Emergency Preparedness Program (REPP)
Permanent Positions by Grade

Grades and Salary Range	FY 2015 Revised Enacted Pos.	FY 2016 Enacted Pos.	FY 2017 Request Pos.	FY 2016 to FY 2017 Change Total
Total, SES	1	1	1	1
GS-15	5	5	5	5
GS-14	26	26	26	26
GS-13	47	47	47	47
GS-12	79	79	79	79
GS-11	1	1	1	1
GS-9	2	2	2	2
GS-8	2	2	2	2
GS-7	7	7	7	7
Total Permanent Positions	**170**	**170**	**170**	**170**
Unfilled Positions EOY	5	5	5	5
Total Permanent Employment EOY	165	165	165	165
Headquarters	58	58	58	58
U.S. Field	112	112	112	112
Total, Radiological Emergency Preparedness Program (REPP):	**170**	**170**	**170**	**170**
Full Time Equivalents	**158**	**153**	**153**	**153**
Average ES Salary	157,521	160,300	160,300	-
Average GS Salary	91,509	92,145	93,251	1,106
Average Grade	13	13	13	-

M. Changes in Full Time Employment

Department of Homeland Security

Federal Emergency Management Agency

Disaster Relief Fund

Fiscal Year 2017

Congressional Justification

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Schedule I – Executive Summary of Appropriation Exhibits

Summary of Budget Estimates by Program Project Activity- Appropriation Level

Department of Homeland Security
Federal Emergency Management Agency
Disaster Relief Fund

Summary of FY 2017 Budget Estimates by Program Project Activity

FY 2017 Request
(Dollars in Thousands)

Program Project Activity	FY 2015			FY 2016			FY 2017			Increase(+) or Decrease(-) for FY 2017		
	Revised Enacted			Enacted			Request			Total Changes		
	POS	FTE	Amount	POS	FTE	Amount	POS	FTE	Amount	POS	FTE	Amount
Federal Assistance (Base)	**55**	**445**	**$595,671**	**55**	**449**	**$661,740**	**55**	**455**	**$639,515**	**-**	**6**	**($22,225)**
Cadre Operational Readiness and Deployability	55	304	$94,750	55	307	$120,135	55	309	$115,360	-	-	($4,775)
Facilities Support	-	-	$50,253	-	-	$52,886	-	-	-	-	-	($52,886)
Information Technology Support	-	1	$144,544	-	1	$91,812	-	1	$61,462	-	-	($30,350)
Assistance for Declared Events (EM, FM,SU and Other)	-	125	$254,654	-	126	$320,237	-	128	$404,902	-	6	$84,665
Readiness Support Contract and Interagency Agreements	-	15	$37,381	-	15	59,420	-	17	$57,791	-	-	($1,629
Working Capital Fund	-	-	$14,089	-	-	$17,250	-	-	-	-	-	($17,250)
Federal Assistance (Cap Adjustment)	**-**	**4,448**	**$6,437,793**	**-**	**4,450**	**$6,712,953**	**-**	**4,450**	**$6,709,000**	**-**	**-**	**($3,953)**
Major Disasters	-	4,448	$6,437,793	-	4,450	$6,712,953	-	4,450	$6,709,000	-	-	($3,953)
Total, Disaster Relief Fund - CAS	**55**	**4,893**	**$7,033,464**	**55**	**4,899**	**$7,374,693**	**55**	**4,905**	**$7,348,515**	**-**	**6**	**($26,178)**
Subtotal, Enacted Appropriations & Budget Estimates	**55**	**4, 893**	**$7,033,464**	**55**	**4,899**	**$7,374,693**	**55**	**4,905**	**$7,348,515**	**-**	**6**	**($26,178)**
Transfer to OIG	-	-	($24,000)	-	-	($24,000)	-	-	($24,000)	-	-	-
Rescission	-	-	($375,000)	-	-	(1,021,879)	-	-	($300,000)	-	-	$721,879
Net, Enacted Appropriations and Budget Estimates:	**55**	**4, 893**	**$6,634,464**	**55**	**4, 899**	**$6,328,814**	**55**	**4, 905**	**$7,024,515**	**-**	**6**	**$695,701**

A. Appropriation Overview

The Disaster Relief Fund (DRF) is the appropriation FEMA uses to direct, coordinate, manage, and fund eligible response and recovery efforts associated with domestic major disasters and emergencies that overwhelm State and tribal resources pursuant to the Robert T. Stafford Disaster Relief and Emergency Assistance Act. Through the DRF, FEMA can fund authorized Federal disaster support activities as well as eligible State, territorial, tribal, and local actions, such as providing emergency protection and debris removal. The DRF also funds:

- The repair and rebuilding of qualifying disaster-damaged infrastructure
- Eligible hazard mitigation initiatives
- Financial assistance to eligible disaster survivors
- Fire Management Assistance Grants for qualifying large wildfires

Of the amount appropriated for the DRF, the FEMA Administrator requests up to $5 million to coordinate a unified Federal agency response to a potential hazard in the absence of a Presidential declaration under the Stafford Act Titles IV and V, for activities such as (1) the establishment of command and communication centers, and (2) the salaries and travel-related expenses of FEMA personnel. This funding if required will be supported within the topline request of the Disaster Readiness and Support resources.

B. FY 2016 to FY 2017 Budget Change - Appropriation Level

Department of Homeland Security
Federal Emergency Management Agency
Disaster Relief Fund

FY 2016 to FY 2017 Budget Change
(Dollars in Thousands)

	Pos.	FTE	Amount
FY 2015 Revised Enacted	**55**	**4,893**	**$7,033,464**
FY 2016 Enacted	**55**	**4,899**	**$7,374,693**
Adjustments-to-Base			
Transfers to and from other accounts:			
O&S Management and Administration PPA *(DRS Realignment)*	-	-	($94,784)
O&S Mitigation PPA *(DRS Realignment)*	-	-	($100)
O&S Response and Recovery PPA *(DRS Realignment)*	-	-	($7,910)
PC&I Response and Recovery PPA: Grants Management Modernization *(DRS Realignment)*	-	-	($1,900)
Total Transfers	**-**	**-**	**($104,694)**
Increases			
Adjustment for estimated disaster staffing	-	3	$**31**
Adjustment for the 10-year historical average for base-funded events	-	3	$60,634
DHS OIG audits	-	-	$24,000
Total, Increases	**-**	**6**	**$80,712**
Decreases			
Grant Systems Modernization Efficiency	-	-	($2,196)
Adjustment for anticipated FY2017 major disaster funding need			($3,953)
Total, Decreases	**-**	**-**	**($6,149)**
Total Other Adjustments	**-**	**6**	**$78,516**
Total Adjustments-to-Base	**-**	**6**	**($26,178)**
FY 2017 Current Services	**55**	**4,905**	**$7,348,515**
FY 2017 Request	**55**	**4,905**	**$7,348,515**
FY 2016 to FY 2017 Change	**-**	**6**	**($26,178)**

C. FY 2017 Investment Summary - Appropriation Level

D. FY 2016 to FY 2017 Budget Change- PPA Level

Federal Assistance (Base)		Positions	FTE	Amount
Prior Year	**FY 2015 Revised Enacted**	**55**	**445**	**595,671**
Base	**FY 2016 Enacted**	**55**	**449**	**661,740**
Current Services	DRS Transfer to O&S Management and Administration PPA	-	-	(94,784)
	DRS Transfer to O&S Mitigation PPA	-	-	(100)
	DRS Transfer to O&S Response and Recovery PPA	-	-	(7,910)
	DRS Transfer to PC&I Response and Recovery PPA	-	-	(1,900)
	Adjustment for estimated disaster staffing	-	3	31
	Adjustment for 10-year historical average of Emergency, Surge, Fire Management Assistance Grants	-	3	60,634
	DHS OIG audits	-	-	24,000
	Grant Systems Modernization Efficiency	-	-	(2,196)
Budget Year	**FY 2017 Request**	**55**	**455**	**639,515**
	Total Change from FY 2016 to FY 2017	**-**	**6**	**(22,225)**

FEMA requests $639.515 million in FY 2017 for base/non-major activities including pre-disaster surge, FMAG, Emergencies and Disaster Readiness Support. This is amount will be offset by a $300 million rescission to recoveries. It also includes $24 million that will be transferred to DHS OIG for disaster related audits.

CURRENT SERVICES PROGRAM DESCRIPTION AND JUSTIFICATION:

Emergency activities

FEMA provides assistance via an Emergency Declaration, which can be declared for any occasion or instance when the President determines federal assistance is needed. Emergency Declarations supplement State and local efforts in providing emergency services, such as the protection of lives, property, public health, and safety, or to lessen or avert the threat of a catastrophe in any

part of the United States. The total amount of assistance provided for a single emergency may not exceed $5 million.

Fire Management Assistance

FEMA provides assistance for the mitigation, management, and control of any fires burning on publicly or privately owned forest or grasslands that threatens such destruction that would constitute a major disaster. Items eligible for funding under the Fire Management Assistance Grant Program include equipment and supplies, labor costs, emergency work, pre-positioning of resources, and temporary repair of damage caused by work directly related to firefighting activities associated with the declared fire.

Pre-declaration surge activities

FEMA has limited authority to assess the impact of an event through PDAs and to prepare and pre- position Federal resources for a present event for which a declaration is reasonably likely and imminent. Prior to a declaration, the FEMA may expend funds to ensure its ability to carry out effectively its authority under Titles IV and V of the Stafford Act.

Pre-declaration surge activities ("Surge") include costs necessary to assess an incident to determine whether to recommend an emergency or major disaster declaration and to undertake such activities as are necessary to prepare and pre-position federal resources necessary for an effective response to a present event where a declaration is reasonably likely and imminent.

Disaster Readiness and Support (DRS) Programs

DRS funding was established to ensure FEMA's readiness. It enables FEMA to be more proactive and advance a robust readiness posture to respond to large-scale, complex, presidentially declared major disasters instead of waiting for disasters to occur and reacting with more costly and less efficient response actions.

FEMA manages DRS costs throughout the year. These expenses are essential for: (1) timely disaster response; (2) responsive customer service; and (3) cost-effective program oversight and delivery.

DRS funds key activities and initiatives, such as:

- Salaries and expenses for Stafford Act employees while not assigned to a specific disaster;
- Qualifications, training, and equipment for Stafford Act employees;
- Stockpiling and maintaining prepositioned disaster assets and commodities;

- Support contracts which enable FEMA to mobilize response and recovery capacities as quickly as needed; and
- Non-enterprise IT systems that directly support disaster response and recovery activities.

DRS activities support FEMA's all-hazards mission. They include initiatives and resources formerly funded from the DRF's legacy disaster support activities and readiness contracts. Many of these costs are predictable on an annual basis and allow FEMA to support a cross-section of disasters utilizing an infrastructure of processing and distribution centers and information technology systems. In addition, the DRS include certain costs to help FEMA pre-position for disasters, including the establishment of large commodity and transportation service contracts that can be activated in the event of a major disaster.

These efforts (including better communications, a more effective intake process, more efficient delivery systems for disaster commodities, and improved oversight of disaster aid through our technical assistance contractors) will continue to improve the quality of FEMA support to disaster survivors.

Increased transparency and budgetary discipline of the DRS has enabled FEMA to maintain its critical disaster support activities and infrastructure and ensure the timely delivery of disaster assistance. Variability in the DRS is driven by the severity of annual disasters which determines the level of workforce response activities. Typically, the more active the disaster season the more these costs shift to the Major Disaster portion of the DRF. Conversely, a less active disaster season results in greater obligations from the DRS.

To reflect the aforementioned increases in transparency and budgetary discipline, the FY 2017 budget includes a transfer from the DRF of $102.8 million to the Operation and Support (O&S) appropriation and $1.9 million to the Procurement, Construction and Improvements (PC&I) appropriation to ensure that FEMA activities are managed transparently, efficiently and effectively at an enterprise level.

The DRS structure supports the Cadre Operational Readiness & Deployability Status (CORDS) structure. The CORDS Report provides FEMA leadership with an objective readiness report that demonstrates Cadre preparedness across staffing, equipping, and training metrics.

Department of Homeland Security
Disaster Relief Fund
Federal Assistance (Base)

Cost Drivers (Pay & Benefits) - PPA Level (Excludes Reimbursables) ($000s)

	FY 2015 Revised Enacted				FY 2016 Enacted				FY 2017 Request				Delta FY 2016 - 2017			
	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost
Civilian Total	55	445	$44,172	-	55	449	$44,622	$99	55	455	$45,158	$99	-	6	$536	$-

NARRATIVE EXPLANATION OF CHANGES – PAY COST DRIVERS:

- **FTE Change FY 2016-2017:** The FTE level for the DRF Base increased slightly to reflect adjustments for estimated disaster staffing, non-deployed time, emergencies and surge efforts.
- **Average Cost Change FY 2016-2017**: The average cost change per FTE is zero.
- **Bonuses and Performance Awards:** The FY 2017 request estimates $1.944 million for bonuses and performance awards across the entire DRF appropriation. This request remains in line with OPM Awards Guidance on Spending Limitation. The FY 2017 request provides funding for awards, recognition, and estimated overtime.

Department of Homeland Security
Federal Emergency Management Agency
Disaster Relief Fund
Federal Assistance (Base)
Cost Drivers (Non-Pay) – Sub-PPA Level ($000s)

Federal Assistance (Base)	2015 Revised Enacted	2016 Enacted	2017 Request	FY 2016 to FY 2017 Change
FY 2017 Non- Pay Cost Drivers (greatest-least)				
Rent, Communication, and Contracts	$321,941	$346,207	$283,487	($62,689)
Grants, Subsidies, and Contributions	$124,871	$131,490	$156,890	$25,400
Travel and Transportation of Persons	$33,645	$36,565	$50,625	$14,060
Total	**$480,457**	**$514,262**	**$491,002**	**($23,229)**

NARRATIVE EXPLANATION OF CHANGES – NON-PAY COST DRIVERS:

- **Rent, Communication, and Contracts Change FY2016-2017:** The FY 2017 budget reflects a net decrease of $62.689 million. This amount consists of an increase of $34.050 million for shelter and work space, utilities, mobile phone service, internet connectivity, email, professional development, and operations and planning support. The decrease of $96.739 million is due to the transfer from the DRF to the Operation and Support (O&S) appropriation.
- **Grants, Subsidies, and Contributions Change FY2016-2017:** The Fire Management Assistance Grant Program provides assistance for the mitigation, management, and control of any fires burning on publicly or privately owned forest or grasslands that threatens such destruction that would constitute a major disaster. FY 2017 funding estimates are based on the 10-year average for this program.
- **Travel and Transportation of Persons Change FY2016-2017:** Provides for travel of personnel to conduct non catastrophic disaster related activities including pre-declaration surge and emergencies. FY 2017 funding estimates are based on the 10-year average for these programs.

FY 2016 to FY 2017 Budget Change- Sub-PPA Level

Summary Tables of Sub-PPA
Department of Homeland Security
Federal Emergency Management Agency
Disaster Relief Fund
Federal Assistance (Base)
Assistance for Declared Events (Emergency, Fire Management Assistance Grants)
FY 2016 to FY 2017 Budget Change- PPA Level
(Dollars in Thousands)

Assistance for Declared Events (EM, FM, SU and Other)		Positions	FTE	Amount
Prior Year	**FY 2015 Revised Enacted**	**-**	**125**	**254,654**
Base	**FY 2016 Enacted**	**-**	**126**	**320,237**
Current Services	Adjustment for estimated disaster staffing	-	3	31
	Adjustment for the 10-year historical average of Emergency, Surge, Fire Management Assistance Grants (Base)	-	3	60,634
	Funding for Disaster Audit Activities			24,000
Budget Year	**FY 2017 Request**	**-**	**132**	**404,902**
	Total Change from FY 2016 to FY 2017	**-**	**6**	**84,665**

FEMA requests $404.9 million in FY 2017 for base/non-major activities including Emergencies ($218.1M), Fire Management Assistance Grants ($92.7M), Pre-declaration Surge Activities ($70.1M) and others. The cumulative requested total includes the DHS transfer of $24M.

CURRENT SERVICES PROGRAM DESCRIPTION AND JUSTIFICATION:

Emergencies Declarations – 10-year Average of $218,067,224

FEMA provides assistance via an Emergency Declaration, which can be declared for any occasion when the President determines federal assistance is needed. Emergency Declarations supplement State and local efforts in providing emergency services, such as the

protection of lives, property, public health, and safety, or to lessen or avert the threat of a catastrophe in any part of the United States. The total amount of assistance provided for a single emergency may not exceed $5 million. This directly supports FEMA Strategic Plan priority 1 of being survivor-centric in mission and program delivery, and priority 2 of becoming an expeditionary organization in support of the American public.

Fire Management Assistance – 10-year Average of $92,701,890

FEMA provides assistance for the mitigation, management, and control of any fires burning on publicly or privately owned forests or grasslands that threaten such destruction that would constitute a major disaster. Items eligible for funding under the Fire Management Assistance Grant Program include equipment and supplies, labor costs, emergency work, pre-positioning of resources, and temporary repair of damage caused by work directly related to firefighting activities associated with the declared fire. Fire Management Assistance Grant Program funding directly support FEMA Strategic Plan priority 1 in being survivor-centric in delivering our mission, as well as priority 4 in enabling disaster risk reduction nationally.

Pre-declaration Surge Activities – 10-year Average of $70,101,484

FEMA has limited authority to assess the impact of an event through PDAs and to prepare and pre-position Federal resources for a present event for which a declaration is reasonably likely and imminent. Prior to a declaration, FEMA may expend funds to ensure its ability to carry out effectively its authority under Titles IV and V of the Stafford Act.

Pre-declaration surge activities ("Surge") include costs necessary to assess an incident, to determine whether to recommend an emergency or major disaster declaration, and to undertake such activities as are necessary to prepare and pre-position federal resources necessary for an effective response to a present event where a declaration is reasonably likely and imminent.

DHS OIG Major Disaster Audits - $24,000,000

Consistent with past years, the DRF base request for FEMA includes $24 million in funding to be transferred to the DHS Inspector General in support of ongoing work to audit FEMA activities performed in support of Major Disaster declarations.

Department of Homeland Security
Federal Emergency Management Agency
Disaster Relief Fund
Federal Assistance (Base)
Assistance for Declared Events
Cost Drivers (Pay & Benefits) – Sub-PPA Level (Excludes Reimbursables) ($000s)

	FY 2015 Revised Enacted				FY 2016 Enacted				FY 2017 Request				Delta FY 2016 - 2017			
	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost
Civilian Total	-	125	$12,530	$99	-	126	$12,658	$99	-	132	$12,809	$97	-	6	$151	($2)

DESCRIPTION:

- **FTE Change FY 2016-2017:** The FTE level for the DRF Base increased to reflect adjustments for estimated disaster staffing, non-deployed time, emergencies and surge efforts.
- **Average Cost Change FY 2016-2017**: The average cost increase per FTE is based on the FY 2017 pay raise.
- **Bonuses and Performance Awards:** The FY 2017 request estimates $1.944 million for bonuses and performance awards across the entire DRF appropriation. This request remains in line with OPM Awards Guidance on Spending Limitation. The FY 2017 request provides funding for awards, recognition, and estimated overtime.

Department of Homeland Security
Federal Emergency Management Agency
Disaster Relief Fund
Federal Assistance (Base)
Assistance for Declared Events (Emergency, Surge, Fire Management Assistance Grants)
Cost Drivers (Non-Pay) – Sub-PPA Level ($000s)

–Assistance for Declared Events (EM, FM,SU and Other)	2015 Revised Enacted	2016 Enacted	2017 Request	FY 2016 to FY 2017 Change
FY 2017 Non- Pay Cost Drivers (greatest-least)				
Grants, Subsidies, and Contributions	$124,871	$131,490	$156,890	$25,400
Contracts, Communication, and Transportation of Things	$65,489	$98,056	$130,745	$32,689
Travel and Transportation of Persons	$23,565	$28,795	$43,353	$14,558
Total	**$213,925**	**$258,341**	**$330,988**	**$72,647**

NARRATIVE EXPLANATION OF CHANGES – NON-PAY COST DRIVERS:

- **Grants, Subsidies, and Contributions Change FY2016-2017:** The Fire Management Assistance Grant Program provides assistance for the mitigation, management, and control of any fires burning on publicly or privately owned forest or grasslands that threatens such destruction that would constitute a major disaster. FY 2017 funding estimates are based on the 10-year average for this program.
- **Contracts, Communication, and Transportation of Things Change FY2016-2017:** Provides shipping of supplies and equipment, mail services, mobile phone and internet connectivity, operations and planning support for personnel conducting non catastrophic disaster related activities. FY 2017 funding estimates are based on the 10-year average for Emergency, Surge, and Fire Management Assistance Grants.
- **Travel and Transportation of Persons Change FY2016-2017:** Provides for travel of personnel to conduct non catastrophic disaster related activities including pre-declaration surge and emergencies. FY 2017 funding estimates are based on the 10-year average for Emergency, Surge, and Fire Management Assistance Grants.

Summary Tables of Sub-PPA
Department of Homeland Security
Federal Emergency Management Agency
Disaster Relief Fund
Federal Assistance (Base)
Cadre Operational Readiness and Deployability
FY 2016 to FY 2017 Budget Change- PPA Level
(Dollars in Thousands)

Cadre Operational Readiness and Deployability		Positions	FTE	Amount
Prior Year	**FY 2015 Revised Enacted**	**55**	**304**	**94,750**
Base	**FY 2016 Enacted**	**55**	**307**	**120,135**
Current Services	DRS Transfer to O&S Management and Administration PPA	-	-	(4,775)
Budget Year	**FY 2017 Request**	**55**	**307**	**115,360**
	Total Change from FY 2016 to FY 2017	**-**	**-**	**(4,775)**

Cadre Operational Readiness and Deployability activities are estimated to total $115.3 million, which allows FEMA's workforce to be properly prepared, ready and qualified to respond to Disasters. The 2017 request for these activities reflects a decrease of $4.8 million tied to the transfer out of Disaster Operations, Training and Cadre Management Division, provides leadership, training, coordination, and support to FEMA's External Affairs function during incidents requiring a federal response, to the O&S Management and Administration PPA. The remaining balance will be used to ensure disaster staff are ready to fully execute their roles and responsibilities, including meeting mandated standards directly tied to cadre qualifications training, disaster employee professional development, and direct support to the FEMA All Hazard Exercise Program.

Office of the Federal Disaster Coordinating Officer (OFDC): The Office of Federal Disaster Coordination (OFDC) is responsible for hiring, training, equipping, managing and evaluating FEMA's Federal Coordinating Officers (FCO), Federal Disaster Recovery Coordinators (FDRC) and their respective supporting cadres. OFDC ensures their availability for rapid deployment in response to any disaster; develops and delivers training to establish and sustain FCO and FDRC cadres professional competencies; coordinates FCO and FDRC assignments to meet the on-scene needs of FEMA and its emergency management partners. In the event of a Presidential disaster declaration, a Federal Coordinating Officer (FCO) is designated by name in the President's letter. OFDC ensures disaster leadership is established in a timely manner and Stafford Act provisions are in place, resulting in critical support of States and Tribes in responding to and recovering from a disaster. OFDC is also responsible for assuring FCOs and FDRCs make full use of federal and

non-federal resources, and manage operations in a consistent manner to ensure equitable services are provided to all citizens and organizations impacted by disaster. Additionally, OFDC is responsible for leading the implementation, coordination and other activities associated with the National Disaster Recovery Framework (NDRF) and the Federal Interagency Operations Plan (FIOP) for Recovery.

FEMA Corps Program is a partnership between FEMA and the Corporation for National and Community Service (CNCS), which establishes an AmeriCorps component within FEMA to support the whole community approach to disaster management operations and emergency preparedness activities. The partnership leverages CNCS infrastructure, training programs, community-based experience and devoted members to provide a reliable, full-time, energized and motivated staff within FEMA disaster management operations and emergency preparedness activities. The Program strengthens the Nation's capability to prepare for, respond to and recover from disasters, promote an ethos of community and national service by focusing on community engagement, and increase the depth, reliability, diversity and stability of the FEMA disaster workforce.

National Incident Management Assistance Teams (IMATs) provide the initial National rapid response to major incidents, disasters, special events, and exercises. The primary mission of the National IMATs is to deploy rapidly to an incident or threatened area, State, or FEMA region to work with affected States to identify and satisfy initial requirements for Federal assistance. IMATs coordinate and integrate inter-jurisdictional response in support of affected States or U.S. territories. Additionally, IMATs specialize in management and assistance for chemical, biological, radiological, nuclear, and explosives (CBRNE) incidents. National IMATs provide initial situational awareness for Federal decision-makers, and support the initial establishment of a unified command.

Reservist Management Program provides the communication, coordination, and outreach of workforce procedures and program guidance to the National and regional cadre managers and liaisons on workforce deployment, readiness, and the FQS. The Program works to develop a Federal response capability that, when necessary and appropriate, can act effectively and rapidly to deliver assistance essential to saving lives or protecting or preserving property or public health and safety in a natural disaster, act of terrorism, or other man-made disaster.

Response Directorate: The FEMA Response Directorate provides the leadership, organization, and operational resources necessary to deliver core federal disaster response operational capabilities needed to save lives, minimize suffering, and protect property in a timely and effective manner in communities overwhelmed by acts of terrorism, natural disaster, or other emergencies.

The Response Directorate ensures the core, coordinated Federal operational response capability and program activities of all Federal emergency management response operations, response planning, and integration of Federal, State, local, and tribal disaster programs.

This coordination ensures efficient and effective delivery of immediate emergency assistance to individuals and impacted communities.

Response ensures the operational readiness of the FEMA disaster response teams and workforce to coordinate disaster response activities and resources, provide situational awareness, and coordinate the integrated inter-jurisdictional response in support of the affected state(s) or territories. Response deploys specialized emergency response teams to provide leadership in the identification and provision of Federal assistance to disaster survivors. The Response Directorate coordinates the deployment, tracking, and credentialing of all response teams and works directly with Federal, State and local partners to provide necessary Federal support functions to stabilize an incident within 72-hours.

FEMA Qualifications System (FQS) Program works to establish the system for qualification and certification of the FEMA workforce through experience, training, and demonstrated performance; ensures a qualified workforce based on performance standards; establishes minimum, consistent, and fair qualification requirements for all workforce positions regardless of employment status; and works to strengthen the training and qualification standards for all workforce positions by implementing improvements based on analysis.

Federal Surge Capacity Force Program manages and coordinates all aspects of cadre and surge readiness and provides oversight for the surge and volunteer workforce programs within the Department. The staff coordinates directly with Departmental headquarters and component agencies on surge capacity force selection, training, exercises and readiness, and manage all facets of external surge capacity force training, requirement validation, and accountability of individual and cadre/surge readiness status.

Deployment Program and the Deployment Tracking System (DTS) provides FEMA the means to manage, implement and improve standardized deployment processes for all-hazards response and to provide oversight of deployment including the tracking of personnel during pre-event (availability), event (deployment), and post event (de-mobilization) cycles of an incident. The DTS was developed to provide FEMA a framework for a robust, dynamic and interoperable deployment system. It will enable FEMA to more effectively identify and deploy responders to disaster locations and training locations. DTS will also enhance communication and collaboration between responders and their chain of command while providing interoperability to support teams and other responding organizations through the deployment process.

Mission Support Bureau (MSB): The MSB supports all facets of the Agency's mission by providing strategic leadership and assuring the timely, efficient, and effective delivery of administrative, property management, health and safety, human capital, Information Technology (IT), procurement, security services, and business function capabilities.

- The Office of the Chief Component Human Capital Officer (OCCHCO) manages the recruitment and hiring of Stafford Act employees, including Nation-wide recruitment at disaster sites. OCCHCO also oversees employee relations, unemployment claims, and new employee orientation.
- The Chief Administrative Officer (OCAO) manages transit subsidy benefits for qualified Stafford Act employees.
- The Office of the Chief Security Officer (OCSO) performs background investigations for steady state and periodic reinvestigations for disaster employees and contractors. This includes processing fingerprints for all employees and contractors prior to employment.
- The Office of the Chief Information Officer (OCIO) equips staff with tablets, smartphones, lightweight laptops, email, associated peripherals and services. OCIO provide telecommunications for day-to-day and disaster operations, IT systems support and lifecycle planning.

Office of Chief Financial Officer (OCFO): OCFO leads the effort to maximize the impact of financial resources and ensure accountability to support the mission of FEMA.

OCFO oversees planning and response activities of the financial management members of FEMA's emergency teams and management of the national Disaster Comptroller cadre. FEMA maintained a class of employees referred to as non-disaster specific (NDS) COREs to perform essential duties that cannot reasonably be attributed on a disaster-specific basis., personnel costs and financial management are also funded in this PPA.

Office of Equal Rights (OER): OER performs non-disaster specific investigations resulting from complaints that do not originate from a specific disaster declaration.

Office of the Chief Counsel (OCC): OCC's Procurement and Fiscal Law Division Disaster Assistance Team provides direct support to disaster assistance applicants in procuring supplies and services under grants in accordance with applicable law and regulations

PPA/Office or Program	FY 2017 Spend Plan
Cadre Operational Readiness and Deployability	**115,359,788**
Office of Chief Counsel	139,367
Office of Equal Rights	100,000
Chief Financial Officer	578,000
Mission Support	26,697,431
Office of the Chief Administrative Officer	*514,080*
Office of the Chief Component Human Capital Officer	*974,400*
Office of the Chief Information Officer	*15,760,426*
Office of the Chief Procurement Officer	*36,600*
Office of the Chief Security Officer	*9,411,925*
Response and Recovery	87,844,990
Field Operations Directorate	*84,636,436*
Logistics Management Directorate	*1,317,233*
Response Directorate	*1,845,000*
Recovery Directorate	*46,321*

Department of Homeland Security
Federal Emergency Management Agency
Disaster Relief Fund
Federal Assistance (Base)
Cadre Operational Readiness and Deployability
Cost Drivers (Pay & Benefits) – Sub-PPA Level (Excludes Reimbursables) ($000s)

	FY 2015 Revised Enacted				FY 2016 Enacted				FY 2017 Request				Delta FY 2016 - 2017			
	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost
Civilian Total	-	304	$32,184	$105	-	307	$40,893	$132	-	307	$41,356	$134	-	-	$463	$2

- **FTE Change FY 2016-2017:** The FTE level remains constant from FY 2016-2017.
- **Average Cost Change FY 2016-2017**: The average cost increase per FTE is based on the FY 2017 pay raise.
- **Bonuses and Performance Awards:** The FY 2017 request estimates $1.944 million for bonuses and performance awards across the entire DRF appropriation. This request remains in line with OPM Awards Guidance on Spending Limitation. The FY 2017 request provides funding for awards, recognition, and estimated overtime.

Department of Homeland Security
Federal Emergency Management Agency
Disaster Relief Fund
Federal Assistance (Base)
Cadre Operational Readiness and Deployability

Cadre Operational Readiness and Deployability	2015 Revised Enacted	2016 Enacted	2017 Request	FY 2016 to FY 2017 Change
FY 2017 Non- Pay Cost Drivers (greatest-least)				
Rent, Communication, and Contracts	$44,629	$67,538	$62,350	($5,188)
Travel and Transportation of Persons	$7,229	$7,322	$7,272	($50)
Equipment	$4,858	$4,234	$4,234	$0
Total	**$56,716**	**$79,094**	**$73,856**	**($5,238)**

NARRATIVE EXPLANATION OF CHANGES – NON-PAY COST DRIVERS:

- **Rent, Communication, and Contracts Change FY2016-2017:** The FY 2017 budget reflects a net decrease of $5.188 million. This amount consists of an increase of $627 thousand for professional development, and operations and planning support. The decrease of $4.561 million is due to the transfer from the DRF to the Operation and Support (O&S) appropriation.
- **Travel and Transportation of Persons Change FY2016-2017:** The FY 2017 request provides a minor decrease in estimated travel expenses.
- **Equipment Change FY2016-2017:** The FY 2017 request supports the purchase of tablets, smartphones, lightweight laptops, and associated peripherals and services. There is no change in the cost.

Summary Tables of Sub-PPA
Department of Homeland Security
Federal Emergency Management Agency
Disaster Relief Fund
Federal Assistance (Base)
Readiness Support Contract and Interagency Agreements
FY 2016 to FY 2017 Budget Change- PPA Level
(Dollars in Thousands)

Readiness Support Contract and Interagency Agreements		Positions	FTE	Amount
Prior Year	**FY 2015 Revised Enacted**	**-**	**15**	**37,381**
Base	**FY 2016 Enacted**	**-**	**15**	**59,420**
Current Services	DRS Transfer to O&S Management and Administration PPA	-	-	(629)
	DRS Transfer to O&S Mitigation PPA	-	-	(100)
	DRS Transfer to O&S Response and Recovery PPA	-	-	(900)
Budget Year	**FY 2017 Request**	**-**	**15**	**57,791**
	Total Change from FY 2016 to FY 2017	**-**	**-**	**(1,629)**

Readiness Support Contract and Interagency Agreements activities estimates to total $57.7 million in FY 2017 in supporting IAAs, MOAs, MOUs with other federal agencies and nonprofit partners are necessary for quick mobilization. The 2017 request for these activities reflects a decrease of $1.629 million tied to economies present in these agreements supporting mission support IT and administrative activities. Contracts provide for purchasing numerous types of commodities (cots, blanket, and tarps) during and after an event and provide direct temporary housing assistance following a major disaster or emergency; therefore these contracts include technical assistance and other readiness support. Costs include:

- Stockpiling and maintenance of pre-positioned stock, and the overall delivery system (e.g., inventory management and tracking system); and
- Providing perishable disaster response supplies i.e., meals and water, infant and toddler kits, consumable medical / first aid kits, needed for immediate response to emergency events.

Logistics Management Directorate (LMD): The Logistics Management Directorate (LMD) plans, manages and sustains national logistics response and recovery operations, in support of domestic emergencies and special events to act as the Whole Community Logistics Coordinator and single logistics integrator for National incident support. The DRS supports logistics management by maintaining the Agency's distribution centers and transportation systems used to receive, store, issue, and distribute materials and equipment. Funding supports Logistics Supply Chain Management System (LSCMS) which enables FEMA to manage the supply chain process for all disaster assets and commodities, Manufactured Housing Units, Responder Support Camps, and National Incident Support Base programs, and ensures oversight and coordination of disaster property accountability.

Mobile Communications Office Vehicles (MCOV) Program includes vehicles that are multi-purpose central office facilities activated to support FEMA response and recovery disaster missions. The thirty-nine foot long recreational vehicles have been modified and retrofitted with eight to nine work stations and satellite communications to provide voice and data connectivity into the FEMA network. During initial deployment, MCOVs are driven by Federal team requirements, to include support to Logistics Incident Support Bases (ISBs). MCOVs provide a seamless transition from response to recovery using reliable mobile communications platforms to provide incident response support to the public.

Communication Integration is managed by the Directorate's Disaster Emergency Communications Division, which provides and leads integrated Federal communications support to emergency responders at all levels of government and manages the RECCWG National Program Office. RECCWGs assess emergency communications capabilities within their respective FEMA regions, facilitate disaster preparedness through the promotion of multi-jurisdictional and multi-agency emergency communications networks, and ensure that activities are coordinated with all emergency communications stakeholders within the FEMA regions.

National Evacuation Support provides FEMA with the ability to plan, execute, and exercise multi-modal evacuation capability in the event of catastrophic disasters. Program activities address evacuation capabilities by motor coach, rail, air, and ambulance. The program consists of an ambulance contract, which provides for the evacuation of medical/mobility challenged persons; motor coach planning and operational support contract, which provides detailed and robust pre-event bus evacuation planning efforts in support of States; air evacuation ground support contract, which provides airfield assessments and operational planning to pre-identified airfield evacuation points; provides ground and passenger support services during a disaster; and air transportation support services contract,

which provides turnkey air support in the event of a disaster (both evacuation and non-evacuation). Together, the program provides the full-spectrum (planning, readiness, execution) support to States requiring Federal assistance to evacuate all populations in threatened areas.

Recovery Directorate: The Recovery Directorate works to ensure that individuals and communities affected by disasters of all sizes are able to return to normal with minimal suffering and disruption of services. Program activities focus on improving efficiency and expediting delivery of disaster assistance to eligible individuals; to State, local, and tribal governments; and to eligible private nonprofit organizations. This is accomplished through a commitment to streamlined procedures to improve program policy, minimize error, and modernize service delivery technology.

Annual costs for the following readiness contracts, staff, and activities are funded through the DRS (actual deployment or assistance costs incurred pursuant to declarations will continue to be funded from the specific declarations):

- Contract Support
    - Individual Assistance Technical Assistance (IA-TAC)
    - Public Assistance Technical Assistance (PA-TAC)
    - Housing Inspection Services
    - Mail Operations
    - Enterprise Application Development Integration and Sustainment and Recovery Management Tools
    - Corporate Lodging Consultants
    - Surge Staffing for Registration Intake
    - Disaster Legal Services Training and Support

- National Processing Service Centers (NPSCs)
    - Readiness costs, including equipment, rent, and security, for FEMA's three NPSCs located in Maryland, Texas, and Virginia. The NPSC facilities provide FEMA call center and benefits processing capabilities to register and process disaster survivors' applications.

- Developers to make continuous updates to the National Emergency Management Information System to ensure that processing complements the most up-to-date IA policies.

- Individual Assistance Readiness Support
  - Funding is utilized to support travel and equipment needed to ensure the delivery of IA programs under Emergency Support Function #6. This includes costs for all-hazard disaster planning with State, local, Regional, Federal, nongovernmental and voluntary agency partners; recovery program evaluations; travel and salaries to support Reservists engaged in readiness planning, training, and delivery, as well as program closeout.

- Interagency Agreements (IAAs)
  - The Center for Mental Health Services of the U.S. Department of Health and Human Services provides critical technical support in the management of FEMA's Crisis Counseling Assistance and Training Program (CCATP). FEMA annually funds three permanent full-time positions, contract support, travel, equipment supplies, and administrative costs through IAAs. When the program is activated pursuant to a disaster declaration, assistance is charged to the declaration's costs under the DRF.
  - The Employment and Training Administration within the Department of Labor (DOL) administers the Disaster Unemployment Assistance (DUA) program on FEMA's behalf. As such, FEMA annually funds DOL through an IAA to perform this function. When the program is activated pursuant to a disaster declaration, assistance is charged to the declaration's costs under the DRF.

- Maintain a Web-based Public Assistance Program
  - DRS funding is used to maintain a web-based capability (Emergency Management Mission Integrated Environment) for the PA Program that allows FEMA staff, PA applicants, and States to perform all PA grant management activities online and supports the use of state-of-the art technology such as wireless handheld devices used by PA staff and contractors to perform inspections on damaged facilities. The inspection information is uploaded automatically into the Web-based system, eliminating a paper-based process, improving efficiency, and attaining cost savings.

PPA/Office or Program	FY 2017 Spend Plan
Readiness Support Contracts and Supplies	**57,791,039**
Office of Equal Rights	120,000
Mitigation	450,000
Mission Support	8,977,013
Office of the Chief Administrative Officer	*2,533,718*
Office of the Chief Procurement Officer	*543,000*
Office of the Chief Security Officer	*5,900,295*
Response and Recovery	48,075,026
Logistics Management Directorate	*10,582,378*
Response Directorate	*10,287,720*
Recovery Directorate	*27,204,928*

Department of Homeland Security
Federal Emergency Management Agency
Disaster Relief Fund
Federal Assistance (Base)
Readiness Support Contract and Interagency Agreements
Cost Drivers (Pay & Benefits) – Sub-PPA Level (Excludes Reimbursables) ($000s)

	FY 2015 Revised Enacted				FY 2016 Enacted				FY 2017 Request				Delta FY 2016 - 2017			
	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost
Civilian Total	-	15	$1,411	$93	-	15	$1,411	$93	-	15	$1,442	$96	-	-	$31	$3

- **FTE Change FY 2016-2017:** The FTE level remains constant from FY 2016-2017.
- **Average Cost Change FY 2016-2017**: The average cost increase per FTE is based on the FY 2017 pay raise.
- **Bonuses and Performance Awards:** The FY 2017 request estimates $1.944 million for bonuses and performance awards across the entire DRF appropriation. This request remains in line with OPM Awards Guidance on Spending Limitation. The FY 2017 request provides funding for awards, recognition, and estimated overtime.

Department of Homeland Security
Federal Emergency Management Agency
Disaster Relief Fund
Federal Assistance (Base)
Readiness Support Contract and Interagency Agreements
Cost Drivers (Non-Pay) – Sub-PPA Level ($000s)

Readiness Support Contracts and IAAs	2015 Revised Enacted	2016 Enacted	2017 Request	FY 2016 to FY 2017 Change
FY 2017 Non- Pay Cost Drivers (greatest-least)				
Contracts	$35,211	$50,770	$50,155	($615)
Communication and Miscellaneous Charges	$705	$5,150	$5,047	($103)
Total	**$35,916**	**$55,920**	**$55,202**	**($718)**

NARRATIVE EXPLANATION OF CHANGES – NON-PAY COST DRIVERS:

- **Contracts Change FY2016-2017:** The FY 2017 request reflects a minimal decrease in cost for a variety of readiness contracts to enable swift response in supporting disaster survivors.
- **Communication and Miscellaneous Charges FY2016-2017:** The FY 2017 request reflects a minimal decrease in cost for USPS postage for FEMA Regional Mail Centers, Mail Service Locations, active Joint Field Offices, and National Processing Service Centers.

Summary Tables of Sub-PPA
Department of Homeland Security
Federal Emergency Management Agency
Disaster Relief Fund
Federal Assistance (Base)
Facilities Support
FY 2016 to FY 2017 Budget Change- PPA Level
(Dollars in Thousands)

Facilities Support		**Positions**	**FTE**	**Amount**
Prior Year	**FY 2015 Revised Enacted**	**-**	**-**	**44,044**
Base	**FY 2016 Enacted**	**-**	**-**	**52,886**
Current Services	DRS Transfer to O&S Management and Administration PPA	-	-	(45,876)
	DRS Transfer to O&S Response and Recovery PPA	-	-	(7,010)
Budget Year	**FY 2017 Request**	**-**	**-**	**-**
	Total Change from FY 2016 to FY 2017	**-**	**-**	**(52,886)**

Facilities Support activities provide temporary facilities, structures or spaces in order to provide shelter, food, and other basic needs to emergency response personnel during emergencies and disasters. Costs associated with the aforesaid activities have been proposed to be transferred out of the DRF because they are not charged to a declared disaster and therefore are better suited under the O&S appropriation.

Summary Tables of Sub-PPA
Department of Homeland Security
Federal Emergency Management Agency
Disaster Relief Fund
Federal Assistance (Base)
Information Technology Support
FY 2016 to FY 2017 Budget Change- PPA Level
(Dollars in Thousands)

Information Technology Support		Positions	FTE	Amount
Prior Year	**FY 2015 Revised Enacted**	**-**	**1**	**144,544**
Base	**FY 2016 Enacted**	**-**	**1**	**91,812**
Current Services	DRS Transfer to O&S Management and Administration PPA	-	-	(26,254)
	DRS Transfer to PC&I Response and Recovery PPA	-	-	(1,900)
	Grant Systems Modernization Efficiency	-	-	(2,196)
Budget Year	**FY 2017 Request**	**-**	**1**	**61,462**
	Total Change from FY 2016 to FY 2017	**-**	**-**	**(30,350)**

The Information Technology Support PPA consists of non-enterprise information technology systems that directly support disaster response and recovery activities. FEMA requests $61.462 million in FY 2017 for Information Technology Support. This amount reflects a decrease of $30.350 million from the FY 2016 Enacted level for the transfer to the Operation and Support appropriation. The transfer of funds from the DRF to PC&I and O&S ensures that FEMA information technology activities and associated costs are properly aligned within the appropriate part of the new Common Appropriations Structure.

Information Technology Support: includes activities such as software support for NEMIS and other systems, enterprise license agreements, data center operations and maintenance, IT hardware solutions for network, video, teleconferencing, and provide enterprise-level services Geographic Information Systems.

Logistics Supply Chain Management System (LSCMS): LSCMS manages the supply chain process including initial request for assets and commodities, orders to FEMA and partners, transportation, inventory management at FEMA locations, shipment and

receipt by the States. LSCMS provides situational awareness and in-transit visibility through reporting and geospatial information system (GIS) mapping capabilities.

Automated Construction Estimator (ACE): used by FEMA and contracted field inspectors to perform damage assessments on individual homes. Funding provides O&M, enhancement, and disaster support, including developer support of disaster operations, production support for remedy tickets, and system modernization and functional improvements.

Deployment Tracking System (DTS): allows FEMA to manage, implement and improve standardized deployment processes for all-hazards response and provide oversight of deployment including the tracking of personnel. Funds support DTS operations, maintenance and IT support for sustainment and system improvements.

Registration Intake: supports survivor registration via telephone and internet through the Disaster Assistance Improvement Program (DAIP) the Advanced Call Center Network (ACCN), and activities under the Contact Center Capability Modernization Program (C3MP).

PPA/Office or Program	FY 2017 Spend Plan
Information Technology Support	**61,462,397**
Chief Financial Officer	40,000
Mission Support	10,094,467
Office of the Chief Component Human Capital Officer	*138,000*
Office of the Chief Information Officer	*9,956,467*
Response and Recovery	51,527,931
Field Operations Directorate	*2,421,407*
Logistics Management Directorate	*20,334,864*
Recovery Directorate	*28,771,660*

Department of Homeland Security
Federal Emergency Management Agency
Disaster Relief Fund
Federal Assistance (Base)
Information Technology Support
Cost Drivers (Pay & Benefits) – Sub-PPA Level (Excludes Reimbursables) ($000s)

	FY 2015 Revised Enacted				FY 2016 Enacted				FY 2017 Request				Delta FY 2016 - 2017			
	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost
Civilian Total	-	1	$32	$32	-	1	$32	$32	-	1	$32	$32	-	-	-	-

- **FTE Change FY 2016-2017:** The FTE level for the DRF Information Technology Support remains constant from FY 2016-2017.
- **Average Cost Change FY 2016-2017**: There is no change from FY 2016-2017.
- **Bonuses and Performance Awards:** The FY 2017 request estimates $1.944 million for bonuses and performance awards across the entire DRF appropriation. This request remains in line with OPM Awards Guidance on Spending Limitation. The FY 2017 request provides funding for awards, recognition, and estimated overtime.

Department of Homeland Security
Federal Emergency Management Agency
Disaster Relief Fund
Federal Assistance (Base)
Information Technology Support

Information Technology Support	2015 Revised Enacted	2016 Enacted	2017 Request	FY 2016 to FY 2017 Change
FY 2017 Non- Pay Cost Drivers (greatest-least)				
Contracts	$102,074	$44,732	$46,045	$1,313
Rent	$32,284	$40,236	$10,785	($29,451)
Communications, Utilities and Misc. Charges	$19,220	$6,812	$4,600	($2,212)
Total	**$153,578**	**$91,780**	**$61,430**	**($30,350)**

NARRATIVE EXPLANATION OF CHANGES – NON-PAY COST DRIVERS:

- **Contracts Change FY2016-2017:** The FY 2017 budget reflects an increase of $1.313 million for enterprise service desk support as well as the operation and maintenance of disaster related IT systems.
- **Rent Change FY2016-2017:** The FY 2017 decrease of $29.451 million is due to the transfer from the DRF to the Operation and Support (O&S) appropriation.
- **Communications, Utilities and Misc. Charges Change FY2016-2017:** The FY 2017 decrease of $2.212 million is due to the transfer from the DRF to the Operation and Support (O&S) appropriation.

Federal Assistance (Cap Adjustment)		Positions	FTE	Amount
Prior Year	**FY 2015 Revised Enacted**	**-**	**4,448**	**6,437,793**
Base	**FY 2016 Enacted**	**-**	**4,450**	**6,712,953**
Current Services	Adjustment for 10-year historical average calculation (Major Disaster Cap Adjustment)	-	-	(3,953)
Budget Year	**FY 2017 Request**	**-**	**4,450**	**6,709,000**
	Total Change from FY 2016 to FY 2017	**-**	**-**	**(3,953)**

FEMA requests $6.709 billion in FY 2017 for major disasters declared pursuant to the Stafford Act and designated by the Congress as being for disaster relief pursuant to section 251(b)(2)(D) of the BBEDCA, as amended by the BCA. The DRF request for disaster relief cap adjustment funding is projected to support estimated requirements for all past declared catastrophic events (where expected Federal obligations exceed $500 million) and the 10-year average obligation level for non-catastrophic disaster activity (where Federal obligations were under $500 million).

FY2017 DRF Major Disaster Need *(dollars in millions)*	
Catastrophic	$3,595
Non-Catastrophic	$2,564
Reserve	$1,000
Total Spending	**$7,159**
Anticipated Recoveries	$450
Net Resource Requirement	**$6,709**

CURRENT SERVICES PROGRAM DESCRIPTION AND JUSTIFICATION:

The Robert T. Stafford Disaster Relief and Emergency Assistance Act (Stafford Act), 42 U.S.C. 5121-5207 (P.L. 93-288, as amended), authorizes the President to provide Federal assistance to supplement State, local, tribal and territorial disaster response, recovery, readiness, and mitigation efforts. Under Section 504 of the Homeland Security Act, as amended, FEMA's Administrator has been delegated the responsibility for administering the Stafford Act's Federal assistance programs. The DRF provides funding for the following Stafford Act declarations or activities: (1) major disaster; (2) emergency; (3) fire management assistance; (4) pre-declaration surge; and (5) Disaster Readiness and Support (DRS).

By amending the BBEDCA, the BCA necessitated a new approach to accounting for disaster-related activity. Essentially, requests for

DRF funding for FEMA's Stafford Act programs and disaster support activities fall into two categories: (1) disaster relief cap adjustment; and (2) base/non-major disasters. Funding requested under the disaster relief cap adjustment is for major disasters declared pursuant to the Stafford Act and designated by the Congress as being for disaster relief pursuant to section 251(b)(2)(D) of the BBEDCA, as amended by the BCA. Funding requested under the base/non-major disasters category includes activities that are non-disaster specific, such as Emergencies, Pre-disaster Surge Support, and Fire Management Assistance Grants, Disaster Readiness Support (DRS) activities (e.g., distribution centers, reservist training, etc.). The funding level required for the catastrophic category (events with a total projected Federal cost in excess of $500 million) is based on FEMA expenditure plans for all past declared catastrophic events.

The FY 2017 request includes no funds for new catastrophic events that may occur in 2017. The request assumes that new catastrophic events in 2017 will be funded with emergency supplemental funds as provided for in the BCA/BBEDCA. The non-catastrophic funding level is based on an approach that uses the 10-year average for non-catastrophic events in order to normalize the effects of outlier years and provide a more accurate projection of non-catastrophic needs.

The $450 million in recoveries represents an estimate of the amount that FEMA will de-obligate and recover from unused prior year obligations. Since FY 2013, FEMA has made consistent efforts to improve the efficiency of the disaster closeout process. This effort streamlined the closeout process by issuing and enforcing standard closeout policies and procedures. FEMA has aggressively recovered funds since implementing the streamlined process and will continue to do so in FY 2017. Given the uncertainties associated with projecting disaster activity, the DRF request for FY 2017 includes a $1 billion reserve to ensure solvency at year-end as we approach the most active months of hurricane season.

DISASTER RELIEF CAP ADJUSTMENT ACTIVITIES

Individual Assistance (IA), Public Assistance (PA), and the Hazard Mitigation Grant Program (HMGP) programs are managed and administered by the Recovery and Mitigation Directorates within FEMA and are FEMA's primary Direct Disaster Programs. These activities fall under the cap adjustment allowance.

These programs constitute the majority of the resources provided by the Federal Government (through FEMA) to directly address the short-, medium-, and long-term impacts of a disaster on individuals and communities. Disaster assistance under the authorities of the Stafford Act can be provided to States (and further provided to local or tribal governments), the District of Columbia, Puerto Rico, the Virgin Islands, Guam, American Samoa, the Commonwealth of the Northern Mariana Islands, and Tribes.

The DRF programs support FEMA's priorities in the 2014-2018 FEMA Strategic Plan. The DRF programs emphasize FEMA's Strategic Priority 1 with the focus of being survivor-centric in mission and program delivery. The DRF also allows FEMA to become an expeditionary organization as articulated in Strategic Priority 2, posture and build capability for catastrophic disasters in Strategic Priority 3, and enable disaster risk reduction in Strategic Priority 4. The Strategic Plan reflects the objectives the Agency will accomplish to provide the best possible support to the American people before, during, and after disasters.

Individual Assistance

The DRF provides funding for the following IA Programs:

Individual and Households Programs – 100-percent federally funded
The Individual and Households Programs supports the FEMA Strategic Plan's strategic objective 1.1 focused on streamlining and simplifying disaster services for individual disaster survivors to ensure services are transparent, efficient, and effective in meeting the needs of survivors.

Other Needs Assistance (ONA)
ONA is available for necessary expenses and serious needs of survivors of the declared disaster, and supports the FEMA Strategic Plan's Strategic Objective 1.1 focused on streamlining and simplifying disaster services for individual disaster survivors Assistance provided by the ONA program is cost-shared between FEMA (75-percent) and the State (25-percent). Assistance is provided to individuals contingent on their ability or inability to secure a loan from the U.S. Small Business Administration (SBA). If SBA determines that an applicant cannot afford a loan, SBA automatically will refer the applicant to the ONA program for assistance.

Crisis Counseling Assistance and Training Program
The Crisis Counseling Program, which is 100-percent federally funded, supports short-term interventions with individuals and groups experiencing psychological effects resulting from large-scale disasters. These interventions involve the counseling goals of assisting disaster survivors in understanding their current situation and reactions, mitigating additional stress, assisting survivors in reviewing their options, promoting the use or developments of coping strategies, providing emotional support, and encouraging linkages with other individuals and agencies that may help survivors recover to their pre-disaster level of functioning. Supplemental funding for crisis counseling is available to State mental health authorities through two grant mechanisms: (1) the Immediate Services Program; and (2) the Regular Services Program, which provide funds for up to nine months following a major disaster declaration. Grantees can apply for both programs if they choose to do so.

Substance Abuse and Mental Health Services Administration (SAMHSA) provides technical assistance for FEMA's Crisis Counseling Program (CCP) under an Interagency Agreement (IAA) with FEMA. FEMA HQ, Regions and Joint Field Office (JFO) staff work together with SAMHSA to award and monitor CCP grants to Grantees (States or Tribes) who apply and who are approved for the CCP.

Disaster Unemployment Assistance (DUA)
Through the Disaster Unemployment Assistance Program (DUA), FEMA provides unemployment benefits to disaster survivors who lose their job as a direct result of a disaster and who are ineligible to receive regular unemployment insurance compensation (UI) from their employer. FEMA delegates the administration of this program to the Department of Labor (DOL) and funds the program through an IAA with DOL. FEMA obligates the money for the program to DOL and DOL acts as a pass-through to the States, who draw down the funds from DOL in order to administer benefits to eligible survivors.

Legal Services
Legal services, which is 100-percent federally funded, may be provided to low-income individuals unable to secure such services adequate to meet their needs as a consequence of a major disaster.

Disaster Case Management Program
This program, which is 100-percent federally funded, provides case management services, including financial assistance, to States, local government agencies, and qualified private organizations.

Public Assistance (PA)
The PA program offers assistance to State, local, and tribal governments after a declared major disaster or emergency for eligible disaster-related damage. In addition, certain private non-profit organizations that operate eligible facilities and provide services to the public that are typically provided by a government agency may receive public assistance. PA is a cost-shared grant program.

The Federal share of assistance is not less than 75% of the eligible cost for emergency measures and permanent restoration. The grantee (usually the State) determines how the non-Federal share (up to 25 percent) is split with the sub grantees, which may include state and local governments, private non-profit organizations and recognized Tribal governments and organizations. However, States may request, and the President may grant, a higher Federal cost share, pursuant to FEMA regulations, in situations in which the disaster has had a significant economic impact on the State.

PA provides funding to grantees for the repair and restoration of damaged public and eligible private nonprofit facilities, for emergency measures taken to protect lives and property, and in support of disaster-related debris removal. The PA program supports the FEMA Strategic Plan objective 1.1, which calls for the streamlining and simplifying of disaster services for communities as well as objective

1.2 on providing support to local leaders and tribal officials to strengthen recovery and mitigation core capabilities.

Alternative Procedures (Section 428)

The Sandy Recovery Improvement Act of 2013 amends the Stafford Act to authorize alternative procedures for the Public Assistance Program. It also authorizes FEMA to implement the alternative procedures through a pilot program. Based on the evaluation of the pilot, FEMA may elect to discontinue the program, extend the pilot for an additional performance period, or issue regulations that would institute the program changes authorized by the law. If successful, the new authorities will enable FEMA to reduce costs, expedite assistance, provide incentives for timely completion of projects, and increase flexibility for applicants so they can best meet their post-disaster recovery needs.

Hazard Mitigation Grant Program (HMGP)

HMGP provides State, local, and tribal governments with cost-shared grants (75-percent Federal, 25-percent non-Federal) to reduce the risk of potential damage, hardship, loss, and suffering in an area affected by a major disaster. Ultimately, HMGP reduces future need for Federal disaster assistance by reducing the impact of and increasing the resistance to natural hazards. FEMA's Strategic Plan priority 4 focused on ensuring disaster risk reduction nationally ties directly to HMGP. Through objective 4.2 within that strategic priority, HMGP assists the Agency in incentivizing and facilitating investments to manage current and future risk in communicates across the Nation as they build more resiliently for the future.

HMGP funding is made available to States based on 15-percent of the total Federal funds spent in the PA and IA programs (minus administrative expenses) for each major disaster costing up to $2 billion; 10-percent for disasters between $2 billion and $10 billion; and 7.5-percent for major disasters between $10 billion and not more than $35.3 billion.

Compacts of Free Association

The DRF provides funding for disaster relief and reconstruction activities in the Federated States of Micronesia and the Republic of the Marshall Islands pursuant to the Compacts of Free Association. This funding is provided through a direct transfer of DRF funds to the United States Agency for International Development.

Department of Homeland Security
Disaster Relief Fund
Federal Assistance (Cap Adjustment)

Cost Drivers (Pay & Benefits) - PPA Level (Excludes Reimbursables) ($000s)

	FY 2015 Revised Enacted				FY 2016 Enacted				FY 2017 Request				Delta FY 2016 - 2017			
	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost
Civilian Total	-	4,623	$398,243	$86	-	4,450	$355,793	$80	-	4,450	$362,007	$81	-	-	$6,214	$1

NARRATIVE EXPLANATION OF CHANGES – PAY COST DRIVERS:

- **FTE Change FY 2016-2017:** The FTE level for the DRF Cap Adjustment remains constant from FY 2016-2017.
- **Average Cost Change FY 2016-2017**: The average cost change per FTE increased due to the FY 2017 pay raise.
- **Bonuses and Performance Awards:** The FY 2017 request estimates $1.944 million for bonuses and performance awards across the entire DRF appropriation. This request remains in line with OPM Awards Guidance on Spending Limitation. The FY 2017 request provides funding for awards, recognition, and estimated overtime.

Department of Homeland Security
Federal Emergency Management Agency
Disaster Relief Fund
Federal Assistance (Cap Adjustment)
Cost Drivers (Non-Pay) – Sub-PPA Level ($000s)

Federal Assistance (Cap Adjustment)	2015 Revised Enacted	2016 Enacted	2017 Request	FY 2016 to FY 2017 Change
FY 2017 Non- Pay Cost Drivers (greatest-least)				
Grants, Subsidies, and Contributions	$6,124,074	$6,467,308	$6,463,499	($3,809)
Rent, Communications, and Contracts	$224,351	$175,742	$175,639	($103)
Travel	$89,368	$69,903	$69,862	($41)
Total	**$6,437,793**	**$6,712,953**	**$6,709,000**	**($3,953)**

NARRATIVE EXPLANATION OF CHANGES – NON-PAY COST DRIVERS:

- **Grants, Subsidies, and Contributions Change FY2016–2017:** The $3.809 million decrease in grants from the FY 2016 Enacted is based on estimates the funding for major disasters.
- **Rent, Communications, and Contracts Change FY2016-2017:** The FY 2017 budget provides for shelter and work space, utilities, mobile phone service, internet connectivity, email, professional development, and operations and planning support. The FY 2017 request reflects minimal adjustments for rental of space, communications and contracts.
- **Travel Change FY2016-2017:** The FY 2017 request reflects minimal adjustments for disaster personnel travel expenses incurred to respond to and assist with the recovery from a disaster.

E. Justification of Proposed Legislative Language

Department of Homeland Security
Federal Emergency Management Agency
Disaster Relief Fund

[For necessary expenses in carrying out the Robert T. Stafford Disaster Relief and Emergency Assistance Act (42 U.S.C. 5121 et seq.), $7,374,693,000 to remain available until expended, of which $24,000,000 shall be transferred to the Department of Homeland Security Office of Inspector General for audits and investigations related to disasters: *Provided*, That the reporting requirements in paragraphs (1) and (2) under the heading "Federal Emergency Management Agency, Disaster Relief Fund" in the Department of Homeland Security Appropriations Act, 2015 (Public Law 114–4) shall be applied in fiscal year 2016 with respect to budget year 2017 and current fiscal year 2016, respectively, by substituting "fiscal year 2017" for "fiscal year 2016" in paragraph (1): *Provided further*, That of the amount provided under this heading, $6,712,953,000 shall be for major disasters declared pursuant to the Robert T. Stafford Disaster Relief and Emergency Assistance Act (42 U.S.C. 5121 et seq.): *Provided further*, That the amount in the preceding proviso is designated by the Congress as being for disaster relief pursuant to section 251(b)(2)(D) of the Balanced Budget and Emergency Deficit Control Act of 1985.**]** *For necessary expenses in carrying out the Robert T. Stafford Disaster Relief and Emergency Assistance Act (42 U.S.C. 5121 et seq.), $7,348,515,000 to remain available until expended, of which $24,000,000 shall be transferred to the Department of Homeland Security Office of Inspector General for audits and investigations related to disasters: Provided, That of the funds provided herein, $6,709,000,000 shall be for major disasters declared pursuant to the Robert T. Stafford Disaster Relief and Emergency Assistance Act (42 U.S.C.5121 et seq.): Provided further, That the amount in the previous proviso is designated by the Congress as being for disaster relief pursuant to section 251(b)(2)(D) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended: Provided further, That of the amount provided under this heading, $234,644,000, shall be for the Disaster Readiness and Support account, and within that account, FEMA may reprogram funds among the Programs, Projects and Activities an amount not to exceed 10 percent of the total amount appropriated under this heading by Act or subsequent Appropriation Acts.*

Language Provision	Explanation
	Dollar change only. No substantial change proposed.

F. Summary of Fee Collections and Carryover

Department of Homeland Security
Federal Emergency Management Agency
Disaster Relief Fund
Summary of Fee Collections and Carryover
(Dollars in Thousands)

	2015 Revised Enacted	2016 Enacted	2017 Request
Collections (Actual or Anticipated)	1,462	7,000	7,000
Carryover (Includes Recoveries)	1,801	218	---
Portion of Current Year Collection Sequestered	---	---	---
Previous Year Sequestered Funds Available	---	---	---
Total Budget Authority	3,263	7,218	7,000

The FY 2017 Request includes anticipated collections from proceeds of sale of manufactured housing units.

G. Summary of Reimbursable Resources

N/A

H. FY 2017 Schedule of Working Capital Fund by Program/Project Activity

Department of Homeland Security
Federal Emergency Management Agency
Disaster Relief Fund
(Dollars in Thousands)

Program/Project/Activity	FY 2015 Revised Enacted Amount	FY 2016 Enacted Amount	FY 2017 Request Amount	Increase/Decrease for FY 2017 Amount
Facilities Support	$14,089	$17,465	$0	($17,465)
Total Working Capital Fund	**$14,089**	**$17,465**	**$0**	**($17,465)**

I. Capital Investment and Construction Initiative Listing

Department of Homeland Security

Federal Emergency Management Agency
Disaster Relief Fund
Capital Investment and Construction Initiative Listing

Capital Investment and Construction Initiative Listing	2015 Revised Enacted	2016 Enacted	2017 Request	FY 2016 to FY 2017 Change
Logistics Supply Chain Management Systems (LSCMS)	$28,225	$ 21,400	$23,300	$1,900
Total	$28,225	$21,400	$23,300	$1,900

J. Object Class Breakout by Appropriation

Department of Homeland Security
Federal Emergency Management Agency
Disaster Relief Fund

Summary of Requirements by Object Class

(Dollars in Thousands)

Object Classes	FY 2015 Revised Enacted	FY 2016 Enacted	FY 2017 Request	FY 2016 to FY 2017 Change
Personnel and Compensation Benefits	-	-	-	-
11.3 Other than Full-Time Permanent	$ 325,121	$ 299,857	$ 305,257	$5,400
11.5 Other Personnel Compensation	$ 19,447	$ 19,020	$ 19,020	$0
12.1 Civilian Personnel Benefits	$ 99,832	$ 91,910	$ 93,369	$1,459
Total, Personnel and Compensation Benefits	$444,400	$410,787	$417,646	$6,859
Other Object Classes	-	-	-	-
21.0 Travel and Transportation of Persons	$123,013	$106,468	$120,466	$13,998
22.0 Transportation of Things	$6,706	$11,749	$6,197	($5,552)
23.1 Rental Payments to GSA	$31,624	$40,941	$40,036	($905)
23.2 Rental Payments to Others	$11,572	$7,839	$10	($7,829)
23.3 Communications, Utilities, and Misc. Charges	$32,022	$23,250	$17,384	($5,866)
24.0 Printing and Reproduction	$580	$758	$425	($333)
25.1 Advisory and Assistance Services	$0	$17,100	$16,968	($132)
25.2 Other Services from Non-Federal Sources	$171,579	$142,177	$105,595	($36,582)
25.3 Other Goods and Services from Federal Sources	$228,395	$217,147	$120,533	($96,614)
25.4 Operation and Maintenance of Facilities	$57,900	$51,714	$340	($51,374)
25.5 Research and Development Contracts	$8,139	$6,488	$561	($5,927)
25.6 Medical Care	$484	$507	$503	($4)
25.7 Operation and Maintenance of Equipment	$14,672	$21,422	$5,836	($15,586)
26.0 Supplies and Materials	$9,697	$13,297	$4,348	($8,949)
31.0 Equipment	$6,618	$5,453	$4,234	($1,219)
32.0 Land and Structures	$10,420	$2,673	$0	($2,673)
41.0 Grants, Subsidies, and Contributions	$125,412	$131,913	$156,921	$25,008
43.1 Interest and Dividends	$53	$41	$0	($41)
Total, Other Object Classes	$1,283,286	$1,211,724	$1,018,003	($193,721)
Adjustments	0	0	0	-
Unobligated Balance, start of year	0	0	0	$0
Unobligated Balance, end of year	($4,918,049)	($3,091,530)	($2,212,336)	$879,194
Total, Adjustments	0	0	0	0
Total Requirements	$ 7,033,464	$ 7,374,693	$ 7,348,515	$ (26,178)
Full Time Equivalents	5,068	4,899	4,905	6

K. Object Class Breakout by PPA

Department of Homeland Security
Federal Emergency Management Agency
Disaster Relief Fund
Federal Assistance (Base)
Summary of Requirements by Object Class
(Dollars in Thousands)

	FY 2015	FY 2016	FY 2017	FY 2016 to
Object Classes	**Revised Enacted**	**Enacted**	**Request**	**FY 2017 Change**
Personnel and Compensation Benefits	-	-	-	-
11.3 Other than Full-Time Permanent	$ 32,281	$ 38,939	$ 39,492	$553
11.5 Other Personnel Compensation	$ 2,350	$ 2,800	$ 2,800	$0
12.1 Civilian Personnel Benefits	$ 11,526	$ 13,255	$ 13,347	$92
Total, Personnel and Compensation Benefits	$46,157	$54,994	$55,639	$645
Other Object Classes	-	-	-	-
21.0 Travel and Transportation of Persons	$33,645	$36,565	$50,604	$14,039
22.0 Transportation of Things	$1,332	$7,545	$6,197	($1,348)
23.1 Rental Payments to GSA	$30,723	$40,236	$40,036	($200)
23.2 Rental Payments to Others	$1,563	$10	$10	$0
23.3 Communications, Utilities, and Misc. Charges	$28,218	$20,274	$17,384	($2,890)
24.0 Printing and Reproduction	$250	$500	$425	($75)
25.1 Advisory and Assistance Services	$0	$17,100	$16,968	($132)
25.2 Other Services from Non-Federal Sources	$171,167	$141,857	$105,595	($36,262)
25.3 Other Goods and Services from Federal Sources	$63,955	$88,523	$120,533	$32,010
25.4 Operation and Maintenance of Facilities	$34,937	$39,982	$340	($39,642)
25.5 Research and Development Contracts	$561	$561	$561	$0
25.6 Medical Care	$484	$507	$503	($4)
25.7 Operation and Maintenance of Equipment	$14,663	$21,415	$5,836	($15,579)
26.0 Supplies and Materials	$9,551	$13,183	$4,348	($8,835)
31.0 Equipment	$6,077	$5,453	$4,234	($1,219)
32.0 Land and Structures	$7,003	$0	$0	$0
41.0 Grants, Subsidies, and Contributions	$124,871	$131,490	$156,921	$25,431
43.1 Interest and Dividends	$0	$0	$0	$0
Total, Other Object Classes	$575,157	$620,195	$586,134	($34,061)

Adjustments	**0**	**0**	**0**	**-**
	0	**0**	**0**	
Unobligated Balance, start of year	**0**	**0**	**0**	**$0**
Unobligated Balance, end of year	**$ 20,514**	**$ 41,545**	**$ 53,381**	**$11,836**
Total, Adjustments	**0**	**0**	**0**	**0**
Total Requirements	**$595,671**	**$661,740**	**$639,515**	**($22,225)**
Full Time Equivalents	**445**	**449**	**455**	**6**

Department of Homeland Security
Federal Emergency Management Agency
Disaster Relief Fund
Federal Assistance (Cap Adjustment)
Major Disasters
Summary of Requirements by Object Class
(Dollars in Thousands)

Object Classes	2015 Revised Enacted	2016 Enacted	2017 Request	FY 2016 to FY 2017 Change
Personnel and Other Compensation Benefits				
11.1 Full-time Permanent				
11.3 Other than Full-Time Permanent	$292,840	$260,918	$265,765	$4,847
11.5 Other Personnel Compensation	$17,097	$16,220	$16,220	$0
11.6 Military Personnel-Basic Allowance for Housing	-	-	-	-
11.7 Military Personnel	-	-	-	-
11.8 Special Personal Services Payments	-	-	-	-
12.1 Civilian Personnel Benefits	$88,306	$78,655	$80,022	$1,367
12.2 Military Personnel Benefits	-	-	-	-
13.0 Benefits for Former Personnel			-	
Total, Personnel and Other Compensation Benefits	$398,243	$355,793	$362,007	$6,214
Other Object Classes				
21.0 Travel and Transportation of Persons	$89,368	$69,903	$69,862	($41)
22.0 Transportation of Things	$5,374	$4,204	$4,201	($3)
23.1 Rental Payments to GSA	$901	$705	$704	($1)
23.2 Rental Payments to Others	$10,009	$7,829	$7,824	($5)
23.3 Communications, Utilities, and Misc. Charges	$3,804	$2,976	$2,974	($2)
24.0 Printing and Reproduction	$330	$258	$258	$0
25.0 Other Contractual Services	0	0	0	
25.1 Advisory and Assistance Services	$412	$320	$321	$1
25.2 Other Services from Non-Federal Sources	$164,440	$128,624	$128,548	($76)
25.3 Other Goods and Services from Federal Sources	$37,052	$28,982	$28,965	($17)
25.4 Operation and Maintenance of Facilities	$7,578	$5,927	$5,924	($3)
25.5 Research and Development Contracts				$0
25.6 Medical Care	$9	$7	$7	$0
25.7 Operation and Maintenance of Equipment	$146	$114	$114	$0
25.8 Subsistence & Support of Persons	0	0	0	
26.0 Supplies and Materials	$3,417	$2,673	$2,671	($2)

31.0 Equipment	**$541**	**$423**	**$423**	**$0**
32.0 Land and Structures	**$53**	**$41**	**$41**	**$0**
33.0 Investments and loans	**-**	**-**	**-**	
41.0 Grants, Subsidies, and Contributions	**$8,119,652**	**7,571,518**	**$7,309,451**	**($412,067)**
42.0 Insurance Claims and Indemnities	**0**	**0**	**0**	
43.1 Interest and Dividends	**$18**	**$14**	**$14**	**$0**
43.2 Immigration Fees				
44.0 Refunds				
91.0 Unvouchered				
93.1 Limitation on expenses				
99.0 Subtotal, Obligations				
99.5 Below Reporting Threshold				
Total, Other Object Classes	**$8,144,071**	**$7,524,518**	**$7,112,302**	**($412,216)**
Total, Direct Obligations	**$8,542,314**	**$7,880,311**	**$7,474,309**	**($406,002)**
Adjustments				
Net Offsetting Collections	**0**	**0**	**0**	**0**
Unobligated Balance, start of year	**($4,938,563)**	**($3,133,075)**	**($2,265,717)**	**$867,358**
Unobligated Balance, end of year	**$3,133,075**	**$2,265,717**	**$1,950,408**	**($315,309)**
Offsetting Collections	**0**	**0**	**0**	**0**
Total Requirements	**$6,437,793**	**$6,712,953**	**$6,709,000**	**($3,953)**
Full Time Equivalents	**4,623**	**4,450**	**4,450**	**0**

L. Permanent Positions by Grade

Department of Homeland Security
Federal Emergency Management Agency
Disaster Relief Fund

Permanent Positions by Grade

Grades and Salary Range	FY 2015 Revised Enacted Pos.	FY 2016 Enacted Pos.	FY 2017 Request Pos.	FY 2016 to FY 2017 Change Total
GS-15	55	55	55	-
Total Permanent Positions	**55**	**55**	**55**	**-**
Unfilled Positions EOY	-	-	-	-
Total Permanent Employment EOY	55	55	55	-
Headquarters	55	55	55	-
Total, Disaster Relief Fund - CAS:	**55**	**55**	**55**	**-**
Full Time Equivalents	**5,068**	**4,899**	**4,905**	**6**
Average ES Salary	-	-	-	-
Average GS Salary	150,502	150,502	150,502	-
Average Grade	15	15	15	-

M. Changes in Full Time Employment

	FY 2015	FY 2016	FY 2017
Increases			
Increase in FTE	-	-	6
Decreases			
Decrease in FTE	-	(169)	-
Year End Actuals/Estimated FTEs:	**5,068**	**4,899**	**4,905)**

Department of Homeland Security

Federal Emergency Management Agency

National Flood Insurance Fund

Fiscal Year 2017

Congressional Justification

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Schedule I – Executive Summary of Appropriation Exhibits

A. Summary of Budget Estimates by Program Project Activity- Appropriation Level

Federal Emergency Management Agency
National Flood Insurance Fund

Summary of FY 2017 Budget Estimates by Program Project Activity

FY 2017 Request
(Dollars in Thousands)

	FY 2015			FY 2016			FY 2017			Increase(+) or Decrease(-) for FY 2017		
Program Project Activity	**Revised Enacted**			**Enacted**			**Request**			**Total Changes**		
	POS	**FTE**	**Amount**	**POS**	**FTE**	**Amount**	**POS**	**FTE**	**Amount**	**POS**	**FTE**	**Amount**
Flood Mitigation and Flood Insurance Operations - Legacy	124	89	$32,359	124	124	$23,706	-	-	-	(124)	(124)	($23,706)
Floodplain Management and Flood Mapping - Legacy	217	175	$155,535	217	205	$157,492	-	-	-	(217)	(205)	($157,492)
National Flood Insurance Fund - Mandatory - Legacy	42	33	$4,471,099	42	42	$4,103,479	-	-	-	(42)	(42)	($4,103,479)
National Flood Insurance Reserve Fund - Legacy	-	-	$522,060	-	-	$934,965	-	-	-	-	-	($934,965)
Procurement, Construction and Improvements	**-**	**-**	**-**	**-**	**-**	**-**	**12**	**12**	**$20,321**	**12**	**12**	**$20,321**
Mandatory	-	-	-	-	-	-	12	12	$20,321	12	12	$20,321
Federal Assistance	**-**	**-**	**-**	**-**	**-**	**-**	**417**	**400**	**$6,134,158**	**417**	**400**	**$6,134,158**
FloodPlain Management and Flood Mapping	-	-	-	-	-	-	217	205	$168,363	217	205	$168,363
Flood Management	-	-	-	-	-	-	49	49	$13,436	49	49	$13,436
Mandatory	-	-	-	-	-	-	151	146	$4,957,545	151	146	$4,957,545
National Flood Insurance Reserve Fund	-	-	-	-	-	-	-	-	$994,814	-	-	$994,814
Total, National Flood Insurance Fund	**383**	**297**	**$5,181,053**	**383**	**371**	**$5,219,642**	**429**	**412**	**$6,154,479**	**46**	**41**	**$934,837**

[1]Reflects reprogrammings/transfers, as applicable, and actual FTE. This footnote applies to all FY 2015 Revised Enacted tables throughout the CJ Submission

I. Appropriation Overview

A. Mission Statement:

National Flood Insurance Fund

Established in the U.S. Treasury by the National Flood Insurance Act of 1968, the National Flood Insurance Fund (NFIF) is a premium and fee-generated fund that supports the National Flood Insurance Program (NFIP). The Act, as amended, authorizes the Federal Government to provide flood insurance on a national basis. It works to reduce the cost of flood damage on private and public structures through identifying, analyzing, and reducing flood risk. FEMA works to increase the NFIP policy base so that more people are indemnified by insurance for future flood losses, facilitating their recovery, rebuilding communities, and reducing costs to taxpayers. By encouraging and supporting mitigation efforts, FEMA leads our Nation in reducing the impact of all disasters.

National Flood Insurance Reserve Fund

Established in the U.S. Treasury by the Biggert-Waters Flood Insurance Reform Act of 2012 (BW-12), the National Flood Insurance Reserve Fund is funded through premiums that will be used to meet future obligations of the NFIP.

B. Budget Activities:

Homeowners Flood Insurance Affordability Act of 2014 (HFIAA) (P.L. 113-89)
Signed into law March 21, 2014, the HFIAA requires the establishment of the Flood Insurance Advocate. The law calls for FEMA to advocate for the fair treatment of policyholders, educate property owners, assist policyholders on procedural requirements with respect to mapping and mitigation, assist in developing Regional capacity, coordinating outreach and education with local officials and community leaders, and aiding potential policyholders in obtaining and verifying accurate and reliable flood insurance information. FEMA established an Interim Flood Insurance Advocate Office in December 22, 2014. Also, the new law lowers the recent rate increases from BW-12 on some policies, prevents some future rate increases, and implements a surcharge on all policyholders. The surcharge effective date was April 1, 2015.

Biggert-Waters Flood Insurance Reform Act of 2012 (P.L. 112-141)
BW-12 was signed into law July 6, 2012, to strengthen and improve the fiscal soundness of the NFIP. Prior to this Act, the NFIP was, by statute and design, not actuarially sound. At the time the Act was passed, the percentage of NFIP policyholders that paid premiums that were actuarially sound was only around 80-percent, leaving the remaining 20-percent of the policyholders paying premiums that were less than actuarially sound, including many of the NFIP's highest risk structures. This resulted in subsidized

premiums. The level of the subsidy was set so that the combined aggregate premium of the actuarial and subsidized policyholders would at least cover a "typical" loss year referred to as the "historical average non-catastrophic loss year." BW-12 improves fiscal soundness by phasing out subsidies and establishing a reserve fund to pay the expected future obligations of the flood insurance program.

Subsidy Phase Out

BW-12 phases out the subsidy for structures built before their flood risk was identified on a Flood Insurance Rate Map (FIRM). These structures are referred to as pre-FIRM structures. Subsidized premiums for pre-FIRM second homes, businesses, Severe Repetitive Loss properties, and substantially damaged or improved structures are to be increased 25-percent per year until the premiums are actuarially sound. Subsidies for primary residences may continue until the home is sold to a new owner or the policy is allowed to lapse. All new policies must pay full actuarial premiums.

NFIP Reserve Fund

BW-12 set the required fund balance of the NFIP Reserve Fund at one percent of the prior fiscal year's insurance in force. The NFIP is authorized to collect and deposit 7.5-percent of the Reserve Fund target through additional Reserve Fund charges until the required balance is achieved. The amounts in the Reserve Fund can be used for the payment of claims and claims handling expenses, as well as principal and interest payments on any outstanding Treasury loans.

Mapping Program

BW-12 established a Technical Mapping Advisory Council to review and recommend standards for mapping activities ensuring that the most accurate data be used for ongoing reviews, updates and maintenance of flood insurance rate maps.

The Department of Homeland Security (DHS) cannot present the account in separate budget justification documents similar to its presentation of the appropriation accounts in line with the Common Appropriations Structure (CAS) initiative. Therefore, DHS has mirrored the proposed CAS account types within NFIF as budget activities that represent the first level of Program, Project or Activity (PPA), each containing sub-PPAs.

PPA: Procurement, Construction and Improvements

FEMA requests $20.321 million of spending authority in FY 2017, 12 Positions, and 12 FTE to support Procurement, Construction and Improvements (PCI).

- Increase of $1.799 million to support the Insurance Operation's 4 current employees and 8 new employees supporting the Phoenix program.
- Decrease in FY 2017 of $3.116 million for expected Phoenix Program contract costs.

PPA: Federal Assistance

FEMA requests $6.134 billion in spending authority, which includes $181.799 million in discretionary authority and $5.952 billion in mandatory authority. Additionally, FEMA requests 417 positions and 400 FTE to support Federal Assistance (FA) activities.

- Increase of $0.165 million for the annualization of the 2016 pay raise.
- Increase of $0.497 million for the 2017 pay increase**.**
- Increase of 38 positions and FTE to support Superstorm Sandy reform efforts.
- Decrease of 5 FTE to adjust for increased Work-Year Cost.
- Increase of $206.010 million in anticipated collections.
- Increase of $18.512 million in anticipated return on investment.
- Increase of $668.316 million in borrowing authority.
- Decrease of $4.852 million in anticipated contributions to the Reserve Fund from surcharges.
- Increase of $46.189 million in anticipated contributions to the Reserve Fund.

B. FY 2016 to FY 2017 Budget Change - Appropriation Level

Department of Homeland Security
Federal Emergency Management Agency (CAS-FEMA)
National Flood Insurance Fund

FY 2016 to FY 2017 Budget Change
(Dollars in Thousands)

	Pos.	FTE	Amount
FY 2015 Revised Enacted	**383**	**297**	**$5,181,053**
FY 2016 Enacted	**383**	**371**	**$5,219,642**
Adjustments-to-Base			
Transfers to and from other accounts:			
Transfer to CAS PPA Structure - FA PPA - Flood Mgmt Sub-PPA	(124)	(124)	($23,706)
Transfer to CAS PPA Structure - FA PPA - Floodplain Mgmt and Fld Map Sub-PPA	(217)	(205)	($157,492)
Transfer to CAS PPA Structure - FA PPA - Mandatory Sub-PPA	(42)	(42)	($4,081,841)
Transfer to CAS PPA Structure - PCI PPA - Mandatory Sub-PPA	-	-	($21,638)
Transfer to CAS PPA Structure - FA PPA - Reserve Fund Sub-PPA	-	-	($934,965)
Transfer From Legacy PPA Structure - Legacy Flood Mgmt and Insurance Ops PPA	124	124	$23,706
Transfer From Legacy PPA Structure - Floodplain Mgmt and Flood Mapping PPA	217	205	$157,492
Transfer From Legacy PPA Structure - Mandatory Legacy PPA	42	42	$4,081,841
Transfer From Legacy PPA Structure - Legacy Mandatory PPA	-	-	$21,638
Transfer From Legacy PPA Structure - Mandatory PPA (Reserve)	-	-	$934,965
Adjustment to Phoenix Funding Levels – to FA-Mandatory	-	-	($3,116)
Adjustment to Phoenix Funding Levels – from PCI-Mandatory	-	-	$3,116
Sandy Reform Realignment to support Phoenix – to PCI-Mandatory	-	-	($1,799)
Sandy Reform Realignment to support Phoenix – from FA-Mandatory	-	-	$1,799
Realignment of Phoenix support personnel – to PCI-Mandatory	(4)	(4)	-
Realignment of Phoenix support personnel – from FA-Flood Mgmt	4	4	-
Sandy Reform Realignment – to FA-Fldplain Mgmt & Fld Mapping	-	-	($700)
Sandy Reform Realignment – from FA- Fld Mgmt	-	-	$700
Sandy Reform Realignment – to FA- Fldplain Mgmt & Fld Mapping	-	-	($9,798)
Sandy Reform Realignment – from FA-Flood Mgmt	-	-	$9,798
Sandy Reform Realignment – to FA-Mandatory	(71)	(71)	-
Sandy Reform Realignment – from FA-Fld Mgmt	71	71	-
Total Transfers	**-**	**-**	-
Increases			
Annualization of 2016 Pay Raise	-	-	$165
2017 Pay Raise	-	-	$497
Anticipated Contributions to Reserve	-	-	$46,189
Anticipated Return on Investment	-	-	$18,512
Adjustment to Borrowing Authority Request	-	-	$668,316
Increase in Anticipated Collections	-	-	$206,010
Total, Increases	**-**	**-**	**$939,689**
Decreases			
Adjustment to FTE for Increased Work-Year Cost	-	(5)	-
Anticipated Decrease of Contributions to Reserve from Surcharge	-	-	($4,852)
Total, Decreases	**-**	**(5)**	**($4,852)**
Total Adjustments-to-Base	**-**	**(5)**	**$934,837**
FY 2017 Current Services	**383**	**364**	**$6,154,479**
Program Changes			
Superstorm Sandy Reform – PCI PPA	8	8	-
Superstorm Sandy Reform – FA PPA	38	38	-
FY 2017 Request	**429**	**412**	**$6,154,479**
FY 2016 to FY 2017 Change	**46**	**41**	**$934,837**

C. FY 2017 Investment Summary - Appropriation Level

Department of Homeland Security
Federal Emergency Management Agency
National Flood Insurance Fund
FY 2017 Investment Summary- Appropriation Level
(Dollars in Thousands)

Investment Name	PPA(s)	FY 2015 Revised Enacted	FY 2016 Enacted	FY 2017 Request
Risk Mapping	Federal Assistance	$121,272	$121,389	$133,717
IT S&S Contract Costs (Legacy IT System)	Federal Assistance	$67,425	$47,055	$46,292
NFIP IT Phoenix	Procurement, Construction and Improvements	$21,095	$22,338	$20,321
Total		**$209,792**	**$190,782**	**$200,330**

D. FY 2016 to FY 2017 Budget Change- PPA Level

Department of Homeland Security
Federal Emergency Management Agency
National Flood Insurance Fund
Flood Management and Flood Insurance Operations (Discretionary) - Legacy
FY 2016 to FY 2017 Budget Change- PPA Level
(Dollars in Thousands)

Flood Mitigation and Flood Insurance Operations - Legacy		Positions	FTE	Amount
Prior Year	**FY 2015 Revised Enacted**	**124**	**89**	**32,359**
Base	**FY 2016 Enacted**	**124**	**124**	**23,706**
	Transfer to CAS PPA Structure - FA PPA - Flood Mgmt Sub-PPA	(124)	(124)	(23,706)
Budget Year	**FY 2017 Request**	**-**	**-**	**-**
	Total Change from FY 2016 to FY 2017	**(124)**	**(124)**	**(23,706)**

PPA DESCRIPTION: Flood Management and Flood Insurance Operations (Discretionary) - Legacy

FEMA does not request any funding, positions or FTEs in FY 2017 in the Flood Management and Flood Insurance Operations - Legacy PPA. In FY 2017, 124 positions, 124 FTE and $23.706 million was transferred to the Federal Assistance PPA – Flood Management Sub-PPA.

Department of Homeland Security
Federal Emergency Management Agency
National Flood Insurance Fund
Floodplain Management and Flood Mapping (Discretionary) - Legacy
FY 2016 to FY 2017 Budget Change- PPA Level
(Dollars in Thousands)

Floodplain Management and Flood Mapping - Legacy		Positions	FTE	Amount
Prior Year	**FY 2015 Revised Enacted**	**217**	**175**	**155,535**
Base	**FY 2016 Enacted**	**217**	**205**	**157,492**
	Transfer to CAS PPA Structure - FA PPA - Floodplain Mgmt and Fld Map Sub-PPA	(217)	(205)	(157,492)
Budget Year	**FY 2017 Request**	**-**	**-**	**-**
	Total Change from FY 2016 to FY 2017	**(217)**	**(205)**	**(157,492)**

PPA DESCRIPTION: Floodplain Management and Flood Mapping (Discretionary) - Legacy

FEMA does not request any funding, positions or FTEs in FY 2017 in the Floodplain Management and Flood Mapping - Legacy PPA. In FY 2017, 217 positions, 205 FTE and $157.492 million was transferred to the Federal Assistance PPA – Flood Management Sub-PPA.

Department of Homeland Security
Federal Emergency Management Agency
National Flood Insurance Fund
National Flood Insurance Fund - Mandatory - Legacy
FY 2016 to FY 2017 Budget Change- PPA Level
(Dollars in Thousands)

National Flood Insurance Fund - Mandatory - Legacy		Positions	FTE	Amount
Prior Year	**FY 2015 Revised Enacted**	**42**	**33**	**4,471,099**
Base	**FY 2016 Enacted**	**42**	**42**	**4,103,479**
	Transfer to CAS PPA Structure - FA PPA - Mandatory Sub-PPA	(42)	(42)	(4,081,841)
	Transfer to CAS PPA Structure - PCI PPA - Mandatory Sub-PPA	-	-	(21,638)
Budget Year	**FY 2017 Request**	**-**	**-**	**-**
	Total Change from FY 2016 to FY 2017	**(42)**	**(42)**	**(4,103,479)**

PPA DESCRIPTION: National Flood Insurance Fund - Mandatory - Legacy

FEMA does not request any funding, positions or FTEs in FY 2017 in the Mandatory - Legacy PPA. In FY 2017, 42 positions, 42 FTE and $4.082 billion transferred to the Federal Assistance PPA – Mandatory Sub-PPA and $21.638 million transferred to the Procurement, Construction and Improvements PPA – Mandatory Sub-PPA.

Department of Homeland Security
Federal Emergency Management Agency
National Flood Insurance Fund
National Flood Insurance Reserve Fund - Legacy
FY 2016 to FY 2017 Budget Change- PPA Level
(Dollars in Thousands)

National Flood Insurance Reserve Fund - Legacy		Positions	FTE	Amount
Prior Year	**FY 2015 Revised Enacted**	**-**	**-**	**522,060**
Base	**FY 2016 Enacted**	**-**	**-**	**934,965**
	Transfer to CAS PPA Structure - FA PPA - Reserve Fund Sub-PPA	-	-	(934,965)
Budget Year	**FY 2017 Request**	**-**	**-**	**-**
	Total Change from FY 2016 to FY 2017	**-**	**-**	**(934,965)**

PPA DESCRIPTION: National Flood Insurance Reserve Fund - Legacy

FEMA does not request any funding, positions or FTEs in FY 2017 in the National Flood Insurance Reserve Fund - Legacy PPA. In FY 2017, $934.965 million transferred to the Federal Assistance PPA – National Flood Insurance Reserve Fund Sub-PPA.

Department of Homeland Security
Federal Emergency Management Agency
National Flood Insurance Fund
Procurement, Construction and Improvements
FY 2016 to FY 2017 Budget Change- PPA Level
(Dollars in Thousands)

Procurement, Construction and Improvements		Positions	FTE	Amount
Prior Year	**FY 2015 Revised Enacted**	-	-	-
Base	**FY 2016 Enacted**	-	-	-
	Transfer From Legacy PPA Structure - Legacy Mandatory PPA	-	-	21,638
	Realignment of Phoenix support personnel – from FA-Flood Mgmt	4	4	-
	Adjustment to Phoenix Funding Levels - to FA-Mandatory	-	-	(3,116)
	Sandy Reform Realignment - from FA-Mandatory	-	-	1,799
Program Changes	Superstorm Sandy Reform – PCI PPA	8	8	-
Budget Year	**FY 2017 Request**	**12**	**12**	**20,321**
	Total Change from FY 2016 to FY 2017	**12**	**12**	**20,321**

PPA DESCRIPTION: Procurement, Construction and Investments PPA

The National Flood Insurance Program (NFIP) Information Technology (IT) Systems and Services Program provides the underlying IT support for the Flood Insurance Program. Phoenix, the new NFIP information technology system, will replace the 30-year old NFIP legacy system and provide real-time information on flood insurance transactions and other critical processes.

ACTIVITY FUNDING DETAIL:

FEMA requests $20.321 million in Mandatory offsetting collections, 12 positions, and 12 FTE to the PC&I PPA – Mandatory Sub-PPA activity.

Activity/Office	FY 2015* Revised Enacted	FY 2016* Enacted	FY 2017 Request	FY 2017 +/- FY 2016
Procurement, Construction & Improvements PPA				
Non-Pay	$20,402	$21,638	$18,522	($3,116)
Salaries and Benefits	$693	$700	$1,799	$1,099
Total Budget	***$21,095***	***$22,338***	***$20,321***	***($2,017)***
Positions	4	4	12	8
Full Time Equivalents	2	4	12	8

**Notional CAS-like PPA structure*

In FY 2017, FEMA requests to realign the Insurance Operations positions and FTE from Discretionary to mandatory authority in support of the Superstorm Sandy reform effort to better align the staff that support insurance operations with the interrelated programmatic funding. The funding to support the program is realigned from the FA PPA - Mandatory Sub-PPA.

Department of Homeland Security
Federal Emergency Management Agency
Procurement, Construction and Improvements
Justification of Program Changes
(Dollars in Thousands)

Program Increase 1: Phoenix Project
PPA: Procurement, Construction and Improvements
Program Increase: FTP 8, FTE 8, Dollars $0

Funding Profile

	FY 2015 Revised Enacted			FY 2016 Enacted			FY 2017 Request		
	FTP	FTE	Dollars ($000)	FTP	FTE	Dollars ($000)	FTP	FTE	Dollars ($000)
Program Increase: Superstorm Sandy Reform - NFIF - Mandatory - PCI							8	8	-
Subtotal, Program Increases							**8**	**8**	**-**
Total Request							**8**	**8**	**-**

Description of Item:

An increase of 8 positions and 8 FTE to support the Phoenix project, a multiyear initiative to modernize the NFIP technology system. Funding will be realigned from the FA PPA – Mandatory Sub-PPA to the PC&I PPA – Mandatory Sub-PPA to support the increase in personnel.

Justification:

The NFIP requires 8 additional positions and FTE within the PC&I PPA to ensure that the Phoenix project takes the lessons learned through the Superstorm Sandy Review process into account. Additional resources are required to transition the program into a more customer and policyholder centric operation, addressing issues such as the time lag in financial reporting, lack of data on claims being paid, policies and customer data trends.

Impacts on Performance:

These new positions will support the Phoenix project and ensure the alignment of the project with NFIP wide reform efforts.

Department of Homeland Security
National Flood Insurance Fund
Procurement, Construction and Improvements
Cost Drivers (Pay & Benefits) - PPA Level (Excludes Reimbursables) ($000s)

	FY 2015 Revised Enacted[1]				FY 2016 Enacted				FY 2017 Request				Delta FY 2016 - 2017			
	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost
Civilian Total	4	2	693	$347	4	4	$700	$175	12	12	$1,799	$149	8	8	$1,099	($26)

[1]Reflects reprogrammings/transfers, as applicable, and actual FTE. The use of FY 2015 Actuals and FY2015 Revised Enacted Budgetary Resources in the CAS Pay Cost Drivers Exhibit may reflect a higher average FTE Cost than represented in the FY 2016 Enacted or FY 2017 Request average cost columns.

NARRATIVE EXPLANATION OF CHANGES – PAY COST DRIVERS:

- **FTE Change FY 2016-2017:**
  - The Superstorm Sandy claims review process has demonstrated that Federal Insurance & Management Administration (FIMA) must become a more customer centric organization. In order to do that the NFIP must have additional resources to: review insurance claims and appeals; better manage the write-your-own companies and direct servicing agent; analyze policy holder data, improve customer experience and better market the program; improve and manage NFIP information technology systems; conduct actuarial and catastrophic modeling; and better anticipate fluctuations in the National Flood Insurance Fund. The 8 new positions & FTE FIMA has in PC&I will be used to make the necessary improvements in these areas and will leave the program less vulnerable to another situation like that arising from Superstorm Sandy by improving the ability to react to changes/trends in customer response and interaction.
  - 4 FTE transfer from legacy to common appropriation structure.
- **Average Cost Change FY 2016-2017**: FY 2017 personnel compensation and benefit figures and average costs at the appropriation level are in line with estimated average work year costs. The FY 2017 net decrease in average cost is a combination of realigning resources to more accurately reflect the estimated work year cost in 2017, the FY 2017 pay increase and annualization of FY 2016 pay increase.
- **Bonuses and Performance Awards:** In line with prior year awards guidance provided by the Office of Personnel Management, FEMA allocates .96% of aggregate salary for bonuses and performance awards of Non-SES employees

Department of Homeland Security
Federal Emergency Management Agency (CAS-FEMA)
Procurement, Construction and Improvements

Cost Drivers (Non-Pay) - PPA Level ($000s)

NFIF - Procurement, Construction and Improvements*	2015 Revised Enacted**	2016 Enacted**	2017 Request	FY 2016 to FY 2017 Change
FY 2017 Non- Pay Cost Drivers (greatest-least)				
Contract - NFIP IT Phoenix	$20,402	$21,638	$18,522	($3,116)
Total	**$20,402**	**$21,638**	**$18,522**	**($3,116)**

*FY 2015, 2016 and 2017 includes carryover funding.
**FY 2015 and FY 2016 funding levels reflect the CAS Structure and are for comparison purposes only.

NARRATIVE EXPLANATION OF CHANGES – NON-PAY COST DRIVERS:

- **Contract – NFIP IT Phoenix –** Adjustment in FY 2017 for expected Phoenix Program contract costs. The Phoenix solution will serve primarily as a data validating, processing, modeling, and reporting system. The reporting component is essential to notifying WYOs, the DSA, and the NFIP Vendors of errors that need to be fixed. Reporting is also critical to maintaining the overall financial soundness of the program and to creating auditable financial reports. The modeling aspects of the solution are critical to allowing the NFIP actuaries be able to evaluate all policies and historical losses combined with other external variables (e.g., elevation levels, flood boundaries, inflation indexes) such that they can accurately rate the flood risk for a given property or geographical area. The modeling functionality is also important to NFIP underwriters who may want to estimate the impact of a proposed modification to the standard application before actually implementing it (e.g., determining how many policies will actually be impacted by a proposed change).

FY 2016 to FY 2017 Budget Change- PPA Level

Department of Homeland Security
Federal Emergency Management Agency
National Flood Insurance Fund
Federal Assistance
FY 2016 to FY 2017 Budget Change- PPA Level
(Dollars in Thousands)

Federal Assistance		Positions	FTE	Amount
Prior Year	**FY 2015 Revised Enacted**	-	-	-
Base	**FY 2016 Enacted**	-	-	-
	Transfer From Legacy PPA Structure - Legacy Flood Mgmt and Insurance Ops PPA	124	124	$23,706
	Transfer From Legacy PPA - Floodplain Mgmt and Flood Mapping PPA	217	205	$157,492
	Transfer from Legacy PPA Structure - Mandatory Legacy PPA	42	42	$4,081,841
	Transfer from Legacy PPA Structure - Mandatory PPA (Reserve)	-	-	$934,965
	Adjustment to Phoenix Funding Levels – from PCI-Mandatory	-	-	$3,116
	Realignment to support Phoenix – to PCI-Mandatory	-	-	($1,799)
	Realignment of Phoenix support personnel - to PCI-Mandatory	(4)	(4)	-
	Sandy Reform Realignment – to FA- Fldplain Mgmt & Fld Mapping	-	-	($700)
	Sandy Reform Realignment – from FA- Fld Mgmt	-	-	$700
	Sandy Reform Realignment - to FA- Floodplain Mgmt and Fld Mapping	-	-	($9,798)
	Sandy Reform Realignment – from FA-Flood Mgmt	-	-	$9,798
	Sandy Reform Realignment - to FA-Mandatory	(71)	(71)	-
	Sandy Reform Realignment - from FA-Flood Mgmt	71	71	-
	Annualization of 2016 Pay Raise	-	-	$165
	2017 Pay Raise	-	-	$497
	Anticipated Contributions to Reserve	-	-	$46,189
	Anticipated Return on Investment	-	-	$18,512
	Adjustment to Borrowing Authority Request	-	-	$668,316

Federal Assistance		Positions	FTE	Amount
	Increase in Anticipated Collections	-	-	$206,010
	Adjustment to FTE for Increased Work-Year Cost	-	(5)	-
	Anticipated Decrease of Contributions to Reserve from Surcharge	-	-	($4,852)
Program Changes	Superstorm Sandy Reform – FA PPA	38	38	-
Budget Year	**FY 2017 Request**	**417**	**400**	**6,134,158**
	Total Change from FY 2016 to FY 2017	**417**	**400**	**6,134,158**

PPA DESCRIPTION:

Funding for the NFIF is derived from offsetting collections from two primary sources:

- Flood insurance premiums, which are used to pay claims and flood-related grants, and provides funding to support the operating and administrative costs associated with maintaining the program.
- Policy fee income, also paid by flood insurance policy holders, which supports floodplain management, flood mapping, flood insurance operations, and NFIF management.

The National Flood Insurance Fund reduces the Nation's vulnerability to flood hazards and accelerate recovery from floods, mitigate future flood losses, and reduce personal and national costs of flood disasters. FEMA identifies and maps areas of flood risk, promotes the appropriate management of the flood plain, and provides insurance for properties insured by the National Flood Insurance Program. Each of these sub-programs play a vital role and are essential to the issuance of flood insurance, the payment of claims and providing of grants.

ACTIVITY FUNDING DETAIL:

FEMA requests $6.134 billion, 417 positions, and 400 FTE to support NFIF – Federal Assistance PPA activities, which include both Discretionary and Mandatory offsetting collections.

Activity/Office	FY 2015* Revised Enacted	FY 2016* Enacted	FY 2017 Request	FY 2017 +/- FY 2016
Federal Assistance PPA				
Total Budget	***$5,159,958***	***$5,197,304***	***$6,134,158***	**$936,854**
Positions	379	379	417	38
Full Time Equivalents	295	367	400	33

**Notional CAS-like PPA structure*

SUB-PPA: Floodplain Management and Flood Mapping ($168.363 million)

FEMA is charged with maintaining reliable flood hazard information to support the NFIP, where the U.S. Government has an exposure of more than $1.2 trillion. FEMA's efforts to identify and map areas of flood risk occur through the Risk Mapping, Assessment, and Planning (MAP) Program. The flood hazard maps determine who is required to purchase flood insurance and the data used to produce the flood hazard maps are

used to price the insurance. Flood insurance helps minimize financial impacts to property owners and reduce tax payer costs from flood damages across the nation, and appropriate pricing of flood insurance supports the financial strength of the NFIP. The NFIP mapping efforts also provide communities and citizens with information needed to plan for and take actions to reduce the risk of loss of life and property from flooding. Once FEMA provides a community with the flood hazard information, the community is required to adopt a floodplain management ordinance that meets or exceeds the minimum NFIP requirements. FEMA's floodplain management efforts include monitoring communities to ensure that they have adopted an ordinance that meets or exceeds the minimum NFIP floodplain management criteria and to ensure that they are effectively enforcing their ordinance. While the NFIP floodplain management criteria are administered by States and communities through their floodplain management regulations, FEMA's role is to provide technical assistance and to monitor communities for compliance with the minimum NFIP criteria. FEMA's mission to identify and map areas of flood risk is essential to the issuance of flood insurance and the payment of claims and plays a vital role towards reducing the Nation's vulnerability to flood hazards, accelerating recovery from floods, mitigating future flood losses, and reducing personal and national costs of flood disasters.

The increase from prior year services is a result of the current year pay raise and annualization of the prior year pay raise. FEMA will continue to fulfill this mission which includes meeting the statutory requirement to review the flood hazards maps on a five-year cycle and address flood hazard data update needs over time. Additionally, FEMA will continue to implement the requirements of the Biggert-Waters Flood Insurance Reform Act of 2012 and the Homeowner Flood Insurance Affordability Act of 2014.

Activity/Office	FY 2015* Revised Enacted	FY 2016* Enacted	FY 2017 Request	FY 2017 +/- FY 2016
FA PPA - Floodplain Management and Flood Mapping Sub-PPA				
Floodplain Management	$34,263	$34,510	$34,646	$136
Flood Mapping	$121,272	$122,982	$133,717	$10,735
Total Budget	***$155,535***	***$157,492***	***$168,363***	***$10,871***
Positions	217	217	217	0
Full Time Equivalents	175	205	205	0

**Notional CAS-like PPA structure*

FEMA requests $168.363 million, along with and 217 positions, and 205 FTE in discretionary offsetting collections to support Floodplain Management and Flood Mapping Sub-PPA activities.

- Increase of $.093 million for the annualization of the 2016 pay raise.
- Increase of $.280 million for the 2017 pay increase.
- Increase of $10.507 million to support Floodplain Management and Flood Mapping Sub-PPA activities

Activity/Office	FY 2015* Revised Enacted	FY 2016* Enacted	FY 2017 Request	FY 2017 +/- FY 2016
FA PPA - Floodplain Management Sub-PPA				
Non-Pay	$18,068	$18,033	$18,033	$0
Salaries and Benefits	$16,195	$16,477	$16,613	$136
Total Budget	***$34,263***	***$34,510***	***$34,646***	***$136***
Positions	136	136	136	0
Full Time Equivalents	110	124	124	0

**Notional CAS-like PPA structure*

Activity/Office	FY 2015* Revised Enacted	FY 2016* Enacted	FY 2017 Request	FY 2017 +/- FY 2016
FA PPA - Flood Mapping Sub-PPA				
Non-Pay	$110,033	$111,605	$122,112	$10,507
Salaries and Benefits	$11,239	$11,377	$11,605	$228
Total Budget	***$121,272***	***$122,982***	***$133,717***	***$10,735***
Positions	81	81	81	0
Full Time Equivalents	65	81	81	0

**Notional CAS-like PPA structure*

SUB-PPA: Flood Management ($13.436 million)

Flood Management supports the overall management of National Flood Insurance Fund. FEMA makes consumer-oriented flood insurance available to reduce the Nation's vulnerability to flood hazards and accelerate recovery from floods, mitigate future flood losses, and reduce personal and national costs of flood disasters. FEMA administers NFIP so that insurance and floodplain management policies and operations are mutually reinforcing. Further, FEMA works to increase the NFIP policy base so that more people are indemnified by insurance from future financial losses from flood, facilitating their recovery, rebuilding communities, and reducing costs to taxpayers.

Activity/Office	FY 2015* Revised Enacted	FY 2016* Enacted	FY 2017 Request	FY 2017 +/- FY 2016
FA PPA - Flood Management Sub-PPA				
Non-Pay	$15,064	$6,811	$6,811	$0
Salaries and Benefits	$16,602	$16,195	$6,625	($9,570)
Total Budget	***$31,666***	***$23,006***	***$13,436***	***($9,570)***
Positions	120	120	49	-71
Full Time Equivalents	87	120	49	-71

**Notional CAS-like PPA structure*

FEMA requests $13.436 million, along with and 49 positions, and 49 FTE in discretionary offsetting collections to support Flood Management - FA activities.

- Realignment of $9.798 million to support Floodplain Management and Flood Mapping Activities
- Increase of $.057 million for the annualization of the 2016 pay raise.

- Increase of $.171 million for the 2017 pay increase.
- 71 positions and FTE in FY 2017 were realigned from the Flood Management Sub-PPA to the Mandatory Sub-PPA for Insurance Operations salaries & expenses.

SUB-PPA: NFIF – Mandatory – FA ($4.958 billion)

NFIP Management is the overall management and oversight of the NFIP operations including the selling and servicing of policies provided by the NFIP WYO partners. FEMA and its partners apply insurance industry concepts and methods to provide consumer-oriented flood insurance to accelerate recovery from floods. FEMA administers the NFIP so that insurance and floodplain management policies and operations are mutually reinforcing.

Activity/Office	FY 2015* Revised Enacted	FY 2016* Enacted	FY 2017 Request	FY 2017 +/- FY 2016
FA PPA - Mandatory Sub-PPA (All)				
Non-Pay	$3,490,697	$3,385,963	$3,593,351	$207,388
Anticipated Borrowing Authority	$960,000	$695,878	$1,364,194	$668,316
Total Budget	***$4,450,697***	***$4,081,841***	***$4,957,545***	**$875,704**
Positions	42	42	151	109
Full Time Equivalents	33	42	146	104

**Notional CAS-like PPA structure*

FEMA requests $4.958 billion in mandatory offsetting collections, 151 positions and 146 FTE to support National Flood Insurance Fund – Mandatory – Federal Assistance activities.

- Increase of $668.316 million in borrowing authority.
- Increase of $206.010 million in anticipated collections.
- Increase of $0.015 million for the annualization of the 2016 pay raise.
- Increase of $0.046 million for the 2017 pay increase**.**
- Increase of 38 positions and FTE to support Superstorm Sandy reform effort.
- Decrease of 5 FTE to adjust for increased Work-Year Cost.
- 71 positions and FTE in FY 2017 were realigned from the Flood Management Sub-PPA to the Mandatory Sub-PPA for Insurance Operations salaries & expenses activities.

Flood Related Grants

The Flood Mitigation Assistance (FMA) program is a key component of the business strategy to reduce the risk associated with flood events through grants. On average, each dollar spent on Flood Mitigation grant activities reflects approximately $2 dollars in future losses avoided.

Funding in FY 2017 will further important loss reduction measures such as the acquisition and demolition of flood prone structures, elevation of homes above expected flood levels, and construction of minor drainage projects to reduce the impact of storms. These efforts support the strategic priority of enabling national disaster risk reduction through incentivizing investments to manage current and future risk within communities.

Activity/Office	FY 2015* Revised Enacted	FY 2016* Enacted	FY 2017 Request	FY 2017 +/- FY 2016
FA PPA - Mandatory Sub-PPA (Flood Related Grants)	$150,000	$175,000	$175,061	$61
Total Budget	***$150,000***	***$175,000***	***$175,061***	***$61***
Positions	32	32	32	0
Full Time Equivalents	22	32	29	-3

**Notional CAS-like PPA structure*

Operating Expenses & Flood Insurance Operations

FEMA provides for management and oversight of the insurance component of NFIP. This includes the development and oversight of insurance coverage policy and regulations; analysis and evaluation of insurance risks and claims data used to determine premium rates; and oversight of insurance companies that write flood insurance policies and adjust claims. It also includes crosscutting activities such as the Community Rating System (CRS) program, a Federal incentive program that rewards communities that take action above and beyond the minimum NFIP standards to reduce flood losses in a post-disaster environment.

Flood Insurance Operations provides for all aspects of managing the insurance program including: (1) development and oversight of insurance coverage policy and regulations; (2) analysis and evaluation of insurance risks and claims data used to determine actuarial premium rates; and (3) oversight of insurance companies that write flood insurance policies and adjust claims.

Activity/Office	FY 2015* Revised Enacted	FY 2016* Enacted	FY 2017 Request	FY 2017 +/- FY 2016
FA PPA - Mandatory Sub-PPA (Operating Expenses & Insurance Operations)				
Non-Pay	$136,000	$132,000	$132,000	$0
Salaries and Benefits	$0	$0	$15,042	$15,042
Total Budget	***$136,000***	***$132,000***	***$147,042***	***$15,042***
Positions	0	0	109	109
Full Time Equivalents	0	0	107	107

**Notional CAS-like PPA structure*

In FY 2017, FEMA requests to realign the Insurance Operations positions and FTE from Discretionary to mandatory authority in support of the Superstorm Sandy reform effort to better align the staff that support insurance operations with the interrelated programmatic funding. The funding to support the program is realigned within the Mandatory Sub-PPA.

Flood Insurance Advocate

The Office of the Flood Insurance Advocate advocates for the fair treatment of policyholders and property owners by providing education and guidance on all aspects of the NFIP, identifying trends affecting the public, and making recommendations for program improvements to FEMA leadership.

Activity/Office	FY 2015* Revised Enacted	FY 2016* Enacted	FY 2017 Request	FY 2017 +/- FY 2016
FA PPA - Mandatory Sub-PPA (Flood Insurance Advocates Office)				
Non-Pay	$3,700	$3,700	$3,700	$0
Salaries and Benefits	$1,300	$1,300	$1,300	$0
Total Budget	***$5,000***	***$5,000***	***$5,000***	***$0***
Positions	10	10	10	0
Full Time Equivalents	10	10	10	0

**Notional CAS-like PPA structure*

In line with prior year enacted appropriations the Flood Insurance advocates office has been funded at $5.000 million under the Mandatory Sub-PPA.

SUB-PPA: National Flood Insurance Reserve Fund ($994.814 million)

Established in December 2012, the purpose of the National Flood Insurance Reserve Fund is to meet the future obligations of the NFIP. These obligations include paying claims, claims adjustment expenses, and repayment of any outstanding debt owed to the U.S. Treasury under section 1309(a) of 42 U.S.C. § 4016. BW-12 required FEMA to establish this fund in the U.S. Treasury and also authorized FEMA to increase or decrease assessments to ensure the Reserve Fund is credited with a balance equal to one percent of the sum of the total potential loss exposure of all outstanding flood insurance policies in force in the prior fiscal year or a higher amount that the Flood Insurance Administrator determines appropriate. It is used routinely to return funds to the U.S. Treasury to pay off federal debt under section 1309(a) [42 U.S.C. § 4016]. It is only used to pay claims in the event of a major disaster that depletes available balances within the overall NFIF Appropriation.

Activity/Office	FY 2015* Revised Enacted	FY 2016* Enacted	FY 2017 Request	FY 2017 +/- FY 2016
FA PPA - Reserve Fund Sub-PPA				
Contributions	$522,060	$922,927	$964,264	$41,337
Interest	$0	$12,038	$30,550	$18,512
Total Budget	***$522,060***	***$934,965***	***$994,814***	***$59,849***

**Notional CAS-like PPA structure*

- Increase of $46.189 million in anticipated contributions to Reserve.
- Increase of $18.512 million in anticipated return on investment.
- Decrease of $4.852 million in anticipated contributions to Reserve from surcharge.

Department of Homeland Security
Federal Emergency Management Agency
Federal Assistance
Justification of Program Changes
(Dollars in Thousands)

Program Increase 1: Insurance Analytics, Marketing and Outreach; Insurance Claims Appeals; Manage Direct Services Agent contracts and Write Your Own Company Accounts
PPA: Federal Assistance
Program Increase: FTP 38, FTE 38, Dollars $0

Funding Profile

	FY 2015 Revised Enacted			FY 2016 Enacted			FY 2017 Request		
	FTP	FTE	Dollars ($000)	FTP	FTE	Dollars ($000)	FTP	FTE	Dollars ($000)
Program Increase: Superstorm Sandy Reform - NFIF - Mandatory - FA							38	38	-
Subtotal, Program Increases							**38**	**38**	**-**
Total Request							**38**	**38**	**-**

Description of Item:

An increase of 38 positions and 38 FTE to support four major functions: Insurance Analytics, Marketing and Outreach; Insurance Claims Appeals; Manage Direct Services Agent contracts and Write Your Own Company accounts. Funding will be realigned within the FA PPA – Mandatory Sub-PPA to support the increase in personnel.

Justification:

The National Flood Insurance Program (NFIP) faces unique challenges and opportunities. Experience with the Superstorm Sandy Claims review process has demonstrated that additional management and oversight of NFIP program operations along with improvement in the overall customer experience is required. Additional resources are required to transition the program into a more customer and policyholder centric operation.

Specifically, the NFIP requires 38 Positions within the FA PPA to provide additional personnel capacity and capability for data analytics, marketing, and outreach to improve the overall customer experience and market the NFIP product; process claims in a fair and efficient matter with empathy toward the policy holder; provide stronger oversight and management of the NFIP Direct Servicing Agent and write our own companies; manage risk and investment in the National Flood Fund and Reserve Fund; and provide actuarial and catastrophic modeling to assess financial impacts to the NFIP due to catastrophic events.

The new positions would be applied to the following functions:

Insurance Analytics, Marketing and Outreach:

- Manage NFIP customer experience
- Analyze NFIP policy holder's demographics, geography, and program experience; and analyze broader insurance market.
- Conduct outreach with policy holders and customers to understand how the NFIP customer experience can be improved throughout the program
- Market the NFIP to improve the policy base.

Insurance Claims Appeals:

- Process NFIP claims appeals in a fair and efficient manner with empathy toward the policy holder.

Manage DSA:

- Manage and oversee operation of the Direct Servicing Agent Contract.
- Coordinate the implementation of new program initiatives.
- Deliver a quality customer experience for the Direct Servicing Agent policyholders.

Manage WYO Accounts:

- Manage Write Your Own Company accounts to ensure fair and efficient servicing of policies.

NFIF Management:

- Forecast NFIF revenue and fee generation to anticipate NFIP operational budgets
- Manage the National Flood Insurance Fund and Reserve Fund
- Manage risks to the National Insurance Fund

Actuarial and Catastrophic Modeling:

- Lead actuarial and catastrophic modeling capability
- Forecast financial impacts due to catastrophic events to support the NFIP and other FEMA programs.

Impacts on Performance:

These new positions will provide the necessary management and oversight of the NFIP program operations and improve the overall customer experience, as identified throughout the Superstorm Sandy Claims review process.

Department of Homeland Security
National Flood Insurance Fund
Federal Assistance

Cost Drivers (Pay & Benefits) - PPA Level (Excludes Reimbursables) ($000s)

	FY 2015 Revised Enacted[1]				FY 2016 Enacted				FY 2017 Request				Delta FY 2016 - 2017			
	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost
Civilian Total	379	295	$49,190	$167	379	367	$49,345	$134	417	400	$55,242	$138	38	33	$5,897	$4

[1]Reflects reprogrammings/transfers, as applicable, and actual FTE. The use of FY 2015 Actuals and FY2015 Revised Enacted Budgetary Resources in the CAS Pay Cost Drivers Exhibit may reflect a higher average FTE Cost than represented in the FY 2016 Enacted or FY 2017 Request average cost columns.

NARRATIVE EXPLANATION OF CHANGES – PAY COST DRIVERS:

- **FTE Change FY 2016-2017:**
  - 71 FTE transfer from legacy PPA structure to the common appropriation-like PPA structure
  - The Superstorm Sandy claims review process has demonstrated that FIMA must become a more customer centric organization. In order to do that the NFIP must have additional resources to: review insurance claims and appeals; better manage the write-your-own companies and direct servicing agent; analyze policy holder data, improve customer experience and better market the program; improve and manage NFIP information technology systems; conduct actuarial and catastrophic modeling; and better anticipate fluctuations in the National Flood Insurance Fund. The 38 new FTEs will be used to make the necessary improvements in these areas and will leave the program less vulnerable to another situation like that arising from Superstorm Sandy.
  - In 2017 FTE was reduced by 5 to better align FTE and S&B dollars for a higher annual work year cost.
- **Average Cost Change FY 2016-2017**: FY 2017 salary, benefits and average costs at the appropriation level are in line with estimated average work year costs. The FY 2017 net increase in average cost is a combination of realigning resources to more accurately reflect the estimated work year cost in 2017, the FY 2017 pay increase and annualization of FY 2016 pay increase.
- **Bonuses and Performance Awards:** In line with prior year awards guidance provided by the Office of Personnel Management, FEMA allocates .96% of aggregate salary for bonuses and performance awards of Non-SES employees.

Department of Homeland Security
Federal Emergency Management Agency (CAS-FEMA)
Federal Assistance
Cost Drivers (Non-Pay) - PPA Level ($000s)

Appropriation - Federal Assistance*	2015 Revised Enacted**	2016 Enacted**	2017 Request	FY 2016 to FY 2017 Change
FY 2017 Non- Pay Cost Drivers (greatest-least)				
Grants - Flood Mitigation Assistance	$227,265	$234,988	$166,565	($68,423)
Contract - Production and Technical Services (PTS) Operations	$29,121	$32,259	$32,259	-
NFIP Direct Servicing Agent	$27,000	$27,000	$27,000	-
Contract - Customer and Data Services (CDS) Operations	$25,029	$24,583	$24,583	-
NFIP Systems and Services	$22,000	$22,000	$22,000	-
Total	**$330,415**	**$340,830**	**$272,407**	**($68,423)**

*FY 2015, 2016 and 2017 includes carryover funding.
**FY 2015 and FY 2016 funding levels reflect the CAS Structure and are for comparison purposes only.

NARRATIVE EXPLANATION OF CHANGES – NON-PAY COST DRIVERS:

- **Grants - Flood Mitigation Assistance (FMA):** The change to FMA grants is a result of increased funding availability in FY 2016 from prior year carryover. FY 2017 assumes that there will not be any additional FMA carryover from FY 2016. Flood Mitigation Assistance provides funding to States, Territories, federally-recognized tribes and local communities for projects that reduce or eliminate long-term risk of flood damage to structures insured under the NFIP. FMA funding is available for flood hazard mitigation projects, plan development and management costs.
- **Contract – Production and Technical Services (PTS) Operations:** These Architecture and Engineering contracts support the development of quality regulatory (flood maps) and non-regulatory flood risk identification and risk assessment products as well as providing technical support services, assistance and research Services.
- **NFIP Direct Servicing Agency:** This contract acts as a partner with FEMA as a full service contractor for the NFIP, permitting agents to purchase flood insurance policies for their clients directly with the Federal Government.
- **Contract – Customer and Data Services (CDS) Operations:** This contract provides IT hosting, IT systems development and maintenance, customer service (call center), and engineering library support.
- **NFIP Systems and Services:** This contract provides program management, insurance services, financial services, and System of Record (SOR) operations, maintenance, and support services of the legacy IT system environment – all in support of the NFIP and requiring an array of business and IT services.

E. Justification of Proposed Legislative Language

Department of Homeland Security
Federal Emergency Management Agency
National Flood Insurance Fund

For activities under the National Flood Insurance Act of 1968 (42 U.S.C. 4001 et seq.), the Flood Disaster Protection Act of 1973 (42 U.S.C. 4001 et seq.), [and] the Biggert-Waters Flood Insurance Reform Act of 2012 (Public Law 112 – 141, 126 Stat. 916), and the Homeowner Flood Insurance Affordability Act of 2014 (Public Law 113-89, 128 Stat. 1020): [$181,198,000]***$181,799,000***,[1] which shall remain available until September 30, [2017]***2018***[2], and shall be derived from offsetting amounts collected under section 1308(d) of the National Flood Insurance Act of 1968 (42 U.S.C. 4015(d)), of which [$25,299,000]***$13,436,000***[3] shall be available for salaries and expenses associated with flood management [and flood insurance operations] [4] and [$155,899,000]***$168,363,000***[5] shall be available for flood plain management and flood mapping: Provided further, That any additional fees collected pursuant to section 1308(d) of the National Flood Insurance Act of 1968 (42 U.S.C. 4015(d)) shall be credited as an offsetting collection to this account, to be available for flood plain management and flood mapping: Provided further, That, notwithstanding section 100236(d) of the Biggert Waters Flood Insurance Reform Act of 2012, funds are available until expended from the National Flood Insurance Fund as may be necessary to carry out section 100236: Provided further, That, in fiscal year [2016]***2017***[6], no funds shall be available from the National Flood Insurance Fund under section 1310 of that Act (42 U.S.C. 4017) in excess of:

(1) [$133,252,000]***$147,042,000***[7] for operating expenses ***and salaries and expenses associated with flood insurance operations***[8];
(2)$1,123,000,000 for commissions and taxes of agents;
(3) such sums as are necessary for interest on Treasury borrowings; and
(4) [$175,000,000]***$175,061,000***[9], which shall remain available until expended, for flood mitigation actions and for flood mitigation assistance under section 1366 of the National Flood Insurance Act of 1968 (42 U.S.C. 4104c), notwithstanding subsection (e) of section 1366 of the National Flood Insurance Act of 1968 (42 U.S.C. 4104c) and notwithstanding subsection (a)(7) of section 1310 of the National Flood Insurance Act of 1968 (42 U.S.C. 4017):
Provided further, That the amounts collected under section 102 of the Flood Disaster Protection Act of 1973 (42 U.S.C. 4012a) and section 1366(e) of the National Flood Insurance Act of 1968 shall be deposited in the National Flood Insurance Fund to supplement other amounts specified as available for section 1366 of the National Flood Insurance Act of 1968, notwithstanding subsection (f)(8) of such section 102 (42 U.S.C. 4012a(f)(8)) and subsection 1366(e) and paragraphs (1) through (3) of section 1367(b) of the National Flood Insurance Act of 1968 (42 U.S.C. 4104c(e), 4104d(b)(1)-(3)): Provided further, That total administrative costs shall not exceed 5 percent of the total appropriation. ***Provided further, That $5,000,000 is available to carry out section 24 of the Homeowner Flood Insurance Affordability Act of 2014 (42 U.S.C. 4033)***[10].

Language Provision	Explanation
1. …[$181,198,000]***$181,799,000***…	Dollar change only. No substantial change proposed.
2. …[2017]***2018***…	Fiscal year change only. No substantial change proposed.
3. …[$25,299,000]***$13,436,000***…	Dollar change only. No substantial change proposed.
4. … [and flood insurance operations] …	Realignment of Insurance Operations activities.
5. …[$155,899,000]***$168,363,000***…	Dollar change only. No substantial change proposed.
6. …[2016]***2017***…	Fiscal year change only. No substantial change proposed.
7. …[$133,252,000]***$147,042,000***…	Realignment of Insurance Operations activities.
8. … ***and salaries and expenses associated with flood insurance operations***…	Realignment of Insurance Operations activities.
9. …[$175,000,000]***$175,061,000***…	Dollar change only. No substantial change proposed.
10. ***Provided further, That $5,000,0000 is available to carry out section 24 of the Homeowner Flood Insurance Affordability Act of 2014 (42 U.S.C. 4033)***	Adding section 24 of the Homeowner Flood Insurance Affordability Act of 2014 that authorizes the Flood advocates office.

F. Summary of Fee Collections and Carryover

Department of Homeland Security
Federal Emergency Management Agency
National Flood Insurance Fund
Summary of Fee Collections and Carryover
(Dollars in Thousands)

	FY 2015 Revised Enacted	FY 2016 Enacted	FY 2017 Request
Collections (Actual or Anticipated)	$4,313,764	$3,700,706	$4,391,045
Carryover (Includes Recoveries)	$814,077	$1,517,364	$1,665,434
Portion of Current Year Collection Sequestered	($99,572)	($98,000)	-
Previous Year Sequestered Funds Available	$152,784	$99,572	$98,000
Total Budget Authority	**$5,181,053**	**$5,219,642**	**$6,154,479**

- Statutory Authority: Omnibus Budget Reconciliation Act of 1990 (Public Law 101-508) Sec. 2302 FLOOD INSURANCE PROGRAM "administrative expenses shall be recovered by a fee charged to policyholders and such fee shall not be subject to any agents' commissions, company expenses allowance, or State or local premium taxes"…"to the extent approved in appropriations Acts, to pay any administrative expenses of the flood insurance and floodplain management programs (including the costs of mapping activities)".
- Authorized Uses: The NFIP's flood hazard identification, floodplain management activities and the salaries and expenses of the Federal staff working on the NFIP are paid by a fee collected from flood insurance payers.
- Change Mechanism: The NFIP implements proposed fee increases as part of the standard process for the NFIP Rate Table release, giving the required 6-month notice to WYO insurance companies through release of a WYO Bulletin on October of each year indicating changes to take effect the following April.
- Previous Changes: The National Flood Insurance Reform Act of 1994 created a flood mitigation assistance grants program funded from fee collections. In FY07, NFIF Appropriation Language changed Flood Mitigation Assistance (FMA) grants from fee collections to premium collections.
- Recovery Rate: The fee is designed to recover the full cost of the program to help assure the NFIP collects enough fee revenue to meet the projected fiscal year appropriations. Fee revenue estimates and actuals are reviewed monthly.
- Forecasting Methodology: Fee revenue is based on the projected number of policies in force.

Contingency Plan: In line with the Office of Management Budget's Circular A-11, section 82.11, anticipated collections/fees are not

made available for obligation/expenditure until the collections/fees are realized. Additionally, September collections are withheld to support operations at the beginning of each year.

H. FY 2017 Schedule of Working Capital Fund by Program/Project Activity

Department of Homeland Security
Federal Emergency Management Agency (CAS-FEMA)
National Flood Insurance Fund
(Dollars in Thousands)

Program/Project/Activity	FY 2015 Revised Enacted Amount	FY 2016 Enacted Amount	FY 2017 Request Amount	Increase/Decrease for FY 2017 Amount
Flood Mitigation and Flood Insurance Operations – Legacy	$461	$632	-	($632)
National Flood Insurance Fund - Mandatory - Legacy	126	$139	-	($139)
NFIF - Mandatory – PCI	0	-	$23	$23
FloodPlain Management and Flood Mapping - FA	0	-	$392	$392
Floodplain Management and Flood Mapping - Legacy	878	$965	-	($965)
Flood Management – FA	0	-	$94	$94
NFIF - Mandatory – FA	0	-	$280	$280
Total Working Capital Fund	**$1,465**	**$1,736**	**$789**	**($947)**

I. Object Class Breakout by Appropriation

Department of Homeland Security
Federal Emergency Management Agency
National Flood Insurance Fund

Summary of Requirements by Object Class

(Dollars in Thousands)

Object Classes	2015 Revised Enacted	2016 Enacted	2017 Request	FY 2016 to FY 2017 Change
Personnel and Other Compensation Benefits				
11.1 Full-time Permanent	$37,348	$37,877	$42,041	$4,164
11.3 Other than Full-Time Permanent	$332	$107	$91	($16)
11.5 Other Personnel Compensation	$493	$385	$450	$65
11.6 Military Personnel-Basic Allowance for Housing	-	-	-	-
11.7 Military Personnel	-	-	-	-
11.8 Special Personal Services Payments	-	-	-	-
12.1 Civilian Personnel Benefits	$11,710	$11,676	$14,459	$2,783
12.2 Military Personnel Benefits	-	-	-	-
13.0 Benefits for Former Personnel	-	-	-	-
Total, Personnel and Other Compensation Benefits	**$49,883**	**$50,045**	**$57,041**	**$6,996**
Other Object Classes				
21.0 Travel and Transportation of Persons	$5,998	$3,607	$5,985	$4,378
22.0 Transportation of Things	$1	-	-	-
23.1 Rental Payments to GSA	$5,576	$5,081	$5,955	$874
23.2 Rental Payments to Others	-	-	-	-
23.3 Communications, Utilities, and Misc. Charges	$78	$75	$75	-
24.0 Printing and Reproduction	$10	$3	$3	-
25.0 Other Contractual Services	-	-	-	-
25.1 Advisory and Assistance Services	$4,970	$4,946	$5,036	$90
25.2 Other Services from Non-Federal Sources	$964,495	$1,387,411	$1,419,112	$33,864
25.3 Other Goods and Services from Federal Sources	$1	$1	$2	$1
25.4 Operation and Maintenance of Facilities	$263	$263	$275	$12
25.5 Research and Development Contracts	-	-	-	-
25.6 Medical Care	-	-	-	-
25.7 Operation and Maintenance of Equipment	$10	$8	$9	$1
25.8 Subsistence & Support of Persons	-	-	-	-
26.0 Supplies and Materials	$72	$60	$64	$4
31.0 Equipment	$1,052	$1,024	$1,059	$35
32.0 Land and Structures	$41	$41	$41	-
33.0 Investments and loans	-	-	-	-
41.0 Grants, Subsidies, and Contributions	$241,588	$249,306	$182,203	($67,103)
42.0 Insurance Claims and Indemnities	$2,838,940	$3,079,529	$3,096,009	$18,480
43.1 Interest and Dividends	$320,000	$466,950	$497,796	$30,846
43.2 Immigration Fees	-	-	-	-

Object Classes	2015 Revised Enacted	2016 Enacted	2017 Request	FY 2016 to FY 2017 Change
44.0 Refunds	-	-	-	-
91.0 Unvouchered	-	-	-	-
93.1 Limitation on expenses	-	-	-	-
99.0 Subtotal, Obligations	-	-	-	-
99.5 Below Reporting Threshold	-	-	-	-
Total, Other Object Classes	**$4,383,095**	**$5,192,082**	**$5,213,564**	**$21,482**
Total, Direct Obligations	**$4,432,974**	**$5,242,420**	**$5,270,665**	**$28,245**
Adjustments				
Unobligated Balance, start of year	($939,861)	($1,589,936)	($1,763,434)	($173,498)
Unobligated Balance, end of year	$1,714,936	$1,594,158	$2,647,248	$1,053,090
Recoveries of Prior Year Obligations	($27,000)	($27,000)	-	$27,000
Total Requirements	**$5,181,053**	**$5,219,642**	**$6,154,479**	**$934,837**

*FY 2015 and FY 2016 funding levels reflect the CAS Structure and are for comparison purposes only.

J. Object Class Breakout by PPA

Department of Homeland Security
Federal Emergency Management Agency (CAS-FEMA)
National Flood Insurance Fund
Flood Mitigation and Flood Insurance Operations - Legacy
Summary of Requirements by Object Class
(Dollars in Thousands)

Object Classes	FY 2015 Revised Enacted	FY 2016 Enacted	FY 2017 Request	FY 2016 to FY 2017 Change
Personnel and Compensation Benefits	-	-	-	-
11.1 Full-time Permanent	$13,269	$11,976	-	($11,976)
11.5 Other Personnel Compensation	$135	$145	-	($145)
12.1 Civilian Personnel Benefits	$3,891	$4,774	-	($4,774)
Total, Personnel and Compensation Benefits	**$17,295**	**$16,895**	-	**($16,895)**
Other Object Classes	-	-	-	-
21.0 Travel and Transportation of Persons	$231	$231	-	($231)
23.1 Rental Payments to GSA	$1,067	$1,067	-	($1,067)
25.1 Advisory and Assistance Services	$9	$9	-	($9)
25.2 Other Services from Non-Federal Sources	$8,885	$11,472	-	($11,472)
25.4 Operation and Maintenance of Facilities	$26	$26	-	($26)
26.0 Supplies and Materials	$2	$2	-	($2)
31.0 Equipment	$10	$10	-	($10)
Total, Other Object Classes	**$10,230**	**$12,817**	-	**($12,817)**
Adjustments	-	-	-	-
Unobligated Balance, start of year	($6,172)	($11,006)	-	$11,006
Unobligated Balance, end of year	$11,006	$5,000	-	($5,000)
Recoveries of Prior Year Obligations	-	-	-	-
Total, Adjustments	**$4,834**	**($6,006)**	-	**$6,006**
Total Requirements	**$32,359**	**$23,706**	-	**($23,706)**
Full Time Equivalents	**114**	**134**	-	**(134)**

*FY 2015 and FY 2016 funding levels reflect the CAS Structure and are for comparison purposes only.

Department of Homeland Security
Federal Emergency Management Agency (CAS-FEMA)
National Flood Insurance Fund
Floodplain Management and Flood Mapping - Legacy
Summary of Requirements by Object Class
(Dollars in Thousands)

Object Classes	FY 2015 Revised Enacted	FY 2016 Enacted	FY 2017 Request	FY 2016 to FY 2017 Change
Personnel and Compensation Benefits	-	-	-	-
11.1 Full-time Permanent	$20,481	$20,795	-	($20,795)
11.3 Other than Full-Time Permanent	$106	$107	-	($107)
11.5 Other Personnel Compensation	$231	$234	-	($234)
12.1 Civilian Personnel Benefits	$6,616	$6,718	-	($6,718)
Total, Personnel and Compensation Benefits	**$27,434**	**$27,854**	-	**($27,854)**
Other Object Classes	-	-	-	-
21.0 Travel and Transportation of Persons	$1,262	$1,262	-	($1,262)
23.1 Rental Payments to GSA	$4,016	$4,014	-	($4,014)
23.3 Communications, Utilities, and Misc. Charges	$3	$3	-	($3)
25.1 Advisory and Assistance Services	$950	$950	-	($950)
25.2 Other Services from Non-Federal Sources	$94,601	$111,621	-	($111,621)
25.3 Other Goods and Services from Federal Sources	$1	$1	-	($1)
25.4 Operation and Maintenance of Facilities	$128	$128	-	($128)
25.7 Operation and Maintenance of Equipment	$8	$8	-	($8)
26.0 Supplies and Materials	$44	$44	-	($44)
31.0 Equipment	$371	$370	-	($370)
41.0 Grants, Subsidies, and Contributions	$13,980	$13,974	-	($13,974)
Total, Other Object Classes	**$115,364**	**$132,374**	-	**($132,374)**
Adjustments	-	-	-	-
Unobligated Balance, start of year	-	($12,737)	-	$12,737
Unobligated Balance, end of year	$12,737	$10,000	-	($10,000)
Recoveries of Prior Year Obligations	-	-	-	-
Total, Adjustments	**$12,737**	**($2,737)**	-	**$2,737**
Total Requirements	**$155,535**	**$157,492**	-	**($157,492)**
Full Time Equivalents	**217**	**205**	-	**(205)**

Department of Homeland Security
Federal Emergency Management Agency (CAS-FEMA)
National Flood Insurance Fund
National Flood Insurance Fund - Mandatory - Legacy
Summary of Requirements by Object Class
(Dollars in Thousands)

Object Classes	FY 2015 Revised Enacted	FY 2016 Enacted	FY 2017 Request	FY 2016 to FY 2017 Change
Personnel and Compensation Benefits	-	-	-	-
11.1 Full-time Permanent	$3,598	$2,893	-	($2,893)
11.3 Other than Full-Time Permanent	$226	-	-	-
11.5 Other Personnel Compensation	$127	$6	-	($6)
12.1 Civilian Personnel Benefits	$1,203	$1,097	-	($1,097)
Total, Personnel and Compensation Benefits	**$5,154**	**$3,996**	-	**($3,996)**
Other Object Classes	-	-	-	-
21.0 Travel and Transportation of Persons	$4,505	$114	-	($114)
22.0 Transportation of Things	$1	-	-	-
23.1 Rental Payments to GSA	$493	-	-	-
23.3 Communications, Utilities, and Misc. Charges	$75	$72	-	($72)
24.0 Printing and Reproduction	$10	$3	-	($3)
25.1 Advisory and Assistance Services	$4,011	$3,987	-	($3,987)
25.2 Other Services from Non-Federal Sources	$861,009	$1,261,688	-	($1,261,688)
25.4 Operation and Maintenance of Facilities	$109	$109	-	($109)
25.7 Operation and Maintenance of Equipment	$2	-	-	-
26.0 Supplies and Materials	$26	$14	-	($14)
31.0 Equipment	$671	$644	-	($644)
32.0 Land and Structures	$41	$41	-	($41)
41.0 Grants, Subsidies, and Contributions	$227,608	$235,332	-	($235,332)
42.0 Insurance Claims and Indemnities	$2,838,940	$3,079,529	-	($3,079,529)
43.1 Interest and Dividends	$320,000	$466,950	-	($466,950)
Total, Other Object Classes	**$4,257,501**	**$5,048,483**	-	**($5,048,483)**
Adjustments	-	-	-	-
Unobligated Balance, start of year	($811,556)	($922,000)	-	$922,000
Unobligated Balance, end of year	$1,047,000	-	-	-
Recoveries of Prior Year Obligations	($27,000)	($27,000)	-	$27,000
Total, Adjustments	**$208,444**	**($949,000)**	-	**$949,000**
Total Requirements	**$4,471,099**	**$4,103,479**	-	**($4,103,479)**
Full Time Equivalents	**41**	**32**	-	**(32)**

Department of Homeland Security
Federal Emergency Management Agency (CAS-FEMA)
National Flood Insurance Fund
National Flood Insurance Reserve Fund - Legacy
Summary of Requirements by Object Class
(Dollars in Thousands)

Object Classes	FY 2015 Revised Enacted	FY 2016 Enacted	FY 2017 Request	FY 2016 to FY 2017 Change
Personnel and Compensation Benefits	-	-	-	-
Other Object Classes	-	-	-	-
Adjustments	-	-	-	-
Unobligated Balance, start of year	($122,133)	($644,193)	-	$644,193
Unobligated Balance, end of year	$644,193	$1,579,158	-	($1,579,158)
Recoveries of Prior Year Obligations	-	-	-	-
Total, Adjustments	**$522,060**	**$934,965**	-	**($934,965)**
Total Requirements	**$522,060**	**$934,965**	-	**($934,965)**
Full Time Equivalents	-	-	-	-

Department of Homeland Security
Federal Emergency Management Agency
National Flood Insurance Fund – Procurement, Construction and Improvements PPA
Summary of Requirements by Object Class
(Dollars in Thousands)

Object Classes	FY 2015 Revised Enacted	FY 2016 Enacted	FY 2017 Request	FY 2016 to FY 2017 Change
Personnel and Compensation Benefits	-	-	-	-
11.1 Full-time Permanent	-	-	$1,286	$1,286
11.5 Other Personnel Compensation	-	-	$18	$18
12.1 Civilian Personnel Benefits	-	-	$495	$495
Total, Personnel and Compensation Benefits	-	-	**$1,799**	**$1,799**
Other Object Classes	-	-	-	-
25.2 Other Services from Non-Federal Sources	-	-	$18,522	$18,522
Total, Other Object Classes	-	-	**$18,522**	**$18,522**
Adjustments	-	-	-	-
Unobligated Balance, start of year	-	-	-	-
Unobligated Balance, end of year	-	-	-	-
Recoveries of Prior Year Obligations	-	-	-	-
Total Requirements	-	-	**$20,321**	**$20,321**
Full Time Equivalents	-	-	**12**	**12**

Department of Homeland Security
Federal Emergency Management Agency
National Flood Insurance Fund – Federal Assistance PPA
Summary of Requirements by Object Class
(Dollars in Thousands)

Object Classes	FY 2015 Revised Enacted	FY 2016 Enacted	FY 2017 Request	FY 2016 to FY 2017 Change
Personnel and Compensation Benefits	-	-	-	-
11.1 Full-time Permanent	-	-	$40,755	$40,755
11.3 Other than Full-Time Permanent	-	-	$91	$91
11.5 Other Personnel Compensation	-	-	$432	$432
12.1 Civilian Personnel Benefits	-	-	$13,964	$13,964
Total, Personnel and Compensation Benefits	-	-	**$55,242**	**$55,242**
Other Object Classes	-	-	-	-
21.0 Travel and Transportation of Persons	-	-	$5,985	$5,985
23.1 Rental Payments to GSA	-	-	$5,955	$5,955
23.3 Communications, Utilities, and Misc. Charges	-	-	$75	$75
24.0 Printing and Reproduction	-	-	$3	$3
25.1 Advisory and Assistance Services	-	-	$5,036	$5,036
25.2 Other Services from Non-Federal Sources	-	-	$1,400,590	$1,400,590
25.3 Other Goods and Services from Federal Sources	-	-	$2	$2
25.4 Operation and Maintenance of Facilities	-	-	$275	$275
25.7 Operation and Maintenance of Equipment	-	-	$9	$9
26.0 Supplies and Materials	-	-	$64	$64
31.0 Equipment	-	-	$1,059	$1,059
32.0 Land and Structures	-	-	$41	$41
41.0 Grants, Subsidies, and Contributions	-	-	$182,203	$182,203
42.0 Insurance Claims and Indemnities	-	-	$3,096,009	$3,096,009
43.1 Interest and Dividends	-	-	$497,796	$497,796
Total, Other Object Classes	-	-	**$5,195,102**	**$5,195,102**
Adjustments	-	-	-	-
Unobligated Balance, start of year	-	-	($1,763,434)	($1,763,434)
Unobligated Balance, end of year	-	-	$2,647,248	$2,647,248
Recoveries of Prior Year Obligations	-	-	-	-
Total, Adjustments	-	-	**$883,814**	**$883,814**
Total Requirements	-	-	**$6,134,158**	**$6,134,158**
Full Time Equivalents	-	-	**400**	**400**

K. Exhibit L. Permanent Positions by Grade

Department of Homeland Security
Federal Emergency Management Agency
National Flood Insurance Fund

Permanent Positions by Grade

Grades and Salary Range	FY 2015 Revised Enacted Pos.	FY 2016 Enacted Pos.	FY 2017 Request Pos.	FY 2016 to FY 2017 Change Total
Total, SES	4	4	5	1
GS-15	38	38	43	5
GS-14	87	87	105	18
GS-13	135	135	156	21
GS-12	93	93	94	1
GS-11	4	4	4	-
GS-9	2	2	2	-
GS-8	8	8	8	-
GS-7	11	11	11	-
GS-3	1	1	1	-
Total Permanent Positions	**383**	**383**	**429**	**46**
Headquarters	200	200	246	46
U.S. Field	183	183	183	-
Total, National Flood Insurance Fund:	**383**	**383**	**429**	**46**
Full Time Equivalents	**297**	**371**	**412**	**41**
Average ES Salary	158,588	160,174	161,776	1,602
Average GS Salary	100,193	101,195	102,207	1,012
Average Grade	13	13	13	-

L. Exhibit M. Changes in Full Time Employment

	FY 2015	FY 2016	FY 2017
Increases			
Transfer From Legacy PPA Structure - Legacy Flood Mgmt and Insurance Ops PPA			134
Transfer From Legacy PPA Structure - Floodplain Mgmt and Flood Mapping PPA			205
Transfer From Legacy PPA Structure - Mandatory Legacy PPA			29
Superstorm Sandy Reform			46
Decreases			
Adjustment to FTE for Increased Work-Year Cost	-	-	(5)
Year End Actuals/Estimated FTEs:	**297**	**371**	**412**

Department of Homeland Security

Federal Emergency Management Agency

Salaries and Expenses

Fiscal Year 2017

Congressional Justification

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II. Summary of FY 2017 Budget Estimates by Program/Project Activity (PPA)

Department of Homeland Security
Federal Emergency Management Agency
Salaries and Expenses

Summary of FY 2017 Budget Estimates by Program Project Activity

FY 2017 Request
(Dollars in Thousands)

Program Project Activity	FY 2015[1]			FY 2016			FY 2017			Increase(+) or Decrease(-) for FY 2017								
	Revised Enacted			Enacted			Request			Total Changes			Program Changes			Adjustments-to-Base		
	POS	FTE	Amount	POS	FTE	Amount	POS	FTE	Amount	POS	FTE	Amount	POS	FTE	Amount	POS	FTE	Amount
Centrally Managed Accounts	-	-	$101,503	-	-	$100,917	-	-	-	-	-	($100,917)	-	-	-	-	-	($100,917)
Recovery	393	363	$55,789	378	316	$49,763	-	-	-	(378)	(316)	($49,763)	-	-	-	(378)	(316)	($49,763)
Preparedness and Protection	492	460	$180,797	527	457	$189,581	-	-	-	(527)	(457)	($189,581)	-	-	-	(527)	(457)	($189,581)
Mission Support	748	701	$154,635	772	711	$181,610	-	-	-	(772)	(711)	($181,610)	-	-	-	(772)	(711)	($181,610)
Administrative and Regional Offices	1,575	1,503	$244,183	1,607	1,488	$236,802	-	-	-	(1,607)	(1,488)	($236,802)	-	-	-	(1,607)	(1,488)	($236,802)
Response	767	749	$175,986	775	648	$174,124	-	-	-	(775)	(648)	($174,124)	-	-	-	(775)	(648)	($174,124)
Mitigation	62	54	$28,876	67	62	$27,957	-	-	-	(67)	(62)	($27,957)	-	-	-	(67)	(62)	($27,957)
Total, Salaries and Expenses	**4,037**	**3,830**	**$941,769**	**4,126**	**3,682**	**$960,754**	**-**	**-**	**-**	**(4,126)**	**(3,682)**	**($960,754)**	**-**	**-**	**-**	**(4,126)**	**(3,681)**	**($960,754)**
Subtotal, Enacted Appropriations & Budget Estimates	**4,037**	**3,830**	**$941,769**	**4,126**	**3,682**	**$960,754**	**-**	**-**	**-**	**(4,126)**	**(3,682)**	**($960,754)**	**-**	**-**	**-**	**(4,126)**	**(3,681)**	**($960,754)**
Less: Adjustments for Other Funding Sources	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	-	-	-	-	-	-	-	-	-
Net, Enacted Appropriations and Budget Estimates:	**4,037**	**3,830**	**$941,769**	**4,126**	**3,682**	**$960,754**	**-**	**-**	**-**	**(4,126)**	**(3,682)**	**($960,754)**	**-**	**-**	**-**	**(4,126)**	**(3,681)**	**($960,754)**

[1]Reflects reprogrammings/transfers, as applicable, and actual FTE. This footnote applies to all FY 2015 Revised Enacted tables throughout the CJ Submission

III. Current Services Program Description by PPA

Department of Homeland Security
Federal Emergency Management Agency
Salaries and Expenses
Administrative and Regional Offices
Program Performance Justification
(Dollars in Thousands)

PPA: Administrative and Regional Offices

	Perm. Pos.	FTE	Amount
FY 2015 Revised Enacted	**1,575**	**1,503**	**$244,183**
FY 2016 Enacted	**1,607**	**1,488**	**$236,802**
2017 Adjustments-to-Base	(1,607)	(1,488)	($236,802)
FY 2017 Current Services	**-**	**-**	**-**
FY 2017 Program Change	-	-	-
FY 2017 Total Request	**-**	**-**	**-**
Total Change 2016 to 2017	(1,607)	(1,488)	($236,802)

CURRENT SERVICES PROGRAM DESCRIPTION:
As a result of the Common Appropriation Structure, FY 2016 base funds will be transferred out to:

Administrative and Regional Offices		**Positions**	**FTE**	**Amount**
Prior Year	**FY 2015 Revised Enacted**	**1,575**	**1,503**	**244,183**
Base	**FY 2016 Enacted**	**1,607**	**1,487**	**236,802**
	Transfer Out to O&S - Integrated Operations PPA	(1,115)	(1,030)	(154,672)
	Transfer Out to O&S - Management and Administration PPA	(492)	(457)	(82,130)
Budget Year	**FY 2017 Request**	**-**	**-**	**-**
	Total Change from FY 2016 to FY 2017	**(1,607)**	**(1,487)**	**(236,802)**

Department of Homeland Security
Federal Emergency Management Agency
Salaries and Expenses
Preparedness and Protection
Program Performance Justification
(Dollars in Thousands)

PPA: Preparedness and Protection

	Perm. Pos.	FTE	Amount
FY 2015 Revised Enacted	**492**	**460**	**$180,797**
FY 2016 Enacted	**527**	**457**	**$189,581**
2017 Adjustments-to-Base	(527)	(457)	($189,581)
FY 2017 Current Services	**-**	**-**	**-**
FY 2017 Program Change	-	-	-
FY 2017 Total Request	**-**	**-**	**-**
Total Change 2016 to 2017	(527)	(457)	($189,581)

CURRENT SERVICES PROGRAM DESCRIPTION:
As a result of the Common Appropriation Structure, FY 2016 base funds will be transferred out to:

Preparedness and Protection		Positions	FTE	Amount
Prior Year	**FY 2015 Revised Enacted**	**492**	**460**	**180,797**
Base	**FY 2016 Enacted**	**527**	**457**	**189,581**
	Transfer Out To FA - Preparedness and Protection PPA	(369)	(320)	(100,573)
	Transfer Out To O&S - Preparedness and Protection PPA	(158)	(137)	(48,708)
	Transfer Out To PC&I - Preparedness and Protection PPA	-	-	(40,300)
Budget Year	**FY 2017 Request**	**-**	**-**	**-**
	Total Change from FY 2016 to FY 2017	**(527)**	**(457)**	**(189,581)**

Department of Homeland Security
Federal Emergency Management Agency
Salaries and Expenses
Response
Program Performance Justification
(Dollars in Thousands)

PPA: Response

	Perm. Pos.	FTE	Amount
FY 2015 Revised Enacted	**767**	**749**	**$175,986**
FY 2016 Enacted	**775**	**648**	**$174,124**
2017 Adjustments-to-Base	(775)	(648)	($174,124)
FY 2017 Current Services	**-**	**-**	**-**
FY 2017 Program Change	-	-	-
FY 2017 Total Request	**-**	**-**	**-**
Total Change 2016 to 2017	(775)	(648)	($174,124)

CURRENT SERVICES PROGRAM DESCRIPTION:
As a result of the Common Appropriation Structure, FY 2016 base funds will be transferred out to:

Response		Positions	FTE	Amount
Prior Year	**FY 2015 Revised Enacted**	**767**	**749**	**175,986**
Base	**FY 2016 Enacted**	**775**	**648**	**174,124**
	Transfer Out To O&S - Response and Recovery PPA	(775)	(648)	(172,624)
	Transfer Out To PCI - Response and Recovery PPA	-	-	(1,500)
Budget Year	**FY 2017 Request**	**-**	**-**	**-**
	Total Change from FY 2016 to FY 2017	**(775)**	**(648)**	**(174,124)**

Department of Homeland Security
Federal Emergency Management Agency
Salaries and Expenses
Recovery
Program Performance Justification
(Dollars in Thousands)

PPA: Recovery

	Perm. Pos.	FTE	Amount
FY 2015 Revised Enacted	**396**	**363**	**$55,789**
FY 2016 Enacted	**378**	**316**	**$49,763**
2017 Adjustments-to-Base	(378)	(316)	($49,763)
FY 2017 Current Services	**-**	**-**	**-**
FY 2017 Program Change	-	-	-
FY 2017 Total Request	**-**	**-**	**-**
Total Change 2016 to 2017	(378)	(316)	($49,763)

CURRENT SERVICES PROGRAM DESCRIPTION:
As a result of the Common Appropriation Structure, FY 2016 base funds will be transferred out to:

Recovery		Positions	FTE	Amount
Prior Year	**FY 2015 Revised Enacted**	**396**	**363**	**55,789**
Base	**FY 2016 Enacted**	**378**	**316**	**49,763**
	Transfer Out to O&S - Response & Recovery PPA	(378)	(316)	(49,763)
Budget Year	**FY 2017 Request**	**-**	**-**	**-**
	Total Change from FY 2016 to FY 2017	**(378)**	**(316)**	**(49,763)**

Department of Homeland Security
Federal Emergency Management Agency
Salaries and Expenses
Mitigation
Program Performance Justification
(Dollars in Thousands)

PPA: Mitigation

	Perm. Pos.	FTE	Amount
FY 2015 Revised Enacted	**62**	**54**	**$28,876**
FY 2016 Enacted	**67**	**62**	**$27,957**
2017 Adjustments-to-Base	(67)	(62)	($27,957)
FY 2017 Current Services	**-**	**-**	**-**
FY 2017 Program Change	-	-	-
FY 2017 Total Request	**-**	**-**	**-**
Total Change 2016 to 2017	(67)	(62)	($27,957)

CURRENT SERVICES PROGRAM DESCRIPTION:
As a result of the Common Appropriation Structure, FY 2016 base funds will be transferred out to:

Mitigation		**Positions**	**FTE**	**Amount**
Prior Year	**FY 2015 Revised Enacted**	**62**	**54**	**28,876**
Base	**FY 2016 Enacted**	**67**	**62**	**27,957**
	Transfer Out to O&S - Mitigation PPA	(56)	(52)	(10,685)
	Transfer out to FA - Mitigation PPA	(11)	(10)	(17,272)
Budget Year	**FY 2017 Request**	**-**	**-**	**-**
	Total Change from FY 2016 to FY 2017	**(67)**	**(62)**	**(27,957)**

Department of Homeland Security
Federal Emergency Management Agency
Salaries and Expenses
Mission Support
Program Performance Justification
(Dollars in Thousands)

PPA: Mission Support

	Perm. Pos.	FTE	Amount
FY 2015 Revised Enacted	**748**	**701**	**$154,635**
FY 2016 Enacted	**772**	**711**	**$181,610**
2017 Adjustments-to-Base	(772)	(711)	($181,610)
FY 2017 Current Services	**-**	**-**	**-**
FY 2017 Program Change	-	-	-
FY 2017 Total Request	**-**	**-**	**-**
Total Change 2016 to 2017	(772)	(711)	($181,610)

CURRENT SERVICES PROGRAM DESCRIPTION:
As a result of the Common Appropriation Structure, FY 2016 base funds will be transferred out to:

Mission Support		Positions	FTE	Amount
Prior Year	**FY 2015 Revised Enacted**	**748**	**701**	**154,635**
Base	**FY 2016 Enacted**	**772**	**711**	**181,610**
	Transfer to O&S - Integrated Operations PPA	-	-	(11,523)
	Transfer to O&S - Management & Administration PPA	(772)	(711)	(170,087)
Budget Year	**FY 2017 Request**	**-**	**-**	**-**
	Total Change from FY 2016 to FY 2017	**(772)**	**(711)**	**(181,610)**

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Department of Homeland Security
Federal Emergency Management Agency
Salaries and Expenses
Centrally Managed Accounts
Program Performance Justification
(Dollars in Thousands)

PPA: Centrally Managed Accounts

	Perm. Pos.	FTE	Amount
FY 2015 Revised Enacted	-	-	**$101,503**
FY 2016 Enacted	-	-	**$100,917**
2017 Adjustments-to-Base	-	-	($100,917)
FY 2017 Current Services	-	-	-
FY 2017 Program Change	-	-	-
FY 2017 Total Request	-	-	-
Total Change 2016 to 2017	-	-	($100,917)

CURRENT SERVICES PROGRAM DESCRIPTION:
As a result of the Common Appropriation Structure, FY 2016 base funds will be transferred out to:

Centrally Managed Accounts		Positions	FTE	Amount
Prior Year	**FY 2015 Revised Enacted**	-	-	**101,503**
Base	**FY 2016 Enacted**	-	-	**100,917**
	Transfer to O&S - Management & Administration PPA	-	-	(100,917)
Budget Year	**FY 2017 Request**	-	-	-
	Total Change from FY 2016 to FY 2017	-	-	**(100,917)**

V. Exhibits and Other Supporting Material
B. FY 2016 to FY 2017 Budget Change

Department of Homeland Security
Federal Emergency Management Agency
Salaries and Expenses
FY 2016 to FY 2017 Budget Change
(Dollars in Thousands)

	Pos.	FTE	Amount
FY 2015 Revised Enacted	**4,037**	**3,830**	**$941,769**
FY 2016 Enacted	**4,126**	**3,682**	**$960,754**
Adjustments-to-Base			
Transfers to and from other accounts:			
Transfer out to O&S - Management & Administration PPA	(1,264)	(1,168)	($353,134)
Transfer out to O&S - Integrated Operations PPA	(1,115)	(1,030)	($166,195)
Transfer out to O&S - Response & Recovery PPA	(1,153)	(964)	($222,387)
Transfer out to O&S - Preparedness and Protection PPA	(158)	(137)	($48,708)
Transfer out to O&S - Mitigation PPA	-	-	($10,685)
Transfer out to FA - Preparedness and Protection PPA	(369)	(320)	($100,573)
Transfer out to FA - Mitigation PPA	-	-	($17,272)
Transfer out to PC&I - Preparedness and Protection PPA	-	-	($40,300)
Transfer out to PC&I - Response & Recovery PPA	-	-	($1,500)
Total Transfers	**(4,126)**	**(3,682)**	**($960,754)**
Total Adjustments-to-Base	**(4,126)**	**(3,682)**	**($960,754)**
FY 2017 Current Services	**-**	**-**	**-**
FY 2017 Request	**-**	**-**	**-**
FY 2016 to FY 2017 Change	**(4,126)**	**(3,682)**	**($960,754)**

E. Summary of Requirements By Object Class

Department of Homeland Security
Federal Emergency Management Agency
Salaries and Expenses
Summary of Requirements by Object Class
(Dollars in Thousands)

Object Classes	2015 Revised Enacted	2016 Enacted	2017 Request	FY 2016 to FY 2017 Change
Personnel and Other Compensation Benefits				
11.1 Full-time Permanent	$363,410	$359,123	-	($359,123)
12.1 Civilian Personnel Benefits	$145,741	$143,007	-	($143,007)
Total, Personnel and Other Compensation Benefits	**$509,151**	**$502,130**	**-**	**($502,130)**
Other Object Classes				
21.0 Travel and Transportation of Persons	$12,452	$13,907	-	($13,907)
22.0 Transportation of Things	$506	$506	-	($506)
23.1 Rental Payments to GSA	$26,934	$26,934	-	($26,934)
23.2 Rental Payments to Others	-	-	-	-
23.3 Communications, Utilities, and Misc. Charges	$25,207	$25,447	-	($25,447)
24.0 Printing and Reproduction	$959	$959	-	($959)
25.0 Other Contractual Services	-	-	-	-
25.1 Advisory and Assistance Services	$21,986	$19,582	-	($19,582)
25.2 Other Services from Non-Federal Sources	$213,279	$246,872	-	($246,872)
25.3 Other Goods and Services from Federal Sources	$25,181	$24,252	-	($24,252)
25.4 Operation and Maintenance of Facilities	$2,639	$2,639	-	($2,639)
25.5 Research and Development Contracts	-	-	-	-
25.6 Medical Care	$16	$16	-	($16)
25.7 Operation and Maintenance of Equipment	$4,008	$4,008	-	($4,008)
25.8 Subsistence & Support of Persons	-	-	-	-
26.0 Supplies and Materials	$5,977	$5,977	-	($5,977)
31.0 Equipment	$16,725	$16,680	-	($16,680)
32.0 Land and Structures	$37,049	$34,890	-	($34,890)
33.0 Investments and loans	-	-	-	-
41.0 Grants, Subsidies, and Contributions	$39,700	$35,955	-	($35,955)
Total, Other Object Classes	**$432,618**	**$458,624**	**-**	**($458,624)**
Total, Direct Obligations	**$941,769**	**$960,754**	**-**	**($960,754)**
Adjustments				
Net Offsetting Collections	-	-	-	-
Unobligated Balance, start of year	-	-	-	-
Unobligated Balance, end of year	-	-	-	-
Recoveries of Prior Year Obligations	-	-	-	-
Offsetting Collections	-	-	-	-
Total Requirements	**$941,769**	**$960,754**	**-**	**($960,754)**

F. Permanent Positions by Grade

Department of Homeland Security
Federal Emergency Management Agency
Salaries and Expenses

Permanent Positions by Grade

Grades and Salary Range	FY 2015 Revised Enacted Pos.	FY 2016 Enacted Pos.	FY 2017 Request Pos.	FY 2016 to FY 2017 Change Total
Total, SES	70	70	-	(70)
Total, EX	4	4	-	(4)
GS-15	370	376	-	(376)
GS-14	730	744	-	(744)
GS-13	1,300	1,332	-	(1,332)
GS-12	1,030	1,064	-	(1,064)
GS-11	260	261	-	(261)
GS-9	99	99	-	(99)
GS-8	27	27	-	(27)
GS-7	58	60	-	(60)
GS-6	7	7	-	(7)
GS-5	3	3	-	(3)
GS-4	3	3	-	(3)
Other Graded Positions	76	76	-	(76)
Total Permanent Positions	**4,037**	**4,126**	**-**	**(4,126)**
Unfilled Positions EOY	-	-	-	-
Total Permanent Employment EOY	-	-	-	-
Headquarters	2,922	3,011	-	(3,011)
U.S. Field	1,115	1,115	-	(1,115)
Total, Salaries and Expenses:	**4,037**	**4,126**	**-**	**(4,126)**
Full Time Equivalents	**3,830**	**3,682**	**-**	**(3,682)**
Average ES Salary	162,349	164,460	-	(164,460)
Average GS Salary	92,858	94,065	-	(94,065)
Average Grade	13	13	-	(13)

H. PPA Budget Justifications

Department of Homeland Security
Federal Emergency Management Agency
Salaries and Expenses
Centrally Managed Accounts
Summary of Requirements by Object Class
(Dollars in Thousands)

Object Classes	FY 2015 Revised Enacted	FY 2016 Enacted	FY 2017 Request	FY 2016 to FY 2017 Change
Personnel and Compensation Benefits	-	-	-	-
12.1 Civilian Personnel Benefits	$4,093	$3,350	-	($3,350)
Total, Personnel and Compensation Benefits	**$4,093**	**$3,350**	**-**	**($3,350)**
Other Object Classes	-	-	-	-
23.1 Rental Payments to GSA	$26,934	$26,934	-	($26,934)
23.3 Communications, Utilities, and Misc. Charges	$21,571	$21,811	-	($21,811)
24.0 Printing and Reproduction	$266	$266	-	($266)
25.2 Other Services from Non-Federal Sources	$35,267	$35,267	-	($35,267)
25.3 Other Goods and Services from Federal Sources	$13,372	$13,289	-	($13,289)
Total, Other Object Classes	**$97,410**	**$97,567**	**-**	**($97,567)**
Adjustments	-	-	-	-
Unobligated Balance, start of year	-	-	-	-
Unobligated Balance, end of year	-	-	-	-
Recoveries of Prior Year Obligations	-	-	-	-
Total Requirements	**$101,503**	**$100,917**	**-**	**($100,917)**
Full Time Equivalents	-	-	-	-

Department of Homeland Security
Federal Emergency Management Agency
Salaries and Expenses
Recovery
Summary of Requirements by Object Class
(Dollars in Thousands)

Object Classes	FY 2015 Revised Enacted	FY 2016 Enacted	FY 2017 Request	FY 2016 to FY 2017 Change
Personnel and Compensation Benefits	-	-	-	-
11.1 Full-time Permanent	$33,272	$30,897	-	($30,897)
12.1 Civilian Personnel Benefits	$13,262	$12,015	-	($12,015)
Total, Personnel and Compensation Benefits	**$46,534**	**$42,912**	**-**	**($42,912)**
Other Object Classes	-	-	-	-
21.0 Travel and Transportation of Persons	$697	$697	-	($697)
23.3 Communications, Utilities, and Misc. Charges	$1,202	$1,202	-	($1,202)
24.0 Printing and Reproduction	$56	$56	-	($56)
25.1 Advisory and Assistance Services	$3,872	$1,468	-	($1,468)
25.2 Other Services from Non-Federal Sources	$1,845	$1,845	-	($1,845)
25.3 Other Goods and Services from Federal Sources	$2	$2	-	($2)
25.7 Operation and Maintenance of Equipment	$491	$491	-	($491)
26.0 Supplies and Materials	$107	$107	-	($107)
31.0 Equipment	$971	$971	-	($971)
41.0 Grants, Subsidies, and Contributions	$12	$12	-	($12)
Total, Other Object Classes	**$9,255**	**$6,851**	**-**	**($6,851)**
Adjustments	-	-	-	-
Unobligated Balance, start of year	-	-	-	-
Unobligated Balance, end of year	-	-	-	-
Recoveries of Prior Year Obligations	-	-	-	-
Total Requirements	**$55,789**	**$49,763**	**-**	**($49,763)**
Full Time Equivalents	**363**	**316**	**-**	**(316)**

Department of Homeland Security
Federal Emergency Management Agency
Salaries and Expenses
Preparedness and Protection
Summary of Requirements by Object Class
(Dollars in Thousands)

Object Classes	FY 2015 Revised Enacted	FY 2016 Enacted	FY 2017 Request	FY 2016 to FY 2017 Change
Personnel and Compensation Benefits	-	-	-	-
11.1 Full-time Permanent	$42,381	$44,635	-	($44,635)
12.1 Civilian Personnel Benefits	$16,481	$17,358	-	($17,358)
Total, Personnel and Compensation Benefits	**$58,862**	**$61,993**	-	**($61,993)**
Other Object Classes	-	-	-	-
21.0 Travel and Transportation of Persons	$1,249	$1,249	-	($1,249)
23.3 Communications, Utilities, and Misc. Charges	$59	$59	-	($59)
24.0 Printing and Reproduction	$231	$231	-	($231)
25.1 Advisory and Assistance Services	$7,955	$7,955	-	($7,955)
25.2 Other Services from Non-Federal Sources	$88,361	$96,173	-	($96,173)
26.0 Supplies and Materials	$894	$894	-	($894)
31.0 Equipment	$751	$751	-	($751)
32.0 Land and Structures	$21,954	$19,795	-	($19,795)
41.0 Grants, Subsidies, and Contributions	$481	$481	-	($481)
Total, Other Object Classes	**$121,935**	**$127,588**	-	**($127,588)**
Adjustments	-	-	-	-
Unobligated Balance, start of year	-	-	-	-
Unobligated Balance, end of year	-	-	-	-
Recoveries of Prior Year Obligations	-	-	-	-
Total Requirements	**$180,797**	**$189,581**	-	**($189,581)**
Full Time Equivalents	**460**	**457**	-	**(457)**

Department of Homeland Security
Federal Emergency Management Agency
Salaries and Expenses
Mission Support
Summary of Requirements by Object Class
(Dollars in Thousands)

Object Classes	FY 2015 Revised Enacted	FY 2016 Enacted	FY 2017 Request	FY 2016 to FY 2017 Change
Personnel and Compensation Benefits	-	-	-	-
11.1 Full-time Permanent	$70,103	$69,168	-	($69,168)
12.1 Civilian Personnel Benefits	$27,262	$26,898	-	($26,898)
Total, Personnel and Compensation Benefits	**$97,365**	**$96,066**	**-**	**($96,066)**
Other Object Classes	-	-	-	-
21.0 Travel and Transportation of Persons	$885	$2,340	-	($2,340)
22.0 Transportation of Things	$239	$239	-	($239)
23.3 Communications, Utilities, and Misc. Charges	$320	$320	-	($320)
24.0 Printing and Reproduction	$12	$12	-	($12)
25.1 Advisory and Assistance Services	$2,900	$2,900	-	($2,900)
25.2 Other Services from Non-Federal Sources	$24,499	$54,156	-	($54,156)
25.3 Other Goods and Services from Federal Sources	$5,487	$2,694	-	($2,694)
25.4 Operation and Maintenance of Facilities	$820	$820	-	($820)
25.7 Operation and Maintenance of Equipment	$2,502	$2,502	-	($2,502)
26.0 Supplies and Materials	$902	$902	-	($902)
31.0 Equipment	$4,920	$4,875	-	($4,875)
32.0 Land and Structures	$13,784	$13,784	-	($13,784)
Total, Other Object Classes	**$57,270**	**$85,544**	**-**	**($85,544)**
Adjustments	-	-	-	-
Unobligated Balance, start of year	-	-	-	-
Unobligated Balance, end of year	-	-	-	-
Recoveries of Prior Year Obligations	-	-	-	-
Total Requirements	**$154,635**	**$181,610**	**-**	**($181,610)**
Full Time Equivalents	**701**	**711**	**-**	**(711)**

Department of Homeland Security
Federal Emergency Management Agency
Salaries and Expenses
Administrative and Regional Offices
Summary of Requirements by Object Class
(Dollars in Thousands)

Object Classes	FY 2015 Revised Enacted	FY 2016 Enacted	FY 2017 Request	FY 2016 to FY 2017 Change
Personnel and Compensation Benefits	-	-	-	-
11.1 Full-time Permanent	$148,074	$144,968	-	($144,968)
12.1 Civilian Personnel Benefits	$57,584	$56,376	-	($56,376)
Total, Personnel and Compensation Benefits	**$205,658**	**$201,344**	**-**	**($201,344)**
Other Object Classes	-	-	-	-
21.0 Travel and Transportation of Persons	$6,131	$6,131	-	($6,131)
22.0 Transportation of Things	$172	$172	-	($172)
23.3 Communications, Utilities, and Misc. Charges	$975	$975	-	($975)
24.0 Printing and Reproduction	$309	$309	-	($309)
25.1 Advisory and Assistance Services	$4,191	$4,191	-	($4,191)
25.2 Other Services from Non-Federal Sources	$16,211	$13,144	-	($13,144)
25.3 Other Goods and Services from Federal Sources	$1,612	$1,612	-	($1,612)
25.4 Operation and Maintenance of Facilities	$1,221	$1,221	-	($1,221)
25.6 Medical Care	$16	$16	-	($16)
25.7 Operation and Maintenance of Equipment	$561	$561	-	($561)
26.0 Supplies and Materials	$1,414	$1,414	-	($1,414)
31.0 Equipment	$5,014	$5,014	-	($5,014)
32.0 Land and Structures	$698	$698	-	($698)
Total, Other Object Classes	**$38,525**	**$35,458**	**-**	**($35,458)**
Adjustments	-	-	-	-
Unobligated Balance, start of year	-	-	-	-
Unobligated Balance, end of year	-	-	-	-
Recoveries of Prior Year Obligations	-	-	-	-
Total Requirements	**$244,183**	**$236,802**	**-**	**($236,802)**
Full Time Equivalents	**1,503**	**1,488**	**-**	**(1,488)**

Department of Homeland Security
Federal Emergency Management Agency
Salaries and Expenses
Response
Summary of Requirements by Object Class
(Dollars in Thousands)

Object Classes	FY 2015 Revised Enacted	FY 2016 Enacted	FY 2017 Request	FY 2016 to FY 2017 Change
Personnel and Compensation Benefits	-	-	-	-
11.1 Full-time Permanent	$63,811	$63,421	-	($63,421)
12.1 Civilian Personnel Benefits	$24,816	$24,664	-	($24,664)
Total, Personnel and Compensation Benefits	**$88,627**	**$88,085**	**-**	**($88,085)**
Other Object Classes	-	-	-	-
21.0 Travel and Transportation of Persons	$3,056	$3,056	-	($3,056)
22.0 Transportation of Things	$74	$74	-	($74)
23.3 Communications, Utilities, and Misc. Charges	$1,080	$1,080	-	($1,080)
24.0 Printing and Reproduction	$19	$19	-	($19)
25.1 Advisory and Assistance Services	$3,048	$3,048	-	($3,048)
25.2 Other Services from Non-Federal Sources	$36,733	$35,413	-	($35,413)
25.3 Other Goods and Services from Federal Sources	$6,451	$6,451	-	($6,451)
25.4 Operation and Maintenance of Facilities	$597	$597	-	($597)
25.7 Operation and Maintenance of Equipment	$454	$454	-	($454)
26.0 Supplies and Materials	$2,659	$2,659	-	($2,659)
31.0 Equipment	$5,062	$5,062	-	($5,062)
32.0 Land and Structures	$613	$613	-	($613)
41.0 Grants, Subsidies, and Contributions	$27,513	$27,513	-	($27,513)
Total, Other Object Classes	**$87,359**	**$86,039**	**-**	**($86,039)**
Adjustments	-	-	-	-
Unobligated Balance, start of year	-	-	-	-
Unobligated Balance, end of year	-	-	-	-
Recoveries of Prior Year Obligations	-	-	-	-
Total Requirements	**$175,986**	**$174,124**	**-**	**($174,124)**
Full Time Equivalents	**749**	**648**	**-**	**(648)**

Department of Homeland Security
Federal Emergency Management Agency
Salaries and Expenses
Mitigation
Summary of Requirements by Object Class
(Dollars in Thousands)

Object Classes	FY 2015 Revised Enacted	FY 2016 Enacted	FY 2017 Request	FY 2016 to FY 2017 Change
Personnel and Compensation Benefits	-	-	-	-
11.1 Full-time Permanent	$5,769	$6,034	-	($6,034)
12.1 Civilian Personnel Benefits	$2,243	$2,346	-	($2,346)
Total, Personnel and Compensation Benefits	**$8,012**	**$8,380**	**-**	**($8,380)**
Other Object Classes	-	-	-	-
21.0 Travel and Transportation of Persons	$434	$434	-	($434)
22.0 Transportation of Things	$21	$21	-	($21)
24.0 Printing and Reproduction	$66	$66	-	($66)
25.1 Advisory and Assistance Services	$20	$20	-	($20)
25.2 Other Services from Non-Federal Sources	$8,416	$10,874	-	($10,874)
25.3 Other Goods and Services from Federal Sources	$204	$204	-	($204)
25.4 Operation and Maintenance of Facilities	$1	$1	-	($1)
26.0 Supplies and Materials	$1	$1	-	($1)
31.0 Equipment	$7	$7	-	($7)
41.0 Grants, Subsidies, and Contributions	$11,694	$7,949	-	($7,949)
Total, Other Object Classes	**$20,864**	**$19,577**	**-**	**($19,577)**
Adjustments	-	-	-	-
Unobligated Balance, start of year	-	-	-	-
Unobligated Balance, end of year	-	-	-	-
Recoveries of Prior Year Obligations	-	-	-	-
Total Requirements	**$28,876**	**$27,957**	**-**	**($27,957)**
Full Time Equivalents	**54**	**62**	**-**	**(62)**

I. Changes In Full Time Employment

	FY 2015	FY 2016	FY 2017
BASE: Year End Actual from Prior Year	**3,830**	**3,830**	**3,682**
Increases			
DHS Balanced Workforce Strategy	-	31	-
Increase	-	43	-
Annualization of Vacancies	242	-	-
Subtotal, Increases	**242**	**74**	**-**
Decreases			
Transfer to Operations and Support	-	-	(3,352)
Transfer to Federal Assistance	-	-	(330)
Other Adjustments	-	(222)	-
Attrition of FTEs	(242)	-	-
Subtotal, Decreases	**(250)**	**(222)**	**(3,681)**
Year End Actuals/Estimated FTEs:	**3,830**	**3,682**	**-**
Net Change from prior year base to Budget Year Estimate:	**-**	**(148)**	**(3,682)**

J. FY 2017 Schedule of Working Capital Fund by Program/Project Activity

Department of Homeland Security
Federal Emergency Management Agency
Salaries and Expenses
(Dollars in Thousands)

Progam/Project/Activity	FY 2015 Revised Enacted Amount	FY 2016 Enacted Amount	FY 2017 Request Amount	Increase/Decrease for FY 2017 Amount
Recovery	$2,067	$2,091	-	($2,091)
Preparedness and Protection	673	$910	-	($910)
Mission Support	3,934	$4,711	-	($4,711)
Administrative and Regional Offices	8,286	$9,857	-	($9,857)
Response	4,034	$4,291	-	($4,291)
Mitigation	268	$341	-	($341)
Total Working Capital Fund	**$19,262**	**$22,201**	**-**	**($22,201)**

Department of Homeland Security

Federal Emergency Management Agency

State and Local Programs

Fiscal Year 2017

Congressional Justification

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II. Summary of FY 2017 Budget Estimates by Program/Project Activity (PPA)

Department of Homeland Security
Federal Emergency Management Agency
State and Local Programs

Summary of FY 2017 Budget Estimates by Program Project Activity

FY 2017 Request
(Dollars in Thousands)

Program Project Activity	FY 2015[1]			FY 2016			FY 2017			Increase(+) or Decrease(-) for FY 2017								
	Revised Enacted			Enacted			Request			Total Changes			Program Changes			Adjustments-to-Base		
	POS	FTE	Amount	POS	FTE	Amount	POS	FTE	Amount	POS	FTE	Amount	POS	FTE	Amount	POS	FTE	Amount
Homeland Security Grant Program	**-**	**-**	**$1,267,000**	**-**	**-**	**$1,267,000**	**-**	**-**	**-**	**-**	**-**	**($1,267,000)**	**-**	**-**	**-**	**-**	**-**	**($1,267,000)**
State Homeland Security Grant Program	-	-	$467,000	-	-	$467,000	-	-	-	-	-	($467,000)	-	-	-	-	-	($467,000)
Urban Area Security Initiative	-	-	$600,000	-	-	$600,000	-	-	-	-	-	($600,000)	-	-	-	-	-	($600,000)
Transit Security Grant Program and Intercity Passenger Rail Program	-	-	$100,000	-	-	$100,000	-	-	-	-	-	($100,000)	-	-	-	-	-	($100,000)
Port Security Grant Program	-	-	$100,000	-	-	$100,000	-	-	-	-	-	($100,000)	-	-	-	-	-	($100,000)
Education, Training and Exercises	**239**	**210**	**$233,000**	**240**	**211**	**$233,000**	**-**	**-**	**-**	**(240)**	**(211)**	**($233,000)**	**-**	**-**	**-**	**(240)**	**(211)**	**($233,000)**
Countering Violent Extremism	**-**	**-**	**-**	**-**	**-**	**$50,000**	**-**	**-**	**-**	**-**	**-**	**($50,000)**	**-**	**-**	**-**	**-**	**-**	**($50,000)**
Total, State and Local Programs	**239**	**210**	**$1,500,000**	**240**	**211**	**$1,550,000**	**-**	**-**	**-**	**(240)**	**(211)**	**($1,550,000)**	**-**	**-**	**-**	**(240)**	**(211)**	**($1,550,000)**
Less: Adjustments for Other Funding Sources	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**
Net, Enacted Appropriations and Budget Estimates:	**239**	**210**	**$1,500,000**	**240**	**211**	**$1,550,000**	**-**	**-**	**-**	**(240)**	**(211)**	**($1,550,000)**	**-**	**-**	**-**	**(240)**	**(211)**	**($1,550,000)**

[1]Reflects reprogrammings/transfers, as applicable, and actual FTE. This footnote applies to all FY 2015 Revised Enacted tables throughout the CJ Submission

- - - - - - - - - - - - - - -

III. Current Services Program Description by PPA

Department of Homeland Security
Federal Emergency Management Agency
Homeland Security Grant Program
Program Performance Justification
(Dollars in Thousands)

PPA: Homeland Security Grant Program

	Perm. Pos.	FTE	Amount
FY 2015 Revised Enacted	-	-	**$1,267,000**
FY 2016 Enacted	-	-	**$1,267,000**
2017 Adjustments-to-Base	-	-	($1,267,000)
FY 2017 Current Services	**-**	**-**	**-**
FY 2017 Program Change	**-**	**-**	**-**
FY 2017 Total Request	**-**	**-**	**-**
Total Change 2016 to 2017	-	-	($1,267,000)

CURRENT SERVICES PROGRAM DESCRIPTION:
As a result of the Common Appropriation Structure, FY 2016 base funds will be transferred out to Federal Assistance – Preparedness and Protection (PNP):

Homeland Security Grant Program		**Positions**	**FTE**	**Amount**
Prior Year	**FY 2015 Revised Enacted**			$1,267000
Base	**FY 2016 Enacted**			$1,267,000
Current Services	From SALP to Federal Assistance - PNP			($1,267,000)
FY 2017 Request		**-**	**-**	**-**
	Total Change from FY 2016 to FY 2017	**-**	**-**	**($1,267,000)**

Department of Homeland Security
Federal Emergency Management Agency
Education, Training and Exercises
Program Performance Justification
(Dollars in Thousands)

PPA: Education, Training and Exercises

	Perm. Pos.	FTE	Amount
FY 2015 Revised Enacted	**239**	**210**	**$233,000**
FY 2016 Enacted	**240**	**211**	**$233,000**
2017 Adjustments-to-Base	(240)	(211)	($233,000)
FY 2017 Current Services	**-**	**-**	**-**
FY 2017 Program Change	**-**	**-**	**-**
FY 2017 Total Request	**-**	**-**	**-**
Total Change 2016 to 2017	(240)	(211)	($233,000)

CURRENT SERVICES PROGRAM DESCRIPTION:
As a result of the Common Appropriation Structure, FY 2016 base funds will be transferred out to Federal Assistance - PNP:

Education, Training and Exercises		**Positions**	**FTE**	**Amount**
Prior Year	**FY 2015 Revised Enacted**	**239**	**210**	**233,000**
Base	**FY 2016 Enacted**	**240**	**211**	**233,000**
	Transfer out to FA - PNP	(240)	(211)	(233,000)
Budget Year	**FY 2017 Request**	**-**	**-**	**-**
	Total Change from FY 2016 to FY 2017	**(240)**	**(211)**	**(233,000)**

Department of Homeland Security
Federal Emergency Management Agency
Countering Violent Extremism
Program Performance Justification
(Dollars in Thousands)

PPA: Countering Violent Extremism

	Perm. Pos.	FTE	Amount
FY 2015 Revised Enacted	-	-	**-**
FY 2016 Enacted	-	-	**$50,000**
2017 Adjustments-to-Base	-	-	($50,000)
FY 2017 Current Services	**-**	**-**	**-**
FY 2017 Program Change	**-**	**-**	**-**
FY 2017 Total Request	**-**	**-**	**-**
Total Change 2016 to 2017	-	-	($50,000)

CURRENT SERVICES PROGRAM DESCRIPTION:
As a result of the Common Appropriation Structure, FY 2016 base funds will be transferred out to Federal Assistance - PNP:

Countering Violent Extremism		**Positions**	**FTE**	**Amount**
Prior Year	**FY 2015 Revised Enacted**	**-**	**-**	**-**
Base	**FY 2016 Enacted**	**-**	**-**	**50,000**
	Transfer out to FA - PNP	-	-	(50,000)
Budget Year	**FY 2017 Request**	**-**	**-**	**-**
	Total Change from FY 2016 to FY 2017	**-**	**-**	**(50,000)**

V. Exhibits and Other Supporting Material
B. FY 2016 to FY 2017 Budget Change

Department of Homeland Security
Federal Emergency Management Agency
State and Local Programs
FY 2016 to FY 2017 Budget Change
(Dollars in Thousands)

	Pos.	FTE	Amount
FY 2015 Revised Enacted	**239**	**210**	**$1,500,000**
FY 2016 Enacted	**240**	**211**	**$1,550,000**
Adjustments-to-Base			
Transfers to and from other accounts:			
Transfer out to FA - PNP	(240)	(211)	($1,550,000)
Total Transfers	**(240)**	**(211)**	**($1,550,000)**
Total Adjustments-to-Base	**(240)**	**(211)**	**($1,550,000)**
FY 2017 Current Services	**-**		
FY 2017 Request	**-**	**-**	**-**
FY 2016 to FY 2017 Change	**(240)**	**(211)**	**($1,550,000)**

D. Summary of Reimbursable Resources

N/A

E. Summary of Requirements By Object Class

Department of Homeland Security
Federal Emergency Management Agency
State and Local Programs
Summary of Requirements by Object Class
(Dollars in Thousands)

Object Classes	2015 Revised Enacted	2016 Enacted	2017 Request	FY 2016 to FY 2017 Change
Personnel and Other Compensation Benefits				
11.1 Full-time Permanent	$20,527	$20,883	-	($20,883)
11.3 Other than Full-Time Permanent	$5	-	-	-
11.5 Other Personnel Compensation	$177	$177	-	($177)
11.8 Special Personal Services Payments	$29	-	-	-
12.1 Civilian Personnel Benefits	$5,541	$5,541	-	($5,541)
Total, Personnel and Other Compensation Benefits	**$26,279**	**$26,601**	**-**	**($26,601)**
Other Object Classes				
21.0 Travel and Transportation of Persons	$5,784	$5,784	-	($5,784)
22.0 Transportation of Things	$79	$79	-	($79)
23.1 Rental Payments to GSA	$235	$235	-	($235)
23.2 Rental Payments to Others	$9	$9	-	($9)
23.3 Communications, Utilities, and Misc. Charges	$1,936	$1,936	-	($1,936)
24.0 Printing and Reproduction	$296	$296	-	($296)
25.1 Advisory and Assistance Services	$114	$114	-	($114)
25.2 Other Services from Non-Federal Sources	$100,716	$100,716	-	($100,716)
25.3 Other Goods and Services from Federal Sources	$108	$108	-	($108)
25.4 Operation and Maintenance of Facilities	$354	$354	-	($354)
25.5 Research and Development Contracts	$75	$75	-	($75)
26.0 Supplies and Materials	$814	$814	-	($814)
31.0 Equipment	$2,240	$2,240	-	($2,240)
32.0 Land and Structures	$4,037	$4,037	-	($4,037)
41.0 Grants, Subsidies, and Contributions	$1,356,924	$1,406,602	-	($1,406,602)
Total, Other Object Classes	**$1,473,721**	**$1,523,399**	**-**	**($1,523,399)**
Total, Direct Obligations	**$1,500,000**	**$1,550,000**	**-**	**($1,550,000)**
Adjustments				
Net Offsetting Collections	-	-	-	-
Unobligated Balance, start of year	-	-	-	-
Unobligated Balance, end of year	-	-	-	-
Recoveries of Prior Year Obligations	-	-	-	-
Offsetting Collections	-	-	-	-
Total Requirements	**$1,500,000**	**$1,550,000**	**-**	**($1,550,000)**

F. Permanent Positions by Grade

Department of Homeland Security
Federal Emergency Management Agency
State and Local Programs

Permanent Positions by Grade

Grades and Salary Range	FY 2015 Revised Enacted Pos.	FY 2016 Enacted Pos.	FY 2017 Request Pos.	FY 2016 to FY 2017 Change Total
Total, SES	3	3	-	(3)
GS-15	6	6	-	(6)
GS-14	27	28	-	(28)
GS-13	74	74	-	(74)
GS-12	48	48	-	(48)
GS-11	24	24	-	(24)
GS-9	27	27	-	(27)
GS-8	3	3	-	(3)
GS-7	17	17	-	(17)
GS-6	5	5	-	(5)
GS-5	5	5	-	(5)
Total Permanent Positions	**239**	**240**	**-**	**(239)**
Unfilled Positions EOY	-	-	-	-
Total Permanent Employment EOY	239	240	-	(240)
Headquarters	239	240	-	(240)
Total, State and Local Programs:	**239**	**240**	**-**	**(240)**
Full Time Equivalents	**210**	**211**	**-**	**(211)**
Average ES Salary	158,934	158,934	-	(158,934)
Average GS Salary	90,823	90,823	-	(90,823)
Average Grade	13	13	-	(13)

H. PPA Budget Justifications

Department of Homeland Security
Federal Emergency Management Agency
State and Local Programs
Homeland Security Grant Program
State Homeland Security Grant Program
Summary of Requirements by Object Class
(Dollars in Thousands)

Object Classes	FY 2015 Revised Enacted	FY 2016 Enacted	FY 2017 Request	FY 2016 to FY 2017 Change
Personnel and Compensation Benefits	-	-	-	-
Other Object Classes	-	-	-	-
41.0 Grants, Subsidies, and Contributions	$467,000	$467,000	-	($467,000)
Total, Other Object Classes	**$467,000**	**$467,000**	**-**	**($467,000)**
Adjustments	-	-	-	-
Unobligated Balance, start of year	-	-	-	-
Unobligated Balance, end of year	-	-	-	-
Recoveries of Prior Year Obligations	-	-	-	-
Total Requirements	**$467,000**	**$467,000**	**-**	**($467,000)**
Full Time Equivalents	-	-	-	-

Department of Homeland Security
Federal Emergency Management Agency
State and Local Programs
Homeland Security Grant Program
Urban Area Security Initiative
Summary of Requirements by Object Class
(Dollars in Thousands)

Object Classes	FY 2015 Revised Enacted	FY 2016 Enacted	FY 2017 Request	FY 2016 to FY 2017 Change
Personnel and Compensation Benefits	-	-	-	-
Other Object Classes	-	-	-	-
41.0 Grants, Subsidies, and Contributions	$600,000	$600,000	-	($600,000)
Total, Other Object Classes	**$600,000**	**$600,000**	**-**	**($600,000)**
Adjustments	-	-	-	-
Unobligated Balance, start of year	-	-	-	-
Unobligated Balance, end of year	-	-	-	-
Recoveries of Prior Year Obligations	-	-	-	-
Total Requirements	**$600,000**	**$600,000**	**-**	**($600,000)**
Full Time Equivalents	-	-	-	-

Department of Homeland Security
Federal Emergency Management Agency
State and Local Programs
Homeland Security Grant Program
Transit Security Grant Program and Intercity Passenger Rail Program
Summary of Requirements by Object Class
(Dollars in Thousands)

Object Classes	FY 2015 Revised Enacted	FY 2016 Enacted	FY 2017 Request	FY 2016 to FY 2017 Change
Personnel and Compensation Benefits	-	-	-	-
Other Object Classes	-	-	-	-
41.0 Grants, Subsidies, and Contributions	$100,000	$100,000	-	($100,000)
Total, Other Object Classes	**$100,000**	**$100,000**	-	**($100,000)**
Adjustments	-	-	-	-
Unobligated Balance, start of year	-	-	-	-
Unobligated Balance, end of year	-	-	-	-
Recoveries of Prior Year Obligations	-	-	-	-
Total Requirements	**$100,000**	**$100,000**	-	**($100,000)**
Full Time Equivalents	-	-	-	-

Department of Homeland Security
Federal Emergency Management Agency
State and Local Programs
Homeland Security Grant Program
Port Security Grant Program
Summary of Requirements by Object Class
(Dollars in Thousands)

Object Classes	FY 2015 Revised Enacted	FY 2016 Enacted	FY 2017 Request	FY 2016 to FY 2017 Change
Personnel and Compensation Benefits	-	-	-	-
Other Object Classes	-	-	-	-
41.0 Grants, Subsidies, and Contributions	$100,000	$100,000	-	($100,000)
Total, Other Object Classes	**$100,000**	**$100,000**	-	**($100,000)**
Adjustments	-	-	-	-
Unobligated Balance, start of year	-	-	-	-
Unobligated Balance, end of year	-	-	-	-
Recoveries of Prior Year Obligations	-	-	-	-
Total Requirements	**$100,000**	**$100,000**	-	**($100,000)**
Full Time Equivalents	-	-	-	-

Department of Homeland Security
Federal Emergency Management Agency
State and Local Programs
Education, Training and Exercises
Summary of Requirements by Object Class
(Dollars in Thousands)

Object Classes	FY 2015 Revised Enacted	FY 2016 Enacted	FY 2017 Request	FY 2016 to FY 2017 Change
Personnel and Compensation Benefits	-	-	-	-
11.1 Full-time Permanent	$20,527	$20,883	-	($20,883)
11.3 Other than Full-Time Permanent	$5	-	-	-
11.5 Other Personnel Compensation	$177	$177	-	($177)
11.8 Special Personal Services Payments	$29	-	-	-
12.1 Civilian Personnel Benefits	$5,541	$5,541	-	($5,541)
Total, Personnel and Compensation Benefits	**$26,279**	**$26,601**	**-**	**($26,601)**
Other Object Classes	-	-	-	-
21.0 Travel and Transportation of Persons	$5,784	$5,784	-	($5,784)
22.0 Transportation of Things	$79	$79	-	($79)
23.1 Rental Payments to GSA	$235	$235	-	($235)
23.2 Rental Payments to Others	$9	$9	-	($9)
23.3 Communications, Utilities, and Misc. Charges	$1,936	$1,936	-	($1,936)
24.0 Printing and Reproduction	$296	$296	-	($296)
25.1 Advisory and Assistance Services	$114	$114	-	($114)
25.2 Other Services from Non-Federal Sources	$100,716	$100,716	-	($100,716)
25.3 Other Goods and Services from Federal Sources	$108	$108	-	($108)
25.4 Operation and Maintenance of Facilities	$354	$354	-	($354)
25.5 Research and Development Contracts	$75	$75	-	($75)
26.0 Supplies and Materials	$814	$814	-	($814)
31.0 Equipment	$2,240	$2,240	-	($2,240)
32.0 Land and Structures	$4,037	$4,037	-	($4,037)
41.0 Grants, Subsidies, and Contributions	$89,924	$89,602	-	($89,602)
Total, Other Object Classes	**$206,721**	**$206,399**	**-**	**($206,399)**
Adjustments	-	-	-	-
Unobligated Balance, start of year	-	-	-	-
Unobligated Balance, end of year	-	-	-	-
Recoveries of Prior Year Obligations	-	-	-	-
Total Requirements	**$233,000**	**$233,000**	**-**	**($233,000)**
Full Time Equivalents	**210**	**211**	**-**	**(211)**

Department of Homeland Security
Federal Emergency Management Agency
State and Local Programs
Homeland Security Grant Program
Countering Violent Extremism
Summary of Requirements by Object Class
(Dollars in Thousands)

Object Classes	FY 2015 Revised Enacted	FY 2016 Enacted	FY 2017 Request	FY 2016 to FY 2017 Change
Personnel and Compensation Benefits	-	-	-	-
Other Object Classes	-	-	-	-
41.0 Grants, Subsidies, and Contributions	-	$50,000	-	($50,000)
Total, Other Object Classes	-	**$50,000**	-	**($50,000)**
Adjustments	-	-	-	-
Unobligated Balance, start of year	-	-	-	-
Unobligated Balance, end of year	-	-	-	-
Recoveries of Prior Year Obligations	-	-	-	-
Total Requirements	-	**$50,000**	-	**($50,000)**
Full Time Equivalents	-	-	-	-

I. Changes In Full Time Employment

	FY 2015	FY 2016	FY 2017
BASE: Year End Actual from Prior Year	**212**	**210**	**211**
Increases			
Actual FTE Adjustment	-	1	-
Subtotal, Increases		**1**	**-**
Decreases			
Transfer out to FA - PNP			(211)
Unfilled Vacancies	(2)		-
Subtotal, Decreases	**(2)**	**-**	**(211)**
Year End Actuals/Estimated FTEs:	**210**	**211**	**-**
Net Change from prior year base to Budget Year Estimate:	**(2)**	**1**	**(211)**

J. FY 2017 Schedule of Working Capital Fund by Program/Project Activity
N/A

Department of Homeland Security

Federal Emergency Management Agency

Emergency Management Performance Grants

Fiscal Year 2017

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Department of Homeland Security
Federal Emergency Management Agency
Emergency Management Performance Grants

Summary of FY 2017 Budget Estimates by Program Project Activity

FY 2017 Request
(Dollars in Thousands)

Program Project Activity	FY 2015[1]			FY 2016			FY 2017			Increase(+) or Decrease(-) for FY 2017								
	Revised Enacted			Enacted			Request			Total Changes			Program Changes			Adjustments-to-Base		
	POS	FTE	Amount	POS	FTE	Amount	POS	FTE	Amount	POS	FTE	Amount	POS	FTE	Amount	POS	FTE	Amount
Emergency Management Performance Grants	-	-	$350,000	-	-	$350,000	-	-	-	-	-	($350,000)	-	-	-	-	-	($350,000)
Total, Emergency Management Performance Grants	**-**	**-**	**$350,000**	**-**	**-**	**$350,000**	**-**	**-**	**-**	**-**	**-**	**($350,000)**	**-**	**-**	**-**	**-**	**-**	**($350,000)**
Subtotal, Enacted Appropriations & Budget Estimates	**-**	**-**	**$350,000**	**-**	**-**	**$350,000**	**-**	**-**	**-**	**-**	**-**	**($350,000)**	**-**	**-**	**-**	**-**	**-**	**($350,000)**
Less: Adjustments for Other Funding Sources	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**
Net, Enacted Appropriations and Budget Estimates:	**-**	**-**	**$350,000**	**-**	**-**	**$350,000**	**-**	**-**	**-**	**-**	**-**	**($350,000)**	**-**	**-**	**-**	**-**	**-**	**($350,000)**

[1]Reflects reprogrammings/transfers, as applicable, and actual FTE. This footnote applies to all FY 2015 Revised Enacted tables throughout the CJ Submission

III. Current Services Program Description by PPA

Department of Homeland Security
Federal Emergency Management Agency
Emergency Management Performance Grants
Program Performance Justification
(Dollars in Thousands)

PPA: Emergency Management Performance Grants

	Perm. Pos.	FTE	Amount
FY 2015 Revised Enacted	**-**	**-**	**$350,000**
FY 2016 Enacted	**-**	**-**	**$350,000**
2017 Adjustments-to-Base	-	-	($350,000)
FY 2017 Current Services	**-**	**-**	**-**
FY 2017 Program Change	-	-	-
FY 2017 Total Request	**-**	**-**	**-**
Total Change 2016 to 2017	-	-	($350,000)

CURRENT SERVICES PROGRAM DESCRIPTION:
As a result of the Common Appropriation Structure, FY 2016 base funds will be transferred out from Emergency Management Performance Grants to Federal Assistance-Preparedness and Protection (PNP):

Emergency Management Performance Grants		**Positions**	**FTE**	**Amount**
Prior Year	**FY 2015 Revised Enacted**	**-**	**-**	**350,000**
Base	**FY 2016 Enacted**	**-**	**-**	**350,000**
	Transfer out from Emergency Management Performance Grants to Federal Assistance - PNP	-	-	(350,000)
Budget Year	**FY 2017 Request**	**-**	**-**	**-**
	Total Change from FY 2016 to FY 2017	**-**	**-**	**(350,000)**

V. Exhibits and Other Supporting Material
B. FY 2016 to FY 2017 Budget Change

Department of Homeland Security
Federal Emergency Management Agency
Emergency Management Performance Grants
FY 2016 to FY 2017 Budget Change
(Dollars in Thousands)

	Pos.	FTE	Amount
FY 2015 Revised Enacted	-	-	**$350,000**
FY 2016 Enacted	-	-	**$350,000**
Adjustments-to-Base			
Transfers to and from other accounts:			
Transfer out from Emergency Management Performance Grants to Federal Assistance - PNP	-	-	($350,000)
Total Transfers	-	-	**($350,000)**
Total Adjustments-to-Base	-	-	**($350,000)**
FY 2017 Current Services	-	-	-
FY 2017 Request	-	-	-
FY 2016 to FY 2017 Change	-	-	**($350,000)**

D. Summary of Reimbursable Resources

N/A

E. Summary of Requirements By Object Class

Department of Homeland Security
Federal Emergency Management Agency
Emergency Management Performance Grants
Summary of Requirements by Object Class
(Dollars in Thousands)

Object Classes	2015 Revised Enacted	2016 Enacted	2017 Request	FY 2016 to FY 2017 Change
Personnel and Other Compensation Benefits				
Total, Personnel and Other Compensation Benefits	**-**	**-**	**-**	**-**
Other Object Classes				
41.0 Grants, Subsidies, and Contributions	$350,000	$350,000	-	($350,000)
Total, Other Object Classes	**$350,000**	**$350,000**	**-**	**($350,000)**
Total, Direct Obligations	**$350,000**	**$350,000**	**-**	**($350,000)**
Adjustments				
Net Offsetting Collections	-	-	-	-
Unobligated Balance, start of year	-	-	-	-
Unobligated Balance, end of year	-	-	-	-
Recoveries of Prior Year Obligations	-	-	-	-
Offsetting Collections	-	-	-	-
Total Requirements	**$350,000**	**$350,000**	**-**	**($350,000)**

F. Permanent Positions by Grade

N/A

H. PPA Budget Justifications

Department of Homeland Security
Federal Emergency Management Agency
Emergency Management Performance Grants
Summary of Requirements by Object Class
(Dollars in Thousands)

Object Classes	FY 2015 Revised Enacted	FY 2016 Enacted	FY 2017 Request	FY 2016 to FY 2017 Change
Personnel and Compensation Benefits	-	-	-	-
Other Object Classes	-	-	-	-
41.0 Grants, Subsidies, and Contributions	$350,000	$350,000	-	($350,000)
Total, Other Object Classes	**$350,000**	**$350,000**	**-**	**($350,000)**
Adjustments	-	-	-	-
Unobligated Balance, start of year	-	-	-	-
Unobligated Balance, end of year	-	-	-	-
Recoveries of Prior Year Obligations	-	-	-	-
Total Requirements	**$350,000**	**$350,000**	**-**	**($350,000)**
Full Time Equivalents	-	-	-	-

I. Changes In Full Time Employment

N/A

J. FY 2017 Schedule of Working Capital Fund by Program/Project Activity

N/A

Department of Homeland Security

Federal Emergency Management Agency

Firefighter Assistance Grants

Fiscal Year 2017

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II. Summary of FY 2017 Budget Estimates by Program/Project Activity (PPA)

Department of Homeland Security
Federal Emergency Management Agency
Firefighter Assistance Grants

Summary of FY 2017 Budget Estimates by Program Project Activity

FY 2017 Request
(Dollars in Thousands)

Program Project Activity	FY 2015[1]			FY 2016			FY 2017			Increase(+) or Decrease(-) for FY 2017								
	Revised Enacted			Enacted			Request			Total Changes			Program Changes			Adjustments-to-Base		
	POS	FTE	Amount	POS	FTE	Amount	POS	FTE	Amount	POS	FTE	Amount	POS	FTE	Amount	POS	FTE	Amount
Assistance to Firefighters Grant	-	-	$340,000	-	-	$345,000	-	-	-	-	-	($345,000)	-	-	-	-	-	($345,000)
Staffing for Adequate Fire and Emergency Response (SAFER) Act Grants	-	-	$340,000	-	-	$345,000	-	-	-	-	-	($345,000)	-	-	-	-	-	($345,000)
Total, Firefighter Assistance Grants	**-**	**-**	**$680,000**	**-**	**-**	**$690,000**	**-**	**-**	**-**	**-**	**-**	**($690,000)**	**-**	**-**	**-**	**-**	**-**	**($690,000)**
Subtotal, Enacted Appropriations & Budget Estimates	**-**	**-**	**$680,000**	**-**	**-**	**$690,000**	**-**	**-**	**-**	**-**	**-**	**($690,000)**	**-**	**-**	**-**	**-**	**-**	**($690,000)**
Less: Adjustments for Other Funding Sources	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**
Net, Enacted Appropriations and Budget Estimates:	**-**	**-**	**$680,000**	**-**	**-**	**$690,000**	**-**	**-**	**-**	**-**	**-**	**($690,000)**	**-**	**-**	**-**	**-**	**-**	**($690,000)**

[1]Reflects reprogrammings/transfers, as applicable, and actual FTE. This footnote applies to all FY 2015 Revised Enacted tables throughout the CJ Submission

III. Current Services Program Description by PPA

Department of Homeland Security
Federal Emergency Management Agency
Assistance to Firefighters Grant
Program Performance Justification
(Dollars in Thousands)

PPA: Assistance to Firefighters Grant

	Perm. Pos.	FTE	Amount
FY 2015 Revised Enacted	-	-	**$340,000**
FY 2016 Enacted	-	-	**$345,000**
2017 Adjustments-to-Base	-	-	($345,000)
FY 2017 Current Services	-	-	-
FY 2017 Program Change	-	-	-
FY 2017 Total Request	-	-	-
Total Change 2016 to 2017	-	-	($345,000)

CURRENT SERVICES PROGRAM DESCRIPTION:
As a result of the Common Appropriation Structure, FY 2016 base funds will be transferred out from Assistance to Firefighter Grants to Federal Assistance – Preparedness and Protection (PNP):

Assistance to Firefighters Grant		Positions	FTE	Amount
Prior Year	**FY 2015 Revised Enacted**	-	-	**340,000**
Base	**FY 2016 Enacted**	-	-	**345,000**
	Transfer out from Assistance to Firefighters Grant to Federal Assistance - PNP	-	-	(345,000)
Budget Year	**FY 2017 Request**	-	-	-
	Total Change from FY 2016 to FY 2017	-	-	**(345,000)**

Department of Homeland Security
Federal Emergency Management Agency
Staffing for Adequate Fire and Emergency Response (SAFER) Act Grants
Program Performance Justification
(Dollars in Thousands)

PPA: Staffing for Adequate Fire and Emergency Response (SAFER) Act Grants

	Perm. Pos.	FTE	Amount
FY 2015 Revised Enacted	-	-	**$340,000**
FY 2016 Enacted	-	-	**$345,000**
2017 Adjustments-to-Base	-	-	($345,000)
FY 2017 Current Services	-	-	-
FY 2017 Program Change	-	-	-
FY 2017 Total Request	-	-	-
Total Change 2016 to 2017	-	-	($345,000)

CURRENT SERVICES PROGRAM DESCRIPTION:
As a result of the Common Appropriation Structure, FY 2016 base funds will be transferred out from Staffing for Adequate Fire and Emergency Response Act Grants to Federal Assistance – PNP:

Staffing for Adequate Fire and Emergency Response (SAFER) Act Grants		**Positions**	**FTE**	**Amount**
Prior Year	**FY 2015 Revised Enacted**	**-**	**-**	**340,000**
Base	**FY 2016 Enacted**	**-**	**-**	**345,000**
	Transfer out from SAFER Act Grants to Federal Assistance - PNP	-	-	(345,000)
Budget Year	**FY 2017 Request**	**-**	**-**	**-**
	Total Change from FY 2016 to FY 2017	**-**	**-**	**(345,000)**

V. Exhibits and Other Supporting Material

B. FY 2016 to FY 2017 Budget Change

Department of Homeland Security
Federal Emergency Management Agency
Firefighter Assistance Grants

FY 2016 to FY 2017 Budget Change
(Dollars in Thousands)

	Pos.	FTE	Amount
FY 2015 Revised Enacted	**-**	**-**	**$680,000**
FY 2016 Enacted	**-**	**-**	**$690,000**
Adjustments-to-Base			
Transfers to and from other accounts:			
Transfer out from Assistance to Firefighters Grant to Federal Assistance - PNP	-	-	($345,000)
Transfer out from SAFER Act Grants to Federal Assistance - PNP	-	-	($345,000)
Total Transfers	**-**	**-**	**($690,000)**
Total Adjustments-to-Base	**-**	**-**	**($690,000)**
FY 2017 Current Services	**-**	**-**	**-**
FY 2017 Request	**-**	**-**	**-**
FY 2016 to FY 2017 Change	**-**	**-**	**($690,000)**

D. Summary of Reimbursable Resources

N/A

E. Summary of Requirements By Object Class

Department of Homeland Security
Federal Emergency Management Agency
Firefighter Assistance Grants
Summary of Requirements by Object Class
(Dollars in Thousands)

Object Classes	2015 Revised Enacted	2016 Enacted	2017 Request	FY 2016 to FY 2017 Change
Personnel and Other Compensation Benefits				
Total, Personnel and Other Compensation Benefits	-	-	-	-
Other Object Classes				
41.0 Grants, Subsidies, and Contributions	$680,000	$690,000	-	($690,000)
Total, Other Object Classes	**$680,000**	**$690,000**	-	**($690,000)**
Total, Direct Obligations	**$680,000**	**$690,000**	-	**($690,000)**
Adjustments				
Net Offsetting Collections	-	-	-	-
Unobligated Balance, start of year	($680,000)	($690,000)	-	$690,000
Unobligated Balance, end of year	$680,000	$690,000	-	($690,000)
Recoveries of Prior Year Obligations	-	-	-	-
Offsetting Collections	-	-	-	-
Total Requirements	**$680,000**	**$690,000**	-	**($690,000)**

F. Permanent Positions by Grade

N/A

H. PPA Budget Justifications

Department of Homeland Security
Federal Emergency Management Agency
Firefighter Assistance Grants
Assistance to Firefighters Grant
Summary of Requirements by Object Class
(Dollars in Thousands)

Object Classes	FY 2015 Revised Enacted	FY 2016 Enacted	FY 2017 Request	FY 2016 to FY 2017 Change
Personnel and Compensation Benefits	-	-	-	-
Other Object Classes	-	-	-	-
41.0 Grants, Subsidies, and Contributions	$340,000	$345,000	-	($345,000)
Total, Other Object Classes	**$340,000**	**$345,000**	-	**($345,000)**
Adjustments	-	-	-	-
Unobligated Balance, start of year	($340,000)	($345,000)	-	$345,000
Unobligated Balance, end of year	$340,000	$345,000	-	($345,000)
Recoveries of Prior Year Obligations	-	-	-	-
Total Requirements	**$340,000**	**$345,000**	-	**($345,000)**
Full Time Equivalents	-	-	-	-

Department of Homeland Security
Federal Emergency Management Agency
Firefighter Assistance Grants
Staffing for Adequate Fire and Emergency Response (SAFER) Act Grants
Summary of Requirements by Object Class
(Dollars in Thousands)

Object Classes	FY 2015 Revised Enacted	FY 2016 Enacted	FY 2017 Request	FY 2016 to FY 2017 Change
Personnel and Compensation Benefits	-	-	-	-
Other Object Classes	-	-	-	-
41.0 Grants, Subsidies, and Contributions	$340,000	$345,000	-	($345,000)
Total, Other Object Classes	**$340,000**	**$345,000**	-	**($345,000)**
Adjustments	-	-	-	-
Unobligated Balance, start of year	($340,000)	($340,000)	-	$340,000
Unobligated Balance, end of year	$340,000	$340,000	-	($340,000)
Recoveries of Prior Year Obligations	-	-	-	-
Total Requirements	**$340,000**	**$345,000**	-	**($345,000)**
Full Time Equivalents	-	-	-	-

I. Changes In Full Time Employment

N/A

J. FY 2017 Schedule of Working Capital Fund by Program/Project Activity

N/A

Department of Homeland Security

Federal Emergency Management Agency

National Pre-disaster Mitigation Program

Fiscal Year 2017

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Department of Homeland Security
Federal Emergency Management Agency
National Pre-Disaster Mitigation Program
Summary of FY 2017 Budget Estimates by Program Project Activity

FY 2017 Request
(Dollars in Thousands)

Program Project Activity	FY 2015[1]			FY 2016			FY 2017			Increase(+) or Decrease(-) for FY 2017								
	Revised Enacted			Enacted			Request			Total Changes			Program Changes			Adjustments-to-Base		
	POS	FTE	Amount	POS	FTE	Amount	POS	FTE	Amount	POS	FTE	Amount	POS	FTE	Amount	POS	FTE	Amount
National Pre-Disaster Mitigation Program	3	3	$25,000	16	9	$100,000	-	-	-	(16)	(9)	($100,000)	-	-	-	(16)	(9)	($100,000)
Total, National Pre-Disaster Mitigation Fund	**3**	**3**	**$25,000**	**16**	**9**	**$100,000**	**-**	**-**	**-**	**(16)**	**(9)**	**($100,000)**	**-**	**-**	**-**	**(16)**	**(9)**	**($100,000)**
Subtotal, Enacted Appropriations & Budget Estimates	**3**	**3**	**$25,000**	**16**	**9**	**$100,000**	**-**	**-**	**-**	**(16)**	**(9)**	**($100,000)**	**-**	**-**	**-**	**(16)**	**(9)**	**($100,000)**
Rescission	**-**	**-**	**($24,083)**	**-**	**-**	**($14,333)**	**-**	**-**	**-**	**-**	**-**	**$14,333**	**-**	**-**	**-**	**-**	**-**	**$14,333**
Net, Enacted Appropriations and Budget Estimates:	**3**	**3**	**$917**	**16**	**9**	**$85,667**	**-**	**-**	**-**	**(16)**	**(9)**	**($85,667)**	**-**	**-**	**-**	**(16)**	**(9)**	**($85,667)**

[1]Reflects reprogrammings/transfers, as applicable, and actual FTE. This footnote applies to all FY 2015 Revised Enacted tables throughout the CJ Submission

III. Current Services Program Description by PPA

Department of Homeland Security
Federal Emergency Management Agency
National Pre-Disaster Mitigation Program
Program Performance Justification
(Dollars in Thousands)

PPA: National Pre-Disaster Mitigation Program

	Perm. Pos.	FTE	Amount
FY 2015 Revised Enacted	**3**	**3**	**$25,000**
FY 2016 Enacted	**16**	**9**	**$100,000**
2017 Adjustments-to-Base	(16)	(9)	($100,000)
FY 2017 Current Services	**-**	**-**	**-**
FY 2017 Program Change	-	-	-
FY 2017 Total Request	**-**	**-**	**-**
Total Change 2016 to 2017	(16)	(9)	($100,000)

CURRENT SERVICES PROGRAM DESCRIPTION:
As a result of the Common Appropriation Structure, FY 2016 base funds will be transferred out to the Federal Assistance Appropriation – Mitigation PPA:

National Pre-Disaster Mitigation Program		Positions	FTE	Amount
Prior Year	**FY 2015 Revised Enacted**	**3**	**3**	**25,000**
Base	**FY 2016 Enacted**	**16**	**9**	**100,000**
	Transfer out to Federal Assistance Appropriation - Mitigation PPA	(16)	(9)	(100,000)
Budget Year	**FY 2017 Request**	**-**	**-**	**-**
	Total Change from FY 2016 to FY 2017	**(16)**	**(9)**	**(100,000)**

V. Exhibits and Other Supporting Material
B. FY 2016 to FY 2017 Budget Change

Department of Homeland Security
Federal Emergency Management Agency
National Pre-Disaster Mitigation Program
FY 2016 to FY 2017 Budget Change
(Dollars in Thousands)

	Pos.	FTE	Amount
FY 2015 Revised Enacted	**3**	**3**	**$25,000**
FY 2016 Enacted	**16**	**9**	**$100,000**
Adjustments-to-Base			
Transfers to and from other accounts:			
Transfer out to Federal Assistance Appropriation - Mitigation PPA	(16)	(9)	($100,000)
Total Transfers	**(16)**	**(9)**	**($100,000)**
Total Adjustments-to-Base	**(16)**	**(9)**	**($100,000)**
FY 2017 Current Services	**-**	**-**	**-**
FY 2017 Request	**-**	**-**	**-**
FY 2016 to FY 2017 Change	**(16)**	**(9)**	**($100,000)**

D. Summary of Reimbursable Resources

N/A

E. Summary of Requirements By Object Class

Department of Homeland Security
Federal Emergency Management Agency
National Pre-Disaster Mitigation Program
Summary of Requirements by Object Class
(Dollars in Thousands)

Object Classes	2015 Revised Enacted	2016 Enacted	2017 Request	FY 2016 to FY 2017 Change
Personnel and Other Compensation Benefits				
11.1 Full-time Permanent	$317	$903	-	($903)
11.5 Other Personnel Compensation	$3	$12	-	($12)
12.1 Civilian Personnel Benefits	$104	$280	-	($280)
Total, Personnel and Other Compensation Benefits	**$424**	**$1,195**	-	**($1,195)**
Other Object Classes				
21.0 Travel and Transportation of Persons	$110	$123	-	($123)
23.3 Communications, Utilities, and Misc. Charges	$14	$20	-	($20)
25.2 Other Services from Non-Federal Sources	$902	$936	-	($936)
25.3 Other Goods and Services from Federal Sources	-	$58	-	($58)
26.0 Supplies and Materials	$20	$40	-	($40)
31.0 Equipment	$21	$107	-	($107)
41.0 Grants, Subsidies, and Contributions	$41,785	$100,000	-	($100,000)
Total, Other Object Classes	**$42,852**	**$101,284**	-	**($101,284)**
Total, Direct Obligations	**$43,276**	**$102,479**	-	**($102,479)**
Adjustments				
Net Offsetting Collections	-	-	-	-
Unobligated Balance, start of year	($140,793)	($122,517)	-	$122,517
Unobligated Balance, end of year	$122,517	$120,038	-	($120,038)
Recoveries of Prior Year Obligations	-	-	-	-
Offsetting Collections	-	-	-	-
Total Requirements	**$25,000**	**$100,000**	-	**($100,000)**

F. Permanent Positions by Grade

Department of Homeland Security
Federal Emergency Management Agency
National Pre-Disaster Mitigation Program
Permanent Positions by Grade

Grades and Salary Range	FY 2015 Revised Enacted Pos.	FY 2016 Enacted Pos.	FY 2017 Request Pos.	FY 2016 to FY 2017 Change Total
GS-14	1	1	-	(1)
GS-13	1	1	-	(1)
GS-12	1	14	-	(14)
Total Permanent Positions	**3**	**16**	**-**	**(16)**
Unfilled Positions EOY	-	-	-	-
Total Permanent Employment EOY	3	16	-	(16)
Headquarters	3	6	-	(6)
U.S. Field	-	10	-	(10)
Total, National Pre-Disaster Mitigation Fund:	**3**	**16**	**-**	**(16)**
Full Time Equivalents	**3**	**9**	**-**	**(9)**
Average ES Salary	-	-	-	-
Average GS Salary	95,003	95,546	-	(95,546)
Average Grade	12	12	-	(12)

H. PPA Budget Justifications

Department of Homeland Security
Federal Emergency Management Agency
National Pre-Disaster Mitigation Program
Summary of Requirements by Object Class
(Dollars in Thousands)

Object Classes	FY 2015 Revised Enacted	FY 2016 Enacted	FY 2017 Request	FY 2016 to FY 2017 Change
Personnel and Compensation Benefits	**-**	**-**	**-**	**-**
11.1 Full-time Permanent	$317	$903	-	($903)
11.5 Other Personnel Compensation	$3	$12	-	($12)
12.1 Civilian Personnel Benefits	$104	$280	-	($280)
Total, Personnel and Compensation Benefits	**$424**	**$1,195**	**-**	**($1,195)**
Other Object Classes	**-**	**-**	**-**	**-**
21.0 Travel and Transportation of Persons	$110	$123	-	($123)
23.3 Communications, Utilities, and Misc. Charges	$14	$20	-	($20)
25.2 Other Services from Non-Federal Sources	$902	$936	-	($936)
25.3 Other Goods and Services from Federal Sources	-	$58	-	($58)
26.0 Supplies and Materials	$20	$40	-	($40)
31.0 Equipment	$21	$107	-	($107)
41.0 Grants, Subsidies, and Contributions	$41,785	$100,000	-	($100,000)
Total, Other Object Classes	**$42,852**	**$101,284**	**-**	**($101,284)**
Adjustments	**-**	**-**	**-**	**-**
Unobligated Balance, start of year	($140,793)	($122,517)	-	$122,517
Unobligated Balance, end of year	$122,517	$120,038	-	($120,038)
Recoveries of Prior Year Obligations	-	-	-	-
Total, Adjustments	**($18,276)**	**($2,479)**	**-**	**$2,479**
Total Requirements	**$25,000**	**$100,000**	**-**	**($100,000)**
Full Time Equivalents	**3**	**9**	**-**	**(9)**

I. Changes In Full Time Employment

	FY 2015	FY 2016	FY 2017
BASE: Year End Actual from Prior Year	**3**	**3**	**9**
Increases			
Increase in FTE	-	6	-
Subtotal, Increases	**-**	**6**	**-**
Decreases			
Transfer of FTE	-	-	(9)
Subtotal, Decreases	**-**	**-**	**(9)**
Year End Actuals/Estimated FTEs:	**3**	**9**	**-**
Net Change from prior year base to Budget Year Estimate:	**-**	**6**	**(9)**

J. FY 2017 Schedule of Working Capital Fund by Program/Project Activity

Department of Homeland Security
Federal Emergency Management Agency
National Pre-Disaster Mitigation Program
(Dollars in Thousands)

Progam/Project/Activity	FY 2015 Revised Enacted Amount	FY 2016 Enacted Amount	FY 2017 Request Amount	Increase/Decrease for FY 2017 Amount
National Pre-Disaster Mitigation Program	$12	$61	-	($61)
Total Working Capital Fund	**$12**	**$61**	**-**	**($61)**

Department of Homeland Security

Federal Emergency Management Agency

Emergency Food and Shelter

Fiscal Year 2017

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II. Summary of FY 2017 Budget Estimates by Program/Project Activity (PPA)

Department of Homeland Security
Federal Emergency Management Agency
Emergency Food and Shelter
Summary of FY 2017 Budget Estimates by Program Project Activity

FY 2017 Request
(Dollars in Thousands)

Program Project Activity	FY 2015			FY 2016			FY 2017			Increase(+) or Decrease(-) for FY 2017								
	Revised Enacted[1]			Enacted			Request			Total Changes			Program Changes			Adjustments-to-Base		
	POS	FTE	Amount	POS	FTE	Amount	POS	FTE	Amount	POS	FTE	Amount	POS	FTE	Amount	POS	FTE	Amount
Emergency Food and Shelter	-	-	$120,000	-	-	$120,000	-	-	-	-	-	($120,000)	-	-	-	-	-	($120,000)
Total, Emergency Food and Shelter	**-**	**-**	**$120,000**	**-**	**-**	**$120,000**	**-**	**-**	**-**	**-**	**-**	**($120,000)**	**-**	**-**	**-**	**-**	**-**	**($120,000)**
Subtotal, Enacted Appropriations & Budget Estimates	**-**	**-**	**$120,000**	**-**	**-**	**$120,000**	**-**	**-**	**-**	**-**	**-**	**($120,000)**	**-**	**-**	**-**	**-**	**-**	**($120,000)**
Less: Adjustments for Other Funding Sources	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**
Net, Enacted Appropriations and Budget Estimates:	**-**	**-**	**$120,000**	**-**	**-**	**$120,000**	**-**	**-**	**-**	**-**	**-**	**($120,000)**	**-**	**-**	**-**	**-**	**-**	**($120,000)**

[1]Reflects reprogrammings/transfers, as applicable, and actual FTE. This footnote applies to all FY 2015 Revised Enacted tables throughout the CJ Submission

III. Current Services Program Description by PPA

Department of Homeland Security
Federal Emergency Management Agency
Emergency Food and Shelter
Program Performance Justification
(Dollars in Thousands)

PPA: Emergency Food and Shelter

	Perm. Pos.	FTE	Amount
FY 2015 Revised Enacted	-	-	**$120,000**
FY 2016 Enacted	-	-	**$120,000**
2017 Adjustments-to-Base	-	-	($120,000)
FY 2017 Current Services	-	-	-
FY 2017 Program Change	-	-	-
FY 2017 Total Request	-	-	-
Total Change 2016 to 2017	-	-	($120,000)

CURRENT SERVICES PROGRAM DESCRIPTION:
As a result of the Common Appropriation Structure, FY 2016 base funds will be transferred out to Federal Assistance – Response and Recovery:

Emergency Food and Shelter		**Positions**	**FTE**	**Amount**
Prior Year	**FY 2015 Revised Enacted**	-	-	$120,000
Base	**FY 2016 Enacted**	-	-	$120,000
Current Services	From EFS to Federal Assistance – Response and Recovery	-	-	($120,000)
Program Changes		-	-	-
FY 2017 Request		-	-	-
	Total Change from FY 2016 to FY 2017	-	-	**($120,000)**

V. Exhibits and Other Supporting Material

B. FY 2016 to FY 2017 Budget Change

Department of Homeland Security
Federal Emergency Management Agency
Emergency Food and Shelter

FY 2016 to FY 2017 Budget Change
(Dollars in Thousands)

	Pos.	FTE	Amount
FY 2015 Revised Enacted	**-**	**-**	**$120,000**
FY 2016 Enacted	**-**	**-**	**$120,000**
Adjustments-to-Base			
Transfers to and from other accounts:			
Transfer out to FA – Response and Recovery	-	-	($120,000)
Total Transfers	**-**	**-**	**($120,000)**
Total Adjustments-to-Base	**-**	**-**	**($120,000)**
FY 2017 Current Services	**-**	**-**	**-**
FY 2017 Request	**-**	**-**	**-**
FY 2016 to FY 2017 Change	**-**	**-**	**($120,000)**

D. Summary of Reimbursable Resources

N/A

E. Summary of Requirements By Object Class

Department of Homeland Security
Federal Emergency Management Agency
Emergency Food and Shelter
Summary of Requirements by Object Class
(Dollars in Thousands)

Object Classes	2015 Revised Enacted	2016 Enacted	2017 Request	FY 2016 to FY 2017 Change
Personnel and Other Compensation Benefits				
Total, Personnel and Other Compensation Benefits	-	-	-	-
Other Object Classes				
41.0 Grants, Subsidies, and Contributions	$120,000	$120,000	-	($120,000)
Total, Other Object Classes	**$120,000**	**$120,000**	**-**	**($120,000)**
Total, Direct Obligations	**$120,000**	**$120,000**	**-**	**($120,000)**
Adjustments				
Net Offsetting Collections	-	-	-	-
Unobligated Balance, start of year	-	-	-	-
Unobligated Balance, end of year	-	-	-	-
Recoveries of Prior Year Obligations	-	-	-	-
Offsetting Collections	-	-	-	-
Total Requirements	**$120,000**	**$120,000**	**-**	**($120,000)**

F. Permanent Positions by Grade

N/A

H. PPA Budget Justifications

Department of Homeland Security
Federal Emergency Management Agency
Emergency Food and Shelter
Summary of Requirements by Object Class
(Dollars in Thousands)

Object Classes	FY 2015 Revised Enacted	FY 2016 Enacted	FY 2017 Request	FY 2016 to FY 2017 Change
Personnel and Compensation Benefits	-	-	-	-
Other Object Classes	-	-	-	-
41.0 Grants, Subsidies, and Contributions	$120,000	$120,000	-	($120,000)
Total, Other Object Classes	**$120,000**	**$120,000**	-	**($120,000)**
Total Requirements	**$120,000**	**$120,000**	-	**($120,000)**
Full Time Equivalents	-	-	-	-

I. Changes In Full Time Employment

N/A

J. FY 2017 Schedule of Working Capital Fund by Program/Project Activity

N/A

Department of Homeland Security

Federal Emergency Management Agency

Flood Hazard Mapping and Risk Analysis

Fiscal Year 2017

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Department of Homeland Security
Federal Emergency Management Agency
Flood Hazard Mapping and Risk Analysis
Summary of FY 2017 Budget Estimates by Program Project Activity

FY 2017 Request
(Dollars in Thousands)

Program Project Activity	FY 2015[1]			FY 2016			FY 2017			Increase(+) or Decrease(-) for FY 2017								
	Revised Enacted			Enacted			Request			Total Changes			Program Changes			Adjustments-to-Base		
	POS	FTE	Amount	POS	FTE	Amount	POS	FTE	Amount	POS	FTE	Amount	POS	FTE	Amount	POS	FTE	Amount
Flood Hazard Mapping and Risk Analysis	57	40	$100,000	57	57	$190,000	-	-	-	(57)	(57)	($190,000)	-	-	-	(57)	(57)	($190,000)
Total, Flood Hazard Mapping and Risk Analysis	**57**	**40**	**$100,000**	**57**	**57**	**$190,000**	**-**	**-**	**-**	**(57)**	**(57)**	**($190,000)**	**-**	**-**	**-**	**(57)**	**(57)**	**($190,000)**
Subtotal, Enacted Appropriations & Budget Estimates	**57**	**40**	**$100,000**	**57**	**57**	**$190,000**	**-**	**-**	**-**	**(57)**	**(57)**	**($190,000)**	**-**	**-**	**-**	**(57)**	**(57)**	**($190,000)**
Less: Adjustments for Other Funding Sources	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**
Net, Enacted Appropriations and Budget Estimates:	**57**	**40**	**$100,000**	**57**	**57**	**$190,000**	**-**	**-**	**-**	**(57)**	**(57)**	**($190,000)**	**-**	**-**	**-**	**(57)**	**(57)**	**($190,000)**

[1]Reflects reprogrammings/transfers, as applicable, and actual FTE. This footnote applies to all FY 2015 Revised Enacted tables throughout the CJ Submission

III. Current Services Program Description by PPA

Department of Homeland Security
Federal Emergency Management Agency
Flood Hazard Mapping and Risk Analysis
Program Performance Justification
(Dollars in Thousands)

PPA: Flood Hazard Mapping and Risk Analysis

	Perm. Pos.	FTE	Amount
FY 2015 Revised Enacted	**57**	**40**	**$100,000**
FY 2016 Enacted	**57**	**57**	**$190,000**
2017 Adjustments-to-Base	(57)	(57)	($190,000)
FY 2017 Current Services	**-**	**-**	**-**
FY 2017 Program Change	-	-	-
FY 2017 Total Request	**-**	**-**	**-**
Total Change 2016 to 2017	(57)	(57)	($190,000)

CURRENT SERVICES PROGRAM DESCRIPTION:
As a result of the Common Appropriation Structure, FY 2016 base funds will be transferred out to the Federal Assistance Appropriation – Mitigation PPA.

Flood Hazard Mapping and Risk Analysis		**Positions**	**FTE**	**Amount**
Prior Year	**FY 2015 Revised Enacted**	**57**	**40**	**100,000**
Base	**FY 2016 Enacted**	**57**	**57**	**190,000**
	Transfer out to Financial Assistance Appropriation - Mitigation PPA	(57)	(57)	(190,000)
Budget Year	**FY 2017 Request**	**-**	**-**	**-**
	Total Change from FY 2016 to FY 2017	**(57)**	**(57)**	**(190,000)**

V. Exhibits and Other Supporting Material
B. FY 2016 to FY 2017 Budget Change

Department of Homeland Security
Federal Emergency Management Agency
Flood Hazard Mapping and Risk Analysis

FY 2016 to FY 2017 Budget Change
(Dollars in Thousands)

	Pos.	FTE	Amount
FY 2015 Revised Enacted	**57**	**40**	**$100,000**
FY 2016 Enacted	**57**	**57**	**$190,000**
Adjustments-to-Base			
Transfers to and from other accounts:			
Transfer out to Financial Assistance Appropriation - Mitigation PPA	(57)	(57)	($190,000)
Total Transfers	**(57)**	**(57)**	**($190,000)**
Total Adjustments-to-Base	**(57)**	**(57)**	**($190,000)**
FY 2017 Current Services	**-**	**-**	**-**
FY 2017 Request	**-**	**-**	**-**
FY 2016 to FY 2017 Change	**(57)**	**(57)**	**($190,000)**

D. Summary of Reimbursable Resources

N/A

E. Summary of Requirements By Object Class

Department of Homeland Security
Federal Emergency Management Agency
Flood Hazard Mapping and Risk Analysis

Summary of Requirements by Object Class

(Dollars in Thousands)

Object Classes	2015 Revised Enacted	2016 Enacted	2017 Request	FY 2016 to FY 2017 Change
Personnel and Other Compensation Benefits				
11.1 Full-time Permanent	$4,201	$5,506	-	($5,506)
11.5 Other Personnel Compensation	$30	$30	-	($30)
12.1 Civilian Personnel Benefits	$1,985	$2,330	-	($2,330)
Total, Personnel and Other Compensation Benefits	**$6,216**	**$7,866**	**-**	**($7,866)**
Other Object Classes				
21.0 Travel and Transportation of Persons	$256	$256	-	($256)
23.1 Rental Payments to GSA	$300	$300	-	($300)
23.3 Communications, Utilities, and Misc. Charges	$63	$63	-	($63)
25.1 Advisory and Assistance Services	$63	$63	-	($63)
25.2 Other Services from Non-Federal Sources	$49,066	$97,603	-	($97,603)
25.3 Other Goods and Services from Federal Sources	$220	$220	-	($220)
25.4 Operation and Maintenance of Facilities	-	-	-	-
25.5 Research and Development Contracts	-	-	-	-
25.6 Medical Care	-	-	-	-
25.7 Operation and Maintenance of Equipment	-	-	-	-
25.8 Subsistence & Support of Persons	-	-	-	-
26.0 Supplies and Materials	$50	$50	-	($50)
31.0 Equipment	$79	$79	-	($79)
32.0 Land and Structures	-	-	-	-
33.0 Investments and loans	-	-	-	-
41.0 Grants, Subsidies, and Contributions	$40,000	$83,500	-	($83,500)
42.0 Insurance Claims and Indemnities	-	-	-	-
43.1 Interest and Dividends	-	-	-	-
43.2 Immigration Fees	-	-	-	-
44.0 Refunds	-	-	-	-
91.0 Unvouchered	-	-	-	-
93.1 Limitation on expenses	-	-	-	-
99.0 Subtotal, Obligations	-	-	-	-
99.5 Below Reporting Threshold	-	-	-	-
Total, Other Object Classes	**$90,097**	**$182,134**	**-**	**($182,134)**
Total, Direct Obligations	**$96,313**	**$190,000**	**-**	**($190,000)**
Adjustments				
Net Offsetting Collections	-	-	-	-
Unobligated Balance, start of year	($12,980)	($16,667)	-	$16,667
Unobligated Balance, end of year	$16,667	$16,667	-	($16,667)
Recoveries of Prior Year Obligations	-	-	-	-
Offsetting Collections	-	-	-	-
Total Requirements	**$100,000**	**$190,000**	**-**	**($190,000)**

F. Permanent Positions by Grade

Department of Homeland Security
Federal Emergency Management Agency
Flood Hazard Mapping and Risk Analysis

Permanent Positions by Grade

Grades and Salary Range	FY 2015 Revised Enacted Pos.	FY 2016 Enacted Pos.	FY 2017 Request Pos.	FY 2016 to FY 2017 Change Total
GS-15	1	1	-	(1)
GS-14	3	3	-	(3)
GS-13	8	8	-	(8)
GS-12	44	44	-	(44)
GS-8	1	1	-	(1)
Total Permanent Positions	**57**	**57**	**-**	**(57)**
Unfilled Positions EOY	-	-	-	-
Total Permanent Employment EOY	57	57	-	(57)
Headquarters	3	3	-	(3)
U.S. Field	54	54	-	(54)
Total, Flood Hazard Mapping and Risk Analysis:	**57**	**57**	**-**	**(57)**
Full Time Equivalents	**40**	**57**	**-**	**(57)**
Average ES Salary	-	-	-	-
Average GS Salary	89,691	90,588	-	(90,588)
Average Grade	12	12	-	(12)

H. PPA Budget Justifications

Department of Homeland Security
Federal Emergency Management Agency
Flood Hazard Mapping and Risk Analysis
Summary of Requirements by Object Class
(Dollars in Thousands)

Object Classes	FY 2015 Revised Enacted	FY 2016 Enacted	FY 2017 Request	FY 2016 to FY 2017 Change
Personnel and Compensation Benefits	-	-	-	-
11.1 Full-time Permanent	$4,201	$5,506	-	($5,506)
11.5 Other Personnel Compensation	$30	$30	-	($30)
12.1 Civilian Personnel Benefits	$1,985	$2,330	-	($2,330)
Total, Personnel and Compensation Benefits	**$6,216**	**$7,866**	-	**($7,866)**
Other Object Classes	-	-	-	-
21.0 Travel and Transportation of Persons	$256	$256	-	($256)
23.1 Rental Payments to GSA	$300	$300	-	($300)
23.3 Communications, Utilities, and Misc. Charges	$63	$63	-	($63)
25.1 Advisory and Assistance Services	$63	$63	-	($63)
25.2 Other Services from Non-Federal Sources	$49,066	$97,603	-	($97,603)
25.3 Other Goods and Services from Federal Sources	$220	$220	-	($220)
26.0 Supplies and Materials	$50	$50	-	($50)
31.0 Equipment	$79	$79	-	($79)
41.0 Grants, Subsidies, and Contributions	$40,000	$83,500	-	($83,500)
Total, Other Object Classes	**$90,097**	**$182,134**	-	**($182,134)**
Adjustments	-	-	-	-
Unobligated Balance, start of year	($12,980)	($16,667)	-	$16,667
Unobligated Balance, end of year	$16,667	$16,667	-	($16,667)
Recoveries of Prior Year Obligations	-	-	-	-
Total, Adjustments	**$3,687**	-	-	-
Total Requirements	**$100,000**	**$190,000**	-	**($190,000)**
Full Time Equivalents	**40**	**57**	-	**(57)**

I. Changes In Full Time Employment

	FY 2015	FY 2016	FY 2017
BASE: Year End Actual from Prior Year	**40**	**40**	**57**
Increases			
Vacancies Filled		**17**	
Decreases			
Transfer out to FA	-	-	(57)
Subtotal, Decreases	**-**	**-**	**(57)**
Year End Actuals/Estimated FTEs:	**40**	**57**	**-**
Net Change from prior year base to Budget Year Estimate:	**-**	**17**	**(57)**

J. FY 2017 Schedule of Working Capital Fund by Program/Project Activity

Department of Homeland Security
Federal Emergency Management Agency
Flood Hazard Mapping and Risk Analysis
(Dollars in Thousands)

Program/Project/Activity	FY 2015 Revised Enacted Amount	FY 2016 Enacted Amount	FY 2017 Request Amount	Increase/Decrease for FY 2017 Amount
Flood Hazard Mapping and Risk Analysis	$40	$53	-	($53)
Total Working Capital Fund	**$40**	**$53**	**-**	**($53)**

Department of Homeland Security

Federal Emergency Management Agency

United States Fire Administration

Fiscal Year 2017

Congressional Justification

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II. Summary of FY 2017 Budget Estimates by Program/Project Activity (PPA)

Department of Homeland Security
Federal Emergency Management Agency
United States Fire Administration

Summary of FY 2017 Budget Estimates by Program Project Activity

FY 2017 Request
(Dollars in Thousands)

Program Project Activity	FY 2015[1]			FY 2016			FY 2017			Increase(+) or Decrease(-) for FY 2017								
	Revised Enacted			Enacted			Request			Total Changes			Program Changes			Adjustments-to-Base		
	POS	FTE	Amount	POS	FTE	Amount	POS	FTE	Amount	POS	FTE	Amount	POS	FTE	Amount	POS	FTE	Amount
United States Fire Administration	134	118	$44,000	134	134	$44,000	-	-	-	(134)	(134)	($44,000)	-	-	-	(134)	(134)	($44,000)
Total, United States Fire Administration	**134**	**118**	**$44,000**	**134**	**134**	**$44,000**	**-**	**-**	**-**	**(134)**	**(13411)**	**($44,000)**	**-**	**-**	**-**	**(134)**	**(111)**	**($44,000)**
Subtotal, Enacted Appropriations & Budget Estimates	**134**	**134**	**$44,000**	**134**	**111**	**$44,000**	**-**	**-**	**-**	**(134)**	**(111)**	**($44,000)**	**-**	**-**	**-**	**(134)**	**(111)**	**($44,000)**
Less: Adjustments for Other Funding Sources	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**
Net, Enacted Appropriations and Budget Estimates:	**134**	**134**	**$44,000**	**134**	**111**	**$44,000**	**-**	**-**	**-**	**(134)**	**(111)**	**($44,000)**	**-**	**-**	**-**	**(134)**	**(111)**	**($44,000)**

[1]Reflects reprogrammings/transfers, as applicable, and actual FTE. This footnote applies to all FY 2015 Revised Enacted tables throughout the CJ Submission

III. Current Services Program Description by PPA

Department of Homeland Security
Federal Emergency Management Agency
United States Fire Administration
Program Performance Justification
(Dollars in Thousands)

PPA: United States Fire Administration

	Perm. Pos.	FTE	Amount
FY 2015 Revised Enacted	**134**	**118**	**$44,000**
FY 2016 Enacted	**134**	**134**	**$44,000**
2017 Adjustments-to-Base	(134)	(134)	($44,000)
FY 2017 Current Services	**-**	**-**	**-**
FY 2017 Program Change	-	-	-
FY 2017 Total Request	**-**	**-**	**-**
Total Change 2016 to 2017	(134)	(134)	($44,000)

CURRENT SERVICES PROGRAM DESCRIPTION:
As a result of the Common Appropriation Structure, FY 2016 base funds will be transferred out to Federal Assistance – Preparedness and Protection PPA (PNP) and Procurement, Construction and Improvements – PNP PPA:

U.S. Fire Administration		**Positions**	**FTE**	**Amount**
Prior Year	**FY 2015 Revised Enacted**	134	118	$44,000
Base	**FY 2016 Enacted**	134	134	$44,000
Current Services	From USFA to Federal Assistance - PNP	(134)	(134)	($42,500)
Current Services	From USFA to PC&I - PNP	-	-	($1,500)
FY 2017 Request		**-**	**-**	**-**
	Total Change from FY 2016 to FY 2017	**(134)**	**(134)**	**($44,000)**

V. Exhibits and Other Supporting Material
B. FY 2016 to FY 2017 Budget Change

Department of Homeland Security
Federal Emergency Management Agency
United States Fire Administration

FY 2016 to FY 2017 Budget Change
(Dollars in Thousands)

	Pos.	FTE	Amount
FY 2015 Revised Enacted	**134**	**118**	**$44,000**
FY 2016 Enacted	**134**	**134**	**$44,000**
Adjustments-to-Base			
Transfers to and from other accounts:			
Transfer out to FA - PNP	(134)	(134)	($42,500)
Transfer out to PC&I - PNP	-	-	($1,500)
Total Transfers	**(134)**	**(134)**	**($44,000)**
Total Adjustments-to-Base	**(134)**	**(134)**	**($44,000)**
FY 2017 Current Services	-	-	-
FY 2017 Request	-	-	-
FY 2016 to FY 2017 Change	**(134)**	**(134)**	**($44,000)**

D. Summary of Reimbursable Resources

N/A

E. Summary of Requirements By Object Class

Department of Homeland Security
Federal Emergency Management Agency
United States Fire Administration
Summary of Requirements by Object Class
(Dollars in Thousands)

Object Classes	2015 Revised Enacted	2016 Enacted	2017 Request	FY 2016 to FY 2017 Change
Personnel and Other Compensation Benefits				
11.1 Full-time Permanent	$10,621	$10,753	-	($10,753)
11.3 Other than Full-Time Permanent	-	-	-	-
11.5 Other Personnel Compensation	-	-	-	-
12.1 Civilian Personnel Benefits	$4,286	$4,297	-	($4,297)
13.0 Benefits for Former Personnel	-	-	-	-
Total, Personnel and Other Compensation Benefits	**$14,907**	**$15,050**	**-**	**($15,050)**
Other Object Classes				
21.0 Travel and Transportation of Persons	$297	$255	-	($255)
22.0 Transportation of Things	$69	$54	-	($54)
23.1 Rental Payments to GSA	-	-	-	-
23.2 Rental Payments to Others	$31	$306	-	($306)
23.3 Communications, Utilities, and Misc. Charges	$2,446	$2,373	-	($2,373)
24.0 Printing and Reproduction	$374	$182	-	($182)
25.0 Other Contractual Services	-	-	-	-
25.1 Advisory and Assistance Services	$6,011	$5,528	-	($5,528)
25.2 Other Services from Non-Federal Sources	$1,752	$3,369	-	($3,369)
25.3 Other Goods and Services from Federal Sources	$309	-	-	-
25.4 Operation and Maintenance of Facilities	$9,493	$7,033	-	($7,033)
25.5 Research and Development Contracts	-	-	-	-
25.6 Medical Care	-	-	-	-
25.7 Operation and Maintenance of Equipment	$4,948	$5,389	-	($5,389)
25.8 Subsistence & Support of Persons	-	-	-	-
26.0 Supplies and Materials	$1,072	$1,072	-	($1,072)
31.0 Equipment	$379	$1,736	-	($1,736)
32.0 Land and Structures	-	-	-	-
33.0 Investments and loans	-	-	-	-
41.0 Grants, Subsidies, and Contributions	$1,912	$1,653	-	($1,653)
42.0 Insurance Claims and Indemnities	-	-	-	-
43.1 Interest and Dividends	-	-	-	-
43.2 Immigration Fees	-	-	-	-
44.0 Refunds	-	-	-	-
Total, Other Object Classes	**$29,093**	**$28,950**	**-**	**($28,950)**
Total, Direct Obligations	**$44,000**	**$44,000**	**-**	**($44,000)**
Adjustments				
Net Offsetting Collections	-	-	-	-
Unobligated Balance, start of year	-	-	-	-
Unobligated Balance, end of year	-	-	-	-
Recoveries of Prior Year Obligations	-	-	-	-
Offsetting Collections	-	-	-	-
Total Requirements	**$44,000**	**$44,000**	**-**	**($44,000)**

F. Permanent Positions by Grade

Department of Homeland Security
Federal Emergency Management Agency
United States Fire Administration
Permanent Positions by Grade

Grades and Salary Range	FY 2015 Revised Enacted Pos.	FY 2016 Enacted Pos.	FY 2017 Request Pos.	FY 2016 to FY 2017 Change Total
Total, SES	2	2	-	(2)
GS-15	7	7	-	(7)
GS-14	13	12	-	(12)
GS-13	45	46	-	(46)
GS-12	13	14	-	(14)
GS-11	14	13	-	(13)
GS-9	9	9	-	(9)
GS-8	4	4	-	(4)
GS-7	18	18	-	(18)
GS-5	2	2	-	(2)
GS-4	7	7	-	(7)
Total Permanent Positions	**134**	**134**	**-**	**(134)**
Unfilled Positions EOY	-	-	-	-
Total Permanent Employment EOY	134	134	-	(134)
Headquarters	134	134	-	(134)
Total, United States Fire Administration:	**134**	**134**	**-**	**(134)**
Full Time Equivalents	**118**	**134**	**-**	**(134)**
Average ES Salary	155,144	156,351	-	(156,351)
Average GS Salary	87,911	88,595	-	(88,595)
Average Grade	12	12	-	(12)

H. PPA Budget Justifications

Department of Homeland Security
Federal Emergency Management Agency
United States Fire Administration
United States Fire Administration
Summary of Requirements by Object Class
(Dollars in Thousands)

Object Classes	FY 2015 Revised Enacted	FY 2016 Enacted	FY 2017 Request	FY 2016 to FY 2017 Change
Personnel and Compensation Benefits	-	-	-	-
11.1 Full-time Permanent	$10,621	$10,753	-	($10,753)
12.1 Civilian Personnel Benefits	$4,286	$4,297	-	($4,297)
Total, Personnel and Compensation Benefits	**$14,907**	**$15,050**	**-**	**($15,050)**
Other Object Classes	-	-	-	-
21.0 Travel and Transportation of Persons	$297	$255	-	($255)
22.0 Transportation of Things	$69	$54	-	($54)
23.2 Rental Payments to Others	$31	$306	-	($306)
23.3 Communications, Utilities, and Misc. Charges	$2,446	$2,373	-	($2,373)
24.0 Printing and Reproduction	$374	$182	-	($182)
25.1 Advisory and Assistance Services	$6,011	$5,528	-	($5,528)
25.2 Other Services from Non-Federal Sources	$1,752	$3,369	-	($3,369)
25.3 Other Goods and Services from Federal Sources	$309	-	-	-
25.4 Operation and Maintenance of Facilities	$9,493	$7,033	-	($7,033)
25.7 Operation and Maintenance of Equipment	$4,948	$5,389	-	($5,389)
26.0 Supplies and Materials	$1,072	$1,072	-	($1,072)
31.0 Equipment	$379	$1,736	-	($1,736)
41.0 Grants, Subsidies, and Contributions	$1,912	$1,653	-	($1,653)
Total, Other Object Classes	**$29,093**	**$28,950**	**-**	**($28,950)**
Adjustments	-	-	-	-
Unobligated Balance, start of year	-	-	-	-
Unobligated Balance, end of year	-	-	-	-
Recoveries of Prior Year Obligations	-	-	-	-
Total Requirements	**$44,000**	**$44,000**	**-**	**($44,000)**
Full Time Equivalents	**118**	**134**	**-**	**(134)**

I. Changes In Full Time Employment

	FY 2015	FY 2016	FY 2017
BASE: Year End Actual from Prior Year	**133**	**118**	**134**
Increases			
Annualization of prior year position	1	16	0
Vacancies Filled Due to Attrition (estimate)	3	-	-
Subtotal, Increases	**4**	**16**	**0**
Decreases			
Transfer out to FA	-	-	(134)
Attrition of FTE	(19)	0	-
Subtotal, Decreases	**(19)**	**0**	**(134)**
Year End Actuals/Estimated FTEs:	**118**	**134**	**-**
Net Change from prior year base to Budget Year Estimate:	**(15)**	**16**	**(134)**

J. FY 2017 Schedule of Working Capital Fund by Program/Project Activity

Department of Homeland Security
Federal Emergency Management Agency
United States Fire Administration
(Dollars in Thousands)

Program/Project/Activity	FY 2015 Revised Enacted Amount	FY 2016 Enacted Amount	FY 2017 Request Amount	Increase/Decrease for FY 2017 Amount
United States Fire Administration	$531	$605	-	($605)
Total Working Capital Fund	**$531**	**$605**	**-**	**($605)**

Department of Homeland Security

Federal Emergency Management Agency

Fiscal Year 2017

Strategic Context
Congressional Submission

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A. Component Overview

The Federal Emergency Management Agency is comprised of the following mission-oriented programs that support achievement of the DHS strategic missions, goals, and objectives.

Preparedness and Protection: The Preparedness and Protection programs provide capabilities that reduce the loss of life and property and protect the Nation by planning, training, exercising, and building the emergency management profession, and that provide continuity of government and operations in response to national emergencies. As manager and coordinator of the National Preparedness System[1], FEMA provides assistance, support, and leadership to help Federal, State, Local, Tribal and Territorial governments and the private sector build the operational capabilities needed to implement preparedness strategies successfully. FEMA's National Continuity Program provides Executive Agent leadership to guarantee the survival of an enduring Constitutional government by ensuring continuity of national operations in response to national emergencies. FEMA maintains an ability to provide Executive Leadership for Continuity of Government (COG), Continuity of Operations (COOP), and national contingency programs.

Response and Recovery: The Response and Recovery programs provide capabilities to save lives and protect property in communities impacted by disasters, and to help individuals and communities return to normal function with minimal suffering and disruption of service. Response programs provide disaster response systems and capabilities to support Federal decision-makers to immediately receive and react to the requirements to support states that have been overwhelmed by the demands of an emergency or major disaster. Through the Recovery program, FEMA supports communities in rebuilding so individuals, civic institutions, businesses, and governmental organizations can function on their own, return to normal life, and protect against future hazards.

Mitigation: The Mitigation program works to strengthen mitigation nationwide to reduce the Nation's vulnerability to natural disasters or other emergencies, and to facilitate adoption and enforcement of up-to-date design and construction practices through State and local building codes. The mitigation program supports activities that result in sound risk management decisions by individuals, private-sector, and public-sector entities. The Mitigation program conducts three core activities: risk analysis, risk reduction, and insurance against flood risk. These areas work together to reduce the loss of life and property, to enable individuals to recover more rapidly from floods and other disasters, and to lessen the financial burden on taxpayers.

[1] https://www.fema.gov/media-library/assets/documents/29361?fromSearch=fromsearch&id=6551#

Integrated Operations: The predominant function of the Integrated Operations program is to provide command and control; coordination; information and situational awareness; or occupational health and safety to multiple DHS mission programs. Activities whose predominant function aligns to a specific DHS mission program should be excluded from the Integrated Operations program.

Management and Administration: This program captures activities that provide enterprise leadership, management and/or business administration services and describes the capabilities and activities that support the day-to-day management and back office functions enabling the Department to operate efficiently and effectively. Key capabilities include conducting agency planning and performance management, managing finances, managing agency workforce, providing physical and personnel security, acquiring goods and services, managing information technology, managing agency property and assets, managing agency communications, managing legal affairs, and providing general management and administration.

FY 2017 Budget Request

The table below shows FEMA's FY 2017 Budget request by its mission-oriented programs.

Program	FY 2017 Request	
	FTE	Dollars (in thousands)
Preparedness and Protection	988	2,245,246
Response and Recovery	5,908	7,253,125
Mitigation	550	6,411,383
Integrated Operations	1,065	182,255
Management and Administration	1,218	447,794
Total Budget Request	9,729	16,539,803

B. Component Contributions to Achieving Departmental Missions

The table below shows the alignment of the FEMA programs to the DHS Missions and Mature and Strengthen Homeland Security.

Programs	DHS Missions					Mature and Strengthen Homeland Security
	Prevent Terrorism and Enhance Security	Secure and Manage Our Borders	Enforce and Administer Our Immigration Laws	Safeguard and Secure Cyberspace	Strengthen National Preparedness and Resilience	
Preparedness and Protection					100%	<1%
Response and Recovery					100%	<1%
Mitigation					100%	
Integrated Operations					100%	
Management and Administration					4%	96%

Mission 5: Strengthen National Preparedness and Resilience

Resources Requested

FEMA resources supporting *Strengthen National Preparedness and Resilience* are provided in the table below.

$ in thousands

Program	2015 Revised Enacted		2016 Enacted		2017 Request	
	$	FTE*	$	FTE	$	FTE
Preparedness and Protection	2,758,985	946	2,809,783	955	2,241,966	988
Response and Recovery	6,983,547	6,180	7,701,987	5,863	7,250,132	5,908
Mitigation	5,334,929	393	5,537,599	499	6,411,383	550

Integrated Operations	166,084	1,066	166,195	1,030	182,255	1,065
Management and Administration	18,968	72	18,825	74	18,685	72
Total	15,262,513	8,657	16,234,389	8,421	16,104,421	8,583

*Reflects reprogrammings/transfers, as applicable, and actual FTE. This footnote applies to all FY 2015 Revised Enacted tables throughout the CJ submission.

Performance Measures

For *Strengthen National Preparedness and Resilience*, two types of performance measures are presented. Strategic Measures represent FEMA's measures that gauge achievement for this mission area, and are considered to be our Government Performance and Results Act Modernization Act (GPRAMA) performance measures. Additional Management Measures are displayed, as appropriate, to provide a more thorough context of expected performance results.

Strategic Measures

Measure: Benefit to cost ratio of the Hazard Mitigation Grants						
Description: This measure reports the estimated annual benefit to cost ratio of grants provided by the FEMA Hazard Mitigation Assistance program to lessen the impact of disasters. A value greater than one indicates more benefit was reaped than cost expended. The program works with state, tribal, territorial, and local (STTL) governments to engage in hazard mitigation planning to identify natural hazards that impact them, identify strategies and activities to reduce any losses from those hazards, and establish a coordinated approach to implementing the plan. These plans are the basis for STTL grant requests. Once grants are provided, program staff evaluate the benefit to cost ratio of the implementation of the plan to ensure that taxpayer dollars are spent effectively.						
Fiscal Year:	**FY 2012**	**FY 2013**	**FY 2014**	**FY 2015**	**FY 2016**	**FY 2017**
Target:	N/A	N/A	N/A	N/A	1.3	1.4
Result:	N/A	N/A	N/A	N/A	N/A	N/A

Measure: Operational readiness rating of FEMA's specialized incident workforce cadres						
Description: This measure gauges the overall readiness of 23 cadres in the Incident Management Workforce (IMW) by examining staffing, training, and equipping variables of qualified personnel. The IMW are the primary first responders that provide services to disaster survivors immediately after an event and support Response and Recovery operations. The ability to gauge readiness provides key information for ensuring that qualified and equipped personnel are available to respond to a disaster.						
Fiscal Year:	**FY 2012**	**FY 2013**	**FY 2014**	**FY 2015**	**FY 2016**	**FY 2017**
Target:	N/A	N/A	N/A	N/A	69.0%	74.0%

Result:	N/A	N/A	N/A	N/A	N/A	N/A

Measure: Percent of adults that participated in a preparedness exercise or drill at their workplace, school, home or other community location in the past year						
Description: This measure calculates the percent of adults responding to a survey who indicate that they have participated in a preparedness exercise or drill in their workplace, school, home, or community in the past year. The survey collects individual disaster preparedness data from a random sample of households across the nation. Improving the public's knowledge and ability to take effective protective actions for hazards is a key objective of preparing the public to act quickly and effectively in emergency situations.						
Fiscal Year:	**FY 2012**	**FY 2013**	**FY 2014**	**FY 2015**	**FY 2016**	**FY 2017**
Target:	N/A	N/A	42%	44%	46%	48%
Result:	N/A	N/A	43%	N/A	N/A	N/A

Measure: Percent of communities in high earthquake, flood, and wind-prone areas adopting disaster-resistant building codes						
Description: This measure assesses the number of communities adopting building codes containing provisions that adequately address earthquake, flood, and wind hazards. FEMA works with code adoption and enforcement organizations to support community implementation of disaster resistant building codes, defined as being in compliance with the National Flood Insurance Program regulations, equivalent to the National Earthquake Hazards Reduction Program recommended provisions, and in compliance with the provisions of the International Codes as designated by the International Codes Council. FEMA also works with the Insurance Services Office (ISO) Building Code Effectiveness Grading Schedule (BCEGS) data to track the number of high-risk communities subject to flood, wind, earthquake, and combined perils that have adopted disaster resistant building codes over time.						
Fiscal Year:	**FY 2012**	**FY 2013**	**FY 2014**	**FY 2015**	**FY 2016**	**FY 2017**
Target:	49%	53%	57%	61%	62%	63%
Result:	56%	57%	61%	63%	N/A	N/A

Measure: Percent of federal agencies ready to initialize continuity of essential functions and services in the event of a catastrophic disaster						
Description: This measure assesses the percent of federal agencies ready to respond immediately to a continuity of operations event. This measure tracks the percentage of Federal agencies that respond to Department and Agency monthly continuity program notification tests and real-world continuity incidents within four hours.						
Fiscal Year:	**FY 2012**	**FY 2013**	**FY 2014**	**FY 2015**	**FY 2016**	**FY 2017**
Target:	N/A	N/A	N/A	94.0%	95.5%	97.0%

Result:	N/A	N/A	N/A	96.6%	N/A	N/A

Measure: Percent of high-priority core planning capabilities rated as proficient by states and territories						
Description: This measure reports the percent of high-priority core capabilities related to planning that states and territories rate as proficient. Planning is a key indicator of their overall level of preparedness. This information is gathered from the State Preparedness Report (SPR), which is an annual self-assessment by states and territories of their levels of preparedness in nationally established capabilities to prevent, protect against, mitigate the effects of, respond to, and recover from those threats and hazards that pose the greatest risk to the security of the Nation.						
Fiscal Year:	**FY 2012**	**FY 2013**	**FY 2014**	**FY 2015**	**FY 2016**	**FY 2017**
Target:	36.9%	40.0%	42.0%	44.0%	46.0%	48.0%
Result:	39.6%	38.3%	44.3%	46.3%	N/A	N/A

Measure: Percent of incident management and support actions taken that are necessary to stabilize an incident that are performed within 72 hours or by the agreed upon time						
Description: This measure reflects FEMA's role in effectively responding to any threat or hazard, with an emphasis on saving and sustaining lives within 72 hours, in support of state, local, tribal and territorial governments. "Actions necessary to stabilize an incident" are defined as those functions that must be initiated immediately following an incident in order to ensure the best outcomes for survivors. These actions include establishing joint federal/state incident objectives and interoperable communications between FEMA-supported incident sites, deploying urban search and rescue resources, rapidly activating response coordination centers, and issuing timely alerts, warnings, operations orders, and situation reports.						
Fiscal Year:	**FY 2012**	**FY 2013**	**FY 2014**	**FY 2015**	**FY 2016**	**FY 2017**
Target:	N/A	100%	100%	100%	100%	100%
Result:	N/A	100%	100%	100%	N/A	N/A

Measure: Percent of Incident Management Assistance Teams establishing joint federal and state response objectives within 18 hours						
Description: This measure gauges the percent of time that Incident Management Assistance Teams (IMATs) have deployed and have established initial joint federal and state response objectives within 18 hours of a request from a state or jurisdiction. IMATs rapidly deploy to an incident, provide leadership for federal assistance, and coordinate and integrate inter-jurisdictional response in support of an affected state or territory.						
Fiscal Year:	**FY 2012**	**FY 2013**	**FY 2014**	**FY 2015**	**FY 2016**	**FY 2017**
Target:	N/A	100%	100%	100%	100%	100%

Result:	N/A	100%	100%	100%	N/A	N/A

Measure: Percent of recovery services through Individual Assistance delivered to disaster survivors gauging the quality of program services, supporting infrastructure, and customer satisfaction following a disaster

Description: This is a weighted percent that reflects FEMA's role in delivering quality services to disaster survivors. This measure is based upon three categories: program services, supporting infrastructure, and customer satisfaction. Sub-elements within these three categories include providing temporary housing assistance and case management; having available grant management and internet and telephone registration systems; ensuring call centers respond quickly and business staff are in place; and, delivering these services to enhance customer satisfaction of those receiving individual assistance from FEMA following a disaster. Recovery assistance helps individuals affected by disasters and emergencies return to normal quickly and efficiently.

Fiscal Year:	**FY 2012**	**FY 2013**	**FY 2014**	**FY 2015**	**FY 2016**	**FY 2017**
Target:	N/A	91.0%	92.0%	93.0%	94.0%	95.0%
Result:	N/A	94.5%	91.5%	96.9%	N/A	N/A

Measure: Percent of recovery services through Public Assistance delivered to communities gauging the quality of program services, supporting infrastructure, and customer satisfaction following a disaster

Description: This is a weighted percent of how FEMA delivers quality services to communities following a disaster based upon three categories: program services, supporting infrastructure, and customer satisfaction. Sub-elements within these three categories include ensuring timely kickoff meetings following requests for public assistance; having available grant management systems; assuring that business staff are in place; and, delivering these services to enhance customer satisfaction of those receiving public assistance. Supporting and ensuring our citizens have quality support after a disaster is critical to facilitating a community's recovery.

Fiscal Year:	**FY 2012**	**FY 2013**	**FY 2014**	**FY 2015**	**FY 2016**	**FY 2017**
Target:	N/A	92.0%	93.0%	93.0%	93.0%	93.0%
Result:	N/A	86.2%	90.9%	92.0%	N/A	N/A

Measure: Percent of shipments for required life-sustaining commodities (meals, water, tarps, plastic sheeting, cots, blankets and generators) and key initial response resources delivered by the agreed upon date

Description: This measurement evaluates the percent of shipments from FEMA Distribution Centers or logistics partners that arrive at the specified location by the validated and agreed upon delivery date. The measurement is a percentage measurement derived by dividing the number of shipments that are received on time by the total number requested.

Fiscal	**FY 2012**	**FY 2013**	**FY 2014**	**FY 2015**	**FY 2016**	**FY 2017**

Year:						
Target:	N/A	N/A	N/A	N/A	95.0%	95.0%
Result:	N/A	N/A	N/A	N/A	N/A	N/A

Measure: Percent of states and territories that have achieved an intermediate or above proficiency to address their targets established through their THIRA

Description: This measure assesses the percentage of state and territorial State Preparedness Report (SPR) ratings at or above the 3.0 threshold when averaging across the planning, organization, equipment, training, and exercise (POETE) elements rated by grantees for each core capability. The measure is calculated by averaging SPR POETE ratings for each core capability that a state or territory has identified as high-priority. If a state's or territory's average SPR rating for its high-priority core capability POETE elements is 3.0 or higher, it is counted toward the measure. To increase the rating for one POETE element of a core capability by one point, a state/territory would have to increase capability by as much as 20 percent.

Fiscal Year:	**FY 2012**	**FY 2013**	**FY 2014**	**FY 2015**	**FY 2016**	**FY 2017**
Target:	N/A	N/A	N/A	N/A	68%	70%
Result:	N/A	N/A	N/A	N/A	N/A	N/A

Measure: Percent of states and territories with a Threat and Hazard Identification and Risk Assessment (THIRA) that meets current DHS guidance

Description: This measure quantifies the percentage of states and territories that develop a THIRA in accordance with the DHS guidance. The FY 2012 Homeland Security Grant Program (HSGP)/Urban Areas Security Initiative (UASI) grant guidance requires the development and maintenance of a THIRA. Developing and maintaining an understanding of risks faced by communities and the Nation is an essential component of the National Preparedness System. THIRA guidance provides a common and consistent approach for identifying and assessing risks and their associated impacts. This common approach will enable the whole community to maintain a baseline understanding of the risks that they face, facilitating efforts to identify capability and resource gaps, focus capability improvements, and inform the community of actions they can take to manage their risks.

Fiscal Year:	**FY 2012**	**FY 2013**	**FY 2014**	**FY 2015**	**FY 2016**	**FY 2017**
Target:	N/A	100%	100%	100%	100%	100%
Result:	N/A	86%	71%	77%	N/A	N/A

Measure: Percent of the U.S. population directly covered by FEMA connected radio transmission stations

Description: This measure tracks the percentage of U.S. residents that will be capable of receiving an emergency alert message from a broadcast station that is connected and enhanced by FEMA to provide resilient, last resort capability for the President to address the American people. Executive Order 13407 requires the Integrated Public Alert Warning System (IPAWS) to

implement a capability to alert and warn the American people in all hazards and "to ensure that under all conditions the President can communicate with the American people."						
Fiscal Year:	**FY 2012**	**FY 2013**	**FY 2014**	**FY 2015**	**FY 2016**	**FY 2017**
Target:	90%	90%	90%	90%	90%	90%
Result:	85%	90%	90%	90%	N/A	N/A

Measure: Percent of time the Integrated Public Alert and Warning System (IPAWS) infrastructure is operating and available for use by Federal, State and Local officials for the dissemination of emergency alerts						
Description: EO 13407 states "It is the policy of the United States to have an effective, reliable, integrated, flexible, and comprehensive system to alert and warn the American people in situations of war, terrorist attack, natural disaster, or other hazards to public safety and well-being (public alert and warning system), taking appropriate account of the functions, capabilities, and needs of the private sector and of all levels of government in our Federal system, and to ensure that under all conditions the President can communicate with the American people." The IPAWS infrastructure provides alert and warning message collection and dissemination so that United States residents will receive authenticated emergency alert messages over as many communications paths as possible.						
Fiscal Year:	**FY 2012**	**FY 2013**	**FY 2014**	**FY 2015**	**FY 2016**	**FY 2017**
Target:	99.9%	99.9%	99.9%	99.9%	99.9%	99.9%
Result:	96.6%	98.0%	98.4%	99.7%	N/A	N/A

Measure: Percent of U.S. population (excluding territories) covered by planned mitigation strategies						
Description: This is a point in time metric that determines the percent of U.S. population (excluding territories) covered by approved or approvable local Hazard Mitigation Plans. The population of each community with approved or approvable local Hazard Mitigation Plans is used to calculate the percentage of the national population. The FEMA Mitigation program gathers and analyzes critical data to aid in future mitigation efforts and enable communities to be better informed and protected. FEMA Mitigation helps communities reduce risk through sound land-use planning principles (such as planned mitigation strategies), floodplain management practices, and financial assistance.						
Fiscal Year:	**FY 2012**	**FY 2013**	**FY 2014**	**FY 2015**	**FY 2016**	**FY 2017**
Target:	80.00%	85.0%	77.0%	78.0%	79.0%	79.0%
Result:	70.96%	76.68%	79.6%	80.8%	N/A	N/A

Management Measures

Measure: Average annual percentage of administrative costs for major disaster field operations,

as compared to total program costs						
Description: This measure gauges FEMA's efficiency in providing disaster assistance by indicating what share of its disaster expenditures are administrative costs compared to the share disseminated as t grants to survivors as assistance. It helps FEMA know if the agency is being efficient in the way it provides disaster assistance. This measure is based on data gathered during disasters where supplemental Federal assistance totals less than $50M. These Level III events represent the majority of the events to which FEMA responds.						
Fiscal Year:	**FY 2012**	**FY 2013**	**FY 2014**	**FY 2015**	**FY 2016**	**FY 2017**
Target:	N/A	N/A	N/A	N/A	<=22.4%	<=20.4%
Result:	N/A	N/A	N/A	N/A	N/A	N/A

Measure: Loss of life per million people due to fire in the U.S.						
Description: This measure analyzes the reduction in the rate of loss of life from fire-related events by one percent per year. It examines the fatalities in the U.S. per million population using modified targets based on the review of historical data. The National Fire Protection Association (NFPA) reports data in September for the previous year. NFPA Survey data are analyzed to produce the report on fire related civilian fatalities.						
Fiscal Year:	**FY 2012**	**FY 2013**	**FY 2014**	**FY 2015**	**FY 2016**	**FY 2017**
Target:	<=12.5	<=12.4	<=9.8	<=9.7	<=9.6	<=9.5
Result:	9.6	9.1	10.2	10.3	N/A	N/A

Measure: Percent of attendees from all levels of government including tribal, public and private sector, and non-governmental organizations reporting they are better prepared to deal with disasters and emergencies as a result of training						
Description: This measure reports the percent of students attending training at the Emergency Management Institute (EMI) who responded to a survey indicating that they are better prepared to deal with disasters and emergencies as a result of the training they received. Training is conducted at the EMI dedicated training facility in Emmitsburg, MD, the Noble Training Center in Anniston, AL, as well as other off-site resident classroom courses taught by EMI instructors. This training provides Federal, State, local and tribal officials, and public and private sector officials, and volunteer organizations having key emergency responsibilities with the knowledge and skills needed to strengthen nationwide preparedness and respond to, recover from, and mitigate against acts of terrorism, natural disasters, and other emergencies.						
Fiscal Year:	**FY 2012**	**FY 2013**	**FY 2014**	**FY 2015**	**FY 2016**	**FY 2017**
Target:	96.00%	96.0%	96%	96%	96%	96%
Result:	96.42%	96.4%	95%	96%	N/A	N/A

Measure: Percent of Federal Departments and Agencies that have viable continuity programs to maintain essential functions in case of disaster

Description: This measure enables FEMA to track the percent of Category 1, 2, and 3 Federal Departments and Agencies with viable Continuity of Operations capabilities to perform essential functions in the event of disruption of normal operations. In addition, this measure allows for FEMA National Continuity Programs to track which agencies are in compliance with current Federal requirements and guidance.						
Fiscal Year:	**FY 2012**	**FY 2013**	**FY 2014**	**FY 2015**	**FY 2016**	**FY 2017**
Target:	Classified	Classified	Classified	Classified	Classified	Classified
Result:	Classified	Classified	Classified	Classified	N/A	N/A

Measure: Percent of National Exercise Program (NEP) exercises demonstrating substantive whole community partnership and participation						
Description: This measure tracks the percent of National Exercise Program (NEP) exercises with partners from the private and non-profit sectors, including nongovernmental organizations, that sponsor an exercise or is a major participant. The intent of the measure is to increase the percentage of private-sector entities conducting exercises by soliciting their participation in the NEP. Their participation as an exercise sponsor or major participant is key to FEMA's ability to promote the whole community approach to validating the capabilities needed to achieve the goal of more secure and resilient nation.						
Fiscal Year:	**FY 2012**	**FY 2013**	**FY 2014**	**FY 2015**	**FY 2016**	**FY 2017**
Target:	N/A	N/A	N/A	N/A	15%	25%
Result:	N/A	N/A	N/A	N/A	N/A	N/A

Measure: Percent of population where Risk MAP has been deployed enabling communities to take mitigation action to reduce risk						
Description: This measures the percent of the population that where Risk MAP has been deployed to provide communities with flood information and tools which they can use to enhance their mitigation plans and better protect their citizens. The population of each community with Risk MAP products is used to calculate the percentage of the national population. Flood risk data products provide information to states and local communities in order for them to develop sound Hazard Mitigation Plans, communicate risks to citizens, and allow the public to take action to prevent risks.						
Fiscal Year:	**FY 2012**	**FY 2013**	**FY 2014**	**FY 2015**	**FY 2016**	**FY 2017**
Target:	44.00%	47.0%	51.0%	57.5%	60.4%	64.0%
Result:	47.30%	50.60%	56.0%	59.6%	N/A	N/A

Measure: Percent of supervisors of students trained who believe their staff are better prepared as a result of National Fire Academy training
Description: The measure assesses the increase in the level of students trained as reported by individual first-line supervisors. These supervisors observe and report through an on-line survey

how training skills are being used on-the-job and whether or not their subordinate is better prepared to respond to disasters and emergencies as a result of the National Fire Academy training they received.						
Fiscal Year:	**FY 2012**	**FY 2013**	**FY 2014**	**FY 2015**	**FY 2016**	**FY 2017**
Target:	82.00%	85.00%	86.00%	86.0%	86.5%	87.0%
Result:	93.90%	90.70%	86%	90%	N/A	N/A

Mature and Strengthen Homeland Security

Resources Requested

FEMA resources supporting *Mature and Strengthen Homeland Security* are provided in the table below.

$ in thousands

Program	2015 Revised Enacted		2016 Enacted		2017 Request	
	$	FTE*	$	FTE	$	FTE
Preparedness and Protection	3,190		3,280		3,280	
Response and Recovery	2,693		2,993		2,993	
Management and Operations	315,270	1,066	334,309	1,094	429,109	1,146
Total	321,153	1,066	340,582	1,094	435,382	1,146

*Reflects reprogrammings/transfers, as applicable, and actual FTE. This footnote applies to all FY 2015 Revised Enacted tables throughout the CJ submission.

Performance Measures

FEMA contributes to this mission, but does not have performance measures in this area.

Department of Homeland Security

United States Citizenship and Immigration Services

Budget Overview

Fiscal Year 2017

Congressional Justification

i. Summary of FY Budget Estimates by Appropriation without Emergency Funding

Department of Homeland Security
United States Citizenship and Immigration Services
Summary of FY 2017 Budget Estimates by Appropriation

Total Appropriations
(Dollars in Thousands)

Appropriation	FY 2015[1]			FY 2016			FY 2017			Increase(+) or Decrease(-) for FY 2017								
	Revised Enacted			Enacted			Request			Total Changes			Program Changes			Adjustments-to-Base		
	POS	FTE	Amount	POS	FTE	Amount	POS	FTE	Amount	POS	FTE	Amount	POS	FTE	Amount	POS	FTE	Amount
Operations and Support	419	342	$104,566	419	398	$107,001	419	398	$103,912	-	-	($3,089)	-	-	($1,230)	-	-	($1,859)
Procurement, Construction, and Improvements	-	-	$19,869	-	-	$12,670	-	-	$15,227	-	-	$2,557	-	-	-	-	-	$2,557
Federal Assistance	-	-	-	-	-	-	-	-	$10,000	-	-	$10,000	-	-	-	-	-	$10,000
Subtotal, Discretionary	**419**	**342**	**$124,435**	**419**	**398**	**$119,671**	**419**	**398**	**$129,139**	**-**	**-**	**$9,468**	**-**	**-**	**($1,230)**	**-**	**-**	**$10,698**
Immigration Examinations Fee Account (Mandatory Fee)	15,266	12,771	$3,333,287	15,271	14,508	$3,430,546	18,044	16,577	$3,829,131	2,773	2,069	$398,585	2,773	2,069	$383,421	-	-	$15,164
H-1B Nonimmigrant Petitioner Account (Mandatory Fees)	-	-	$18,000	-	-	$15,000	-	-	$15,000	-	-	-	-	-	-	-	-	-
Fraud Prevention and Detection Account (Mandatory Fee)	185	353	$66,000	185	185	$45,000	185	185	$45,000	-	-	-	-	-	-	-	-	-
Subtotal, Mandatory	**15,451**	**13,124**	**$3,417,287**	**15,456**	**14,693**	**$3,490,546**	**18,229**	**16,762**	**$3,889,131**	**2,773**	**2,069**	**$398,585**	**2,773**	**2,069**	**$383,421**	**-**	**-**	**$15,164**
Total, United States Citizenship and Immigration Services	**15,870**	**13,466**	**$3,541,722**	**15,875**	**15,091**	**$3,610,217**	**18,648**	**17,160**	**$4,018,270**	**2,773**	**2,069**	**$408,053**	**2,773**	**2.069**	**$382,191**	**-**	**-**	**$25,862**
Subtotal, Enacted Appropriations and Budget Estimates	**15,870**	**13,466**	**$3,541,722**	**15,875**	**15,091**	**$3,610,217**	**18,648**	**17,160**	**$4,018,270**	**2,773**	**2,069**	**$408,053**	**2,773**	**2.069**	**$382,191**	**-**	**-**	**$25,862**
Net, Enacted Appropriations and Budget Estimates:	**15,870**	**13,466**	**$3,541,722**	**15,875**	**15,091**	**$3,610,217**	**18,648**	**17,160**	**$4,018,270**	**2,773**	**2,069**	**$408,053**	**2,773**	**2.069**	**$382,191**	**-**	**-**	**$25,862**

[1] Reflects reprogrammings/transfers, as applicable and actual FTE. This footnote applies to all FY 2015 Revised Enacted tables throughout the CJ submission.
* FY 2015 and FY 2016 funding levels reflect the CAS structure and are for comparison purposes only.

ii. FY 2017 Investment Summary

Department of Homeland Security
United States Citizenship and Immigration Services
FY 2017 Investment Summary- Appropriation Level
(Dollars in Thousands)

Investment Name	PPA(s)	FY 2015 Revised Enacted	FY 2016 Enacted	FY 2017 Request
Infrastructure (End User Support)	IEFA - O&S Adjudication Services	$63,630	$63,705	$64,865
Infrastructure (Enterprise)	IEFA - O&S Adjudication Services	$124,197	$124,347	$126,720
Transformation	IEFA - O&S Adjudication Services - Premium Fee	$64,079	$57,367	$54,612
Transformation	IEFA - PC&I Adjudication Services - Premium Fee	$135,803	$118,414	$118,924
Verification Modernization	O&S - Immigration Status Verification	$44,078	$107,001	$103,912
Verification Modernization	PC&I - Immigration Status Verification	$19,869	$12,670	$15,227
Total		**$451,656**	**$483,504**	**$484,260**

* In the Verification Modernization investment in the Future Year Homeland Security Program (FYHSP) data system, FY 2016 funding for operations and sustainment is $104.560 million and funding for acquisition is $15.111 million to tie to the OMB business case. The revision to the business case happened after the FY 2016 budget total was finalized for O&S and PC&I.

**FY 2015 and FY 2016 funding levels reflect the CAS structure and are for comparison purposes only.

iii. Status of Congressionally Requested Studies, Reports and Evaluations

Department of Homeland Security
United States Citizenship and Immigration Services

Fiscal Year	Due Date	Reference/Citation	Requirement	Status
FY 2015	10/19/2015	S. Rpt. 113-77	**EB-5 Visa Program Report (2nd Half of FY15 Q3 & Q4 Update) Program** - within 90 days of the date of enactment of this act, and every 180 days thereafter, that includes the number of applications pending, the period of time each application has been under review, benchmark review periods for the economic evaluation of projects and suitability of petitioners, a description of any additional resources necessary to efficiently administer the program, and the number of applications that are approved or denied each period with an accompanying explanation of their disposition.	Under development
FY 2016	2/1/2016	S. Rpt. 114-68	**Fraud Detection and National Security Compliance Review** - The Committee is concerned about visa fraud and encourages USCIS to establish a risk-based, data-driven goal for completing unannounced compliance audits of employers and directs USCIS to provide a report to the Committee within 45 days of the date of enactment of this act describing the methodology for setting the goal and the actions planned to achieve the goal.	Under development
FY 2016	2/1/2016	S. Rpt. 114-68	**Costs and Timeline for Making E-Verify Mandatory for Employers** - USCIS shall update the Committee on its efforts to create a mobile application and other available smart-phone technologies for employers using E-Verify so as to encourage small employers to use the system. In addition, the Committee directs USCIS to study and report to the Committee within 45 days of the date of enactment of this act on the estimated costs and timeline for making E-Verify mandatory for employers.	Under development

iv. Schedule of Authorized/Unauthorized Appropriations by PPA

Department of Homeland Security
United States Citizenship & Immigration Services
Schedule of Authorized/Unauthorized Appropriations by Program Project/Activity
(Dollars in Thousands)

Budget Activity	Last Year of Authorization	Authorized Level	Appropriation in Last Year of Authorization	FY 2017 Request
Discretionary Accounts	**Fiscal Year**	**Amount**	**Amount**	**Amount**
Operations and Support Procurement, Construction, and Improvements Federal Assistance	2002	631,745	707,392	129,139
Total Direct Authorization/Appropriation		**631,745**	**707,392**	**129,139**
Fee Accounts				
Immigration Examinations Fee	1988	N/A	N/A	N/A
H-1B Nonimmigrant Petitioner	1998	N/A	N/A	N/A
Fraud Prevention and Detection	2004	N/A	N/A	N/A
Total Direct Authorization/Appropriation		**631,745**	**707,392**	**129,139**

Note: The Immigration Examinations Fee Account was established by the 1989 DOJ Appropriations Act, Pub. L. No. 100-459 (1988); the H-1B Non-Immigrant Petitioner Fee Account was established by section 414 of the Omnibus Consolidated and Emergency Supplemental Appropriations Act, Pub. L. No. 105-277 (1998); and the Fraud Prevention and Detection Fee Account was authorized by Pub. L. No. 108-447, div. J, title IV § 426 (2004).

Department of Homeland Security

United States Citizenship and Immigration Services

Operations and Support

Fiscal Year 2017

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Schedule I – Executive Summary of Appropriation Exhibits

Summary of Budget Estimates by Program Project Activity- Appropriation Level

Department of Homeland Security
United States Citizenship and Immigration Services
Operations and Support
Summary of FY 2017 Budget Estimates by Program Project Activity

FY 2017 Request
(Dollars in Thousands)

Program Project Activity	FY 2015			FY 2016			FY 2017			Increase(+) or Decrease(-) for FY 2017		
	Revised Enacted[1]			Enacted			Request			Total Changes		
	POS	FTE	Amount	POS	FTE	Amount	POS	FTE	Amount	POS	FTE	Amount
Immigration Status Verification	419	342	$104,566	419	398	$107,001	419	398	$103,912	-	-	($3,089)
Total, Operations and Support	**419**	**342**	**$104,566**	**419**	**398**	**$107,001**	**419**	**398**	**$103,912**	**-**	**-**	**($3,089)**
Subtotal, Enacted Appropriations & Budget Estimates	**419**	**342**	**$104,566**	**419**	**398**	**$107,001**	**419**	**398**	**$103,912**	**-**	**-**	**($3,089)**
Net, Enacted Appropriations and Budget Estimates:	**419**	**342**	**$104,566**	**419**	**398**	**$107,001**	**419**	**398**	**$103,912**	**-**	**-**	**($3,089)**

[1] Reflects reprogrammings/transfers, as applicable, and actual FTE. This footnote applies to all FY15 revised Enacted tables throughout the CJ submission.

*FY 2015 and FY 2016 funding levels reflect the CAS structure and are for comparison purposes only.

Overview

The U.S. Citizenship and Immigration Services (USCIS) Operations and Support (O&S) appropriation encompasses the funding for ongoing mission operations, mission support, and associated management and administration (M&A) costs of the E-Verify program. O&S includes the following PPA:

- Immigration Status Verification (ISV): ISV includes the E-Verify and the Systematic Alien Verification for Entitlements (SAVE) programs. The SAVE program is funded from mandatory fee funding within the Immigration Examinations Fee Account. The requirements for both programs are reflected in the Verification Modernization (VER MOD) initiative. The VER MOD requirements generate benefits to both programs because of the programs' similarities and sharing of the Verification Information

System (VIS). As a result, the projected investment and the operation and maintenance costs are prorated between the two programs.

Prior to FY 2017, USCIS discretionary programs were supported through USCIS's discretionary Salaries & Expenses appropriation. Effective in FY 2017, in accordance with the Department of Homeland Security (DHS) Common Appropriations Structure initiative, DHS is requesting that ongoing discretionary funding be supported through this O&S appropriation, while planning and acquisition investment expenses be supported through a separate discretionary Procurement, Construction, and Improvements (PC&I) appropriation. O&S includes all salary and benefits requirements because they are primarily related to operations and mission support and are not solely related to another appropriation such as PC&I.

B. FY 2016 to FY 2017 Budget Change - Appropriation Level

Department of Homeland Security
United States Citizenship and Immigration Services
Operations and Support

FY 2016 to FY 2017 Budget Change
(Dollars in Thousands)

	Pos.	FTE	Amount
FY 2015 Revised Enacted	-	-	-
FY 2016 Enacted	-	-	-
Adjustments-to-Base			
Transfers to and from other accounts:			
From Salaries and Expenses-E-Verify to O&S-Immigration Status Verification	419	398	$107,001
Total Transfers	**419**	**398**	**$107,001**
Increases			
2017 Pay Raise	-	-	$562
Annualization of 2016 Pay Raise	-	-	$116
Federal Protective Service Fee	-	-	$16
Performance and Learning Management System	-	-	$4
Total, Increases	**-**	**-**	**$698**
Decreases			
Verification Modernization IT Business Case Adjustment	-	-	($2,557)
Total, Decreases	**-**	**-**	**($2,557)**
Total Other Adjustments	**-**	**-**	**($1,859)**
Total Adjustments-to-Base	**419**	**398**	**$105,142**
FY 2017 Current Services	**419**	**398**	**$105,142**
Program Changes			
Decreases			
Public Education and Outreach	-	-	($1,230)
Total, Decreases	**-**	**-**	**($1,230)**
Total Program Changes	**-**	**-**	**($1,230)**
FY 2017 Request	**419**	**398**	**$103,912**
FY 2016 to FY 2017 Change	**419**	**398**	**$103,912**

C. FY 2017 Investment Summary - Appropriation Level

Department of Homeland Security
United States Citizenship and Immigration Services
Operations and Support
FY 2017 Investment Summary- Appropriation Level
(Dollars in Thousands)

Investment Name	PPA(s)	FY 2015 Revised Enacted	FY 2016 Enacted	FY 2017 Request
Verification Modernization	O&S - Immigration Status Verification	$44,078	$107,001	$103,912
Total		**$44,078**	**$107,001**	**$103,912**

*FY 2015 and FY 2016 funding levels reflect the CAS structure and are for comparison purposes only.

**FY 2016 funding for operations and sustainment in the Verification Modernization investment in the Future Year Homeland Security Program (FYHSP) data system is $104.560 million to tie to the OMB business case. The revision to the business case happened after the FY 2016 budget total was finalized for O&S.

Exhibit D. FY 2016 to FY 2017 Budget Change- PPA Level

Department of Homeland Security
United States Citizenship and Immigration Services
Operations and Support
Immigration Status Verification
FY 2016 to FY 2017 Budget Change- PPA Level
(Dollars in Thousands)

Immigration Status Verification		Positions	FTE	Amount
Prior Year	**FY 2015 Revised Enacted**			
Base	**FY 2016 Enacted**			
Current Services	2017 Pay Raise	-	-	562
	Annualization of 2016 Pay Raise	-	-	116
	Federal Protective Service Fee	-	-	16
	From Salaries and Expenses-E-Verify to O&S-Immigration Status Verification	419	398	107,001
	Performance and Learning Management System	-	-	4
	Verification Modernization IT Business Case Adjustment	-	-	(2,557)
Program Changes	Public Education and Outreach	-	-	(1,230)
Budget Year	**FY 2017 Request**	**419**	**398**	**103,912**
	Total Change from FY 2016 to FY 2017	**-**	**-**	**(3,089)**

PPA DESCRIPTION:

The O&S, Immigration Status Verification PPA provides funds for the operations, mission support, and associated M&A costs of E-Verify – the program that provides employment authorization status verification to employers. E-Verify is an internet-

based program that enables an employer to determine a newly-hired employee's eligibility to work in the United States by verifying information reported on an employee's Form I-9 against DHS, Social Security Administration, Department of State, and Department of Motor Vehicle data from participating states. During FY 2015, there were 616,620 employers enrolled in E-Verify and the program processed more than 31 million queries. The program continues to grow by an average of 1,300 new employers joining each week.

The table below provides a detailed breakout of FY 2017 planned spending.

Description of Funding	Operations & Support
Pay and Benefits	**$47,197,000**
General Operating Expenditures	$8,404,000
Total Contracts	**$48,311,000**
Secondary Reimbursement to Social Security (SSA)	$7,547,000
Verification Information System O&M	$11,875,000
Verification Modernization	**$13,957,000**
Self Check (my E-Verify)	$2,022,000
Customer Relationship Management	$3,500,000
Telephony – Contact Center	$4,236,000
Data Analytics Tool (DAT) – Licensing	$2,589,000
Records and Information from DMVs for E-Verify (RIDE)	$1,610,000
Mobile	$0
Outreach and Education	$1,755,000
Other Contracts	$13,177,000
Total	**$103,912,000**

Reflected in the FY 2017 request level above is a $2.557 million reduction for VER MOD IT Business Case Adjustment, which is a technical adjustment to realign funds to the PC&I appropriation.

The FY 2017 Budget will support the projected level of activity outlined in the table below. FY 2015 actuals and FY 2016 projections are provided for comparison.

E-Verify	FY 15 Actuals	FY 16	FY 17
Hiring site Queries	31,766,136	34,759,363	37,932,449
E-Verify cases requiring secondary review by USCIS staff	341,273	351,511	362,057
E-Verify cases requiring additional reviews by USCIS staff	57,516	59,241	61,019
Records and Information from DMVs for E-Verify queries**	2,387,300	2,663,957	2,972,431
Employers (Cumulative)	616,620	668,931	719,673
E-Verify Monitor & Compliance Staff	FY 15 Actuals	FY 16	FY 17
On-Board	72	78	78
Vacancies	6	-	-
Payroll Expenditures	6,213,680	7,061,162	7,152,958
Monitoring & Compliance	FY 15 Actuals	FY 16	FY 17
Emails	108,452	118,675	141,716
Compliance Calls	4,430	4,815	5,750
Desk Reviews	627	657	784
Site Visits	62	65	77
Locked Social Security numbers (SSNs)	154	165	197
Total Employer Actions	**113,725**	**124,377**	**148,524**
External Actions	FY 15 Actuals	FY 16	FY 17
Referrals to Immigration and Customs Enforcement (Fraud)	1	1	1
Referrals to Department of Justice (Discrimination)	598	593	708
Law Enforcement Requests	270	292	349
Total External Activity	**869**	**886**	**1,058**

** Nebraska and North Dakota joined the E-Verify RIDE Program in FY 2015, bringing the total number of participating states to seven .

This PPA also includes funding for the E-Verify Monitoring and Compliance Branch. As required by House Report 114-68 accompanying H.R. 3128, obligations for the Branch from FY 2015 to FY 2017 are as follows:

Monitoring and Compliance Branch*
($ in Thousands)

FY 2015 Actuals	FY 2016 Estimate	FY 2017 Budget
$6,214	$7,061	$7,153

**The amounts provided in the table only represent salaries and benefits of staff in the Monitoring and Compliance Branch.*

Department of Homeland Security
United States Citizenship and Immigration Services
Immigration Status Verification
Justification of Program Changes
(Dollars in Thousands)

Program Decrease: Public Education and Outreach
PPA: Immigration Status Verification
Program Decrease: Positions 0, FTE 0, Dollars ($1,230)

Funding Profile

	FY 2015 Revised Enacted			FY 2016 Enacted			FY 2017 Request		
	FTP	FTE	Dollars ($000)	FTP	FTE	Dollars ($000)	FTP	FTE	Dollars ($000)
Immigration Status Verification							-	-	$2,985
Subtotal, Current Services							**-**	**-**	**$2,985**
Immigration Status Verification							-	-	($1,230)
Subtotal, Program Decreases							**-**	**-**	**($1,230)**
Total Request	**-**	**-**	**$2,971**	**-**	**-**	**$2,985**	**-**	**-**	**$1,755**

DESCRIPTION OF ITEM:

The Public Education and Outreach contract for E-Verify will be reduced by $1.230 million. The contract supports the development of campaigns to promote national awareness of the benefits of E-Verify for employers and focuses on educating employees on their rights.

Justification:

Given a constrained budget environment, USCIS determined that Public Education and Outreach funding could be reduced in FY 2017 without impacting the delivery of services.

Impacts on Performance

USCIS will mitigate the impact of the reduction by increasing the use of enhanced web conferencing and relying on USCIS employees to conduct outreach initiatives to limited stakeholder groups.

Department of Homeland Security
United States Citizenship and Immigration Services
Operations and Support
Immigration Status Verification
Cost Drivers (Pay & Benefits) – Sub-PPA Level (Excludes Reimbursables) ($000s)

	FY 2015 Revised Enacted[1]				FY 2016 Enacted				FY 2017 Request				FY 2016 to FY 2017 Change			
	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost
Civilian Total	419	342	$41,607	$122	419	398	$46,519	$116	419	398	$47,197	$118	-	-	$678	$2

[1] Reflects reprogrammings/transfers, as applicable, and actual FTE. The use of FY 2015 Revised Enacted Budgetary Resources in the CAS Pay Cost Drivers Exhibit may reflect a higher average FTE Cost than represented in FY 2016 Enacted or FY 2017 Request average cost columns.

NARRATIVE EXPLANATION OF CHANGES:

- **FTE Change 2016-2017:** No Change in FTE.
- **Personnel Compensation and Benefits (PC&B) Change 2016-2017:** Reflects a 1.6 percent increase for the 2017 pay raise and annualization of the 2016 pay raise.
- **Average Cost Change FY 2016-2017:** An average increase of $1,704 based on the 2017 pay raise calculations.
- FY 2017 request estimates $320,940 for performance awards. This request remains in line with OPM Awards Guidance on spending limitation.

Department of Homeland Security
United States Citizenship and Immigration Services
Immigration Status Verification
Cost Drivers (Non-Pay) - PPA Level ($000s)

O&S - Immigration Status Verification	2015 Revised Enacted	2016 Enacted	2017 Request	FY 2016 to FY 2017 Change
FY 2017 Non- Pay Cost Drivers (greatest-least)				
Verification Information System (VIS) Operation and Maintenance (O&M)	27,353	$20,765	$11,875	($8,890)
Reimbursements to Social Security Administration (SSA)	6,632	$6,500	$7,547	$1,047
Rental payments to GSA	4,256	$4,596	$4,801	$205
Telephony	6,336	$3,400	$4,236	$836
Customer Relationship Management (CRM)	3,674	$2,700	$3,500	$800
Total	**$48,251**	**$37,961**	**$31,959**	**($6,002)**

*FY 2015 and FY 2016 funding levels reflect the CAS Structure and are for comparison purposes only.

NARRATIVE EXPLANATION OF CHANGES:

- **VIS Operation and Maintenance (O&M):** This cost is for all day-to-day operations and application maintenance to fully maintain VIS, such as managing software configuration; conducting corrective, adaptive, or relevance maintenance; maintaining VIS operational readiness, system administration activities, technical service support, database administration, and ad-hoc reporting. At the end of FY 2015, the E-Verify program scaled down the contract work and de-scoped VIS O&M to focus on the Verification Modernization development.

- **Reimbursements to Social Security Administration (SSA):** This cost is to reimburse SSA for all E-Verify queries that cannot be resolved electronically. These costs are dependent upon query volume.

- **Rental Payments to GSA:** The FY 2017 amount is based on projections developed by USCIS's Facilities Division, using information provided by GSA and reflects projected rent increases, termination of leases, and new leases.

- **Telephony:** This cost is to maintain the telephony system that routes callers to automated answers or to service representatives.

- **Customer Relationship Management (CRM):** This cost is to maintain the CRM system, which gives users access to all current customer data in one system.

Schedule III – Other Exhibits

Exhibit E. Justification of Proposed Legislative Language

Department of Homeland Security
United States Citizenship and Immigration Services
Operations and Support

For necessary expenses for citizenship and immigration services, **[**$119,671,000**]** *including minor procurements, construction, and improvements, $103,912,000* for the E-Verify Program, as described in section 403(a) of the Illegal Immigration Reform and Immigrant Responsibility Act of 1996 (8 U.S.C. 1324a note), to assist United States employers with maintaining a legal workforce: *Provided*, That notwithstanding any other provision of law, funds otherwise made available to United States Citizenship and Immigration Services may be used to acquire, operate, equip, and dispose of up to 5 vehicles, for replacement only, for areas where the Administrator of General Services does not provide vehicles for lease: *Provided further*, That the Director of United States Citizenship and Immigration Services may authorize employees who are assigned to those areas to use such vehicles to travel between the employees' residences and places of employment.

Explanation of Proposed Change

The legislative language associated with this account has been updated and streamlined to reflect the Department's new Common Appropriations Structure. Funding amounts have been updated to reflect the FY 2017 President's Budget.

Exhibit F. Summary of Fee Collections and Carryover

N/A

Exhibit G. Summary of Reimbursable Resources

N/A

Exhibit H. FY 2017 Schedule of Working Capital Fund by Program/Project Activity

Department of Homeland Security
United States Citizenship and Immigration Services
Operations and Support
(Dollars in Thousands)

Program/Project/Activity	FY 2015 Revised Enacted Amount	FY 2016 Enacted Amount	FY 2017 Request Amount	Increase/Decrease for FY 2017 Amount
Immigration Status Verification	-	-	$618	$618
Total Working Capital Fund	**-**	**-**	**$618**	**$618**

Exhibit I. Capital Investment and Construction Initiative Listing

N/A

Exhibit J. Object Class Breakout by Appropriation

Department of Homeland Security
United States Citizenship and Immigration Services
Operations and Support

Summary of Requirements by Object Class

(Dollars in Thousands)

	2015	2016	2017	FY 2016 to
Object Classes	**Revised Enacted**	**Enacted**	**Request**	**FY 2017 Change**
Personnel and Other Compensation Benefits				
11.1 Full-time Permanent	$31,006	$34,667	$35,172	$505
11.3 Other than Full-Time Permanent	$484	$541	$549	$8
11.5 Other Personnel Compensation	$415	$464	$471	$7
12.1 Civilian Personnel Benefits	$9,702	$10,847	$11,005	$158
Total, Personnel and Other Compensation Benefits	**$41,607**	**$46,519**	**$47,197**	**$678**
Other Object Classes				
21.0 Travel and Transportation of Persons	$119	$118	$118	-
22.0 Transportation of Things	$12	$10	$10	-
23.1 Rental Payments to GSA	$4,256	$4,596	$4,801	$205
23.2 Rental Payments to Others	$37	$32	$31	($1)
23.3 Communications, Utilities, and Misc. Charges	$2,540	$2,216	$2,170	($46)
24.0 Printing and Reproduction	$15	$13	$13	-
25.1 Advisory and Assistance Services	$36,794	$36,761	$33,182	($3,579)
25.2 Other Services from Non-Federal Sources	$747	$652	$639	($13)
25.3 Other Goods and Services from Federal Sources	$10,349	$9,028	$8,841	($187)
25.7 Operation and Maintenance of Equipment	$6,013	$5,245	$5,137	($108)
25.8 Subsistence & Support of Persons	-	-	-	-
26.0 Supplies and Materials	$102	$89	$87	($2)
31.0 Equipment	$1,806	$1,575	$1,542	($33)
32.0 Land and Structures	$169	$147	$144	($3)
Total, Other Object Classes	**$62,959**	**$60,482**	**$56,715**	**($3,767)**
Total, Direct Obligations	**$104,566**	**$107,001**	**$103,912**	**($3,089)**
Adjustments				
Net Offsetting Collections	-	-	-	-

	2015	2016	2017	FY 2016 to
Object Classes	**Revised Enacted**	**Enacted**	**Request**	**FY 2017 Change**
Unobligated Balance, start of year	-	-	-	-
Unobligated Balance, end of year	-	-	-	-
Recoveries of Prior Year Obligations	-	-	-	-
Offsetting Collections	-	-	-	-
Total Requirements	**$104,566**	**$107,001**	**$103,912**	**($3,089)**

*FY 2015 and FY 2016 funding levels reflect the CAS structure and are for comparison purposes only

Exhibit K. Object Class Breakout by PPA

Department of Homeland Security
United States Citizenship and Immigration Services
Operations and Support
Immigration Status Verification
Summary of Requirements by Object Class
(Dollars in Thousands)

Object Classes	FY 2015 Revised Enacted	FY 2016 Enacted	FY 2017 Request	FY 2016 to FY 2017 Change
Personnel and Compensation Benefits	-	-	-	-
11.1 Full-time Permanent	$31,006	$34,667	$35,172	$505
11.3 Other than Full-Time Permanent	$484	$541	$549	$8
11.5 Other Personnel Compensation	$415	$464	$471	$7
12.1 Civilian Personnel Benefits	$9,702	$10,847	$11,005	$158
Total, Personnel and Compensation Benefits	**$41,607**	**$46,519**	**$47,197**	**$678**
Other Object Classes	-	-	-	-
21.0 Travel and Transportation of Persons	$119	$118	$118	-
22.0 Transportation of Things	$12	$10	$10	-
23.1 Rental Payments to GSA	$4,256	$4,596	$4,801	$205
23.2 Rental Payments to Others	$37	$32	$31	($1)
23.3 Communications, Utilities, and Misc. Charges	$2,540	$2,216	$2,170	($46)
24.0 Printing and Reproduction	$15	$13	$13	-
25.1 Advisory and Assistance Services	$36,794	$36,761	$33,182	($3,579)
25.2 Other Services from Non-Federal Sources	$747	$652	$639	($13)
25.3 Other Goods and Services from Federal Sources	$10,349	$9,028	$8,841	($187)
25.7 Operation and Maintenance of Equipment	$6,013	$5,245	$5,137	($108)
26.0 Supplies and Materials	$102	$89	$87	($2)
31.0 Equipment	$1,806	$1,575	$1,542	($33)
32.0 Land and Structures	$169	$147	$144	($3)
Total, Other Object Classes	**$62,959**	**$60,482**	**$56,715**	**($3,767)**
Adjustments	-	-	-	-
Unobligated Balance, start of year	-	-	-	-
Unobligated Balance, end of year	-	-	-	-

Object Classes	FY 2015 Revised Enacted	FY 2016 Enacted	FY 2017 Request	FY 2016 to FY 2017 Change
Personnel and Compensation Benefits	**-**	**-**	**-**	**-**
Recoveries of Prior Year Obligations	-	-	-	-
Total Requirements	**$104,566**	**$107,001**	**$103,912**	**($3,089)**
Full Time Equivalents	**398**	**398**	**398**	**-**

*FY 2015 and FY 2016 funding levels reflect the CAS structure and are for comparison purposes only

Exhibit L. Permanent Positions by Grade

Department of Homeland Security
United States Citizenship and Immigration Services
Operations and Support
Permanent Positions by Grade

Grades and Salary Range	FY 2015 Revised Enacted Pos.	FY 2016 Enacted Pos.	FY 2017 Request Pos.	FY 2016 to FY 2017 Change Total
Total, SES	-	-	1	1
GS-15	-	-	43	43
GS-14	-	-	107	107
GS-13	-	-	68	68
GS-12	-	-	44	44
GS-11	-	-	41	41
GS-10	-	-	2	2
GS-9	-	-	79	79
GS-7	-	-	34	34
Total Permanent Positions	-	-	**419**	**419**
Unfilled Positions EOY	-	-	-	-
Total Permanent Employment EOY	-	-	-	-
Headquarters	-	-	203	203
U.S. Field	-	-	216	216
Total, Operations and Support:	-	-	**419**	**419**
Full Time Equivalents	-	-	**398**	**398**
Average ES Salary	-	-	163,370	163,370
Average GS Salary	-	-	93,684	93,684
Average Grade	-	-	12	12

Exhibit M. Changes in Full Time Employment

Department of Homeland Security
United States Citizenship and Immigration Services
Operations and Support
Changes in Full Time Employment

	FY 2015	FY 2016	FY 2017
Increases			
From Salaries and Expenses-E-Verify to O&S-Immigration Status Verification	-	-	398
Decreases			
Year End Actuals/Estimated FTEs:	**-**	**-**	**398**

Department of Homeland Security

United States Citizenship and Immigration Services

Procurement, Construction, and Improvements

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Schedule I – Executive Summary of Appropriation Exhibits

Summary of Budget Estimates by Program Project Activity- Appropriation Level

Department of Homeland Security
United States Citizenship and Immigration Services
Procurement, Construction, and Improvements
Summary of FY 2017 Budget Estimates by Program Project Activity

FY 2017 Request
(Dollars in Thousands)

Program Project Activity	FY 2015			FY 2016			FY 2017			Increase(+) or Decrease(-) for FY 2017		
	Revised Enacted[1]			Enacted			Request			Total Changes		
	POS	FTE	Amount	POS	FTE	Amount	POS	FTE	Amount	POS	FTE	Amount
Immigration Status Verification	-	-	$19,869	-	-	$12,670	-	-	$15,227	-	-	$2,557
Total, Procurement, Construction, and Improvements	**-**	**-**	**$19,869**	**-**	**-**	**$12,670**	**-**	**-**	**$15,227**	**-**	**-**	**$2,557**
Subtotal, Enacted Appropriations & Budget Estimates	**-**	**-**	**$19,869**	**-**	**-**	**$12,670**	**-**	**-**	**$15,227**	**-**	**-**	**$2,557**
Net, Enacted Appropriations and Budget Estimates:	**-**	**-**	**$19,869**	**-**	**-**	**$12,670**	**-**	**-**	**$15,227**	**-**	**-**	**$2,557**

[1] Reflects reprogrammings/transfers, as applicable, and actual FTE. This footnote applies to all FY15 revised Enacted tables throughout the CJ submission.

*FY 2015 and FY 2016 funding levels reflect the CAS structure and are for comparison purposes only

A. Appropriation Overview

The U.S. Citizenship and Immigration Services (USCIS) Procurement, Construction, and Improvements (PC&I) appropriation encompasses the funding for planning and acquisition costs for the E-Verify program, which helps U.S. employers ensure they have a legal workforce by providing employment authorization status verification of their recently hired employees. The PC&I appropriation helps ensure the integrity of the immigration system through strengthening and modernizing infrastructure supporting the E-Verify program. PC&I includes the following PPA:

- **Immigration Status Verification (ISV)**: ISV includes both the E-Verify and Systematic Alien Verification for Entitlements (SAVE) programs. The SAVE program is funded from mandatory fee funding within the Immigration Examinations Fee Account. As a result, the discretionary PC&I appropriation only includes funding associated with requirements attributable to the E-Verify

program. Certain E-Verify requirements may generate an incidental benefit to the SAVE program because of the programs' similarities and sharing of certain technology infrastructure elements. However, expenses attributed to the PC&I appropriation represent the E-Verify share of the total investment cost.

Note: Prior to FY 2017, USCIS discretionary programs were supported through USCIS's discretionary Salaries & Expenses appropriation. Effective in FY 2017, in accordance with the Department of Homeland Security (DHS) Common Appropriations Structure initiative, discretionary planning and acquisition funding is supported through this PC&I appropriation, while ongoing operational funding is supported through a separate discretionary Operations and Support (O&S) appropriation. Salaries are not included in the PC&I appropriation because they are not directly and solely related to PC&I. PC&I encompasses the funding for planning and acquisition that is presented in the relevant investment business cases; funding for operations and maintenance that is presented in the investment business cases is included in O&S.

B. FY 2016 to FY 2017 Budget Change - Appropriation Level

Department of Homeland Security
United States Citizenship and Immigration Services
Procurement, Construction, and Improvements

FY 2016 to FY 2017 Budget Change
(Dollars in Thousands)

	Pos.	FTE	Amount
FY 2015 Revised Enacted	-	-	-
FY 2016 Enacted	-	-	-
Adjustments-to-Base			
Transfers to and from other accounts:			
Transfer from S&E-E-Verify to PC&I-Immigration Status Verification	-	-	$12,670
Total Transfers	-	-	**$12,670**
Increases			
Verification Modernization IT Business Case Adjustment	-	-	$2,557
Total, Increases	-	-	**$2,557**
Total Other Adjustments	-	-	**$2,557**
Total Adjustments-to-Base	-	-	**$15,227**
FY 2017 Current Services	-	-	**$15,227**
FY 2017 Request	-	-	**$15,227**
FY 2016 to FY 2017 Change	-	-	**$2,557**

C. FY 2017 Investment Summary - Appropriation Level

Department of Homeland Security
United States Citizenship and Immigration Services
Procurement, Construction, and Improvements
FY 2017 Investment Summary- Appropriation Level
(Dollars in Thousands)

Investment Name	PPA(s)	FY 2015 Revised Enacted	FY 2016 Enacted	FY 2017 Request
Verification Modernization	PCI - Immigration Status Verification	$19,869	$12,670	$15,227
Total		**$19,869**	**$12,670**	**$15,227**

*FY 2015 and FY 2016 funding levels reflect the CAS structure and are for comparison purposes only.

**FY 2016 funding for acquisition in the Verification Modernization investment in the Future Year Homeland Security Program (FYHSP) data system is $15.111 million to tie to the OMB business case. The revision to the business case happened after the FY 2016 budget total was finalized for PC&I.

Exhibit D. FY 2016 to FY 2017 Budget Change- PPA Level

Department of Homeland Security
United States Citizenship and Immigration Services
Procurement, Construction, and Improvements
Immigration Status Verification
FY 2016 to FY 2017 Budget Change- PPA Level
(Dollars in Thousands)

Immigration Status Verification		Positions	FTE	Amount
Prior Year	**FY 2015 Revised Enacted**	**-**	**-**	**-**
Base	**FY 2016 Enacted**	**-**	**-**	**-**
	Transfer from S&E-E-Verify to PC&I-Immigration Status Verification	-	-	12,670
	Verification Modernization IT Business Case Adjustment	-	-	2,557
Budget Year	**FY 2017 Request**	**-**	**-**	**15,227**
	Total Change from FY 2016 to FY 2017	**-**	**-**	**2,557**

*FY 2015 and FY 2016 funding levels reflect the CAS structure and are for comparison purposes only.

PPA DESCRIPTION:

The PC&I, Immigration Status Verification PPA provides funds for the planning and acquisition costs for E-Verify – the program through which USCIS provides employment authorization status verification to employers. E-Verify is an internet-based program that enables an employer to determine a newly-hired employee's eligibility to work in the United States by verifying information reported on an employee's Form I-9 against DHS, Social Security Administration, Department of State and Department of Motor Vehicle data from participating states. As of September 30, 2015, there were 616,620 employers enrolled in E-Verify and they ran more than 31.7 million queries. The program continues to grow by approximately 1,374 new employers per week.

USCIS requires $15.227 million, 0 Positions, and 0 Full-Time Equivalents (FTE) to maintain Current Services in this PPA. FY 2017 Current Services funding will provide:

- Updates and enhancements to Verification Information Systems (VIS) through a series of agile software releases and development to improve business workflows and functionality, while improving system scalability and accuracy, to support the E-Verify and SAVE programs.

Current Services also includes a $2.557 million increase for Verification Modernization (VER MOD) IT Business Case Adjustment, which is a technical adjustment to realign funds from the O&S appropriation.

Department of Homeland Security
United States Citizenship and Immigration Services
Immigration Status Verification
Cost Drivers (Non-Pay) - PPA Level ($000s)

PC&I - Immigration Status Verification	2015 Revised Enacted	2016 Enacted	2017 Request	FY 2016 to FY 2017 Change
FY 2017 Non- Pay Cost Drivers (greatest-least)				
Advisory and Assistance Services	$19,869	$12,670	$15,227	$2,557
Total	**$19,869**	**$12,670**	**$15,227**	**$2,557**

*FY 2015 and FY 2016 funding levels reflect the CAS structure and are for comparison purposes only.

**FY 2016 funding for acquisition in the Verification Modernization investment in the Future Year Homeland Security Program (FYHSP) data system is $15.111 million to tie to the OMB business case. The revision to the business case happened after the FY 2016 budget total was finalized for PC&I.

NARRATIVE EXPLANATION OF CHANGES:

- **Contract/Verification Modernization Development:** PC&I provides funding to support modernization of Verification systems, including the VIS and its associated business processes and applications through a series of agile software development and releases. The primary factor for the increase from FY 2016 to FY 2017 is based on the program's delivery of Phase 1 capability which decouples VIS components to provide improved scalability as well as a more user-friendly Status Verification System.

Department of Homeland Security
United States Citizenship and Immigration Services
Immigration Status Verification

Investment Name: Verification Modernization (UII Number- 024-000005612)

Investment Description - The USCIS VER MOD investment aims to strengthen and improve E-Verify and SAVE to support continued workload growth by modernizing the VIS and associated applications. The E-Verify program allows participating employers to verify the employment eligibility of newly hired employees, while the SAVE program allows federal, state and local government agencies to verify the immigration status of benefit applicants. Modernization will streamline and automate business processes, strengthen the technical infrastructure of VIS, and increase the capacity of both E-Verify and SAVE, while reducing the risk of identity fraud, data inaccuracies, and system misuse.

Project Request ($000)	**FY 2015 Revised Enacted**	**FY 2016 Enacted**	**FY 2017 Request**	**FY 2016 to FY 2017 Change**
PC&I Planning	$1,192	$760	-	$(760)
PC&I Acquisition	$18,677	$11,910	$15,227	$3,317
PC&I Salaries	-	-	-	-
PC&I TOTAL	**$19,869**	**$12,670**	**$15,227**	**$2,557**
PC&I Obligations*	$19,094	$2,112		
PC&I Unobligated Balance*	$775	$10,558		
PC&I Expenditures*	$11,336	$1,254		

*FY 2016 Enacted reflects estimated obligations, unobligated balance and expenditures as of November 2015 for PC&I/PPA Only. FY 2015 obligations and expenditures are based on pro-rated split of actual VER MOD obligations.

Planned request for FY 2017 PC&I funding ONLY:

USCIS requires $15.227 million, 0 Positions, and 0 FTE to maintain Current Services in this PPA. FY 2017 Current Services funding will provide:

- Updates and enhancements to VIS through a series of agile software releases and development to improve business workflows and functionality, while improving system scalability and accuracy, to support the E-Verify program.

Current Services also includes a $2.557 million increase for VER MOD IT Business Case Adjustment, which is a technical adjustment to realign funds from the O&S appropriation.

Quantity

Not Applicable for FY 2015 to FY 2017.

Project Status

The objective FOC date is Q4 FY 2019. The threshold FOC date is Q3 FY 2020.

Key Milestones - FY 2015

- My E-Verify accounts available nationwide.
- Acquisition Decision Event 2A/2B approved the program to enter the "Obtain Phase" of the Acquisition Life Cycle Framework which will develop a material solution.

Key Milestones - FY 2016

- Mobile E-Verify application completed.
- Customer Relationship Management (CRM) tool and Enterprise Case Management (ECM) integration.
- VIS Modernization Pilot completion.

Key Milestones - FY 2017

- Initial Operational Capability – Status Verification System key acquisition milestones.

Schedule III – Other Exhibits

Exhibit E. Justification of Proposed Legislative Language

Department of Homeland Security
United States Citizenship and Immigration Services
Procurement, Construction, and Improvements
Justification of Proposed Legislative Language

For necessary expenses of the E-Verify Program for procurement of and improvements to physical and technological infrastructure to assist United States employers with maintaining a legal workforce, $15,227,000.

Explanation of Proposed Change

The legislative language associated with this account has been updated and streamlined to reflect the Department's new Common Appropriations Structure. Funding amounts have been updated to reflect the FY 2017 President's Budget.

Exhibit F. Summary of Fee Collections and Carryover

N/A

Exhibit G. Summary of Reimbursable Resources

N/A

Exhibit H. FY 2017 Schedule of Working Capital Fund by Program/Project Activity

N/A

Exhibit I. Capital Investment and Construction Initiative Listing

N/A

Exhibit J. Object Class Breakout by Appropriation

Department of Homeland Security
United States Citizenship and Immigration Services
Procurement, Construction, and Improvements
Summary of Requirements by Object Class
(Dollars in Thousands)

Object Classes	2015 Revised Enacted	2016 Enacted	2017 Request	FY 2016 to FY 2017 Change
Personnel and Other Compensation Benefits				
Total, Personnel and Other Compensation Benefits	-	-	-	-
Other Object Classes				
25.1 Advisory and Assistance Services	$19,869	$12,670	$15,227	$2,557
Total, Other Object Classes	**$19,869**	**$12,670**	**$15,227**	**$2,557**
Total, Direct Obligations	**$19,869**	**$12,670**	**$15,227**	**$2,557**
Adjustments				
Net Offsetting Collections	-	-	-	-
Unobligated Balance, start of year	-	-	-	-
Unobligated Balance, end of year	-	-	-	-
Recoveries of Prior Year Obligations	-	-	-	-
Offsetting Collections	-	-	-	-
Total Requirements	**$19,869**	**$12,670**	**$15,227**	**$2,557**

*FY 2015 and FY 2016 funding levels reflect the CAS structure and are for comparison purposes only.

Exhibit K. Object Class Breakout by PPA

Department of Homeland Security
United States Citizenship and Immigration Services
Procurement, Construction, and Improvements
Immigration Status Verification
Summary of Requirements by Object Class
(Dollars in Thousands)

Object Classes	FY 2015 Revised Enacted	FY 2016 Enacted	FY 2017 Request	FY 2016 to FY 2017 Change
Personnel and Compensation Benefits	-	-	-	-
Other Object Classes	-	-	-	-
25.1 Advisory and Assistance Services	$19,869	$12,670	$15,227	$2,557
Total, Other Object Classes	**$19,869**	**$12,670**	**$15,227**	**$2,557**
Adjustments	-	-	-	-
Unobligated Balance, start of year	-	-	-	-
Unobligated Balance, end of year	-	-	-	-
Recoveries of Prior Year Obligations	-	-	-	-
Total Requirements	**$19,869**	**$12,670**	**$15,227**	**$2,557**
Full Time Equivalents	-	-	-	-

*FY 2015 and FY 2016 funding levels reflect the CAS structure and are for comparison purposes only.

Exhibit L. Permanent Positions by Grade

N/A

Exhibit M. Changes in Full Time Employment

N/A

Department of Homeland Security

United States Citizenship and Immigration Services

Federal Assistance

Fiscal Year 2017

Congressional Justification

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Schedule I – Executive Summary of Appropriation Exhibits

Summary of Budget Estimates by Program Project Activity- Appropriation Level

Department of Homeland Security
United States Citizenship and Immigration Services
Federal Assistance
Summary of FY 2017 Budget Estimates by Program Project Activity

FY 2017 Request
(Dollars in Thousands)

Program Project Activity	FY 2015			FY 2016			FY 2017			Increase(+) or Decrease(-) for FY 2017		
	Revised Enacted[1]			Enacted			Request			Total Changes		
	POS	FTE	Amount	POS	FTE	Amount	POS	FTE	Amount	POS	FTE	Amount
Citizenship and Integration Grant Program	-	-	-	-	-	-	-	-	$10,000	-	-	$10,000
Total, Federal Assistance	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**$10,000**	**-**	**-**	**$10,000**
Subtotal, Enacted Appropriations & Budget Estimates	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**$10,000**	**-**	**-**	**$10,000**
Net, Enacted Appropriations and Budget Estimates:	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**$10,000**	**-**	**-**	**$10,000**

[1] Reflects reprogrammings/transfers, as applicable, and actual FTE. This footnote applies to all FY15 revised Enacted tables throughout the CJ submission.

*FY 2015 and FY 2016 funding levels reflect the CAS structure and are for comparison purposes only.

Overview

The U.S. Citizenship and Immigration Services (USCIS) Federal Assistance appropriation provides discretionary funding for the Citizenship and Integration Grant Program (CIGP), which promotes awareness and understanding of citizenship. The CIGP is the sole Federal program that supports the civic integration of lawful immigrants through citizenship preparation programs. The competitive grants expand the availability of high quality citizenship preparation programs for permanent residents in communities nationwide and assist in the identification of education practices that are effective for citizenship and most closely associated with positive outcomes for lawful immigrants seeking services. From FY 2009 through FY 2015, USCIS has awarded 262 competitive grants totaling approximately $53 million (in both discretionary and fee funding). Since the inception of the program, grant recipients have provided citizenship preparation services to more than 122,000 permanent residents in 35 states and the District of Columbia. In FY 2016,

services will be provided to an additional 25,000 permanent residents. The FY 2017 Budget supports an equivalent level of service with a budget transfer from Immigration Examinations Fee Account.

B. FY 2016 to FY 2017 Budget Change - Appropriation Level

Department of Homeland Security
United States Citizenship and Immigration Services
Federal Assistance

FY 2016 to FY 2017 Budget Change
(Dollars in Thousands)

	Pos.	FTE	Amount
FY 2015 Revised Enacted	-	-	-
FY 2016 Enacted	-	-	-
Adjustments-to-Base			
Increases			
Transfer in of Citizenship and Integration Grants from IEFA Account	-	-	$10,000
Total, Increases	-	-	**$10,000**
Total Other Adjustments	-	-	**$10,000**
Total Adjustments-to-Base	-	-	**$10,000**
FY 2017 Current Services	-	-	**$10,000**
FY 2017 Request	-	-	**$10,000**
FY 2016 to FY 2017 Change	-	-	**$10,000**

C. FY 2017 Investment Summary - Appropriation Level

N/A

Exhibit D. FY 2016 to FY 2017 Budget Change- PPA Level

Department of Homeland Security
United States Citizenship and Immigration Services
Federal Assistance
Citizenship and Integration Grant Program
FY 2016 to FY 2017 Budget Change- PPA Level
(Dollars in Thousands)

Citizenship and Integration Grant Program		Positions	FTE	Amount
Prior Year	**FY 2015 Revised Enacted**	**-**	**-**	**-**
Base	**FY 2016 Enacted**	**-**	**-**	**-**
	Transfer in of Citizenship and Integration Grants from IEFA Account	-	-	10,000
Budget Year	**FY 2017 Request**	**-**	**-**	**10,000**
	Total Change from FY 2016 to FY 2017	**-**	**-**	**10,000**

*FY 2015 and FY 2016 funding levels reflect the CAS structure and are for comparison purposes only.

PPA DESCRIPTION:

The Citizenship and Integration Grant Program (CIGP) is part of a multifaceted effort to provide citizenship preparation resources, support, and information to immigrants and immigrant-serving organizations. The CIGP is an important capacity-building initiative that supports immigrant civic integration through the naturalization preparation process. The program funds competitive grants with the goal of expanding the availability of high quality citizenship preparation programs for lawful permanent residents in communities nationwide. Services provided by CIGP grant recipients include citizenship instruction and naturalization application assistance. CIGP grant recipients are public or private nonprofit organizations with recent experience providing citizenship instruction and naturalization application services to eligible lawful permanent residents. They include public school systems, public libraries, community and faith-based groups, adult education organizations, and literacy organizations.

In prior years, the CIGP has been funded through both appropriations and IEFA Fee Account. In 2016, DHS requested discretionary appropriations to fund the grants program. For FY 2017, the program is again proposed to be funded through a discretionary appropriation.

USCIS requires $10.000 million, 0 Positions, and 0 FTE to maintain current services for this program. In FY 2017, USCIS plans to award approximately $10.000 million in CIGP grants to a grantee cohort that will collectively provide approximately 25,000 permanent residents with citizenship preparation services over a two year period. At any given time there are approximately 80 active CIGP grants monitored by the USCIS Office of Citizenship.

The table depicts FY 2015 CIGP output and outcome data along with planned FY 2016 and FY 2017 program outputs and outcomes.

Accomplishments	**FY 2015 Actual**	**FY 2016 Projected**	**FY 2017 Projected**
Grants awarded	40	40	40
Monitoring visits conducted	17	14	14
Total permanent residents served	31,224	25,000	25,000
Total students enrolled in citizenship classes	13,752	12,500	12,500
Total clients provided with naturalization eligibility screenings	22,232	20,000	20,000
Total N-400 applications for naturalization submitted to USCIS	15,013	13,500	13,500
Total permanent residents that became naturalized citizens	9,636	10,000	10,000

Department of Homeland Security
United States Citizenship and Immigration Services
Citizenship and Integration Grants Program
Cost Drivers (Non-Pay) - PPA Level ($000s)

Federal Assistance - Citizenship and Integration Grants Program	2015 Revised Enacted	2016 Enacted	2017 Request	FY 2016 to FY 2017 Change
FY 2017 Non- Pay Cost Drivers (greatest-least)				
Citizenship and Integration Grants	-	-	$10,000	$10,000
Total	**-**	**-**	**$10,000**	**$10,000**

*FY 2015 and FY 2016 funding levels reflect the CAS structure and are for comparison purposes only.

NARRATIVE EXPLANATION OF CHANGES:

- **Citizenship and Integration Grants:** There is a $10,000,000 increase from FY 2016 to FY 2017 to support the CIGP grants through a discretionary appropriation account. Each $250,000 increment of funds adds approximately one additional grant and provides services for approximately 625 additional legal permanent residents.

Schedule III – Other Exhibits

Exhibit E. Justification of Proposed Legislative Language

Department of Homeland Security
United States Citizenship and Immigration Services
Federal Assistance
Justification of Proposed Legislative Language

For the purpose of providing citizenship and integration grants, $10,000,000.

Explanation of Proposed Change

The legislative language associated with this account has been updated and streamlined to reflect the Department's new Common Appropriations Structure. Funding amounts have been updated to reflect the FY 2017 President's Budget.

Exhibit F. Summary of Fee Collections and Carryover

N/A

Exhibit G. Summary of Reimbursable Resources

N/A

Exhibit H. FY 2017 Schedule of Working Capital Fund by Program/Project Activity

N/A

Exhibit I. Capital Investment and Construction Initiative Listing

N/A

Exhibit J. Object Class Breakout by Appropriation

Department of Homeland Security
United States Citizenship and Immigration Services (CAS-USCIS)
Federal Assistance
Summary of Requirements by Object Class
(Dollars in Thousands)

Object Classes	2015 Revised Enacted	2016 Enacted	2017 Request	FY 2016 to FY 2017 Change
Personnel and Other Compensation Benefits				
Total, Personnel and Other Compensation Benefits	-	-	-	-
Other Object Classes				
41.0 Grants, Subsidies, and Contributions	-	-	$10,000	$10,000
Total, Other Object Classes	-	-	**$10,000**	**$10,000**
Total, Direct Obligations	-	-	**$10,000**	**$10,000**
Adjustments				
Net Offsetting Collections	-	-	-	-
Unobligated Balance, start of year	-	-	-	-
Unobligated Balance, end of year	-	-	-	-
Recoveries of Prior Year Obligations	-	-	-	-
Offsetting Collections	-	-	-	-
Total Requirements	-	-	**$10,000**	**$10,000**

*FY 2015 and FY 2016 funding levels reflect the CAS structure and are for comparison purposes only.

Exhibit K. Object Class Breakout by PPA

Department of Homeland Security
United States Citizenship and Immigration Services (CAS-USCIS)
Federal Assistance
Citizenship and Integration Grants
Summary of Requirements by Object Class
(Dollars in Thousands)

Object Classes	FY 2015 Revised Enacted	FY 2016 Enacted	FY 2017 Request	FY 2016 to FY 2017 Change
Personnel and Compensation Benefits	-	-	-	-
Other Object Classes	-	-	-	-
41.0 Grants, Subsidies, and Contributions	-	-	$10,000	$10,000
Total, Other Object Classes	**-**	**-**	**$10,000**	**$10,000**
Adjustments	-	-	-	-
Unobligated Balance, start of year	-	-	-	-
Unobligated Balance, end of year	-	-	-	-
Recoveries of Prior Year Obligations	-	-	-	-
Total Requirements	**-**	**-**	**$10,000**	**$10,000**
Full Time Equivalents	-	-	-	-

*FY 2015 and FY 2016 funding levels reflect the CAS structure and are for comparison purposes only.

Exhibit L. Permanent Positions by Grade

N/A

Exhibit M. Changes in Full Time Employment

N/A

Department of Homeland Security

United States Citizenship and Immigration Services

Immigration Examinations Fee Account

Fiscal Year 2017

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Schedule I – Executive Summary of Appropriation Exhibits

Summary of Budget Estimates by Program Project Activity- Appropriation Level

United States Citizenship and Immigration Services

Immigration Examinations Fee Account (Mandatory Fee)

Summary of FY 2017 Budget Estimates by Program Project Activity

FY 2017 Request

(Dollars in Thousands)

Program Project Activity	FY 2015			FY 2016			FY 2017			Increase(+) or Decrease(-) for FY 2017		
	Revised Enacted[1]			Enacted			Request			Total Changes		
	POS	FTE	Amount	POS	FTE	Amount	POS	FTE	Amount	POS	FTE	Amount
Adjudication Services	**13,212**	**11,003**	**$2,797,664**	**13,216**	**12,556**	**$2,894,899**	**-**	**-**	**-**	**(13,216)**	**(12,556)**	**($2,894,899)**
District Operations (Legacy PPA)	7,630	6,416	$1,595,541	7,630	7,249	$1,615,409	-	-	-	(7,630)	(7,249)	($1,615,409)
Service Centers (Legacy PPA)	3,846	3,271	$615,537	3,846	3,654	$669,891	-	-	-	(3,846)	(3,654)	($669,891)
Asylum, Refugee, and International Operations (Legacy PPA)	1,382	981	$247,071	1,381	1,312	$259,042	-	-	-	(1,381)	(1,312)	($259,042)
Records Operations (Legacy PPA)	354	335	$118,271	359	341	$124,177	-	-	-	(359)	(341)	($124,177)
Transformation (Legacy PPA)	-	-	$221,244	-	-	$226,380	-	-	-	-	-	($226,380)
Information and Customer Services	**360**	**293**	**$113,959**	**360**	**342**	**$124,041**	**-**	**-**	**-**	**(360)**	**(342)**	**($124,041)**
Information and Customer Services (Legacy PPA)	360	293	$113,959	360	342	$124,041	-	-	-	(360)	(342)	($124,041)
Administration (Legacy PPA)	**1,471**	**1,285**	**$396,167**	**1,472**	**1,398**	**$384,585**	**-**	**-**	**-**	**(1,472)**	**(1,398)**	**($384,585)**
SAVE (Legacy PPA)	**223**	**190**	**$25,497**	**223**	**212**	**$27,021**	**-**	**-**	**-**	**(223)**	**(212)**	**($27,021)**
Operations and Support (CAS PPA)	-	-	-	-	-	-	**18,044**	**16,577**	**$3,653,738**	**18,044**	**16,577**	**$3,653,738**
Procurement, Construction, and Improvements (CAS PPA)	-	-	-	-	-	-	-	-	**$164,588**	-	-	**$164,588**
Federal Assistance (CAS PPA)	-	-	-	-	-	-	-	-	**$10,805**	-	-	**$10,805**
Total, Immigration Examinations Fee Account (Mandatory Fee)	**15,266**	**12,771**	**$3,333,287**	**15,271**	**14,508**	**$3,430,546**	**18,044**	**16,577**	**$3,829,131**	**2,773**	**2,069**	**$398,585**
Subtotal, Enacted Appropriations & Budget Estimates	**15,266**	**12,771**	**$3,333,287**	**15,271**	**14,508**	**$3,430,546**	**18,044**	**16,577**	**$3,829,131**	**2,773**	**2,069**	**$398,585**
Net, Enacted Appropriations and Budget Estimates:	**15,266**	**12,771**	**$3,333,287**	**15,271**	**14,508**	**$3,430,546**	**18,044**	**16,577**	**$3,829,131**	**2,773**	**2,069**	**$398,585**

[1]Reflects reprogrammings/transfers, as applicable, and actual FTE. This footnote applies to all FY 2015 Revised Enacted tables throughout the CJ Submission.

Overview

The Immigration Examinations Fee Account (IEFA), established by Section 286 of the Immigration and Nationality Act (8 U.S.C. 1356), is the primary funding source for U.S. Citizenship and Immigration Services (USCIS). Fees collected with the submission of immigration benefit applications and petitions are deposited into the IEFA and are used to fund the cost of processing immigration benefit applications and petitions, as well as to cover the cost of processing similar benefit requests for applicants without charge, for example, for refugee and asylum applicants. The IEFA provides resources for USCIS to:

- Strengthen and effectively administer the immigration system;

- Strengthen national security safeguards and combat fraud;
- Reinforce quality and consistency in administering immigration benefits;
- Enhance customer service and public engagement.

For FY 2017, the Immigration Examinations Fee Account includes the following PPAs:

- **Operations and Support (O&S):** O&S includes the cost of ongoing mission operations, mission support, and associated management and administration (M&A) costs. IEFA salaries are included within this budget activity because they are primarily related to operations and mission support and are not solely related to another budget activity. IEFA O&S includes the following sub-PPAs:
  - Immigration Status Verification,
  - Adjudication Services,
  - Fraud Detection and National Security,
  - Information and Customer Service,
  - Management and Administration, and
  - Adjudication Services – Premium Processing Fee.

- **Procurement, Construction, and Improvements (PC&I):** IEFA PC&I encompasses the costs for planning and acquisition presented in the relevant investment business cases; costs for operations and maintenance that are presented in the investment business cases are included in IEFA O&S. Salaries are not included in the PC&I account because they are not directly and solely related to PC&I activities. IEFA PC&I includes the following sub-PPAs:

  - Adjudication Services – Non-Premium Processing Fee, and
  - Adjudication Services – Premium Processing Fee.

- **Federal Assistance (FA):** In FY 2017, IEFA FA provides funding for the Cuban-Haitian Entrants Program (CHEP).

Note: The IEFA is established in statute as a single mandatory account. As a result, the Department of Homeland Security (DHS) cannot present the account in separate budget justification documents similar to its presentation of the discretionary appropriation accounts in accordance with the Common Appropriations Structure (CAS) initiative. Therefore, DHS has mirrored the proposed CAS account types within IEFA as budget activities that represent the first level of Program, Project or Activity (PPA), each containing sub-PPAs. The fourth DHS CAS appropriation type, Research and Development, is not applicable to IEFA.

B. FY 2016 to FY 2017 Budget Change - Appropriation Level

Department of Homeland Security
United States Citizenship and Immigration Services
Immigration Examinations Fee Account (Mandatory Fee)

FY 2016 to FY 2017 Budget Change
(Dollars in Thousands)

	Pos.	FTE	Amount
FY 2015 Revised Enacted	**15,266**	**12,771**	**$3,333,287**
FY 2016 Enacted	**15,271**	**14,508**	**$3,430,546**
Adjustments-to-Base			
Technical Adjustments:			
PPA Realignment	[15,271]	[14,508]	[$3,430,546]
Total Technical Adjustments	**[15,271]**	**[14,508]**	**[$3,430,546]**
Increases			
2017 Pay Raise	-	-	$20,782
Annualization of 2016 Pay Raise	-	-	$3,755
Federal Protective Service Fee	-	-	$508
Performance and Learning Management System	-	-	$119
Realignment of Funding from Adjudication Services	-	-	$9,742
Transfer from IEFA O&S-Adjudication Services for Rent Costs	-	-	$1,280
Total, Increases	**-**	**-**	**$36,186**
Decreases			
Realignment Immigration Status Verification	-	-	($9,742)
Transfer to Federal Assistance-CIGP	-	-	($10,000)
Transfer to IEFA O&S-Enterprise Services (Premium) for Rent Costs	-	-	($338)
Transfer to IEFA O&S-FDNS for Rent Costs	-	-	($942)
Total, Decreases	**-**	**-**	**($21,022)**
Total Other Adjustments	**-**	**-**	**$15,164**
Total Adjustments-to-Base	**15,271**	**14,508**	**$3,445,710**
FY 2017 Current Services	**15,271**	**14,508**	**$3,445,710**
Program Changes			
Increases			
Change in operating requirements due to Executive Action	2,773	2,069	$383,421
Total, Increases	**2,773**	**2,069**	**$383,421**
Total Program Changes	**2,773**	**2,069**	**$383,421**
FY 2017 Request	**18,044**	**16,577**	**$3,829,131**
FY 2016 to FY 2017 Change	**2,773**	**2,069**	**$398,585**

C. FY 2017 Investment Summary - Appropriation Level

Department of Homeland Security
United States Citizenship and Immigration Services
Operations and Support
FY 2017 Investment Summary- Appropriation Level
(Dollars in Thousands)

Investment Name	PPA(s)	FY 2015 Revised Enacted	FY 2016 Enacted	FY 2017 Request
Infrastructure (End User Support)	IEFA - Adjudication Services	$63,630	$63,705	$64,865
Infrastructure (Enterprise)	IEFA - Adjudication Services	$124,197	$124,347	$126,720
Transformation	IEFA - Enterprise Services - Premium Fee	$64,079	$57,367	$54,612
Transformation	IEFA - Infrastructure Improvements - Premium Fee	$135,803	$118,414	$118,924
Total		**$387,709**	**$363,833**	**$365,121**

* FY 2015 and FY 2016 funding levels reflect the CAS structure and are for comparison purposes only.

Exhibit D. FY 2016 to FY 2017 Budget Change- PPA Level

Department of Homeland Security
United States Citizenship and Immigration Services
Immigration Examinations Fee Account
Operations and Support (O&S)
FY 2016 to FY 2017 Budget Change- PPA Level
(Dollars in Thousands)

Operations and Support		Positions	FTE	Amount
Prior Year	**FY 2015 Revised Enacted**	**15,266**	**12,771**	**3,131,910**
Base	**FY 2016 Enacted**	**15,271**	**14,508**	**3,246,558**
Current Services	2017 Pay Raise	-	-	20,782
	Annualization of 2016 Pay Raise	-	-	3,755
	Federal Protective Service Fee	-	-	508
	Fraud Detection IT Business Case Adjustment	-	-	(794)
	PPA Realignment	[15,271]	[14,508]	[3,246,558]
	Performance and Learning Management System	-	-	119
	Realignment to Immigration Status Verification	-	-	(9,742)
	Realignment of Funding from Adjudication Services	-	-	9,742
	Standard Tool Program IT Business Case Adjustment	-	-	(101)
	Transfer from IEFA O&S-Adjudication Services for Rent Costs	-	-	1,280
	Transfer to IEFA O&S-Enterprise Services (Premium) for Rent Costs	-	-	(338)
	Transfer to IEFA O&S-FDNS for Rent Costs	-	-	(942)
	Transformation IT Business Case Adjustment	-	-	(510)
Program Changes	Change in operating requirements due to Executive Action	2,773	2,069	383,421
Budget Year	**FY 2017 Request**	**18,044**	**16,577**	**3,653,738**
	Total Change from FY 2016 to FY 2017	**2,773**	**2,069**	**407,180**

* FY 2015 and FY 2016 funding levels reflect the CAS structure and are for comparison purposes only.

PPA DESCRIPTION:

USCIS requires $3.654 billion, 18,044 Positions, and 16,577 Full-Time Equivalents (FTE) to sustain mission critical services in this PPA.

Operations and Support (O&S) includes the cost of ongoing mission operations, mission support, and associated management and administration (M&A) costs to process nearly 8 million application and petitions, conduct fraud investigations, interview refugee applicants, complete affirmative asylum applications and provide immigration status verification. IEFA salaries are included within this budget activity because they are primarily related to operations and mission support and are not solely related to another budget activity. IEFA O&S includes the following sub-PPAs:

- Immigration Status Verification,
- Adjudication Services,
- Fraud Detection and National Security,
- Information and Customer Service,
- Management and Administration, and
- Adjudication Services – Premium Processing Fee.

Department of Homeland Security
United States Citizenship and Immigration Services
Operations and Support
Justification of Program Changes
(Dollars in Thousands)

Program Increase: Change in Operating Requirements Due to Executive Action
PPA: Operations and Support
Program Increase: FTP 2,773, FTE 2,069, Dollars $383.421 million

Funding Profile

	FY 2015 Revised Enacted			FY 2016 Enacted			FY 2017 Request*		
	FTP	FTE	Dollars ($000)	FTP	FTE	Dollars ($000)	FTP	FTE	Dollars ($000)
Adjudication Services							-	-	-
Information and Customer Service							-	-	-
Management and Administration							-	-	-
Subtotal, Current Services							**-**	**-**	**-**
Adjudication Services							2,487	1,804	$334,350
Information and Customer Service							99	94	$18,524
Management and Administration							187	171	$30,547
Subtotal, Program Increases							**2,773**	**2,069**	**$383,421**
Total Request	**-**	**-**	**-**	**-**	**-**	**-**	**2,773**	**2,069**	**$383,421**

*FY 2017 request includes new activities in support of executive action on immigration programs and assumes that these activities will no longer be enjoined by the courts.

DESCRIPTION OF ITEM:

This program increase reflects changes in operating requirements in the event that the executive action on immigration programs is no longer enjoined by the courts.

Justification: The funding level reflects staffing, operational and technical requirements to process and adjudicate immigration applications and petitions based on projected workload volume.

Impact on Performance:

These funds will allow USCIS to maintain current agency-wide performance targets.

Department of Homeland Security
Immigration Examinations Fee Account (Mandatory Fee)
Operations and Support
Cost Drivers (Pay & Benefits) - PPA Level (Excludes Reimbursables) ($000s)

	FY 2015 Revised Enacted[1]				FY 2016 Enacted				FY 2017 Request				FY 2016 to FY 2017 Change			
	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost
Civilian Total	15,266	12,771	$1,476,287	$115	15,271	14,508	$1,731,892	$119	18,044	16,577	$1,949,235	$117	2,773	2,069	$217,343	-$2

[1]Reflects reprogrammings/transfers, as applicable, and actual FTE. The use of FY 2015 Actuals and FY 2015 Revised Enacted Budgetary Resources in the CAS Pay Cost Drivers Exhibit may reflect a higher average FTE Cost than represented in the FY 2016 Enacted or FY 2017 Request average cost columns.

NARRATIVE EXPLANATION OF CHANGES:

- **FTE Change FY 2016-2017:** Increase in FTE of 2,069. The difference between FY 2016 and FY 2017 FTE is attributable to the program increase for executive action on immigration.

- **Personnel Compensation and Benefits (PC&B) Change 2016-2017**: Reflects 1.6 percent increase for the 2017 pay raise and annualization of the 2016 pay raise. The change in personnel compensation and benefits between FY 2016 and FY 2017 is largely attributable to the program increase for full implementation of executive action on immigration. Also impacting the level is the proposed 2017 pay raise and annualization of the 2016 pay raise.

- **Average Cost Change FY 2016-2017**: An average cost change of ($1,788) is based on the pay raise calculation as well as Executive Action positions added in FY 2017.

Department of Homeland Security
United States Citizenship and Immigration Services
Operations and Support
Cost Drivers (Non-Pay) - PPA Level ($000s)

IEFA - Operations and Support	2015 Revised Enacted	2016 Enacted	2017 Request	FY 2016 to FY 2017 Change
FY 2017 Non- Pay Cost Drivers (greatest-least)				
Rental Payments to GSA	$215,128	$224,918	$235,012	$10,094
National Benefit Center Records Contract	$95,283	$84,625	$85,471	$846
Mail, Fee Receipts and Data Entry	$73,235	$73,967	$74,707	$740
Platform Engineering and Operations	$64,665	$65,312	$65,965	$653
ASC Labor and Facilities	$59,878	$60,477	$61,082	$605
Total	**$508,189**	**$509,299**	**$522,237**	**$12,938**

* FY 2015 and FY 2016 funding levels reflect the CAS structure and are for comparison purposes only.

NARRATIVE EXPLANATION OF CHANGES:

- **Rental Payments to GSA:** The FY 2017 amount is based on projections developed by USCIS's Facilities Division, using information provided by GSA and reflects projected rent increases, termination of leases, and new leases.

- **NBC Records Contract:** This contract provides records management, file operations, quality control/business process improvement, and reporting services at the National Benefits Center. FY 2015 obligations were higher than expected due to a new contract award that was protested, which necessitated extending the incumbent beyond the expected performance period. FY 2016 and FY 2017 levels are assumed to be lower due to resolution of the protest. Contract levels fluctuate based on application/petition volume receipts.

- **Mail, Fee Receipts & Data Entry:** This item consists of two contracts that provide mail operations, fee receipting and depositing, file operations, and data entry and reporting services at USCIS Service Centers. Contract costs fluctuate based on application/petition receipt volumes.

- **Platform Engineering and Operations:** This contract provides USCIS enterprise technology infrastructure engineering services to sustain and strengthen the hardware platforms upon which USCIS software applications reside.

- **ASC Labor and Facilities:** This contract provides facilities for and the operations of USCIS Application Support Centers where applicants and petitioners must appear (when required by USCIS) for biometrics collection. Costs drivers include USCIS application/petition volumes for

those benefit types that require biometrics collection. USCIS may also open or close ASCs in certain locations based on the distribution of its customers across the U.S.

Historical Collections

(Dollars in millions)

	FY 2010	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015
IEFA Non-Premium	2,182.1	2,308.2	2,444.5	2,526.6	2,496.8	2,743.9
IEFA Premium	150.7	241.6	264.6	246.8	288.8	313.4

FY 2016 to FY 2017 Budget Change- Sub-PPA Level

Summary Tables of Sub-PPA
Department of Homeland Security
United States Citizenship and Immigration Services
Immigration Examinations Fee Account
Operations and Support (O&S)
Immigration Status Verification (ISV)
FY 2016 to FY 2017 Budget Change- PPA Level
(Dollars in Thousands)

Immigration Status Verification		Positions	FTE	Amount
Prior Year	**FY 2015 Revised Enacted**	**223**	**190**	**25,497**
Base	**FY 2016 Enacted**	**223**	**212**	**27,021**
Current Services	2017 Pay Raise	-	-	261
	Annualization of 2016 Pay Raise	-	-	47
	PPA Realignment	[223]	[212]	[27,021]
	Realignment of Funding from Adjudication Services	-	-	9,742
Budget Year	**FY 2017 Request**	**223**	**212**	**37,071**
	Total Change from FY 2016 to FY 2017	**-**	**-**	**10,050**

* FY 2015 and FY 2016 funding levels reflect the CAS structure and are for comparison purposes only.

SUB-PPA DESCRIPTION:

USCIS's Immigration Status Verification (ISV) program includes both the E-Verify and Systematic Alien Verification for Entitlements (SAVE) programs. ISV within IEFA O&S only includes costs attributable to the SAVE program, while E-Verify is funded from discretionary appropriations.

SAVE is an intergovernmental information-sharing program that assists Federal, State and local agencies in determining an individual's eligibility for licenses or public benefits. SAVE helps agencies ensure that only persons eligible for licenses or benefits receive them by providing relevant information on immigration or naturalized/derived citizenship status from Federal databases. SAVE is also used to verify the status of applicants for health insurance under the Patient Protection and Affordable Care Act (PPACA). As of September 30, 2015, 1,132 agencies were enrolled in SAVE, and they ran more than 21 million unique queries. Customers include Federal agencies, State departments of motor vehicles, licensing bureaus, etc. Every state and the District of Columbia are represented in SAVE's customer base. The SAVE program is funded by a combination of user charges paid by its agency customers and general fee receipts paid by USCIS immigration benefit applicants and petitioners.

USCIS requires $37.071 million, 223 Positions, and 212 FTE to maintain current services in this sub-PPA.

The required staffing level is determined by subject matter experts taking into account historical workload volumes and staff capacity, as well as planned operational and technology efficiencies. Salary and benefit expenses are calculated based on required staffing levels multiplied by the average salary for the organizational unit. A 1.6% adjustment for the proposed 2017 pay raise was made to the baseline salary and benefit amount.

The following table depicts the actual SAVE workload for FY 2015, along with projections for FY 2016 and FY 2017. Staffing is mainly driven by SAVE second and third step queries, i.e., those that cannot be processed solely through the automated process and require human intervention by a Status Verification Officer (SVO) to research the case and provide a response to the customer agency.

Immigration Status Verification

Systematic Alien Verification for Entitlements (SAVE)

Actual and projected Workload for FY 2015 - FY 2017

	FY 2015 Actual	FY 2016 Projected	FY 2017 Projected
SAVE Automated Queries	21,246,713	21,170,000	20,404,000
SAVE Status Verification Officer 2nd Step Queries	1,382,864	1,577,272	1,502,713
SAVE Status Verification Officer 3rd Step Queries	281,866	290,322	277,383
SAVE Customer Agencies as of Sept. 30	1,132	1,167	1,202

Department of Homeland Security
United States Citizenship and Immigration Services
Operations and Support
Immigration Status Verification

Cost Drivers (Pay & Benefits) - PPA Level (Excludes Reimbursables) ($000s)

	FY 2015 Revised Enacted[1]				FY 2016 Enacted				FY 2017 Request				FY 2016 to FY 2017 Change			
	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost
Civilian Total	223	190	$18,841	$99	223	212	$21,784	$103	223	212	$22,092	$104	-	-	$308	$1

[1]Reflects reprogrammings/transfers, as applicable, and actual FTE. The use of FY 2015 Actuals and FY 2015 Revised Enacted Budgetary Resources in the CAS Pay Cost Drivers Exhibit may reflect a higher average FTE Cost than represented in the FY 2016 Enacted or FY 2017 Request average cost columns.

NARRATIVE EXPLANATION OF CHANGES:

- **FTE Change 2016-2017:** No Change in FTE.
- **PC&B Change 2016-2017:** Reflects a 1.6 percent increase for the pay raise and annualization of the 2016 pay raise.
- **Average Cost Change FY 2016 - 2017:** Average increase of $1,453 based on the 2017 pay raise calculations.
- FY 2017 request estimates $150,226 for performance awards. This request remains in line with OPM Awards Guidance on spending limitation.

Department of Homeland Security
United States Citizenship and Immigration Services
Immigration Examinations Fee Account
Operations and Support
Immigration Status Verification
Cost Drivers (Non-Pay) - PPA Level ($000s)

IEFA - Immigration Status Verification	2015 Revised Enacted	2016 Enacted	2017 Request	FY 2016 to FY 2017 Change
FY 2017 Non- Pay Cost Drivers				
Rental payments to GSA	$1,218	$2,420	$2,528	$108
VIS O&M	$960	$970	$979	$9
Working Capital Fund Payments	$782	$660	$337	($323)
Public Education and Outreach	$300	$303	$306	$3
Lease Acquisition Program	$685	-	-	-
Total	**$3,945**	**$4,353**	**$4,150**	**($203)**

* FY 2015 and FY 2016 funding levels reflect the CAS structure and are for comparison purposes only.

NARRATIVE EXPLANATION OF CHANGES:

- **Rental Payments to GSA:** The FY 2017 amount is based on projections developed by USCIS's Facilities Division, using information provided by GSA and reflects projected rent increases, termination of leases, and new leases.

- **VIS O&M:** This contract provides operations and maintenance support for the Verification Information System (VIS), the back-end platform upon which SAVE resides. This represents the share attributable to SAVE. The share attributable to E-Verify, which also resides upon the VIS back-end is reflected in the discretionary Operations and Support appropriation. USCIS assumes only inflationary increases.

- **Working Capital Fund Payments:** This item represents contributions to shared services provided centrally through the DHS Working Capital Fund. Decreases from FY 2015 to FY 2016 reflect revised allocations and certain activities being removed from the Working Capital Fund.

- **Public Education and Outreach:** This item represents efforts to provide outreach to SAVE customers and potential customers, which supports ensuring the proper use of the SAVE system. USCIS assumes only inflationary increases.

- **Lease Acquisition Program:** This item is minor facilities renovation/upgrade projects. No SAVE projects are planned for FY 2016 or 2017.

Summary Tables of Sub-PPA
Department of Homeland Security
United States Citizenship and Immigration Service
Immigration Examinations Fee Account
Operations and Support (O&S)
Adjudication Services
FY 2016 to FY 2017 Budget Change- PPA Level
(Dollars in Thousands)

Adjudication Services		Positions	FTE	Amount
Prior Year	**FY 2015 Revised Enacted**	**11,857**	**9,753**	**2,320,198**
Base	**FY 2016 Enacted**	**11,374**	**10,806**	**2,311,158**
Current Services	2017 Pay Raise	-	-	15,307
	Annualization of 2016 Pay Raise	-	-	2,736
	PPA Realignment	[11,374]	[10,806]	[2,311,158]
	Realignment to Immigration Status Verification	-	-	(9,742)
	Realignment from Information and Customer Service	48	46	4,153
	Standard Tool Program IT Business Case Adjustment	-	-	(101)
	Transfer to IEFA O&S-Adjudication Services (Premium) for Rent Costs	-	-	(338)
	Transfer to IEFA O&S-FDNS for Rent Costs	-	-	(942)
Program Changes	Change in operating requirements due to Executive Action	2,487	1,804	334,350
Budget Year	**FY 2017 Request**	**13,909**	**12,656**	**2,656,581**
	Total Change from FY 2016 to FY 2017	**2,535**	**1,850**	**345,423**

* FY 2015 and FY 2016 funding levels reflect the CAS structure and are for comparison purposes only.

SUB-PPA DESCRIPTION:

Through a network of approximately 228 domestic and foreign offices, USCIS is responsible for the timely and quality processing and adjudication of:

- **Family-based applications and petitions** - facilitating the process for close relatives of U.S. citizens and permanent residents to immigrate, gain permanent residency, work, etc.

- **Employment-based applications and petitions** - facilitating the process for current and prospective employees to immigrate or work in the United States temporarily.

- **Asylum and refugee applications and petitions** - adjudicating asylum and refugee status applications for individuals seeking protection from persecution and facilitating the process for close relatives of approved refugees and asylees to immigrate to the United States.

- **Naturalization applications** - processing applications of those who wish to become U.S. citizens.

USCIS accomplishes its Adjudication Services mission through the following directorates and offices funded primarily from the O&S Adjudication Services PPA.

- **Field Operations** conducts in-person interviews for all immigration and citizenship benefit types that require an interview and adjudicates applications and petitions based on applicable law, regulation, and the merits of each individual case.

- **Service Center Operations (SCOPS)** is responsible for adjudicating the majority of benefit types that do not require an in-person interview.

- **Refugee, Asylum, and International Operations (RAIO)** extends humanitarian protection, citizenship, and other immigration benefits to eligible individuals.

- The **Records Division** administers immigration records including alien registration files (A-files), and is responsible for records management.

- The **National Records Center (NRC)** stores and accesses over 18 million A-files, providing Federal, state, and local agencies with timely and appropriate access to requested records and information.

- The **Office of Intake and Document Production** includes the processes that support the beginning and end of most immigration transactions.

- The **Biometrics Division (BD)** is responsible for collecting biometric and biographic data from applicants when such collection is required by USCIS for an individual to request immigration benefits.

- The **Office of Citizenship** promotes the rights, responsibilities, and importance of U.S. citizenship and provides educational tools and resources for immigrants, organizations, and other stakeholders.

- The **Office of Administrative Appeals** issues immigration appeals decisions, including precedent decisions to guide USCIS officers and the public, and provides the public with clear and accessible information about the immigration appeals process.

- The **Office of Information Technology (OIT)** provides the information technology, equipment, software, services, expertise, and the strategic vision to enable USCIS to deliver effective, efficient, and secure immigration services and products.

- The **Office of Performance and Quality** seeks to preserve and enhance the quality of USCIS data and produce useful, actionable information for decision makers.

USCIS requires $2.657 billion, 13,909 Positions, and 12,656 FTE to maintain current services in this sub-PPA.

Staffing levels for most organizational units are established based on a staffing allocation model (SAM) using projected application/petition receipts and productivity targets. The FY 2015 projected application/petition receipts were used to develop the FY 2015 SAM, which was the basis for formulating the FY 2017 budget. Once the staffing level is determined, salary and benefit expenses are computed multiplying the number of FTEs by the average salary for the organizational unit. A 1.6% adjustment for the proposed 2017 pay raise was made to the baseline salary and benefits amount.

The change in operating requirements from FY 2016 to FY 2017 is primarily due to certain aspects of executive action on immigration that could be implemented in FY 2017 if they are no longer enjoined by the courts and will require an additional $334.35 million, 2,487 positions, and 1,804 FTE.

The following tables and narratives describe the anticipated workloads and other factors assumed for the FY 2017 budget submission.

Application and Petition Workload

The following table depicts FY 2015 immigration benefit application and petition workload, including requests received, requests approved, and requests not approved. It also provides receipt projections for FY 2016 along with USCIS's planned completions, i.e., how many cases will be processed in FY 2016. As noted above, the FY 2017 budget was formulated based on the FY 2015 SAM. USCIS assumes similar levels of receipts for FY 2017 and will finalize FY 2017 receipt projections in the second half of FY 2016.

		Fiscal Year 2015					Fiscal Year 2016	
		Requests Received[1]	**Requests Approved[2]**	**Requests Not Approved[3]**	**Total Completions[4]**		**Receipt Projection**	**Completion Target**
Total - All Forms		**8,148,991**	**7,677,525**	**614,699**	**8,292,224**		**7,897,729**	**7,615,273**
Family-Based								
I-129F	Fiancé(e) Petitions	49,066	51,168	7,179	58,347		49,835	45,688
I-130	Immediate and Preference Relatives	768,641	755,297	77,756	833,053		787,037	798,098
I-485	Family-Based Adjustments	298,398	250,148	31,060	281,208		301,381	370,314
I-600/600A	Orphan Petitions	4,924	4,666	834	5,500		3,694	3,198
I-601A	Provisional Waivers	48,733	34,395	13,081	47,476		47,841	49,947
I-751	Remove Conditions on Residence	165,785	147,520	7,908	155,428		154,927	186,665
I-800/800A	Convention Country Adoptions	8,330	8,321	227	8,548		8,420	7,061
Employment-Based								
I-129	Nonimmigrant Worker Petitions	483,643	402,930	86,565	489,495		478,382	579,351
I-140	Immigrant Petitions for Workers	101,545	102,171	7,261	109,432		101,719	111,940
I-485	Employment-Based Adjustments	123,239	117,556	6,736	124,292		125,881	125,263
I-526	Petitions by Entrepreneurs	14,373	8,761	1,056	9,817		11,939	23,770
I-765[5]	Employment Authorization Documents	2,030,896	1,957,335	107,998	2,065,333		1,934,920	1,541,747
I-829	Remove Conditions on Entrepreneur	2,767	1,067	11	1,078		3,930	5,795
I-924	Regional Center Applications	803	262	36	298		362	1,030
Humanitarian								
I-485	Asylum Adjustments	38,378	33,259	1,328	34,587		37,213	52,133
I-485	Refugee Adjustments	68,420	71,501	1,689	73,190		73,688	81,448
I-485	Indo Chinese Adjustments	1	2	2	4		2	11
I-485	Cuban Adjustment Act	46,337	42,437	1,833	44,270		45,933	60,488
I-589	Asylum Application	83,197	14,344	25,700	40,044		97,640	40,044

Legalization[6]	Legalization/ SAW	130	119	211	330		94	670
I-730	Refugee/Asylee Relative Petitions	15,756	12,371	3,025	15,396		16,596	22,335
I-817	Family Unity Applications	1,444	2,165	102	2,267		1,363	1,401
I-821	Temporary Protected Status	286,034	259,073	3,996	263,069		310,873	135,273
I-821D	Deferred Action for Childhood Arrivals	451,550	511,937	21,854	533,791		258,620	178,565
I-867	Credible Fear Referral	48,052	N	N	N		38,426	38,426
I-881	NACARA 203 Applications	701	651	173	824		884	884
I-889	Reasonable Fear	8,015	N	N	N		8,134	8,134
I-914	T Nonimmigrant Status Applications	2,224	1,304	486	1,790		2,304	3,028
I-918	U Nonimmigrant Status Applications	52,666	17,694	4,680	22,374		58,385	22,374
I-929	Petitions for Family of U Nonimmigrants	933	501	48	549		781	1,330
Citizenship and Nationality								
N-300	Declaration of Intent	30	32	3	35		17	27
N-336	Requests for Hearing	4,300	2,368	2,039	4,407		4,424	5,287
N-400	Military Naturalization	9,676	7,535	644	8,179		9,345	13,823
N-400	Non-Military Naturalization	773,386	722,761	75,166	797,927		765,289	786,876
N-470	Preserve Residence for Naturalization	285	177	181	358		330	429
N-565	Replace Naturalization/Citizenship Certificates	27,612	23,300	3,091	26,391		28,314	30,687
N600/600K	Recognition of Citizenship Applications	63,312	54,636	5,326	59,962		65,551	74,966
N-644	Posthumous Naturalization	N	-	-	-		1	1
N-648	Disability Exception Applications	11,083	13,118	6,763	19,881		11,083	9,714
Other								
I-90	Renew/Replace Permanent Resident Cards	767,558	875,104	34,066	909,170		791,374	898,420
I-102	Replace I-94s	7,932	6,012	1,911	7,923		8,108	10,237
I-131	Reentry Permit/Refugee Travel Document	85,966	81,678	4,809	86,487		78,247	91,326
I-131[7]	Advance Parole	342,832	310,461	23,753	334,214		324,611	324,319
I-131	Parole in Place	1,172	1,988	292	2,280		8,361	7,023
I-360	Immigrant Petitions	26,489	26,358	3,615	29,973		30,193	29,658
I-485	Other Adjustments of Status	29,594	25,320	3,216	28,536		30,125	37,343

I-539	Applications to Extend/ Change Nonimmigrant Status	199,820	146,302	25,730	172,032		192,034	232,975
I-824	Action on Approved Applications or Petitions	11,627	10,004	1,780	11,784		12,609	15,565
I-910	Application for Civil Surgeon	514	412	147	559		501	610
Waivers[8]	Waivers	65,593	35,872	9,332	45,204		73,199	67,910
Immigrant Visas	Immigrant Visas	484,347	496,532	N	496,532		471,927	455,517
EOIR Adjustment	EOIR Adjustment Processing	30,882	28,600	N	28,600		30,882	26,149

N Not captured. Credible and Reasonable Fear screenings (and their respective forms I-867 and I-899) do not confer an immigration benefit, rather they are intended to identify individuals with viable protection claims, which are then referred to the Immigration Courts for adjudication.

- Represents zero.

[1] The number of new applications/petitions received and entered into a case-tracking system during the reporting period.

[2] The number of applications/petitions approved during the reporting period. Immigrant Visas and EOIR Adjustment equal the number of cases processed as USCIS does not approve these benefit types.

[3] The number of applications/petitions that were denied, terminated, or withdrawn during the reporting period.

[4] The sum of requests approved and not approved during the reporting period.

[5] Includes DACA/TPS Employment Authorization.

[6] Includes the following applications for persons applying for benefits under the Immigration Reform and Control Act of 1986: Forms I-687, I-700. I-698, I-690, I-694, and I-695.

[7] I-131 Advance Parole: Includes DACA Advance Parole.

[8] Includes the following applications filed to waive exclusionary grounds: Forms I-191, I-192, I-212, I-601, I-602, and I-612.

NOTE: 1) Some processed forms may have been received in previous reporting periods.

2) The report reflects the most up-to-date estimate available at the time the report is generated.

Source: All Forms Except I-589, I-881, Credible/Reasonable Fear and DACA - Department of Homeland Security, U.S. Citizenship and Immigration Services, Performance Reporting Tool (PRT) September 2015 for FY 2015, October 2015 for FY 2016.

I-589, I-881 and Credible/Reasonable Fear data was provided by the Directorate of Refugee, Asylum and International Operations

DACA data based on the USCIS Office of Performance and Quality (OPQ) DACA monthly report

Biometrics Workload

The following table depicts FY 2015 actual workload volumes and projected FY 2016 and FY 2017 workload volumes for the USCIS Biometrics program, which entails applicant/petitioner processing at the Application Support Centers (ASCs) nationwide, as well as fingerprint checks and name checks with the Federal Bureau of Investigation (FBI). When required by USCIS, applicants and petitioners appear at an ASC to have their biometrics (fingerprints, photographs, and signatures) collected. The biometrics are used for identity verification purposes, as well as for performing the FBI checks for security purposes. USCIS reimburses the FBI for the costs of these security checks. The biometrics workload is a derivative of immigration benefit application and petition receipts.

	FY 2015 Actual	**FY 2016 Projected**	**FY 2017 Projected**
Individuals Processed at an ASC	3,900,104	3,545,310	3,306,957
FBI Fingerprint Checks	3,670,696	3,438,738	3,489,055
FBI Name Checks	1,726,938	1,650,868	1,623,228

Department of Homeland Security
United States Citizenship and Immigration Service
Immigration Examinations Fee Account
Operations and Support
Adjudication Services

Cost Drivers (Pay & Benefits) - PPA Level (Excludes Reimbursables) ($000s)

	FY 2015 Revised Enacted[1]				FY 2016 Enacted				FY 2017 Request				FY 2016 to FY 2017 Change			
	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost
Civilian Total	11,857	9,753	$1,094,325	$111	11,374	10,806	$1,275,606	$117	13,909	12,656	$1,466,013	$115	2,535	1,850	$190,407	($2)

[1]Reflects reprogrammings/transfers, as applicable, and actual FTE. The use of FY 2015 Actuals and FY 2015 Revised Enacted Budgetary Resources in the CAS Pay Cost Drivers Exhibit may reflect a higher average FTE Cost than represented in the FY 2016 Enacted or FY 2017 Request average cost columns.

NARRATIVE EXPLANATION OF CHANGES:

- **FTE Change 2016-2017:** Increases FTE in FY 2017 by 1,850 due to executive action on immigration.

- **PC&B Change 2016-2017:** Reflects increases due to executive action on immigration, a realignment of 48 positions from the Information and Customer Service PPA, a 1.6 percent increase for the proposed 2017 pay raise and annualization of the 2016 pay raise.

- **Average Cost Change FY 2016 - 2017:** An average cost change of ($2,211) is based on the pay raise calculation as well as reflects executive actions positions added in FY 2017, which are assumed to come onboard at a lower grade than the existing adjudications services workforce.

- FY 2017 request estimates $9,968,888 for performance awards. This request remains in line with OPM Awards Guidance on spending limitation.

Department of Homeland Security
United States Citizenship and Immigration Services
Immigration Examinations Fee Account
Operations and Support
Adjudication Services
Cost Drivers (Non-Pay) - PPA Level ($000s)

IEFA - Adjudication Services	2015 Revised Enacted	2016 Enacted	2017 Request	FY 2016 to FY 2017 Change
FY 2017 Non- Pay Cost Drivers (greatest-least)				
Rental payments to GSA	$170,239	$173,041	$180,817	$7,776
NBC Records Contract	$95,283	$84,625	$85,471	$846
Mail, Fee Receipts & Data Entry	$73,235	$73,967	$74,707	$740
Platform Engineering and Operations	$64,665	$65,312	$65,965	$653
ASC Labor and Facilities	$59,878	$60,477	$61,082	$605
Total	**$463,300**	**$457,422**	**$468,042**	**$10,620**

* FY 2015 and FY 2016 funding levels reflect the CAS structure and are for comparison purposes only.

NARRATIVE EXPLANATION OF CHANGES:

- **Rental Payments to GSA:** The FY 2017 amount is based on projections developed by USCIS's Facilities Division, using information provided by GSA and reflects projected rent increases, termination of leases, and new leases.

- **NBC Records Contract:** This contract provides records management, file operations, quality control/business process improvement, and reporting services at the National Benefits Center. FY 2015 obligations were higher than expected due to a new contract award that was protested, which necessitated extending the incumbent beyond the expected performance period. FY 2016 and FY 2017 levels are assumed to be lower due to resolution of the protest. Contract levels fluctuate based on application/petition volume receipts.

- **Mail, Fee Receipts & Data Entry:** This item consists of two contracts that provide mail operations, fee receipting and depositing, file operations, and data entry and reporting services at USCIS Service Centers. Contract costs fluctuate based on application/petition receipt volumes.

- **Platform Engineering and Operations:** This contract provides USCIS enterprise technology infrastructure engineering services to sustain and strengthen the hardware platforms upon which USCIS software applications reside.

- **ASC Labor and Facilities:** This contract provides facilities for and the operations of USCIS Application Support Centers where applicants and petitioners must appear (when required by USCIS) for biometrics collection. Costs drivers include USCIS application/petition volumes for those benefit types that require biometrics collection. USCIS may also open or close ASCs in certain locations based on the distribution of its customers across the U.S.

Summary Tables of Sub-PPA
Department of Homeland Security
United States Citizenship and Immigration Services
Immigration Examinations Fee Account
Operations and Support (O&S)
Fraud Detection and National Security
FY 2016 to FY 2017 Budget Change- PPA Level
(Dollars in Thousands)

Fraud Detection and National Security		Positions	FTE	Amount
Prior Year	**FY 2015 Revised Enacted**	**1,355**	**1,250**	**190,648**
Base	**FY 2016 Enacted**	**1,355**	**1,287**	**193,703**
Current Services	2017 Pay Raise	-	-	1,800
	Annualization of 2016 Pay Raise	-	-	435
	Fraud Detection IT Business Case Adjustment	-	-	(794)
	PPA Realignment	[1,355]	[1,287]	[193,703]
	Transfer from IEFA O&S-Adjudication Services for Rent Costs	-	-	942
Budget Year	**FY 2017 Request**	**1,355**	**1,287**	**196,086**
	Total Change from FY 2016 to FY 2017	**-**	**-**	**2,383**

* FY 2015 and FY 2016 funding levels reflect the CAS structure and are for comparison purposes only.

SUB-PPA DESCRIPTION:

The O&S, Fraud Detection and National Security (FDNS) PPA consists primarily of the FDNS Directorate within USCIS. However, various organizations including the Field Operations Directorate and Service Center Operations Directorate also receive funding from this PPA because of the vital work they do in furthering the agency's fraud detection and national security efforts in collaboration with the FDNS Directorate. Some USCIS organizations that play a role in the Fraud Detection and National Security mission also receive funding from the Fraud Prevention and Detection Account consistent with its establishing statute, section 286 (v) of the Immigration and Nationality Act. In order to increase transparency, USCIS has identically-named PPAs in both fee accounts. Funding in this sub-PPA supports the following:

- The **Fraud Detection and National Security Directorate** leads USCIS's efforts to determine whether individuals or organizations requesting immigration benefits pose a threat to national security, public safety, or the integrity of the Nation's immigration system. The FDNS Directorate has officers embedded in field offices, service centers, asylum offices, and adjudicating program offices (such as the Immigrant Investor Program Office), as well as at headquarters. The FDNS Directorate is discussed in greater detail in the budget narrative for the Fraud Prevention and Detection Account.

- **Background Check Units** within Service Center Operations and the Field Operations Directorate's National Benefits Center (NBC) are dedicated to ensuring the security and integrity of the immigration system through a workforce of highly trained adjudication officers that conduct numerous security checks on a broad range of immigration benefit applications.

USCIS requires $196.086 million; 1,355 Positions; and 1,287 FTE to maintain Current Services in this sub-PPA.

Staffing levels are established based on a SAM using projected application/petition receipts and productivity targets. The FY 2015 projected application/petition receipts were used to develop the FY 2015 SAM, which is the basis for formulating the FY 2017 budget. Once the staffing level is determined, salary and benefit expenses are computed multiplying the number of FTEs by the average salary for the organizational unit. A 1.6% adjustment for the proposed 2017 pay raise was made to the baseline salary and benefits amount.

Department of Homeland Security
United States Citizenship and Immigration Services
Immigration Examinations Fee Account
Operations and Support
Fraud Detection and National Security

Cost Drivers (Pay & Benefits) - PPA Level (Excludes Reimbursables) ($000s)

	FY 2015 Revised Enacted[1]				FY 2016 Enacted				FY 2017 Request				FY 2016 to FY 2017 Change			
	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost
Civilian Total	1,355	1,250	$148,512	$118	1,355	1,287	$149,997	$116	1,355	1,287	$152,232	$117	-	-	$2,235	$2

[1]Reflects reprogrammings/transfers, as applicable, and actual FTE. The use of FY 2015 Actuals and FY 2015 Revised Enacted Budgetary Resources in the CAS Pay Cost Drivers Exhibit may reflect a higher average FTE Cost than represented in the FY 2016 Enacted or FY 2017 Request average cost columns.

NARRATIVE EXPLANATION OF CHANGES:

- **FTE Change 2016-2017:** No Change in FTE.
- **PC&B Change 2016-2017:** Reflects a 1.6 percent increase for the proposed 2017 pay raise and annualization of the 2016 pay raise.
- **Average Cost Change FY 2016-2017:** An average increase of $1,737 based on the pay raise calculations.
- FY 2017 request estimates $1,035,178 for performance awards. This request remains in line with OPM Awards Guidance on spending limitation.

Department of Homeland Security
United States Citizenship and Immigration Services
Immigration Examinations Fee Account
Operations and Support (O&S)
Fraud Detection and National Security
Cost Drivers (Non-Pay) - PPA Level ($000s)

IEFA - Fraud Detection and National Security	2015 Revised Enacted	2016 Enacted	2017 Request	FY 2016 to FY 2017 Change
FY 2017 Non- Pay Cost Drivers (greatest-least)				
Rental payments to GSA	$19,389	$20,959	$21,901	$942
FDNS Data System	$5,354	$5,408	$5,462	$54
HQ and Fraud Detection Unit	$3,211	$2,312	$2,335	$23
Administrative Site Visit Program	$1,734	$1,751	$1,769	$18
Total	**$29,688**	**$30,430**	**$31,467**	**$1,037**

* FY 2015 and FY 2016 funding levels reflect the CAS structure and are for comparison purposes only.

NARRATIVE EXPLANATION OF CHANGES:

- **Rental Payments to GSA:** The FY 2017 amount is based on projections developed by USCIS's Facilities Division, using information provided by GSA and reflects projected rent increases, termination of leases, and new leases.

- **FDNS Data System:** This item includes various contracts that support the FDNS Data System and Citizen and Immigration Data Repository (CIDR), operations and maintenance, and ancillary consulting services. USCIS assumes minor inflationary increases.

- **HQ and Fraud Detection Unit:** This item funds various fraud detection expenses across the agency, including program management support from the National Technical Information Service (Department of Commerce), and operational fraud detection support domestically and overseas, end-user IT equipment, and IT database consulting services. USCIS assumes only inflationary increases.

- **Administrative Site Visit Program:** This item funds expenses associated with the Administrative Site Visit Verification Program (ASVVP) to ensure compliance and investigate fraud leads in employment-based nonimmigrant benefit types. USCIS assumes only inflationary increases.

Summary Tables of Sub-PPA
Department of Homeland Security
United States Citizenship and Immigration Services
Immigration Examinations Fee Account
Operations and Support (O&S)
Information and Customer Service
FY 2016 to FY 2017 Budget Change- PPA Level
(Dollars in Thousands)

Information and Customer Service		Positions	FTE	Amount
Prior Year	**FY 2015 Revised Enacted**	**360**	**293**	**113,959**
Base	**FY 2016 Enacted**	**360**	**342**	**124,041**
Current Services	2017 Pay Raise	-	-	429
	Annualization of 2016 Pay Raise	-	-	74
	PPA Realignment	[360]	[342]	[124,041]
	Realignment from Information and Customer Service	(48)	(46)	(4,153)
Program Changes	Change in operating requirements due to Executive Action	99	94	18,524
Budget Year	**FY 2017 Request**	**411**	**390**	**138,915**
	Total Change from FY 2016 to FY 2017	**51**	**48**	**14,874**

* FY 2015 and FY 2016 funding levels reflect the CAS structure and are for comparison purposes only.

SUB-PPA DESCRIPTION:

Funds in this sub-PPA support the Customer Service and Public Engagement Directorate (CSPED), which is comprised of the Customer Service Division (CSD) and Public Engagement Division. CSD provides information and guidance to USCIS applicants, petitioners, and advocates regarding immigration benefits. The Public Engagement Division coordinates and directs agency-wide dialogue with external stakeholders to actively collaborate and maintain open and transparent communication, and to seek feedback regarding policies, priorities, and organizational performance reviews.

CSD manages the National Customer Service Center (NCSC) 1-800 number for USCIS. This number provides the general public with prompt and accurate information regarding case information and immigration laws and benefits. The NCSC 1-800 number averages nearly 1 million telephonic inquiries per month. When a customer calls the NCSC, the call is routed directly to an Interactive Voice Response (IVR) system, which is available twenty-four hours per day, seven days per week in English and Spanish. If the customer cannot resolve his or her inquiry through the IVR, the customer is transferred to a customer service representative (CSR) at a Tier 1 contractor-operated call center. After speaking to a CSR, if the customer requires additional support or has a unique and complex inquiry, the CSR will transfer the call to a Tier 2 call center to speak with a USCIS Immigration Services Officer (ISO). The USCIS employees at Tier 2 have an extensive immigration law and operational background.

USCIS is moving toward a new customer service model, evolving from traditional call centers to a full scale customer engagement center. The foundation of this new center will be a mix of technology, processes, and policies engineered to provide a suite of customer facing tools that will offer a superior customer experience in a digital environment. The intention of this mix of tools, processes, and policy is to not only provide a new customer experience but to reduce the operational costs of providing service using our current model.

USCIS requires $138.915 million, 411 Positions, and 390 FTE to maintain critical services in this sub-PPA.

Staffing levels are established based on a staffing allocation model (SAM) using workload estimates and productivity targets. The FY 2015 projected application receipts were used to develop the FY 2015 SAM, which is the basis for formulating the FY 2017 budget. Once the staffing level is determined, salary and benefit expenses are computed multiplying the number of FTEs by the average salary for the organizational unit. A 1.6% adjustment for the proposed 2017 pay raise was made to the baseline salary and benefits amount.

The change in operating requirements from FY 2016 to FY 2017 is due to certain aspects of executive action on immigration that could be implemented in FY 2017 if they are no longer enjoined by the courts will and require an additional $18.524 million, 99 positions, and 94 FTE.

Call Volume			
Call Centers	FY 15 Actual	FY 16 Projected	FY 17 Projected
Tier 1	6,737,066	7,987,066	9,237,066
Tier 2	929, 571	1,099,571	1,259,251

Department of Homeland Security
United States Citizenship and Immigration Services
Immigration Examinations Fee Account
Operations and Support
Information and Customer Service

Cost Drivers (Pay & Benefits) - PPA Level (Excludes Reimbursables) ($000s)

	FY 2015 Revised Enacted[1]				FY 2016 Enacted				FY 2017 Request				FY 2016 to FY 2017 Change			
	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost
Civilian Total	360	293	$29,409	$99	360	342	$35,731	$103	411	390	$40,661	$103	51	48	$4,930	($0)

[1]Reflects reprogrammings/transfers, as applicable, and actual FTE. The use of FY 2015 Actuals and FY 2015 Revised Enacted Budgetary Resources in the CAS Pay Cost Drivers Exhibit may reflect a higher average FTE Cost than represented in the FY 2016 Enacted or FY 2017 Request average cost columns.

NARRATIVE EXPLANATION OF CHANGES:

- **FTE Change 2016-2017:** The increase in FTE in FY 2017 of 48 is due to executive action on immigration.

- **PC&B Change 2016 - 2017:** The change in personnel compensation and benefits reflects executive action on immigration, a realignment of 48 positions to the Adjudication Services PPA, a 1.6 percent increase for the proposed 2017 pay raise, and annualization of the 2016 pay raise.

- **Average Cost Change FY 2016-2017:** The average cost change of ($218) is primarily due to positions added in FY 2017 for executive action on immigration, which are assumed to come onboard at a lower grade than the existing Information and Customer Service workforce.

- The FY 2017 request estimates $276,495 for performance awards. This request remains in line with OPM Awards Guidance on spending limitation.

Department of Homeland Security
United States Citizenship and Immigration Services
Immigration Examinations Fee Account
Operations and Support (O&S)
Information and Customer Service
Cost Drivers (Non-Pay) - PPA Level ($000s)

IEFA - Information and Customer Service	2015 Revised Enacted	2016 Enacted	2017 Request	FY 2016 to FY 2017 Change
FY 2017 Non- Pay Cost Drivers (greatest-least)				
Call Center Contracts	$42,709	$43,136	$43,567	$431
End User Desktop and Mobile Services	$11,003	$11,113	$11,224	$111
Working Capital Fund Payments	$5,968	$5,044	$2,575	($2,469)
Rental payments to GSA	$2,396	$4,119	$4,303	$184
Lease Acquisition Program	$2,466	-	-	-
Total	**$64,542**	**$63,412**	**$61,669**	**($1,743)**

* FY 2015 and FY 2016 funding levels reflect the CAS structure and are for comparison purposes only.

NARRATIVE EXPLANATION OF CHANGES:

- **Call Center Contracts:** This item funds two nationwide call center contracts to operate the bilingual (English/Spanish) USCIS National Customer Service Center (1-800 line). This cost object is impacted by fluctuations in applicant and petitioner volume. USCIS is moving toward a more modern, multi-channel customer contact center which will eventually reduce this cost item. USCIS assumes inflationary increases. Increased application/petition volumes should be offset by more customers moving to online customer service channels.

- **End User Desktop and Mobile Services:** This item includes end-user IT support to USCIS employees and contractors, as well as maintenance and support of locally-housed IT equipment and wireless devices. This amount only represents a portion of the total annual contract cost attributable to Information and Customer Service. USCIS assumes inflationary increases.

- **Working Capital Fund Payments:** This item represents contributions to shared services provided centrally through the DHS Working Capital Fund. Decreases from FY 2015 to FY 2016 reflect revised allocations and certain activities being removed from the Working Capital Fund.

- **Rental Payments to GSA:** The FY 2017 amount is based on projections developed by USCIS's Facilities Division, using information provided by GSA and reflects projected rent increases, termination of leases, and new leases.

- **Lease Acquisition Program:** This item represents minor facilities renovation/upgrade projects. Future facilities infrastructure improvements for Information and Customer Service will be charged to premium processing funds.

Summary Tables of Sub-PPA
Department of Homeland Security
United States Citizenship and Immigration Services
Immigration Examinations Fee Account
Operations and Support (O&S)
Management and Administration
FY 2016 to FY 2017 Budget Change- PPA Level
(Dollars in Thousands)

Management and Administration		Positions	FTE	Amount
Prior Year	**FY 2015 Revised Enacted**	**1,471**	**1,285**	**396,167**
Base	**FY 2016 Enacted**	**1,472**	**1,398**	**384,585**
Current Services	2017 Pay Raise	-	-	2,417
	Annualization of 2016 Pay Raise	-	-	463
	Federal Protective Service Fee	-	-	508
	PPA Realignment	[1,472]	[1,398]	[384,585]
	Performance and Learning Management System	-	-	119
Program Changes	Change in operating requirements due to Executive Action	187	171	30,547
Budget Year	**FY 2017 Request**	**1,659**	**1,569**	**418,639**
	Total Change from FY 2016 to FY 2017	**187**	**171**	**34,054**

* FY 2015 and FY 2016 funding levels reflect the CAS structure and are for comparison purposes only.

SUB-PPA DESCRIPTION:

The M&A sub-PPA includes funding for activities that provide enterprise leadership, management, and business administration services. These include: procurement operations, management of property, plant, and equipment and other material resources, budget, planning and performance measures, strategic sourcing, financial and capital asset management, human resources and personnel recruitment, hiring, training, leadership development, employee benefits, and work-life programs, printing services, and the management of security and emergency management operations.

The M&A PPA funds the following offices within the USCIS Management Directorate:

- The **Investment Management Division:** strives to provide transparency in acquisition and investment management within USCIS programs.

- The **Office of Administration:** plans, develops, implements, and evaluates agency-wide policies, procedures, and programs for the operation of centrally-managed, agency-wide support activities, and for the direct delivery of administrative support to USCIS headquarters.

- The **Office of the Chief Financial Officer:** coordinates the development and execution of the budget and biennial fee reviews, manages the performance measurement processes, and conducts financial management activities.

- The **Office of Contracting:** provides the execution, management, oversight, and guidance for the contracting program.

- The **Office of Human Capital and Training:** provides oversight, direction, and operational support for an integrated program of recruitment, hiring, training, leadership development, performance management, employee benefits, and work-life programs for the USCIS workforce.

- The **Office of Security and Integrity:** provides leadership in security and emergency management within USCIS to protect employees, facilities, assets and information.

The PPA also funds other core offices that are not part of the Management Directorate, including the Office of the Director, the Executive Secretariat, and the following:

- The **Office of the Chief Counsel:** consists of various legal divisions dedicated to advising and representing USCIS operational components both at headquarters and in the field.

- The **Office of Communications:** oversees and coordinates communication to both internal and external stakeholders to educate the public regarding USCIS benefits and services.

- The **Office of Legislative Affairs:** maintains communication with Congress through its responses to constituent concerns, promotion of USCIS priorities, proactive outreach on issues of interest, and ongoing educational activities for Members of Congress and staff.

- The **Office of Policy and Strategy:** directs, prioritizes, and sets the agenda for agency-wide immigration policy and regulations development.

- The **Office of Privacy:** provides advice and technical assistance to leadership and management to ensure privacy protections are implemented throughout USCIS and ensure USCIS' adherence to federal, regulatory, statutory, and Departmental privacy requirements.

- The **Office of Equal Opportunity and Inclusion:** helps USCIS maintain an efficient, fair and impartial employment discrimination complaint resolution process; promotes inclusion and diversity; provides an effective disability accommodation program.

USCIS requires $418.639 million; 1,659 Positions; and 1,569 FTE to maintain critical services in this sub-PPA.

Staffing levels for M&A PPA are driven by workload increases and new operational requirements throughout USCIS. M&A salaries and benefits costs are calculated based on the FY 2015 authorized staff levels multiplied by the average salary for each organizational unit. A 1.6% adjustment for the proposed 2017 pay raise was made to the baseline salaries and benefits amount.

The change in operating requirements from FY 2016 to FY 2017 is due to certain aspects of executive action on immigration that could be implemented in FY 2017 if the programs are no longer enjoined by the courts and will require $30.547 million, 187 positions, and 171 FTE.

The table below depicts actual and projected workloads for select functions included in the Management and Administration sub-PPA.

Responsible Office	**Workload Measure**	**FY 2015 Actual**	**FY 2016 Projected**	**FY 2017 Projected**
Office of Human Capital and Training	Personnel Actions	11,745	12,500	14,000
Office of Human Capital and Training	Employees Completing BASIC Immigration Adjudicator Training	735	1,028	2,000
Office of Security and Integrity	Adjudicative Determinations[1]	6,110	6,599	7,127
Office of Security and Integrity	Entry on Duty (EOD) Determinations[2]	10,461	11,298	14,975
Office of Equal Opportunity and Inclusion	Formal Complaint Filings	90	95	100
Office of Equal Opportunity and Inclusion	Disability Accommodation Requests	949	997	1,047

[1]Includes suitability, fitness, security, reinvestigation, and Secure Compartmented Information (SCI) eligibility case types not including contract-to-contract transfers or internal employee selections.
[2]Includes new hires, transfers from other Federal agencies, internal employee selections, contract-to-contract transfers, and multiple contract support.

Department of Homeland Security
United States Citizenship and Immigration Services
Immigration Examinations Fee Account
Operations and Support
Management and Administration

Cost Drivers (Pay & Benefits) - PPA Level (Excludes Reimbursables) ($000s)

	FY 2015 Revised Enacted[1]				FY 2016 Enacted				FY 2017 Request				FY 2016 to FY 2017 Change			
	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost
Civilian Total	1,471	1,285	$185,200	$143	1,472	1,398	$201,441	$143	1,659	1,569	$220,336	$139	187	171	$18,895	($4)

[1]Reflects reprogrammings/transfers, as applicable, and actual FTE. The use of FY 2015 Actuals and FY 2015 Revised Enacted Budgetary Resources in the CAS Pay Cost Drivers Exhibit may reflect a higher average FTE Cost than represented in the FY 2016 Enacted or FY 2017 Request average cost columns.

NARRATIVE EXPLANATION OF CHANGES:

- **FTE Change 2016-2017:** The increase in FTE in FY 2017 of 171 is related to executive action on immigration.

- **PC&B Change 2016-2017:** The increase in personnel compensation and benefits in FY 2017 is due to the positons added for executive action on immigration, a 1.6 percent increase for the proposed 2017 pay raise, and annualization of the 2016 pay raise.

- **Average Cost Change FY 2016 - 2017:** The average cost change of ($3,661) is primarily due to the positions added in FY 2017 for executive action, which are assumed to come onboard at a lower grade than the existing Management and Administration workforce.

- The FY 2017 request estimates $1,498,285 for performance awards. This request remains in line with OPM Awards Guidance on spending limitation.

Department of Homeland Security
United States Citizenship and Immigration Services
Immigration Examinations Fee Account
Operations and Support (O&S)
Management and Administration
Cost Drivers (Non-Pay) - PPA Level ($000s)

IEFA - Management and Administration	2015 Revised Enacted	2016 Enacted	2017 Request	FY 2016 to FY 2017 Change
FY 2017 Non- Pay Cost Drivers (greatest-least)				
Field Overheads	$48,308	$48,791	$49,279	$488
Rental payments to GSA	$21,886	$24,379	$25,464	$1,085
Personnel Security	$14,645	$14,791	$14,939	$148
Transit Subsidy	$6,550	$9,825	$9,923	$98
Physical Security	$5,971	$6,031	$6,091	$60
Working Capital Fund Payment	$6,936	$5,862	$2,993	($2,869)
Total	**$104,296**	**$109,679**	**$108,689**	**($990)**

* FY 2015 and FY 2016 funding levels reflect the CAS structure and are for comparison purposes only.

NARRATIVE EXPLANATION OF CHANGES:

- **Field Overheads:** This item includes expenses at USCIS field facilities to fund local guard services, utilities, housekeeping/cleaning, and other mandatory operational costs. This cost item is impacted by where USCIS has its field offices. Many costs are included in the GSA rent charges for federally owned buildings as opposed to leased locations. Costs will change as USCIS opens or closes offices across the U.S. USCIS assumes only inflationary increases.

- **Rental Payments to GSA:** The FY 2017 amount is based on projections developed by USCIS's Facilities Division, using information provided by GSA and reflects projected rent increases, termination of leases, and new leases.

- **Personnel Security:** This item consists of reimbursements to the Office of Personnel Management for employee background investigations. Costs are impacted by the number of onboard employees and the cyclic nature of 5-year reinvestigations due to past hiring surges, as well as OPM reimbursement rates. USCIS assumes only inflationary increases.

- **Transit Subsidy:** This item consists of USCIS's contribution to employee transit subsidy benefits to defray employee commuting expenses. As employee onboard counts increase, there is an increase in USCIS's costs. In addition, in FY 2016, the limit was increased from $130 to $255 per employee per month.

- **Physical Security:** This item supports physical (facility) security upgrades, including program management and equipment purchases, and purchase of replacement security equipment such as secure benefit approval and denial seals/stamps. USCIS assumes inflationary increases.

- **Working Capital Fund Payment:** This item represents contributions to shared services provided centrally through the DHS Working Capital Fund. Decreases from FY 2015 to FY 2016 reflect revised allocations and certain activities being removed from the Working Capital Fund.

Summary Tables of Sub-PPA
Department of Homeland Security
United States Citizenship and Immigration Services
Immigration Examinations Fee Account
Operations and Support (O&S)
Adjudications Services – Premium Processing Fee
FY 2016 to FY 2017 Budget Change- PPA Level
(Dollars in Thousands)

Enterprise Services - Premium Fee		Positions	FTE	Amount
Prior Year	**FY 2015 Revised Enacted**	**-**	**-**	**85,441**
Base	**FY 2016 Enacted**	**487**	**463**	**206,050**
Current Services	2017 Pay Raise	-	-	568
	PPA Realignment	[487]	[463]	[206,050]
	Transfer from IEFA O&S-Adjudication Services for Rent Costs	-	-	338
	Transformation IT Business Case Adjustment	-	-	(510)
Budget Year	**FY 2017 Request**	**487**	**463**	**206,446**
	Total Change from FY 2016 to FY 2017	**-**	**-**	**396**

* FY 2015 and FY 2016 funding levels reflect the CAS structure and are for comparison purposes only.

SUB-PPA DESCRIPTION:

O&S, Adjudication Services – Premium Processing Fee includes the activities funded through premium processing fee revenue authorized by the Immigration and Nationality Act (INA) section 286 (u) that do not meet the threshold for inclusion in a separate PC&I account. INA section 286 (u) authorizes USCIS to establish and collect a premium fee for employment-based petitions and applications, and authorizes USCIS to use this revenue to provide certain premium processing services to business customers, and to make infrastructure improvements in the adjudications and customer-service processes. USCIS currently offers premium processing service to business customers filing the Petition for Nonimmigrant Worker (Form I-129) and the Immigrant Petition for Alien Worker (Form I-140). In exchange for the premium processing fee, USCIS guarantees the business customer action on their request within 15 calendar days. Actions include issuing an approval notice, a denial notice, a notice of intent to deny, a request for evidence, or the opening of an investigation for fraud or misrepresentation. If USCIS does not take one of these actions within 15 calendar days, it refunds the premium processing fee but continues expedited processing.

With the relatively recent transition to an agile software development methodology and an approved Lifecycle Cost Estimate (LCCE) for USCIS Electronic Immigration System (ELIS), USCIS has greater certainty with respect to the future funding requirements for the transformation investment. As a result, USCIS is expanding the use of premium processing revenue to fund other services, initiatives, and investments that are consistent with the language in Section 286 (u) of the Immigration and Nationality Act (INA). The O&S, Adjudication Services – Premium Processing Fee and the PC&I,

Adjudication Services – Premium Processing Fee will be used to fund, track, and report on the services, initiatives, and investments that are procured with premium processing revenue.

USCIS requires $206.446 million, 487 Positions, and 463 FTE to maintain current services in this sub-PPA.

Salaries and benefits include the cost of 99 FTEs assigned to support the development of USCIS ELIS in the Office of Transformation and 364 FTEs for adjudication staff in the Service Centers who adjudicate the cases for which premium processing has been requested. For the Office of Transformation, salary and benefit costs are derived by multiplying current staff requirements by the average salary for the organizational unit. Salary and benefit costs for adjudication staff in the Service Centers is based on the SAM using projected application/petition receipts and productivity targets. The FY 2015 projected application/petition receipts were used to develop the FY 2015 SAM, which is the basis for formulating the FY 2017 budget. Once the staffing level is determined, salary and benefit expenses are computed multiplying the number of FTEs by the average salary for the organizational unit. A 1.6% adjustment for the proposed 2017 pay raise was made to the baseline salaries and benefits amount.

The funding level supports the following:

- **Service Center Operations (SCOPS) Premium Adjudication** includes the adjudicative, supervisory, and support staff and associated non-personnel costs necessary to adjudicate cases for which business customers have requested premium processing service.

- The **Office of Transformation Coordination (OTC)** includes the salaries and benefits for the staff that manage USCIS's business transformation investment and ELIS. OTC's authorized staffing level for FY 2016 and planned staffing level for FY 2017 is 104 positions.

- **Electronic Immigration System Operations and Maintenance (O&M)** includes the costs for O&M presented in the transformation investment business case. This category excludes all planning and acquisition costs, which are included in the IEFA PC&I, Adjudication Services – Premium Processing Fee activity. A detailed discussion of the business transformation investment and ELIS is included in the IEFA PC&I, Adjudication Services – Premium Processing Fee section.

- **Additional Infrastructure Improvements** are additional costs that meet the definition for which INA section 286 (u) makes premium processing funds available, but that do not meet the threshold for inclusion in a separate PC&I account. These costs are presented in USCIS's investment business cases as operations and maintenance (O&M) rather than planning and acquisition. Examples include incremental improvements to legacy adjudications and customer service systems to ensure compliance with changes to law or regulation, and to improve system functionality, stability, and data integrity. Also included is USCIS's Financial Systems Modernization effort.

Department of Homeland Security
United States Citizenship and Immigration Services
Immigration Examinations Fee Account
Operations and Support
Adjudication Services - Premium Fee

Cost Drivers (Pay & Benefits) - PPA Level (Excludes Reimbursables) ($000s)

	FY 2015 Revised Enacted[1]				FY 2016 Enacted				FY 2017 Request				FY 2016 to FY 2017 Change			
	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost
Civilian Total	-	-	-	-	487	463	$47,333	$101	487	463	$47,901	$102	-	-	$568	$1

[1]Reflects reprogrammings/transfers, as applicable, and actual FTE. The use of FY 2015 Actuals and FY 2015 Revised Enacted Budgetary Resources in the CAS Pay Cost Drivers Exhibit may reflect a higher average FTE Cost than represented in the FY 2016 Enacted or FY 2017 Request average cost columns.

NARRATIVE EXPLANATION OF CHANGES:

- **FTE Change 2016-2017:** No Change in FTE.
- **PC&B Change 2016-2017:** The change in personnel compensation and benefits reflects a 1.6 percent increase for the proposed 2017 pay raise and annualization of the 2016 pay raise.
- **Average Cost Change FY 2016-2017:** The average increase of $1,227 is based on the pay raise calculations.
- The FY 2017 request estimates $325,727 for performance awards. This request remains in line with OPM Awards Guidance on spending limitation.

Department of Homeland Security
United States Citizenship and Immigration Services
Immigration Examinations Fee Account
Operations and Support (O&S)
Adjudication Services - Premium Fee
Cost Drivers (Non-Pay) - PPA Level ($000s)

IEFA - Enterprise Services - Premium Fee	2015 Revised Enacted	2016 Enacted	2017 Request	FY 2016 to FY 2017 Change
FY 2017 Non- Pay Cost Drivers (greatest-least)				
Records Digitization Facility Operations and Quality Assurance Contracts	$14,916	$15,065	$15,216	$151
Hardware and Software License Maintenance Agreements	$13,268	$13,401	$13,535	$134
Financial Systems Modernization	-	$21,600	$9,635	($11,965)
Service Center Premium Processing Adjudications Rent	-	$7,533	$7,608	$75
Electronic Immigration System (ELIS) Help Desk Contract	$2,219	$5,390	$5,444	$54
Total	**$30,403**	**$62,989**	**$51,438**	**($11,551)**

* FY 2015 and FY 2016 funding levels reflect the CAS structure and are for comparison purposes only.

NARRATIVE EXPLANATION OF CHANGES:

- **Financial Systems Modernization:** This item includes costs for migrating USCIS to a new financial system. USCIS assumes the same level from FY 2016 to FY 2017.

- **Records Digitization Facility Operations and Quality Assurance Contracts:** This category includes the cost to operate the contracted records digitization facility, as well as for a third-party contract to perform quality assurance services. USCIS assumes inflationary increases.

- **Hardware and Software License Maintenance Agreements:** This item consists of hardware and software license maintenance agreements associated with Transformation and ELIS. USCIS assumes inflationary increases.

- **Service Center Premium Processing Adjudications Rent:** A portion of the rent at the two USCIS service centers where premium processing cases are adjudicated will be attributed to premium processing funds. USCIS assumes inflationary increases.

- **Electronic Immigration System Help Desk Contract:** This item consists of a contract to assist ELIS end-users with technical issues they experience using the system. USCIS projects an increase in FY 2016 to account for the greater number of users, and inflationary increases in FY 2017.

Schedule II (Continued) – Program, Project, Activity (PPA) Exhibits

Department of Homeland Security
United States Citizenship and Immigration Services Immigration
Examinations Fee Account
Procurement, Construction, and Improvements (PC&I)

FY 2016 to FY 2017 Budget Change- PPA Level
(Dollars in Thousands)

Procurement, Construction, and Improvements		Positions	FTE	Amount
Prior Year	**FY 2015 Revised Enacted**	**-**	**-**	**180,572**
Base	**FY 2016 Enacted**	**-**	**-**	**163,183**
Current Services	Fraud Detection IT Business Case Adjustment	-	-	794
	PPA Realignment	-	-	[163,183]
	Standard Tool Program IT Business Case Adjustment	-	-	101
	Transformation IT Business Case Adjustment	-	-	510
Budget Year	**FY 2017 Request**	**-**	**-**	**164,588**
	Total Change from FY 2016 to FY 2017	**-**	**-**	**1,405**

* FY 2015 and FY 2016 funding levels reflect the CAS structure and are for comparison purposes only.

PPA DESCRIPTION:

USCIS requires $164.588 million, 0 Positions, and 0 FTE to maintain current services in this PPA.

IEFA PC&I encompasses the cost for planning and acquisition presented in USCIS's investment business cases. Costs for operations and maintenance presented in the investment business cases are included in IEFA O&S. For IEFA, salaries are not included in this budget activity because they are not directly and solely related to PC&I activities. IEFA PC&I includes the following sub-PPAs:

- Adjudication Services – Non-Premium Processing Fee, and
- Adjudication Services – Premium Processing Fee.

Department of Homeland Security
United States Citizenship and Immigration Services
Procurement, Construction, and Improvements
Cost Drivers (Non-Pay) - PPA Level ($000s)

IEFA - Procurement, Construction, and Improvements	2015 Revised Enacted	2016 Enacted	2017 Request	FY 2016 to FY 2017 Change
FY 2017 Non- Pay Cost Drivers (greatest-least)				
Fraud Detection and National Security Systems Development	$39,723	$39,723	$40,517	$794
Agile Software Development Contracts	$36,583	$36,949	$37,318	$369
Independent Verification and Validation	$17,742	$17,919	$18,099	$180
Technology Platform – Cloud Environment	$11,523	$11,638	$11,755	$117
Enterprise Service Bus Development	$7,746	$7,823	$7,902	$79
Total	**$113,317**	**$114,052**	**$115,591**	**$1,539**

* FY 2015 and FY 2016 funding levels reflect the CAS structure and are for comparison purposes only.

NARRATIVE EXPLANATION OF CHANGES:

- **Fraud Detection and National Security Systems Development:** This item consists of the planning and acquisition costs associated with USCIS's FDNS systems. The increase from FY 2016 to FY 2017 is based on the program's cost estimate.

- **Agile Software Development Contracts:** This item consists of contracts for agile software development of ELIS. USCIS assumes inflationary increases.

- **Independent Verification and Validation:** This category includes contractual costs for third-party verification and validation of ELIS code to ensure it is acceptable to USCIS before it is deployed in the live production system. USCIS assumes inflationary increases.

- **Technology Platform - Cloud Environments:** This item is made up of the costs to establish and make operational ELIS technical infrastructure in the cloud. USCIS assumes inflationary increases.

- **Enterprise Service Bus Development:** This item consists of contracts to develop and strengthen the USCIS Enterprise Service Bus, which facilitates exchange of information between ELIS and other USCIS systems. USCIS assumes inflationary increases.

Summary Tables of Sub-PPA
Department of Homeland Security
United States Citizenship and Immigration Service
Immigration Examinations Fee Account
Procurement, Construction, and Improvements (PC&I)
Adjudication Services – Non-Premium Processing Fee
FY 2016 to FY 2017 Budget Change- PPA Level
(Dollars in Thousands)

Infrastructure Improvements - Non-Premium Fee		Positions	FTE	Amount
Prior Year	**FY 2015 Revised Enacted**	**-**	**-**	**44,769**
Base	**FY 2016 Enacted**	**-**	**-**	**44,769**
Current Services	Fraud Detection IT Business Case Adjustment	-	-	794
	PPA Realignment	-	-	[44,769]
Budget Year	**FY 2017 Request**	**-**	**-**	**45,664**
	Total Change from FY 2016 to FY 2017	**-**	**-**	**895**

* FY 2015 and FY 2016 funding levels reflect the CAS structure and are for comparison purposes only.

SUB-PPA DESCRIPTION:

PC&I, Adjudication Services - Non-Premium Processing Fee includes the costs funded by non-premium processing revenue that are presented in USCIS's investment business cases as planning and acquisition costs. The fact that these planning and acquisition costs are funded by non-premium processing revenue does not necessarily indicate that they do not meet the definition of the purposes for which INA Section 286 (u) makes premium processing revenue available. In cases where these expenses meet the purposes of INA Section 286 (u), they reflect a funding decision from two available funding sources that are both available for the purposes of the investment.

USCIS requires $45.664 million, 0 Positions, and 0 FTE to maintain Current Services in this sub-PPA. The funding level supports the following:

- The **Fraud Detection and National Security Case Management System** records, tracks, and manages immigration inquiries, investigative referrals, law enforcement requests, and case determinations involving benefit fraud, criminal activity, public safety and national security concerns. FDNS processed over 97,000 cases in FY 2015 and projects to complete 101,200 cases in FY 2016 and 104,250 cases in FY 2017.

Fraud Detection Referrals Processed[1]

FY 2015 (Actual)	Projected FY 2016	Projected FY 2017
97,116	101,200	104,250

Source: Fraud Detection and National Security Data System.

Of the 97,116 referrals FDNS received in FY 2015[2]:

- 5,519 were national security concerns.
- 2,293 were public safety leads and 6,818 public safety cases.
- 21,805 were fraud leads and 15,938 fraud cases.
- 34,589 were requests for assistance.
- 1,322 were requests for overseas verification.
- 1,137 were other cases and leads.
- 7,695 were requests for benefit fraud assessments.

- The **Standard Tools Program** will identify and implement standard technology tools and environments for use agency-wide. Historically, local, district/regional offices, and service centers employed different tools and methods for delivering data and solutions to fulfill operational requirements. These tools and methods were not developed in compliance with USCIS enterprise architecture models and security requirements. Through the Standard Tools Program, USCIS will deliver common tools, procedures, and operating environment consistent with USCIS target enterprise architecture models that give local offices capabilities to create solutions tailored to the local environment, while using standard workflows, tools, and processes. This approach radically reduces the need for sustaining multiple developmental tools, employee skill sets, and turnaround times for developing tailored, site-unique solutions.

[2] For the purpose of this document, the term "referral" indicates any request for FDNS to review, investigate, or support USCIS workload. This differs from the standard definition of FDNS-DS "referral" that does not include requests to FDNS to conduct administrative investigations of fraud.

[2]Case type and subtype data is provided as of October 19, 2015. FDNS-DS is an active system. Cases may be created, deleted, converted, or merged at any time.

Department of Homeland Security
United States Citizenship and Immigration Services
Immigration Examinations Fee Account
Procurement, Construction, and Improvements (PC&I)
Adjudication Services - Non-Premium Fee
Cost Drivers (Non-Pay) - PPA Level ($000s)

IEFA - Infrastructure Improvements - Non-Premium Fee	2015 Revised Enacted	2016 Enacted	2017 Request	FY 2016 to FY 2017 Change
FY 2017 Non- Pay Cost Drivers (greatest-least)				
Fraud Detection and National Security Systems Development	$39,723	$39,723	$40,517	$794
Standard Tools Development	$5,046	$5,046	$5,147	$101
Total	**$44,769**	**$44,769**	**$45,664**	**$895**

* FY 2015 and FY 2016 funding levels reflect the CAS structure and are for comparison purposes only.

NARRATIVE EXPLANATION OF CHANGES:

- **Fraud Detection and National Security Systems Development:** This item consists of the planning and acquisition costs associated with USCIS's fraud detection and national security systems. The increase from FY 2016 to FY 2017 is based on the program's cost estimate.

- **Standard Tools Development:** This item consists of the planning and acquisition costs associated with USCIS's standard tools for reporting, data analysis, and business intelligence. The increase from FY 2016 to FY 2017 is based on the program's cost estimate.

Summary Tables of Sub-PPA
Department of Homeland Security
United States Citizenship and Immigration Services
Immigration Examinations Fee Account
Procurement, Construction and Improvements (PC&I)
Adjudication Services – Premium Processing Fee
FY 2016 to FY 2017 Budget Change- PPA Level
(Dollars in Thousands)

Infrastructure Improvements - Premium Fee		Positions	FTE	Amount
Prior Year	**FY 2015 Revised Enacted**	**-**	**-**	**135,803**
Base	**FY 2016 Enacted**	**-**	**-**	**118,414**
Current Services	PPA Realignment	-	-	[118,414]
	Transformation IT Business Case Adjustment	-	-	510
Budget Year	**FY 2017 Request**	**-**	**-**	**118,924**
	Total Change from FY 2016 to FY 2017	**-**	**-**	**510**

* FY 2015 and FY 2016 funding levels reflect the CAS structure and are for comparison purposes only.

SUB-PPA DESCRIPTION:

PC&I, Adjudication Services - Premium Processing Fee includes the costs funded by premium processing revenue that are presented in USCIS's investment business cases as planning and acquisition costs. The sole item in this category is planning and acquisition for USCIS ELIS.

The transformation investment and USCIS ELIS have begun to transition the agency from a fragmented, paper-based operational environment to a centralized environment facilitating electronic processing of requests for immigration benefits. This investment is a large-scale, complex undertaking to modernize USCIS business processes using information technology (IT) enabled re-engineering. ELIS will employ the types of online customer accounts used in the private sector to manage transactions and track activities while enforcing and administering our immigration laws. The revised processes, enabled by ELIS, will help USCIS meet customer expectations for on-demand information and immediate real-time electronic service over the Internet.

The transformation investment addresses:

- **National Security and Integrity:** USCIS will create a system that is efficient, consistent, accurate, and trusted that helps secure the nation by ensuring that ineligible individuals are not granted immigration or citizenship benefits, while protecting the privacy rights of individuals with the agency;

- **Customer Service:** USCIS will provide the tools to facilitate communication between stakeholders and USCIS and the timely, equitable, and accurate adjudication of benefits;

- **Operational Efficiency:** USCIS will provide the tools to enable USCIS to be an innovative, flexible, and accountable organization that invests in its people and infrastructure to ensure cost effectiveness and consistent results.

Transformation and USCIS ELIS have transitioned to an agile software development methodology and a new, less complex technology architecture that promises to be easier to deploy, use, and maintain. In FY 2015, the program focused on deploying the capability to process the Application to Replace Permanent Resident Card (Form I-90) as the first release in the new architecture. OTC also focused on the rebuild of the USCIS Immigrant fee collection process in the new architecture during FY 2015.

USCIS requires $118.924 million, 0 Positions, and 0 FTE to maintain Current Services in this sub-PPA.

In FY 2017, the program will deploy capabilities and system enhancements to process Adjustment of Status filings.

Transformation Sequencing

Main Product Lines	Projected Release
DACA Deployment	FY 2016
N-400 – Citizenship Line of Business	FY 2016
TPS Deployment	FY 2016
Adjustments of Status	FY 2017

Department of Homeland Security
United States Citizenship and Immigration Services
Immigration Examinations Fee Account
Procurement, Construction, and Improvements (PC&I)
Adjudication Services - Premium Fee
Cost Drivers (Non-Pay) - PPA Level ($000s)

IEFA - Infrastructure Improvements - Premium Fee	2015 Revised Enacted	2016 Enacted	2017 Request	FY 2016 to FY 2017 Change
FY 2017 Non- Pay Cost Drivers (greatest-least)				
Agile Software Development Contracts	$36,583	$36,949	$37,318	$369
Independent Verification and Validation	$17,742	$17,919	$18,099	$180
Technology Platform - Cloud Environment	$11,523	$11,638	$11,755	$117
Enterprise Service Bus. Development	$7,746	$7,823	$7,902	$79
Platform Engineering	$5,709	$5,766	$5,824	$58
Total	**$79,303**	**$80,095**	**$80,898**	**$803**

* FY 2015 and FY 2016 funding levels reflect the CAS structure and are for comparison purposes only.

NARRATIVE EXPLANATION OF CHANGES:

- **Agile Software Development Contracts:** This item consists of contracts for agile software development of ELIS. USCIS assumes inflationary increases.

- **Independent Verification and Validation:** This category includes contractual costs for third-party verification and validation of ELIS code to ensure it is acceptable to USCIS before it is deployed in the live production system. USCIS assumes inflationary increases.

- **Technology Platform - Cloud Environments:** This item is made up of the costs to establish and make operational ELIS technical infrastructure in the cloud. USCIS assumes inflationary increases.

- **Enterprise Service Bus Development:** This item consists of contracts to develop and strengthen the USCIS Enterprise Service Bus, which facilitates exchange of information between ELIS and other USCIS systems. USCIS assumes inflationary increases.

- **Platform Engineering:** This item consists of contract costs to design and engineer the technical infrastructure of ELIS - both in the cloud as well as in the DHS data centers. USCIS assumes inflationary increases.

Department of Homeland Security
United States Citizenship and Immigration Services
Immigration Examinations Fee Account
Federal Assistance (FA)

FY 2016 to FY 2017 Budget Change- PPA Level
(Dollars in Thousands)

Federal Assistance		Positions	FTE	Amount
Prior Year	**FY 2015 Revised Enacted**	**-**	**-**	**$20,805**
Base	**FY 2016 Enacted**	**-**	**-**	**20,805**
Current Services	From Federal Assistance-Citizenship to IEFA FA-CIGP	-	-	10,000
	From IEFA-ARIO to IEFA FA-CHEP Grants	-	-	10,805
	Transfer to Discretionary Federal Assistance-CIGP	-	-	(10,000)
Budget Year	**FY 2017 Request**	**-**	**-**	**10,805**
	Total Change from FY 2016 to FY 2017	**-**	**-**	**(10,000)**

* FY 2015 and FY 2016 funding levels reflect the CAS structure and are for comparison purposes only.

PPA DESCRIPTION:

USCIS requires $10.805 million, 0 Positions, and 0 FTE to maintain current services in this PPA.

IEFA FA includes funding for CHEP, which provides eligible Cubans and Haitians with certain benefits and services. CHEP is administered by the USCIS Refugee, Asylum, and International Affairs Directorate. USCIS coordinates the reception, processing, and community placement of Cubans and Haitians paroled into the United States. CHEP provides funding through cooperative agreements to community-serving organizations that provide services to the individuals. Through the CHEP, Cuban and Haitian entrants may be helped in obtaining decent, safe, and sanitary housing, essential furnishings, food or a food allowance, necessary clothing, and other basic necessities, as appropriate.

USCIS funds two grantees through CHEP, that provide assistance to 3,900 cases on an annual basis.

Department of Homeland Security
United States Citizenship and Immigration Services
Federal Assistance
Cost Drivers (Non-Pay) - PPA Level ($000s)

IEFA - Federal Assistance	2015 Revised Enacted	2016 Enacted	2017 Request	FY 2016 to FY 2017 Change
FY 2017 Non- Pay Cost Drivers (greatest-least)				
Citizenship and Integration Grant Program	$10,000	$10,000	-	($10,000)
Cuban-Haitian Entrant Program	$10,805	$10,805	$10,805	-
Total	**$20,805**	**$20,805**	**$20,805**	**($10,000**

* FY 2015 and FY 2016 funding levels reflect the CAS structure and are for comparison purposes only.

NARRATIVE EXPLANATION OF CHANGES:

- **Cuban-Haitian Entrant Program:** This item consists of the cost to fund two grantees that provide assistance to 3,900 cases on an annual basis.

Summary Tables of Sub-PPA
Department of Homeland Security
United States Citizenship and Immigration Services
Immigration Examinations Fee Account
Federal Assistance (FA)
Citizenship and Integration Grant Program
FY 2016 to FY 2017 Budget Change- PPA Level
(Dollars in Thousands)

Citizenship and Integration Grant Program		Positions	FTE	Amount
Prior Year	**FY 2015 Revised Enacted**	**-**	**-**	**$10,000**
Base	**FY 2016 Enacted**	**-**	**-**	**10,000**
Current Services	PPA Realignment	-	-	[10,000]
	Transfer to Federal Assistance-CIGP	-	-	(10,000)
Budget Year	**FY 2017 Request**	**-**	**-**	**-**
	Total Change from FY 2016 to FY 2017	**-**	**-**	**(10,000)**

* FY 2015 and FY 2016 funding levels reflect the CAS structure and are for comparison purposes only.

SUB-PPA DESCRIPTION:

The Citizenship and Integration Grant Program (CIGP) is part of a multifaceted effort to provide citizenship preparation resources, support, and information to immigrants and immigrant-serving organizations. The CIGP is an important capacity-building initiative that supports immigrant civic integration through the naturalization preparation process. The program funds competitive grants with the goal of expanding the availability of high quality citizenship preparation programs for lawful permanent residents in communities nationwide. Services provided by CIGP grant recipients include citizenship instruction and naturalization application assistance. CIGP grant recipients are public or private nonprofit organizations with recent experience providing citizenship instruction and naturalization application services to eligible lawful permanent residents. They include public school systems, public libraries, community and faith-based groups, adult education organizations, and literacy organizations.

For FY 2017, the program is proposed to be funded through the Federal Assistance discretionary account.

Department of Homeland Security
United States Citizenship and Immigration Service
Immigration Examinations Fee Account
Citizenship and Integration Grant Program
Cost Drivers (Non-Pay) - PPA Level ($000s)

IEFA - Citizenship and Integration Grant Program	2015 Revised Enacted	2016 Enacted	2017 Request	FY 2016 to FY 2017 Change
FY 2017 Non- Pay Cost Drivers (greatest-least)				
Citizenship and Integration Grants	$10,000	$10,000	-	($10,000)
Total	**$10,000**	**$10,000**	**-**	**($10,000)**

* FY 2015 and FY 2016 funding levels reflect the CAS structure and are for comparison purposes only.

NARRATIVE EXPLANATION OF CHANGES:

- **Citizenship and Integration Grants:** Funding for this program is requested through the discretionary USCIS Federal Assistance appropriation in FY 2017.

Summary Tables of Sub-PPA
Department of Homeland Security
United States Citizenship and Immigration Services
Immigration Examinations Fee Account
Federal Assistance (FA)
Cuban-Haitian Entrants Program Grants
FY 2016 to FY 2017 Budget Change- PPA Level
(Dollars in Thousands)

Cuban-Haitian Entrant Program		Positions	FTE	Amount
Prior Year	**FY 2015 Revised Enacted**	-	-	**$10,805**
Base	**FY 2016 Enacted**	-	-	**10,805**
Current Services	PPA Realignment	-	-	[10,805]
Budget Year	**FY 2017 Request**	-	-	**10,805**
	Total Change from FY 2016 to FY 2017	-	-	-

* FY 2015 and FY 2016 funding levels reflect the CAS structure and are for comparison purposes only.

SUB-PPA DESCRIPTION:

CHEP was established to provide eligible Cubans and Haitians with certain benefits and services. CHEP is administered by the USCIS Refugee, Asylum, and International Affairs Directorate. USCIS coordinates the reception, processing, and community placement of Cubans and Haitians paroled into the United States. CHEP provides funding through cooperative agreements to community-serving organizations to provide services to individuals. Additional CHEP services are provided by the Office of Refugee Resettlement and the Administration for Children and Families in the Department of Health and Human Services.

Under the CHEP program, Cuban and Haitian entrants may be assisted in obtaining decent, safe, and sanitary housing, essential furnishings, food or a food allowance, necessary clothing, and other basic necessities, as appropriate. In addition, individuals are provided with:

- Employment orientation, including the importance of self-sufficiency in American society, the local job market, and job counseling and training programs available in the local community;
- Referral to community job counseling, placement, and/or training programs;
- Referral to English as a Second Language (ESL) classes/programs available in the community;
- Assistance in applying for Social Security cards;

- Assistance in registering children for school;
- Assistance with immigration-related issues;

Community orientation concerning public services and facilities, personal and public safety, public transportation, standards of personal and public hygiene, their eligibility of publicly supported refugee services, procedures for renewing pertinent DHS documents, available health care services/resources, and availability of free legal services.

Department of Homeland Security
United States Citizenship and Immigration Services
Immigration Examinations Fee Account
Federal Assistance (FA)
Cuban-Haitian Entrant Program
Cost Drivers (Non-Pay) - PPA Level ($000s)

IEFA - Cuban-Haitian Entrant Program	2015 Revised Enacted	2016 Enacted	2017 Request	FY 2016 to FY 2017 Change
FY 2017 Non- Pay Cost Drivers (greatest-least)				
Cuban Haitian Entrant Program Grants	$10,805	$10,805	$10,805	-
Total	**$10,805**	**$10,805**	**$10,805**	**-**

* FY 2015 and FY 2016 funding levels reflect the CAS structure and are for comparison purposes only.

NARRATIVE EXPLANATION OF CHANGES:

- **CHEP Grants:** This item consists of the costs to fund two grantees to provide assistance to 3,900 cases on an annual basis. There is no change in funding between FY 2016 and FY 2017.

Schedule III – Other Exhibits

Exhibit E. Justification of Proposed Legislative Language

N/A

Exhibit F. Summary of Fee Collections and Carryover

Department of Homeland Security
United States Citizenship and Immigration Services (CAS-USCIS)
Immigration Examinations Fee Account (Mandatory Fee)
Summary of Fee Collections and Carryover
(Dollars in Thousands)

	FY 2015 Revised Enacted	FY 2016 Enacted	FY 2017 Request
Collections (Actual or Anticipated)	$3,074,627	$3,032,205	$3,299,131
Carryover (Includes Recoveries)	$261,251	$396,861	$549,486
Portion of Current Year Collection Sequestered	($222,101)	($220,621)	($240,107)
Previous Year Sequestered Funds Available	$219,510	$222,101	$220,621
Total Budget Authority	**$3,333,287**	**$3,430,546**	**$3,829,131**

- Statutory Authority: IEFA was established by Section 286 of the Immigration and Nationality Act (8 U.S.C. 1356).

- Authorized Uses: Fees collected with the submission of immigration benefit applications and petitions are deposited into the IEFA and are used to fund the cost of processing immigration benefit applications and petitions, as well as to cover the cost of processing similar benefit requests for applicants without charge, for example, for refugee and asylum applicants.

- Change Mechanism: IEFA fee changes are made through a regulatory process. USCIS conducts a biennial Fee Review and adjusts fees through a Fee Rule. Though USCIS Fee Reviews are conducted biennially, resulting fee adjustments are not always adopted. When USCIS determines that fee adjustments are necessary to maintain operations, proposed Fee Rules are published in the Federal Register and are subject to a public comment period. Following the comment evaluation process, the Final Rule passes through a rigorous examination by DHS and the Office of Information and Regulatory Affairs (OIRA), before its official publication and effectuation, if it is determined a Fee Rule be implemented.

- Previous Changes: Current IEFA immigration benefit request fees went into effect on November 23, 2010. DHS published the fee rule on September 24, 2010 in the Federal Register at 75 FR 58962. The fee rule covered the FY 2010-2011 biennial period.

- Recovery Rate: IEFA application and petition fees allow for full cost recovery, per U.S.C. 1356(m) of the Immigration and Nationality Act. The IEFA account funds the full cost of processing immigration benefit requests, including the costs of similar benefits to asylum applicants and

other immigrants that do not pay fees. When fees are insufficient to recover the full costs, DHS proposes to adjust the fees. DHS will pursue a fee rule based on the USCIS FY 2016/2017 fee review.

- Within this account there are also two immigration benefit request fees set by statute – the Form I-821, Application for Temporary Protected Status, and the I-907, Request for Premium Processing Services. Initial TPS applicants must submit a $50 fee for Form I-821. There is no Form I-821 fee for re-registration. USCIS can only adjust the fee for Premium Processing by inflation. In FY 2014, IEFA fees covered 94% of the total USCIS budget.

- Forecasting Methodology: When developing fees for services, USCIS uses Activity Based Costing (ABC). This methodology uses historical data, such as fee paying and workload volumes, to estimate workloads and revenue. The current year budget is then adjusted as necessary for future workloads, cost of living increases and other factors. Direct costs are determined per immigration benefit request or activity and the cost of adjudication is assigned based on the complexity of immigration benefit request, using historic completion rates.

- Contingency Plan: USCIS relies on IEFA carryover balances to cover remaining operational costs, should actual collections disagree with forecasts.

Exhibit G. Summary of Reimbursable Resources

Department of Homeland Security
United States Citizenship and Immigration Services
Immigration Examinations Fee Account (Mandatory Fee)

Summary of Reimbursable Resources

(Dollars in Thousands)

	FY 2015 Revised Enacted			FY 2016 Enacted			FY 2017 Request			Increase/Decrease		
Collections by Source:	**Pos**	**FTE**	**Amount**	**Pos**	**FTE**	**Amount**	**Pos**	**FTE**	**Amount**	**Pos**	**FTE**	**Amount**
U.S. Customs and Border Protection	-	-	$5,914	-	-	$5,914	-	-	$5,914	-	-	-
UK VISA	-	-	$4,032	-	-	$6,696	-	-	$6,696	-	-	-
Social Security Administration, DAIP	-	-	$7	-	-	$7	-	-	$7	-	-	-
Canada VISA	-	-	$1,645	-	-	$1,645	-	-	$1,645	-	-	-
SAVE Collections	-	-	$7,000	-	-	$7,000	-	-	$7,000	-	-	-
U.S. Marshall Service	-	-	$250	-	-	$250	-	-	$250	-	-	-
Department of Defense	-	-	$7,500	-	-	$7,500	-	-	$7,500	-	-	-
Immigration and Customs Enforcement	-	-	$12,046	-	-	$12,046	-	-	$12,046	-	-	-
Transportation Security Administration	-	-	$797	-	-	-	-	-	-	-	-	-
Total Budgetary Resources	**-**	**-**	**$39,191**	**-**	**-**	**$41,058**	**-**	**-**	**$41,058**	**-**	**-**	**-**

	FY 2015 Revised Enacted			FY 2016 Enacted			FY 2017 Request			Increase/Decrease		
Obligations by Program/Project Activity:	**Pos**	**FTE**	**Amount**	**Pos**	**FTE**	**Amount**	**Pos**	**FTE**	**Amount**	**Pos**	**FTE**	**Amount**
Adjudication Services	**-**	**-**	**$32,191**	**-**	**-**	**$34,058**	**-**	**-**	**$34,058**	**-**	**-**	**-**
SAVE Collections	**-**	**-**	**$7,000**	**-**	**-**	**$7,000**	**-**	**-**	**$7,000**	**-**	**-**	**-**
Total Obligations	**-**	**-**	**$39,191**	**-**	**-**	**$41,058**	**-**	**-**	**$41,058**	**-**	**-**	**-**

Exhibit H. FY 2017 Schedule of Working Capital Fund by Program/Project Activity

Department of Homeland Security
United States Citizenship and Immigration Services
Immigration Examinations Fee Account (Mandatory Fee)
(Dollars in Thousands)

Program/Project/Activity	FY 2015 Revised Enacted Amount	FY 2016 Enacted Amount	FY 2017 Request Amount	Increase/Decrease for FY 2017 Amount
Immigration Status Verification	$782	$660	$337	($323)
Adjudication Services	35,675	$30,094	$15,363	($14,731)
Information and Customer Service	5,968	$5,044	$2,575	($2,469)
Management and Administration	6,936	$5,862	$2,993	($2,869)
Total Working Capital Fund	**$49,361**	**$41,660**	**$21,268**	**($20,392)**

Exhibit I. Capital Investment and Construction Initiative Listing

N/A

Exhibit J. Object Class Breakout by Appropriation

Department of Homeland Security
United States Citizenship and Immigration Services
Immigration Examinations Fee Account (Mandatory Fee)

Summary of Requirements by Object Class

(Dollars in Thousands)

Object Classes	2015 Revised Enacted	2016 Enacted	2017 Request	FY 2016 to FY 2017 Change
Personnel and Other Compensation Benefits				
11.1 Full-time Permanent	$1,050,208	$1,230,267	$1,381,216	$150,949
11.3 Other than Full-Time Permanent	$11,690	$13,943	$14,834	$891
11.5 Other Personnel Compensation	$57,891	$69,406	$82,825	$13,419
11.6 Military Personnel-Basic Allowance for Housing	-	-	-	-
11.7 Military Personnel	-	-	-	-
11.8 Special Personal Services Payments	-	-	-	-
12.1 Civilian Personnel Benefits	$355,979	$417,684	$469,721	$52,037
12.2 Military Personnel Benefits	-	-	-	-
13.0 Benefits for Former Personnel	$519	$592	$639	$47
Total, Personnel and Other Compensation Benefits	**$1,476,287**	**$1,731,892**	**$1,949,235**	**$217,343**
Other Object Classes				
21.0 Travel and Transportation of Persons	$28,784	$29,480	$29,480	-
22.0 Transportation of Things	$12,499	$10,121	$11,424	$1,303
23.1 Rental Payments to GSA	$215,128	$232,451	$242,884	$10,433
23.2 Rental Payments to Others	$5,932	$3,918	$4,476	$558
23.3 Communications, Utilities, and Misc. Charges	$95,542	$80,819	$101,771	$20,952
24.0 Printing and Reproduction	$8,743	$8,026	$9,162	$1,136
25.0 Other Contractual Services	-	-	-	-
25.1 Advisory and Assistance Services	$784,587	$722,368	$805,406	$83,038
25.2 Other Services from Non-Federal Sources	$225,191	$193,983	$201,884	$7,901
25.3 Other Goods and Services from Federal Sources	$206,209	$174,829	$206,267	$31,438
25.4 Operation and Maintenance of Facilities	$487	$424	$493	$69
25.5 Research and Development Contracts	$1,065	$1,090	$1,090	-
25.6 Medical Care	$1	$1	$1	-
25.7 Operation and Maintenance of Equipment	$88,980	$80,398	$83,759	$3,361
25.8 Subsistence & Support of Persons	-	-	-	-
26.0 Supplies and Materials	$38,083	$32,021	$46,475	$14,454
31.0 Equipment	$102,914	$89,263	$102,595	$13,332
32.0 Land and Structures	$20,922	$17,722	$20,920	$3,198
33.0 Investments and loans	-	-	-	-
41.0 Grants, Subsidies, and Contributions	$20,805	$20,805	$10,805	($10,000)
42.0 Insurance Claims and Indemnities	$1,128	$935	$1,004	$69
43.1 Interest and Dividends	-	-	-	-
43.2 Immigration Fees	-	-	-	-
44.0 Refunds	-	-	-	-

Object Classes	2015 Revised Enacted	2016 Enacted	2017 Request	FY 2016 to FY 2017 Change
91.0 Unvouchered	-	-	-	-
93.1 Limitation on expenses	-	-	-	-
99.0 Subtotal, Obligations	-	-	-	-
99.5 Below Reporting Threshold	-	-	-	-
Total, Other Object Classes	**$1,857,000**	**$1,698,654**	**$1,879,896**	**$181,242**
Total, Direct Obligations	**$3,333,287**	**$3,430,546**	**$3,829,131**	**$398,585**
Adjustments				
Net Offsetting Collections	-	-	-	-
Unobligated Balance, start of year	-	-	($1,352,454)	($1,352,454)
Unobligated Balance, end of year	-	-	$1,427,454	$1,427,454
Recoveries of Prior Year Obligations	-	-	($75,000)	($75,000)
Offsetting Collections	-	-	-	-
Total Requirements	**$3,333,287**	**$3,430,546**	**$3,829,131**	**$398,585**

Exhibit K. Object Class Breakout by PPA

Department of Homeland Security
United States Citizenship and Immigration Services
Immigration Examinations Fee Account (Mandatory Fee)
Operations and Support (CAS PPA)
Summary of Requirements by Object Class
(Dollars in Thousands)

Object Classes	FY 2015 Revised Enacted	FY 2016 Enacted	FY 2017 Request	FY 2016 to FY 2017 Change
Personnel and Compensation Benefits	-	-	-	-
11.1 Full-time Permanent	$1,050,208	$1,230,267	$1,381,216	$150,949
11.3 Other than Full-Time Permanent	$11,690	$13,943	$14,834	$891
11.5 Other Personnel Compensation	$57,891	$69,406	$82,825	$13,419
12.1 Civilian Personnel Benefits	$355,979	$417,684	$469,721	$52,037
13.0 Benefits for Former Personnel	$519	$592	$639	$47
Total, Personnel and Compensation Benefits	**$1,476,287**	**$1,731,892**	**$1,949,235**	**$217,343**
Other Object Classes	-	-	-	-
21.0 Travel and Transportation of Persons	$28,784	$29,480	$29,480	-
22.0 Transportation of Things	$12,326	$9,948	$11,247	$1,299
23.1 Rental Payments to GSA	$215,128	$232,451	$242,884	$10,433
23.2 Rental Payments to Others	$5,901	$3,887	$4,444	$557
23.3 Communications, Utilities, and Misc. Charges	$92,806	$78,098	$98,997	$20,899
24.0 Printing and Reproduction	$8,536	$7,819	$8,950	$1,131
25.1 Advisory and Assistance Services	$656,914	$608,432	$690,662	$82,230
25.2 Other Services from Non-Federal Sources	$210,451	$179,959	$187,656	$7,697
25.3 Other Goods and Services from Federal Sources	$200,990	$169,610	$200,944	$31,334
25.4 Operation and Maintenance of Facilities	$482	$419	$488	$69
25.5 Research and Development Contracts	$411	$520	$517	($3)
25.6 Medical Care	$1	$1	$1	-
25.7 Operation and Maintenance of Equipment	$72,457	$65,573	$68,820	$3,247
26.0 Supplies and Materials	$37,205	$31,151	$45,589	$14,438
31.0 Equipment	$91,751	$79,231	$92,482	$13,251
32.0 Land and Structures	$20,363	$17,163	$20,349	$3,186
42.0 Insurance Claims and Indemnities	$1,117	$924	$993	$69
Total, Other Object Classes	**$1,655,623**	**$1,514,666**	**$1,704,503**	**$189,837**
Adjustments	-	-	-	-
Unobligated Balance, start of year	-	-	($1,352,454)	($1,352,454)
Unobligated Balance, end of year	-	-	$1,427,454	$1,427,454
Recoveries of Prior Year Obligations	-	-	($75,000)	($75,000)
Total Requirements	**$3,131,910**	**$3,246,558**	**$3,653,738**	**$407,180**
Full Time Equivalents	**12,771**	**14,508**	**16,577**	**2,069**

* FY 2015 and FY 2016 funding levels reflect the CAS structure and are for comparison purposes only.

Department of Homeland Security
United States Citizenship and Immigration Services
Immigration Examinations Fee Account (Mandatory Fee)
Procurement, Construction, and Improvements (CAS PPA)
Summary of Requirements by Object Class
(Dollars in Thousands)

Object Classes	FY 2015 Revised Enacted	FY 2016 Enacted	FY 2017 Request	FY 2016 to FY 2017 Change
Personnel and Compensation Benefits	-	-	-	-
Other Object Classes	-	-	-	-
22.0 Transportation of Things	$173	$173	$177	$4
23.2 Rental Payments to Others	$31	$31	$32	$1
23.3 Communications, Utilities, and Misc. Charges	$2,736	$2,721	$2,774	$53
24.0 Printing and Reproduction	$207	$207	$212	$5
25.1 Advisory and Assistance Services	$127,673	$113,936	$114,744	$808
25.2 Other Services from Non-Federal Sources	$14,740	$14,024	$14,228	$204
25.3 Other Goods and Services from Federal Sources	$5,219	$5,219	$5,323	$104
25.4 Operation and Maintenance of Facilities	$5	$5	$5	-
25.5 Research and Development Contracts	$654	$570	$573	$3
25.7 Operation and Maintenance of Equipment	$16,523	$14,825	$14,939	$114
26.0 Supplies and Materials	$878	$870	$886	$16
31.0 Equipment	$11,163	$10,032	$10,113	$81
32.0 Land and Structures	$559	$559	$571	$12
42.0 Insurance Claims and Indemnities	$11	$11	$11	-
Total, Other Object Classes	**$180,572**	**$163,183**	**$164,588**	**$1,405**
Adjustments	-	-	-	-
Unobligated Balance, start of year	-	-	-	-
Unobligated Balance, end of year	-	-	-	-
Recoveries of Prior Year Obligations	-	-	-	-
Total Requirements	**$180,572**	**$163,183**	**$164,588**	**$1,405**
Full Time Equivalents	-	-	-	-

* FY 2015 and FY 2016 funding levels reflect the CAS structure and are for comparison purposes only.

Department of Homeland Security
United States Citizenship and Immigration Services
Immigration Examinations Fee Account (Mandatory Fee)
Federal Assistance (CAS PPA)
Summary of Requirements by Object Class
(Dollars in Thousands)

Object Classes	FY 2015 Revised Enacted	FY 2016 Enacted	FY 2017 Request	FY 2016 to FY 2017 Change
Personnel and Compensation Benefits	-	-	-	-
Other Object Classes	-	-	-	-
41.0 Grants, Subsidies, and Contributions	$20,805	$20,805	$10,805	($10,000)
Total, Other Object Classes	**$20,805**	**$20,805**	**$10,805**	**($10,000)**
Adjustments	-	-	-	-
Unobligated Balance, start of year	-	-	-	-
Unobligated Balance, end of year	-	-	-	-
Recoveries of Prior Year Obligations	-	-	-	-
Total Requirements	**$20,805**	**$20,805**	**$10,805**	**($10,000)**
Full Time Equivalents	-	-	-	-

* FY 2015 and FY 2016 funding levels reflect the CAS structure and are for comparison purposes only.

Department of Homeland Security
United States Citizenship and Immigration Services
Immigration Examinations Fee Account (Mandatory Fee)
Adjudication Services
District Operations (LEGACY PPA)
Summary of Requirements by Object Class
(Dollars in Thousands)

Object Classes	FY 2015 Revised Enacted	FY 2016 Enacted	FY 2017 Request	FY 2016 to FY 2017 Change
Personnel and Compensation Benefits	-	-	-	-
11.1 Full-time Permanent	$537,247	$605,905	-	($605,905)
11.3 Other than Full-Time Permanent	$3,691	$4,163	-	($4,163)
11.5 Other Personnel Compensation	$24,998	$28,193	-	($28,193)
12.1 Civilian Personnel Benefits	$177,807	$200,530	-	($200,530)
Total, Personnel and Compensation Benefits	**$743,743**	**$838,791**	-	**($838,791)**
Other Object Classes	-	-	-	-
21.0 Travel and Transportation of Persons	$10,592	$6,208	-	($6,208)
22.0 Transportation of Things	$2,615	$2,345	-	($2,345)
23.1 Rental Payments to GSA	$142,059	$142,349	-	($142,349)
23.2 Rental Payments to Others	$528	$474	-	($474)
23.3 Communications, Utilities, and Misc. Charges	$34,741	$31,157	-	($31,157)
24.0 Printing and Reproduction	$3,140	$2,816	-	($2,816)
25.1 Advisory and Assistance Services	$311,458	$279,331	-	($279,331)
25.2 Other Services from Non-Federal Sources	$155,368	$139,342	-	($139,342)
25.3 Other Goods and Services from Federal Sources	$76,912	$68,978	-	($68,978)
25.4 Operation and Maintenance of Facilities	$46	$41	-	($41)
25.7 Operation and Maintenance of Equipment	$54,264	$48,667	-	($48,667)
26.0 Supplies and Materials	$6,521	$5,848	-	($5,848)
31.0 Equipment	$34,946	$31,341	-	($31,341)
32.0 Land and Structures	$8,419	$7,551	-	($7,551)
41.0 Grants, Subsidies, and Contributions	$10,000	$10,000	-	($10,000)
42.0 Insurance Claims and Indemnities	$189	$170	-	($170)
Total, Other Object Classes	**$851,798**	**$776,618**	-	**($776,618)**
Adjustments	-	-	-	-
Unobligated Balance, start of year	-	($1,153,780)	-	$1,153,780
Unobligated Balance, end of year	-	$1,228,780	-	($1,228,780)
Recoveries of Prior Year Obligations	-	($75,000)	-	$75,000
Total Requirements	**$1,595,541**	**$1,615,409**	-	**($1,615,409)**
Full Time Equivalents	**6,416**	**7,249**	-	**(7,249)**

Department of Homeland Security
United States Citizenship and Immigration Services
Immigration Examinations Fee Account (Mandatory Fee)
Adjudication Services
Service Centers (LEGACY PPA)
Summary of Requirements by Object Class
(Dollars in Thousands)

Object Classes	FY 2015 Revised Enacted	FY 2016 Enacted	FY 2017 Request	FY 2016 to FY 2017 Change
Personnel and Compensation Benefits	-	-	-	-
11.1 Full-time Permanent	$237,155	$302,032	-	($302,032)
11.3 Other than Full-Time Permanent	$527	$671	-	($671)
11.5 Other Personnel Compensation	$24,656	$31,401	-	($31,401)
12.1 Civilian Personnel Benefits	$81,919	$104,329	-	($104,329)
Total, Personnel and Compensation Benefits	**$344,257**	**$438,433**	-	**($438,433)**
Other Object Classes	-	-	-	-
21.0 Travel and Transportation of Persons	$644	$459	-	($459)
22.0 Transportation of Things	$1,002	$822	-	($822)
23.1 Rental Payments to GSA	$33,870	$36,802	-	($36,802)
23.2 Rental Payments to Others	$60	$49	-	($49)
23.3 Communications, Utilities, and Misc. Charges	$28,711	$23,549	-	($23,549)
24.0 Printing and Reproduction	$1,241	$1,018	-	($1,018)
25.1 Advisory and Assistance Services	$114,385	$93,821	-	($93,821)
25.2 Other Services from Non-Federal Sources	$9,264	$7,598	-	($7,598)
25.3 Other Goods and Services from Federal Sources	$36,868	$30,239	-	($30,239)
25.4 Operation and Maintenance of Facilities	$74	$61	-	($61)
25.7 Operation and Maintenance of Equipment	$6,082	$4,988	-	($4,988)
26.0 Supplies and Materials	$20,846	$17,098	-	($17,098)
31.0 Equipment	$14,748	$12,096	-	($12,096)
32.0 Land and Structures	$3,485	$2,858	-	($2,858)
Total, Other Object Classes	**$271,280**	**$231,458**	-	**($231,458)**
Adjustments	-	-	-	-
Unobligated Balance, start of year	-	-	-	-
Unobligated Balance, end of year	-	-	-	-
Recoveries of Prior Year Obligations	-	-	-	-
Total Requirements	**$615,537**	**$669,891**	-	**($669,891)**
Full Time Equivalents	**3,271**	**3,654**	-	**(3,654)**

Department of Homeland Security
United States Citizenship and Immigration Services
Immigration Examinations Fee Account (Mandatory Fee)
Adjudication Services
Asylum, Refugee, and International Operations (LEGACY PPA)
Summary of Requirements by Object Class
(Dollars in Thousands)

Object Classes	FY 2015 Revised Enacted	FY 2016 Enacted	FY 2017 Request	FY 2016 to FY 2017 Change
Personnel and Compensation Benefits	-	-	-	-
11.1 Full-time Permanent	$83,746	$110,645	-	($110,645)
11.3 Other than Full-Time Permanent	$4,001	$5,286	-	($5,286)
11.5 Other Personnel Compensation	$3,435	$4,538	-	($4,538)
12.1 Civilian Personnel Benefits	$30,870	$40,785	-	($40,785)
13.0 Benefits for Former Personnel	$119	$157	-	($157)
Total, Personnel and Compensation Benefits	**$122,171**	**$161,411**	**-**	**($161,411)**
Other Object Classes	-	-	-	-
21.0 Travel and Transportation of Persons	$13,195	$17,129	-	($17,129)
22.0 Transportation of Things	$1,951	$1,204	-	($1,204)
23.1 Rental Payments to GSA	$11,889	$14,749	-	($14,749)
23.2 Rental Payments to Others	$4,864	$3,003	-	($3,003)
23.3 Communications, Utilities, and Misc. Charges	$8,432	$5,205	-	($5,205)
24.0 Printing and Reproduction	$25	$15	-	($15)
25.1 Advisory and Assistance Services	$21,196	$13,087	-	($13,087)
25.2 Other Services from Non-Federal Sources	$28,519	$17,604	-	($17,604)
25.3 Other Goods and Services from Federal Sources	$6,563	$4,051	-	($4,051)
25.6 Medical Care	$1	$1	-	($1)
25.7 Operation and Maintenance of Equipment	$5,855	$3,614	-	($3,614)
26.0 Supplies and Materials	$1,449	$894	-	($894)
31.0 Equipment	$8,373	$5,169	-	($5,169)
32.0 Land and Structures	$1,705	$1,053	-	($1,053)
41.0 Grants, Subsidies, and Contributions	$10,805	$10,805	-	($10,805)
42.0 Insurance Claims and Indemnities	$78	$48	-	($48)
Total, Other Object Classes	**$124,900**	**$97,631**	**-**	**($97,631)**
Adjustments	-	-	-	-
Unobligated Balance, start of year	-	-	-	-
Unobligated Balance, end of year	-	-	-	-
Recoveries of Prior Year Obligations	-	-	-	-
Total Requirements	**$247,071**	**$259,042**	**-**	**($259,042)**
Full Time Equivalents	**981**	**1,312**	**-**	**(1,312)**

Department of Homeland Security
United States Citizenship and Immigration Services
Immigration Examinations Fee Account (Mandatory Fee)
Adjudication Services
Records Operations (LEGACY PPA)
Summary of Requirements by Object Class
(Dollars in Thousands)

Object Classes	FY 2015 Revised Enacted	FY 2016 Enacted	FY 2017 Request	FY 2016 to FY 2017 Change
Personnel and Compensation Benefits	-	-	-	-
11.1 Full-time Permanent	$22,920	$24,067	-	($24,067)
11.3 Other than Full-Time Permanent	$294	$309	-	($309)
11.5 Other Personnel Compensation	$1,727	$1,813	-	($1,813)
12.1 Civilian Personnel Benefits	$7,725	$8,112	-	($8,112)
Total, Personnel and Compensation Benefits	**$32,666**	**$34,301**	**-**	**($34,301)**
Other Object Classes	-	-	-	-
21.0 Travel and Transportation of Persons	$230	$214	-	($214)
22.0 Transportation of Things	$15	$15	-	($15)
23.1 Rental Payments to GSA	$1,810	$7,633	-	($7,633)
23.3 Communications, Utilities, and Misc. Charges	$3,731	$3,662	-	($3,662)
24.0 Printing and Reproduction	$759	$745	-	($745)
25.1 Advisory and Assistance Services	$73,062	$71,720	-	($71,720)
25.2 Other Services from Non-Federal Sources	$657	$645	-	($645)
25.3 Other Goods and Services from Federal Sources	$1,149	$1,128	-	($1,128)
25.4 Operation and Maintenance of Facilities	$97	$95	-	($95)
25.7 Operation and Maintenance of Equipment	$154	$151	-	($151)
26.0 Supplies and Materials	$1,239	$1,216	-	($1,216)
31.0 Equipment	$2,327	$2,284	-	($2,284)
32.0 Land and Structures	$375	$368	-	($368)
Total, Other Object Classes	**$85,605**	**$89,876**	**-**	**($89,876)**
Adjustments	-	-	-	-
Unobligated Balance, start of year	-	-	-	-
Unobligated Balance, end of year	-	-	-	-
Recoveries of Prior Year Obligations	-	-	-	-
Total Requirements	**$118,271**	**$124,177**	**-**	**($124,177)**
Full Time Equivalents	**335**	**341**	**-**	**(341)**

Department of Homeland Security
United States Citizenship and Immigration Services
Immigration Examinations Fee Account (Mandatory Fee)
Adjudication Services
Transformation (LEGACY PPA)
Summary of Requirements by Object Class
(Dollars in Thousands)

Object Classes	FY 2015 Revised Enacted	FY 2016 Enacted	FY 2017 Request	FY 2016 to FY 2017 Change
Personnel and Compensation Benefits	-	-	-	-
Other Object Classes	-	-	-	-
21.0 Travel and Transportation of Persons	$155	$123	-	($123)
22.0 Transportation of Things	$1	$1	-	($1)
23.3 Communications, Utilities, and Misc. Charges	$199	$204	-	($204)
25.1 Advisory and Assistance Services	$174,655	$178,737	-	($178,737)
25.2 Other Services from Non-Federal Sources	$9,095	$9,308	-	($9,308)
25.5 Research and Development Contracts	$1,065	$1,090	-	($1,090)
25.7 Operation and Maintenance of Equipment	$21,596	$22,101	-	($22,101)
26.0 Supplies and Materials	$94	$96	-	($96)
31.0 Equipment	$14,384	$14,720	-	($14,720)
Total, Other Object Classes	**$221,244**	**$226,380**	**-**	**($226,380)**
Adjustments	-	-	-	-
Unobligated Balance, start of year	-	-	-	-
Unobligated Balance, end of year	-	-	-	-
Recoveries of Prior Year Obligations	-	-	-	-
Total Requirements	**$221,244**	**$226,380**	**-**	**($226,380)**
Full Time Equivalents	-	-	-	-

Department of Homeland Security
United States Citizenship and Immigration Services
Immigration Examinations Fee Account (Mandatory Fee)
Information and Customer Services (LEGACY PPA)
Summary of Requirements by Object Class
(Dollars in Thousands)

Object Classes	FY 2015 Revised Enacted	FY 2016 Enacted	FY 2017 Request	FY 2016 to FY 2017 Change
Personnel and Compensation Benefits	-	-	-	-
11.1 Full-time Permanent	$21,211	$25,770	-	($25,770)
11.3 Other than Full-Time Permanent	$454	$552	-	($552)
11.5 Other Personnel Compensation	$668	$812	-	($812)
12.1 Civilian Personnel Benefits	$7,076	$8,597	-	($8,597)
Total, Personnel and Compensation Benefits	**$29,409**	**$35,731**	-	**($35,731)**
Other Object Classes	-	-	-	-
21.0 Travel and Transportation of Persons	$249	$289	-	($289)
22.0 Transportation of Things	$9	$9	-	($9)
23.1 Rental Payments to GSA	$2,396	$4,119	-	($4,119)
23.2 Rental Payments to Others	$2	$2	-	($2)
23.3 Communications, Utilities, and Misc. Charges	$3,948	$4,044	-	($4,044)
24.0 Printing and Reproduction	$2,400	$2,459	-	($2,459)
25.1 Advisory and Assistance Services	$61,119	$62,610	-	($62,610)
25.2 Other Services from Non-Federal Sources	$5,895	$6,039	-	($6,039)
25.3 Other Goods and Services from Federal Sources	$2,717	$2,783	-	($2,783)
25.4 Operation and Maintenance of Facilities	$17	$17	-	($17)
25.7 Operation and Maintenance of Equipment	$124	$127	-	($127)
26.0 Supplies and Materials	$1,609	$1,648	-	($1,648)
31.0 Equipment	$2,987	$3,060	-	($3,060)
32.0 Land and Structures	$1,061	$1,087	-	($1,087)
42.0 Insurance Claims and Indemnities	$17	$17	-	($17)
Total, Other Object Classes	**$84,550**	**$88,310**	-	**($88,310)**
Adjustments	-	-	-	-
Unobligated Balance, start of year	-	-	-	-
Unobligated Balance, end of year	-	-	-	-
Recoveries of Prior Year Obligations	-	-	-	-
Total Requirements	**$113,959**	**$124,041**	-	**($124,041)**
Full Time Equivalents	**293**	**342**	-	**(342)**

Department of Homeland Security
United States Citizenship and Immigration Services
Immigration Examinations Fee Account (Mandatory Fee)
Administration (LEGACY PPA)
Summary of Requirements by Object Class
(Dollars in Thousands)

Object Classes	FY 2015 Revised Enacted	FY 2016 Enacted	FY 2017 Request	FY 2016 to FY 2017 Change
Personnel and Compensation Benefits	-	-	-	-
11.1 Full-time Permanent	$134,096	$145,855	-	($145,855)
11.3 Other than Full-Time Permanent	$2,722	$2,961	-	($2,961)
11.5 Other Personnel Compensation	$1,968	$2,141	-	($2,141)
12.1 Civilian Personnel Benefits	$46,014	$50,049	-	($50,049)
13.0 Benefits for Former Personnel	$400	$435	-	($435)
Total, Personnel and Compensation Benefits	**$185,200**	**$201,441**	-	**($201,441)**
Other Object Classes	-	-	-	-
21.0 Travel and Transportation of Persons	$3,691	$5,040	-	($5,040)
22.0 Transportation of Things	$6,901	$5,722	-	($5,722)
23.1 Rental Payments to GSA	$21,886	$24,379	-	($24,379)
23.2 Rental Payments to Others	$458	$380	-	($380)
23.3 Communications, Utilities, and Misc. Charges	$15,502	$12,854	-	($12,854)
24.0 Printing and Reproduction	$1,166	$967	-	($967)
25.1 Advisory and Assistance Services	$26,317	$21,822	-	($21,822)
25.2 Other Services from Non-Federal Sources	$15,925	$13,205	-	($13,205)
25.3 Other Goods and Services from Federal Sources	$80,898	$67,080	-	($67,080)
25.4 Operation and Maintenance of Facilities	$253	$210	-	($210)
25.7 Operation and Maintenance of Equipment	$905	$750	-	($750)
26.0 Supplies and Materials	$6,249	$5,182	-	($5,182)
31.0 Equipment	$24,315	$20,162	-	($20,162)
32.0 Land and Structures	$5,657	$4,691	-	($4,691)
42.0 Insurance Claims and Indemnities	$844	$700	-	($700)
Total, Other Object Classes	**$210,967**	**$183,144**	-	**($183,144)**
Adjustments	-	-	-	-
Unobligated Balance, start of year	-	-	-	-
Unobligated Balance, end of year	-	-	-	-
Recoveries of Prior Year Obligations	-	-	-	-
Total Requirements	**$396,167**	**$384,585**	-	**($384,585)**
Full Time Equivalents	**1,285**	**1,398**	-	**(1,398)**

Department of Homeland Security
United States Citizenship and Immigration Services
Immigration Examinations Fee Account (Mandatory Fee)
SAVE (LEGACY PPA)

Summary of Requirements by Object Class
(Dollars in Thousands)

Object Classes	FY 2015 Revised Enacted	FY 2016 Enacted	FY 2017 Request	FY 2016 to FY 2017 Change
Personnel and Compensation Benefits	-	-	-	-
11.1 Full-time Permanent	$13,833	$15,993	-	($15,993)
11.3 Other than Full-Time Permanent	$1	$1	-	($1)
11.5 Other Personnel Compensation	$439	$508	-	($508)
12.1 Civilian Personnel Benefits	$4,568	$5,282	-	($5,282)
Total, Personnel and Compensation Benefits	**$18,841**	**$21,784**	-	**($21,784)**
Other Object Classes	-	-	-	-
21.0 Travel and Transportation of Persons	$28	$18	-	($18)
22.0 Transportation of Things	$5	$3	-	($3)
23.1 Rental Payments to GSA	$1,218	$2,420	-	($2,420)
23.2 Rental Payments to Others	$20	$10	-	($10)
23.3 Communications, Utilities, and Misc. Charges	$278	$144	-	($144)
24.0 Printing and Reproduction	$12	$6	-	($6)
25.1 Advisory and Assistance Services	$2,395	$1,240	-	($1,240)
25.2 Other Services from Non-Federal Sources	$468	$242	-	($242)
25.3 Other Goods and Services from Federal Sources	$1,102	$570	-	($570)
26.0 Supplies and Materials	$76	$39	-	($39)
31.0 Equipment	$834	$431	-	($431)
32.0 Land and Structures	$220	$114	-	($114)
Total, Other Object Classes	**$6,656**	**$5,237**	-	**($5,237)**
Adjustments	-	-	-	-
Unobligated Balance, start of year	-	-	-	-
Unobligated Balance, end of year	-	-	-	-
Recoveries of Prior Year Obligations	-	-	-	-
Total Requirements	**$25,497**	**$27,021**	-	**($27,021)**
Full Time Equivalents	**190**	**212**	-	**(212)**

Exhibit L. Permanent Positions by Grade

Department of Homeland Security
United States Citizenship and Immigration Services
Immigration Examinations Fee Account (Mandatory Fee)
Permanent Positions by Grade

Grades and Salary Range	FY 2015 Revised Enacted Pos.	FY 2016 Enacted Pos.	FY 2017 Request Pos.	FY 2016 to FY 2017 Change Total
Total, SES	73	73	73	-
Total, EX	1	1	1	-
GS-15	878	879	901	22
GS-14	1,836	1,837	1,852	15
GS-13	2,844	2,845	3,315	470
GS-12	3,905	3,905	4,128	223
GS-11	1,165	1,165	1,181	16
GS-10	23	23	23	-
GS-9	2,258	2,259	3,358	1,099
GS-8	44	44	44	-
GS-7	1,451	1,452	2,380	928
GS-6	215	215	215	-
GS-5	563	563	563	-
GS-4	8	8	8	-
GS-3	2	2	2	-
Total Permanent Positions	**15,266**	**15,271**	**18,044**	**2,773**
Unfilled Positions EOY	1,832	-	-	-
Total Permanent Employment EOY	13,434	15,271	18,044	2,773
Headquarters	2,223	2,224	2,224	-
U.S. Field	12,979	12,983	15,756	2,773
Foreign Field	64	64	64	-
Total, Immigration Examinations Fee Account (Mandatory Fee):	**15,266**	**15,271**	**18,044**	**2,773**
Full Time Equivalents	**12,771**	**14,508**	**16,577**	**2,069**
Average ES Salary	169,704	171,401	174,143	2,742
Average GS Salary	82,061	82,882	84,208	1,326
Average Grade	11	11	11	-

Exhibit M. Changes in Full Time Employment

Department of Homeland Security
United States Citizenship and Immigration Services
Immigration Examinations Fee Account (Mandatory Fee)
Changes in Full Time Employment

	FY 2015	FY 2016	FY 2017
Increases			
PPA Realignment	-	-	14,508
Change in operating requirements due to Executive Action	-	-	2,069
Decreases			
Year End Actuals/Estimated FTEs:	**12,771**	**14,508**	**16,577**

Department of Homeland Security

United States Citizenship and Immigration Services

H-1B Nonimmigrant Petitioner Account

Fiscal Year 2017

Congressional Justification

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Schedule I – Executive Summary of Appropriation Exhibits

I. Summary of Budget Estimates by Program Project Activity- Appropriation Level

Department of Homeland Security
United States Citizenship and Immigration Services
H-1B Nonimmigrant Petitioner Account (Mandatory Fees)
Summary of FY 2017 Budget Estimates by Program Project Activity

FY 2017 Request
(Dollars in Thousands)

Program Project Activity	FY 2015			FY 2016			FY 2017			Increase(+) or Decrease(-) for FY 2017		
	Revised Enacted[1]			Enacted			Request			Total Changes		
	POS	FTE	Amount	POS	FTE	Amount	POS	FTE	Amount	POS	FTE	Amount
Adjudication Services (Legacy PPA)	-	-	$18,000	-	-	$15,000	-	-	-	-	-	($15,000)
Operations and Support (CAS PPA)	-	-	-	-	-	-	-	-	$15,000	-	-	$15,000
Total, H-1B Nonimmigrant Petitioner Account (Mandatory Fees)	**-**	**-**	**$18,000**	**-**	**-**	**$15,000**	**-**	**-**	**$15,000**	**-**	**-**	**-**
Subtotal, Enacted Appropriations & Budget Estimates	**-**	**-**	**$18,000**	**-**	**-**	**$15,000**	**-**	**-**	**$15,000**	**-**	**-**	**-**
Net, Enacted Appropriations and Budget Estimates:	**-**	**-**	**$18,000**	**-**	**-**	**$15,000**	**-**	**-**	**$15,000**	**-**	**-**	**-**

[1] Reflects reprogrammings/transfers, as applicable, and actual FTE. This footnote applies to all FY15 revised Enacted tables throughout the CJ submission.

Overview

The H-1B Nonimmigrant Petitioner Account, established by Section 286 (s) of the Immigration and Nationality Act (8 U.S.C. 1356 (s)), supports activities related to petitions for nonimmigrant workers in the H-1B visa classification. The H-1B Visa program allows U.S. employers to temporarily employ foreign workers in specialty occupations. Resources deposited in the H-1B Nonimmigrant Petitioner Account support USCIS's operations necessary to adjudicate employment-based petitions for H-1B nonimmigrants.

For FY 2017, the H-1B Nonimmigrant Petitioner Account includes funding in a single PPA, Operations and Support (O&S), which has one sub-PPA, Adjudication Services. Resources in this PPA are dedicated to a portion of the facility rent cost for the Service Center Operations Directorate and a share of the contractual cost for correspondence management, data collection and file operations support at two of the five USCIS service centers.

Note: The H-1B Nonimmigrant Petitioner Account is established in statute as a single mandatory account. As a result, the Department

of Homeland Security (DHS) cannot present the account in separate budget justification documents similar to the presentation of the discretionary appropriation accounts in line with the Common Appropriations Structure (CAS) initiative. Therefore, DHS has mirrored the proposed CAS account types within the H-1B Nonimmigrant Petitioner Account as budget activities that represent the first level of Program, Project or Activity (PPA). The other three DHS CAS appropriation types, Procurement, Construction, and Improvements (PC&I), Federal Assistance (FA) and Research and Development (R&D) are not applicable to the H-1B Nonimmigrant Petitioner Account.

B. FY 2016 to FY 2017 Budget Change - Appropriation Level

Department of Homeland Security
United States Citizenship and Immigration Services
H-1B Nonimmigrant Petitioner Account (Mandatory Fees)

FY 2016 to FY 2017 Budget Change
(Dollars in Thousands)

	Pos.	FTE	Amount
FY 2015 Revised Enacted	-	-	**$18,000**
FY 2016 Enacted	-	-	**$15,000**
Adjustments-to-Base			
Technical Adjustments:			
PPA Realignment	-	-	[$15,000]
Total Technical Adjustments	-	-	**[$15,000]**
Total Adjustments-to-Base	-	-	**$15,000**
FY 2017 Current Services	-	-	**$15,000**
FY 2017 Request	-	-	**$15,000**
FY 2016 to FY 2017 Change	-	-	**-**

C. FY 2017 Investment Summary - Appropriation Level

N/A

Exhibit D. FY 2016 to FY 2017 Budget Change- PPA Level

Summary Tables of Sub-PPA
Department of Homeland Security
United States Citizenship and Immigration Services
H-1B Nonimmigrant Petitioner Account
Operations and Support
Adjudication Services
FY 2016 to FY 2017 Budget Change- PPA Level
(Dollars in Thousands)

Operations and Support, Adjudication Services		Positions	FTE	Amount
Prior Year	**FY 2015 Revised Enacted**	**-**	**-**	**18,000**
Base	**FY 2016 Enacted**	**-**	**-**	**15,000**
Current Services	PPA Realignment	-	-	[15,000]
Budget Year	**FY 2017 Request**	**-**	**-**	**15,000**
	Total Change from FY 2016 to FY 2017	**-**	**-**	**-**

*FY 2015 and FY 2016 funding levels reflect the CAS structure and are for comparison purposes only.

PPA/SUB-PPA DESCRIPTION:

The O&S, Adjudications Services PPA and sub-PPA supports the adjudication processes of nonimmigrant worker petitions for the H-1B visa classification. The revenue from H-1B fees primarily funds $13.000 million in contract support (mail, filing, and data entry), and $2.000 million in space rent expenses necessary to process and adjudicate immigration benefit applications and petitions at two of the five USCIS processing service centers.

USCIS annually processes millions of immigration benefit applications and petitions. The $15.000 million estimated for FY 2017 for contract activities and facility rent finances a portion of the total contract and rent expenses. The balance is covered by funding from the Immigration Examinations Fee Account.

USCIS requires $15.000 million; 0 Positions; and 0 Full-Time Equivalents (FTE) to maintain current services in this PPA. There is no change from the FY 2016 base funding level.

Department of Homeland Security
United States Citizenship and Immigration Services
Operations and Support
Adjudication Services
Cost Drivers (Non-Pay) - PPA Level ($000s)

H-1B Nonimmigrant Petitioner Account - Adjudication Services	2015 Revised Enacted	2016 Enacted	2017 Request	FY 2016 to FY 2017 Change
FY 2017 Non- Pay Cost Drivers (greatest-least)				
Service Center Data Contract	$16,000	$13,000	$13,000	-
Rental payments to GSA	$2,000	$2,000	$2,000	-
Total	**$18,000**	**$15,000**	**$15,000**	**-**

*FY 2015 and FY 2016 funding levels reflect the CAS structure and are for comparison purposes only.

NARRATIVE EXPLANATION OF CHANGES:

- **Contracts:** $13.000 million of H-1B funds is for contractual support and is based on historical allocations.
- **Rent:** $2.000 million of H-1B funds is for facility rent cost for adjudication staff located in two of the five processing service centers that USCIS operates. The cost is based on the historical allocations.

Historical Collections

(Dollars in millions)

	FY 2010	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015
H-1B	$11.403	$13.098	$16.123	$15.118	$16.562	$17.595

Schedule III – Other Exhibits

Exhibit E. Justification of Proposed Legislative Language

N/A

Exhibit F. Summary of Fee Collections and Carryover

Department of Homeland Security
United States Citizenship and Immigration Services
H-1B Nonimmigrant Petitioner Account (Mandatory Fees)
Summary of Fee Collections and Carryover
(Dollars in Thousands)

	FY 2015 Revised Enacted	FY 2016 Enacted	FY 2017 Request
Collections (Actual or Anticipated)	$17,595	$14,811	$15,000
Carryover (Includes Recoveries)	$497	-	-
Portion of Current Year Collection Sequestered	($1,284)	($1,095)	($1,095)
Previous Year Sequestered Funds Available	$1,192	$1,284	$1,095
Total Budget Authority	**$18,000**	**$15,000**	**$15,000**

- Statutory Authority: The *American Competitiveness and Workforce Improvement Act* (ACWIA) (P.L. 105-277, Div. C, Title IV, 112 Stat. 2681), as amended by P.L. 106-311, 114 Stat. 1247 (October 17, 2000), and P.L. 108-447.

- Authorized Uses: For adjudication processing.

- Change Mechanism: H-1B fees are set by statute. Fee changes would require legislative action by Congress.

- Previous Changes: The H-1B Nonimmigrant Petitioner Fee was established by the *American Competitiveness in the Workforce Improvement Act of 1998* (ACWIA). The H-1B Visa Reform Act of 2004 (part of Pub. L. 108-447) permanently extended and increased the (ACWIA) fee from $1,000 to $1,500 per qualifying petition, except for certain employers[1].

  Petitioners also pay a base-processing fee for a Petition for Nonimmigrant Worker (Form I-129), which was last adjusted by USCIS as part of its biennial fee review from $320 to $325 in November 2010. The base processing fee is deposited into the Immigration Examinations Fee Account

[1] Employers who have less than 25 full-time equivalent employees who are employed in the United States pay $750.

(IEFA) The obligations charged to the H-1B Nonimmigrant Petitioner Account are excluded from USCIS's fee reviews to avoid overcharging I-129 petitioners on the base processing fee.

- Recovery Rate: H-1B fees are set by statute. USCIS's interpretation is that Congress did not intend the fees to achieve full cost recovery. USCIS receives five percent of the ACWIA fee collections, in addition to the base processing fee. The remaining ACWIA fee revenue is shared between the Department of Labor and the National Science Foundation for workforce training, low-income scholarships, and grant programs. USCIS uses the five percent of ACWIA revenue it retains to offset the cost of processing petitions for non-immigrant workers for individuals that employers seek to sponsor for H-1B visas. USCIS uses these funds to offset a portion of contract expenses (mail, filing, and data entry) and facility rent expenses related to the processing of employment immigration benefit applications. As USCIS understands that this fee was not intended to recover full cost, it cannot calculate a recovery rate.

- Forecasting Methodology: USCIS uses a forecasting methodology based on the most recent 12 months of collections.

- Contingency Plan: The Immigration Examinations Fee Account (IEFA) funds all additional costs associated with processing petitions for nonimmigrant workers for the H-1B visa classification

Exhibit G. Summary of Reimbursable Resources

N/A

Exhibit H. FY 2017 Schedule of Working Capital Fund by Program/Project Activity

N/A

Exhibit I. Capital Investment and Construction Initiative Listing

N/A

Exhibit J. Object Class Breakout by Appropriation

Department of Homeland Security
United States Citizenship and Immigration Services
H-1B Nonimmigrant Petitioner Account (Mandatory Fees)
Summary of Requirements by Object Class
(Dollars in Thousands)

Object Classes	FY 2015 Revised Enacted	FY 2016 Enacted	FY 2017 Request	FY 2016 to FY 2017 Change
Personnel and Compensation Benefits	-	-	-	-
Other Object Classes	-	-	-	-
23.1 Rental Payments to GSA	$2,000	$2,000	$2,000	-
25.1 Advisory and Assistance Services	$16,000	$13,000	$13,000	-
Total, Other Object Classes	**$18,000**	**$15,000**	**$15,000**	**-**
Adjustments	-	-	-	-
Unobligated Balance, start of year	-	($15,351)	($15,401)	($50)
Unobligated Balance, end of year	-	$15,401	$15,451	$50
Recoveries of Prior Year Obligations	-	($50)	($50)	-
Total Requirements	**$18,000**	**$15,000**	**$15,000**	**-**
Full Time Equivalents	-	-	-	-

*FY 2015 and FY 2016 funding levels reflect the CAS structure and are for comparison purposes only

Exhibit K. Object Class Breakout by PPA

Department of Homeland Security
United States Citizenship and Immigration Services
H-1B Nonimmigrant Petitioner Account (Mandatory Fees)
Operations and Support (CAS PPA)
Summary of Requirements by Object Class
(Dollars in Thousands)

Object Classes	FY 2015 Revised Enacted	FY 2016 Enacted	FY 2017 Request	FY 2016 to FY 2017 Change
Personnel and Compensation Benefits	-	-	-	-
Other Object Classes	-	-	-	-
23.1 Rental Payments to GSA	$2,000	$2,000	$2,000	-
25.1 Advisory and Assistance Services	$16,000	$13,000	$13,000	-
Total, Other Object Classes	**$18,000**	**$15,000**	**$15,000**	-
Adjustments	-	-	-	-
Unobligated Balance, start of year	-	($15,351)	($15,401)	($50)
Unobligated Balance, end of year	-	$15,401	$15,451	$50
Recoveries of Prior Year Obligations	-	($50)	($50)	-
Total Requirements	**$18,000**	**$15,000**	**$15,000**	-
Full Time Equivalents	-	-	-	-

*FY 2015 and FY 2016 funding levels reflect the CAS structure and are for comparison purposes only

Department of Homeland Security
United States Citizenship & Immigration Services
H-1B Nonimmigrant Petitioner Account
Adjudication Services (LEGACY PPA)
Summary of Requirements by Object Class
(Dollars in Thousands)

Object Classes	FY 2015 Revised Enacted	FY 2016 Enacted	FY 2017 Request	FY 2016 to FY 2017 Change
Personnel and Compensation Benefits	-	-	-	-
Other Object Classes	-	-	-	-
23.1 Rental Payments to GSA	$2,000	$2,000	-	($2,000)
25.1 Advisory and Assistance Services	$16,000	$13,000	-	($13,000)
Total, Other Object Classes	**$18,000**	**$15,000**	**-**	**($15,000)**
Adjustments	-	-	-	-
Unobligated Balance, start of year	-	($15,351)	-	$15,351
Unobligated Balance, end of year	-	$15,401	-	($15,401)
Recoveries of Prior Year Obligations	-	($50)	-	$50
Total Requirements	**$18,000**	**$15,000**	**-**	**($15,000)**
Full Time Equivalents	-	-	-	-

Exhibit L. Permanent Positions by Grade

N/A

Exhibit M. Changes in Full Time Employment

N/A

Department of Homeland Security

United States Citizenship and Immigration Services

Fraud Prevention and Detection Account (Mandatory Fee)

Fiscal Year 2017

Congressional Justification

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Schedule I – Executive Summary of Appropriation Exhibits

Summary of Budget Estimates by Program Project Activity- Appropriation Level

Department of Homeland Security
United States Citizenship and Immigration Services
Fraud Prevention and Detection Account (Mandatory Fee)
Summary of FY 2017 Budget Estimates by Program Project Activity

FY 2017 Request
(Dollars in Thousands)

Program Project Activity	FY 2015 Revised Enacted[1]			FY 2016 Enacted			FY 2017 Request			Increase(+) or Decrease(-) for FY 2017 Total Changes		
	POS	FTE[2]	Amount	POS	FTE	Amount	POS	FTE	Amount	POS	FTE	Amount
Adjudication Services (Legacy PPA)	185	353	$66,000	185	185	$45,000	-	-	-	(185)	(185)	($45,000)
Operations and Support (CAS PPA)	-	-	-	-	-	-	185	185	$45,000	185	185	$45,000
Total, Fraud Prevention and Detection Account (Mandatory Fee)	**185**	**353**	**$66,000**	**185**	**185**	**$45,000**	**185**	**185**	**$45,000**	**-**	**-**	**-**
Subtotal, Enacted Appropriations & Budget Estimates	**185**	**353**	**$66,000**	**185**	**185**	**$45,000**	**185**	**185**	**$45,000**	**-**	**-**	**-**
Net, Enacted Appropriations and Budget Estimates:	**185**	**353**	**$66,000**	**185**	**185**	**$45,000**	**185**	**185**	**$45,000**	**-**	**-**	**-**

[1] Reflects reprogrammings/transfers, as applicable, and actual FTE. This footnote applies to all FY15 revised Enacted tables throughout the CJ submission.
[2] Due to reprogramming action in FY 2015, additional funds were reclassified to Fraud Prevention and Detection account's payroll thereby increasing the number of FTEs.

Overview

The Fraud Prevention and Detection Account, established by Section 286 (v) of the Immigration and Nationality Act (8 U.S.C. 1356 (v)), supports activities related to preventing and detecting fraud in the delivery of all immigration benefit types. The Operations and Support (O&S) PPA funds necessary operations, mission support, and associated management and administration (M&A) costs. This PPA includes a single sub-PPA – Fraud Detection and National Security.

Resources made available through the Fraud Prevention and Detection Account support USCIS' mission to enhance the integrity of the legal immigration system by leading efforts to identify threats to national security and public safety, detect and combat immigration benefit fraud, and remove systematic and other vulnerabilities. Resources from the Fraud Prevention and Detection Account are not sufficient to fund all of USCIS's fraud detection and national security programs; funds from the Immigration Examinations Fee Account (IEFA) also support these programs.

The Fraud Prevention and Detection Account provides funds for the Fraud Prevention and Detection Directorate (FDNS) within USCIS

as well as the Service Center Operations Directorate. FDNS leads agency efforts to determine whether individuals or organizations filing for immigration benefits pose a threat to national security, public safety, or the integrity of the nations' immigration system.

The Fraud Prevention and Detection account funds 115 Fraud Detection and National Security Directorate positions and 70 Service Center Operations Directorate positions. The remaining salary and benefit cost supporting this activity are funded through the IEFA account.

Staffing levels are derived from a staffing allocation model based on projected application and petition receipts and productivity targets. FY 2015 projected application receipts were used as the basis for the approved staffing model. Once the annual staffing level is determined, salary and benefit expenses are computed based on the number of FTEs and the average salary for the appropriate organizational unit. A 1.6 percent pay raise adjustment was applied to base pay and benefits in 2017. Salary and benefits funded through the Fraud Prevention and Detection Account represent a portion of the overall staff resources required to determine whether individuals or organizations filing for immigration benefits pose a threat to national security, public safety, or the integrity of the nation's immigration system.

Fraud Detection Referrals Processed[1]

FY 2015 (Actual)	Projected FY 2016	Projected FY 2017
97,116	101,200	104,250

Source: Fraud Detection and National Security Data System.

Of the 97,116 referrals FDNS received in FY 2015[2]:

- 5,519 were national security concerns.
- 2,293 were public safety leads and 6,818 were public safety cases.
- 21,805 were fraud leads and 15,938 were fraud cases.
- 34,589 were requests for assistance.
- 1,322 were requests for overseas verification.
- 1,137 were other cases and leads.
- 7,695 were requests for benefit fraud assessments.

[1] For the purpose of this document, the term "referral" indicates any request for FDNS to review, investigate, or support USCIS workload. This differs from the standard definition of FDNS-DS "referral" that does not include requests to FDNS to conduct administrative investigations of fraud.
[2] Case type and subtype data is provided as of October 19, 2015. FDNS-DS is an active system. Cases may be created, deleted, converted, or merged at any time.

Note: The Fraud Prevention and Detection Account is established in statute as a single mandatory account. As a result, the Department of Homeland Security (DHS) cannot present the account in separate budget justification documents similar to its presentation of the discretionary appropriation accounts in line with the Common Appropriations Structure (CAS) initiative. Therefore, DHS has mirrored the proposed CAS account types within the Fraud Prevention and Detection Account as budget activities that represent the first level of Program, Project or Activity (PPA).

The resources made available to USCIS from the Fraud Prevention and Detection Account are not sufficient to fund all of USCIS's fraud detection and national security efforts. By longstanding practice, and with Congressional notification, the costs for the Fraud Detection and National Security Directorate (FDNS) and the other USCIS organizational units that have a role in combatting fraud and addressing national security concerns also receive funding from the Immigration Examinations Fee Account (IEFA). In order to increase transparency, USCIS has identically-named PPAs in both accounts.

B. FY 2016 to FY 2017 Budget Change - Appropriation Level

Department of Homeland Security
United States Citizenship and Immigration Services
Fraud Prevention and Detection Account (Mandatory Fee)

FY 2016 to FY 2017 Budget Change
(Dollars in Thousands)

	Pos.	FTE	Amount
FY 2015 Revised Enacted	**185**	**353**	**$66,000**
FY 2016 Enacted	**185**	**185**	**$45,000**
Adjustments-to-Base			
Technical Adjustments:			
PPA Realignment	[185]	[185]	[$45,000]
Total Technical Adjustments	**[185]**	**[185]**	**[$45,000]**
Increases			
2017 Pay Raise	-	-	$275
Annualization of 2016 Pay Raise	-	-	$55
Total, Increases	**-**	**-**	**$330**
Decreases			
Change in Operating Requirements	-	-	($330)
Total, Decreases	**-**	**-**	**($330)**
Total Other Adjustments	**-**	**-**	**-**
Total Adjustments-to-Base	**185**	**185**	**$45,000**
FY 2017 Current Services	**185**	**185**	**$45,000**
FY 2017 Request	**185**	**185**	**$45,000**
FY 2016 to FY 2017 Change	**-**	**-**	**-**

C. FY 2017 Investment Summary - Appropriation Level

N/A

Exhibit D. FY 2016 to FY 2017 Budget Change- PPA Level

Department of Homeland Security
United States Citizenship and Immigration Services
Fraud Prevention and Detection Account (Mandatory Fee)
Operations and Support
Fraud Detection and National Security
FY 2016 to FY 2017 Budget Change- PPA Level
(Dollars in Thousands)

Operations and Support, Fraud Detection and National Security		Positions	FTE	Amount
Prior Year	**FY 2015 Revised Enacted**	**185**	**353**	**66,000**
Base	**FY 2016 Enacted**	**185**	**185**	**45,000**
Current Services	2017 Pay Raise	-	-	275
	Annualization of 2016 Pay Raise	-	-	55
	Change in Operating Requirements	-	-	(330)
	PPA Realignment	[185]	[185]	[45,000]
Budget Year	**FY 2017 Request**	**185**	**185**	**45,000**
	Total Change from FY 2016 to FY 2017	**-**	**-**	**-**

*FY 2015 and FY 2016 funding levels reflect the CAS structure and are for comparison purposes only

PPA/SUB-PPA DESCRIPTION:

The O&S, Fraud Detection and National Security PPA and sub-PPA funds 115 Fraud Detection and National Security Directorate positions and 70 Service Center Operations Directorate positions. The remaining salary and benefit cost supporting this activity are funded through the IEFA account.

The budget reflects an across the board increase of 1.6 percent for the 2017 pay raise ($275,000), and annualization of the 2016 pay raise ($55,000). A decrease of $330,000 is reflected as a program adjustment.

Department of Homeland Security
United States Citizenship and Immigration Services
Operations and Support
Fraud Detection and National Security

Cost Drivers (Pay & Benefits) - PPA Level (Excludes Reimbursables) ($000s)

	FY 2015 Revised Enacted[1]				FY 2016 Enacted				FY 2017 Request				Delta FY 2016 - 2017			
	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost	FTP	FTE	Amount	Avg. Cost
Civilian Total	185	353	$47,916	$136	185	185	$22,917	$124	185	185	$23,247	$125	-	-	$330	$1

[1] Reflects reprogrammings/transfers, as applicable, and actual FTE. The use of FY 2015 Actuals and FY2015 Revised Enacted Budgetary Resources in the CAS Pay Cost Drivers Exhibit may reflect a higher average FTE Cost than represented in the FY 2016 Enacted or FY 2017 Request average cost columns.

NARRATIVE EXPLANATION OF CHANGES:

- **FTE Change 2016-2017:** No change in FTE.
- **Personnel Compensation and Benefits (PC&B) Change 2016-2017:** Reflects a 1.6 percent increase for the pay raise and annualization of the 2016 pay raise.
- **Average Cost Change FY 2016-2017:** An average increase of $1,784 based on the pay raise calculations.
- FY 2017 request estimates $310,000 for performance awards. This request remains in line with OPM Awards Guidance on spending limitation.

Department of Homeland Security
United States Citizenship and Immigration Services
Fraud Prevention and Detection Account (Mandatory Fee)
Operations and Support
Fraud Detection and National Security
Cost Drivers (Non-Pay) – Sub-PPA Level ($000s)

Fraud Prevention and Detection Account - Fraud Detection and National Security	2015 Revised Enacted	FY 2016 Enacted	FY 2017 Request	FY 2016 to FY 2017 Change
FY 2017 Non- Pay Cost Drivers (greatest-least)				
Contract/Fraud Detection and Prevention	$5,842	$5,842	$5,842	$0
Contract/Data Systems	$3,266	$3,266	$3,266	$0
Rental Payments to GSA	$1,936	$2,024	$2,114	$90
Contract/Program Support	$1,383	$1,383	$1,383	$0
Total	**$12,427**	**$12,515**	**$12,605**	**$90**

*FY 2015 and FY 2016 funding levels reflect the CAS structure and are for comparison purposes only.

NARRATIVE EXPLANATION OF CHANGES:

- **Contract/Fraud Detection and Prevention:** The Fraud Prevention and Detection Account provides a portion of the funds for a Web-based tool that uses commercially available information to validate the business operations of companies and organizations looking to employ foreign workers. There is no change in the funding level from FY 2016 to FY 2017. Any contract increase will be attributed to the IEFA account.

- **Contract/Data System:** The Fraud Prevention and Detection Account provides a portion of the funding to support a portfolio of fraud detection reporting, tracking and fraud detection business-intelligence systems. There is no change in the funding level from FY 2016 to FY 2017. Any contract increase will be attributed to the IEFA account.

- **Rent:** The Fraud Prevention and Detection Account provides a portion of the funding for rent. The change from FY 2016 to FY 2017 is due to standard rent increase and lease adjustments.

- **Contracts/Program Support:** The Fraud Prevention and Detection Account provides a portion of the funding for contractual cost to deploy advanced fraud detection devices and techniques and intelligence-driven planning. Any contract increase will be attributed to the IEFA account.

Historical Collections

(Dollars in millions)

	FY2010	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015
Fraud Prevention and Detection Account	37.965	40.825	45.375	42.326	44.807	47.557

Schedule III – Other Exhibits

Exhibit E. Justification of Proposed Legislative Language

N/A

Exhibit F. Summary of Fee Collections and Carryover

Department of Homeland Security
United States Citizenship and Immigration Services
Fraud Prevention and Detection Account (Mandatory Fee)
Summary of Fee Collections and Carryover
(Dollars in Thousands)

	FY 2015 Revised Enacted	FY 2016 Enacted	FY 2017 Request
Collections (Actual or Anticipated)	$47,585	$44,813	$45,000
Carryover (Includes Recoveries)	$18,661	-	-
Portion of Current Year Collection Sequestered	($3,472)	($3,285)	($3,285)
Previous Year Sequestered Funds Available	$3,226	$3,472	$3,285
Total Budget Authority	**$66,000**	**$45,000**	**$45,000**

- Statutory Authority: Section 286 (v) of the Immigration and Nationality Act (8 U.S.C. 1356 (v)).

- Authorized Uses: Revenues are authorized for program and activities to prevent and detect immigration benefit fraud for all types of immigration benefits, not just fraud related to nonimmigrants with H or L visas.

- Change Mechanism: The Fraud Prevention and Detection fees are set by statute. Fee changes would require legislative action by Congress.

- Previous Changes: The Fraud Prevention and Detection Fee was instituted by the H-1B Visa Reform Act of 2004 (Title IV of Division J of P.L. 108-447, later amended by P.L. 109-13). This requires most petitioners to pay $500 when filing a petition for a beneficiary's initial grant of H-1B or L nonimmigrant classification, as well as for a beneficiary who is changing employers within these classifications. Petitioners also pay $150 when filing a petition on behalf of H-2B workers. The Fraud Prevention and Detection Fee is in addition to the base processing fee of $325 for a Petition for Nonimmigrant Worker (Form I-129), which is deposited into the Immigration Examinations Fee Account (IEFA). The obligations charged to the Fraud Prevention and Detection Account are excluded from USCIS's fee reviews to avoid overcharging applicants and petitioners the base processing fees.

- Recovery Rate: The Fraud Prevention and Detection fees are set by statute. USCIS's interpretation is that Congress did not intend the fees to achieve full cost recovery. USCIS retains one-third of the Fraud Prevention and Detection Fee collections in addition to the base processing fee. The Department of State and the Department of Labor each receive one-third of the revenue, and these agencies use the funding in accordance with the statute for their fraud detection/prevention and enforcement activities. USCIS uses its one-third of collections in accordance with the statute to partially fund its fraud detection and prevention activities. As USCIS understands that this fee was not intended to recover full cost, it cannot calculate a recovery rate.

- Forecasting Methodology: USCIS uses a forecasting methodology based on the most recent 12 months of collection.

- Contingency Plan: IEFA funds all additional costs associated with USCIS fraud prevention and detection activities.

Exhibit G. Summary of Reimbursable Resources

N/A

Exhibit H. FY 2017 Schedule of Working Capital Fund by Program/Project Activity

N/A

Exhibit I. Capital Investment and Construction Initiative Listing

NA

Exhibit J. Object Class Breakout by Appropriation

Department of Homeland Security
United States Citizenship and Immigration Services
Fraud Prevention and Detection Account (Mandatory Fee)
Summary of Requirements by Object Class
(Dollars in Thousands)

Object Classes	FY 2015 Revised Enacted**	FY 2016 Enacted	FY 2017 Request	FY 2016 to FY 2017 Change
Personnel and Compensation Benefits	-	-	-	-
11.1 Full-time Permanent	$35,111	$16,873	$17,115	$242
11.3 Other than Full-Time Permanent	$173	$65	$66	$1
11.5 Other Personnel Compensation	$1,172	$470	$477	$7
12.1 Civilian Personnel Benefits	$11,460	$5,509	$5,589	$80
Total, Personnel and Compensation Benefits	**$47,916**	**$22,917**	**$23,247**	**$330**
Other Object Classes	-	-	-	-
21.0 Travel and Transportation of Persons	$413	$402	$402	-
22.0 Transportation of Things	$78	$95	$93	($2)
23.1 Rental Payments to GSA	$1,936	$2,024	$2,114	$90
23.2 Rental Payments to Others	$50	$74	$72	($2)
23.3 Communications, Utilities, and Misc. Charges	$150	$221	$216	($5)
24.0 Printing and Reproduction	$3	$4	$4	-
25.1 Advisory and Assistance Services	$9,935	$12,027	$11,774	($253)
25.2 Other Services from Non-Federal Sources	$3,402	$4,552	$4,452	($100)
25.3 Other Goods and Services from Federal Sources	$257	$358	$350	($8)
25.7 Operation and Maintenance of Equipment	$533	$784	$765	($19)
26.0 Supplies and Materials	$618	$670	$657	($13)
31.0 Equipment	$694	$857	$839	($18)
32.0 Land and Structures	$15	$15	$15	-
Total, Other Object Classes	**$18,084**	**$22,083**	**$21,753**	**($330)**
Adjustments	-	-	-	-
Unobligated Balance, start of year	-	($39,566)	($41,566)	($2,000)
Unobligated Balance, end of year	-	$41,566	$43,566	$2,000
Recoveries of Prior Year Obligations	-	($2,000)	($2,000)	-
Total Requirements	**$66,000**	**$45,000**	**$45,000**	**-**
Full Time Equivalents	**353**	**185**	**185**	**-**

*FY 2015 and FY 2016 funding levels reflect the CAS structure and are for comparison purposes only.

** Due to reprogramming action in FY 2015, additional funds were reclassified to Fraud Prevention and Detection account's payroll thereby increasing the number of FTEs.

Exhibit K. Object Class Breakout by PPA

Department of Homeland Security
United States Citizenship and Immigration Services
Fraud Prevention and Detection Account (Mandatory Fee)
Operations and Support (CAS PPA)
Summary of Requirements by Object Class
(Dollars in Thousands)

Object Classes	FY 2015 Revised Enacted**	FY 2016 Enacted	FY 2017 Request	FY 2016 to FY 2017 Change
Personnel and Compensation Benefits	-	-	-	-
11.1 Full-time Permanent	$35,111	$16,873	$17,115	$242
11.3 Other than Full-Time Permanent	$173	$65	$66	$1
11.5 Other Personnel Compensation	$1,172	$470	$477	$7
12.1 Civilian Personnel Benefits	$11,460	$5,509	$5,589	$80
Total, Personnel and Compensation Benefits	**$47,916**	**$22,917**	**$23,247**	**$330**
Other Object Classes	-	-	-	-
21.0 Travel and Transportation of Persons	$413	$402	$402	-
22.0 Transportation of Things	$78	$95	$93	($2)
23.1 Rental Payments to GSA	$1,936	$2,024	$2,114	$90
23.2 Rental Payments to Others	$50	$74	$72	($2)
23.3 Communications, Utilities, and Misc. Charges	$150	$221	$216	($5)
24.0 Printing and Reproduction	$3	$4	$4	-
25.1 Advisory and Assistance Services	$9,935	$12,027	$11,774	($253)
25.2 Other Services from Non-Federal Sources	$3,402	$4,552	$4,452	($100)
25.3 Other Goods and Services from Federal Sources	$257	$358	$350	($8)
25.7 Operation and Maintenance of Equipment	$533	$784	$765	($19)
26.0 Supplies and Materials	$618	$670	$657	($13)
31.0 Equipment	$694	$857	$839	($18)
32.0 Land and Structures	$15	$15	$15	-
Total, Other Object Classes	**$18,084**	**$22,083**	**$21,753**	**($330)**
Adjustments	-	-	-	-
Unobligated Balance, start of year	-	($39,566)	($41,566)	($2,000)
Unobligated Balance, end of year	-	$41,566	$43,566	$2,000
Recoveries of Prior Year Obligations	-	($2,000)	($2,000)	-
Total Requirements	**$66,000**	**$45,000**	**$45,000**	**-**
Full Time Equivalents	**353**	**185**	**185**	**-**

*FY 2015 and FY 2016 funding levels reflect the CAS structure and are for comparison purposes only.

** Due to reprogramming action in FY 2015, additional funds were reclassified to Fraud Prevention and Detection account's payroll thereby increasing the number of FTEs.

Department of Homeland Security
United States Citizenship & Immigration Services
Fraud Prevention and Detection Account (Mandatory Fee)
Adjudication Services
District Operations (LEGACY PPA)
Summary of Requirements by Object Class
(Dollars in Thousands)

Object Classes	FY 2015 Revised Enacted	FY 2016 Enacted	FY 2017 Request	FY 2016 to FY 2017 Change
Personnel and Compensation Benefits	-	-	-	-
11.1 Full-time Permanent	$29,966	$11,236	-	($11,236)
11.3 Other than Full-Time Permanent	$173	$65	-	($65)
11.5 Other Personnel Compensation	$1,130	$424	-	($424)
12.1 Civilian Personnel Benefits	$9,722	$3,645	-	($3,645)
Total, Personnel and Compensation Benefits	**$40,991**	**$15,370**	**-**	**($15,370)**
Other Object Classes	-	-	-	-
21.0 Travel and Transportation of Persons	$406	$314	-	($314)
22.0 Transportation of Things	$47	$69	-	($69)
23.1 Rental Payments to GSA	$775	$1,554	-	($1,554)
23.2 Rental Payments to Others	$50	$74	-	($74)
23.3 Communications, Utilities, and Misc. Charges	$150	$221	-	($221)
24.0 Printing and Reproduction	$3	$4	-	($4)
25.1 Advisory and Assistance Services	$4,385	$6,452	-	($6,452)
25.2 Other Services from Non-Federal Sources	$2,520	$3,708	-	($3,708)
25.3 Other Goods and Services from Federal Sources	$214	$315	-	($315)
25.7 Operation and Maintenance of Equipment	$533	$784	-	($784)
26.0 Supplies and Materials	$105	$155	-	($155)
31.0 Equipment	$342	$503	-	($503)
Total, Other Object Classes	**$9,530**	**$14,153**	**-**	**($14,153)**
Adjustments	-	-	-	-
Unobligated Balance, start of year	-	($39,566)	-	$39,566
Unobligated Balance, end of year	-	$41,566	-	($41,566)
Recoveries of Prior Year Obligations	-	($2,000)	-	$2,000
Total Requirements	**$50,521**	**$29,523**	**-**	**($29,523)**
Full Time Equivalents	**291**	**115**	**-**	**(115)**

Department of Homeland Security
United States Citizenship & Immigration Services
Fraud Prevention and Detection Account (Mandatory Fee)
Adjudication Services
Service Centers (LEGACY PPA)
Summary of Requirements by Object Class
(Dollars in Thousands)

Object Classes	FY 2015 Revised Enacted	FY 2016 Enacted	FY 2017 Request	FY 2016 to FY 2017 Change
Personnel and Compensation Benefits	-	-	-	-
11.1 Full-time Permanent	$5,145	$5,637	-	($5,637)
11.5 Other Personnel Compensation	$42	$46	-	($46)
12.1 Civilian Personnel Benefits	$1,701	$1,864	-	($1,864)
Total, Personnel and Compensation Benefits	**$6,888**	**$7,547**	-	**($7,547)**
Other Object Classes	-	-	-	-
23.1 Rental Payments to GSA	$1,161	$470	-	($470)
25.1 Advisory and Assistance Services	$5,550	$5,575	-	($5,575)
25.2 Other Services from Non-Federal Sources	$647	$650	-	($650)
25.3 Other Goods and Services from Federal Sources	$43	$43	-	($43)
26.0 Supplies and Materials	$513	$515	-	($515)
31.0 Equipment	$352	$354	-	($354)
32.0 Land and Structures	$15	$15	-	($15)
Total, Other Object Classes	**$8,281**	**$7,622**	-	**($7,622)**
Adjustments	-	-	-	-
Unobligated Balance, start of year	-	-	-	-
Unobligated Balance, end of year	-	-	-	-
Recoveries of Prior Year Obligations	-	-	-	-
Total Requirements	**$15,169**	**$15,169**	-	**($15,169)**
Full Time Equivalents	**62**	**70**	-	**(70)**

Department of Homeland Security
United States Citizenship & Immigration Services
Fraud Prevention and Detection Account (Mandatory Fee)
Adjudication Services
Asylum, Refugee, and International Operations (LEGACY PPA)
Summary of Requirements by Object Class
(Dollars in Thousands)

Object Classes	FY 2015 Revised Enacted	FY 2016 Enacted	FY 2017 Request	FY 2016 to FY 2017 Change
Personnel and Compensation Benefits	-	-	-	-
12.1 Civilian Personnel Benefits	$37	-	-	-
Total, Personnel and Compensation Benefits	**$37**	-	-	-
Other Object Classes	-	-	-	-
21.0 Travel and Transportation of Persons	$7	$88	-	($88)
22.0 Transportation of Things	$31	$26	-	($26)
25.2 Other Services from Non-Federal Sources	$235	$194	-	($194)
Total, Other Object Classes	**$273**	**$308**	-	**($308)**
Adjustments	-	-	-	-
Unobligated Balance, start of year	-	-	-	-
Unobligated Balance, end of year	-	-	-	-
Recoveries of Prior Year Obligations	-	-	-	-
Total Requirements	**$310**	**$308**	-	**($308)**
Full Time Equivalents	-	-	-	-

Exhibit L. Permanent Positions by Grade

Department of Homeland Security
United States Citizenship and Immigration Services
Fraud Prevention and Detection Account (Mandatory Fee)
Permanent Positions by Grade

Grades and Salary Range	FY 2015 Revised Enacted Pos.	FY 2016 Enacted Pos.	FY 2017 Request Pos.	FY 2016 to FY 2017 Change Total
GS-15	8	8	8	-
GS-14	22	22	22	-
GS-13	82	82	82	-
GS-12	58	58	58	-
GS-11	4	4	4	
GS-9	10	10	10	-
GS-7	1	1	1	
Total Permanent Positions	**185**	**185**	**185**	**-**
Unfilled Positions EOY	15	-	-	-
Total Permanent Employment EOY	170	185	185	-
Headquarters	11	11	11	-
U.S. Field	171	171	171	-
Foreign Field	3	3	3	-
Total, Fraud Prevention and Detection Account (Mandatory Fee):	**185**	**185**	**185**	**-**
Full Time Equivalents	**353**	**185**	**185**	**-**
Average ES Salary	-	-	-	-
Average GS Salary	97,166	98,138	99,708	1,570
Average Grade	13	13	13	-

Exhibit M. Changes in Full Time Employment

Department of Homeland Security
United States Citizenship and Immigration Services
Fraud Prevention and Detection Account (Mandatory Fee)
Changes in Full Time Employment

	FY 2015	FY 2016	FY 2017
Increases			
PPA Realignment	-	-	185
Decreases			
Year End Actuals/Estimated FTEs:	**353**	**185**	**185**

Department of Homeland Security

U.S. Citizenship & Immigration Services

Salaries and Expenses

Fiscal Year 2017

Congressional Justification

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II. Summary of FY 2017 Budget Estimates by Program/Project Activity (PPA)

Department of Homeland Security
U.S. Citizenship & Immigration Services
Salaries and Expenses:

Summary of FY 2017 Budget Estimates by Program Project Activity

FY 2017 Request
(Dollars in Thousands)

Program Project Activity	FY 2015			FY 2016			FY 2017			Increase(+) or Decrease(-) for FY 2017								
	Revised Enacted[1]			Enacted			Request			Total Changes			Program Changes			Adjustments-to-Base		
	POS	FTE	Amount	POS	FTE	Amount	POS	FTE	Amount	POS	FTE	Amount	POS	FTE	Amount	POS	FTE	Amount
E-Verify	419	342	$124,435	419	398	$119,671	-	-	-	(419)	(398)	($119,671)	-	-	-	(419)	(398)	($119,671)
Total, Salaries and Expenses:	**419**	**342**	**$124,435**	**419**	**398**	**$119,671**	**-**	**-**	**-**	**(419)**	**(398)**	**($119,671)**	**-**	**-**	**-**	**(419)**	**(398)**	**($119,671)**
Subtotal, Enacted Appropriations & Budget Estimates	**419**	**342**	**$124,435**	**419**	**398**	**$119,671**	**-**	**-**	**-**	**(419)**	**(398)**	**($119,671)**	**-**	**-**	**-**	**(419)**	**(398)**	**($119,671)**
505 Rescission	-	-	(448)	-	-	(3,086)	-	-	-	-	-	-	-	-	-	-	-	-
Net, Enacted Appropriations and Budget Estimates:	**419**	**342**	**$123,987**	**419**	**398**	**$116,585**	**-**	**-**	**-**	**(419)**	**(398)**	**($116,585)**	**-**	**-**	**-**	**(419)**	**(398)**	**($116,585)**

[1] Reflects reprogrammings/transfers, as applicable, and actual FTE. This footnote applies to all FY15 revised Enacted tables throughout the CJ submission.

III. Current Services Program Description by PPA

Department of Homeland Security
U.S. Citizenship & Immigration Services
E-Verify
Program Performance Justification
(Dollars in Thousands)

PPA: E-Verify

	Perm. Pos.	FTE	Amount
FY 2015 Revised Enacted	**419**	**342**	**$124,435**
FY 2016 Enacted	**419**	**398**	**$119,671**
2017 Adjustments-to-Base	(419)	(398)	($119,671)
FY 2017 Current Services	**-**	**-**	**-**
FY 2017 Program Change	-	-	-
FY 2017 Total Request	**-**	**-**	**-**
Total Change 2016 to 2017	(419)	(398)	($119,671)

CURRENT SERVICES PROGRAM DESCRIPTION:
As a result of the Common Appropriation Structure, FY 2016 base funds will be transferred out to:

E-Verify		Positions	FTE	Amount
Prior Year	**FY 2015 Revised Enacted**	**419**	**342**	**124,435**
Base	**FY 2016 Enacted**	**419**	**398**	**119,671**
Current Services	From S&E-E-Verify to O&S-Immigration Status Verification	(419)	(398)	(107,001)
	From S&E-E-Verify to PC&I-Immigration Status Verification	-	-	(12,670)
Budget Year	**FY 2017 Request**	**-**	**-**	**-**
	Total Change from FY 2016 to FY 2017	**(419)**	**(398)**	**(119,671)**

V. Exhibits and Other Supporting Material
B. FY 2016 to FY 2017 Budget Change

Department of Homeland Security
U.S. Citizenship & Immigration Services
Salaries and Expenses:
FY 2016 to FY 2017 Budget Change
(Dollars in Thousands)

	Pos.	FTE	Amount
FY 2015 Revised Enacted	**419**	**342**	**$124,435**
FY 2016 Enacted	**419**	**398**	**$119,671**
Adjustments-to-Base			
Transfers to and from other accounts:			
From S&E-E-Verify to O&S-Immigration Status Verification	(419)	(398)	($107,001)
From S&E-E-Verify to PC&I-Immigration Status Verification	-	-	($12,670)
Total Transfers	**(419)**	**(398)**	**($119,671)**
Total Adjustments-to-Base	**(419)**	**(398)**	**($119,671)**
FY 2017 Current Services	**-**	**-**	**-**
FY 2017 Request	**-**	**-**	**-**
FY 2016 to FY 2017 Change	**(419)**	**(398)**	**($119,671)**

D. Summary of Reimbursable Resources

N/A

E. Summary of Requirements By Object Class

Department of Homeland Security
U.S. Citizenship & Immigration Services
Salaries and Expenses:
Summary of Requirements by Object Class
(Dollars in Thousands)

Object Classes	2015 Revised Enacted	2016 Enacted	2017 Request	FY 2016 to FY 2017 Change
Personnel and Other Compensation Benefits				
11.1 Full-time Permanent	$31,006	$34,667	-	($34,667)
11.3 Other than Full-Time Permanent	$484	$541	-	($541)
11.5 Other Personnel Compensation	$415	$464	-	($464)
12.1 Civilian Personnel Benefits	$9,702	$10,847	-	($10,847)
Total, Personnel and Other Compensation Benefits	**$41,607**	**$46,519**	**-**	**($46,519)**
Other Object Classes				
21.0 Travel and Transportation of Persons	$119	$118	-	($118)
22.0 Transportation of Things	$12	$10	-	($10)
23.1 Rental Payments to GSA	$4,256	$4,596	-	($4,596)
23.2 Rental Payments to Others	$37	$32	-	($32)
23.3 Communications, Utilities, and Misc. Charges	$2,540	$2,216	-	($2,216)
24.0 Printing and Reproduction	$15	$13	-	($13)
25.1 Advisory and Assistance Services	$56,663	$49,431	-	($49,431)
25.2 Other Services from Non-Federal Sources	$747	$652	-	($652)
25.3 Other Goods and Services from Federal Sources	$10,349	$9,028	-	($9,028)
25.7 Operation and Maintenance of Equipment	$6,013	$5,245	-	($5,245)
26.0 Supplies and Materials	$102	$89	-	($89)
31.0 Equipment	$1,806	$1,575	-	($1,575)
32.0 Land and Structures	$169	$147	-	($147)
Total, Other Object Classes	**$82,828**	**$73,152**	**-**	**($73,152)**
Total, Direct Obligations	**$124,435**	**$119,671**	**-**	**($119,671)**
Adjustments				
Net Offsetting Collections	-	-	-	-
Unobligated Balance, start of year	-	-	-	-
Unobligated Balance, end of year	-	-	-	-
Recoveries of Prior Year Obligations	-	-	-	-
Offsetting Collections	-	-	-	-
Total Requirements	**$124,435**	**$119,671**	**-**	**($119,671)**

F. Permanent Positions by Grade

Department of Homeland Security
U.S. Citizenship & Immigration Services
Salaries and Expenses:

Permanent Positions by Grade

Grades and Salary Range	FY 2015 Revised Enacted* Pos.	FY 2016 Enacted Pos.	FY 2017 Request Pos.	FY 2016 to FY 2017 Change Total
Total, SES	1	1	-	(1)
GS-15	43	43	-	(43)
GS-14	107	107	-	(107)
GS-13	68	68	-	(68)
GS-12	44	44	-	(44)
GS-11	41	41	-	(41)
GS-10	2	2	-	(2)
GS-9	79	79	-	(79)
GS-7	34	34	-	(34)
Total Permanent Positions	**419**	**419**	**-**	**(419)**
Unfilled Positions EOY	71	21	-	(21)
Total Permanent Employment EOY	348	398	-	(398)
Headquarters	216	216	-	(216)
U.S. Field	203	203	-	(203)
Total, Salaries and Expenses::	**419**	**419**	**-**	**(419)**
Full Time Equivalents	**342**	**398**	**-**	**(398)**
Average ES Salary	159,205	160,797	-	(160,797)
Average GS Salary	91,296	92,209	-	(92,209)
Average Grade	12	12	-	(12)

H. PPA Budget Justifications

Department of Homeland Security
U.S. Citizenship & Immigration Services
Salaries and Expenses:
E-Verify
Summary of Requirements by Object Class
(Dollars in Thousands)

Object Classes	FY 2015 Revised Enacted	FY 2016 Enacted	FY 2017 Request	FY 2016 to FY 2017 Change
Personnel and Compensation Benefits	**-**	**-**	**-**	**-**
11.1 Full-time Permanent	$31,006	$34,667	-	($34,667)
11.3 Other than Full-Time Permanent	$484	$541	-	($541)
11.5 Other Personnel Compensation	$415	$464	-	($464)
12.1 Civilian Personnel Benefits	$9,702	$10,847	-	($10,847)
Total, Personnel and Compensation Benefits	**$41,607**	**$46,519**	**-**	**($46,519)**
Other Object Classes	**-**	**-**	**-**	**-**
21.0 Travel and Transportation of Persons	$119	$118	-	($118)
22.0 Transportation of Things	$12	$10	-	($10)
23.1 Rental Payments to GSA	$4,256	$4,596	-	($4,596)
23.2 Rental Payments to Others	$37	$32	-	($32)
23.3 Communications, Utilities, and Misc. Charges	$2,540	$2,216	-	($2,216)
24.0 Printing and Reproduction	$15	$13	-	($13)
25.1 Advisory and Assistance Services	$56,663	$49,431	-	($49,431)
25.2 Other Services from Non-Federal Sources	$747	$652	-	($652)
25.3 Other Goods and Services from Federal Sources	$10,349	$9,028	-	($9,028)
25.7 Operation and Maintenance of Equipment	$6,013	$5,245	-	($5,245)
26.0 Supplies and Materials	$102	$89	-	($89)
31.0 Equipment	$1,806	$1,575	-	($1,575)
32.0 Land and Structures	$169	$147	-	($147)
Total, Other Object Classes	**$82,828**	**$73,152**	**-**	**($73,152)**
Adjustments	**-**	**-**	**-**	**-**
Unobligated Balance, start of year	-	-	-	-
Unobligated Balance, end of year	-	-	-	-
Recoveries of Prior Year Obligations	-	-	-	-
Total Requirements	**$124,435**	**$119,671**	**-**	**($119,671)**
Full Time Equivalents	**342**	**398**	**-**	**(398)**

I. Changes In Full Time Employment

Department of Homeland Security
U.S. Citizenship & Immigration Services
Salaries and Expenses:
Changes In Full Time Employment

	FY 2015	FY 2016	FY 2017
BASE: Year End Actual from Prior Year	**352**	**342**	**398**
Increases			
Hiring to POS level	-	56	-
Subtotal, Increases	**-**	**56**	**-**
Decreases			
Transfer from S&E-E-Verify to O&S-Immigration Status Verification	-	-	(398)
Adjustment for Actual FTE	(10)	-	-
Subtotal, Decreases	**(10)**	**-**	**(398)**
Year End Actuals/Estimated FTEs:	**342**	**398**	**-**
Net Change from prior year base to Budget Year Estimate:	**(10)**	**56**	**(398)**

J. FY 2017 Schedule of Working Capital Fund by Program/Project Activity

Department of Homeland Security
U.S. Citizenship & Immigration Services
Salaries and Expenses:
(Dollars in Thousands)

Program/Project/Activity	FY 2015 Revised Enacted Amount	FY 2016 Enacted Amount	FY 2017 Request Amount	Increase/Decrease for FY 2017 Amount
E-Verify	$2,091	$2,453	-	($2,453)
Total Working Capital Fund	**$2,091**	**$2,453**	**-**	**($2,453)**

Department of Homeland Security

United States Citizenship and Immigration Services

Fiscal Year 2017

Strategic Context
Congressional Submission

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Performance Measures 9

A. Component Overview

The United States Citizenship and Immigration Service is comprised of the following mission-oriented programs that support achievement of the DHS strategic missions, goals, and objectives.

Adjudication Services: The Adjudication Services program oversees the legal review and adjudication of six to eight million immigration applications and petitions annually, including asylum applications, petitions by companies on behalf of foreign workers, and applications to naturalize and become a U.S. citizen.

Citizenship and Integration Grant Program: The Office of Citizenship strengthens the cohesion of the nation by promoting the integration of immigrants into American civic culture. The Citizenship program: 1) develops educational products and information resources to foster immigrant integration and participation in American civic culture; 2) enhances training initiatives to promote an understanding of and appreciation for U.S. civic principles and the rights and responsibilities of citizenship; and 3) provides federal leadership on immigrant civic integration issues. The office works with other federal agencies, state and local governments, community and faith-based organizations, adult educators, and other organizations that work with immigrants.

Cuban-Haitian Entrant Program: The Cuban Haitian Entrant Program was established to provide eligible Cubans and Haitians with certain benefits and services. This program coordinates the reception, processing, and community placement of Cubans and Haitians paroled in the United States.

Fraud Detection and National Security: Fraud Detection and National Security detects, pursues, and deters fraud; ensures law enforcement background checks are conducted on all persons seeking benefits prior to according such benefits; identifies systemic vulnerabilities and other weaknesses that compromise the integrity of the legal immigration system; performs as USCIS's primary conduit to and from the law enforcement and intelligence communities; and coordinates interagency policies, procedures, and other issues with U.S. Customs and Border Protection and U.S. Immigration and Customs Enforcement.

Information and Customer Service: The Information and Customer Service program manages and coordinates USCIS's information and customer service functions and operations, policies and activities, and manages customer service call center operations. This program helps USCIS's customers to understand eligibility rules and USCIS's procedures, provides case status information, and answers thousands of written inquiries and millions of phone calls regarding immigration programs and benefits.

Immigration Status Verification: Immigration Status Verification delivers employment and immigration status verification with innovative and unparalleled customer service. Through our Verification Information System, we enable authorized E-Verify and Systematic Alien Verification for Entitlements (SAVE) users to verify work authorization and immigration status. E-Verify enables employers to quickly and easily verify the work authorization of their newly-hired employees, and SAVE enables federal, state and local government agencies to obtain immigration status information to determine applicants' eligibility for public benefits and licenses.

Management and Administration: This program captures activities that provide enterprise leadership, management and/or business administration services and includes the capabilities and activities that support the day-to-day management and back office functions that enable USCIS to operate efficiently and effectively. Key capabilities include conducting agency planning and performance management, managing finances, managing agency workforce, providing physical and personnel security, acquiring goods and services, managing information technology, managing agency property and assets, managing agency communications, managing legal affairs, and providing general management and administration.

FY 2017 Budget Request

The table below shows United States Citizenship and Immigration Service FY 2017 Budget request by its mission-oriented programs.

Program	FY 2017 Request	
	FTE	Dollars (in thousands)
Adjudication Services	12,656	2,717,245
Adjudication Services: Premium Fee	463	325,370
Citizenship and Integration Grant Program	0	10,000
Cuban-Haitian Entrant Program	0	10,805
Fraud Detection and National Security	1,472	241,086
Information and Customer Service	390	138,915
Immigration Status Verification	610	156,210
Management and Administration	1,569	418,639
Total Budget Request	17,160	4,018,270

B. Component Contributions to Achieving Departmental Missions

The table below shows the alignment of the United States Citizenship and Immigration Service programs to the DHS Missions and Mature and Strengthen Homeland Security.

Programs	DHS Missions					Mature and Strengthen Homeland Security
	Prevent Terrorism and Enhance Security	Secure and Manage Our Borders	Enforce and Administer Our Immigration Laws	Safeguard and Secure Cyberspace	Strengthen National Preparedness and Resilience	
Adjudication Services			84%			16%
Adjudication Services: Premium Fee			100%			
Citizenship and Integration Grant Program			100%			
Cuban-Haitian Entrant Program			100%			
Fraud Detection and National Security			100%			
Information and Customer Service			100%			
Immigration Status Verification			100%			
Management and Administration						100%

Mission 3: Enforce and Administer Our Immigration Laws

Resources Requested

United States Citizenship and Immigration Services resources supporting *Enforce and Administer Our Immigration Laws* are provided in the table below.

$ in thousands

Program	FY 2015 Revised Enacted		FY 2016 Enacted		FY 2017 Request	
	$	FTE*	$	FTE	$	FTE
Adjudication Services	1,932,953	9,126	1,923,611	10,361	2,269,233	12,242
Adjudication Services: Premium Fee	221,244	104	324,464	463	325,370	463
Citizenship and Integration Grant Program	10,000	0	10,000	0	10,000	0
Cuban-Haitian Entrant Program	10,805	0	10,805	0	10,805	0
Fraud Detection and National Security	256,648	1,603	238,703	1,472	241,086	1,472
Information and Customer Service	113,959	293	124,041	342	138,915	390
Immigration Status Verification	149,932	610	155,225	610	156,210	610
Total	2,695,541	11,736	2,786,849	13,248	3,151,619	15,177

*Reflects reprogrammings/transfers, as applicable, and actual FTE. This footnote applies to all FY 2015 Revised Enacted tables throughout the CJ submission.

Performance Measures

For *Enforce and Administer Our Immigration Laws*, two types of performance measures are presented. Strategic Measures represent USCIS' measures that gauge achievement for this mission area, and are considered to be our Government Performance and Results Act Management Act (GPRAMA) performance measures. Additional Management Measures are displayed, as appropriate, to provide a more thorough context of expected performance results.

Strategic Measures

Measure: Accuracy rate of USCIS's processing of manual verifications for Systematic Alien Verification for Entitlements (SAVE) referrals						
Description: The measure tracks the accuracy of SAVE manual verifications using a quality review which is a monthly review of verification work performed by Status Verifiers (SV) to determine whether SAVE referrals are resolved correctly. Specifically, they determine whether the response provided by USCIS reflects the immigration status on record for persons seeking benefits from other governmental agencies using the SAVE program.						
Fiscal Year:	**FY 2012**	**FY 2013**	**FY 2014**	**FY 2015**	**FY 2016**	**FY 2017**
Target:	98.0%	98.0%	98.2%	98.4%	98.6%	98.8%
Result:	98%	99.3%	99.2%	99.1%	N/A	N/A

Measure: Average of processing cycle time (in months) for naturalization applications (N-400)						
Description: An N-400, Application for Naturalization, is filed by an individual applying to become a United States citizen. This measure assesses the program's ability to meet its published processing time goals by reporting on the volume of pending applications by Center or Field Office. The Cycle Time, reflected in months (e.g. 5.0 months), measures only the pending volume in Active Pending status, deducting from Gross Pending the total volume of cases subject to customer-induced delays, categorized as Active Suspense.						
Fiscal Year:	**FY 2012**	**FY 2013**	**FY 2014**	**FY 2015**	**FY 2016**	**FY 2017**
Target:	<=5.0	<=5.0	<=5.0	<=5.0	<=5.0	<=5.0
Result:	4.6	4.7	5.5	5.0	N/A	N/A

Measure: Average of processing cycle time (in months) for adjustment of status to permanent resident applications (I-485)						
Description: An I-485, Application to Register for Permanent Residence or Adjust Status, is filed by an individual to apply for permanent residence in the United States or to adjust their current status. This measure assesses the program's ability to meet its published processing time goals by reporting on the volume of pending applications and petitions by Center or Field Office. The Cycle Time, reflected in months (e.g. 4.0 months), measures only the pending volume in Active Pending status, deducting from Gross Pending the total volume of cases subject to customer-induced delays and Department of State visa availability, categorized as Active Suspense.						
Fiscal Year:	**FY 2012**	**FY 2013**	**FY 2014**	**FY 2015**	**FY 2016**	**FY 2017**
Target:	<=4.0	<=4.0	<=4.0	<=4.0	<=4.0	<=4.0
Result:	5.1	4.7	6.0	6.4	N/A	N/A

Measure: Percent of applications for citizenship and immigration benefits not approved following a potential finding of fraud						
Description: This measure reflects the agency's capacity to prevent fraud, abuse, and exploitation of the immigration system, and address systemic vulnerabilities that threaten its integrity. By not approving (denial, abandonment, withdrawal, etc.) benefits to individuals potentially attempting to commit fraud and who were not eligible for a waiver or exemptions, USCIS is actively eliminating vulnerabilities, and identifying ways to continue to deter and prevent fraud in the future. As a result, those instances where benefits are approved should be very low.						
Fiscal Year:	**FY 2012**	**FY 2013**	**FY 2014**	**FY 2015**	**FY 2016**	**FY 2017**
Target:	N/A	N/A	N/A	N/A	90.0%	90.0%
Result:	N/A	N/A	N/A	N/A	N/A	N/A

Measure: Percent of customers satisfied with the citizenship and immigration-related support received from the National Customer Service Center						
Description: This measure gauges the overall customer rating of the support received from the National Customer Service Center. This measure is based on the results from the following areas: 1) Accuracy of information; 2) Responsiveness to customer inquiries; 3) Accessibility to information; and 4) Customer satisfaction.						
Fiscal Year:	**FY 2012**	**FY 2013**	**FY 2014**	**FY 2015**	**FY 2016**	**FY 2017**
Target:	80%	85%	85%	85%	85%	85%
Result:	93%	87%	86%	88%	N/A	N/A

Measure: Percent of students enrolled in classes under the Citizenship and Integration Grant Program that show educational gains						
Description: This measure reports on the success of grant recipients to increase knowledge of English necessary for students receiving services under the program to pass the naturalization test. Under the Citizenship and Integration Grant Program, grant recipients are required to use a nationally normed standardized test of English language proficiency for student placement and assessment of progress. This measure evaluates the percentage of students receiving these services who demonstrate an increase in score						
Fiscal Year:	**FY 2012**	**FY 2013**	**FY 2014**	**FY 2015**	**FY 2016**	**FY 2017**
Target:	N/A	N/A	N/A	80%	80%	80%
Result:	N/A	N/A	N/A	75%	N/A	N/A

Measure: Percent of workers determined to be "Employment Authorized" after an initial mismatch						
Description: This measure assesses the accuracy of the E-verify process by assessing the percent of employment verification requests that are not positively resolved at time of initial review.						
Fiscal Year:	**FY 2012**	**FY 2013**	**FY 2014**	**FY 2015**	**FY 2016**	**FY 2017**
Target:	<=1.00%	<=1.00%	<=1.00%	<=0.90%	<=0.80%	<=0.70%
Result:	0.24%	0.22%	0.19%	0.17%	N/A	N/A

Management Measures

Measure: Average online Citizenship Resource Center customer satisfaction rate						
Description: This measure tracks how well customers are satisfied with the Citizenship Resource Center (CRC). The CRC is a newly developed, free and easy-to-use website that helps immigrants better understand the citizenship process and gain the necessary skills required to be successful during the naturalization interview and test. It provides citizenship applicants, educators and immigrant-serving organizations with a one-stop portal for citizenship information and resources. It was established with appropriated FY2010 Immigrant Integration dollars and is the landing portal for our appropriations-funded Citizenship Public Education and Awareness Initiative.						
Fiscal Year:	**FY 2012**	**FY 2013**	**FY 2014**	**FY 2015**	**FY 2016**	**FY 2017**
Target:	80%	85%	85%	85%	85%	85%
Result:	88%	87%	84%	84%	N/A	N/A

Measure: Percent of approved applications for naturalization that were appropriately decided						
Description: A N-400, Application for Naturalization, is filed by an individual applying to become a United States citizen. The U.S. Citizenship and Immigration Services (USCIS) conducts quality reviews on a quarterly basis to determine the accuracy rate of final decisions on approved N-400 applications. Quality reviews are conducted using a team of experienced subject matter experts. This measure assesses the program's ability to process the N-400 to provide immigration benefit services in a fully supportable and accurate manner. Additionally, the results of this quality review process are used to improve the training of adjudicators and the processes used in conducting adjudications.						
Fiscal Year:	**FY 2012**	**FY 2013**	**FY 2014**	**FY 2015**	**FY 2016**	**FY 2017**
Target:	N/A	99%	99%	99%	99%	99%
Result:	N/A	99%	99%	99%	N/A	N/A

Measure: Percent of approved applications for permanent residence that were appropriately decided
Description: An I-485, Application to Register for Permanent Residence or to Adjust Status, is filed by an individual to apply for permanent residence in the United States or to adjust their current status. The U.S. Citizenship and Immigration Services (USCIS) conducts quality reviews on a quarterly basis to determine the accuracy rate of final approved decisions. Quality reviews are conducted using a team of experienced subject matter experts. This measure assesses the program's ability to process the I-485 to provide immigration benefit services in a fully supportable and accurate manner. Additionally, the results of this quality review process are used to improve the training of adjudicators and the processes used in conducting adjudications.

Fiscal Year:	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017
Target:	N/A	99%	99%	99%	99%	99%
Result:	N/A	99%	99%	99%	N/A	N/A

Mature and Strengthen Homeland Security

Resources Requested

United States Citizenship and Immigration Service resources supporting *Mature and Strengthen Homeland Security* are provided in the table below.

$ in thousands

Program	FY 2015		FY 2016		FY 2017	
	$	FTE*	$	FTE	$	FTE
Adjudication Services	450,014	445	438,783	445	448,012	414
Management and Administration	396,167	1,285	384,585	1,398	418,639	1,569
Total	846,181	1,730	823,368	1,843	866,651	1,983

*Reflects reprogrammings/transfers, as applicable, and actual FTE. This footnote applies to all FY 2015 Revised Enacted tables throughout the CJ submission.

Performance Measures

USCIS contributes to this mission, but does not have performance measures in this area.