



Office of Biometric Identity Management Obligation and Expenditure Report

Third Quarter, Fiscal Year 2015

September 1, 2015

Fiscal Year 2015 Report to Congress



Homeland
Security

National Protection and Programs Directorate

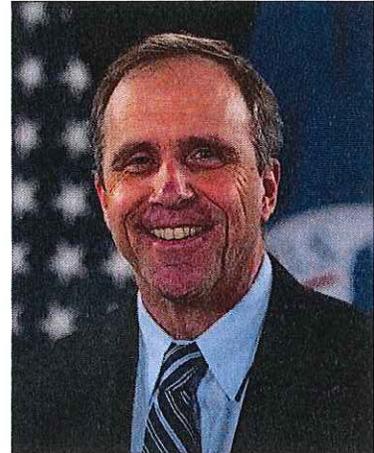
Message from the Office of the Chief Financial Officer

SEP — 1 2015

I am pleased to submit the following “Office of Biometric Identity Management Obligation and Expenditure Report” for the third quarter of Fiscal Year (FY) 2015, prepared by the National Protection and Programs Directorate.

This report was compiled pursuant to legislative language set forth in H.R. 4903 and House Report 113-481 accompanying the *FY 2015 Department of Homeland Security Appropriations Act* (P.L. 114-4).

Pursuant to congressional requirements, this report is being provided to the following Members of Congress:



The Honorable John R. Carter
Chairman, House Appropriations Subcommittee on Homeland Security

The Honorable Lucille Roybal-Allard
Ranking Member, House Appropriations Subcommittee on Homeland Security

The Honorable John Hoeven
Chairman, Senate Appropriations Subcommittee on Homeland Security

The Honorable Jeanne Shaheen
Ranking Member, Senate Appropriations Subcommittee on Homeland Security

Inquiries relating to this report may be directed to me at (202) 447-5751.

Sincerely,

A large, stylized handwritten signature in black ink, appearing to read 'Chip Fulghum'. The signature is written over a horizontal line.

Chip Fulghum
Deputy Under Secretary for Management and
Chief Financial Officer

Executive Summary

The Office of Biometric Identity Management (OBIM), a subcomponent of the National Protection and Programs Directorate (NPPD), is the lead entity within the Department of Homeland Security (DHS) responsible for biometric identity management services to ensure that the homeland is safe, secure, and resilient.

As an enterprise-level service provider, OBIM has crosscutting responsibility to serve DHS Components and mission partners. OBIM provides biometric identity services through the Automated Biometric Identification System (IDENT), which stores biometric identities and conducts recurrent matching against derogatory information, and OBIM analysts provide other biometric expertise and services. By matching, storing, sharing, and analyzing biometric data, OBIM provides decision makers on the front lines of homeland security with rapid, accurate, and secure identity services. OBIM's customer and stakeholder base includes not only agencies within DHS, but also the Departments of Justice, State, and Defense; state, local, and tribal law enforcement; the Intelligence Community; and international partners.

The *Fiscal Year (FY) 2015 DHS Appropriations Act* (P.L. 114-4) provides OBIM with \$252,056,000 in FY 2015 to continue as the lead entity in DHS responsible for biometric identity management services and as the steward of IDENT. This amount includes \$25,382,000 for IDENT system improvements and modernization efforts to ensure the continued provision of biometric identity services to OBIM customers and stakeholders. IDENT system improvements also represent the foundational attributes of a future biometric replacement system.

NPPD organized this expenditure plan by current services, including funds for Salaries and Expenses, Operations and Maintenance of IDENT and Corporate Systems, and Identity and Screening Services.



Office of Biometric Identity Management Obligation and Expenditure Report Third Quarter, Fiscal Year 2015

Table of Contents

| | |
|---|---|
| I. Legislative Language..... | 1 |
| II. Obligation and Expenditure Update | 2 |

I. Legislative Language

This document has been compiled in response to language in H.R. 4903 and House Report 113-481 accompanying *Fiscal Year (FY) 2015 Department of Homeland Security (DHS) Appropriations Act* (P.L. 114-4).

H.R. 4903 states, in relevant part:

SEC. 514. (c) The Chief Financial Officer of the Department of Homeland Security shall submit to the Committees on Appropriations of the House of Representatives and the Senate a quarterly report detailing obligations and expenditures against the obligation and expenditure plan and a justification for any changes from the initial plan for the following: ...

(3) National Protection and Programs Directorate, Infrastructure Protection and Information Security, Federal Network Security, Network Security Deployment, and Office of Biometric Identity Management ...

House Report 113-481 states, in relevant part:

Section 514. The Committee continues and modifies a provision requiring the Chief Financial Officer to submit monthly budget execution and staffing reports within 30 days after the close of each month. The Committee modifies the provision to include a requirement for source years for all unobligated balances in multi-year appropriations, with the exception of FEMA DRF. The Committee further modifies the provision to require a detailed obligation and expenditure plan for specified Departmental components, including quarterly updates for certain programs.

II. Obligation and Expenditure Update

Table 1 provides an updated breakout of OBIM’s FY 2015 appropriated and prior-year carryover funding to reflect actual obligations and expenditures through the third quarter of FY 2015 with projections for the fourth quarter of FY 2015.

Table 1. FY 2015 OBIM Funding Table

| Fiscal Year Funding Profile (dollars in thousands) | | FY 2015 Appropriated Funds | | | | |
|---|---|----------------------------|------------------|------------------|-------------------|-------------------|
| | | Q1 Actual | Q2 Actual | Q3 Actual | Q4 Planned | Total |
| Salaries and Expenses | Federal Salaries and Benefits | \$ 6,109 | \$ 5,918 | \$ 5,934 | \$ 12,227 | \$ 30,188 |
| | Working Capital Fund | \$ 1,681 | \$ 1,516 | \$ 6,414 | \$ - | \$ 9,611 |
| | Rent (non-GSA) | \$ 470 | \$ - | \$ - | \$ - | \$ 470 |
| | Hiring, Retention, & Development | \$ 91 | \$ 137 | \$ 471 | \$ 114 | \$ 813 |
| | Logistics Support | \$ 2,047 | \$ 297 | \$ 823 | \$ 1,205 | \$ 4,372 |
| | Office of General Counsel | \$ 23 | \$ 24 | \$ 119 | \$ - | \$ 166 |
| | Travel | \$ 32 | \$ 26 | \$ 53 | \$ 59 | \$ 170 |
| | S&E Subtotal | \$ 10,453 | \$ 7,918 | \$ 13,814 | \$ 13,605 | \$ 45,790 |
| Operations and Maintenance | Automated Biometric Identification System (IDENT) | \$ 27,595 | \$ 34,943 | \$ 51,838 | \$ 63,197 | \$ 177,573 |
| | Operations and Maintenance | \$ 27,595 | \$ 34,943 | \$ 51,838 | \$ 21,689 | \$ 136,065 |
| | IDENT System Improvements | \$ - | \$ - | \$ - | \$ 25,382 | \$ 25,382 |
| | Systems Engineering | \$ - | \$ - | \$ - | \$ 15,620 | \$ 15,620 |
| | Biometric Standards | \$ - | \$ - | \$ - | \$ 506 | \$ 506 |
| | Corporate Systems | \$ 14 | \$ 915 | \$ 1,887 | \$ 1,634 | \$ 4,450 |
| | | O&M Subtotal | \$ 27,609 | \$ 35,858 | \$ 53,725 | \$ 64,831 |
| Identity and Screening Services | Biometric Verification | \$ 2,459 | \$ 2,459 | \$ 3,733 | \$ 14,906 | \$ 23,557 |
| | Identity Analysis | \$ - | \$ - | \$ - | \$ 686 | \$ 686 |
| | | ISS Subtotal | \$ 2,459 | \$ 2,459 | \$ 3,733 | \$ 15,592 |
| <i>FY 2015 Appropriated Funds Total</i> | | <i>\$ 40,521</i> | <i>\$ 46,235</i> | <i>\$ 71,272</i> | <i>\$ 94,028</i> | <i>\$ 252,056</i> |
| Fiscal Year Funding Profile (dollars in thousands) | | Prior Year Carryover Funds | | | | |
| | | Q1 Actual | Q2 Actual | Q3 Actual | Q4 Planned | Total |
| Salaries and Expenses | Logistics Support | \$ - | \$ - | \$ 327 | \$ - | \$ 327 |
| | | | | | | \$ 327 |
| Operations and Maintenance | Automated Biometric Identification System (IDENT) | \$ 6,135 | \$ 90 | \$ 6,776 | \$ 11,959 | \$ 24,960 |
| | Operations and Maintenance | \$ 6,135 | \$ 90 | \$ 3,718 | \$ 11,959 | \$ 21,902 |
| | IDENT System Improvements | \$ - | \$ - | \$ 3,058 | \$ - | \$ 3,058 |
| | Systems Engineering | \$ - | \$ - | \$ - | \$ - | \$ - |
| | Biometric Standards | \$ - | \$ - | \$ - | \$ - | \$ - |
| | Corporate Systems | \$ - | \$ - | \$ - | \$ - | \$ - |
| | | O&M Subtotal | \$ 6,135 | \$ 90 | \$ 6,776 | \$ 11,959 |
| Identity and Screening Services | Biometric Verification | \$ 1,229 | \$ 1,229 | \$ - | \$ - | \$ 2,458 |
| | Identity Analysis | \$ - | \$ - | \$ - | \$ - | \$ - |
| | | ISS Subtotal | \$ 1,229 | \$ 1,229 | \$ - | \$ - |
| <i>Prior Year Carryover Funds Total</i> | | <i>\$ 7,364</i> | <i>\$ 1,319</i> | <i>\$ 7,103</i> | <i>\$ 11,959</i> | <i>\$ 27,745</i> |
| TOTAL | | \$ 47,885 | \$ 47,554 | \$ 78,375 | \$ 105,987 | \$ 279,801 |