



Office of Biometric Identity Management Obligation and Expenditure Report

Fourth Quarter, Fiscal Year 2015

November 30, 2015

Fiscal Year 2015 Report to Congress



Homeland
Security

National Protection and Programs Directorate

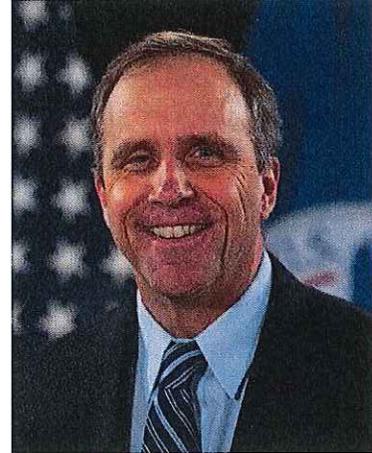
Message from the Office of the Chief Financial Officer

November 30, 2015

I am pleased to submit the following “Office of Biometric Identity Management Obligation and Expenditure Report” for the fourth quarter of Fiscal Year (FY) 2015, prepared by the National Protection and Programs Directorate.

This report was compiled pursuant to legislative language set forth in H.R. 4903 and House Report 113-481 accompanying the *FY 2015 Department of Homeland Security Appropriations Act* (P.L. 114-4).

Pursuant to congressional requirements, this report is being provided to the following Members of Congress:



The Honorable John R. Carter
Chairman, House Appropriations Subcommittee on Homeland Security

The Honorable Lucille Roybal-Allard
Ranking Member, House Appropriations Subcommittee on Homeland Security

The Honorable John Hoeven
Chairman, Senate Appropriations Subcommittee on Homeland Security

The Honorable Jeanne Shaheen
Ranking Member, Senate Appropriations Subcommittee on Homeland Security

Inquiries relating to this report may be directed to me at (202) 447-5751.

Sincerely,

A handwritten signature in black ink, appearing to read "Chip Fulghum". The signature is stylized and includes a long horizontal flourish extending to the right.

Chip Fulghum
Deputy Under Secretary for Management and
Chief Financial Officer

Executive Summary

The Office of Biometric Identity Management (OBIM), a subcomponent of the National Protection and Programs Directorate (NPPD), is the lead entity within the Department of Homeland Security (DHS) responsible for biometric identity management services to ensure that the homeland is safe, secure, and resilient.

As an enterprise-level service provider, OBIM has crosscutting responsibility to serve DHS Components and mission partners. OBIM provides biometric identity services through the Automated Biometric Identification System (IDENT), which stores biometric identities and conducts recurrent matching against derogatory information, and OBIM analysts provide other biometric expertise and services. By matching, storing, sharing, and analyzing biometric data, OBIM provides decision makers on the front lines of homeland security with rapid, accurate, and secure identity services. OBIM's customer and stakeholder base includes not only agencies within DHS, but also the Departments of Justice, State, and Defense; state, local, and tribal law enforcement; the Intelligence Community; and international partners.

The *Fiscal Year (FY) 2015 DHS Appropriations Act* (P.L. 114-4) provides OBIM with \$252,056,000 in FY 2015 to continue as the lead entity in DHS responsible for biometric identity management services and as the steward of IDENT. This amount includes \$25,382,000 for IDENT system improvements and modernization efforts to ensure the continued provision of biometric identity services to OBIM customers and stakeholders. IDENT system improvements also represent the foundational attributes of a future biometric replacement system.

NPPD organized this expenditure plan by current services, including funds for Salaries and Expenses, Operations and Maintenance of IDENT and Corporate Systems, and Identity and Screening Services.



Office of Biometric Identity Management Obligation and Expenditure Report Fourth Quarter, Fiscal Year 2015

Table of Contents

I. Legislative Language.....	1
II. Obligation and Expenditure Update	2

I. Legislative Language

This document has been compiled in response to language in H.R. 4903 and House Report 113-481 accompanying *Fiscal Year (FY) 2015 Department of Homeland Security (DHS) Appropriations Act* (P.L. 114-4).

H.R. 4903 states, in relevant part:

SEC. 514. (c) The Chief Financial Officer of the Department of Homeland Security shall submit to the Committees on Appropriations of the House of Representatives and the Senate a quarterly report detailing obligations and expenditures against the obligation and expenditure plan and a justification for any changes from the initial plan for the following: ...

(3) National Protection and Programs Directorate, Infrastructure Protection and Information Security, Federal Network Security, Network Security Deployment, and Office of Biometric Identity Management ...

House Report 113-481 states, in relevant part:

Section 514. The Committee continues and modifies a provision requiring the Chief Financial Officer to submit monthly budget execution and staffing reports within 30 days after the close of each month. The Committee modifies the provision to include a requirement for source years for all unobligated balances in multi-year appropriations, with the exception of FEMA DRF. The Committee further modifies the provision to require a detailed obligation and expenditure plan for specified Departmental components, including quarterly updates for certain programs.

II. Obligation and Expenditure Update

Table 1 provides an updated breakout of OBIM’s FY 2015 appropriated and prior-year carryover funding to reflect actual obligations and expenditures through the fourth quarter of FY 2015.

Table 1. FY 2015 OBIM Funding Table

Fiscal Year Funding Profile (dollars in thousands)		FY 2015 Appropriated Funds				
		Q1 Actual	Q2 Actual	Q3 Actual	Q4 Actual	Total
Salaries and Expenses	Federal Salaries and Benefits*	\$ 6,109	\$ 5,918	\$ 5,934	\$ 6,009	\$ 23,970
	Working Capital Fund	\$ 1,681	\$ 1,516	\$ 6,414	\$ -	\$ 9,611
	Rent (non-GSA)	\$ 470	\$ -	\$ -	\$ -	\$ 470
	Hiring, Retention, & Development	\$ 91	\$ 137	\$ 471	\$ 567	\$ 1,266
	Logistics Support	\$ 2,047	\$ 297	\$ 823	\$ 1,428	\$ 4,595
	Office of General Counsel	\$ 23	\$ 24	\$ 119	\$ 5	\$ 171
	Travel	\$ 32	\$ 26	\$ 53	\$ 89	\$ 200
	S&E Subtotal	\$ 10,453	\$ 7,918	\$ 13,814	\$ 8,098	\$ 40,283
Operations and Maintenance	Automated Biometric Identification System (IDENT)	\$ 27,595	\$ 34,943	\$ 51,838	\$ 38,673	\$ 153,048
	Operations and Maintenance	\$ 27,595	\$ 34,943	\$ 51,838	\$ 11,053	\$ 125,428
	IDENT System Improvements	\$ -	\$ -	\$ -	\$ 22,360	\$ 22,360
	Systems Engineering	\$ -	\$ -	\$ -	\$ 4,754	\$ 4,754
	Biometric Standards	\$ -	\$ -	\$ -	\$ 506	\$ 506
	Corporate Systems	\$ 14	\$ 915	\$ 1,887	\$ 2,252	\$ 5,068
	O&M Subtotal	\$ 27,609	\$ 35,858	\$ 53,725	\$ 40,925	\$ 158,116
Identity and Screening Services	Biometric Verification	\$ 2,459	\$ 2,459	\$ 3,733	\$ 2,964	\$ 11,615
	Identity Analysis	\$ -	\$ -	\$ -	\$ 686	\$ 686
	ISS Subtotal	\$ 2,459	\$ 2,459	\$ 3,733	\$ 3,650	\$ 12,301
FY 2015 Appropriated Funds Total		\$ 40,521	\$ 46,235	\$ 71,272	\$ 52,673	\$ 210,700
Fiscal Year Funding Profile (dollars in thousands)		Prior Year Carryover Funds				
		Q1 Actual	Q2 Actual	Q3 Actual	Q4 Actual	Total
Salaries and Expenses	Logistics Support	\$ -	\$ -	\$ 327	\$ 2,054	\$ 2,381
	Salaries and Expenses Subtotal	-	-	327	2,054	\$ 2,381
Operations and Maintenance	Automated Biometric Identification System (IDENT)	\$ 6,135	\$ 90	\$ 6,776	\$ 5,007	\$ 18,008
	Operations and Maintenance	\$ 6,135	\$ 90	\$ 3,718	\$ 5,007	\$ 14,950
	IDENT System Improvements	\$ -	\$ -	\$ 3,058	\$ -	\$ 3,058
	Systems Engineering	\$ -	\$ -	\$ -	\$ -	\$ -
	Biometric Standards	\$ -	\$ -	\$ -	\$ -	\$ -
	Corporate Systems	\$ -	\$ -	\$ -	\$ -	\$ -
	O&M Subtotal	\$ 6,135	\$ 90	\$ 6,776	\$ 5,007	\$ 18,008
Identity and Screening Services	Biometric Verification	\$ 1,229	\$ 1,229	\$ -	\$ 8,870	\$ 11,328
	Identity Analysis	\$ -	\$ -	\$ -	\$ -	\$ -
	ISS Subtotal	\$ 1,229	\$ 1,229	\$ -	\$ 8,870	\$ 11,328
Prior Year Carryover Funds Total		\$ 7,364	\$ 1,319	\$ 7,103	\$ 15,931	\$ 31,717
TOTAL		\$ 47,885	\$ 47,554	\$ 78,375	\$ 68,604	\$ 242,417
* In Q4, \$5M of salaries and benefits funding was reprogrammed to support NPPD's Continuous Diagnostics and Mitigation Phase 2 Acceleration effort.						