

Department of Homeland Security

United States Citizenship and Immigration Services

Budget Overview



Fiscal Year 2018

Congressional Justification

Table of Contents

<i>United States Citizenship and Immigration Services</i>	1
Appropriation Organization Structure	3
Strategic Context	4
Component Contributions to Achieving Departmental Missions	5
Mission 3: Enforce and Administer Our Immigration Laws	6
Mature and Strengthen Homeland Security	10
Budget Comparison and Adjustments	11
Personnel Compensation and Benefits	13
Non Pay Budget Exhibits	14
Supplemental Budget Justification Exhibits	15

United States Citizenship and Immigration Services

Appropriation Organization Structure

Organization Name	Level	Fund Type (* Includes Defense Funding)
United States Citizenship and Immigration Services	Component	
Operations and Support	Appropriation	
Employment Status Verification	PPA	Discretionary - Appropriation
Procurement, Construction, and Improvements	Appropriation	Discretionary - Appropriation
Federal Assistance	Appropriation	
Citizenship and Integration Grant Program	PPA	
Immigration Examinations Fee Account	Appropriation	
District Operations	PPA	Mandatory - Fee
Service Center Operations	PPA	Mandatory - Fee
Asylum, Refugee and International Operations	PPA	Mandatory - Fee
Records Operations	PPA	Mandatory - Fee
Premium Processing (Including Transformation)	PPA	Mandatory - Fee
Information and Customer Services	PPA	Mandatory - Fee
Administration	PPA	Mandatory - Fee
Systematic Alien Verification for Entitlements (SAVE)	PPA	Mandatory - Fee
H-1B Nonimmigrant Petitioner Account	Appropriation	
Service Center Operations	PPA	Mandatory - Fee
Fraud Prevention and Detection Account	Appropriation	
District Operations	PPA	Mandatory - Fee
Service Center Operations	PPA	Mandatory - Fee
Asylum and Refugee Operating Expenses	PPA	Mandatory - Fee

United States Citizenship and Immigration Services Strategic Context

Component Overview

The U.S. Citizenship and Immigration Services (USCIS) is comprised of the following mission-oriented programs that support achievement of the DHS strategic missions, goals, and objectives.

Employment Status Verification: The Employment Status Verification (E-verify) program enables authorized employers to quickly and easily verify the work authorization of their newly hired employees. E-Verify is an Internet-based system that compares information from an employee's Form I-9, Employment Eligibility Verification, to data from U.S. Department of Homeland Security and Social Security Administration records to confirm employment eligibility within seconds.

Immigration Examinations Fee Account: The Immigration Examinations Fee Account (IEFA) is the primary funding source for USCIS. Fees collected from immigration benefit applications and petitions are deposited into IEFA and are used to fund the cost of processing immigration benefit applications and associated support benefits, as well as to cover the cost of processing similar benefit requests for applicants without charge, such as refugee and asylum applicants.

H-1B Nonimmigrant Petitioner Account: The H-1B Nonimmigrant Petitioner Account supports activities related to the adjudication of employment-based petitions for nonimmigrant workers seeking an H-1B visa. This program allows U.S. employers to temporarily employ foreign workers in specialty occupations.

Fraud Prevention and Detection Account: The Fraud Prevention and Detection Account supports activities related to preventing and detecting fraud in the delivery of all immigration benefit types. The program leads efforts to identify threats to national security and public safety, detect and combat immigration benefit fraud, and remove systematic and other vulnerabilities.

Component Contributions to Achieving Departmental Missions

The table below shows the alignment of the USCIS programs to the DHS Missions and Mature and Strengthen Homeland Security.

Programs	DHS Missions					*Mature and Strengthen Homeland Security
	*Prevent Terrorism and Enhance Security	*Secure and Manage Our Borders	*Enforce and Administer Our Immigration Laws	*Safeguard and Secure Cyberspace	*Strengthen National Preparedness and Resilience	
Employment Status Verification			100%			
Immigration Examinations Fee Account			88%			12%
H-1B Nonimmigrant Petitioner Account			100%			
Fraud Prevention and Detection Account			100%			

**Totals account for rounding*

Mission 3: Enforce and Administer Our Immigration Laws

Resources Requested

USCIS resources supporting *Enforce and Administer Our Immigration Laws* are provided in the table below.

\$ in thousands

Program Name	FY 2016 Revised Enacted		FY 2017 Annualized CR		FY 2018 President's Budget	
	\$	FTE	\$	FTE	\$	FTE
Employment Status Verification	119,671	398	116,363	398	131,513	398
Immigration Examinations Fee Account	3,170,050	13,365	3,045,961	13,110	3,706,329	15,150
H-1B Nonimmigrant Petitioner Account	15,000	-	15,000	-	15,000	-
Fraud Prevention and Detection Account	48,301	185	45,000	185	67,187	185
Total	3,353,022	13,948	3,222,324	13,693	3,920,029	15,733

Performance Measures

For *Enforce and Administer Our Immigration Laws*, two types of performance measures are presented. Strategic Measures represent USCIS measures that gauge achievement for this mission area, and are considered to be our Government Performance and Results Act Modernization Act (GPRAMA) performance measures. Additional Management Measures are displayed, as appropriate, to provide a more thorough context of expected performance results.

Strategic Measures

Measure: Average of processing cycle time (in months) for adjustment of status to permanent resident applications (I-485)						
Description: An I-485, Application to Register for Permanent Residence or Adjust Status, is filed by an individual to apply for permanent residence in the United States or to adjust their current status. This measure assesses the program's ability to meet its published processing time goals by reporting on the volume of pending applications and petitions by Center or Field Office. The Cycle Time, reflected in months (e.g. 4.0 months), measures only the pending volume in Active Pending status, deducting from Gross Pending the total volume of cases subject to customer-induced delays and Department of State visa availability, categorized as Active Suspense.						
Fiscal Year:	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018
Target:	<=4.0	<=4.0	<=4.0	<=4.0	<=4.0	<=4.0
Result:	4.7	6.0	6.4	6.9	N/A	N/A

Measure: Average of processing cycle time (in months) for naturalization applications (N-400)						
Description: An N-400, Application for Naturalization, is filed by an individual applying to become a United States citizen. This measure assesses the program's ability to meet its published processing time goals by reporting on the volume of pending applications by Center or Field Office. The Cycle Time, reflected in months (e.g. 5.0 months), measures only the pending volume in Active Pending status, deducting from Gross Pending the total volume of cases subject to customer-induced delays, categorized as Active Suspense.						
Fiscal Year:	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018
Target:	<=5.0	<=5.0	<=5.0	<=5.0	<=5.0	<=5.0
Result:	4.7	5.5	5.0	5.8	N/A	N/A

Measure: Percent of applications for citizenship and immigration benefits not approved following a potential finding of fraud						
Description: This measure reflects the agency's capacity to prevent fraud, abuse, and exploitation of the immigration system, and address systemic vulnerabilities that threaten its integrity. By not approving (denial, abandonment, withdrawal, etc.) benefits to individuals potentially attempting to commit fraud and who were not eligible for a waiver or exemptions, USCIS is actively eliminating vulnerabilities, and identifying ways to continue to deter and prevent fraud in the future. As a result, those instances where benefits are approved should be very low.						
Fiscal Year:	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018
Target:	N/A	N/A	N/A	90.0%	90.0%	90.0%
Result:	N/A	N/A	N/A	91.3%	N/A	N/A

Measure: Percent of customers satisfied with the citizenship and immigration-related support received from the National Customer Service Center						
Description: This measure gauges the overall customer rating of the support received from the National Customer Service Center. This measure is based on the results from the following areas: 1) Accuracy of information; 2) Responsiveness to customer inquiries; 3) Accessibility to information; and 4) Customer satisfaction.						
Fiscal Year:	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018
Target:	85%	85%	85%	85%	85%	85%
Result:	87%	86%	88%	85%	N/A	N/A

Measure: Percent of students enrolled in classes under the Citizenship and Integration Grant Program that show educational gains						
Description: This measure reports on the success of grant recipients to increase knowledge of English necessary for students receiving services under the program to pass the naturalization test. Under the Citizenship and Integration Grant Program, grant recipients are required to use a nationally normed standardized test of English language proficiency for student placement and assessment of progress. This measure evaluates the percentage of students receiving these services who demonstrate an increase in score						
Fiscal Year:	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018
Target:	N/A	N/A	80%	80%	80%	80%
Result:	N/A	N/A	75%	75%	N/A	N/A

Measure: Percent of workers determined to be "Employment Authorized" after an initial mismatch						
Description: This measure assesses the accuracy of the E-verify process by assessing the percent of employment verification requests that are not positively resolved at time of initial review.						
Fiscal Year:	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018
Target:	<=1.00%	<=1.00%	<=0.90%	<=0.80%	<=0.70%	<=0.60%
Result:	0.22%	0.19%	0.17%	0.16%	N/A	N/A

Management Measures

Measure: Accuracy rate of USCIS's processing of manual verifications for Systematic Alien Verification for Entitlements (SAVE) referrals						
Description: The measure tracks the accuracy of SAVE manual verifications using a quality review which is a monthly review of verification work performed by Status Verifiers to determine whether SAVE referrals are resolved correctly. Specifically, they determine whether the response provided to by USCIS reflects the immigration status on record for persons seeking benefits from other governmental agencies using the SAVE program.						
Fiscal Year:	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018
Target:	98.0%	98.2%	98.4%	98.6%	98.8%	99.0%
Result:	99.3%	99.2%	99.1%	99.3%	N/A	N/A

Measure: Average online Citizenship Resource Center customer satisfaction rate						
Description: This measure tracks how well customers are satisfied with the Citizenship Resource Center (CRC). The CRC is a newly developed, free and easy-to-use website that helps immigrants better understand the citizenship process and gain the necessary skills required to be successful during the naturalization interview and test. It provides citizenship applicants, educators and immigrant-serving organizations with a one-stop portal for citizenship information and resources. It was established with appropriated FY2010 Immigrant Integration dollars and is the landing portal for our appropriations-funded Citizenship Public Education and Awareness Initiative.						
Fiscal Year:	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018
Target:	85%	85%	85%	85%	85%	85%
Result:	87%	84%	84%	85%	N/A	N/A

Measure: Percent of approved applications for naturalization that were appropriately decided						
Description: A N-400, Application for Naturalization, is filed by an individual applying to become a United States citizen. The USCIS conducts quality reviews on a quarterly basis to determine the accuracy rate of final decisions on approved N-400 applications. Quality reviews are conducted using a team of experienced subject matter experts. This measure assesses the program's ability to process the N-400 to provide immigration benefit services in a fully supportable and accurate manner. Additionally, the results of this quality review process are used to improve the training of adjudicators and the processes used in conducting adjudications.						
Fiscal Year:	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018
Target:	99%	99%	99%	99%	99%	99%
Result:	99%	99%	99%	99%	N/A	N/A

Measure: Percent of approved applications for permanent residence that were appropriately decided						
Description: An I-485, Application to Register for Permanent Residence or to Adjust Status, is filed by an individual to apply for permanent residence in the United States or to adjust their current status. The USCIS conducts quality reviews on a quarterly basis to determine the accuracy rate of final approved decisions. Quality reviews are conducted using a team of experienced subject matter experts. This measure assesses the program's ability to process the I-485 to provide immigration benefit services in a fully supportable and accurate manner. Additionally, the results of this quality review process are used to improve the training of adjudicators and the processes used in conducting adjudications.						
Fiscal Year:	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018
Target:	99%	99%	99%	99%	99%	99%
Result:	99%	99%	99%	99%	N/A	N/A

Mature and Strengthen Homeland Security

Resources Requested

USCIS resources supporting *Mature and Strengthen Homeland Security* are provided in the table below.

\$ in thousands

Program Name	FY 2016 Revised Enacted		FY 2017 Annualized CR		FY 2018 President's Budget	
	\$	FTE	\$	FTE	\$	FTE
Immigration Examinations Fee Account	434,316	1,423	384,585	1,398	522,010	1,563
Total	434,316	1,423	384,585	1,398	522,010	1,563

Performance Measures

USCIS contributes to this mission, but does not have performance measures in this area.

United States Citizenship and Immigration Services Budget Comparison and Adjustments

Comparison of Budget Authority and Request

Dollars in Thousands

Organization	FY 2016 Revised Enacted			FY 2017 Annualized CR			FY 2018 President's Budget			FY 2017 to FY 2018 Total Changes		
	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount
Operations and Support	419	398	\$104,560	419	398	\$101,281	419	398	\$108,856	-	-	\$7,575
Procurement, Construction, and Improvements	-	-	\$15,111	-	-	\$15,082	-	-	\$22,657	-	-	\$7,575
Immigration Examinations Fee Account	15,828	14,788	\$3,604,366	15,271	14,508	\$3,430,546	17,592	16,713	\$4,228,339	2,321	2,205	\$797,793
H-1B Nonimmigrant Petitioner Account	-	-	\$15,000	-	-	\$15,000	-	-	\$15,000	-	-	-
Fraud Prevention and Detection Account	185	185	\$48,301	185	185	\$45,000	185	185	\$67,187	-	-	\$22,187
Total	16,432	15,371	\$3,787,338	15,875	15,091	\$3,606,909	18,196	17,296	\$4,442,039	2,321	2,205	\$835,130
Subtotal Discretionary - Appropriation	419	398	\$119,671	419	398	\$116,363	419	398	\$131,513	-	-	\$15,150
Subtotal Mandatory - Fee	16,013	14,973	\$3,667,667	15,456	14,693	\$3,490,546	17,777	16,898	\$4,310,526	2,321	2,205	\$819,980

*Per P.L. 114-113 rescission prior to year balances (3,086) not included in FY2016 discretionary appropriation amounts.

United States Citizenship and Immigration Services Comparison of Obligations

Dollars in Thousands

Budget Authority	FY 2016	FY 2017	FY 2018
Enacted	\$3,610,217		
Transfers & Reprogrammings	\$177,121		
Delta in Enacted Fee Estimate to Fee Actuals	-		
Enacted Rescissions to Prior Year	-		
Revised Enacted/Request	\$3,787,338	\$3,606,909	\$4,442,039
Carryover and/or Recoveries (Actual/Estimates/Projections)	\$932,664	\$980,161	-
Rescissions to Current Year/Budget Year	-	-	-
Net Sequestered Resources	-	-	-
Supplementals	-	-	-
Total Budget Authority	\$4,720,002	\$4,587,070	\$4,442,039
Collections – Reimbursable Resources	\$41,058	\$41,058	\$41,058
Total Budget Resources	\$4,761,060	\$4,628,128	\$4,483,097
Obligations (Actual/Projections/Estimates)	\$3,669,156	\$3,647,967	-
Personnel: Positions and FTE			
Enacted/Request Positions	16,432	15,875	18,196
Enacted/Request FTE	15,371	15,091	17,296
Onboard and Actual FTE; Includes Collections - Reimbursable Resources			
Onboard (Actual/Estimates/Projections)	15,473	15,875	18,196
FTE (Actual/Estimates/Projections)	14,547	15,091	17,296

*Per P.L. 114-113 rescission prior to year balances (3,086) not included in FY2016 discretionary appropriation amounts.

United States Citizenship and Immigration Services Personnel Compensation and Benefits

Pay Summary

Dollars in Thousands

Organization	FY 2016 Revised Enacted				FY 2017 Annualized CR				FY 2018 President's Budget				FY 2017 to FY 2018 Total Changes			
	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate
Operations and Support	419	398	\$46,519	\$116.88	419	398	\$46,519	\$116.88	419	398	\$47,442	\$119.2	-	-	\$923	\$2.32
Immigration Examinations Fee Account	15,828	14,788	\$1,781,614	\$120.42	15,271	14,508	\$1,731,892	\$119.32	17,592	16,713	\$1,988,132	\$118.9	2,321	2,205	\$256,240	(\$0.42)
Fraud Prevention and Detection Account	185	185	\$22,917	\$123.88	185	185	\$22,917	\$123.88	185	185	\$24,027	\$129.88	-	-	\$1,110	\$6
Total	16,432	15,371	\$1,851,050	\$120.37	15,875	15,091	\$1,801,328	\$119.31	18,196	17,296	\$2,059,601	\$119.03	2,321	2,205	\$258,273	(\$0.28)
Discretionary - Appropriation	419	398	\$46,519	\$116.88	419	398	\$46,519	\$116.88	419	398	\$47,442	\$119.2	-	-	\$923	\$2.32
Mandatory - Fee	16,013	14,973	\$1,804,531	\$120.46	15,456	14,693	\$1,754,809	\$119.38	17,777	16,898	\$2,012,159	\$119.02	2,321	2,205	\$257,350	(\$0.36)

* The FTE Rate calculation does not include Object Class 11.8-Special Personal Services Payments or 13.0-Benefits for Former Personnel.

Pay by Object Class

Dollars in Thousands

Pay Object Classes	FY 2016 Revised Enacted	FY 2017 Annualized CR	FY 2018 President's Budget	FY 2017 to FY 2018 Change
11.1 Full-time Permanent	\$1,308,680	\$1,274,433	\$1,456,729	\$182,296
11.3 Other than Full-Time Permanent	\$12,085	\$11,317	\$13,125	\$1,808
11.5 Other Personnel Compensation	\$74,519	\$71,950	\$83,267	\$11,317
12.1 Civilian Personnel Benefits	\$454,902	\$442,829	\$505,537	\$62,708
13.0 Benefits for Former Personnel	\$864	\$799	\$943	\$144
Total - Personnel Compensation and Benefits	\$1,851,050	\$1,801,328	\$2,059,601	\$258,273
Positions and FTE				
Positions - Civilian	16,432	15,875	18,196	2,321
FTE - Civilian	15,371	15,091	17,296	2,205

United States Citizenship and Immigration Services Non Pay Budget Exhibits

Non Pay Summary

Dollars in Thousands

Organization	FY 2016 Revised Enacted	FY 2017 Annualized CR	FY 2018 President's Budget	FY 2017 to FY 2018 Total Changes
Operations and Support	\$58,041	\$54,762	\$61,414	\$6,652
Procurement, Construction, and Improvements	\$15,111	\$15,082	\$22,657	\$7,575
Immigration Examinations Fee Account	\$1,822,752	\$1,698,654	\$2,240,207	\$541,553
H-1B Nonimmigrant Petitioner Account	\$15,000	\$15,000	\$15,000	-
Fraud Prevention and Detection Account	\$25,384	\$22,083	\$43,160	\$21,077
Total	\$1,936,288	\$1,805,581	\$2,382,438	\$576,857
Discretionary - Appropriation	\$73,152	\$69,844	\$84,071	\$14,227
Mandatory - Fee	\$1,863,136	\$1,735,737	\$2,298,367	\$562,630

Non Pay by Object Class

Dollars in Thousands

Non-Pay Object Classes	FY 2016 Revised Enacted	FY 2017 Annualized CR	FY 2018 President's Budget	FY 2017 to FY 2018 Change
21.0 Travel and Transportation of Persons	\$38,966	\$29,912	\$58,767	\$28,855
22.0 Transportation of Things	\$12,384	\$11,163	\$16,768	\$5,605
23.1 Rental Payments to GSA	\$231,932	\$242,596	\$248,394	\$5,798
23.2 Rental Payments to Others	\$3,020	\$3,257	\$7,480	\$4,223
23.3 Communications, Utilities, and Misc. Charges	\$82,262	\$84,465	\$111,633	\$27,168
24.0 Printing and Reproduction	\$9,077	\$9,798	\$10,863	\$1,065
25.1 Advisory and Assistance Services	\$791,550	\$718,791	\$964,396	\$245,605
25.2 Other Services from Non-Federal Sources	\$188,464	\$189,498	\$256,960	\$67,462
25.3 Other Goods and Services from Federal Sources	\$261,152	\$249,248	\$320,992	\$71,744
25.4 Operation and Maintenance of Facilities	\$692	\$664	\$777	\$113
25.7 Operation and Maintenance of Equipment	\$122,647	\$103,045	\$148,446	\$45,401
26.0 Supplies and Materials	\$26,138	\$29,762	\$37,779	\$8,017
31.0 Equipment	\$109,926	\$89,834	\$134,163	\$44,329
32.0 Land and Structures	\$28,069	\$21,104	\$34,657	\$13,553
41.0 Grants, Subsidies, and Contributions	\$28,137	\$20,805	\$28,150	\$7,345
42.0 Insurance Claims and Indemnities	\$1,872	\$1,639	\$2,213	\$574
Total - Non Pay Object Classes	\$1,936,288	\$1,805,581	\$2,382,438	\$576,857

United States Citizenship and Immigration Services Supplemental Budget Justification Exhibits

Working Capital Fund

Dollars in Thousands

Appropriation and PPA	FY 2016 Revised Enacted	FY 2017 Annualized CR	FY 2018 President's Budget
Operations and Support	\$2,453	\$618	\$4,475
Employment Status Verification	\$2,453	\$618	\$4,475
Immigration Examinations Fee Account	\$41,660	\$21,268	\$24,381
District Operations	\$7,621	\$3,890	\$4,460
Service Center Operations	\$11,355	\$5,797	\$6,646
Asylum, Refugee and International Operations	\$11,118	\$5,676	\$6,506
Information and Customer Services	\$5,044	\$2,575	\$2,952
Administration	\$5,862	\$2,993	\$3,430
Systematic Alien Verification for Entitlements (SAVE)	\$660	\$337	\$387
Total Working Capital Fund	\$44,113	\$21,886	\$28,856

**United States Citizenship and Immigration Services
Status of Congressionally Requested Studies, Reports and Evaluations**

Fiscal Year	Due Date	Reference/Citation	Requirement	Status
2016	30-Jun-16	S. Rpt. 114-68	Quinquennial Report on Asylum Decision Trends and Factors - The Committee also directs USCIS and the Executive Office for Immigration Review (EOIR) to analyze and report every five years on trends and factors associated with asylum decisions made by asylum offices and officers, and immigration courts and judges, respectively. These analyses should utilize consistent methodologies over time and include statistical analysis that examines trends and associated factors in asylum outcomes, including the extent and nature of outcome variability across asylum offices and officers, and immigration courts and judges.	In Clearance

United States Citizenship and Immigration Services Authorized/Unauthorized Appropriations

Dollars in Thousands

Budget Activity	Last year of Authorization	Authorized Level	Appropriation in Last Year of Authorization	FY 2018 President's Budget
	Fiscal Year	Amount	Amount	Amount
Operations and Support		\$631,745	\$707,392	\$108,856
Employment Status Verification	2002	\$631,745	\$707,392	\$108,856
Procurement, Construction, and Improvements		\$631,745	\$707,392	\$22,657
Employment Status Verification – Verification Modernization Investment	2002	\$631,745	\$707,392	\$22,657
Total Direct Authorization/Appropriation		\$631,745	\$707,392	\$131,513
Immigration Examinations Fee	1988	N/A	N/A	N/A
H1-B Nonimmigrant Petitioner	1998	N/A	N/A	N/A
Fraud Prevention and Detection	2004	N/A	N/A	N/A

Note: The Immigration Examinations Fee Account was established by the 1989 DOJ Appropriations Act, Pub. L. No. 100-459 (1988); the H-1B Non-Immigrant Petitioner Fee Account was established by section 414 of the Omnibus Consolidated and Emergency Supplemental Appropriations Act, Pub. L. No. 105-277 (1998); and the Fraud Prevention and Detection Fee Account was authorized by Pub. L. No. 108-447, div. J, title IV § 426 (2004).

Discretionary Appropriation: Prior to FY 2017, USCIS's discretionary appropriation was in a single Treasury account. With the Department's Common Appropriation Structure effective FY 2017 President's Budget submission, Employment Status Verification was split by O&S and PC&I.

United States Citizenship and Immigration Services Proposed Legislative Language

For necessary expenses for United States Citizenship and Immigration Services for operations and support of , E-Verify Program, as described in section 403(a) of the Illegal Immigration Reform and Immigrant Responsibility Act of 1996 (8 U.S.C. 1324a note), \$108,856,000. For necessary expenses for the E-Verify Program for procurement, construction, of and improvements to physical and technological infrastructure to assist United States employers with maintaining a legal workforce, \$22,657,000.

Language Provision	Explanation
<i>For necessary expenses for United States Citizenship and Immigration Services for operations and support of , E-Verify Program, as described in section 403(a) of the Illegal Immigration Reform and Immigrant Responsibility Act of 1996 (8 U.S.C. 1324a note), \$108,856,000.</i>	Dollar change only. No substantial change proposed.
<i>For necessary expenses of United States Citizenship and Immigration Services for the E-Verify Program for procurement, construction, of and improvements to physical and technological infrastructure to assist United States employers with maintaining a legal workforce, \$22,657,000.</i>	Dollar change only. No substantial change proposed.

United States Citizenship and Immigration Services Reimbursable Resources

Dollars in Thousands

Collections		FY 2016 Revised Enacted			FY 2017 Annualized CR			FY 2018 President's Budget			FY 2017 to FY 2018 Change		
		Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount
Department of Defense - Department of Defense	Source	-	-	\$7,500	-	-	\$7,500	-	-	\$7,500	-	-	-
Immigration Examinations Fee Account	Location	-	-	\$7,500	-	-	\$7,500	-	-	\$7,500	-	-	-
District Operations	Location	-	-	\$7,500	-	-	\$7,500	-	-	\$7,500	-	-	-
Department of Homeland Security - US Immigration and Customs Enforcement	Source	-	-	\$12,046	-	-	\$12,046	-	-	\$12,046	-	-	-
Immigration Examinations Fee Account	Location	-	-	\$12,046	-	-	\$12,046	-	-	\$12,046	-	-	-
District Operations	Location	-	-	\$9,637	-	-	\$9,637	-	-	\$9,637	-	-	-
Records Operations	Location	-	-	\$2,409	-	-	\$2,409	-	-	\$2,409	-	-	-
Independent Agency - Social Security Administration	Source	-	-	\$7	-	-	\$7	-	-	\$7	-	-	-
Immigration Examinations Fee Account	Location	-	-	\$7	-	-	\$7	-	-	\$7	-	-	-
Records Operations	Location	-	-	\$7	-	-	\$7	-	-	\$7	-	-	-
Department of Homeland Security - US Customs and Border Protection	Source	-	-	\$5,914	-	-	\$5,914	-	-	\$5,914	-	-	-
Immigration Examinations Fee Account	Location	-	-	\$5,914	-	-	\$5,914	-	-	\$5,914	-	-	-
District Operations	Location	-	-	\$4,731	-	-	\$4,731	-	-	\$4,731	-	-	-
Records Operations	Location	-	-	\$1,183	-	-	\$1,183	-	-	\$1,183	-	-	-
Department of Justice - Department of Justice	Source	-	-	\$250	-	-	\$250	-	-	\$250	-	-	-
Immigration Examinations Fee Account	Location	-	-	\$250	-	-	\$250	-	-	\$250	-	-	-
District Operations	Location	-	-	\$250	-	-	\$250	-	-	\$250	-	-	-
SAVE Collections	Source	-	-	\$7,000	-	-	\$7,000	-	-	\$7,000	-	-	-
Immigration Examinations Fee Account	Location	-	-	\$7,000	-	-	\$7,000	-	-	\$7,000	-	-	-
Systematic Alien Verification for Entitlements (SAVE)	Location	-	-	\$7,000	-	-	\$7,000	-	-	\$7,000	-	-	-
Canada/UK Visa	Source	-	-	\$8,341	-	-	\$8,341	-	-	\$8,341	-	-	-
Immigration Examinations Fee Account	Location	-	-	\$8,341	-	-	\$8,341	-	-	\$8,341	-	-	-
District Operations	Location	-	-	\$8,341	-	-	\$8,341	-	-	\$8,341	-	-	-
Total Collections		-	-	\$41,058	-	-	\$41,058	-	-	\$41,058	-	-	-

Department of Homeland Security
United States Citizenship and Immigration Services
Operations and Support



Fiscal Year 2018
Congressional Justification

Table of Contents

<i>Operations and Support</i>	1
Budget Comparison and Adjustments.....	3
Personnel Compensation and Benefits.....	9
Non Pay Budget Exhibits.....	11
<i>Employment Status Verification – PPA</i>	12
Budget Comparison and Adjustments	12
Personnel Compensation and Benefits.....	18
Non Pay Budget Exhibits.....	20

Operations and Support

Budget Comparison and Adjustments

Comparison of Budget Authority and Request

Dollars in Thousands

Organization	FY 2016 Revised Enacted			FY 2017 Annualized CR			FY 2018 President's Budget			FY 2017 to FY 2018 Total Changes		
	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount
Employment Status Verification	419	398	\$104,560	419	398	\$101,281	419	398	\$108,856	-	-	\$7,575
Total	419	398	\$104,560	419	398	\$101,281	419	398	\$108,856	-	-	\$7,575
Subtotal Discretionary - Appropriation	419	398	\$104,560	419	398	\$101,281	419	398	\$108,856	-	-	\$7,575

*Per P.L. 114-113 rescission prior to year balances (3,086) not included in FY2016 discretionary appropriation amounts.

Overview

The U.S. Citizenship and Immigration Services (USCIS) Operations and Support (O&S) appropriation encompasses the funding for ongoing mission operations, mission support, and associated management and administration (M&A) costs of the E-Verify program. O&S includes one PPA, Employment Status Verification (ESV).

ESV is one part of USCIS's identity verification program. The other is the Systematic Alien Verification for Entitlements (SAVE) program. The SAVE program is funded from mandatory fee funding within the Immigration Examinations Fee Account. The requirements for both programs are reflected in the Verification Modernization (VER MOD) initiative. The VER MOD requirements generate benefits to both programs because of the programs' similarities and sharing of the Verification Information System (VIS). As a result, the projected investment and operations and maintenance costs are distributed between the two programs.

Operations and Support
Budget Authority and Obligations
Dollars in Thousands

Budget Authority	FY 2016	FY 2017	FY 2018
Enacted	\$104,560		
Transfers & Reprogrammings	-		
Delta in Enacted Fee Estimate to Fee Actuals	-		
Enacted Rescissions to Prior Year	-		
Revised Enacted/Request	\$104,560	\$101,281	\$108,856
Carryover and/or Recoveries (Actual/Estimates/Projections)	-	-	-
Rescissions to Current Year/Budget Year	-	-	-
Net Sequestered Resources	-	-	-
Supplementals	-	-	-
Total Budget Authority	\$104,560	\$101,281	\$108,856
Collections – Reimbursable Resources	-	-	-
Total Budget Resources	\$104,560	\$101,281	\$108,856
Obligations (Actual/Projections/Estimates)	\$103,346	\$101,281	-
Personnel: Positions and FTE			
Enacted/Request Positions	419	419	419
Enacted/Request FTE	398	398	398
Onboard and Actual FTE; Includes Collections - Reimbursable Resources			
Onboard (Actual/Estimates/Projections)	365	419	419
FTE (Actual/Estimates/Projections)	359	398	398

*Per P.L. 114-113 rescission prior to year balances (3,086) not included in FY2016 discretionary appropriation amounts.

Operations and Support Summary of Budget Changes

Dollars in Thousands

Budget Formulation Activity	Positions	FTE	Amount
FY 2016 Enacted	419	398	\$104,560
FY 2016 Revised Enacted	419	398	\$104,560
FY 2017 Annualized CR	419	398	\$101,281
FY 2018 Base Budget	419	398	\$101,281
2018 Pay Raise	-	-	\$667
Annualization of 2017 Pay Raise	-	-	\$256
Total, Pricing Increases	-	-	\$923
Offset to Non-Pay	-	-	(\$923)
Total, Pricing Decreases	-	-	(\$923)
FY 2018 Current Services	419	398	\$101,281
Mandatory E-Verify	-	-	\$7,575
Total, Program Increases	-	-	\$7,575
FY 2018 Request	419	398	\$108,856
FY 2017 TO FY 2018 Change	-	-	\$7,575

NARRATIVE EXPLANATION OF CHANGES

- **2018 Pay raise:** Increase of \$671,000 for 1.9 percent pay raise.
- **Annualization of 2017 Pay Raise:** Increase of \$256,000 to annualize the 2017 pay raise.
- **Offset to Non-Pay:** Decrease of \$927,000 for changes in operating requirements.
- **Mandatory E-Verify:** Per the President's FY 2018 Budget Blueprint to Congress, a program enhancement of \$7.575 million to begin implementation of mandatory nationwide use of the E-Verify Program.

Operations and Support
Justification of Pricing Changes
Dollars in Thousands

Pricing Changes	FY 2018 President's Budget		
	Positions	FTE	Amount
Pricing Change 1 - 2018 Pay Raise	-	-	\$667
Employment Status Verification	-	-	\$667
Pricing Change 2 - Annualization of 2017 Pay Raise	-	-	\$256
Employment Status Verification	-	-	\$256
Pricing Change 3 - Offset to Non-Pay	-	-	(\$923)
Employment Status Verification	-	-	(\$923)
Total Pricing Changes	-	-	-

SUMMARY OF PRICING CHANGES

- **2018 Pay raise:** Increase of \$667,000 for 1.9 percent pay raise.
- **Annualization of 2017 Pay Raise:** Increase of \$256,000 to annualize the 2017 pay raise.
- **Offset to Non-Pay:** Decrease of \$923,000 for changes in operating requirements.

Operations and Support Justification of Program Changes

Dollars in Thousands

Program Changes	FY 2018 President's Budget		
	Positions	FTE	Amount
Program Change 1 - Mandatory E-Verify	-	-	\$7,575
Employment Status Verification	-	-	\$7,575
Total Program Changes	-	-	\$7,575

Program Change

Implementation of mandatory nationwide use of the E-Verify Program

Description

On March 16, 2017, the President submitted the 2018 Budget Blueprint to Congress proposing a \$15.150 million increase in the base budget to begin implementation of mandatory nationwide use of the E-Verify Program. The additional \$7.575 million program increase in O&S will support outreach and education activities to ensure employers are aware of the E-Verify mandate, and increased operations and maintenance for the Verification Information System to support the operation of the modernized system.

Justification

In a report submitted to Congress in June 2016, USCIS estimated that an expansion of E-Verify to nationwide mandatory use, enacted as proposed in H.R. 1147, could result in additional costs ranging from \$95 million to \$214 million above current cost estimates for the first four years. Actual costs incurred from the expansion of E-Verify to a nationally mandated program will depend on many factors, including, but not limited to, the requirements of the legislation for verifying employment authorization of existing employees and the number of employers that are subject to an E-Verify mandate. The proposed \$15.150 million investment will enable the initial roll out of the mandatory program.

Performance

Implementation of mandatory nationwide use of the E-Verify Program will likely include the following performance enhancements:

- Removing the requirement to validate social security numbers for all E-Verify queries. The system can determine employment eligibility by validating alien numbers or U.S. passport numbers, and this change will reduce Social Security Administration's (SSA) workload. This proposal will also require SSA to modernize its secondary verification procedures to resolve mismatches.
- Remove the Form I-9, Employment Eligibility Verification, requirement for employers, with the E-Verify query record serving as proof that an employee's eligibility to work has been verified. This enhancement will help reduce the burden on employers for storing paper I-9 files.
- Allows for employers to verify their existing workforce as long as they verify all employees at the geographic location/worksites for that employer.

Operations and Support Personnel Compensation and Benefits

Pay Summary *Dollars in Thousands*

Organization	FY 2016 Revised Enacted				FY 2017 Annualized CR				FY 2018 President's Budget				FY 2017 to FY 2018 Total Changes			
	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate
Employment Status Verification	419	398	\$46,519	\$116.88	419	398	\$46,519	\$116.88	419	398	\$47,442	\$119.2	-	-	\$923	\$2.32
Total	419	398	\$46,519	\$116.88	419	398	\$46,519	\$116.88	419	398	\$47,442	\$119.2	-	-	\$923	\$2.32
Discretionary - Appropriation	419	398	\$46,519	\$116.88	419	398	\$46,519	\$116.88	419	398	\$47,442	\$119.2	-	-	\$923	\$2.32

NARRATIVE EXPLANATION OF CHANGES

- **FTE Change FY 2017-2018:** No Change in FTE.
- **PCB Change FY 2017-2018:** Reflects a 1.9 percent increase for the 2018 pay raise and annualization of the 2017 pay raise.
- **Average Cost Change FY 2017-2018:** An average increase of \$927,000 based on the pay raise calculations and annualization of FY 2017 costs.

Pay by Object Class *Dollars in Thousands*

Pay Object Classes	FY 2016 Revised Enacted	FY 2017 Annualized CR	FY 2018 President's Budget	FY 2017 to FY 2018 Change
11.1 Full-time Permanent	\$34,770	\$34,770	\$35,460	\$690
11.5 Other Personnel Compensation	\$613	\$613	\$625	\$12
12.1 Civilian Personnel Benefits	\$11,136	\$11,136	\$11,357	\$221
Total - Personnel Compensation and Benefits	\$46,519	\$46,519	\$47,442	\$923
Positions and FTE				
Positions - Civilian	419	419	419	-
FTE - Civilian	398	398	398	-

Operations and Support

Permanent Positions by Grade – Appropriation

Grades and Salary Range	FY 2016 Revised Enacted	FY 2017 Annualized CR	FY 2018 President's Budget	FY 2017 to FY 2018 Change
Total, SES	1	1	1	-
GS-15	32	32	32	-
GS-14	108	108	108	-
GS-13	69	69	69	-
GS-12	39	39	39	-
GS-11	47	47	47	-
GS-10	1	1	1	-
GS-9	73	73	73	-
GS-7	42	42	42	-
GS-6	2	2	2	-
GS-5	5	5	5	-
Total Permanent Positions	419	419	419	-
Unfilled Positions EOY	54	419	419	-
Total Perm. Employment (Filled Positions) EOY	365	-	-	-
Position Locations				
Headquarters	181	181	181	-
U.S. Field	238	238	238	-
Averages				
Average Personnel Costs, ES Positions	159,205	160,797	163,852	3,055
Average Personnel Costs, GS Positions	90,298	92,194	93,946	1,752
Average Grade, GS Positions	12	12	12	-

Operations and Support Non Pay Budget Exhibits

Non Pay Summary *Dollars in Thousands*

Organization	FY 2016 Revised Enacted	FY 2017 Annualized CR	FY 2018 President's Budget	FY 2017 to FY 2018 Total Changes
Employment Status Verification	\$58,041	\$54,762	\$61,414	\$6,652
Total	\$58,041	\$54,762	\$61,414	\$6,652
Discretionary - Appropriation	\$58,041	\$54,762	\$61,414	\$6,652

Non Pay by Object Class *Dollars in Thousands*

Non-Pay Object Classes	FY 2016 Revised Enacted	FY 2017 Annualized CR	FY 2018 President's Budget	FY 2017 to FY 2018 Change
21.0 Travel and Transportation of Persons	\$152	\$118	\$133	\$15
22.0 Transportation of Things	\$5	\$5	\$6	\$1
23.1 Rental Payments to GSA	\$3,569	\$4,293	\$4,382	\$89
23.2 Rental Payments to Others	\$51	\$47	\$53	\$6
23.3 Communications, Utilities, and Misc. Charges	\$41	\$38	\$43	\$5
24.0 Printing and Reproduction	\$10	\$9	\$10	\$1
25.1 Advisory and Assistance Services	\$34,711	\$32,174	\$36,359	\$4,185
25.2 Other Services from Non-Federal Sources	\$677	\$628	\$710	\$82
25.3 Other Goods and Services from Federal Sources	\$9,583	\$8,883	\$10,038	\$1,155
25.7 Operation and Maintenance of Equipment	\$8,026	\$7,440	\$8,407	\$967
26.0 Supplies and Materials	\$68	\$63	\$71	\$8
31.0 Equipment	\$1,148	\$1,064	\$1,202	\$138
Total - Non Pay Object Classes	\$58,041	\$54,762	\$61,414	\$6,652

*Employment Status Verification – PPA***Budget Comparison and Adjustments****Comparison of Budget Authority and Request***Dollars in Thousands*

Organization	FY 2016 Revised Enacted			FY 2017 Annualized CR			FY 2018 President's Budget			FY 2017 to FY 2018 Total Changes		
	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount
Employment Status Verification	419	398	\$104,560	419	398	\$101,281	419	398	\$108,856	-	-	\$7,575
Total	419	398	\$104,560	419	398	\$101,281	419	398	\$108,856	-	-	\$7,575
Subtotal Discretionary - Appropriation	419	398	\$104,560	419	398	\$101,281	419	398	\$108,856	-	-	\$7,575

Employment Status Verification – PPA Budget Authority and Obligations

Dollars in Thousands

Budget Authority	FY 2016	FY 2017	FY 2018
Enacted	\$104,560		
Transfers & Reprogrammings	-		
Delta in Enacted Fee Estimate to Fee Actuals	-		
Enacted Rescissions to Prior Year	-		
Revised Enacted/Request	\$104,560	\$101,281	\$108,856
Carryover and/or Recoveries (Actual/Estimates/Projections)	-	-	-
Rescissions to Current Year/Budget Year	-	-	-
Net Sequestered Resources	-	-	-
Supplementals	-	-	-
Total Budget Authority	\$104,560	\$101,281	\$108,856
Collections – Reimbursable Resources	-	-	-
Total Budget Resources	\$104,560	\$101,281	\$108,856
Obligations (Actual/Projections/Estimates)	\$103,346	\$101,281	-
Personnel: Positons and FTE			
Enacted/Request Positions	419	419	419
Enacted/Request FTE	398	398	398
Onboard and Actual FTE; Includes Collections - Reimbursable Resources			
Onboard (Actual/Estimates/Projections)	365	419	419
FTE (Actual/Estimates/Projections)	359	398	398

Employment Status Verification – PPA Summary of Budget Changes

Dollars in Thousands

Budget Formulation Activity	Positions	FTE	Amount
FY 2016 Enacted	419	398	\$104,560
FY 2016 Revised Enacted	419	398	\$104,560
FY 2017 Annualized CR	419	398	\$101,281
FY 2018 Base Budget	419	398	\$101,281
2018 Pay Raise	-	-	\$667
Annualization of 2017 Pay Raise	-	-	\$256
Total, Pricing Increases	-	-	\$923
Offset to Non-Pay	-	-	(\$923)
Total, Pricing Decreases	-	-	(\$923)
FY 2018 Current Services	419	398	\$101,281
Mandatory E-Verify	-	-	\$7,575
Total, Program Increases	-	-	\$7,575
FY 2018 Request	419	398	\$108,856
FY 2017 TO FY 2018 Change	-	-	\$7,575

PPA Description

The Employment Status Verification PPA provides funds for the operations, mission support, and associated management and administration costs of E-Verify – the program that provides employment authorization status verification to employers. E-Verify is an internet-based program that enables an employer to determine a newly-hired employee's eligibility to work in the United States by verifying information reported on an employee's Form I-9 against DHS, Social Security Administration, Department of State, and Department of Motor Vehicle data from participating states. As of September 30, 2016, there were 678,297 employers enrolled in E-Verify who ran more than 34 million queries.

The table below provides a detailed breakout of FY 2018 planned spending.

Description of Funding	O & S
Pay and Benefits	\$47,442,000
General Operating Expenditures	\$8,404,000
Total Contracts	\$53,010,000
Secondary Reimbursement to Social Security (SSA)	\$7,547,000
Verification Information System O&M	\$12,893,066
Self Check (my E-Verify)	\$2,022,000
Customer Relationship Management	\$3,500,000
Telephony – Contact Center	\$4,236,000
Data Analytics	\$0
Records and Information from DMVs for E-Verify (RIDE)	\$1,610,000
Outreach and Education	\$9,330,000
Other Contracts/Technical Contract Support	\$11,871,934
Total	\$108,856,000

The FY 2018 Budget will support the projected level of activity outlined in the table below. FY 2016 actuals and FY 2017 and FY 2018 projections are provided for comparison.

E-Verify	FY 16 Actuals	FY 17	FY 18
E-Verify Cases	34,206,349	35,898,058	37,600,361
E-Verify cases requiring secondary review by USCIS staff	443,482	394,879	413,604
E-Verify cases requiring additional review by USCIS staff	58,539	39,488	41,360
Records and Information for DMVs for E-Verify Queries*	3,042,692	3,342,692	3,642,692
Employers (Cumulative)	678,297	749,451	814,666
E-Verify Monitoring & Compliance Staff	FY 16 Actuals	FY 17	FY 18
On-Board	72	78	78
Vacancies	6	-	-
Payroll Expenditures	5,903,163	6,028,557	6,125,035
Monitoring & Compliance	FY 16 Actuals	FY 17	FY 18
Emails	102,933	128,545	170,761
Compliance Calls	3,130	3,588	4,126
Desk Reviews	26	405	466
Site Visits	33	30	50
Enhanced Compliance Assistance	275	225	281
Webinars	0	19	44
Total Employer Actions	106,397	132,812	175,728
External Actions	FY 16 Actuals	FY 17	FY 18
Referrals to Immigration and Customs Enforcement (Fraud)	4	22	25
Referrals to Department of Justice (Discrimination)	625	640	736
Law Enforcement Requests (LERs)	255	302	347
Referrals from DOJ (Immigrant and Employee Rights Misuse and Abuse Report Referrals)	12	10	15
Locked Social Security Numbers (SSNs)	28	240	50
Total External Activity	924	1,214	1,174

* Wisconsin joined the E-Verify RIDE Program in FY 2016, bringing the total number of participating states to seven .

This PPA also includes funding for the E-Verify Monitoring and Compliance Branch.

Monitoring and Compliance Branch*

(\$ in Thousands)

FY 2016 Actuals	FY 2017 Estimate	FY 2018 President's Budget
\$5,903	\$6,029	\$6,125

**The amounts provided in the table only represent salaries and benefits of staff in the Monitoring and Compliance Branch.*

Adjustments to Base Justification

The FY 2018 Budget includes the following adjustments to base:

- Increase of \$671,000 for 1.9 percent pay raise
- Increase of \$256,000 to annualize of the 2017 pay raise
- Decrease of \$927,000 for changes in operating requirements

Employment Status Verification-PPA Personnel Compensation and Benefits

Pay Summary

Dollars in Thousands

Organization	FY 2016 Revised Enacted				FY 2017 Annualized CR				FY 2018 President's Budget				FY 2017 to FY 2018 Total Changes			
	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate
Employment Status Verification	419	398	\$46,519	\$116.88	419	398	\$46,519	\$116.88	419	398	\$47,442	\$119.2	-	-	\$923	\$2.32
Total	419	398	\$46,519	\$116.88	419	398	\$46,519	\$116.88	419	398	\$47,442	\$119.2	-	-	\$923	\$2.32
Discretionary - Appropriation	419	398	\$46,519	\$116.88	419	398	\$46,519	\$116.88	419	398	\$47,442	\$119.2	-	-	\$923	\$2.32

NARRATIVE EXPLANATION OF CHANGES

FY 2018 request estimates \$567,360 for performance awards. This request remains in line with OPM Awards Guidance on spending limitation.

FTE Change FY 2017-2018: No Change in FTE.

PCB Change FY 2017-2018: Reflects a 1.9 percent increase for the 2018 pay raise and annualization of the 2017 pay raise.

Average Cost Change FY 2017-2018: An average increase of \$927,000 based on the pay raise calculations and annualization of FY 2017 costs.

Employment Status Verification- PPA**Pay by Object Class***Dollars in Thousands*

Pay Object Classes	FY 2016 Revised Enacted	FY 2017 Annualized CR	FY 2018 President's Budget	FY 2017 to FY 2018 Change
11.1 Full-time Permanent	\$34,770	\$34,770	\$35,460	\$690
11.5 Other Personnel Compensation	\$613	\$613	\$625	\$12
12.1 Civilian Personnel Benefits	\$11,136	\$11,136	\$11,357	\$221
Total - Personnel Compensation and Benefits	\$46,519	\$46,519	\$47,442	\$923
Positions and FTE				
Positions - Civilian	419	419	419	-
FTE - Civilian	398	398	398	-

Pay Cost Drivers*Dollars in Thousands*

Leading Cost-Drivers	FY 2016 Revised Enacted			FY 2017 Annualized CR			FY 2018 President's Budget			FY 2017 to FY 2018 Total Changes		
	FTE	Amount	Rate	FTE	Amount	Rate	FTE	Amount	Rate	FTE	Amount	Rate
Salaries and Benefits	398	\$46,519	\$117	398	\$46,519	\$117	398	\$47,446	\$119	0	\$927	\$2
Total – Pay Cost Drivers	398	\$46,519	\$117	398	\$46,519	\$117	398	\$47,446	\$119	0	\$927	\$2

Employment Status Verification – PPA

Non Pay Budget Exhibits

Non Pay Summary

Dollars in Thousands

Organization	FY 2016 Revised Enacted	FY 2017 Annualized CR	FY 2018 President's Budget	FY 2017 to FY 2018 Total Changes
Employment Status Verification	\$58,041	\$54,762	\$61,414	\$6,652
Total	\$58,041	\$54,762	\$61,414	\$6,652
Discretionary - Appropriation	\$58,041	\$54,762	\$61,414	\$6,652

Non Pay by Object Class

Dollars in Thousands

Non-Pay Object Classes	FY 2016 Revised Enacted	FY 2017 Annualized CR	FY 2018 President's Budget	FY 2017 to FY 2018 Change
21.0 Travel and Transportation of Persons	\$152	\$118	\$133	\$15
22.0 Transportation of Things	\$5	\$5	\$6	\$1
23.1 Rental Payments to GSA	\$3,569	\$4,293	\$4,382	\$89
23.2 Rental Payments to Others	\$51	\$47	\$53	\$6
23.3 Communications, Utilities, and Misc. Charges	\$41	\$38	\$43	\$5
24.0 Printing and Reproduction	\$10	\$9	\$10	\$1
25.1 Advisory and Assistance Services	\$34,711	\$32,174	\$36,359	\$4,185
25.2 Other Services from Non-Federal Sources	\$677	\$628	\$710	\$82
25.3 Other Goods and Services from Federal Sources	\$9,583	\$8,883	\$10,038	\$1,155
25.7 Operation and Maintenance of Equipment	\$8,026	\$7,440	\$8,407	\$967
26.0 Supplies and Materials	\$68	\$63	\$71	\$8
31.0 Equipment	\$1,148	\$1,064	\$1,202	\$138
Total - Non Pay Object Classes	\$58,041	\$54,762	\$61,414	\$6,652

Employment Status Verification – PPA Non Pay Cost Drivers

Dollars in Thousands

Leading Non Pay Cost-Drivers	FY 2016 Revised Enacted	FY 2017 Annualized CR	FY 2018 President's Budget	FY 2017 to FY 2018 Total Changes
Verification Information System (VIS) Contract	\$18,145	\$2,417	\$5160	\$2743
Verification Information System (VIS) Modernization	\$12,670	\$4,464	\$4,464	\$0
Social Security Administration Reimbursements	\$6,500	\$4,441	\$4,441	\$0
Rental Payments to GSA	\$4,550	\$4,293	\$4,382	\$89
Outreach and Education	\$2,985	\$1,755	\$5,575	\$3,820
Other Costs	\$13,191	\$37,392	\$37,392	\$0
Total – Non Pay Cost Drivers	\$58,041	\$54,762	\$61,414	\$6,652

NARRATIVE EXPLANATION OF CHANGES

- **Verification Information System Contract:** This cost is for all day-to-day operations and application maintenance to fully maintain VIS, such as managing software configuration; conducting corrective, adaptive, or relevance maintenance; maintaining VIS operational readiness, system administration activities, technical service support, database administration, and ad-hoc reporting.
- **Verification Information System Modernization:** A portion of funding supports modernization of Verification systems, including the VIS and its associated business processes and applications through a series of agile software development and releases.
- **Social Security Administration (SSA) Reimbursements:** This cost is to reimburse SSA for all E-Verify queries that cannot be resolved electronically. These costs are dependent upon query volume.
- **Rental Payments to GSA:** The FY 2018 amount is based on projections developed by USCIS's Facilities Division, using information provided by GSA and reflects projected rent increases, termination of leases, and new leases.
- **Outreach and Education:** The FY 2018 amount is based upon need to provide additional information regarding the E-Verify mandate.

Department of Homeland Security
United States Citizenship and Immigration Services
Procurement, Construction, and Improvements



Fiscal Year 2018
Congressional Justification

Table of Contents

<i>Procurement, Construction, and Improvements</i>	1
Budget Comparison and Adjustments	3
Non Pay Budget Exhibits.....	6
Capital Investments Exhibits	7
<i>Verification Modernization (VER) – Investment</i>	8
Capital Investments Exhibits	8

Procurement, Construction, and Improvements Budget Comparison and Adjustments

Comparison of Budget Authority and Request

Dollars in Thousands

Organization	FY 2016 Revised Enacted	FY 2017 Annualized CR	FY 2018 President's Budget	FY 2017 to FY 2018 Total Changes
Verification Modernization (VER)	\$15,111	\$15,082	\$22,657	\$7,575
Total	\$15,111	\$15,082	\$22,657	\$7,575
Discretionary - Appropriation	\$15,111	\$15,082	\$22,657	\$7,575

Overview

The U.S. Citizenship and Immigration Services (USCIS) Procurement, Construction, and Improvements (PC&I) appropriation funds the planning and acquisition costs for the E-Verify program, which helps U.S. employers ensure they have a legal workforce by providing employment authorization status verification of their recently hired employees. The PC&I appropriation helps ensure the integrity of the immigration system through strengthening and modernizing the infrastructure supporting the E-Verify program. PC&I includes one PPA, Employment Status Verification (ESV).

Note: PC&I encompasses the funding for planning and acquisition that is presented in the Verification Modernization investment business case; funding for operations and maintenance that is presented in the Verification Modernization investment business case is included in the USCIS Operations and Support appropriation.

Procurement, Construction, and Improvements Budget Authority and Obligations

Dollars in Thousands

Budget Authority	FY 2016	FY 2017	FY 2018
Enacted	\$15,111		
Transfers & Reprogrammings	-		
Delta in Enacted Fee Estimate to Fee Actuals	-		
Enacted Rescissions to Prior Year	-		
Revised Enacted/Request	\$15,111	\$15,082	\$22,657
Carryover and/or Recoveries (Actual/Estimates/Projections)	-	-	-
Rescissions to Current Year/Budget Year	-	-	-
Net Sequestered Resources	-	-	-
Supplementals	-	-	-
Total Budget Authority	\$15,111	\$15,082	\$22,657
Collections – Reimbursable Resources	-	-	-
Total Budget Resources	\$15,111	\$15,082	\$22,657
Obligations (Actual/Projections/Estimates)	\$15,111	\$15,082	-
Personnel: Positons and FTE			
Enacted/Request Positions	-	-	-
Enacted/Request FTE	-	-	-
Onboard and Actual FTE Including Collections - Reimbursable Resources			
Onboard (Actual/Estimates/Projections)	-	-	-
FTE (Actual/Estimates/Projections)	-	-	-

PPA DESCRIPTION

The Employment Status Verification PPA provides funds for the planning and acquisition costs for E-Verify – the program through which USCIS provides employment authorization status verification to employers. E-Verify is an internet-based program that enables an employer to determine a newly-hired employee’s eligibility to work in the United States by verifying information reported on an employee’s Form I-9 against DHS, Social Security Administration, Department of State, and Department of Motor Vehicle data from participating states. As of September 30, 2016, there were 678,297 employers enrolled in E-Verify and they ran more than 34 million queries. The program continues to grow by approximately 1,300 new employers per week.

USCIS requires \$22.7 million, 0 Positions, and 0 Full-Time Equivalents (FTE) in this PPA. FY 2018 funding will provide:

- Updates and enhancements to Verification Information Systems (VIS) through a series of agile software releases and development to improve business workflows and functionality, while improving system scalability and accuracy, to support the E-Verify and SAVE programs.
- Current Services also includes a \$7.6 million increase for Verification Modernization (VER MOD) to begin implementing mandatory nationwide use of E-Verify.

Procurement, Construction, and Improvements Summary of Budget Changes

Dollars in Thousands

Budget Formulation Activity	Positions	FTE	Amount
FY 2016 Enacted	-	-	\$15,111
FY 2016 Revised Enacted	-	-	\$15,111
FY 2017 Annualized CR	-	-	\$15,082
FY 2018 Base Budget	-	-	\$15,082
Mandatory E-Verify	-	-	\$7,575
Total Investment Elements	-	-	\$7,575
FY 2018 Current Services	-	-	\$22,657
FY 2018 Request	-	-	\$22,657
FY 2017 TO FY 2018 Change	-	-	\$7,575

NARRATIVE EXPLANATION OF CHANGES

The FY 2018 request proposes a \$15.2 million increase in the base budget to begin implementation of mandatory nationwide use of the E-Verify Program. The additional \$7.6 million program increase in the Verification Modernization investment will support system design enhancements, including enhanced authentication technology, as part of the initial roll-out of a mandatory nationwide program.

Procurement, Construction, and Improvements

Non Pay Budget Exhibits

Non Pay by Object Class

Dollars in Thousands

Non-Pay Object Classes	FY 2016 Revised Enacted	FY 2017 Annualized CR	FY 2018 President's Budget	FY 2017 to FY 2018 Change
25.1 Advisory and Assistance Services	\$15,111	\$15,082	\$22,657	\$7,575
Total - Non Pay Object Classes	\$15,111	\$15,082	\$22,657	\$7,575

Non Pay Cost Drivers

Dollars in Thousands

Leading Non Pay Cost-Drivers	FY 2016 Revised Enacted	FY 2017 Annualized CR	FY 2018 President's Budget	FY 2017 to FY 2018 Total Changes
Verification Modernization Development	\$15,111	\$15,082	\$22,657	\$7,575
Total – Non Pay Cost Drivers	\$15,111	\$15,082	\$22,657	\$7,575

NARRATIVE EXPLANATION OF CHANGES

Contract/Verification Modernization Development: The PC&I account provides funding to support modernization of Verification systems, including the Verification Information System and its associated business processes and applications through a series of agile software development and releases. Additional funds in the FY 2018 Budget will support system design enhancements for implementation of mandatory nationwide program usage.

Procurement, Construction, and Improvements
Capital Investments Exhibits

Capital Investments

Dollars in Thousands

Investment	Unique Item Identifier	Acquisition Level	Procurement / Construction	IT/Non-IT	MAOL	FY 2016 Revised Enacted	FY 2017 Annualized CR	FY 2018 President's Budget
Verification Modernization (VER)	024-000003029	2	Procurement	IT	Yes	\$15,111	\$15,082	\$22,657

*Verification Modernization (VER) – Investment***Capital Investments Exhibits****Procurement/Acquisition Programs****(Verification Modernization)****Procurement, Construction, and Improvements Funding**

Investment	Unique Item Identifier	Acquisition Level	Procurement / Construction	IT/Non-IT	MAOL	FY 2016 Revised Enacted	FY 2017 Annualized CR	FY 2018 President's Budget
Verification Modernization (VER)	024-000003029	2	Procurement	IT	Yes	\$15,111	\$15,082	\$22,657

Investment Description

The USCIS Verification Modernization (VER MOD) investment aims to strengthen and improve E-Verify and SAVE to support continued workload growth by modernizing the Verification Information System (VIS) and associated applications. The E-Verify program allows participating employers to verify the employment eligibility of newly hired employees, while the SAVE program allows federal, state and local government agencies to verify the immigration status of benefit applicants. Modernization will streamline and automate business processes, strengthen the technical infrastructure of VIS, and increase the capacity of both E-Verify and SAVE, while reducing the risk of identity fraud, data inaccuracies, and system misuse.

Justification

Funding is required to support the VER MOD acquisition investment in accordance with the Acquisition Program Baseline (APB). Adhering to the established APB will enable USCIS to meet the Key Performance Parameters (KPPs) and achieve Full Operational Capability (FOC) in third quarter of FY 2020. Failure to fund the program will delay the modernization effort and could result in a program breach. VER MOD program is fully funded in accordance with the annually updated Life Cycle Cost Estimate (LCCE).

FY 2016 Key Milestone Events (Prior Year)

- Mobile E-Verify application completed
- VIS Modernization Pilot completed

FY 2017 Planned Key Milestone Events (Year of Execution)

- Achieve Initial Operational Capability for Verification Modernization Program
- Modernize the Enrollment process for E-Verify
- Continue system migration to the Cloud to improve system performance and availability

FY 2018 Planned Key Milestone Events (Budget year)

- Deliver E-Verify and SAVE algorithm improvements to reduce cases requiring human intervention
- Improve system scalability of the VIS to support a mandatory E-Verify environment
- Continue refactoring and simplifying the system architecture to reduce system maintenance costs

Overall Investment Funding

	Prior Years	FY 2016	FY 2017	FY 2018
Operations and Support		\$ 104,560	\$101,281	\$108,856
Procurement, Construction, and Improvements		\$15,111	\$15,082	\$22,657
Project Funding		\$119,671	\$116,363	\$131,513
Obligations			\$39,636	
Expenditures		\$ 118,475	\$28,908	

Contract Information (Current/Execution Year, Budget Year)

Contract Number	Contractor	Type	Award Date (mo/yr)	Start Date (mo/yr)	End Date (mo/yr)	EVM in Contract	Total Value
CIAIRWA15079-0001	Excella	Interagency Reimbursable Working Agreement (IRWA)	9/15	10/15	9/16	No	\$11,100
CISIRWA16079-0000	Excella	Interagency Reimbursable Working Agreement (IRWA)	9/16	9/16	9/17	No	\$15,300

Significant Changes to Investment since Prior Year Enacted

- The FY 2018 request proposes a \$15.2 million increase in the base budget to begin implementation of mandatory nationwide use of the E-Verify Program. The additional \$7.6 million program increase in the Verification Modernization investment will support system design enhancements for implementation of mandatory nationwide program usage.

Investment Schedule

Description	Design Work		Project Work	
	Initiated	Completed	Initiated	Completed
FY 2016				
The VIS pilot will focus on addressing the USCIS Verification program's key capability gaps through an agile, incremental delivery approach. The pilot will introduce latest advances in software development concepts and continuous delivery techniques in rapid application development, and deploy capability in frequent iterations. This effort focuses on enhancing business process and analytic functions and automating infrastructure provisioning based on an extensible architecture that is flexible, scalable, and designed to adapt to rapidly growing workload volume and evolving user needs. This pilot initiative will play a significant role in determining the roadmap for future enhancements, assessing technical feasibility, reducing IT infrastructure costs, and mitigating risks associated with future system improvement activities.			FY 2015: Q4	FY 2016: Q4
Drive the modernization of E-Verify User Enrollment and Tutorials via agile software development and Cloud engineering, and deploy interactive content that will guide users of companies through the E-Verify enrollment process.			FY 2016: Q2	FY 2016: Q4
FY 2017				
Build and deploy new web applications, analyze the current database, extract, modernize data tier components, and improve algorithms for optimal systems functionality.			FY 2016: Q4	FY 2017: Q4
Continue system migration to the Cloud to improve system performance and availability			FY 2016: Q4	FY 2017: Q4
FY 2018				
Deliver E-Verify and SAVE algorithm improvements to reduce cases requiring human intervention.			FY 2017: Q4 (Planned)	FY 2018: Q4 (Planned)
Continue refactoring and simplifying the system architecture to reduce system maintenance costs.			FY 2017: Q4 (Planned)	FY 2018: Q4 (Planned)

Department of Homeland Security
United States Citizenship and Immigration Services
Immigration Examinations Fee Account



Fiscal Year 2018
Congressional Justification

Table of Contents

<i>Immigration Examinations Fee Account</i>	1
Budget Comparison and Adjustments.....	4
Personnel Compensation and Benefits.....	11
Non Pay Budget Exhibits.....	14
<i>District Operations - PPA</i>	16
Budget Comparison and Adjustments	16
Personnel Compensation and Benefits.....	26
Non Pay Budget Exhibits.....	28
<i>Service Center Operations - PPA</i>	30
Budget Comparison and Adjustments	30
Personnel Compensation and Benefits.....	34
Non Pay Budget Exhibits.....	36
<i>Asylum, Refugee and International Operations - PPA</i>	38
Budget Comparison and Adjustments	38
Personnel Compensation and Benefits.....	42
Non Pay Budget Exhibits.....	44
<i>Records Operations - PPA</i>	46
Budget Comparison and Adjustments	46
Personnel Compensation and Benefits.....	51
Non Pay Budget Exhibits.....	53
<i>Premium Processing (Including Transformation) - PPA</i>	55
Budget Comparison and Adjustments	55
Personnel Compensation and Benefits.....	60
Non Pay Budget Exhibits.....	62
<i>Information and Customer Services - PPA</i>	64

Budget Comparison and Adjustments	64
Personnel Compensation and Benefits.....	68
Non Pay Budget Exhibits.....	70
<i>Administration - PPA</i>	72
Budget Comparison and Adjustments	72
Personnel Compensation and Benefits.....	76
Non Pay Budget Exhibits.....	78
<i>Systematic Alien Verification for Entitlements (SAVE) –PPA</i>	80
Budget Comparison and Adjustments	80
Personnel Compensation and Benefits.....	85
Non Pay Budget Exhibits.....	87

Immigration Examinations Fee Account

Budget Comparison and Adjustments

Comparison of Budget Authority and Request

Dollars in Thousands

Organization	FY 2016 Revised Enacted			FY 2017 Annualized CR			FY 2018 President's Budget			FY 2017 to FY 2018 Total Changes		
	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount
District Operations	7,778	7,276	\$1,590,552	7,630	7,249	\$1,615,409	8,311	7,895	\$1,756,407	681	646	\$140,998
Service Center Operations	3,539	3,352	\$599,010	3,846	3,654	\$669,891	3,556	3,378	\$649,461	(290)	(276)	(\$20,430)
Asylum, Refugee and International Operations	1,606	1,447	\$284,231	1,381	1,312	\$259,042	1,668	1,585	\$399,882	287	273	\$140,840
Records Operations	396	360	\$129,368	359	341	\$124,177	430	408	\$135,477	71	67	\$11,300
Premium Processing (Including Transformation)	447	419	\$436,637	-	-	\$226,380	1,430	1,359	\$620,829	1,430	1,359	\$394,449
Information and Customer Services	317	299	\$97,932	360	342	\$124,041	329	313	\$109,445	(31)	(29)	(\$14,596)
Administration	1,522	1,423	\$434,316	1,472	1,398	\$384,585	1,645	1,563	\$522,010	173	165	\$137,425
Systematic Alien Verification for Entitlements (SAVE)	223	212	\$32,320	223	212	\$27,021	223	212	\$34,828	-	-	\$7,807
Total	15,828	14,788	\$3,604,366	15,271	14,508	\$3,430,546	17,592	16,713	\$4,228,339	2,321	2,205	\$797,793
Subtotal Mandatory - Fee	15,828	14,788	\$3,604,366	15,271	14,508	\$3,430,546	17,592	16,713	\$4,228,339	2,321	2,205	\$797,793

Overview

The Immigration Examinations Fee Account (IEFA), established by Section 286 of the Immigration and Nationality Act (8 U.S.C. 1356), is the primary funding source for U.S. Citizenship and Immigration Services (USCIS). Fees collected with the submission of immigration benefit applications and petitions are deposited into the IEFA and are used to fund the cost of processing immigration benefit applications and petitions, as well as to cover the cost of processing similar benefit requests for applicants without charge, for example, for refugee and asylum applicants. The IEFA provides resources for USCIS to:

- Strengthen and effectively administer the immigration system;
- Strengthen national security safeguards and combat fraud;
- Reinforce quality and consistency in administering immigration benefits; and
- Enhance customer service and public engagement.

For FY 2018, the Immigration Examinations Fee Account includes the following PPAs:

- District Operations
- Service Center Operations
- Asylum, Refugee and International Operations
- Records Operations
- Premium Processing (Including Transformation)
- Information and Customer Service:
- Administration
- Systematic Alien Verification for Entitlements (SAVE)

Immigration Examinations Fee Account Budget Authority and Obligations

Dollars in Thousands

Budget Authority	FY 2016	FY 2017	FY 2018
Enacted	\$3,430,546		
Transfers & Reprogrammings	\$173,820		
Delta in Enacted Fee Estimate to Fee Actuals	-		
Enacted Rescissions to Prior Year	-		
Revised Enacted/Request	\$3,604,366	\$3,430,546	\$4,228,339
Carryover and/or Recoveries (Actual/Estimates/Projections)	\$932,664	\$980,161	-
Rescissions to Current Year/Budget Year	-	-	-
Net Sequestered Resources	-	-	-
Supplementals	-	-	-
Total Budget Authority	\$4,537,030	\$4,410,707	\$4,228,339
Collections – Reimbursable Resources	\$41,058	\$41,058	\$41,058
Total Budget Resources	\$4,578,088	\$4,451,765	\$4,269,397
Obligations (Actual/Projections/Estimates)	\$3,490,556	\$3,471,604	-
Personnel: Positions and FTE			
Enacted/Request Positions	15,828	15,271	17,592
Enacted/Request FTE	14,788	14,508	16,713
Onboard and Actual FTE; Includes Collections - Reimbursable Resources			
Onboard (Actual/Estimates/Projections)	14,946	15,271	17,592
FTE (Actual/Estimates/Projections)	13,997	14,508	16,713

Immigration Examinations Fee Account Collections – Reimbursable Resources

Dollars in Thousands

Collections		FY 2016 Revised Enacted			FY 2017 Annualized CR			FY 2018 President's Budget		
		Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount
Department of Defense - Department of Defense	Source	-	-	\$7,500	-	-	\$7,500	-	-	\$7,500
Department of Homeland Security - US Immigration and Customs Enforcement	Source	-	-	\$12,046	-	-	\$12,046	-	-	\$12,046
Independent Agency - Social Security Administration	Source	-	-	\$7	-	-	\$7	-	-	\$7
Department of Homeland Security - US Customs and Border Protection	Source	-	-	\$5,914	-	-	\$5,914	-	-	\$5,914
Department of Justice - Department of Justice	Source	-	-	\$250	-	-	\$250	-	-	\$250
SAVE Collections	Source	-	-	\$7,000	-	-	\$7,000	-	-	\$7,000
Canada/UK Visa	Source	-	-	\$8,341	-	-	\$8,341	-	-	\$8,341
Total Collections		-	-	\$41,058	-	-	\$41,058	-	-	\$41,058

Immigration Examinations Fee Account Summary of Budget Changes

Dollars in Thousands

Budget Formulation Activity	Positions	FTE	Amount
FY 2016 Enacted	15,271	14,508	\$3,430,546
USCIS FY16 Reprogramming	557	280	\$173,820
Total Above Threshold Reprogrammings/Transfers	557	280	\$173,820
FY 2016 Revised Enacted	15,828	14,788	\$3,604,366
FY 2017 Annualized CR	15,271	14,508	\$3,430,546
FY 2018 Base Budget	15,271	14,508	\$3,430,546
2018 Pay Raise	-	-	\$27,803
Annualization of 2017 Pay Raise	-	-	\$9,319
Workload Adjustment	2,178	2,069	\$717,256
Workload Adjustment - Executive Orders	143	136	\$43,415
Total, Pricing Increases	2,321	2,205	\$797,793
Total Adjustments-to-Base	2,321	2,205	\$797,793
FY 2018 Current Services	17,592	16,713	\$4,228,339
FY 2018 Request	17,592	16,713	\$4,228,339
FY 2017 TO FY 2018 Change	2,321	2,205	\$797,793

Immigration Examinations Fee Account Justification of Pricing Changes

Dollars in Thousands

Pricing Changes	FY 2018 President's Budget		
	Positions	FTE	Amount
Pricing Change 1 - 2018 Pay Raise	-	-	\$27,803
District Operations	-	-	\$13,364
Service Center Operations	-	-	\$5,062
Asylum, Refugee and International Operations	-	-	\$2,619
Records Operations	-	-	\$576
Premium Processing (Including Transformation)	-	-	\$1,998
Information and Customer Services	-	-	\$496
Administration	-	-	\$3,382
Systematic Alien Verification for Entitlements (SAVE)	-	-	\$306
Pricing Change 2 - Annualization of 2017 Pay Raise	-	-	\$9,319
District Operations	-	-	\$4,651
Service Center Operations	-	-	\$1,847
Asylum, Refugee and International Operations	-	-	\$898
Records Operations	-	-	\$200
Premium Processing (Including Transformation)	-	-	\$268
Information and Customer Services	-	-	\$181
Administration	-	-	\$1,161
Systematic Alien Verification for Entitlements (SAVE)	-	-	\$113
Pricing Change 3 - Workload Adjustment	2,178	2,069	\$717,256
District Operations	538	510	\$100,195
Service Center Operations	(290)	(276)	(\$27,339)
Asylum, Refugee and International Operations	287	273	\$120,446
Records Operations	71	67	\$10,524
Premium Processing (Including Transformation)	1,430	1,359	\$388,433
Information and Customer Services	(31)	(29)	(\$15,273)
Administration	173	165	\$132,882
Systematic Alien Verification for Entitlements (SAVE)	-	-	\$7,388
Pricing Change 4 - Workload Adjustment - Executive Orders	143	136	\$43,415
District Operations	143	136	\$22,788
Asylum, Refugee and International Operations	-	-	\$16,877
Premium Processing (Including Transformation)	-	-	\$3,750
Total Pricing Changes	2,321	2,205	\$797,793

SUMMARY OF PRICING CHANGES

- **2018 Pay Raise:** Total increase for all PPAs of \$27.803 million to factor in 1.9% pay increase in FY 2018.
- **Annualization of 2017 Pay Raise:** Total increase for all PPAs of \$9.319 million for annualization of 2017 pay raise.
- **Workload Adjustment:** Total increase across all PPAs of 2,178 positions, 2,069 Full Time Equivalents (FTE) and \$717.256 million for changes in operational requirements.
- **Workload Adjustments Attributable to Executive Orders:** Total increase across all PPAs of 143 positions, 136 FTE and \$43.415 million for changes in operational requirements attributable to Executive Orders on border security and immigration enforcement and protecting the Nation from foreign terrorist entry into the United States.

Immigration Examinations Fee Account Personnel Compensation and Benefits

Pay Summary *Dollars in Thousands*

Organization	FY 2016 Revised Enacted				FY 2017 Annualized CR				FY 2018 President's Budget				FY 2017 to FY 2018 Total Changes			
	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate
District Operations	7,778	7,276	\$843,896	\$115.98	7,630	7,249	\$838,791	\$115.71	8,311	7,895	\$955,811	\$121.07	681	646	\$117,020	\$5.36
Service Center Operations	3,539	3,352	\$411,093	\$122.64	3,846	3,654	\$438,433	\$119.99	3,556	3,378	\$362,144	\$107.21	(290)	(276)	(\$76,289)	(\$12.78)
Asylum, Refugee and International Operations	1,606	1,447	\$183,755	\$126.68	1,381	1,312	\$161,411	\$122.72	1,668	1,585	\$187,281	\$117.87	287	273	\$25,870	(\$4.85)
Records Operations	396	360	\$36,734	\$102.04	359	341	\$34,301	\$100.59	430	408	\$41,222	\$101.03	71	67	\$6,921	\$0.44
Premium Processing (Including Transformation)	447	419	\$45,484	\$108.55	-	-	-	-	1,430	1,359	\$142,460	\$104.83	1,430	1,359	\$142,460	\$104.83
Information and Customer Services	317	299	\$32,177	\$107.62	360	342	\$35,731	\$104.48	329	313	\$35,484	\$113.37	(31)	(29)	(\$247)	\$8.89
Administration	1,522	1,423	\$206,691	\$144.96	1,472	1,398	\$201,441	\$143.81	1,645	1,563	\$241,869	\$154.44	173	165	\$40,428	\$10.63
Systematic Alien Verification for Entitlements (SAVE)	223	212	\$21,784	\$102.75	223	212	\$21,784	\$102.75	223	212	\$21,861	\$103.12	-	-	\$77	\$0.37
Total	15,828	14,788	\$1,781,614	\$120.42	15,271	14,508	\$1,731,892	\$119.32	17,592	16,713	\$1,988,132	\$118.9	2,321	2,205	\$256,240	(\$0.42)
Mandatory - Fee	15,828	14,788	\$1,781,614	\$120.42	15,271	14,508	\$1,731,892	\$119.32	17,592	16,713	\$1,988,132	\$118.9	2,321	2,205	\$256,240	(\$0.42)

* The FTE Rate calculation does not include Object Class 11.8-Special Personal Services Payments or 13.0-Benefits for Former Personnel.

Immigration Examinations Fee Account
Pay by Object Class
Dollars in Thousands

Pay Object Classes	FY 2016 Revised Enacted	FY 2017 Annualized CR	FY 2018 President's Budget	FY 2017 to FY 2018 Change
11.1 Full-time Permanent	\$1,257,607	\$1,223,360	\$1,404,183	\$180,823
11.3 Other than Full-Time Permanent	\$12,030	\$11,262	\$13,067	\$1,805
11.5 Other Personnel Compensation	\$72,941	\$70,372	\$81,623	\$11,251
12.1 Civilian Personnel Benefits	\$438,172	\$426,099	\$488,316	\$62,217
13.0 Benefits for Former Personnel	\$864	\$799	\$943	\$144
Total - Personnel Compensation and Benefits	\$1,781,614	\$1,731,892	\$1,988,132	\$256,240
Positions and FTE				
Positions - Civilian	15,828	15,271	17,592	2,321
FTE - Civilian	14,788	14,508	16,713	2,205

Immigration Examinations Fee Account Permanent Positions by Grade Appropriation

Grades and Salary Range	FY 2016 Revised Enacted	FY 2017 Annualized CR	FY 2018 President's Budget	FY 2017 to FY 2018 Change
Total, SES	73	73	73	-
Total, EX	1	1	1	-
GS-15	860	830	956	126
GS-14	1,887	1,821	2,098	277
GS-13	2,973	2,868	3,304	436
GS-12	4,183	4,034	4,656	622
GS-11	1,113	1,073	1,237	164
GS-10	16	16	18	2
GS-9	2,389	2,304	2,655	351
GS-8	26	25	29	4
GS-7	1,482	1,429	1,647	218
GS-6	314	303	349	46
GS-5	504	487	561	74
GS-4	6	6	7	1
GS-3	1	1	1	-
Total Permanent Positions	15,828	15,271	17,592	2,321
Unfilled Positions EOY	1,203	-	-	-
Total Perm. Employment (Filled Positions) EOY	14,625	15,271	-	-15,271
Position Locations				
Headquarters	2,304	2,223	2,561	338
U.S. Field	13,460	12,984	14,958	1,974
Foreign Field	64	64	73	9
Averages				
Average Personnel Costs, ES Positions	173,251	176,889	180,250	3,361
Average Personnel Costs, GS Positions	83,040	84,784	86,395	1,611
Average Grade, GS Positions	11	11	11	-

Immigration Examinations Fee Account Non Pay Budget Exhibits

Non Pay Summary *Dollars in Thousands*

Organization	FY 2016 Revised Enacted	FY 2017 Annualized CR	FY 2018 President's Budget	FY 2017 to FY 2018 Total Changes
District Operations	\$746,656	\$776,618	\$800,596	\$23,978
Service Center Operations	\$187,917	\$231,458	\$287,317	\$55,859
Asylum, Refugee and International Operations	\$100,476	\$97,631	\$212,601	\$114,970
Records Operations	\$92,634	\$89,876	\$94,255	\$4,379
Premium Processing (Including Transformation)	\$391,153	\$226,380	\$478,369	\$251,989
Information and Customer Services	\$65,755	\$88,310	\$73,961	(\$14,349)
Administration	\$227,625	\$183,144	\$280,141	\$96,997
Systematic Alien Verification for Entitlements (SAVE)	\$10,536	\$5,237	\$12,967	\$7,730
Total	\$1,822,752	\$1,698,654	\$2,240,207	\$541,553
Mandatory - Fee	\$1,822,752	\$1,698,654	\$2,240,207	\$541,553

Immigration Examinations Fee Account**Non Pay by Object Class***Dollars in Thousands*

Non-Pay Object Classes	FY 2016 Revised Enacted	FY 2017 Annualized CR	FY 2018 President's Budget	FY 2017 to FY 2018 Change
21.0 Travel and Transportation of Persons	\$38,387	\$29,480	\$57,963	\$28,483
22.0 Transportation of Things	\$12,343	\$11,114	\$16,668	\$5,554
23.1 Rental Payments to GSA	\$224,627	\$234,518	\$240,195	\$5,677
23.2 Rental Payments to Others	\$2,931	\$3,164	\$7,329	\$4,165
23.3 Communications, Utilities, and Misc. Charges	\$82,214	\$84,419	\$111,573	\$27,154
24.0 Printing and Reproduction	\$9,065	\$9,787	\$10,849	\$1,062
25.1 Advisory and Assistance Services	\$710,715	\$642,953	\$860,383	\$217,430
25.2 Other Services from Non-Federal Sources	\$184,320	\$186,020	\$250,776	\$64,756
25.3 Other Goods and Services from Federal Sources	\$250,953	\$239,669	\$309,477	\$69,808
25.4 Operation and Maintenance of Facilities	\$692	\$664	\$777	\$113
25.7 Operation and Maintenance of Equipment	\$114,621	\$95,605	\$140,039	\$44,434
26.0 Supplies and Materials	\$25,191	\$29,132	\$36,599	\$7,467
31.0 Equipment	\$108,615	\$88,581	\$132,559	\$43,978
32.0 Land and Structures	\$28,069	\$21,104	\$34,657	\$13,553
41.0 Grants, Subsidies, and Contributions	\$28,137	\$20,805	\$28,150	\$7,345
42.0 Insurance Claims and Indemnities	\$1,872	\$1,639	\$2,213	\$574
Total - Non Pay Object Classes	\$1,822,752	\$1,698,654	\$2,240,207	\$541,553

*District Operations - PPA***Budget Comparison and Adjustments****Comparison of Budget Authority and Request***Dollars in Thousands*

Organization	FY 2016 Revised Enacted			FY 2017 Annualized CR			FY 2018 President's Budget			FY 2017 to FY 2018 Total Changes		
	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount
District Operations	7,778	7,276	\$1,590,552	7,630	7,249	\$1,615,409	8,311	7,895	\$1,756,407	681	646	\$140,998
Total	7,778	7,276	\$1,590,552	7,630	7,249	\$1,615,409	8,311	7,895	\$1,756,407	681	646	\$140,998
Subtotal Mandatory - Fee	7,778	7,276	\$1,590,552	7,630	7,249	\$1,615,409	8,311	7,895	\$1,756,407	681	646	\$140,998

District Operations - PPA Budget Authority and Obligations

Dollars in Thousands

Budget Authority	FY 2016	FY 2017	FY 2018
Enacted	\$1,615,409		
Transfers & Reprogrammings	(\$24,857)		
Delta in Enacted Fee Estimate to Fee Actuals	-		
Enacted Rescissions to Prior Year	-		
Revised Enacted/Request	\$1,590,552	\$1,615,409	\$1,756,407
Carryover and/or Recoveries (Actual/Estimates/Projections)	-	-	-
Rescissions to Current Year/Budget Year	-	-	-
Net Sequestered Resources	-	-	-
Supplementals	-	-	-
Total Budget Authority	\$1,590,552	\$1,615,409	\$1,756,407
Collections – Reimbursable Resources	\$30,459	\$30,459	\$30,459
Total Budget Resources	\$1,621,011	\$1,645,868	\$1,786,866
Obligations (Actual/Projections/Estimates)	\$1,579,698	\$1,645,868	-
Personnel: Positons and FTE			
Enacted/Request Positions	7,778	7,630	8,311
Enacted/Request FTE	7,276	7,249	7,895
Onboard and Actual FTE; Includes Collections - Reimbursable Resources			
Onboard (Actual/Estimates/Projections)	7,460	7,630	8,311
FTE (Actual/Estimates/Projections)	6,933	7,249	7,895

District Operations - PPA

Collections – Reimbursable Resources

Dollars in Thousands

Collections		FY 2016 Revised Enacted			FY 2017 Annualized CR			FY 2018 President's Budget		
		Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount
Department of Defense - Department of Defense	Source	-	-	\$7,500	-	-	\$7,500	-	-	\$7,500
Department of Homeland Security - US Immigration and Customs Enforcement	Source	-	-	\$9,637	-	-	\$9,637	-	-	\$9,637
Department of Homeland Security - US Customs and Border Protection	Source	-	-	\$4,731	-	-	\$4,731	-	-	\$4,731
Department of Justice - Department of Justice	Source	-	-	\$250	-	-	\$250	-	-	\$250
Canada/UK Visa	Source	-	-	\$8,341	-	-	\$8,341	-	-	\$8,341
Total Collections		-	-	\$30,459	-	-	\$30,459	-	-	\$30,459

District Operations – PPA
Summary of Budget Changes
Dollars in Thousands

Budget Formulation Activity	Positions	FTE	Amount
FY 2016 Enacted	7,630	7,249	\$1,615,409
USCIS FY16 Reprogramming	148	27	(\$24,857)
Total Above Threshold Reprogrammings/Transfers	148	27	(\$24,857)
FY 2016 Revised Enacted	7,778	7,276	\$1,590,552
FY 2017 Annualized CR	7,630	7,249	\$1,615,409
FY 2018 Base Budget	7,630	7,249	\$1,615,409
2018 Pay Raise	-	-	\$13,364
Annualization of 2017 Pay Raise	-	-	\$4,651
Workload Adjustment	538	510	\$100,195
Workload Adjustment - Executive Orders	143	136	\$22,788
Total, Pricing Increases	681	646	\$140,998
Total Adjustments-to-Base	681	646	\$140,998
FY 2018 Current Services	8,311	7,895	\$1,756,407
FY 2018 Request	8,311	7,895	\$1,756,407
FY 2017 TO FY 2018 Change	681	646	\$140,998

PPA Description

USCIS requires \$1.756 billion, 8,311 Positions, and 7,895 Full-Time Equivalents (FTE) to sustain mission critical services in this PPA.

The District Operations PPA includes the following offices that help process immigration benefit applications and help maintain processing time goals while ensuring the security and integrity of the immigration system.

Field Operations

The Field Operations Directorate conducts in-person interviews for all immigration and naturalization benefit types that require an interview. The most significant benefits are adjustment of status applications to become a permanent resident and naturalization applications to become a U.S. citizen.

Fraud Detection and National Security

The Fraud Detection and National Security Directorate (FDNS) leads USCIS efforts to determine whether individuals or organizations requesting immigration benefits pose a threat to national security, public safety, or the integrity of the Nation's immigration system. They do this by detecting, deterring, and administratively investigating immigration-related fraud, and working to eliminate systemic vulnerabilities that could present a threat to national security and public safety.

FDNS' Case Management System records, tracks, and manages immigration inquiries, investigative referrals, law enforcement requests, and case determinations involving benefit fraud, criminal activity, public safety and national security concerns. FDNS processed over 119,000 cases in FY 2016 and projects to complete nearly 120,000 cases in FY 2017 and more than 133,000 cases in FY 2018. The increase in workload is attributable to the impact of the Executive Orders, "Implementing the President's Border Security and Immigration Enforcement Policies" and "Protecting the Nation from Foreign Terrorist Entry into the United States."

Fraud Detection Referrals Processed¹

FY 2016 (Actual)	Projected FY 2017	Projected FY 2018
119,434	119,987	133,303

Source: Fraud Detection and National Security Data System.

Of the 119,434 referrals FDNS received in FY 2016²:

- 5,870 were national security concerns.
- 2,527 were public safety leads and 13,907 were public safety cases.
- 22,624 were fraud leads and 21,620 were fraud cases.
- 40,156 were requests for assistance.
- 1,398 were requests for overseas verification.
- 11,332 were requests for benefit fraud assessments.

Office of Information Technology

The Office of Information Technology (OIT) provides the information technology, equipment, software, services, expertise, and the strategic vision to enable USCIS to deliver effective, efficient, and secure immigration services and products. OIT leads USCIS in the design, development, delivery, and deployment of a wide range of IT services and solutions that are collectively improving the Nation's immigration system.

² For the purpose of this document, the term "referral" indicates any request for FDNS to review, investigate, or support USCIS workload. This differs from the standard definition of FDNS-DS "referral" that does not include requests to FDNS to conduct administrative investigations of fraud.

²Case type and subtype data is provided as of October 19, 2015. FDNS-DS is an active system. Cases may be created, deleted, converted, or merged at any time.

Biometrics

The Biometrics Division is responsible for collecting biometric and biographic data from applicants that are required to provide this data when requesting immigration benefits in the United States.

Biometrics Workload

The following table depicts FY 2016 actual workload volumes and projected FY 2017 and FY 2018 workload volumes for the USCIS Biometrics program, which entails applicant/petitioner processing at the Application Support Centers (ASCs) nationwide, as well as fingerprint checks and name checks with the Federal Bureau of Investigation (FBI). When required by USCIS, applicants and petitioners appear at an ASC to have their biometrics (fingerprints, photographs, and signatures) collected. The biometrics are used for identity verification purposes, as well as for performing the FBI checks for security purposes. USCIS reimburses the FBI for the costs of these security checks. The biometrics workload is a derivative of immigration benefit application and petition receipts.

	FY 2016 Actual	FY 2017 Projected	FY 2018 Projected
Individuals Processed at an ASC	3,546,528	3,560,604	3,526,020
FBI Fingerprint Checks	3,785,047	4,148,615	3,964,331
FBI Name Checks	2,113,530	2,151,106	2,173,223

Office of Citizenship

The Office of Citizenship promotes the rights, responsibilities, and importance of U.S. citizenship and provides educational tools and resources for immigrants, organizations, and other stakeholders.

From FY 2009 through FY 2016, USCIS has awarded 308 competitive grants totaling approximately \$63 million. Since the inception of the program, grant recipients have provided citizenship preparation services to more than 156,000 permanent residents in 37 states and the District of Columbia. In FY 2017, services will be provided to an additional 25,000 permanent residents.

The table depicts FY 2016 Citizenship and Integration Grant Program output and outcome data along with projected FY 2017 and FY 2018 program outputs and outcomes.

Accomplishments	FY 2016 Actual	FY 2017 Projected	FY 2018 Projected
Grants awarded	46	46	46
Monitoring visits conducted	17	17	17
Total permanent residents served	34,230	30,000	30,000
Total students enrolled in citizenship classes	14,502	13,000	13,000
Total clients provided with naturalization eligibility screenings	23,324	20,000	20,000
Total N-400 applications for naturalization submitted to USCIS	16,185	15,000	15,000
Total permanent residents that became naturalized citizens	8,591	9,000	9,000

Office of Performance and Quality

The Office of Performance and Quality (OPQ) seeks to preserve and enhance the quality of USCIS data by serving as diligent stewards of the data. Through the use of sound data collection, validation, and management techniques, and by ensuring a high degree of data quality, OPQ provides relevant and actionable datasets to USCIS.

Application and Petition Workload

The following table depicts FY 2016 immigration benefit application and petition workload, including requests received, requests approved, and requests not approved. It also provides receipt projections for FY 2017 along with USCIS's planned completions, i.e., how many cases will be processed in FY 2017. USCIS assumes similar levels of receipts for FY 2018.

		Fiscal Year 2016				Fiscal Year 2017	
		¹ Requests Received	² Requests Approved	³ Requests Not Approved	⁴ Total Completions	¹⁰ Receipt Projection	⁹ Completion Target
Total - All Forms		8,708,503	7,248,610	1,260,178	8,508,788	8,466,456	9,211,989
Family-Based							
I-129F	Fiancé(e) Petitions	52,885	47,898	7,558	55,456	52,135	46,485
I-130	Immediate and Preference Relatives	869,292	692,219	59,496	751,715	576,882	663,355
I-485	Family-Based Adjustments	338,013	278,523	31,662	310,185	329,461	431,555
I-600/600A	Orphan Petitions	3,200	3,407	501	3,908	3,526	2,880
I-601A	Provisional Waivers	51,213	33,291	6,792	40,083	67,959	2,880
I-751	Remove Conditions on Residence	144,648	134,288	8,096	142,384	157,684	193,478
I-800/800A	Convention Country Adoptions	8,353	8,218	207	8,425	8,792	7,365
I-129	Nonimmigrant Worker Petitions	509,636	480,161	96,791	576,952	499,747	656,394
I-140	Immigrant Petitions for Workers	147,581	130,761	8,724	139,485	155,612	176,971
I-485	Employment-Based Adjustments	128,858	110,406	6,888	117,294	124,360	125,263
I-526	Petitions by Entrepreneurs	14,147	7,632	1,735	9,367	15,799	29,608
⁵ I-765	Employment Authorization Documents	2,111,906	1,833,171	116,573	1,949,744	2,270,280	2,203,216
I-829	Remove Conditions on Entrepreneur	3,474	1,758	96	1,854	3,859	8,124
I-924	Regional Center Applications	436	265	64	329	1,713	1,422
Humanitarian							
I-485	Asylum Adjustments	35,857	38,077	1,473	39,550	34,748	47,731
I-485	Refugee Adjustments	66,220	61,123	1,269	62,392	69,848	81,185
I-485	Indo Chinese Adjustments	-	-	-	-	-	1
I-485	Cuban Adjustment Act	61,273	53,547	1,982	55,529	57,877	72,056
I-589	Asylum Application	115,888	9,538	131	9,669	135,550	43,215
⁶ Legalization	Legalization/ SAW	116	53	144	197	125	642
I-730	Refugee/Asylee Relative Petitions	13,708	14,135	3,020	17,155	13,544	18,165

		Fiscal Year 2016				Fiscal Year 2017	
		¹ Requests Received	² Requests Approved	³ Requests Not Approved	⁴ Total Completions	¹⁰ Receipt Projection	⁹ Completion Target
I-817	Family Unity Applications	916	1,168	90	1,258	944	902
I-821	Temporary Protected Status	302,611	187,521	7,336	194,857	67,984	202,977
I-821D	Deferred Action for Childhood Arrivals	260,725	199,084	14,724	213,808	453,596	411,846
I-867	Credible Fear Referral	94,048	-	-	-	100,000	100,000
I-881	NACARA 203 Applications	642	595	81	676	304	304
I-889	Reasonable Fear	9,632	-	-	-	11,037	11,037
I-914	T Nonimmigrant Status Applications	1,848	1,736	357	2,093	1,973	2,769
I-918	U Nonimmigrant Status Applications	60,710	17,937	3,161	21,098	65,609	22,374
I-929	Petitions for Family of U Nonimmigrants	1,084	761	73	834	1,244	1,873
Naturalization							
N-300	Declaration of Intent	31	37	-	24	18	22
N-336	Requests for Hearing	4,851	2,706	2,057	4,763	5,000	5,772
N-400	Military Naturalization	8,678	8,606	653	9,259	9,643	9,223
N-400	Non-Military Naturalization	963,475	744,166	85,368	829,534	843,649	1,000,646
N-470	Preserve Residence for Naturalization	202	158	113	271	206	196
N-565	Replace Naturalization/Citizenship Certificate	27,486	28,889	5,276	34,165	27,954	25,463
N600/600K	Recognition of Citizenship Applications	71,236	64,795	5,737	70,532	65,551	75,820
N-644	Posthumous Naturalization	N	-	-	-	-	2
N-648	Disability Exception Applications	8,496	11,253	6,475	17,728	8,452	18,297

		Fiscal Year 2016				Fiscal Year 2017	
		¹ Requests Received	² Requests Approved	³ Requests Not Approved	⁴ Total Completions	¹⁰ Receipt Projection	⁹ Completion Target
Other							
I-90	Renew/Replace Permanent Resident Cards	751,357	646,158	31,925	678,083	791,166	989,493
I-102	Replace I-94s	7,489	5,438	2,181	7,619	6,308	8,708
I-131	Reentry Permit/Refugee Travel Document	88,236	75,663	3,990	79,653	90,297	108,991
I-1317	Advance Parole	359,715	344,769	26,765	371,534	360,336	371,565
I-131	Parole in Place	10,918	8,595	971	9,566	6,618	11,562
I-360	Immigrant Petitions	39,407	31,329	3,971	35,300	42,758	45,869
I-485	Other Adjustments of Status	33,005	28,281	4,269	32,550	36,304	44,568
I-539	Applications to Extend/ Change Nonimmig	214,785	207,866	38,516	246,382	212,609	225,600
I-824	Action on Approved Applications or Petiti	10,888	10,835	2,164	12,999	10,367	12,601
I-910	Application for Civil Surgeon	571	432	171	603	632	734
⁸ Waivers	Waivers	72,441	36,266	7,676	43,942	77,600	77,788
² Immigrant Visas	Immigrant Visas	597,301	617,345	-	617,345	560,000	582,836
² EOIR Adjustment	EOIR Adjustment Processing	28,796	27,585	-	27,585	28,796	30,160

Office of Administrative Appeals

The Office of Administrative Appeals issues immigration appeals decisions, including precedent decisions to guide officers and the public, and provides the public with clear and accessible information about the immigration appeals process.

Adjustments to Base Justification

The FY 2018 Budget includes the following adjustments to base:

- Increase of \$13.4 million for 1.9 percent pay raise
- Increase of \$4.7 million for annualization of the 2017 pay raise
- Increase of \$100.2 million, 538 positions and 510 FTEs for changes in operating requirements
- Increase of \$22.8 million, 143 positions, and 136 FTEs to implement Executive Orders.

District Operations – PPA Personnel Compensation and Benefits

Pay Summary

Dollars in Thousands

Organization	FY 2016 Revised Enacted				FY 2017 Annualized CR				FY 2018 President's Budget				FY 2017 to FY 2018 Total Changes			
	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate
District Operations	7,778	7,276	\$843,896	\$115.98	7,630	7,249	\$838,791	\$115.71	8,311	7,895	\$955,811	\$121.07	681	646	\$117,020	\$5.36
Total	7,778	7,276	\$843,896	\$115.98	7,630	7,249	\$838,791	\$115.71	8,311	7,895	\$955,811	\$121.07	681	646	\$117,020	\$5.36
Mandatory - Fee	7,778	7,276	\$843,896	\$115.98	7,630	7,249	\$838,791	\$115.71	8,311	7,895	\$955,811	\$121.07	681	646	\$117,020	\$5.36

NARRATIVE EXPLANATION OF CHANGES

FY 2018 request estimates \$10.939 million for performance awards. This request remains in line with OPM Awards Guidance on spending limitation.

FTE Change FY 2017-2018: Increase FTE in FY 2018 by 646 due to annualization of FY 2016 reprogramming requirements and FY 2017 workload requirements and requirements to implement Executive Orders.

PCB Change FY 2017-2018: Reflects a 1.9 percent increase for the 2018 pay raise, annualization of the 2017 pay raise, annualization of FY 2016 reprogramming requirements and FY 2017 workload requirements, and requirements to implement Executive Orders.

Average Cost Change FY 2017-2018: An average cost change of \$5,354 is based on the pay raise calculations and annualization of the FY 2017 pay and benefits.

District Operations – PPA**Pay by Object Class***Dollars in Thousands*

Pay Object Classes	FY 2016 Revised Enacted	FY 2017 Annualized CR	FY 2018 President's Budget	FY 2017 to FY 2018 Change
11.1 Full-time Permanent	\$603,687	\$600,035	\$683,746	\$83,711
11.3 Other than Full-Time Permanent	\$2,574	\$2,558	\$2,915	\$357
11.5 Other Personnel Compensation	\$32,077	\$31,883	\$36,331	\$4,448
12.1 Civilian Personnel Benefits	\$205,558	\$204,315	\$232,819	\$28,504
Total - Personnel Compensation and Benefits	\$843,896	\$838,791	\$955,811	\$117,020
Positions and FTE				
Positions - Civilian	7,778	7,630	8,311	681
FTE - Civilian	7,276	7,249	7,895	646

Pay Cost Drivers*Dollars in Thousands*

Leading Cost-Drivers	FY 2016 Revised Enacted			FY 2017 Annualized CR			FY 2018 President's Budget			FY 2017 to FY 2018 Total Changes		
	FTE	Amount	Rate	FTE	Amount	Rate	FTE	Amount	Rate	FTE	Amount	Rate
Salaries and Benefits	7,276	\$843,896	\$116	7,249	\$838,791	\$116	7,895	\$955,811	\$121	646	\$117,020	\$5
Total – Pay Cost Drivers	7,276	\$843,896	\$116	7,249	\$838,791	\$116	7,895	\$955,811	\$121	646	\$117,020	\$5

District Operations – PPA Non Pay Budget Exhibits

Non Pay Summary

Dollars in Thousands

Organization	FY 2016 Revised Enacted	FY 2017 Annualized CR	FY 2018 President's Budget	FY 2017 to FY 2018 Total Changes
District Operations	\$746,656	\$776,618	\$800,596	\$23,978
Total	\$746,656	\$776,618	\$800,596	\$23,978
Mandatory - Fee	\$746,656	\$776,618	\$800,596	\$23,978

Non Pay by Object Class

Dollars in Thousands

Non-Pay Object Classes	FY 2016 Revised Enacted	FY 2017 Annualized CR	FY 2018 President's Budget	FY 2017 to FY 2018 Change
21.0 Travel and Transportation of Persons	\$8,024	\$6,208	\$6,402	\$194
22.0 Transportation of Things	\$2,987	\$3,130	\$3,228	\$98
23.1 Rental Payments to GSA	\$130,145	\$133,276	\$137,432	\$4,156
23.2 Rental Payments to Others	\$262	\$275	\$284	\$9
23.3 Communications, Utilities, and Misc. Charges	\$25,154	\$26,357	\$27,182	\$825
24.0 Printing and Reproduction	\$4,262	\$4,466	\$4,606	\$140
25.1 Advisory and Assistance Services	\$239,135	\$250,576	\$258,417	\$7,841
25.2 Other Services from Non-Federal Sources	\$121,053	\$126,845	\$130,815	\$3,970
25.3 Other Goods and Services from Federal Sources	\$110,319	\$115,597	\$119,215	\$3,618
25.4 Operation and Maintenance of Facilities	\$35	\$37	\$38	\$1
25.7 Operation and Maintenance of Equipment	\$50,821	\$53,252	\$54,919	\$1,667
26.0 Supplies and Materials	\$6,522	\$6,834	\$7,048	\$214
31.0 Equipment	\$32,051	\$33,584	\$34,635	\$1,051
32.0 Land and Structures	\$5,209	\$5,458	\$5,629	\$171
41.0 Grants, Subsidies, and Contributions	\$9,987	\$10,000	\$10,000	-
42.0 Insurance Claims and Indemnities	\$690	\$723	\$746	\$23
Total - Non Pay Object Classes	\$746,656	\$776,618	\$800,596	\$23,978

District Operations – PPA

Non Pay Cost Drivers

Dollars in Thousands

Leading Non Pay Cost-Drivers	FY 2016 Revised Enacted	FY 2017 Annualized CR	FY 2018 President's Budget	FY 2017 to FY 2018 Total Changes
Information Technology Platform Engineering and Operations	\$59,724	\$44,534	\$44,534	\$0
Application Support Center (ASC) Labor and Facilities Contract	\$62,697	\$63,551	\$63,551	\$0
FBI Reimbursement for Fingerprint and Name Checks	\$44,780	\$72,114	\$72,114	\$0
Rental Payments to GSA	\$151,859	\$133,276	\$137,432	\$4,156
Other Costs	\$427,596	\$463,143	\$482,965	\$19,822
Total – Non Pay Cost Drivers	\$746,656	\$776,618	\$800,596	\$23,978

NARRATIVE EXPLANATION OF CHANGES

Information Technology Platform Engineering and Operations: This contract provides USCIS enterprise technology infrastructure engineering services to sustain and strengthen the hardware platforms upon which USCIS software applications reside.

ASC Labor and Facilities: This contract provides facilities for and the operations of USCIS Application Support Centers where applicants and petitioners must appear (when required by USCIS) for biometrics collection. Costs drivers include USCIS application/petition volumes for those benefit types that require biometrics collection. USCIS may also open or close ASCs in certain locations based on the distribution of its customers across the U.S.

FBI Reimbursements: These reimbursements are for FBI to run fingerprint and name checks for USCIS as part of the background check process for anyone seeking to obtain immigration benefits in the United States.

Rental Payments to GSA: The FY 2018 amount is based on projections developed by USCIS's Facilities Division, using information provided by GSA and reflects projected rent increases, termination of leases, and new leases.

*Service Center Operations - PPA***Budget Comparison and Adjustments****Comparison of Budget Authority and Request***Dollars in Thousands*

Organization	FY 2016 Revised Enacted			FY 2017 Annualized CR			FY 2018 President's Budget			FY 2017 to FY 2018 Total Changes		
	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount
Service Center Operations	3,539	3,352	\$599,010	3,846	3,654	\$669,891	3,556	3,378	\$649,461	(290)	(276)	(\$20,430)
Total	3,539	3,352	\$599,010	3,846	3,654	\$669,891	3,556	3,378	\$649,461	(290)	(276)	(\$20,430)
Subtotal Mandatory - Fee	3,539	3,352	\$599,010	3,846	3,654	\$669,891	3,556	3,378	\$649,461	(290)	(276)	(\$20,430)

Service Center Operations – PPA Budget Authority and Obligations

Dollars in Thousands

Budget Authority	FY 2016	FY 2017	FY 2018
Enacted	\$669,891		
Transfers & Reprogrammings	(\$70,881)		
Delta in Enacted Fee Estimate to Fee Actuals	-		
Enacted Rescissions to Prior Year	-		
Revised Enacted/Request	\$599,010	\$669,891	\$649,461
Carryover and/or Recoveries (Actual/Estimates/Projections)	-	-	-
Rescissions to Current Year/Budget Year	-	-	-
Net Sequestered Resources	-	-	-
Supplementals	-	-	-
Total Budget Authority	\$599,010	\$669,891	\$649,461
Collections – Reimbursable Resources	-	-	-
Total Budget Resources	\$599,010	\$669,891	\$649,461
Obligations (Actual/Projections/Estimates)	\$598,473	\$669,891	-
Personnel: Positons and FTE			
Enacted/Request Positions	3,539	3,846	3,556
Enacted/Request FTE	3,352	3,654	3,378
Onboard and Actual FTE; Includes Collections - Reimbursable Resources			
Onboard (Actual/Estimates/Projections)	3,410	3,846	3,556
FTE (Actual/Estimates/Projections)	3,265	3,654	3,378

Service Center Operations – PPA Summary of Budget Changes

Dollars in Thousands

Budget Formulation Activity	Positions	FTE	Amount
FY 2016 Enacted	3,846	3,654	\$669,891
USCIS FY16 Reprogramming	(307)	(302)	(\$70,881)
Total Above Threshold Reprogrammings/Transfers	(307)	(302)	(\$70,881)
FY 2016 Revised Enacted	3,539	3,352	\$599,010
FY 2017 Annualized CR	3,846	3,654	\$669,891
FY 2018 Base Budget	3,846	3,654	\$669,891
2018 Pay Raise	-	-	\$5,062
Annualization of 2017 Pay Raise	-	-	\$1,847
Total, Pricing Increases	-	-	\$6,909
Workload Adjustment	(290)	(276)	(\$27,339)
Total, Pricing Decreases	(290)	(276)	(\$27,339)
Total Adjustments-to-Base	(290)	(276)	(\$20,430)
FY 2018 Current Services	3,556	3,378	\$649,461
FY 2018 Request	3,556	3,378	\$649,461
FY 2017 TO FY 2018 Change	(290)	(276)	(\$20,430)

PPA Description

The FY 2018 Budget includes \$649.46 million, 3,556 Positions, and 3,378 Full-Time Equivalents (FTE) for USCIS Service Center Operations to adjudicate applications and ensure improved customer service while delivering the right benefit, to the right person, without undue delay.

This PPA supports the Service Center Operations (SCOPS) directorate and Office of Intake and Document Production (OIDP).

SCOPS is one of the key operational components of USCIS and is responsible for adjudicating the largest volume of applications across the broadest and most diverse cross-section of immigration benefits, which do not require an in-person interview.

OIDP is responsible for the beginning and end of the process for individuals who are seeking immigration benefits. It creates and distributes USCIS forms, secure certificates and informational materials; receives applications and petitions; processes filing fees; generates and distributes USCIS appointment notices; and provides approved individuals with secure documents such as permanent resident (green) cards, employment authorization documents and travel booklets.

Adjustments to Base Justification

The FY 2018 Budget includes the following adjustments to base:

- Increase of \$5.1 million for 1.9 percent pay raise
- Increase of \$1.8 million for annualization of the 2017 pay raise
- Decrease of \$27.3 million, 290 positions and 276 FTEs for changes in operating requirements

Service Center Operations – PPA Personnel Compensation and Benefits

Pay Summary

Dollars in Thousands

Organization	FY 2016 Revised Enacted				FY 2017 Annualized CR				FY 2018 President's Budget				FY 2017 to FY 2018 Total Changes			
	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate
Service Center Operations	3,539	3,352	\$411,093	\$122.64	3,846	3,654	\$438,433	\$119.99	3,556	3,378	\$362,144	\$107.21	(290)	(276)	(\$76,289)	(\$12.78)
Total	3,539	3,352	\$411,093	\$122.64	3,846	3,654	\$438,433	\$119.99	3,556	3,378	\$362,144	\$107.21	(290)	(276)	(\$76,289)	(\$12.78)
Mandatory - Fee	3,539	3,352	\$411,093	\$122.64	3,846	3,654	\$438,433	\$119.99	3,556	3,378	\$362,144	\$107.21	(290)	(276)	(\$76,289)	(\$12.78)

NARRATIVE EXPLANATION OF CHANGES

FY 2018 request estimates \$4.021 million for performance awards. This request remains in line with OPM Awards Guidance on spending limitation.

FTE Change FY 2017-2018: Decrease FTE in FY 2018 by 276 due to annualization of FY 2016 reprogramming requirements and FY 2017 workload requirements and realignment of positions to the Premium Processing (including Transformation) PPA.

PCB Change FY 2017-2018: Reflects a 1.9 percent increase for the pay raise, annualization of the 2016 pay raise, annualization of FY 2016 reprogramming requirements and FY 2017 workload requirements, and a realignment of positions to the Premium Processing (including Transformation) PPA.

Average Cost Change FY 2017-2018: An average cost change of (\$12.78) is based on the pay raise calculations and annualization of the FY 2017 requirements.

Service Center Operations – PPA**Pay by Object Class***Dollars in Thousands*

Pay Object Classes	FY 2016 Revised Enacted	FY 2017 Annualized CR	FY 2018 President's Budget	FY 2017 to FY 2018 Change
11.1 Full-time Permanent	\$285,275	\$304,248	\$251,307	(\$52,941)
11.3 Other than Full-Time Permanent	\$1,127	\$1,202	\$993	(\$209)
11.5 Other Personnel Compensation	\$24,020	\$25,617	\$21,160	(\$4,457)
12.1 Civilian Personnel Benefits	\$100,671	\$107,366	\$88,684	(\$18,682)
Total - Personnel Compensation and Benefits	\$411,093	\$438,433	\$362,144	(\$76,289)
Positions and FTE				
Positions - Civilian	3,539	3,846	3,556	(290)
FTE - Civilian	3,352	3,654	3,378	(276)

Pay Cost Drivers*Dollars in Thousands*

Leading Cost-Drivers	FY 2016 Revised Enacted			FY 2017 Annualized CR			FY 2018 President's Budget			FY 2017 to FY 2018 Total Changes		
	FTE	Amount	Rate	FTE	Amount	Rate	FTE	Amount	Rate	FTE	Amount	Rate
Salaries and Benefits	3,352	\$411,093	\$123	3,654	\$438,433	\$120	3,378	\$362,144	\$107	(276)	(\$76,289)	(\$13)
Total – Pay Cost Drivers	3,352	\$411,093	\$123	3,654	\$438,433	\$120	3,378	\$362,144	\$107	(276)	(76,289)	(\$13)

Service Center Operations – PPA Non Pay Budget Exhibits

Non Pay Summary

Dollars in Thousands

Organization	FY 2016 Revised Enacted	FY 2017 Annualized CR	FY 2018 President's Budget	FY 2017 to FY 2018 Total Changes
Service Center Operations	\$187,917	\$231,458	\$287,317	\$55,859
Total	\$187,917	\$231,458	\$287,317	\$55,859
Mandatory - Fee	\$187,917	\$231,458	\$287,317	\$55,859

Non Pay by Object Class

Dollars in Thousands

Non-Pay Object Classes	FY 2016 Revised Enacted	FY 2017 Annualized CR	FY 2018 President's Budget	FY 2017 to FY 2018 Change
21.0 Travel and Transportation of Persons	\$2,172	\$459	\$589	\$130
22.0 Transportation of Things	\$841	\$1,087	\$1,394	\$307
23.1 Rental Payments to GSA	\$34,952	\$36,185	\$36,910	\$725
23.2 Rental Payments to Others	\$22	\$28	\$36	\$8
23.3 Communications, Utilities, and Misc. Charges	\$22,323	\$28,840	\$36,983	\$8,143
24.0 Printing and Reproduction	\$1,502	\$1,940	\$2,488	\$548
25.1 Advisory and Assistance Services	\$63,244	\$81,707	\$104,775	\$23,068
25.2 Other Services from Non-Federal Sources	\$4,687	\$6,055	\$7,765	\$1,710
25.3 Other Goods and Services from Federal Sources	\$33,487	\$43,263	\$55,478	\$12,215
25.4 Operation and Maintenance of Facilities	\$44	\$57	\$73	\$16
25.7 Operation and Maintenance of Equipment	\$4,283	\$5,533	\$7,095	\$1,562
26.0 Supplies and Materials	\$12,668	\$16,366	\$20,987	\$4,621
31.0 Equipment	\$7,045	\$9,102	\$11,672	\$2,570
32.0 Land and Structures	\$638	\$824	\$1,057	\$233
42.0 Insurance Claims and Indemnities	\$9	\$12	\$15	\$3
Total - Non Pay Object Classes	\$187,917	\$231,458	\$287,317	\$55,859

Service Center Operations – PPA Non Pay Cost Drivers

Dollars in Thousands

Leading Non Pay Cost-Drivers	FY 2016 Revised Enacted	FY 2017 Annualized CR	FY 2018 President's Budget	FY 2017 to FY 2018 Total Changes
USCIS Secure Mail Initiative	\$18,061	\$17,116	\$17,116	\$0
Rental Payments to GSA	\$30,179	\$36,185	\$36,910	\$725
Treasury Lockbox Reimbursement	\$40,509	\$49,154	\$49,154	\$0
Service Center Operations Support Services (SCOSS) Contract	\$72,675	\$85,809	\$85,809	\$0
Other Costs	\$26,493	\$43,194	\$98,328	\$55,134
Total – Non Pay Cost Drivers	\$187,917	\$231,458	\$287,317	\$55,859

NARRATIVE EXPLANATION OF CHANGES

USCIS Secure Mail Initiative: Funds priority mail postage for delivery of secure identification documents, which require delivery to be tracked.

Rental Payments to GSA: The FY 2018 amount is based on projections developed by USCIS's Facilities Division, using information provided by GSA and reflects projected rent increases, termination of leases, and new leases.

Treasury Lockbox Reimbursement: USCIS maintains a contract with JP Morgan Chase to support the collection and deposit of revenue for immigration fees. The lockbox performs the initial processing of applications, scanning of materials, and depositing of checks into USCIS accounts.

SCOSS Contract: Provides administrative and technical functions in support of records management at all five Service Centers. Includes file operations, data collection, fee collection and mail operations.

*Asylum, Refugee and International Operations - PPA***Budget Comparison and Adjustments****Comparison of Budget Authority and Request***Dollars in Thousands*

Organization	FY 2016 Revised Enacted			FY 2017 Annualized CR			FY 2018 President's Budget			FY 2017 to FY 2018 Total Changes		
	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount
Asylum, Refugee and International Operations	1,606	1,447	\$284,231	1,381	1,312	\$259,042	1,668	1,585	\$399,882	287	273	\$140,840
Total	1,606	1,447	\$284,231	1,381	1,312	\$259,042	1,668	1,585	\$399,882	287	273	\$140,840
Subtotal Mandatory - Fee	1,606	1,447	\$284,231	1,381	1,312	\$259,042	1,668	1,585	\$399,882	287	273	\$140,840

Asylum Refugee and International Operations – PPA

Budget Authority and Obligations

Dollars in Thousands

Budget Authority	FY 2016	FY 2017	FY 2018
Enacted	\$259,042		
Transfers & Reprogrammings	\$25,189		
Delta in Enacted Fee Estimate to Fee Actuals	-		
Enacted Rescissions to Prior Year	-		
Revised Enacted/Request	\$284,231	\$259,042	\$399,882
Carryover and/or Recoveries (Actual/Estimates/Projections)	-	-	-
Rescissions to Current Year/Budget Year	-	-	-
Net Sequestered Resources	-	-	-
Supplementals	-	-	-
Total Budget Authority	\$284,231	\$259,042	\$399,882
Collections – Reimbursable Resources	-	-	-
Total Budget Resources	\$284,231	\$259,042	\$399,882
Obligations (Actual/Projections/Estimates)	\$276,496	\$259,042	-
Personnel: Positons and FTE			
Enacted/Request Positions	1,606	1,381	1,668
Enacted/Request FTE	1,447	1,312	1,585
Onboard and Actual FTE; Includes Collections - Reimbursable Resources			
Onboard (Actual/Estimates/Projections)	1,381	1,381	1,668
FTE (Actual/Estimates/Projections)	1,212	1,312	1,585

Asylum Refugee and International Operations – PPA

Summary of Budget Changes

Dollars in Thousands

Budget Formulation Activity	Positions	FTE	Amount
FY 2016 Enacted	1,381	1,312	\$259,042
USCIS FY16 Reprogramming	225	135	\$25,189
Total Above Threshold Reprogrammings/Transfers	225	135	\$25,189
FY 2016 Revised Enacted	1,606	1,447	\$284,231
FY 2017 Annualized CR	1,381	1,312	\$259,042
FY 2018 Base Budget	1,381	1,312	\$259,042
2018 Pay Raise	-	-	\$2,619
Annualization of 2017 Pay Raise	-	-	\$898
Workload Adjustment	287	273	\$120,446
Workload Adjustment - Executive Orders	-	-	\$16,877
Total, Pricing Increases	287	273	\$140,840
Total Adjustments-to-Base	287	273	\$140,840
FY 2018 Current Services	1,668	1,585	\$399,882
FY 2018 Request	1,668	1,585	\$399,882
FY 2017 TO FY 2018 Change	287	273	\$140,840

PPA Description

The FY 2018 Budget includes \$399.9 million, 1,668 Positions, and 1,585 Full-Time Equivalents (FTE) for USCIS's Refugee, Asylum, and International Operations (RAIO) to extend humanitarian protection, citizenship, and other immigration benefits to eligible individuals. In addition, RAIO adjudicates asylum and refugee status applications for individuals seeking protection from persecution and facilitates the process for close relatives of approved refugees and asylees to immigrate to the United States.

Adjustments to Base Justification

The FY 2018 Budget includes the following adjustments to base:

- Increase of \$2.6 million for 1.9 percent pay raise
- Increase of \$898,000 for annualization of the 2017 pay raise
- Increase of \$120.4 million, 287 positions and 273 FTEs for changes in operating requirements
- Increase of \$16.9 million to implement Executive Orders related to border security and immigration enforcement.

Asylum Refugee and International Operations – PPA Personnel Compensation and Benefits

Pay Summary

Dollars in Thousands

Organization	FY 2016 Revised Enacted				FY 2017 Annualized CR				FY 2018 President's Budget				FY 2017 to FY 2018 Total Changes			
	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate
Asylum, Refugee and International Operations	1,606	1,447	\$183,755	\$126.68	1,381	1,312	\$161,411	\$122.72	1,668	1,585	\$187,281	\$117.87	287	273	\$25,870	(\$4.85)
Total	1,606	1,447	\$183,755	\$126.68	1,381	1,312	\$161,411	\$122.72	1,668	1,585	\$187,281	\$117.87	287	273	\$25,870	(\$4.85)
Mandatory - Fee	1,606	1,447	\$183,755	\$126.68	1,381	1,312	\$161,411	\$122.72	1,668	1,585	\$187,281	\$117.87	287	273	\$25,870	(\$4.85)

* The FTE Rate calculation does not include Object Class 11.8-Special Personal Services Payments or 13.0-Benefits for Former Personnel.

NARRATIVE EXPLANATION OF CHANGES

FY 2018 request estimates \$2.033 million for performance awards. This request remains in line with OPM Awards Guidance on spending limitation.

FTE Change FY 2017-2018: Increase FTE in FY 2018 by 273 due to annualization of FY 2016 reprogramming requirements and FY 2017 workload requirements, and realignment of positions from the Information and Customer Service PPA in FY 2016.

PCB Change FY 2017-2018: Reflects a 1.9 percent increase for the pay raise, annualization of the 2016 pay raise, annualization of FY 2016 reprogramming requirements and FY 2017 workload requirements, and a realignment of positions from the Information and Customer Service PPA in FY 2016.

Average Cost Change FY 2017-2018: An average cost change of (\$4.86) is based on the pay raise calculations and annualization of the FY 2017 requirements.

Asylum Refugee and International Operations – PPA

Pay by Object Class

Dollars in Thousands

Pay Object Classes	FY 2016 Revised Enacted	FY 2017 Annualized CR	FY 2018 President's Budget	FY 2017 to FY 2018 Change
11.1 Full-time Permanent	\$124,677	\$109,516	\$127,069	\$17,553
11.3 Other than Full-Time Permanent	\$5,224	\$4,589	\$5,324	\$735
11.5 Other Personnel Compensation	\$7,006	\$6,154	\$7,140	\$986
12.1 Civilian Personnel Benefits	\$46,395	\$40,754	\$47,286	\$6,532
13.0 Benefits for Former Personnel	\$453	\$398	\$462	\$64
Total - Personnel Compensation and Benefits	\$183,755	\$161,411	\$187,281	\$25,870
Positions and FTE				
Positions - Civilian	1,606	1,381	1,668	287
FTE - Civilian	1,447	1,312	1,585	273

Pay Cost Drivers

Dollars in Thousands

Leading Cost-Drivers	FY 2016 Revised Enacted			FY 2017 Annualized CR			FY 2018 President's Budget			FY 2017 to FY 2018 Total Changes		
	FTE	Amount	Rate	FTE	Amount	Rate	FTE	Amount	Rate	FTE	Amount	Rate
Salaries and Benefits	1,447	\$183,755	\$127	1,312	\$161,411	\$123	1,585	\$187,281	\$118	273	\$25,870	(\$5)
Total – Pay Cost Drivers	1,447	\$183,755	\$127	1,312	\$161,411	\$123	1,585	\$187,281	\$118	273	\$25,870	(\$5)

Asylum Refugee and International Operations – PPA Non Pay Budget Exhibits

Non Pay Summary

Dollars in Thousands

Organization	FY 2016 Revised Enacted	FY 2017 Annualized CR	FY 2018 President's Budget	FY 2017 to FY 2018 Total Changes
Asylum, Refugee and International Operations	\$100,476	\$97,631	\$212,601	\$114,970
Total	\$100,476	\$97,631	\$212,601	\$114,970
Mandatory - Fee	\$100,476	\$97,631	\$212,601	\$114,970

Non Pay by Object Class

Dollars in Thousands

Non-Pay Object Classes	FY 2016 Revised Enacted	FY 2017 Annualized CR	FY 2018 President's Budget	FY 2017 to FY 2018 Change
21.0 Travel and Transportation of Persons	\$23,415	\$17,129	\$42,025	\$24,896
22.0 Transportation of Things	\$833	\$991	\$2,596	\$1,605
23.1 Rental Payments to GSA	\$15,994	\$18,662	\$18,716	\$54
23.2 Rental Payments to Others	\$1,990	\$2,366	\$6,199	\$3,833
23.3 Communications, Utilities, and Misc. Charges	\$3,240	\$3,853	\$10,095	\$6,242
24.0 Printing and Reproduction	\$5	\$6	\$16	\$10
25.1 Advisory and Assistance Services	\$8,711	\$10,359	\$27,139	\$16,780
25.2 Other Services from Non-Federal Sources	\$21,383	\$25,427	\$66,618	\$41,191
25.3 Other Goods and Services from Federal Sources	\$1,747	\$2,077	\$5,442	\$3,365
25.7 Operation and Maintenance of Equipment	\$2,714	\$3,227	\$8,455	\$5,228
26.0 Supplies and Materials	\$771	\$917	\$2,403	\$1,486
31.0 Equipment	\$1,098	\$1,306	\$3,422	\$2,116
32.0 Land and Structures	\$422	\$502	\$1,315	\$813
41.0 Grants, Subsidies, and Contributions	\$18,150	\$10,805	\$18,150	\$7,345
42.0 Insurance Claims and Indemnities	\$3	\$4	\$10	\$6
Total - Non Pay Object Classes	\$100,476	\$97,631	\$212,601	\$114,970

Asylum Refugee and International Operations – PPA Non Pay Cost Drivers

Dollars in Thousands

Leading Non Pay Cost-Drivers	FY 2016 Revised Enacted	FY 2017 Annualized CR	FY 2018 President's Budget	FY 2017 to FY 2018 Total Changes
DHS Working Capital Fund Contributions	\$12,195	\$10,490	\$10,490	\$0
USCIS Cuban and Haitian Entrant Program (CHEP) Grants	\$18,150	\$10,805	\$18,150	\$7,345
Dept. of State Reimbursement for Services Performed Overseas on USCIS's Behalf	\$23,000	\$25,000	\$25,000	\$0
Travel	\$23,415	\$17,129	\$42,025	\$24,896
Other Costs	\$23,716	\$34,207	\$116,936	\$82,729
Total – Non Pay Cost Drivers	\$100,476	\$97,631	\$212,601	\$114,970

NARRATIVE EXPLANATION OF CHANGES

DHS Working Capital Fund Contributions: This item represents contributions to shared services provided centrally through the DHS Working Capital Fund. Decreases from FY 2015 to FY 2016 reflect revised allocations and the removal of certain activities from the Working Capital Fund.

CHEP: Provides funding through cooperative agreements to organizations that serve Cubans and Haitians paroled into the United States.

DOS Reimbursement: This interagency agreement is for services that DOS provides for USCIS at overseas consular locations.

Travel: This includes travel for refugee and asylum applicant interviews and includes travel to the Southwest Border to interview detainees as required through the Executive Order on border security and immigration enforcement.

*Records Operations - PPA***Budget Comparison and Adjustments****Comparison of Budget Authority and Request***Dollars in Thousands*

Organization	FY 2016 Revised Enacted			FY 2017 Annualized CR			FY 2018 President's Budget			FY 2017 to FY 2018 Total Changes		
	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount
Records Operations	396	360	\$129,368	359	341	\$124,177	430	408	\$135,477	71	67	\$11,300
Total	396	360	\$129,368	359	341	\$124,177	430	408	\$135,477	71	67	\$11,300
Subtotal Mandatory - Fee	396	360	\$129,368	359	341	\$124,177	430	408	\$135,477	71	67	\$11,300

Records Operations – PPA
Budget Authority and Obligations
Dollars in Thousands

Budget Authority	FY 2016	FY 2017	FY 2018
Enacted	\$124,177		
Transfers & Reprogrammings	\$5,191		
Delta in Enacted Fee Estimate to Fee Actuals	-		
Enacted Rescissions to Prior Year	-		
Revised Enacted/Request	\$129,368	\$124,177	\$135,477
Carryover and/or Recoveries (Actual/Estimates/Projections)	-	-	-
Rescissions to Current Year/Budget Year	-	-	-
Net Sequestered Resources	-	-	-
Supplementals	-	-	-
Total Budget Authority	\$129,368	\$124,177	\$135,477
Collections – Reimbursable Resources	\$3,599	\$3,599	\$3,599
Total Budget Resources	\$132,967	\$127,776	\$139,076
Obligations (Actual/Projections/Estimates)	\$110,129	\$127,776	-
Personnel: Positons and FTE			
Enacted/Request Positions	396	359	430
Enacted/Request FTE	360	341	408
Onboard and Actual FTE; Includes Collections - Reimbursable Resources			
Onboard (Actual/Estimates/Projections)	348	359	430
FTE (Actual/Estimates/Projections)	327	341	408

Records Operations – PPA Collections – Reimbursable Resources

Dollars in Thousands

Collections		FY 2016 Revised Enacted			FY 2017 Annualized CR			FY 2018 President's Budget		
		Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount
Department of Homeland Security - US Immigration and Customs Enforcement	Source	-	-	\$2,409	-	-	\$2,409	-	-	\$2,409
Independent Agency - Social Security Administration	Source	-	-	\$7	-	-	\$7	-	-	\$7
Department of Homeland Security - US Customs and Border Protection	Source	-	-	\$1,183	-	-	\$1,183	-	-	\$1,183
Total Collections		-	-	\$3,599	-	-	\$3,599	-	-	\$3,599

Records Operations – PPA
Summary of Budget Changes
Dollars in Thousands

Budget Formulation Activity	Positions	FTE	Amount
FY 2016 Enacted	359	341	\$124,177
USCIS FY16 Reprogramming	37	19	\$5,191
Total Above Threshold Reprogrammings/Transfers	37	19	\$5,191
FY 2016 Revised Enacted	396	360	\$129,368
FY 2017 Annualized CR	359	341	\$124,177
FY 2018 Base Budget	359	341	\$124,177
2018 Pay Raise	-	-	\$576
Annualization of 2017 Pay Raise	-	-	\$200
Workload Adjustment	71	67	\$10,524
Total, Pricing Increases	71	67	\$11,300
Total Adjustments-to-Base	71	67	\$11,300
FY 2018 Current Services	430	408	\$135,477
FY 2018 Request	430	408	\$135,477
FY 2017 TO FY 2018 Change	71	67	\$11,300

PPA Description

The FY 2018 Budget includes \$135.5 million, 430 positions, and 408 Full-Time Equivalents (FTE) for USCIS management of U.S. immigration records.

This PPA supports the Records Division and the National Records Center (NRC) within the Immigration Records and Identity Services Directorate (IRIS). The Records Division administers immigration records created by USCIS and enforcement records created by other DHS entities, including alien registration files (A-files). The NRC stores and accesses over 18 million A-files, providing customers with timely and appropriate access to requested records and information in an efficient manner. In addition, NRC is responsible for the largest Freedom of Information Act (FOIA) program within the Federal Government.

Adjustments to Base Justification

The FY 2018 Budget includes the following adjustments to base:

- Increase of \$576,000 for 1.9 percent pay raise
- Increase of \$200 million for annualization of the 2017 pay raise
- Increase of \$10.5 million, 71 positions and 67 FTEs for changes in operating requirements

Records Operations – PPA Personnel Compensation and Benefits

Pay Summary

Dollars in Thousands

Organization	FY 2016 Revised Enacted				FY 2017 Annualized CR				FY 2018 President's Budget				FY 2017 to FY 2018 Total Changes			
	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate
Records Operations	396	360	\$36,734	\$102.04	359	341	\$34,301	\$100.59	430	408	\$41,222	\$101.03	71	67	\$6,921	\$0.44
Total	396	360	\$36,734	\$102.04	359	341	\$34,301	\$100.59	430	408	\$41,222	\$101.03	71	67	\$6,921	\$0.44
Mandatory - Fee	396	360	\$36,734	\$102.04	359	341	\$34,301	\$100.59	430	408	\$41,222	\$101.03	71	67	\$6,921	\$0.44

NARRATIVE EXPLANATION OF CHANGES

FY 2018 request estimates \$449 thousand for performance awards. This request remains in line with OPM Awards Guidance on spending limitation.

FTE Change FY 2017-2018: Increase FTE in FY 2018 by 67 due to annualization of FY 2016 reprogramming requirements and FY 2017 requirements.

PCB Change FY 2017-2018: Reflects a 1.9 percent increase for the pay raise, annualization of the 2016 pay raise, and annualization of FY 2016 reprogramming requirements and FY 2017 requirements.

Average Cost Change FY 2017-2018: An average cost change of \$445 is based on the pay raise calculations and annualization of the FY 2017 requirements.

Records Operations – PPA
Pay by Object Class
Dollars in Thousands

Pay Object Classes	FY 2016 Revised Enacted	FY 2017 Annualized CR	FY 2018 President's Budget	FY 2017 to FY 2018 Change
11.1 Full-time Permanent	\$25,009	\$23,352	\$28,064	\$4,712
11.3 Other than Full-Time Permanent	\$442	\$413	\$496	\$83
11.5 Other Personnel Compensation	\$2,589	\$2,418	\$2,906	\$488
12.1 Civilian Personnel Benefits	\$8,694	\$8,118	\$9,756	\$1,638
Total - Personnel Compensation and Benefits	\$36,734	\$34,301	\$41,222	\$6,921
Positions and FTE				
Positions - Civilian	396	359	430	71
FTE - Civilian	360	341	408	67

Pay Cost Drivers
Dollars in Thousands

Leading Cost-Drivers	FY 2016 Revised Enacted			FY 2017 Annualized CR			FY 2018 President's Budget			FY 2017 to FY 2018 Total Changes		
	FTE	Amount	Rate	FTE	Amount	Rate	FTE	Amount	Rate	FTE	Amount	Rate
Salaries and Benefits	360	\$36,734	\$102	341	\$34,301	\$101	408	\$41,222	\$101	67	\$6,921	\$0
Total – Pay Cost Drivers	360	\$36,734	\$102	341	\$34,301	\$101	408	\$41,222	\$101	67	\$6,921	\$0

Records Operations – PPA Non Pay Budget Exhibits

Non Pay Summary

Dollars in Thousands

Organization	FY 2016 Revised Enacted	FY 2017 Annualized CR	FY 2018 President's Budget	FY 2017 to FY 2018 Total Changes
Records Operations	\$92,634	\$89,876	\$94,255	\$4,379
Total	\$92,634	\$89,876	\$94,255	\$4,379
Mandatory - Fee	\$92,634	\$89,876	\$94,255	\$4,379

Non Pay by Object Class

Dollars in Thousands

Non-Pay Object Classes	FY 2016 Revised Enacted	FY 2017 Annualized CR	FY 2018 President's Budget	FY 2017 to FY 2018 Change
21.0 Travel and Transportation of Persons	\$144	\$214	\$225	\$11
22.0 Transportation of Things	\$105	\$100	\$105	\$5
23.1 Rental Payments to GSA	\$7,066	\$7,902	\$8,052	\$150
23.3 Communications, Utilities, and Misc. Charges	\$4,888	\$4,678	\$4,919	\$241
24.0 Printing and Reproduction	\$943	\$903	\$950	\$47
25.1 Advisory and Assistance Services	\$71,040	\$67,994	\$71,502	\$3,508
25.2 Other Services from Non-Federal Sources	\$949	\$908	\$955	\$47
25.3 Other Goods and Services from Federal Sources	\$1,795	\$1,718	\$1,807	\$89
25.4 Operation and Maintenance of Facilities	\$367	\$351	\$369	\$18
25.7 Operation and Maintenance of Equipment	\$168	\$161	\$169	\$8
26.0 Supplies and Materials	\$509	\$487	\$512	\$25
31.0 Equipment	\$4,283	\$4,099	\$4,310	\$211
32.0 Land and Structures	\$377	\$361	\$380	\$19
Total - Non Pay Object Classes	\$92,634	\$89,876	\$94,255	\$4,379

Records Operations – PPA

Non Pay Cost Drivers

Dollars in Thousands

Leading Non Pay Cost-Drivers	FY 2016 Revised Enacted	FY 2017 Annualized CR	FY 2018 President's Budget	FY 2017 to FY 2018 Total Changes
Records Compaction - USCIS Record Audit and Retention	\$6,775	\$7,854	\$7,854	\$0
Retired Records Storage - National Archives and Records Admin. (NARA) Reimbursement	\$10,355	\$12,918	\$12,918	\$0
National Records Center (NRC) and National Benefits Center (NBC) Records Operations Support	\$32,487	\$42,854	\$42,854	\$0
Other Costs	\$43,018	\$26,250	\$30,629	\$4,379
Total – Non Pay Cost Drivers	\$92,634	\$89,876	\$94,255	\$4,379

NARRATIVE EXPLANATION OF CHANGES

Records Compaction: This cost is to compact older A-Files stored at the Federal Records Center to improve data integrity and reduce the number of lost files.

Retired Records Storage: This funds the storage of inactive immigration records as USCIS must move them to other storage facilities to free up space for active A-Files.

NRC Records Center and National Benefits Center Records Operations Support: This funds centralized storage and maintenance of immigration records at the NRC. This supports the NBC with records management, file operations, quality control/business process improvement, and reporting services. Contract levels fluctuate based on application/petition volume receipts.

Premium Processing (Including Transformation) - PPA**Budget Comparison and Adjustments****Comparison of Budget Authority and Request***Dollars in Thousands*

Organization	FY 2016 Revised Enacted			FY 2017 Annualized CR			FY 2018 President's Budget			FY 2017 to FY 2018 Total Changes		
	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount
Premium Processing (Including Transformation)	447	419	\$436,637	-	-	\$226,380	1,430	1,359	\$620,829	1,430	1,359	\$394,449
Total	447	419	\$436,637	-	-	\$226,380	1,430	1,359	\$620,829	1,430	1,359	\$394,449
Subtotal Mandatory - Fee	447	419	\$436,637	-	-	\$226,380	1,430	1,359	\$620,829	1,430	1,359	\$394,449

Premium Processing (Including Transformation) – PPA Budget Authority and Obligations

Dollars in Thousands

Budget Authority	FY 2016	FY 2017	FY 2018
Enacted	\$226,380		
Transfers & Reprogrammings	\$210,257		
Delta in Enacted Fee Estimate to Fee Actuals	-		
Enacted Rescissions to Prior Year	-		
Revised Enacted/Request	\$436,637	\$226,380	\$620,829
Carryover and/or Recoveries (Actual/Estimates/Projections)	-	-	-
Rescissions to Current Year/Budget Year	-	-	-
Net Sequestered Resources	-	-	-
Supplementals	-	-	-
Total Budget Authority	\$436,637	\$226,380	\$620,829
Collections – Reimbursable Resources	-	-	-
Total Budget Resources	\$436,637	\$226,380	\$620,829
Obligations (Actual/Projections/Estimates)	\$398,552	\$226,380	-
Personnel: Positions and FTE			
Enacted/Request Positions	447	-	1,430
Enacted/Request FTE	419	-	1,359
Onboard and Actual FTE; Includes Collections - Reimbursable Resources			
Onboard (Actual/Estimates/Projections)	404	-	1,430
FTE (Actual/Estimates/Projections)	416	-	1,359

Premium Processing (Including Transformation) – PPA Summary of Budget Changes

Dollars in Thousands

Budget Formulation Activity	Positions	FTE	Amount
FY 2016 Enacted	-	-	\$226,380
USCIS FY16 Reprogramming	447	419	\$210,257
Total Above Threshold Reprogrammings/Transfers	447	419	\$210,257
FY 2016 Revised Enacted	447	419	\$436,637
FY 2017 Annualized CR	-	-	\$226,380
FY 2018 Base Budget	-	-	\$226,380
2018 Pay Raise	-	-	\$1,998
Annualization of 2017 Pay Raise	-	-	\$268
Workload Adjustment	1,430	1,359	\$388,433
Workload Adjustment - Executive Orders	-	-	\$3,750
Total, Pricing Increases	1,430	1,359	\$394,449
Total Adjustments-to-Base	1,430	1,359	\$394,449
FY 2018 Current Services	1,430	1,359	\$620,829
FY 2018 Request	1,430	1,359	\$620,829
FY 2017 TO FY 2018 Change	1,430	1,359	\$394,449

PPA Description

The FY 2018 Budget includes \$620.8 million, 1,430 positions, and 1,359 Full-Time Equivalents (FTE) for USCIS to: 1) adjudicate cases for business customers that have requested premium processing service; 2) fund the operations, maintenance, and acquisition costs for USCIS' business transformation investment, the Electronic Immigration System (ELIS); 3) fund infrastructure improvements in the adjudications and customer service processes; and 4) fund positions to manage application/petition processing backlogs.

In FY 2015, USCIS ELIS transitioned to an agile software development methodology and a less complex technology architecture. In FY 2016, the program focused on deploying the capability to process the Application for Naturalization (Form N-400). USCIS also focused on finalizing activities designed to operationally reduce its legacy ELIS footprint with the de-commission of Legacy

ELIS.

In FY 2017, the Transformation program was re-located to the Office of Information Technology (OIT) and is focusing on completing the following business objectives:

Transformation Business Objectives (FY 2017 and FY 2018)

Business Objectives (FY 2017)
2nd Quarter <ul style="list-style-type: none">• Transition to OIT• Immediate fixes to background checks• Improve Testing• Improve architecture• Reduce Technical Debt
3rd Quarter <ul style="list-style-type: none">• Pivot N-400 to ELIS• Improve background checks• Fix gaps in I-90 and N-400• Reduce Technical Debt• Re-baseline Program
4th Quarter <ul style="list-style-type: none">• Improve flow of I-90 and N-400• Add enterprise features• Better integration• Reduce Technical Debt• Add immigrant features
Business Objectives (FY 2018)
1st Quarter <ul style="list-style-type: none">• Improve flow of I-90 and N-400• Improve flow of IV fee• Add enterprise features• Add immigrant features• Implement insider threat features

2nd Quarter

- Improve flow of EADs
- Add enterprise features
- Better integration
- Add immigrant features
- Implement security features

3rd Quarter

- Add enterprise features
- Better integration
- Add immigrant features
- Implement security features

4th Quarter

- Add immigrant features
- EDIS (Data exchange with reps)
- Enterprise data quality improvements

Adjustments to Base Justification

The FY 2018 Budget includes the following adjustments to base:

- Increase of \$2.0 million for 1.9 percent pay raise
- Increase of \$268.0 thousand for annualization of the 2017 pay raise
- Increase of \$388.4 million, 1,430 positions and 1,359 FTEs for changes in operating requirements

Premium Processing (Including Transformation) – PPA Personnel Compensation and Benefits

Pay Summary

Dollars in Thousands

Organization	FY 2016 Revised Enacted				FY 2017 Annualized CR				FY 2018 President's Budget				FY 2017 to FY 2018 Total Changes			
	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate
Premium Processing (Including Transformation)	447	419	\$45,484	\$108.55	-	-	-	-	1,430	1,359	\$142,460	\$104.83	1,430	1,359	\$142,460	\$104.83
Total	447	419	\$45,484	\$108.55	-	-	-	-	1,430	1,359	\$142,460	\$104.83	1,430	1,359	\$142,460	\$104.83
Mandatory - Fee	447	419	\$45,484	\$108.55	-	-	-	-	1,430	1,359	\$142,460	\$104.83	1,430	1,359	\$142,460	\$104.83

NARRATIVE EXPLANATION OF CHANGES

FY 2018 request estimates \$1.582 million for performance awards. This request remains in line with OPM Awards Guidance on spending limitation.

FTE Change FY 2017-2018: Increase FTE in FY 2018 by 1,359 due to annualization of FY 2016 reprogramming requirements and FY 2017 requirements, and realignment of positions from District Operations (i.e., positions supporting the Transformation Program (ELIS)) and Service Center Operations (i.e., positions adjudicating premium processing workload) PPAs in FY 2016.

PCB Change FY 2017-2018: Reflects a 1.9 percent increase for the pay raise, annualization of the 2016 pay raise, annualization of FY 2016 reprogramming requirements and FY 2017 requirements, and realignment of positions from District Operations and Service Center Operations PPAs in FY 2016.

Average Cost Change FY 2017-2018: An average cost change of \$104.83 is based on the pay raise calculations and the addition of FY 2016 and FY 2017 requirements.

Premium Processing (Including Transformation) – PPA**Pay by Object Class***Dollars in Thousands*

Pay Object Classes	FY 2016 Revised Enacted	FY 2017 Annualized CR	FY 2018 President's Budget	FY 2017 to FY 2018 Change
11.1 Full-time Permanent	\$31,563	-	\$98,858	\$98,858
11.3 Other than Full-Time Permanent	\$119	-	\$373	\$373
11.5 Other Personnel Compensation	\$2,973	-	\$9,312	\$9,312
12.1 Civilian Personnel Benefits	\$10,829	-	\$33,917	\$33,917
Total - Personnel Compensation and Benefits	\$45,484	-	\$142,460	\$142,460
Positions and FTE				
Positions - Civilian	447	-	1,430	1,430
FTE - Civilian	419	-	1,359	1,359

Pay Cost Drivers*Dollars in Thousands*

Leading Cost-Drivers	FY 2016 Revised Enacted			FY 2017 Annualized CR			FY 2018 President's Budget			FY 2017 to FY 2018 Total Changes		
	FTE	Amount	Rate	FTE	Amount	Rate	FTE	Amount	Rate	FTE	Amount	Rate
Salaries and Benefits	419	\$45,484	\$109	0	\$0	\$0	1,359	\$142,460	\$105	1,359	\$142,460	\$105
Total – Pay Cost Drivers	419	\$45,484	\$109	0	\$0	\$0	1,359	\$142,460	\$105	1,359	\$142,460	\$105

Premium Processing (Including Transformation) – PPA
Non Pay Budget Exhibits

Non Pay Summary

Dollars in Thousands

Organization	FY 2016 Revised Enacted	FY 2017 Annualized CR	FY 2018 President's Budget	FY 2017 to FY 2018 Total Changes
Premium Processing (Including Transformation)	\$391,153	\$226,380	\$478,369	\$251,989
Total	\$391,153	\$226,380	\$478,369	\$251,989
Mandatory - Fee	\$391,153	\$226,380	\$478,369	\$251,989

Non Pay by Object Class

Dollars in Thousands

Non-Pay Object Classes	FY 2016 Revised Enacted	FY 2017 Annualized CR	FY 2018 President's Budget	FY 2017 to FY 2018 Change
21.0 Travel and Transportation of Persons	\$183	\$123	\$264	\$141
22.0 Transportation of Things	\$18	\$10	\$21	\$11
23.1 Rental Payments to GSA	\$5,711	\$5,948	\$6,061	\$113
23.3 Communications, Utilities, and Misc. Charges	\$8,858	\$5,065	\$10,853	\$5,788
25.1 Advisory and Assistance Services	\$237,560	\$135,849	\$291,076	\$155,227
25.2 Other Services from Non-Federal Sources	\$6,158	\$3,521	\$7,544	\$4,023
25.3 Other Goods and Services from Federal Sources	\$16,409	\$9,383	\$20,104	\$10,721
25.7 Operation and Maintenance of Equipment	\$52,110	\$29,799	\$63,849	\$34,050
26.0 Supplies and Materials	\$386	\$221	\$474	\$253
31.0 Equipment	\$49,971	\$28,576	\$61,228	\$32,652
32.0 Land and Structures	\$13,778	\$7,879	\$16,882	\$9,003
42.0 Insurance Claims and Indemnities	\$11	\$6	\$13	\$7
Total - Non Pay Object Classes	\$391,153	\$226,380	\$478,369	\$251,989

Premium Processing (Including Transformation) – PPA
Non Pay Cost Drivers

Dollars in Thousands

Leading Non Pay Cost-Drivers	FY 2016 Revised Enacted	FY 2017 Annualized CR	FY 2018 President's Budget	FY 2017 to FY 2018 Total Changes
Information Technology Platform Engineering and Operations	\$11,382	\$10,518	\$10,518	\$0
Independent Verification and Validation	\$23,633	\$16,238	\$16,238	\$0
Flexible Agile Development Services (FADS)	\$20,969	\$43,957	\$43,957	\$0
Other Costs	\$322,612	\$137,829	\$389,818	\$251,989
Total – Non Pay Cost Drivers	\$391,153	\$226,380	\$478,369	\$251,989

NARRATIVE EXPLANATION OF CHANGES

Information Technology Platform Engineering and Operations: This item consists of contract costs to design and engineer the technical infrastructure of ELIS - both in the cloud as well as in the DHS data centers.

Independent Verification and Validation: This category includes contractual costs for third-party verification and validation of ELIS code to ensure it is acceptable to USCIS before it is deployed in the live production system.

Flexible Agile Development Services Contracts: This item consists of contracts for agile software development of ELIS.

*Information and Customer Services - PPA***Budget Comparison and Adjustments****Comparison of Budget Authority and Request***Dollars in Thousands*

Organization	FY 2016 Revised Enacted			FY 2017 Annualized CR			FY 2018 President's Budget			FY 2017 to FY 2018 Total Changes		
	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount
Information and Customer Services	317	299	\$97,932	360	342	\$124,041	329	313	\$109,445	(31)	(29)	(\$14,596)
Total	317	299	\$97,932	360	342	\$124,041	329	313	\$109,445	(31)	(29)	(\$14,596)
Subtotal Mandatory - Fee	317	299	\$97,932	360	342	\$124,041	329	313	\$109,445	(31)	(29)	(\$14,596)

Information and Customer Services – PPA

Budget Authority and Obligations

Dollars in Thousands

Budget Authority	FY 2016	FY 2017	FY 2018
Enacted	\$124,041		
Transfers & Reprogrammings	(\$26,109)		
Delta in Enacted Fee Estimate to Fee Actuals	-		
Enacted Rescissions to Prior Year	-		
Revised Enacted/Request	\$97,932	\$124,041	\$109,445
Carryover and/or Recoveries (Actual/Estimates/Projections)	-	-	-
Rescissions to Current Year/Budget Year	-	-	-
Net Sequestered Resources	-	-	-
Supplementals	-	-	-
Total Budget Authority	\$97,932	\$124,041	\$109,445
Collections – Reimbursable Resources	-	-	-
Total Budget Resources	\$97,932	\$124,041	\$109,445
Obligations (Actual/Projections/Estimates)	\$96,553	\$124,041	-
Personnel: Positons and FTE			
Enacted/Request Positions	317	360	329
Enacted/Request FTE	299	342	313
Onboard and Actual FTE; Includes Collections - Reimbursable Resources			
Onboard (Actual/Estimates/Projections)	329	360	329
FTE (Actual/Estimates/Projections)	304	342	313

Information and Customer Services – PPA Summary of Budget Changes

Dollars in Thousands

Budget Formulation Activity	Positions	FTE	Amount
FY 2016 Enacted	360	342	\$124,041
USCIS FY16 Reprogramming	(43)	(43)	(\$26,109)
Total Above Threshold Reprogrammings/Transfers	(43)	(43)	(\$26,109)
FY 2016 Revised Enacted	317	299	\$97,932
FY 2017 Annualized CR	360	342	\$124,041
FY 2018 Base Budget	360	342	\$124,041
2018 Pay Raise	-	-	\$496
Annualization of 2017 Pay Raise	-	-	\$181
Total, Pricing Increases	-	-	\$677
Workload Adjustment	(31)	(29)	(\$15,273)
Total, Pricing Decreases	(31)	(29)	(\$15,273)
Total Adjustments-to-Base	(31)	(29)	(\$14,596)
FY 2018 Current Services	329	313	\$109,445
FY 2018 Request	329	313	\$109,445
FY 2017 TO FY 2018 Change	(31)	(29)	(\$14,596)

PPA Description

The FY 2018 Budget includes \$109.4 million, 329 positions, and 313 Full-Time Equivalents (FTE) for USCIS to support the Customer Service and Public Engagement Directorate (CSPED). CSPED manages more than 14 million engagements with customers annually over multiple channels and various tiers of service, designs and develops enterprise online tools and resources, and leads agency-wide dialogue with external stakeholders.

CSPED manages the National Customer Service Center (NCSC) 1-800 number for USCIS, and conducts customer-centric engagements so USCIS customers and stakeholders can receive accurate, timely, consistent information and effective resolution of their specific issues during their first contact with the agency.

CSPED also manages online customer service tools such as Case Status Online and e-Request as well as serves as an agency leader in transformation and innovation to build a unified digital customer experience.

Call Volume			
Call Centers	FY 16 Actual	FY 17 Projected	FY 18 Projected
Tier 1	6,698,508	7,741,620	8,470,044
Tier 2	1,026, 681	1,076,667	1,196,296

Adjustments to Base Justification

The FY 2018 Budget includes the following adjustments to base:

- Increase of \$496,000 for 1.9 percent pay raise
- Increase of \$181,000 for annualization of the 2017 pay raise
- Decrease of \$15.3 million, 31 positions and 29 FTEs for changes in operating requirements

Information and Customer Services – PPA

Personnel Compensation and Benefits

Pay Summary

Dollars in Thousands

Organization	FY 2016 Revised Enacted				FY 2017 Annualized CR				FY 2018 President's Budget				FY 2017 to FY 2018 Total Changes			
	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate
Information and Customer Services	317	299	\$32,177	\$107.62	360	342	\$35,731	\$104.48	329	313	\$35,484	\$113.37	(31)	(29)	(\$247)	\$8.89
Total	317	299	\$32,177	\$107.62	360	342	\$35,731	\$104.48	329	313	\$35,484	\$113.37	(31)	(29)	(\$247)	\$8.89
Mandatory - Fee	317	299	\$32,177	\$107.62	360	342	\$35,731	\$104.48	329	313	\$35,484	\$113.37	(31)	(29)	(\$247)	\$8.89

NARRATIVE EXPLANATION OF CHANGES

FY 2018 request estimates \$413 thousand for performance awards. This request remains in line with OPM Awards Guidance on spending limitation.

FTE Change FY 2017-2018: Decrease in FY 2018 FTE by 29 due to annualization of FY 2016 reprogramming requirements and FY 2017 requirements, and realignment of positions to the Asylum, Refugee and International Operations PPA in FY 2016.

PCB Change FY 2017-2018: Reflects a 1.9 percent increase for the pay raise, annualization of the 2016 pay raise, annualization of FY 2016 reprogramming requirements and FY 2017 requirements, and realignment of positions to Asylum, Refugee and International Operations PPA in FY 2016.

Average Cost Change FY 2017-2018: An average cost change of \$8.89 is based on the pay raise calculations and annualization of the FY 2017 requirements.

Information and Customer Services-PPA

Pay by Object Class

Dollars in Thousands

Pay Object Classes	FY 2016 Revised Enacted	FY 2017 Annualized CR	FY 2018 President's Budget	FY 2017 to FY 2018 Change
11.1 Full-time Permanent	\$23,410	\$25,996	\$25,816	(\$180)
11.3 Other than Full-Time Permanent	\$155	\$172	\$171	(\$1)
11.5 Other Personnel Compensation	\$778	\$864	\$858	(\$6)
12.1 Civilian Personnel Benefits	\$7,834	\$8,699	\$8,639	(\$60)
Total - Personnel Compensation and Benefits	\$32,177	\$35,731	\$35,484	(\$247)
Positions and FTE				
Positions - Civilian	317	360	329	(31)
FTE - Civilian	299	342	313	(29)

Pay Cost Drivers

Dollars in Thousands

Leading Cost-Drivers	FY 2016 Revised Enacted			FY 2017 Annualized CR			FY 2018 President's Budget			FY 2017 to FY 2018 Total Changes		
	FTE	Amount	Rate	FTE	Amount	Rate	FTE	Amount	Rate	FTE	Amount	Rate
Salaries and Benefits	299	\$32,177	\$108	342	\$35,731	\$104	313	\$35,484	\$113	(29)	\$247	(\$9)
Total – Pay Cost Drivers	299	\$32,177	\$108	342	\$35,731	\$104	313	\$35,484	\$113	(29)	\$247	(\$9)

Information and Customer Services – PPA

Non Pay Budget Exhibits

Non Pay Summary

Dollars in Thousands

Organization	FY 2016 Revised Enacted	FY 2017 Annualized CR	FY 2018 President's Budget	FY 2017 to FY 2018 Total Changes
Information and Customer Services	\$65,755	\$88,310	\$73,961	(\$14,349)
Total	\$65,755	\$88,310	\$73,961	(\$14,349)
Mandatory - Fee	\$65,755	\$88,310	\$73,961	(\$14,349)

Non Pay by Object Class

Dollars in Thousands

Non-Pay Object Classes	FY 2016 Revised Enacted	FY 2017 Annualized CR	FY 2018 President's Budget	FY 2017 to FY 2018 Change
21.0 Travel and Transportation of Persons	\$319	\$289	\$240	(\$49)
22.0 Transportation of Things	\$36	\$49	\$41	(\$8)
23.1 Rental Payments to GSA	\$3,422	\$3,589	\$3,657	\$68
23.3 Communications, Utilities, and Misc. Charges	\$3,566	\$4,855	\$4,029	(\$826)
24.0 Printing and Reproduction	\$1,132	\$1,541	\$1,279	(\$262)
25.1 Advisory and Assistance Services	\$50,288	\$68,469	\$56,816	(\$11,653)
25.2 Other Services from Non-Federal Sources	\$662	\$901	\$748	(\$153)
25.3 Other Goods and Services from Federal Sources	\$2,007	\$2,733	\$2,268	(\$465)
25.4 Operation and Maintenance of Facilities	\$53	\$72	\$60	(\$12)
25.7 Operation and Maintenance of Equipment	\$305	\$415	\$344	(\$71)
26.0 Supplies and Materials	\$1,691	\$2,302	\$1,910	(\$392)
31.0 Equipment	\$1,860	\$2,532	\$2,101	(\$431)
32.0 Land and Structures	\$399	\$543	\$451	(\$92)
42.0 Insurance Claims and Indemnities	\$15	\$20	\$17	(\$3)
Total - Non Pay Object Classes	\$65,755	\$88,310	\$73,961	(\$14,349)

Information and Customer Services - PPA

Non Pay Cost Drivers

Dollars in Thousands

Leading Non Pay Cost-Drivers	FY 2016 Revised Enacted	FY 2017 Annualized CR	FY 2018 President's Budget	FY 2017 to FY 2018 Total Changes
End User Desktop and Mobile Services	\$0	\$3,466	\$3,466	\$0
DHS Working Capital Fund Contributions	\$5,533	\$4,759	\$4,759	\$0
USCIS Call Center Contracts	\$43,989	\$43,989	\$43,989	\$0
Other Costs	\$16,233	\$36,097	\$21,748	(\$14,349)
Total – Non Pay Cost Drivers	\$65,755	\$88,310	\$73,961	(\$14,349)

NARRATIVE EXPLANATION OF CHANGES

End User Desktop and Mobile Services: This item includes end-user IT support to USCIS employees and contractors, as well as maintenance and support of locally-housed IT equipment and wireless devices. This amount only represents a portion of the total annual contract cost attributable to Information and Customer Service.

DHS Working Capital Fund Contributions: This item represents contributions to shared services provided centrally through the DHS Working Capital Fund

Call Center Contracts: This item funds two nationwide call center contracts to operate the bilingual (English/Spanish) USCIS National Customer Service Center (1-800 line). This cost object is impacted by fluctuations in applicant and petitioner volume. USCIS is moving toward a more modern, multi-channel customer contact center which will eventually reduce this cost item. Increased application/petition volumes will be absorbed by more customers moving to online customer service channels.

*Administration - PPA***Budget Comparison and Adjustments****Comparison of Budget Authority and Request***Dollars in Thousands*

Organization	FY 2016 Revised Enacted			FY 2017 Annualized CR			FY 2018 President's Budget			FY 2017 to FY 2018 Total Changes		
	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount
Administration	1,522	1,423	\$434,316	1,472	1,398	\$384,585	1,645	1,563	\$522,010	173	165	\$137,425
Total	1,522	1,423	\$434,316	1,472	1,398	\$384,585	1,645	1,563	\$522,010	173	165	\$137,425
Subtotal Mandatory - Fee	1,522	1,423	\$434,316	1,472	1,398	\$384,585	1,645	1,563	\$522,010	173	165	\$137,425

Administration – PPA
Budget Authority and Obligations
Dollars in Thousands

Budget Authority	FY 2016	FY 2017	FY 2018
Enacted	\$384,585		
Transfers & Reprogrammings	\$49,731		
Delta in Enacted Fee Estimate to Fee Actuals	-		
Enacted Rescissions to Prior Year	-		
Revised Enacted/Request	\$434,316	\$384,585	\$522,010
Carryover and/or Recoveries (Actual/Estimates/Projections)	-	-	-
Rescissions to Current Year/Budget Year	-	-	-
Net Sequestered Resources	-	-	-
Supplementals	-	-	-
Total Budget Authority	\$434,316	\$384,585	\$522,010
Collections – Reimbursable Resources	-	-	-
Total Budget Resources	\$434,316	\$384,585	\$522,010
Obligations (Actual/Projections/Estimates)	\$398,764	\$384,585	-
Personnel: Positons and FTE			
Enacted/Request Positions	1,522	1,472	1,645
Enacted/Request FTE	1,423	1,398	1,563
Onboard and Actual FTE; Includes Collections - Reimbursable Resources			
Onboard (Actual/Estimates/Projections)	1,415	1,472	1,645
FTE (Actual/Estimates/Projections)	1,342	1,398	1,563

Administration – PPA
Summary of Budget Changes
Dollars in Thousands

Budget Formulation Activity	Positions	FTE	Amount
FY 2016 Enacted	1,472	1,398	\$384,585
USCIS FY16 Reprogramming	50	25	\$49,731
Total Above Threshold Reprogrammings/Transfers	50	25	\$49,731
FY 2016 Revised Enacted	1,522	1,423	\$434,316
FY 2017 Annualized CR	1,472	1,398	\$384,585
FY 2018 Base Budget	1,472	1,398	\$384,585
2018 Pay Raise	-	-	\$3,382
Annualization of 2017 Pay Raise	-	-	\$1,161
Workload Adjustment	173	165	\$132,882
Total, Pricing Increases	173	165	\$137,425
Total Adjustments-to-Base	173	165	\$137,425
FY 2018 Current Services	1,645	1,563	\$522,010
FY 2018 Request	1,645	1,563	\$522,010
FY 2017 TO FY 2018 Change	173	165	\$137,425

PPA Description

The FY 2018 Budget includes \$522 million, 1,645 positions, and 1,563 Full-Time Equivalents (FTE) in this PPA to support a wide variety of USCIS headquarters offices including the Office of the Director, Administration, the Investment Management Division, the Chief Financial Officer, Chief Counsel, Privacy, Contracting, Policy and Strategy, Equal Opportunity and Inclusion, Chief Human Capital Officer, and Security and Integrity. In addition, it also supports USCIS's newly established External Affairs directorate, which includes the offices of Citizenship, Communications, and Legislative Affairs.

This PPA supports the following functions: procurement operations; management of property plant and equipment, and other material resources; budget, planning and performance measures, strategic sourcing, financial and capital asset management; human resources and personnel recruitment, hiring, training, leadership development, employee benefits, and work-life programs; immigration forms, print services, and the management of security and emergency management operations.

The table below depicts actual and projected workloads for select functions included in the Administration PPA.

Responsible Office	Workload Measure	FY 2016 Actual	FY 2017 Projected	FY 2018 Projected
Office of Human Capital and Training	Personnel Actions	13,483	15,225	18,403
Office of Human Capital and Training	Employees Completing BASIC Immigration Adjudicator Training	978	1,015	960
Office of Security and Integrity	Adjudicative Determinations ¹	9,114	11,357	13,353
Office of Security and Integrity	Entry on Duty (EOD) Determinations ²	12,300	14,760	15,941
Office of Equal Opportunity and Inclusion	Formal Complaint Filings	87	88	88
Office of Equal Opportunity and Inclusion	Disability Accommodation Requests	1,043	1,050	1,055
Office of Equal Opportunity and Inclusion	Informal Complaint Filings	132	152	150

¹Includes suitability, fitness, security, reinvestigation, and Secure Compartmented Information (SCI) eligibility case types not including contract-to-contract transfers or internal employee selections.

²Includes new hires, transfers from other Federal agencies, internal employee selections, contract-to-contract transfers, and multiple contract support.

Adjustments to Base Justification

The FY 2018 Budget includes the following adjustments to base:

- Increase of \$3.4 million for 1.9 percent pay raise
- Increase of \$1.2 million for annualization of the 2017 pay raise
- Increase of \$132.9 million, 173 positions and 165 FTEs for changes in operating requirements

Administration – PPA Personnel Compensation and Benefits

Pay Summary

Dollars in Thousands

Organization	FY 2016 Revised Enacted				FY 2017 Annualized CR				FY 2018 President's Budget				FY 2017 to FY 2018 Total Changes			
	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate
Administration	1,522	1,423	\$206,691	\$144.96	1,472	1,398	\$201,441	\$143.81	1,645	1,563	\$241,869	\$154.44	173	165	\$40,428	\$10.63
Total	1,522	1,423	\$206,691	\$144.96	1,472	1,398	\$201,441	\$143.81	1,645	1,563	\$241,869	\$154.44	173	165	\$40,428	\$10.63
Mandatory - Fee	1,522	1,423	\$206,691	\$144.96	1,472	1,398	\$201,441	\$143.81	1,645	1,563	\$241,869	\$154.44	173	165	\$40,428	\$10.63

* The FTE Rate calculation does not include Object Class 11.8-Special Personal Services Payments or 13.0-Benefits for Former Personnel.

NARRATIVE EXPLANATION OF CHANGES

FY 2018 request estimates \$2.782 million for performance awards. This request remains in line with OPM Awards Guidance on spending limitation.

FTE Change FY 2017-2018: Increase in FY 2018 FTEs by 165 due to annualization of FY 2016 reprogramming requirements and FY 2017 requirements.

PCB Change FY 2017-2018: Reflects a 1.9 percent increase for the pay raise, annualization of the 2016 pay raise, and annualization of FY 2016 reprogramming requirements and FY 2017 requirements.

Average Cost Change FY 2017-2018: An average cost change of \$10.63 is based on the pay raise calculations and annualization of the FY 2017 requirements.

Administration – PPA**Pay by Object Class***Dollars in Thousands*

Pay Object Classes	FY 2016 Revised Enacted	FY 2017 Annualized CR	FY 2018 President's Budget	FY 2017 to FY 2018 Change
11.1 Full-time Permanent	\$148,550	\$144,777	\$173,833	\$29,056
11.3 Other than Full-Time Permanent	\$2,387	\$2,326	\$2,793	\$467
11.5 Other Personnel Compensation	\$2,434	\$2,372	\$2,848	\$476
12.1 Civilian Personnel Benefits	\$52,909	\$51,565	\$61,914	\$10,349
13.0 Benefits for Former Personnel	\$411	\$401	\$481	\$80
Total - Personnel Compensation and Benefits	\$206,691	\$201,441	\$241,869	\$40,428
Positions and FTE				
Positions - Civilian	1,522	1,472	1,645	173
FTE - Civilian	1,423	1,398	1,563	165

Pay Cost Drivers*Dollars in Thousands*

Leading Cost-Drivers	FY 2016 Revised Enacted			FY 2017 Annualized CR			FY 2018 President's Budget			FY 2017 to FY 2018 Total Changes		
	FTE	Amount	Rate	FTE	Amount	Rate	FTE	Amount	Rate	FTE	Amount	Rate
Salaries and Benefits	1,423	\$206,691	\$145	1,398	\$201,441	\$144	1,563	\$241,869	\$155	165	\$40,428	\$11
Total – Pay Cost Drivers	1,423	\$206,691	\$145	1,398	\$201,441	\$144	1,563	\$241,869	\$155	165	\$40,428	\$11

Administration – PPA Non Pay Budget Exhibits

Non Pay Summary

Dollars in Thousands

Organization	FY 2016 Revised Enacted	FY 2017 Annualized CR	FY 2018 President's Budget	FY 2017 to FY 2018 Total Changes
Administration	\$227,625	\$183,144	\$280,141	\$96,997
Total	\$227,625	\$183,144	\$280,141	\$96,997
Mandatory - Fee	\$227,625	\$183,144	\$280,141	\$96,997

Non Pay by Object Class

Dollars in Thousands

Non-Pay Object Classes	FY 2016 Revised Enacted	FY 2017 Annualized CR	FY 2018 President's Budget	FY 2017 to FY 2018 Change
21.0 Travel and Transportation of Persons	\$4,106	\$5,040	\$8,141	\$3,101
22.0 Transportation of Things	\$7,522	\$5,747	\$9,283	\$3,536
23.1 Rental Payments to GSA	\$24,527	\$26,058	\$26,413	\$355
23.2 Rental Payments to Others	\$643	\$491	\$793	\$302
23.3 Communications, Utilities, and Misc. Charges	\$14,041	\$10,728	\$17,328	\$6,600
24.0 Printing and Reproduction	\$1,216	\$929	\$1,501	\$572
25.1 Advisory and Assistance Services	\$33,977	\$25,961	\$41,934	\$15,973
25.2 Other Services from Non-Federal Sources	\$29,165	\$22,284	\$35,993	\$13,709
25.3 Other Goods and Services from Federal Sources	\$84,767	\$64,771	\$104,619	\$39,848
25.4 Operation and Maintenance of Facilities	\$193	\$147	\$237	\$90
25.7 Operation and Maintenance of Equipment	\$4,207	\$3,214	\$5,191	\$1,977
26.0 Supplies and Materials	\$2,611	\$1,995	\$3,222	\$1,227
31.0 Equipment	\$12,260	\$9,368	\$15,131	\$5,763
32.0 Land and Structures	\$7,246	\$5,537	\$8,943	\$3,406
42.0 Insurance Claims and Indemnities	\$1,144	\$874	\$1,412	\$538
Total - Non Pay Object Classes	\$227,625	\$183,144	\$280,141	\$96,997

Administration – PPA

Non Pay Cost Drivers

Dollars in Thousands

Leading Non Pay Cost-Drivers	FY 2016 Revised Enacted	FY 2017 Annualized CR	FY 2018 President's Budget	FY 2017 to FY 2018 Total Changes
Office of Security and Integrity Contract Support	\$18,466	\$14,840	\$14,840	\$0
OPM Background Investigation Reimbursement	\$14,916	\$22,220	\$22,220	\$0
USCIS Field Overheads (e.g., Utilities, Local Guard Services, etc.)	\$54,201	\$59,171	\$59,171	\$0
USCIS Lease Acquisition Plan	\$12,851	\$64,895	\$64,895	\$0
Other Costs	\$127,191	\$22,018	\$119,015	\$96,997
Total – Non Pay Cost Drivers	\$227,625	\$183,144	\$280,141	\$96,997

NARRATIVE EXPLANATION OF CHANGES

Office of Security and Integrity Contract Support: This item supports physical (facility) security upgrades, including program management and equipment purchases, and purchase of replacement security equipment such as secure benefit approval and denial seals/stamps.

OPM Background Investigation Reimbursement: This item consists of reimbursements to the Office of Personnel Management for employee background investigations. Costs are impacted by the number of onboard employees and the cyclic nature of 5-year reinvestigations due to past hiring surges, as well as OPM reimbursement rates.

USCIS Field Overheads: This item includes expenses at USCIS field facilities to fund local guard services, utilities, housekeeping/cleaning, and other mandatory costs. This cost item is impacted by where USCIS field offices are located. Many costs are included in the GSA rent charges for federally owned buildings as opposed to leased locations. Costs will change as USCIS opens or closes offices across the U.S.

USCIS Lease Acquisition Plan: This item represents buildout costs for new facilities and renovation/upgrade projects for existing facilities.

*Systematic Alien Verification for Entitlements (SAVE) –PPA***Budget Comparison and Adjustments****Comparison of Budget Authority and Request***Dollars in Thousands*

Organization	FY 2016 Revised Enacted			FY 2017 Annualized CR			FY 2018 President's Budget			FY 2017 to FY 2018 Total Changes		
	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount
Systematic Alien Verification for Entitlements (SAVE)	223	212	\$32,320	223	212	\$27,021	223	212	\$34,828	-	-	\$7,807
Total	223	212	\$32,320	223	212	\$27,021	223	212	\$34,828	-	-	\$7,807
Subtotal Mandatory - Fee	223	212	\$32,320	223	212	\$27,021	223	212	\$34,828	-	-	\$7,807

Systematic Alien Verification for Entitlements (SAVE) – PPA Budget Authority and Obligations

Dollars in Thousands

Budget Authority	FY 2016	FY 2017	FY 2018
Enacted	\$27,021		
Transfers & Reprogrammings	\$5,299		
Delta in Enacted Fee Estimate to Fee Actuals	-		
Enacted Rescissions to Prior Year	-		
Revised Enacted/Request	\$32,320	\$27,021	\$34,828
Carryover and/or Recoveries (Actual/Estimates/Projections)	-	-	-
Rescissions to Current Year/Budget Year	-	-	-
Net Sequestered Resources	-	-	-
Supplementals	-	-	-
Total Budget Authority	\$32,320	\$27,021	\$34,828
Collections – Reimbursable Resources	\$7,000	\$7,000	\$7,000
Total Budget Resources	\$39,320	\$34,021	\$41,828
Obligations (Actual/Projections/Estimates)	\$31,891	\$34,021	-
Personnel: Positions and FTE			
Enacted/Request Positions	223	223	223
Enacted/Request FTE	212	212	212
Onboard and Actual FTE; Includes Collections - Reimbursable Resources			
Onboard (Actual/Estimates/Projections)	199	223	223
FTE (Actual/Estimates/Projections)	198	212	212

**Systematic Alien Verification for Entitlements (SAVE) – PPA
Collections – Reimbursable Resources**

Dollars in Thousands

Collections	FY 2016 Revised Enacted			FY 2017 Annualized CR			FY 2018 President's Budget		
	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount
SAVE Collections Source	-	-	\$7,000	-	-	\$7,000	-	-	\$7,000
Total Collections	-	-	\$7,000	-	-	\$7,000	-	-	\$7,000

Systematic Alien Verification for Entitlements (SAVE) – PPA

Summary of Budget Changes

Dollars in Thousands

Budget Formulation Activity	Positions	FTE	Amount
FY 2016 Enacted	223	212	\$27,021
USCIS FY16 Reprogramming	-	-	\$5,299
Total Above Threshold Reprogrammings/Transfers	-	-	\$5,299
FY 2016 Revised Enacted	223	212	\$32,320
FY 2017 Annualized CR	223	212	\$27,021
FY 2018 Base Budget	223	212	\$27,021
2018 Pay Raise	-	-	\$306
Annualization of 2017 Pay Raise	-	-	\$113
Workload Adjustment	-	-	\$7,388
Total, Pricing Increases	-	-	\$7,807
Total Adjustments-to-Base	-	-	\$7,807
FY 2018 Current Services	223	212	\$34,828
FY 2018 Request	223	212	\$34,828
FY 2017 TO FY 2018 Change	-	-	\$7,807

PPA Description

The FY 2018 Budget includes \$34.8 million, 223 positions, and 212 Full-Time Equivalents (FTE) in this PPA to support the SAVE program.

SAVE is an intergovernmental information-sharing program that assists Federal, State and local agencies in determining an individual's eligibility for licenses or public benefits. SAVE helps agencies ensure that only persons eligible for licenses or benefits receive them by providing relevant information on immigration or naturalized/derived citizenship status from Federal databases. SAVE is also used to verify the status of applicants for health insurance under the Patient Protection and Affordable Care Act (PPACA). As of September 30, 2016, 1,140 agencies were enrolled in SAVE, and they ran more than 20 million unique queries. Customers include Federal agencies, State departments of motor vehicles, licensing bureaus, etc. Every state and the District of Columbia are represented in SAVE's customer base. The SAVE program is funded by a combination of user charges paid by its agency customers and general fee receipts paid by USCIS immigration benefit applicants and petitioners.

The following table depicts the actual SAVE workload for FY 2016, along with projections for FY 2017 and FY 2018. Staffing is mainly driven by SAVE second and third step queries, i.e., those that cannot be processed solely through the automated process and require human intervention by a Status Verification Officer (SVO) to research the case and provide a response to the customer agency. The SAVE program is building a more sophisticated analysis feature in its system modernization efforts to increase automation and decrease the reliance on manual verification. This new feature will be implemented in fourth quarter of 2017.

Systematic Alien Verification for Entitlements (SAVE)

Actual and projected Workload for FY 2016 - FY 2018

	FY 2016 Actual	FY 2017 Projected	FY 2018 Projected
SAVE Automated Queries	20,308,847	20,214,031	20,515,981
SAVE Status Verification Officer 2nd Step Queries	1,645,479	1,473,603	1,495,615
SAVE Status Verification Officer 3rd Step Queries	278,238	220,333	223,624
SAVE Customer Agencies as of Sept. 30	1,140	1,184	1,192

Adjustments to Base Justification

The FY 2018 Budget includes the following adjustments to base:

- Increase of \$306,000 for 1.9 percent pay raise
- Increase of \$113,000 for annualization of the 2017 pay raise
- Increase of \$7.4 million for changes in operating requirements

Systematic Alien Verification for Entitlements (SAVE) – PPA Personnel Compensation and Benefits

Pay Summary

Dollars in Thousands

Organization	FY 2016 Revised Enacted				FY 2017 Annualized CR				FY 2018 President's Budget				FY 2017 to FY 2018 Total Changes			
	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate
Systematic Alien Verification for Entitlements (SAVE)	223	212	\$21,784	\$102.75	223	212	\$21,784	\$102.75	223	212	\$21,861	\$103.12	-	-	\$77	\$0.37
Total	223	212	\$21,784	\$102.75	223	212	\$21,784	\$102.75	223	212	\$21,861	\$103.12	-	-	\$77	\$0.37
Mandatory - Fee	223	212	\$21,784	\$102.75	223	212	\$21,784	\$102.75	223	212	\$21,861	\$103.12	-	-	\$77	\$0.37

NARRATIVE EXPLANATION OF CHANGES

FY 2018 request estimates \$247 thousand for performance awards. This request remains in line with OPM Awards Guidance on spending limitation.

FTE Change FY 2017-2018: No change in FTE.

PCB Change FY 2017-2018: Reflects a 1.9 percent increase for the pay raise and annualization of the 2016 pay raise.

Average Cost Change FY 2017-2018: An average cost change of \$363 is based on the pay raise calculations and annualization of the FY 2017 requirements.

Systematic Alien Verification for Entitlements (SAVE) – PPA**Pay by Object Class***Dollars in Thousands*

Pay Object Classes	FY 2016 Revised Enacted	FY 2017 Annualized CR	FY 2018 President's Budget	FY 2017 to FY 2018 Change
11.1 Full-time Permanent	\$15,436	\$15,436	\$15,490	\$54
11.3 Other than Full-Time Permanent	\$2	\$2	\$2	-
11.5 Other Personnel Compensation	\$1,064	\$1,064	\$1,068	\$4
12.1 Civilian Personnel Benefits	\$5,282	\$5,282	\$5,301	\$19
Total - Personnel Compensation and Benefits	\$21,784	\$21,784	\$21,861	\$77
Positions and FTE				
Positions - Civilian	223	223	223	-
FTE - Civilian	212	212	212	-

Pay Cost Drivers*Dollars in Thousands*

Leading Cost-Drivers	FY 2016 Revised Enacted			FY 2017 Annualized CR			FY 2018 President's Budget			FY 2017 to FY 2018 Total Changes		
	FTE	Amount	Rate	FTE	Amount	Rate	FTE	Amount	Rate	FTE	Amount	Rate
Cost Driver	212	\$21,784	\$103	212	\$21,784	\$103	212	\$21,861	\$103	0	\$77	\$0
Total – Pay Cost Drivers	212	\$21,784	\$103	212	\$21,784	\$103	212	\$21,861	\$103	0	\$77	\$0

Systematic Alien Verification for Entitlements (SAVE) – PPA Non Pay Budget Exhibits

Non Pay Summary

Dollars in Thousands

Organization	FY 2016 Revised Enacted	FY 2017 Annualized CR	FY 2018 President's Budget	FY 2017 to FY 2018 Total Changes
Systematic Alien Verification for Entitlements (SAVE)	\$10,536	\$5,237	\$12,967	\$7,730
Total	\$10,536	\$5,237	\$12,967	\$7,730
Mandatory - Fee	\$10,536	\$5,237	\$12,967	\$7,730

Non Pay by Object Class

Dollars in Thousands

Non-Pay Object Classes	FY 2016 Revised Enacted	FY 2017 Annualized CR	FY 2018 President's Budget	FY 2017 to FY 2018 Change
21.0 Travel and Transportation of Persons	\$24	\$18	\$77	\$59
22.0 Transportation of Things	\$1	-	-	-
23.1 Rental Payments to GSA	\$2,810	\$2,898	\$2,954	\$56
23.2 Rental Payments to Others	\$14	\$4	\$17	\$13
23.3 Communications, Utilities, and Misc. Charges	\$144	\$43	\$184	\$141
24.0 Printing and Reproduction	\$5	\$2	\$9	\$7
25.1 Advisory and Assistance Services	\$6,760	\$2,038	\$8,724	\$6,686
25.2 Other Services from Non-Federal Sources	\$263	\$79	\$338	\$259
25.3 Other Goods and Services from Federal Sources	\$422	\$127	\$544	\$417
25.7 Operation and Maintenance of Equipment	\$13	\$4	\$17	\$13
26.0 Supplies and Materials	\$33	\$10	\$43	\$33
31.0 Equipment	\$47	\$14	\$60	\$46
Total - Non Pay Object Classes	\$10,536	\$5,237	\$12,967	\$7,730

Systematic Alien Verification for Entitlements (SAVE) – PPA Non Pay Cost Drivers

Dollars in Thousands

Leading Non Pay Cost-Drivers	FY 2016 Revised Enacted	FY 2017 Annualized CR	FY 2018 President's Budget	FY 2017 to FY 2018 Total Changes
DHS Working Capital Fund Contributions	\$660	\$337	\$387	\$0
Verification Information System (VIS) O&M	\$6,581	\$1,276	\$1,276	\$0
Rental payments to GSA	\$2,810	\$2,898	\$2,954	\$56
Other Costs	\$485	\$726	\$8,350	\$7,674
Total – Non Pay Cost Drivers	\$10,536	\$5,237	\$12,967	\$7,730

NARRATIVE EXPLANATION OF CHANGES

DHS Working Capital Fund Contributions: This item represents contributions to shared services provided centrally through the DHS Working Capital Fund.

VIS O&M: This contract provides operations and maintenance support for the Verification Information System (VIS), the back-end platform upon which SAVE resides. This represents the share attributable to SAVE. The share attributable to E-Verify, which also resides upon the VIS back-end is reflected in the discretionary Operations and Support appropriation.

Rental Payments to GSA: The FY 2018 amount is based on projections developed by USCIS's Facilities Division, using information provided by GSA and reflects projected rent increases, termination of leases, and new leases.

Department of Homeland Security
United States Citizenship and Immigration Services
H-1B Nonimmigrant Petitioner Account



Fiscal Year 2018
Congressional Justification

Table of Contents

<i>H-1B Nonimmigrant Petitioner Account</i>	1
Budget Comparison and Adjustments.....	3
Non Pay Budget Exhibits.....	6
<i>Service Center Operations - PPA</i>	7
Budget Comparison and Adjustments	7
Non Pay Budget Exhibits.....	9

H-1B Nonimmigrant Petitioner Account

Budget Comparison and Adjustments

Comparison of Budget Authority and Request

Dollars in Thousands

Organization	FY 2016 Revised Enacted	FY 2017 Annualized CR	FY 2018 President's Budget	FY 2017 to FY 2018 Total Changes
Service Center Operations	\$15,000	\$15,000	\$15,000	-
Total	\$15,000	\$15,000	\$15,000	-
Mandatory - Fee	\$15,000	\$15,000	\$15,000	-

Overview

U.S. Citizenship and Immigration Services' (USCIS) H-1B Nonimmigrant Petitioner Account, established by Section 286 (s) of the Immigration and Nationality Act (8 U.S.C. 1356 (s)), supports activities related to petitions for nonimmigrant workers in the H-1B visa classification. The H-1B Visa program allows U.S. employers to temporarily employ foreign workers in specialty occupations. Resources deposited in the H-1B Nonimmigrant Petitioner Account support USCIS's operations that adjudicate employment-based petitions for H-1B nonimmigrants.

For Fiscal Year (FY) 2018, the H-1B Nonimmigrant Petitioner Account includes funding in a single Program, Project, or Activity (PPA), Service Center Operations. Resources in this PPA are dedicated to a portion of the facility rent cost for the Service Center Operations Directorate and a share of the contractual cost for correspondence management, data collection and file operations support at three of the five USCIS service centers.

H-1B Nonimmigrant Petitioner Account Budget Authority and Obligations

Dollars in Thousands

Budget Authority	FY 2016	FY 2017	FY 2018
Enacted	\$15,000		
Transfers & Reprogrammings	-		
Delta in Enacted Fee Estimate to Fee Actuals	-		
Enacted Rescissions to Prior Year	-		
Revised Enacted/Request	\$15,000	\$15,000	\$15,000
Carryover and/or Recoveries (Actual/Estimates/Projections)	-	-	-
Rescissions to Current Year/Budget Year	-	-	-
Net Sequestered Resources	-	-	-
Supplementals	-	-	-
Total Budget Authority	\$15,000	\$15,000	\$15,000
Collections – Reimbursable Resources	-	-	-
Total Budget Resources	\$15,000	\$15,000	\$15,000
Obligations (Actual/Projections/Estimates)	\$15,000	\$15,000	-
Personnel: Onboard and FTE			
Onboard (Actual/Estimates/Projections)	0	0	0
FTE (Actual/Estimates/Projections)	0	0	0

H-1B Nonimmigrant Petitioner Account Summary of Budget Changes

Dollars in Thousands

Budget Formulation Activity	Positions	FTE	Amount
FY 2016 Enacted	-	-	\$15,000
FY 2016 Revised Enacted	-	-	\$15,000
FY 2017 Annualized CR	-	-	\$15,000
FY 2018 Base Budget	-	-	\$15,000
FY 2018 Current Services	-	-	\$15,000
FY 2018 Request	-	-	\$15,000
FY 2017 TO FY 2018 Change	-	-	-

H-1B Nonimmigrant Petitioner Account

Non Pay Budget Exhibits

Non Pay Summary

Dollars in Thousands

Organization	FY 2016 Revised Enacted	FY 2017 Annualized CR	FY 2018 President's Budget	FY 2017 to FY 2018 Total Changes
Service Center Operations	\$15,000	\$15,000	\$15,000	-
Total	\$15,000	\$15,000	\$15,000	-
Mandatory - Fee	\$15,000	\$15,000	\$15,000	-

Non Pay by Object Class

Dollars in Thousands

Non-Pay Object Classes	FY 2016 Revised Enacted	FY 2017 Annualized CR	FY 2018 President's Budget	FY 2017 to FY 2018 Change
23.1 Rental Payments to GSA	\$2,000	\$2,000	\$2,000	-
25.1 Advisory and Assistance Services	\$13,000	\$13,000	\$13,000	-
Total - Non Pay Object Classes	\$15,000	\$15,000	\$15,000	-

*Service Center Operations - PPA***Budget Comparison and Adjustments****Comparison of Budget Authority and Request***Dollars in Thousands*

Organization	FY 2016 Revised Enacted			FY 2017 Annualized CR			FY 2018 President's Budget			FY 2017 to FY 2018 Total Changes		
	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount
Service Center Operations	-	-	\$15,000	-	-	\$15,000	-	-	\$15,000	-	-	-
Total	-	-	\$15,000	-	-	\$15,000	-	-	\$15,000	-	-	-
Subtotal Mandatory - Fee	-	-	\$15,000	-	-	\$15,000	-	-	\$15,000	-	-	-

Budget Authority and Obligations*Dollars in Thousands*

Budget Authority	FY 2016	FY 2017	FY 2018
Enacted	\$15,000		
Transfers & Reprogrammings	-		
Delta in Enacted Fee Estimate to Fee Actuals	-		
Enacted Rescissions to Prior Year	-		
Revised Enacted/Request	\$15,000	\$15,000	\$15,000
Carryover and/or Recoveries (Actual/Estimates/Projections)	-	-	-
Rescissions to Current Year/Budget Year	-	-	-
Net Sequestered Resources	-	-	-
Supplementals	-	-	-
Total Budget Authority	\$15,000	\$15,000	\$15,000
Collections – Reimbursable Resources	-	-	-
Total Budget Resources	\$15,000	\$15,000	\$15,000
Obligations (Actual/Projections/Estimates)	\$15,000	\$15,000	-
Personnel: Onboard and FTE			
Onboard (Actual/Estimates/Projections)	0	0	0
FTE (Actual/Estimates/Projections)	0	0	0

Service Center Operations – PPA

Summary of Budget Changes

Dollars in Thousands

Budget Formulation Activity	Positions	FTE	Amount
FY 2016 Enacted	-	-	\$15,000
FY 2016 Revised Enacted	-	-	\$15,000
FY 2017 Annualized CR	-	-	\$15,000
FY 2018 Base Budget	-	-	\$15,000
FY 2018 Current Services	-	-	\$15,000
FY 2018 Request	-	-	\$15,000
FY 2017 TO FY 2018 Change	-	-	-

PPA Description

This PPA supports the adjudication processes of nonimmigrant worker petitions for the H-1B visa classification. The revenue from H-1B fees primarily funds \$13.0 million in contract support (mail, filing, and data entry), and \$2.0 million in space rent expenses necessary to process and adjudicate immigration benefit applications and petitions at two of the five USCIS processing service centers.

USCIS annually processes millions of immigration benefit applications and petitions. The \$15.0 million estimated for FY 2018 for contract activities and facility rent finances a portion of the total contract and rent expenses. The balance is covered by funding from the Immigration Examinations Fee Account.

USCIS requires \$15.0 million, 0 Positions, and 0 Full-Time Equivalents (FTE) to maintain current services in this PPA.

Adjustments to Base Justification

There is no change from the FY 2017 base funding level.

Service Center Operations – PPA
Non Pay Budget Exhibits

Non Pay Summary

Dollars in Thousands

Organization	FY 2016 Revised Enacted	FY 2017 Annualized CR	FY 2018 President's Budget	FY 2017 to FY 2018 Total Changes
Service Center Operations	\$15,000	\$15,000	\$15,000	-
Total	\$15,000	\$15,000	\$15,000	-
Mandatory - Fee	\$15,000	\$15,000	\$15,000	-

Service Center Operations – PPA

Non Pay by Object Class

Dollars in Thousands

Non-Pay Object Classes	FY 2016 Revised Enacted	FY 2017 Annualized CR	FY 2018 President's Budget	FY 2017 to FY 2018 Change
23.1 Rental Payments to GSA	\$2,000	\$2,000	\$2,000	-
25.1 Advisory and Assistance Services	\$13,000	\$13,000	\$13,000	-
Total - Non Pay Object Classes	\$15,000	\$15,000	\$15,000	-

Non Pay Cost Drivers

Dollars in Thousands

Leading Non Pay Cost-Drivers	FY 2016 Revised Enacted	FY 2017 Annualized CR	FY 2018 President's Budget	FY 2017 to FY 2018 Total Changes
Service Center Operations Support Services Contract	\$13,000	\$13,000	\$13,000	\$0
Rental Payments to GSA	\$2,000	\$2,000	\$2,000	\$0
Total – Non Pay Cost Drivers	\$15,000	\$15,000	\$15,000	\$0

NARRATIVE EXPLANATION OF CHANGES

- **Service Center Operations Contracts:** \$13.0 million of H-1B revenue funds contractual support and is based on historical allocations.
- **Rental Payments to GSA:** \$2.0 million of H-1B revenue funds facility rent costs for adjudication staff located in two of the five processing service centers that USCIS operates. The cost is based on the historical allocations.

Department of Homeland Security
United States Citizenship and Immigration Services
Fraud Prevention and Detection Account



Fiscal Year 2018
Congressional Justification

Table of Contents

<i>Fraud Prevention and Detection Account</i>	1
Budget Comparison and Adjustments.....	3
Personnel Compensation and Benefits.....	8
Non Pay Budget Exhibits.....	10
<i>District Operations – PPA</i>	11
Budget Comparison and Adjustments	11
Personnel Compensation and Benefits.....	13
Non Pay Budget Exhibits.....	15
<i>Service Center Operations – PPA</i>	17
Budget Comparison and Adjustments	17
Personnel Compensation and Benefits.....	19
Non Pay Budget Exhibits.....	21
Asylum, Refugee and International Operations – PPA.....	23
Budget Comparison and Adjustments	23
Non Pay Budget Exhibits.....	24

Fraud Prevention and Detection Account

Budget Comparison and Adjustments

Comparison of Budget Authority and Request

Dollars in Thousands

Organization	FY 2016 Revised Enacted			FY 2017 Annualized CR			FY 2018 President's Budget			FY 2017 to FY 2018 Total Changes		
	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount
District Operations	115	115	\$27,390	115	115	\$29,523	115	115	\$45,101	-	-	\$15,578
Service Center Operations	70	70	\$20,603	70	70	\$15,169	70	70	\$21,778	-	-	\$6,609
Asylum and Refugee Operating Expenses	-	-	\$308	-	-	\$308	-	-	\$308	-	-	-
Total	185	185	\$48,301	185	185	\$45,000	185	185	\$67,187	-	-	\$22,187
Subtotal Mandatory - Fee	185	185	\$48,301	185	185	\$45,000	185	185	\$67,187	-	-	\$22,187

Overview

The Fraud Prevention and Detection Account (FPDA), established by Section 286 (v) of the *Immigration and Nationality Act* (8 U.S.C. 1356 (v)), supports activities related to preventing and detecting fraud in the delivery of all immigration benefit types and funds necessary operations, mission support, and associated management and administration (M&A) costs.

Resources made available through the FPDA support USCIS's mission to enhance the integrity of the legal immigration system by leading efforts to identify threats to national security and public safety, detect and combat immigration benefit fraud, and remove systematic and other vulnerabilities. Resources from the FPDA are not sufficient to fund all of USCIS's fraud detection and national security programs; funds from the Immigration Examinations Fee Account (IEFA) also support these programs.

The FPDA provides funds for the Fraud Detection and National Security Directorate (FDNS) within USCIS as well as the Service Center Operations Directorate (SCOD). FDNS leads agency efforts to determine whether individuals or organizations filing for immigration benefits pose a threat to national security, public safety, or the integrity of the nation's immigration system.

The FPDA funds 115 FDNS positions and 70 SCOD positions. Salary and benefits funded through the FPDA represent a portion of the overall staff resources required to determine whether individuals or organizations filing for immigration benefits pose a threat to national security, public safety, or the integrity of the nation's immigration system. The remaining salary and benefit costs supporting this activity are funded through the IEFA account.

The following table provides a summary of USCIS's total processing of referred fraud detection cases:

Fraud Detection Referrals Processed¹

FY 2016 (Actual)	Projected FY 2017	Projected FY 2018
119,434	119,987	133,303

Source: Fraud Detection and National Security Data System.

Of the 119,434 referrals FDNS received in Fiscal Year (FY) 2016:

- 5,870 were national security concerns
- 2,527 were public safety leads and 13,907 were public safety cases
- 22,624 were fraud leads and 21,620 were fraud cases
- 40,156 were requests for assistance
- 1,398 were requests for overseas verification
- 11,332 were requests for benefit fraud assessments

For FY 2018, the FPDA includes the following Programs, Projects, and Activities (PPAs):

- **District Operations:** This includes the cost of agency efforts to determine whether individuals or organizations filing for immigration benefits pose a threat to national security, public safety, or the integrity of the nation's immigration system.
- **Service Center Operations:** This includes costs to support immigration officers and specialized teams of adjudication staff located in USCIS service centers that work with law enforcement agencies and other government agencies to conduct extensive research and vetting of individuals and employer organizations to protect the national security of the United States.
- **Asylum, Refugee and International Operations:** Includes costs to support program operations administered by FDNS employees stationed overseas, including site visit travel costs related to fraud verification activities carried out by FDNS officers.

¹ For the purpose of this document, the term "referral" indicates any request for FDNS to review, investigate, or support USCIS workload. This differs from the standard definition of FDNS-DS "referral" that does not include requests to FDNS to conduct administrative investigations of fraud.

Fraud Prevention and Detection Account Budget Authority and Obligations

Dollars in Thousands

Budget Authority	FY 2016	FY 2017	FY 2018
Enacted	\$45,000		
Transfers & Reprogrammings	\$3,301		
Delta in Enacted Fee Estimate to Fee Actuals	-		
Enacted Rescissions to Prior Year	-		
Revised Enacted/Request	\$48,301	\$45,000	\$67,187
Carryover and/or Recoveries (Actual/Estimates/Projections)	-	-	-
Rescissions to Current Year/Budget Year	-	-	-
Net Sequestered Resources	-	-	-
Supplementals	-	-	-
Total Budget Authority	\$48,301	\$45,000	\$67,187
Collections – Reimbursable Resources	-	-	-
Total Budget Resources	\$48,301	\$45,000	\$67,187
Obligations (Actual/Projections/Estimates)	\$45,143	\$45,000	-
Personnel: Positons and FTE			
Enacted/Request Positions	185	185	185
Enacted/Request FTE	185	185	185
Onboard and Actual FTE; Includes Collections - Reimbursable Resources			
Onboard (Actual/Estimates/Projections)	162	185	185
FTE (Actual/Estimates/Projections)	191	185	185

Fraud Prevention and Detection Account Summary of Budget Changes

Dollars in Thousands

Budget Formulation Activity	Positions	FTE	Amount
FY 2016 Enacted	185	185	\$45,000
USCIS FY16 Reprogramming	-	-	\$3,301
Total Above Threshold Reprogrammings/Transfers	-	-	\$3,301
FY 2016 Revised Enacted	185	185	\$48,301
FY 2017 Annualized CR	185	185	\$45,000
FY 2018 Base Budget	185	185	\$45,000
2018 Pay Raise	-	-	\$336
Annualization of 2017 Pay Raise	-	-	\$124
Change in Operating Requirements	-	-	\$21,727
Total, Pricing Increases	-	-	\$22,187
Total Adjustments-to-Base	-	-	\$22,187
FY 2018 Current Services	185	185	\$67,187
FY 2018 Request	185	185	\$67,187
FY 2017 TO FY 2018 Change	-	-	\$22,187

Fraud Prevention and Detection Account Justification of Pricing Changes

Dollars in Thousands

Pricing Changes	FY 2018 President's Budget		
	Positions	FTE	Amount
Pricing Change 1 - 2018 Pay Raise	-	-	\$336
District Operations	-	-	\$228
Service Center Operations	-	-	\$108
Pricing Change 2 - Annualization of 2017 Pay Raise	-	-	\$124
District Operations	-	-	\$84
Service Center Operations	-	-	\$40
Pricing Change 3 - Change in Operating Requirements	-	-	\$21,727
District Operations	-	-	\$15,266
Service Center Operations	-	-	\$6,461
Total Pricing Changes	-	-	\$22,187

- **2018 Pay Raise:** Increase of \$336,000 to factor in 1.9 percent pay raise in 2018.
- **Annualization of 2017 Pay Raise:** Increase of \$124,000 for annualization of the 2017 pay raise. FTEs are a fully burdened cost that includes annualizations from prior year.
- **Change in Operating Requirements:** Increase of \$21.7 million for additional spending authority for the District Operations PPA by \$15.3 million and Service Center Operations by \$6.5 million. Additional funding in FPDA will assist with prevention and detection of fraud for immigration benefit types, including expanded efforts related to enhanced vetting or security checks.

Fraud Prevention and Detection Account Personnel Compensation and Benefits

Pay Summary *Dollars in Thousands*

Organization	FY 2016 Revised Enacted				FY 2017 Annualized CR				FY 2018 President's Budget				FY 2017 to FY 2018 Total Changes			
	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate
District Operations	115	115	\$15,370	\$133.65	115	115	\$15,370	\$133.65	115	115	\$16,294	\$141.69	-	-	\$924	\$8.04
Service Center Operations	70	70	\$7,547	\$107.81	70	70	\$7,547	\$107.81	70	70	\$7,733	\$110.47	-	-	\$186	\$2.66
Total	185	185	\$22,917	\$123.88	185	185	\$22,917	\$123.88	185	185	\$24,027	\$129.88	-	-	\$1,110	\$6
Mandatory - Fee	185	185	\$22,917	\$123.88	185	185	\$22,917	\$123.88	185	185	\$24,027	\$129.88	-	-	\$1,110	\$6

Pay by Object Class *Dollars in Thousands*

Pay Object Classes	FY 2016 Revised Enacted	FY 2017 Annualized CR	FY 2018 President's Budget	FY 2017 to FY 2018 Change
11.1 Full-time Permanent	\$16,303	\$16,303	\$17,086	\$783
11.3 Other than Full-Time Permanent	\$55	\$55	\$58	\$3
11.5 Other Personnel Compensation	\$965	\$965	\$1,019	\$54
12.1 Civilian Personnel Benefits	\$5,594	\$5,594	\$5,864	\$270
Total - Personnel Compensation and Benefits	\$22,917	\$22,917	\$24,027	\$1,110
Positions and FTE				
Positions - Civilian	185	185	185	-
FTE - Civilian	185	185	185	-

Fraud Prevention and Detection Account Permanent Positions by Grade – Appropriation

Grades and Salary Range	FY 2016 Revised Enacted	FY 2017 Annualized CR	FY 2018 President's Budget	FY 2017 to FY 2018 Change
GS-15	6	6	6	-
GS-14	22	22	22	-
GS-13	82	82	82	-
GS-12	62	62	62	-
GS-11	5	5	5	-
GS-9	7	7	7	-
GS-7	1	1	1	-
Total Permanent Positions	185	185	185	-
Unfilled Positions EOY	23	-	-	-
Total Perm. Employment (Filled Positions) EOY	162	185	185	-
Position Locations				
Headquarters	9	9	9	-
U.S. Field	174	174	174	-
Foreign Field	2	2	2	-
Averages				
Average Personnel Costs, GS Positions	98,147	100,208	101,811	1,603
Average Grade, GS Positions	13	13	13	-

Fraud Prevention and Detection Account Non Pay Budget Exhibits

Non Pay Summary

Dollars in Thousands

Organization	FY 2016 Revised Enacted	FY 2017 Annualized CR	FY 2018 President's Budget	FY 2017 to FY 2018 Total Changes
District Operations	\$12,020	\$14,153	\$28,807	\$14,654
Service Center Operations	\$13,056	\$7,622	\$14,045	\$6,423
Asylum and Refugee Operating Expenses	\$308	\$308	\$308	-
Total	\$25,384	\$22,083	\$43,160	\$21,077
Mandatory - Fee	\$25,384	\$22,083	\$43,160	\$21,077

Non Pay by Object Class

Dollars in Thousands

Non-Pay Object Classes	FY 2016 Revised Enacted	FY 2017 Annualized CR	FY 2018 President's Budget	FY 2017 to FY 2018 Change
21.0 Travel and Transportation of Persons	\$427	\$314	\$671	\$357
22.0 Transportation of Things	\$36	\$44	\$94	\$50
23.1 Rental Payments to GSA	\$1,736	\$1,785	\$1,817	\$32
23.2 Rental Payments to Others	\$38	\$46	\$98	\$52
23.3 Communications, Utilities, and Misc. Charges	\$7	\$8	\$17	\$9
24.0 Printing and Reproduction	\$2	\$2	\$4	\$2
25.1 Advisory and Assistance Services	\$18,013	\$15,582	\$31,997	\$16,415
25.2 Other Services from Non-Federal Sources	\$3,467	\$2,850	\$5,474	\$2,624
25.3 Other Goods and Services from Federal Sources	\$616	\$696	\$1,477	\$781
26.0 Supplies and Materials	\$879	\$567	\$1,109	\$542
31.0 Equipment	\$163	\$189	\$402	\$213
Total - Non Pay Object Classes	\$25,384	\$22,083	\$43,160	\$21,077

*District Operations – PPA***Budget Comparison and Adjustments****Comparison of Budget Authority and Request***Dollars in Thousands*

Organization	FY 2016 Revised Enacted			FY 2017 Annualized CR			FY 2018 President's Budget			FY 2017 to FY 2018 Total Changes		
	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount
District Operations	115	115	\$27,390	115	115	\$29,523	115	115	\$45,101	-	-	\$15,578
Total	115	115	\$27,390	115	115	\$29,523	115	115	\$45,101	-	-	\$15,578
Subtotal Mandatory - Fee	115	115	\$27,390	115	115	\$29,523	115	115	\$45,101	-	-	\$15,578

Summary of Budget Changes*Dollars in Thousands*

Budget Formulation Activity	Positions	FTE	Amount
FY 2016 Enacted	115	115	\$29,523
USCIS FY16 Reprogramming	-	-	(\$2,133)
Total Above Threshold Reprogrammings/Transfers	-	-	(\$2,133)
FY 2016 Revised Enacted	115	115	\$27,390
FY 2017 Annualized CR	115	115	\$29,523
FY 2018 Base Budget	115	115	\$29,523
2018 Pay Raise	-	-	\$228
Annualization of 2017 Pay Raise	-	-	\$84
Change in Operating Requirements	-	-	\$15,266
Total, Pricing Increases	-	-	\$15,578
Total Adjustments-to-Base	-	-	\$15,578
FY 2018 Current Services	115	115	\$45,101
FY 2018 Request	115	115	\$45,101
FY 2017 TO FY 2018 Change	-	-	\$15,578

PPA Level II Description

The FY 2018 Budget proposes \$45.1 million, 115 Positions, and 115 FTE in this PPA.

FDNS Officers are posted in every USCIS regional, district, or field office within the United States. As part of their duties, FDNS Officers participate in U.S. Immigration and Customs Enforcement's Document Benefit Fraud Task Forces, the Federal Bureau of Investigation's Joint Terrorism Task Forces, State and Local Fusion Centers and other Federal and local law enforcement initiatives, through which they share immigration related information, assist law enforcement investigations, and provide subject matter expertise.

In order to ensure compliance in select visa petition categories, FDNS district-based officers conduct unannounced pre- and post-adjudication site inspections under the Administrative Site Visit and Verification Program (ASVVP). In FY 2014, FDNS expanded the ASVVP to include petitions for L-1A Intra-company Transferee Executive or Manager, in addition to organizations filing Form I-129 (Petition for a Nonimmigrant Worker) petitions for H-1B temporary workers and Form I-360 (Petition for Amerasian, Widow(er) or Special Immigrant) petitions for religious workers. Pursuant to regulation, site inspections are conducted prior to the adjudication of religious worker petitions. Compliance reviews are also conducted on a random sample of approved petitions for religious workers and H-1B temporary workers as well as L-1As. During these site visits, FDNS officers seek to determine whether the petitioner and beneficiary have met, or continue to meet, eligibility requirements for the immigration benefit sought. FDNS officers verify information submitted with the petition, confirm the existence of the petitioning entity, review public records, take photographs, and speak with organizational representatives and the beneficiary.

Adjustments to Base Justification

The FY 2018 Budget includes the following adjustments to base:

- Increase of \$228,000 for 1.9 percent pay raise
- Increase of \$84,000 to annualize the 2017 pay raise
- Increase of \$15.3 million for changes in operating requirements

District Operations – PPA Personnel Compensation and Benefits

Pay Summary

Dollars in Thousands

Organization	FY 2016 Revised Enacted				FY 2017 Annualized CR				FY 2018 President's Budget				FY 2017 to FY 2018 Total Changes			
	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate
District Operations	115	115	\$15,370	\$133.65	115	115	\$15,370	\$133.65	115	115	\$16,294	\$141.69	-	-	\$924	\$8.04
Total	115	115	\$15,370	\$133.65	115	115	\$15,370	\$133.65	115	115	\$16,294	\$141.69	-	-	\$924	\$8.04
Mandatory - Fee	115	115	\$15,370	\$133.65	115	115	\$15,370	\$133.65	115	115	\$16,294	\$141.69	-	-	\$924	\$8.04

NARRATIVE EXPLANATION OF CHANGES

FTE Change FY 2017-2018: No change in FTE

PCB Change FY 2017-2018: Reflects a 1.9 percent increase for the 2018 pay raise and annualization of the 2017 pay raise

Average Cost Change FY 2017-2018: An average cost change of \$8 thousand is based on the pay raise calculations and annualization of the FY 2017 spending authority requirements

District Operations – PPA
Pay by Object Class
Dollars in Thousands

Pay Object Classes	FY 2016 Revised Enacted	FY 2017 Annualized CR	FY 2018 President's Budget	FY 2017 to FY 2018 Change
11.1 Full-time Permanent	\$10,747	\$10,747	\$11,393	\$646
11.3 Other than Full-Time Permanent	\$55	\$55	\$58	\$3
11.5 Other Personnel Compensation	\$846	\$846	\$897	\$51
12.1 Civilian Personnel Benefits	\$3,722	\$3,722	\$3,946	\$224
Total - Personnel Compensation and Benefits	\$15,370	\$15,370	\$16,294	\$924
Positions and FTE				
Positions - Civilian	115	115	115	-
FTE - Civilian	115	115	115	-

Pay Cost Drivers
Dollars in Thousands

Leading Cost-Drivers	FY 2016 Revised Enacted			FY 2017 Annualized CR			FY 2018 President's Budget			FY 2017 to FY 2018 Total Changes		
	FTE	Amount	Rate	FTE	Amount	Rate	FTE	Amount	Rate	FTE	Amount	Rate
Salaries and Benefits	115	\$15,370	\$134	115	\$15,370	\$134	115	\$16,294	\$142	0	\$924	\$8.035
Total – Pay Cost Drivers	115	\$15,370	\$134	115	\$15,370	\$134	115	\$16,294	\$142	0	\$924	\$8.035

District Operations – PPA Non Pay Budget Exhibits

Non Pay Summary

Dollars in Thousands

Organization	FY 2016 Revised Enacted	FY 2017 Annualized CR	FY 2018 President's Budget	FY 2017 to FY 2018 Total Changes
District Operations	\$12,020	\$14,153	\$28,807	\$14,654
Total	\$12,020	\$14,153	\$28,807	\$14,654
Mandatory - Fee	\$12,020	\$14,153	\$28,807	\$14,654

Non Pay by Object Class

Dollars in Thousands

Non-Pay Object Classes	FY 2016 Revised Enacted	FY 2017 Annualized CR	FY 2018 President's Budget	FY 2017 to FY 2018 Change
21.0 Travel and Transportation of Persons	\$427	\$314	\$671	\$357
22.0 Transportation of Things	\$36	\$44	\$94	\$50
23.1 Rental Payments to GSA	\$1,226	\$1,270	\$1,292	\$22
23.2 Rental Payments to Others	\$38	\$46	\$98	\$52
23.3 Communications, Utilities, and Misc. Charges	\$7	\$8	\$17	\$9
24.0 Printing and Reproduction	\$2	\$2	\$4	\$2
25.1 Advisory and Assistance Services	\$8,325	\$10,094	\$21,557	\$11,463
25.2 Other Services from Non-Federal Sources	\$1,165	\$1,412	\$3,016	\$1,604
25.3 Other Goods and Services from Federal Sources	\$538	\$652	\$1,393	\$741
26.0 Supplies and Materials	\$107	\$130	\$278	\$148
31.0 Equipment	\$149	\$181	\$387	\$206
Total - Non Pay Object Classes	\$12,020	\$14,153	\$28,807	\$14,654

District Operations - PPA Non Pay Cost Drivers

Dollars in Thousands

Leading Non Pay Cost-Drivers	FY 2016 Revised Enacted	FY 2017 Annualized CR	FY 2018 President's Budget	FY 2017 to FY 2018 Total Changes
Fraud Detection and National Security Program Support Contract	\$3,953	\$5,014	\$5,014	\$0
Fraud Detection and National Security Data System (FDNS-DS)	\$3,267	\$3,358	\$3,358	\$0
Rental Payments to GSA	\$863	\$1,270	\$1,292	\$22
Other Costs	\$3,937	\$4,511	\$19,143	\$14,632
Total – Non Pay Cost Drivers	\$12,020	\$14,153	\$28,807	\$14,654

NARRATIVE EXPLANATION OF CHANGES

- **Contract/Program Support:** This funds a portion of contractual cost to deploy advanced fraud detection devices and techniques and intelligence-driven planning. Any contract increase will be attributed to the IEFA account.
- **Contract/Data System:** This funds a portion of the resources necessary to support a portfolio of fraud detection reporting, tracking and fraud detection business-intelligence systems. There is no change in the funding level from FY 2017 to FY 2018. Any contract increase will be attributed to the IEFA account.
- **Rental Payments to GSA:** Provides a portion of the funding for rent payments to GSA. The change from FY 2017 to FY 2018 is due to standard rent increase and lease adjustments.

*Service Center Operations – PPA***Budget Comparison and Adjustments****Comparison of Budget Authority and Request***Dollars in Thousands*

Organization	FY 2016 Revised Enacted			FY 2017 Annualized CR			FY 2018 President's Budget			FY 2017 to FY 2018 Total Changes		
	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount
Service Center Operations	70	70	\$20,603	70	70	\$15,169	70	70	\$21,778	-	-	\$6,609
Total	70	70	\$20,603	70	70	\$15,169	70	70	\$21,778	-	-	\$6,609
Subtotal Mandatory - Fee	70	70	\$20,603	70	70	\$15,169	70	70	\$21,778	-	-	\$6,609

Summary of Budget Changes*Dollars in Thousands*

Budget Formulation Activity	Positions	FTE	Amount
FY 2016 Enacted	70	70	\$15,169
USCIS FY16 Reprogramming	-	-	\$5,434
Total Above Threshold Reprogrammings/Transfers	-	-	\$5,434
FY 2016 Revised Enacted	70	70	\$20,603
FY 2017 Annualized CR	70	70	\$15,169
FY 2018 Base Budget	70	70	\$15,169
2018 Pay Raise	-	-	\$108
Annualization of 2017 Pay Raise	-	-	\$40
Change in Operating Requirements	-	-	\$6,461
Total, Pricing Increases	-	-	\$6,609
Total Adjustments-to-Base	-	-	\$6,609
FY 2018 Current Services	70	70	\$21,778
FY 2018 Request	70	70	\$21,778
FY 2017 TO FY 2018 Change	-	-	\$6,609

PPA Level II Description

The FY 2018 Budget proposes \$21.778 million, 70 Positions, and 70 FTE in this PPA.

This activity supports immigration officers and specialized teams of adjudication staff located in USCIS service centers that work with law enforcement agencies and other government agencies to conduct extensive research and vetting of individuals and organizations to protect the national security of the United States.

In addition to investigating cases referred for suspected fraud, FDNS officers conduct a range of specialized activities, including: managing the ASVVP workload; receiving and reviewing consular returns marked for confirmed or suspected fraud; and collecting, analyzing, and reporting information on immigration benefit fraud trends in support of local, USCIS, DHS, and national intelligence priorities. Service Center adjudicators and FDNS officers also vet companies through the Validation Instrument for Business Enterprises (VIBE), a web-based tool that uses commercially available information to validate the business operations of companies and organizations looking to employ foreign workers. VIBE enhances USCIS's ability to adjudicate employment-based immigrant and nonimmigrant petitions efficiently and accurately.

Adjustments to Base Justification

The FY 2018 Budget includes the following adjustments to base:

- Increase of \$108,000 for 1.9 percent pay raise.
- Increase of \$40,000 for annualization of the 2017 pay raise
- Increase of \$6.5 million for changes in operating requirements

Service Center Operations – PPA Personnel Compensation and Benefits

Pay Summary

Dollars in Thousands

Organization	FY 2016 Revised Enacted				FY 2017 Annualized CR				FY 2018 President's Budget				FY 2017 to FY 2018 Total Changes			
	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate
Service Center Operations	70	70	\$7,547	\$107.81	70	70	\$7,547	\$107.81	70	70	\$7,733	\$110.47	-	-	\$186	\$2.66
Total	70	70	\$7,547	\$107.81	70	70	\$7,547	\$107.81	70	70	\$7,733	\$110.47	-	-	\$186	\$2.66
Mandatory - Fee	70	70	\$7,547	\$107.81	70	70	\$7,547	\$107.81	70	70	\$7,733	\$110.47	-	-	\$186	\$2.66

NARRATIVE EXPLANATION OF CHANGES

The FY 2018 Budget proposes estimates \$91,100 for performance awards. This estimate remains in line with OPM Awards Guidance on spending limitation

FTE Change FY 2017-2018: No change in FTE

PCB Change FY 2017-2018: Reflects a 1.9 percent increase for the 2018 pay raise and annualization of the 2017 pay raise

Average Cost Change FY 2017-2018: An average cost change of \$2,700 is based on the pay raise calculations and annualization of FY 2017 spending authority requirements

Service Center Operations – PPA
Pay by Object Class
Dollars in Thousands

Pay Object Classes	FY 2016 Revised Enacted	FY 2017 Annualized CR	FY 2018 President's Budget	FY 2017 to FY 2018 Change
11.1 Full-time Permanent	\$5,556	\$5,556	\$5,693	\$137
11.5 Other Personnel Compensation	\$119	\$119	\$122	\$3
12.1 Civilian Personnel Benefits	\$1,872	\$1,872	\$1,918	\$46
Total - Personnel Compensation and Benefits	\$7,547	\$7,547	\$7,733	\$186
Positions and FTE				
Positions - Civilian	70	70	70	-
FTE - Civilian	70	70	70	-

Pay Cost Drivers
Dollars in Thousands

Leading Cost-Drivers	FY 2016 Revised Enacted			FY 2017 Annualized CR			FY 2018 President's Budget			FY 2017 to FY 2018 Total Changes		
	FTE	Amount	Rate	FTE	Amount	Rate	FTE	Amount	Rate	FTE	Amount	Rate
Salaries and Benefits	70	\$7,547	\$108	70	\$7,547	\$108	70	\$7,733	\$110	0	\$186	\$2.657
Total – Pay Cost Drivers	70	\$7,547	\$108	70	\$7,547	\$108	70	\$7,733	\$110	0	\$186	\$2.657

Service Center Operations – PPA Non Pay Budget Exhibits

Non Pay Summary

Dollars in Thousands

Organization	FY 2016 Revised Enacted	FY 2017 Annualized CR	FY 2018 President's Budget	FY 2017 to FY 2018 Total Changes
Service Center Operations	\$13,056	\$7,622	\$14,045	\$6,423
Total	\$13,056	\$7,622	\$14,045	\$6,423
Mandatory - Fee	\$13,056	\$7,622	\$14,045	\$6,423

Non Pay by Object Class

Dollars in Thousands

Non-Pay Object Classes	FY 2016 Revised Enacted	FY 2017 Annualized CR	FY 2018 President's Budget	FY 2017 to FY 2018 Change
23.1 Rental Payments to GSA	\$510	\$515	\$525	\$10
25.1 Advisory and Assistance Services	\$9,688	\$5,488	\$10,440	\$4,952
25.2 Other Services from Non-Federal Sources	\$1,994	\$1,130	\$2,150	\$1,020
25.3 Other Goods and Services from Federal Sources	\$78	\$44	\$84	\$40
26.0 Supplies and Materials	\$772	\$437	\$831	\$394
31.0 Equipment	\$14	\$8	\$15	\$7
Total - Non Pay Object Classes	\$13,056	\$7,622	\$14,045	\$6,423

Service Center Operations – PPA Non Pay Cost Drivers

Dollars in Thousands

Leading Non Pay Cost-Drivers	FY 2016 Revised Enacted	FY 2017 Annualized CR	FY 2018 President's Budget	FY 2017 to FY 2018 Total Changes
Validation Instrument for Business Enterprises (VIBE)	\$11,276	\$6,770	\$10,770	\$4,000
Rental Payments to GSA	\$1,161	\$515	\$525	\$10
Other Costs	\$619	\$337	\$2,750	\$2,413
Total – Non Pay Cost Drivers	\$13,056	\$7,622	\$14,045	\$6,423

NARRATIVE EXPLANATION OF CHANGES

- **Validation Instrument for Business Enterprises (VIBE):** Covers operating costs and the IT support contract for the VIBE system. USCIS uses this system to validate business operations and financial viability of organizations seeking to employ foreign workers, and to identify possible benefit fraud based on the FDNS findings and other government agencies' referrals.
- **Rental Payments to GSA:** Provides a portion of the funding for rent payments to GSA. The change from FY 2017 to FY 2018 is due to standard rent increase and lease adjustments.

Asylum, Refugee and International Operations – PPA

Budget Comparison and Adjustments

Comparison of Budget Authority and Request

Dollars in Thousands

Organization	FY 2016 Revised Enacted			FY 2017 Annualized CR			FY 2018 President's Budget			FY 2017 to FY 2018 Total Changes		
	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount
Asylum and Refugee Operating Expenses	-	-	\$308	-	-	\$308	-	-	\$308	-	-	-
Total	-	-	\$308	-	-	\$308	-	-	\$308	-	-	-
Subtotal Mandatory - Fee	-	-	\$308	-	-	\$308	-	-	\$308	-	-	-

Summary of Budget Changes

Dollars in Thousands

Budget Formulation Activity	Positions	FTE	Amount
FY 2016 Enacted	-	-	\$308
FY 2016 Revised Enacted	-	-	\$308
FY 2017 Annualized CR	-	-	\$308
FY 2018 Base Budget	-	-	\$308
FY 2018 Current Services	-	-	\$308
FY 2018 Request	-	-	\$308
FY 2017 TO FY 2018 Change	-	-	-

PPA Level II Description

USCIS requires \$308,000 to maintain Current Services in this PPA.

FDNS officers are located at each of the USCIS asylum offices located in the United States, the headquarters elements of the Refugee Asylum & International Operations (RAIO) Directorate as well as three overseas locations. FPDA funding supports program operations administered by FDNS employees stationed overseas, including site visit travel costs related to fraud verification activities carried out by FDNS officers.

Asylum, Refugee and International Operations – PPA

Non Pay Budget Exhibits

Non Pay Summary

Dollars in Thousands

Organization	FY 2016 Revised Enacted	FY 2017 Annualized CR	FY 2018 President's Budget	FY 2017 to FY 2018 Total Changes
Asylum and Refugee Operating Expenses	\$308	\$308	\$308	-
Total	\$308	\$308	\$308	-
Mandatory - Fee	\$308	\$308	\$308	-

Non Pay by Object Class

Dollars in Thousands

Non-Pay Object Classes	FY 2016 Revised Enacted	FY 2017 Annualized CR	FY 2018 President's Budget	FY 2017 to FY 2018 Change
25.2 Other Services from Non-Federal Sources	\$308	\$308	\$308	-
Total - Non Pay Object Classes	\$308	\$308	\$308	-

Non Pay Cost Drivers

Dollars in Thousands

Leading Non Pay Cost-Drivers	FY 2016 Revised Enacted	FY 2017 Annualized CR	FY 2018 President's Budget	FY 2017 to FY 2018 Total Changes
Fraud Detection and National Security Operating Costs	\$308	\$308	\$308	\$0
Total – Non Pay Cost Drivers	\$308	\$308	\$308	\$0