<table>
<thead>
<tr>
<th>ID #</th>
<th>Month/F</th>
<th>Risk May Affect Critical Path</th>
<th>Milestone Affected</th>
<th>Risk Category</th>
<th>Detailed Description of Risk (Specific, Measurable, Attainable, Relevant, Timebound)</th>
<th>Mitigation of Risk</th>
<th>Estimated Impact - Days</th>
<th>Probability (%)</th>
<th>$ Impact</th>
<th>Estimated Impact ($)</th>
<th>Risk Level</th>
</tr>
</thead>
<tbody>
<tr>
<td>5/2015</td>
<td>Yes</td>
<td>Construction</td>
<td>Flood conditions in the Rio Grande river occur while construction is underway</td>
<td>Require contractor to be able to ensure levee/levee wall flood protection is fully functional within 48 hrs notice by gov't</td>
<td>20 days</td>
<td>5%</td>
<td>High</td>
<td>(\text{(b) (5)})</td>
<td></td>
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<tr>
<td>Category</td>
<td>Definition</td>
<td>Examples</td>
<td></td>
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</table>
| Construction             | Any non-design related issues occurring during the performance period of the Construction contract that could affect project cost and/or schedule. Risks with potential impact due to weather. This also includes risks related to border activity that impact construction execution.                                            | * Weather delays  
* Border violence  
* Encountering tunnels  |
| Contractor Performance   | Risks with potential impact to project cost or schedule due to unanticipated performance on the contractor’s behalf. This also includes bid risk. Specific risks related lack of resources.                                                                                                                                                    | * Underestimation of cost  
* Underestimation of schedule  
* Lack of material, human, or capital resources  |
| Design                   | Any required change in the architectural and/or engineering design from approved plans and specs, resulting in changes to cost and schedule, inclusive of:  
  - Discrepancies/conflicts with the design standards,  
  - Changes due to errors and omissions,  
  - Ambiguity in RFP  
  - Any required change that reasonably should have been accounted for during initial design | * Modification of irrigation structures  
* Changes to gates  
* Design errors  
* Necessary enhancements in road materials  
* Meeting LEED related goals  
* Internal Affairs (IA) requirements  
* Office of Information Technology (OIT) requirements  |
| Environmental            | Unforeseen archaeological and/or environmental findings requiring some level of mitigation.  
**NOTE:** State Historic Preservation Office (SHPO), U.S. Fish and Wildlife Service (USFWS) and other government agency coordination **directly resulting from unforeseen archaeological and/or environmental findings should be considered here.**  
**DOES NOT INCLUDE** mitigation due to hazardous waste.                                                                                                               | * Additional surveying support requirements  
* Additional costs related to archaeological investigations  
* Biological monitoring requirements  |
| External Entity Compliance| Risks related to requirements of additional analysis and negotiations with Tribal Nations, international, federal, state, and local regulatory agencies. Addresses the risk of not accounting for requirements during the Planning phase. This is also inclusive of any permitting that must be obtained/granted. Also includes specific changes in project scope due to pressure/influence outside of the CBP mission.              | * Labor regulations  
* International Boundary & Water Commission (IBWC)  
* U.S. Fish and Wildlife Service (USFWS)  
* Bureau of Land Management (BLM)  
* Department of Transportation (DOT)  
* Congressional direction  
* State or municipal government interference  |
| Latent Conditions         | Encountering unforeseen sub-surface water/public/private underground structures/underground rock/Latent Conditions resulting in project delays and adding cost. Also includes changes in cost or schedule that are related to mitigation of unanticipated hazardous waste issues (including cost for storage, testing and disposal.)                                      | * Government-Furnished Material (GFM) corrosion  
* Terrain modifications  
* Unstable soil conditions  
* Dewatering operations  
* Hazardous Waste  
* Heavy metals  
* Hydrocarbons  
* Polychlorinated biphenyl (PCB)  |
| Real Estate               | Results in additional real estate and land acquisition or condemnation actions or events not originally planned impacting cost, resources required, and schedule durations. Includes price volatility (appraised, listed, negotiated) for land.                                                                                                 | * Change in construction location  
* Change in size of plot  
* Change in ROE or ROW access requirement  |
| Scope                    | Addresses a change in scope that was never intended to be considered and was not included in the original project plan. Activities outside of the overall parameters of the agreed to solution.  
**DOES NOT INCLUDE** changes in scope due to design related issues.                                                                                                           | * Increase in fence length  
* Additional gates  
* Change in alignment  
* Changes in operational requirements  |
Risk Level
A 5x5 risk matrix represents the product of likelihood and consequence. It is an effective tool for communicating the results of analyses and the interrelationship among risks.

Risk levels are frequently portrayed with familiar “stoplight colors”, with high risk as red, moderate risk as yellow, and low risk as green. Example risk matrix is provided in Figure 1 below:

![Risk Matrix Diagram]
Risk Likelihood (Probability %)
Likelihood is defined as the probability that a risk will occur.

<table>
<thead>
<tr>
<th>Risk Likelihood Levels</th>
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<tbody>
<tr>
<td>Certainty</td>
</tr>
<tr>
<td>Likely</td>
</tr>
<tr>
<td>Possible</td>
</tr>
<tr>
<td>Likely</td>
</tr>
<tr>
<td>Unlikely</td>
</tr>
</tbody>
</table>

Risk Consequence ($ Impact)
Evaluate each risk in terms of its possible consequence. Consequence is defined as an unfavorable result of a risk. Each risk should be categorized by type for consequence to the programs’ cost, schedule and/or technical requirements.

<table>
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<tr>
<th>Impact of Consequence Levels</th>
<th>Cost</th>
<th>Schedule</th>
<th>Performance</th>
</tr>
</thead>
<tbody>
<tr>
<td>Low</td>
<td>Minor cost increase; absorbable within budget</td>
<td>Minor schedule variance; no milestone impacts</td>
<td>Minimal reduction in technical performance; all operational requirements met</td>
</tr>
<tr>
<td>Medium</td>
<td>Cost increase may exceed authorized budget; sufficient funds available</td>
<td>Some schedule slips that are recoverable at program level; no major program delivery impacted</td>
<td>Minimum or slight reduction in technical performance; all operational requirements still met</td>
</tr>
<tr>
<td>High</td>
<td>Cost increase exceeds authorized budget; funding increase may be necessary</td>
<td>Significant schedule slip partially recoverable at program level; program delivery may be impacted</td>
<td>Decrease in technical performance; some operational requirements may not be met</td>
</tr>
<tr>
<td>High</td>
<td>Cost increase exceeds authorized budget; funding increase necessary</td>
<td>Significant schedule slip may not be recoverable at program level; program delivery likely to be impacted</td>
<td>Decrease in technical performance; some operational requirements will not be met; mission success questionable</td>
</tr>
<tr>
<td>High</td>
<td>Cost increase greatly exceeds authorized budget; large funding increase necessary</td>
<td>Major impact to schedule; program delivery will be impacted</td>
<td>Significant shortfall in technical performance; critical operational requirements not achieved; mission success unattainable</td>
</tr>
</tbody>
</table>