




Homeland Security

February 22, 2012

MEMORANDUM FOR: Charles K. Edwards
Acting Inspector General
Council of Inspectors General on Integrity and Efficiency

FROM: Secretary Napolitano 

SUBJECT: Quarterly High-Dollar Overpayments Report
October to December 2011

Attached, as required by Section 3f of Executive Order 13520: Reducing Improper Payments, is the Department's Quarterly High-Dollar Overpayments Report for the period October to December 2011. Additionally, information is included on high-dollar overpayments from prior reports which remain uncollected as of December 31, 2011 and on five entries that should have been reported for the period July to September 2011. This report will be made publicly available within 15 days.

This report supports the Department's commitment to fulfilling the Executive Order's goals of eliminating payment error and waste in federal programs and increasing the transparency and public scrutiny of significant improper payments.

Attachment

Department of Homeland Security
Quarterly High-Dollar Overpayments Report
for the Quarter Ending December 31, 2011

A	B	C	D	E	F	G	H	I	J	K	L	M
Component	Recipient Type (Entity or Individual)	City and State	Program(s) Responsible	Collection Actions Taken or Planned	Actions to Prevent Reoccurrence	Payment Date	High \$ Overpayment Date Identified	Amount Paid	Correct Amount	Overpayment Amount	Recovered Amount	Outstanding Balance
CBP	ENTITY	Gerner, NC	Office of Administration	Vendor returned overpayment.	The Procurement Office entered the incorrect vendor when the contract was loaded. The Contracting Officer was notified. A modification to the contract will be issued with payment made to the correct vendor.	December 5, 2011	December 9, 2011	\$59,950.00	\$0.00	\$59,950.00	\$59,950.00	\$0.00
CBP	ENTITY	Laurel, NY	Office of Administration	Vendor returned overpayment.	A notice of assignment (secured loan) is issued by individual banks to aid small vendors in doing business. After a contract is issued, it is processed manually. In this instance, the manual change to contract terms was not processed correctly resulting in an overpayment. In order to prevent reoccurrence, technicians will be trained to be more aware of invoices subject to notice of assignment of claims and other exceptions to the normal payment process.	September 7, 2011	October 5, 2011	\$165,750.00	\$0.00	\$165,750.00	\$165,750.00	\$0.00
FEMA	ENTITY	Albany, NY	Homeland Security Grants Program	Program Office reached out to grantee to receive more supporting documentation. Grantee had 30 days to send support. A collection notice will be sent by January 31, 2012.	Grantee did not produce supporting documentation during improper payments testing. FEMA will develop and distribute to Grantees a "Grantee Supporting Documentation Retention Strategy" document detailing the types of supporting documentation Grantees should retain at each phase of the grant lifecycle. This document will be updated regularly to reflect changes in program guidance and documentation retention requirements. A new grant review process for oversight will be put in place by March 2012.	November 23, 2009	November 15, 2011	\$48,474.76	\$0.00	\$48,474.76	\$0.00	\$48,474.76
FEMA	ENTITY	Austin, OH	Assistance to Firefighter Grants	Program Office reached out to grantee to receive more supporting documentation. Grantee had 30 days to send support. A collection notice will be sent by January 31, 2012.	Grantee drew down more funds than were needed. Program office reemphasized proper procedures through training and one-on-one reviews of grant drawdown procedures.	June 2, 2010	November 15, 2011	\$33,000.00	\$16,849.99	\$16,150.01	\$0.00	\$16,150.01
FEMA	ENTITY	Baton Rouge, LA	Port Security Grant Program	Program Office reached out to grantee to receive more supporting documentation. Grantee had 30 days to send support. A collection notice will be sent by January 31, 2012.	Grantee did not produce supporting documentation during improper payments testing. FEMA will develop and distribute to Grantees a "Grantee Supporting Documentation Retention Strategy" document detailing the types of supporting documentation Grantees should retain at each phase of the grant lifecycle. This document will be updated regularly to reflect changes in program guidance and documentation retention requirements. A new grant review process for oversight will be put in place by March 2012.	July 6, 2010	November 15, 2011	\$32,099.00	\$0.00	\$32,099.00	\$0.00	\$32,099.00

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FEMA	ENTITY	Baton Rouge, LA	Transit Security Grant Program	Program Office reached out to grantee to receive more supporting documentation. Grantee had 30 days to send support. A collection notice will be sent by January 31, 2012.	Grantee did not produce supporting documentation during improper payments testing. FEMA will develop and distribute to Grantees a "Grantee Supporting Documentation Retention Strategy" document detailing the types of supporting documentation Grantees should retain at each phase of the grant lifecycle. This document will be updated regularly to reflect changes in program guidance and documentation retention requirements. A new grant review process for oversight will be put in place by March 2012.	June 8, 2010	November 15, 2011	\$26,977.00	\$0.00	\$26,977.00	\$0.00	\$26,977.00
FEMA	ENTITY	Bergen County, NJ	Emergency Food & Shelter Program	Program Office reached out to grantee to receive more supporting documentation. Grantee had 30 days to send support. A collection notice will be sent by January 31, 2012.	Grantee did not produce supporting documentation during improper payments testing. FEMA will develop and distribute to Grantees a "Grantee Supporting Documentation Retention Strategy" document detailing the types of supporting documentation Grantees should retain at each phase of the grant lifecycle. This document will be updated regularly to reflect changes in program guidance and documentation retention requirements. A new grant review process for oversight will be put in place by March 2012.	October 7, 2009	November 15, 2011	\$178,890.00	\$118,489.40	\$60,400.60	\$0.00	\$60,400.60
FEMA	ENTITY	Bloomington, IN	Federal Insurance and Mitigation Administration	Program Office notified company that an offset to future funds will be made to recoup the overpayment.	Not enough supporting documentation provided to substantiate an insurance claim. A training conference will be held for insurance companies that will emphasize the importance of required documentation.	November 18, 2009	November 15, 2011	\$30,000.00	\$0.00	\$30,000.00	\$0.00	\$30,000.00
FEMA	ENTITY	Bloomington, IN	Federal Insurance and Mitigation Administration	Program Office notified company that an offset to future funds will be made to recoup the overpayment.	Not enough supporting documentation provided to substantiate an insurance claim. A training conference will be held for insurance companies that will emphasize the importance of required documentation.	July 29, 2010	November 15, 2011	\$20,000.00	\$0.00	\$20,000.00	\$0.00	\$20,000.00
FEMA	ENTITY	Borough of Dover, PA	Public Assistance	Collection request sent to Finance Center. A collection notice will be sent by January 31, 2012.	The wrong entity was paid. FEMA staff will require project specialists and Public Assistance Coordinators (state employees) to take training courses on proper project worksheet data entry and development, project writing skills, and audit review requirements.	July 26, 2010	November 15, 2011	\$47,452.04	\$0.00	\$47,452.04	\$0.00	\$47,452.04
FEMA	ENTITY	City of Nenana, AK	Public Assistance	Collection request sent to Finance Center. A collection notice will be sent by January 31, 2012.	A state employee inadvertently drew down FEMA funds for a state project. FEMA staff will require project specialists and Public Assistance Coordinators (state employees) to take training courses on proper project worksheet data entry and development, project writing skills, and audit review requirements.	February 2, 2010	November 15, 2011	\$179,053.13	\$0.00	\$179,053.13	\$0.00	\$179,053.13

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FEMA	ENTITY	Duval County, FL	Emergency Food & Shelter Program	Program Office reached out to grantee to receive more supporting documentation. Grantee had 30 days to send support. A collection notice will be sent by January 31, 2012.	Grantee did not produce supporting documentation during improper payments testing. FEMA will develop and distribute to Grantees a "Grantee Supporting Documentation Retention Strategy" document detailing the types of supporting documentation Grantees should retain at each phase of the grant lifecycle. This document will be updated regularly to reflect changes in program guidance and documentation retention requirements. A new grant review process for oversight will be put in place by March 2012.	May 18, 2009	November 15, 2011	\$27,830.00	\$15,782.74	\$12,047.26	\$0.00	\$12,047.26
FEMA	ENTITY	Frankfort, KY	Transit Security Grant Program	Program Office reached out to grantee to receive more supporting documentation. Grantee had 30 days to send support. A collection notice will be sent by January 31, 2012.	Grantee did not produce supporting documentation during improper payments testing. FEMA will develop and distribute to Grantees a "Grantee Supporting Documentation Retention Strategy" document detailing the types of supporting documentation Grantees should retain at each phase of the grant lifecycle. This document will be updated regularly to reflect changes in program guidance and documentation retention requirements. A new grant review process for oversight will be put in place by March 2012.	June 28, 2010	November 15, 2011	\$38,818.58	\$0.00	\$38,818.58	\$0.00	\$38,818.58
FEMA	ENTITY	Madison, WI	Homeland Security Grants Program	Program Office reached out to grantee to receive more supporting documentation. Grantee had 30 days to send support. A collection notice will be sent by January 31, 2012.	Grantee did not produce supporting documentation during improper payments testing. FEMA will develop and distribute to Grantees a "Grantee Supporting Documentation Retention Strategy" document detailing the types of supporting documentation Grantees should retain at each phase of the grant lifecycle. This document will be updated regularly to reflect changes in program guidance and documentation retention requirements. A new grant review process for oversight will be put in place by March 2012.	June 10, 2010	November 15, 2011	\$53,361.63	\$25,597.31	\$27,764.32	\$0.00	\$27,764.32
FEMA	ENTITY	Puerto Rico	Emergency Food & Shelter Program	Program Office reached out to grantee to receive more supporting documentation. Grantee had 30 days to send support. A collection notice will be sent by January 31, 2012.	Grantee did not produce supporting documentation during improper payments testing. FEMA will develop and distribute to Grantees a "Grantee Supporting Documentation Retention Strategy" document detailing the types of supporting documentation Grantees should retain at each phase of the grant lifecycle. This document will be updated regularly to reflect changes in program guidance and documentation retention requirements. A new grant review process for oversight will be put in place by March 2012.	April 27, 2010	November 15, 2011	\$98,758.00	\$48,033.11	\$50,724.89	\$0.00	\$50,724.89
FEMA	ENTITY	Randolph County, IL	Emergency Food & Shelter Program	Program Office reached out to grantee to receive more supporting documentation. Grantee had 30 days to send support. A collection notice will be sent by January 31, 2012.	Grantee did not produce supporting documentation during improper payments testing. FEMA will develop and distribute to Grantees a "Grantee Supporting Documentation Retention Strategy" document detailing the types of supporting documentation Grantees should retain at each phase of the grant lifecycle. This document will be updated regularly to reflect changes in program guidance and documentation retention requirements. A new grant review process for oversight will be put in place by March 2012.	December 1, 2009	November 15, 2011	\$25,364.00	\$0.00	\$25,364.00	\$0.00	\$25,364.00

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FEMA	ENTITY	Richland County, OH	Emergency Food & Shelter Program	Program Office reached out to grantee to receive more supporting documentation. Grantee had 30 days to send support. A collection notice will be sent by January 31, 2012.	Grantee did not produce supporting documentation during improper payments testing. FEMA will develop and distribute to Grantees a "Grantee Supporting Documentation Retention Strategy" document detailing the types of supporting documentation Grantees should retain at each phase of the grant lifecycle. This document will be updated regularly to reflect changes in program guidance and documentation retention requirements. A new grant review process for oversight will be put in place by March 2012.	November 23, 2009	November 15, 2011	\$26,837.50	\$11,137.50	\$15,700.00	\$0.00	\$15,700.00
FEMA	ENTITY	Sedgwick, County, KS	Emergency Food & Shelter Program	Program Office reached out to grantee to receive more supporting documentation. Grantee had 30 days to send support. A collection notice will be sent by January 31, 2012.	Grantee did not produce supporting documentation during improper payments testing. FEMA will develop and distribute to Grantees a "Grantee Supporting Documentation Retention Strategy" document detailing the types of supporting documentation Grantees should retain at each phase of the grant lifecycle. This document will be updated regularly to reflect changes in program guidance and documentation retention requirements. A new grant review process for oversight will be put in place by March 2012.	May 7, 2010	November 15, 2011	\$59,952.00	\$31,246.12	\$28,705.88	\$0.00	\$28,705.88
FEMA	ENTITY	Silver Creek, NY	Public Assistance	Collection request sent to Finance Center. A collection notice will be sent by January 31, 2012.	The project worksheet was prepared incorrectly. FEMA staff will require project specialists and Public Assistance Coordinators (state employees) to take training courses on proper project worksheet data entry and development, project writing skills, and audit review requirements.	March 17, 2010	November 15, 2011	\$75,440.99	\$0.00	\$75,440.99	\$0.00	\$75,440.99
FEMA	ENTITY	South Greensburg, PA	Assistance to Firefighter Grants	Program Office reached out to grantee to receive more supporting documentation. Grantee had 30 days to send support. A collection notice will be sent by January 31, 2012.	Grantee did not produce supporting documentation during improper payments testing. FEMA will develop and distribute to Grantees a "Grantee Supporting Documentation Retention Strategy" document detailing the types of supporting documentation Grantees should retain at each phase of the grant lifecycle. This document will be updated regularly to reflect changes in program guidance and documentation retention requirements. A new grant review process for oversight will be put in place by March 2012.	October 5, 2009	November 15, 2011	\$40,000.00	\$0.00	\$40,000.00	\$0.00	\$40,000.00
FEMA	ENTITY	Talladega County, AL	Emergency Food & Shelter Program	Program Office reached out to grantee to receive more supporting documentation. Grantee had 30 days to send support. A collection notice will be sent by January 31, 2012.	Grantee did not produce supporting documentation during improper payments testing. FEMA will develop and distribute to Grantees a "Grantee Supporting Documentation Retention Strategy" document detailing the types of supporting documentation Grantees should retain at each phase of the grant lifecycle. This document will be updated regularly to reflect changes in program guidance and documentation retention requirements. A new grant review process for oversight will be put in place by March 2012.	October 28, 2009	November 15, 2011	\$52,549.00	\$0.00	\$52,549.00	\$0.00	\$52,549.00

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FEMA	ENTITY	Tuscaloosa County, AL	Emergency Food & Shelter Program	Program Office reached out to grantee to receive more supporting documentation. Grantee had 30 days to send support. A collection notice will be sent by January 31, 2012.	Grantee did not produce supporting documentation during improper payments testing. FEMA will develop and distribute to Grantees a "Grantee Supporting Documentation Retention Strategy" document detailing the types of supporting documentation Grantees should retain at each phase of the grant lifecycle. This document will be updated regularly to reflect changes in program guidance and documentation retention requirements. A new grant review process for oversight will be put in place by March 2012.	September 10, 2010	November 15, 2011	\$40,993.00	\$0.00	\$40,993.00	\$0.00	\$40,993.00
FEMA	ENTITY	U.S. Virgin Islands	Port Security Grant Program	Program Office reached out to grantee to receive more supporting documentation. Grantee had 30 days to send support. A collection notice will be sent by January 31, 2012.	Grantee did not produce supporting documentation during improper payments testing. FEMA will develop and distribute to Grantees a "Grantee Supporting Documentation Retention Strategy" document detailing the types of supporting documentation Grantees should retain at each phase of the grant lifecycle. This document will be updated regularly to reflect changes in program guidance and documentation retention requirements. A new grant review process for oversight will be put in place by March 2012.	September 13, 2010	November 15, 2011	\$53,000.00	\$0.00	\$53,000.00	\$0.00	\$53,000.00
FEMA	ENTITY	Utah County, UT	Emergency Food & Shelter Program	Program Office reached out to grantee to receive more supporting documentation. Grantee had 30 days to send support. A collection notice will be sent by January 31, 2012.	Grantee did not produce supporting documentation during improper payments testing. FEMA will develop and distribute to Grantees a "Grantee Supporting Documentation Retention Strategy" document detailing the types of supporting documentation Grantees should retain at each phase of the grant lifecycle. This document will be updated regularly to reflect changes in program guidance and documentation retention requirements. A new grant review process for oversight will be put in place by March 2012.	April 27, 2010	November 15, 2011	\$82,000.00	\$30,000.00	\$52,000.00	\$0.00	\$52,000.00
FEMA	ENTITY	Yuma County, AZ	Emergency Food & Shelter Program	Program Office reached out to grantee to receive more supporting documentation. Grantee had 30 days to send support. A collection notice will be sent by January 31, 2012.	Grantee did not produce supporting documentation during improper payments testing. FEMA will develop and distribute to Grantees a "Grantee Supporting Documentation Retention Strategy" document detailing the types of supporting documentation Grantees should retain at each phase of the grant lifecycle. This document will be updated regularly to reflect changes in program guidance and documentation retention requirements. A new grant review process for oversight will be put in place by March 2012.	October 7, 2009	November 15, 2011	\$60,000.00	\$36,000.00	\$24,000.00	\$0.00	\$24,000.00
FO	ENTITY	McLean, VA	Information Security Office	Vendor returned overpayment.	Obligation was recorded using an incorrect vendor code. The program office will ensure that the correct vendor code is selected when creating new obligations. The payment technician has been instructed to match invoice, contract and Central Contractor Registration information.	September 6, 2011	October 26, 2011	\$46,383.70	\$0.00	\$46,383.70	\$46,383.70	\$0.00

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FO	ENTITY	Washington, DC	Office of the Chief Financial Officer and ICE Dallas Finance Center	Vendor returned overpayment.	The contracting officer representative approved two invoices for the same period of service. The first invoice contained an error and the contracting officer representative requested a corrected replacement invoice. The finance center processed both invoices for payment. Procedures were established to communicate invoice discrepancies to the finance center thereby preventing duplicate invoice processing.	September 29, 2011	October 7, 2011	\$575,296.33	\$0.00	\$575,296.33	\$575,296.33	\$0.00
ICE	ENTITY	Henderson, NV	Enforcement and Removal Operations	Vendor returned overpayment.	The receiving ticket to pay the invoice was created using an incorrect vendor. Payment was made to the local county government instead of the correct local city government. The program office was contacted regarding the importance of verifying that receiving tickets are created using correct vendor data. Finance center personnel were trained on the selection of vendor information prior to payment.	October 14, 2011	November 16, 2011	\$478,429.00	\$0.00	\$478,429.00	\$478,429.00	\$0.00
TSA	ENTITY	Alexandria, VA	Office of Security Technology and USCG Finance Center - Commercial Payables Division	Vendor returned overpayment.	The cumulative amount instead of the current amount due was entered for payment. Internal training was conducted regarding the commercial payment process and the importance of ensuring that all supporting documentation is reviewed. This training gave special attention to ensuring that the correct amount is entered for payment.	November 30, 2011	December 8, 2011	\$379,865.20	\$63,310.85	\$316,554.35	\$316,554.35	\$0.00
USCG	ENTITY	Baltimore, MD	CG-84, Office of Financial Policy and Systems	Vendor returned overpayment.	Responding to a payment inquiry, a payment technician processed a duplicate payment. Information on the original payment was filed incorrectly. The payables team will provide a more thorough review of current payment transactions by comparing payments to invoice imaging systems and other financial reports.	December 14, 2011	December 20, 2011	\$177,152.20	\$88,576.10	\$88,576.10	\$88,576.10	\$0.00
USCG	ENTITY	Sumner, WA	CG-84, Office of Financial Policy and Systems	Vendor returned overpayment.	Use of an incorrect Data Universal Numbering System (DUNS) number led to payment to the wrong vendor. The contract payables team will review and update the invoice verification checklist to streamline and improve invoice reviews to prevent future reoccurrences of improper payments. Additionally, a review of the desk guide will assist in the invoice review process to ensure that all information is correct before releasing payment.	September 2, 2011	October 21, 2011	\$170,156.99	\$0.00	\$170,156.99	\$170,156.99	\$0.00
USCG	ENTITY	Vienna, VA	CG-84, Office of Financial Policy and Systems	Vendor returned overpayment.	An approved invoice was submitted twice under two different task order numbers. The first submission was paid. The second invoice approval form reflected a payment amount of \$10,046.00 and the invoice reflected \$64,817.57. The approval form was returned for correction. A duplicate payment was processed upon receipt of the corrected approval form. The contract payables team will review and update the invoice verification checklist to streamline and improve invoice reviews to prevent future reoccurrences of duplicate payments.	October 4, 2011	October 18, 2011	\$129,635.14	\$64,817.57	\$64,817.57	\$64,817.57	\$0.00

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USCG	INDIVIDUAL	Alameda, CA	CG-84, Office of Financial Policy and Systems	Notice of Overpayment sent to member and copy to Servicing Personnel Office.	Member assigned quarters and eligibility for basic allowance for housing changed. The transaction processing the housing change was delayed. Payment technicians and auditors were reminded to pay close attention to detail when working cases.	September 30, 2011	November 1, 2011	\$5,603.55	\$0.00	\$5,603.55	\$0.00	\$5,603.55
USCG	INDIVIDUAL	Atlanta, GA	CG-84, Office of Financial Policy and Systems	Contacted employee, overpayment collected.	Documents submitted to reimburse a separating member for 60 days of unused leave. Member should have received payment for 8 days of unused leave. Training given to Servicing Personnel Offices regarding need for timely and accurate submission of documents.	October 4, 2011	October 20, 2011	\$10,254.53	\$3,163.08	\$7,091.45	\$7,091.45	\$0.00
USCG	INDIVIDUAL	Guam	CG-84, Office of Financial Policy and Systems	Contacted employee, overpayment collected.	Member received duplicate payment of overseas housing allowance. Payment technicians and auditors reminded to pay close attention to detail when working cases.	August 31, 2011	October 1, 2011	\$5,866.20	\$0.00	\$5,866.20	\$5,866.20	\$0.00
USCG	INDIVIDUAL	Kodiak, AK	CG-84, Office of Financial Policy and Systems	Notice of Overpayment sent to member and copy to Servicing Personnel Office.	Member assigned quarters and therefore not entitled to basic allowance for housing. Late submission of relevant documents. Training to Servicing Personnel Offices regarding timely and accurate submission of documents.	November 1, 2011	November 18, 2011	\$6,307.41	\$0.00	\$6,307.41	\$0.00	\$6,307.41
USCG	INDIVIDUAL	Kodiak, AK	CG-84, Office of Financial Policy and Systems	Notice of Overpayment sent to member and copy to Servicing Personnel Office.	Late submission of documents transferring member from active duty to retirement. Training to Servicing Personnel Offices regarding timely and accurate submission of documents.	October 31, 2011	November 8, 2011	\$10,241.50	\$0.00	\$10,241.50	\$0.00	\$10,241.50
USCG	INDIVIDUAL	Manassas, VA	CG-84, Office of Financial Policy and Systems	Contacted employee, overpayment collected.	An officer received a separation payment. A non-payment related correction to the separation orders caused a duplicate payment due to an auto-payment system setting. The payroll system will be modified to remove auto-payment programming for separation payments.	September 15, 2011	October 13, 2011	\$45,082.67	\$0.00	\$45,082.67	\$45,082.67	\$0.00
USCG	INDIVIDUAL	Miami, FL	CG-84, Office of Financial Policy and Systems	Contacted employee, overpayment collected.	Reservists' separation was canceled and he was brought back on active duty making him ineligible to receive reimbursement for unused leave. Payment technicians and auditors reminded to pay close attention to detail when working cases.	September 30, 2011	October 24, 2011	\$20,782.20	\$0.00	\$20,782.20	\$20,782.20	\$0.00
USCG	INDIVIDUAL	Naples, FL	CG-84, Office of Financial Policy and Systems	Payment returned from the United States Treasury.	Received report of retiree's death after payment made. USCG performs monthly and quarterly checks using data from the Department of Defense, the Social Security Administration, and the Veteran's Administration to prevent issuance of retiree benefits to deceased members. Additionally, families and estates are required to immediately notify the USCG of a retiree's death. Late notification of death by the family is not uncommon due to the stress of the situation. This payment occurred in between the monthly and quarterly control checks, and prior to receipt of notification from the family.	December 1, 2011	December 2, 2011	\$6,169.82	\$0.00	\$6,169.82	\$6,169.82	\$0.00

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USCG	INDIVIDUAL	New Orleans, LA	CG-84, Office of Financial Policy and Systems	Notice of Overpayment sent to member and copy to Servicing Personnel Office.	Reservist continued to receive pay and allowances after orders were terminated. Late submission of relevant documents by the Servicing Personnel Office. Training to Servicing Personnel Offices regarding timely and accurate submission of documents.	October 1, 2011	November 25, 2011	\$7,135.16	\$0.00	\$7,135.16	\$0.00	\$7,135.16
USCG	INDIVIDUAL	New York, NY	CG-84, Office of Financial Policy and Systems	Notice of Overpayment sent to member and copy to Servicing Personnel Office. Collection by payroll deductions begun.	Reservists' orders ended early and pay and allowances were not stopped in time. Payment technicians and auditors reminded to pay close attention to detail when working cases.	August 31, 2011	October 31, 2011	\$5,994.00	\$0.00	\$5,994.00	\$67.33	\$5,926.67
USCG	INDIVIDUAL	Virginia Beach, VA	CG-84, Office of Financial Policy and Systems	Payment returned from the United States Treasury.	Received report of retiree's death after payment made. USCG performs monthly and quarterly checks using data from the Department of Defense, the Social Security Administration, and the Veteran's Administration to prevent issuance of retiree benefits to deceased members. Additionally, families and estates are required to immediately notify the USCG of a retiree's death. Late notification of death by the family is not uncommon due to the stress of the situation. This payment occurred in between the monthly and quarterly control checks, and prior to receipt of notification from the family.	September 30, 2011	October 11, 2011	\$6,553.14	\$0.00	\$6,553.14	\$6,553.14	\$0.00
Totals								\$3,643,459.37	\$553,003.77	\$3,090,455.60	\$2,057,526.85	\$1,032,928.75

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Additional Entries Which Should Have Appeared on the Quarterly Report Ending September 30, 2011

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Component	Recipient Type (Entity or Individual)	City and State	Program(s) Responsible	Collection Actions Taken or Planned	Actions to Prevent Reoccurrence	Payment Date	High \$ Overpayment Date Identified	Amount Paid	Correct Amount	Overpayment Amount	Recovered Amount	Outstanding Balance
USCG	ENTITY	New Orleans, LA	CG-84, Office of Financial Policy and Systems	The attorney representing the vendor returned the overpayment.	A foreign vendor should have received payment to their U.S. bank account. Instead, the attorney representing the foreign vendor received the payment. Information awareness training relating to payments involving third party representatives was provided. An available system control was turned on to flag future similar payments.	June 24, 2011	August 2, 2011	\$1,029,093.87	\$0.00	\$1,029,093.87	\$1,029,093.87	\$0.00
USCG	ENTITY	Stevensville, MD	CG-84, Office of Financial Policy and Systems	Vendor returned overpayment.	Use of an incorrect Data Universal Numbering System (DUNS) number led to payment to the wrong vendor. The contract payables team will review and update the invoice verification checklist to streamline and improve invoice reviews to prevent future reoccurrences of improper payments. Additionally, a review of the desk guide will assist in the invoice review process to ensure that all information is correct before releasing payment.	August 16, 2011	August 19, 2011	\$927,200.00	\$0.00	\$927,200.00	\$927,200.00	\$0.00
USCG	INDIVIDUAL	Amsterdam, NY	CG-84, Office of Financial Policy and Systems	Payment returned from the United States Treasury.	Received report of retiree's death after payment made. USCG performs monthly and quarterly checks using data from the Department of Defense, the Social Security Administration, and the Veteran's Administration to prevent issuance of retiree benefits to deceased members. Additionally, families and estates are required to immediately notify the USCG of a retiree's death. Late notification of death by the family is not uncommon due to the stress of the situation. This payment occurred in between the monthly and quarterly control checks, and prior to receipt of notification from the family.	August 1, 2011	August 4, 2011	\$12,037.90	\$0.00	\$12,037.90	\$12,037.90	\$0.00
USCG	INDIVIDUAL	Manassas, VA	CG-84, Office of Financial Policy and Systems	Contacted employee, overpayment collected.	A member separated from the USCG. An offline payment was processed for final pay and allowances. The payroll technician should have but did not prevent a system calculated final payment which became a duplicate payment. Training on new controls related to separation payments was provided to payroll technicians.	June 30, 2011	July 26, 2011	\$35,050.71	\$0.00	\$35,050.71	\$35,050.71	\$0.00
USCG	INDIVIDUAL	Seattle, WA	CG-84, Office of Financial Policy and Systems	Contacted employee, overpayment collected.	Received report of retiree's death after payment made. USCG performs monthly and quarterly checks using data from the Department of Defense, the Social Security Administration, and the Veteran's Administration to prevent issuance of retiree benefits to deceased members. Additionally, families and estates are required to immediately notify the USCG of a retiree's death. Late notification of death by the family is not uncommon due to the stress of the situation. This payment occurred in between the monthly and quarterly control checks, and prior to receipt of notification from the family.	August 1, 2011	August 5, 2011	\$6,001.65	\$0.00	\$6,001.65	\$6,001.65	\$0.00
Totals								\$2,009,384.13	\$0.00	\$2,009,384.13	\$2,009,384.13	\$0.00

Department of Homeland Security
Current Status of Outstanding High-Dollar Overpayments Reported on the Previous Secretary's High-Dollar Overpayments Report
for the Quarter Ending December 31, 2011

Debts Sent to the Treasury Offset Program for Collection

A	B	C	D	E	F	G	H	I	J	K	L
Component	Recipient Type	City and State	Program(s) Responsible	Recovery Actions Taken or Planned	Payment Date	High \$ Overpayment Date Identified	Amount Paid	Correct Amount	Recovered Amount	Outstanding Balance	Days Outstanding as of December 31, 2011
FEMA	INDIVIDUAL	Seabrook, TX	Individuals and Households Payments	Recoupment package sent to individual. Debt later sent to the Treasury Offset Program for collection.	November 11, 2008	September 30, 2010	\$19,239.54	\$0.00	\$0.00	\$19,239.54	457
USCG	ENTITY	Miami, FL	Air Station Miami	Contacted vendor who confirmed overpayment. Vendor added to USCG "Do Not Pay List" with automatic offset of overpayment on next invoice. Debt sent to Treasury Offset Program for collection.	September 25, 2010	January 10, 2011	\$76,740.37	\$38,177.37	\$0.00	\$38,563.00	355

Totals	\$95,979.91	\$38,177.37	\$0.00	\$57,802.54
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Debts Under Collection by DHS

A	B	C	D	E	F	G	H	I	J	K	L
Component	Recipient Type	City and State	Program(s) Responsible	Recovery Actions Taken or Planned	Payment Date	High \$ Overpayment Date Identified	Amount Paid	Correct Amount	Recovered Amount	Outstanding Balance	Days Outstanding as of December 31, 2011
FEMA	ENTITY	Carson City, NV	Homeland Security Grant Program	Additional supporting documentation received from grantee. FEMA reviewing for sufficiency with resolution expected by January 2012. DHS senior management to elevate this issue to FEMA senior management to ensure timely resolution. State is appealing improper payment.	September 30, 2009	August 5, 2010	\$27,609.10	\$0.00	\$0.00	\$27,609.10	513
FEMA	ENTITY	District of Columbia, DC	Homeland Security Grant Program	Program office completed a review of recipient provided documentation and fully discussed results with grant recipient. Proper support was found for an additional \$1,348,108.50 of the original payment. A collection bill for the remaining \$643,115.65 was sent.	August 19, 2009	July 28, 2010	\$3,133,009.25	\$2,489,893.60	\$0.00	\$643,115.65	521
USCG	INDIVIDUAL	Seattle, WA	Coast Guard Pay & Personnel Center/Direct Access	Notice of Overpayment sent to member and copy to Servicing Personnel Office. Collection by payroll deductions begun.	November 11, 2008	June 15, 2011	\$8,903.21	\$1,051.71	\$1,023.96	\$6,827.54	199

Totals	\$3,169,521.56	\$2,490,945.31	\$1,023.96	\$677,552.29
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Grand Totals	\$3,265,501.47	\$2,529,122.68	\$1,023.96	\$735,354.83
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