Our Vision

A homeland that is safe, secure, and resilient against terrorism and other hazards.

About this Report

The U.S. Department of Homeland Security Annual Performance Report for Fiscal Years (FY) 2011 – 2013 presents the Department’s performance measures and applicable results, associated performance targets for FY 2012 and FY 2013, and provides information on the Department’s Priority Goals. This Appendix provides, in tabular format, a list of the more significant DHS program evaluations conducted in FY 2011 by the U.S. Government Accountability Office and the DHS Office of the Inspector General.

For FY 2011, the Department is using the alternative approach—as identified in the Office of Management and Budget’s Circular A-136—to produce its Performance and Accountability Reports, which consists of the following three reports:


- **DHS Annual Performance Report**: Publication date – February 13, 2012. The **DHS Annual Performance Report** is submitted with the Department’s Congressional Budget Justification.


When published, all three reports will be located on our public website at: [http://www.dhs.gov/xabout/budget/editorial_0430.shtm](http://www.dhs.gov/xabout/budget/editorial_0430.shtm).

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# Table of Contents

Introduction ..........................................................................................................................................2

Program Evaluations ............................................................................................................................2
  Mission 1 – Preventing Terrorism and Enhancing Security ............................................................2  
    GAO Reports ...............................................................................................................................2  
    DHS OIG Reports .......................................................................................................................3  
  Mission 2: Securing and Managing Our Borders ...........................................................................3  
    GAO Reports ...............................................................................................................................3  
    DHS OIG Reports .......................................................................................................................4  
  Mission 3: Enforcing and Administering Our Immigration Laws .................................................4  
    GAO Reports ...............................................................................................................................4  
    DHS OIG Reports .......................................................................................................................4  
  Mission 4: Safeguarding and Securing Cyberspace .......................................................................5  
    GAO Reports ...............................................................................................................................5  
  Mission 5: Ensuring Resilience to Disasters .................................................................................5  
    GAO Reports ...............................................................................................................................5  
    DHS OIG Reports .......................................................................................................................5  
  Providing Essential Support to National and Economic Security .................................................6  
    GAO Reports ...............................................................................................................................6  
    DHS OIG Reports .......................................................................................................................6  
  Cross-Cutting ...................................................................................................................................6  
    GAO Reports ...............................................................................................................................6
Introduction

Independent program evaluations provide vital input to the Department of Homeland Security (DHS) as they offer keen insight to the performance of our programs and identify areas for improvement. These evaluations are used across the Department to look critically at how we conduct operations and to confront some of the key challenges facing the Department.

This Appendix provides, in tabular format, a list of the more significant DHS program evaluations conducted in FY 2011 by the U.S. Government Accountability Office (GAO) and the DHS Office of the Inspector General (OIG). For each report, the report name, report number, date issued, and a link to the publicly released report are provided.

Detailed information on the findings and recommendations of all FY 2011 GAO evaluation reports is available at:  [http://www.gao.gov/browse/a-z/Department_of_Homeland_Security/custom?adv_begin_month=9&adv_begin_day=1&adv_begin_year=2010&adv_end_month=9&adv_end_day=30&adv_end_year=2011&all=]

Detailed information on the findings and recommendations of all FY 2011 DHS OIG reports is available at:  [http://www.oig.dhs.gov/index.php?option=com_content&view=article&id=115&Itemid=66]

Program Evaluations

<table>
<thead>
<tr>
<th>Mission 1 – Preventing Terrorism and Enhancing Security</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>GAO Reports</strong></td>
</tr>
</tbody>
</table>
| **Report:** TSA's Revised Cost Comparison Provides a More Reasonable Basis for Comparing the Costs of Private-Sector and TSA Screeners | **Number:** GAO-11-375R  
**Date:** Mar 4, 2011 |
| **Report:** TSA Is Taking Steps to Validate the Science Underlying Its Passenger Behavior Detection Program, but Efforts May Not Be Comprehensive | **Number:** GAO-11-461T  
**Date:** Apr 6, 2011 |
| **Report:** Internal Control Weaknesses Need to Be Corrected to Help Achieve Security Objectives | **Number:** GAO-11-657  
**Date:** May 10, 2011 |
| **Report:** Actions Needed to Resolve Delays and Inadequate Oversight Issues with FPS's Risk Assessment and Management Program | **Number:** GAO-11-705R  
**Date:** Jul 15, 2011 |
| **Report:** Progress Made, but Challenges Persist in Meeting the Screening Mandate for Air Cargo | **Number:** GAO-11-413T  
**Date:** Mar 9, 2011 |
| **Report:** DHS Could Better Define How It Plans to Meet Its State and Local Mission and Improve Performance Accountability | **Number:** GAO-11-223  
**Date:** Dec 16, 2010 |
| **Report:** DHS Has Taken Steps to Enhance International Aviation Security and Facilitate Compliance with International Standards, but Challenges Remain | **Number:** GAO-11-238T  
**Date:** Dec 2, 2010 |
| **Report:** Maritime Security: Progress Made, but Further Actions Needed to Secure the Maritime Energy Supply | **Number:** GAO-11-883T  
**Date:** Aug 24, 2011 |
| Report: | Aviation Security: TSA Has Enhanced Its Explosives Detection Requirements for Checked Baggage, but Additional Screening Actions Are Needed | Number: | GAO-11-740 |
| Report: | Critical Infrastructure Protection: DHS Has Taken Action Designed to Identify and Address Overlaps and Gaps in Critical Infrastructure Security Activities | Number: | GAO-11-537R |
| Report: | Rail Security: TSA Improved Risk Assessment but Could Further Improve Training and Information Sharing | Number: | GAO-11-688T |

**DHS OIG Reports**

| Report: | DHS Risk Assessment Efforts in the Dams Sector | Number: | OIG-11-110 |
| Report: | Information Sharing On Foreign Nationals: Overseas Screening | Number: | OIG-11-68 |
| Report: | Ability to Communicate with Federal Air Marshals While in Mission Status | Number: | OIG-11-19 |
| Report: | Transportation Security Administration’s Management of Its Screening Workforce Training Program Can Be Improved | Number: | OIG-11-05 |
| Report: | Information Sharing With Fusion Centers Has Improved, but Information System Challenges Remain | Number: | OIG-11-04 |

### Mission 2: Securing and Managing Our Borders

**GAO Reports**

| Report: | Border Security: Preliminary Observations on the Status of Key Southwest Border Technology Programs | Number: | GAO-11-448T |
| Report: | Maritime Security: Federal Agencies Have Taken Actions to Address Risks Posed by Seafarers, but Efforts Can Be Strengthened | Number: | GAO-11-195 |

**Number:** GAO-11-177  
**Date:** Nov 18, 2010


**Number:** GAO-11-38  
**Date:** Oct 19, 2010

### Report: Secure Border Initiative: DHS Needs to Strengthen Management and Oversight of Its Prime Contractor

**Number:** GAO-11-6  
**Date:** Oct 18, 2010

### Report: Combating Nuclear Smuggling: DHS has Developed a Strategic Plan for its Global Nuclear Detection Architecture, but Gaps Remain

**Number:** GAO-11-869T  
**Date:** Jul 26, 2011

### Report: Southwest Border: Border Patrol Operations on Federal Lands

**Number:** GAO-11-573T  
**Date:** Apr 15, 2011

### Report: Border Security: DHS Progress and Challenges in Securing the U.S. Southwest and Northern Borders

**Number:** GAO-11-508T  
**Date:** Mar 30, 2011

### DHS OIG Reports

### Report: Security Issues with U.S. Customs and Border Protection’s Enterprise Wireless Infrastructure

**Number:** OIG-11-118  
**Date:** Sep 28, 2011


**Number:** OIG-11-97  
**Date:** Aug 8, 2011

### Report: CBP's Efficacy of Controls Over Drug Seizures

**Number:** OIG-11-57  
**Date:** Aug 26, 2011

### Report: U.S. Customs and Border Protection’s Oversight of the Permit to Transfer Process for Cargo Containers

**Number:** OIG-11-28  
**Date:** Jan 11, 2011

### Report: U.S. Customs and Border Protection's Ground Transportation of Detainees

**Number:** OIG-11-27  
**Date:** Jan 11, 2011

### Report: Customs and Border Protection's Implementation of the Western Hemisphere Travel Initiative at Land Ports of Entry

**Number:** OIG-11-16  
**Date:** Nov 29, 2010

### Mission 3: Enforcing and Administering Our Immigration Laws

#### GAO Reports

### Report: Visa Waiver Program: DHS Has Implemented the Electronic System for Travel Authorization, but Further Steps Needed to Address Potential Program Risks

**Number:** GAO-11-355  
**Date:** May 5, 2011

### Report: Employment Verification: Federal Agencies Have Improved E-Verify, but Significant Challenges Remain

**Number:** GAO-11-330T  
**Date:** Feb 10, 2011


**Number:** GAO-11-411  
**Date:** Apr 15, 2011

#### DHS OIG Reports

### Report: The Performance of 287(g) Agreements FY 2011 Update

**Number:** OIG-11-119  
**Date:** Sep 30, 2011
### Mission 4: Safeguarding and Securing Cyberspace

**GAO Reports**

| Report: | Cybersecurity: Continued Attention Needed to Protect Our Nation's Critical Infrastructure | Number: GAO-11-865T | Date: Jul 26, 2011 |

### Mission 5: Ensuring Resilience to Disasters

**GAO Reports**

| Report: | FEMA Flood Maps: Some Standards and Processes in Place to Promote Map Accuracy and Outreach, but Opportunities Exist to Address Implementation Challenges | Number: GAO-11-17 | Date: Dec 2, 2010 |
| Report: | FEMA Has Made Limited Progress in Efforts to Develop and Implement a System to Assess National Preparedness Capabilities | Number: GAO-11-51R | Date: Oct 29, 2010 |
| Report: | FEMA: Action Needed to Improve Administration of the National Flood Insurance Program | Number: GAO-11-297 | Date: Jun. 9, 2011 |

**DHS OIG Reports**

| Report: | Effectiveness and Costs of FEMA’s Disaster Housing Assistance Program | Number: OIG-11-102 | Date: Aug 12, 2011 |
| Report: | Opportunities to Improve FEMA's Public Assistance Appeals Process | Number: OIG-11-49 | Date: Mar 1, 2011 |

**Number:** OIG-11-08  
**Date:** Nov 10, 2010


**Number:** OIG-11-02  
**Date:** Oct 21, 2010

## Providing Essential Support to National and Economic Security

### GAO Reports

<table>
<thead>
<tr>
<th>Report</th>
<th>Description</th>
<th>Number</th>
<th>Date</th>
</tr>
</thead>
<tbody>
<tr>
<td>Export Controls</td>
<td>Improvements Needed to Prevent Unauthorized Technology Releases to Foreign Nationals in the United States</td>
<td>GAO-11-354</td>
<td>Feb 2, 2011</td>
</tr>
<tr>
<td>Coast Guard</td>
<td>Observations on Acquisition Management and Efforts to Reassess the Deepwater Program</td>
<td>GAO-11-535T</td>
<td>Apr 13, 2011</td>
</tr>
</tbody>
</table>

### DHS OIG Reports

<table>
<thead>
<tr>
<th>Report</th>
<th>Description</th>
<th>Number</th>
<th>Date</th>
</tr>
</thead>
<tbody>
<tr>
<td>Coast Guard</td>
<td>Coast Guard Has Taken Steps To Strengthen Information Technology Management, but Challenges Remain</td>
<td>OIG-11-108</td>
<td>Sep 7, 2011</td>
</tr>
</tbody>
</table>

## Cross-Cutting

### GAO Reports

<table>
<thead>
<tr>
<th>Report</th>
<th>Description</th>
<th>Number</th>
<th>Date</th>
</tr>
</thead>
<tbody>
<tr>
<td>DHS Science and Technology</td>
<td>DHS Science and Technology: Additional Steps Needed to Ensure Test and Evaluation Requirements Are Met</td>
<td>GAO-11-596</td>
<td>Jun 15, 2011</td>
</tr>
</tbody>
</table>