



U.S. Department of Homeland Security Annual Performance Report

Fiscal Years 2011 – 2013

Appendix B: Program Evaluations



Homeland
Security

Our Vision

*A homeland that is safe, secure, and resilient
against terrorism and other hazards.*

About this Report

The U.S. *Department of Homeland Security Annual Performance Report for Fiscal Years (FY) 2011 – 2013* presents the Department's performance measures and applicable results, associated performance targets for FY 2012 and FY 2013, and provides information on the Department's Priority Goals. This Appendix provides, in tabular format, a list of the more significant DHS program evaluations conducted in FY 2011 by the U.S. Government Accountability Office and the DHS Office of the Inspector General.

For FY 2011, the Department is using the alternative approach—as identified in the Office of Management and Budget's Circular A-136—to produce its Performance and Accountability Reports, which consists of the following three reports:

- ***DHS Annual Financial Report:*** Publication date – November 11, 2011.
- ***DHS Annual Performance Report:*** Publication date – February 13, 2012. The *DHS Annual Performance Report* is submitted with the Department's Congressional Budget Justification.
- ***DHS Summary of Performance and Financial Information:*** Publication date – February 13, 2012.

When published, all three reports will be located on our public website at:
http://www.dhs.gov/xabout/budget/editorial_0430.shtm.

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Table of Contents

Introduction	2
Program Evaluations	2
Mission 1 – Preventing Terrorism and Enhancing Security.....	2
<i>GAO Reports</i>	2
<i>DHS OIG Reports</i>	3
Mission 2: Securing and Managing Our Borders	3
<i>GAO Reports</i>	3
<i>DHS OIG Reports</i>	4
Mission 3: Enforcing and Administering Our Immigration Laws	4
<i>GAO Reports</i>	4
<i>DHS OIG Reports</i>	4
Mission 4: Safeguarding and Securing Cyberspace	5
<i>GAO Reports</i>	5
Mission 5: Ensuring Resilience to Disasters	5
<i>GAO Reports</i>	5
<i>DHS OIG Reports</i>	5
Providing Essential Support to National and Economic Security	6
<i>GAO Reports</i>	6
<i>DHS OIG Reports</i>	6
Cross-Cutting.....	6
<i>GAO Reports</i>	6



Introduction

Independent program evaluations provide vital input to the Department of Homeland Security (DHS) as they offer keen insight to the performance of our programs and identify areas for improvement. These evaluations are used across the Department to look critically at how we conduct operations and to confront some of the key challenges facing the Department.

This Appendix provides, in tabular format, a list of the more significant DHS program evaluations conducted in FY 2011 by the U.S. Government Accountability Office (GAO) and the DHS Office of the Inspector General (OIG). For each report, the report name, report number, date issued, and a link to the publicly released report are provided.

Detailed information on the findings and recommendations of all FY 2011 GAO evaluation reports is available at: http://www.gao.gov/browse/a-z/Department_of_Homeland_Security/custom?adv_begin_month=9&adv_begin_day=1&adv_begin_year=2010&adv_end_month=9&adv_end_day=30&adv_end_year=2011&all=

Detailed information on the findings and recommendations of all FY 2011 DHS OIG reports is available at: http://www.oig.dhs.gov/index.php?option=com_content&view=article&id=115&Itemid=66

Program Evaluations

Mission 1 – Preventing Terrorism and Enhancing Security	
GAO Reports	
Report: TSA's Revised Cost Comparison Provides a More Reasonable Basis for Comparing the Costs of Private-Sector and TSA Screeners	Number: GAO-11-375R
	Date: Mar 4, 2011
Report: TSA Is Taking Steps to Validate the Science Underlying Its Passenger Behavior Detection Program, but Efforts May Not Be Comprehensive	Number: GAO-11-461T
	Date: Apr 6, 2011
Report: Internal Control Weaknesses Need to Be Corrected to Help Achieve Security Objectives	Number: GAO-11-657
	Date: May 10, 2011
Report: Actions Needed to Resolve Delays and Inadequate Oversight Issues with FPS's Risk Assessment and Management Program	Number: GAO-11-705R
	Date: Jul 15, 2011
Report: Progress Made, but Challenges Persist in Meeting the Screening Mandate for Air Cargo	Number: GAO-11-413T
	Date: Mar 9, 2011
Report: DHS Could Better Define How It Plans to Meet Its State and Local Mission and Improve Performance Accountability	Number: GAO-11-223
	Date: Dec 16, 2010
Report: DHS Has Taken Steps to Enhance International Aviation Security and Facilitate Compliance with International Standards, but Challenges Remain	Number: GAO-11-238T
	Date: Dec 2, 2010
Report: Maritime Security: Progress Made, but Further Actions Needed to Secure the Maritime Energy Supply	Number: GAO-11-883T
	Date: Aug 24, 2011



Report: Federal Protective Service: Progress Made but Improved Schedule and Cost Estimate Needed to Complete Transition	Number: GAO-11-554
	Date: Jul 15, 2011
Report: Homeland Security: Protecting Federal Facilities Remains a Challenge for the Department of Homeland Security's Federal Protective Service	Number: GAO-11-813T
	Date: Jul 13, 2011
Report: Aviation Security: TSA Has Enhanced Its Explosives Detection Requirements for Checked Baggage, but Additional Screening Actions Are Needed	Number: GAO-11-740
	Date: Jul 11, 2011
Report: Critical Infrastructure Protection: DHS Has Taken Action Designed to Identify and Address Overlaps and Gaps in Critical Infrastructure Security Activities	Number: GAO-11-537R
	Date: Jun 14, 2011
Report: Rail Security: TSA Improved Risk Assessment but Could Further Improve Training and Information Sharing	Number: GAO-11-688T
	Date: Jun 14, 2011
DHS OIG Reports	
Report: DHS Risk Assessment Efforts in the Dams Sector	Number: OIG-11-110
	Date: Sep 15, 2011
Report: Information Sharing On Foreign Nationals: Overseas Screening	Number: OIG-11-68
	Date: Apr 7, 2011
Report: Ability to Communicate with Federal Air Marshals While in Mission Status	Number: OIG-11-19
	Date: Dec 28, 2010
Report: Transportation Security Administration's Management of Its Screening Workforce Training Program Can Be Improved	Number: OIG-11-05
	Date: Oct 26, 2011
Report: Information Sharing With Fusion Centers Has Improved, but Information System Challenges Remain	Number: OIG-11-04
	Date: Oct 2010

Mission 2: Securing and Managing Our Borders	
GAO Reports	
Report: Border Security: Preliminary Observations on the Status of Key Southwest Border Technology Programs	Number: GAO-11-448T
	Date: Mar 15, 2011
Report: Border Security: DHS's Visa Security Program Needs to Improve Performance Evaluation and Better Address Visa Risk Worldwide	Number: GAO-11-315
	Date: Mar 31, 2011
Report: Border Security: Preliminary Observations on Border Control Measures for the Southwest Border	Number: GAO-11-374T
	Date: Feb 15, 2011
Report: Moving Illegal Proceeds: Challenges Exist in the Federal Government's Effort to Stem Cross-Border Currency Smuggling	Number: GAO-11-73
	Date: Oct 25, 2010
Report: Border Security: Enhanced DHS Oversight and Assessment of Interagency Coordination Is Needed for the Northern Border	Number: GAO-11-97
	Date: Dec 17, 2010
Report: Maritime Security: Federal Agencies Have Taken Actions to Address Risks Posed by Seafarers, but Efforts Can Be Strengthened	Number: GAO-11-195
	Date: Jan 14, 2011



Report: Border Security: Additional Actions Needed to Better Ensure a Coordinated Federal Response to Illegal Activity on Federal Lands	Number: GAO-11-177
	Date: Nov 18, 2010
Report: Southwest Border: More Timely Border Patrol Access and Training Could Improve Security Operations and Natural Resource Protection on Federal Lands	Number: GAO-11-38
	Date: Oct 19, 2010
Report: Secure Border Initiative: DHS Needs to Strengthen Management and Oversight of Its Prime Contractor	Number: GAO-11-6
	Date: Oct 18, 2010
Report: Combating Nuclear Smuggling: DHS has Developed a Strategic Plan for its Global Nuclear Detection Architecture, but Gaps Remain	Number: GAO-11-869T
	Date: Jul 26, 2011
Report: Southwest Border: Border Patrol Operations on Federal Lands	Number: GAO-11-573T
	Date: Apr 15, 2011
Report: Border Security: DHS Progress and Challenges in Securing the U.S. Southwest and Northern Borders	Number: GAO-11-508T
	Date: Mar 30, 2011
DHS OIG Reports	
Report: Security Issues with U.S. Customs and Border Protection's Enterprise Wireless Infrastructure	Number: OIG-11-118
	Date: Sep 28, 2011
Report: Use of American Recovery and Reinvestment Act Funds by U.S. Customs and Border Protection for Construction of Land Ports of Entry	Number: OIG-11-97
	Date: Aug 8, 2011
Report: CBP's Efficacy of Controls Over Drug Seizures	Number: OIG-11-57
	Date: Aug 26, 2011
Report: U.S. Customs and Border Protection's Oversight of the Permit to Transfer Process for Cargo Containers	Number: OIG-11-28
	Date: Jan 11, 2011
Report: U.S. Customs and Border Protection's Ground Transportation of Detainees	Number: OIG-11-27
	Date: Jan 11, 2011
Report: Customs and Border Protection's Implementation of the Western Hemisphere Travel Initiative at Land Ports of Entry	Number: OIG-11-16
	Date: Nov 29, 2010

Mission 3: Enforcing and Administering Our Immigration Laws	
GAO Reports	
Report: Visa Waiver Program: DHS Has Implemented the Electronic System for Travel Authorization, but Further Steps Needed to Address Potential Program Risks	Number: GAO-11-355
	Date: May 5, 2011
Report: Employment Verification: Federal Agencies Have Improved E-Verify, but Significant Challenges Remain	Number: GAO-11-330T
	Date: Feb 10, 2011
Report: Overstay Enforcement: Additional Mechanisms for Collecting, Assessing, and Sharing Data Could Strengthen DHS's Efforts but Would Have Costs	Number: GAO-11-411
	Date: Apr 15, 2011
DHS OIG Reports	
Report: The Performance of 287(g) Agreements FY 2011 Update	Number: OIG-11-119
	Date: Sep 30, 2011



Report: Management of Mental Health Cases in Immigration Detention	Number: OIG-11-62
	Date: Mar 28, 2011
Report: U.S. Immigration and Customs Enforcement Identification of Criminal Aliens in Federal and State Custody Eligible for Removal from the United States	Number: OIG-11-26
	Date: Jan 10, 2011
Report: Processing of Nonimmigrant Worker Petitions in Support of U.S. Marine Guam Realignment Construction Activities	Number: OIG-11-14
	Date: Nov 17, 2010
Report: Examining Insider Threat Risk at the U.S. Citizenship and Immigration Services	Number: OIG-11-33
	Date: Jan 20, 2011

Mission 4: Safeguarding and Securing Cyberspace	
GAO Reports	
Report: Cybersecurity: Continued Attention Needed to Protect Our Nation's Critical Infrastructure	Number: GAO-11-865T
	Date: Jul 26, 2011

Mission 5: Ensuring Resilience to Disasters	
GAO Reports	
Report: Catastrophic Planning: States Participating in FEMA's Pilot Program Made Progress, but Better Guidance Could Enhance Future Pilot Programs	Number: GAO-11-383
	Date: Apr 8, 2011
Report: FEMA Flood Maps: Some Standards and Processes in Place to Promote Map Accuracy and Outreach, but Opportunities Exist to Address Implementation Challenges	Number: GAO-11-17
	Date: Dec 2, 2010
Report: FEMA Has Made Limited Progress in Efforts to Develop and Implement a System to Assess National Preparedness Capabilities	Number: GAO-11-51R
	Date: Oct 29, 2010
Report: Emergency Preparedness: Agencies Need Coordinated Guidance on Incorporating Telework into Emergency and Continuity Planning	Number: GAO-11-628
	Date: Jul 22, 2011
Report: FEMA: Action Needed to Improve Administration of the National Flood Insurance Program	Number: GAO-11-297
	Date: Jun. 9, 2011
DHS OIG Reports	
Report: Capping Report: FY 2010 FEMA Public Assistance Grant and Sub-grant Audits	Number: OIG-DD-11-17
	Date: Aug 23, 2011
Report: Effectiveness and Costs of FEMA's Disaster Housing Assistance Program	Number: OIG-11-102
	Date: Aug 12, 2011
Report: Federal Emergency Management Agency Faces Challenges in Modernizing Information Technology	Number: OIG-11-69
	Date: Apr 1, 2011
Report: Opportunities to Improve FEMA's Public Assistance Appeals Process	Number: OIG-11-49
	Date: Mar 1, 2011
Report: FEMA's Oversight and Management of Debris Removal Operations	Number: OIG-11-40
	Date: Feb 23, 2011



Report: Assessment of Federal Emergency Management Agency's Emergency Support Function Roles and Responsibilities	Number: OIG-11-08
	Date: Nov 10, 2010
Report: Improvements Needed in FEMA's Management of Public Assistance-Technical Assistance Contracts	Number: OIG-11-02
	Date: Oct 21, 2010

Providing Essential Support to National and Economic Security

GAO Reports

Report: Export Controls: Improvements Needed to Prevent Unauthorized Technology Releases to Foreign Nationals in the United States	Number: GAO-11-354
	Date: Feb 2, 2011
Report: Maritime Security: Ferry Security Measures Have Been Implemented, but Evaluating Existing Studies Could Further Enhance Security	Number: GAO-11-207
	Date: Dec 3, 2010
Report: Coast Guard: Observations on Acquisition Management and Efforts to Reassess the Deepwater Program	Number: GAO-11-535T
	Date: Apr 13, 2011

DHS OIG Reports

Report: Annual Review of the United States Coast Guard's Mission Performance	Number: OIG-11-111
	Date: Sept 26, 2011
Report: Coast Guard Has Taken Steps To Strengthen Information Technology Management, but Challenges Remain	Number: OIG-11-108
	Date: Sep 7, 2011

Cross-Cutting

GAO Reports

Report: Department of Homeland Security: Progress Made and Work Remaining in Implementing Homeland Security Missions 10 Years after 9/11	Number: GAO-11-919T
	Date: Sep 7, 2011
Report: DHS Science and Technology: Additional Steps Needed to Ensure Test and Evaluation Requirements Are Met	Number: GAO-11-596
	Date: Jun 15, 2011



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