<table>
<thead>
<tr>
<th>Y</th>
<th>#</th>
<th>ID</th>
<th>Opened 5</th>
<th>WF Code</th>
<th>Assigned To</th>
<th>Template</th>
<th>Due Date</th>
<th>Priority</th>
<th>Status</th>
</tr>
</thead>
</table>

**Draft Due to ESEC:** 06/15/2011

**Draft Received in ESEC:** 06/17/2011

**ESEC Folder Number (ESEC Use Only):** 11-2384

**To:** Secretary

**Mode:** Email

**Document Date:** 06/03/2011

**Received Date:** 06/08/2011

**Attachment:** Yes

**Significant Correspondence (ESEC Use Only):** Yes

**Summary of Document:** Inquires about the DHS's policy regarding proper screening of refugee and non-immigrant visa applicants.

**Lead Component (ESEC Use Only):** PLCY

**Team (ESEC Use Only):** Team 2

**Category:** Congressional

**Type:** Congressional - Substantive Issue

**Action to be Taken:** Prepare for Component Head Signature Reply Direct and Cc:

**Status:** Action:

<table>
<thead>
<tr>
<th>Component</th>
<th>Clearance Started</th>
<th>Clearance Due</th>
<th>Cleared By</th>
<th>Cleared on Date</th>
<th>Comments</th>
<th>Attachment</th>
</tr>
</thead>
<tbody>
<tr>
<td>MGMT</td>
<td></td>
<td></td>
<td>(b)(6)</td>
<td>6/16/2011</td>
<td>(b)(5)</td>
<td>Yes</td>
</tr>
<tr>
<td>CBP</td>
<td></td>
<td></td>
<td></td>
<td>6/17/2011</td>
<td></td>
<td>Yes</td>
</tr>
<tr>
<td>ICE</td>
<td></td>
<td></td>
<td></td>
<td>6/17/2011</td>
<td></td>
<td>Yes</td>
</tr>
<tr>
<td>OLA</td>
<td></td>
<td></td>
<td></td>
<td>6/20/2011</td>
<td></td>
<td>Yes</td>
</tr>
<tr>
<td>PLCY</td>
<td></td>
<td></td>
<td></td>
<td>6/21/2011</td>
<td></td>
<td>No</td>
</tr>
</tbody>
</table>
Question: During the hearing, we discussed the fact that approximately 1 million people enter the United States each day. Can you elaborate on that statistic? What percentage of those entrants are returning U.S. Citizens? How many are entering on Student Visas?

Committee: HOMELAND SECURITY (SENATE)
Hearing Date: 06/09/2011
Witness(es): Alan Bersin - CBP Commissioner
Roles: The Honorable Rand Paul (Primary, No Role)
Question: I am very concerned about our limited ability to track temporary visa holders as they exit the United States. A recent Congressional Research Service report details the difficulties of collecting I-94 Arrival/Departure forms as visitors leave the country. The report estimated that in 2006, there were between 3.3 million and 6.2 million nonimmigrants overstaying their visas and residing illegally in the U.S., comprising between 31 and 57 percent of the total unauthorized population. What can be done to improve the tracking of temporary visitors to the United States and prevent overstays?

Committee: HOMELAND SECURITY (SENATE)

Hearing Date: 06/09/2011

Witness(es): Alan Bersin - CBP Commissioner

Roles: The Honorable Rand Paul (Primary, No Role)

---

Question: While the Department of State and USCIS have primary responsibility for processing applications for asylum and performing the requisite background checks, CBP retains final authority for determining admissibility as refugees prepare to enter the country. You stated that a risk-management approach is used to prevent the entrance of those posing a threat. What percentage of visas approved by the State Department and issued abroad are subsequently rejected by CBP at entry?

Can you elaborate on the risk-management approach you mentioned, and explain the criteria used for determining whether or not an entrant deserves further scrutiny?
ESEC Folder Number (ESEC Use Only): 11-4321
To: POTUS
Referred By: WH-ORM
Reference Number: 1065676
Mode: Email
Document Date: 09/26/2011
*Received Date: 09/27/2011
*Attachment: Yes
Significant Correspondence (ESEC Use Only): Yes
*Summary of Document: Write concerning the increased use of prosecutorial discretion concerning illegal immigration.
Team (ESEC Use Only): Team 1
*Category: Congressional
*Type: Congressional - ORM
*Action to be Taken: Prepare for Assistant Secretary OLA Signature
*Signed By (ESEC Use Only): Assistant Secretary Signature - OLA
*Date Response Signed: 10/17/2011
*Action Completed: 10/17/2011
*Complete on Time: Yes
Attachments: 11-4319 Grassley 09.27.11.pdf, 11-4321 Grassley 09.27.11.pdf, 11-4321 ORM 1065676 Grassley 09.29.11.pdf...
Roles: The Honorable Charles E. Grassley (Primary, No Role)

| 11 | Secured Record |
| 12 | Secured Record |
| 13 | Secured Record |

* Auth QFR Question & Answer Workflow
Question: On September 29, Senator Johnson and I wrote a letter to Administrator Fugate inquiring about how FEMA accounts for the Disaster Relief Fund. Specifically, we wanted to know why, during the debate on last month’s continuing resolution, FEMA claimed the DRF was depleted and required emergency funding, only to reveal a few days later that the DRF had at least $114 million on hand. To date, we have not received a response. When will we receive one, given that we requested an immediate answer? Can you tell me how $114 million gets overlooked?

Committee: HOMELAND SECURITY (SENATE)

Hearing Date: 10/20/2011

Witness(es): Richard Serino - FEMA Deputy Administrator

Roles: The Honorable Rand Paul (Primary, No Role)

---

Question: Is there a cap on the total amount of Individual Assistance an individual can receive? Given that these grants are the most susceptible to fraud, should there be limits on how much individuals can receive? Does FEMA have a process to determine whether or not there are adequate community resources to meet individual needs before FEMA steps in?

Committee: HOMELAND SECURITY (SENATE)

Hearing Date: 10/20/2011

Witness(es): Richard Serino - FEMA Deputy Administrator

Roles: The Honorable Rand Paul (Primary, No Role)

---

Reply Direct Final Due Date: 01/18/2012

ESEC Folder Number (ESEC Use Only): 12-0014

To: Assistant Secretary OLA

Mode: Fax

Document Date: 01/03/2012

*Received Date: 01/04/2012

*Attachment: Yes

Significant Correspondence (ESEC Use Only): No

*Summary of Document: Writes on behalf of constituent to request DHS certify adopted children’s birth certificates.
**Lead Component (ESEC Use Only):** USCIS Congressional  
**Team (ESEC Use Only):** Team 2  
*Category:* Congressional  
*Type:* Congressional - Constituent  
*Action to be Taken:* Prepare for Component Reply Direct and Cc:  
**Status:** Action:  
*Signed By (ESEC Use Only):* Telephonic Close-out  
*Date Response Signed:* 01/18/2012  
*Action Completed:* 01/18/2012  
*Complete on Time:* Yes  
**Attachments:** 12-0014 Paul 12.23.11.pdf, TELEPHONIC CLOSEOUT.doc, 2012_01_05_15_58_52.pdf...  
**Roles:** The Honorable Rand Paul (Primary, No Role)  

<table>
<thead>
<tr>
<th>Secured Record</th>
<th>16</th>
<th>17</th>
<th>18</th>
<th>19</th>
<th>20</th>
<th>996560</th>
<th>9/30/2013</th>
<th>ESLIAISON 1 FLETC</th>
<th>01/05/2012</th>
<th>ESEC Workflow</th>
<th>10/15/2013</th>
<th>9</th>
<th>CLOSED</th>
</tr>
</thead>
</table>

**Reply Direct Final Due Date:** 10/15/2013  
**ESEC Folder Number (ESEC Use Only):** 13-5314  
**To:** Secretary  
**Mode:** U.S. Postal Service  
**Document Date:** 09/13/2013  
*Received Date:* 09/30/2013  
*Attachment:* Yes  
**Significant Correspondence (ESEC Use Only):** No  
*Summary of Document:* Writes on behalf of a constituent regarding the federal law enforcement qualifications.
**Lead Component (ESEC Use Only):** FLETC

**Team (ESEC Use Only):** Team 1

*Category:* Congressional  
*Type:* Congressional - Constituent  
*Action to be Taken:* Prepare for Component Reply Direct and Cc:

**Status:** Action:

*Signed By (ESEC Use Only):* Component Reply Direct and Cc:  
*Date Response Signed:* 10/24/2013  
*Action Completed:* 10/24/2013

**Distribution:** Reply Direct  
**Complete on Time:** Yes  
**Signed By:**  
**Date Response Signed:** 10/24/2013  
**Action Completed:** 10/24/2013  
**Complete on Time:** Yes  
**Signed By:**  
**Date Response Signed:** 10/24/2013  
**Action Completed:** 10/24/2013  
**Complete on Time:** Yes  
**Roles:** The Honorable Rand Paul (Primary, Sender, Constituent)

<table>
<thead>
<tr>
<th>Secured Record</th>
</tr>
</thead>
<tbody>
<tr>
<td>21</td>
</tr>
</tbody>
</table>

**QFR Set Number:** Q000727  
**Committee Question Number:** 1  
**Topic:** SPOT

**Question:** Do you share the Government Accountability Office’s assessment of the effectiveness of the TSA Screening of Passengers by Observation Techniques (SPOT) program in their Report to Congressional Requesters (GAO-14-159) of November, 2013? What actions, if any, will be taken by DHS/TSA to address the concerns raised by this assessment?

**Committee:** HOMELAND SECURITY (SENATE)  
**Hearing Date:** 03/13/2014  
**Witness(es):** Jeh Johnson - DHS Secretary

**Roles:** The Honorable Rand Paul (Primary, No Role)

<table>
<thead>
<tr>
<th>QFR Set Number</th>
</tr>
</thead>
<tbody>
<tr>
<td>22</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Committee Question Number</th>
<th>Topic</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>passenger fees</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Committee Question Number</th>
<th>Topic</th>
</tr>
</thead>
<tbody>
<tr>
<td>2</td>
<td>passenger fees</td>
</tr>
</tbody>
</table>
**Question:** Do you believe that the increase in passenger fees as requested by Transportation Security Administration for FY2015 is a reasonable and appropriate burden to place on the traveling public? Do you anticipate requesting that these fees be increased again, in subsequent budget submissions for subsequent fiscal years?

Please elaborate on what (if any) operating efficiencies and improvements that TSA expects to realize as a result of this fee increase. On what data or other information have you based these assumptions?

By shifting the financial burden more directly onto passengers, can you assure the traveling public that their increased fees will be used exclusively to improve the efficiency and effectiveness of the screening process, and not diverted to other purposes?

**Committee:** HOMELAND SECURITY (SENATE)
**Hearing Date:** 03/13/2014
**Witness(es):** Jeh Johnson - DHS Secretary

**Roles:** The Honorable Rand Paul (Primary, No Role)

**QFR Set Number:** Q00728

**Committee Question Number:** 1

**Topic:** FEMA's budget

**Question:** Relative to the several other component agencies at the Department of Homeland Security, FEMA's budget receives the largest proposed increase for FY2015. Do you believe that FEMA's role has expanded accordingly? Is FEMA's current statement of mission sufficiently focused?

**Committee:** HOMELAND SECURITY (SENATE)
**Hearing Date:** 03/13/2014
**Witness(es):** Craig Fugate - FEMA

**Roles:** The Honorable Rand Paul (Primary, No Role)
**Document Date:** 09/19/2014  
**Received Date:** 09/22/2014  
**Attachment:** Yes  
**Significant Correspondence (ESEC Use Only):** No  
**Summary of Document:** Requests review of denied global entry application for constituent.  
**Lead Component (ESEC Use Only):** CBP  
**Team (ESEC Use Only):** ESEC-External Liaison  
**Category:** Congressional  
**Type:** Congressional - Constituent  
**Action to be Taken:** Prepare for Component Reply Direct and Cc:  
**Status:** Action:  
**Signed By (ESEC Use Only):** Component Reply Direct and cc:  
**Date Response Signed:** 10/02/2014  
**Action Completed:** 10/02/2014  
**Complete on Time:** Yes  
**Distribution:** Reply Direct, Component  
**Attachments:** 14-4093 Paul 09.22.14 Redacted.pdf, PAUL (b)(6) Signed response.pdf  
**Roles:** The Honorable Rand Paul (Primary, Sender) (b)(6) Constituent

<table>
<thead>
<tr>
<th>Role</th>
<th>Record</th>
</tr>
</thead>
<tbody>
<tr>
<td>27</td>
<td>Secured Record</td>
</tr>
<tr>
<td></td>
<td></td>
</tr>
<tr>
<td>28</td>
<td>Secured Record</td>
</tr>
<tr>
<td></td>
<td></td>
</tr>
</tbody>
</table>

*Secured Record*
<table>
<thead>
<tr>
<th>Y</th>
<th>#</th>
<th>ID</th>
<th>Opened 5</th>
<th>WF Code</th>
<th>Assigned To</th>
<th>Template</th>
<th>Due Date</th>
<th>Priority</th>
<th>Status</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td></td>
<td>1061935</td>
<td>1/22/2015</td>
<td>(b)(6)</td>
<td></td>
<td>ST2 Workflow</td>
<td>2/5/2015</td>
<td>9</td>
<td>CLOSED</td>
</tr>
</tbody>
</table>

*Reference Number: S&T 15-0053
*Document Name: Congressional Welcome Letters
*Action To Be Taken: For the Under Secretary Signature
*Type: Congressional
*Document Summary: Congressional Welcome Letters
*Record Name: Correspondence (Executive Level)
*Record Type: Correspondence (Executive Level)
File Plan Number: 401-000-09
Attachments: Signed Letters.pdf
Roles: (b)(6) (Primary, Interested Party), Dr. Reginald Brothers Ph.D. (Sender), The Honorable Candice S. Miller (Recipient)

Secured Record