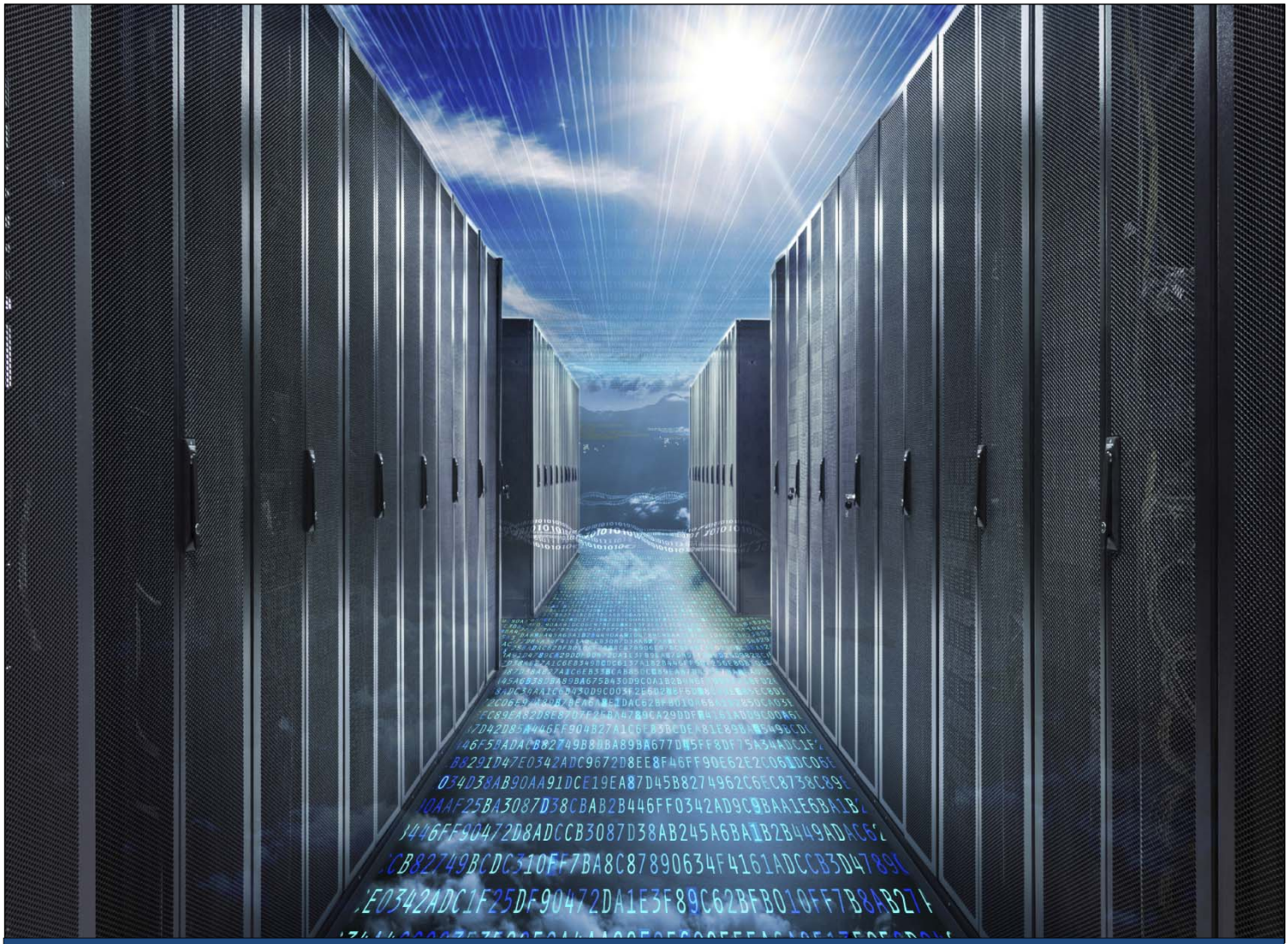

Department of Homeland Security

Privacy Office

2014 Freedom of Information Act Report

to the Attorney General of the United States

February 2015

Homeland Security

Message from the Chief Freedom of Information Act Officer

I am pleased to present the Department of Homeland Security's (DHS) 2014 Freedom of Information Report to the Attorney General of the United States.

The Freedom of Information Act (FOIA) requires that "each agency . . . submit to the Attorney General of the United States a report which shall cover the preceding fiscal year and which shall include" numerous details regarding the agency's administration of FOIA.[1] This annual report plays an important role in the transparency of our office and helps us provide better and more responsive customer service.

DHS receives the largest number of FOIA requests of any federal department or agency in each fiscal year, receiving almost 30 percent of all requests received by the Federal Government. Since President Obama took office, DHS has experienced a 182 percent increase in the number of FOIA requests received. In fiscal year 2014, DHS received 291,242 FOIA requests, its highest number of requests to date.

Most of these requests were directed to U.S. Citizenship and Immigration Services (USCIS), Customs and Border Protection (CBP) and Immigration and Customs Enforcement (ICE). These Components process requests seeking immigration records (e.g., copies of the Alien File, entry/exit records, detention, and deportation records).

In fiscal year 2014, DHS granted 16,651 requests in full; partially granted 128,603; and denied 6,212 requests on the basis of FOIA exemptions. The Department commonly invokes exemptions 6 and 7(C) to prevent unwarranted injury to the privacy interests of individuals, and exemption 7(E) to protect against the disclosure of law enforcement techniques, procedures, and guidelines. Combined use of 6, 7(C), and 7(E) made up approximately 54 percent of all exemptions used. The number of pending FOIA requests for DHS at the end of fiscal year 2014 was 120,393, an 83 percent increase from the 65,676 requests pending at the end of fiscal year 2013.

DHS also has the largest backlog of pending FOIA requests. Due to the record-setting number of FOIA requests, the DHS backlog increased from 51,575 to 103,480 in fiscal year 2014. Components that process requests seeking immigration-related records have the largest backlogs in the Department comprising more than 90 percent of the total DHS backlog.

The increased volume and complexity of requests for immigration records has directly affected the Department's backlog. The Privacy Office continued to implement a multi-pronged approach to reduce the Department's backlog. Specifically, the Privacy Office: 1) Deployed additional staff and contractors from the Privacy Office to Component FOIA offices to assist with processing backlogged FOIA requests; 2) Entered into a Memorandum of Understanding with CBP for a support services contract with an experienced FOIA vendor to process CBP's FOIA backlog; 3) ICE finalized and is managing its own FOIA services support contract to assist with

[1] 5 U.S.C. § 552(e)(1) (2006 & Supp. IV 2010).

processing approximately 50,000 backlogged FOIA requests; and 4) Privacy Office approved a 4-month detail of a staff member to TSA to assist with processing its backlog and to implement procedures for managing FOIA requests to prevent a future backlog.

The total cost of processing FOIA requests for DHS, including litigation costs, increased to more than $51.5 million in fiscal year 2014 compared to about $45 million in fiscal year 2013. The average cost of processing a FOIA request in the Department was approximately $217, compared to last year's figure of $220. The total full time and equivalent personnel working on FOIA decreased from 474 last year to 459.

The FOIA challenges were immense in the current environment; DHS continued to take steps to enhance its FOIA program by focusing its efforts on offering enhanced training opportunities to educate and encourage agency compliance with the FOIA, and deploying advanced technology for processing requests. Additionally, the DHS Privacy Office initiated several actions to improve the Department's FOIA operation and provide oversight of FOIA processing within the components.

The Department is committed to being responsive to the FOIA requesters, while continuing to address systemic programmatic issues.

Respectfully Submitted,

Karen L. Neuman
Chief Freedom of Information Act Officer
U.S. Department of Homeland Security

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I. Basic Information Regarding Report

1. Questions regarding this report may be directed to:

Delores J. Barber
Deputy Chief FOIA Officer
Privacy Office
U.S. Department of Homeland Security
245 Murray Lane SW, Mail Stop 0655
Washington, DC 20528-0655
Phone: 202-343-1743; Fax: 202-343-4011

2. This report can be downloaded from the DHS FOIA website at http://www.dhs.gov/foia-annual-reportsh.

3. Requests for this report in paper form may also be directed to the Deputy Chief FOIA Officer, as listed above.

II. Making a FOIA Request

1. Names, addresses, and contact numbers for DHS FOIA Officers can be found on our website at http://www.dhs.gov/foia-contact-information.

2. Brief description why requests may not be granted:

The records maintained by the Department often contain private information on individuals or involve law enforcement matters. Because law enforcement records are of specific interest to subjects of investigation, victims of crime, and the public at large, these records are often requested under the Freedom of Information Act. For example, the Department commonly invokes exemptions 6 and 7(C) to prevent unwarranted injury to the privacy interests of individuals, and exemption 7(E) to protect against the disclosure of law enforcement techniques, procedures, and guidelines.

III. Acronyms, Definitions, and Exemptions

1. Agency-specific acronyms or other terms.

a. CBP U.S. Customs and Border Protection
b. CFO Chief Financial Officer
c. CISOMB Citizenship and Immigration Services Ombudsman
d. CRCL Office for Civil Rights & Civil Liberties
e. DNDO Domestic Nuclear Detection Office
f. ESEC Office of the Executive Secretary
g. FEMA Federal Emergency Management Agency
h. FLETC Federal Law Enforcement Training Centers
i. I&A Office of Intelligence and Analysis
j. IGA Office of Intergovernmental Affairs
k. ICE U.S. Immigration and Customs Enforcement
l. MGMT Management Directorate
m. NCSC National Cyber Security Center

n. NPPD National Protection and Programs Directorate
o. OBIM Office of Biometric Identity Management
p. OGC Office of the General Counsel
q. OHA Office of Health Affairs
r. OIG Office of Inspector General
s. OLA Office of Legislative Affairs
t. OPA Office of Public Affairs
u. OPS Office of Operations Coordination and Planning
v. PLCY Office of Policy
w. PRIV Privacy Office
x. S&T Science and Technology Directorate
y. TSA Transportation Security Administration
z. USCG United States Coast Guard
aa. USCIS United States Citizenship and Immigration Services
bb. USSS United States Secret Service

2. Definition of terms, expressed in common terminology.

a. **Administrative Appeal** – A request to a federal agency asking that it review at a higher administrative level a FOIA determination made by the agency at the initial request level.

b. **Average Number** – The number obtained by dividing the sum of a group of numbers by the quantity of numbers in the group. For example, of 3, 7, and 14, the average number is 8, determined by dividing 24 by 3.

c. **Backlog** – The number of requests or administrative appeals that are pending at an agency at the end of the fiscal year that are beyond the statutory time period for a response.

d. **Component** – For agencies that process requests on a decentralized basis, a "component" is an entity, also sometimes referred to as an Office, Division, Bureau, Center, or Directorate, within the agency that processes FOIA requests. The FOIA now requires that agencies include in the Annual FOIA Report data for both the agency overall and for each principal component of the agency.

e. **Consultation** – The procedure whereby the agency responding to a FOIA request first forwards a record to another agency for its review because that other agency has an interest in the document. Once the agency in receipt of the consultation finishes its review of the record, it provides its views on the record to the agency that forwarded it. That agency, in turn, will then respond to the FOIA requester.

f. **Exemption 3 Statute** – A federal statute other than FOIA that exempts information from disclosure and which the agency relies on to withhold information under subsection (b)(3) of the FOIA.[2]

[2] Pursuant to 5 U.S.C. § 552(b)(3) as amended by sec. 564 of Public Law 111-83, (a statute enacted after

g. **FOIA Request** – A FOIA request is generally a request to a federal agency for access to records concerning another person (i.e., a "third-party" request), an organization, or a particular topic of interest. Moreover, because requesters covered by the Privacy Act who seek records concerning themselves (i.e., "first-party" requesters) are afforded the benefit of the access provisions of both FOIA and the Privacy Act, the term "FOIA request" also includes any such "first-party" requests when an agency determines that it must search beyond its Privacy Act "systems of records" or when the agency applies a Privacy Act exemption and therefore looks to FOIA to afford the greatest possible access. DHS applies this same interpretation of the term "FOIA request" even to "first-party" requests from persons not covered by the Privacy Act, e.g., non-U.S. citizens, because DHS by policy provides such persons the ability to access their own records in DHS's Privacy Act "mixed systems of records" as if they are subject to the Privacy Act's access provisions, and DHS processes the requests under FOIA as well. Thus, all requests that require DHS to utilize FOIA in responding to the requester are included in this Report.

   Additionally, a FOIA request includes records referred to the agency for processing and direct response to the requester. It does not, however, include records for which the agency has received a consultation from another agency. (Consultations are reported separately in Section XII of this Report.)

h. **Full Grant** – An agency decision to disclose all records in full in response to FOIA request.

i. **Full Denial** – An agency decision not to release any records in response to a FOIA request because the records are exempt in their entireties under one or more of the FOIA exemptions, or because of a procedural reason, such as when no records could be located.

j. **Median Number** – The middle, not average, number. For example, of 3, 7, and 14, the median number is 7.

k. **Multi-Track Processing** – A system in which simple requests requiring relatively minimal review are placed in one processing track and more voluminous and complex requests are placed in one or more other tracks. Requests granted expedited processing are placed in yet another track. Requests in each track are processed on a first in/first out basis.

   i. **Expedited Processing** – An agency will process a FOIA request on an expedited basis when a requester satisfies the requirements for expedited processing as set forth in the statute and in agency regulations.

October 28, 2009, can qualify as an Exemption 3 law only if it cites specifically to 5 U.S.C. § 552(b)(3)).

ii. **Simple Request** – A FOIA request that an agency using multi-track processing places in its fastest (non-expedited) track based on the volume and/or simplicity of records requested.

iii. **Complex Request** – A FOIA request that an agency using multi-track processing places in a slower track based on the high volume and/or complexity of the records requested.

l. **Partial Grant/Partial Denial** – An agency decision to disclose portions of the records and to withhold other portions that are exempt under the FOIA, or to otherwise deny a portion of the request for a procedural reason.

m. **Pending Request or Pending Administrative Appeal –** A request or administrative appeal for which an agency has not taken final action in all respects.

n. **Perfected Request** – A request for records that reasonably describes such records and is made in accordance with published rules stating the time, place, fees (if any) and procedures to be followed.

o. **Processed Request or Processed Administrative Appeal** – A request or administrative appeal for which an agency has taken final action in all respects.

p. **Range in Number of Days** – The lowest and highest number of days to process requests or administrative appeals.

q. **Time Limits** – The time period in the statute for an agency to respond to a FOIA request (ordinarily 20 working days from receipt of a perfected FOIA request).

3. Concise descriptions of FOIA exemptions:

a. **Exemption 1**: classified national defense and foreign relations information.

b. **Exemption 2**: internal agency rules and practices (personnel).

c. **Exemption 3**: information that is prohibited from disclosure by another federal law.

d. **Exemption 4**: trade secrets and other confidential business information.

e. **Exemption 5**: inter-agency or intra-agency communications that are protected by legal privileges.

f. **Exemption 6**: information involving matters of personal privacy.

g. **Exemption 7**: records or information compiled for law enforcement purposes, to the extent that the production of those records A) could reasonably be expected to interfere with enforcement proceedings, B) would deprive a person of a right to a

fair trial or an impartial adjudication, C) could reasonably be expected to constitute an unwarranted invasion of personal privacy, D) could reasonably be expected to disclose the identity of a confidential source, E) would disclose techniques and procedures for law enforcement investigations or prosecutions, or would disclose guidelines for law enforcement investigations or procedures, or F) could reasonably be expected to endanger the life or physical safety of any individual.

h. **Exemption 8**: information relating to the supervision of financial institutions.

i. **Exemption 9**: geological information on wells.

IV. Exemption 3 Statutes

Statute	Type of Information Withheld	Case Citation	Number of Times Relied upon per Component		Total Number of Times Relied upon by Agency
6 U.S.C. § 133(a)(1)	Critical infrastructure information (including the identity of the submitting person or entity) that is voluntarily submitted to a covered Federal agency for use by that agency regarding the security of critical infrastructure and protected systems, analysis, warning, interdependency study, recovery, reconstitution, or other informational purpose, when accompanied by an express statement specified in paragraph 2		NPPD PRIV	1 1	2
8 U.S.C. § 1202(f) (Immigration and Nationality Act)	Certain records pertaining to issuance or refusal of visas to enter the United States	Medina-Hincapie v. Dep't of State, 700 F.2d 737, 741-42 (D.C. Cir. 1983); DeLaurentiis v. Haig, 686 F.2d 192, 194 (3d Cir. 1982); Beltranena v. U.S. Dep't of State, 821 F. Supp. 2d 167, 177-78 (D.D.C. 2011); Badalamenti v. U.S. Dep't of State, 899 F. Supp. 542, 547 (D. Kan. 1995); Jan-Xin Zang v. FBI, 756 F. Supp. 705, 711-12 (W.D.N.Y. 1991); Smith v. DOJ, No. 81-CV-813, 1983 U.S. Dist. LEXIS 10878, at *13-14 (N.D.N.Y. Dec. 13, 1983); Holy Spirit Ass'n for Unification of World Christianity, Inc. v. U.S. Dep't of State, 526 F. Supp. 1022, 1031 (S.D.N.Y. 1981).	USCIS	1	1
10 U.S.C. § 424	Organization or any function of, and certain information pertaining to, employees of the Defense Intelligence Agency	Physicians for Human Rights v. DOD No RDB-08-273, 2011 WL 1495942, at *7 (D.D.C. Apr 19, 2011); Miller v DOJ, 562 F. Supp 2d 82, 112 (D.D.C. 2008); Wickwire Gavin, P.C. v. Def Intelligence Agency, 330 F. Supp 2d 592, 602 (E.D. Va 2004).	USCG	1	1
15 U.S.C. §§ 46(f), 57b-2 (Federal Trade Commission Act)	Any trade secret or any commercial or financial information which is obtained from any person and which is "privileged or confidential" and certain investigative materials received by the FTC and "provided pursuant to any compulsory process under this subchapter or which is provided voluntarily in place of such compulsory process."	A. Michael's Piano, Inc. v. FTC, 18 F.3d 138, 143-44 (2d Cir. 1994) (15 U.S.C. § 57b-2); Carter, Fullerton & Hayes, LLC v. FTC, 637 F. Supp. 2d 1, 9 (D.D.C. 2009) (15 U.S.C. § 57b-2); National Educ. Ass'n v. FTC, No. 79-959-S, 1983 WL 1883, at *1 (D. Mass. Sept. 26, 1983) (15 U.S.C. § 57b-2); Doherty v. FTC, No. 80-0513, 1981 WL 2094, at *3 (D.D.C. June 24, 1981) (15 U.S.C. § 46(f)).	ICE	1	1
18 U.S.C §1461	Mailing obscene or crime-inciting matter		USSS	1	1
18 U.S.C. § 3521(b)(1)(G) (Witness Security Reform Act of 1984)	"[T]he identity or location of the person relocated or protected, or any other matter concerning the person or the program after weighing [various factors]," subject to certain exceptions	Bonadonna v. DOJ, 791 F. Supp. 2d 269, 270 (D. Mass. 2010), aff'd, No. 10–1595, 2011 WL 4770189 (1st Cir. Jan. 7, 2011).	USCIS	1	1

Statute	Type of Information Withheld	Case Citation	Number of Times Relied upon per Component		Total Number of Times Relied upon by Agency
18 U.S.C. Sec 2722(a)	Prohibits the disclosure of personal information from a motor vehicle record		OIG	3	3
18 U.S.C. § 3509(d) (Federal Victims' Protection and Rights Act)	Information related to child pornography victims	Tampico v. EOUSA, No. 04¬2285, slip op. at 8 (D.D.C. Apr. 29, 2005).	ICE	31	31
19 U.S.C. § 130b	Personnel in overseas, sensitive, or routinely deployable units; nondisclosure of personally identifying information	Hiken v. DOD 521 F. Supp 2n 1047, 1062 (N.D. Cal. 2007); O'Keefe v. DOD, 463 F. Supp 2d 317, 325 (E.D.N.Y. 2006); Widel v. United States No. A02=306, 2005 WL 846206, at *2 (D. Alaska Apr 11, 2005).	USCG	2	2
26 U.S.C. § 6103	Certain tax return information and certain tax convention information	Church of Scientology v. IRS, 484 U.S. 9 (1987); Long v. IRS, 891 F.2d 222 (9th Cir. 1989).	USCIS	1,769	1,769
31 U.S.C. § 5319 (Bank Secrecy Act)	Reports pertaining to monetary instruments transactions filed under subchapter II of chapter 53 of title 31 and records of those reports	Hulstein v. DEA, No. 10-4112, 2011 U.S. Dist. LEXIS 25788, at *7-8 (N.D. Iowa Mar. 11, 2011); Council on Am.-Islamic Relations, Cal. v. FBI, 749 F. Supp. 2d 1104, 1117 (S.D. Cal. 2010); Berger v. IRS, 487 F. Supp. 2d 482, 496-97 (D.N.J. 2007), aff'd on other grounds, 288 F. App'x 829 (3d Cir. 2008); Sciba v. Bd. of Governors of the Fed. Reserve Sys., No. 04-1011, 2005 WL 3201206, at *6 (D.D.C. Nov. 4, 2005).	ICE USSS	1 1	2
41 U.S.C. § 253b(m)(1)	Information obtained or developed in carrying out security under the authority of the Aviation and Transportation Security Act or under chapter 449 of this title	Tooley v. Bush, No. 06-306, 2006 WL 3783142, at *19 (D.D.C. Dec. 21, 2006), aff'd on other grounds, 586 F. 3d 1006 (D.C. Cir. 209; Gordon v. FBI 390 F. Supp. 2d 897,900 (N.D. Cal. 2004).	FEMA USCG	5 1	6
41 U.S.C. § 2102	Contractor bid or proposal information; source selection information	Legal & Safety Employer Research, Inc. v. U.S. Dep't of the Army, No. Civ. S001748, 2001 WL34098652, at *3-4 (E.D. Cal. 2001) (dictum).	FEMA USCIS	2 1	3

Statute	Type of Information Withheld	Case Citation	Number of Times Relied upon per Component		Total Number of Times Relied upon by Agency
41 U.S.C. § 4702(b)	Contractor proposals that are in the possession or control of an executive agency and that have not been set forth or incorporated by reference into contracts	Sinkfield v. HUD, No. 10-885, 2012 U.S. Dist. LEXIS 35233, at *13-15 (S.D. Ohio Mar. 15, 2012); Hornbostel v. U.S. Dep't of the Interior, 305 F. Supp. 2d 21, 30 (D.D.C. 2003), summary affirmance granted, 2004 WL 1900562 (D.C. Cir. Aug. 25, 2004).	S&T	6	6
42 U.S.C. § 262a(h)	Enhanced control of dangerous biological agents and toxins/bio-terrorism information		S&T	3	3
42 U.S.C. § 2162 (Atomic Energy Act)	Life threatening controlled chemical agents	Meeropol v. Smith, No. 75-1121, slip op. at 53-55 (D.D.C. Feb. 29, 1984), aff'd in relevant part & remanded in part on other grounds sub nom., Meeropol v. Meese, 790 F.2d 942 (D.C. Cir. 1986).	S&T	1	1
44 U.S.C. 3541 Federal Information Security Management Act of 2002 ("FISMA", 44 U.S.C. § 3541, et seq.)	Systematic Alien Verification for Entitlements (SAVE): Information pertaining to the security of the SAVE program database and systems.		USCIS	1	1
49 U.S.C. § 114	Information obtained or developed in carrying out security under the authority of the Aviation and Transportation Security Act or under chapter 449 of this title	Skurow v. DHS, No. 11-1296, 2012 WL 4380895, at *9-10 (D.D.C. Sept. 26, 2012); Tooley v. Bush, No. 06-306, 2006 WL 3783142, at *19 (D.D.C. Dec. 21, 2006), aff'd on other grounds, 586 F.3d 1006 (D.C. Cir. 2009); Gordon v. FBI, 390 F. Supp. 2d 897, 900 (N.D. Cal. 2004).	CBP ICE OIG PRIV TSA USSS	1,104 2,018 1 15 60 59	3,257
50 U.S.C. Section § 403g	Intelligence sources and methods; certain information pertaining to Agency employees, specifically: "the organization, functions, names, official titles, salaries, or numbers of personnel employed by the Agency"	ACLU v. DOJ, 681 F.3d 61, 72-75 (2d Cir. 2012); Larson v. Dep't of State, 565 F.3d 857, 865 n.2 (D.C. Cir. 2009); Berman v. CIA, 501 F.3d 1136, 1137-38, 1140 (9th Cir. 2007); Makky v. Chertoff, 489 F. Supp. 2d 421, 442 (D.N.J. 2007), aff'd on other grounds, 541F. 3d 205 (3d Cir. 2008).	I&A USCG USCIS USSS	16 5 14 6	41

Statute	Type of Information Withheld	Case Citation	Number of Times Relied upon per Component		Total Number of Times Relied upon by Agency
50 U.S.C. app. § 2411(c) (Export Administration Act of 1979)	Information pertaining to license applications under the Export Administration Act	Wis. Project on Nuclear Arms Control v. U.S. Dep't of Commerce, 317 F.3d 275, 284 (D.C. Cir. 2003); Times Publ'g Co. v. U.S. Dep't of Commerce, 236 F.3d 1286, 1292 (11th Cir. 2001); Lessner v. U.S. Dep't of Commerce, 827 F.2d 1333, 1336-37 (9th Cir. 1987).	S&T	1	1
Federal Rule of Criminal Procedure 6 (e) Grand Jury	Regulates disclosure of matters occurring before a grand jury and which satisfies the basic "statute" requirement of Exemption 3 because it was specially amended by Congress in 1977	Rugiero v. Dept of Justice, 257 F.3d 534 (6th Cir. 2001); Iglesias v. CIA, 525 F.Supp.547 (D.D.C. 1981).	USCIS USSS	2 1	3

V. FOIA Requests

A. Received, Processed, and Pending FOIA Requests

Component[‡]	Number of Requests Pending as of Start of Fiscal Year	Number of Requests Received in Fiscal Year	Number of Requests Processed in Fiscal Year	Number of Requests Pending as of End of Fiscal Year
CBP	41,907[§]	47,261	51,056	38,122
CRCL	3	80	74	9
FEMA	560	772	508	824
FLETC	3	103	99	7
I&A	34	244	255	23
ICE	6,234[**]	85,081	33,644	57,671
NPPD	4,758[††]	7,775	5,581	6,952
OIG	51	177	166	62
OPS	0	59	59	0
PRIV	43	705	665	83
S&T	1	68	68	1
TSA	546	859	491	914
USCG	936[‡‡]	3,203	2,620	1,519
USCIS	11,293[§§]	143,794	141,700	13,387
USSS	728[***]	1,061	1,045	744
AGENCY OVERALL	**67,097[†††]**	**291,242**	**238,031**	**120,308**

[§] Number of Requests Pending as of Start of Fiscal Year corrected due to component reporting error in FY 2013.
[**] *Id.*
[††] *Id.*
[‡‡] *Id.*
[§§§§] *Id.*
[***] *Id.*
[†††] *Id.*

B. (1) Disposition of FOIA Requests – All Processed Requests

Component	No. of Full Grants	No. of Partial Grants/ Partial Denials	No. of Full Denials Based on Exemptions	Number of Full Denials Based on Reasons Other than Exemptions									
				No Records	All Records Referred	Request Withdrawn	Fee-Related Reason	Not Reasonably Described	Improper FOIA Request	Not Agency Record	Duplicate Request	Other	**Total**
CBP	2,065	15,899	80	9,840	28	605	13	158	8,861	6,696	6,694	117	**51,056**
CRCL	5	18	1	44	0	1	0	2	0	2	0	1	**74**
FEMA	73	144	8	62	1	79	3	19	76	0	27	16	**508**
FLETC	11	38	1	32	0	0	3	0	6	8	0	0	**99**
I&A	7	21	6	101	20	1	3	42	25	28	1	26	**255**
ICE	344	27,002	699	1,522	862	98	6	102	782	264	87	1,876	**33,644**
NPPD	428	2,038	7	1,284	1,702	16	2	12	63	2	24	3	**5,581**
OIG	16	103	7	27	3	1	0	2	4	1	0	2	**166**
OPS	9	11	7	23	6	3	0	0	0	0	0	0	**59**
PRIV	36	144	25	50	111	16	5	110	141	7	9	11	**665**
S&T	11	11	7	13	8	2	0	7	0	8	1	0	**68**
TSA	89	113	9	64	15	39	0	128	22	1	4	3	**491**
USCG	1,801	224	28	333	1	152	6	4	7	11	33	20	**2,620**
USCIS	11,684	82,666	5,315	16,892	853	135	153	1	5,773	4,527	13,694	7	**141,700**
USSS	72	171	12	402	2	12	0	19	6	10	4	335	**1,045**
AGENCY OVERALL	**16,651**	**128,603**	**6,212**	**30,689**	**3,612**	**1,160**	**194**	**610**	**15,766**	**11,565**	**20,578**	**2,391**	**238,031**

B. (2) Disposition of FOIA Requests – "Other" Reasons for "Full Denials Based on Reasons Other than Exemptions" from Section V, B(1) Chart

Component	Description	No. of Times Used	Total
CBP	Moot by Appeal	117	117
CRCL	Coordinated by Another Processing Office	1	1
FEMA	Coordinated by Another Processing Office Litigation Unable to Locate Requestor	1 1 14	16
FLETC	N/A	0	0
I&A	N/A	0	0

Component	Description	No. of Times Used	Total
ICE	Fugitive Disentitlement Litigation Referred Documents are Not Responsive Unable to Locate Requestor	1,361 12 352 151	1,876
NPPD	Coordinated by Another Processing Office	3	3
OIG	Unable to Locate Requester	2	2
OPS	N/A	0	0
PRIV	Aggregated Coordinated by Another Program Office Litigation Records Referred Non Responsive	5 4 1 1	11
S&T	N/A	0	0
TSA	Litigation Unable to Locate Documents	2 1	3
USCG	Non Responsive to Requests for Additional Information Unable to Locate Requester	16 4	20
USCIS	Unable to Locate Documents	7	7
USSS	Non Responsive to Requests for Additional Information Unable to Locate Requester Records Referred Non Responsive	313 10 12	335
AGENCY OVERALL			**2,393**

B. (3) Disposition of FOIA Requests – Number of Times Exemptions Applied

Component	Ex. 1	Ex. 2	Ex. 3	Ex. 4	Ex. 5	Ex. 6	Ex. 7(A)	Ex. 7(B)	Ex. 7(C)	Ex. 7(D)	Ex. 7(E)	Ex. 7(F)	Ex. 8	Ex. 9
CBP	0	170	1,104	29	33	13,218	45	204	13,293	12	13,272	9	0	0
CRCL	0	0	0	0	7	19	3	0	3	0	0	0	0	0
FEMA	1	1	7	29	18	130	0	0	19	0	11	0	0	0
FLETC	0	1	0	8	8	37	0	0	6	0	4	3	0	0
I&A	6	1	16	1	7	55	0	0	20	0	56	1	0	0
ICE	20	28	2,043	59	8,438	25,801	141	773	25,675	167	24,729	86	0	0
NPPD	1	0	1	40	13	1,401	12	1	1,526	0	1,585	6	0	0
OIG	0	1	4	0	24	109	24	0	103	14	21	2	0	0
OPS	0	2	0	0	1	10	10	0	7	0	4	0	0	0
PRIV	4	1	16	27	42	137	4	0	21	0	40	9	0	0
S&T	0	0	11	8	8	13	0	0	0	0	6	4	0	0
TSA	0	7	60	18	53	126	1	0	38	1	3	2	0	0
USCG	6	1	10	18	33	161	57	0	138	48	54	2	0	0
USCIS	19	112	1,790	159	37,597	48,623	34	0	67,260	0	68,471	0	0	0
USSS	2	0	69	0	11	113	10	0	114	6	142	1	0	0
AGENCY OVERALL	**59**	**325**	**5,131**	**396**	**46,293**	**89,953**	**341**	**978**	**108,259**	**248**	**108,398**	**125**	**0**	**0**

VI. Administrative Appeals of Initial Determinations of FOIA Requests

A. Received, Processed, and Pending Administrative Appeals

Component	No. of Appeals Pending as of Start of Fiscal Year	No. of Appeals Received in Fiscal Year	No. of Appeals Processed in Fiscal Year	No. of Appeals Pending as of End of Fiscal Year
CBP	16[‡‡‡]	1,004	991	29
FEMA	31	16	17	30
FLETC	2	2	4	0
ICE	33	477	339	171
OGC	24	93	101	16
OIG	28	16	25	19
TSA	7	35	36	6
USCG	29	32	28	33
USCIS	63	1,926	1,919	70
USSS	2	33	30	5
AGENCY OVERALL	**235[§§§]**	**3,634**	**3,490**	**379**

B. Disposition of Administrative Appeals – All Processed Appeals

Component	Number Affirmed on Appeal	Number Partially Affirmed & Partially Reversed/Remanded on Appeal	Number Completely Reversed/Remanded on Appeal	Number of Appeals Closed for Other Reasons	Total
CBP	112	79	514	286	991
FEMA	10	0	1	6	17
FLETC	3	0	0	1	4

[‡‡‡] Number of Appeals Pending as of End of the Fiscal Year from Previous Annual Report corrected due to component reporting error in FY 2013.
[§§§] *Id*.

Component	Number Affirmed on Appeal	Number Partially Affirmed & Partially Reversed/Remanded on Appeal	Number Completely Reversed/Remanded on Appeal	Number of Appeals Closed for Other Reasons	Total
ICE	126	14	103	96	339
OGC	62	4	16	19	101
OIG	14	7	0	4	25
TSA	7	5	9	15	36
USCG	12	1	9	6	28
USCIS	524	742	368	285	1,919
USSS	10	1	13	6	30
AGENCY OVERALL	**880**	**853**	**1,033**	**724**	**3,490**

C. (1) Reasons for Denial on Appeal – Number of Times Exemptions Applied

Component	Ex. 1	Ex. 2	Ex. 3	Ex. 4	Ex. 5	Ex. 6	Ex. 7(A)	Ex. 7(B)	Ex. 7(C)	Ex. 7(D)	Ex. 7(E)	Ex. 7(F)	Ex. 8	Ex. 9
CBP	0	8	10	5	5	350	3	0	346	0	344	3	0	0
FEMA	0	0	0	2	1	5	0	0	1	0	0	0	0	0
FLETC	0	0	0	0	0	0	0	0	0	0	0	0	0	0
ICE	0	3	21	2	31	129	2	0	103	0	88	0	0	0
OGC	0	0	3	2	3	9	7	0	11	0	15	0	0	0
OIG	0	0	0	0	2	10	0	0	17	0	0	0	0	0
TSA	0	2	4	0	3	10	1	0	2	0	0	0	0	0
USCG	0	0	1	2	4	10	2	0	4	0	1	0	0	0
USCIS	0	6	10	3	541	637	1	0	876	0	871	0	0	0
USSS	0	0	0	0	0	2	0	0	2	0	0	0	0	0
AGENCY OVERALL	**0**	**19**	**49**	**16**	**590**	**1,162**	**16**	**0**	**1,362**	**0**	**1,319**	**3**	**0**	**0**

C. (2) Reasons for Denial on Appeal – Reasons Other than Exemptions

Component	No Records	Records Referred at Initial Request Level	Request Withdrawn	Fee-Related Reason	Records not Reasonably Described	Improper Request for Other Reasons	Not Agency Record	Duplicate Request or Appeal	Request in Litigation	Appeal Based Solely on Denial for Expedited Processing	Other *Explain in chart below
CBP	31	2	16	1	0	183	5	66	3	1	0
FEMA	2	1	1	0	0	1	0	0	0	0	1
FLETC	0	0	0	2	0	0	0	0	0	0	0
ICE	73	0	0	0	2	4	0	0	2	5	21
OGC	10	0	6	1	0	2	0	1	0	2	52
OIG	0	0	0	0	0	0	0	0	1	0	3
TSA	2	0	0	0	4	0	0	0	0	0	0
USCG	0	0	4	0	0	0	0	0	1	0	1
USCIS	0	0	3	0	0	275	0	7	0	0	0
USSS	10	0	0	1	0	4	0	0	0	0	6
AGENCY OVERALL	**128**	**3**	**30**	**5**	**6**	**469**	**5**	**74**	**7**	**8**	**80**

C. (3) Reasons for Denial on Appeal – "Other" Reasons from Section VI, C (2) Chart

Component	Description	No. of Times Used	Total
CBP	N/A	0	0
FEMA	Unable to Locate Requester	1	1
FLETC	N/A	0	0
ICE	Fugitive Disentitlement Upheld	21	21
OGC	Adequacy of the Search	16	52
	Appeal Moot	12	
	Appeal Not Timely	2	
	Denial of Request Fee Waiver	2	
	Improper Appeal	2	
	Records Publically Available	1	
	Requester Category Determination	12	
	Vaughn Index Not Required During Administrative Process	5	
OIG	Appeal Moot	3	3
TSA	N/A	0	0
USCG	Unable to Locate Requester	1	1
USCIS	N/A	0	0
USSS	Coordinated by Another Program Office	1	2
	Previously Furnished	1	
AGENCY OVERALL			**80**

C. (4) Response Times for Administrative Appeals

Component	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days
CBP	3	10.01	1	193
FEMA	188	137.76	16	1,071
FLETC	47	87.33	47	168
ICE	25	61.81	1	542
OGC	45	65.14	2	492
OIG	360	324	11	888
TSA	145	147.17	17	414
USCG	318.5	317.14	1	892
USCIS	37.68	41.87	1	171
USSS	35	34.67	1	74
AGENCY OVERALL	**41.34**	**111.54**	**1**	**1,071**

C. (5) Ten Oldest Pending Administrative Appeals

Component	Sub-Row Heading	10th	9th	8th	7th	6th	5th	4th	3rd	2nd	Oldest Appeal
CBP	Date of Receipt	2014-09-12	2014-09-12	2014-06-05	2014-03-07	2014-03-07	2013-12-23	2013-12-16	2013-12-16	2013-12-12	2013-12-03
	Number of Days Pending	13	13	84	148	148	202	207	207	209	216
FEMA	Date of Receipt	2012-10-12	2012-07-24	1012-03-06	2011-10-28	1022-10-05	2010-10-11	2010-04-12	2009-08-31	2009-06-25	2009-02-26
	Number of Days Pending	501	557	655	742	758	1,007	1,134	1,282	1,328	1,412
FLETC	Date of Receipt	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days Pending	0	0	0	0	0	0	0	0	0	0
ICE	Date of Receipt	2013-04-11	2013-04-11	2013-04-10	2013-03-27	2013-03-13	2013-03-13	2013-02-20	2013-02-20	2013-01-14	2013-01-02
	Number of Days Pending	371	371	372	382	392	392	407	407	432	440
OGC	Date of Receipt	2014-08-27	2014-08-22	2014-08-22	2014-08-08	2014-07-25	2014-06-24	2014-06-03	2014-04-29	2014-02-18	2013-10-27
	Number of Days Pending	24	27	27	37	47	69	84	108	258	235
OIG	Date of Receipt	2013-07-25	2013-06-24	2012-12-17	2012-09-06	2012-08-06	2012-07-03	2010-11-10	2010-09-15	2009-01-14	2008-11-14
	Number of Days Pending	305	327	457	526	548	571	986	1,025	1,440	1,480
TSA	Date of Receipt	2014-06-25	2014-04-28	2014-04-28	2014-04-23	2014-02-27	2014-02-04	2014-01-09	2013-11-27	2013-10-23	2012-11-15
	Number of Days Pending	72	112	115	157	159	171	189	220	243	475
USCG	Date of Receipt	2013-07-02	2013-06-18	2013-06-12	2013-05-06	2013-04-04	2013-03-15	2013-02-18	2013-01-30	2012-08-02	2009-03-10
	Number of Days Pending	321	331	335	361	383	397	416	428	550	1,404
USCIS	Date of Receipt	2014-09-15	2014-09-15	2014-09-10	2014-09-10	2014-09-10	2014-09-10	2014-09-10	2014-09-10	2014-08-08	2014-07-18
	Number of Days Pending	12	12	15	15	15	15	15	15	38	53
USSS	Date of Receipt	N/A	N/A	N/A	N/A	N/A	2014-09-05	2014-08-27	2014-08-13	2014-08-08	2014-06-24
	Number of Days Pending	0	0	0	0	0	17	23	33	36	68
AGENCY OVERALL	**Date of Receipt**	**2010-11-10**	**2010-10-11**	**2010-09-15**	**2010-04-12**	**2009-08-31**	**2009-06-25**	**2009-03-10**	**2009-02-26**	**2009-01-14**	**2008-11-14**
	Number of Days Pending	**986**	**1,007**	**1,025**	**1,134**	**1,282**	**1,328**	**1,404**	**1,412**	**1,440**	**1,480**

VII. FOIA Requests: Response Time for Processed and Pending Requests

A. Processed Requests – Response Time for All Processed Perfected Requests

Component	Simple				Complex				Expedited			
	Median No. of Days	Average No. of Days	Lowest No. of Days	Highest No. of Days	Median No. of Days	Average No. of Days	Lowest No. of Days	Highest No. of Days	Median No. of Days	Average No. of Days	Lowest No. of Days	Highest No. of Days
CBP	5	6.83	1	20	277	262.52	1	1,055	4	42.8	1	194
CRCL	7	9.61	1	20	15	24.43	4	83	N/A	N/A	N/A	N/A
FEMA	11	10.9	2	20	154	213.82	21	1,770	468	468	81	855
FLETC	9	18.31	1	122	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
I&A	23	29.84	1	134	31	56.31	1	427	N/A	N/A	N/A	N/A
ICE	3	35.37	1	550	30	69.88	1	643	63	98.7	1	632
NPPD	124	114.47	1	222	30	45.44	1	480	11	11	11	11
OIG	20	31.52	1	248	100	124.55	12	654	177.5	141	6	203
OPS	1	2.55	1	14	7	8	1	19	N/A	N/A	N/A	N/A
PRIV	4	5.37	1	20	55	82.48	21	404	N/A	N/A	N/A	N/A
S&T	6.5	8.83	1	30	56.5	87.13	19	342	17.5	17.5	15	20
TSA	1	2.89	1	20	130.5	259.47	21	1,235	172	172	172	172
USCG	9	9.21	1	20	48	92.77	4	1,153	24	39.33	9	91
USCIS	19.18	20.32	1	357	32.2	28.37	3	393	28.09	43.54	14	110
USSS	12	12.58	1	20	93.5	207.12	21	1,820	N/A	N/A	N/A	N/A
AGENCY OVERALL	**9**	**21.24**	**1**	**550**	**51.5**	**111.59**	**1**	**1,820**	**26**	**103.49**	**1**	**855**

B. Processed Requests – Response Time for Perfected Requests in Which Information Was Granted

Component	Simple				Complex				Expedited			
	Median No. of Days	Average No. of Days	Lowest No. of Days	Highest No. of Days	Median No. of Days	Average No. of Days	Lowest No. of Days	Highest No. of Days	Median No. of Days	Average No. of Days	Lowest No. of Days	Highest No. of Days
CBP	9	9.14	1	20	306	283.46	1	1,055	3	30.12	1	194
CRCL	13.5	11.83	3	19	37	37.62	15	83	N/A	N/A	N/A	N/A
FEMA	7.5	9.83	4	19	154	241.72	21	1,770	468	468	81	855
FLETC	6	8.5	1	29	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
I&A	23	23	12	34	55	58.43	9	115	N/A	N/A	N/A	N/A
ICE	3	35.38	1	550	30	71.57	1	643	27	92.13	8	632
NPPD	127	115.66	1	193	38.5	56.61	1	480	N/A	N/A	N/A	N/A
OIG	19	33.33	2	248	110.5	133.99	15	654	203	186	152	203
OPS	1	3.3	1	14	10	10.56	3	19	N/A	N/A	N/A	N/A
PRIV	9	10.05	1	20	68	99.65	21	404	N/A	N/A	N/A	N/A
S&T	15.5	14.5	2	24	60	61	41	342	N/A	N/A	N/A	N/A
TSA	1	2.72	1	19	184	309.03	21	1,234	172	172	172	172
USCG	9	9.45	1	20	48	78.19	6	829	26	44	9	91
USCIS	29.46	30.5	1	357	34.94	36.81	3	393	28.77	51.28	14	110
USSS	13	13	13	13	160.5	312.18	29	1,820	N/A	N/A	N/A	N/A
AGENCY OVERALL	**9**	**22.01**	**1**	**550**	**57.5**	**127.91**	**1**	**1,820**	**28.77**	**168.90**	**8**	**855**

C. Processed Requests – Response Time in Day Increments

(1) Simple Requests

Component	1-20 Days	21-40 Days	41-60 Days	61-80 Days	81-100 Days	101-120 Days	121-140 Days	141-160 Days	161-180 Days	181-200 Days	201-300 Days	301-400 Days	401+ Days	Total
CBP	3,313	0	0	0	0	0	0	0	0	0	0	0	0	**3,1313**
CRCL	33	0	0	0	0	0	0	0	0	0	0	0	0	**33**
FEMA	21	0	0	0	0	0	0	0	0	0	0	0	0	**21**
FLETC	69	13	9	6	1	0	1	0	0	0	0	0	0	**99**
I&A	47	43	14	8	4	1	2	0	0	0	0	0	0	**119**
ICE	23,583	662	561	345	389	477	370	459	381	493	1,573	435	26	**29,75**
NPPD	929	660	1,294	757	873	2,289	3,048	1,821	304	80	2	0	0	**12,057**
OIG	42	22	8	2	2	3	0	0	0	0	2	0	0	**81**
OPS	33	0	0	0	0	0	0	0	0	0	0	0	0	**33**
PRIV	463	0	0	0	0	0	0	0	0	0	0	0	0	**463**
S&T	57	3	0	0	0	0	0	0	0	0	0	0	0	**60**
TSA	192	0	0	0	0	0	0	0	0	0	0	0	0	**192**
USCG	1,558	0	0	0	0	0	0	0	0	0	0	0	0	**1,558**
USCIS	14,230	10,517	2,404	249	110	37	27	11	12	6	26	2	0	**27,631**
USSS	273	0	0	0	0	0	0	0	0	0	0	0	0	**273**
AGENCY OVERALL	**48,843**	**11,920**	**4,290**	**1,367**	**1,379**	**2,807**	**3,448**	**2,291**	**697**	**579**	**1,603**	**437**	**26**	**75,687**

(2) Complex Requests

Component	1-20 Days	21-40 Days	41-60 Days	61-80 Days	81-100 Days	101-120 Days	121-140 Days	141-160 Days	161-180 Days	181-200 Days	201-300 Days	301-400 Days	401+ Days	Total
CBP	67	1,396	969	845	721	974	1,003	1,181	1,646	2,062	7,081	8,722	4,819	**31,486**
CRCL	22	10	2	2	1	0	0	0	0	0	0	0	0	**37**
FEMA	0	58	44	26	37	21	21	61	23	17	54	64	59	**485**
FLETC	0	0	0	0	0	0	0	0	0	0	0	0	0	**0**
I&A	62	37	25	14	9	6	6	6	0	5	4	2	1	**177**
ICE	1,346	989	334	176	112	108	119	148	74	85	268	103	28	**3,890**
NPPD	58	39	25	10	1	4	2	1	1	0	4	0	2	**147**
OIG	4	12	9	7	6	7	4	6	2	5	10	0	3	**75**
OPS	25	0	0	0	0	0	0	0	0	0	0	0	0	**25**
PRIV	0	72	42	24	18	10	4	8	7	2	8	4	3	**202**
S&T	1	0	4	2	0	0	0	0	0	0	0	1	0	**8**
TSA	0	61	36	18	15	15	8	5	9	10	19	26	76	**298**
USCG	20	378	237	93	97	63	25	18	10	13	40	23	38	**1,055**
USCIS	31,524	53,598	18,081	1,292	455	220	111	61	32	35	68	5	2,516	**107,998**
USSS	0	92	21	19	34	18	11	17	8	6	25	13	46	**310**
AGENCY OVERALL	**33,129**	**56,742**	**19,829**	**2,528**	**1,506**	**1,446**	**1,314**	**1,512**	**1,812**	**2,240**	**7,581**	**8,963**	**7,591**	**146,193**

(3) Requests Granted Expedited Processing

Component	1-20 Days	21-40 Days	41-60 Days	61-80 Days	81-100 Days	101-120 Days	121-140 Days	141-160 Days	161-180 Days	181-200 Days	201-300 Days	301-400 Days	401+ Days	Total
CBP	18	0	0	1	0	1	1	2	0	2	0	0	0	**25**
CRCL	0	0	0	0	0	0	0	0	0	0	0	0	0	**0**
FEMA	0	0	0	0	1	0	0	0	0	0	0	0	1	**2**
FLETC	0	0	0	0	0	0	0	0	0	0	0	0	0	**0**
I&A	0	0	0	0	0	0	0	0	0	0	0	0	0	**0**
ICE	30	23	8	8	5	9	7	13	4	6	7	4	3	**127**
NPPD	1	0	0	0	0	0	0	0	0	0	0	0	0	**1**
OIG	1	0	0	0	0	0	0	1	0	0	2	0	0	**4**
OPS	0	0	0	0	0	0	0	0	0	0	0	0	0	**0**
PRIV	0	0	0	0	0	0	0	0	0	0	0	0	0	**0**
S&T	2	0	0	0	0	0	0	0	0	0	0	0	0	**2**
TSA	0	0	0	0	0	0	0	1	0	0	0	0	0	**1**
USCG	2	2	0	1	1	0	0	0	0	0	0	0	0	**6**
USCIS	4	2	0	1	1	1	0	0	0	0	0	0	0	**9**
USSS	0	0	0	0	0	0	0	0	0	0	0	0	0	**0**
AGENCY OVERALL	**58**	**27**	**8**	**11**	**8**	**11**	**8**	**17**	**4**	**8**	**9**	**4**	**4**	**177**

D. Pending Requests – All Pending Perfected Requests

Component	Simple			Complex			Expedited		
	Number Pending	Median No. of Days	Average No. of Days	Number Pending	Median No. of Days	Average No. of Days	Number Pending	Median No. of Days	Average No. of Days
CBP	1,287	24	32.37	35,562	185	192.46	2	102.5	102.5
CRCL	0	N/A	N/A	9	27	40.56	0	N/A	N/A
FEMA	41	11	11.34	768	177.5	221.73	0	N/A	N/A
FLETC	7	13	12.29	0	N/A	N/A	1	8	8
I&A	1	5	5	21	109	178.9	1	6	6
ICE	57,044	148	146.7	627	31	65.84	7	47	58.29
NPPD	4,434	83	77.15	35	41	54.77	1	11	11
OIG	1	7	7	61	100	134.49	0	N/A	N/A
OPS	0	N/A	N/A	0	N/A	N/A	0	N/A	N/A
PRIV	23	6	7.57	100	55	83.11	1	39	39
S&T	0	N/A	N/A	1	61	61	0	N/A	N/A
TSA	51	11	10.86	903	212	286.9	0	N/A	N/A
USCG	227	11	10.91	1,292	230	319.37	7	178	146.29
USCIS	927	10.28	16.15	11,421	18.5	21.05	3	82.2	84.4
USSS	83	6	8.55	659	264	386.32	0	N/A	N/A
AGENCY OVERALL	**64,126**	**11**	**28.82**	**51,459**	**100**	**157.42**	**23**	**43**	**56.81**

E. Pending Requests – Ten Oldest Pending Perfected Requests

Component	Sub-Row Heading	10th	9th	8th	7th	6th	5th	4th	3rd	2nd	Oldest Request
CBP	Date of Receipt	2012-11-08	2012-11-08	2012-11-08	2012-11-08	2012-11-07	2012-11-07	2012-11-07	2012-11-06	2012-10-23	2012-10-09
	Number of Days Pending	482	482	482	482	482	483	483	484	494	504
CRCL	Date of Receipt	N/A	2014-09-24	201409-24	2014-09-24	2014-09-03	2014-08-25	2014-08-25	2014-07-30	2014-05-19	2014-03-24

Component	Sub-Row Heading	10th	9th	8th	7th	6th	5th	4th	3rd	2nd	Oldest Request
	Number of Days Pending	0	1	4	4	19	26	26	44	96	136
FEMA	Date of Receipt	2012-03-09	2012-03-05	2012-03-05	2012-02-27	2012-02-08	2012-02-06	2011-11-28	2011-01-03	2010-09-10	2007-09-07
	Number of Days Pending	644	648	648	653	665	667	715	944	1,020	1,761
FLETC	Date of Receipt	N/A	N/A	N/A	2014-09-2-	2014-09-24	2014-09-11	2014-09-11	2014-09-11	2014-09-09	2014-08-22
	Number of Days Pending	0	0	0	1	4	13	13	13	15	27
I&A	Date of Receipt	2014-05-15	2014-04-29	2014-04-10	2014-01-20	2013-10-22	2013-10-18	2013-09-27	2013-08-01	2013-07-22	2013-02-12
	Number of Days Pending	100	111	124	182	244	246	260	300	308	420
ICE	Date of Receipt	2013-06-24	2013-06-24	2013-05-21	2013-04-30	2013-04-17	2013-04-10	2012-11-15	2012-11-15	2012-11-04	2012-10-10
	Number of Days Pending	327	327	350	365	374	379	478	478	485	503
NPPD	Date of Receipt	2014-01-15	2014-01-15	2014-01-15	2014-01-15	2014-01-15	2014-01-15	2014-01-07	2014-01-06	2014-01-06	2014-01-06
	Number of Days Pending	185	185	185	185	185	185	191	192	192	192
OIG	Date of Receipt	2013-08-30	2013-08-06	2013-08-06	2013-06-17	2013-06-13	2013-05-20	2013-03-13	2013-03-01	2013-02-28	2013-02-01
	Number of Days Pending	280	297	297	332	334	351	399	407	408	526
OPS	Date of Receipt	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days Pending	0	0	0	0	0	0	0	0	0	0
PRIV	Date of Receipt	2013-11-13	2013-10-24	2013-10-21	2013-10-17	2013-10-17	2013-09-25	2013-09-03	2013-08-26	2013-08-26	2013-07-08
	Number of Days Pending	220	233	236	238	238	242	258	263	263	298
S&T	Date of Receipt	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	2014-07-18
	Number of Days Pending	0	0	0	0	0	0	0	0	0	52
TSA	Date of Receipt	2010-08-26	2010-06-24	2010-06-14	2010-04-10	2010-03-30	2010-03-22	2010-02-25	2010-01-29	2010-01-05	2009-11-23
	Number of Days Pending	1,030	1,074	1,082	1,133	1,135	1,141	1,158	1,172	1,189	1,217
USCG	Date of Receipt	2010-06-11	2010-05-26	2010-05-17	2010-05-07	2010-04-27	2010-04-05	2010-03-30	2010-02-24	2010-02-22	2009-11-25
	Number of Days Pending	1,091	1,102	1,109	1,115	1,123	1,139	1,143	1,167	1,169	1,223
USCIS	Date of Receipt	2014-01-31	2014-01-29	2014-01-24	2014-01-24	2014-01-23	2014-01-22	2014-01-21	2014-01-06	2013-12-04	2013-11-21
	Number of Days Pending	173	175	178	178	179	180	181	184	215	223
USSS	Date of Receipt	2009-06-15	2009-01-30	2009-01-14	2008-10-08	2008-02-05	2008-01-29	2007-11-26	2007-10-18	2007-01-09	2006-12-01
	Number of Days Pending	1,336	1,430	1,440	1,505	1,677	1,682	1,725	1,750	1,946	1,970
AGENCY OVERALL	**Date of Receipt**	**2009-01-30**	**2009-01-14**	**2008-10-08**	**2008-02-05**	**2008-01-29**	**2007-11-26**	**2007-10-18**	**2007-09-07**	**2007-01-09**	**2006-12-01**
	Number of Days Pending	**1,430**	**1,440**	**1,505**	**1,677**	**1,682**	**1,725**	**1,750**	**1,761**	**1,946**	**1,970**

VIII. Requests for Expedited Processing and Requests for Fee Waivers

A. Requests for Expedited Processing

Component	Number Granted	Number Denied	Median Number of Days to Adjudicate	Average Number of Days to Adjudicate	Number Adjudicated within 10 Calendar Days
CBP	28	2,376	1	12.89	1,824
CRCL	0	0	N/A	N/A	0
FEMA	2	16	11	56.43	13
FLETC	1	0	8	8	0
I&A	1	11	6	5.1	12

Component	Number Granted	Number Denied	Median Number of Days to Adjudicate	Average Number of Days to Adjudicate	Number Adjudicated within 10 Calendar Days
ICE	39	95	1	1	134
NPPD	1	0	11	11	0
OIG	1	17	9	9.22	11
OPS	0	0	N/A	N/A	0
PRIV	2	26	1	3.45	28
S&T	0	2	1	1	1
TSA	1	6	1	2.65	7
USCG	5	1	24	39.33	1
USCIS	10	833	5	5.96	752
USSS	0	21	1	1	21
AGENCY OVERALL	**91**	**3,404**	**5**	**12**	**2,840**

B. Requests for Fee Waiver

Component	Number Granted	Number Denied	Median Number of Days to Adjudicate	Average Number of Days to Adjudicate
CBP	25	439	145	129.93
CRCL	0	0	N/A	N/A
FEMA	2	2	3.5	4.75
FLETC	2	2	44	40.75
I&A	6	40	5	7
ICE	104	89	1	1
NPPD	16	0	1	7.38
OIG	0	2	65.5	65.5
OPS	0	0	N/A	N/A
PRIV	3	8	1	1
S&T	1	4	2	1.8
TSA	0	0	N/A	N/A
USCG	1	1	55.5	55.5
USCIS	72	197	3	5.28
USSS	0	0	N/A	N/A
AGENCY OVERALL	**232**	**784**	**3.5**	**28.55**

IX. FOIA Personnel and Costs

Component	Personnel			Costs		
	Number of "Full Time FOIA Employees"	Number of "Equivalent Full-Time FOIA Employees"	Total Number of "Full-Time FOIA Staff" (Col. 1 + Col. 2)	Processing Costs	Litigation - Related Costs	Total Costs
CBP	39	18.26	57.26	$5,287,415.00	$40,778.73	$5,328,193.73
CRCL	1	0	1	$113,346.00	$0.00	$113,346.00
FEMA	13	15.29	28.29	$2,956,689.30	$0.00	$2,956,689.30
FLETC	1	.55	1.55	$161,453.53	$0.00	$161,453.53
I&A	2	.70	2.7	$205,145.00	$0.00	$205,145.00
ICE	33	16	49	$9,581,485.00	$899,749.00	$10,481,234.00
NPPD	4	3	7	$873,910.00	$56,000.00	$929,910.00

Component	Personnel			Costs		
	Number of "Full Time FOIA Employees"	Number of "Equivalent Full-Time FOIA Employees"	Total Number of "Full-Time FOIA Staff" (Col. 1 + Col. 2)	Processing Costs	Litigation - Related Costs	Total Costs
OIG	4	.25	4.25	$335,686.30	$69,539.70	$405,226.00
OPS	0	.33	.33	$38,000.00	$2,000.00	$40,000.00
PRIV	16	1	17	$3,282,034.00	$15,000.00	$3,297,034.00
S&T	0	.50	.50	$79,864.00	$28,966.50	$108,830.50
TSA	8	3.1	11.1	$1,117,747.30	$22,895.00	$1,140,642.30
USCG	14	22.85	36.85	$3,009,229.68	$0.00	$3,009,229.68
USCIS	212	9.5	221.5	$20,469,919.15	$768,637.08	$21,238,556.23
USSS	17	4.15	21.15	$1,853,071.75	$429,318.05	$2,282,389.80
AGENCY OVERALL	**364**	**95.48**	**459.48**	**$49,364,996.01**	**$2,332,884.06**	**$51,697,880.07**

X. Fees Collected for Processing Requests

Component	Total Amount of Fees Collected	Percentage of Total Costs
CBP	$313,036.28	5.92%
CRCL	$0.00	0.00%
FEMA	$1,608.00	0.05%
FLETC	$0.00	0.00%
I&A	$0.00	0.00%
ICE	$0.00	0.00%
NPPD	$2,500.00	0.29%
OIG	$3,700.50	1.10%
OPS	$0.00	0.00%
PRIV	$0.00	0.00%
S&T	$497.00	0.62%
TSA	$0.00	0.00%
USCG	$22,376.56	0.74%
USCIS	$5,487.80	0.03%
USSS	$0.00	0.00%
AGENCY OVERALL	**$349,206.14**	**0.71%**

XI. FOIA Regulations – The Department of Homeland Security FOIA Implementing Regulations are codified at 6 C.F.R. Part 5, and also can be found at http://www.dhs.gov/xlibrary/assets/FOIA_FedReg_Notice.pdf.

XII. Backlogs, Consultations, and Comparisons

A. Backlogs of FOIA Requests and Administrative Appeals

Component	Number of Backlogged Requests as of the End of Fiscal Year	Number of Backlogged Appeals as of End of Fiscal Year
CBP	34,307	8
CRCL	3	0
FEMA	709	30
FLETC	1	0
I&A	22	0
ICE	56,863	43

Component	Number of Backlogged Requests as of the End of Fiscal Year	Number of Backlogged Appeals as of End of Fiscal Year
NPPD	3,592	0
OGC	0	11
OIG	48	17
OPS	0	0
PRIV	64	0
S&T	1	0
TSA	924	12
USCG	1,261	33
USCIS	5,026	2
USSS	659	4
AGENCY OVERALL	**103,480**	**160**

B. Consultations on FOIA Requests – Received, Processed, and Pending Consultations

Component	Number of Consultations Received from Other Agencies that Were Pending at Your Agency as of Start of the Fiscal Year	Number of Consultations Received from Other Agencies During the Fiscal Year	Number of Consultations Received from Other Agencies that Were Processed by Your Agency During the Fiscal Year	Number of Consultations Received from Other Agencies that were Pending at Your Agency as of the End of the Fiscal Year
CBP	32[****]	66	42	56
CRCL	0	4	4	0
FEMA	1	4	4	1
FLETC	0	0	0	0
I&A	0	23	17	6
ICE	45	65	67	43
NPPD	0	1	1	0
OIG	0	7	6	1
OPS	0	1	1	0
PRIV	31[††††]	19	27	23
S&T	0	8	8	0
TSA	15	15	19	11
USCG	3	22	7	18
USCIS	1	53	52	2
USSS	12	31	24	19
AGENCY OVERALL	**140**	**319**	**279**	**180**

C. Consultations on FOIA Requests – Ten Oldest Consultations Received from Other Agencies and Pending

Component	Sub-Row Heading	10th	9th	8th	7th	6th	5th	4th	3rd	2nd	Oldest Request
CBP	Date of Receipt	2013-05-22	2013-04-15	2013-04-04	2013-02-21	2013-02-21	2012-012-06	2013-06-28	2012-05-31	2012-04-10	2012-03-16
	Number of Days Pending	349	376	383	413	413	464	574	594	630	647
CRCL	Date of Receipt	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days Pending	0	0	0	0	0	0	0	0	0	0

[****] Number of Consultations Received from Other Agencies that Were Pending as of Start of the Fiscal Year corrected due to component reporting error in FY 2013.
[††††] *Id.*

Component	Sub-Row Heading	10th	9th	8th	7th	6th	5th	4th	3rd	2nd	Oldest Request
FEMA	Date of Receipt	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	2014-03-18
	Number of Days Pending	0	0	0	0	0	0	0	0	0	168
FLETC	Date of Receipt	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days Pending	0	0	0	0	0	0	0	0	0	0
I&A	Date of Receipt	N/A	N/A	N/A	N/A	2014-09-03	2014-08-26	2014-08-26	2014-08-12	2014-08-12	2014-07-14
	Number of Days Pending	0	0	0	0	20	26	26	36	36	57
ICE	Date of Receipt	2013-06-24	2013-06-24	2013-06-24	2013-06-24	2013-04-10	2013-04-10	2013-04-10	2013-04-10	2013-02-14	2013-02-14
	Number of Days Pending	320	320	320	320	372	372	372	372	410	410
NPPD	Date of Receipt	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days Pending	0	0	0	0	0	0	0	0	0	0
OIG	Date of Receipt	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	2014-02-21
	Number of Days Pending	0	0	0	0	0	0	0	0	0	157
OPS	Date of Receipt	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days Pending	0	0	0	0	0	0	0	0	0	0
PRIV	Date of Receipt	N/A	N/A	N/A	N/A	N/A	2014-08-07	2014-07-24	2013-11-25	2013-08-06	2013-08-01
	Number of Days Pending	0	0	0	0	0	38	48	214	289	292
S&T	Date of Receipt	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days Pending	0	0	0	0	0	0	0	0	0	0
TSA	Date of Receipt	2014-06-16	2014-03-11	2014-02-25	2013-10-18	2013-08-20	2013-06-26	2012-08-06	2012-04-12	2010-10-28	2010-09-03
	Number of Days Pending	74	145	152	237	267	305	527	609	971	1,008
USCG	Date of Receipt	2014-04-29	2014-04-02	2014-03-31	2014-03-25	2014-01-05	2013-12-23	2013-11-07	2013-06-20	2011-05-05	2011-03-21
	Number of Days Pending	112	130	132	136	185	202	232	329	866	899
USCIS	Date of Receipt	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	2014-09-26	2013-10-31
	Number of Days Pending	0	0	0	0	0	0	0	0	3	237
USSS	Date of Receipt	2014-04-11	2014-03-25	2014-03-06	2014-02-03	2013-12-30	2013-12-12	2013-09-03	2013-04-03	2013-02-13	2012-12-14
	Number of Days Pending	123	136	149	172	197	209	277	384	419	458
AGENCY OVERALL	**Date of Receipt**	**2013-02-14**	**2013-02-14**	**2013-02-13**	**2012-12-14**	**2012-08-06**	**2012-04-12**	**2011-05-05**	**2011-03-21**	**2010-10-28**	**2010-09-03**
	Number of Days Pending	**410**	**410**	**419**	**458**	**527**	**609**	**866**	**899**	**971**	**1,008**

D. (1) Comparison of Numbers of Requests from Previous and Current Annual Report – Requests Received, Processed, and Backlogged

Component	Number of Requests Received		Number of Requests Processed	
	Received During Fiscal Year from Last Year's Annual Report	Received During Fiscal Year from Current Annual Report	Processed During Fiscal Year from Last Year's Annual Report	Processed During Fiscal Year from Current Annual Report
CBP	41,381	47,261	14,635	51,056
CRCL	73	80	73	74
FEMA	798	772	564	508
FLETC	113	103	122	99

Component	Number of Requests Received		Number of Requests Processed	
	Received During Fiscal Year from Last Year's Annual Report	Received During Fiscal Year from Current Annual Report	Processed During Fiscal Year from Last Year's Annual Report	Processed During Fiscal Year from Current Annual Report
I&A	109	244	78	255
ICE	34,161	85,081	30,818	33,644
NPPD	15,187	7,775	12,942	5,581
OIG	170	177	142	166
OPS	45	59	45	59
PRIV	798	705	840	665
S&T	26	68	26	68
TSA	909	859	851	491
USCG	3,468	3,203	3,292[‡‡‡‡]	2,620
USCIS	132,797	143,794	138,523	141,700
USSS	1,499	1,061	1,575	1,045
AGENCY OVERALL	**231,534**	**291,242**	**204,526[§§§§]**	**238,031**

D. (2) Comparison of Backlogged Requests from Previous and Current Annual Report

Component	Number of Backlogged Requests as of End of the Fiscal Year from Previous Annual Report	Number of Backlogged Requests as of End of the Fiscal Year from Current Annual Report
CBP	37,848	34,307
CRCL	0	3
FEMA	496	709
FLETC	1	1
I&A	12	22
ICE	4,714	56,863
NPPD	3,099	3,592
OIG	34	48
OPS	0	0
PRIV	20	64
S&T	1	1
TSA	546	924
USCG	877	1,261
USCIS	3,394	5,026
USSS	533[*****]	659
AGENCY OVERALL	**51,575[†††††]**	**103,480**

[‡‡‡‡] Number of Processed During Fiscal Year from Last Year's Annual Report corrected due to component reporting error in FY 2013.
[§§§§] *Id.*
[*****] Number of Backlogged Requests as of End of the Fiscal Year from Previous Annual Report from Last Year's Annual Report corrected due to component reporting error in FY 2013.
[†††††] *Id.*

E. (1) Comparison of Numbers of Administrative Appeals from Previous and Current Annual Report – Appeals Received, Processed, and Backlogged

Component	Number of Appeals Received		Number of Appeals Processed	
	Received During Fiscal Year from Last Year's Annual Report	Received During Fiscal Year from Current Annual Report	Processed During Fiscal Year from Last Year's Annual Report	Processed During Fiscal Year from Current Annual Report
CBP	346	1,004	354	991
FEMA	16	16	45	17
FLETC	7	2	5	4
ICE	350	477	317	339
OGC	92	93	179	101
OIG	17	16	3	25
TSA	18	35	22	36
USCG	34	32	45	28
USCIS	1,959	1,926	2,001	1,919
USSS	66	33	75	30
AGENCY OVERALL	**2,905**	**3,634**	**3,046**	**3,490**

(2) Comparison of Backlogged Administrative Appeals from Previous and Current Annual Report

Component	Number of Backlogged Appeals as of End of the Fiscal Year from Previous Annual Report	Number of Backlogged Appeals as of End of the Fiscal Year from Current Annual Report
CBP	0	8
FEMA	31	30
FLETC	1	0
ICE	32	43
OGC	11[‡‡‡‡‡]	11
OIG	28	17
TSA	7	12
USCG	29	33
USCIS	3	2
USSS	1	4
AGENCY OVERALL	**143[§§§§§]**	**160**

[‡‡‡‡‡] Number of Number of Backlogged Appeals as of End of the Fiscal Year from Previous Annual Report from Previous Annual Report corrected due to component reporting error in FY 2013.
[§§§§§] *Id.*

APPENDIX A: Composition of the Department of Homeland Security

The Office of the Secretary oversees Department of Homeland Security (DHS) efforts to counter terrorism and enhance security, secure and manage our borders while facilitating trade and travel, enforce and administer our immigration laws, safeguard and secure cyberspace, build resilience to disasters, and provide essential support for national and economic security - in coordination with federal, state, local, international, tribal, and private sector partners.

Offices:

The Citizenship and Immigration Services Ombudsman (CISOMB) assists individuals and employers in resolving problems with the U.S. Citizenship and Immigration Services, identifies systemic issues, and makes recommendations to USCIS to improve the delivery of immigration services.

The Office for Civil Rights and Civil Liberties (CRCL) supports the Department as it secures the Nation while preserving individual liberty, fairness, and equality under the law.

The Office of the Executive Secretary (ESEC) assures the accurate and timely dissemination of information and written communications from throughout the Department and our homeland security partners to and from the Secretary and Deputy Secretary.

The Office of Intergovernmental Affairs (IGA) promotes an integrated national approach to homeland security by ensuring, coordinating, and advancing federal interaction with state, local, tribal, and territorial governments.

The Military Advisor's Office advises on facilitating, coordinating, and executing policy, procedures, preparedness activities, and operations between the Department and the Department of Defense.

The Office of the General Counsel (OGC) integrates approximately 1700 lawyers from throughout the Department comprised of a headquarters office with subsidiary divisions and the legal programs for eight Department Components.

The Office of Inspector General (OIG) conducts independent and objective inspections, audits, and investigations to provide oversight and promote excellence, integrity, and accountability in DHS programs and operations.

The Office of Legislative Affairs (OLA) serves as primary liaison to members of Congress and their staffs.

The Office of Public Affairs (OPA) coordinates the public affairs activities of all of the Department's Components and offices.

The Privacy Office (PRIV) works to preserve and enhance privacy protections for all individuals and to promote transparency of Department operations.

Department Components and Directorates:

U.S. Customs and Border Protection (CBP) is responsible for securing the border against all transnational threats and facilitating trade and travel while enforcing hundreds of U.S. regulations at the border, such as immigration and drug laws.

The Domestic Nuclear Detection Office (DNDO) works to enhance the nuclear detection efforts of federal, state, territorial, tribal, and local governments, and the private sector and to ensure a coordinated response to such threats.

The Federal Emergency Management Agency (FEMA) supports our citizens and first responders to ensure that as a Nation we work together to build, sustain, and improve our capability to prepare for, protect against, respond to, recover from, and mitigate all hazards.

The Federal Law Enforcement Training Centers (FLETC) mission is "We train those who protect our homeland." To carry out this mission, the FLETC serves as an interagency law enforcement training organization for 91 federal agencies or Partner Organizations. The FLETC also provides training to state, local, rural, tribal, territorial, and international law enforcement agencies.

The Office of Intelligence and Analysis (I&A) is responsible for equipping the Homeland Security Enterprise with the information and intelligence it needs to keep the United States safe, secure, and resilient.

United States Immigration and Customs Enforcement (ICE) promotes homeland security and public safety through the criminal and civil enforcement of federal laws governing border control, customs, trade, and immigration.

The Management Directorate provides Department-wide leadership and direction on the full spectrum of management issues. These efforts include integrating common operating standards; managing the Department's delegations and directives; leading investment and portfolio management; and administering six functional lines of business, which are financial management, human resources, facilities and logistics, information technology, security and procurement.

The National Protection and Programs Directorate (NPPD) leads the national effort to protect and enhance the resilience of the Nation's physical and cyber infrastructure.

The Office of Health Affairs (OHA) coordinates all medical activities of DHS to ensure appropriate preparation for and response to incidents having medical significance.

The Office of Operations Coordination and Planning (OPS) provides decision support and enables the execution of homeland security responsibilities across the enterprise; promotes situational awareness and information sharing; integrates and synchronizes strategic operations and planning; and administers the DHS continuity program.

The Office of Policy (PLCY) develops Department-wide policies, programs and planning to promote and ensure quality, consistency and integration across all homeland security missions.

The Transportation Security Administration (TSA) protects the Nation's transportation systems to ensure freedom of movement for people and commerce.

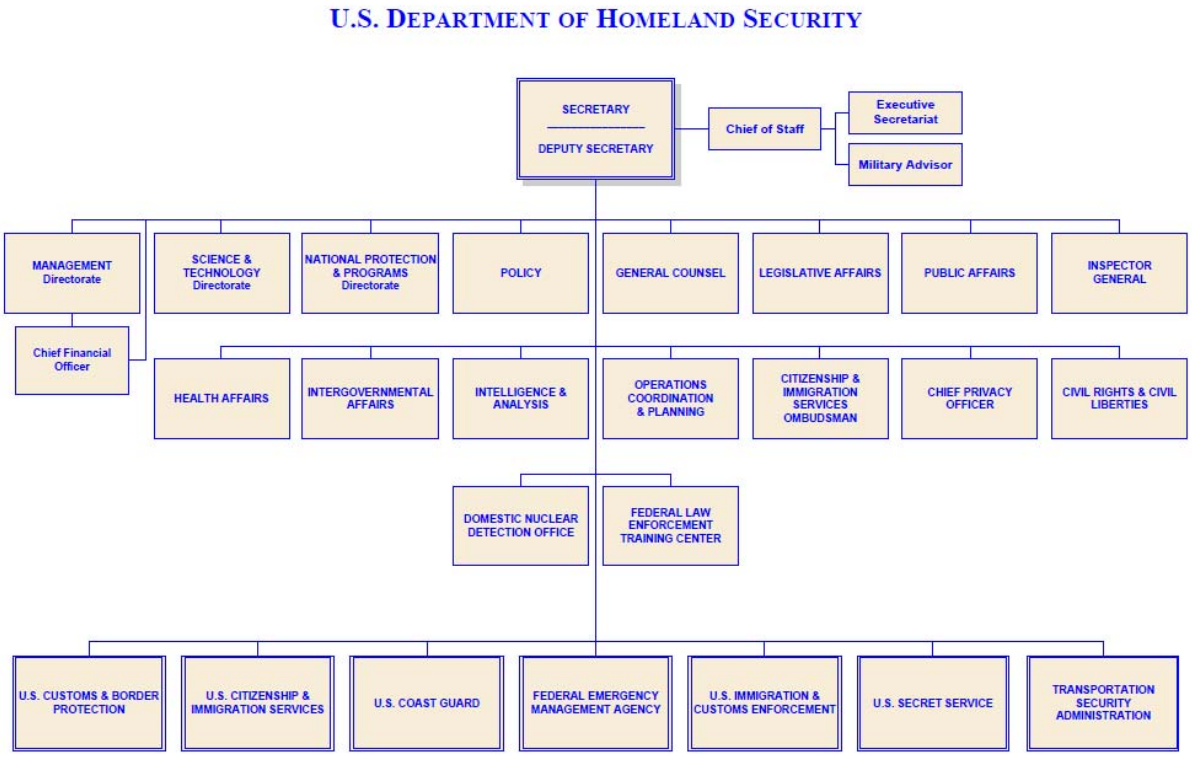
The United States Coast Guard (USCG) is one of the five armed forces of the United States and the only military organization within DHS. The Coast Guard protects the maritime economy and the environment, defends our maritime borders, and saves those in peril.

U.S. Citizenship and Immigration Services (USCIS) grants immigration and citizenship benefits, promotes awareness and understanding of citizenship, and ensures the integrity of our immigration system.

The Directorate for Science and Technology (S&T) strengthens America's security and resiliency by providing knowledge products and innovative technology solutions for the Homeland Security Enterprise.

The United States Secret Service (USSS) safeguards the Nation's financial infrastructure and payment systems to preserve the integrity of the economy, and protects national leaders, visiting heads of state and government, designated sites, and National Special Security Events.

APPENDIX B: Organization of the Department of Homeland Security Chart



APPENDIX C: Names, Addresses, and Contact Information for DHS FOIA Officers

Department of Homeland Security Chief FOIA Officer

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Chief FOIA Officer
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Delores J. Barber
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Department of Homeland Security Component FOIA Officers

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