



DHS Official Reception and Representation Expenses

First Quarter, Fiscal Year 2021

March 1, 2021

Fiscal Year 2021 Report to Congress



**Homeland
Security**

Office of the Chief Financial Officer

Message from Acting Chief Financial Officer

March 1, 2021

I am pleased to provide the following report, “DHS Official Reception and Representation Expenses,” for the first quarter of Fiscal Year (FY) 2021.

This report has been prepared pursuant to the Joint Explanatory Statement accompanying the Fiscal Year 2021 Department of Homeland Security Appropriations Act (P.L. 116-260).

Pursuant to congressional requirements, this report is being provided to the following Members of Congress:

The Honorable Lucille Roybal-Allard
Chairwoman, House Appropriations Subcommittee on Homeland Security

The Honorable Chuck Fleischmann
Ranking Member, House Appropriations Subcommittee on Homeland Security

The Honorable Christopher S. Murphy
Chair, Senate Appropriations Subcommittee on Homeland Security

The Honorable Shelley Moore Capito
Ranking Member, Senate Appropriations Subcommittee on Homeland Security

Inquiries relating to this report may be directed to me at cfrontoffice@hq.dhs.gov

Sincerely,

STACY A
MARCOTT

Digitally signed by STACY
A MARCOTT
Date: 2021.03.01
12:44:20 -05'00'

Stacy Marcott
Acting Chief Financial Officer





DHS Official Reception and Representation Expenses First Quarter, FY 2021

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I. Legislative Language

This report has been prepared pursuant to the Joint Explanatory Statement accompanying the Fiscal Year (FY) 2021 Department of Homeland Security (DHS) Appropriations Act (P.L. 116-260).

The Joint Explanatory Statement includes the following:

The Department shall continue to submit quarterly obligation reports to the Committees for all reception and representation expenses, as required in prior years, and shall refrain from using reception and representation funds to purchase collectables or memorabilia.

This report is cumulative through the first quarter of FY 2021, ending December 31, 2020.

II. Background

A. Terminology

Official Reception: An occasion for which the primary purpose is to explain or interpret the programs of DHS. Events may be held in the United States or in foreign countries and may be attended by domestic or foreign officials or others being entertained for official purposes.

Representation: Entertainment primarily of foreign dignitaries in a foreign country in connection with the interests of DHS. Representation also may include official entertainment of domestic or foreign officials within or outside the borders of the United States, where the principal purpose of the event is related to a responsibility or a concern of DHS.

B. Official Reception and Representation Funds

DHS uses appropriated funds for official reception and representation (ORR) purposes, as defined in Section IIA of this report. ORR funds may be expended only to extend official courtesies to guests of the United States and DHS for maintaining the standing and prestige of the United States and DHS. ORR funds may be used to fund official activities that further the interests of DHS or to extend official courtesies for other distinguished and prominent citizens who have made a substantial contribution to the United States or DHS. ORR funds are used for expenses incurred in connection with official agency events, typically characterized by a mixed ceremonial, social, and/or business purpose, and hosted in a formal sense by high-level agency officials. ORR funds are not used to pay for the cost of meals or refreshments for U.S. Government employees in connection with routine interagency or intra-agency working meetings.

DHS extends official courtesies to federal, state, and local dignitaries and officials such as the President and the Vice President of the United States, members of the Cabinet, members of Congress and their professional staff, governors, mayors, citizen committees, and other distinguished or prominent citizens who have made a substantial contribution to the Nation or to DHS. The courtesies extended include the cost of luncheons, dinners, receptions, mementos, and participation expenses at DHS-sponsored events.

III. Component Data Summary Chart (in Dollars)

Department of Homeland Security Official Reception and Representation Distribution FY 2021 As of End of First Quarter								
Component Name*	FY 2021 Funding Level	1st Quarter Obligations	2nd Quarter Obligations	3rd Quarter Obligations	4th Quarter Obligations	Total Obligations	Total Expenditures	FY 2021 Unused Authority
Office of the Secretary & Executive Management	\$30,000	\$70	\$0	\$0	\$0	\$70	\$70	\$29,930
Office of the Under Secretary for Management	\$2,000	\$0	\$0	\$0	\$0	\$0	\$0	\$2,000
Analysis and Operations	\$3,825	\$0	\$0	\$0	\$0	\$0	\$0	\$3,825
Customs and Border Protection	\$34,425	\$0	\$0	\$0	\$0	\$0	\$0	\$34,425
Immigration and Customs Enforcement	\$11,475	\$0	\$0	\$0	\$0	\$0	\$0	\$11,475
Transportation Security Administration (TSA)**	\$7,650	\$0	\$0	\$0	\$0	\$0	\$0	\$7,650
United States Coast Guard	\$23,000	\$998	\$0	\$0	\$0	\$998	\$998	\$22,002
United States Secret Service	\$19,125	\$2,265	\$0	\$0	\$0	\$2,265	\$2,265	\$16,860
Cybersecurity and Infrastructure Security Agency	\$3,825	\$0	\$0	\$0	\$0	\$0	\$0	\$3,825
Federal Emergency Management Agency	\$2,250	\$570	\$0	\$0	\$0	\$570	\$570	\$1,680
Federal Law Enforcement Training Center	\$7,180	\$0	\$0	\$0	\$0	\$0	\$0	\$7,180
Science and Technology	\$10,000	\$144	\$0	\$0	\$0	\$144	\$144	\$9,856
Countering Weapons of Mass Destruction Office	\$2,250	\$0	\$0	\$0	\$0	\$0	\$0	\$2,250
Total Department of Homeland Security	\$157,005	\$4,048	\$0	\$0	\$0	\$4,048	\$4,048	\$152,957
*U.S. Citizenship and Immigration Services (USCIS) receives ORR funds from USCIS activities that generate revenue; it does not have designated ORR funds to expend this year and has been excluded from the list of DHS Components.								
**TSA receives 2-year funds that can carry over into the next fiscal year.								
Differences of \$1 or less are due to rounding.								

IV. Detailed Component Data (in Dollars)

Expense Name	Expense Date	Expense Purpose	DHS Attendees	Non-DHS Attendees	Quantity	Description of Purchase	Obligated Amount	Quarter Expenditures	Amount Remaining for Obligation	Status of Remaining Balance
OSEM									\$30,000	
Quarter 1										
Individual bakery boxes for distinguished visitor refreshments	11/5/2020	Supplies			50	Individual bakery boxes for distinguished visitor visit refreshments	\$15	\$15	\$0	N/A
Shredded paper inserts for the bakery boxes	11/9/2020	Supplies			1	Shredded paper inserts for the bakery boxes	\$15	\$15	\$0	N/A
Foreign visit refreshments	11/10/2020	Meals and refreshments	4	4	2	Misc. pastries for the Minister of Ecuador visit (lbs.)	\$40	\$40	\$0	N/A
Quarter 1 Total							\$70	\$70	\$29,930	
OSEM Total							\$70	\$70	\$29,930	
USM										
Quarter 1										
Quarter 1 Total							\$0	\$0	\$2,000	
USM Total							\$0	\$0	\$2,000	
A&O										
Quarter 1										
Quarter 1 Total							\$0	\$0	\$3,825	
A&O Total							\$0	\$0	\$3,825	
CBP										
Quarter 1										
Quarter 1 Total							\$0	\$0	\$34,425	
CBP Total							\$0	\$0	\$34,425	
ICE										
Quarter 1										
Quarter 1 Total							\$0	\$0	\$11,475	
ICE Total							\$0	\$0	\$11,475	
TSA										
Quarter 1										
Quarter 1 Total							\$0	\$0	\$7,650	
TSA Total							\$0	\$0	\$7,650	

Expense Name	Expense Date	Expense Purpose	DHS Attendees	Non-DHS Attendees	Quantity	Description of Purchase	Obligated Amount	Quarter Expenditures	Amount Remaining for Obligation	Status of Remaining Balance
USCG									\$23,000	
Quarter 1										
Lunch services for Tim Shea, DEA Acting Administrator	10/27/2020	Meals and refreshments	2	2		Commandant for Coast Guard hosted lunch for current DEA Administrator	\$50	\$50	\$0	N/A
Lunch service for Bob Montgomery & Navy admiral	11/5/2020	Meals and refreshments	1	2		Commandant for Coast Guard hosted lunch for Bob Montgomery & a guest for his support of the CG Foundation	\$38	\$38	\$0	N/A
Official gifts - CG history books for upcoming non-DHS events	11/20/2020	Mementos			10	Coast Guard history books for upcoming non-DHS engagements	\$350	\$350	\$0	N/A
Woodford Reserve bottles for upcoming non-DHS engagements	11/20/2020	Mementos			10	Woodford Reserve: Restocking of mementos for upcoming non-DHS engagements	\$200	\$200	\$0	N/A
Woodford Reserve bottle engraving for upcoming non-DHS engagements	12/7/2020	Mementos			10	Woodford Reserve bottle engraving: Restocking of mementos for upcoming non-DHS engagements	\$213	\$213	\$0	N/A
Breakfast & lunch services for 5 foreign dignitaries	12/16/2020	Meals and refreshments	0	5		Commandant for Coast Guard hosted 5 dignitaries during contraband offload; breakfast & lunch services were provided	\$80	\$80	\$0	N/A
Official gift - CG keepsake box for upcoming engagement in Colombia	12/22/2020	Mementos			1	Coast Guard wooden box that CCG will present to a senior member of Colombian Navy leadership	\$69	\$69	\$0	N/A
Quarter 1 Total							\$998	\$998	\$22,002	
USCG Total							\$998	\$998	\$22,002	
Expense Name	Expense Date	Expense Purpose	DHS Attendees	Non-DHS Attendees	Quantity	Description of Purchase	Obligated Amount	Quarter Expenditures	Amount Remaining for Obligation	Status of Remaining Balance
USSS									\$19,125	
Quarter 1										
Small tokens of appreciation	11/5/2020	Mementos			250	Facemasks with USSS Star	\$1,947	\$1,947	\$0	N/A
Small tokens of appreciation	12/18/2020	Mementos			4	Trays engraved with USSS Star	\$318	\$318	\$0	N/A
Quarter 1 Total							\$2,265	\$2,265	\$16,860	
USSS Total							\$2,265	\$2,265	\$16,860	
Expense Name	Expense Date	Expense Purpose	DHS Attendees	Non-DHS Attendees	Quantity	Description of Purchase	Obligated Amount	Quarter Expenditures	Amount Remaining for Obligation	Status of Remaining Balance
CISA									\$3,825	
Quarter 1										
Quarter 1 Total							\$0	\$0	\$3,825	
CISA Total							\$0	\$0	\$3,825	
Expense Name	Expense Date	Expense Purpose	DHS Attendees	Non-DHS Attendees	Quantity	Description of Purchase	Obligated Amount	Quarter Expenditures	Amount Remaining for Obligation	Status of Remaining Balance
FEMA									\$2,250	
Quarter 1										
Coins for dignitaries	10/15/2020	Mementos			50	Coins	\$570	\$570	\$0	N/A
FEMA Total							\$570	\$570	\$1,680	
Expense Name	Expense Date	Expense Purpose	DHS Attendees	Non-DHS Attendees	Quantity	Description of Purchase	Obligated Amount	Quarter Expenditures	Amount Remaining for Obligation	Status of Remaining Balance
FLETC									\$7,180	
Quarter 1										
Quarter 1 Total							\$0	\$0	\$7,180	
FLETC Total							\$0	\$0	\$7,180	
Expense Name	Expense Date	Expense Purpose	DHS Attendees	Non-DHS Attendees	Quantity	Description of Purchase	Obligated Amount	Quarter Expenditures	Amount Remaining for Obligation	Status of Remaining Balance
S&T									\$10,000	
Quarter 1										
AUS Davis farewell	12/17/2020	Mementos			1	Farewell gift for Dr. Richard Davis, Australia's Defence Science and Technology group	\$144	\$144	\$0	N/A
Quarter 1 Total							\$144	\$144	\$9,856	
S&T Total							\$144	\$144	\$9,856	
Expense Name	Expense Date	Expense Purpose	DHS Attendees	Non-DHS Attendees	Quantity	Description of Purchase	Obligated Amount	Quarter Expenditures	Amount Remaining for Obligation	Status of Remaining Balance
CWMD									\$2,250	
Quarter 1										
Quarter 1 Total							\$0	\$0	\$2,250	
CWMD Total							\$0	\$0	\$2,250	