



DHS Official Reception and Representation Expenses

Third Quarter, Fiscal Year 2021

August 30, 2021

Fiscal Year 2021 Report to Congress



**Homeland
Security**

Office of the Chief Financial Officer

Message from the Office of the Chief Financial Officer

August 30, 2021

I am pleased to provide the following report, “DHS Official Reception and Representation Expenses,” for the third quarter of Fiscal Year (FY) 2021.

This report has been prepared pursuant to the Joint Explanatory Statement accompanying the FY 2021 Department of Homeland Security Appropriations Act (P.L. 116-260).

Pursuant to congressional requirements, this report is being provided to the following Members of Congress:

The Honorable Lucille Roybal-Allard
Chairwoman, House Appropriations Subcommittee on Homeland Security

The Honorable Chuck Fleischmann
Ranking Member, House Appropriations Subcommittee on Homeland Security

The Honorable Chris Murphy
Chair, Senate Appropriations Subcommittee on Homeland Security

The Honorable Shelley Moore Capito
Ranking Member, Senate Appropriations Subcommittee on Homeland Security

Inquiries relating to this report may be directed to me at cfrontoffice@hq.dhs.gov



Sincerely,

**STACY A
MARCOTT**

Stacy Marcott
Acting Chief Financial Officer

Digitally signed by
STACY A MARCOTT
Date: 2021.08.30
13:45:01 -04'00'



DHS Official Reception and Representation Expenses Third Quarter, FY 2021

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I. Legislative Language

This report has been prepared pursuant to the Joint Explanatory Statement accompanying the Fiscal Year (FY) 2021 Department of Homeland Security (DHS) Appropriations Act (P.L. 116-260).

The Joint Explanatory Statement includes the following:

The Department shall continue to submit quarterly obligation reports to the Committees for all reception and representation expenses, as required in prior years, and shall refrain from using reception and representation funds to purchase collectables or memorabilia.

This report is cumulative through the third quarter of FY 2021, ending June 30, 2021.

II. Background

A. Terminology

Official Reception: An occasion for which the primary purpose is to explain or interpret the programs of DHS. Events may be held in the United States or in foreign countries and may be attended by domestic or foreign officials or others being entertained for official purposes.

Representation: Entertainment primarily of foreign dignitaries in a foreign country in connection with the interests of DHS. Representation also may include official entertainment of domestic or foreign officials within or outside the borders of the United States, where the principal purpose of the event is related to a responsibility or a concern of DHS.

B. Official Reception and Representation Funds

DHS uses appropriated funds for official reception and representation (ORR) purposes, as defined in Section IIA of this report. ORR funds may be expended only to extend official courtesies to guests of the United States and DHS for maintaining the standing and prestige of the United States and DHS. ORR funds may be used to fund official activities that further the interests of DHS or to extend official courtesies for other distinguished and prominent citizens who have made a substantial contribution to the United States or DHS. ORR funds are used for expenses incurred in connection with official agency events, typically characterized by a mixed ceremonial, social, and/or business purpose, and hosted in a formal sense by high-level agency officials. ORR funds are not used to pay for the cost of meals or refreshments for U.S. Government employees in connection with routine interagency or intra-agency working meetings.

DHS extends official courtesies to federal, state, and local dignitaries and officials such as the President and the Vice President of the United States, members of the Cabinet, members of Congress and their professional staff, governors, mayors, citizen committees, and other distinguished or prominent citizens who have made a substantial contribution to the Nation or to DHS. The courtesies extended include the cost of luncheons, dinners, receptions, mementos, and participation expenses at DHS-sponsored events.

III. Component Data Summary Chart (in Dollars)

Department of Homeland Security Official Reception and Representation Distribution FY 2021 As of End of Third Quarter								
Component Name*	FY 2021 Funding Level	1st Quarter Obligations	2nd Quarter Obligations	3rd Quarter Obligations	4th Quarter Obligations	Total Obligations	Total Expenditures	FY 2021 Unused Authority
Office of the Secretary & Executive Management	\$30,000	\$70	\$1,133	\$5,162	\$0	\$6,364	\$6,364	\$23,636
Office of the Under Secretary for Management	\$2,000	\$0	\$0	\$0	\$0	\$0	\$0	\$2,000
Analysis and Operations	\$3,825	\$0	\$0	\$0	\$0	\$0	\$0	\$3,825
U.S. Customs and Border Protection	\$34,425	\$0	\$0	\$0	\$0	\$0	\$0	\$34,425
U.S. Immigration and Customs Enforcement	\$11,475	\$0	\$0	\$0	\$0	\$0	\$0	\$11,475
Transportation Security Administration (TSA)**	\$7,650	\$0	\$0	\$0	\$0	\$0	\$0	\$7,650
U.S. Coast Guard	\$23,000	\$998	\$1,871	\$3,378	\$0	\$6,247	\$6,247	\$16,753
U.S. Secret Service	\$19,125	\$2,265	\$119	\$1,758	\$0	\$4,142	\$4,142	\$14,983
Cybersecurity and Infrastructure Security Agency	\$3,825	\$0	\$0	\$0	\$0	\$0	\$0	\$3,825
Federal Emergency Management Agency	\$2,250	\$570	\$0	\$0	\$0	\$570	\$570	\$1,680
Federal Law Enforcement Training Center	\$7,180	\$0	\$0	\$0	\$0	\$0	\$0	\$7,180
Science and Technology	\$10,000	\$144	\$0	\$733	\$0	\$877	\$877	\$9,124
Countering Weapons of Mass Destruction Office	\$2,250	\$0	\$0	\$0	\$0	\$0	\$0	\$2,250
Total Department of Homeland Security	\$157,005	\$4,048	\$3,123	\$11,030	\$0	\$18,200	\$18,200	\$138,805
*U.S. Citizenship and Immigration Services (USCIS) receives ORR funds from USCIS activities that generate revenue; it does not have designated ORR funds to expend this year and has been excluded from the list of DHS Components.								
**TSA receives 2-year funds that can carry over into the next fiscal year.								

IV. Detailed Component Data (in Dollars)

Expense Name	Expense Date	Expense Purpose	DHS Attendees	Non-DHS Attendees	Quantity	Description of Purchase	Obligated Amount	Quarter Expenditures	Amount Remaining for Obligation	Status of Remaining Balance
OSEM									\$30,000	
Quarter 1										
Individual bakery boxes for distinguished visitor refreshments	11/5/2020	Supplies			50	Individual bakery boxes for distinguished visitor visit refreshments	\$15	\$15	\$0	N/A
Shredded paper inserts for the bakery boxes	11/9/2020	Supplies			1	Shredded paper inserts for the bakery boxes	\$15	\$15	\$0	N/A
Foreign visit refreshments	11/10/2020	Meals and Refreshments	4	4	2	Miscellaneous pastries for the Minister of Ecuador visit (lbs.)	\$40	\$40	\$0	N/A
Quarter 1 Total							\$70	\$70	\$29,930	
Quarter 2										
Protocol gift restock	1/12/2021	Mementos			28	15 DHS-engraved mini constitutions, 10 DHS-engraved leather jotters, 3 DHS-engraved compasses	\$1,133	\$1,133	\$0	N/A
Quarter 2 Total							\$1,133	\$1,133	\$28,798	
Quarter 3										
Photo book	4/19/2021	Mementos			1	Photo book for official gift	\$32	\$32	\$0	N/A
Picture frame	4/19/2021	Mementos			1	Picture frame for official gift	\$21	\$21	\$0	N/A
Bottled water	5/4/2021	Supplies			5	Replenish reception water	\$22	\$22	\$0	N/A
Flower and vase	5/6/2021	Mementos			1	Flower and vase for in-office receptions	\$57	\$57	\$0	N/A
Coins	5/6/2021	Mementos			400	DHS Secretary coins	\$3,976	\$3,976	\$0	N/A
Wine	6/11/2021	Mementos			1	Wine for foreign travel to Mexico; meeting with Mexican VIPs	\$73	\$73	\$0	N/A
Bottle vase	6/11/2021	Mementos			1	Local artisan blown glass vase; gift to Canadian foreign minister	\$235	\$235	\$0	N/A
Wine	6/18/2021	Mementos			2	Wine for foreign travel to Mexico; meeting with Mexican VIPs	\$146	\$146	\$0	N/A
Friendship pins	6/21/2021	Mementos			75	Official pins for foreign visits	\$599	\$599	\$0	N/A
Quarter 3 Total							\$5,162	\$5,162	\$23,636	
OSEM Total							\$6,364	\$6,364	\$23,636	
Expense Name	Expense Date	Expense Purpose	DHS Attendees	Non-DHS Attendees	Quantity	Description of Purchase	Obligated Amount	Quarter Expenditures	Amount Remaining for Obligation	Status of Remaining Balance
USM									\$2,000	
Quarter 1										
Quarter 1 Total							\$0	\$0	\$2,000	
Quarter 2										
Quarter 2 Total							\$0	\$0	\$2,000	
Quarter 3										
Quarter 3 Total							\$0	\$0	\$2,000	
USM Total							\$0	\$0	\$2,000	

Expense Name	Expense Date	Expense Purpose	DHS Attendees	Non-DHS Attendees	Quantity	Description of Purchase	Obligated Amount	Quarter Expenditures	Amount Remaining for Obligation	Status of Remaining Balance
A&O									\$3,825	
Quarter 1										
Quarter 1 Total							\$0	\$0	\$3,825	
Quarter 2										
Quarter 2 Total							\$0	\$0	\$3,825	
Quarter 3										
Quarter 3 Total							\$0	\$0	\$3,825	
A&O Total							\$0	\$0	\$3,825	
Expense Name	Expense Date	Expense Purpose	DHS Attendees	Non-DHS Attendees	Quantity	Description of Purchase	Obligated Amount	Quarter Expenditures	Amount Remaining for Obligation	Status of Remaining Balance
CBP									\$34,425	
Quarter 1										
Quarter 1 Total							\$0	\$0	\$34,425	
Quarter 2										
Quarter 2 Total							\$0	\$0	\$34,425	
Quarter 3										
Quarter 3 Total							\$0	\$0	\$34,425	
CBP Total							\$0	\$0	\$34,425	
Expense Name	Expense Date	Expense Purpose	DHS Attendees	Non-DHS Attendees	Quantity	Description of Purchase	Obligated Amount	Quarter Expenditures	Amount Remaining for Obligation	Status of Remaining Balance
ICE									\$11,475	
Quarter 1										
Quarter 1 Total							\$0	\$0	\$11,475	
Quarter 2										
Quarter 2 Total							\$0	\$0	\$11,475	
Quarter 3										
Quarter 3 Total							\$0	\$0	\$11,475	
ICE Total							\$0	\$0	\$11,475	
Expense Name	Expense Date	Expense Purpose	DHS Attendees	Non-DHS Attendees	Quantity	Description of Purchase	Obligated Amount	Quarter Expenditures	Amount Remaining for Obligation	Status of Remaining Balance
TSA									\$7,650	
Quarter 1										
Quarter 1 Total							\$0	\$0	\$7,650	
Quarter 2										
Quarter 2 Total							\$0	\$0	\$7,650	
Quarter 3										
Quarter 3 Total							\$0	\$0	\$7,650	
TSA Total							\$0	\$0	\$7,650	

Expense Name	Expense Date	Expense Purpose	DHS Attendees	Non-DHS Attendees	Quantity	Description of Purchase	Obligated Amount	Quarter Expenditures	Amount Remaining for Obligation	Status of Remaining Balance
USCG									\$23,000	
Quarter 1										
Lunch services for Tim Shea, U.S. Drug Enforcement Administration (DEA) Acting Administrator	10/27/2020	Meals and Refreshments	2	2		Commandant for Coast Guard (CCG) hosted lunch for current DEA administration	\$50	\$50	\$0	N/A
Lunch service for Bob Montgomery and Navy Admiral	11/5/2020	Meals and Refreshments	1	2		CCG hosted lunch for Bob Montgomery and a guest for his support of the Coast Guard Foundation	\$38	\$38	\$0	N/A
Official gifts - Coast Guard history books for upcoming non-DHS events	11/20/2020	Mementos			10	Coast Guard history books for upcoming non-DHS engagements	\$350	\$350	\$0	N/A
Woodford Reserve bottles for upcoming non-DHS engagements	11/20/2020	Mementos			10	Woodford Reserve: restocking of mementos for upcoming non-DHS engagements	\$200	\$200	\$0	N/A
Woodford Reserve bottle engraving for upcoming non-DHS engagements	12/7/2020	Mementos			10	Woodford Reserve bottle engraving: restocking of mementos for upcoming non-DHS engagements	\$213	\$213	\$0	N/A
Breakfast and lunch services for five foreign dignitaries	12/16/2020	Meals and Refreshments	0	5		CCG hosted five dignitaries during contraband offload; breakfast and lunch services were provided	\$80	\$80	\$0	N/A
Official gift - Coast Guard keepsake box for upcoming engagement in Colombia	12/22/2020	Mementos			1	Coast Guard wooden box that CCG will present to a senior member of Colombian Navy Leadership	\$69	\$69	\$0	N/A
Quarter 1 Total							\$998	\$998	\$22,002	
Quarter 2										
Official gift - Oceana chest clock for upcoming non-DHS engagement	1/7/2021	Mementos			1	Wooden Oceana chest clock for upcoming non-DHS engagement	\$175	\$175	\$0	N/A
Official gift - engraving for Admiral Perez during CCG's trip to Colombia	1/7/2021	Mementos			1	Admiral Gabriel Perez, Chief of Naval Operations Colombian Navy	\$32	\$32	\$0	N/A
Official gifts - Coast Guard pens for upcoming non-DHS engagements	1/8/2021	Mementos			10	Wooden Coast Guard-engraved pen and box mementos for upcoming non-DHS engagements	\$1,150	\$1,150	\$0	N/A
CCG presented the Colombian Navy with a Meritorious Unit Award	1/16/2021	Mementos			1	CCG presented a framed award to the Campaign Orion Units of the Colombian Navy	\$119	\$119	\$0	N/A
Lunch service for Paul Gallaudet, National Oceanic and Atmospheric Administration (NOAA) Administrator	2/16/2021	Meals and Refreshments	2	2		CCG hosted lunch for Admiral Gallaudet for time as Secretary of Commerce for NOAA	\$50	\$50	\$0	N/A
Dinner service for Senator Roger Wicker	3/23/2021	Meals and Refreshments	4	2		CCG hosted Senator Wicker and congressional representatives for dinner	\$345	\$345	\$0	Receipt received.
Quarter 2 Total							\$1,871	\$1,871	\$20,130	
Quarter 3										
Refreshment services	4/1/2021	Meals and Refreshments	12	5		Refreshments services for five Colombian officials at bilateral meeting with the Colombian Navy	\$67	\$67	\$0	N/A
Glass clock	4/6/2021	Mementos			1	Glass clock for upcoming international trips, non-DHS engagement	\$124	\$124	\$0	N/A
Dinner services for Florida State Representative Carlos Gimenez	4/20/2021	Meals and Refreshments	4	2		The Commandant hosted Rep. Gimenez and his wife for dinner	\$394	\$394	\$0	N/A
Lunch service for Alaska State Representative Don Young	5/11/2021	Meals and Refreshments	4	2		The Vice Commandant hosted Rep. Young for lunch (Alaska)	\$75	\$75	\$0	N/A
Dinner service for Robert McKeon lectureship panel	5/11/2021	Meals and Refreshments	4	18		CCG hosted the four service chiefs, Council on Foreign Relations Fellow/spouses for dinner	\$1,100	\$1,100	\$0	N/A
Official gifts for Coast Guard Cutter Hamilton's trips to Malta, Georgia, Italy, and Ukraine	5/26/2021	Mementos			118	Coast Guard Cutter Hamilton ballcaps, coins, and stickers were purchased for four international trips	\$994	\$994	\$0	N/A
Dinner for Ambassador Fezas Vital, Ambassador to Portugal	6/17/2021	Meals and Refreshments	5	3		The Commandant hosted the Portugal Ambassador for dinner	\$624	\$624	\$0	N/A
Quarter 3 Total							\$3,378	\$3,378	\$16,753	
USCG Total							\$6,247	\$6,247	\$16,753	

Expense Name	Expense Date	Expense Purpose	DHS Attendees	Non-DHS Attendees	Quantity	Description of Purchase	Obligated Amount	Quarter Expenditures	Amount Remaining for Obligation	Status of Remaining Balance
USSS									\$19,125	
Quarter 1										
Small tokens of appreciation	11/5/2020	Mementos			250	Facemasks with USSS Star	\$1,947	\$1,947	\$0	N/A
Small tokens of appreciation	12/18/2020	Mementos			4	Trays engraved with USSS Star	\$318	\$318	\$0	N/A
Quarter 1 Total							\$2,265	\$2,265	\$16,860	
Quarter 2										
Small tokens of appreciation	3/16/2021	Mementos			1	Tray engraved with USSS Star	\$119	\$119	\$0	N/A
Quarter 2 Total							\$119	\$119	\$16,740	
Quarter 3										
Small tokens of appreciation	5/12/2021	Mementos			87	Coffee mugs/toy presidential limousine/toy presidential Air Force One/journals/pullovers with USSS logo	\$1,758	\$1,758	\$0	N/A
Quarter 3 Total							\$1,758	\$1,758	\$14,983	
USSS Total							\$4,142	\$4,142	\$14,983	
Expense Name	Expense Date	Expense Purpose	DHS Attendees	Non-DHS Attendees	Quantity	Description of Purchase	Obligated Amount	Quarter Expenditures	Amount Remaining for Obligation	Status of Remaining Balance
CISA									\$3,825	
Quarter 1										
Quarter 1 Total							\$0	\$0	\$3,825	
Quarter 2										
Quarter 2 Total							\$0	\$0	\$3,825	
Quarter 3										
Quarter 3 Total							\$0	\$0	\$3,825	
CISA Total							\$0	\$0	\$3,825	
Expense Name	Expense Date	Expense Purpose	DHS Attendees	Non-DHS Attendees	Quantity	Description of Purchase	Obligated Amount	Quarter Expenditures	Amount Remaining for Obligation	Status of Remaining Balance
FEMA									\$2,250	
Quarter 1										
Coins	10/15/2020	Mementos			50	Coins for dignitaries	\$570	\$570	\$0	N/A
Quarter 1 Total							\$570	\$570	\$1,680	
Quarter 2										
Quarter 2 Total							\$0	\$0	\$1,680	
Quarter 3										
Quarter 3 Total							\$0	\$0	\$1,680	
FEMA Total							\$570	\$570	\$1,680	
Expense Name	Expense Date	Expense Purpose	DHS Attendees	Non-DHS Attendees	Quantity	Description of Purchase	Obligated Amount	Quarter Expenditures	Amount Remaining for Obligation	Status of Remaining Balance
FLETC									\$7,180	
Quarter 1										
Quarter 1 Total							\$0	\$0	\$7,180	
Quarter 2										
Quarter 2 Total							\$0	\$0	\$7,180	
Quarter 3										
Quarter 3 Total							\$0	\$0	\$7,180	
FLETC Total							\$0	\$0	\$7,180	

Expense Name	Expense Date	Expense Purpose	DHS Attendees	Non-DHS Attendees	Quantity	Description of Purchase	Obligated Amount	Quarter Expenditures	Amount Remaining for Obligation	Status of Remaining Balance
S&T									\$10,000	
Quarter 1										
Dr. Richard Davis Farewell	12/17/2020	Mementos			1	Farewell gift for Dr. Richard Davis, Australia's Defence Science and Technology Group	\$144	\$144	\$0	N/A
Quarter 1 Total							\$144	\$144	\$9,856	
Quarter 2										
Quarter 2 Total							\$0	\$0	\$9,856	
Quarter 3										
Coins	5/26/2021	Mementos			150	Coins for five Research and Development, Coordination & Planning Team, executive leadership, and members from each country	\$733	\$733	\$0	N/A
Quarter 3 Total							\$733	\$733	\$9,124	
S&T Total							\$877	\$877	\$9,124	
Expense Name	Expense Date	Expense Purpose	DHS Attendees	Non-DHS Attendees	Quantity	Description of Purchase	Obligated Amount	Quarter Expenditures	Amount Remaining for Obligation	Status of Remaining Balance
CWMD									\$2,250	
Quarter 1										
Quarter 1 Total							\$0	\$0	\$2,250	
Quarter 2										
Quarter 2 Total							\$0	\$0	\$2,250	
Quarter 3										
Quarter 3 Total							\$0	\$0	\$2,250	
CWMD Total							\$0	\$0	\$2,250	

Note: Differences of \$1 or less are due to rounding.

Differences of \$1 or less are due to rounding.