



# Working Capital Fund Quarterly Execution Report

Fourth Quarter, Fiscal Year 2019

*November 4, 2019*

Fiscal Year 2019 Report to Congress



**Homeland  
Security**

*Office of the Chief Financial Officer*

# Message from the Office of the Chief Financial Officer

November 4, 2019

I am pleased to provide the following report, "Working Capital Fund Quarterly Execution Report," for the fourth quarter of Fiscal Year (FY) 2019.

This report is submitted pursuant to language in the FY 2019 Department of Homeland Security Appropriations Act (P.L. 116-6) and its accompanying Joint Explanatory Statement.

Pursuant to congressional requirements, this report is being provided to the following Members of Congress:

The Honorable Lucille Roybal-Allard  
Chairwoman, House Appropriations Subcommittee on  
Homeland Security

The Honorable Chuck Fleischmann  
Ranking Member, House Appropriations Subcommittee on Homeland Security

The Honorable Shelley Moore Capito  
Chairman, Senate Appropriations Subcommittee on Homeland Security

The Honorable Jon Tester  
Ranking Member, Senate Appropriations Subcommittee on Homeland Security

Inquiries relating to this report may be directed to me at (202) 447-5751.

Sincerely,



Stacy Marcott  
Acting Chief Financial Officer





# Working Capital Fund Quarterly Execution Report Fourth Quarter, Fiscal Year 2019

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# I. Legislative Language

This document has been prepared in response to the Fiscal Year (FY) 2019 Department of Homeland Security Appropriations Act (P.L. 116-6) and its accompanying Joint Explanatory Statement.

P.L. 116-6 states:

SEC. 504. Section 504 of the Department of Homeland Security Appropriations Act, 2017 (division F of Public Law 115–31), related to the operations of a working capital fund, shall apply with respect to funds made available in this Act in the same manner as such section applied to funds made available in that Act.

The Joint Explanatory Statement states:

Section 504. In addition, the Department shall submit quarterly WCF execution reports to the Committees that include activity level detail.

Additionally, P.L. 115-31 (referenced in P.L. 116-6) states:

The Department of Homeland Security Working Capital Fund, established pursuant to section 403 of Public Law 103–356 (31 U.S.C. 501 note), shall continue operations as a permanent working capital fund for fiscal year 2017...*Provided further*, That the Chief Financial Officer of the Department of Homeland Security shall submit a quarterly execution report with activity-level detail, not later than 30 days after the end of each quarter.

## II. Fourth Quarter Execution Report

Working Capital Fund Status of Funds - FY 2019 Year-End Status										
CXOs	Description	FY 2019 Annual Plan <sup>1</sup>	Planned Execution <sup>2</sup>	Allotment	Commitments	Obligations <sup>3</sup>	Expenditures, Accruals, Upward Adjustments <sup>4</sup>	Execution Rate	Available Balance	Comments
CRSO	U.S. General Services Administration (GSA) Rent	165,772,792.00	165,772,792.00	165,772,792.00	-	6,370,665.13	151,930,944.00	95%	7,471,182.87	Potential of dual rent was included in the budget for Components relocating from the Nebraska Avenue Complex (NAC) to St. Elizabeths. GSA didn't bill for vacated NAC space, and is satisfied with the level of completed decommissioning activities. Carryover funds will be requested to use to offset next billing in FY 2020.
ICE	Finance & Accounting Shared Services	25,513,054.00	25,513,054.00	25,513,054.00	-	-	25,513,054.00	100%	-	Not applicable (N/A)
CFO	Integrated Audit	14,185,091.00	14,185,091.00	14,185,091.00	-	7,342,418.00	5,115,558.33	88%	1,727,114.67	Under-execution is due to final bill being 12 percent less than what was estimated. Carryover funds will be requested to use to offset next billing in FY 2020.
CFO	Bankcard Program	49,367.00	49,367.00	49,367.00	-	-	49,367.00	100%	-	N/A
CFO	Treasury Information Executive Repository	1,424,940.00	1,424,940.00	1,424,940.00	-	394,302.00	959,232.00	95%	71,406.00	Shared cost between Chief Financial Officer's offices on Oracle licensing fees reduced overall program cost and lapsed balance.
CHCO	Human Capital Business System	4,405,785.00	4,405,785.00	4,405,785.00	-	1,130,272.00	1,226,533.00	53%	2,048,980.00	In FY 2019, the Office of the Chief Information Officer (OCIO) was validating cautionary models and did not charge the Office of the Chief Human Capital Officer (OCHCO) proportionally with the FY 2018 actuals; this created a carryover in FY 2019. The Balanced Workforce Assessment Tool also was canceled in FY 2019. In addition, some of the OCIO cost came in slightly under the planned costs. OCHCO reported a carryover of funds for FY 2020 to offset the charges that are expected to come from OCIO.
CHCO	Flexible Spending Plan	1,000,000.00	1,000,000.00	1,000,000.00	-	-	780,529.85	78%	219,470.15	Actuals came in lower than planned. Carryover funds will be used to offset next billing in FY 2020.
CHCO	Headquarters Leadership Development	196,841.00	196,841.00	196,841.00	-	9,080.90	99,889.91	55%	87,870.19	FY 2019 interagency agreement was only \$108,970.91. Carryover funds will be requested to use to offset next billing in FY 2020.
CIO	Research Library & Information Services	9,644,502.00	9,644,502.00	9,644,502.00	-	2,737,422.00	6,871,822.00	100%	35,258.00	Actuals came in lower than planned. Carryover funds will be used to offset next billing in FY 2020.
CIO	National Capital Region Infrastructure Operations	104,328,985.00	104,328,985.00	104,328,985.00	-	44,231,763.37	60,054,860.78	100%	42,360.85	Actuals came in lower than planned. Carryover funds will be used to offset next billing in FY 2020.
I&A	Classified Local Area Network Operations	33,322,505.00	33,322,505.00	33,322,505.00	-	25,522,965.00	7,799,540.00	100%	-	N/A
CSO	Homeland Security Presidential Directive-12	20,266,007.00	20,266,007.00	20,266,007.00	-	6,359,535.72	13,896,465.71	100%	10,005.57	The remaining funding of 0.0490% was to allow for program variances during year-end.
CHCO	e-Training	6,940,554.00	6,940,554.00	6,940,554.00	-	1,531,849.00	5,358,970.00	99%	49,735.00	Modification was awarded for less than committed amount. Carryover funds will be requested to use to offset next billing in FY 2020.
CRSO	Mail Services	12,779,593.00	12,779,593.00	12,779,593.00	-	1,254,856.00	11,461,965.00	100%	62,772.00	Contingency residual for the Chemical, Biological, Radiological, Nuclear, and Explosives program; no reported incidents; variance not used in FY 2019.
CRSO	Transit Subsidy	6,255,021.00	6,255,021.00	6,255,021.00	-	3,672,506.47	1,824,214.43	88%	758,300.10	Variances due to costs and estimate made at the beginning of FY 2019. Factors in FY 2019 government shutdown, nonutilization of program, and attrition of personnel. Carryover funds will be requested to use to offset next billing in FY 2020.
	<b>Fee-for-Service Subtotal</b>	<b>406,085,037.00</b>	<b>406,085,037.00</b>	<b>406,085,037.00</b>	<b>-</b>	<b>100,557,635.59</b>	<b>292,942,946.01</b>	<b>97%</b>	<b>12,584,455.40</b>	

CXOs	Description	FY 2019 Annual Plan <sup>1</sup>	Planned Execution <sup>2</sup>	Allotment	Commitments	Obligations <sup>3</sup>	Expenditures, Accruals, Upward Adjustments <sup>4</sup>	Execution Rate	Available Balance	Comments
CFO	Interagency Council Funding	862,485.00	862,485.00	862,485.00	-	-	862,485.00	100%	-	N/A
CHCO	USA Jobs	1,290,158.00	1,290,158.00	1,290,158.00	-	-	1,290,158.00	100%	-	N/A
CHCO	e-Enterprise Human Resources Integration	4,024,251.00	4,024,251.00	4,024,251.00	-	-	4,024,251.00	100%	-	N/A
CIO	e-Rulemaking	348,117.00	348,117.00	348,117.00	-	348,117.00	-	100%	-	N/A
CHCO	Human Resources Line of Business	273,913.00	273,913.00	273,913.00	-	-	273,913.00	100%	-	N/A
CIO	e-Gov Benefits	161,739.00	161,739.00	161,739.00	-	-	161,739.00	100%	-	N/A
CIO	e-Financial Line of Business	187,342.00	187,342.00	187,342.00	-	-	187,342.00	100%	-	N/A
CIO	Geospatial Line of Business	225,000.00	225,000.00	225,000.00	-	-	225,000.00	100%	-	N/A
CIO	Budget Formulation and Execution Line of Business	110,000.00	110,000.00	110,000.00	-	110,000.00	-	100%	-	N/A
CPO	e-Integrated Award Environment	944,275.00	944,275.00	944,275.00	-	-	944,275.00	100%	-	N/A
CFO	e-Integrated Financial Assistance Environment	472,485.00	472,485.00	472,485.00	-	202,102.00	92,421.00	62%	177,962.00	Actual cost lower than assessment amount. Carryover funds will be requested to use to offset next billing in FY 2020.
CFO	Performance Management Line of Business	79,800.00	79,800.00	79,800.00	-	-	79,800.00	100%	-	N/A
	<b>Government-Wide Mandated Service Subtotal</b>	<b>8,979,565.00</b>	<b>8,979,565.00</b>	<b>8,979,565.00</b>	<b>-</b>	<b>660,219.00</b>	<b>8,141,384.00</b>	<b>98%</b>	<b>177,962.00</b>	
CIO	Capital Planning & Investment Control	6,946,416.00	6,946,416.00	6,946,416.00	-	4,458,719.00	2,198,989.00	96%	288,708.00	Contract recompetes resulted in a lower obligation amount than the independent government cost estimate; contract was awarded on September 27, 2019.
CPO	Office of the Chief Procurement Officer Shared Reporting	4,966,443.00	4,966,443.00	4,966,443.00	-	4,009,587.00	956,856.00	100%	-	N/A
	<b>DHS Crosscutting Service Subtotal</b>	<b>11,912,859.00</b>	<b>11,912,859.00</b>	<b>11,912,859.00</b>	<b>-</b>	<b>8,468,306.00</b>	<b>3,155,845.00</b>	<b>98%</b>	<b>288,708.00</b>	
	<b>TOTAL</b>	<b>426,977,461.00</b>	<b>426,977,461.00</b>	<b>426,977,461.00</b>	<b>-</b>	<b>109,686,160.59</b>	<b>304,240,175.01</b>	<b>97%</b>	<b>13,051,125.40</b>	

## Appendix: Abbreviations

<b>Abbreviation</b>	<b>Definition</b>
FY	Fiscal Year
GSA	U.S. General Services Administration
N/A	Not Applicable
NAC	Nebraska Avenue Complex
OCHCO	Office of the Chief Human Capital Officer
OCIO	Office of the Chief Information Officer