



Working Capital Fund Quarterly Execution Report

First Quarter, Fiscal Year 2020

March 4, 2020

Fiscal Year 2020 Report to Congress



**Homeland
Security**

Office of the Chief Financial Officer

Message from the Acting Chief Financial Officer

March 4, 2020

I am pleased to provide the following “Working Capital Fund Quarterly Execution Report” for the first quarter of Fiscal Year (FY) 2020.

This report is submitted pursuant to language in the FY 2020 Department of Homeland Security Appropriations Act (P.L.116-93), its accompanying Joint Explanatory Statement, House Report 116-180, and Senate Report 116-125.

Pursuant to congressional requirements, this report is being provided to the following Members of Congress:



The Honorable Lucille Roybal-Allard
Chairwoman, House Appropriations Subcommittee on Homeland Security

The Honorable Chuck Fleischmann
Ranking Member, House Appropriations Subcommittee on Homeland Security

The Honorable Shelley Moore Capito
Chairman, Senate Appropriations Subcommittee on Homeland Security

The Honorable Jon Tester
Ranking Member, Senate Appropriations Subcommittee on Homeland Security

Inquiries relating to this report may be directed to me at (202) 447-5751.

Sincerely,

A handwritten signature in blue ink, appearing to read "Stacy Marcott".

Stacy Marcott
Acting Chief Financial Officer



Working Capital Fund Quarterly Execution Report First Quarter, Fiscal Year 2020

Table of Contents

| | | |
|-----|-------------------------------------|---|
| I. | Legislative Language..... | 1 |
| II. | First Quarter Execution Report..... | 2 |
| | Appendix: Abbreviations | 3 |

I. Legislative Language

This document has been prepared in response to the Fiscal Year (FY) 2020 Department of Homeland Security (DHS) Appropriations Act (P.L. 116-93), its accompanying Joint Explanatory Statement, House Report 116-180, and Senate Report 116-125.

P.L. 116-93 states:

SEC. 504. Section 504 of the Department of Homeland Security Appropriations Act, 2017 (division F of Public Law 115-31), related to the operations of a working capital fund, shall apply with respect to funds made available in this Act in the same manner as such section applied to funds made available in that Act.

The Joint Explanatory Statement and House Report 116-180 both state:

In addition, the Department shall submit quarterly WCF execution reports to the Committees that include activity level detail.

Senate Report 116-125 states:

The WCF table included in the justification materials accompanying the budget request shall serve as the control level for quarterly execution reports submitted to the Committee not later than 30 days after the end of each quarter. These reports shall identify any activity added or removed from the fund.

Additionally, the FY 2017 DHS Appropriations Act (P.L. 115-31 and referenced in P.L. 116-93) states:

Provided further, That the Chief Financial Officer of the Department of Homeland Security shall submit a quarterly execution report with activity-level detail, not later than 30 days after the end of each quarter.

II. First Quarter Execution Report¹

| CXOs | Description | FY 2020 Annual Plan ² | Planned Execution ³ | Allotment ⁴ | Commitments | Obligations ⁵ | Expenditures, Accruals, Upward Adjustments ⁶ | Delta (Planned Execution - Obligations - Expenditures) | Execution Rate | Available Balance | Comments |
|-------|---|----------------------------------|--------------------------------|------------------------|----------------------|--------------------------|---|--|----------------|----------------------|--|
| CRSO | U.S. General Services Administration (GSA) Rent | 154,634,883.00 | 38,658,721.00 | 38,658,721.00 | 3,484,415.00 | 11,493,997.00 | 23,680,307.00 | 3,484,417.00 | 91% | 2.00 | n/a |
| ICE | Finance & Accounting Shared Services | 25,513,054.00 | 5,646,034.00 | 5,646,034.00 | - | 3,635,610.00 | - | 2,010,424.00 | 64% | 2,010,424.00 | Billed for first month. Previous continuing resolution (CR) only covered a partial month. Obligation of remaining funds for first 6 months is in progress for Quarter 2 (Q2). Funds will be obligated in Q2. |
| CHCO | Human Capital Business System | 5,386,417.00 | 1,000,000.00 | 1,000,000.00 | - | 853,588.00 | - | 146,412.00 | 85% | 146,412.00 | n/a |
| CHCO | Flexible Spending Plan | 1,200,000.00 | 265,560.00 | 265,560.00 | - | - | - | 265,560.00 | 0% | 265,560.00 | OCHCO did not receive the Quarter 1 (Q1) bill until the end of Q1. Funds will be obligated in Q2. |
| CHCO | Headquarters Leadership Development | 196,841.00 | 43,561.00 | 43,561.00 | 15,511.00 | 28,050.00 | - | 15,511.00 | 64% | - | Delay in vendor billing in Q1 and is currently in progress. Funds will be obligated in Q2. |
| OCIO | Research Library & Information Services | 8,098,263.00 | 1,352,627.00 | 1,352,627.00 | 651,007.00 | 267,730.00 | 408,036.00 | 676,861.00 | 50% | 25,854.00 | Under CR, no funding was received to execute contract option in Q1. Funds will be obligated in Q2. |
| OCIO | National Capital Region Infrastructure Operations | 124,429,685.00 | 27,536,289.00 | 27,536,289.00 | 13,605,844.00 | 6,676,528.00 | 1,092,563.00 | 19,767,198.00 | 28% | 6,161,354.00 | Under CR, no funding was received to execute contract option in Q1. Funds will be obligated in Q2. |
| I & A | Classified Local Area Network Operations | 33,322,505.00 | 7,716,094.00 | 7,716,094.00 | - | 4,748,457.00 | - | 2,967,637.00 | 62% | 2,967,637.00 | I&A received distribution of two awards from OPO in Q2. I&A is in progress to award in Q2. Funds will be obligated in Q2. |
| CSO | Homeland Security Presidential Directive-12 | 22,755,207.00 | 3,383,646.00 | 3,383,646.00 | 511,009.00 | 1,212,264.00 | 1,134,511.00 | 1,036,871.00 | 69% | 525,862.00 | Awards are in progress for Q2. Funds will be obligated in Q2. |
| CHCO | e-Training | 5,665,600.00 | 1,253,797.00 | 1,253,797.00 | 1,253,297.00 | - | - | 1,253,797.00 | 0% | 500.00 | Awards are in progress for Q2. Funds will be obligated in Q2. |
| CRSO | Mail Services | 12,598,976.00 | 2,788,153.00 | 2,788,153.00 | 237,624.00 | 463,622.00 | 2,086,906.00 | 237,625.00 | 91% | 1.00 | n/a |
| CSO | Background Investigations | 9,962,755.00 | 2,204,758.00 | 2,204,758.00 | 817,000.00 | 1,195,793.00 | 7,289.00 | 1,001,676.00 | 55% | 184,676.00 | Awards are in progress for Q2. Funds will be obligated in Q2. |
| CRSO | Transit Subsidy | 6,735,633.00 | 316,150.00 | 316,150.00 | - | 316,150.00 | - | - | 100% | - | n/a |
| | Fee-for-Service Subtotal | 410,499,819.00 | 92,165,390.00 | 92,165,390.00 | 20,575,707.00 | 30,891,789.00 | 28,409,612.00 | 32,863,989.00 | 64% | 12,288,282.00 | |
| CFO | Interagency Council Funding | 1,189,288.00 | - | - | - | - | - | - | 0% | - | n/a |
| CHCO | USA Jobs | 1,380,467.00 | 305,497.00 | 305,497.00 | 108,780.00 | 196,717.00 | - | 108,780.00 | 64% | - | OPM's IAA 7600B form for the full amount is in transit. Funds will be obligated in Q2. |
| CHCO | e-Enterprise Human Resources Integration | 4,521,345.00 | 1,000,574.00 | 1,000,574.00 | 1,000,573.00 | - | - | 1,000,574.00 | 0% | 1.00 | OPM's IAA 7600B form for the full amount is in transit. Funds will be obligated in Q2. |
| OCIO | e-Rulemaking | 385,040.00 | - | - | - | - | - | - | 0% | - | n/a |
| CHCO | Human Resources Line of Business | 273,913.00 | - | - | - | - | - | - | 0% | - | n/a |
| OCIO | e-Gov Benefits | 180,091.00 | - | - | - | - | - | - | 0% | - | n/a |
| OCIO | E-Financial Line of Business | 187,342.00 | - | - | - | - | - | - | 0% | - | n/a |
| OCIO | Geospatial Line of Business | 225,000.00 | - | - | - | - | - | - | 0% | - | n/a |
| OCIO | Budget Formulation and Execution Line of Business | 110,000.00 | - | - | - | - | - | - | 0% | - | n/a |
| CPO | e-Integrated Award Environment | 719,644.00 | - | - | - | - | - | - | 0% | - | n/a |
| CFO | e-Integrated Financial Assistance Environment | 472,485.00 | - | - | - | - | - | - | 0% | - | n/a |
| CFO | Performance Management Line of Business | 81,077.00 | - | - | - | - | - | - | 0% | - | n/a |
| | DHS Crosscutting Service Subtotal | 9,725,692.00 | 1,306,071.00 | 1,306,071.00 | 1,109,353.00 | 196,717.00 | - | 1,109,354.00 | 15% | 1.00 | |
| | TOTAL | 420,225,511.00 | 93,471,461.00 | 93,471,461.00 | 21,685,060.00 | 31,088,506.00 | 28,409,612.00 | 33,973,343.00 | 64% | 12,288,283.00 | |

Notes:

¹ Beginning in FY 2021, the Working Capital Fund (WCF) will be dissolved and funds either will remain with the servicing provider via an interagency agreement or via a base transfer from the Components to the service provider.

² FY 2020 Annual Plan.

³ Allotted amount is through the first CR, dated December 20, 2019. No funds were allotted from the FY 2020 enactment.

⁴ Cumulative planned obligations and/or expenditures as of the end of the current quarter according to the program office's spend plan.

⁵ Total cumulative FY 2020 funds obligated in the financial system through the end of the current quarter.

⁶ Total cumulative FY 2020 actual expenditures through the end of the current quarter.

Appendix: Abbreviations

| Abbreviation | Definition |
|---------------------|---------------------------------|
| CR | Continuing Resolution |
| FY | Fiscal Year |
| DHS | Department of Homeland Security |
| GSA | General Services Administration |
| IAA | Interagency Agreement |
| Q1 | Quarter 1 |
| Q2 | Quarter 2 |
| N/A | Not Applicable |
| WCF | Working Capital Fund |