



Working Capital Fund Quarterly Execution Report

Fourth Quarter, Fiscal Year 2020

December 7, 2020

Fiscal Year 2020 Report to Congress



**Homeland
Security**

Office of the Chief Financial Officer

Message from the Chief Financial Officer

December 7, 2020

I am pleased to provide the following “Working Capital Fund Quarterly Execution Report” for the second quarter of Fiscal Year (FY) 2020.

This report is submitted pursuant to language in the FY 2020 Department of Homeland Security Appropriations Act (P.L. 116-93), its accompanying Joint Explanatory Statement, House Report 116-180, and Senate Report 116-125.

Pursuant to congressional requirements, this report is being provided to the following Members of Congress:

The Honorable Lucille Roybal-Allard
Chairwoman, House Appropriations Subcommittee on Homeland Security

The Honorable Chuck Fleischmann
Ranking Member, House Appropriations Subcommittee on Homeland Security

The Honorable Shelley Moore Capito
Chairman, Senate Appropriations Subcommittee on Homeland Security

The Honorable Jon Tester
Ranking Member, Senate Appropriations Subcommittee on Homeland Security

Inquiries relating to this report may be directed to me at cfrontoffice@hq.dhs.gov

Sincerely,

TROY D
EDGAR

Digitally signed by TROY
D EDGAR
Date: 2020.12.07
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Troy D. Edgar
Chief Financial Officer





Working Capital Fund Quarterly Execution Report Fourth Quarter, Fiscal Year 2020

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I. Legislative Requirements

This document has been prepared in response to the Fiscal Year (FY) 2020 Department of Homeland Security (DHS) Appropriations Act (P.L. 116-93), its accompanying Joint Explanatory Statement, House Report 116-180, and Senate Report 116-125.

P.L. 116-93 states:

SEC. 504. Section 504 of the Department of Homeland Security Appropriations Act, 2017 (division F of Public Law 115–31), related to the operations of a working capital fund, shall apply with respect to funds made available in this Act in the same manner as such section applied to funds made available in that Act.

The Joint Explanatory Statement and House Report 116-180 both state:

In addition, the Department shall submit quarterly WCF execution reports to the Committees that include activity level detail.

Senate Report 116-125 states:

The WCF table included in the justification materials accompanying the budget request shall serve as the control level for quarterly execution reports submitted to the Committee not later than 30 days after the end of each quarter. These reports shall identify any activity added or removed from the fund.

Additionally, the FY 2017 DHS Appropriations Act (P.L. 115-31 and referenced in P.L. 116-93) states:

Provided further, That the Chief Financial Officer of the Department of Homeland Security shall submit a quarterly execution report with activity-level detail, not later than 30 days after the end of each quarter.

II. Fourth Quarter Execution Report

WCF Status of Funds Quarter 4										
CXOs	Description	FY20 Annual Plan ¹	Planned Execution ²	Allotment	Commitments	Obligations ³	Expenditures, Accruals, Upward Adjustments ⁴	Exec Rate ⁵	Available Balance	Comments
CRSO	U.S. General Services Administration (GSA) Rent	162,244,886.55	162,244,886.55	162,244,886.55		10,101,792.62	152,143,091.66	100%	2.27	n/a
ICE	Finance & Accounting Shared Services	25,513,054.72	25,513,054.72	25,513,054.72	-		25,513,054.00	100%	0.72	n/a
CHCO	Human Capital Business Systems	5,386,416.52	5,386,416.52	5,386,416.52		3,036,662.28	2,349,753.78	100%	0.46	n/a
CHCO	Flexible Spending Plan	1,200,000.00	1,200,000.00	1,200,000.00	-	767,822.80	432,177.18	100%	0.02	n/a
CHCO	Headquarters Leadership Development	196,841.00	196,841.00	196,841.00	-	98,575.12	98,265.88	100%	-	n/a
OCIO	Research Library & Information Services	8,098,263.00	8,098,263.00	8,098,263.00		2,260,384.91	5,837,878.03	100%	0.06	n/a
OCIO	National Capital Region Infrastructure Operations	124,429,685.00	124,429,685.00	124,429,685.00		70,705,916.39	53,105,090.17	100%	618,678.44	Contract pricing adjustments, obligations less than assessment.
I&A	Classified Local Area Network Operations	33,322,505.00	33,322,505.00	33,322,505.00	-	25,018,625.46	8,303,879.54	100%	-	n/a
CSO	Homeland Security Presidential Directive-12	22,755,207.00	22,755,207.00	22,755,206.99		9,920,171.97	12,474,932.79	98%	360,102.23	End-of-year procurement awards were less than anticipated, particularly the Public Key Infrastructure certifications done through the Office of the Chief Information Officer.
CHCO	e-Training	5,665,600.00	5,665,600.00	5,665,600.00			5,553,443.13	98%	112,156.87	Actual obligation less than assessed estimate.
CRSO	Mail Services	12,598,976.00	12,598,976.00	12,598,976.00	-	1,273,170.79	11,318,155.25	100%	7,649.96	Actual obligation less than assessed estimate.
CSO	Background Investigations	9,962,755.00	9,962,755.00	9,962,755.00		8,068,527.71	1,878,308.37	100%	15,918.92	The Office of the Chief Security Officer deobligated \$15,000 from the Department of Justice fingerprinting service.
CRSO	Transit Subsidy	6,735,633.00	3,388,938.71	3,388,938.71		2,949,958.52	345,523.00	97%	93,457.19	Planned execution reduced because of the Coronavirus Disease 2019 Pandemic.
	Fee-for-Service Subtotal	418,109,822.79	414,763,128.50	414,763,128.49	-	134,201,608.57	279,353,552.78	100%	1,207,967.14	
CFO	Interagency Council Funding	1,189,288.00	1,189,288.00	1,189,288.00	-		949,514.00	80%	239,774.00	Actual obligation for this e-gov is less than assessed estimate.
CHCO	USA Jobs	1,380,467.00	1,380,467.00	1,380,467.00	-	8,749.64	1,371,717.36	100%	-	n/a
CHCO	e-Enterprise Human Resources Integration	4,521,345.00	4,521,345.00	4,521,345.00	-	336,788.00	4,184,557.00	100%	-	n/a
OCIO	e-Rulemaking	385,040.00	385,040.00	385,040.00	-		385,040.00	100%	-	n/a
CHCO	Human Resources Line of Business	273,913.00	273,913.00	273,913.00	-	-	273,913.00	100%	-	n/a
OCIO	e-Gov Benefits	180,090.28	180,090.28	180,090.28	-	-	180,090.28	100%	-	n/a
OCIO	E-Financial Line of Business	187,342.00	187,342.00	187,342.00	-		187,342.00	100%	-	n/a
OCIO	Geospatial Line of Business	225,000.00	225,000.00	225,000.00	-	225,000.00	-	100%	-	n/a
OCIO	Budget Formulation and Execution Line of Business	110,000.00	110,000.00	110,000.00	-	-	110,000.00	100%	-	n/a
CPO	e-Integrated Award Environment	719,644.00	719,644.00	719,644.00	-		719,644.00	100%	-	n/a
CFO	e-Integrated Financial Assistance Environment	472,483.66	472,483.66	472,483.66	-	256,833.24	168,166.76	90%	47,483.66	Actual obligation for this e-gov is less than assessed estimate.
CFO	Performance Management Line of Business	81,077.00	81,077.00	81,077.00	-		79,800.00	98%	1,277.00	Actual obligation is less than assessed estimate.
	DHS Crosscutting Service Subtotal	9,725,689.94	9,725,689.94	9,725,689.94	-	827,370.88	8,609,784.40	97%	288,534.66	
	TOTAL	427,835,512.73	424,488,818.44	424,488,818.43	-	135,028,979.45	287,963,337.18	100%	1,496,501.80	

Notes:

1 Annual Plan for FY 2020 including carryover funds.

2 Cumulative planned obligations and/or expenditures as of the end of the current quarter in accordance with office's spend plan.

3 Total cumulative FY 2020 funds obligated in the financial system through the end of the current quarter.

4 Total cumulative FY 2020 actual expenditures through the end of the current quarter.

5 Execution Rate (obligations & expenditures).

6 WCF will be dissolved starting in FY 2021.

Appendix: Abbreviations

Abbreviation	Definition
FY	Fiscal Year
DHS	Department of Homeland Security
GSA	U.S. General Services Administration
Q4	Quarter 4
OCHCO	Office of the Chief Human Capital Officer
OCSO	Office of the Chief Security Officer
N/A	Not Applicable
WCF	Working Capital Fund