



**Homeland
Security**

April 14, 2014

The Honorable Arne Duncan
Secretary
U.S. Department of Education
c/o White House Initiatives
400 Maryland Avenue, SW, 4th Floor
Washington, DC 20202

Dear Secretary Duncan:

Enclosed is the Department of Homeland Security (DHS) Annual Federal Performance Report on Executive Agency Actions to Assist Minority Serving Institutions (MSIs) regarding Fiscal Year (FY) 2013 activities.

This report includes the following information: an executive summary; select activities by financial category; total funding by category, resulting from agency initiatives to strengthen MSIs; a listing of the agency awards by institution and category; and an awards narrative.

If you would like additional information or assistance, please feel free to contact Michael LePore, Statistician, at (202) 254-5855.

Thank you for your attention to this submission.

Sincerely,

A handwritten signature in blue ink that reads "Veronica Venture".

Veronica Venture
Deputy Officer for Civil Rights and Civil Liberties
Director, EEO and Diversity Programs
U.S. Department of Homeland Security

Transmittal Sheet

Department /Agency: U.S. Department Of Homeland Security

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Date Submitted: April 14, 2014

Annual Performance Report on Executive Agency Actions to Assist MSIs

Part I. Section A – FY 2013 Executive Summary

The Department of Homeland Security (DHS) submits this Annual Performance Report on Executive Agency Actions to Assist Minority Serving Institutions for Fiscal Year 2013, pursuant to Executive Orders 13515, 13532, 13555, and 13592. The purpose of these executive orders is to advance equal opportunity in higher education, strengthen the capacity of Minority Serving Institutions (MSI) to provide the highest quality education, increase opportunities for these institutions to participate in and benefit from federal programs, and ensure that our nation has the highest proportion of college graduates in the world by the Year 2020. In this report, MSIs refer specifically to Historically Black Colleges and Universities (HBCUs), Predominantly Black Institutions (PBIs), Tribal Colleges and Universities (TCUs), American Indian and Alaska Native Serving Institutions (AIANSIs), Hispanic-Serving Institutions (HSIs), and Asian American and Native American Pacific Islander-Serving Institutions (AANAPISIs)¹.

The total DHS funds awarded to Institutions of Higher Education (IHEs) and MSIs are below:

MSIs:	FY 2013 Funds	Funds as % of FY 2013 Total to IHEs
• HBCUs:	\$18,616,321	13.40%
• PBIs	\$0	0%
• TCUs:	\$89,268	0.06%
• AIANSIs	360,180	0.26%
• HSIs:	\$6,829,720	4.92%
• AANAPISIs/ANNHs*:	\$1,919,593	1.38%
MSIs Total:	\$27,815,346	20.05%
IHEs Total:	\$138,939,837	

¹ Alaska Native and Native Hawaiian-Serving Institutions (ANNHs) have been incorporated into the AANAPISI category this year as directed by the White House Initiatives Office.

* Note the total awards to Asian American and Native American Pacific Islander-Serving Institutions (AANAPISIs) and Alaska Native and Native Hawaiian-Serving Institutions (ANNHs) are combined here and in Part I. Section B and C, but are separated in the narrative of the Part I. Section A, Executive Summary.

The DHS Components contributing awards to IHEs are as follows:

- *Federal Emergency Management Agency (FEMA)*, which comprises a small portion of the overall DHS workforce, was DHS's largest provider of awards to IHEs, allocating \$77,487,362 in FY 2013. This is a large decline from the \$349,463,063 that FEMA awarded in FY 2012. FEMA grants are primarily used to help IHEs that have been affected by storms, earthquakes, and other disasters. FEMA does not control which areas of the country will require grants because of the unpredictable nature of disasters and emergencies. FEMA awarded 21% of its grants to MSIs, in the reporting period.
- *Science and Technology Directorate (S&T)* was DHS's next largest provider of awards to IHEs, allocating more than \$34,782,806 in FY 2013, a decrease from the \$36,562,999 awarded in FY 2012. Their awards were also primarily in the form of grants. Unlike FEMA, S&T is able to be more targeted with respect to how it awards money, and 23% of S&T grants went to MSIs in FY 2013.
- *U.S. Coast Guard (USCG)* was DHS's third largest provider of awards to IHEs, allocating more than \$16,388,659 in FY 2013, a decline from the \$26,230,517 allocated in FY 2013. Most USCG award money constituted service money in the form of student tuition assistance, fellowships, scholarships, and other aid. Six percent of USCG awards went to MSIs in FY 2013.
- *U.S. Immigration and Customs Enforcement (ICE)* awarded \$4,978,515 to IHEs in FY 2013. This is a decline from the \$8,019,603 awarded in FY 2012. ICE awards are in the internship and recruitment program categories, and 19% of its IHE awards went to MSIs in FY 2013.
- *U.S. Customs and Border Protection (CBP)* awarded \$3,041,079 to IHEs in FY 2013, a decline from the \$4,030,166 it awarded in FY 2012. CBP awards are in the internship and recruitment program categories, and 20% of its IHE awards went to MSIs in FY 2013.
- *U.S. Secret Service (USSS)* awarded \$955,444 to IHEs in FY 2013, a decline from the \$1,108,701 it awarded in FY 2012. USSS awards are primarily in the internship and recruitment program categories, and 17% of its IHE awards went to MSIs in FY 2013.
- *Office of Health Affairs (OHA)* awarded \$581,160 to IHEs in FY 2013, a decline from the \$1,151,435 it awarded in FY 2012. OHA awards are primarily in the program evaluation category, and 52% of its IHE awards went to MSIs in FY 2013.
- *U.S. Citizenship and Immigration Services (USCIS)* awarded \$419,904 to IHEs in FY 2013, a decline from the \$1,193,736 it awarded in FY 2012. USCIS awards are primarily in the third party award program category, and 100% of its IHE awards went to MSIs in FY 2013.
- *Federal Law Enforcement Training Center (FLETC)* awarded \$243,704 to IHEs in FY 2013, a decline from the \$553,136 it awarded in FY 2012. FLETC awards are primarily in the internship and recruitment program category, and 2.7% of its IHE awards went to MSIs in FY 2013.
- *Transportation Security Administration (TSA)* awarded \$21,195 to IHEs in FY 2013, a slight decline from the \$23,337 it awarded in FY 2012. TSA awards are primarily in the internship and recruitment program category, and 47% of its IHE awards went to MSIs in FY 2013.

The types and amounts of awards that were made to each MSI category are discussed below.

Historically Black Colleges and Universities (HBCUs):

DHS strategies to support HBCUs included participation in the following Program Award Categories:

- Research and Development (R&D)
- Training
- Facilities and Equipment (F&E)
- Internships, Recruitment, and Intergovernmental Personnel Acts
- Student Tuition Assistance, Fellowships, and Scholarships (STA)
- Direct Institutional Subsidies (DIS)
- Third Party Awards (TPA)
- Administrative Infrastructure

\$18,616,321 was awarded to HBCUs in FY 2013. This is an increase from the \$11,768,988 awarded to HBCUs in FY 2012. The change in funding is primarily due to FEMA, which awarded \$13,679,036 to HBCUs in FY 2013, an increase over the \$6,920,080 it awarded to HBCUs in FY 2012. This difference is not due to any shift in policy or strategy from FEMA, but rather the result of the increased needs of HBCUs in disaster-affected areas.

Particular programs and accomplishments are discussed in the Section E Awards Narrative.

Predominantly Black Institutions (PBIs):

DHS did not award money to PBIs in FY 2013.

Tribal Colleges and Universities (TCUs):

DHS strategies supported TCUs in the following Program Award Categories:

- Research and Development (R&D)
- Internships, Recruitment, and Intergovernmental Personnel Acts

\$89,268 was awarded to TCUs in FY 2013. This is a decrease from the \$235,904 awarded to TCUs in FY 2012. USCG had an overall decrease in funding that disproportionately affected TCUs.

Particular programs and accomplishments are discussed in the Section E Awards Narrative.

American Indian Alaska Native Serving Institutions (AIANSIs):

DHS strategies supported AIANSIs in the following Program Award Categories:

- Facilities and Equipment (F&E)
- Internships, Recruitment, and Intergovernmental Personnel Acts
- Student Tuition Assistance, Fellowships, and Scholarships (STA)

\$360,180 was awarded to AIANSIs in FY 2013. This is the first year DHS has collected information for this MSI category so there is no prior year with which to make comparisons.

Particular programs and accomplishments are discussed in the Section E Awards Narrative.

Hispanic-Serving Institutions (HSIs):

DHS strategies supported HSIs in the following Program Award Categories:

- Research and Development (R&D)
- Facilities & Equipment
- Internships, Recruitment, and Intergovernmental Personnel Acts
- Student Tuition Assistance, Fellowships, and Scholarships (STA)
- Direct Institutional Subsidies (DISs)
- Third Party Awards (TPAs)

\$6,829,720 was awarded to HSIs in FY 2013. This is a substantial increase from the \$4,053,003 awarded to HSIs in FY 2012. The change in funding is due to an increase in FEMA grants to HSIs, and an increase in R&D awards from DHS's Science & Technology Directorate.

Particular programs and accomplishments are discussed in the Section E Awards Narrative.

Asian American and Native American Pacific Islander-Serving Institutions (AANAPISIs):

DHS strategies supported AANAPISIs in the following Program Award Categories:

- Program Evaluation
- Training
- Facilities & Equipment
- Internships, Recruitment, and Intergovernmental Personnel Acts
- Student Tuition Assistance, Fellowships, and Scholarships (STA)
- Direct Institutional Subsidies (DISs)

\$1,919,593 was awarded to AANAPISIs in FY 2013. This is an increase from the \$1,679,148 awarded to AANAPISIs in FY 2012. The change in funding is primarily due to an increase in direct institutional subsidies to AANAPISIs from the Science & Technology Directorate.

Particular programs and accomplishments are discussed in the Section E Awards Narrative.

Annual Performance Report on Executive Agency Actions to Assist MSIs
Part I. Section B - FY 2013 Summary of Select Agency Initiatives by Financial Category

1. **AGENCY: Department of Homeland Security**
2. **DATE: 4/14/2014**
3. **Total Funds Awarded to Institutions of Higher Education (IHEs): \$138,939,837**

CATEGORY	FY 2013 Funds to IHEs	FY 2013 Funds					Funds as % of Funds to IHEs				
		HBCU	TCU & AIANSI*	HSI	PBI	AANAPISI	HBCU	TCU & AIANSI	HSI	PBI	AANAPISI
1. Grants	\$111,484,808	\$17,316,321	\$143,897	\$5,533,898	\$0	\$1,299,696	15.5%	0.1%	5.0%	0%	1.2%
2. Contracts	\$1,614,360	\$193,477	\$35,000	\$131,351	\$0	\$300,000	12.0%	2.2%	8.1%	0%	18.6%
3. Loans	\$0	\$0	\$0	\$0	\$0	\$0	N/A	N/A	N/A	N/A	N/A
4. Services	\$25,765,911	\$1,032,029	\$270,551	\$1,164,471	\$0	\$319,897	4.0%	1.1%	4.5%	0%	1.2%
5. Technical Assistance	\$74,758	\$74,758	\$0	\$0	\$0	\$0	100%	0%	0%	0%	0%
Total	\$138,939,837	\$18,616,585	\$449,448	\$6,829,720	\$0	\$1,919,593	13.4%	0.3%	4.9%	0%	1.4%

Annual Performance Report on Executive Agency Actions to Assist MSIs
Part I. Section C - FY 2013 Summary of Total Awards by Category

1. **AGENCY: Department of Homeland Security**
2. **DATE: 4/14/2014**
3. **Total Funds Awarded to Institutions of Higher Education (IHEs): \$138,939,837**

CATEGORY	FY 2013 Funds to IHEs	FY 2013 Funds					Funds as % of FY 2013 Funds to IHEs				
		HBCU	TCU & AIANSI*	HSI	PBI	AANAPISI	HBCU	TCU & AIANSI	HSI	PBI	AANAPISI
Research and Dev.	\$27,194,432	\$493,826	\$53,968	\$548,749	\$0	\$0	1.8%	0.2%	2.0%	0%	0%
Program Evaluation	\$579,000	\$0	\$0	\$0	\$0	\$300,000	0%	0%	0%	0%	51.8%
Training	\$809,584	\$352,584	\$0	\$0	\$0	\$457,000	43.6%	0%	0%	0%	56.5%
Facilities & Equipment	\$77,562,120	\$13,753,794	\$89,929	\$2,567,234	\$0	\$58,096	17.8%	0.1%	3.3%	0%	0.1%
Interns, Trainees, Recruitment, & IPAs	\$10,341,882	\$687,726	\$146,223	\$1,043,800	\$0	\$229,433	6.7%	1.4%	10.1%	0%	2.2%
Tuition Assistance, and Other Aid	\$16,094,574	\$366,606	\$57,138	\$166,067	\$0	\$90,464	2.3%	0.4%	1.0%	0%	0.6%
Direct Institutional Subsidies	\$5,626,680	\$2,675,000	\$0	\$2,167,080	\$0	\$784,600	47.5%	0%	38.5%	0%	13.9%
Third-Party Awards	\$610,120	\$168,779	\$101,890	\$333,955	\$0	\$0	27.7%	16.7%	54.7%	0%	0%
Private-Sector Involvement	\$0	\$0	\$0	\$0	\$0	\$0	N/A	N/A	N/A	N/A	N/A
Admin. Infrastructure	\$115,000	\$115,000	\$0	\$0	\$0	\$0	100%	0%	0%	0%	0%
Econ. Development	\$0	\$0	\$0	\$0	\$0	\$0	N/A	N/A	N/A	N/A	N/A
Other Activities	\$6,445	\$3,270	\$300	\$2,875	\$0	\$0	50.7%	4.7%	4.7%	0%	0%
Total	\$138,939,837	\$18,616,585	\$449,448	\$6,829,720	\$0	\$1,919,593	13.4%	0.3%	4.9%	0%	1.4%

Annual Performance Report on Executive Agency Actions to Assist MSIs
Part I. Section D – Table of FY 2013 Awards, by Institution and Program Category

The Section D tables are too large to insert into the Word document. Please refer to the accompanying spreadsheet for each MSI's D table.

Annual Performance Report on Executive Agency Actions to Assist MSIs

Part I. Section E – FY 2013 Awards Narrative

HBCU Activities and Programs:

- CBP provided \$363,011 in FY 2013 through internship salaries to students from Bowie State University, University of the District of Columbia, Howard University, Morgan State University, Jackson State University, North Carolina A&T, Virginia State University, and Clark Atlanta University.
- USCIS hired six students from two different HBCUs, which represented 6.66% of its total intern hires. These six students were hired through the Pathways Program, and were paid an estimated aggregate salary of approximately \$54,128.
- USCIS staff conducted outreach at 20 HBCUs located across the country. This outreach was conducted at multiple HBCUs and included six new initial contacts with Lincoln University, University of Maryland-Eastern Shore, Hampton University, Norfolk State University, Virginia Union University, and University of the District of Columbia.
- USCIS increased its total funding for HBCUs through a computer equipment donation program. Two HBCUs, Bowie State University and Coppin State University, were the recipients of \$74,757.96 worth of computer equipment.
- FEMA awarded more than \$13 million to HBCUs in New Orleans (Dillard University, Southern University-New Orleans, and Xavier University), where schools that have still not completely rebuilt after Hurricane Katrina.
- FLETC awarded \$6,566.00 to North Carolina A&T University and Alabama State University through Internships, Traineeships, Recruitment, & Arrangements under the Intergovernmental Personnel Acts.
- ICE employed six interns from HBCUs in FY 2013, and hired three interns into permanent Special Agent positions from Bowie State University, Trinity University, and Alabama State University.
- TSA's local field offices partnered with the Office of Human Capital to participate in multiple job/career fairs and recruiting events, increasing TSA's visibility and representation at the following HBCUs/PBIs; Delaware State University 2012 Fall and 2013 Spring Career Fairs, Dover DE; Bowie State University Fall Career and Internship Fair, Bowie, MD; Cheyney University of Pennsylvania Fall 2012 and Spring 2013 Career Fair, Cheyney, PA; Virginia State University Government and Human Services Career Expo, Petersburg, VA; Prairie View A&M University Spring 2013 Fair, Prairie View, TX; 16th Annual CHEC Career Expo at Claflin University, Orangeburg, SC; Fort Valley State University Career and Graduate Expo 2013, Fort Valley, GA; Florida Memorial University 2013 Career Fair, Miami Gardens, FL.
- USCG has dedicated a senior officer (Captain/O-6) as a HBCU Liaison to oversee and manage relationships with HBCUs.
- USCG currently has three Admirals/SEs who have sponsored individual HBCUs, which has greatly enhanced Coast Guard exposure on those campuses. These sponsorships have developed into formal Memoranda of Understanding, solidifying partnerships and serving as a model for future additional partnerships.
- USSS employed interns through the Pathways program from Bethune-Cookman University, Bowie State University, and Virginia State University. Additionally, it had three interns from Clark Atlanta University participate in the Student Volunteer Service Program.
- USSS participated in 16 HBCU career fairs.

TCUs Activities and Programs:

- USCIS staff conducted outreach activities with one TCU, which consisted of promoting the Pathways Program and USCIS as an “Employer of Choice” at Haskell Indian Nations University.
- FEMA awards grants to Tribal Nations, and then each tribe allocates the money to a variety of ends, including TCUs. This funding, however, is not traceable to specific TCUs at this time.
- TSA conducted training at the national law enforcement conferences to promote TSA’s visibility and reach a vast diverse workforce; Federal Air Marshal training instructors conducted a class in Behavioral Recognition at the National Native American Law Enforcement Association (NNALEA) Conference, Las Vegas, NV.
- USCG sponsored a Fall Student Success Conference at IAIA; spring events at the Pawnee Nation and Cheyenne and Arapaho Tribal Colleges, and attended career fairs at Northwest Indian College, and the College of the Menominee Nation (where more than 500 students were honored), where it received recognition as a donor to the event. These successful endeavors helped to galvanize the relationships with the colleges and communities, enabling USCG to pursue additional sponsorship opportunities in FY 2013.
- USCG advertised in the AIHEC’s Tribal College Journal, Indian Country Today Magazine and Winds of Change Magazine. It purchased a full year of advertising with Tribal College Journal and purchased an advertisement with Indian Country Today. Both the journal’s and magazines’ vast distribution networks expose thousands of Tribal Colleges and University faculty, staff, and students to Coast Guard employment opportunities.

AIANISs Activities and Programs:

- CBP provided \$78,127 in FY 2013 through internship salaries to students from AIANISs.
- USSS had an intern from Arizona State University who volunteered in the Student Volunteer Selection Program.

HSIs Activities and Programs:

- CBP provided \$148,391 in FY 2013 through internship salaries to students from Antelope Valley College, Hudson Valley Community College, Pima Community College, Valencia College, University of Puerto Rico, Universidad Politenica de Puerto Rico, Universidad del Este, Sul Ross State University, University of Texas Pan American, and University of Texas El Paso.
- USCIS hired four students (4.44% of the overall intern total) from four different HSIs (California State University, Florida International University, Miami-Dade College, and University of La Verne) through the Pathways Program, who were paid an estimated aggregate salary of \$32,846.
- USCIS staff participated in 15 HSI outreach activities. The outreach activities included student career fairs at University of California, Merced, and Fresno State University.
- USCIS’s Office of Citizenship’s grant program awarded a \$250,000 grant to City University of New York.
- ICE employed 12 interns from HSIs in FY 2013, and hired an intern from University of Puerto Rico into a permanent Special Agent position.
- TSA’s Office of Law Enforcement/Federal Air Marshals Service local field offices partnered with the Office of Human Capital to participate in multiple job/career fairs and recruiting events increasing

TSA's visibility and representation at the following HSIs: University of Puerto Rico, Mayaguez 24th Annual Job Fair, Mayaguez, PR, El Camino College Career Fair, Torrance, CA; Meet the Employer Event at Pontificia Universidad Católica de Puerto Rico, Ponce, PR; Meet the Employer Event at Universidad of Puerto Rico, Rio Piedras, PR; Information Session and Meet the Employer Event at Colégio del Sagrado Corazón, San Juan, PR; University of Texas at Arlington, Arlington, TX; University of Puerto Rico - Mayaguez Spring Job Fair, Mayaguez, PR; Lehman College-Informational Session, Bronx, NY; 2013 Annual Career Fair and Expo-Miami Dade College, Miami, FL; Borough of Manhattan Community College Job Fair, New York, NY; Bronx Community College's Spring 2013 Career and Internship Fair, Bronx, NY; John Jay College of Criminal Justice Spring 2013 Job and Internship Fair, New York, NY; CUNY Big Apple Job Fair, New York, NY.

- USCG continued to assign a senior officer (Captain/O-6) in San Antonio, TX as the dedicated, full-time liaison at the national headquarters of the Hispanic Association of Colleges and Universities (HACU), to facilitate access to the professional Hispanic educational community. The liaison is strategically placed to access HACU's partner HSIs, and corporate and community leaders, coordinating with the Coast Guard Recruiting Command (CGRC) and the Coast Guard Academy to support events at targeted schools and organizations to get the Coast Guard's career message out to the Hispanic community.
- USSS employed interns through the Pathways program from Cal State Fresno, Florida International University, and Rio Hondo College. Additionally, it had two interns from Saint Peter's University and Cal State Fresno participate in the Student Volunteer Service Program.
- USSS participated in four HSI career fairs as well as a career fair targeting Hispanic youth in the Greater Washington, DC area.

AANAPISIs Activities and Programs:

- USCIS employees participated in recruitment events at three AANAPISIs: Fresno State University, University of Massachusetts-Boston, and Stoney Brook University.
- ICE employed eight interns from AANAPISIs as part of the Pathways program in FY 2013.
- USSS employed one intern through the Pathways program from the University of Illinois-Chicago. USSS participated in seven AANAPISI related career fairs.