Department of Homeland Security DHS Directives System Directive Number: 041-02 Revision Number: 00 Issue Date: 8/20/2012 INTERNATIONAL AFFAIRS

I. Purpose

This Directive sets forth the delegated authority, policy, authorities, and responsibilities for planning, coordinating, and managing international affairs for the Department of Homeland Security (DHS or Department). It is designed to increase coordination among DHS components regarding the management of the Department's activities involving international affairs.

II. Scope

- A. The authorities delegated in this Directive are subject to the oversight, direction, and guidance of the Secretary.
- B. This Directive applies throughout the Department.
- C. Nothing in this Directive is intended to:

1. Impede, inhibit, or diminish the statutory authority of the Operational and Support Components to perform the Components' assigned missions and functions or impede or inhibit the authority of the Secretary to conduct oversight and coordination of the international affairs and functions of the Department.

2. Diminish, supersede, or replace the responsibilities, authorities, or role of any Office, Component, or DHS official expressly empowered by Federal law or delegated authority to engage directly with foreign authorities or multilateral organizations.

3. Restrict, reassign, or limit the Operational Components' direction, control, and oversight of their respective overseas programs and personnel.

4. Conflict with ongoing law enforcement investigative activities or supersede applicable authorities contained in the United States Code (U.S.C.).

5. Limit the U.S. Coast Guard's military defense responsibilities or activities undertaken or personnel assigned to approved missions in support of the Department of Defense, including Combatant Commands.

6. Interfere with or inhibit the Office of Inspector General's statutory authority.

7. Diminish the leading role that the Office of Policy has in developing all policy for DHS.

III. Authorities

A. U.S. Constitution, Art. II

- B. Title 6, U.S.C., Section 112, "Secretary; functions"
- C. Title 6, U.S.C., Section 459, "Office of International Affairs"

IV. Responsibilities

A. The Assistant Secretary for International Affairs:

1. Serves as the principal international advisor to the Office of the Secretary and other leadership of the Department.

2. Maintains situational awareness of and coordinates DHS multilateral and bilateral relations with foreign governments, international organizations, and international non-governmental organizations, but does not direct operations conducted by Operational Components.

3. As appropriate, serves as the principal point of liaison with the Department of State and the diplomatic community.

4. Reviews and monitors international agreements and arrangements prior to negotiation and before conclusion, for consistency with DHS international engagement strategies and priorities.

5. Communicates with the U.S. Congress where appropriate and in coordination with the Office of Legislative Affairs, Office of Management, and other relevant Operational or Support Components concerning the Department's international affairs.

- 6. Supervises DHS Attaches.
- 7. Chairs and convenes the International Governance Board.
- 8. In consultation with the Operational and Support Components:

a. plans and coordinates the Department's international affairs and engagements.

b. coordinates international relationships, initiatives, training, visits, and the preparation and placement of personnel abroad.

c. provides oversight, direction, and guidance for the DHS Attachè program

d. ensures that all DHS personnel assigned or deployed abroad, including those assigned to extended duty in a foreign country under Chief of Mission authority, comply with DHS policies and procedures.

9. In cooperation with the Operational and Support Components, the Coordinator for Counterterrorism, Under Secretary for Intelligence and Analysis, the Chief Privacy Officer, and the Assistant Secretary for Policy, as appropriate, coordinates and integrates information sharing and the review of international agreements and arrangements with a significant impact on DHS interests.

10. In consultation with the Operati9nal and Support Components and the Coordinator for Counterterrorism, coordinates and promotes departmental strategies, plans, and appropriate activities for DHS to develop foreign partner security capabilities and international cooperative programs in alignment with the Department's capstone strategic planning documents such as the Quadrennial Homeland Security Review (QHSR) and DHS Strategic Plan.

B. Operational and Support Component Heads:

1. Establish, in coordination with the Assistant Secretary for International Affairs, Component strategies and policies for international engagement in support of and in alignment with Departmental and Component strategic objectives.

2. Implement the DHS international strategy goals by developing, managing, and executing the Component's policies, programs, and support activities for international affairs, including international relationships, initiatives, training, visits, placement of personnel, and information sharing.

3. Develop, coordinate, and promo e Component strategies, policies, plans, programs, and activities that support foreign partner capabilities and international cooperative programs.

4. Engage with international counterparts and organizations to accomplish Departmental and Component international engagement priorities and objectives.

5. Serve as the Component representative in interagency policy deliberations affecting foreign relations.

6. Serve as the primary Component interlocutor with the Department of State

and the diplomatic community.

Lead negotiations of Component-specific international agreements or 7. arrangements in accordance with established procedures.

8. Collaborate with the Assistant Secretary for International Affairs by:

ensuring that international engagements by Component Attachés a. align with Departmental international engagement priorities.

b. ensuring that all Component personnel assigned or deployed abroad, including those assigned to extended duty in a foreign country under Chief of Mission authority, comply with DHS policies and procedures.

notifying the Assistant Secretary for International Affairs of C. proposed international agreements or arrangements where the document is negotiated on behalf of the Department or on behalf of an Operational or Support Component.

d. providing information to the Assistant Secretary for International Affairs about Component international engagement.

coordinating with the Assistant Secretary for International Affairs of e. any substantial staffing changes or external requirements placed on Components abroad.

C. The Office of the General Counsel:

> 1. Leads and coordinates legal review of all international agreements and arrangements to which DHS or any of its Components would be a Party or Participant

2. Leads and coordinates legal review of all international agreements or arrangements with a significant impact on DHS interests.

Janet Napolitano cretary of Homeland Security

8-20-12 Date