Department of Homeland Security DHS Directives System Directive Number: 041-04 Revision Number: 00 Issue Date: MAR 2 6 2017 DHS COORDINATION OF SECURITY SECTOR ASSISTANCE TO INTERNATIONAL PARTNERS

I. Purpose

This Directive provides an overview of the Department of Homeland Security (DHS) Security Sector Assistance (SSA) process. The DHS SSA process governs DHS internal coordination and process integration with interagency partners' planning and resource cycles.

II. Scope

This Directive applies throughout DHS. This Directive is not intended to supersede planning and implementation of SSA funds pursuant to Department of Defense United States Code (U.S.C.) Title 10 authorizations.

III. Authorities

- A. Foreign Assistance Act (FAA) of 1961, as amended, Title 22, United States Code, Sections 2151 et seq.
- B. Presidential Policy Directive (PPD) 23, Security Sector Assistance, April 5, 2013.
- C. 6 U.S.C. § 341 et seq., as amended by National Defense Authorization Act for Fiscal Year 2017, section 1902.
- D DHS International Governance Board Charter (Secretarial guidance).
- E. DHS Counterterrorism Advisory Board Charter (Secretarial guidance).

IV. Responsibilities

A. The Under Secretary for Strategy, Policy, and Plans:

1. Leads, conducts, and coordinates Department-wide policy development and implementation and strategic planning for SSA; ensures alignment of DHS SSA to U.S. foreign policy objectives for Homeland Security cooperation;

Directive # 041-04 Revision # 00 2. Establishes an office to manage SSA within the Department, headed by a Principal Director within the Office of Strategy, Policy, and Plans;

3. Participates in interagency strategic planning, assessment, program design, and in implementation processes, with input from the Components;

4. Prepares and coordinates the Department's SSA Planning Guidance and the consolidated SSA Plan;

5. Ensures SSA planning is in accordance with the goals and objectives outlined in the Quadrennial Homeland Security Review and the DHS International Engagement Strategy;

6. Coordinates and monitors the preparation and submission of DHS SSA program recommendations to interagency partners for conformance with DHS and other planning guidance; and

7. Prepares consolidated DHS SSA training and budget reports.

B. DHS Component Heads:

1. Are the primary DHS implementers of SSA activities and are responsible for the development of Component-level strategic goals and objectives for international engagement consistent with priorities established by the Secretary;

2. Lead Component-level planning and implementation of SSA activities including participating in or conducting specific assessments of SSA needs and outcomes; socialize within DHS, and when appropriate, with interagency counterparts Component-level SSA plans and submit annual summaries of Component SSA accomplishments;

3. Designate a lead office and central point of contact for external and internal coordination, planning, implementation, and reporting of SSA;

4. Identify necessary training, personnel, equipment and acquisition processes, logistics sustainment resources, data collection and information exchange elements required to provide effective SSA;

5. Prepare and submit training and budget reports related to the execution of SSA programs as required to meet statutory and executive reporting requirements; and

6. As requested by Under Secretary for Strategy, Policy, and Plans or other DHS Components, provide subject matter expertise on implementation and assessment of DHS SSA activities.

C. The *Director, Federal Law Enforcement Training Centers (FLETC)*:

1. When requested, provides Components subject matter expertise on assessment and implementation of SSA activities;

2. In coordination with the Under Secretary for Strategy, Policy, and Plans and the DHS Components, recommends and/or develops and provides training curricula for DHS and Component SSA planners and practitioners so that they may effectively assess, plan, execute, and manage SSA programs; and

3. In coordination with the Under Secretary for Strategy, Policy, and Plans, and the DHS Components, facilitates a whole-of-DHS response to interagency training needs assessment requirements; as required, develops, and coordinates multi-Component training needs assessments necessary to plan and implement DHS SSA.

D. DHS and Component Attachés:

1. Act as the U.S. Ambassadors' Principal Advisors at their assigned embassies on all Component SSA activity, including assessing requirements, planning, coordinating, and implementing activities to accomplish regional and country-level Homeland Security goals and objectives;

2. Review and provide appropriate Homeland Security input to regional and/or country-level engagement plans, including in review and edit of Integrated Country Strategies (ICS);

3. Develop and recommend SSA activities through the SSA process to accomplish Homeland Security goals and objectives; and

4. DHS Attachés facilitate an integrated approach to DHS SSA by providing regional and cross-component situational awareness to Component Attachés and, if requested, support Component-level SSA programs.

E. The *Office of the General Counsel*:

1. Coordinates legal reviews of international and interagency agreements involving DHS participation in SSA, monitors and provides legal recommendations on all matters that impact DHS SSA; and

2. Provides guidance and assistance on authorities in accordance

Directive # 041-04 Revision # 00 with the FAA and all other applicable legal guidelines.

F. The <u>Counterterrorism (CT) Coordinator</u>.

1. Is responsible for coordinating counterterrorism activities for the Department and across its directorates, components, offices, and elements and oversees the development of Department-wide counterterrorism policy; and

2. In coordination with the Under Secretary of Strategy, Policy, and Plans, will handle the coordination with the DHS Counterterrorism Advisory Board.

G. The International Governance Board:

1. Reviews DHS SSA strategy, policies and procedures, and advises the Under Secretary for Strategy, Policy, and Plans on amendments, as required; identifies requirements needed by the Components and the SSA program to facilitate the implementation of DHS's international strategy for SSA; and

2. Establishes a subcommittee for the regular review and amendment development of SSA plans and planning guidance.

V. Policy and Requirements

A. <u>Policy</u>.

1. The primary mechanisms for management of the Department's SSA process are periodic review of the ICS, annual publication of DHS SSA planning guidance, and preparation of consolidated DHS SSA plan.

a. The planning guidance is developed and issued by Strategy, Policy, and Plans consistent with the ICS, Executive Branchapproved priorities (including CT Priorities), existing DHS, policies and processes, and in coordination with the Components SSA planners to provide strategic guidance for components, Joint Task Forces, CT Coordinator, and Attachés for planning and recommending SSA activities that align SSA program goals and objectives with DHS priorities and meet the objectives of the ICS;

b. Components and Component Attachés coordinate the development of SSA program requests with the embassy-level Department of State officials responsible for Homeland Security-related SSA;

c. In accordance with the planning guidance, Components prepare and submit to Strategy, Policy, and Plans SSA program recommendations for consolidation by country and region to identify programs the Department can advocate as priorities; and

d. Upon approval of the Secretary of Homeland Security, Strategy, Policy, and Plans provides the Department of State and other interagency SSA partners DHS endorsement for the SSA activities identified by the Secretary as priorities in the consolidated DHS SSA Plan for budgeting and programming of Homeland Security-related SSA.

2. As required, Strategy, Policy, and Plans conducts SSA planning to meet emergent or changing implementation requirements for SSA.

B. <u>Requirements</u>:

1. No later than 90 days from the publication of this Directive, Strategy, Policy, and Plans publishes additional implementing guidance, through the DHS 112 process, for the coordination of DHS SSA related activities including the production of annual planning guidance and plans.

2. DHS SSA practitioners attend appropriate FLETC-provided SSA training or equivalent Component curriculum to ensure DHS personnel can fully participate in the SSA planning process.

VI. Questions

Address any questions or concerns regarding this Directive to Strategy, Policy, and Plans, Office of Security Sector Assistance.

Acting Under Secretary for Management

3/26