

## PRIZE COMPETITIONS DIRECTIVE

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### I. Purpose

This Directive establishes the policy, authorities, and responsibilities for conducting Prize Competitions by the Department of Homeland Security (DHS) and its Components/ organizations within in the scope of the America Creating Opportunities to Meaningfully Promote Excellence in Technology, Education, and Science (COMPETES) Reauthorization Act of 2010.

### II. Scope

This Directive applies throughout DHS.

### III. Authorities

- A. Stevenson-Wydler Technology Innovation Act of 1980, (15 U.S.C. § 3701 et seq.) as amended to add Sec. 24 Prize Competitions (15 U.S.C. § 3719)
- B. Public Law 111-358, "America COMPETES Reauthorization Act 2010," Section 105
- C. Public Law 107-296, "Homeland Security Act of 2002," Section 306(b) [6 U.S.C. § 186(b)]
- D. DHS Delegation 10001, Revision 01, Delegation to the Under Secretary for Science and Technology, Section 2(B)(21)

### IV. Responsibilities

- A. The **Secretary for Homeland Security** approves any prize competition with monetary awards totaling over \$1,000,000, if previously reviewed and approved by the Under Secretary for Science and Technology.
- B. The **Under Secretary for Science & Technology** reviews and approves

all prize competition packages with monetary awards totaling \$1,000,000 or less that have been submitted by DHS Components or organizations seeking to conduct a competition under the Act.

- C. The **Component or Organizational Heads** ensure that any prize competition developed or executed within their purview are properly vetted and approved through established processes prior to submission to the Under Secretary for Science and Technology.

## V. Requirements

- A. **DHS Component/Organization Program Offices:**
1. Each DHS Component/organization seeking to do a prize competition prepares a written request package, along with required coordination, in accordance with this Directive's accompanying Instruction.
  2. At the conclusion of a prize competition, the DHS Component/organization that conducted the competition completes and submits a Prize Competition Report, as indicated in the accompanying Instruction, to the Science and Technology Directorate for inclusion in the White House Office of Science and Technology (OSTP) Annual Report.

## VI. Questions

Address any questions or concerns regarding this Directive may be sent to [prizeauthority@hq.dhs.gov](mailto:prizeauthority@hq.dhs.gov).



Chip Fulghum  
Acting Deputy Under Secretary for Management

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Date