

Department of Homeland Security

Office of Inspector General

Budget Overview



Fiscal Year 2021
Congressional Justification

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**Office of Inspector General
Appropriation Organization Structure**

Organization Name	Level	Fund Type (* Includes Defense Funding)
Office of Inspector General	Component	
Operations and Support	Appropriation	Discretionary - Appropriation

**Office of Inspector General
Strategic Context**

Component Overview

The Office of the Inspector General does not have performance measures in the DHS Strategic or Management measures sets. Therefore, there is no performance related information to report for a Strategic Context.

**Office of Inspector General
Budget Comparison and Adjustments**

Appropriation and PPA Summary

Organization <i>(Dollars in Thousands)</i>	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget
Operations and Support	\$168,000	\$190,186	\$177,779
Total	\$168,000	\$190,186	\$177,779

Office of Inspector General Comparison of Budget Authority and Request

Organization <i>(Dollars in Thousands)</i>	FY 2019 Enacted			FY 2020 Enacted			FY 2021 President's Budget			FY 2020 to FY 2021 Total Changes		
	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount
Operations and Support	760	760	\$168,000	773	756	\$190,186	725	747	\$177,779	(48)	(9)	(\$12,407)
Total	760	760	\$168,000	773	756	\$190,186	725	747	\$177,779	(48)	(9)	(\$12,407)
Subtotal Discretionary - Appropriation	760	760	\$168,000	773	756	\$190,186	725	747	\$177,779	(48)	(9)	(\$12,407)

Component Budget Overview

For FY 2021, the Department of Homeland Security (DHS) Office of Inspector General (OIG) requests \$177.8M in total gross budget authority. This represents a decrease of \$12.4M from the FY 2020 enacted appropriation.

DHS OIG conducts and supervises independent audits, inspections, and investigations and recommends ways for DHS to carry out its vital responsibilities in the most effective, efficient, and economical manner possible. The OIG seeks to deter, identify, and address fraud, abuse, mismanagement, and waste of taxpayer funds invested in homeland security.

The OIG is an independent entity to which employees, contractors, and the public can report fraud, waste, and abuse that affects the Department. Results of our findings are reported to the DHS Secretary, the Congress, and the public, when appropriate. The OIG seeks to ensure improved transparency, accountability, and efficiency across the Department.

Additional information, including the Inspector General’s congressional testimony, may be accessed through our website at: www.oig.dhs.gov.

Office of Inspector General Budget Authority and Obligations

Budget Authority <i>(Dollars in Thousands)</i>	FY 2019	FY 2020	FY 2021
Enacted/Request	\$168,000	\$190,186	\$177,779
Carryover and/or Recoveries (Actual/Estimates/Projections)	\$25,033	\$13,122	-
Rescissions to Current Year/Budget Year	-	-	-
Net Sequestered Resources	-	-	-
Reprogrammings/Transfers	-	-	-
Supplementals	-	-	-
Total Budget Authority	\$193,033	\$203,308	\$177,779
Collections – Reimbursable Resources	\$18,000	\$18,000	\$18,000
Total Budget Resources	\$211,033	\$221,308	\$195,779
Obligations (Actual/Estimates/Projections)	\$197,684	\$221,308	\$195,779
Personnel: Positions and FTE			
Enacted/Request Positions	760	773	725
Enacted/Request FTE	760	756	747
Onboard and Actual FTE; Includes Collections - Reimbursable Resources			
Onboard (Actual/Estimates/Projections)	752	773	725
FTE (Actual/Estimates/Projections)	777	756	747

**Office of Inspector General
Collections - Reimbursable Resources**

Collections <i>(Dollars in Thousands)</i>	FY 2019 Enacted			FY 2020 Enacted			FY 2021 President's Budget			FY 2020 to FY 2021 Change		
	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount
Department of Homeland Security - Departmental Management and Operations Source	-	-	\$18,000	-	-	\$18,000	-	-	\$18,000	-	-	-
Operations and Support Location	-	-	\$18,000	-	-	\$18,000	-	-	\$18,000	-	-	-
Total Collections	-	-	\$18,000	-	-	\$18,000	-	-	\$18,000	-	-	-

**Office of Inspector General
Personnel Compensation and Benefits**

Pay Summary

Organization <i>(Dollars in Thousands)</i>	FY 2019 Enacted				FY 2020 Enacted				FY 2021 President's Budget				FY 2020 to FY 2021 Total			
	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate
Operations and Support	760	760	\$123,423	\$162.34	773	756	\$134,367	\$177.67	725	747	\$133,231	\$178.29	(48)	(9)	(\$1,136)	\$0.62
Total	760	760	\$123,423	\$162.34	773	756	\$134,367	\$177.67	725	747	\$133,231	\$178.29	(48)	(9)	(\$1,136)	\$0.62
Discretionary - Appropriation	760	760	\$123,423	\$162.34	773	756	\$134,367	\$177.67	725	747	\$133,231	\$178.29	(48)	(9)	(\$1,136)	\$0.62

* The FTE Rate calculation does not include Object Class 11.8-Special Personal Services Payments or 13.0-Benefits for Former Personnel.

Pay by Object Class

Pay Object Classes <i>(Dollars in Thousands)</i>	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget	FY 2020 - FY 2021 Change
11.1 Full-time Permanent	\$83,092	\$89,482	\$87,280	(\$2,202)
11.3 Other than Full-Time Permanent	\$158	\$172	\$166	(\$6)
11.5 Other Personnel Compensation	\$5,807	\$6,322	\$7,118	\$796
12.1 Civilian Personnel Benefits	\$34,318	\$38,339	\$38,616	\$277
13.0 Benefits for Former Personnel	\$48	\$52	\$51	(\$1)
Total - Personnel Compensation and Benefits	\$123,423	\$134,367	\$133,231	(\$1,136)
Positions and FTE				
Positions - Civilian	760	773	725	(48)
FTE - Civilian	760	756	747	(9)

**Office of Inspector General
Non Pay Budget Exhibits**

Non Pay Summary

Organization <i>(Dollars in Thousands)</i>	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget	FY 2020 to FY 2021 Total Changes
Operations and Support	\$44,577	\$55,819	\$44,548	(\$11,271)
Total	\$44,577	\$55,819	\$44,548	(\$11,271)
Discretionary - Appropriation	\$44,577	\$55,819	\$44,548	(\$11,271)

Non Pay by Object Class

Non-Pay Object Classes <i>(Dollars in Thousands)</i>	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget	FY 2020 to FY 2021 Change
21.0 Travel and Transportation of Persons	\$2,294	\$3,136	\$2,484	(\$652)
22.0 Transportation of Things	\$22	\$31	\$25	(\$6)
23.1 Rental Payments to GSA	\$12,356	\$15,668	\$15,668	-
23.2 Rental Payments to Others	\$462	\$414	\$414	-
23.3 Communications, Utilities, and Misc. Charges	\$3,373	\$5,531	\$4,381	(\$1,150)
24.0 Printing and Reproduction	\$4	\$10	\$8	(\$2)
25.1 Advisory and Assistance Services	\$11,978	\$6,491	\$5,141	(\$1,350)
25.2 Other Services from Non-Federal Sources	\$524	\$7,322	\$5,800	(\$1,522)
25.3 Other Goods and Services from Federal Sources	\$4,916	\$6,352	\$4,626	(\$1,726)
25.4 Operation and Maintenance of Facilities	\$30	\$832	\$659	(\$173)
25.7 Operation and Maintenance of Equipment	\$6,463	\$3,892	\$3,083	(\$809)
26.0 Supplies and Materials	\$629	\$1,096	\$868	(\$228)
31.0 Equipment	\$1,233	\$4,944	\$1,291	(\$3,653)
32.0 Land and Structures	\$195	-	-	-
42.0 Insurance Claims and Indemnities	\$8	\$10	\$10	-
91.0 Unvouchered	\$90	\$90	\$90	-
Total - Non Pay Object Classes	\$44,577	\$55,819	\$44,548	(\$11,271)

**Office of Inspector General
Supplemental Budget Justification Exhibits**

Working Capital Fund

Appropriation and PPA <i>(Dollars in Thousands)</i>	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget
Operations and Support	\$537	\$361	-
Total Working Capital Fund	\$537	\$361	-

**Office of Inspector General
Proposed Legislative Language**

For necessary expenses of the Office of Inspector General for operations and support, [\$190,186,000] *\$177,779,000*: Provided, that not to exceed \$300,000 may be used for certain confidential operational expenses, including the payment of informants, to be expended at the direction of the Inspector General.

Language Provision	Explanation
...[\$190,186,000] <i>\$177,779,000</i>	Dollar change only. No substantial change proposed.

Department of Homeland Security

Office of Inspector General

Operations and Support



Fiscal Year 2021 Congressional Justification

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Operations and Support

Budget Comparison and Adjustments

Comparison of Budget Authority and Request

Organization <i>(Dollars in Thousands)</i>	FY 2019 Enacted			FY 2020 Enacted			FY 2021 President's Budget			FY 2020 to FY 2021 Total Changes		
	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount
Operations and Support	760	760	\$168,000	773	756	\$190,186	725	747	\$177,779	(48)	(9)	(\$12,407)
Total	760	760	\$168,000	773	756	\$190,186	725	747	\$177,779	(48)	(9)	(\$12,407)
Subtotal Discretionary - Appropriation	760	760	\$168,000	773	756	\$190,186	725	747	\$177,779	(48)	(9)	(\$12,407)

The Operations and Support (O&S) appropriation funds the Office of Inspector General's (OIG) oversight responsibilities as an independent and objective audit, inspection, and investigative entity promoting economy, effectiveness, and efficiency in Department of Homeland Security (DHS) programs and operations. The OIG conducts and supervises independent audits, inspections, and investigations and recommends ways for DHS to carry out its vital responsibilities in the most effective, efficient, and economical manner possible. The OIG seeks to deter, identify, and address fraud, abuse, mismanagement, and waste of taxpayer funds invested in homeland security.

The OIG is an independent entity to which employees, contractors, and the public can report fraud, waste, and abuse that affects the Department. Results of our findings are reported to the DHS Secretary, the Congress, and the public, when appropriate. The OIG seeks to ensure improved transparency, accountability, and efficiency across the Department. Additional information, including the Inspector General's congressional testimony, may be accessed through our website at: www.oig.dhs.gov.

The OIG is committed to delivering relevant, accurate, timely, and high-quality products and services that identify the best use of taxpayer dollars. To accomplish this, we conduct integrated audits, inspections, evaluations, and investigations focused on high-risk and high-impact, vulnerable programs and activities. Our unified, coordinated program of independent and objective audits, inspections, evaluations, and investigations helps the Department fulfill its vital mission to secure our Nation and safeguard its people. The OIG was established through the *Homeland Security Act of 2002* by amendment to the *Inspector General Act of 1978* and proudly serves the men and women of the Department and its Secretary, the President, the Congress, and the American people.

As an agent of change, the OIG detects and eliminates fraud, waste, and abuse; identifies risk areas; and recommends corrective actions for Department management to implement. The OIG aligns its work with the Department's five mission areas: 1) preventing terrorism and enhancing security; 2) securing and managing our borders; 3) enforcing and administering immigration laws; 4) safeguarding and securing cyberspace; and 5) ensuring resilience to disasters. We conduct legislatively mandated work and requested reviews to address the concerns of the Congress, the Department, and other stakeholders.

Our office reflects the size and complexity of the Department. In a typical year, we issue nearly 100 audit and inspection reports and conduct over 900 investigations. In our audit and inspection reports, we make over 300 recommendations in an average year. We receive over 40,000 complaints through our hotline and website. The OIG conveys to the Department and its stakeholders impartial, timely information about the performance of programs and operations, and objectively assesses emerging concerns. Our recommendations are designed to promote good governance, informed decision making, and accountability.

The OIG is committed to helping the Department achieve its critical missions and ensuring the proper stewardship and integrity of Departmental programs and resources.

Included in the FY 2021 request is \$1.9M for training and \$0.6M to support the Council of the Inspectors General on Integrity and Efficiency (CIGIE).

Inspector General’s Comments: Sections 6(g)(3)(E) and 4(a)(5) of the Inspector General Act require the Inspector General to submit a separate message to the Congress regarding its budget where, as here, “the Inspector General concludes that the budget submitted by the President would substantially inhibit the Inspector General from performing the duties of the office.” Additionally, section 6(g)(3)(A) requires us to inform the Congress of the budget estimate we proposed to the Department. These requirements are unique to Offices of Inspector General (OIG) and recognize the independence and dual reporting that are central to the IG mission. This statement satisfies these requirements.

For the FY 2021 Budget, the OIG submitted a funding request to the Department for \$195.6 million, an increase of \$25.4 million more than the FY 2020 President’s Budget request of \$170.2 million. The FY 2021 President’s Budget, however, sets our funding level at \$177.8 million, a \$7.6 million increase above the FY 2020 President’s Budget, and includes \$3.8 million to continue critical disaster-related audits and investigations that we initiated using FY 2018 supplemental funding. That supplemental funding expires at the end of FY 2020.

After final development of the FY 2021 President’s Budget, Congress enacted FY 2020 appropriations for our office at \$190.2 million. The FY 2020 appropriation is \$22.2 million above the FY 2019 Enacted amount of \$168 million, and would result in a \$12.4 million decrease in FY 2021 at the President’s Budget level, which is set at \$177.8 million.

In summary, if all factors remained, a crosswalk from the FY 2019 and FY 2020 appropriations to the FY 2021 President’s Budget reflects our budget will swing up from \$168 million to \$190.2 million then back down to \$177.8 million, respectively.

Our full requested funding at \$195.6 million is essential to sustain FY 2020 operations into FY 2021 at the FY 2020 appropriated level and maintain oversight capacity commensurate with the Department’s growth in several high-risk areas, including frontline security and infrastructure along the southern border, cybersecurity defenses, major acquisitions and investments, and accelerated hiring of law enforcement personnel.

Importantly, from FY 2017 to FY 2019, the Department experienced substantial growth, while comparatively our budget declined. During that time, DHS grew at an increasing rate in total budget authority (not including supplemental funds), while our budget has decreased by 4%. Additionally, DHS has also grown in authorized full-time equivalents (FTE) while contemporaneously we decreased 5.7% in authorized FTE due to our declining budget. Our FY 2020 appropriations begins to stem this historical downward trend in our budget.

The Department’s recent growth has been focused on implementing the Federal government’s most diverse programmatic portfolios, including high profile and high-risk initiatives. These high-risk areas include the acquisition of physical barriers and new security technologies along the southwest border, billions of dollars in disaster mitigation and relief funding, and increased hiring of personnel across the Department. Given the dynamic nature of these initiatives and the historical challenges that the Department has encountered, a lapse in adequately funding our office significantly increases the risk that there will not be sufficient and robust oversight of these missions and functions.

Our requested resources of \$195.6 million will ensure we have the requisite capacity to respond to the increased risk of fraud, waste, and abuse in DHS programs and operations; help the Department meet its public safety and national security mission; and undertake congressionally requested audits, inspections, and investigations. Funding at a lower level will have an adverse impact on our ability to fulfill our important oversight mission.

Operations and Support

Budget Authority and Obligations

Budget Authority <i>(Dollars in Thousands)</i>	FY 2019	FY 2020	FY 2021
Enacted/Request	\$168,000	\$190,186	\$177,779
Carryover and/or Recoveries (Actual/Estimates/Projections)	\$25,033	\$13,122	-
Rescissions to Current Year/Budget Year	-	-	-
Net Sequestered Resources	-	-	-
Reprogrammings/Transfers	-	-	-
Supplementals	-	-	-
Total Budget Authority	\$193,033	\$203,308	\$177,779
Collections – Reimbursable Resources	\$18,000	\$18,000	\$18,000
Total Budget Resources	\$211,033	\$221,308	\$195,779
Obligations (Actual/Estimates/Projections)	\$197,684	\$221,308	\$195,779
Personnel: Positions and FTE			
Enacted/Request Positions	760	773	725
Enacted/Request FTE	760	756	747
Onboard and Actual FTE; Includes Collections - Reimbursable Resources			
Onboard (Actual/Estimates/Projections)	752	773	725
FTE (Actual/Estimates/Projections)	777	756	747

*FY 2019 Actual Onboard and FTE include personnel supported with both annual and supplemental funding.

Operations and Support Summary of Budget Changes

Budget Formulation Activity <i>(Dollars in Thousands)</i>	Positions	FTE	Amount
FY 2019 Enacted	760	760	\$168,000
FY 2020 Enacted	773	756	\$190,186
FY 2021 Base Budget	773	756	\$190,186
Transfer for WCF Removals from OIG/O&S to A&O	-	-	(\$122)
Transfer for WCF Removals from OIG/O&S to MGMT/CFO	-	-	(\$2)
Transfer for WCF Removals from OIG/O&S to MGMT/CHCO	-	-	(\$63)
Transfer for WCF Removals from OIG/O&S to MGMT/CIO	-	-	(\$49)
Transfer for WCF Removals from OIG/O&S to MGMT/CPO	-	-	(\$1)
Transfer for WCF Removals from OIG/O&S to MGMT/CRSO	-	-	(\$113)
Transfer for WCF Removals from OIG/O&S to MGMT/CSO	-	-	(\$149)
Total Transfers	-	-	(\$499)
2020 Pay Raise	-	-	\$2,876
2021 Pay Raise	-	-	\$714
FERS Agency Contribution	-	-	\$1,219
FPS Fee Adjustment	-	-	\$94
Total, Pricing Increases	-	-	\$4,903
Total Adjustments-to-Base	-	-	\$4,404
FY 2021 Current Services	773	756	\$194,590
Awards Spending Increase	-	-	\$952
Total, Program Increases	-	-	\$952
Audits and Investigations Reductions	(48)	(9)	(\$15,490)
Contract Reductions	-	-	(\$2,273)
Total, Program Decreases	(48)	(9)	(\$17,763)
FY 2021 Request	725	747	\$177,779
FY 2020 To FY 2021 Change	(48)	(9)	(\$12,407)

Operations and Support Justification of Transfers

Transfers <i>(Dollars in Thousands)</i>	FY 2021 President's Budget		
	Positions	FTE	Amount
Transfer 1 - Transfer for WCF Removals from OIG/O&S to A&O	-	-	(\$122)
Transfer 2 - Transfer for WCF Removals from OIG/O&S to MGMT/CFO	-	-	(\$2)
Transfer 3 - Transfer for WCF Removals from OIG/O&S to MGMT/CHCO	-	-	(\$63)
Transfer 4 - Transfer for WCF Removals from OIG/O&S to MGMT/CIO	-	-	(\$49)
Transfer 5 - Transfer for WCF Removals from OIG/O&S to MGMT/CPO	-	-	(\$1)
Transfer 6 - Transfer for WCF Removals from OIG/O&S to MGMT/CRSO	-	-	(\$113)
Transfer 7 - Transfer for WCF Removals from OIG/O&S to MGMT/CSO	-	-	(\$149)
Total Transfers	-	-	(\$499)

Transfer 1 - 7 – Transfer for WCF Removals: These transfers represent costs associated with the removal of the following activities from the Working Capital Fund which is being eliminated as part of the FY 2021 Request: C-LAN, Interagency Council Funding, Human Capital Business System, e-Training, USAJOBS, Enterprise HR Integration, Human Resources Line of Business, Research Library & Information Services, e-Rulemaking, e-gov Benefits, Financial Management Line of Business, Geospatial Line of Business, Budget Formulation and Execution Line of Business, Mail Services, HSPD-12, e-gov Integrated Awards Environment, and Background Investigations.

Operations and Support Justification of Pricing Changes

Pricing Changes <i>(Dollars in Thousands)</i>	FY 2021 President's Budget		
	Positions	FTE	Amount
Pricing Change 1 - 2020 Pay Raise	-	-	\$2,876
Pricing Change 2 - 2021 Pay Raise	-	-	\$714
Pricing Change 3 - FERS Agency Contribution	-	-	\$1,219
Pricing Change 4 - FPS Fee Adjustment	-	-	\$94
Total Pricing Changes	-	-	\$4,903

Pricing Change 1 – 2020 Pay Raise: This pricing change reflects the costs to support the 2020 enacted 3.1% pay increase. This includes one quarter of funding for Calendar Year 2020 and three quarters to annualize the funding in Calendar Year 2021.

Pricing Change 2 – 2021 Pay Raise: This pricing change reflects the impact of the 2021 1.0% pay increase.

Pricing Change 3 – FERS Agency Contribution: Per OMB Circular A-11, agency FERS contributions increased. The regular FERS agency contribution increased by 1.3% from 16.0% in FY 2020 to 17.3% in FY 2021. The Law Enforcement FERS agency contribution increased by 2.4% from 33.4% to 35.8%. The agency contribution amount for CSRS did not change.

Pricing Change 4 – FPS Fee Adjustment: This pricing change will be used to offset anticipated increases to the OIG in Federal Protective Service (FPS) basic security fees due to a change in the FPS basic security fee and assessment model.

Operations and Support Justification of Program Changes

Program Changes <i>(Dollars in Thousands)</i>	FY 2021 President's Budget		
	Positions	FTE	Amount
Program Change 1 - Audits and Investigations Reductions	(48)	(9)	(\$15,490)
Program Change 2 - Awards Spending Increase	-	-	\$952
Program Change 3 - Contract Reductions	-	-	(\$2,273)
Total Program Changes	(48)	(9)	(\$16,811)

Program Change 1 – Audits and Investigations Reductions:

Description

The FY 2021 Request includes a reduction of 48 positions, 9 FTE, and \$15.5M from the Audits and Investigations program areas. The base for this program is 585 positions, 570 FTE, and \$90.6M.

Justification

The OIG conducts and supervises independent audits, inspections, and investigations and recommends ways for DHS to carry out its vital responsibilities in the most effective, efficient, and economical manner possible. The OIG seeks to deter, identify, and address fraud, abuse, mismanagement, and waste of taxpayer funds invested in homeland security.

Performance

This proposed reduction will negatively impact the OIG's ability to respond to the increased risk of fraud, waste, and abuse in DHS programs and operations; undertake congressionally requested audits, inspections, and investigations; and assist the Department meet its public safety and national security mission.

Program Change 2 – Awards Spending Increase:**Description**

The FY 2021 Request includes an increase of \$0.9M for Awards Spending. The base for this program is \$0.9M.

Justification

Consistent with this guidance, the FY 2021 Request increases awards spending to support strategic workforce development. On July 12, 2019, OMB issued Memorandum 19-24 Guidance on Awards for Employees and Agency Workforce Funding Plan. This Memorandum directs agencies to review and update their current awards spending plans in order to: (1) support the strategic use of awards and recognition throughout the year; 2) address workforce challenges and recognize high performing employees; and 3) recognize those employees with talent critical to mission achievement.

Performance

The FY 2021 Request supports the agency workforce planning requirements by providing a one percent increase for awards spending. The additional funding will help drive positive behavior by recognizing accomplishments of agency personnel, thereby fostering a culture of recognition.

Program Change 3 – Contract Reductions:**Description**

The FY 2021 Request includes a reduction of \$2.3M from audit and IT services support contracts. The base for this program is \$24.7M.

Justification

The OIG relies on contracts and contracted personnel to support and augment audit and investigative work and the information technology infrastructure and communications network.

Performance

This proposed reduction will negatively impact OIG's operations by delaying the completion of several annual audits as a result of shifting the workload to a limited federal workforce/staff or in some cases extending the period of performance as a result of reduced contracted work hours available. Additionally, this reduction will decrease the critical IT and communications support provided directly to audits and investigations personnel by increasing the time required to complete scheduled software and equipment upgrades and maintenance as a result of fewer available contracted work hours.

Operations and Support
Personnel Compensation and Benefits

Pay Summary

Organization (Dollars in Thousands)	FY 2019 Enacted				FY 2020 Enacted				FY 2021 President's Budget				FY 2020 to FY 2021 Total			
	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate
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Total	760	760	\$123,423	\$162.34	773	756	\$134,367	\$177.67	725	747	\$133,231	\$178.29	(48)	(9)	(\$1,136)	\$0.62
Discretionary - Appropriation	760	760	\$123,423	\$162.34	773	756	\$134,367	\$177.67	725	747	\$133,231	\$178.29	(48)	(9)	(\$1,136)	\$0.62

* The FTE Rate calculation does not include Object Class 11.8-Special Personal Services Payments or 13.0-Benefits for Former Personnel.

Pay by Object Class

Pay Object Classes (Dollars in Thousands)	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget	FY 2020 - FY 2021 Change
11.1 Full-time Permanent	\$83,092	\$89,482	\$87,280	(\$2,202)
11.3 Other than Full-Time Permanent	\$158	\$172	\$166	(\$6)
11.5 Other Personnel Compensation	\$5,807	\$6,322	\$7,118	\$796
12.1 Civilian Personnel Benefits	\$34,318	\$38,339	\$38,616	\$277
13.0 Benefits for Former Personnel	\$48	\$52	\$51	(\$1)
Total - Personnel Compensation and Benefits	\$123,423	\$134,367	\$133,231	(\$1,136)
Positions and FTE				
Positions - Civilian	760	773	725	(48)
FTE - Civilian	760	756	747	(9)

Pay Cost Driver

Pay Cost Driver <i>(Dollars in Thousands)</i>	FY 2019 Enacted			FY 2020 President's Budget			FY 2021 President's Budget			FY 2020 to FY 2021 Total Changes		
	FTE	Amount	Rate	FTE	Amount	Rate	FTE	Amount	Rate	FTE	Amount	Rate
Civilian Pay and Benefits (O&S)	760	\$123,423	\$162.34	756	\$134,367	\$177.67	747	\$133,231	\$178.29	(9)	(\$1,136)	\$0.62
Total – Pay Cost Driver	760	\$123,423	\$162.34	756	\$134,367	\$177.67	747	\$133,231	\$178.29	(9)	(\$1,136)	\$0.62

*The FY 2020 and FY 2021 FTE Rate increases due to pay raises, an increase in FERS contribution, and an anticipated awards increase.

Explanation of Pay Cost Driver

Civilian Pay and Benefits (O&S): FY 2021 civilian pay and benefits resources funds OIG personnel conducting oversight, audit, inspection, and investigative responsibilities. Changes from FY 2020 include the reduction of 9 FTE, funding for a mandatory FERS increase, and funding to support the pay and awards increases.

Operations and Support Permanent Positions by Grade – Appropriation

Grades and Salary Range <i>(Dollars in Thousands)</i>	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget	FY 2020 to FY 2021 Change
Total, SES	19	19	19	-
GS-15	66	68	64	(4)
GS-14	185	190	178	(12)
GS-13	310	312	292	(20)
GS-12	120	124	116	(8)
GS-11	30	30	28	(2)
GS-9	20	20	19	(1)
GS-7	10	10	9	(1)
Total Permanent Positions	760	773	725	(48)
Total Perm. Employment (Filled Positions) EOY	760	773	725	(48)
Position Locations				
Headquarters	321	326	306	(20)
U.S. Field	439	447	419	(28)
Averages				
Average Personnel Costs, ES Positions	176,550	182,023	183,843	1,820
Average Personnel Costs, GS Positions	112,393	115,877	118,195	2,318
Average Grade, GS Positions	13	13	13	-

Operations and Support Non Pay Budget Exhibits

Non Pay Summary

Organization <i>(Dollars in Thousands)</i>	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget	FY 2020 to FY 2021 Total Changes
Operations and Support	\$44,577	\$55,819	\$44,548	(\$11,271)
Total	\$44,577	\$55,819	\$44,548	(\$11,271)
Discretionary - Appropriation	\$44,577	\$55,819	\$44,548	(\$11,271)

Non Pay by Object Class

Non-Pay Object Classes <i>(Dollars in Thousands)</i>	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget	FY 2020 to FY 2021 Change
21.0 Travel and Transportation of Persons	\$2,294	\$3,136	\$2,484	(\$652)
22.0 Transportation of Things	\$22	\$31	\$25	(\$6)
23.1 Rental Payments to GSA	\$12,356	\$15,668	\$15,668	-
23.2 Rental Payments to Others	\$462	\$414	\$414	-
23.3 Communications, Utilities, and Misc. Charges	\$3,373	\$5,531	\$4,381	(\$1,150)
24.0 Printing and Reproduction	\$4	\$10	\$8	(\$2)
25.1 Advisory and Assistance Services	\$11,978	\$6,491	\$5,141	(\$1,350)
25.2 Other Services from Non-Federal Sources	\$524	\$7,322	\$5,800	(\$1,522)
25.3 Other Goods and Services from Federal Sources	\$4,916	\$6,352	\$4,626	(\$1,726)
25.4 Operation and Maintenance of Facilities	\$30	\$832	\$659	(\$173)
25.7 Operation and Maintenance of Equipment	\$6,463	\$3,892	\$3,083	(\$809)
26.0 Supplies and Materials	\$629	\$1,096	\$868	(\$228)
31.0 Equipment	\$1,233	\$4,944	\$1,291	(\$3,653)
32.0 Land and Structures	\$195	-	-	-
42.0 Insurance Claims and Indemnities	\$8	\$10	\$10	-
91.0 Unvouchered	\$90	\$90	\$90	-
Total - Non Pay Object Classes	\$44,577	\$55,819	\$44,548	(\$11,271)

Non Pay Cost Drivers

Non Pay Cost Drivers <i>(Dollars in Thousands)</i>	FY 2019 Enacted	FY 2020 President's Budget	FY 2021 President's Budget	FY 2019 to FY 2020 Total Changes
Other Contractual Services	\$17,448	\$20,997	\$16,226	(\$4,771)
Rental Payments	\$12,818	\$16,082	\$16,082	-
Communications and Utilities	\$3,373	\$5,531	\$4,381	(\$1,150)
Equipment (including Operation and Maintenance)	\$7,696	\$8,836	\$4,374	(\$4,462)
Travel	\$2,294	\$3,136	\$2,484	(\$652)
Other Costs	\$948	\$1,237	\$1,001	(\$236)
Total - Non Pay Cost Drivers	\$44,577	\$55,819	\$44,548	(\$11,271)

Explanation of Non Pay Cost Drivers

Other Contractual Services: These costs support OIG's mission activities and include personnel training, program support, and information technology. The change in FY 2021 is due to the transfer of certain activities out of the Working Capital Fund and due to contract reductions.

Rental Payments: Rental payments remain flat in FY 2021. This cost driver includes both GSA and non-GSA rental payments.

Communications and Utilities: These costs support OIG's mission activities and a decrease in FY 2021 due to the decrease in personnel and funding.

Equipment: These costs support equipment and the operations and maintenance of equipment in support of the OIG's mission. The change in FY 2021 is due to the reduction in funding and personnel.

Travel: Funding for travel supports OIG's audit, investigation, and inspection responsibilities across the United States. The decrease in FY 2021 is due to the decrease in funding and personnel.

Other Costs: This cost driver includes funding for Transportation of Things, Printing and Reproduction, and Supplies and Materials, all of which support OIG's workforce. The decrease in FY 2021 is due to the reduction of personnel and funding.