List of DHS's Open Unclassified GAO and OIG Recommendations Issued On or Before March 18, 2018

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GAO-09-12	Flood Insurance: FEMA's Rate-Setting Process Warrants Attention	10/31/2008	1	The Secretary of the Department of Homeland Security should direct FEMA to take steps to ensure that its rate-setting methods and the data it uses to set rates result in full-risk premiums rates that accurately reflect the risk of losses from flooding. These steps should include, for example, verifying the accuracy of flood probabilities, damage estimates, and flood maps; ensuring that the effects of long-term planned and ongoing development, as well as climate change, are reflected in the flood probabilities used; and reevaluating the practice of aggregating risks across zones.
GAO-09-12	Flood Insurance: FEMA's Rate-Setting Process Warrants Attention	10/31/2008	2	The Secretary of the Department of Homeland Security should direct FEMA to ensure that information is collected on the location, number, and losses associated with existing and newly created grandfathered properties in NFIP and to analyze the financial impact of these properties on the flood insurance program.
GAO-09-455	Flood Insurance: Opportunities Exist to Improve Oversight of the WYO Program	08/21/2009	5	To increase the usefulness of the data reported by WYOs to the National Association NAIC and to institutionalize FEMA's use of such data, the Secretary of Homeland Security should direct the Under Secretary of Homeland Security, FEMA, to develop comprehensive data analysis strategies to annually test the quality of flood insurance data that WYOs report to NAIC.
GAO-09-455	Flood Insurance: Opportunities Exist to Improve Oversight of the WYO Program	08/21/2009	6	To increase the usefulness of the data reported by WYOs to the National Association of Insurance Commissioners (NAIC) and to institutionalize FEMA's use of such data, the Secretary of Homeland Security should direct the Under Secretary of Homeland Security, FEMA, to take actions to obtain reasonable assurance that NAIC flood insurance expense data can be considered in setting payment rates that are appropriate, including identifying affiliated company profits in reported flood insurance expenses.
GAO-09-455	Flood Insurance: Opportunities Exist to Improve Oversight of the WYO Program	08/21/2009	7	To provide transparency and accountability over the payments FEMA makes to WYOs for expenses and profits, the Secretary of Homeland Security should direct the Under Secretary of Homeland Security, FEMA, to annually analyze the amounts of actual expenses and profit in relation to the estimated amounts used in setting payment rates.
GAO-09-455	Flood Insurance: Opportunities Exist to Improve Oversight of the WYO Program	08/21/2009	8	To provide transparency and accountability over the payments FEMA makes to WYOs for expenses and profits, the Secretary of Homeland Security should direct the Under Secretary of Homeland Security, FEMA, to consider the results of the analysis of payments, actual expenses, and profit in evaluating the methods for paying WYOs.
GAO-09-455	Flood Insurance: Opportunities Exist to Improve Oversight of the WYO Program	08/21/2009	9	To provide transparency and accountability over the payments FEMA makes to WYOs for expenses and profits, the Secretary of Homeland Security should direct the Under Secretary of Homeland Security, FEMA, to determine in advance the amounts built into the payment rates for estimated expenses and profit.

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GAO-09-824	Border Patrol: Checkpoints Contribute to Border Patrol's Mission, but More Consistent Data Collection and Performance Measurement Could Improve Effectiveness	08/31/2009	2	2. To improve the reliability and accountability of checkpoint performance results to the Congress and the public, the Commissioner of Customs and Border Protection should establish internal controls for management oversight of the accuracy, consistency, and completeness of checkpoint performance data.
GAO-09-824	Border Patrol: Checkpoints Contribute to Border Patrol's Mission, but More Consistent Data Collection and Performance Measurement Could Improve Effectiveness	08/31/2009	3	3. To improve the reliability and accountability of checkpoint performance results to the Congress and the public, the Commissioner of Customs and Border Protection should implement the quality of life measures that have already been identified by the Border Patrol to evaluate the impact that checkpoints have on local communities. Implementing these measures would include identifying appropriate data sources available at the local, state, or federal level, and developing guidance for how data should be collected and used in support of these measures.
GAO-09-824	Border Patrol: Checkpoints Contribute to Border Patrol's Mission, but More Consistent Data Collection and Performance Measurement Could Improve Effectiveness	08/31/2009	4	4. To improve the reliability and accountability of checkpoint performance results to the Congress and the public, the Commissioner of Customs and Border Protection should use the information generated from the quality of life measures in conjunction with other relevant factors to inform resource allocations and address identified impacts.
GAO-09-824	Border Patrol: Checkpoints Contribute to Border Patrol's Mission, but More Consistent Data Collection and Performance Measurement Could Improve Effectiveness	08/31/2009	6	6. To ensure that the checkpoint design process results in checkpoints that are sized and resourced to meet operational and community needs, the Commissioner of Customs and Border Protection should, in connection with planning for new or upgraded checkpoints, conduct a workforce planning needs assessment for checkpoint staffing allocations to determine the resources needed to address anticipated levels of illegal activity around the checkpoint.
OIG-11-16	Customs and Border Protection Implementation of the Western Hemisphere Travel Initiative at Land Ports of Entry	12/13/2010	3	We recommend the Assistant Commissioner, Office of Field Operations finalize and issue WHTI full enforcement operational guidance that includes minimum inspection requirements and the specific procedures that CBP officers must follow to process WHTI-noncompliant travelers and to establish their identity and citizenship.
OIG-11-16	Customs and Border Protection Implementation of the Western Hemisphere Travel Initiative at Land Ports of Entry	12/13/2010	4	We recommend the Assistant Commissioner, Office of Field Operations Establish a firm target date for moving from informed compliance to full enforcement of WHTI land document requirements.

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GAO-11-657	Transportation Worker Identification Credential: Internal Control Weaknesses Need to be Correctred to Help Achieve Security Objecitves	05/10/2011	1	To identify effective and cost-efficient methods for meeting TWIC program objectives, and assist in determining whether the benefits of continuing to implement and operate the TWIC program in its present form and planned use with readers surpass the costs, we recommend that the Secretary of Homeland Security take the following four actions: Perform an internal control assessment of the TWIC program by (1) analyzing existing controls, (2) identifying related weaknesses and risks, and (3) determining cost-effective actions needed to correct or compensate for those weaknesses so that reasonable assurance of meeting TWIC program objectives can be achieved. This assessment should consider weaknesses we identified in this report among other things, and include:•strengthening the TWIC program's controls for preventing and detecting identity fraud, such as requiring certain biographic information from applicants and confirming the information to the extent needed to positively identify the individual, or implementing alternative mechanisms to positively identify individuals;•defining the term extensive criminal history for use in the adjudication process and ensuring that adjudicators follow a clearly defined and consistently applied process, with clear criteria, in considering the approval or denial of a TWIC for individuals with extensive criminal convictions not defined as permanent or interim disqualifying offenses; and•identifying mechanisms for detecting whether TWIC holders continue to meet TWIC disqualifying criminal offense and immigration-related eligibility requirements after TWIC issuance to prevent unqualified individuals from retaining and using authentic TWICs.
GAO-11-657	Transportation Worker Identification Credential: Internal Control Weaknesses Need to be Correctred to Help Achieve Security Objecitves	05/10/2011	2	Conduct an effectiveness assessment that includes addressing internal control weaknesses and, at a minimum, evaluates whether use of TWIC in its present form and planned use with readers would enhance the posture of security beyond efforts already in place given costs and program risks.
GAO-11-657	Transportation Worker Identification Credential: Internal Control Weaknesses Need to be Correctred to Help Achieve Security Objecitves	05/10/2011	3	Use the information from the internal control and effectiveness assessments as the basis for evaluating the costs, benefits, security risks, and corrective actions needed to implement the TWIC program in a manner that will meet stated mission needs and mitigate existing security risks as part of conducting the regulatory analysis on implementing a new regulation on the use of TWIC with biometric card readers.
GAO-11-297	FEMA: Action Needed to Improve Administration of the National Flood Insurance Program	06/09/2011	2	To improve strategic planning, performance management, and program oversight within and related to NFIP, we recommend that the Secretary of DHS direct the FEMA Administrator: develop a comprehensive workforce plan according to PKEMRA that identifies agency staffing and skills requirements, addresses turnover and staff vacancies, and analyzes FEMA's use of contractors.

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GAO-11-297	FEMA: Action Needed to Improve Administration of the National Flood Insurance Program	06/09/2011	7	To improve FEMA's policies, procedures, and systems for achieving NFIP's program goals, we recommend that the Secretary of DHS direct the FEMA Administrator: establish timelines for and complete the development and implementation of FEMA's revised acquisition process, in line with the DHS Acquisition Directive 102-01, including a rollout process with staff training and a mechanism to better ensure that all acquisitions undergo the necessary reviews.
OIG-11-92	Efficacy of Customs and Border Protection's Bonding Process	06/27/2011	4	We recommend that Customs and Border Protection's Assistant Commissioners improve revenue risk management by developing a risk-based bonding methodology for use on high-risk revenue imports that incorporates continuous bonds and single transaction bonds.
GAO-11-857	FEDERAL COURTHOUSES: Improved Collaboration Needed to Meet the Needs of a Complex Security Environment	10/28/2011	1	The Secretary of Homeland Security and Attorney General should instruct the Director of FPS, and the Director of the Marshals Service, respectively, to jointly lead an effort, in consultation and agreement with the judiciary and GSA, to update the MOA on courthouse security to address the challenges discussed in this report. Specifically, in this update to the MOA stakeholders should: (1) clarify federal stakeholders' roles and responsibilities including, but not limited to, the conditions under which stakeholders may assume each other's responsibilities and whether such agreements should be documented; and define GSA's responsibilities and determine whether GSA should be included as a signatory to the updated MOA; (2) outline how they will ensure greater participation of relevant stakeholders in court or facility security committees; and (3) specify how they will complete required risk assessments for courthouses, referred to by the Marshals Service as court security facility surveys and by FPS as facility security assessments (FSA), and ensure that the results of those assessments are shared with relevant stakeholders, as appropriate.
GAO-12-22	ARIZONA BORDER SURVEILLANCE TECHNOLOGY - More Information on Plans and Costs Is Needed Before Proceeding	11/04/2011	2	determine the mission benefits to be derived from implementation of the plan and develop and apply key attributes for metrics to assess program implementation; and
OIG-12-18	FEMA's Process for Tracking Public Assistance Insurance Requirements	12/16/2011	3	We recommend that the Associate Administrator, Response and Recovery: Modify EMMIE or EDW so that FEMA can use the data stored in EMMIE to determine if an applicant previously received disaster assistance for a damaged facility.
OIG-12-18	FEMA's Process for Tracking Public Assistance Insurance Requirements	12/16/2011	4	We recommend that the Associate Administrator, Response and Recovery:

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GAO-12-246	Export Controls: Proposed Reforms Create Opportunities to Address Enforcement Challenges	03/27/2012	3	Recommend that the Secretary of Homeland Security, in consultation with departmental representatives of the Export Enforcement Coordination Center, including Commerce, Justice, State, and the Treasury leverage export control enforcement resources across agencies by building on existing agency efforts to track resources expended, as well as existing agency coordination at the local level; establish procedures to facilitate data sharing between the enforcement agencies and intelligence community to measure illicit transshipment activity; and develop qualitative and quantitative measures of effectiveness for the entire enforcement community to baseline and trend this data.
GAO-12-487	Federal Emergency Management Agency: Workforce Planning and Training Could Be Enhanced by Incorporating Strategic Management Principles	04/26/2012	1	To help ensure that FEMA's agencywide workforce planning and training efforts are conducted in a comprehensive and integrated manner, we recommend that the FEMA Administrator identify and document long-term and quantifiable mission critical goals that reflect the agency's priorities for workforce planning and training
GAO-12-487	Federal Emergency Management Agency: Workforce Planning and Training Could Be Enhanced by Incorporating Strategic Management Principles	04/26/2012	2	To help ensure that FEMA's agencywide workforce planning and training efforts are conducted in a comprehensive and integrated manner, we recommend that the FEMA Administrator . establish a time frame for completing the development of quantifiable performance measures related to workforce planning and training efforts
GAO-12-487	Federal Emergency Management Agency: Workforce Planning and Training Could Be Enhanced by Incorporating Strategic Management Principles	04/26/2012	4	To better inform FEMA's decision-making related to agencywide workforce planning and training efforts we recommend that the FEMA Administrator develop systematic processes to collect and analyze workforce and training data.
GAO-12-739	FPS: Actions Needed to Assess Risk and Better Manage Contract Guards at Federal Facilities	09/10/2012	4	Given the challenges that FPS faces in assessing risks to federal facilities and managing its contract guard workforce, we recommend that the Secretary of Homeland Security direct the Under Secretary of NPPD and the Director of FPS to develop and implement a new comprehensive and reliable system for contract guard oversight.
GAO-12-739	FPS: Actions Needed to Assess Risk and Better Manage Contract Guards at Federal Facilities	09/10/2012	5	Given the challenges that FPS faces in assessing risks to federal facilities and managing its contract guard workforce, we recommend that the Secretary of Homeland Security direct the Under Secretary of NPPD and the Director of FPS to verify independently that FPS's contract guards are current on all training and certification requirements.

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GAO-12-838	Federal Disaster Assistance: Improved Criteria Needed to Assess a Jurisdiction's Capability to Respond and Recover on Its Own	09/13/2012	1	To increase the efficiency and effectiveness of the process for disaster declarations, we recommend that the FEMA Administrator develop and implement a methodology that provides a more comprehensive assessment of a jurisdiction's capability to respond to and recover from a disaster without federal assistance. This should include one or more measures of a jurisdiction's fiscal capacity, such as TTR, and consideration of the jurisdiction's response and recovery capabilities. If FEMA continues to use the PA per capita indicator to assist in identifying a jurisdiction's capabilities to respond to and recover from a disaster, it should adjust the indicator to accurately reflect the annual changes in the U.S. economy since 1986, when the current indicator was first adopted for use. In addition, implementing the adjustment by raising the indicator in steps over several years would give jurisdictions more time to plan for and adjust to the change.
GAO-12-833	HOMELAND SECURITY DHS Requires More Disciplined Investment Management to Help Meet Mission Needs	09/18/2012	4	Once the department's acquisition programs comply with DHS acquisition policy, prioritize major acquisition programs departmentwide and ensure that the department's acquisition portfolio is consistent with DHS's anticipated resource constraints
OIG-12-132	CBP's Strategy to Address Illicit Cross-Border Tunnels	09/26/2012	2	Complete the planned Concept of Operations document describing how the identified capabilities need to function from across component perspective to support the Analysis of Alternatives for the Tunnel Detection and Technology Program.
GAO-13-11	Critical Infrastructure Protection: An Implementation Strategy Could Advance DHS's Coordination of Resilience Efforts across Ports and Other Infrastructure	10/25/2012	1	Direct the Assistant Secretary of Policy to develop an implementation strategy for this new policy that identifies the following characteristics and others that may be deemed approropriate:
GAO-13-200	Registered Sex Offenders: Sharing More Information Will Enable Federal Agencies to Improve Notifications of Sex Offenders' International Travel	02/14/2013	2	The Attorney General and the Secretary of Homeland Security take steps to help ensure that USNCB and ICE have information on the same number of registered sex offenders as well as the same level of detail on registered sex offenders traveling internationally. Such steps could include USNCB and ICE copying each other on their notifications to their foreign counterparts or USNCB receiving information directly from NTC.
OIG-13-80	U.S. Immigration and Custom Enforcement's Enforcement and Removal Operations' Contract Funding and Payment Processes	04/24/2013	1	Develop a plan in conjunction with the Office of Acquisition to ensure that ICE contracting officials provide written notification to vendors indicating that funds are available before the performance start date of services for all contracts with the SAF clause.
OIG-13-80	U.S. Immigration and Custom Enforcement's Enforcement and Removal Operations' Contract Funding and Payment Processes	04/24/2013	3	Ensure timely and sufficient obligation of funds within the financial system, in compliance with laws and regulations.
OIG-13-80	U.S. Immigration and Custom Enforcement's Enforcement and Removal Operations' Contract Funding and Payment Processes	04/24/2013	4	Continue implementation of corrective actions, ensuring that pertinent officials and personnel are aware of official plans.

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GAO-13-607	FLOOD INSURANCE: More Information Needed on Subsidized Properties	07/03/2013	1	We recommend that the Secretary of the Department of Homeland Security (DHS) direct the FEMA Administrator to develop and implement a plan, including a timeline, to obtain needed elevation information as soon as practicable
OIG-13-104	Technical Security Evaluation of DHS Activities at Hartsfield-Jackson Atlanta International Airport	07/12/2013	9	We recommend that the ICE Chief Information Officer scan all ICE servers at the SAC Atlanta and AAG sites annually.
OIG-13-104	Technical Security Evaluation of DHS Activities at Hartsfield-Jackson Atlanta International Airport	07/12/2013	12	We recommend that the ICE Chief Information Officer complete activities to include the HSI communications analysis and surveillance system server as part of a recognized FISMA-inventoried system.
GAO-13-603	U.S.-Mexico Border: CBP Action Needed to Improve Wait Time Data and Measure Outcomes of Trade Facilitation Efforts	07/24/2013	3	To better ensure that CBP's OFO's staffing processes are transparent and to help ensure CBP can demonstrate that these resource decisions have effectively addressed CBP's mission needs, we recommend that the Commissioner of CBP document the methodology and process OFO uses to allocate staff to land ports of entry on the southwest border, including the rationales and factors considered in making these decisions.
OIG-13-110	DHS Needs To Strengthen Information Technology Continuity and Contingency Planning Capabilities	08/28/2013	7	We recommend that the Chief Information Officer in coordination with CBP officials identify and establish adequate alternate facilities for the NOC and SOC.
OIG-13-110	DHS Needs To Strengthen Information Technology Continuity and Contingency Planning Capabilities	08/28/2013	9	We recommend that the Chief Information Officer in coordination with CBP officials perform full failover contingency testing for enterprise mission essential systems.
OIG-13-115	DHS Uses Social Media To Enhance Information Sharing and Mission Operations, But Additional Oversight and Guidance Are Needed	09/05/2013	3	Office of Policy complete the Department-wide social media policy to provide legal, privacy, and information security guidelines for approved uses of social media.
OIG-13-119	CBP's and USCG's Controls Over Exports Related to Foreign Military Sales	09/09/2013	1	We recommend that the Acting Assistant Commissioner for the Office of Field Operations at U.S. Customs and Border Protection create and implement a centralized process for tracking Foreign Military Sales-related shipments and enhance the Foreign Military Sales export data validation process.
OIG-13-119	CBP's and USCG's Controls Over Exports Related to Foreign Military Sales	09/09/2013	2	We recommend that the Acting Assistant Commissioner for the Office of Field Operations at U.S. Customs and Border Protection update the U.S. Customs Control Handbook for Department of State Licenses to reflect current export-related laws, regulations, and responsibilities; and provide formal training to CBP export officers on Foreign Military Sales policies and procedures.
GAO-13-694	FEDERAL PROTECTIVE SERVICE:≪br>Challenges with Oversight of Contract Guard Program Still Exist and Additional Management Controls Are Needed	10/30/2013	1	Take immediate steps to determine which guards have not had screener or active-shooter scenario training and provide it to them and, as part of developing a national lesson plan, decide how and how often these trainings will be provided in the future.

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GAO-14-15	GPS DISRUPTIONS: Efforts to Assess Risks to Critical Infrastructure and Coordinate Agency Actions Should Be Enhanced	11/06/2013	1	Increase the reliability and usefulness of the GPS risk assessment by developing a plan and time frame to collect relevant threat, vulnerability, and consequence data for the various critical infrastructure sectors, and periodically review the readiness of data to conduct a more data-driven risk assessment while ensuring that DHS's assessment approach is more consistent with the NIPP.
GAO-14-15	GPS DISRUPTIONS: Efforts to Assess Risks to Critical Infrastructure and Coordinate Agency Actions Should Be Enhanced	11/06/2013	2	As part of current critical infrastructure protection planning with SSAs and sector partners, develop and issue a plan and metrics to measure the effectiveness of GPS risk mitigation efforts on critical infrastructure resiliency.
GAO-14-15	GPS DISRUPTIONS: Efforts to Assess Risks to Critical Infrastructure and Coordinate Agency Actions Should Be Enhanced	11/06/2013	3	Establish a formal, written agreement that details how the agencies plan to address their shared responsibility. This agreement should address uncertainties, including clarifying and defining DOT's and DHS's respective roles, responsibilities, and authorities; establishing clear, agreed-upon outcomes; establishing how the agencies will monitor and report on progress toward those outcomes; and setting forth the agencies' plans for examining relevant issues, such as the roles of SSAs and industry, how NSPD-39 fits into the NIPP risk management framework, whether an update to the NSPD-39 is needed, or other issues as deemed necessary by the agencies.
GAO-14-129SU / GAO-14-356	STUDENT AND EXCHANGE VISITOR PROGRAM DHS Needs to Assess Risks and Strengthen Oversight of Foreign Students with Employment Authorization	01/23/2014	3	The Director of ICE direct SEVP to develop and distribute guidance to DSOs on how to determine whether a job is related to a student's area of study and require DSOs to provide information in SEVIS to show that they took steps, based on this guidance, to help ensure that the student's work is related to the area of study.
OIG-14-32	Ensuring the Integrity of CBP's Secure Electronic Network for Travelers Rapid Inspection Program	02/18/2014	16	We recommend that the CBP Assistant Commissioner, Office of Field Operations: Automate the CBP redetermination process to enhance the efficiency and effectiveness of operations.
OIG-14-47	U.S. Customs and Border Protection's Advanced Training Center Acquisition	02/28/2014	3	We recommend that the CBP Deputy Commissioner: Develop and implement a plan to bring all outstanding Economy Act Reimbursable Work Authorizations into compliance with all statutory, regulatory, departmental, and Component requirements. The plan should include a detailed description of the actions to be taken; timelines, milestones, and progress reporting requirements; the identity of entities responsible for implementation; and any short and long-term funding requirements.
GAO-14-165SU / GAO-14-368	ARIZONA BORDER SURVEILLANCE TECHNOLOGY PLAN: Additional Actions Needed to Strengthen Management and Assess Effectiveness	03/12/2014	6	Once data on asset assists are required to be recorded and tracked, analyze available data on apprehensions and seizures and technological assists, in combination with other relevant performance metrics or indicators, as appropriate, to determine the contribution of surveillance technologies to its border security efforts.

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GAO-14-332	HOMELAND SECURITY ACQUISITIONS: DHS Could Better Manage Its Portfolio to Address Funding Gaps and Improve Communications with Congress	04/17/2014	7	We recommend that the Secretary of Homeland Security enhance the content of future FYHSP reports-for fiscal years 2016-20 and beyond-by presenting acquisition programs' annual cost estimates and any anticipated funding gaps.
OIG-14-105	U.S. Immigration and Customs Enforcement's Management of the Federal Employees' Compensation Act Program	06/11/2014	2	Develop and implement a policy for providing light duty assignments to claimants cleared to return to work with restrictions.
GAO-14-450	COAST GUARD ACQUISITIONS: Better Information on Performance and	06/18/2014	4	Develop a 20-year fleet modernization plan that identifies all acquisitions needed to maintain the current level of service and the fiscal resources necessary to build the identified assets. The plan should also consider trade-offs if the fiscal resources needed to execute the plan are not consistent with annual budgets.
GAO-14-583	National Flood Insurance Program: Additional Guidance on Building Requirements to Mitigate Agricultural Structures' Damage in High-Risk Areas is Needed	06/30/2014	1	As FEMA determines the scope of its efforts to revise its existing guidance, we recommend that the Secretary of the Department of Homeland Security (DHS) direct the Administrator of FEMA to update existing guidance to include additional information on and options for mitigating the risk of flood damage to agricultural structures to reflect recent farming developments and structural needs in vast and deep floodplains.
OIG-14-132	Audit of Security Controls for DHS Information Technology Systems at Dallas/Fort Worth International Airport	09/05/2014	3	We recommend that the TSA Chief Information Officer establish a process to report STIP computer security incidents to TSA SOC.
OIG-14-132	Audit of Security Controls for DHS Information Technology Systems at Dallas/Fort Worth International Airport	09/05/2014	5	We recommend that the TSA Chief Information Officer provide required vulnerability assessment reports to the DHS Vulnerability Management Branch.
OIG-14-132	Audit of Security Controls for DHS Information Technology Systems at Dallas/Fort Worth International Airport	09/05/2014	12	We recommend that ICE CIO maintain server rooms at DFW within DHS' recommended temperature ranges.
OIG-14-132	Audit of Security Controls for DHS Information Technology Systems at Dallas/Fort Worth International Airport	09/05/2014	13	We recommend that ICE CIO determine whether it is necessary and cost effective to establish redundant data telecommunications services at the SAC Dallas facility.
OIG-14-132	Audit of Security Controls for DHS Information Technology Systems at Dallas/Fort Worth International Airport	09/05/2014	14	We recommend that ICE CIO determine whether it would be cost effective to share the DHS OneNet connection in the shared CBP/ICE communications closet.
OIG-14-132	Audit of Security Controls for DHS Information Technology Systems at Dallas/Fort Worth International Airport	09/05/2014	16	We recommend that ICE CIO provide required vulnerability assessment reports to the DHS Vulnerability Management Branch.
OIG-14-132	Audit of Security Controls for DHS Information Technology Systems at Dallas/Fort Worth International Airport	09/05/2014	17	We recommend that ICE CIO scan the ICE servers at the SAC Dallas sites annually, including HSI systems separated from DHS OneNet.

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OIG-14-132	Audit of Security Controls for DHS Information Technology Systems at Dallas/Fort Worth International Airport	09/05/2014	19	We recommend that ICE CIO include the HSI surveillance system servers in a recognized FISMA-inventoried system.
GAO-14-640	Personnel Security Clearances: Additional Guidance and Oversight Needed at DHS and DOD to Ensure Consistent Application of Revocation Process	09/08/2014	3	To help ensure that all employees are treated fairly and receive the protections established in the executive order, we recommend that the Secretary of Homeland Security direct the Commandant, U.S. Coast Guard, to revise the Coast Guard instruction for military personnel to specify that military personnel may be represented by counsel or other representatives at their own expense.
OIG-14-153	Use of Risk Assessment within Secure flight - Sensitive Security Information	09/09/2014	1	Explore the feasibility of encrypting commercial aircraft carrier boarding passes with a passenger's date of birth.
OIG-14-142	Penetration Testing of Checked Baggage Screening	09/16/2014	5	Accelerate development and deployment of a test kit to independently validate deployed explosive detection systems equipment performance.
GAO-14-648	Federal Real Property: DHS and GSA Need to Strengthen the Management of DHS Headquarters Consolidation	09/19/2014	1	In order to improve transparency and allow for more informed decision making by congressional leaders and DHS and GSA decision-makers, before requesting additional funding for the DHS headquarters consolidation project, the Secretary of Homeland Security and the Administrator of the General Services Administration should work jointly to conduct the following assessments and use the results to inform updated DHS headquarters consolidation plans: (1) a comprehensive needs assessment and gap analysis of current and needed capabilities that take into consideration changing conditions, and (2) an alternatives analysis that identifies the costs and benefits of leasing and construction alternatives for the remainder of the project and prioritizes options to account for funding instability.
GAO-14-648	Federal Real Property: DHS and GSA Need to Strengthen the Management of DHS Headquarters Consolidation	09/19/2014	2	In order to improve transparency and allow for more informed decision making by congressional leaders and DHS and GSA decision-makers, before requesting additional funding for the DHS headquarters consolidation project, after revising the DHS headquarters consolidation plans, the Secretary of Homeland Security and the Administrator of the General Services Administration should work jointly to develop revised cost and schedule estimates for the remaining portions of the consolidation project that conform to GSA guidance and leading practices for cost and schedule estimation, including an independent evaluation of the estimates.
GAO-15-153	IMMIGRATION DETENTION Additional Actions Needed to Strengthen Management and Oversight of Facility Costs and Standards	10/10/2014	1	assess the extent to which ICE has appropriate internal controls for tracking and managing detention facility costs and develop additional controls as necessary;
GAO-15-153	IMMIGRATION DETENTION Additional Actions Needed to Strengthen Management and Oversight of Facility Costs and Standards	10/10/2014	4	document the reasons facilities cannot be transitioned to the most recent standards;

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GAO-15-28	Climate Change: Better Management of Exposure to Potential Future Losses Is Needed for Federal Flood and Crop Insurance	10/29/2014	1	To promote forward-looking construction and rebuilding efforts while FEMA phases out most subsidies, we recommend that the Secretary of the Department of Homeland Security direct FEMA to consider amending NFIP minimum standards for floodplain management to incorporate, as appropriate, forward-looking standards, similar to the minimum standard adopted by the Hurricane Sandy Rebuilding Task Force.
OIG-15-06-D	FEMA Needs To Track Performance Data and Develop Policies, Procedures, and Performance Measures for Long Term Recovery Offices	10/30/2014	1	Identify, track, and report costs and performance data that show cost effectiveness for Long Term Recovery Offices.
OIG-15-06-D	FEMA Needs To Track Performance Data and Develop Policies, Procedures, and Performance Measures for Long Term Recovery Offices	10/30/2014	2	Implement standardized policies, procedures, and performance measures to establish, operate, and close Long Term Recovery Offices.
OIG-15-08	Ohio's Management of Homeland Security Grant Program Awards for Fiscal Years 2010 Through 2012	11/07/2014	8	Direct Ohio to provide documentation that adequately supports the questioned $3,559,066.76 for noncompliant procurements or return to FEMA the amount not supported.
GAO-15-82	Freedom of Information Act: DHS Should Take Steps to Improve Cost Reporting and Eliminate Duplicate Processing	11/19/2014	2	Improve reporting of FOIA costs by including salaries, employee benefits, non-personnel direct costs, indirect costs, and costs for other offices.
GAO-15-82	Freedom of Information Act: DHS Should Take Steps to Improve Cost Reporting and Eliminate Duplicate Processing	11/19/2014	3	Determine the viability of re-establishing the service-level agreement between USCIS and ICE to eliminate duplication in the processing of immigration files. If the benefits of doing so would exceed the costs, re-establish the agreement.
GAO-15-82	Freedom of Information Act: DHS Should Take Steps to Improve Cost Reporting and Eliminate Duplicate Processing	11/19/2014	4	Direct USCIS and Coast Guard to fully implement the recommended FOIA processing system capabilities and the section 508 requirement.
OIG-15-18	Audit of Security Controls for DHS Information Technology Systems at John F. Kennedy International Airport	01/16/2015	10	We recommend that the CBP CIO designate the surveillance systems as CBP/DHS IT systems and implement applicable management, technical, operational controls, and privacy controls and reviews.
OIG-15-18	Audit of Security Controls for DHS Information Technology Systems at John F. Kennedy International Airport	01/16/2015	13	We recommend that the ICE CIO designate the surveillance systems as ICE/DHS IT systems and implement applicable management, technical, operational controls, and privacy controls and reviews.
OIG-15-18	Audit of Security Controls for DHS Information Technology Systems at John F. Kennedy International Airport	01/16/2015	14	We recommend that the ICE CIO upgrade the CCTV system and surveillance monitoring systems for the Joint Narcotics and Smuggling Unit at JFK.

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GAO-15-288	Critical Technologies: Agency Initiatives Address Some Weaknesses, but Additional Interagency Collaboration Is Needed	02/10/2015	1	To ensure a consistent and more collaborative approach to the protection of critical technologies, the Secretaries of Commerce, Defense, Homeland Security, State, and the Treasury; as well as the Attorney General of the United States, who have lead and stakeholder responsibilities for the eight programs within the critical technologies portfolio, should take steps to promote and strengthen collaboration mechanisms among their respective programs while ongoing initiatives are implemented and assessed. These steps need not be onerous; for example, they could include conducting an annual meeting to discuss their programs, including the technologies they are protecting, their programs' intent, any new developments or changes planned for their programs, as well as defining consistent critical technologies terminology and sharing important updates.
OIG-15-38	Science and Technology Directorate to Improve Its Contract Management Procedures	02/27/2015	3	Review S&T contract portfolio to ensure oall contract files contain sufficient evidence of program review to allow management to make well-informed decisions, including where programs are meeting intended objectives.
GAO-15-195	COAST GUARD: Timely Actions Needed to Address Risks in Using Rotational Crews	03/06/2015	8	To ensure that the Coast Guard is making progress in developing alternative measures that provide more accurate indicators of operational performance in a timely manner, we recommend that the Coast Guard establish time frames and interim milestones for developing and implementing these alternative measures for use prior to CRC testing. These measures could then be used for both the NSCs, as well as for other cutters, such as the OPC, that currently use or plan to use the traditional DAFHP performance measure.
GAO-15-171SP	HOMELAND SECURITY ACQUISITIONS: Major Program Assessments Reveal Actions Needed to Improve Accountability	04/22/2015	2	To improve DHS's management of major acquisition programs, we recommend the Secretary of Homeland Security ensure future baselines for all of TSA's major acquisition programs capture the overall historical record of change.
GAO-15-171SP	HOMELAND SECURITY ACQUISITIONS: Major Program Assessments Reveal Actions Needed to Improve Accountability	04/22/2015	3	To more accurately communicate DHS's funding plans for USCG's major acquisition programs, we recommend the Secretary of Homeland Security ensure the funding plans presented to Congress in fiscal year 2015 are comprehensive and clearly account for all operations and maintenance funding DHS plans to allocate to each of the USCG's major acquisition programs.
OIG-15-80	DHS Should Do More to Reduce Travel Reservation Costs	04/24/2015	5	Require components that have not implemented ETS to submit an exception request and annual busines case reviews to GSA in compliance with the CFR.
OIG-15-85	DHS Missing Data Needed to Strengthen its Immigration Enforcement Efforts	05/04/2015	1	Develop and implement a plan to collect, analyze, and report data on the Department's use of prosecutorial discretion to assess immigration enforcement activities and improve future policy. The plan should take steps to ensure the completeness and accuracy of the prosecutorial discretion data.

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OIG-15-88	Audit of Security Controls for DHS Information Technology Systems at San Francisco International Airport	05/07/2015	14	Recommend that the TSA CIO improve operational, technical, and management controls for ensuring confidentiality, integrity, and availability of data stored, transmitted, and processed at SFO by providing required vulnerability assessment reports to the DHS Vulnerability Management Branch for Security Technology Integrated Program (STIP) servers tested, similar to those operating at SFO.
OIG-15-88	Audit of Security Controls for DHS Information Technology Systems at San Francisco International Airport	05/07/2015	15	Recommend that the TSA CIO improve operational, technical, and management controls for ensuring confidentiality, integrity, and availability of data stored, transmitted, and processed at SFO by updating the operating systems on Security Technology Integrated Program (STIP) servers to a vendor-supported version that can be patched to address emerging vulnerabilities.
OIG-15-94	Department of Homeland Security's FY 2014 Compliance with the Improper Payments Elimination and Recovery Act of 2010	05/13/2015	4	We recommend that the Chief Financial Officer, Department of Homeland Security ensure that the Risk Management and Assurance division follows Office of Management and Budget's (OMB) requirements for agencies not compliant with IPERA as stated in Appendix C of OMB Circular No. A-123.
GAO-15-415	IMMIGRATION BENEFITS SYSTEM: Better Informed Decision Making Needed on Transformation Program	05/18/2015	2	To improve Transformation Program governance, we recommend that the Secretary of DHS direct the Under Secretary for Management to ensure that the Acquisition Review Board is effectively monitoring the Transformation Program's performance and progress toward a predefined cost and schedule; ensuring that corrective actions are tracked until the desired outcomes are achieved; and relying on complete and accurate program data to review the performance of the Transformation Program against stated expectations.
GAO-15-415	IMMIGRATION BENEFITS SYSTEM: Better Informed Decision Making Needed on Transformation Program	05/18/2015	3	To improve Transformation Program governance, we further recommend that the Secretary of DHS direct the DHS Under Secretary for Management, in coordinate with the Director of US Citizenship and Immigration Services, to ensure that the Executive Steering Committee is effectively monitoring the Transformation Program's performance and progress toward a predefined cost and schedule and relying on complete and accurate program data to review the performance of the Transformation Program against stated expectations.
GAO-15-415	IMMIGRATION BENEFITS SYSTEM: Better Informed Decision Making Needed on Transformation Program	05/18/2015	4	To help ensure that assessments prepared by OCIO in support of the department's updates to the federal IT Dashboard more fully reflect the current status of the Transformation Program, we recommend that the Secretary of DHS direct the department's Chief Information Officer to use accurate and reliable information, such as operational assessments of the new architecture and cost and schedule parameters approved by the Under Secretary of Management.
GAO-15-431	TELECOMMUNICATIONS: Agencies Need Better Controls to Achieve Significant Savings on Mobile Devices and Services	05/21/2015	10	GAO recommends that the Secretary of Homeland Security ensure an inventory of mobile devices and services is established department-wide (i.e., all components' devices and associated services are accounted for).

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GAO-15-431	TELECOMMUNICATIONS: Agencies Need Better Controls to Achieve Significant Savings on Mobile Devices and Services	05/21/2015	12	GAO recommends that the Secretary of Homeland Security ensure procedures to monitor and control spending are established department-wide. Specifically, ensure that: ▯ procedures include assessing devices for zero, under and over usage; ▯personnel with authority and responsibility for performing the procedures are identified; and ▯the specific steps to be taken to perform the process are documented.
OIG-15-107	New York's Management of Homeland Security Grant Program Awards for Fiscal Years 2010-2012	06/19/2015	3	We recommend that the FEMA Assistant Administrator, Grants Program Directorate require the Division of Homeland Security and Emergency Services to provide a budget and work plan for the Division of Military and Naval Affairs to account for its SHSP and UASI grant funds. The budget and work plan should specify the services to be provided and identify the location, and estimate the hours and number of National Guard members.
OIG-15-107	New York's Management of Homeland Security Grant Program Awards for Fiscal Years 2010-2012	06/19/2015	5	We recommend that the FEMA Assistant Administrator, Grant Programs Directorate require the Division of Homeland Security and Emergency Services to provide documentation that adequately supports $23,437,386 in questioned costs that are unsupported or return the amount not supported. Specifically, the documentation should support: hours worked and locations for Task Force Empire Shield activities ($18,731,021 in questioned costs); expenditures selected in a sample of costs for services that SUNY provided to DHSES under MOUs #432, #442, #443, and #468 ($2,982,692 in questioned costs); costs related to non-HSGP grant programs for $1,324,851 in salaries and $318,813 in financial services; and the reasonability of $180,009 to lease communication equipment.
OIG-15-107	New York's Management of Homeland Security Grant Program Awards for Fiscal Years 2010-2012	06/19/2015	6	We recommend that the FEMA Assistant Administrator, Grant Programs Directorate require the Division of Homeland Security and Emergency Services to reimburse FEMA $450,414 in questioned costs that are ineligible. Specifically, the Division of Homeland Security and Emergency Services should reimburse FEMA: $261,748 for fringe benefits applicable to ineligible, non-HSGP grants programs; $10,824 for claimed costs for maintenance contracts and warranties that extended beyond the performance period of the grant; and $177,842 for overtime expenses not used for eligible activities and not federally requested.
OIG-15-107	New York's Management of Homeland Security Grant Program Awards for Fiscal Years 2010-2012	06/19/2015	7	We recommend that the FEMA Assistant Administrator, Grant Programs Directorate require the Division of Homeland Security and Emergency Services to provide budgets and work plans for all state agencies receiving HSGP funds, including the Division of Homeland Security and Emergency Services, to ensure funds are allocable, allowable, and reasonable.

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OIG-15-107	New York's Management of Homeland Security Grant Program Awards for Fiscal Years 2010-2012	06/19/2015	10	We recommend that the FEMA Assistant Administrator, Grant Programs Directorate require the Division of Homeland Security and Emergency Services to ensure the Fiscal Monitoring Unit conducts site visits at state agencies and issues site visit reports on New York Police Department and Fire Department of New York identifying compliance with FEMA's FY 2010-2012 Homeland Security Grant Program Guidance and Application Kit.
OIG-15-107	New York's Management of Homeland Security Grant Program Awards for Fiscal Years 2010-2012	06/19/2015	12	We recommend that the FEMA Assistant Administrator, Grant Programs Directorate require the Division of Homeland Security and Emergency Services to conduct an independent review of New York Police Department's $42,844,265 in operational overtime for the protection of critical infrastructure during FYs 2010 through 2012. For all unallowable or unsupported costs, require the New York Police Department to return the funds.
OIG-15-107	New York's Management of Homeland Security Grant Program Awards for Fiscal Years 2010-2012	06/19/2015	13	We recommend that the FEMA Assistant Administrator, Grant Programs Directorate require the Division of Homeland Security and Emergency Services to provide sufficient justification and documentation that adequately supports questioned costs that are unsupported or return to FEMA the amount not supported. Specifically, the documentation should support the following: $440,942 for a noncompetitive contract for architect and engineering services (to ensure it was reasonable and in accordance with Federal grant guidance on procurement practices); $87,075 for a camera and utility vehicle that were claimed, but not included in the approved budget.
OIG-15-107	New York's Management of Homeland Security Grant Program Awards for Fiscal Years 2010-2012	06/19/2015	15	We recommend that the FEMA Assistant Administrator, Grant Programs Directorate require the Division of Homeland Security and Emergency Services to ensure the NYC Office of Management and Budget and Office of the Criminal Justice Coordinator initiate compliance reviews of city agencies awarded HSGP funding as required by NYC's Homeland Security and Criminal Justice Grant Management Policies and Procedures Manual.
OIG-15-108	Oversight Review of the National Protection and Programs Directorate, Internal Affairs Division	06/19/2015	1	We recommend that the Under Secretary of NPPD determine how allegations of criminal misconduct by NPPD employees will be investigated. If the Under Secretary decides to investigate such allegations through a specialized unit, we recommend that the Under Secretary obtain and delegate the authority to conduct criminal investigations to OCS.
OIG-15-112	Follow-up to Management Alert - U.S. Immigration and Customs Enforcement's Facility, San Pedro, California	07/09/2015	1	OIG recommends that the Executive Director, ICE Office of Asset and Facilities Management, develop a long-term plan for this facility prior to spending more funds on renovations beyond the current life safety repairs identified in the Management Alert. The plan should include, at a minimum:
GAO-15-521	Unaccompanied Alien Children: Actions Needed to Ensure Children Receive Required Care in DHS Custody	07/14/2015	1	To better ensure that DHS complies with TVPRA requirements for training, screening, and transferring UAC to HHS, we recommend that the Secretary of Homeland Security direct the Commissioner of U.S. Customs and Border Protection to:

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GAO-15-521	Unaccompanied Alien Children: Actions Needed to Ensure Children Receive Required Care in DHS Custody	07/14/2015	3	To better ensure that DHS complies with TVPRA requirements for training, screening, and transferring UAC to HHS, we recommend that the Secretary of Homeland Security direct the Commissioner of U.S. Customs and Border Protection to provide guidance to Border Patrol agents and OFO officers that clarifies how they are to implement the TVPRA requirement to transfer to HHS all Mexican UAC who have fear of returning to Mexico owing to a credible fear of persecution
GAO-15-521	Unaccompanied Alien Children: Actions Needed to Ensure Children Receive Required Care in DHS Custody	07/14/2015	4	To better ensure that DHS complies with TVPRA requirements for training, screening, and transferring UAC to HHS, we recommend that the Secretary of Homeland Security direct the Commissioner of U.S. Customs and Border Protection to develop and implement guidance on how Border Patrol agents and OFO officers are to implement the TVPRA requirement to transfer to HHS all Canadian and Mexican UAC who are victims of a severe form of trafficking in persons
GAO-15-521	Unaccompanied Alien Children: Actions Needed to Ensure Children Receive Required Care in DHS Custody	07/14/2015	5	To better ensure that DHS complies with TVPRA requirements for training, screening, and transferring UAC to HHS, we recommend that the Secretary of Homeland Security direct the Commissioner of U.S. Customs and Border Protection to ensure that Border Patrol agents document the basis for their decisions when assessing screening criteria related to (1) an unaccompanied alien child's ability to make an independent decision to withdraw his or her application for admission to the United States, and (2) whether UAC are victims of a severe form of trafficking in persons.
GAO-15-521	Unaccompanied Alien Children: Actions Needed to Ensure Children Receive Required Care in DHS Custody	07/14/2015	11	To increase the efficiency and improve the accuracy of the interagency UAC referral and placement process, we recommend that the Secretaries of Homeland Security and Health and Human Services jointly develop and implement a documented interagency process with clearly defined roles and responsibilities, as well as procedures to disseminate placement decisions, for all agencies involved in the referral and placement of UAC in HHS shelters
GAO-15-614	Critical Infrastructure Protection: DHS Action Needed to Verify Some Chemical Facility Information and Manage Compliance Process	07/22/2015	3	Develop documented processes and procedures to track noncompliant facilities and ensure they implement planned measures as outlined in their approved site security plans.

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GAO-15-515	Hurricane Sandy: An Investment Strategy Could Help the Federal Government Enhance National Resilience for Future Disasters	07/30/2015	2	To help the federal, state, and local governments plan for and invest in hazard mitigation opportunities to enhance resilience against future disasters, we recommend that the Director of the Mitigation Framework Leadership Group, in coordination with other departments and agencies that are MitFLG members, take the following action: Supplement the National Mitigation Framework by establishing an investment strategy to identify, prioritize, and guide federal investments in disaster resilience and hazard mitigation-related activities and make recommendations to the President and Congress on how the nation should prioritize future disaster resilience investments. Such a strategy could address, among other things, (1) the extent to which current hazard mitigation and disaster resilience programs are adequately addressing critical lifelines and critical infrastructure, (2) an approach to identifying information on what disaster resilience and hazard mitigation efforts are most effective against known risks and their potential impacts on the nation's fiscal exposure, (3) the balance of federal and nonfederal investments, and (4) the balance of pre- and postdisaster resilience investments.
GAO-15-696	Immigrant Investor Program: Additional Actions Needed to Better Assess Fraud Risks and Report Economic Benefits	08/12/2015	3	Track and report data that immigrant investors report, and the agency verifies on its program forms for total investments and jobs created through the EB-5 Program.
OIG-15-128-D	FEMA's Process for Selecting Joint Field Offices Needs Improvement.	08/21/2015	2	OIG recommends that the Associate Administrator, Office of Response and Recovery, FEMA develop JFO operational procedures that effectively downsize the facility and associated support in a timely manner to reduce JFO costs. By right sizing to a smaller facility once staff levels declined as anticipated, FEMA could have saved approximately $1.5 million on direct facility expenses for DR-4086-Hurricane Sandy - New Jersey.
OIG-15-140	DHS Can Strengthen Its Cyber Mission Coordination Efforts	09/04/2015	1	Develop a comprehensive, cross-departmental strategic implementation plan that defines components' cyber missions and responsibilities, including long-term goals, performance metrics, and milestones to measure progress in unifying the Department's incident response and coordination efforts.
OIG-15-140	DHS Can Strengthen Its Cyber Mission Coordination Efforts	09/04/2015	2	Coordinate with the DHS Chief Human Capital Officer to develop the department-wide trainings for cyber analysts and special agents to perform their duties.
OIG-15-140	DHS Can Strengthen Its Cyber Mission Coordination Efforts	09/04/2015	4	Mitigate identified website vulnerabilities or accept the risk by documenting the weaknesses in C3's system security plan.
GAO-15-783	DISASTER CONTRACTING: FEMA Needs to Cohesively Manage Its Workforce and Fully Address Post-Katrina Reforms	09/29/2015	2	GAO recommends that the FEMA Administrator direct OCPO and the regional administrators to revisit the 2011 service level agreement to: add details about the extent of operational control headquarters and regional supervisors should exercise to minimize potential competing interests experienced by regional contracting officers; further detail headquarters and regional supervisors' roles and responsibilities for managing regional contracting officers to improve coordination and communication; and ensure that the agreement reflects any new requirements, including recent changes in training that may require travel funds

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GAO-15-783	DISASTER CONTRACTING: FEMA Needs to Cohesively Manage Its Workforce and Fully Address Post-Katrina Reforms	09/29/2015	3	GAO recommends that the FEMA Administrator establish a plan to ensure that the agreement is reviewed on an annual basis as intended.
GAO-15-783	DISASTER CONTRACTING: FEMA Needs to Cohesively Manage Its Workforce and Fully Address Post-Katrina Reforms	09/29/2015	9	GAO recommends that the Secretary of Homeland Security should take action to address the requirements of Section 692 to implement subcontractor limitations or request that Congress amend the law to delete Section 692.
OIG-16-01-D	FEMA Faces Challenges in Verifying Applicants' Insurance Policies for the Individuals and Households Program.	10/06/2015	1	OIG recommends that the Associate Administrator, Office of Response and Recovery ensure applicants understand the possible consequences of making false statements or omissions on applications for Federal funding by: (1) restating the legal statements at critical junctures in the application process, and (2) making it clear at critical junctures in the application process that FEMA may use external parties to verify the accuracy of representations made in the application process.
OIG-16-02	The FPS Vehicle Fleet Is Not Managed Effectively	10/21/2015	1	Require NPPD Fleet Manager to review and revise NPPD's Fleet Manual and ensure it outlines specific procedures for (1) FPS to monitor and document fleet acquisition and leasing decisions and regularly report fleet expenditures to NPPD; and (2) NPPD's process for verifying the completeness and accuracy of motor vehicle records.
OIG-16-10	FEMA Faces Challenges in Managing Information Technology	11/15/2015	1	We recommend that the FEMA Chief Information Officer (CIO) finalize necessary information technology (IT) planning documents that reflect the current IT strategy of the organization and IT modernization initiatives.
OIG-16-10	FEMA Faces Challenges in Managing Information Technology	11/15/2015	2	We recommend that the FEMA CIO execute the planning documents, using the milestones and metrics included in them to evaluate FEMA's long-term progress in improving its IT management and operations.
OIG-16-10	FEMA Faces Challenges in Managing Information Technology	11/15/2015	4	We recommend that the FEMA CIO implement a plan of action and milestones to address the integration and reporting limitations of existing systems.
OIG-16-10	FEMA Faces Challenges in Managing Information Technology	11/15/2015	5	We recommend that the FEMA CIO implement and enforce a standardized, agency-wide process that sufficiently defines and prioritizes the acquisition, development, operation, and maintenance requirements for all systems.
GAO-16-43SU / GAO-16-294	Information Security: DHS Needs to Enhance Capabilities, Improve Planning, and Support Greater Adoption of Its National Cybersecurity Protection System	11/19/2015	4	US-CERT to consider the viability of using vulnerability information, such as data from the Continuous Diagnostics and Mitigation program as it becomes available, as an input into the development and management of intrusion detection signatures.
GAO-16-43SU / GAO-16-294	Information Security: DHS Needs to Enhance Capabilities, Improve Planning, and Support Greater Adoption of Its National Cybersecurity Protection System	11/19/2015	5	US-CERT to develop a timetable for finalizing the incident notification process, to ensure that customer agencies are being sent notifications of potential incidents, which clearly solicit feedback on the usefulness and timeliness of the notification.
GAO-16-43SU / GAO-16-294	Information Security: DHS Needs to Enhance Capabilities, Improve Planning, and Support Greater Adoption of Its National Cybersecurity Protection System	11/19/2015	6	The Office of Cybersecurity and Communications to develop metrics that clearly measure the effectiveness of NCPS's efforts, including the quality, efficiency, and accuracy of supporting actions related to detecting and preventing intrusions, providing analytic services, and sharing cyber-related information.

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GAO-16-43SU / GAO-16-294	Information Security: DHS Needs to Enhance Capabilities, Improve Planning, and Support Greater Adoption of Its National Cybersecurity Protection System	11/19/2015	9	NSD to work with their customer agencies and the Internet service providers to document secure routing requirements in order to better ensure the complete, safe, and effective routing of information to NCPS sensors.
GAO-16-79	Critical Infrastructure Protection: Sector-Specific Agencies Need to Better Measure Cybersecurity Progress	11/19/2015	1	Secretary of Homeland Security direct responsible officials to develop performance metrics to provide data and determine how to overcome challenges to monitoring the chemical, commercial facilities, communications, critical manufacturing, dams, emergency services, information technology, and nuclear sectors' cybersecurity progress.
GAO-16-79	Critical Infrastructure Protection: Sector-Specific Agencies Need to Better Measure Cybersecurity Progress	11/19/2015	2	Secretaries of Homeland Security and Transportation (as co-SSAs) direct responsible officials to develop performance metrics to provide data and determine how to overcome challenges to monitoring the transportation systems sector's cybersecurity progress.
GAO-16-99	Biosurveillance: DHS Should Not Pursue BioWatch Upgrades or Enhancements Until System Capabilities Are Established	11/23/2015	1	GAO recommends that the Secretary of Homeland Security direct the Assistant Secretary for health Affairs and other relevant officials within the Department to not pursue upgrades or enhancements to the current BioWatch system until OHA: Establishes technical performance requirements, including limits of detection, necessary for a biodetection system to meet a clearly defined operational objective for the BioWatch program by detecting attacks of defined types and sizes with specified probabilities.
GAO-16-99	Biosurveillance: DHS Should Not Pursue BioWatch Upgrades or Enhancements Until System Capabilities Are Established	11/23/2015	2	GAO recommends that the Secretary of Homeland Security direct the Assistant Secretary for health Affairs and other relevant officials within the Department to not pursue upgrades or enhancements to the current BioWatch system until OHA: Assesses the Gen-2 system against these performance requirements to reliably establish its capabilities.
GAO-16-99	Biosurveillance: DHS Should Not Pursue BioWatch Upgrades or Enhancements Until System Capabilities Are Established	11/23/2015	3	GAO recommends that the Secretary of Homeland Security direct the Assistant Secretary for health Affairs and other relevant officials within the Department to not pursue upgrades or enhancements to the current BioWatch system until OHA: Produces a full accounting of statistical and other uncertainties and limitations in what is known about the system's capability to meet its operational objectives.
GAO-16-99	Biosurveillance: DHS Should Not Pursue BioWatch Upgrades or Enhancements Until System Capabilities Are Established	11/23/2015	4	GAO recommends that the Secretary of Homeland Security direct the Assistant Secretary for health Affairs in coordination with the Under Secretary for Science and Technology to: Use the best practices outlined in this report to inform test and evaluation actions for any future upgrades or changes to technology for BioWatch.
GAO-16-50	Asylum: Additional Actions Needed to Assess and Address Fraud Risks	12/02/2015	1	Conduct regular fraud risk assessments across the affirmative asylum application process.
GAO-16-135	Homeland Security: FPS and GSA Should Strengthen Collaboration to Enhance Facility Security	12/16/2015	1	FPS and GSA headquarters officials should establish a plan with timeframes for reaching agreement on a joint strategy and finalizing it in order to define and articulate a common understanding of expected outcomes and align the two agencies' activities and core processes to achieve their related missions.

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GAO-16-135	Homeland Security: FPS and GSA Should Strengthen Collaboration to Enhance Facility Security	12/16/2015	4	FPS and GSA headquarters officials should develop mechanisms to monitor, evaluate, and report on their collaborative efforts to protect federal facilities in order to identify possible areas for improvement and to reinforce accountability.
OIG-16-20	USSS Needs to Upgrade Its Radio Systems	01/22/2016	1	Ensure that the Information Resource Management Division receives the resources needed to upgrade radios and related infrastructure.
OIG-16-20	USSS Needs to Upgrade Its Radio Systems	01/22/2016	2	Require the Information Resources Management Division develop a strategy and timeline to continuously upgrade radio communication systems.
GAO-16-178C / GAO-16-498	Visa Waiver Program: DHS Should Take Steps to Ensure Timeliness of Information Needed to Protect U.S. National Security	01/27/2016	2	Take steps to improve DHS's timeliness in reporting to Congress, within the statutory time frame, the department's determination of whether each VWP country should continue participating in the program and any effects of the country's participation on U.S. law enforcement and national security interests.
GAO-16-38	FEDERAL EMERGENCY MANAGEMENT AGENCY: Strengthening Regional Coordination Could Enhance Preparedness Efforts	02/04/2016	2	GAO recommended that the Secretary of Homeland Security direct the FEMA Administrator to develop policies and procedures for regional staff to review AARs from preparedness exercises within their region, and headquarters staff to review these evaluations in order to have a better understanding of NIMS implementation.
GAO-16-87	DISASTER RESPONSE: FEMA Has Made Progress Implementing Key Programs, but Opportunities for Improvement Exist	02/05/2016	4	GAO recommended the Secretary of Homeland Security direct the FEMA Administrator to develop a workforce strategy to manage and improve retention that includes a process for systematically gathering attrition data and a plan to retain IMAT CORE employees.
OIG-16-37	Conditions at CBP's Forward Operating Bases along the Southwest Border	02/08/2016	1	OIG recommended that the Commissioner, U.S. Customs and Border Protection establish a timetable for renovating FOB (redacted) or consider closing the facility. Ensure that any decision concerning major repairs or renovation of the facility takes into consideration the need (redacted) repair and maintain the (redacted) access road.
GAO-16-226	DOD AND COAST GUARD: Actions Needed to Increase Oversight and Management Information on Hazing Incidents Involving Servicemembers	02/09/2016	5	GAO recommended that the Commandant of the Coast Guard evaluate the prevalence of hazing in the Coast Guard.
GAO-16-253	Homeland Security: Oversight of Neglected Human Resources Information Technology Investment is Needed	02/11/2016	3	Secretary of Homeland Security direct the Under Secretary of Management to direct the Chief Human Capital Officer to direct the HRIT investment to update and maintain a schedule estimate for when DHS plans to implement each of the strategic improvement opportunities.
GAO-16-253	Homeland Security: Oversight of Neglected Human Resources Information Technology Investment is Needed	02/11/2016	4	Secretary of Homeland Security direct the Under Secretary of Management to direct the Chief Human Capital Officer to direct the HRIT investment to develop a complete life-cycle cost estimate for the implementation of HRIT.
GAO-16-253	Homeland Security: Oversight of Neglected Human Resources Information Technology Investment is Needed	02/11/2016	5	Secretary of Homeland Security direct the Under Secretary of Management to direct the Chief Human Capital Officer to direct the HRIT investment to document and track all costs, including components' costs, associated with HRIT.

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GAO-16-253	Homeland Security: Oversight of Neglected Human Resources Information Technology Investment is Needed	02/11/2016	9	Secretary of Homeland Security direct the Under Secretary of Management to direct the Chief Information Officer to direct the PALMS program office to establish a time frame for deciding whether PALMS will be fully deployed at FEMA and USCG, and determine an alternative approach if the learning and/or performance management capabilities of PALMS are deemed not feasible for ICE, FEMA, TSA, or USCG.
GAO-16-253	Homeland Security: Oversight of Neglected Human Resources Information Technology Investment is Needed	02/11/2016	13	Secretary of Homeland Security direct the Under Secretary of Management to direct the Chief Information Officer to direct the PALMS program office to document PALMS's progress and milestone reviews, including all issues and corrective actions discussed.
GAO-16-323	Data Center Consolidation: Agencies Making Progress, but Planned Savings Goals Need to Be Established	03/03/2016	1	The Secretary of the Department of Homeland Security should take action to improve progress in the data center optimization areas that we reported as not meeting OMB's established targets, including addressing any identified challenges.
OIG-16-47	FEMA Does Not Provide Adequate Oversight of Its National Flood Insurance Write Your Own Program	03/08/2016	1	OIG recommended that the Deputy Associate Administrator for the Federal Insurance and Mitigation Administration (FIMA), develop and implement procedures that will: (1) evaluate the results of the oversight performed as required by the Financial Control Plan; and (2) determine the overall effectiveness of the established NFIP internal controls.
OIG-16-47	FEMA Does Not Provide Adequate Oversight of Its National Flood Insurance Write Your Own Program	03/08/2016	2	OIG recommended that the Deputy Associate Administrator for the Federal Insurance and Mitigation Administration, develop and implement procedures that will require regular monitoring of SALAE expenses below established thresholds.
OIG-16-47	FEMA Does Not Provide Adequate Oversight of Its National Flood Insurance Write Your Own Program	03/08/2016	4	OIG recommended that the Deputy Associate Administrator for the Federal Insurance and Mitigation Administration, develop formal instructions for the WYO companies that clearly explain how to calculate supplemental adjuster fees (SALAE Type-2)
OIG-16-47	FEMA Does Not Provide Adequate Oversight of Its National Flood Insurance Write Your Own Program	03/08/2016	5	OIG recommended that the Deputy Associate Administrator for the Federal Insurance and Mitigation Administration, update current guidance so that it will require FEMA to review and approve all Case Plans and Budgets prior to the start of active representation by WYO legal counsel.
GAO-16-249	EMERGENCY COMMUNICATIONS: Actions Needed to Better Coordinate Federal Efforts in the National Capital Region	03/10/2016	1	GAO recommended that the FEMA Administrator direct the Director of ONCRC to take the following action: (a) as part of its efforts to restructure the JFC, clearly articulate in a written agreement the roles and responsibilities of the participating agencies and specify how these agencies are to work together across agency boundaries.
GAO-16-130C / GAO-16-582	(U) FEDERAL AIR MARSHAL SERVICE: Actions Needed to Better Incorporate Risk in Deployment Strategy	03/22/2016	1	GAO recommends that FAMS in addition to considering risk when determining how to divide FAMS's international flight coverage resources among international destinations, incorporate risk into FAMS's method for initially setting its annual target numbers of average daily international and domestic flights to cover.

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GAO-16-130C / GAO-16-582	(U) FEDERAL AIR MARSHAL SERVICE: Actions Needed to Better Incorporate Risk in Deployment Strategy	03/22/2016	2	GAO recommends that FAMS conduct and document a risk assessment-systematically collecting information on and assigning value to current risks-to further support FAMS's domestic resource allocation decisions, including the identification of high-priority geographic areas.
GAO-16-243	CRITICAL INFRASTRUCTURE PROTECTION: Federal Agencies Have Taken Actions to Address Electromagnetic Risks, but Opportunities Exist	03/24/2016	2	To more fully leverage critical infrastructure expertise and address responsibilities to identify critical electrical infrastructure assets as called for in the National Infrastructure Protection Plan, the Secretary of Homeland Security and the Secretary of Energy direct responsible officials to review FERC's electrical infrastructure analysis and collaborate to determine whether further assessment is needed to adequately identify critical electric infrastructure assets, potentially to include additional elements of criticality that might be considered.
GAO-16-318SU / GAO-16-632	AVIATION SECURITY: Airport Perimeter and Access Control Security Would Benefit from Risk Assessment and Strategy Updates	03/28/2016	1	Update the Risk Assessment of Airport Security to reflect changes to its risk environment, such as those updates reflected in TSSRA and JVA findings, and share results of this risk assessment with stakeholders on an ongoing basis.
GAO-16-318SU / GAO-16-632	AVIATION SECURITY: Airport Perimeter and Access Control Security Would Benefit from Risk Assessment and Strategy Updates	03/28/2016	3	Develop and implement a method for conducting a system-wide assessment of airport vulnerability that will provide a more comprehensive understanding of airport perimeter and access control security vulnerabilities.
GAO-16-318SU / GAO-16-632	AVIATION SECURITY: Airport Perimeter and Access Control Security Would Benefit from Risk Assessment and Strategy Updates	03/28/2016	5	Update the 2012 Strategy for airport security to reflect changes in risk assessments, agency operations, and the status of goals and objectives. Specifically, this update should reflect: information from the Risk Assessment of Airport Security, as well as information contained in the most recent TSSRA and JVAs; new airport security-related activities; the status of TSA efforts to address goals and objectives; and finalized outcome-based performance measures and performance levels-or targets-for each relevant activity and strategic goal.
GAO-16-338SP	Homeland Security Acquisitions: DHS Has Strengthened Management, but Execution and Affordability Concerns Endure	03/31/2016	4	To help ensure programs secure stable funding that matches resources to requirements, we recommend the Secretary of the Department of Homeland Security require components to establish formal, repeatable processes for addressing major acquisition affordability issues, similar to the process TSA has established.
OIG-16-51	CBP Needs to Better Plan Its Implementation of the DHS Prison Rape Elimination Act (PREA) Regulations	03/31/2016	4	That the Commissioner, CBP determine, no later than July 2017, which holding facilities should be defined as overnight facilities, determine whether additional holding facilities should be audited, and ensure these facilities are audited by the July 2018 deadline.
GAO-16-306	INFORMATION TECHNOLOGY: FEMA Needs to Address Management Weaknesses to Improve Its Systems	04/05/2016	2	To ensure that FEMA's IT systems can adequately support its ability to respond to major disasters, the Secretary of DHS should direct the FEMA Administrator to define the scope, implementation strategy, and schedule of the agency's overall modernization approach, with related goals and measures for effectively overseeing the effort. At a minimum, the agency should update its IT strategic plan and complete its modernization plan.

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GAO-16-306	INFORMATION TECHNOLOGY: FEMA Needs to Address Management Weaknesses to Improve Its Systems	04/05/2016	3	To ensure that FEMA's IT systems can adequately support its ability to respond to major disasters, the Secretary of DHS should direct the FEMA Administrator to establish time frames for current and future IT workforce planning during its modernization efforts and ensure all regions and offices are included in these initiatives.
GAO-16-306	INFORMATION TECHNOLOGY: FEMA Needs to Address Management Weaknesses to Improve Its Systems	04/05/2016	8	As part of the effort of improving IT management at the three programs, the FEMA Administrator should direct the CIO to ensure that FEMA policy for managing IT programs includes guidance for implementing the key management practices.
GAO-16-371	Quadrennial Homeland Security Review: Improved Risk Analysis and Stakeholder Consultations Could Enhance Future Reviews	04/15/2016	1	The Secretary of Homeland Security should direct the Assistant Secretary for Policy to ensure future QHSR risk assessment methodologies reflect key elements of successful risk assessment methodologies, such as being: (1) Documented, which includes documenting how risk information was integrated to arrive at the assessment results, (2) Reproducible, which includes producing comparable, repeatable results, and (3) Defensible, which includes communicating any implications of uncertainty to users of the risk results.
GAO-16-371	Quadrennial Homeland Security Review: Improved Risk Analysis and Stakeholder Consultations Could Enhance Future Reviews	04/15/2016	2	To enable the use of risk information in supporting resource allocation decisions, guiding investments, and highlighting the measures that offer the greatest return on investment, the Secretary of Homeland Security should direct the Assistant Secretary for Policy to refine its risk assessment methodology so that in future QHSRs it can compare and prioritize homeland security risks and risk mitigation strategies.
GAO-16-371	Quadrennial Homeland Security Review: Improved Risk Analysis and Stakeholder Consultations Could Enhance Future Reviews	04/15/2016	3	The Secretary of Homeland Security should direct the Assistant Secretary for Policy to identify and implement stakeholder meeting processes to ensure that communication is interactive when project planning for the next QHSR.
GAO-16-371	Quadrennial Homeland Security Review: Improved Risk Analysis and Stakeholder Consultations Could Enhance Future Reviews	04/15/2016	4	To ensure proper management of the internal QHSR stakeholder consultation process, the Secretary of Homeland Security should direct the Assistant Secretary for Policy to clarify component detailee roles and responsibilities when project planning for the next QHSR.
OIG-16-75	U.S. Customs and Border Protection (CBP) Needs Better Data to Justify Its Criminal Investigator Staffing	04/29/2016	1	That the Asst Commissioner, Office of Internal Affairs, CBP should perform a position description review to ensure that all investigator positions are properly described and classified based on a validation of major duties.
OIG-16-75	U.S. Customs and Border Protection (CBP) Needs Better Data to Justify Its Criminal Investigator Staffing	04/29/2016	2	That the Asst Commissioner, Office of Internal Affairs, CBP identify and capture data elements necessary to perform a comprehensive workload analysis of its investigative workforce within its Office of Internal Affairs. The data elements should be based on major duties performed.
OIG-16-75	U.S. Customs and Border Protection (CBP) Needs Better Data to Justify Its Criminal Investigator Staffing	04/29/2016	3	That the Asst Commissioner, Office of Internal Affairs, CBP develop and implement procedures to ensure investigative workload data elements are complete, accurate, and reliable.

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OIG-16-75	U.S. Customs and Border Protection (CBP) Needs Better Data to Justify Its Criminal Investigator Staffing	04/29/2016	4	That the Asst Commissioner, Office of Internal Affairs, CBP conduct a comprehensive workload analysis of its Investigative Operations Division, including a needs assessment, to determine the appropriate staffing levels to accomplish the office's mission efficiently and cost effectively.
OIG-16-75	U.S. Customs and Border Protection (CBP) Needs Better Data to Justify Its Criminal Investigator Staffing	04/29/2016	5	That the Asst Commissioner, Office of Internal Affairs, CBP develop performance measures and goals to ensure the Investigative Operations Division is meeting mission requirements efficiently and effectively.
OIG-16-87	IT Management Challenges Continue in TSA's Security Technology Integrated Program	05/09/2016	1	Ensure that IT security controls are included in STIP system design and implementation so that STIP servers are not deployed with known technical vulnerabilities.
OIG-16-87	IT Management Challenges Continue in TSA's Security Technology Integrated Program	05/09/2016	2	Ensure that STIP servers use approved operating systems for which the Department as established minimum security baseline configuration guidance.
OIG-16-87	IT Management Challenges Continue in TSA's Security Technology Integrated Program	05/09/2016	3	Ensure that STIP servers have the latest software patches installed so that identified vulnerabilities will not be exploited.
OIG-16-87	IT Management Challenges Continue in TSA's Security Technology Integrated Program	05/09/2016	4	Ensure that IT security testing is performed so that STIP servers are not deployed with known technical vulnerabilities.
OIG-16-87	IT Management Challenges Continue in TSA's Security Technology Integrated Program	05/09/2016	5	Ensure that authorized TSA staff obtain and change administrator passwords for all STIP servers at airports so that contractors no longer have full control over this equipment at airports.
OIG-16-87	IT Management Challenges Continue in TSA's Security Technology Integrated Program	05/09/2016	6	Implement a contractor oversight process so that only authorized and approved software, along with timely updates, is installed on STIP airport servers.
OIG-16-87	IT Management Challenges Continue in TSA's Security Technology Integrated Program	05/09/2016	7	Inventory all locations at Orlando International Airport housing STIP servers and switches and ensure that these locations comply with DHS policy concerning physical security controls.
OIG-16-87	IT Management Challenges Continue in TSA's Security Technology Integrated Program	05/09/2016	8	Ensure an adequate operational recovery capability for STIP servers at DC1 in case DC2 becomes inaccessible.
OIG-16-87	IT Management Challenges Continue in TSA's Security Technology Integrated Program	05/09/2016	9	Establish a process for providing STIP server vulnerability assessment reports to the Department so that DHS leadership may adequately monitor system compliance capability.
OIG-16-87	IT Management Challenges Continue in TSA's Security Technology Integrated Program	05/09/2016	10	Ensure that IT security requirements are included in equipment procurement contracts for IT components of STIP and passenger and checked baggage screening equipment as required.
OIG-16-87	IT Management Challenges Continue in TSA's Security Technology Integrated Program	05/09/2016	11	Institute controls so that all IT costs associated with STIP are accurately captured and reported in annual budget submissions as required.

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OIG-16-88	Department of Homeland Security's FY 2015 Compliance with the Improper Payments Elimination and Recovery Act of 2010	05/11/2016	1	We recommend that the Chief Financial Officer, Department of Homeland Security ensure that the Risk Management and Assurance Division strengthens its oversight to ensure proper documentation of its review of the components' risk assessments.
OIG-16-91	TSA Oversight of National Passenger Rail System Security	05/13/2016	1	That the TSA Administrator ensures TSA develops and adheres to a detailed, formal milestone plan to deliver the remaining 9/11 Act Notices of Proposed Rulemaking to DHS.
OIG-16-91	TSA Oversight of National Passenger Rail System Security	05/13/2016	2	That DHS General Counsel (OGC) effectively coordinate with the Office of Management and Budget (OMB) to expedite the implementation of the remaining passenger rail requirements of the 9/11 Act.
GAO-16-379	Coast Guard: Actions Needed to Improve Strategic Allocation of Assets and Determine Workforce Requirements	05/24/2016	1	That the Commandant of the Coast Guard improve the strategic allocation of assets, the Coast Guard should incorporate field unit input, such as information on assets' actual performance from Operational Performance Assessment Reports and Planning Assessments, to inform more realistic asset allocation decisions-in addition to asset performance capacities currently used-in the annual Strategic Planning Directions to more effectively communicate strategic intent to field units.
GAO-16-379	Coast Guard: Actions Needed to Improve Strategic Allocation of Assets and Determine Workforce Requirements	05/24/2016	2	That the Commandant of the Coast Guard improve transparency in allocating its limited resources, and to help ensure that its resource allocation decisions are the most effective ones for fulfilling its missions given existing risks, the Coast Guard should document how the risk assessments conducted were used to inform and support its annual asset allocation decisions.
GAO-16-379	Coast Guard: Actions Needed to Improve Strategic Allocation of Assets and Determine Workforce Requirements	05/24/2016	3	That the Commandant of the Coast Guard ensure that high priority mission activities are fully supported with the appropriate number of staff possessing the requisite mix of skills and abilities, the Coast Guard should develop a systematic process that prioritizes manpower requirements analyses for units that are the most critical for achieving mission needs.
GAO-16-468	Information Technology: Federal Agencies Need to Address Aging Legacy Systems	05/25/2016	1	To address obsolete IT investments in need of modernization or replacement, the Secretary of Homeland Security should direct the CIO to identify and plan to modernize or replace legacy systems as needed and consistent with OMB's draft guidance, including time frames, activities to be performed, and functions to be replaced or enhanced.
GAO-16-476	Disaster Recovery: FEMA Needs to Assess Its Effectiveness in Implementing the National Disaster Recovery Framework	05/26/2016	1	That FEMA Administrator conduct a systematic analysis of information generated from FEMA's readiness assessment to determine extent of regional office efforts to help states implement the NDRF, including conducting education and outreach.
GAO-16-476	Disaster Recovery: FEMA Needs to Assess Its Effectiveness in Implementing the National Disaster Recovery Framework	05/26/2016	2	That the FEMA Administrator develop best practices and lessons learned with regard to conducting NDRF education and outreach to states based on the analysis of readiness assessments and create a mechanism to disseminate and share those best practices and lessons learned to FEMA regional offices.

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GAO-16-476	Disaster Recovery: FEMA Needs to Assess Its Effectiveness in Implementing the National Disaster Recovery Framework	05/26/2016	3	That the FEMA Administrator clarify with regional offices and FDRC's the role of the regional implementation plans in FDRC performance plans and how they will be used to assess NDRF regional implementation efforts.
GAO-16-476	Disaster Recovery: FEMA Needs to Assess Its Effectiveness in Implementing the National Disaster Recovery Framework	05/26/2016	4	That FEMA Administrator align the annual FDRC performance expectations with clearly defined organizational goals and priorities, consistent with key management practices.
GAO-16-514	IMMIGRATION DETENTION: Additional Actions Needed to Strengthen DHS Management of Short-Term Holding Facilities	05/26/2016	1	That the Secretary of Homeland Security direct Border Patrol and ICE to develop and implement a process to asses their "time in custody" data for all individuals in holding facilities, including identifying and addressing potential data quality issues; and identifying cases where time in custody exceeded guidelines and assessing the factors impacting time in custody.
GAO-16-514	IMMIGRATION DETENTION: Additional Actions Needed to Strengthen DHS Management of Short-Term Holding Facilities	05/26/2016	2	The Secretary of Homeland Security should direct CBP and ICE to develop and issue guidance on how and which compliant mechanisms should be communicated to individuals in custody at holding facilities.
GAO-16-514	IMMIGRATION DETENTION: Additional Actions Needed to Strengthen DHS Management of Short-Term Holding Facilities	05/26/2016	3	To facilitate the tracking of holding facility complaints, include a classification code in all complaint tracking systems related to DHS short term holding facilities.
GAO-16-514	IMMIGRATION DETENTION: Additional Actions Needed to Strengthen DHS Management of Short-Term Holding Facilities	05/26/2016	4	That the Secretary of Homeland Security direct CBP and ICE to develop and implement a process for analyzing trends related to holding facility complaints across their respective component.
OIG-16-96-IQO	Oversight Review of the United States Citizenship and Immigration Services, Investigations Division	06/06/2016	10	That the Division Chief ensure that INV agents are equipped with the necessary law enforcement equipment to perform their assigned roles.
OIG-16-96-IQO	Oversight Review of the United States Citizenship and Immigration Services, Investigations Division	06/06/2016	11	That the Director of USCIS examine the issue of how shared vehicles are being utilized, consider assigning dedicated vehicles to INV, and formalize the management of shared vehicles to ensure proper handling and availability.
OIG-16-98	FEMA's Grant Programs Directorate Did Not Effectively Manage Assistance to Firefighters Grant Program - SAFER Grants	06/08/2016	2	We recommend Federal Emergency Management Agency's Assistant Administrator for Grant Programs Directorate review the Staffing for Adequate Fire and Emergency Response grants for FYs 2010-2012 to identify and recover potentially disallowed expenditures of the $18,443,447 total questioned costs.
OIG-16-100	FEMA's Grant Programs Directorate (GPD) Did Not Effectively Manage Assistance to Firefighters Grant Program - AFG Grants	06/09/2016	2	We recommend Federal Emergency Management Agency's Assistant Administrator for Grant Programs Directorate review Assistance to Firefighters Grants for FYs 2010-2012 to identify and recover potentially disallowed expenditures of the $7124,893 total questioned costs.

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GAO-16-453	COAST GUARD: Arctic Strategy is Underway, but Agency Could Better Assess How Its Actions Mitigate Known Arctic Capability Gaps	06/15/2016	1	That the Commandant of the Coast Guard develop measures, as appropriate, for gauging how the agency's actions have helped to mitigate the Arctic capability gaps.
GAO-16-453	COAST GUARD: Arctic Strategy is Underway, but Agency Could Better Assess How Its Actions Mitigate Known Arctic Capability Gaps	06/15/2016	2	That the Commandant of the Coast Guard design and implement a process to systematically assess the extent to which actions taken agency-wide have helped mitigate the Arctic capability gaps for which it has responsibility
GAO-16-535SU / GAO-16-764	Federal Air Marshal Service: Additional Actions Needed to Ensure Air Marshals' Mission Readiness	06/17/2016	5	Develop and implement standardized methods, such as examinations and checklists, for determining whether incumbent air marshals continue to be mission ready in key skills.
GAO-16-542	Antidumping and Countervailing Duties: CBP Action Needed to Reduce Duty Processing Errors and Mitigate Nonpayment Risk	07/14/2016	1	That the CBP Commissioner issue guidance directing ACT to collect and analyze data on a regular basis to identify and address the causes of liquidations that occur contrary to the process or outside the 6-month time frame mandated by statute, track progress on reducing such liquidations, and report any effects these liquidations may have on revenue.
GAO-16-542	Antidumping and Countervailing Duties: CBP Action Needed to Reduce Duty Processing Errors and Mitigate Nonpayment Risk	07/14/2016	2	That the CBP Commissioner regularly conduct a comprehensive risk analysis that assesses both the likelihood and the significance of risk factors related to AD/CV duty collection.
GAO-16-542	Antidumping and Countervailing Duties: CBP Action Needed to Reduce Duty Processing Errors and Mitigate Nonpayment Risk	07/14/2016	3	That CBP should, consistent with U.S. law and international obligations, take steps to use its data and risk assessment strategically to mitigate AD/CV duty nonpayment, such as by using predictive risk analysis to identify entries that pose heightened risk and taking appropriate action to mitigate the risk.
GAO-16-581SU	Countering Improvised Explosive Devices: Improved Planning Could Enhance Federal Coordination Efforts	07/14/2016	1	Secretary of Homeland Security and the Attorney General work through the JPO C-IED and with its participating agencies to establish and document time frames for 2013 JPO C-IED implementation plan tasks, particularly those identified as a priority.
GAO-16-581SU	Countering Improvised Explosive Devices: Improved Planning Could Enhance Federal Coordination Efforts	07/14/2016	2	Secretary of Homeland Security and the Attorney General work through the JPO C-IED or otherwise collaborate with participating JPO C-IED agencies to develop and document a systematic approach for identifying and addressing unnecessary overlap and duplication within domestic C-IED programs.
GAO-16-681	EMERGENCY COMMUNICATIONS	07/14/2016	2	Establish a mechanism to track progress by the ECPC member agencies in implementing the ECPO's recommendations,

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GAO-16-467	Immigration Benefits Systems: U.S. Citizenship and Immigration Services Can Improve Program Management	07/15/2016	1	To provide reasonable assurance that the program executes Agile software development for USCIS ELIS consistent with its own policies and guidance and follows applicable leading practices, the Secretary of the Department of Homeland Security (DHS) should direct the Director of USCIS to direct the USCIS Chief Information Officer (CIO), in coordination with the DHS CIO and the Chief of the Office of Transformation Coordination (OTC), to review and update, as needed, existing policies and guidance and consider additional controls to complete planning for software releases prior to initiating development and ensure software meets business expectations prior to deployment.
GAO-16-467	Immigration Benefits Systems: U.S. Citizenship and Immigration Services Can Improve Program Management	07/15/2016	2	To provide reasonable assurance that the program executes Agile software development for USCIS ELIS consistent with its own policies and guidance and follows applicable leading practices, the Secretary of DHS should direct the Director of USCIS to direct the USCIS CIO, in coordination with the DHS CIO and the Chief of OTC, to review and update, as needed, existing policies and guidance and consider additional controls to consistently implement the principles of the framework adopted for Agile software development.
GAO-16-467	Immigration Benefits Systems: U.S. Citizenship and Immigration Services Can Improve Program Management	07/15/2016	3	To provide reasonable assurance that the program executes Agile software development for USCIS ELIS consistent with its own policies and guidance and follows applicable leading practices, the Secretary of DHS should direct the Director of USCIS to direct the USCIS CIO, in coordination with the DHS CIO and the Chief of OTC, to review and update, as needed, existing policies and guidance and consider additional controls to define and consistently execute appropriate roles and responsibilities for individuals responsible for development activities consistent with its selected development framework.
GAO-16-467	Immigration Benefits Systems: U.S. Citizenship and Immigration Services Can Improve Program Management	07/15/2016	4	To provide reasonable assurance that the program executes Agile software development for USCIS ELIS consistent with its own policies and guidance and follows applicable leading practices, the Secretary of DHS should direct the Director of USCIS to direct the USCIS CIO, in coordination with the DHS CIO and the Chief of OTC, to review and update, as needed, existing policies and guidance and consider additional controls to identify all system users and involve them in release planning activities.
GAO-16-467	Immigration Benefits Systems: U.S. Citizenship and Immigration Services Can Improve Program Management	07/15/2016	5	To provide reasonable assurance that the program executes Agile software development for USCIS ELIS consistent with its own policies and guidance and follows applicable leading practices, the Secretary of DHS should direct the Director of USCIS to direct the USCIS CIO, in coordination with the DHS CIO and the Chief of OTC, to review and update, as needed, existing policies and guidance and consider additional controls to write user stories that identify user roles, include estimates of complexity, take no longer than one sprint to complete, and describe business value.

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Report Number	Report Title	Report Received/ Issued Date	Rec #	Recommendation
GAO-16-467	Immigration Benefits Systems: U.S. Citizenship and Immigration Services Can Improve Program Management	07/15/2016	7	To provide reasonable assurance that the program executes Agile software development for USCIS ELIS consistent with its own policies and guidance and follows applicable leading practices, the Secretary of DHS should direct the Director of USCIS to direct the USCIS CIO, in coordination with the DHS CIO and the Chief of OTC, to review and update, as needed, existing policies and guidance and consider additional controls to monitor program performance and report to appropriate entities through the collection of reliable metrics.
GAO-16-467	Immigration Benefits Systems: U.S. Citizenship and Immigration Services Can Improve Program Management	07/15/2016	8	To help manage the USCIS ELIS system, the Secretary of DHS should direct the Director of USCIS to direct the USCIS CIO, in coordination with the DHS CIO and the Chief of OTC, to review and update existing policies and guidance and consider additional controls to conduct unit and integration, and functional acceptance tests, and code inspection consistent with stated program goals.
GAO-16-467	Immigration Benefits Systems: U.S. Citizenship and Immigration Services Can Improve Program Management	07/15/2016	9	To help manage the USCIS ELIS system, the Secretary of DHS should direct the Director of USCIS to direct the USCIS CIO, in coordination with the DHS CIO and the Chief of OTC, to review and update existing policies and guidance and consider additional controls to develop complete test plans and cases for interoperability and end user testing, as defined in the USCIS Transformation Program Test and Evaluation Master Plan, and document the results.
GAO-16-443	DHS Management: Enhanced Oversight Could Better Ensure Programs Receiving Fees and Other Collections Use Funds Efficiently	07/21/2016	1	Document the process and analyses for assessing and, as appropriate, for managing the difference between program costs and collections and document resulting decisions.
GAO-16-443	DHS Management: Enhanced Oversight Could Better Ensure Programs Receiving Fees and Other Collections Use Funds Efficiently	07/21/2016	2	Establish processes for managing unobligated carryover balances, to include targets for minimum and maximum balances for programs that lack such processes and targets.
GAO-16-443	DHS Management: Enhanced Oversight Could Better Ensure Programs Receiving Fees and Other Collections Use Funds Efficiently	07/21/2016	3	Conduct reviews to identify any management and operational deficiencies.
GAO-16-443	DHS Management: Enhanced Oversight Could Better Ensure Programs Receiving Fees and Other Collections Use Funds Efficiently	07/21/2016	4	Take action to track and report on management and operational deficiencies - including reasons supporting any decisions to not pursue recommended actions-identified in fee reviews or through other means.
GAO-16-709	LEVEE SAFETY: Army Corps of Engineers and FEMA Have Made Little Progress in Carrying Out Required Activities	07/26/2016	1	The FEMA Administrator to develop a plan, with milestones, for implementing these activities, using existing resources or requesting additional resources as needed.

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GAO-16-603	HOMELAND SECURITY: DHS' CBRNE Program Consolidation Proposals Could Better Consider Benefits and Limitations	08/11/2016	2	If DHS's proposed CBRNE program consolidation is approved by Congress, we recommend that the Secretary of Homeland Security direct the Assistant Secretary for the Office of Policy to use, where appropriate, the key mergers and organizational transformation practices identified in our previous work to help ensure that a CBRNE consolidated office benefits from lessons learned from other organizational transformations.
OIG-16-123	CBP's Office of Professional Responsibility's Privacy Policies and Practices	08/29/2016	1	That CBP revise the CBP OPR standard operating procedures for information sharing address its law enforcement priorities and to comply with all aspects of the CBP Privacy Directive.
OIG-16-123	CBP's Office of Professional Responsibility's Privacy Policies and Practices	08/29/2016	2	That CBP require more specific training on Federal. departmental, and CBP privacy policies guidelines for CBP OPR personnel.
OIG-16-128	TWIC Background Checks are Not as Reliable as They Could Be	09/01/2016	2	We recommend that the Assistant Administrator, Office of Intelligence and Analysis, Transportation Security Administration conduct a comprehensive risk analysis of the Security Threat Assessment processes to identify areas needing additional internal controls and quality assurance procedures; and develop and implement those procedures, including periodic reviews to evaluate their effectiveness.
OIG-16-128	TWIC Background Checks are Not as Reliable as They Could Be	09/01/2016	4	We recommend that the Assistant Administrator, Office of Intelligence and Analysis, Transportation Security Administration review current Transportation Worker Identification Credential Security Threat Assessment guidance to ensure it provides adjudicators the necessary information and authority to complete Security Threat Assessments.
OIG-16-126-D	FEMA Can Do More to Improve Public Assistance Grantees' and Subgrantees' Compliance with Federal Procurement Rules	09/02/2016	2	Undertake a review to assess all legally sanctioned remedies under 2 CFR 200.338 to enforce Federal procurement regulations and to deter noncompliance. Based on results of the assessment, develop tailored options to address certain forms of procurement noncompliance and the proper method to effect such changes through issuance of new guidance, policy, or regulations. Such as tailored options to include:
OIG-16-127-D	FEMA Can Enhance Readiness With Management of its Disaster Incident Workforce	09/02/2016	1	Strengthen FEMA's capacity to respond to catastrophic disasters by bringing the Reservist workforce up to target workforce levels and creating internal performance goals that encourage FEMA management to reach and maintain those target workforce levels.
OIG-16-127-D	FEMA Can Enhance Readiness With Management of its Disaster Incident Workforce	09/02/2016	2	Develop, implement, and enforce a rigorous FEMA Qualification System-based performance system and tracking mechanism for all Reservists.

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OIG-16-134	TSA Needs a Crosscutting Risk-Based Security Strategy	09/09/2016	1	Develop and Implement a crosscutting risk-based security strategy that encompasses all transportation modes. The strategy should, at a minimum:⊡ define intelligence-driven, risk-based security;⊡ identify objectives for an intelligence-driven, risk-based security approach;⊡ identify steps for all transportation modes to achieve risk-based security objectives;⊡ provide guidelines for aligning resources with risk;⊡ establish priorities, milestones, and performance measures to gauge the effectiveness⊡of the strategy; and⊡ establish responsible parties and timelines for strategy implementation.
OIG-16-134	TSA Needs a Crosscutting Risk-Based Security Strategy	09/09/2016	3	Establish a formal budget planning process that uses risk to help inform resource allocations.
GAO-16-744	FIRE GRANTS: FEMA Could Enhance Program Administration and Performance Assessment	09/15/2016	1	Establish measurable performance targets linked to AFG and SAFER program goals, such as the desired percentage of awardees who used grants to achieve compliance with equipment standards.
OIG-16-138	DHS' Progress in Implementing the Federal Information Technology Acquisition Reform Act	09/23/2016	1	Complete all remaining implementation action items to ensure full implementation of the CIO responsibilities defined in FITARA.
OIG-16-139-D	FEMA Should Implement Consistent Joint Field Office Guidance	09/27/2016	1	Develop and implement consistent and specific JFO procedural guidance to facilitate a timely and successful joint Federal-state response and recovery operation.
OIG-16-139-D	FEMA Should Implement Consistent Joint Field Office Guidance	09/27/2016	2	Require FEMA regional offices to develop a JFO selection strategy that includes working annually with General Services Administration and State governments to specify an acceptable selection of Primary Metropolitan Statistical Areas where JFO could be located and determining the conditions needed for a timely opening of a JFO.
GAO-16-511	Information Technology: Agencies Need to Improve Their Application Inventories to Achieve Additional Savings	09/29/2016	1	The Secretaries of Defense, Homeland Security, the Interior, and Labor; and the Director of the National Science Foundation to direct the CIOs and other responsible officials to modify existing investment management processes to address applications more completely. Specifically, the Secretary of Homeland Security should direct the department's CIO to identify one high-cost function it could collect detailed cost, technical, and business information for and modify existing processes to collect and review this information.
GAO-16-646SU / GAO-17-216	BORDER SECURITY: CBP Aims to Prevent High-Risk Travelers from Boarding U.S. Bound Flights, but Needs to Evaluate Program Performance	09/29/2016	1	That the Commissioner of CBP develop and implement a system of performance measures and baselines to evaluate the effectiveness of CBP's predeparture programs and assess whether the programs are achieving their stated goals.
OIG-17-01	USSS Faces Challenges Protecting Sensitive Case Management Systems and Data	10/07/2016	5	Appoint a full-time, senior-level Privacy Officer reporting directly to the USSS Director to ensure compliance with DHS guidance for implementing privacy protections.

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OIG-17-03	Air and Marine Office (AMO) and Coast Guard Maritime Missions Are Not Duplicative, But Could Improve With Better Coordination	10/14/2016	1	That the Under Secretary for Management reestablish an oversight mechanism at the DHS level to ensure that AMO and the Coast Guard coordinate operations.
OIG-17-03	Air and Marine Office (AMO) and Coast Guard Maritime Missions Are Not Duplicative, But Could Improve With Better Coordination	10/14/2016	2	That the Coast Guard Commandant, CBP Commissioner, and USCIS Director revise the Maritime Operations Coordination Plan (MOCP) to include requirements for coordination and information sharing at all levels, especially the local level.
OIG-17-04	TSA Could Improve Its Oversight of Airport Controls over Access Media Badges	10/14/2016	2	Issue guidance to U.S. airports clearly explaining how to determine whether an airport's lost, stolen, and unaccounted for access media badges are exceeding the 5 percent threshold.
OIG-17-09	DHS Drug Interdiction Efforts Need Improvement	11/08/2016	1	1. Develop and implement a plan to ensure compliance with 21 U.S.C. 1704 that mandates DHS must annually submit to ONDCP and the appropriate congressional committees: the number and type of seizures of drugs by each component of DHS seizing drugs, as well as statistical information on the geographic areas of such seizures; and the number of air and maritime patrol hours primarily dedicated to drug supply reduction missions undertaken by each component of DHS.
OIG-17-09	DHS Drug Interdiction Efforts Need Improvement	11/08/2016	2	Develop and implement a plan to ensure components develop outcome-based performance measures that adequately assess the success of drug interdiction efforts.
OIG-17-10 / OIG-17-47	The Secret Service Has Taken Action to Address the Recommendations of the Protective Mission Panel	11/10/2016	1	Require all supervisors to complete the course, "Enhancing Workforce Communication" or a comparable training option on workforce communication.
OIG-17-10 / OIG-17-47	The Secret Service Has Taken Action to Address the Recommendations of the Protective Mission Panel	11/10/2016	2	Expand training programs that regularly and consistently integrate all teams working at the White House Complex.
OIG-17-10 / OIG-17-47	The Secret Service Has Taken Action to Address the Recommendations of the Protective Mission Panel	11/10/2016	4	Determine the optimal arrangement, organizationally and operationally, to promote streamlined communication full information sharing, and inclusive decision making about the security of the WHC and protection of the First Family.
OIG-17-10 / OIG-17-47	The Secret Service Has Taken Action to Address the Recommendations of the Protective Mission Panel	11/10/2016	5	Complete a comprehensive evaluation to determine whether all the Secret Service's current missions and operational engagements benefit the primary protective mission.
GAO-17-36	FLOOD INSURANCE: FEMA Needs to Address Data Quality and Consider Company Characteristics When Revising its Compensation Methodology	12/08/2016	1	To improve the transparency and accountability over the compensation paid to WYO companies and set appropriate compensation rates, the FEMA administrator should take into account WYO company characteristics that may impact companies' expenses and profits when developing the new compensation methodology and rates.

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GAO-17-167SU / GAO-17-331	SOUTHWEST BORDER SECURITY: Additional Actions Needed to Better Assess Fencing's Contributions to Operations and Provide Guidance for Identifying Capability Gaps	12/22/2016	1	Develop metrics to assess the contributions of pedestrian and vehicle fencing to border security along the southwest border using the data Border Patrol already collects and apply this information, as appropriate, when making investment and resource allocation decisions.
OIG-17-14	Summary Report on Audits of Security Controls⊠ for TSA Information Technology Systems at Airports	12/30/2016	1	Update TSA's Business Impact Analyses for TSANet and STIP to include the TSA LANs, points of contact, and business processes that would be adversely affected by a potential communications outage at airports.
OIG-17-14	Summary Report on Audits of Security Controls⊠ for TSA Information Technology Systems at Airports	12/30/2016	2	Establish a plan to conduct recurring reviews of the operational, technical, and management security controls for TSA IT systems at U.S. airports nationwide.
GAO-17-177	BIOFORENSICS: DHS Needs to Conduct a Formal Capability Gap Analysis to Better Identify and Address Gaps	01/11/2017	1	Conduct a formal bioforensics capability gap analysis to identify scientific and technical gaps and needs in bioforensics capabilities to help guide current and future bioforensics investments and update its analysis periodically.
GAO-17-66	BORDER PATROL: Actions Needed to Improve Oversight of Post-Apprehension Consequences	01/12/2017	1	The Chief of Border Patrol should strengthen the methodology for calculating recidivism such as by using an alien's apprehension history beyond one fiscal year and excluding aliens for whom there is no record of removal and who may remain in the United States.
GAO-17-66	BORDER PATROL: Actions Needed to Improve Oversight of Post-Apprehension Consequences	01/12/2017	2	The Chief of Border Patrol should collect information on reasons agents do not apply the CDS guides' Most Effective and Efficient consequences to assess the extent that agents' application of these consequences can be increased and modify development of CDS guides, as appropriate.
GAO-17-66	BORDER PATROL: Actions Needed to Improve Oversight of Post-Apprehension Consequences	01/12/2017	3	The Chief of Border Patrol should revise CDS guidance to ensure consistent and accurate methodologies for estimating Border Patrol costs across consequences and to factor in, where appropriate and available, the relative costs of any federal partner resources necessary to implement each consequence.
GAO-17-66	BORDER PATROL: Actions Needed to Improve Oversight of Post-Apprehension Consequences	01/12/2017	6	The Secretary of Homeland Security should direct the Assistant Secretary of Immigration and Customs Enforcement and Commissioner of Customs and Border Protection to collaborate on sharing immigration enforcement and removal data to help Border Patrol account for the removal status of apprehended aliens in its recidivism rate measure.
OIG-17-22	DHS Lacks Oversight of Component Use of Force	01/12/2017	1	That the Deputy Secretary, Department of Homeland Security establish a formal entity at the Department level to oversee component use of force activities. Once established, the responsible personnel at the Department level should work with the components to:
OIG-17-24	Evaluation of DHS' Information Security Program for Fiscal Year 2016	01/18/2017	3	The CISO should expedite the implementation of strong authentication by ensuring the use of PIV cards by all privileged access account holders.
GAO-17-114	Military Personnel: DOD and the Coast Guard Need to Screen for Gambling Disorder Addiction and Update Guidance	01/30/2017	1	Update Commandant Instruction M6000.1F, Coast Guard Medical Manual, to classify gambling disorder as an addiction and not as an impulse control issue.

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GAO-17-114	Military Personnel: DOD and the Coast Guard Need to Screen for Gambling Disorder Addiction and Update Guidance	01/30/2017	2	Update Commandant Instruction M1000.10, Coast Guard Drug and Alcohol Abuse Program, to explicitly include gambling disorder.
GAO-17-163	Cybersecurity: DHS's National Integration Center Generally Performs Required Functions but Needs to Evaluate Its Activities More Completely	02/01/2017	2	Develop metrics for assessing adherence to applicable principles in carrying out statutorily required functions.
GAO-17-163	Cybersecurity: DHS's National Integration Center Generally Performs Required Functions but Needs to Evaluate Its Activities More Completely	02/01/2017	3	Establish methods for monitoring the implementation of cybersecurity functions against the principles on an ongoing basis.
GAO-17-163	Cybersecurity: DHS's National Integration Center Generally Performs Required Functions but Needs to Evaluate Its Activities More Completely	02/01/2017	4	Integrate information related to security incidents to provide management with more complete information about NCCIC operations.
GAO-17-163	Cybersecurity: DHS's National Integration Center Generally Performs Required Functions but Needs to Evaluate Its Activities More Completely	02/01/2017	5	Determine the necessity of reducing, consolidating, or modifying the points of entry used to communicate with NCCIC to better ensure that all incident tickets are logged appropriately.
GAO-17-163	Cybersecurity: DHS's National Integration Center Generally Performs Required Functions but Needs to Evaluate Its Activities More Completely	02/01/2017	6	Develop and implement procedures to perform regular reviews of customer information to ensure that it is current and reliable.
GAO-17-163	Cybersecurity: DHS's National Integration Center Generally Performs Required Functions but Needs to Evaluate Its Activities More Completely	02/01/2017	7	Take steps to ensure the full representation of the owners and operators of the nation's most critical cyber-dependent infrastructure assets.
GAO-17-163	Cybersecurity: DHS's National Integration Center Generally Performs Required Functions but Needs to Evaluate Its Activities More Completely	02/01/2017	8	Establish plans and time frames for consolidating or integrating the legacy networks used by NCCIC analysts to reduce the need for manual data entry.
GAO-17-205SU / GAO-17-474	BORDER SECURITY: Additional Actions Could Strengthen DHS Efforts to Address Subterranean, Aerial, and Maritime Smuggling	02/03/2017	2	That the Secretary of Homeland Security direct the CBP-ICE tunnel committee to convene and establish standard operating procedures for addressing cross-border tunnels, including procedures for sharing information.
GAO-17-205SU / GAO-17-474	BORDER SECURITY: Additional Actions Could Strengthen DHS Efforts to Address Subterranean, Aerial, and Maritime Smuggling	02/03/2017	3	That the Secretary of Homeland Security direct the Commissioner of CBP to assess and document how the alternative technological solutions being considered will fully meet operational needs related to ultralight aircraft.

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GAO-17-205SU / GAO-17-474	BORDER SECURITY: Additional Actions Could Strengthen DHS Efforts to Address Subterranean, Aerial, and Maritime Smuggling	02/03/2017	4	That the Secretary of Homeland Security direct the Commissioner of CBP and the Director of ICE to jointly establish and monitor performance measures and targets related to cross-border tunnels.
GAO-17-205SU / GAO-17-474	BORDER SECURITY: Additional Actions Could Strengthen DHS Efforts to Address Subterranean, Aerial, and Maritime Smuggling	02/03/2017	5	That the Secretary of Homeland Security direct the Commissioner of CBP to establish and monitor performance targets related to ultralight aircraft.
GAO-17-205SU / GAO-17-474	BORDER SECURITY: Additional Actions Could Strengthen DHS Efforts to Address Subterranean, Aerial, and Maritime Smuggling	02/03/2017	6	That the Secretary of Homeland Security direct the Commandant of the Coast Guard, Commissioner of CBP, and the Director of ICE to establish and monitor RECOM performance measures and targets related to panga boat and recreational vessel smuggling.
GAO-17-182	CRITICAL INFRASTRUCTURE PROTECTION: Additional Actions by DHS Could Help Identify Opportunities to Harmonize Access Controls	02/07/2017	1	That the DHS Secretary direct the Assistant Secretary of Infrastructure Protection, Office of Infrastructure Protection to explore with key critical infrastructure partners, whether and what opportunities exist to harmonize federally-administered screening and credentialing access control efforts across critical infrastructure sectors.
GAO-17-200	FEDERAL DISASTER ASSISTANCE: FEMA's Progress in Aiding Individuals with Disabilities Could be Further Enhanced	02/07/2017	1	Take steps to establish written procedures for how regions should involve the Office of Disability Integration and Coordination in clarifying disability integration staff's roles, evaluating staff performance, and setting expectations for how staff communicate with headquarters and the regions.
GAO-17-200	FEDERAL DISASTER ASSISTANCE: FEMA's Progress in Aiding Individuals with Disabilities Could be Further Enhanced	02/07/2017	2	To evaluate alternative cost-effective methods for delivering its course on access and functional needs, such as via virtual classes.
GAO-17-200	FEDERAL DISASTER ASSISTANCE: FEMA's Progress in Aiding Individuals with Disabilities Could be Further Enhanced	02/07/2017	3	To collect information about the potential pool of participants, set general goals for the number of state and local emergency managers that will take this course, and implement the delivery methods needed to meet these goals.
GAO-17-84	Supply Chain Security: Providing Guidance and Resolving Data System Problems Could Improve Management of the Customs-Trade Partnership Against Program	02/08/2017	2	To ensure the availability of complete and accurate data for managing the C-TPAT program and establishing and maintaining reliable indicators on the extent to which C-TPAT members receive benefits, the Commissioner of U.S. Customs and Border Protection should determine the specific problems that have led to questionable data contained in the Dashboard and develop an action plan, with milestones and completion dates, for correcting the data so that the C-TPAT program can produce accurate and reliable data for measuring C-TPAT member benefits.
OIG-17-38-D	FEMA Needs to Improve its Oversight of the Sheltering and Temporary Essential Power Pilot Program	02/15/2017	2	Work with New York State and New York City to close out the project. This includes ensuring that the final cost reconciliation accurately separates the costs for commercial residential properties.

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OIG-17-38-D	FEMA Needs to Improve its Oversight of the Sheltering and Temporary Essential Power Pilot Program	02/15/2017	3	Ensure New York City made reasonable efforts when appropriate and allowed for under State and local laws to compel commercial building owners/operators to make permanent repairs to health and safety hazards and other housing code violations addressed by FEMA-funded emergency protective measures under this initiative, and to recover from commercial owners any Federal funds expended on emergency protective measures for facilities they own or operate.
GAO-17-252SU / GAO-17-470	INTERNATIONAL AIR TRAVELERS: CBP Collaborates with Stakeholders to Facilitate the Arrivals Process, but Could Strengthen Reporting of Airport Wait Times	02/28/2017	1	To Improve the usefulness of airport wait time data that CBP currently reports on its public website; DHS Secretary direct the CBP Commissioner to report airport wait time data for different categories of travelers.
GAO-17-218	COAST GUARD CUTTERS: Depot Maintenance is Affecting Operational Availability and Cost Estimates Should Reflect Actual Expenditures	03/02/2017	2	Periodically update standard support levels to account for actual expenditures so that the Coast Guard follows best practices and to provide decision makers an understanding of the actual depot-level maintenance funds required for Coast Guard assets.
OIG-17-42	H-2 Petition Fee Structure is Inequitable and Contributes to Processing Errors	03/06/2017	1	Track H-2 petitions with named workers, including receipt numbers and time necessary for data entry, adjudication, background check, and fraud detection, If required, to determine on average how long it takes to process each worker so that USCIS can evaluate a more equitable fee structure.
OIG-17-42	H-2 Petition Fee Structure is Inequitable and Contributes to Processing Errors	03/06/2017	2	Consider limiting the number of named beneficiaries that can be listed on each H-2 petition to help address inequity between small and large petitions until a more comprehensive resolution can be instituted and to limit USCIS' exposure to large petitions subject to 15-day processing.
OIG-17-43-MA	Management Alert on Issues Requiring Immediate Action at the Theo Lacy Facility in Orange, California.	03/06/2017	2	We recommend that ICE undertake a full review and inspection of the TLF and the Orange County Sheriff's Department's management of the facility to ensure compliance with ICE's 2008 PBNDS.
GAO-17-204	IMMIGRATION STATUS VERIFICATION FOR BENEFITS: Actions Needed to Improve Effectiveness and Oversight	03/23/2017	2	Develop and implement a mechanism to oversee agencies' completion of training on additional verification in accordance with SAVE MOA provisions and program policies.
GAO-17-204	IMMIGRATION STATUS VERIFICATION FOR BENEFITS: Actions Needed to Improve Effectiveness and Oversight	03/23/2017	6	Develop and communicate a process for user agencies to update contact information.
OIG-17-49	Review of Domestic Sharing of Counterterrorism Information	03/30/2017	4	Formalize agreements that enable I&A field officials to develop intelligence reporting with DHS components in the field, based on pilot program results.
OIG-17-49	Review of Domestic Sharing of Counterterrorism Information	03/30/2017	5	Develop and implement guidance for intelligence reporting in the field.
OIG-17-49	Review of Domestic Sharing of Counterterrorism Information	03/30/2017	6	Coordinate with the FBI to formalize guidance and policies for the reporting of terrorism and counterterrorism information.

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OIG-17-49	Review of Domestic Sharing of Counterterrorism Information	03/30/2017	7	Develop and implement a formal mechanism for reviewing I&A intelligence reporting from the field, including a logging and tracking process.
OIG-17-49	Review of Domestic Sharing of Counterterrorism Information	03/30/2017	8	Develop and implement guidance for field officials granting them local release authority for intelligence reporting.
OIG-17-49	Review of Domestic Sharing of Counterterrorism Information	03/30/2017	9	Develop and implement a plan that will allow DHS intelligence officials in the field practical access to classified systems and infrastructure above the Secret level.
GAO-17-300	Countering Violent Extremism: Actions Needed to Define Strategy and Assess Progress of Federal Efforts	04/06/2017	1	The Secretary of Homeland Security and the Attorney General-as heads of the two lead agencies responsible for coordinating CVE efforts-should direct the CVE Task Force to develop a cohesive strategy that includes measurable outcomes for CVE activities
GAO-17-300	Countering Violent Extremism: Actions Needed to Define Strategy and Assess Progress of Federal Efforts	04/06/2017	2	The Secretary of Homeland Security and the Attorney General-as heads of the two lead agencies responsible for coordinating CVE efforts-should direct the CVE Task Force to establish and implement a process to assess overall progress in CVE, including its effectiveness
GAO-17-346SP	Homeland Security Acquisitions: Earlier Requirements Definition and Clear Documentation of Key Decisions Could Facilitate Ongoing Progress	04/06/2017	1	The Secretary of Homeland Security direct the Undersecretary for Management to update the acquisition policy to require that major acquisition programs' technical requirements are well defined and key technical reviews are conducted prior to approving programs to initiate product development and establishing APBs, in accordance with acquisition best practices
GAO-17-346SP	Homeland Security Acquisitions: Earlier Requirements Definition and Clear Documentation of Key Decisions Could Facilitate Ongoing Progress	04/06/2017	2	The Secretary of Homeland Security direct the Undersecretary for Management to update the acquisition policy to specify that acquisition decision memorandums clearly document the rationale of decisions made by DHS leadership, such as, but not limited to, the reasons for allowing programs to deviate from the requirement to obtain department approval for certain documents at ADEs and the results of considerations or trade-offs.
OIG-17-51	ICE Deportation Operations	04/13/2017	1	Comprehensively review, revise, update, and maintain ICE Enforcement and Removal Operations policies, procedures, and guidance to address gaps and outdated information.
OIG-17-51	ICE Deportation Operations	04/13/2017	2	Comprehensively review Deportation Officer functions at field offices to determine staffing allocations for non-detained units and identify appropriately sized caseloads for Deportation Officers working with non-detained aliens.
OIG-17-51	ICE Deportation Operations	04/13/2017	3	Based on a completed comprehensive review, develop a plan to identify and implement appropriate staffing of Deportation Officers.
OIG-17-51	ICE Deportation Operations	04/13/2017	4	Develop and standardized training curriculum for all current and future Deportation Officers, including recurrent refresher training courses for docket review and detained and non-detained case management.

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GAO-17-426	FEDRALLY OWNED VEHICLES: Agencies Should Improve Processes to Identify Underutilized Vehicles	04/25/2017	1	To facilitate the removal of underutilized vehicles, the Secretary of Homeland Security should direct the Commissioner of Customs and Border Protection to develop a written plan for how CBP will use newly available usage data to improve its utilization assessment processes. Such a plan would define utilization criteria that reflect CBP's mission and describe how CBP will review and individually justify vehicles that do not meet the utilization criteria established by either DHS or CBP.
GAO-17-439SU / GAO-17-618	CUSTOMS AND BORDER PROTECTION: Improved Planning Efforts Needed to Strengthen Trade Enforcement	04/27/2017	1	To strengthen CBP's trade enforcement efforts, the Commissioner of CBP should direct the Office of Trade to include performance targets, when applicable, in addition to performance measures in its Priority Trade Issue strategic and annual plans.
GAO-17-439SU / GAO-17-618	CUSTOMS AND BORDER PROTECTION: Improved Planning Efforts Needed to Strengthen Trade Enforcement	04/27/2017	2	To strengthen CBP's trade enforcement efforts, the Commissioner of CBP should direct the Office of Trade and the Office of Field Operations to develop a long-term hiring plan that articulates how CBP will reach its staffing targets for trade positions set in the Homeland Security Act and the agency's resource optimization model.
OIG-17-56	DHS Tracking of Visa Overstays is Hindered by Insufficient Technology	05/01/2017	1	DHS CIO continue to work with components to further eliminate duplication, improve information sharing, and properly align system access, especially for system modernization efforts, across DHS according to visa tracking mission requirements.
OIG-17-56	DHS Tracking of Visa Overstays is Hindered by Insufficient Technology	05/01/2017	2	ICE CIO assess and address the visa IT training needs of ICE users, including coordinating with system owners in other components to ensure that ICE users have the opportunity to receive official, hands-on training on these components' visa IT systems as well.
OIG-17-56	DHS Tracking of Visa Overstays is Hindered by Insufficient Technology	05/01/2017	3	ICE CIO compile an up-to-date inventory of all IT systems across the Department that ICE agents and officers can use for visa tracking and provide documented guidance on potential uses of each system to accomplish the various visa overstay tracking.
OIG-17-56	DHS Tracking of Visa Overstays is Hindered by Insufficient Technology	05/01/2017	4	DHS CIO continue to assess current plans to expedite development and implementation of a biometric exit system and ensure continued progress through dedicated reviews, acquisition oversight, and corrective action plans, as appropriate.
OIG-17-56	DHS Tracking of Visa Overstays is Hindered by Insufficient Technology	05/01/2017	5	DHS CIO continue its efforts to evaluate the extent to which the data used to develop overstay estimates are accurate and reliable, identify and document any remaining gaps and limitations, and identify how the data may be improved.
OIG-17-59	Department of Homeland Security's FY 2016 Compliance with the Improper Payments Elimination and Recovery Act of 2010 and Executive Order 13520, Reducing Improper Payments	05/12/2017	4	The DHS CFO ensure that the Risk Management and Assurance Division is properly following existing DHS IPERA Guidance.

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GAO-17-284	HOMELAND SECURITY: Progress Made to Implement IT Reform, but Additional Chief Information Officer Involvement Needed	05/18/2017	3	The DHS Chief Information Officer should establish time frames and implement a plan for (1) identifying the specific staff or positions currently within the department's IT acquisition cadre; and (2) assessing whether these staff and positions address all of the specialized skills and knowledge needed, as outlined in OMB's Office of Federal Procurement Policy's guidance for developing an IT acquisition cadre.
GAO-17-284	HOMELAND SECURITY: Progress Made to Implement IT Reform, but Additional Chief Information Officer Involvement Needed	05/18/2017	4	The DHS Chief Information Officer should establish time frames and implement a plan for (1) identifying the department's future IT skillset needs as a result of DHS's new delivery model, (2) conducting a skills gap analysis, and (3) resolving any skills gaps identified.
GAO-17-284	HOMELAND SECURITY: Progress Made to Implement IT Reform, but Additional Chief Information Officer Involvement Needed	05/18/2017	5	The DHS Under Secretary for Management should update the department's acquisition policies and guidance to be consistent in identifying that the DHS CIO is to certify investments' incremental development activities
GAO-17-284	HOMELAND SECURITY: Progress Made to Implement IT Reform, but Additional Chief Information Officer Involvement Needed	05/18/2017	6	The DHS Under Secretary for Management should update DHS headquarters', Customs and Border Protection's, and U.S. Coast Guard's processes to track, for all contracts and agreements, the IT investment with which each is associated (as applicable);
GAO-17-284	HOMELAND SECURITY: Progress Made to Implement IT Reform, but Additional Chief Information Officer Involvement Needed	05/18/2017	7	The DHS Under Secretary for Management should update and implement the process DHS uses for assessing the risks of major IT investments to ensure that the CIO rating reported to the Dashboard fully reflects the CIO's assessment of each major IT investment.
GAO-17-419SU / GAO-17-650	SUPPLY CHAIN SECURITY: CBP Needs to Enforce Compliance and Assess Effectiveness of the Importer Security Filing and Additional Carrier Requirements	05/18/2017	1	Enforce the ISF rule requirements that carriers provide CSMs to CBP when targeters identify CSM noncompliance.
GAO-17-419SU / GAO-17-650	SUPPLY CHAIN SECURITY: CBP Needs to Enforce Compliance and Assess Effectiveness of the Importer Security Filing and Additional Carrier Requirements	05/18/2017	3	Identify and collect additional performance information of the impact of the ISF data, such as the identification of shipments containing contraband, to better evaluate the effectiveness of the ISF program.
OIG-17-74-IQO	Oversight Review of the United States Coast Guard Investigative Services	06/23/2017	1	Issue policy to standardize and centralize the receipt and referral of allegations and ensure FACTS includes a field to capture when an allegation was referred to the OIG.
OIG-17-74-IQO	Oversight Review of the United States Coast Guard Investigative Services	06/23/2017	2	Articulate in policy what constitutes an OIG-referable allegation, train all necessary staff accordingly, and ensure that CGIS refers the requisite allegation to the OIG.
OIG-17-74-IQO	Oversight Review of the United States Coast Guard Investigative Services	06/23/2017	3	Provide a quarterly report to the OIG describing the status of all open Hotline Compliant investigations as mandated by the MOU.
OIG-17-74-IQO	Oversight Review of the United States Coast Guard Investigative Services	06/23/2017	6	Consult with the Coast Guard Directives and Publications Division, CG-612, and replace the existing Coast Guard Investigations Manual with a document that complies with Systems Manual requirements.

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OIG-17-74-IQO	Oversight Review of the United States Coast Guard Investigative Services	06/23/2017	7	Continue to update investigative policy and follow the Coast Guard clearance process outlined in M5215.6 prior to issuing new policy.
OIG-17-74-IQO	Oversight Review of the United States Coast Guard Investigative Services	06/23/2017	8	Ensure that a Privacy Impact Assessment is conducted for FACTS and that all related requirements are properly addressed.
OIG-17-74-IQO	Oversight Review of the United States Coast Guard Investigative Services	06/23/2017	10	Provide training to FACTS users on navigating the system and policy requirements.
OIG-17-74-IQO	Oversight Review of the United States Coast Guard Investigative Services	06/23/2017	11	Determine the appropriate amount of time for the maintenance of LEAP records and clarify contradictory policy regarding the same.
OIG-17-74-IQO	Oversight Review of the United States Coast Guard Investigative Services	06/23/2017	12	Ensure that LEAP eligible employees maintain an annual average of unscheduled duty hours equal to or greater than 2 hours per regular work day. We further recommend that the CGIS Director ensure LEAP eligible employees certify initially and on an annual basis that they have met and will continue to meet the minimum LEAP requirements per title 5 USC § 5545a(d).
OIG-17-74-IQO	Oversight Review of the United States Coast Guard Investigative Services	06/23/2017	13	Develop a structured training program to include:
OIG-17-74-IQO	Oversight Review of the United States Coast Guard Investigative Services	06/23/2017	17	Ensure field offices maintain an organized and updated property inventory system that would support a timeline for updating inventory records once items have been transferred or removed from an office.
OIG-17-74-IQO	Oversight Review of the United States Coast Guard Investigative Services	06/23/2017	23	Ensure that all evidence is inventoried every 6 months as required.
OIG-17-74-IQO	Oversight Review of the United States Coast Guard Investigative Services	06/23/2017	24	Ensure the Evidence Custody Logs contain the required information.
OIG-17-74-IQO	Oversight Review of the United States Coast Guard Investigative Services	06/23/2017	25	Ensure the FACTS system has the necessary fields to input and readily view the required and essential information for evidence.
OIG-17-74-IQO	Oversight Review of the United States Coast Guard Investigative Services	06/23/2017	26	Establish a transition plan before requiring CGIS locations to enter evidence into FACTS and provide employees with clear instructions on how to use the system for logging inventory to ensure consistent and accurate evidence record keeping across CGIS.
OIG-17-74-IQO	Oversight Review of the United States Coast Guard Investigative Services	06/23/2017	27	Ensure evidence, including narcotics, is properly sealed to protect the integrity of the evidence.
OIG-17-74-IQO	Oversight Review of the United States Coast Guard Investigative Services	06/23/2017	28	Revise guidance to ensure evidence custodians verify contents of evidence bags and weigh narcotics as part of the periodic inventory of evidence.
OIG-17-74-IQO	Oversight Review of the United States Coast Guard Investigative Services	06/23/2017	29	Ensure that all evidence is secured in structures suitable for the storage of evidence.
OIG-17-74-IQO	Oversight Review of the United States Coast Guard Investigative Services	06/23/2017	32	We recommend the CGIS Director ensure that comprehensive reports that comply with CIGIE guidelines are produced for each investigation.
GAO-17-444SU / GAO-17-706	Refugees: Actions Needed by State Department and DHS to Further Strengthen Applicant Screening Process and Assess Fraud Risks	06/30/2017	3	Conduct regular quality assurance assessments of refugee application adjudications across RAD and IO.

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GAO-17-444SU / GAO-17-706	Refugees: Actions Needed by State Department and DHS to Further Strengthen Applicant Screening Process and Assess Fraud Risks	06/30/2017	4	Conduct regular joint assessments of applicant fraud risk across USRAP.
OIG-17-91	PALMS Does Not Address Department Needs	06/30/2017	6	DHS CIO develop guidelines to ensure information technology contracts include clear definition of subscription services, pricing methodologies, and payment and acceptance terms.
GAO-17-613	FEMA: Additional Actions Needed to Improve Handling of Employee Misconduct Allegations	07/18/2017	1	In order to improve employee misconduct policies and procedures, the Secretary of Homeland Security should direct the FEMA Administrator to document policies and procedures to address potential Surge Capacity Force misconduct.
GAO-17-613	FEMA: Additional Actions Needed to Improve Handling of Employee Misconduct Allegations	07/18/2017	2	In order to improve employee misconduct policies and procedures, the Secretary of Homeland Security should direct the FEMA Administrator to document Reservist disciplinary options and appeals policies and procedures that are currently in practice at the agency.
GAO-17-613	FEMA: Additional Actions Needed to Improve Handling of Employee Misconduct Allegations	07/18/2017	4	In order to better identify and address trends in employee misconduct, the Secretary of Homeland Security should direct the FEMA Administrator to improve the quality and usefulness of the misconduct data it collects by implementing quality control measures, such as adding additional drop-down fields with standardized entries, adding unique case identifier fields, developing documented guidance for data entry, or considering the adoption of database software.
GAO-17-613	FEMA: Additional Actions Needed to Improve Handling of Employee Misconduct Allegations	07/18/2017	5	In order to better identify and address trends in employee misconduct, the Secretary of Homeland Security should direct the FEMA Administrator to, once the quality of the data is improved, conduct routine reporting on employee misconduct trends.
GAO-17-613	FEMA: Additional Actions Needed to Improve Handling of Employee Misconduct Allegations	07/18/2017	6	In order to ensure that all allegations of employee misconduct referred by DHS OIG are reviewed and addressed, the Secretary of Homeland Security should direct the FEMA Administrator to develop reconciliation procedures to consistently track referred cases.
GAO-17-649	FOREIGN TRADE ZONES: CBP Should Strengthen Its Ability to Assess and Respond to Compliance Risks across the Program	07/26/2017	2	To strengthen CBP's ability to assess and respond to compliance risks across the FTZ program, GAO recommend that the Commissioner of CBP conduct a risk analysis of the FTZ program using data across FTZs, including an analysis of the likelihood and significance of compliance violations and enforcement actions.
GAO-17-649	FOREIGN TRADE ZONES: CBP Should Strengthen Its Ability to Assess and Respond to Compliance Risks across the Program	07/26/2017	3	To strengthen CBP's ability to assess and respond to compliance risks across the FTZ program, GAO recommend that the Commissioner of CBP utilize the results of the program-wide risk analysis to respond to identified risks, such as updating risk assessment tools and developing best practices for CBP's FTZ compliance review and risk categorization system.
OIG-17-99-MA	MANAGEMENT ALERT: CBP Wastes Millions Conducting Polygraph Examinations on Unsuitable Applicants	08/04/2017	1	That the (Acting) Commissioner of CBP establish an in-person security interview well before the polygraph examination.

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GAO-17-605SU / GAO-18-72	FACILITY SECURITY: Agencies Should Improve Methods for Assessing and Monitoring Risk (GAO-17-605SU) FEDERAL FACILITY SECURITY: Selected Agencies Should Improve Methods for Assessing and Monitoring Risk (GAO-18-72)	08/09/2017	1	That the CBP Commissioner update the Security Policy and Procedures Handbook to include Interagency Security Committee's (ISC) Risk Management Process for Federal facilities requirement to assess all undesirable events, consider all three factors of risk, and document deviations from the standard.
GAO-17-605SU / GAO-18-72	FACILITY SECURITY: Agencies Should Improve Methods for Assessing and Monitoring Risk (GAO-17-605SU) FEDERAL FACILITY SECURITY: Selected Agencies Should Improve Methods for Assessing and Monitoring Risk (GAO-18-72)	08/09/2017	2	That the CBP Commissioner update the Security Policy and Procedures Handbook to include data collection and analysis requirements for monitoring the performance of its physical security program.
GAO-17-605SU / GAO-18-72	FACILITY SECURITY: Agencies Should Improve Methods for Assessing and Monitoring Risk (GAO-17-605SU) FEDERAL FACILITY SECURITY: Selected Agencies Should Improve Methods for Assessing and Monitoring Risk (GAO-18-72)	08/09/2017	3	That the CBP Commissioner revise the plan's assumptions (the plan to eliminate the backlog of facility risk assessments) to balance assessments with competing priorities, such as updating the policy manual and reviewing new construction design, to develop a feasible timeframe for completing the assessment of backlog.
OIG-17-101	Improvements Needed to Promote DHS Progress toward Accomplishing Enterprise-wide Data Goals	08/14/2017	1	The Under Secretary for Intelligence and Analysis should ensure the Information Sharing and Safeguarding Governance Board (ISSGB) completes development of its implementation plan for each of the 19 remaining strategic objectives of the Enterprise Data Strategy, including assigned offices and timelines, by the end of FY 2017 as projected.
OIG-17-101	Improvements Needed to Promote DHS Progress toward Accomplishing Enterprise-wide Data Goals	08/14/2017	2	The DHS Chief Information Office should work with components to identify and provide the common tools and related training needed for enterprise-wide data analysis and management.
GAO-17-448	DATA CENTER OPTIMIZATION: Agencies Need to Address Challenges and Improve Progress to Achieve Cost Savings Goal	08/15/2017	1	The Secretary of Homeland Security take action to, within existing OMB reporting mechanisms, complete plans describing how the agency will achieve OMB's requirement to implement automated monitoring tools at all agency-owned data centers by the end of the fiscal year 2018.
GAO-17-602C / GAO-17-794	AVIATION SECURITY: Actions Needed To Systematically Evaluate Cost and Effectiveness Across Security Countermeasures.	08/16/2017	1	Explore and pursue methods to assess the deterrent effect of TSA's passenger aviation security countermeasures; such an effort should identify FAMS- a countermeasure with a focus on deterring threats- as a top priority to address.

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GAO-17-602C / GAO-17-794	AVIATION SECURITY: Actions Needed To Systematically Evaluate Cost and Effectiveness Across Security Countermeasures.	08/16/2017	2	TSA improves the reliability and extent of its information on the effectiveness of aviation security countermeasures, systematically evaluate the potential cost and effectiveness tradeoffs across countermeasures.
GAO-17-606	INTERNATIONAL MAIL SECURITY: Costs and Benefits of Using Electronic Data to Screen Mail Need to be Assessed	08/31/2017	1	The Secretary of Homeland Security direct the Commissioner of U.S. Customs and Border Protection (CBP), in conjunction with the U.S. Postal Service (USPS) to:
GAO-17-606	INTERNATIONAL MAIL SECURITY: Costs and Benefits of Using Electronic Data to Screen Mail Need to be Assessed	08/31/2017	2	The Secretary of Homeland Security direct the Commissioner of U.S. Customs and Border Protection (CBP), in conjunction with the U.S. Postal Service (USPS) to: Evaluate the relative costs and benefits of collecting electronic advance data for targeting mail for inspection in comparison to other methods.
OIG-17-103-MA	Management Alert - Unclear Rules Regarding Executive Protection Details Raise Concerns	09/21/2017	1	The Secretary of Homeland Security direct that:1. The CBP and ICE security details be discontinued pending a legal review by the DHS Office of General Counsel of the legal sufficiency for such protective details, unless the Secretary makes a written finding of physical security threats meeting the requirements of 31 U.S.C. ~ 1344, the only explicit authority currently available without a specific risk assessment.
OIG-17-103-MA	Management Alert - Unclear Rules Regarding Executive Protection Details Raise Concerns	09/21/2017	2	If the Office of General Counsel determines that there is sufficient statutory authority for such security details, the Undersecretary for Management issue a directive on the scope and circumstances under which a security detail is permitted for component heads, including the
GAO-17-698R	Coast Guard: Status of Polar Icebreaking Fleet Capability and Recapitalization Plan	09/25/2017	1	The Commandant of the Coast Guard should complete a comprehensive cost estimate for a limited service life extension of the Polar Star that follows cost estimating best practices before committing to this approach for bridging the potential capability gap.
GAO-17-799	DHS Financial Management: Better Use of Best Practices Could Help Manage System Modernization Project Risks	09/26/2017	1	DHS Under Secretary for Management should develop and implement effective processes and improve guidance to reasonably assure that future AAs fully follow AOA process best practices and reflect the four characteristics of a reliable, high-quality AOA process.
GAO-17-799	DHS Financial Management: Better Use of Best Practices Could Help Manage System Modernization Project Risks	09/26/2017	2	The DHS Under Secretary for Management should improve the Risk Management Planning Handbook and other relevant guidance for managing risks associated with financial management system modernization projects to fully incorporate risk management best practices, including
OIG-17-110	FEMA Needs to Improve Management of its Flood Mapping Programs	09/27/2017	1	Implement a system of tracking regional cost allocations for flood map projects including studies and other investments, as well as the status of funds (expended and unexpended) and where they are in their lifecycle
GAO-17-738	FEDERAL CONTRACTING: Additional Management Attention and Action Needed to Close Contracts and Reduce Audit Backlog	09/28/2017	3	Secretary of Homeland Security should develop a means, either at the agency or the component level, to track where the contracts are in the closeout process, and establish goals and performance measures for closing contracts.

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GAO-17-774SU/GAO-18-214	Nuclear Security: CBP Needs to Take Action to Ensure Imported Radiological Material Is Properly Licensed	09/28/2017	1	The Commissioner of CBP should develop a monitoring system to help ensure that CBP officials comply with license verification policies and procedures.
GAO-17-774SU/GAO-18-214	Nuclear Security: CBP Needs to Take Action to Ensure Imported Radiological Material Is Properly Licensed	09/28/2017	3	The Commissioner of CBP should develop a system that better identifies shipments of radiological material that pose the greatest risk and revise CBP's policies and procedures as necessary to verify licenses for these shipments.
OIG-17-112	Covert Testing of the Passenger Security Checkpoint	09/28/2017	8	(U) Recommend that the Administrator, Transportation Security Administration, ensure that local Transportation Security Administration employees, as well as Transportation Systems Integration Facility and Headquarters personnel, maintain an inventory of the necessary test items for all screening equipment so that testers and local personnel can promptly validate the equipment is performing as required
OIG-17-115-MA	Management Alert - Security and Safety Concerns at Border Patrol Stations in the Tucson Sector	09/29/2017	1	We recommend that CBP promptly upgrade the infrastructure at Tucson Sector stations and ensure Border Patrol agent safety by providing the resources necessary to correct the urgent physical security issues.
OIG-17-115-MA	Management Alert - Security and Safety Concerns at Border Patrol Stations in the Tucson Sector	09/29/2017	2	We recommend that CBP review the security policy and procedures at Border Patrol stations and CBP facilities in the Tucson Sector to ensure cameras are operable and facility access is secure.
OIG-17-116-VR	DHS Review of Responses to Significant Freedom of Information Act Requests	09/29/2017	1	The Chief FOIA Officer finalize and issue the instruction on the 1- Day Awareness Notification Process, ensuring it states that: (1) the purpose of the process is solely to inform senior officials about the imminent release of information that may raise public interest or litigation; and (2) FOIA staff, not political appointees, determine whether information should be released or withheld under FOIA's exemptions.
OIG-17-119	ICE Field Offices Need to Improve Compliance with Oversight Requirements for Segregation of Detainees with Mental Health Conditions	09/29/2017	1	Ensure that Enforcement and Removal Office FODs and AFODs are properly conducting the reviews of segregation decisions required by ICE policies and are held accountable for properly documenting that required reviews have been completed in the specified timeframe.
OIG-17-119	ICE Field Offices Need to Improve Compliance with Oversight Requirements for Segregation of Detainees with Mental Health Conditions	09/29/2017	2	Ensure that data on segregation placements and reviews in the Segregation Review Management System is regularly assessed for accuracy and reliability.
GAO-18-9	COAST GUARD: Actions Needed to Close Stations Identified as Overlapping and Unnecessarily Duplicative	10/02/2017	1	The Commandant of the Coast Guard should establish and follow a sound air station optimization process similar to its process for analyzing boat stations to allow it to comprehensively analyze its need for air stations and air facilities and determine what changes may be needed
GAO-18-9	COAST GUARD: Actions Needed to Close Stations Identified as Overlapping and Unnecessarily Duplicative	10/02/2017	2	The Commandant of the Coast Guard should establish a plan with target dates and milestones for closing boat stations that it has determined, through its 9 step process and subsequent analysis, provide overlapping search and rescue coverage and are unnecessarily duplicative

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GAO-18-9	COAST GUARD: Actions Needed to Close Stations Identified as Overlapping and Unnecessarily Duplicative	10/02/2017	3	The Commandant of the Coast Guard should take action to close the stations identified according to its plan and target dates.
GAO-18-73SU/GAO-18-178	Aviation Security: TSA Has Strengthened Foreign Airport Assessments and Air Carrier Inspections, but Could Improve Data Management	10/12/2017	1	The Assistant Administrator for the Office of Global Strategies should ensure that data regarding the root causes of security deficiencies and corrective actions are consistently captured in accordance with TSA guidance.
GAO-18-73SU/GAO-18-178	Aviation Security: TSA Has Strengthened Foreign Airport Assessments and Air Carrier Inspections, but Could Improve Data Management	10/12/2017	2	The Assistant Administrator for the Office of Global Strategies should update TSA's data systems to include more specific categories for TSA's data on the root causes and corrective actions related to security deficiencies.
GAO-18-46	TSA Modernization Use of Sound Program Management and Oversight Practices Are Needed to Avoid Repeating Past Problems	10/17/2017	1	TSA Administrator should ensure that the TIM program management office establishes and implements specific time frames for determining key strategic implementation details, including how the program will transition from the current state to the final TIM state.
GAO-18-46	TSA Modernization Use of Sound Program Management and Oversight Practices Are Needed to Avoid Repeating Past Problems	10/17/2017	2	The TSA Administrator should ensure that the TIM program management office establishes a schedule that provides planned completion dates based on realistic estimates of how long it will take to deliver capabilities.
GAO-18-46	TSA Modernization Use of Sound Program Management and Oversight Practices Are Needed to Avoid Repeating Past Problems	10/17/2017	3	The TSA Administrator should ensure that the TIM program management office establishes new time frames for implementing the actions identified in the organizational change management strategy and effectively executes against these time frames.
GAO-18-46	TSA Modernization Use of Sound Program Management and Oversight Practices Are Needed to Avoid Repeating Past Problems	10/17/2017	5	The TSA Administrator should ensure that the TIM program management office establishes specific prioritization levels for current and future features and user stories.
GAO-18-46	TSA Modernization Use of Sound Program Management and Oversight Practices Are Needed to Avoid Repeating Past Problems	10/17/2017	6	The TSA Administrator should ensure that the TIM program management office implements automated Agile management testing and deployment tools, as soon as possible.
GAO-18-46	TSA Modernization Use of Sound Program Management and Oversight Practices Are Needed to Avoid Repeating Past Problems	10/17/2017	7	The TSA Administrator should ensure that the TIM program management office updates the Systems Engineering Life Cycle Tailoring Plan to reflect the current governance framework and milestone review processes.
GAO-18-46	TSA Modernization Use of Sound Program Management and Oversight Practices Are Needed to Avoid Repeating Past Problems	10/17/2017	8	The TSA Administrator should ensure that the TIM program management office establishes thresholds or targets for acceptable performance-levels
GAO-18-46	TSA Modernization Use of Sound Program Management and Oversight Practices Are Needed to Avoid Repeating Past Problems	10/17/2017	9	The TSA Administrator should ensure that the TIM program management office begins collecting and reporting on Agile-related cost metrics.
GAO-18-46	TSA Modernization Use of Sound Program Management and Oversight Practices Are Needed to Avoid Repeating Past Problems	10/17/2017	11	The TSA Administrator should ensure that the TIM program management office ensures that unit test coverage for software releases is measured and reported accurately.

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GAO-18-46	TSA Modernization Use of Sound Program Management and Oversight Practices Are Needed to Avoid Repeating Past Problems	10/17/2017	12	The Secretary of Homeland Security should direct the Under Secretary for Management to ensure that appropriate DHS leadership reaches consensus on needed oversight and governance changes related to the frequency of reviewing Agile programs, and then documents and implements associated changes.
GAO-18-46	TSA Modernization Use of Sound Program Management and Oversight Practices Are Needed to Avoid Repeating Past Problems	10/17/2017	14	The Secretary of Homeland Security should direct the Under Secretary for Management to ensure that DHS-level oversight bodies review key Agile performance and cost metrics for the TIM program and use them to inform management oversight decisions.
OIG-18-03	USCIS Needs A Better Approach To Verify H-1B Visa Participants	10/20/2017	1	The Deputy Director, US. Citizenship and Immigration Services, develop a process to collect and analyze complete and accurate data for all H-1B site visit activity. At a minimum, USCIS should (1) track targeted site visits as well as program costs associated with Administrative Site Visit and Verification Program and targeted site visits; (2) analyze and adjudicate actions for unverified site visits; (3) use data collected above to develop performance measures to assess the effectiveness of the site visit programs and assist with oversight improvements.
OIG-18-03	USCIS Needs A Better Approach To Verify H-1B Visa Participants	10/20/2017	3	The Deputy Director, U.S. Citizenship and Immigration Services, conduct an assessment of the H-1B Administrative Site Visit and Verification Program to: (1) identify the best allocation of resources for oversight of the H-1B program. This should include an adjustment of the number of required site visits per year, and the time and effort spent at each site visit. (2) update polices, procedures, and training to ensure consistent approaches and proper documentation for site visits. (3) enhance the random sampling procedures to also include a more risk-based approach that prioritizes the recurring violators within the universe of H1-B holders and a random stratified selection of small or high risk petitioners; and assess available information and data on petitioners; and (4) ensure immigration officers have a career path that will encourage them to remain in the position and enable them to develop, enhance, and contribute to identifying and addressing noncompliance or fraud for the long term.
OIG-18-03	USCIS Needs A Better Approach To Verify H-1B Visa Participants	10/20/2017	4	The Deputy Director, U.S Citizenship and Immigration Services, develop comprehensive policies across Directorates to ensure adjudicate action is prioritized on fraudulent or noncompliant immigration benefits identified by the H-1B ASVVP and targeted site visits.
OIG-18-04	FAMS: Contribution to Domestic Aviation Transportation Security is Questionable	10/25/2017	2	(U) Recommend the Administrator for the Transportation Security Administration conduct a validation and verification study for the Dynamic Aviation Risk Management Solution report. Upon completion of the study, the Transportation Security Administration should conduct a cost-benefit analysis to determine whether the $583.1 million in flight operations is being spent mitigating the most serious threat facing aviation transportation.

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OIG-18-04	FAMS: Contribution to Domestic Aviation Transportation Security is Questionable	10/25/2017	4	(U) Recommend that the Assistant Administrator for the Office of Law Enforcement/Federal Air Marshal Service improve existing performance measures to determine the effectiveness of in-flight operations in addressing all risks facing aviation transportation, with a strong emphasis to ensure the highest risks are addressed.
OIG-18-05	DHS' Controls Over Firearms and Other Sensitive Assets	10/25/2017	2	We recommend that the Under Secretary forManagement require components to re-train property custodians and employees on timeframes for updates to the property inventory records.
OIG-18-05	DHS' Controls Over Firearms and Other Sensitive Assets	10/25/2017	5	We recommend that the Under Secretary forManagement require that components assess the need and submit justifications to the Department for badges issued to non-law enforcement personnel and recommend components discontinue the use of non-law enforcement badges without adequate justification.
OIG-18-05	DHS' Controls Over Firearms and Other Sensitive Assets	10/25/2017	6	We recommend that the Under Secretary for Management improve the oversight activities by specifically requiring the Office of Chief Readiness Support Officer to: leverage component internal inspection units as a force multiplier to ensure inventory and property reporting requirements are followed; and summarize all component Lost Damaged Destroyed data semiannually and disseminate results throughout the Department to increase awareness and remind officers to properly safeguard all sensitive assets.
GAO-18-13	COAST GUARD: Actions Needed to Enhance Performance Information Transparency and Monitoring	10/27/2017	1	The Commandant of the Coast Guard should either develop new performance goals to address mission activity gaps, or explain in the Coast Guard's APR why certain aspects of mission performance are measured while others are not.
GAO-18-13	COAST GUARD: Actions Needed to Enhance Performance Information Transparency and Monitoring	10/27/2017	4	The Commandant of the Coast Guard should develop and document, in its APR or elsewhere, corrective actions for unmet performance goals that are measureable and include time frames for implementation
GAO-18-13	COAST GUARD: Actions Needed to Enhance Performance Information Transparency and Monitoring	10/27/2017	5	The Commandant of the Coast Guard should document, in its APR, or elsewhere, its efforts to monitor and evaluate the implementation of corrective actions for unmet performance goals
GAO-17-787R / GAO-18-173R	Emergency Communications: Overlap and Views on the Effectiveness of Organizations Promoting the Interoperability of Equipment	10/30/2017	1	The Secretary of Homeland Security should direct that OEC examine the composition and functioning of SAFECOM to determine whether all relevant stakeholder groups are adequately represented and their views adequately expressed and considered through memberships in the organizations executive committees, subcommittees, working groups, or other means.

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OIG-18-07	DHS Needs a More Unified Approach to Immigration Enforcement and Administration	10/30/2017	1	We recommend that the Secretary of DHS establish and oversee a formal department-level group comprising senior level officials from the Department's operational components and key headquarters organizations responsible for enforcement and administration of immigration laws. The responsible officials will facilitate long-term solutions to overarching and cross-cutting component immigration enforcement and administration challenges. The Department should research and follow similar successful structures from other Federal agencies to guide this endeavor. At a minimum, the designated responsible officials will -
GAO-18-11	Southwest Border Security: Actions Needed to Enhance CBP's Maintenance of Roads Used For Its Operations	10/31/2017	2	The Commissioner of CBP should clearly document the process and criteria for making decisions on funding non-owned operational requirements and communicate this process to Border Patrol sectors.
GAO-18-11	Southwest Border Security: Actions Needed to Enhance CBP's Maintenance of Roads Used For Its Operations	10/31/2017	3	The Commissioner of CBP should assess the feasibility of options for addressing the maintenance of nonfederal public roads. This should include a review of data needed to determine the extent of its reliance on non-owned roads for border security operations.
OIG-18-10	Biennial Report on DHS' Implementation of the Cybersecurity Act of 2015	11/01/2017	2	Establish an acquisition strategy for obtaining the tools and technologies needed to provide a cross-domain solution for sharing and processing cyber threat information between the classified and repositories.
OIG-18-10	Biennial Report on DHS' Implementation of the Cybersecurity Act of 2015	11/01/2017	3	Actively promote participation in the Automated Indicator Sharing program through enhanced outreach that includes providing additional products, services, technical assistance, information sharing forums, and training courses for Federal and private sector entities.
GAO-18-30	Disaster Assistance: Opportunities to Enhance Implementation of the Redesigned Public Assistance Grant Program	11/08/2017	1	The FEMA Assistant Administrator for Recovery should complete a workforce staffing assessment that identifies the appropriate number of staff needed at joint field offices, Consolidated Resource Centers, and in FIMA's hazard mitigation cadre to implement the new delivery model nationwide.
GAO-18-30	Disaster Assistance: Opportunities to Enhance Implementation of the Redesigned Public Assistance Grant Program	11/08/2017	3	The FEMA Assistant Administrator for Recovery should establish system testing criteria, such as a "definition of done," to assess FAC-Trax as it is developed; define the roles and responsibilities of all participants; and develop the sequence and schedule for integration of other systems with FAC-Trax to more fully satisfy systems testing and integration controls.
GAO-18-30	Disaster Assistance: Opportunities to Enhance Implementation of the Redesigned Public Assistance Grant Program	11/08/2017	4	The FEMA Assistant Administrator for Recovery, in coordination with the Associate Administrator of the Federal Insurance and Mitigation Administration, should implement procedures to standardize planning for addressing PA hazard mitigation at the joint field offices, for example, by requiring FEMA and state officials to develop a memorandum of understanding outlining how they will prioritize and address hazard mitigation following a disaster as it did through prior policy.

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GAO-18-30	Disaster Assistance: Opportunities to Enhance Implementation of the Redesigned Public Assistance Grant Program	11/08/2017	5	The FEMA Assistant Administrator for Recovery, in coordination with the Associate Administrator of the Federal Insurance and Mitigation Administration, should develop performance measures and associated objectives for the new delivery model to better align with FEMA's strategic goal for hazard mitigation in the recovery process.
OIG-18-15	Coast Guard IT Investments Risk Failure Without Required Oversight	11/14/2017	1	The Deputy Commandant Mission Support conduct a comprehensive analysis of related acquisition and information technology review processes to identify redundancies, gaps, and potential improvements; and make improvements accordingly
OIG-18-15	Coast Guard IT Investments Risk Failure Without Required Oversight	11/14/2017	2	The Deputy Commandant Mission Support evaluate all existing information technology investments to (1) identify and designate non-major information technology acquisitions programs, and (2) implement a verifiable process to identify non-major information technology acquisition programs. At a minimum, the process should: (a) state the frequency of the review; (b) identify the criteria used to identify procurements for review; and (c) denote the type of documentation that should be maintained.
OIG-18-15	Coast Guard IT Investments Risk Failure Without Required Oversight	11/14/2017	3	The Deputy Commandant Mission Support ensure that the Command, Control, Communications, Computers, and Information Technology Directorate develop and maintain an up-to-date system for managing and tracking information technology investments
OIG-18-15	Coast Guard IT Investments Risk Failure Without Required Oversight	11/14/2017	4	The Deputy Commandant Mission Support review acquisition and information technology guidance to ensure it establishes a clear process to identify and designate non-major information technology acquisition programs. At a minimum, all guidance should: (a) identify stakeholders and define respective roles and responsibilities; (b) include clear factors and considerations that sponsors should use to identify potential non-major information technology acquisitions; (c) establish documentation and review requirements of sponsor assessments; and (d) be consistent with current Department and Coast Guard acquisition and information technology requirements
OIG-18-18	CBP's Use of Examination and Summons Authority Under 19 U.S.C. 1509	11/16/2017	1	CBP should update the Special Agent Internal Operating Procedures immediately to reflect the guidance on the use of Section 1509 Summonses provided in the May 25, 2017 email referenced in this report from the Executive Director of CBP OPR's Investigative Operations Division.
OIG-18-18	CBP's Use of Examination and Summons Authority Under 19 U.S.C. 1509	11/16/2017	2	The relevant CBP personnel should receive training on the proper use of Section 1509 Summonses as soon as practicable.
OIG-18-18	CBP's Use of Examination and Summons Authority Under 19 U.S.C. 1509	11/16/2017	3	CBP should look at use of Section 1509 Summonses across the agency to determine how such summonses are being used, and whether such uses comport with the law and CBP's updated policy.

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OIG-18-19	Review of CBP Information Technology System Outage of January 2, 2017	11/21/2017	1	The Assistant Commissioner for the CBP Office of Information and Technology should ensure that the TECS test environment is sufficiently similar to the TECS production environment so that testing scenarios will be able to identify errors caused by processing a large volume of queries.
OIG-18-19	Review of CBP Information Technology System Outage of January 2, 2017	11/21/2017	5	The Assistant Commissioner for the CBP Office of Information and Technology should provide the DHS Chief Information Officer with a weekly status of CBP's planned and actual modernization migration schedule and milestones detailing when (a) the Legacy mainframe environment is no longer needed, and (b) the recovery site is fully functional.
OIG-18-20	Office of Health Affairs Has Not Implemented An Effective Privacy Management Program	11/30/2017	1	Assign the OHA Privacy Official position the appropriateauthority, roles, and responsibilities needed to successfully implement an organization-wide privacy program.
OIG-18-20	Office of Health Affairs Has Not Implemented An Effective Privacy Management Program	11/30/2017	3	Allocate the financial and staff resources needed for the OHA Privacy Office to effectively carry out its authority, roles, and responsibilities.
OIG-18-20	Office of Health Affairs Has Not Implemented An Effective Privacy Management Program	11/30/2017	5	Enforce the requirement that all OHA staff take mandatory Privacy Awareness training annually so that staff know how to properly handle and protect PII used in OHA programs and information
OIG-18-20	Office of Health Affairs Has Not Implemented An Effective Privacy Management Program	11/30/2017	9	Implement a solution to prevent the use of unauthorized personal mobile devices to connect to the ePCR system.
OIG-18-20	Office of Health Affairs Has Not Implemented An Effective Privacy Management Program	11/30/2017	10	Establish a plan of action and milestones to bring the BioWatch system to a moderate rating for confidentiality, including the security controls required to safeguard privacy sensitive systems.
OIG-18-20	Office of Health Affairs Has Not Implemented An Effective Privacy Management Program	11/30/2017	11	Move the BioWatch system to a trusted domain to comply with system security requirements and thereby safeguard sensitive and personally identifiable information.
OIG-18-27	TSA's Adjudication Resources are Inadequate to Meet PreCheck Enrollment Goal	12/05/2017	1	Align Adjudication Center resources with TSA's plans to increase TSA PreCheck enrollments.
OIG-18-27	TSA's Adjudication Resources are Inadequate to Meet PreCheck Enrollment Goal	12/05/2017	2	Automate the TSA PreCheck Application Program caseload assignment and reporting process to enhance the efficiency and effectiveness of adjudications.

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OIG-18-32	Concerns About ICE Detainee Treatment and Care at Detention Facilities	12/11/2017	1	Recommend that the Acting Director of U.S. Immigration and Customs Enforcement ensure that Enforcement and Removal Operations field offices that oversee the detention facilities covered in this report develop a process for ICE field offices to conduct specific reviews of these areas of operations: detainee classification, use of language services, use of segregation and disciplinary actions, compliance with grievance procedures, and detainee care including facility conditions> The process should include deficiency and corrective action reporting to Enforcement and Removal Operations headquarters to ensure deficiencies are corrected.
GAO-18-16	Commercial Fishing Vessels: More Information Needed to Improve Classification Implementation	12/14/2017	1	The Coast Guard should ensure that the data it collects during commercial fishing vessel incident investigations, including the fishery in which the commercial fishing vessel is involved, is accurately captured.
GAO-18-16	Commercial Fishing Vessels: More Information Needed to Improve Classification Implementation	12/14/2017	2	The Coast Guard should form a working group NIOSH and the National Marine Fisheries Service to determine an efficient means to establish a reliable estimate of the population of commercial fishing vessels actively fishing, landing, and selling their catch; the fishery in which a vessel operates; and key vessel characteristics including, but not limited to, vessel age and length.
GAO-18-16	Commercial Fishing Vessels: More Information Needed to Improve Classification Implementation	12/14/2017	3	Once reliable data are available, the Coast Guard, or another agency identified by the working group, should assess the rates of commercial fishing vessel accidents, injuries, and fatalities to determine whether certain factors - including vessel length and region of operation, among other things - affect these rates.
GAO-18-16	Commercial Fishing Vessels: More Information Needed to Improve Classification Implementation	12/14/2017	4	The Coast Guard should issue regulations or guidance to clarify and implement the alternative-to-class approach.
GAO-18-104SU/GAO-18-314	BORDER SECURITY Actions Needed to Strengthen Performance Management and Planning for Expansion of DHS's Visa Security Program	12/19/2017	1	The Director of ICE should ensure that trainings provided by VSP agents to consular officers are developed and implemented with required frequency and content.
GAO-18-104SU/GAO-18-314	BORDER SECURITY Actions Needed to Strengthen Performance Management and Planning for Expansion of DHS's Visa Security Program	12/19/2017	2	The Director of ICE should develop and implement outcome-based performance measures to evaluate the effectiveness of the VSP and assess whether the program is achieving its objectives.
GAO-18-104SU/GAO-18-314	BORDER SECURITY Actions Needed to Strengthen Performance Management and Planning for Expansion of DHS's Visa Security Program	12/19/2017	3	The Director of ICE should incorporate PATRIOT data into the VSP's site selection process for the posts it is considering for expansion.
GAO-18-104SU/GAO-18-314	BORDER SECURITY Actions Needed to Strengthen Performance Management and Planning for Expansion of DHS's Visa Security Program	12/19/2017	4	The Director of ICE should incorporate remote models of VSP operations in the program's site selection process.

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OIG-18-34	DHS' Implementation of the DATA Act	12/29/2017	6	The Acting CFO should incorporate DATA Act-specific controls into existing OMB Circular A-123 processes at the departmental and component levels.
OIG-18-36	ICE Faces Challenges to Screen Aliens Who May Be Known or Suspected Terrorists	01/05/2018	1	Assistant Director of Field Operations expand the Known or Suspected Terrorist Encounter Protocol (KSTEP) policy's scope to require periodic screening of aliens on the non-detained docket.
OIG-18-36	ICE Faces Challenges to Screen Aliens Who May Be Known or Suspected Terrorists	01/05/2018	2	Assistant Director of ICE ERO Field Operations immediately correct the limitations and challenges identified with KSTEP by ensuring that ERO offices have the infrastructure necessary to communicate on known or suspected terrorists.
OIG-18-36	ICE Faces Challenges to Screen Aliens Who May Be Known or Suspected Terrorists	01/05/2018	3	Assistant Director of ICE ERO Field Operations immediately correct the limitations and challenges identified by performing a resource assessment to determine how many additional officers they need and allocate resources that will ensure periodic Known or Suspected Terrorist Encounter Protocol screening of aliens on the non-detained docket.
OIG-18-36	ICE Faces Challenges to Screen Aliens Who May Be Known or Suspected Terrorists	01/05/2018	4	Assistant Director of ICE ERO Field Operations strengthen internal controls as part of a comprehensive quality control program to achieve more effective Known or Suspected Terrorist Encounter Protocol oversight and implementation. This quality control program should include, among other elements -
GAO-18-143	Disaster Recovery: Additional Actions Would Improve Data Quality and Timeliness of FEMA's Public Assistance Appeals Processing	01/16/2018	1	The Assistant Administrator for Recovery should design and implement the necessary processes and procedures to ensure a uniform and consistent approach for tracking first-level data to better integrate regional trackers with PAAB's own first-level appeals tracker
GAO-18-143	Disaster Recovery: Additional Actions Would Improve Data Quality and Timeliness of FEMA's Public Assistance Appeals Processing	01/16/2018	2	The Assistant Administrator for Recovery should design and implement the necessary controls to ensure the quality of the first-level appeals data collected at and reported from the regional offices to PAAB
GAO-18-143	Disaster Recovery: Additional Actions Would Improve Data Quality and Timeliness of FEMA's Public Assistance Appeals Processing	01/16/2018	3	The Assistant Administrator for Recovery should develop a detailed workforce plan that documents steps for hiring, training, and retaining key appeals staff. The plan should address staff transitions resulting from deployment to disasters
GAO-18-143	Disaster Recovery: Additional Actions Would Improve Data Quality and Timeliness of FEMA's Public Assistance Appeals Processing	01/16/2018	4	The Assistant Administrator for Recovery should work with regional administrators in all 10 regional officers, to establish and use goals and measures for processing first-level PA appeals to monitor performance and report on progress
OIG-18-38	Unsupported Payments Made to Policyholders Who Participated in the Hurricane Sandy Claims Review Process	01/24/2018	1	The Deputy Associate Administrator for the Federal Insurance and Mitigation Administration establish procedures to identify and address concerns identified by external parties, including Members of Congress and the media.
OIG-18-38	Unsupported Payments Made to Policyholders Who Participated in the Hurricane Sandy Claims Review Process	01/24/2018	2	The Deputy Associate Administrator for the Federal Insurance and Mitigation re-evaluate existing controls, such as those in the Financial Control Plan, to identify and implement improvements, enabling confidence in the National Flood Insurance Program claims process

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OIG-18-38	Unsupported Payments Made to Policyholders Who Participated in the Hurricane Sandy Claims Review Process	01/24/2018	3	The Deputy Associate Administrator for the Federal Insurance and Mitigation Administration review all contracts that have executed the "flood disaster response" clause within their services contract to ensure that FEMA explicitly defines contractor requirements such as the scope of work, deliverables, and deadlines
OIG-18-38	Unsupported Payments Made to Policyholders Who Participated in the Hurricane Sandy Claims Review Process	01/24/2018	4	The Deputy Associate Administrator for the Federal Insurance and Mitigation Administration review all contracts that have executed the "flood disaster response" clause within their services contract to ensure compliance with contract requirement
OIG-18-38	Unsupported Payments Made to Policyholders Who Participated in the Hurricane Sandy Claims Review Process	01/24/2018	5	The Deputy Associate Administrator for the Federal Insurance and Mitigation Administration establish clear guidance for the review and adjustment of all flood claims. This guidance should be periodically updated and made available to the National Flood Insurance Program insurance providers and their policyholders
OIG-18-38	Unsupported Payments Made to Policyholders Who Participated in the Hurricane Sandy Claims Review Process	01/24/2018	6	The Deputy Associate Administrator for the Federal Insurance and Mitigation Administration evaluate and implement methods to better inform policyholders of their flood insurance coverage under the Standard Flood Insurance Policy
OIG-18-38	Unsupported Payments Made to Policyholders Who Participated in the Hurricane Sandy Claims Review Process	01/24/2018	7	The Deputy Associate Administrator for the Federal Insurance and Mitigation Administration develop and distribute clearer guidance to the policyholders as to what types of documentation are required for supplemental claims
GAO-18-216	INTELLECTUAL PROPERTY: Agencies Can Improve Efforts to Address Risks Posed by Changing Counterfeit Market	01/30/2018	1	The Commissioner of CBP should take steps to evaluate the effectiveness of CBP's IPR enforcement efforts, such as by improving its metrics to track the overall effectiveness of its IPR enforcement efforts, evaluating selected activities to enhance IPR enforcement, and developing a process to assess and share information on port-led initiatives to enhance IPR enforcement.
GAO-18-216	INTELLECTUAL PROPERTY: Agencies Can Improve Efforts to Address Risks Posed by Changing Counterfeit Market	01/30/2018	2	The Commissioner of CBP in consultation with ICE, should assess what, if any, additional information would be beneficial to share with the private sector and, as appropriate, take action to enhance information sharing, where possible, such as by proposing regulatory revisions or requesting additional legal authorities from Congress.
GAO-18-59	Coast Guard Health Records: Timely Acquisition of New System is Critical to Overcoming Challenges with Paper Process	01/30/2018	1	The Commandant Should direct the Chief Information Officer and the Chief Acquisition Officer expeditiously and judiciously pursue the acquisition of a new EHR system
GAO-18-59	Coast Guard Health Records: Timely Acquisition of New System is Critical to Overcoming Challenges with Paper Process	01/30/2018	2	The Commandant should direct the Chief Information Officer and the Chief Acquisition Officer to ensure established processes required for the future acquisition or development of an EHR are effectively implemented and adequately documented
GAO-18-59	Coast Guard Health Records: Timely Acquisition of New System is Critical to Overcoming Challenges with Paper Process	01/30/2018	3	The Commandant should direct the Chief Information Officer and the Chief Acquisition Officer to establish and fully implement project governance boards for the future EHR effort that include the Chief Information Officer

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GAO-18-59	Coast Guard Health Records: Timely Acquisition of New System is Critical to Overcoming Challenges with Paper Process	01/30/2018	4	The Commandant should direct the Chief Information Officer and the Chief Acquisition Officer to document any lessons learned from the discontinued IHiS project, share them with the new project management team, and ensure lessons learned are utilized for the future EHR effort
GAO-18-175	Cybersecurity Workforce: Urgent Need for DHS to Take Actions to Identify Its Position and Critical Skill Requirements	02/06/2018	1	The Secretary of Homeland Security should: Develop procedures on how to identify and code vacant cybersecurity positions.
GAO-18-175	Cybersecurity Workforce: Urgent Need for DHS to Take Actions to Identify Its Position and Critical Skill Requirements	02/06/2018	2	The Secretary of Homeland Security should identify the individual in each component who is responsible for leading that component's efforts in identifying and coding cybersecurity positions.
GAO-18-175	Cybersecurity Workforce: Urgent Need for DHS to Take Actions to Identify Its Position and Critical Skill Requirements	02/06/2018	3	The Secretary of Homeland Security should establish and implement a process to periodically review each component's procedures for identifying component cybersecurity positions and maintaining accurate coding.
GAO-18-175	Cybersecurity Workforce: Urgent Need for DHS to Take Actions to Identify Its Position and Critical Skill Requirements	02/06/2018	4	The Secretary of Homeland Security should ensure OCHCO collects complete and accurate data from its components on all filled and vacant cybersecurity positions when it conducts its cybersecurity identification and coding efforts.
GAO-18-175	Cybersecurity Workforce: Urgent Need for DHS to Take Actions to Identify Its Position and Critical Skill Requirements	02/06/2018	5	The Secretary of Homeland Security should Develop guidance to assist DHS components in identifying their cybersecurity work categories and specialty areas of critical need that align to the NICE framework.
GAO-18-175	Cybersecurity Workforce: Urgent Need for DHS to Take Actions to Identify Its Position and Critical Skill Requirements	02/06/2018	6	The Secretary of Homeland Security should develop plans with time frames to identify priority actions to report on specialty areas of critical need.
OIG-18-51	Department-wide Management of the HSPD-12 Program Needs Improvement	02/14/2018	6	The DHS Chief Security Officer Coordinate with CIO on ensuring that components PIV enable all unclassified information systems under their purview.
OIG-18-51	Department-wide Management of the HSPD-12 Program Needs Improvement	02/14/2018	7	The DHS Chief Security Officer develop and implement a process for ensuring verification and validation of all component reporting to OCSO on their activities to meet HSPD-12 requirements.
GAO-18-211	Critical Infrastructure Protection: Additional Actions Are Essential to Assessing Cybersecurity Framework Adoption	02/15/2018	1	The Secretary of Homeland Security, in cooperation with the co-SSAs as necessary, should take steps to consult with respective sector partner(s), such as the SCC, and NIST, as appropriate, to develop methods for determining the level and type of framework adoption by entities across their respective sectors.
OIG-18-53	Immigration and Customs Enforcement Did Not Follow Federal Procurement Guidelines when Contracting for Detention Services	02/21/2018	1	We recommend that the Deputy Director of ICE establish and communicate specific written procedures for IGSAs implementing Federal guidelines that include: a definition of an IGSA and determination of whether it is a cooperative; agreement or procurement contract; administrative requirements for the solicitation and award of IGSAs; post-award requirements; guidelines for modifications, and allowable cost structure.

List of DHS's Open Unclassified GAO and OIG Recommendations Issued On or Before March 18, 2018

In accordance with P.L. 115-414, the "Good Accounting Obligation in Government Act," the Department is providing the following list of all open unclassified U.S. Government Accountability Office (GAO) and DHS Office of Inspector General (OIG) performance audit recommendations. This is an interim response, the final report will be posted once completed.

Report Number	Report Title	Report Received/ Issued Date	Rec #	Recommendation
GAO-18-233	Emergency Management: Federal Agencies Could Improve Dissemination of Resources to Colleges	02/22/2018	1	The Secretary of Homeland Security, in collaboration with other agencies, through the planned interagency working group or another mechanism, should identify further opportunities to more effectively publicize resources to reach additional colleges.
OIG-18-56	Evaluation of DHS' Information Security Program for Fiscal Year 2017	03/01/2018	2	We recommend that the DHS Chief Information Security Officer:Enforce the requirements for components to obtain authority to operate, test contingency plans, and apply sufficient resources to mitigate security weakness for national security systems according to applicable policies.
OIG-18-55	SPECIAL REVIEW: Swearing-In Ceremony of David J. Glawe, DHS Under Secretary for Intelligence and Analysis	03/05/2018	1	The DHS Deputy Chief of Staff should direct the DHS Director of Scheduling and Advance and Chief of Protocol to develop policies outlining the Office of Protocol's mission, objectives, roles, and responsibilities, including when and how it can support DHS events. These policies should reflect OGC's [DHS Office of General Counsel] prior guidance on official versus personal events.
OIG-18-57	Fiscal Year 2016 Audit of the DHS Bankcard Program Indicates Moderate Risk Remains	03/06/2018	2	We recommend that the Assistant Director for Bankcard and Review, DHS Office of the Chief Financial Officer, allow Coast Guard to update its version of the Purchase Card Transaction Worksheet and accompanying instructions to be more intuitive for the cardholder.
OIG-18-58	USCIS Has Unclear Website Information and Unrealistic Time Goals for Adjudicating Green Card Applications	03/09/2018	1	Implement plans to present information on the USCIS website that more accurately reflects the length on the adjudication process for green card applications, so the website information is clear and helpful to stakeholders.
OIG-18-58	USCIS Has Unclear Website Information and Unrealistic Time Goals for Adjudicating Green Card Applications	03/09/2018	2	Reassess the current green card application processing time goal of 120 days to determine whether it is reasonable and realistic and increase the timeframe if necessary.
GAO-18-271	Customs and Border Protection: Automated Trade Data System Yields Benefits, but Interagency Management Approach Is Needed	03/14/2018	1	The Secretary of Homeland Security should ensure that the Commissioner of CBP, in collaboration with partner agencies, finalizes an interagency approach to the post-core management of ACE that includes (1) processes for prioritizing enhancements to ACE and for sharing ACE operations and maintenance and development costs, including the costs of suggested enhancements among partner agencies that may benefit, and (2) time frames for implementing such processes
GAO-18-268	U.S. PORTS OF ENTRY: CBP Public-Private Partnership Programs Have Benefits, but CBP Could Strengthen Evaluation Efforts	03/15/2018	1	The CBP Commissioner should develop and implement an evaluation plan to be used to assess the overall performance of the RSP and DAP, which could include, among other things, measurable objectives, performance criteria, evaluation methodologies, and data collection plans to inform future program decisions.