

Department of Homeland Security

U.S. Customs and Border Protection

Budget Overview



Fiscal Year 2021
Congressional Justification

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U.S. Customs and Border Protection

Appropriation Organization Structure

Organization Name	Level	Fund Type (* Includes Defense Funding)
U.S. Customs and Border Protection	Component	
Operations and Support	Appropriation	
Mission Support	PPA	
Enterprise Services	PPA Level II	Discretionary - Appropriation
Office of Professional Responsibility	PPA Level II	Discretionary - Appropriation
Executive Leadership and Oversight	PPA Level II	Discretionary - Appropriation
Border Security Operations	PPA	
US Border Patrol	PPA Level II	
Operations	PPA Level III	Discretionary - Appropriation
Assets and Support	PPA Level III	Discretionary - Appropriation
Office of Training and Development	PPA Level II	Discretionary - Appropriation
Trade and Travel Operations	PPA	
Office of Field Operations	PPA Level II	
Domestic Operations	PPA Level III	Discretionary - Appropriation
International Operations	PPA Level III	Discretionary - Appropriation
Targeting Operations	PPA Level III	Discretionary - Appropriation
Assets and Support	PPA Level III	Discretionary - Appropriation
Office of Trade	PPA Level II	Discretionary - Appropriation
Office of Training and Development	PPA Level II	Discretionary - Appropriation
Integrated Operations	PPA	
Air and Marine Operations	PPA Level II	
Operations	PPA Level III	Discretionary - Appropriation
Assets and Support	PPA Level III	Discretionary - Appropriation
Air and Marine Operations Center	PPA Level III	Discretionary - Appropriation
Office of International Affairs	PPA Level II	Discretionary - Appropriation
Office of Intelligence	PPA Level II	Discretionary - Appropriation
Office of Training and Development	PPA Level II	Discretionary - Appropriation
Operations Support	PPA Level II	Discretionary - Appropriation
Procurement, Construction, and Improvements	Appropriation	
Mission Support Assets and Infrastructure	PPA	Discretionary - Appropriation
Revenue Modernization	Investment, PPA Level II	Discretionary - Appropriation

Department of Homeland Security
U.S. Customs and Border Protection

Organization Name	Level	Fund Type (* Includes Defense Funding)
Mission Support Assets and Infrastructure End Items	Investment,PPA Level II	Discretionary - Appropriation
COSS Transformation Initiative	Investment,PPA Level II	Discretionary - Appropriation
Border Security Assets and Infrastructure	PPA	Discretionary - Appropriation
Cross Border Tunnel Threat (CBTT)	Investment,PPA Level II	Discretionary - Appropriation
Integrated Fixed Towers (IFT)	Investment,PPA Level II	Discretionary - Appropriation
Mobile Surveillance Capabilities (MSC)	Investment,PPA Level II	Discretionary - Appropriation
Remote Video Surveillance Systems (RVSS)	Investment,PPA Level II	Discretionary - Appropriation
Unattended Ground Sensors	Investment,PPA Level II	Discretionary - Appropriation
Mobile Video Surveillance System (MVSS)	Investment,PPA Level II	Discretionary - Appropriation
Border Security Assets and Infrastructure End Items	Investment,PPA Level II	Discretionary - Appropriation
Tactical Infrastructure	Investment,PPA Level II	Discretionary - Appropriation
Border Wall System Program	Investment,PPA Level II	Discretionary - Appropriation
Trade and Travel Assets and Infrastructure	PPA	Discretionary - Appropriation
Automated Commercial Environment (ACE)	Investment,PPA Level II	Discretionary - Appropriation
HART	Investment,PPA Level II	Discretionary - Appropriation
Non-Intrusive Inspection (NII) Systems Program	Investment,PPA Level II	Discretionary - Appropriation
Trade and Travel Assets and Infrastructure End Items	Investment,PPA Level II	Discretionary - Appropriation
Integrated Operations Assets and Infrastructure	PPA	
Airframes and Sensors	PPA Level II	Discretionary - Appropriation
KA350-CER Multi-Role Enforcement Aircraft (MEA)	Investment,PPA Level III	Discretionary - Appropriation
UH-60 Medium Lift Helicopter	Investment,PPA Level III	Discretionary - Appropriation
FAA Next Generation	Investment,PPA Level III	Discretionary - Appropriation
Wulfsburg Tactical Communications	Investment,PPA Level III	Discretionary - Appropriation
Airframes and Sensors End Items	Investment,PPA Level III	Discretionary - Appropriation
Light Enforcement Helicopters	Investment,PPA Level III	Discretionary - Appropriation
Aircraft Sensor Upgrades	Investment,PPA Level III	Discretionary - Appropriation
Watercraft	PPA Level II	Discretionary - Appropriation
Coastal Interceptor Vessels	Investment,PPA Level III	Discretionary - Appropriation
Watercraft End Items	Investment,PPA Level III	Discretionary - Appropriation
Other Systems and Assets	PPA Level II	Discretionary - Appropriation
Other Systems and Assets End Items	Investment,PPA Level III	Discretionary - Appropriation
DoD Technology Re-Use	Investment,PPA Level III	Discretionary - Appropriation
MEA-Based VADER	Investment,PPA Level III	Discretionary - Appropriation
Operational Communications/Information Technology	PPA	Discretionary - Appropriation

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Organization Name	Level	Fund Type (* Includes Defense Funding)
TECS Modernization	Investment,PPA Level II	Discretionary - Appropriation
Operational Communications/Information Technology End Items	Investment,PPA Level II	Discretionary - Appropriation
Construction and Facility Improvements	PPA	Discretionary - Appropriation
Border Patrol Facilities	Investment,PPA Level II	Discretionary - Appropriation
OFO Facilities	Investment,PPA Level II	Discretionary - Appropriation
Air & Marine Facilities	Investment,PPA Level II	Discretionary - Appropriation
Construction and Facility Improvements End Items	Investment,PPA Level II	Discretionary - Appropriation
Immigration Inspection User Fee	Appropriation	Mandatory - Fee
Immigration Enforcement Fines	Appropriation	Mandatory - Fee
Electronic System for Travel Authorization (ESTA) Fee	Appropriation	Mandatory - Fee
Land Border Inspection Fee	Appropriation	Mandatory - Fee
COBRA Customs Fees	Appropriation	Mandatory - Fee
COBRA FTA	Appropriation	Discretionary - Fee
Agricultural Quarantine and Inspection Fees	Appropriation	Mandatory - Fee
Global Entry Fee	Appropriation	Discretionary - Offsetting Fee
Puerto Rico Trust Fund	Appropriation	Mandatory - Fee
Virgin Islands Deposit Fund	Appropriation	Mandatory - Fee
User Fee Facilities	Appropriation	Discretionary - Fee
Customs Unclaimed Goods	Appropriation	Mandatory - Fee
9-11 Response and Biometric Exit Account	Appropriation	Mandatory - Fee
Preclearance	Appropriation	Discretionary - Offsetting Fee

U.S. Customs and Border Protection Strategic Context

Component Overview

The strategic context presents the performance budget by tying together programs, or PPAs, and performance measures that gauge the delivery of results to our stakeholders. The Common Appropriation Structure (CAS) allows DHS to integrate the programmatic view and a significant portion of the Level 1 PPAs represent what DHS refers to as our mission programs. A mission program is a group of activities acting together to accomplish a specific high-level outcome external to DHS and includes operational processes, skills, technology, human capital, and other resources. CBP's mission programs are presented below. Performance measures associated with these programs are presented in two measure sets, strategic and management measures. Strategic measures communicate results delivered for our agency goals by these programs and are considered our Government Performance and Results Act Modernization Act of 2010 (GPRAMA) measures. Additional management measures are displayed to provide a more thorough context of expected program performance for the Component related to its budgetary plans. Measure tables that do not display previous year's results are because the measure did not exist at that time.

Border Security Operations: The Border Security Operations program is charged with securing America's Southwest, Northern, and certain Coastal borders. Through the coordinated use of the Department's operational capabilities and assets of the U.S. Border Patrol, CBP improves operational effectiveness by working across the Department to prevent terrorists and terrorist weapons, illegal aliens, smugglers, narcotics, and other contraband from moving across the U.S. border.

Strategic Measures

Measure: Percent of people apprehended multiple times along the Southwest border						
Description: This measure examines the percent of deportable individuals who have been apprehended multiple times by the U.S. Border Patrol along the Southwest border over the previous twelve months. Effective and efficient application of consequences for illegal border crossers should, over time, reduce overall recidivism.						
Fiscal Year:	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
Target:	<=17%	<=17.0%	<=17.0%	<=15.0%	<=15.0%	<=15.0%
Result:	12.3%	10.5%	10.8%	6.7%	TBD	TBD

Measure: Percent of recurring border surveillance implemented in remote low risk areas between ports of entry						
Description: This measure represents the percentage of remote low risk areas along the land border that are covered by recurring surveillance that can detect possible illicit activity. Low risk areas are geographically remote parts of the border that also have historically had low levels of illegal activity. Recurring surveillance is achieved through geospatial capabilities that monitor these areas for potential illicit activity and provide information to CBP Office of Intelligence analysts who review the information and determine if a response is needed. The measure demonstrates the Border Patrol's ability to maintain awareness of illicit activity without needing to have agents directly located in these remote areas.						
Fiscal Year:	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
Target:	---	70.0%	93.0%	96.0%	100.0%	100.0%
Result:	---	90.4%	99.0%	99.0%	TBD	TBD

Measure: Percent of time the U.S. Border Patrol reaches a detection site in a timely manner to assess the nature of detected activity in remote, low-risk areas of the Southwest and Northern Borders						
Description: This measure gauges the percent of time agents reach remote low-risk areas to assess notifications of potential illegal activity and make a determination of the nature of this activity. The goal is for Border Patrol Agents to respond to these notifications in remote low risk areas within 24 hours. If not accomplished in a timely fashion, the evidence degrades and determinations cannot be made regarding the nature of the potentially illicit activity. Responding to notifications of activity provides valuable information in terms of both the nature of the detected activity, as well as with confirming whether or not the area continues to be low risk. This measure contributes to our situational awareness and ability to secure the border.						
Fiscal Year:	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
Target:	---	95.0%	96.0%	95.0%	95.0%	95.0%
Result:	---	96.4%	92.2%	95.6%	TBD	TBD

Measure: Rate of interdiction effectiveness along the Southwest Border between ports of entry						
Description: This measure reports the percent of detected illegal entrants who were apprehended or were turned back after illegally entering the United States between ports of entry along the Southwest border. The rate includes those who have crossed the border illegally who were apprehended and those who were turned back to Mexico, as compared to the total that includes both of these groups and also those who got away without being apprehended. Border Patrol achieves desired results by maximizing the apprehension of detected illegal entrants, confirming that illegal entrants return to the country from which they entered, and by minimizing the number of persons who evade apprehension and can no longer be pursued. This measure is a key indicator of the Border Patrol's law enforcement and resolution impact, a key component of the Operational Control framework.						
Fiscal Year:	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
Target:	81.0%	81.0%	81.0%	81.0%	81.0%	81.0%
Result:	82.7%	78.9%	79.7%	86.3%	TBD	TBD

Management Measures

Measure: Average number of apprehensions for persons with multiple apprehensions along the Southwest border						
Description: This measure reports the average number of apprehensions for deportable individuals who have been apprehended multiple times by the U.S. Border Patrol over the previous twelve months. In addition, this measure identifies the frequency at which illegal aliens continually cross within the nine sectors of the Southwest U.S. Border by using fingerprints and Fingerprint Identification Numbers taken of apprehended individuals between the ages of 15 and 85. Effective and efficient application of consequences for illegal border crossers should, over time, reduce the average number of apprehensions per recidivist and reduce overall recidivism.						
Fiscal Year:	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
Target:	<=2.42	<=2.42	<=2.42	<=2.42	<=2.42	<=2.42
Result:	2.37	2.36	2.33	2.31	TBD	TBD

Measure: Number of joint operations conducted along the Northern Border by Border Patrol Agents and Canadian law enforcement partners						
Description: This measure tracks the number of initiated joint operations formalized in Operations Orders, which define levels of participation and dedication of resources for U.S. and Canadian partners. All Category 1, 2, or 3 operations, initiated with Canadian law enforcement agencies as partners, involving any one of the program's eight Northern Border sectors--Blaine, Spokane, Havre, Grand Forks, Detroit, Buffalo, Swanton, and Houlton are included. These bilateral law enforcement efforts between Customs and Border Protection and Canadian law enforcement partners at federal, provincial, territorial, and municipal (local) levels enhance both countries' ability to ensure legal trade and travel, while mitigating border security threats, including illicit activity by criminal organizations and other bad actors.						
Fiscal Year:	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
Target:	24	24	24	20	20	20
Result:	22	18	26	26	TBD	TBD

Measure: Number of joint operations conducted along the Southwest Border by Border Patrol Agents and Mexican law enforcement partners						
Description: This measure tracks the number of initiated joint operations formalized in Operations Orders, which define levels of participation and dedication of resources for U.S. and Mexican partners. All Category 1, 2, or 3 operations, initiated with Mexican law enforcement agencies as partners, involving any one of the program's nine Southwest Border sectors--San Diego, El Centro, Yuma, Tucson, El Paso, Marfa, Del Rio, Laredo, and Rio Grande Valley are included. These bilateral law enforcement efforts between Customs and Border Protection and Mexican law enforcement partners at federal, state, and municipal (local) levels enhance both countries' ability to ensure legal trade and travel, while mitigating border security threats, including illicit activity by criminal organizations and other bad actors.						
Fiscal Year:	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
Target:	20	20	20	20	20	20
Result:	16	20	43	39	TBD	TBD

Measure: Percent of apprehensions at Border Patrol checkpoints						
Description: Checkpoints are facilities used by the Border Patrol to monitor traffic on routes of egress from areas on the Southwest and Northern borders. Checkpoints are an integral part of the Border Patrol's defense-in-depth, layered strategy. As such, measurements of activities occurring at checkpoints serve not only to gauge checkpoint operational effectiveness, but also serve as barometers of the effectiveness of the Border Patrol's overall national border enforcement strategy to deny illegal entries into the United States. This measure examines one component of checkpoint activity, the number of persons apprehended by Border Patrol agents at checkpoints, divided by all Border Patrol apprehensions made nationwide.						
Fiscal Year:	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
Target:	<5.00%	<5.00%	<5.00%	<5.00%	<5.00%	<5.00%
Result:	1.34%	2.12%	2.04%	1.13%	TBD	TBD

Measure: Percent of U.S. Border Patrol agents who are trained and certified to perform enforcement actions						
Description: The measure assesses training readiness of U.S. Border Patrol agents. Agents complete extensive Academy Basic Training and are required throughout their career to maintain time-limited certifications in areas such as Firearms Proficiency, Intermediate Use of Force, and Use of Force Policy. In addition, because each sector has a unique climate, terrain, and operational environment, each sector has differing region-specific training requirements. These specialties include handling canines, counter-tunnel operations, horse patrol, All-Terrain-Vehicle (ATV), radiation detection, and snowmobile training. As agent numbers fluctuate, fully trained, deployable agents can mitigate agent-hiring shortfalls. Increasing agents' levels of basic and advanced training enhances the capability to perform mission-essential, law enforcement tasks.						
Fiscal Year:	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
Target:	---	92%	94%	94%	94%	94%
Result:	---	98%	96%	94%	TBD	TBD

Measure: Percent of U.S. Border Patrol equipment assessed as mission ready to support law enforcement operations						
Description: The measure assesses readiness of equipment used daily in law-enforcement operations between ports of entry for three categories of equipment--Agent Support, Vehicles/Mobility, and Surveillance. Agent supports include hand-held radios, pistols, personal radiation-detection devices, and canines. Vehicles and mobility supports include off-road patrol vehicles, road patrol vehicles, all-terrain vehicles, and snowmobiles. Surveillance resources include integrated fixed towers, mobile video-surveillance systems, remote video-surveillance systems, and low-light visual gear. Information about the availability of required equipment in useful condition enhances the program's ability to perform law enforcement tasks.						
Fiscal Year:	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
Target:	---	---	90%	91%	91%	92%
Result:	---	---	96%	97%	TBD	TBD

Integrated Operations: The Integrated Operations Program provides: Air and Marine Operations support to the Border Patrol's detection and interdiction roles; International Affairs support across all missions; Intelligence and information sharing for operations and investigations; and overall operations support for systems, training, firearms, and credentialing.

Strategic Measure

Measure: Percent of detected conventional aircraft incursions resolved along all borders of the United States						
Description: The measure represents the percent of conventional aircraft detected visually or by sensor technology, suspected of illegal cross border activity, which are brought to a successful resolution. Resolution of the incursion is accomplished by the Air and Marine Operations Center (AMOC) working with federal, state, and local partners. The incursion is considered resolved when one of the following has occurred: 1) law enforcement action has been taken for criminal violations; 2) appropriate regulatory or administrative action has been taken for non-criminal violations; or 3) the aircraft did not land or otherwise display unlawful conduct while in the United States, was continuously visually or electronically monitored while over the United States, and has exited U.S. airspace and is no longer a threat to national security.						
Fiscal Year:	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
Target:	100.0%	98.5%	98.5%	98.5%	98.5%	98.5%
Result:	99.7%	97.9%	100.0%	99.1%	TBD	TBD

Management Measure

Measure: Air mission launch rate						
Description: This measure captures the percent of all requests made for aircraft to which the program could respond, absent gaps in available assets and crew. Capacity to launch aircraft when stakeholders request an aerial response is a core program capability. Requests for aerial response received by an AMO operational location for either planned or unplanned missions is entered into a database, followed by the result of the request. If the mission is flown, it is counted as a launch, and if the mission is not fulfilled, it is counted as a no launch. No launches may be impacted by asset maintenance, capability, other higher priority missions, or unavailable crew. This measure provides a readiness indicator and helps to identify potential problems requiring correction.						
Fiscal Year:	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
Target:	83%	83%	83%	83%	83%	83%
Result:	83%	82%	83%	85%	TBD	TBD

Trade and Travel Operations: Managed by the Office of Field Operations and the Office of Trade, the Trade and Travel Operations program allows the Department to better intercept potential threats at the ports before they can cause harm while expediting legal trade and travel. The program includes a multilayered system of people, technology, intelligence, risk information, targeting, international cooperation, and expanded shipper and traveler vetting that provides greater flexibility and capacity to accomplish these functions prior to arrival at the U.S. border.

Strategic Measures

Measure: Percent of cargo by value imported to the United States by participants in CBP trade partnership programs						
Description: This measure reports all cargo imported to the United States through CBP trade partnership programs as a share of the total value of all cargo imported. Partnership programs include both the Customs Trade Partnership against Terrorism (CTPAT) and the Importer Self-Assessment (ISA) program. CBP works with the trade community through these voluntary public-private partnership programs to adopt tighter security measures throughout their international supply chain in exchange for benefits, such as a reduced number of inspections, shorter wait times at the border, and/or assignment of a Supply Chain Security Specialist to a partner firm. Trade partnership programs enhance the security of the supply chain by intercepting potential threats before the border while expediting legal trade.						
Fiscal Year:	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
Target:	53.0%	53.0%	53.0%	53.0%	53.0%	53.0%
Result:	53.0%	53.1%	53.3%	53.0%	TBD	TBD

Measure: Percent of Global Entry members with no security-related violations						
Description: This measure calculates the percent of Global Entry (GE) members who are found to have no violations that would provide a legitimate reason to suspend or revoke a person's GE membership during the course of the fiscal year. CBP checks all GE members against major law enforcement databases every 24 hours. The measure demonstrates the effectiveness of the GE trusted traveler program at correctly identifying low-risk travelers and quickly incorporating any changes in traveler risk-status that result in suspension or removal to ensure that all active GE members meet required security protocols at all times.						
Fiscal Year:	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
Target:	---	99.5%	99.5%	99.5%	99.5%	99.5%
Result:	---	99.9%	99.8%	99.9%	TBD	TBD

Measure: Percent of import revenue successfully collected						
Description: This measure estimates amounts collected in duties, taxes, and fees expressed as a percent of all collectible revenue due from commercial imports to the U.S. directed by trade laws, regulations, and agreements. Specifically, this measure estimates the frequency of net under-collection of revenue during a given quarter and subtracts this estimated under-collection from all revenue formally owed from all import transaction types involving antidumping- or countervailing-duty (AD/CVD) payments—i.e. 100 percent--resulting in a percent of import revenue successfully collected. The proactive and strict enforcement of U.S. trade laws protects national economic security, facilitates fair trade, supports the health and safety of the American people, and ensures a level playing field for U.S. industry.						
Fiscal Year:	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
Target:	100.00%	100.00%	100.00%	99.00%	99.00%	99.00%
Result:	99.06%	99.05%	99.44%	99.11%	TBD	TBD

Measure: Percent of imports compliant with U.S. trade laws						
Description: This measure gauges the results of an annual CBP review of imports into the U.S., which assesses imports' compliance with U.S. trade laws, including laws related to customs revenue. CBP's Trade Compliance Measurement (TCM) program covers a population of all consumption and anti-dumping/countervailing duty (AD/CVD) transaction types, reporting the share of all transactions free from major discrepancies, excluding informal entries, excluding non-electronic informal entries comprising about 15 percent of entries. Reviewing transactions to ensure that imports remain legally compliant and free of major discrepancies facilitates lawful trade flows.						
Fiscal Year:	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
Target:	97.50%	97.50%	97.50%	97.50%	97.50%	97.50%
Result:	99.18%	99.38%	98.72%	98.37%	TBD	TBD

Measure: Percent of inbound cargo identified as potentially high-risk that is assessed or scanned prior to departure or at arrival at a U.S. port of entry						
Description: This measure reports the percent of international cargo coming to the U.S. via air, land, and sea, which CBP has identified as potentially high-risk and then assessed or scanned prior to departure from a foreign port of origin or upon arrival at a U.S. port of entry. CBP assesses risk associated with a particular cargo shipment using information technology (IT) systems. The term "shipment" includes a wide range of cargo, from international mail to a palletized commercial shipment of packaged items. An IT system owned by CBP flags a shipment as potentially high-risk when information for that shipment meet specified criteria, which triggers actions in the field, including scanning of potentially high-risk shipments. Assessing, resolving, and when necessary, scanning potentially high-risk cargo prior to departure from ports of origin or upon arrival at ports of entry ensures public safety and minimizes impacts on trade through effective use of risk-focused targeting.						
Fiscal Year:	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
Target:	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%
Result:	99.28%	99.50%	97.88%	98.20%	TBD	TBD

Measure: Percent of international air passengers compliant with all federal, state, and local laws and regulations						
Description: This measure reports the percent of international air passengers processed at ports of entry and assessed by CBP as compliant with all applicable federal, state, and local laws and regulations. Laws and regulations include those authorizing direct CBP jurisdiction, such as agriculture, immigration, and customs and those authorizing CBP enforcement responsibility, including pharmaceutical regulations from the Food and Drug Administration; state alcohol and cigarette laws; and warrants issued at the federal, state, and local levels. Inspecting air passengers for compliance with various agricultural, immigration, and customs laws and regulations enhances the security of trade and travel by intercepting potential threats before entry to the United States.						
Fiscal Year:	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
Target:	98.0%	98.0%	98.0%	98.0%	98.0%	98.0%
Result:	98.3%	99.5%	98.5%	98.4%	TBD	TBD

Measure: Percent of privately owned vehicle passengers compliant with all federal, state, and local laws and regulations						
Description: This measure reports the percent of passengers in privately owned vehicles (POVs) processed at land ports of entry and assessed by CBP as compliant with all applicable federal, state, and local laws and regulations. Laws and regulations include those authorizing direct CBP jurisdiction, such as agriculture, immigration, and customs, and those authorizing CBP enforcement responsibility, such as pharmaceutical regulations from the Food and Drug Administration; health and safety alerts from the Centers for Disease Control; and requirements to confiscate alcoholic beverages from minors on behalf of state authorities. Inspecting passengers in privately owned vehicles in for compliance with various agricultural, immigration, and customs laws and regulations enhances the security of trade and travel by intercepting potential threats before entry to the United States.						
Fiscal Year:	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
Target:	99.5%	99.5%	99.5%	99.5%	99.5%	99.5%
Result:	99.7%	99.3%	99.4%	99.4%	TBD	TBD

Management Measures

Measure: Amount of smuggled outbound currency seized at the ports of entry (in millions)						
Description: This measure provides the total dollar amount of all currency in millions seized during outbound inspection of exiting passengers and vehicles, both privately-owned and commercial.						
Fiscal Year:	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
Target:	\$30.0	\$30.0	\$30.0	\$30.0	\$30.0	\$30.0
Result:	\$28.9	\$39.0	\$38.7	\$32.4	TBD	TBD

Measure: Compliance rate for Customs Trade Partnership Against Terrorism (CTPAT) members with the established CTPAT security guidelines						
Description: This measure reports the overall compliance rate with minimum criteria during initial and periodic validations of Customs Trade Partnership against Terrorism (CTPAT) members' supply chain security procedures. CBP CTPAT Supply Chain Security Specialists and the CTPAT participant jointly conduct a validation visit to assess the participant's import supply chain security procedures to determine if sufficient procedures are in place to meet current CTPAT guidelines or criteria, make recommendations, and recognize best practices. Validations may be initiated based on: security related anomalies, strategic threat posed by geographic regions, other risk related information, or strategic import volume. Companies assessed as compliant meet minimum criteria for continued participation in CTPAT. Failure to meet specified criteria results in companies' suspension or removal from CTPAT. Compliance by the trade community with security guidelines enhances the security of cargo shipped to the U.S.						
Fiscal Year:	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
Target:	94.0%	94.0%	94.0%	94.0%	95.0%	95.0%
Result:	98.8%	96.0%	96.8%	97.5%	TBD	TBD

Measure: Cost savings benefit for CTPAT members (in millions)						
Description: This measure reports estimated cost savings across the air, rail, land, and sea modes achieved during a given reporting period by companies participating in the Customs Trade Partnership Against Terrorism (CTPAT). Compliance with CTPAT's minimum criteria for supply-chain security allows Partners access to streamlined business processes and reduced inspection-related delays, which reduce operating costs and enhances the security of cargo shipped to the U.S.						
Fiscal Year:	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
Target:	---	---	\$60	\$60	\$60	\$60
Result:	---	---	\$65	\$63	TBD	TBD

Measure: Monetary savings realized by the trade community from implementation of new capability in the Automated Commercial Environment Information Technology System (in millions)						
Description: This trade facilitation measure estimates monetary savings to the trade community based on the incremental savings per transaction from using capabilities in the Automated Commercial Environment (ACE) system. These capabilities include Periodic Monthly Statement Processing, Periodic Monthly Statement Interest, Truck Primary Processing Time, Post Summary Correction, Export Licenses, eBonds, Census Warning Override, Protests, Quota, Export Data Submission and Eliminate Paper 7501. Automating these activities increases businesses' estimated savings from participating in ACE.						
Fiscal Year:	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
Target:	---	---	\$40	\$140	\$420	\$420
Result:	---	---	\$36	\$225	TBD	TBD

Measure: Number of shipments seized as a result of intellectual property rights violations						
Description: This trade measure counts the number of seizures made by CBP and U.S. Immigration and Customs Enforcement (ICE) for Intellectual Property Rights (IPR) violations. The term “shipment” includes a wide range of cases, from an individual traveler in possession of one item, to international mail, and palletized commercial shipments of large quantities of items violating IPR. CBP seizures generally occur at or near a port of entry, while ICE seizures generally result from domestic and international customs-enforcement activities by Homeland Security Investigations. IPR infringement undermines the economic vitality of the United States by reducing the competitiveness of U.S. industry, threatens national security due to infiltration of counterfeit parts in the supply chain for defense systems and other critical infrastructure, and poses risks to the health and safety of consumers. CBP and ICE contribute to U.S. national and economic security by seizing these countified goods.						
Fiscal Year:	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
Target:	28,000	29,000	29,000	29,000	29,000	29,000
Result:	31,539	34,134	33,722	27,588	TBD	TBD

Measure: Number of smuggled outbound weapons seized at the ports of entry						
Description: This measure provides the total number of illegal weapons seized during outbound inspection of exiting passengers and vehicles, both privately-owned and commercial. Weapons are defined as pistols, rifle-shotgun combinations, rifles, revolvers, shotguns, disguised weapons, machine guns, submachine guns or machine pistols. Seizing weapons being smuggled for criminal purposes strengthens border security by preventing the movement of assault weapons and ammunition.						
Fiscal Year:	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
Target:	400	400	400	400	400	400
Result:	661	421	686	1,095	TBD	TBD

Measure: Percent of border vehicle passengers in compliance with agricultural quarantine regulations						
Description: The measure shows the U.S Customs and Border Protection's (CBP) success at maintaining a high level of security in the land border environment by measuring the degree of compliance with U.S. Department of Agriculture (USDA) agricultural quarantine regulations and other mandatory agricultural product restrictions.						
Fiscal Year:	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
Target:	95.5%	95.5%	95.5%	95.5%	95.5%	95.5%
Result:	97.1%	97.3%	95.9%	95.7%	TBD	TBD

Measure: Percent of international air passengers in compliance with agricultural quarantine regulations						
Description: The measure shows the U.S. Customs and Border Protection's (CBP) success at maintaining a high level of security in the international air environment by measuring the degree of compliance with U.S. Department of Agriculture (USDA) agricultural quarantine regulations and other mandatory agricultural product restrictions by international air passengers.						
Fiscal Year:	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
Target:	95.5%	95.5%	95.5%	95.5%	95.5%	95.5%
Result:	99.6%	96.5%	98.4%	96.6%	TBD	TBD

Measure: Percent reduction of processing and wait times for members of Global Entry and other Trusted Traveler programs compared to non-members						
Description: This measure highlights the benefit of membership in Global Entry and other Trusted Traveler programs by demonstrating the reduction in delays (processing and wait times) experienced by Global Entry and other trusted program members when entering the U.S. in comparison to regular travelers.						
Fiscal Year:	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
Target:	70%	70%	70%	65%	60%	60%
Result:	81%	71%	71%	78%	TBD	TBD

Measure: Total value of Intellectual Property Rights seizures (in billions)						
Description: This measure indicates the Manufacturer Suggested Retail Price (MSRP) of all seizures made by CBP and U.S. Immigration and Customs Enforcement (ICE) for Intellectual Property Rights (IPR) violations. The term “shipment” includes a wide range of cases, from an individual traveler in possession of one item, to international mail, and palletized commercial shipments of large quantities of items violating IPR. CBP seizures generally occur at or near a port of entry, while ICE seizures generally result from domestic and international customs-enforcement activities by Homeland Security Investigations. This measure demonstrates CBP’s and ICE’s success in identifying and stopping IPR-violating goods from entering the U.S. IPR seizures executed by CBP and ICE enhance U.S. economic competitiveness; protect the integrity of supply chains for critical U.S. technologies; and help safeguard consumers’ health and safety.						
Fiscal Year:	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
Target:	---	---	---	\$1.30	\$1.30	\$1.30
Result:	---	---	---	\$1.55	TBD	TBD

U.S. Customs and Border Protection Budget Comparison and Adjustments

Appropriation and PPA Summary

Organization (Dollars in Thousands)	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget
Operations and Support	\$12,179,729	\$12,735,399	\$12,987,432
Mission Support	\$1,788,236	\$1,858,705	\$1,891,107
Enterprise Services	\$1,482,518	\$1,537,332	\$1,545,636
Office of Professional Responsibility	\$196,528	\$209,052	\$227,500
Executive Leadership and Oversight	\$109,190	\$112,321	\$117,971
Border Security Operations	\$4,739,201	\$4,918,544	\$5,037,485
US Border Patrol	\$4,678,852	\$4,858,308	\$4,960,786
Operations	\$3,884,735	\$4,161,450	\$4,205,954
Assets and Support	\$794,117	\$696,858	\$754,832
Office of Training and Development	\$60,349	\$60,236	\$76,699
Trade and Travel Operations	\$4,562,701	\$4,789,033	\$4,873,952
Office of Field Operations	\$4,240,629	\$4,444,156	\$4,518,232
Domestic Operations	\$2,942,710	\$3,074,199	\$3,060,903
International Operations	\$155,217	\$144,940	\$152,390
Targeting Operations	\$250,528	\$241,449	\$288,031
Assets and Support	\$892,174	\$983,568	\$1,016,908
Office of Trade	\$260,395	\$279,362	\$292,557
Office of Training and Development	\$61,677	\$65,515	\$63,163
Integrated Operations	\$1,089,591	\$1,169,117	\$1,184,888
Air and Marine Operations	\$869,962	\$884,843	\$913,569
Operations	\$306,506	\$314,425	\$306,541
Assets and Support	\$525,867	\$533,768	\$565,554
Air and Marine Operations Center	\$37,589	\$36,650	\$41,474
Office of International Affairs	\$41,700	\$42,134	\$44,051
Office of Intelligence	\$59,148	\$61,685	\$65,724
Office of Training and Development	\$6,546	\$6,886	\$7,989
Operations Support	\$112,235	\$173,569	\$153,555
Procurement, Construction, and Improvements	\$2,515,878	\$1,904,468	\$2,281,360

Department of Homeland Security

U.S. Customs and Border Protection

Organization (Dollars in Thousands)	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget
Mission Support Assets and Infrastructure	\$18,544	\$45,673	\$32,629
Revenue Modernization	\$18,544	\$15,673	\$13,173
Mission Support Assets and Infrastructure End Items	-	\$30,000	\$10,000
COSS Transformation Initiative	-	-	\$9,456
Border Security Assets and Infrastructure	\$1,475,000	\$1,508,788	\$2,060,413
Integrated Fixed Towers (IFT)	\$13,579	\$1,142	-
Remote Video Surveillance Systems (RVSS)	\$49,577	\$40,740	\$7,600
Mobile Video Surveillance System (MVSS)	\$8,844	\$14,800	-
Border Security Assets and Infrastructure End Items	\$28,000	\$77,106	\$88,000
Border Wall System Program	\$1,375,000	\$1,375,000	\$1,964,813
Trade and Travel Assets and Infrastructure	\$625,000	\$88,124	\$22,510
Automated Commercial Environment (ACE)	\$10,000	\$10,000	\$10,000
Non-Intrusive Inspection (NII) Systems Program	\$564,000	\$59,124	-
Trade and Travel Assets and Infrastructure End Items	\$51,000	\$19,000	\$12,510
Integrated Operations Assets and Infrastructure	\$127,112	\$199,519	\$38,409
Airframes and Sensors	\$112,612	\$184,689	\$38,409
KA350-CER Multi-Role Enforcement Aircraft (MEA)	\$86,112	\$85,146	-
UH-60 Medium Lift Helicopter	\$15,000	\$46,525	\$15,500
Airframes and Sensors End Items	\$11,500	\$18,900	\$22,909
Light Enforcement Helicopters	-	\$34,118	-
Watercraft	\$14,500	\$14,830	-
Coastal Interceptor Vessels	\$14,500	\$14,830	-
Construction and Facility Improvements	\$270,222	\$62,364	\$127,399
Border Patrol Facilities	\$255,447	\$25,000	\$100,000
OFO Facilities	\$14,775	\$22,364	-
Air & Marine Facilities	-	\$6,000	\$27,399
Construction and Facility Improvements End Items	-	\$9,000	-
Immigration Inspection User Fee	\$769,636	\$826,447	\$793,876
Immigration Enforcement Fines	\$676	\$305	\$227
Electronic System for Travel Authorization (ESTA) Fee	\$61,417	\$64,384	\$63,417
Land Border Inspection Fee	\$53,512	\$56,467	\$59,364
COBRA Customs Fees	\$594,978	\$615,975	\$681,412
COBRA FTA	\$255,000	\$267,000	\$322,258

Department of Homeland Security

U.S. Customs and Border Protection

Organization <i>(Dollars in Thousands)</i>	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget
Agricultural Quarantine and Inspection Fees	\$539,325	\$539,325	\$582,187
Global Entry Fee	\$165,961	\$184,937	\$199,939
Puerto Rico Trust Fund	\$31,941	\$94,507	\$152,291
Virgin Islands Deposit Fund	\$7,795	\$11,537	\$11,442
User Fee Facilities	\$8,941	\$9,000	\$10,074
Customs Unclaimed Goods	\$1,461	\$1,547	\$3,690
9-11 Response and Biometric Exit Account	\$71,000	\$61,000	\$61,000
Total	\$17,257,250	\$17,372,298	\$18,209,969

U.S. Customs and Border Protection

Comparison of Budget Authority and Request

Organization (Dollars in Thousands)	FY 2019 Enacted			FY 2020 Enacted			FY 2021 President's Budget			FY 2020 to FY 2021 Total Changes		
	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount
Operations and Support	48,834	48,040	\$12,179,729	52,216	49,361	\$12,735,399	52,053	49,207	\$12,987,432	(163)	(154)	\$252,033
Procurement, Construction, and Improvements	-	-	\$2,515,878	-	-	\$1,904,468	-	-	\$2,281,360	-	-	\$376,892
Immigration Inspection User Fee	4,479	4,509	\$769,636	4,179	4,179	\$826,447	4,179	4,179	\$793,876	-	-	(\$32,571)
Immigration Enforcement Fines	5	4	\$676	3	3	\$305	2	2	\$227	(1)	(1)	(\$78)
Electronic System for Travel Authorization (ESTA) Fee	94	94	\$61,417	94	94	\$64,384	94	94	\$63,417	-	-	(\$967)
Land Border Inspection Fee	202	202	\$53,512	202	202	\$56,467	202	202	\$59,364	-	-	\$2,897
COBRA Customs Fees	2,538	2,293	\$594,978	2,538	2,538	\$615,975	3,188	3,446	\$681,412	650	908	\$65,437
COBRA FTA	1,587	1,640	\$255,000	1,287	1,287	\$267,000	1,287	1,287	\$322,258	-	-	\$55,258
Agricultural Quarantine and Inspection Fees	3,061	3,208	\$539,325	3,061	3,061	\$539,325	3,361	3,361	\$582,187	300	300	\$42,862
Global Entry Fee	416	416	\$165,961	416	416	\$184,937	416	416	\$199,939	-	-	\$15,002
Puerto Rico Trust Fund	268	268	\$31,941	268	268	\$94,507	268	268	\$152,291	-	-	\$57,784
Virgin Islands Deposit Fund	63	63	\$7,795	63	63	\$11,537	63	63	\$11,442	-	-	(\$95)
User Fee Facilities	80	118	\$8,941	80	80	\$9,000	80	80	\$10,074	-	-	\$1,074
Customs Unclaimed Goods	-	-	\$1,461	-	-	\$1,547	-	-	\$3,690	-	-	\$2,143
9-11 Response and Biometric Exit Account	-	-	\$71,000	92	92	\$61,000	92	92	\$61,000	-	-	-
Total	61,627	60,855	\$17,257,250	64,499	61,644	\$17,372,298	65,285	62,697	\$18,209,969	786	1,053	\$837,671
Subtotal Discretionary - Appropriation	48,834	48,040	\$14,695,607	52,216	49,361	\$14,639,867	52,053	49,207	\$15,268,792	(163)	(154)	\$628,925
Subtotal Discretionary - Fee	1,667	1,758	\$263,941	1,367	1,367	\$276,000	1,367	1,367	\$332,332	-	-	\$56,332
Subtotal Discretionary - Offsetting Fee	416	416	\$165,961	416	416	\$184,937	416	416	\$199,939	-	-	\$15,002
Subtotal Mandatory - Fee	10,710	10,641	\$2,131,741	10,500	10,500	\$2,271,494	11,449	11,707	\$2,408,906	949	1,207	\$137,412

Component Budget Overview

The FY 2021 Budget provides CBP \$18.2B in total new budget authority, an increase of \$0.8B from the FY 2020 Enacted.

CBP is responsible for securing America's borders to protect the United States against terrorist threats and to prevent the illegal entry of inadmissible persons and contraband, while also facilitating lawful travel, trade, and immigration. In support of this mission, CBP is developing a well-informed, agile, and seamless global network of personnel, assets, and infrastructure that must constantly enhance and evolve its capabilities to (1) combat terrorism, (2) support and promote economic growth, (3) define, prioritize, and disrupt transnational criminal organizations (TCOs), and (4) prevent the spread of agricultural pests and diseases.

To support operational requirements and CBP's mission, the FY 2021 Budget proposes new investments in Border Patrol Agents (BPAs), border wall system, and security assets and technology, including the following key items:

- \$2.0B for approximately 82 miles of border wall system construction in support of CBP's border wall priorities
- \$161.2M for 750 additional BPAs and 126 support staff in support of the multi-year plan to hire 5,000 additional BPAs
- \$21.0M to hire 300 Border Patrol Processing Coordinators
- \$181.5M for facilities construction, modernization, expansion, and critical repairs/maintenance to support Air and Marine, U.S. Border Patrol, and Office of Field Operations mission requirements and daily operations
- \$201.6M to procure technology, aircraft, and vessels to secure the nation's borders

Also, the FY 2021 Budget dedicates increased resources to international trade and travel operational requirements. Additional funds are budgeted for enhancements to the National Vetting Center. The FY 2021 Budget also provides funding for the Advanced Trade Analytics Platform (ATAP), which combines the formerly named Cognitive Analytics and Federated View of Accounts (FVA/CA) under Intelligent Enforcement, and Trade Agreement, Remedies & Enforcement Personnel. These enhancements will strengthen trade enforcement actions and processes, and sustain the administration and enforcement of trade remedies and agreements.

U.S. Customs and Border Protection Budget Authority and Obligations

Budget Authority (Dollars in Thousands)	FY 2019	FY 2020	FY 2021
Enacted/Request	\$17,257,250	\$17,372,298	\$18,209,969
Carryover and/or Recoveries (Actual/Estimates/Projections)	\$1,850,565	\$4,502,048	\$4,111,802
Rescissions to Current Year/Budget Year	(\$4,132)	-	-
Net Sequestered Resources	(\$4,038)	\$10,590	\$101,601
Reprogrammings/Transfers	(\$35,297)	-	-
Supplementals	\$1,014,431	-	-
Total Budget Authority	\$20,078,779	\$21,884,936	\$22,423,372
Collections – Reimbursable Resources	\$705,609	\$324,438	\$324,438
Total Budget Resources	\$20,784,388	\$22,209,374	\$22,747,810
Obligations (Actual/Estimates/Projections)	\$17,072,511	\$18,522,386	\$18,773,763
Personnel: Positions and FTE			
Enacted/Request Positions	61,627	64,499	65,285
Enacted/Request FTE	60,855	61,644	62,697
Onboard and Actual FTE; Includes Collections - Reimbursable Resources			
Onboard (Actual/Estimates/Projections)	61,627	64,758	65,544
FTE (Actual/Estimates/Projections)	60,551	61,903	62,956

*In the table above, the rescission line includes the administrative savings rescissions per the Consolidated Appropriation Act, 2019 (P.L. 116-6).

U.S. Customs and Border Protection Collections – Reimbursable Resources

Collections <i>(Dollars in Thousands)</i>		FY 2019 Enacted			FY 2020 Enacted			FY 2021 President's Budget			FY 2020 to FY 2021 Change		
		Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount
Department of Agriculture - Department of Agriculture	Source	-	-	\$230	-	-	\$230	-	-	\$230	-	-	-
Operations and Support	Location	-	-	\$230	-	-	\$230	-	-	\$230	-	-	-
Mission Support	Location	-	-	\$230	-	-	\$230	-	-	\$230	-	-	-
Enterprise Services	Location	-	-	\$230	-	-	\$230	-	-	\$230	-	-	-
Department of Defense - Department of Defense	Source	2	2	\$948	2	2	\$948	2	2	\$948	-	-	-
Operations and Support	Location	2	2	\$948	2	2	\$948	2	2	\$948	-	-	-
Mission Support	Location	-	-	\$95	-	-	\$95	-	-	\$95	-	-	-
Enterprise Services	Location	-	-	\$95	-	-	\$95	-	-	\$95	-	-	-
Trade and Travel Operations	Location	-	-	\$58	-	-	\$58	-	-	\$58	-	-	-
Office of Field Operations	Location	-	-	\$58	-	-	\$58	-	-	\$58	-	-	-
Targeting Operations	Location	-	-	\$58	-	-	\$58	-	-	\$58	-	-	-
Integrated Operations	Location	2	2	\$795	2	2	\$795	2	2	\$795	-	-	-
Air and Marine Operations	Location	-	-	\$192	-	-	\$192	-	-	\$192	-	-	-
Operations	Location	-	-	\$192	-	-	\$192	-	-	\$192	-	-	-
Office of International Affairs	Location	2	2	\$603	2	2	\$603	2	2	\$603	-	-	-
Department of Defense - Navy, Marine Corps	Source	-	-	\$19,711	-	-	\$19,711	-	-	\$19,711	-	-	-
Operations and Support	Location	-	-	\$19,711	-	-	\$19,711	-	-	\$19,711	-	-	-
Integrated Operations	Location	-	-	\$19,711	-	-	\$19,711	-	-	\$19,711	-	-	-
Air and Marine Operations	Location	-	-	\$19,711	-	-	\$19,711	-	-	\$19,711	-	-	-
Operations	Location	-	-	\$19,711	-	-	\$19,711	-	-	\$19,711	-	-	-
Department of Energy - Department of Energy	Source	-	-	\$482	-	-	\$482	-	-	\$482	-	-	-
Operations and Support	Location	-	-	\$482	-	-	\$482	-	-	\$482	-	-	-
Mission Support	Location	-	-	\$46	-	-	\$46	-	-	\$46	-	-	-

Department of Homeland Security

U.S. Customs and Border Protection

Collections <i>(Dollars in Thousands)</i>		FY 2019 Enacted			FY 2020 Enacted			FY 2021 President's Budget			FY 2020 to FY 2021 Change		
		Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount
Enterprise Services	Location	-	-	\$46	-	-	\$46	-	-	\$46	-	-	-
Border Security Operations	Location	-	-	\$85	-	-	\$85	-	-	\$85	-	-	-
US Border Patrol	Location	-	-	\$85	-	-	\$85	-	-	\$85	-	-	-
Assets and Support	Location	-	-	\$85	-	-	\$85	-	-	\$85	-	-	-
Integrated Operations	Location	-	-	\$351	-	-	\$351	-	-	\$351	-	-	-
Operations Support	Location	-	-	\$351	-	-	\$351	-	-	\$351	-	-	-
CIS I-192	Source	8	8	\$3,680	8	8	\$3,680	8	8	\$3,680	-	-	-
Operations and Support	Location	8	8	\$3,680	8	8	\$3,680	8	8	\$3,680	-	-	-
Trade and Travel Operations	Location	8	8	\$3,680	8	8	\$3,680	8	8	\$3,680	-	-	-
Office of Field Operations	Location	8	8	\$3,680	8	8	\$3,680	8	8	\$3,680	-	-	-
Domestic Operations	Location	8	8	\$3,680	8	8	\$3,680	8	8	\$3,680	-	-	-
CIS I-193	Source	21	21	\$3,450	21	21	\$3,450	21	21	\$3,450	-	-	-
Operations and Support	Location	21	21	\$3,450	21	21	\$3,450	21	21	\$3,450	-	-	-
Trade and Travel Operations	Location	21	21	\$3,450	21	21	\$3,450	21	21	\$3,450	-	-	-
Office of Field Operations	Location	21	21	\$3,450	21	21	\$3,450	21	21	\$3,450	-	-	-
Domestic Operations	Location	21	21	\$3,450	21	21	\$3,450	21	21	\$3,450	-	-	-
Forfeiture Fund	Source	90	90	\$43,924	90	90	\$43,924	90	90	\$43,924	-	-	-
Operations and Support	Location	90	90	\$43,924	90	90	\$43,924	90	90	\$43,924	-	-	-
Mission Support	Location	90	90	\$43,924	90	90	\$43,924	90	90	\$43,924	-	-	-
Enterprise Services	Location	90	90	\$43,924	90	90	\$43,924	90	90	\$43,924	-	-	-
Department of the Treasury - Department of the Treasury	Source	-	-	\$1	-	-	\$1	-	-	\$1	-	-	-
Operations and Support	Location	-	-	\$1	-	-	\$1	-	-	\$1	-	-	-
Mission Support	Location	-	-	\$1	-	-	\$1	-	-	\$1	-	-	-
Enterprise Services	Location	-	-	\$1	-	-	\$1	-	-	\$1	-	-	-
Small Airports reimbursable	Source	-	-	\$13,435	-	-	\$13,000	-	-	\$13,000	-	-	-
User Fee Facilities	Location	-	-	\$13,435	-	-	\$13,000	-	-	\$13,000	-	-	-

Department of Homeland Security

U.S. Customs and Border Protection

Collections <i>(Dollars in Thousands)</i>		FY 2019 Enacted			FY 2020 Enacted			FY 2021 President's Budget			FY 2020 to FY 2021 Change		
		Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount
IUF Reimbursable	Source	-	-	\$13,508	-	-	\$20,000	-	-	\$20,000	-	-	-
Immigration Inspection User Fee	Location	-	-	\$13,508	-	-	\$20,000	-	-	\$20,000	-	-	-
Reimbursable Agricultural Overtime	Source	24	24	\$6,903	24	24	\$6,903	24	24	\$6,903	-	-	-
Operations and Support	Location	24	24	\$6,903	24	24	\$6,903	24	24	\$6,903	-	-	-
Trade and Travel Operations	Location	24	24	\$6,903	24	24	\$6,903	24	24	\$6,903	-	-	-
Office of Field Operations	Location	24	24	\$6,903	24	24	\$6,903	24	24	\$6,903	-	-	-
Domestic Operations	Location	24	24	\$6,903	24	24	\$6,903	24	24	\$6,903	-	-	-
Virgin Islands Deposit Fund	Source	44	44	\$13,110	44	44	\$13,110	44	44	\$13,110	-	-	-
Operations and Support	Location	44	44	\$13,110	44	44	\$13,110	44	44	\$13,110	-	-	-
Trade and Travel Operations	Location	44	44	\$13,110	44	44	\$13,110	44	44	\$13,110	-	-	-
Office of Field Operations	Location	44	44	\$13,110	44	44	\$13,110	44	44	\$13,110	-	-	-
Domestic Operations	Location	44	44	\$13,110	44	44	\$13,110	44	44	\$13,110	-	-	-
Land Border Inspection Fee	Source	-	-	\$3,909	-	-	-	-	-	-	-	-	-
Land Border Inspection Fee	Location	-	-	\$3,909	-	-	-	-	-	-	-	-	-
Reimbursable Overtime	Source	-	-	\$105	-	-	\$105	-	-	\$105	-	-	-
Operations and Support	Location	-	-	\$105	-	-	\$105	-	-	\$105	-	-	-
Mission Support	Location	-	-	\$105	-	-	\$105	-	-	\$105	-	-	-
Executive Leadership and Oversight	Location	-	-	\$105	-	-	\$105	-	-	\$105	-	-	-
Independent Agency - Other Independent Agencies	Source	14	14	\$111,005	14	14	\$111,005	14	14	\$111,005	-	-	-
Operations and Support	Location	14	14	\$111,005	14	14	\$111,005	14	14	\$111,005	-	-	-
Mission Support	Location	1	1	\$73,314	1	1	\$73,314	1	1	\$73,314	-	-	-
Enterprise Services	Location	1	1	\$73,166	1	1	\$73,166	1	1	\$73,166	-	-	-
Executive Leadership and Oversight	Location	-	-	\$148	-	-	\$148	-	-	\$148	-	-	-
Border Security Operations	Location	1	1	\$1,463	1	1	\$1,463	1	1	\$1,463	-	-	-
US Border Patrol	Location	1	1	\$1,463	1	1	\$1,463	1	1	\$1,463	-	-	-
Operations	Location	1	1	\$1,309	1	1	\$1,309	1	1	\$1,309	-	-	-

Department of Homeland Security

U.S. Customs and Border Protection

Collections <i>(Dollars in Thousands)</i>		FY 2019 Enacted			FY 2020 Enacted			FY 2021 President's Budget			FY 2020 to FY 2021 Change		
		Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount
Assets and Support	Location	-	-	\$154	-	-	\$154	-	-	\$154	-	-	-
Trade and Travel Operations	Location	12	12	\$13,222	12	12	\$13,222	12	12	\$13,222	-	-	-
Office of Field Operations	Location	-	-	\$6,783	-	-	\$6,783	-	-	\$6,783	-	-	-
Domestic Operations	Location	-	-	\$3,180	-	-	\$3,180	-	-	\$3,180	-	-	-
International Operations	Location	-	-	\$3,603	-	-	\$3,603	-	-	\$3,603	-	-	-
Office of Trade	Location	12	12	\$6,439	12	12	\$6,439	12	12	\$6,439	-	-	-
Integrated Operations	Location	-	-	\$23,006	-	-	\$23,006	-	-	\$23,006	-	-	-
Air and Marine Operations	Location	-	-	\$1,853	-	-	\$1,853	-	-	\$1,853	-	-	-
Operations	Location	-	-	\$1,853	-	-	\$1,853	-	-	\$1,853	-	-	-
Office of International Affairs	Location	-	-	\$18,777	-	-	\$18,777	-	-	\$18,777	-	-	-
Office of Intelligence	Location	-	-	\$417	-	-	\$417	-	-	\$417	-	-	-
Operations Support	Location	-	-	\$1,959	-	-	\$1,959	-	-	\$1,959	-	-	-
Department of Homeland Security - Federal Emergency Management Agency	Source	15	15	\$28,076	15	15	\$28,076	15	15	\$28,076	-	-	-
Operations and Support	Location	15	15	\$28,076	15	15	\$28,076	15	15	\$28,076	-	-	-
Mission Support	Location	-	-	\$11	-	-	\$11	-	-	\$11	-	-	-
Enterprise Services	Location	-	-	\$11	-	-	\$11	-	-	\$11	-	-	-
Integrated Operations	Location	15	15	\$28,065	15	15	\$28,065	15	15	\$28,065	-	-	-
Air and Marine Operations	Location	3	3	\$23,312	3	3	\$23,312	3	3	\$23,312	-	-	-
Operations	Location	3	3	\$23,312	3	3	\$23,312	3	3	\$23,312	-	-	-
Operations Support	Location	12	12	\$4,753	12	12	\$4,753	12	12	\$4,753	-	-	-
Department of Homeland Security - Federal Law Enforcement Training Center	Source	-	-	\$174	-	-	\$174	-	-	\$174	-	-	-
Operations and Support	Location	-	-	\$174	-	-	\$174	-	-	\$174	-	-	-
Integrated Operations	Location	-	-	\$174	-	-	\$174	-	-	\$174	-	-	-
Air and Marine Operations	Location	-	-	\$137	-	-	\$137	-	-	\$137	-	-	-
Operations	Location	-	-	\$137	-	-	\$137	-	-	\$137	-	-	-
Office of International Affairs	Location	-	-	\$37	-	-	\$37	-	-	\$37	-	-	-

Department of Homeland Security

U.S. Customs and Border Protection

Collections <i>(Dollars in Thousands)</i>		FY 2019 Enacted			FY 2020 Enacted			FY 2021 President's Budget			FY 2020 to FY 2021 Change		
		Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount
Department of Homeland Security - Transportation Security Administration	Source	-	-	\$4,347	-	-	\$4,347	-	-	\$4,347	-	-	-
Operations and Support	Location	-	-	\$4,347	-	-	\$4,347	-	-	\$4,347	-	-	-
Mission Support	Location	-	-	\$3,134	-	-	\$3,134	-	-	\$3,134	-	-	-
Enterprise Services	Location	-	-	\$3,134	-	-	\$3,134	-	-	\$3,134	-	-	-
Trade and Travel Operations	Location	-	-	\$1,213	-	-	\$1,213	-	-	\$1,213	-	-	-
Office of Trade	Location	-	-	\$1,213	-	-	\$1,213	-	-	\$1,213	-	-	-
Department of Homeland Security - U.S. Immigration and Customs Enforcement	Source	-	-	\$589	-	-	\$589	-	-	\$589	-	-	-
Operations and Support	Location	-	-	\$589	-	-	\$589	-	-	\$589	-	-	-
Border Security Operations	Location	-	-	\$416	-	-	\$416	-	-	\$416	-	-	-
US Border Patrol	Location	-	-	\$416	-	-	\$416	-	-	\$416	-	-	-
Operations	Location	-	-	\$416	-	-	\$416	-	-	\$416	-	-	-
Integrated Operations	Location	-	-	\$173	-	-	\$173	-	-	\$173	-	-	-
Air and Marine Operations	Location	-	-	\$173	-	-	\$173	-	-	\$173	-	-	-
Operations	Location	-	-	\$173	-	-	\$173	-	-	\$173	-	-	-
Department of Homeland Security - Science and Technology	Source	-	-	\$1,239	-	-	\$1,239	-	-	\$1,239	-	-	-
Operations and Support	Location	-	-	\$1,239	-	-	\$1,239	-	-	\$1,239	-	-	-
Mission Support	Location	-	-	\$69	-	-	\$69	-	-	\$69	-	-	-
Enterprise Services	Location	-	-	\$69	-	-	\$69	-	-	\$69	-	-	-
Border Security Operations	Location	-	-	\$1,170	-	-	\$1,170	-	-	\$1,170	-	-	-
US Border Patrol	Location	-	-	\$1,170	-	-	\$1,170	-	-	\$1,170	-	-	-
Operations	Location	-	-	\$1,170	-	-	\$1,170	-	-	\$1,170	-	-	-
Independent Agency - Small Business Administration	Source	-	-	\$9	-	-	\$9	-	-	\$9	-	-	-
Operations and Support	Location	-	-	\$9	-	-	\$9	-	-	\$9	-	-	-
Mission Support	Location	-	-	\$9	-	-	\$9	-	-	\$9	-	-	-
Enterprise Services	Location	-	-	\$9	-	-	\$9	-	-	\$9	-	-	-
Department of Homeland Security - US Immigration and Customs Enforcement	Source	-	-	\$3,646	-	-	\$3,646	-	-	\$3,646	-	-	-

Department of Homeland Security

U.S. Customs and Border Protection

Collections <i>(Dollars in Thousands)</i>		FY 2019 Enacted			FY 2020 Enacted			FY 2021 President's Budget			FY 2020 to FY 2021 Change		
		Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount
Operations and Support	Location	-	-	\$3,646	-	-	\$3,646	-	-	\$3,646	-	-	-
Mission Support	Location	-	-	\$3,646	-	-	\$3,646	-	-	\$3,646	-	-	-
Enterprise Services	Location	-	-	\$3,646	-	-	\$3,646	-	-	\$3,646	-	-	-
International Assistance Programs - Agency for International Development	Source	-	-	\$963	-	-	\$963	-	-	\$963	-	-	-
Operations and Support	Location	-	-	\$963	-	-	\$963	-	-	\$963	-	-	-
Integrated Operations	Location	-	-	\$963	-	-	\$963	-	-	\$963	-	-	-
Office of International Affairs	Location	-	-	\$963	-	-	\$963	-	-	\$963	-	-	-
Department of Homeland Security - Departmental Management and Operations	Source	-	-	\$66	-	-	\$66	-	-	\$66	-	-	-
Operations and Support	Location	-	-	\$66	-	-	\$66	-	-	\$66	-	-	-
Mission Support	Location	-	-	\$66	-	-	\$66	-	-	\$66	-	-	-
Enterprise Services	Location	-	-	\$66	-	-	\$66	-	-	\$66	-	-	-
Independent Agency - Office of Personnel Management	Source	31	31	\$4,995	31	31	\$4,995	31	31	\$4,995	-	-	-
Operations and Support	Location	31	31	\$4,995	31	31	\$4,995	31	31	\$4,995	-	-	-
Mission Support	Location	24	24	\$3,832	24	24	\$3,832	24	24	\$3,832	-	-	-
Enterprise Services	Location	24	24	\$3,821	24	24	\$3,821	24	24	\$3,821	-	-	-
Executive Leadership and Oversight	Location	-	-	\$11	-	-	\$11	-	-	\$11	-	-	-
Trade and Travel Operations	Location	3	3	\$442	3	3	\$442	3	3	\$442	-	-	-
Office of Field Operations	Location	-	-	\$6	-	-	\$6	-	-	\$6	-	-	-
International Operations	Location	-	-	\$6	-	-	\$6	-	-	\$6	-	-	-
Office of Trade	Location	3	3	\$436	3	3	\$436	3	3	\$436	-	-	-
Integrated Operations	Location	4	4	\$721	4	4	\$721	4	4	\$721	-	-	-
Air and Marine Operations	Location	-	-	\$12	-	-	\$12	-	-	\$12	-	-	-
Operations	Location	-	-	\$12	-	-	\$12	-	-	\$12	-	-	-
Office of International Affairs	Location	3	3	\$526	3	3	\$526	3	3	\$526	-	-	-
Operations Support	Location	1	1	\$183	1	1	\$183	1	1	\$183	-	-	-
Immigration Enforcement Fines	Source	-	-	(\$456)	-	-	-	-	-	-	-	-	-

Department of Homeland Security

U.S. Customs and Border Protection

Collections <i>(Dollars in Thousands)</i>		FY 2019 Enacted			FY 2020 Enacted			FY 2021 President's Budget			FY 2020 to FY 2021 Change		
		Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount
Immigration Enforcement Fines	Location	-	-	(\$456)	-	-	-	-	-	-	-	-	-
Independent Agency - Environmental Protection Agency	Source	-	-	\$75	-	-	\$75	-	-	\$75	-	-	-
Operations and Support	Location	-	-	\$75	-	-	\$75	-	-	\$75	-	-	-
Mission Support	Location	-	-	\$75	-	-	\$75	-	-	\$75	-	-	-
Enterprise Services	Location	-	-	\$75	-	-	\$75	-	-	\$75	-	-	-
Operational Reimbursements	Source	-	-	\$383,775	-	-	-	-	-	-	-	-	-
COBRA Customs Fees	Location	-	-	\$81,878	-	-	-	-	-	-	-	-	-
COBRA FTA	Location	-	-	\$37,121	-	-	-	-	-	-	-	-	-
Global Entry Fee	Location	-	-	\$68,935	-	-	-	-	-	-	-	-	-
Puerto Rico Trust Fund	Location	-	-	\$186,089	-	-	-	-	-	-	-	-	-
Virgin Islands Deposit Fund	Location	-	-	\$2,474	-	-	-	-	-	-	-	-	-
User Fee Facilities	Location	-	-	\$909	-	-	-	-	-	-	-	-	-
9-11 Response and Biometric Exit Account	Location	-	-	\$6,369	-	-	-	-	-	-	-	-	-
Department of Homeland Security - United States Coast Guard	Source	-	-	\$9,700	-	-	\$9,700	-	-	\$9,700	-	-	-
Operations and Support	Location	-	-	\$9,700	-	-	\$9,700	-	-	\$9,700	-	-	-
Mission Support	Location	-	-	\$4,453	-	-	\$4,453	-	-	\$4,453	-	-	-
Enterprise Services	Location	-	-	\$4,453	-	-	\$4,453	-	-	\$4,453	-	-	-
Border Security Operations	Location	-	-	\$805	-	-	\$805	-	-	\$805	-	-	-
US Border Patrol	Location	-	-	\$805	-	-	\$805	-	-	\$805	-	-	-
Operations	Location	-	-	\$805	-	-	\$805	-	-	\$805	-	-	-
Integrated Operations	Location	-	-	\$4,442	-	-	\$4,442	-	-	\$4,442	-	-	-
Air and Marine Operations	Location	-	-	\$4,442	-	-	\$4,442	-	-	\$4,442	-	-	-
Operations	Location	-	-	\$4,442	-	-	\$4,442	-	-	\$4,442	-	-	-
Department of Homeland Security - National Protection and Programs Directorate	Source	-	-	\$322	-	-	\$322	-	-	\$322	-	-	-
Operations and Support	Location	-	-	\$322	-	-	\$322	-	-	\$322	-	-	-
Mission Support	Location	-	-	\$322	-	-	\$322	-	-	\$322	-	-	-

Department of Homeland Security

U.S. Customs and Border Protection

Collections <i>(Dollars in Thousands)</i>		FY 2019 Enacted			FY 2020 Enacted			FY 2021 President's Budget			FY 2020 to FY 2021 Change		
		Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount
Enterprise Services	Location	-	-	\$322	-	-	\$322	-	-	\$322	-	-	-
Independent Agency - Postal Service	Source	-	-	\$468	-	-	\$468	-	-	\$468	-	-	-
Operations and Support	Location	-	-	\$468	-	-	\$468	-	-	\$468	-	-	-
Trade and Travel Operations	Location	-	-	\$468	-	-	\$468	-	-	\$468	-	-	-
Office of Field Operations	Location	-	-	\$468	-	-	\$468	-	-	\$468	-	-	-
Domestic Operations	Location	-	-	\$468	-	-	\$468	-	-	\$468	-	-	-
Department of Justice - Department of Justice	Source	-	-	\$75	-	-	\$75	-	-	\$75	-	-	-
Operations and Support	Location	-	-	\$75	-	-	\$75	-	-	\$75	-	-	-
Mission Support	Location	-	-	\$56	-	-	\$56	-	-	\$56	-	-	-
Executive Leadership and Oversight	Location	-	-	\$56	-	-	\$56	-	-	\$56	-	-	-
Trade and Travel Operations	Location	-	-	\$19	-	-	\$19	-	-	\$19	-	-	-
Office of Training and Development	Location	-	-	\$19	-	-	\$19	-	-	\$19	-	-	-
Department of Labor - Department of Labor	Source	-	-	\$6	-	-	\$6	-	-	\$6	-	-	-
Operations and Support	Location	-	-	\$6	-	-	\$6	-	-	\$6	-	-	-
Mission Support	Location	-	-	\$6	-	-	\$6	-	-	\$6	-	-	-
Enterprise Services	Location	-	-	\$6	-	-	\$6	-	-	\$6	-	-	-
Department of State - Department of State	Source	10	10	\$28,792	10	10	\$28,792	10	10	\$28,792	-	-	-
Operations and Support	Location	10	10	\$28,792	10	10	\$28,792	10	10	\$28,792	-	-	-
Mission Support	Location	-	-	\$3,512	-	-	\$3,512	-	-	\$3,512	-	-	-
Enterprise Services	Location	-	-	\$3,512	-	-	\$3,512	-	-	\$3,512	-	-	-
Trade and Travel Operations	Location	-	-	\$15	-	-	\$15	-	-	\$15	-	-	-
Office of Field Operations	Location	-	-	\$15	-	-	\$15	-	-	\$15	-	-	-
International Operations	Location	-	-	\$15	-	-	\$15	-	-	\$15	-	-	-
Integrated Operations	Location	10	10	\$25,265	10	10	\$25,265	10	10	\$25,265	-	-	-
Office of International Affairs	Location	10	10	\$25,265	10	10	\$25,265	10	10	\$25,265	-	-	-
General Services Administration - General Activities	Source	-	-	\$3,442	-	-	\$3,442	-	-	\$3,442	-	-	-

Department of Homeland Security

U.S. Customs and Border Protection

Collections <i>(Dollars in Thousands)</i>		FY 2019 Enacted			FY 2020 Enacted			FY 2021 President's Budget			FY 2020 to FY 2021 Change		
		Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount
Operations and Support	Location	-	-	\$3,442	-	-	\$3,442	-	-	\$3,442	-	-	-
Mission Support	Location	-	-	\$3,336	-	-	\$3,336	-	-	\$3,336	-	-	-
Enterprise Services	Location	-	-	\$3,336	-	-	\$3,336	-	-	\$3,336	-	-	-
Trade and Travel Operations	Location	-	-	\$106	-	-	\$106	-	-	\$106	-	-	-
Office of Field Operations	Location	-	-	\$106	-	-	\$106	-	-	\$106	-	-	-
Assets and Support	Location	-	-	\$106	-	-	\$106	-	-	\$106	-	-	-
Department of Homeland Security - Domestic Nuclear Detection Office	Source	-	-	\$905	-	-	\$905	-	-	\$905	-	-	-
Operations and Support	Location	-	-	\$905	-	-	\$905	-	-	\$905	-	-	-
Mission Support	Location	-	-	\$5	-	-	\$5	-	-	\$5	-	-	-
Enterprise Services	Location	-	-	\$5	-	-	\$5	-	-	\$5	-	-	-
Trade and Travel Operations	Location	-	-	\$17	-	-	\$17	-	-	\$17	-	-	-
Office of Field Operations	Location	-	-	\$17	-	-	\$17	-	-	\$17	-	-	-
Domestic Operations	Location	-	-	\$17	-	-	\$17	-	-	\$17	-	-	-
Integrated Operations	Location	-	-	\$883	-	-	\$883	-	-	\$883	-	-	-
Operations Support	Location	-	-	\$883	-	-	\$883	-	-	\$883	-	-	-
Total Collections		259	259	\$705,609	259	259	\$324,438	259	259	\$324,438	-	-	-

U.S. Customs and Border Protection Personnel Compensation and Benefits

Pay Summary

Organization (Dollars in Thousands)	FY 2019 Enacted				FY 2020 Enacted				FY 2021 President's Budget				FY 2020 to FY 2021 Total			
	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate
Operations and Support	48,834	48,040	\$8,253,688	\$171.81	52,216	49,361	\$8,462,755	\$171.45	52,053	49,207	\$8,670,441	\$176.2	(163)	(154)	\$207,686	\$4.75
Immigration Inspection User Fee	4,479	4,509	\$663,531	\$147.16	4,179	4,179	\$733,269	\$175.47	4,179	4,179	\$704,370	\$168.55	-	-	(\$28,899)	(\$6.92)
Immigration Enforcement Fines	5	4	\$676	\$169	3	3	\$305	\$101.67	2	2	\$227	\$113.5	(1)	(1)	(\$78)	\$11.83
Electronic System for Travel Authorization (ESTA) Fee	94	94	\$11,802	\$125.55	94	94	\$12,666	\$134.74	94	94	\$12,476	\$132.72	-	-	(\$190)	(\$2.02)
Land Border Inspection Fee	202	202	\$53,512	\$264.91	202	202	\$43,202	\$213.87	202	202	\$46,099	\$228.21	-	-	\$2,897	\$14.34
COBRA Customs Fees	2,538	2,293	\$538,512	\$234.85	2,538	2,538	\$565,733	\$222.91	3,188	3,446	\$625,833	\$181.61	650	908	\$60,100	(\$41.3)
COBRA FTA	1,587	1,640	\$255,000	\$155.49	1,287	1,287	\$267,000	\$207.46	1,287	1,287	\$322,258	\$250.39	-	-	\$55,258	\$42.93
Agricultural Quarantine and Inspection Fees	3,061	3,208	\$463,204	\$144.39	3,061	3,061	\$463,204	\$151.32	3,361	3,361	\$500,016	\$148.77	300	300	\$36,812	(\$2.55)
Global Entry Fee	416	416	\$48,868	\$117.47	416	416	\$51,566	\$123.96	416	416	\$55,749	\$134.01	-	-	\$4,183	\$10.05
Puerto Rico Trust Fund	268	268	\$24,661	\$92.02	268	268	\$24,661	\$92.02	268	268	\$24,661	\$92.02	-	-	-	-
Virgin Islands Deposit Fund	63	63	\$6,019	\$95.54	63	63	\$9,481	\$150.49	63	63	\$9,403	\$149.25	-	-	(\$78)	(\$1.24)
User Fee Facilities	80	118	\$8,739	\$74.06	80	80	\$8,797	\$109.96	80	80	\$9,846	\$123.08	-	-	\$1,049	\$13.12
9-11 Response and Biometric Exit Account	-	-	-	-	92	92	\$7,000	\$76.09	92	92	\$7,000	\$76.09	-	-	-	-
Total	61,627	60,855	\$10,328,212	\$169.72	64,499	61,644	\$10,649,639	\$172.76	65,285	62,697	\$10,988,379	\$175.26	786	1,053	\$338,740	\$2.5
Discretionary - Appropriation	48,834	48,040	\$8,253,688	\$171.81	52,216	49,361	\$8,462,755	\$171.45	52,053	49,207	\$8,670,441	\$176.2	(163)	(154)	\$207,686	\$4.75
Mandatory - Fee	10,710	10,641	\$1,761,917	\$165.58	10,500	10,500	\$1,859,521	\$177.1	11,449	11,707	\$1,930,085	\$164.87	949	1,207	\$70,564	(\$12.23)
Discretionary - Fee	1,667	1,758	\$263,739	\$150.02	1,367	1,367	\$275,797	\$201.75	1,367	1,367	\$332,104	\$242.94	-	-	\$56,307	\$41.19
Discretionary - Offsetting Fee	416	416	\$48,868	\$117.47	416	416	\$51,566	\$123.96	416	416	\$55,749	\$134.01	-	-	\$4,183	\$10.05

* The FTE Rate calculation does not include Object Class 11.8-Special Personal Services Payments or 13.0-Benefits for Former Personnel.

U.S. Customs and Border Protection

Pay by Object Class

Pay Object Classes <i>(Dollars in Thousands)</i>	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget	FY 2020 - FY 2021 Change
11.1 Full-time Permanent	\$5,777,843	\$5,965,536	\$6,089,606	\$124,070
11.3 Other than Full-Time Permanent	\$12,052	\$11,220	\$11,268	\$48
11.5 Other Personnel Compensation	\$1,339,353	\$1,352,238	\$1,387,644	\$35,406
11.6 Military Personnel-Basic Allowance for Housing	-	\$5,470	\$5,466	(\$4)
11.7 Military Personnel	-	\$801	\$69,815	\$69,014
12.1 Civilian Personnel Benefits	\$3,198,964	\$3,314,374	\$3,399,482	\$85,108
12.2 Military Personnel Benefits	-	-	\$25,098	\$25,098
Total - Personnel Compensation and Benefits	\$10,328,212	\$10,649,639	\$10,988,379	\$338,740
Positions and FTE				
Positions - Civilian	61,627	64,499	65,285	786
FTE - Civilian	60,855	61,644	62,697	1,053

U.S. Customs and Border Protection Non Pay Budget Exhibits

Non Pay Summary

Organization (Dollars in Thousands)	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget	FY 2020 to FY 2021 Total Changes
Operations and Support	\$3,926,041	\$4,272,644	\$4,316,991	\$44,347
Procurement, Construction, and Improvements	\$2,515,878	\$1,904,468	\$2,281,360	\$376,892
Immigration Inspection User Fee	\$106,105	\$93,178	\$89,506	(\$3,672)
Electronic System for Travel Authorization (ESTA) Fee	\$49,615	\$51,718	\$50,941	(\$777)
Land Border Inspection Fee	-	\$13,265	\$13,265	-
COBRA Customs Fees	\$56,466	\$50,242	\$55,579	\$5,337
Agricultural Quarantine and Inspection Fees	\$76,121	\$76,121	\$82,171	\$6,050
Global Entry Fee	\$117,093	\$133,371	\$144,190	\$10,819
Puerto Rico Trust Fund	\$7,280	\$69,846	\$127,630	\$57,784
Virgin Islands Deposit Fund	\$1,776	\$2,056	\$2,039	(\$17)
User Fee Facilities	\$202	\$203	\$228	\$25
Customs Unclaimed Goods	\$1,461	\$1,547	\$3,690	\$2,143
9-11 Response and Biometric Exit Account	\$71,000	\$54,000	\$54,000	-
Total	\$6,929,038	\$6,722,659	\$7,221,590	\$498,931
Discretionary - Appropriation	\$6,441,919	\$6,177,112	\$6,598,351	\$421,239
Mandatory - Fee	\$369,824	\$411,973	\$478,821	\$66,848
Discretionary - Offsetting Fee	\$117,093	\$133,371	\$144,190	\$10,819
Discretionary - Fee	\$202	\$203	\$228	\$25

U.S. Customs and Border Protection

Non Pay by Object Class

Non-Pay Object Classes <i>(Dollars in Thousands)</i>	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget	FY 2020 to FY 2021 Change
21.0 Travel and Transportation of Persons	\$202,096	\$147,317	\$145,859	(\$1,458)
22.0 Transportation of Things	\$24,100	\$25,329	\$23,668	(\$1,661)
23.1 Rental Payments to GSA	\$665,583	\$692,709	\$713,923	\$21,214
23.2 Rental Payments to Others	\$50,127	\$51,609	\$52,582	\$973
23.3 Communications, Utilities, and Misc. Charges	\$111,525	\$122,833	\$131,839	\$9,006
24.0 Printing and Reproduction	\$37,922	\$47,942	\$51,498	\$3,556
25.1 Advisory and Assistance Services	-	\$2,238	\$2,707	\$469
25.2 Other Services from Non-Federal Sources	\$1,838,464	\$2,094,048	\$2,098,884	\$4,836
25.3 Other Goods and Services from Federal Sources	\$83,820	\$117,067	\$146,196	\$29,129
25.4 Operation and Maintenance of Facilities	\$163,876	\$230,764	\$327,486	\$96,722
25.5 Research and Development Contracts	\$4,364	\$3,277	\$3,624	\$347
25.6 Medical Care	\$9,816	\$20,528	\$20,539	\$11
25.7 Operation and Maintenance of Equipment	\$424,806	\$416,783	\$357,754	(\$59,029)
26.0 Supplies and Materials	\$305,162	\$364,036	\$370,280	\$6,243
31.0 Equipment	\$1,358,467	\$931,888	\$665,561	(\$266,327)
32.0 Land and Structures	\$1,645,865	\$1,438,007	\$2,092,907	\$654,900
42.0 Insurance Claims and Indemnities	\$2,938	\$2,938	\$2,938	-
91.0 Unvouchered	\$107	-	-	-
94.0 Financial Transfers	-	\$13,345	\$13,345	-
Total - Non Pay Object Classes	\$6,929,038	\$6,722,659	\$7,221,590	\$498,931

U.S. Customs and Border Protection
Supplemental Budget Justification Exhibits

Working Capital Fund

Appropriation and PPA <i>(Dollars in Thousands)</i>	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget
Operations and Support	\$25,748	\$19,229	-
Mission Support	\$25,748	\$19,229	-
Total Working Capital Fund	\$25,748	\$19,229	-

U.S. Customs and Border Protection

Status of Congressionally Requested Studies, Reports and Evaluations

Fiscal Year	Due Date	Reference/Citation	Requirement	Status
2019	3/15/2019	Senate Report 115-283	The Department is directed to provide a spend plan for H-1B and L-1 fee revenues and any other resources being applied to biometric exit implementation not later than 30 days after the date of enactment of this act.	Transmitted – 9/13/2019
2019	3/18/2019	Joint Explanatory Statement 116-9	The conferees encourage CBP to work with the General Services Administration and the Office of Management and Budget on the annual 5-year Land POEs modernization plan, which is based on CBP's operational priorities and should include plans to complete the modernization of pre-9/11 POEs along the northern border. Specific attention should be paid to the health, safety, and welfare needs of CBPOs.	Transmitted – 10/15/2019
2019	4/16/2019	Senate Report 115-283	Not later than 60 days after the date of enactment of this act, CBP shall submit to the Committee a workload staffing model detailing the process and criteria used to determine the number of personnel assigned to POEs at all airports and shall include specific information relevant to determinations at large U.S. international airports in States that do not share a contiguous land border with Mexico or Canada.	Transmitted – 10/08/2019
2019	6/14/2019	Senate Report 115-283	Within 120 days of the date of enactment of this act, CBP shall provide the Committee with estimated costs and a timeline for the elimination of cash transactions at POEs.	Transmitted – 8/09/2019
2019	6/14/2019	Senate Report 115-283	The Committee notes that CBP intends to optimize the remaining twelve Multi-Role Enforcement Aircraft [MEA] within the current program of record for air-to-ground surveillance. The Committee strongly supports this effort and directs CBP to ensure that the next six MEA aircraft procured in the air-to-ground configuration are equipped with an appropriate air-to-ground radar. Within 120 days of enactment of this act, CBP shall submit to the Committee a report outlining CBP's plan to accelerate the MEA air-to-ground capability.	Transmitted – 5/29/2019
2019	6/14/2019	Senate Report 115-283	The Committee notes that CBP intends to optimize the remaining twelve Multi-Role Enforcement	Transmitted – 8/19/2019

Department of Homeland Security

U.S. Customs and Border Protection

Fiscal Year	Due Date	Reference/Citation	Requirement	Status
			Aircraft [MEA] within the current program of record for air-to-ground surveillance. The Committee strongly supports this effort and directs CBP to ensure that the next six MEA aircraft procured in the air-to-ground configuration are equipped with an appropriate air-to-ground radar. Within 120 days of enactment of this act, CBP shall submit to the Committee a report outlining CBP's plan to accelerate the MEA air-to-ground capability.	
2019	6/14/2019	Senate Report 115-283	CBP shall report to the Committee within 120 days of the date of enactment of this act on an assessment of staffing resources and funding that would be needed to expand NEXUS operations at land POEs along the northern border where NEXUS lanes are only staffed part-time. The report should include assessments for staffing NEXUS operations for 12 hour, 16 hour, and 24 hour periods.	Transmitted - 10/22/2019
2019	6/30/2019	P.L. 116-6	Provided further, That of the funds provided under this heading, \$13,000,000 shall be withheld from obligation until the Secretary complies with section 106 of this Act and \$13,000,000 shall be withheld from obligation until the Secretary provides the first report on border apprehensions required under this heading by the report accompanying this Act. p. 31-5 - SEC. 106. Section 107 of the Department of Homeland Security Appropriations Act, 2018 (division F of Public Law 115–141), related to visa overstay data, shall apply in fiscal year 2019, except that the reference to “this Act” shall be treated as referring to this Act, and the reference to “2017” shall be treated as referring to “2018”.	Pending
2019	7/12/2019	Senate Report 115-283	The Committee directs CBP to provide the Committee with data pertaining to the number and efficacy of roving patrol stops and to release this data publicly on a semiannual basis. All personally identifiable information about specific individuals shall be redacted from these reports. The report shall include a description of CBP policies governing enforcement actions of this nature; the total number of roving patrol stops made by CBP personnel, including the number resulting in arrest; and the date, location, duration, type of, and reason for each roving patrol stop and each resulting arrest.	Transmitted - 8/27/2019

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U.S. Customs and Border Protection

Fiscal Year	Due Date	Reference/Citation	Requirement	Status
2019	8/14/2019	Senate Report 115-283	The Committee directs CBP to continue reporting on antidumping and countervailing duties, as required in Senate Report 114-264.	Transmitted - 12/18/2019
2019	8/14/2019	Senate Report 115-283	The Committee directs CBP to continue reporting on antidumping and countervailing duties, as required in Senate Report 114-264.	Transmitted - 10/22/2019
2019	8/14/2019	Senate Report 115-283	The Committee directs CBP to continue reporting on antidumping and countervailing duties, as required in Senate Report 114-264.	Transmitted - 10/22/ 2019
2019	8/14/2019	P.L. 116-6	(c) Not later than 180 days after the date of the enactment of this Act, the Secretary of Homeland Security shall submit to the Committee on Appropriations of the Senate, the Committee on Appropriations of the House of Representatives, and the Comptroller General of the United States an updated risk-based plan for improving security along the borders of the United States that includes the elements required under subsection (a) of section 231 of division F of the Consolidated Appropriations Act, 2018 (Public Law 115-141), which shall be evaluated in accordance with subsection (b) of such section.	Draft – Under Review
2019	8/14/2019	Senate Report 115-283	CBP shall submit a report, not later than 180 days after the enactment of this act, on the resources needed to effectively implement new section 232 steel and aluminum tariffs. The report shall include estimates on any increased demands on manpower and system infrastructure resulting from the additional inspections and any other recommendations on how to ensure the effective implementation of the section 232 tariffs.	Pending
2019	8/14/2019	Senate Report 115-283	The Committee directs CBP to analyze the cost of its UAS operations and to analyze whether contractor owned, contractor-operated aircraft can further augment CBP surveillance requirements and report on the results of this analysis to the Committee within 180 days of the date of enactment of this act.	Transmitted – 10/22/2019
2019	9/16/2019	Senate Report 115-283	The Committee directs CBP to continue reporting on antidumping and countervailing duties, as required in Senate Report 114-264.	Transmitted – 10/22/2019
2019	1/30/2020	Senate Report 115-283	The Committee directs CBP to provide the Committee with data pertaining to the number and efficacy of roving patrol stops and to release this data	Pending

Fiscal Year	Due Date	Reference/Citation	Requirement	Status
			publicly on a semiannual basis. All personally identifiable information about specific individuals shall be redacted from these reports. The report shall include a description of CBP policies governing enforcement actions of this nature; the total number of roving patrol stops made by CBP personnel, including the number resulting in arrest; and the date, location, duration, type of, and reason for each roving patrol stop and each resulting arrest.	

U.S. Customs and Border Protection

Authorized/Unauthorized Appropriations

Budget Activity <i>Dollars in Thousands</i>	Last year of Authorization	Authorized Level	Appropriation in Last Year of Authorization	FY 2020 President's Budget
	Fiscal Year	Amount	Amount	Amount
Operations and Support	N/A	N/A	N/A	\$12,987,432
Mission Support	N/A	N/A	N/A	\$1,891,108
Border Security Operations	N/A	N/A	N/A	\$5,037,484
Trade and Travel Operations	N/A	N/A	N/A	\$4,873,951
Integrated Operations	N/A	N/A	N/A	\$1,184,889
Procurement, Construction, and Improvements	N/A	N/A	N/A	\$2,281,360
Mission Support Assets & Infrastructure	N/A	N/A	N/A	\$32,629
Border Security Assets & Infrastructure	N/A	N/A	N/A	\$2,060,413
Trade and Travel Assets & Infrastructure	N/A	N/A	N/A	\$22,510
Integrated Operations Assets & Infrastructure	N/A	N/A	N/A	\$38,409
Construction and Facility Improvements	N/A	N/A	N/A	\$127,399
Total Direct Authorization/Appropriation	N/A	N/A	N/A	\$15,268,791
Fee Accounts	N/A	N/A	N/A	\$2,980,110

U.S. Customs and Border Protection Proposed Legislative Language

Operations and Support

For necessary expenses of U.S. Customs and Border Protection for operations and support, including the transportation of unaccompanied minor aliens; the provision of air and marine support to Federal, State, and local agencies in the enforcement or administration of laws enforced by the Department of Homeland Security; at the discretion of the Secretary of Homeland Security, the provision of such support to Federal, State, [and]local, *and international* agencies in other law enforcement and emergency humanitarian efforts; the purchase and lease of up to 7,500 (6,500 for replacement only) police-type vehicles; the purchase, maintenance, or operation of marine vessels, aircraft and unmanned aerial systems; and contracting with individuals for personal services abroad; [\$12,735,399,000] \$12,987,432,000; of which \$3,274,000 shall be derived from the Harbor Maintenance Trust Fund for administrative expenses related to the collection of the Harbor Maintenance Fee pursuant to section 9505(c)(3) of the Internal Revenue Code of 1986 (26 U.S.C. 9505(c)(3)) and notwithstanding section 1511(e)(1) of the Homeland Security Act of 2002 (6 U.S.C. 551(e)(1)); of which [\$5,000,000] \$566,573,000 shall be available until September 30, [2021] 2022; and of which such sums as become available in the Customs User Fee Account, except sums subject to section 13031(f)(3) of the Consolidated Omnibus Budget Reconciliation Act of 1985 (19 U.S.C. 58c(f)(3)), shall be derived from that account; and of which \$104,377,000 is for additional Office of Field Operations staffing]: *Provided*, That not to exceed \$34,425 shall be for official reception and representation expenses; *Provided further*, That not to exceed \$150,000 shall be available for payment for rental space in connection with preclearance operations: *Provided further*, That not to exceed \$2,000,000 shall be for awards of compensation to informants, to be accounted for solely under the certificate of the Secretary of Homeland Security[: *Provided further*, That not to exceed \$5,000,000 may be transferred to the Bureau of Indian Affairs for the maintenance and repair of roads on Native American reservations used by the U.S. Border Patrol: *Provided further*, That of the funds made available under this heading for the Executive Leadership and Oversight program, project, and activity, \$5,000,000 shall be withheld from obligation until the reports directed by the explanatory statement accompanying this Act concerning Custody and Transfer Metrics, the Migrant Protection Protocol program, and medical guidance have been made available on a publicly accessible website].

Language Provision	Explanation
...[\$12,735,399,000] \$12,987,432,000	Dollar change only
...[\$1,639,215,000] \$566,573,000	Dollar change only. Request two-year funding in O&S appropriation for programs with complex contracts, such as IT support, major acquisition operations and maintenance, and facilities. In these cases, awarding contracts can take more than one year to execute. For example, nearly all aircraft support contracts take longer than one fiscal year to award. Without multi-year flexibility CBP could experience contract inefficiencies, have funds expire prior to contract award, or lack sufficient resources to support surge operations.
[2021] 2022	Updated Period of Availability.
[; and of which \$104,377,000 is for additional Office of Field Operations staffing]	Remove language no longer applicable.
[; <i>Provided further</i> , That not to exceed \$5,000,000 may be transferred to the Bureau of Indian Affairs for the maintenance and repair of roads on Native American reservations used by the U.S. Border Patrol: <i>Provided further</i> , That of the funds made available	Remove language no longer applicable.

Department of Homeland Security**U.S. Customs and Border Protection**

Language Provision	Explanation
under this heading for the Executive Leadership and Oversight program, project, and activity, \$5,000,000 shall be withheld from obligation until the reports directed by the explanatory statement accompanying this Act concerning Custody and Transfer Metrics, the Migrant Protection Protocol program, and medical guidance have been made available on a publicly accessible website]	

Procurement, Construction, and Improvements

For necessary expenses for U.S. Customs and Border Protection for procurement, construction, and improvements, including procurements to buy marine vessels, aircraft, and unmanned aerial systems, [\$1,904,468,000] *\$2,281,360,000*, of which [\$467,104,000] *\$189,148,000* shall remain available until September 30, [2022] 2023; and of which [\$1,437,364,000] *\$2,092,212,000* shall remain available until September 30, [2024] 2025.

Language Provision	Explanation
...[\$1,904,468,000] <i>\$2,281,360,000</i>	Dollar change only
...[\$467,104,000] <i>\$189,148,000</i>	Dollar change only.
...[2022] 2023	Updated period of availability.
...[\$1,437,364,000] <i>\$2,092,212,000</i>	Dollar change only.
...[2024] 2025	Updated period of availability.

Department of Homeland Security

U.S. Customs and Border Protection

Operations and Support



**Fiscal Year 2021
Congressional Justification**

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U.S. Customs and Border Protection**Operations and Support**

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Operations and Support

Budget Comparison and Adjustments

Comparison of Budget Authority and Request

Organization (Dollars in Thousands)	FY 2019 Enacted			FY 2020 Enacted			FY 2021 President's Budget			FY 2020 to FY 2021 Total Changes		
	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount
Mission Support	4,833	4,754	\$1,788,236	5,716	5,350	\$1,858,705	5,615	5,303	\$1,891,107	(101)	(47)	\$32,402
Border Security Operations	21,399	21,334	\$4,739,201	24,028	22,605	\$4,918,544	24,108	22,672	\$5,037,485	80	67	\$118,941
Trade and Travel Operations	20,087	19,473	\$4,562,701	19,453	18,603	\$4,789,033	19,496	18,506	\$4,873,952	43	(97)	\$84,919
Integrated Operations	2,515	2,479	\$1,089,591	3,019	2,803	\$1,169,117	2,834	2,726	\$1,184,888	(185)	(77)	\$15,771
Total	48,834	48,040	\$12,179,729	52,216	49,361	\$12,735,399	52,053	49,207	\$12,987,432	(163)	(154)	\$252,033
Subtotal Discretionary - Appropriation	48,834	48,040	\$12,179,729	52,216	49,361	\$12,735,399	52,053	49,207	\$12,987,432	(163)	(154)	\$252,033

The CBP O&S appropriation provides resources necessary to help CBP protect the homeland. The primary resource drivers are the salaries and benefits of CBP operational and mission support personnel. The balance of this appropriation is comprised of the operations and maintenance (O&M) costs necessary to sustain the daily effectiveness of CBP equipment and facilities.

The appropriation is broken out into the following Programs, Projects, and Activities (PPAs):

Mission Support: Provides funding for enterprise leadership, management, and business administrative services that sustain the day-to-day back office operations. Key capabilities include managing the agency's performance, finances, workforce, physical and personnel security, acquisition of goods and services, information technology, property and assets, communications, legal affairs, and administration.

Border Security Operations: Provides funding to secure America's southern, northern, and certain coastal borders. Through the coordinated use of operational capabilities and assets of the U.S. Border Patrol (USBP) and Air and Marine Operations (AMO), CBP prevents terrorists and terrorist weapons, undocumented aliens, smugglers, narcotics, and other contraband from moving across the U.S. borders.

Trade and Travel Operations: Provides funding that allows the Department to better intercept potential threats at U.S. ports of entry (POEs) before they can cause harm while expediting legal trade and travel. The program includes a multi-layered system of people, technology, intelligence, risk information, targeting, international cooperation, and expanded shipper and traveler vetting that provides greater flexibility and capacity to accomplish these functions prior to arrival at the U.S. border.

Integrated Operations: Supports multiple mission programs through the sustainment of command and control, coordination, information sharing, and situational awareness. Also supports occupational health and safety.

Operations and Support Budget Authority and Obligations

Budget Authority (Dollars in Thousands)	FY 2019	FY 2020	FY 2021
Enacted/Request	\$12,179,729	\$12,735,399	\$12,987,432
Carryover and/or Recoveries (Actual/Estimates/Projections)	\$189,483	\$1,358,153	\$1,305,764
Rescissions to Current Year/Budget Year	(\$4,132)	-	-
Net Sequestered Resources	-	-	-
Reprogrammings/Transfers	(\$29,297)	-	-
Supplementals	\$1,014,431	-	-
Total Budget Authority	\$13,350,214	\$14,093,552	\$14,293,196
Collections – Reimbursable Resources	\$291,438	\$291,438	\$291,438
Total Budget Resources	\$13,641,652	\$14,384,990	\$14,584,634
Obligations (Actual/Estimates/Projections)	\$12,283,499	\$13,155,723	\$13,291,985
Personnel: Positions and FTE			
Enacted/Request Positions	48,834	52,216	52,053
Enacted/Request FTE	48,040	49,361	49,207
Onboard and Actual FTE; Includes Collections - Reimbursable Resources			
Onboard (Actual/Estimates/Projections)	48,834	52,475	52,312
FTE (Actual/Estimates/Projections)	48,040	49,620	49,466

*In the table above, the rescission line includes the administrative savings rescissions per the Consolidated Appropriation Act, 2019 (P.L. 116-6).

**Carryover and obligation figures reported in this table in the FY 2019, FY 2020 and FY 2021 columns differ from the MAX A-11 database due to adjustments reported later than the MAX A-11 database lock. Please refer to the President's Budget Appendix for accurate Budget Authority and Obligations.

Operations and Support

Collections – Reimbursable Resources

Collections (Dollars in Thousands)		FY 2019 Enacted			FY 2020 Enacted			FY 2021 President's Budget		
		Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount
Department of Agriculture - Department of Agriculture	Source	-	-	\$230	-	-	\$230	-	-	\$230
Department of Defense - Department of Defense	Source	2	2	\$948	2	2	\$948	2	2	\$948
Department of Defense - Navy, Marine Corps	Source	-	-	\$19,711	-	-	\$19,711	-	-	\$19,711
Department of Energy - Department of Energy	Source	-	-	\$482	-	-	\$482	-	-	\$482
CIS I-192	Source	8	8	\$3,680	8	8	\$3,680	8	8	\$3,680
CIS I-193	Source	21	21	\$3,450	21	21	\$3,450	21	21	\$3,450
Forfeiture Fund	Source	90	90	\$43,924	90	90	\$43,924	90	90	\$43,924
Department of the Treasury - Department of the Treasury	Source	-	-	\$1	-	-	\$1	-	-	\$1
Reimbursable Agricultural Overtime	Source	24	24	\$6,903	24	24	\$6,903	24	24	\$6,903
Virgin Islands Deposit Fund	Source	44	44	\$13,110	44	44	\$13,110	44	44	\$13,110
Reimbursable Overtime	Source	-	-	\$105	-	-	\$105	-	-	\$105
Independent Agency - Other Independent Agencies	Source	14	14	\$111,005	14	14	\$111,005	14	14	\$111,005
Department of Homeland Security - Federal Emergency Management Agency	Source	15	15	\$28,076	15	15	\$28,076	15	15	\$28,076
Department of Homeland Security - Federal Law Enforcement Training Center	Source	-	-	\$174	-	-	\$174	-	-	\$174
Department of Homeland Security - Transportation Security Administration	Source	-	-	\$4,347	-	-	\$4,347	-	-	\$4,347
Department of Homeland Security - U.S. Immigration and Customs Enforcement	Source	-	-	\$589	-	-	\$589	-	-	\$589
Department of Homeland Security - Science and Technology	Source	-	-	\$1,239	-	-	\$1,239	-	-	\$1,239
Independent Agency - Small Business Administration	Source	-	-	\$9	-	-	\$9	-	-	\$9
Department of Homeland Security - US Immigration and Customs Enforcement	Source	-	-	\$3,646	-	-	\$3,646	-	-	\$3,646
International Assistance Programs - Agency for International Development	Source	-	-	\$963	-	-	\$963	-	-	\$963
Department of Homeland Security - Departmental Management and Operations	Source	-	-	\$66	-	-	\$66	-	-	\$66
Independent Agency - Office of Personnel Management	Source	31	31	\$4,995	31	31	\$4,995	31	31	\$4,995
Independent Agency - Environmental Protection Agency	Source	-	-	\$75	-	-	\$75	-	-	\$75
Department of Homeland Security - United States Coast Guard	Source	-	-	\$9,700	-	-	\$9,700	-	-	\$9,700
Department of Homeland Security - National Protection and Programs Directorate	Source	-	-	\$322	-	-	\$322	-	-	\$322
Independent Agency - Postal Service	Source	-	-	\$468	-	-	\$468	-	-	\$468
Department of Justice - Department of Justice	Source	-	-	\$75	-	-	\$75	-	-	\$75
Department of Labor - Department of Labor	Source	-	-	\$6	-	-	\$6	-	-	\$6
Department of State - Department of State	Source	10	10	\$28,792	10	10	\$28,792	10	10	\$28,792
General Services Administration - General Activities	Source	-	-	\$3,442	-	-	\$3,442	-	-	\$3,442

U.S. Customs and Border Protection

Operations and Support

Collections <i>(Dollars in Thousands)</i>	FY 2019 Enacted			FY 2020 Enacted			FY 2021 President's Budget		
	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount
Department of Homeland Security - Domestic Nuclear Detection Office Source	-	-	\$905	-	-	\$905	-	-	\$905
Total Collections	259	259	\$291,438	259	259	\$291,438	259	259	\$291,438

Operations and Support Summary of Budget Changes

Budget Formulation Activity <i>(Dollars in Thousands)</i>	Positions	FTE	Amount
FY 2019 Enacted	48,834	48,040	\$12,179,729
FY 2020 Enacted	52,216	49,361	\$12,735,399
FY 2021 Base Budget	52,216	49,361	\$12,735,399
Transfer for C-LAN WCF Removal from CBP/MS/ES to A&O/O&S	-	-	(\$5,157)
Transfer for Trainers from CBP/IO/AMO/OPS to CBP/IO/OTD	(4)	(4)	(\$719)
Transfer for Trainers from CBP/IO/AMO/OPS to CBP/IO/OTD	4	4	\$719
Transfer for WCF Removals from CBP/MS/ES to MGMT/CFO	-	-	(\$327)
Transfer for WCF Removals from CBP/MS/ES to MGMT/CHCO	-	-	(\$5,308)
Transfer for WCF Removals from CBP/MS/ES to MGMT/CIO	-	-	(\$1,448)
Transfer for WCF Removals from CBP/MS/ES to MGMT/CPO	-	-	(\$67)
Transfer for WCF Removals from CBP/MS/ES to MGMT/CRSO	-	-	(\$2,916)
Transfer for WCF Removals from CBP/MS/ES to MGMT/CSO	-	-	(\$4,961)
Total Transfers	-	-	(\$20,184)
2020 Pay Raise	-	-	\$268,951
2021 Pay Raise	-	-	\$66,760
Adjustments to Prior Year Programming Base	-	-	\$107,369
Annualization of FY 2020 9mm Weapons Transition Hiring	-	12	\$831
Annualization of FY 2020 Counter Intelligence and Insider Threat	-	6	\$974
Annualization of FY 2020 National Vetting Center Hiring	-	10	\$692
Annualization of FY 2020 OFO Staff Hiring	-	133	\$8,859
Annualization of FY 2020 TFTEA Implementation Hiring	-	1	\$58
FERS Agency Contribution	-	-	\$78,559
FPS Fee Adjustment	-	-	\$5,394
GSA Rent	-	-	\$30,420
National Targeting Center	-	-	\$1,257
Total, Pricing Increases	-	162	\$570,124
Adjustments to Prior Year Enactments	-	-	(\$259,535)
AMOC Systems and Information Sharing	-	-	(\$1,500)
Automated Commercial Environment (ACE)	-	-	(\$5,036)
Contract Efficiencies	-	-	(\$5,000)

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Budget Formulation Activity <i>(Dollars in Thousands)</i>	Positions	FTE	Amount
Delay in Hiring	-	-	(\$56,558)
Gordie Howe International Bridge Land Border Integration	-	-	(\$5,000)
Information & Incident Coordination Center	-	-	(\$1,598)
Integrated Fixed Towers (IFT)	-	-	(\$5,765)
Intelligent Enforcement	-	-	(\$9,200)
Mobile Surveillance Capabilities (MSC)	-	-	(\$4,553)
Non-Recur FY 2020 9mm Weapons Transition Hiring Costs	-	-	(\$44)
Non-Recur FY 2020 Counter Intelligence Insider Threat Hiring	-	-	(\$636)
Non-Recur FY 2020 National Vetting Center Hiring Costs	-	-	(\$37)
Non-Recur FY 2020 OFO Staff Hiring Costs	-	-	(\$5,156)
Non-Recur of FY 2020 TFTEA Implementation Hiring	-	-	(\$106)
Non-Recur of the Emergency Appropriations	-	-	(\$203,000)
Opioid Detection Equipment and Safeguards	-	-	(\$9,831)
P-3 Aircraft Technology Refresh	-	-	(\$3,540)
Transportation Inflation	-	-	(\$2,712)
USBP Facilities Design, Planning, and Minor Construction	-	-	(\$13,000)
Total, Pricing Decreases	-	-	(\$591,807)
Total Adjustments-to-Base	-	162	(\$41,867)
FY 2021 Current Services	52,216	49,523	\$12,693,532
Advanced Trade Analytics Platform	3	2	\$5,000
Air and Marine Operations Center	-	-	\$4,822
AMO Training	-	-	\$469
Autonomous Surveillance Towers	-	-	\$12,400
Awards Spending Increase	-	-	\$69,014
Border Patrol Hiring	876	438	\$161,188
Border Patrol Hiring Rebaseline	250	250	\$54,043
Care for Migrants at Southern Border	-	-	\$32,219
Child and Backup Care	-	-	\$1,550
Cloud Migration	-	-	\$23,391
COSS Transformation Initiative	-	-	\$813
DHC-8 Patrol Aircraft	-	-	\$14,300
Facilities Maintenance	-	-	\$54,104
Laboratory Personnel	12	6	\$1,109

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Budget Formulation Activity (Dollars in Thousands)	Positions	FTE	Amount
Medium Lift Helicopter (MLH)	-	-	\$5,561
Multi-Role Enforcement Aircraft (MEA)	-	-	\$3,263
National Vetting Center	-	-	\$35,423
Non-Intrusive Inspection (NII) Systems	-	-	\$25,000
Processing Coordinator Hiring	300	150	\$21,000
Recruitment and Applicant Processing	-	-	\$17,600
Regulatory Reform Hiring	2	1	\$250
Small Unmanned Aircraft System (sUAS)	-	-	\$513
Southwest Border Wall System	-	-	\$7,100
Telematics	-	-	\$1,600
Trade Agreement, Remedies & Enforcement Personnel	50	25	\$8,052
Trade Facilitation and Trade Enforcement Act	2	2	\$369
USBP Relocations and Retention	-	-	\$25,098
Total, Program Increases	1,495	874	\$585,251
Agent Portable Surveillance Systems	-	-	(\$560)
Arrival & Departure Information System (ADIS)	-	-	(\$3,684)
Cross Border Tunnel Threat	-	-	(\$1,150)
Electronic Visa Update System	-	-	(\$13,830)
Facilities and Asset Technical and Management Support	-	-	(\$2,915)
Increase Reliance on User Fees	-	(258)	(\$53,300)
Integrated Fixed Towers (IFT)	-	-	(\$2,330)
Mobile Video Surveillance Systems (MVSS)	-	-	(\$6,737)
Northern Border Remote Video Surveillance System (NB RVSS)	-	-	(\$1,906)
Rebaseline Workforce	(1,658)	(932)	(\$131,264)
Remote Surveillance	-	-	(\$1,957)
Remote Video Surveillance System (RVSS)	-	-	(\$13,793)
Tactical Aerostats	-	-	(\$29,000)
Tactical Infrastructure	-	-	(\$14,113)
Unattended Ground Sensors/Imaging Sensors	-	-	(\$10,000)
Vehicles Reduction	-	-	(\$4,812)
Total, Program Decreases	(1,658)	(1,190)	(\$291,351)
FY 2021 Request	52,053	49,207	\$12,987,432
FY 2020 To FY 2021 Change	(163)	(154)	\$252,033

Operations and Support Justification of Transfers

Transfers (Dollars in Thousands)	FY 2021 President's Budget		
	Positions	FTE	Amount
Transfer 1 - Transfer for C-LAN WCF Removal from CBP/MS/ES to A&O/O&S	-	-	(\$5,157)
Mission Support	-	-	(\$5,157)
Enterprise Services	-	-	(\$5,157)
Transfer 2 - Transfer for Trainers from CBP/IO/AMO/OPS to CBP/IO/OTD	(4)	(4)	(\$719)
Integrated Operations	(4)	(4)	(\$719)
Air and Marine Operations	(4)	(4)	(\$719)
Operations	(4)	(4)	(\$719)
Transfer 3 - Transfer for Trainers from CBP/IO/AMO/OPS to CBP/IO/OTD	4	4	\$719
Integrated Operations	4	4	\$719
Office of Training and Development	4	4	\$719
Transfer 4 - Transfer for WCF Removals from CBP/MS/ES to MGMT/CFO	-	-	(\$327)
Mission Support	-	-	(\$327)
Enterprise Services	-	-	(\$327)
Transfer 5 - Transfer for WCF Removals from CBP/MS/ES to MGMT/CHCO	-	-	(\$5,308)
Mission Support	-	-	(\$5,308)
Enterprise Services	-	-	(\$5,308)
Transfer 6 - Transfer for WCF Removals from CBP/MS/ES to MGMT/CIO	-	-	(\$1,448)
Mission Support	-	-	(\$1,448)
Enterprise Services	-	-	(\$1,448)
Transfer 7 - Transfer for WCF Removals from CBP/MS/ES to MGMT/CPO	-	-	(\$67)
Mission Support	-	-	(\$67)
Enterprise Services	-	-	(\$67)
Transfer 8 - Transfer for WCF Removals from CBP/MS/ES to MGMT/CRSO	-	-	(\$2,916)
Mission Support	-	-	(\$2,916)
Enterprise Services	-	-	(\$2,916)
Transfer 9 - Transfer for WCF Removals from CBP/MS/ES to MGMT/CSO	-	-	(\$4,961)
Mission Support	-	-	(\$4,961)
Enterprise Services	-	-	(\$4,961)
Total Transfers	-	-	(\$20,184)

Transfers 1 - Transfer for C-LAN from CBP/MS/ES to A&O: This transfer represents cost associated with the removal of Classified Network (C-LAN) Operation Services from the Working Capital Fund (WCF). Services include TS/SCI network and internet/intranet access, communications security and information technology (IT) operations, and disaster recovery planning. This transfer will not result in loss of service for this activity, as A&O will assume responsibility for providing this service DHS-wide.

Transfers 2-3 - Transfers for Trainers: Transfer to the CBP Integrated Operations, Office of Training and Development PPA from the CBP Integrated Operations, AMO Operations PPA and the CBP Integrated Operations, AMO Operations PPA to fund Academy trainers.

Transfers 4-9 - Transfers for WCF Removals: These transfers represent costs associated with the removal of the following activities from the Working Capital Fund: Interagency Council Funding, Human Capital Business System, e-Training, USAJOBS, Enterprise HR Integration, Human Resources Line of Business, Research Library & Information Services, e-Rulemaking, e-gov Benefits, Financial Management Line of Business, Geospatial Line of Business, Budget Formulation and Execution Line of Business, Mail Services, HSPD-12, and Background Investigations.

Operations and Support Justification of Pricing Changes

Pricing Changes (Dollars in Thousands)	FY 2021 President's Budget		
	Positions	FTE	Amount
Pricing Change 1 - 2020 Pay Raise	-	-	\$268,951
Mission Support	-	-	\$24,849
Enterprise Services	-	-	\$18,450
Office of Professional Responsibility	-	-	\$3,354
Executive Leadership and Oversight	-	-	\$3,045
Border Security Operations	-	-	\$100,189
US Border Patrol	-	-	\$99,115
Operations	-	-	\$99,115
Office of Training and Development	-	-	\$1,074
Trade and Travel Operations	-	-	\$131,359
Office of Field Operations	-	-	\$125,838
Domestic Operations	-	-	\$118,621
International Operations	-	-	\$3,066
Targeting Operations	-	-	\$4,151
Office of Trade	-	-	\$4,426
Office of Training and Development	-	-	\$1,095
Integrated Operations	-	-	\$12,554
Air and Marine Operations	-	-	\$8,375
Operations	-	-	\$7,723
Air and Marine Operations Center	-	-	\$652
Office of International Affairs	-	-	\$799
Office of Intelligence	-	-	\$1,287
Office of Training and Development	-	-	\$12
Operations Support	-	-	\$2,081
Pricing Change 2 - 2021 Pay Raise	-	-	\$66,760
Mission Support	-	-	\$7,067
Enterprise Services	-	-	\$5,080
Office of Professional Responsibility	-	-	\$1,000
Executive Leadership and Oversight	-	-	\$987

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Pricing Changes <i>(Dollars in Thousands)</i>	FY 2021 President's Budget		
	Positions	FTE	Amount
Border Security Operations	-	-	\$31,246
US Border Patrol	-	-	\$30,934
Operations	-	-	\$30,934
Office of Training and Development	-	-	\$312
Trade and Travel Operations	-	-	\$24,712
Office of Field Operations	-	-	\$23,087
Domestic Operations	-	-	\$20,846
International Operations	-	-	\$897
Targeting Operations	-	-	\$1,344
Office of Trade	-	-	\$1,359
Office of Training and Development	-	-	\$266
Integrated Operations	-	-	\$3,735
Air and Marine Operations	-	-	\$2,493
Operations	-	-	\$2,234
Air and Marine Operations Center	-	-	\$259
Office of International Affairs	-	-	\$231
Office of Intelligence	-	-	\$422
Office of Training and Development	-	-	\$13
Operations Support	-	-	\$576
Pricing Change 3 - AMOC Systems and Information Sharing	-	-	(\$1,500)
Integrated Operations	-	-	(\$1,500)
Air and Marine Operations	-	-	(\$1,500)
Air and Marine Operations Center	-	-	(\$1,500)
Pricing Change 4 - Adjustments to Prior Year Enactments	-	-	(\$259,535)
Mission Support	-	-	(\$176,754)
Enterprise Services	-	-	(\$123,700)
Office of Professional Responsibility	-	-	(\$49,716)
Executive Leadership and Oversight	-	-	(\$3,338)
Border Security Operations	-	-	(\$88,583)
US Border Patrol	-	-	(\$68,261)
Operations	-	-	(\$50,767)
Assets and Support	-	-	(\$17,494)

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Pricing Changes <i>(Dollars in Thousands)</i>	FY 2021 President's Budget		
	Positions	FTE	Amount
Office of Training and Development	-	-	(\$20,322)
Trade and Travel Operations	-	-	\$19,534
Office of Field Operations	-	-	\$42,667
Domestic Operations	-	-	\$44,138
International Operations	-	-	(\$8,641)
Targeting Operations	-	-	(\$20,164)
Assets and Support	-	-	\$27,334
Office of Trade	-	-	(\$7,848)
Office of Training and Development	-	-	(\$15,285)
Integrated Operations	-	-	(\$13,732)
Air and Marine Operations	-	-	(\$1,695)
Operations	-	-	(\$17,243)
Assets and Support	-	-	\$15,000
Air and Marine Operations Center	-	-	\$548
Office of International Affairs	-	-	(\$1,648)
Office of Intelligence	-	-	\$7,948
Office of Training and Development	-	-	(\$1,677)
Operations Support	-	-	(\$16,660)
Pricing Change 5 - Adjustments to Prior Year Programming Base	-	-	\$107,369
Mission Support	-	-	\$58,085
Enterprise Services	-	-	\$34,045
Office of Professional Responsibility	-	-	\$22,548
Executive Leadership and Oversight	-	-	\$1,492
Border Security Operations	-	-	\$140,258
US Border Patrol	-	-	\$126,891
Operations	-	-	\$81,147
Assets and Support	-	-	\$45,744
Office of Training and Development	-	-	\$13,367
Trade and Travel Operations	-	-	(\$103,232)
Office of Field Operations	-	-	(\$130,323)
Domestic Operations	-	-	(\$136,758)
International Operations	-	-	\$12,002

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Pricing Changes <i>(Dollars in Thousands)</i>	FY 2021 President's Budget		
	Positions	FTE	Amount
Targeting Operations	-	-	\$24,775
Assets and Support	-	-	(\$30,342)
Office of Trade	-	-	\$29,099
Office of Training and Development	-	-	(\$2,008)
Integrated Operations	-	-	\$12,258
Air and Marine Operations	-	-	\$12,690
Operations	-	-	\$19,657
Assets and Support	-	-	(\$15,000)
Air and Marine Operations Center	-	-	\$8,033
Office of International Affairs	-	-	\$4,608
Office of Intelligence	-	-	(\$2,811)
Office of Training and Development	-	-	\$425
Operations Support	-	-	(\$2,654)
Pricing Change 6 - Annualization of FY 2020 9mm Weapons Transition Hiring	-	12	\$831
Integrated Operations	-	12	\$831
Operations Support	-	12	\$831
Pricing Change 7 - Annualization of FY 2020 Counter Intelligence and Insider Threat	-	6	\$974
Mission Support	-	3	\$445
Office of Professional Responsibility	-	3	\$445
Integrated Operations	-	3	\$529
Office of Intelligence	-	3	\$529
Pricing Change 8 - Annualization of FY 2020 National Vetting Center Hiring	-	10	\$692
Trade and Travel Operations	-	10	\$692
Office of Field Operations	-	10	\$692
Targeting Operations	-	10	\$692
Pricing Change 9 - Annualization of FY 2020 OFO Staff Hiring	-	133	\$8,859
Trade and Travel Operations	-	133	\$8,859
Office of Field Operations	-	133	\$8,859
Domestic Operations	-	133	\$8,859
Pricing Change 10 - Annualization of FY 2020 TFTEA Implementation Hiring	-	1	\$58
Mission Support	-	1	\$58
Enterprise Services	-	1	\$58

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Pricing Changes <i>(Dollars in Thousands)</i>	FY 2021 President's Budget		
	Positions	FTE	Amount
Pricing Change 11 - Automated Commercial Environment (ACE)	-	-	(\$5,036)
Trade and Travel Operations	-	-	(\$5,036)
Office of Trade	-	-	(\$5,036)
Pricing Change 12 - Contract Efficiencies	-	-	(\$5,000)
Mission Support	-	-	(\$5,000)
Enterprise Services	-	-	(\$5,000)
Pricing Change 13 - Delay in Hiring	-	-	(\$56,558)
Mission Support	-	-	(\$5,022)
Enterprise Services	-	-	(\$3,767)
Office of Professional Responsibility	-	-	(\$668)
Executive Leadership and Oversight	-	-	(\$587)
Border Security Operations	-	-	(\$19,636)
US Border Patrol	-	-	(\$19,420)
Operations	-	-	(\$19,420)
Office of Training and Development	-	-	(\$216)
Trade and Travel Operations	-	-	(\$29,398)
Office of Field Operations	-	-	(\$28,295)
Domestic Operations	-	-	(\$26,879)
International Operations	-	-	(\$614)
Targeting Operations	-	-	(\$802)
Office of Trade	-	-	(\$872)
Office of Training and Development	-	-	(\$231)
Integrated Operations	-	-	(\$2,502)
Air and Marine Operations	-	-	(\$1,668)
Operations	-	-	(\$1,553)
Air and Marine Operations Center	-	-	(\$115)
Office of International Affairs	-	-	(\$161)
Office of Intelligence	-	-	(\$248)
Operations Support	-	-	(\$425)
Pricing Change 14 - FERS Agency Contribution	-	-	\$78,559
Mission Support	-	-	\$8,888
Enterprise Services	-	-	\$6,397

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Pricing Changes <i>(Dollars in Thousands)</i>	FY 2021 President's Budget		
	Positions	FTE	Amount
Office of Professional Responsibility	-	-	\$1,315
Executive Leadership and Oversight	-	-	\$1,176
Border Security Operations	-	-	\$39,780
US Border Patrol	-	-	\$39,351
Operations	-	-	\$39,351
Office of Training and Development	-	-	\$429
Trade and Travel Operations	-	-	\$24,932
Office of Field Operations	-	-	\$23,112
Domestic Operations	-	-	\$21,177
International Operations	-	-	\$997
Targeting Operations	-	-	\$938
Office of Trade	-	-	\$1,630
Office of Training and Development	-	-	\$190
Integrated Operations	-	-	\$4,959
Air and Marine Operations	-	-	\$3,369
Operations	-	-	\$3,089
Air and Marine Operations Center	-	-	\$280
Office of International Affairs	-	-	\$327
Office of Intelligence	-	-	\$512
Operations Support	-	-	\$751
Pricing Change 15 - FPS Fee Adjustment	-	-	\$5,394
Mission Support	-	-	\$2,414
Enterprise Services	-	-	\$2,414
Border Security Operations	-	-	\$113
US Border Patrol	-	-	\$113
Assets and Support	-	-	\$113
Trade and Travel Operations	-	-	\$2,607
Office of Field Operations	-	-	\$2,607
Assets and Support	-	-	\$2,607
Integrated Operations	-	-	\$260
Air and Marine Operations	-	-	\$260
Assets and Support	-	-	\$260

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Pricing Changes <i>(Dollars in Thousands)</i>	FY 2021 President's Budget		
	Positions	FTE	Amount
Pricing Change 16 - GSA Rent	-	-	\$30,420
Mission Support	-	-	\$1,274
Enterprise Services	-	-	\$1,274
Border Security Operations	-	-	\$5,036
US Border Patrol	-	-	\$5,036
Assets and Support	-	-	\$5,036
Trade and Travel Operations	-	-	\$20,194
Office of Field Operations	-	-	\$20,194
Assets and Support	-	-	\$20,194
Integrated Operations	-	-	\$3,916
Air and Marine Operations	-	-	\$3,916
Assets and Support	-	-	\$3,916
Pricing Change 17 - Gordie Howe International Bridge Land Border Integration	-	-	(\$5,000)
Trade and Travel Operations	-	-	(\$5,000)
Office of Field Operations	-	-	(\$5,000)
Assets and Support	-	-	(\$5,000)
Pricing Change 18 - Information & Incident Coordination Center	-	-	(\$1,598)
Integrated Operations	-	-	(\$1,598)
Operations Support	-	-	(\$1,598)
Pricing Change 19 - Integrated Fixed Towers (IFT)	-	-	(\$5,765)
Border Security Operations	-	-	(\$5,765)
US Border Patrol	-	-	(\$5,765)
Assets and Support	-	-	(\$5,765)
Pricing Change 20 - Intelligent Enforcement	-	-	(\$9,200)
Trade and Travel Operations	-	-	(\$9,200)
Office of Trade	-	-	(\$9,200)
Pricing Change 21 - Mobile Surveillance Capabilities (MSC)	-	-	(\$4,553)
Border Security Operations	-	-	(\$4,553)
US Border Patrol	-	-	(\$4,553)
Assets and Support	-	-	(\$4,553)
Pricing Change 22 - National Targeting Center	-	-	\$1,257
Trade and Travel Operations	-	-	\$1,257

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Pricing Changes (Dollars in Thousands)	FY 2021 President's Budget		
	Positions	FTE	Amount
Office of Field Operations	-	-	\$1,257
Targeting Operations	-	-	\$1,257
Pricing Change 23 - Non-Recur FY 2020 9mm Weapons Transition Hiring Costs	-	-	(\$44)
Integrated Operations	-	-	(\$44)
Operations Support	-	-	(\$44)
Pricing Change 24 - Non-Recur FY 2020 Counter Intelligence Insider Threat Hiring	-	-	(\$636)
Mission Support	-	-	(\$318)
Office of Professional Responsibility	-	-	(\$318)
Integrated Operations	-	-	(\$318)
Office of Intelligence	-	-	(\$318)
Pricing Change 25 - Non-Recur FY 2020 National Vetting Center Hiring Costs	-	-	(\$37)
Trade and Travel Operations	-	-	(\$37)
Office of Field Operations	-	-	(\$37)
Targeting Operations	-	-	(\$37)
Pricing Change 26 - Non-Recur FY 2020 OFO Staff Hiring Costs	-	-	(\$5,156)
Trade and Travel Operations	-	-	(\$5,156)
Office of Field Operations	-	-	(\$5,156)
Domestic Operations	-	-	(\$5,156)
Pricing Change 27 - Non-Recur of FY 2020 TFTEA Implementation Hiring	-	-	(\$106)
Mission Support	-	-	(\$106)
Enterprise Services	-	-	(\$106)
Pricing Change 28 - Non-Recur of the Emergency Appropriations	-	-	(\$203,000)
Border Security Operations	-	-	(\$203,000)
US Border Patrol	-	-	(\$203,000)
Operations	-	-	(\$203,000)
Pricing Change 29 - Opioid Detection Equipment and Safeguards	-	-	(\$9,831)
Trade and Travel Operations	-	-	(\$2,731)
Office of Field Operations	-	-	(\$2,731)
Domestic Operations	-	-	(\$2,731)
Integrated Operations	-	-	(\$7,100)
Operations Support	-	-	(\$7,100)
Pricing Change 30 - P-3 Aircraft Technology Refresh	-	-	(\$3,540)

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Pricing Changes (Dollars in Thousands)	FY 2021 President's Budget		
	Positions	FTE	Amount
Integrated Operations	-	-	(\$3,540)
Air and Marine Operations	-	-	(\$3,540)
Assets and Support	-	-	(\$3,540)
Pricing Change 31 - Transportation Inflation	-	-	(\$2,712)
Border Security Operations	-	-	(\$2,712)
US Border Patrol	-	-	(\$2,712)
Assets and Support	-	-	(\$2,712)
Pricing Change 32 - USBP Facilities Design, Planning, and Minor Construction	-	-	(\$13,000)
Border Security Operations	-	-	(\$13,000)
US Border Patrol	-	-	(\$13,000)
Assets and Support	-	-	(\$13,000)
Total Pricing Changes	-	162	(\$21,683)

Pricing Change 1 – 2020 Pay Raise: Reflects the costs to support the 2020 enacted 3.1% pay increase in FY 2021. This includes one quarter of funding for Calendar Year 2020 and three quarters of funding for Calendar Year 2021.

Pricing Change 2 – 2021 Pay Raise: This pricing change reflects the impact of a 1.0% pay increase in Calendar Year 2021.

Pricing Change 3 – AMOC Systems and Information Sharing: Reflects the non-recur of the AMOC Systems and Information Sharing enhancement included in the FY 2020 Enactment.

Pricing Change 4 – Adjustments to Prior Year Enactments: This adjustment incorporates changes attributed to the FY 2019 and FY 2020 Enactments. In general and unless otherwise specified, underfunded Administration priorities have been restored and Congressional additions have been removed or reduced. Position and FTE data associated with this change have been incorporated in the “Rebaseline Workforce” program change.

Pricing Change 5 – Adjustments to Prior Year Programming Base: Reflects an adjustment to align the current services base of the FY 2019 President’s Budget with the FY 2019 Enactment. Position and FTE data associated with this change have been incorporated in the “Rebaseline Workforce” program change.

Pricing Change 6 – Annualization of FY 2020 9mm Weapons Transition Hiring: Reflects the annualization of the 9mm Weapons Transition Hiring enhancement in the FY 2020 Enactment.

Pricing Change 7 – Annualization of FY 2020 Counter Intelligence and Insider Threat: Reflects the annualization of the Counter Intelligence and Insider Threat hiring enhancement included in the FY 2020 Enactment.

Pricing Change 8 – Annualization of FY 2020 National Vetting Center Hiring: Reflects the annualization of the National Vetting Center Hiring enhancement in the FY 2020 Enactment.

Pricing Change 9 – Annualization of FY 2020 OFO Staff Hiring: Reflects the annualization of the OFO Staffing hiring enhancement in the FY 2020 Enactment.

Pricing Change 10 – Annualization of FY 2020 TFTEA Implementation Hiring: Reflects the annualization of the Trade Facilitation and Trade Enforcement Act Implementation Hiring enhancement in the FY 2020 Enactment.

Pricing Change 11 – Automated Commercial Environment (ACE): Reflects a cost savings due to projected lower sustainment costs.

Pricing Change 12 – Contract Efficiencies: Reflects a reduction associated with administrative contract savings for Enterprise Services.

Pricing Change 13 – Delay in Hiring: Reflects savings associated with an increase to the average length of time taken to backfill positions after employees separate from CBP.

Pricing Change 14 - FERS Agency Contribution: Per OMB Circular A-11, agency FERS contributions will increase in FY 2021. The regular FERS agency contribution will increase by 1.3% from 16.0% in FY 2020 to 17.3% in FY 2021. The Law Enforcement FERS agency contribution will increase by 2.4% from 33.4 to 35.8%. The agency contribution amount for CSRS will not change.

Pricing Change 15 – FPS Fee Adjustment: This pricing change will be used to offset anticipated increases in Federal Protective Service (FPS) basic security fees due to a change in the FPS basic security fee level and assessment model.

Pricing Change 16 - GSA Rent: Reflects increased costs associated with new occupancy agreements; amortized tenant improvements; and existing occupancy agreements, including standard inflation/cost adjustments and items, such as above-standard services for CBPs 24/7 facilities.

Pricing Change 17 – Gordie Howe International Bridge Land Border Integration Equipment: Reflects the non-recur of one-time funding received in the FY 2020 Enactment for the Gordie Howe International Bridge project.

Pricing Change 18 – Information & Incident Coordination Center: Reflects the non-recur of one-time funding associated with the Information & Incident Coordination Center enhancement in the FY 2020 Enactment.

Pricing Change 19 - Integrated Fixed Towers (IFT): Reflects the reduced costs for IFT from \$30.8M to \$25.0M, as a result of successfully transitioning from Contractor Logistics Support (CLS) to organic government support.

Pricing Change 20 - Intelligent Enforcement: Reflects a partial non-recur of the enhancement in the FY 2020 Enactment.

Pricing Change 21 - Mobile Surveillance Capabilities (MSC): Reflects a decrease in costs for MSC from \$17.2M to \$12.6M, as a result of successfully transitioning ongoing maintenance from contractor-provided support to organically provided, organizational, depot-level maintenance.

Pricing Change 22 – National Targeting Center: Reflects increased personnel costs from \$27.4M to \$28.7M associated with the National Targeting Center funding received in the FY 2019 enactment.

Pricing Change 23 – Non-Recur FY 2020 9mm Weapons Transition Hiring Costs: Reflects the non-recur of one-time hiring costs associated with the 9mm Weapons Transition enhancement included in the FY 2020 Enactment.

Pricing Change 24 – Non-Recur FY 2020 Counter Intelligence and Insider Threat Hiring: Reflects the non-recur of one-time hiring costs associated with the Counter Intelligence and Insider Threat enhancement included in the FY 2020 Enactment.

Pricing Change 25 – Non-Recur FY 2020 National Vetting Center Hiring Costs: Reflects the non-recur of one-time hiring costs associated with the National Vetting Center hiring enhancement included in the FY 2020 Enactment.

Pricing Change 26 – Non-Recur FY 2020 OFO Staffing Hiring Costs: Reflects the non-recur of one-time hiring costs associated with the OFO Staffing enhancement included in the FY 2020 Enactment.

Pricing Change 27 – Non-Recur of FY 2020 TFTEA Implementation Hiring: Reflects the non-recur of one-time hiring costs associated with the TFTEA Hiring enhancement included in the FY 2020 Enactment.

Pricing Change 28 – Non-Recur of the Emergency Appropriations: Reflects the non-recur of the FY 2020 Emergency Appropriations included in the FY 2020 Enactment

Pricing Change 29 – Opioid Detection Equipment and Safeguards: Reflects the non-recur of one-time costs associated with the Opioid Detection Equipment and Safeguards enhancement included in the FY 2020 Enactment.

Pricing Change 30– P-3 Aircraft Technology Refresh: Reflects the partial non-recur of the enhancement included in the FY 2020 Enactment.

Pricing Change 31 - Transportation Inflation: Reflects the non-recur of the Transportation Inflation enhancement included in the FY 2020 Enactment.

Pricing Change 32 – USBP Facilities Design, Planning, and Minor Construction: Reflects the non-recur of the USBP Facilities Design, Planning, and Minor Construction enhancement included in the FY 2020 Enactment.

Operations and Support Justification of Program Changes

Program Changes (Dollars in Thousands)	FY 2021 President's Budget		
	Positions	FTE	Amount
Program Change 1 - AMO Training	-	-	\$469
Integrated Operations	-	-	\$469
Office of Training and Development	-	-	\$469
Program Change 2 - Advanced Trade Analytics Platform	3	2	\$5,000
Trade and Travel Operations	3	2	\$5,000
Office of Trade	3	2	\$5,000
Program Change 3 - Agent Portable Surveillance Systems	-	-	(\$560)
Border Security Operations	-	-	(\$560)
US Border Patrol	-	-	(\$560)
Assets and Support	-	-	(\$560)
Program Change 4 - Air and Marine Operations Center	-	-	\$4,822
Integrated Operations	-	-	\$4,822
Air and Marine Operations	-	-	\$4,822
Air and Marine Operations Center	-	-	\$4,822
Program Change 5 - Arrival & Departure Information System (ADIS)	-	-	(\$3,684)
Trade and Travel Operations	-	-	(\$3,684)
Office of Field Operations	-	-	(\$3,684)
Assets and Support	-	-	(\$3,684)
Program Change 6 - Autonomous Surveillance Towers	-	-	\$12,400
Border Security Operations	-	-	\$12,400
US Border Patrol	-	-	\$12,400
Assets and Support	-	-	\$12,400
Program Change 7 - Awards Spending Increase	-	-	\$69,014
Mission Support	-	-	\$8,360
Enterprise Services	-	-	\$6,639
Office of Professional Responsibility	-	-	\$406
Executive Leadership and Oversight	-	-	\$1,315
Border Security Operations	-	-	\$41,359
US Border Patrol	-	-	\$41,115

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Program Changes <i>(Dollars in Thousands)</i>	FY 2021 President's Budget		
	Positions	FTE	Amount
Operations	-	-	\$41,115
Office of Training and Development	-	-	\$244
Trade and Travel Operations	-	-	\$15,965
Office of Field Operations	-	-	\$13,818
Domestic Operations	-	-	\$10,830
International Operations	-	-	\$1,195
Targeting Operations	-	-	\$1,793
Office of Trade	-	-	\$1,811
Office of Training and Development	-	-	\$336
Integrated Operations	-	-	\$3,330
Air and Marine Operations	-	-	\$1,801
Operations	-	-	\$1,456
Air and Marine Operations Center	-	-	\$345
Office of International Affairs	-	-	\$305
Office of Intelligence	-	-	\$560
Office of Training and Development	-	-	\$18
Operations Support	-	-	\$646
Program Change 8 - Border Patrol Hiring	876	438	\$161,188
Mission Support	-	-	\$65,476
Enterprise Services	-	-	\$34,542
Office of Professional Responsibility	-	-	\$30,815
Executive Leadership and Oversight	-	-	\$119
Border Security Operations	876	438	\$95,712
US Border Patrol	876	438	\$78,336
Operations	876	438	\$49,331
Assets and Support	-	-	\$29,005
Office of Training and Development	-	-	\$17,376
Program Change 9 - Border Patrol Hiring Rebaseline	250	250	\$54,043
Mission Support	-	-	\$22,880
Enterprise Services	-	-	\$12,569
Office of Professional Responsibility	-	-	\$10,271
Executive Leadership and Oversight	-	-	\$40

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Program Changes (Dollars in Thousands)	FY 2021 President's Budget		
	Positions	FTE	Amount
Border Security Operations	250	250	\$31,163
US Border Patrol	250	250	\$25,371
Operations	250	250	\$15,703
Assets and Support	-	-	\$9,668
Office of Training and Development	-	-	\$5,792
Program Change 10 - COSS Transformation Initiative	-	-	\$813
Mission Support	-	-	\$813
Enterprise Services	-	-	\$813
Program Change 11 - Care for Migrants at Southern Border	-	-	\$32,219
Border Security Operations	-	-	\$32,219
US Border Patrol	-	-	\$32,219
Operations	-	-	\$20,000
Assets and Support	-	-	\$12,219
Program Change 12 - Child and Backup Care	-	-	\$1,550
Mission Support	-	-	\$1,550
Enterprise Services	-	-	\$1,550
Program Change 13 - Cloud Migration	-	-	\$23,391
Mission Support	-	-	\$23,391
Enterprise Services	-	-	\$23,391
Program Change 14 - Cross Border Tunnel Threat	-	-	(\$1,150)
Border Security Operations	-	-	(\$1,150)
US Border Patrol	-	-	(\$1,150)
Assets and Support	-	-	(\$1,150)
Program Change 15 - DHC-8 Patrol Aircraft	-	-	\$14,300
Integrated Operations	-	-	\$14,300
Air and Marine Operations	-	-	\$14,300
Assets and Support	-	-	\$14,300
Program Change 16 - Electronic Visa Update System	-	-	(\$13,830)
Trade and Travel Operations	-	-	(\$13,830)
Office of Field Operations	-	-	(\$13,830)
Domestic Operations	-	-	(\$13,830)
Program Change 17 - Facilities Maintenance	-	-	\$54,104

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Program Changes <i>(Dollars in Thousands)</i>	FY 2021 President's Budget		
	Positions	FTE	Amount
Mission Support	-	-	(\$2,561)
Enterprise Services	-	-	(\$2,561)
Border Security Operations	-	-	\$46,596
US Border Patrol	-	-	\$46,596
Assets and Support	-	-	\$46,596
Trade and Travel Operations	-	-	\$2,043
Office of Field Operations	-	-	\$2,043
Assets and Support	-	-	\$2,043
Integrated Operations	-	-	\$8,026
Air and Marine Operations	-	-	\$8,026
Assets and Support	-	-	\$8,026
Program Change 18 - Facilities and Asset Technical and Management Support	-	-	(\$2,915)
Mission Support	-	-	(\$2,915)
Enterprise Services	-	-	(\$2,915)
Program Change 19 - Increase Reliance on User Fees	-	(258)	(\$53,300)
Trade and Travel Operations	-	(258)	(\$53,300)
Office of Field Operations	-	(258)	(\$53,300)
Domestic Operations	-	(258)	(\$53,300)
Program Change 20 - Integrated Fixed Towers (IFT)	-	-	(\$2,330)
Border Security Operations	-	-	(\$2,330)
US Border Patrol	-	-	(\$2,330)
Assets and Support	-	-	(\$2,330)
Program Change 21 - Laboratory Personnel	12	6	\$1,109
Integrated Operations	12	6	\$1,109
Operations Support	12	6	\$1,109
Program Change 22 - Medium Lift Helicopter (MLH)	-	-	\$5,561
Integrated Operations	-	-	\$5,561
Air and Marine Operations	-	-	\$5,561
Assets and Support	-	-	\$5,561
Program Change 23 - Mobile Video Surveillance Systems (MVSS)	-	-	(\$6,737)
Border Security Operations	-	-	(\$6,737)
US Border Patrol	-	-	(\$6,737)

U.S. Customs and Border Protection

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Program Changes <i>(Dollars in Thousands)</i>	FY 2021 President's Budget		
	Positions	FTE	Amount
Assets and Support	-	-	(\$6,737)
Program Change 24 - Multi-Role Enforcement Aircraft (MEA)	-	-	\$3,263
Integrated Operations	-	-	\$3,263
Air and Marine Operations	-	-	\$3,263
Assets and Support	-	-	\$3,263
Program Change 25 - National Vetting Center	-	-	\$35,423
Trade and Travel Operations	-	-	\$35,423
Office of Field Operations	-	-	\$35,423
Targeting Operations	-	-	\$35,423
Program Change 26 - Non-Intrusive Inspection (NII) Systems	-	-	\$25,000
Trade and Travel Operations	-	-	\$25,000
Office of Field Operations	-	-	\$25,000
Assets and Support	-	-	\$25,000
Program Change 27 - Northern Border Remote Video Surveillance System (NB RVSS)	-	-	(\$1,906)
Border Security Operations	-	-	(\$1,906)
US Border Patrol	-	-	(\$1,906)
Assets and Support	-	-	(\$1,906)
Program Change 28 - Processing Coordinator Hiring	300	150	\$21,000
Mission Support	-	-	\$7,112
Enterprise Services	-	-	\$4,928
Office of Professional Responsibility	-	-	\$2,184
Border Security Operations	300	150	\$13,888
US Border Patrol	300	150	\$13,265
Operations	300	150	\$8,291
Assets and Support	-	-	\$4,974
Office of Training and Development	-	-	\$623
Program Change 29 - Rebaseline Workforce	(1,658)	(932)	(\$131,264)
Mission Support	(105)	(54)	\$315
Enterprise Services	(48)	(25)	\$2,402
Office of Professional Responsibility	(45)	(23)	(\$3,213)
Executive Leadership and Oversight	(12)	(6)	\$1,126
Border Security Operations	(1,346)	(771)	(\$92,468)

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Program Changes <i>(Dollars in Thousands)</i>	FY 2021 President's Budget		
	Positions	FTE	Amount
US Border Patrol	(1,346)	(771)	(\$90,252)
Operations	(1,346)	(771)	(\$90,252)
Office of Training and Development	-	-	(\$2,216)
Trade and Travel Operations	(4)	(3)	(\$4,170)
Office of Field Operations	-	-	(\$3,353)
Domestic Operations	-	-	\$887
International Operations	-	-	(\$1,452)
Targeting Operations	-	-	(\$2,788)
Office of Trade	(4)	(3)	(\$15,226)
Office of Training and Development	-	-	\$14,409
Integrated Operations	(203)	(104)	(\$34,941)
Air and Marine Operations	(113)	(58)	(\$31,028)
Operations	(113)	(58)	(\$22,528)
Air and Marine Operations Center	-	-	(\$8,500)
Office of International Affairs	-	-	(\$2,544)
Office of Intelligence	(62)	(32)	(\$3,842)
Operations Support	(28)	(14)	\$2,473
Program Change 30 - Recruitment and Applicant Processing	-	-	\$17,600
Mission Support	-	-	\$17,600
Enterprise Services	-	-	\$17,600
Program Change 31 - Regulatory Reform Hiring	2	1	\$250
Mission Support	2	1	\$250
Executive Leadership and Oversight	2	1	\$250
Program Change 32 - Remote Surveillance	-	-	(\$1,957)
Border Security Operations	-	-	(\$1,957)
US Border Patrol	-	-	(\$1,957)
Assets and Support	-	-	(\$1,957)
Program Change 33 - Remote Video Surveillance System (RVSS)	-	-	(\$13,793)
Border Security Operations	-	-	(\$13,793)
US Border Patrol	-	-	(\$13,793)
Assets and Support	-	-	(\$13,793)
Program Change 34 - Small Unmanned Aircraft System (sUAS)	-	-	\$513

U.S. Customs and Border Protection
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Program Changes <i>(Dollars in Thousands)</i>	FY 2021 President's Budget		
	Positions	FTE	Amount
Border Security Operations	-	-	\$513
US Border Patrol	-	-	\$513
Assets and Support	-	-	\$513
Program Change 35 - Southwest Border Wall System	-	-	\$7,100
Border Security Operations	-	-	\$7,100
US Border Patrol	-	-	\$7,100
Assets and Support	-	-	\$7,100
Program Change 36 - Tactical Aerostats	-	-	(\$29,000)
Border Security Operations	-	-	(\$29,000)
US Border Patrol	-	-	(\$29,000)
Assets and Support	-	-	(\$29,000)
Program Change 37 - Tactical Infrastructure	-	-	(\$14,113)
Border Security Operations	-	-	(\$14,113)
US Border Patrol	-	-	(\$14,113)
Assets and Support	-	-	(\$14,113)
Program Change 38 - Telematics	-	-	\$1,600
Mission Support	-	-	\$1,600
Enterprise Services	-	-	\$1,600
Program Change 39 - Trade Agreement, Remedies & Enforcement Personnel	50	25	\$8,052
Trade and Travel Operations	50	25	\$8,052
Office of Trade	50	25	\$8,052
Program Change 40 - Trade Facilitation and Trade Enforcement Act	2	2	\$369
Mission Support	2	2	\$369
Enterprise Services	2	2	\$369
Program Change 41 - USBP Relocations and Retention	-	-	\$25,098
Border Security Operations	-	-	\$25,098
US Border Patrol	-	-	\$25,098
Operations	-	-	\$25,098
Program Change 42 - Unattended Ground Sensors/Imaging Sensors	-	-	(\$10,000)
Border Security Operations	-	-	(\$10,000)
US Border Patrol	-	-	(\$10,000)
Assets and Support	-	-	(\$10,000)

U.S. Customs and Border Protection**Operations and Support**

Program Changes (Dollars in Thousands)	FY 2021 President's Budget		
	Positions	FTE	Amount
Program Change 43 - Vehicles Reduction	-	-	(\$4,812)
Trade and Travel Operations	-	-	(\$4,812)
Office of Field Operations	-	-	(\$4,812)
Assets and Support	-	-	(\$4,812)
Total Program Changes	(163)	(316)	\$293,900

Program Change 1 - AMO Training**Description**

The FY 2021 Budget includes an increase of \$0.5M for AMO Training. The base for this program is \$6.9M.

Justification

CBP intends to increase the FY 2021 student throughput at the Air and Marine Basic Training Academy, located at the Federal Law Enforcement Training Centers (FLETC), from 96 to 120, to help fill vacant positions and keep pace with the forecasted hiring of Air and Marine agents. This workforce consists of the air interdiction agents that pilot AMO aircraft, the air enforcement agents that operate aircraft sensors, and the marine interdiction agents that crew AMO vessels. CBP will need to increase AMO hiring to address attrition and meet operational requirements, necessitating an increase in the number of training seats available, as well as increase the number of annual classes from four to five, at the Air and Marine Basic Training Academy.

Performance

The program increase will enable CBP to train and deploy more Air and Marine agents (Air Interdiction Agents, Aviation Enforcement Agents, and Marine Interdiction Agents) with the law enforcement skills needed to support CBP's homeland security mission. The increase also supports the President's Management Agenda, in particular its Key Driver of Transformation #2, Workforce for the 21st Century.

Program Change 2 - Advanced Trade Analytics Platform**Description**

The FY 2021 Budget includes an increase of 3 positions, 2 FTE, and \$5.0M for Advance Trade Analytics Platform (ATAP). The base for this program is \$15.1M.

Justification

ATAP, which also requests an additional \$12.5M in PC&I for system acquisition, combines the formerly named Cognitive Analytics and Federated View of Accounts (FVA/CA) under Intelligent Enforcement.

CBP does not currently have a product or application that provides a single view of all CBP trade related data. The Office of Trade (OT) lacks the ability to utilize enhanced predictive and prescriptive analytic capabilities and currently employs manual manipulation of data to conduct trend analysis and attempt predictive analytics. ATAP allows CBP to utilize a newly formed data set to provide intelligent enforcement of the security risks around current and future trade enforcement mission challenges. The ATAP capabilities will significantly enhance CBP's ability to view the complete lifecycle history of an entity or transaction, and conduct advanced analytic analysis on those parties or transactions. In addition to the new ATAP capabilities the funds will support and sustain the ATAP data science teams, who will define and construct analytic models its support of the execution of CBP's trade mission. This funding will also be used to support the communication, training, and deployment of the analytic models to end users across CBP in support of the trade mission and sustain the PMO support.

Performance

The proposed increase is expected to impact performance by keeping deployed capabilities available; providing a consolidated, comprehensive transaction viewing history capability; and creating efficiencies in data sharing.

Completion of ATAP will provide a single data source for all of CBP's trade related data and other external data suppliers, enhanced predictive and prescriptive analytic capabilities, a unified case management system that allows for OT enterprise collaboration and data sharing between offices, and power visualization capabilities utilizing the single source of data. These capabilities will greatly increase efficiency through integrated information and data sharing, stronger analytic capabilities, resulting in a reduction in revenue due to non-compliance and earlier detection of illicit networks that commit trade fraud. The acquisition will be dependent on CBP enterprise IT systems and data from multiple trade related data sources.

Program Change 3 - Agent Portable Surveillance Systems**Description**

The FY 2021 Budget includes a decrease of \$0.6M for the Agent Portable Surveillance System (APSS) program. The base for this program is \$1.8M.

Justification

The APSS program has proven through significant market research and technical demonstration that current APSS technology does not meet USBP requirements for weight and modularity. The APSS program is proceeding with the procurement of long-range and short-range handheld imagers, and Counter UAS technology for the southwest and northern borders and will continue to work with industry to mature the APSS technology. The delay in acquiring new APSS technology has resulted in a reduced need for O&M funding in FY 2021.

Performance

This proposed reduction is not expected to impact performance. The remaining base funding of \$1.2M is anticipated to be adequate for the support, maintenance, spares, and upgrades of the long-range and short-range handheld imagers, and Counter UAS technology.

Program Change 4 - Air and Marine Operations Center**Description**

The FY 2021 Budget includes an increase of \$4.8M to fund Air and Marine Operations Center (AMOC) Intelligence, Surveillance & Reconnaissance (ISR) Systems support. There is no base for this program.

Justification

By FY 2021, AMO will have over 30 manned and unmanned aircraft that are equipped with Ku frequency band terminals, greatly increasing ISR Systems use, which support data sharing between systems on board Unmanned Aircraft Systems, Ground Control Stations, manned aircraft systems, Operations Centers and Office of Intelligence Processing, Exploitation, and Dissemination cells. The growth in network and the surveillance platforms drive an immediate need to implement the required security and maintenance support mechanisms.

Currently, ISR Systems are supported only by two part-time contractors with an additional seven contract FTEs required to provide acquisition support and 24/7 technical maintenance and support. AMOC relies on a remote, vendor managed Ku network operations center (NOC), which is currently funded only part time on an as-needed basis, to maintain the FCC license. The FCC requires the license holder for any Ku-band satellite terminal to have the ability to monitor and control the pointing accuracy and power of the dish at all times during transmissions. The proposed increase will provide data transmission to and from over 30 manned and unmanned aircraft that are Ku equipped and support the growth and security accreditation requirements

Performance

Increased Ku spectrum will allow multiple aircraft to simultaneously transmit data to the AMOC, and increased Ku NOC funding will increase hours of Ku transmission at the AMOC.

Program Change 5 – Arrival and Departure Information System (ADIS)**Description**

The FY 2021 Budget includes a decrease of \$3.7M to the ADIS Operations and Maintenance budget. The base for this program is \$25.6M.

Justification

Throughout FY 2019 the ADIS program was able to identify several ways to obtain efficiency, such as cloud migration, and business logic optimization. Furthermore, the costs associated with enhancements to overstay reporting capabilities and government stakeholder data sharing have decreased as a majority of the work for these efforts is complete.

Performance

The proposed reduction is not expected to impact performance. The remaining base of \$21.9M is sufficient for the needs of the program.

Program Change 6 – Autonomous Surveillance Towers**Description**

The FY 2021 Budget includes an increase of \$12.4M for Autonomous Surveillance Towers (AST). The base for this program is \$7.4M.

Justification

The increase will provide O&S capabilities for AST that were procured in prior fiscal years. This funding supports the following:

Project	Description	Amount
AST	O&S for 94 towers	\$11.4M
AST	Cloud Services & LTE Costs	\$1.0M
Total		\$12.4M

AST provide a low cost, autonomous, relocatable 360° scanning video tower that identifies and classifies objects of interest. AST began as a pilot program within the Commissioner's Innovation Team (INVNT). Transition to a Program of Record is estimated to occur June 2020 and O&M capabilities will be transitioned at that time. Sixty-one towers will be deployed using FY 2018 and FY 2019 funding. One hundred and ten towers will be purchased and up to 42 deployed using FY 2020 funding for a total of up to 103 operational towers in early FY 2021. The 68 remaining towers of the 110 FY 2020 purchase will be deployed in 2021. Not all towers will need O&S since they will still be under warranty. Funding provided will cover O&S for 94 towers.

Performance

The increase will allow continued operation and sustainment of approximately 103 deployed towers. AST provide ~150 miles of coverage, strengthen CBP impedance and denial capabilities, and increase overall situational awareness, particularly with the integration of the USBP's Team Awareness Kit (TAK) and disparate sensors (Unattended Ground Sensor, Radar, Small Unmanned Aircraft System (sUAS), etc.).

Program Change 7 – Awards Spending Increase**Description**

The FY 2021 Budget includes an increase of \$69.0M for Awards Spending. The base for this program is \$84.1M.

Justification

On July 12, 2019, OMB issued Memorandum 19-24 *Guidance on Awards for Employees and Agency Workforce Funding Plan*. This Memorandum directs agencies to review and update their current awards spending plans in order to: 1) support the strategic use of awards and recognition throughout the year; 2) address workforce challenges and recognize high performing employees; and 3) recognize those employees with talent critical to mission achievement.

Consistent with this guidance, the FY 2021 Request increases awards spending to support strategic workforce development. In addition to this requested increase, CBP plans to use an additional \$20.0M out of base pay, bringing the total FY 2021 Awards spending increase to \$89.0M. The \$20.0M base pay funding breakout is as follows:

PPA	Amount (Dollars in Millions)
US Border Patrol - Operations	\$0.129
Office of Training and Development	\$0.172
Office of Field Operations - Domestic Operations	\$16.695
Office of Training and Development	\$0.02
Air and Marine Operations - Operations	\$1.523
Office of International Affairs	\$0.003
Office of Intelligence	\$0.003
Operations Support	\$0.122
Enterprise Services	\$0.135
Office of Professional Responsibility	\$0.927
Executive Leadership and Oversight	\$0.001
Total	\$20.0

Performance

The FY 2021 Budget supports agency workforce planning requirements by providing a one percent increase for awards spending. The additional funding will help drive positive behavior by recognizing accomplishments of agency personnel, thereby fostering a culture of recognition.

Program Change 8 – Border Patrol Hiring

Description

The FY 2021 Budget includes an increase of 876 positions, 438 FTE, and \$161.2M to hire additional Border Patrol Agents (BPAs) (750) and mission support personnel (126). The base for this program is 28,062 Positions, 26,273 FTE, and \$4.5B.

Justification

Consistent with the requirement to hire an additional 5,000 BPAs outlined in Executive Order 13767: *Border Security and Immigration Enforcement Improvements*, the Budget requests funding to hire an additional 750 BPAs in FY 2021. Funding in the FY 2021 Budget will sustain and support the hiring of up to 20,555 BPAs.

The additional funding supports the following positions:

CBP Positions	Quantity	Grade
Border Patrol Agents	750	GS-7
Border Patrol Mission Support	95	GS-12
Enterprise Services Mission Support	31	GS-12
TOTAL Positions	876	

Border Patrol Agents (BPAs)

Staffing USBP Sectors at the operationally-required levels is fluid as threats change and transnational criminal organizations adopt new tactics, techniques, and procedures. These changes, coupled with increased enforcement efforts, require additional BPAs to interdict illegal activity in an all-threats border environment. As USBP continues to conduct staff analysis and develop simulation models, the increase in agents will assist USBP to meet operational staffing requirements.

Mission Support Personnel

The additional Mission Support positions (95 for Border Patrol mission support; 31 for Enterprise Services mission support) are required to support the increased workload associated with hiring, onboarding, training, and equipping the additional BPAs.

Performance

The proposed BPA increase is expected to provide better response to border incursions and increase the interdiction effectiveness rate. Additional field agents will also bolster situational awareness, enhance operational control of the border, and improve agent safety. The additional agents will be assigned to operations directly supporting the daily enforcement of immigration laws and other illegal activity along the Southern Border.

Through the investments being made, staffing levels are moving closer to what is needed to meet the mission requirements. Additional BPAs will enhance USBP's ability to achieve operational control of the border, while also supporting the redistribution of non-LEO work to mission support personnel, thereby returning additional BPAs to the border and furthering the opportunity to deliver consequences to narcotics traffickers, human smugglers, and illegal aliens. Also, the additional mission support personnel will help CBP hire and retain a world-class workforce; will enhance new recruit targeting and messaging to ensure CBP brings in the right candidates, not just more candidates; and will drive down time to hire for recruits in the pipeline by leveraging new processes, systems, and ways of working.

Program Change 9 – Border Patrol Hiring Rebaseline

Description

The FY 2021 request includes an increase of 250 positions, 250 FTE, and \$54.0M to sustain Border Patrol Agents hired in FY 2019 and FY 2020. The base for this program is 19,555 Positions, 19,555 FTE, and \$3.5B.

Justification

CBP ended FY 2019 with 19,648 BPAs on board, 93 above the FY 2019 enacted funding level of 19,555 FTE. This request funds the additional BPAs already on board and the expected increase in BPAs that CBP plans to hire in FY 2020. With such a long lead time to hire, it is imperative to bring BPA on board as soon as they complete all recruitment and background investigation steps.

Performance

The proposed increase is expected to enable CBP to fund the hiring gains made in FY 2019 and FY 2020. These additional agents will strengthen operational control of the borders and move CBP closer to its BPA hiring goal.

Program Change 10 - COSS Transformation Initiative**Description**

The FY 2021 request includes an increase of \$0.8M to fund the purchase of user licenses as part of CBP's migration to the DHS enterprise timekeeping solution: webTA. The base for this program is 2 positions, 2 FTE, and \$7.2M.

Justification

The FY 2021 Budget, in conjunction with the \$9.5M PC&I request for the COSS Transformation Initiative (CTI) program, funds the maintenance of over 22,000 additional webTA licenses (\$36 each) and supports the retirement of the antiquated CBP Overtime Scheduling System (COSS).

WebTA, which all other DHS Components use, will replace COSS and will provide a modern, integrated CTI scheduling and timekeeping solution. Currently, OFO remains the only CBP component utilizing the legacy COSS. Under a separate PC&I request, CBP will develop the necessary automated scheduling tool and components to migrate OFO to webTA.

Also, the FY 2021 funding enables the transition away from the antiquated, mainframe-based COSS, which is increasingly expensive to support and maintain. The webTA licenses enable effective interface between the proposed integrated shift scheduling tools and the U.S. Department of Agriculture National Finance Center, which serves as the DHS payroll services provider. Without this increase, CBP would be forced to maintain two separate and disparate timekeeping and payroll processes.

Performance

This proposed increase is expected to improve performance by providing efficiencies in timekeeping and scheduling. The CTI solution will provide comprehensive, accessible scheduling and timekeeping data and faster distribution of CBP's approximately \$3.2 billion in reimbursed funds. CTI will enable approximately 90 FTE, or 170,000 agent/officer hours, currently dedicated to administrative scheduling work, to instead conduct homeland security mission critical law enforcement duties, a potential shift of \$20.0M worth of manpower.

Program Change 11 - Care for Migrants at the Southern Border**Description**

The FY21 request includes an increase of \$32.2M for caring for and transporting migrants at the Southern Border. The base for this program is 4 positions, 3 FTE, and \$57.8M related to the Transportation Program.

Justification

In FY 2019, the United States faced an unprecedented security and humanitarian crisis on its southern border that drove a need for enhanced investment. Only a decade ago, the vast majority of individuals attempting to cross the border illegally were single adults from Mexico. In FY 2019, CBP realized over 977,509 enforcement actions (apprehensions and inadmissible aliens) along the southwest border, including 527,112 Family Units Aliens (FMUA) and over 80,000 Unaccompanied Alien Children (UAC). FY 2019 total enforcement actions were 88% higher than FY 2018; this includes an increase in FMUA of 223% and 37% more UAC.

Performance**Specialty Operations Medical**

The funding will enhance migrant medical support to allow CBP to provide coverage within populations encountered by USBP. USBP requires contracted healthcare practitioners to work out of Border Patrol Stations and other forward deployed locations to address medical concerns, and provide first aid/triage and low acuity treatment of detainees within CBP custody. The scope of services includes administrative requirements, logistical support, medical screening, evaluation and treatment, reporting tasks and program management support. These services address detainee medical concerns, while also increasing efficiencies in processing and decreasing the amount of time detainees remain in CBP custody.

USBP Transportation and Guard Services Program

Transportation and Guard services includes escort, guard, and transport services for detainees while in CBP custody. The facilities guard services include escort and guard services of detainees in CBP custody a) while at a medical treatment facility or holding facility, b) while in transit, and c) as otherwise needed.

This funding will ensure that migrants can be transported in a timely manner to help alleviate overcrowding in CBP holding facilities, and to allow USBP to free up BPA hours or FTEs. This enables agents to focus on law enforcement operations rather than transporting detainees. It also eliminates the burdensome requirement of agents having to obtain a commercial driver's license for detainee transports.

Program Change 12 - Child and Backup Care**Description**

The FY 2021 Budget includes an increase of \$1.6M to fund Family Care Programs. There is currently no base for this program.

Justification

This request will provide \$0.6M in Backup Care funding and \$1.0M in Childcare Subsidy program funding. Employee participation in the Childcare Subsidy and the Backup Care Program has significantly increased as a result of the income threshold changes and CBP's promotion of the program. These programs address a leading cause of stress identified by the CBP Workforce in the OPM Work-Life Survey and the Federal Employee Viewpoint Survey.

In FY 2019, CBP expanded Family Care Programs. HRM has focused benchmarking efforts on CBP's Child Care Subsidy Program and a new Backup Care Program:

- The Childcare Subsidy Program total family adjusted gross income was increased to \$144,000 to increase employee eligibility. Currently, there are 640 employees receiving childcare subsidies representing all CBP components both frontline and non-enforcement positions. The program utilization exceeded expectations and will require additional funds for full implementation.
- The CBP Backup Care Program, established in November 2018, helps employees find and pay for temporary care when their regular care plans fall through. There are no income eligibility requirements. All CBP employees are eligible to receive up to five backup care visits (center-based, in-home, or family/friends care) per fiscal year for a co-pay of \$20 per visit.

Performance

These family care services increase employee morale, decrease levels of stress, and decrease employee workplace absences.

Program Change 13 - Cloud Migration**Description**

The FY 2021 President's Budget includes an increase of \$23.4M to migrate CBP's National Data Center (NDC) and its mission critical applications from the physical infrastructure at the NDC to the cloud in advance of the expiration of the current building's lease in 2025. There is currently no base for this program.

Justification

The FY 2021 Budget will provide the Office of Information and Technology (OIT) with funds to migrate its applications currently residing in its NDC to the cloud. This migration is consistent with DHS and CBP's IT Modernization strategies.

CBP requires cloud migration funding to modernize and to align its infrastructure and operations with the guidelines and policies as stated in the Federal Information Technology Acquisition Reform Act (FITARA), Federal Data Center Consolidation Initiative (FDCCI), and most recently Cloud Smart policies. The Agency has identified over 180 applications that must migrate to the cloud before 2025. This funding is to migrate applications and sustain operational capability in dual locations until the migration is complete.

Additionally, CBP's IT applications are a key component in enabling the organization to achieve its mission goals. Cloud migration will provide the following mission benefits:

- **Improved Mission Continuity.** Highly available, fault-tolerant cloud infrastructure and operations will improve mission resiliency to reduce outages, and contribute to CBP's strategic priority of IT Modernization.
- **Modernized IT Service Delivery and Enhanced Security Posture.** IT Modernization activities will support transforming CBP's infrastructure, applications, and data to be modern, flexible, and resilient to quickly deliver mission-oriented results, and to rapidly deploy updates and patches to respond to security vulnerabilities.
- **Meet Administrative and IT modernization mandates.** The 2016 Data Center Optimization Initiative (DCOI) and Cloud Smart policies stress the need to consolidate infrastructure, optimize existing facilities, migrate to the cloud, improve security posture, and achieve cost savings.

Performance

Long-term modernization and improved IT service management are key requirements for responding more rapidly to mission needs, ensuring application availability with significantly enhanced resiliency and recovery capabilities. IT modernization and migration to the cloud will also enable quicker delivery of capabilities to meet future mission requirements and to rapidly deploy patches and upgrades to respond to system vulnerabilities near 'real time' without having to schedule system downtime to deploy upgrades and patches.

Performance will be measured using the following metrics:

- Outage Mean Time to Recovery (MTTR) – FY 2021 Target 1hr (currently 1.59hrs);
- Cloud Migration Speed to Production – FY 2021 Target 3 months (currently 6-18 months); and
- Number of applications migrated to the cloud – FY 2021 Target 92 (currently 23).

The improved performance will provide the following operational benefits:

- Decrease outages via auto failover capability;
- Increase user accessibility;
- Decreased down-time for required maintenance;
- Gain real-time metrics reporting and monitoring;
- Enable faster innovation and access to dynamic data;
- Facilitate process automation; and
- Reduce system dependencies/risks by separating into manageable components.

Program Change 14 - Cross Border Tunnel Threat

Description

The FY 2021 Budget includes a decrease of \$1.2M for the Cross Border Tunnel Threat (CBTT) O&M. The base for this program is one position, one FTE, and \$3.2M.

Justification

By FY 2021, CBP will have approximately 6 miles of persistent tunnel detection capability in the San Diego, El Centro, Yuma, and Tucson Sectors. Installed CBTT operations and sustainment cost estimates - based on the system maintenance, training, contractor maintenance and logistics support, sensor replacement, and software upgrades of the prototype deployments completed to date - have been revised downward.

Base funding will be used for operations and sustainment of the installed CBTT systems, which were procured using PC&I funds received in FY 2018.

Performance

This proposed reduction is not expected to impact performance. The remaining base of \$2.0M is sufficient to operate and sustain the existing persistent tunnel detection capabilities.

Program Change 15 – DHC-8 Patrol Aircraft**Description**

The FY 2021 Budget includes an increase \$14.3M to fund one DHC-8 Maritime Patrol Aircraft (MPA) upgrade modification. The base for this program is \$11.0M.

Justification

The DHC-8 is a strategically important air asset that serves as a force multiplier capable of providing persistent surveillance patrols across a broad range of operational spectrums, including coastal /maritime boundaries in the U.S., Caribbean, and Latin American. The DHC-8's C3ISR surveillance data provides Surveillance, Detection, Classification, Identification, and Prosecution (SDCIP) intelligence to DHS, DoD, and other interagency partners. AMO must modernize the DHC-8 in order to maintain the capable and cost-effective long range aircraft.

This request is for a follow-on production contract, with its configuration defined in a previously funded (FY18-20) prototype effort that delivered two aircraft that will deliver the first follow-on production aircraft in FY 2021. This will be the third DHC-8 aircraft similarly configured. These modifications are driven by a need to conform with DHC-8 MPA J-ORD requirements, to achieve operational commonality with DHS and DoD assets currently using the new Minotaur Mission System (MMS), and to address obsolescence of key components on the existing DHC-8 fleet.

Performance

This proposed increase is expected to impact performance by addressing integration of the Minotaur Mission System, sensor upgrades, cockpit upgrades, incorporation of support equipment enhancements -- such as faster more powerful processors, higher speed network, HD displays, and improved communications equipment – upgraded cooling and electrical systems necessary to support these more powerful systems, as well as replacement of obsolete, non-supported systems. This modification will reduce pilot workload, enhance system reliability, and improve the aircrew's ability to detect, classify, identify, track, and respond to items of interest. Additionally, it will improve the ability to communicate and exchange information and will enable the DHC-8 to become better integrated as a highly mobile, long-range asset.

Program Change 16 - Electronic Visa Update System**Description**

The FY 2021 Budget includes a reduction of \$13.8M from the Electronic Visa Update System (EVUS). The base for this program is \$13.8M.

Justification

This reduction would allow CBP to fund other critical enhancements within Trade and Travel Operations, such as the Advanced Trade Analytics Platform and the National Vetting Center. CBP launched EVUS in November 2016. Travelers (currently only Chinese nationals with a B-1, B-2, or B-1/B-2 visa) enroll in the system after being issued a non-immigrant visa and re-enroll with updated biographic information every 2 years. The system will reassess the traveler's information, which allows a CBPO to determine if the traveler remains admissible to the United States. EVUS works similar to the Electronic System for Travel Authorization (ESTA) system and assists CBP in determining whether such travel poses a law enforcement or security risk by validation through selected law enforcement databases.

In conjunction with the FY 2021 President's Budget, CBP is submitting a legislative proposal to Congress that would require a \$10 fee be assessed for each EVUS submission. The fee would maintain the program in lieu of relying upon annual appropriations.

Performance

This proposed reduction is not expected to impact performance, assuming Congress approves the EVUS fee proposal. Should Congress approve this fee, CBP will have a dedicated source of program funding, as well as the ability to adjust the fee to maintain full cost recovery, if needed. Should Congress not approve the fee, CBP may cut support to programs and POEs, such as curtailing mission critical travel, supplies, and equipment, in order to fund EVUS.

Program Change 17 – Facilities Maintenance**Description**

The FY 2021 President's Budget includes an increase of \$54.1M for the sustainment of and improvements to CBP's facilities portfolio. The base for this program is \$298.5M.

Justification

At the end of FY 2019, the Office of Facilities and Asset Management (OFAM) had a \$487.1M deferred maintenance and repair backlog for USBP, OFO, and AMO CBP-owned facilities and critical mission-driven improvements to existing facilities. The deferred maintenance and repair backlog includes deficiencies to core building systems and infrastructure, including roofs, foundations, HVACs, plumbing, and electrical system.

Funding is requested to improve the conditions of CBP's facilities, focusing first on the facilities that pose the greatest life, safety, and operational risks. Sustainment activities that will be supported by this request include building operations, utilities, corrective maintenance and repairs, performance of previously deferred maintenance, environmental compliance and remediation, and minor improvements and alterations (under the PC&I \$2.0M real property threshold).

This request would fund the following:

- \$46.6M for USBP facilities;
- \$8.0M for AMO facilities;
- \$2.1M for OFO facilities; and
- \$2.6M from Mission Support facilities.

Performance

This program increase will improve the conditions of CBP's facilities, positioning the infrastructure to support CBP's expanding mission and improving the work environment for CBP's frontline personnel. The additional funding will address the most critical deficiencies in the backlog and the highest priority mission-requirements, and it will decrease the likelihood of facility downtime due to critical system failures and will provide safe and secure facilities for CBP personnel and the general public.

Program Change 18 - Facilities and Asset Technical Management Support

Description

The FY 2021 Budget includes a decrease of \$2.9M to the OFAM technical services support. The base for this program is \$44.3M.

Justification

The program decrease will allow OFAM to continue to provide critical real property and asset management technical support to CBP's front line and mission support offices as it pursues programmatic efficiencies that right-sizes the organization. The reduction will be divided between OFAM's three primary areas: facilities (\$1.4M), asset management (\$0.9M) and oversight and management (\$0.6M).

Performance

This proposed reduction is not expected to impact performance. OFAM will mitigate the decrease by deferring lower priority projects, reprioritizing funds to the most critical projects, and working with stakeholders to ensure their immediate needs are addressed.

Program Change 19 – Increase Reliance on User Fees**Description**

The FY2021 Budget includes a decrease of 258 FTE and \$53.3M in the Trade and Travel, Domestic Operations PPA, associated with an increased reliance on existing user fee collections and authorities. The base for this program is 16,315 positions, 15,562 FTE, and \$3.1B.

Justification

CBP continuously assesses its user fee funding levels and carryover balances. As part of recent fee reviews and projected collection trends, CBP determined that more carryover from existing user fees could be used in FY 2021. The increased availability of existing user fee collections should be applied to offset the salaries of current Trade and Travel, Domestic Operations employees. Increased reliance on existing user fees is sustainable and shifts 258 FTE out of discretionary funding and into mandatory fee accounts.

Performance

This proposed reduction is not expected to impact performance, rather it will change the composition of the funding used for Trade and Travel, Domestic Operations salaries and benefits.

Pricing Change 20 – Integrated Fixed Towers (IFT)**Description**

The FY 2021 Budget includes a decrease of \$2.3M for Integrated Fixed Towers (IFT). The base for the program is 9 positions, 9 FTE, and \$32.1M.

Justification

The proposed reduction lowers non-pay costs. The key driver for this reduction is a downward revision to the FY 2019 Life Cycle Cost Estimate (LCCE) for IFT - Roads Maintenance. Actual maintenance costs for tower access roads has been less than originally estimated.

Performance

This proposed reduction is not expected to impact performance. The remaining base of \$29.8M is sufficient to provide maintenance and support for Full Operational Capability (FOC) of the IFT program. This includes sensor and communication towers and Command and Control (C2) Center (C2CEN) facilities and equipment, access tower road maintenance, engineering change orders, operating system support, training, environmental restoration, spares and repair parts, and logistics and engineering support.

Program Change 21 – Laboratory Personnel**Description**

The FY 2021 Budget includes an increase of 12 positions, 6 FTE, and \$1.1M for Laboratory Personnel. The base for this program is 300 positions, 229 FTE, and \$32.4M.

Justification

This funding will support an additional five physical scientists, five chemists, and two digital forensic analysts to support operational requirements with rapid scientific and technical forensics support to CBP's frontline officers.

The operational demand for quick turnaround results on the identification of interdicted substances and CBP's strategy to combat the opioid crisis requires the Laboratories and Scientific Services (LSS) Directorate to build and expand capabilities. The new positions will staff new satellite laboratories at OFO ports of entry or joint forensic centers at USBP sectors, providing on-site, rapid scientific support for border security issues.

Performance

The proposed increase is expected to enable LSS to fully staff ten satellite labs/joint forensic centers located at key operational sites and increase field laboratory operational capabilities. In doing so, the LSS personnel will be able to reduce comprehensive analytical testing turnaround times by 40%, as well as eliminate current backlogs and prevent future backlogs in the field laboratories. Faster turnaround times will support swift law enforcement actions and intelligence-driven operations. Additionally, evidence from criminal investigations will be processed more timely meeting prosecutorial trial dates, avoiding any delay in court proceedings

Program Change 22 – Medium Lift Helicopter (MLH)**Description**

The FY 2021 Budget includes an increase of \$5.6M to fund the Medium Lift Helicopter (MLH) O&M. The base for this program is \$15.6M.

Justification

According to the LCCE O&M requirements, the base funding does not fully fund the sustainment costs of the MLHs in service at the end of FY 2019. By the end of FY 2021, the MLH fleet will increase by five for a total of 26 aircraft. The fleet size increase necessitates a corresponding increase in sustainment costs.

This request fully funds the UH-60 MLH turbo-shaft twin-engine helicopter fleet and directly supports the following:

Items	Amount
Fuel	\$0.7M
Maintenance Services & Materials	\$4.0M
Training	\$0.9M
Total	\$5.6M

Performance

This proposed increase is expected to impact performance by increasing MLH flight hours by 559 hours per year. Increasing MLH flight hours improves AMO's ability to conduct Air and Maritime interdiction efforts, positively impacting mission success. In FY 2019, the MLH flew 2,068 sorties of which 37% were in coordination with U.S. Border Patrol.

Program Change 23 – Mobile Video Surveillance Systems (MVSS)**Description**

The FY 2021 Budget includes a decrease of \$6.7M for operations and sustainment of MVSS. The base for this program is 3 positions, 3 FTE, and \$20.2M.

Justification

CBP anticipates a cost savings in operational-level (O-Level) maintenance by transitioning from Contractor-led to Government-led services via the Federal Aviation Administration (FAA) and CBP Office of Information Technology (OIT). Also, the remaining base funding of \$13.5M sustains all deployed MVSS through FY 2021, including purchasing spares and performing O-Level maintenance and repairs, and supports program management, systems engineering, and non-sector specific maintenance, as well as the operations and sustainment of the operational MVSS units.

CBP has fielded 28 MVSS units in the Rio Grande Valley Sector. An additional 30 MVSS units will be fielded in the Laredo Sector by the end of FY 2020. The full operational capability of 165 systems, as shown in the table, will be deployed across the Texas southern border by Q4 FY 2021.

Sector	Quantity
Rio Grande Valley	28
Laredo	30
El Paso	49
Big Bend	18
Del Rio	40
Total	165

Performance

This proposed reduction is not expected to impact performance. The program is sufficiently funded through FY 2021.

Program Change 24 – Multi-Role Enforcement Aircraft (MEA)**Description**

The FY 2021 Budget includes an increase of \$3.3M to fund MEA O&M. The base for this program is \$10.4M.

Justification

According to the LCCE O&M requirements, the base funding does not fully fund the sustainment costs of the MEAs in service at the end of FY 2019. By the end of FY 2020, the MEA fleet will have increased from 20 to 23 operational aircraft. The fleet size increase necessitates a corresponding increase in sustainment costs. This request supports the following:

Items	Amount
Fuel	\$0.9M
Maintenance Services & Materials	\$1.9M
Technology Refresh	\$0.3M
Training	\$0.2M
Total	\$3.3M

Performance

This proposed increase is expected to impact performance by increasing MEA flight hours by 1,510 hours per year. Increasing MEA flight hours improves AMO's ability to conduct Air and Maritime interdiction efforts, positively impacting mission success. In FY 2019, CBP MEA flew 7,838 hours and participated in the interdiction of 23,536 pounds of drugs and 231 apprehensions.

Program Change 25 - National Vetting Center**Description**

The FY 2021 Budget includes an increase of \$35.4M to fund systems engineering enhancements, analytical tool developments, and expansion of NVC vetting programs, which operate in support of the National Vetting Enterprise (NVE). The base for the NVC is 20 positions, 20 FTE, and \$10.0M.

Justification

The request will fund systems engineering enhancements, analytical tool developments, and expansion of NVC vetting programs, closing additional capability gaps. Funds will further develop the core pieces of technology for the new vetting process, expand the scale and scope of the new vetting programs, and help identify new threat actors.

Performance

The increase in funds for FY 2021 will be leveraged to increase performance in several key areas, such as:

- development of additional digital access control policies;
- development and maintenance of new cloud analytics to compare US person data against relevant disseminated Intelligence Community information for affirmative asylum vetting;

- legal and policy support to handling and sharing of US person information;
- ongoing operations and maintenance of existing vetting solutions for non-immigrant visa;
- development and implementation of a solution to provide counterintelligence and transnational organized crime support for vetting programs;
- integration of new cloud technology and infrastructure enhancements (tech refresh);
- integration with Intelligence Community knowledge bases as mandated by NSPM-7; and
- development and maintenance for two new vetting programs, as determined by the National Vetting Governance Board.

Program Change 26 – Non-Intrusive Inspection (NII) Systems

Description

The FY 2021 Budget includes an increase of \$25.0M to fund O&M for new NII systems and procure additional small-scale and handheld NII systems. The base of this program is \$115.8M.

Justification

The funds increase will provide O&M for NII systems procured with prior year funds; procure small-scale NII systems; and improve user's image analysis skills with increased training capacity. The following activities are planned:

- Maintain security posture and technological coverage through integrated logistical and sustainment support for the additional NII systems procured in FY 2018 (e.g. 22 additional large-scale and 142 additional small-scale NII).
- Procure a minimum of 45 small-scale and handheld NII systems with a unit item value below \$250,000, to replace systems that have reached the end of their cost effective operational life, and to satisfy new operational requirements.
- Enhance user's skillsets by increasing NII training capacity within field offices, and employing dedicated training focused on consistent imagery analysis across X-ray images.

Collectively, these activities will maintain CBP's current NII operations and improve user's ability to respond to emerging threats at and between ports of entry.

Performance

This proposed increase will enable CBP to maintain an operational availability of equal to or greater than 95 percent across the NII systems; sustain and expand technological coverage with small-scale NII systems capable of detecting threats within baggage, parcels, passenger belongings, and confined spaces of conveyances; and improve user's ability to identify anomalies within NII images. These improvements are expected to increase the probability of detection and interdiction.

Program Change 27- Northern Border Remote Video Surveillance System (NB RVSS):**Description**

The FY 2021 Budget includes a \$1.9M decrease in NB RVSS O&M costs. The base of this program is 3 position, 3 FTE, and \$9.6M.

Justification

The non-pay funds decrease is due to delays in NB RVSS deployments in the Swanton Sector. CBP will have less RVSS units to maintain in FY 2021 on the Northern Border than previously anticipated.

Performance

This proposed decrease is not expected to impact performance. Remaining NB RVSS funds will be sufficient to sustain deployed units in FY 2021.

Program Change 28 - Processing Coordinator Hiring**Description**

The FY 2021 Budget includes an increase of 300 positions, 150 FTE, and \$21.0M to hire 300 Processing Coordinators (PC). There is no base for this program.

Justification

PCs serve three primary purposes. First, PCs allow BPAs to focus on law enforcement officer (LEO) duties. BPAs spend approximately 40% of their work time performing non border security, non-LEO activities, such as completing administrative tasks or providing humanitarian support. PCs will perform these duties, allowing BPAs to spend more time in the field. Second, PCs provide cost-effective processing of persons in USBP custody.

Currently, the average PC costs about 18.5 percent less than the average BPA. Third, the position provides an entry-level opportunity to recruit and hire future BPAs.

Performance

Additional PCs will enhance USBP's ability to achieve operational control of the border, while also supporting the redistribution of non-LEO work to mission support personnel, thereby returning additional BPAs to the border and furthering the opportunity to deliver consequences to narcotics traffickers, human smugglers, and illegal aliens.

Program Change 29 – Rebaseline Workforce**Description**

The FY 2021 request includes a decrease of 1,658 positions, 932 FTE, and \$131.3M for the Rebaseline Workforce initiative. The base for this decrease is 52,216 positions, 49,361 FTE, and \$8.5B.

Justification

This program change captures differences in positions and FTEs amongst three items:

- Pricing Change – Adjustments to Prior Year Enactments (captures Pos / FTE differences in FY 2019 & FY 2020 Enactments vs. FY 2019 & FY 2020 Presidents' Budget)
- Pricing Change – Adjustments to Prior Year Programming Base (captures Pos / FTE differences pre-FY 2019)
- Vacant Positions – eliminates unfilled positions; funding is not required for these unfilled positions

As a result, this program change adjusts O&S-funded FTE from 49,361 in the FY 2020 Enactment to 48,429 FTE in the FY 2021 President's Budget, prior to the effects of other program changes. Once CBP accounts for the FTE gains associated with other Program Changes in the FY 2021 Budget, the total funded FTE is 49,207.

Performance

This reduction is not expected to impact performance. The rebaseline corrects differences in programming between enactments and budget requests.

Program Change 30 - Recruitment and Applicant Processing**Description**

The FY 2021 Budget includes an increase of \$17.6M for recruitment and applicant processing improvements.

Justification

Recruitment and applicant processing funding supports the continued functioning of CBP's mission support and front line hiring operations. The program increase will enable CBP to develop technology solutions to provide for more efficient processes and interfaces with target populations, such as an automated polygraph scheduling system and a mobile application. These improvements will provide a better applicant engagement platform with the overall goal to increase the applicant yield rate in CBP's hiring process.

The requested funding would support the following:

- **Applicant Processing (\$14.8M):** This funding supports the testing processes required to evaluate applicants for employment.
- **Applicant Sourcing (\$1.8M):** This funding enables CBP to identify and target recruitment toward specific candidate pools that have yielded or are anticipated to yield successful applicants.
- **Medical (\$1.0M):** This funding enables CBP to protect the health and safety of frontline employees by providing medical services and ongoing medical evaluation of new hires. With the continued recruitment and hiring initiatives to increase the number of frontline employees, the funds it provide additional capacity to provide these services to new on board recruits and proactively plan for the expansion of employee numbers on the frontline.

Performance

The proposed increase is expected to impact performance by decreasing the time to hire applicants. The improved time-to-hire and overall recruitment process will enable CBP to get more quality applicants through the hiring pipeline and into critical front-line positions.

Program Change 31 - Regulatory Reform Hiring**Description**

The FY 2021 Budget includes an increase of 2 positions, 1 FTE, and \$0.3M to hire staff within the Office of Trade to support regulatory reform efforts. There is no base for this program.

Justification

The funds will be used to hire two staff with regulatory and economic expertise (e.g., attorneys, economists). The new personnel will focus on regulatory burden reduction and regulatory streamlining efforts to help reduce costs and streamline government processes. They will lead CBP's regulatory reform task force, as well as represent CBP on any DHS task forces focused on such efforts.

Performance

The change seeks to enhance and strengthen CBP's efforts at regulation reform and provide benefits to U.S. companies through reduced regulatory burden. The change will speed and strengthen CBP's burden reduction efforts, in accordance with Executive Order 13771, *Reducing Regulation and Controlling Regulatory Costs*.

Program Change 32 – Remote Surveillance**Description**

The FY 2021 Budget includes a decrease of \$2.0M for the Remote Surveillance Program. The base for this program is 87 positions, 81 FTE, and \$22.7M.

Justification

Remote Surveillance consists of two technology demonstration projects: the Maritime Detection Project (MDP) and the California Coastal Surveillance (CCS) project. Due to the success of the demonstration in FY 2020, the USBP Program Management Operations Division (PMOD) will end MDP as a technology demonstration and move the capability under the NB-RVSS program of record as a sub-project. As such, the O&S funding for MDP will be covered using \$2.5M in NB-RVSS O&M funding.

Performance

This proposed reduction is not expected to impact performance of CCS. O&M of MDP towers will be covered by NB-RVSS.

Program Change 33 – Remote Video Surveillance Systems (RVSS)**Description**

The FY 2021 Budget includes a decrease of \$13.8M for the RVSS program. The base for this program is 11 positions, 10 FTE, and \$46.7M.

Justification

RVSS is a remotely controlled system of daylight or infrared cameras mounted to a permanent structure. It provides visual detection for the apprehension of illegal intruders across U.S. borders, improves surveillance performance along U.S. borders, addresses obsolescence issues, and enhances situational awareness. The images are transmitted, monitored, and recorded at a central location.

The \$13.8M decrease will reduce O&M support for the existing legacy RVSS systems deployed on the Southern and Northern Borders across the following USBP sectors:

Sector	RVSS Sites
Big Bend	2
Buffalo	4
Blaine	32
Del Rio	29
El Centro	44
El Paso	51
Laredo	33
San Diego	21
Swanton	8
Total	224

The remaining \$31.5M (non-pay) from the base will provide O&M support for the deployed systems from the RVSS upgrade program in AZ and RGV Sectors, thus enhancing situational awareness of border activity through persistent surveillance and detection to facilitate proper law enforcement resolution. The projected costs by sector and number of towers is listed as follows:

FY 2021 RVSS O&S Funding (non-pay only)

Location	# of Sites	Amount
Arizona	71	\$8.2M
Rio Grande Valley	83	\$13.3M
Relocatable Towers	76	\$10.0M
Total	230	\$31.5M

The O&M support will include RVSS technology support (cameras, communication backhaul capability, camera controller system, power generation sustainment, commercial power, command & control (C2), tower & site maintenance, leasing, etc.).

Performance

The proposed decrease is not expected to impact performance. CBP will mitigate the decrease in funds by utilizing the equipment removed from legacy towers in RGV Sector as potential spares for 200-plus deployed legacy towers in the remaining Southwest Border Sectors. Further, funding will be prioritized for locations that need support and maintenance most critically.

Program Change 34 - Small Unmanned Aircraft System (sUAS)**Description**

The FY 2021 Budget includes an increase of \$0.5M for the sUAS program. The base for this program is \$1.7M.

Justification

The requested increase will fund the O&M for an additional 5 fixed-wing (\$0.3M) and 18 Vertical Take-Off and Landing (VTOL) systems (\$0.2M). The procurement of fixed-wing systems was funded in FY 2018 and obligated in FY 2019. The procurement of VTOL systems is planned for FY 2020.

sUAS are small drones (less than 55 pounds) that provide ground reconnaissance, surveillance, and target acquisition in remote, isolated, inaccessible portions of the border between POEs. sUAS is a proven asset that has the potential to be a cost effective means of providing an on-demand, agent deployed air surveillance capability.

VTOL are one type of sUAS that can take off, hover, and land vertically. VTOL systems limit the potential airframe damage caused by landing Fixed Wing solutions. Other types of sUAS include Fixed-Wing and Hybrid which provide different, complimentary capabilities based on tradeoffs in size, weight, and power. By deploying and sustaining such aircrafts, CBP can effectively meet the urgent need for this technology on the U.S. Border. Fixed Wing aircraft allow Border Patrol Agents with the ability to extend their missions beyond the capability of existing VTOL systems.

Performance

The proposed increase will support systems that provide approximately 16,425 Fixed Wing and 10,128 VTOL flight hours per year, thus reducing gaps in USBP's ability to persistently and discreetly surveil the border, and enable detection and apprehension of illegal entrants.

Program Change 35 – Southwest Border Wall System Program**Description**

The FY 2021 Budget includes an increase of \$7.1M to the Southwest Border Wall System Program (BWSP). There was no base for this program in FY 2020.

Justification

The BWSP impedes and denies illicit cross-border activities, while also increasing visibility and access along the border for USBP agents. It deters illegal migration and prevents terrorist and terrorist weapons from enter the United States. To ensure the wall system is fully operational, the BWSP needs O&S funding to develop and deploy a maintenance program that can quickly respond to repair requests and provide routine maintenance to prevent accelerated depreciation and reduced operational effectiveness.

USBP will add these funds to the Comprehensive Tactical Infrastructure Maintenance and Repair (CTIMR) contracts, which support O&M for tactical infrastructure, and will use these funds to execute maintenance and repair activities on over 500 miles of new border wall system. The system, which will be located in some environments that experience extreme heat or periodic flooding, consists of wall, lighting, electrical supply, cameras, roads, and a linear ground detection system.

Performance

This proposed increase is expected to enable USBP to maintain over 500 miles of new border wall system. It will ensure there are no prolonged system outages and that it will be fully maintained through its projected service life. In aggregate, the BWSP is a force multiplier that will impede, deter, and reduce illegal migration, drug smuggling, and human trafficking.

Program Change 36 – Tactical Aerostats**Description**

The FY 2021 Budgett includes a decease of \$29.0M to the Tactical Aerostats and Re-Locatable Towers (TAS) program. The base for this program is \$29.0M.

Justification

The FY 2021 Budget eliminates the remaining non-pay funds provided to the TAS program, which is being phased out in FY 2020.

The TAS program, originally established to provide land domain awareness and persistent ground surveillance along the southern border, is comprised of six aerostats and three relocatable towers deployed in the Rio Grande Valley and Laredo Sectors. RVSS tower deployments in Q4 FY 2019 will enable the TAS program to remove all three relocatable towers and decommission them as appropriate in FY 2020. The \$29.0M in FY 2020 O&S funding for the remaining TAS program's six aerostats will not be needed in FY 2021.

Performance

This proposed reduction is not expected to impact performance. Southern Border operators will have access to RVSS in place of the decommissioned TAS.

Program Change 37 – Tactical Infrastructure**Description**

The FY 2021 Budget includes a decrease of \$14.1M for the Tactical Infrastructure (TI) program. The base for this program is \$108.3M.

Justification

The TI program received substantial prior-year funding to maintain and improve its roads inventory. The prior year plus-up in funding addressed some unfunded requirements and reduced the program's maintenance backlog, necessitating less program funding in FY 2021.

Performance

The proposed decrease is not expected to impact performance. CBP will continue to prioritize USBP's maintenance requirements to emphasize safety and operational effectiveness in high-activity areas.

Program Change 38 – Telematics**Description**

The FY 2021 Budget includes an increase of \$1.6M for the CBP Vehicle Telematics (CVT) initiative. There is no base for this program.

Justification

This funding enables CBP to complete the deployment of telematics technology to all standard vehicles in the motor vehicle fleet. CVT completes CBP's shift to a single, automated data collection system and allows vehicle monitoring in real time, reducing the administrative lift for operators, increasing data accuracy, and maximizing fleet management operations.

This program increase supports the following:

Item	Amount
Remote Data Collection Unit Cellular Service	\$0.1M
Vehicle Telematics Annual Cellular Service	\$0.3M
Telematics Equipment Maintenance	\$0.3M
Software Maintenance	\$0.2M
Contract Support (Help Desk/FMLive Sustainment)	\$0.8M
Total	\$1.6M

Note: Rows add to \$1.7M – difference between sum of the rows and total is due to rounding.

Performance

Investment in the CVT provides reliable automatic data to CBP offices, which achieves the following:

- Collects automated fleet data (e.g., number of trips, miles driven, hours and days used) that eliminates the need for frontline operators to manually record vehicle data;
- Satisfies DHS SAVE Act requirements thru the capability to collect *automated* fleet data;
- Improves the ability to prioritize other mission needs;
- Optimizes CBP's ability to make proactive decisions about maintenance needs and vehicle replacements, decreasing the likelihood of agents being stranded in remote and/or hostile locations while awaiting vehicle assistance; and
- Increases vehicle reliability and readiness rates to better support mission activities.

Program Change 39 - Trade Agreement, Remedies & Enforcement Personnel**Description**

The FY 2021 Budget includes an increase of 50 positions, 25 FTEs, and \$8.1M for Trade Agreement, Remedies & Enforcement Personnel. The base for the Office of Trade is 1,037 positions, 1,021 FTEs, and \$165.8M.

Justification

Additional positions are needed to carry out CBP's trade mission to achieve agency goals set for Secure and Compliant Trade, to strengthen trade enforcement actions and processes, and to sustain the administration and enforcement of trade remedies and agreements. Staffing models required by the *SAFE Port Act* estimate a need for additional trade positions, with the FY 2021 request filling those areas most impacted by current and projected workloads.

This request includes:

- 9 Management and Program Analysts (MPA), attorneys or International Trade Specialists (ITS) at the GS 9, 12, 14, and 15 levels.
- 41 MPAs, ITS's, attorneys, or auditors at the GS-13 level.

Specifically, 50 positions in FY 2021 are being requested to invest in Trade staff and resources to enhance trade enforcement and security initiatives in the following areas:

- Intellectual Property Rights (IPR)/eCommerce expertise;
- Enforcement of Trade Remedies under Section 201/232/301;
- 21st Century Customs Framework (21CCF) implementation; and
- Increased Trade Enforcement.

Performance

This proposed increase is expected to impact performance in:

- IPR/eCommerce expertise: Prevent the importation of infringing products and technologies and to protect US businesses from economic loss. eCommerce enforcement requires significant operational involvement with the field. Experts are needed to ensure policies, targeting, and operations are coordinated and that new approaches to IPR enforcement can be applied effectively.
- Enforcement of Trade Remedies Section 201/232/301: Allow Staff to will enforce new trade remedy measures providing for duties and quotas on imported goods.
- 21CCF Implementation: Implement 21CCF, which is an effort to design and execute new customs standards that will further enhance and streamline CBP's trade processes for the 21st century.
- Increased Trade Enforcement: Strengthen OT enforcement efforts around key trade issues such as anti-dumping/countervailing duty, penalties, suspension and debarment and forced labor.

Program Change 40 - Trade Facilitation and Trade Enforcement Act**Description**

The FY 2021 Budget includes an increase of 2 positions, 2 FTE, and \$0.4M for Trade Facilitation and Trade Enforcement Act (TFTEA) Implementation. The base for this program is 166 positions, 153 FTE, and \$30.7M.

Justification

The additional staff will support new Congressional reporting requirements, as well as audits by the DHS Office of Inspector General, the Treasury Office of Inspector General, and the Government Accountability Office, as mandated by TFTEA. The new staff – who will be located in the Office of Finance (OF), which is responsible for revenue collection, administration of surety and bond management, and specific portions of CBP's implementation of TFTEA requirements – will also strengthen Antidumping and Countervailing Duties (AD/CVD) and Revenue Protection enforcement, increase targeting on duty violations and evasion based on analysis of debt collection data, and process additional bills/collections.

Performance

The increase will ensure CBP compliance with TFTEA requirements. OF will have the ability to adequately address duty violations/evasions and increase revenue collections.

Program Change 41 - USBP Relocations and Retention**Description**

The FY 2021 Budget includes an increase of \$25.1M for USBP relocation and retention initiatives. The base for this program in is \$47.2M.

Justification

This program is critical to reducing BPA attrition by allowing CBP to rotate BPA in and out of hard-to-fill, remote duty stations, and also providing incentives to new agents to accept assignments to difficult to fill locations.

Performance

This proposed increase is expected to impact performance by lowering BPA attrition. Additionally, the increase supports leadership rotations, developmental assignments, and operational mobility rotations, all of which will help CBP stabilize BPA workforce levels, alleviate the lack of mobility amongst assignments, and meet operational requirements to secure the borders.

Program Change 42 - Unattended Ground Sensors/Imaging Sensors**Description**

The FY 2021 Budget includes a decrease of \$10.0M for the Unattended Ground Sensors/Imaging Sensors (UGS) program. The base for this program is \$27.4M.

Justification

UGS and Imaging Unattended Ground Sensors (I-UGS) are remotely monitored surveillance systems that are covertly deployed and generally concealed above, or immediately below the ground. These devices are used for detection, tracking, and identification (such as differentiating between humans, animals, and vehicles), providing persistent surveillance and situational awareness across the border. In addition, UGS provide critical data to systems that predict illegal border activities and provide field agents with information required to effectively respond and resolve to border incidents. This program is in the process of a complete refresh of UGS technology.

The reduction in funding leaves the program with sufficient funding to refresh 27,000 of 30,000 active devices through FY 2021.

Performance

The proposed reduction is not expected to impact the performance of the existing devices. CBP will continue to sustain existing units and refresh UGS technology with remaining base funding.

Program Change 43 – Vehicles Reduction**Description**

The FY 2021 Budget includes a reduction of \$4.8M to the vehicle program for the OFO Trade and Travel Operations (TTO). The base for this program is \$4.8M.

Justification

The FY 2021 President's Budget eliminates the remaining funds for the TTO vehicle program and forgoes the acquisition of 130 vehicles at an average cost of approximately \$37,000 per vehicle. Over the last few years, OFO has replaced a significant portion of its fleet, resulting in an increase to mission readiness rates and reducing the number of vehicles that are replacement eligible.

Performance

The reduction is not expected to impact performance. OFO will install telematic devices in all vehicles, which will optimize CBP's ability to make proactive decisions about maintenance needs and vehicle replacements.

Operations and Support

Personnel Compensation and Benefits

Pay Summary

Organization (Dollars in Thousands)	FY 2019 Enacted				FY 2020 Enacted				FY 2021 President's Budget				FY 2020 to FY 2021 Total			
	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate
Mission Support	4,833	4,754	\$880,325	\$185.18	5,716	5,350	\$857,399	\$160.26	5,615	5,303	\$878,848	\$165.73	(101)	(47)	\$21,449	\$5.47
Border Security Operations	21,399	21,334	\$3,691,201	\$173.02	24,028	22,605	\$3,758,371	\$166.26	24,108	22,672	\$3,933,725	\$173.51	80	67	\$175,354	\$7.25
Trade and Travel Operations	20,087	19,473	\$3,229,112	\$165.83	19,453	18,603	\$3,372,709	\$181.3	19,496	18,506	\$3,376,745	\$182.47	43	(97)	\$4,036	\$1.17
Integrated Operations	2,515	2,479	\$453,050	\$182.76	3,019	2,803	\$474,276	\$169.2	2,834	2,726	\$481,123	\$176.49	(185)	(77)	\$6,847	\$7.29
Total	48,834	48,040	\$8,253,688	\$171.81	52,216	49,361	\$8,462,755	\$171.45	52,053	49,207	\$8,670,441	\$176.2	(163)	(154)	\$207,686	\$4.75
Discretionary - Appropriation	48,834	48,040	\$8,253,688	\$171.81	52,216	49,361	\$8,462,755	\$171.45	52,053	49,207	\$8,670,441	\$176.2	(163)	(154)	\$207,686	\$4.75

* The FTE Rate calculation does not include Object Class 11.8-Special Personal Services Payments or 13.0-Benefits for Former Personnel.

Pay by Object Class

Pay Object Classes (Dollars in Thousands)	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget	FY 2020 - FY 2021 Change
11.1 Full-time Permanent	\$4,705,566	\$4,844,098	\$4,979,174	\$135,076
11.3 Other than Full-Time Permanent	\$12,000	\$11,220	\$11,268	\$48
11.5 Other Personnel Compensation	\$882,269	\$898,525	\$897,777	(\$748)
12.1 Civilian Personnel Benefits	\$2,653,853	\$2,708,912	\$2,757,124	\$48,212
12.2 Military Personnel Benefits	-	-	\$25,098	\$25,098
Total - Personnel Compensation and Benefits	\$8,253,688	\$8,462,755	\$8,670,441	\$207,686
Positions and FTE				
Positions - Civilian	48,834	52,216	52,053	(163)
FTE - Civilian	48,040	49,361	49,207	(154)

Operations and Support

Permanent Positions by Grade – Appropriation

Grades and Salary Range (Dollars in Thousands)	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget	FY 2020 to FY 2021 Change
Total, SES	132	116	132	16
GS-15	820	906	906	-
GS-14	3,148	3,467	3,467	-
GS-13	9,016	9,909	9,909	-
GS-12	27,545	29,374	28,445	-929
GS-11	2,454	2,550	2,550	-
GS-10	361	392	392	-
GS-9	1,741	1,644	1,644	-
GS-8	41	46	46	-
GS-7	1,772	1,948	2,698	750
GS-6	254	283	283	-
GS-5	994	1,016	1,016	-
GS-4	31	37	37	-
GS-3	17	19	19	-
GS-2	2	3	3	-
Other Graded Positions	506	506	506	-
Total Permanent Positions	48,834	52,216	52,053	-163
Unfilled Positions EOY	-3,534	-825	-2,280	-1,455
Total Perm. Employment (Filled Positions) EOY	52,368	53,041	54,333	1,292
Position Locations				
Headquarters	5,705	6,199	6,259	60
U.S. Field	42,385	45,208	44,965	-243
Foreign Field	744	809	829	20
Averages				
Average Personnel Costs, ES Positions	244,976	247,426	247,426	-
Average Personnel Costs, GS Positions	157,368	158,942	158,942	-
Average Grade, GS Positions	12	12	12	-

Operations and Support Non Pay Budget Exhibits

Non Pay Summary

Organization (Dollars in Thousands)	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget	FY 2020 to FY 2021 Total Changes
Mission Support	\$907,911	\$1,001,306	\$1,012,259	\$10,953
Border Security Operations	\$1,048,000	\$1,160,173	\$1,103,760	(\$56,413)
Trade and Travel Operations	\$1,333,589	\$1,416,324	\$1,497,207	\$80,883
Integrated Operations	\$636,541	\$694,841	\$703,765	\$8,924
Total	\$3,926,041	\$4,272,644	\$4,316,991	\$44,347
Discretionary - Appropriation	\$3,926,041	\$4,272,644	\$4,316,991	\$44,347

Non Pay by Object Class

Non-Pay Object Classes (Dollars in Thousands)	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget	FY 2020 to FY 2021 Change
21.0 Travel and Transportation of Persons	\$189,280	\$135,428	\$132,931	(\$2,497)
22.0 Transportation of Things	\$11,495	\$15,308	\$12,596	(\$2,712)
23.1 Rental Payments to GSA	\$613,445	\$641,831	\$660,876	\$19,045
23.2 Rental Payments to Others	\$42,358	\$45,171	\$45,171	-
23.3 Communications, Utilities, and Misc. Charges	\$91,491	\$103,429	\$110,139	\$6,710
24.0 Printing and Reproduction	\$2,691	\$2,844	\$2,844	-
25.1 Advisory and Assistance Services	-	\$2,238	\$2,707	\$469
25.2 Other Services from Non-Federal Sources	\$1,566,341	\$1,758,705	\$1,730,480	(\$28,225)
25.3 Other Goods and Services from Federal Sources	\$70,149	\$79,396	\$73,380	(\$6,016)
25.4 Operation and Maintenance of Facilities	\$163,117	\$229,934	\$326,381	\$96,447
25.6 Medical Care	\$9,722	\$20,434	\$20,434	-
25.7 Operation and Maintenance of Equipment	\$373,068	\$352,465	\$327,004	(\$25,461)
26.0 Supplies and Materials	\$297,890	\$357,394	\$362,984	\$5,590
31.0 Equipment	\$491,949	\$525,049	\$506,046	(\$19,003)
42.0 Insurance Claims and Indemnities	\$2,938	\$2,938	\$2,938	-
91.0 Unvouchered	\$107	-	-	-
94.0 Financial Transfers	-	\$80	\$80	-
Total - Non Pay Object Classes	\$3,926,041	\$4,272,644	\$4,316,991	\$44,347

Operations and Support
Supplemental Budget Justification Exhibits

Offsetting Fee Exhibit

Offsetting Fee <i>(Dollars in Thousands)</i>	FY 2019 Enacted	FY 2020 President's Budget	FY 2021 President's Budget	Increase/Decrease
	Amount	Amount	Amount	Amount
Global Entry	\$165,961	\$184,937	\$241,048	\$56,111
Total	\$165,961	\$184,937	\$241,048	\$56,111

For more information see the Global Entry Fee chapter.

*Mission Support – PPA***Budget Comparison and Adjustments****Comparison of Budget Authority and Request**

Organization (Dollars in Thousands)	FY 2019 Enacted			FY 2020 Enacted			FY 2021 President's Budget			FY 2020 to FY 2021 Total Changes		
	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount
Enterprise Services	3,601	3,542	\$1,482,518	4,229	3,858	\$1,537,332	4,183	3,836	\$1,545,636	(46)	(22)	\$8,304
Office of Professional Responsibility	593	585	\$196,528	741	749	\$209,052	696	729	\$227,500	(45)	(20)	\$18,448
Executive Leadership and Oversight	639	627	\$109,190	746	743	\$112,321	736	738	\$117,971	(10)	(5)	\$5,650
Total	4,833	4,754	\$1,788,236	5,716	5,350	\$1,858,705	5,615	5,303	\$1,891,107	(101)	(47)	\$32,402
Subtotal Discretionary - Appropriation	4,833	4,754	\$1,788,236	5,716	5,350	\$1,858,705	5,615	5,303	\$1,891,107	(101)	(47)	\$32,402

PPA Level I Description

The Mission Support program provides enterprise leadership, management, and business administrative services that sustain the day-to-day back office operations. Key capabilities include managing the agency's performance, finances, workforce, physical and personnel security, acquisition of goods and services, information technology, property and assets, communications, legal affairs, and administration.

This PPA contains the following Level II PPAs:

Enterprise Services (ES): ES is the primary steward of the public's funding within CBP. Its programs are geared towards effective, efficient use of funding, materials, space, and resources to achieve success in CBP's mission.

Office of Professional Responsibility (OPR): OPR ensures compliance with agency-wide programs and policies related to corruption, misconduct, and mismanagement and executes CBP's internal security and integrity awareness programs.

Executive Leadership and Oversight: The Executive Leadership and Oversight PPA encompasses the capabilities and activities that support the determination of long-term agency goals, managing the effectiveness of the agency, and improving capabilities and services.

Mission Support – PPA

Budget Authority and Obligations

Budget Authority <i>(Dollars in Thousands)</i>	FY 2019	FY 2020	FY 2021
Enacted/Request	\$1,788,236	\$1,858,705	\$1,891,107
Carryover and/or Recoveries (Actual/Estimates/Projections)	\$41,798	\$291,180	\$232,443
Rescissions to Current Year/Budget Year	-	-	-
Net Sequestered Resources	-	-	-
Reprogrammings/Transfers	(\$5,003)	-	-
Supplementals	\$68,685	-	-
Total Budget Authority	\$1,893,716	\$2,149,885	\$2,123,550
Collections – Reimbursable Resources	\$140,247	\$140,247	\$140,247
Total Budget Resources	\$2,033,963	\$2,290,132	\$2,263,797
Obligations (Actual/Estimates/Projections)	\$1,742,783	\$2,057,689	\$2,031,353
Personnel: Positions and FTE			
Enacted/Request Positions	4,833	5,716	5,615
Enacted/Request FTE	4,754	5,350	5,303
Onboard and Actual FTE; Includes Collections - Reimbursable Resources			
Onboard (Actual/Estimates/Projections)	4,833	5,831	5,730
FTE (Actual/Estimates/Projections)	4,754	5,465	5,418

Mission Support – PPA

Summary of Budget Changes

Budget Formulation Activity <i>(Dollars in Thousands)</i>	Positions	FTE	Amount
FY 2019 Enacted	4,833	4,754	\$1,788,236
FY 2020 Enacted	5,716	5,350	\$1,858,705
FY 2021 Base Budget	5,716	5,350	\$1,858,705
Transfer for C-LAN WCF Removal from CBP/MS/ES to A&O/O&S	-	-	(\$5,157)
Transfer for WCF Removals from CBP/MS/ES to MGMT/CFO	-	-	(\$327)
Transfer for WCF Removals from CBP/MS/ES to MGMT/CHCO	-	-	(\$5,308)
Transfer for WCF Removals from CBP/MS/ES to MGMT/CIO	-	-	(\$1,448)
Transfer for WCF Removals from CBP/MS/ES to MGMT/CPO	-	-	(\$67)
Transfer for WCF Removals from CBP/MS/ES to MGMT/CRSO	-	-	(\$2,916)
Transfer for WCF Removals from CBP/MS/ES to MGMT/CSO	-	-	(\$4,961)
Transfer PMOD from CBP/MS/ES to CBP/USBP	-	-	(\$7,534)
Total Transfers	-	-	(\$27,718)
2020 Pay Raise	-	-	\$24,849
2021 Pay Raise	-	-	\$7,067
Adjustments to Prior Year Programming Base	-	-	\$58,085
Annualization of FY 2020 Counter Intelligence and Insider Threat	-	3	\$445
Annualization of FY 2020 TFTEA Implementation Hiring	-	1	\$58
FERS Agency Contribution	-	-	\$8,888
FPS Fee Adjustment	-	-	\$2,414
GSA Rent	-	-	\$1,274
Total, Pricing Increases	-	4	\$103,080
Adjustments to Prior Year Enactments	-	-	(\$176,754)
Contract Efficiencies	-	-	(\$5,000)
Delay in Hiring	-	-	(\$5,022)
Non-Recur FY 2020 Counter Intelligence Insider Threat Hiring	-	-	(\$318)
Non-Recur of FY 2020 TFTEA Implementation Hiring	-	-	(\$106)
Total, Pricing Decreases	-	-	(\$187,200)
Total Adjustments-to-Base	-	4	(\$111,838)
FY 2021 Current Services	5,716	5,354	\$1,746,867
Awards Spending Increase	-	-	\$8,360

Operations and Support
Mission Support - PPA

Budget Formulation Activity <i>(Dollars in Thousands)</i>	Positions	FTE	Amount
Border Patrol Hiring	-	-	\$65,476
Border Patrol Hiring Rebaseline	-	-	\$22,880
Child and Backup Care	-	-	\$1,550
Cloud Migration	-	-	\$23,391
COSS Transformation Initiative	-	-	\$813
Processing Coordinator Hiring	-	-	\$7,112
Rebaseline Workforce	(105)	(54)	\$315
Recruitment and Applicant Processing	-	-	\$17,600
Regulatory Reform Hiring	2	1	\$250
Telematics	-	-	\$1,600
Trade Facilitation and Trade Enforcement Act	2	2	\$369
Total, Program Increases	(101)	(51)	\$149,716
Facilities and Asset Technical and Management Support	-	-	(\$2,915)
Facilities Maintenance	-	-	(\$2,561)
Total, Program Decreases	-	-	(\$5,476)
FY 2021 Request	5,615	5,303	\$1,891,107
FY 2020 To FY 2021 Change	(101)	(47)	\$32,402

Mission Support – PPA

Personnel Compensation and Benefits

Pay Summary

Organization (Dollars in Thousands)	FY 2019 Enacted				FY 2020 Enacted				FY 2021 President's Budget				FY 2020 to FY 2021 Total			
	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate
Enterprise Services	3,601	3,542	\$651,182	\$183.85	4,229	3,858	\$626,191	\$162.31	4,183	3,836	\$633,322	\$165.1	(46)	(22)	\$7,131	\$2.79
Office of Professional Responsibility	593	585	\$127,072	\$217.22	741	749	\$126,045	\$168.28	696	729	\$135,709	\$186.16	(45)	(20)	\$9,664	\$17.88
Executive Leadership and Oversight	639	627	\$102,071	\$162.79	746	743	\$105,163	\$141.54	736	738	\$109,817	\$148.8	(10)	(5)	\$4,654	\$7.26
Total	4,833	4,754	\$880,325	\$185.18	5,716	5,350	\$857,399	\$160.26	5,615	5,303	\$878,848	\$165.73	(101)	(47)	\$21,449	\$5.47
Discretionary - Appropriation	4,833	4,754	\$880,325	\$185.18	5,716	5,350	\$857,399	\$160.26	5,615	5,303	\$878,848	\$165.73	(101)	(47)	\$21,449	\$5.47

* The FTE Rate calculation does not include Object Class 11.8-Special Personal Services Payments or 13.0-Benefits for Former Personnel.

Pay by Object Class

Pay Object Classes (Dollars in Thousands)	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget	FY 2020 - FY 2021 Change
11.1 Full-time Permanent	\$587,366	\$556,948	\$554,292	(\$2,656)
11.3 Other than Full-Time Permanent	\$2,734	\$2,486	\$2,486	-
11.5 Other Personnel Compensation	\$12,433	\$12,097	\$12,097	-
12.1 Civilian Personnel Benefits	\$277,792	\$285,868	\$309,973	\$24,105
Total - Personnel Compensation and Benefits	\$880,325	\$857,399	\$878,848	\$21,449
Positions and FTE				
Positions - Civilian	4,833	5,716	5,615	(101)
FTE - Civilian	4,754	5,350	5,303	(47)

Mission Support – PPA Non Pay Budget Exhibits

Non Pay Summary

Organization (Dollars in Thousands)	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget	FY 2020 to FY 2021 Total Changes
Enterprise Services	\$831,336	\$911,141	\$912,314	\$1,173
Office of Professional Responsibility	\$69,456	\$83,007	\$91,791	\$8,784
Executive Leadership and Oversight	\$7,119	\$7,158	\$8,154	\$996
Total	\$907,911	\$1,001,306	\$1,012,259	\$10,953
Discretionary - Appropriation	\$907,911	\$1,001,306	\$1,012,259	\$10,953

Non Pay by Object Class

Non-Pay Object Classes <i>(Dollars in Thousands)</i>	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget	FY 2020 to FY 2021 Change
21.0 Travel and Transportation of Persons	\$90,560	\$18,722	\$18,722	-
22.0 Transportation of Things	\$131	\$713	\$713	-
23.1 Rental Payments to GSA	\$140,728	\$128,943	\$136,898	\$7,955
23.2 Rental Payments to Others	\$433	\$421	\$421	-
23.3 Communications, Utilities, and Misc. Charges	\$19,350	\$43,104	\$36,753	(\$6,351)
24.0 Printing and Reproduction	\$2,670	\$2,807	\$2,807	-
25.2 Other Services from Non-Federal Sources	\$351,953	\$457,408	\$471,670	\$14,262
25.3 Other Goods and Services from Federal Sources	\$29,300	\$28,344	\$8,160	(\$20,184)
25.4 Operation and Maintenance of Facilities	\$44,104	\$46,217	\$48,631	\$2,414
25.6 Medical Care	\$9,722	\$20,368	\$20,368	-
25.7 Operation and Maintenance of Equipment	\$50,899	\$48,628	\$69,019	\$20,391
26.0 Supplies and Materials	\$54,184	\$48,316	\$48,316	-
31.0 Equipment	\$110,939	\$154,364	\$146,830	(\$7,534)
42.0 Insurance Claims and Indemnities	\$2,938	\$2,938	\$2,938	-
94.0 Financial Transfers	-	\$12	\$12	-
Total - Non Pay Object Classes	\$907,911	\$1,001,306	\$1,012,259	\$10,953

*Enterprise Services – PPA Level II***Budget Comparison and Adjustments****Comparison of Budget Authority and Request**

Organization (Dollars in Thousands)	FY 2019 Enacted			FY 2020 Enacted			FY 2021 President's Budget			FY 2020 to FY 2021 Total Changes		
	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount
Enterprise Services	3,601	3,542	\$1,482,518	4,229	3,858	\$1,537,332	4,183	3,836	\$1,545,636	(46)	(22)	\$8,304
Total	3,601	3,542	\$1,482,518	4,229	3,858	\$1,537,332	4,183	3,836	\$1,545,636	(46)	(22)	\$8,304
Subtotal Discretionary - Appropriation	3,601	3,542	\$1,482,518	4,229	3,858	\$1,537,332	4,183	3,836	\$1,545,636	(46)	(22)	\$8,304

PPA Level II Description

Enterprise Services serves an integral role as the mission support core for CBP by providing the necessary goods and services required by operational personnel and business partners to fulfill mission goals. This support includes: essential financial management services; budgeting; all asset-related programs, including fleet, uniforms, personal property, and seized and forfeited property; mail; the CBP printing program; information technology management; acquisition oversight; and Real Property management. ES also serves as the accountability office for CBP and assesses the strategic and programmatic performance to assist leadership in understanding how performance, cost, and risk intersect within CBP.

Enterprise Services – PPA Level II Summary of Budget Changes

Budget Formulation Activity <i>(Dollars in Thousands)</i>	Positions	FTE	Amount
FY 2019 Enacted	3,601	3,542	\$1,482,518
FY 2020 Enacted	4,229	3,858	\$1,537,332
FY 2021 Base Budget	4,229	3,858	\$1,537,332
Transfer for C-LAN WCF Removal from CBP/MS/ES to A&O/O&S	-	-	(\$5,157)
Transfer for Mission Support Staffing Realignment from CBP/M	-	-	(\$50)
Transfer for WCF Removals from CBP/MS/ES to MGMT/CFO	-	-	(\$327)
Transfer for WCF Removals from CBP/MS/ES to MGMT/CHCO	-	-	(\$5,308)
Transfer for WCF Removals from CBP/MS/ES to MGMT/CIO	-	-	(\$1,448)
Transfer for WCF Removals from CBP/MS/ES to MGMT/CPO	-	-	(\$67)
Transfer for WCF Removals from CBP/MS/ES to MGMT/CRSO	-	-	(\$2,916)
Transfer for WCF Removals from CBP/MS/ES to MGMT/CSO	-	-	(\$4,961)
Transfer PMOD from CBP/MS/ES to CBP/USBP	-	-	(\$7,534)
Total Transfers	-	-	(\$27,768)
2020 Pay Raise	-	-	\$18,450
2021 Pay Raise	-	-	\$5,080
Adjustments to Prior Year Programming Base	-	-	\$34,045
Annualization of FY 2020 TFTEA Implementation Hiring	-	1	\$58
FERS Agency Contribution	-	-	\$6,397
FPS Fee Adjustment	-	-	\$2,414
GSA Rent	-	-	\$1,274
Total, Pricing Increases	-	1	\$67,718
Adjustments to Prior Year Enactments	-	-	(\$123,700)
Contract Efficiencies	-	-	(\$5,000)
Delay in Hiring	-	-	(\$3,767)
Non-Recur of FY 2020 TFTEA Implementation Hiring	-	-	(\$106)
Total, Pricing Decreases	-	-	(\$132,573)
Total Adjustments-to-Base	-	1	(\$92,623)
FY 2021 Current Services	4,229	3,859	\$1,444,709
Awards Spending Increase	-	-	\$6,639
Border Patrol Hiring	-	-	\$34,542

Mission Support – PPA
Enterprise Services – PPA II

Budget Formulation Activity <i>(Dollars in Thousands)</i>	Positions	FTE	Amount
Border Patrol Hiring Rebaseline	-	-	\$12,569
Child and Backup Care	-	-	\$1,550
Cloud Migration	-	-	\$23,391
COSS Transformation Initiative	-	-	\$813
Processing Coordinator Hiring	-	-	\$4,928
Rebaseline Workforce	(48)	(25)	\$2,402
Recruitment and Applicant Processing	-	-	\$17,600
Telematics	-	-	\$1,600
Trade Facilitation and Trade Enforcement Act	2	2	\$369
Total, Program Increases	(46)	(23)	\$106,403
Facilities and Asset Technical and Management Support	-	-	(\$2,915)
Facilities Maintenance	-	-	(\$2,561)
Total, Program Decreases	-	-	(\$5,476)
FY 2021 Request	4,183	3,836	\$1,545,636
FY 2020 To FY 2021 Change	(46)	(22)	\$8,304

Enterprise Services – PPA Level II Personnel Compensation and Benefits

Pay Summary

Organization (Dollars in Thousands)	FY 2019 Enacted				FY 2020 Enacted				FY 2021 President's Budget				FY 2020 to FY 2021 Total			
	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate
Enterprise Services	3,601	3,542	\$651,182	\$183.85	4,229	3,858	\$626,191	\$162.31	4,183	3,836	\$633,322	\$165.1	(46)	(22)	\$7,131	\$2.79
Total	3,601	3,542	\$651,182	\$183.85	4,229	3,858	\$626,191	\$162.31	4,183	3,836	\$633,322	\$165.1	(46)	(22)	\$7,131	\$2.79
Discretionary - Appropriation	3,601	3,542	\$651,182	\$183.85	4,229	3,858	\$626,191	\$162.31	4,183	3,836	\$633,322	\$165.1	(46)	(22)	\$7,131	\$2.79

* The FTE Rate calculation does not include Object Class 11.8-Special Personal Services Payments or 13.0-Benefits for Former Personnel.

Pay by Object Class

Pay Object Classes (Dollars in Thousands)	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget	FY 2020 - FY 2021 Change
11.1 Full-time Permanent	\$419,407	\$391,563	\$378,856	(\$12,707)
11.3 Other than Full-Time Permanent	\$1,904	\$1,656	\$1,656	-
11.5 Other Personnel Compensation	\$5,090	\$4,754	\$4,754	-
12.1 Civilian Personnel Benefits	\$224,781	\$228,218	\$248,056	\$19,838
Total - Personnel Compensation and Benefits	\$651,182	\$626,191	\$633,322	\$7,131
Positions and FTE				
Positions - Civilian	3,601	4,229	4,183	(46)
FTE - Civilian	3,542	3,858	3,836	(22)

Enterprise Services – PPA Level II Non Pay Budget Exhibits

Non Pay Summary

Organization (Dollars in Thousands)	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget	FY 2020 to FY 2021 Total Changes
Enterprise Services	\$831,336	\$911,141	\$912,314	\$1,173
Total	\$831,336	\$911,141	\$912,314	\$1,173
Discretionary - Appropriation	\$831,336	\$911,141	\$912,314	\$1,173

Non Pay by Object Class

Non-Pay Object Classes (Dollars in Thousands)	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget	FY 2020 to FY 2021 Change
21.0 Travel and Transportation of Persons	\$86,484	\$13,479	\$13,479	-
22.0 Transportation of Things	-	\$648	\$648	-
23.1 Rental Payments to GSA	\$140,534	\$128,943	\$136,898	\$7,955
23.2 Rental Payments to Others	\$433	\$421	\$421	-
23.3 Communications, Utilities, and Misc. Charges	\$18,444	\$41,067	\$34,716	(\$6,351)
24.0 Printing and Reproduction	\$2,670	\$2,801	\$2,801	-
25.2 Other Services from Non-Federal Sources	\$288,810	\$380,449	\$384,931	\$4,482
25.3 Other Goods and Services from Federal Sources	\$29,300	\$28,344	\$8,160	(\$20,184)
25.4 Operation and Maintenance of Facilities	\$44,104	\$46,217	\$48,631	\$2,414
25.6 Medical Care	\$9,722	\$20,368	\$20,368	-
25.7 Operation and Maintenance of Equipment	\$50,730	\$48,628	\$69,019	\$20,391
26.0 Supplies and Materials	\$52,839	\$47,171	\$47,171	-
31.0 Equipment	\$104,328	\$149,667	\$142,133	(\$7,534)
42.0 Insurance Claims and Indemnities	\$2,938	\$2,938	\$2,938	-
Total - Non Pay Object Classes	\$831,336	\$911,141	\$912,314	\$1,173

*Office of Professional Responsibility – PPA Level II***Budget Comparison and Adjustments****Comparison of Budget Authority and Request**

Organization <i>(Dollars in Thousands)</i>	FY 2019 Enacted			FY 2020 Enacted			FY 2021 President's Budget			FY 2020 to FY 2021 Total Changes		
	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount
Office of Professional Responsibility	593	585	\$196,528	741	749	\$209,052	696	729	\$227,500	(45)	(20)	\$18,448
Total	593	585	\$196,528	741	749	\$209,052	696	729	\$227,500	(45)	(20)	\$18,448
Subtotal Discretionary - Appropriation	593	585	\$196,528	741	749	\$209,052	696	729	\$227,500	(45)	(20)	\$18,448

PPA Level II Description

The Office of Professional Responsibility (OPR) is a stand-alone office led by an Assistant Commissioner (AC), who reports directly to the Commissioner of CBP. The AC has executive oversight of CBP's Integrity and Personal Accountability Strategy and the agency's efforts to prevent, detect, and investigate misconduct and corruption. The AC also serves as CBP's Chief Security Officer, responsible for ensuring the safety and security of CBP's assets and workforce.

Office of Professional Responsibility – PPA Level II

Summary of Budget Changes

Budget Formulation Activity <i>(Dollars in Thousands)</i>	Positions	FTE	Amount
FY 2019 Enacted	593	585	\$196,528
FY 2020 Enacted	741	749	\$209,052
FY 2021 Base Budget	741	749	\$209,052
Transfer for Mission Support Staffing Realignment from CBP/M	-	-	\$25
Total Transfers	-	-	\$25
2020 Pay Raise	-	-	\$3,354
2021 Pay Raise	-	-	\$1,000
Adjustments to Prior Year Programming Base	-	-	\$22,548
Annualization of FY 2020 Counter Intelligence and Insider Threat	-	3	\$445
FERS Agency Contribution	-	-	\$1,315
Total, Pricing Increases	-	3	\$28,662
Adjustments to Prior Year Enactments	-	-	(\$49,716)
Delay in Hiring	-	-	(\$668)
Non-Recur FY 2020 Counter Intelligence Insider Threat Hiring	-	-	(\$318)
Total, Pricing Decreases	-	-	(\$50,702)
Total Adjustments-to-Base	-	3	(\$22,015)
FY 2021 Current Services	741	752	\$187,037
Awards Spending Increase	-	-	\$406
Border Patrol Hiring	-	-	\$30,815
Border Patrol Hiring Rebaseline	-	-	\$10,271
Processing Coordinator Hiring	-	-	\$2,184
Total, Program Increases	-	-	\$43,676
Rebaseline Workforce	(45)	(23)	(\$3,213)
Total, Program Decreases	(45)	(23)	(\$3,213)
FY 2021 Request	696	729	\$227,500
FY 2020 To FY 2021 Change	(45)	(20)	\$18,448

Office of Professional Responsibility – PPA Level II

Personnel Compensation and Benefits

Pay Summary

Organization (Dollars in Thousands)	FY 2019 Enacted				FY 2020 Enacted				FY 2021 President's Budget				FY 2020 to FY 2021 Total			
	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate
Office of Professional Responsibility	593	585	\$127,072	\$217.22	741	749	\$126,045	\$168.28	696	729	\$135,709	\$186.16	(45)	(20)	\$9,664	\$17.88
Total	593	585	\$127,072	\$217.22	741	749	\$126,045	\$168.28	696	729	\$135,709	\$186.16	(45)	(20)	\$9,664	\$17.88
Discretionary - Appropriation	593	585	\$127,072	\$217.22	741	749	\$126,045	\$168.28	696	729	\$135,709	\$186.16	(45)	(20)	\$9,664	\$17.88

Pay by Object Class

Pay Object Classes (Dollars in Thousands)	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget	FY 2020 - FY 2021 Change
11.1 Full-time Permanent	\$89,775	\$86,533	\$93,492	\$6,959
11.3 Other than Full-Time Permanent	\$211	\$211	\$211	-
11.5 Other Personnel Compensation	\$7,238	\$7,238	\$7,238	-
12.1 Civilian Personnel Benefits	\$29,848	\$32,063	\$34,768	\$2,705
Total - Personnel Compensation and Benefits	\$127,072	\$126,045	\$135,709	\$9,664
Positions and FTE				
Positions - Civilian	593	741	696	(45)
FTE - Civilian	585	749	729	(20)

Office of Responsibility – PPA Level II
Non Pay Budget Exhibits

Non Pay Summary

Organization <i>(Dollars in Thousands)</i>	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget	FY 2020 to FY 2021 Total Changes
Office of Professional Responsibility	\$69,456	\$83,007	\$91,791	\$8,784
Total	\$69,456	\$83,007	\$91,791	\$8,784
Discretionary - Appropriation	\$69,456	\$83,007	\$91,791	\$8,784

Non Pay by Object Class

Non-Pay Object Classes <i>(Dollars in Thousands)</i>	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget	FY 2020 to FY 2021 Change
21.0 Travel and Transportation of Persons	\$2,582	\$3,441	\$3,441	-
22.0 Transportation of Things	\$118	-	-	-
23.3 Communications, Utilities, and Misc. Charges	\$580	\$1,510	\$1,510	-
24.0 Printing and Reproduction	-	\$6	\$6	-
25.2 Other Services from Non-Federal Sources	\$59,479	\$73,240	\$82,024	\$8,784
26.0 Supplies and Materials	\$956	\$763	\$763	-
31.0 Equipment	\$5,741	\$4,047	\$4,047	-
Total - Non Pay Object Classes	\$69,456	\$83,007	\$91,791	\$8,784

Executive Leadership and Oversight – PPA Level II**Budget Comparison and Adjustments****Comparison of Budget Authority and Request**

Organization <i>(Dollars in Thousands)</i>	FY 2019 Enacted			FY 2020 Enacted			FY 2021 President's Budget			FY 2020 to FY 2021 Total Changes		
	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount
Executive Leadership and Oversight	639	627	\$109,190	746	743	\$112,321	736	738	\$117,971	(10)	(5)	\$5,650
Total	639	627	\$109,190	746	743	\$112,321	736	738	\$117,971	(10)	(5)	\$5,650
Subtotal Discretionary - Appropriation	639	627	\$109,190	746	743	\$112,321	736	738	\$117,971	(10)	(5)	\$5,650

PPA Level II Description

The Executive Leadership and Oversight PPA encompasses the capabilities and activities that support the determination of long-term agency goals, managing the effectiveness of the agency, and improving capabilities and services. Funding in this PPA is distributed among the following offices: Office of the Commissioner; Office of Congressional Affairs; Office of Chief Counsel; and Office of Public Affairs.

Executive Leadership and Oversight – PPA Level II

Summary of Budget Changes

Budget Formulation Activity <i>(Dollars in Thousands)</i>	Positions	FTE	Amount
FY 2019 Enacted	639	627	\$109,190
FY 2020 Enacted	746	743	\$112,321
FY 2021 Base Budget	746	743	\$112,321
Transfer for Mission Support Staffing Realignment from CBP/M	-	-	\$25
Total Transfers	-	-	\$25
2020 Pay Raise	-	-	\$3,045
2021 Pay Raise	-	-	\$987
Adjustments to Prior Year Programming Base	-	-	\$1,492
FERS Agency Contribution	-	-	\$1,176
Total, Pricing Increases	-	-	\$6,700
Adjustments to Prior Year Enactments	-	-	(\$3,338)
Delay in Hiring	-	-	(\$587)
Total, Pricing Decreases	-	-	(\$3,925)
Total Adjustments-to-Base	-	-	\$2,800
FY 2021 Current Services	746	743	\$115,121
Awards Spending Increase	-	-	\$1,315
Border Patrol Hiring	-	-	\$119
Border Patrol Hiring Rebaseline	-	-	\$40
Rebaseline Workforce	(12)	(6)	\$1,126
Regulatory Reform Hiring	2	1	\$250
Total, Program Increases	(10)	(5)	\$2,850
FY 2021 Request	736	738	\$117,971
FY 2020 To FY 2021 Change	(10)	(5)	\$5,650

Executive Leadership and Oversight – PPA Level II

Personnel Compensation and Benefits

Pay Summary

Organization (Dollars in Thousands)	FY 2019 Enacted				FY 2020 Enacted				FY 2021 President's Budget				FY 2020 to FY 2021 Total			
	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate
Executive Leadership and Oversight	639	627	\$102,071	\$162.79	746	743	\$105,163	\$141.54	736	738	\$109,817	\$148.8	(10)	(5)	\$4,654	\$7.26
Total	639	627	\$102,071	\$162.79	746	743	\$105,163	\$141.54	736	738	\$109,817	\$148.8	(10)	(5)	\$4,654	\$7.26
Discretionary - Appropriation	639	627	\$102,071	\$162.79	746	743	\$105,163	\$141.54	736	738	\$109,817	\$148.8	(10)	(5)	\$4,654	\$7.26

Pay by Object Class

Pay Object Classes (Dollars in Thousands)	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget	FY 2020 - FY 2021 Change
11.1 Full-time Permanent	\$78,184	\$78,852	\$81,944	\$3,092
11.3 Other than Full-Time Permanent	\$619	\$619	\$619	-
11.5 Other Personnel Compensation	\$105	\$105	\$105	-
12.1 Civilian Personnel Benefits	\$23,163	\$25,587	\$27,149	\$1,562
Total - Personnel Compensation and Benefits	\$102,071	\$105,163	\$109,817	\$4,654
Positions and FTE				
Positions - Civilian	639	746	736	(10)
FTE - Civilian	627	743	738	(5)

Executive Leadership and Oversight – PPA Level II

Non Pay Budget Exhibits

Non Pay Summary

Organization (Dollars in Thousands)	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget	FY 2020 to FY 2021 Total Changes
Executive Leadership and Oversight	\$7,119	\$7,158	\$8,154	\$996
Total	\$7,119	\$7,158	\$8,154	\$996
Discretionary - Appropriation	\$7,119	\$7,158	\$8,154	\$996

Non Pay by Object Class

Non-Pay Object Classes (Dollars in Thousands)	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget	FY 2020 to FY 2021 Change
21.0 Travel and Transportation of Persons	\$1,494	\$1,802	\$1,802	-
22.0 Transportation of Things	\$13	\$65	\$65	-
23.1 Rental Payments to GSA	\$194	-	-	-
23.3 Communications, Utilities, and Misc. Charges	\$326	\$527	\$527	-
25.2 Other Services from Non-Federal Sources	\$3,664	\$3,719	\$4,715	\$996
25.7 Operation and Maintenance of Equipment	\$169	-	-	-
26.0 Supplies and Materials	\$389	\$382	\$382	-
31.0 Equipment	\$870	\$650	\$650	-
94.0 Financial Transfers	-	\$12	\$12	-
Total - Non Pay Object Classes	\$7,119	\$7,158	\$8,154	\$996

Border Security Operations – PPA**Budget Comparison and Adjustments****Comparison of Budget Authority and Request**

Organization (Dollars in Thousands)	FY 2019 Enacted			FY 2020 Enacted			FY 2021 President's Budget			FY 2020 to FY 2021 Total Changes		
	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount
US Border Patrol	21,160	21,096	\$4,678,852	23,799	22,370	\$4,858,308	23,879	22,437	\$4,960,786	80	67	\$102,478
Office of Training and Development	239	238	\$60,349	229	235	\$60,236	229	235	\$76,699	-	-	\$16,463
Total	21,399	21,334	\$4,739,201	24,028	22,605	\$4,918,544	24,108	22,672	\$5,037,485	80	67	\$118,941
Subtotal Discretionary - Appropriation	21,399	21,334	\$4,739,201	24,028	22,605	\$4,918,544	24,108	22,672	\$5,037,485	80	67	\$118,941

PPA Level I Description

The Border Security Operations program is charged with securing America's southern, northern, and certain coastal borders. Through the coordinated use of operational capabilities and assets of the USBP and AMO, CBP prevents terrorists and terrorist weapons, undocumented aliens, smugglers, narcotics, and other contraband from moving across the U.S. borders.

This PPA contains the following Level II PPAs:

U.S. Border Patrol (USBP): USBP is responsible for patrolling and securing the 6,000 miles of Mexican and Canadian international land borders and 2,000 miles of coastal waters surrounding the Florida peninsula and the island of Puerto Rico.

Office of Training and Development (OTD): OTD is responsible for providing all levels of training so that BPAs can carry out their assigned missions.

Border Security Operations – PPA

Budget Authority and Obligations

Budget Authority <i>(Dollars in Thousands)</i>	FY 2019	FY 2020	FY 2021
Enacted/Request	\$4,739,201	\$4,918,544	\$5,037,485
Carryover and/or Recoveries (Actual/Estimates/Projections)	\$57,482	\$746,485	\$485,452
Rescissions to Current Year/Budget Year	(\$349)	-	-
Net Sequestered Resources	-	-	-
Reprogrammings/Transfers	\$5,741	-	-
Supplementals	\$892,490	-	-
Total Budget Authority	\$5,694,565	\$5,665,029	\$5,522,937
Collections – Reimbursable Resources	\$3,939	\$3,939	\$3,939
Total Budget Resources	\$5,698,504	\$5,668,968	\$5,526,876
Obligations (Actual/Estimates/Projections)	\$4,952,019	\$5,183,516	\$5,041,424
Personnel: Positions and FTE			
Enacted/Request Positions	21,399	24,028	24,108
Enacted/Request FTE	21,334	22,605	22,672
Onboard and Actual FTE; Includes Collections - Reimbursable Resources			
Onboard (Actual/Estimates/Projections)	21,399	24,029	24,109
FTE (Actual/Estimates/Projections)	21,334	22,606	22,673

Border Security Operations – PPA Summary of Budget Changes

Budget Formulation Activity <i>(Dollars in Thousands)</i>	Positions	FTE	Amount
FY 2019 Enacted	21,399	21,334	\$4,739,201
FY 2020 Enacted	24,028	22,605	\$4,918,544
FY 2021 Base Budget	24,028	22,605	\$4,918,544
Transfer PMOD from CBP/MS/ES to CBP/USBP	-	-	\$7,534
Total Transfers	-	-	\$7,534
2020 Pay Raise	-	-	\$100,189
2021 Pay Raise	-	-	\$31,246
Adjustments to Prior Year Programming Base	-	-	\$140,258
FERS Agency Contribution	-	-	\$39,780
FPS Fee Adjustment	-	-	\$113
GSA Rent	-	-	\$5,036
Total, Pricing Increases	-	-	\$316,622
Adjustments to Prior Year Enactments	-	-	(\$88,583)
Delay in Hiring	-	-	(\$19,636)
Integrated Fixed Towers (IFT)	-	-	(\$5,765)
Mobile Surveillance Capabilities (MSC)	-	-	(\$4,553)
Non-Recur of the Emergency Appropriations	-	-	(\$203,000)
Transportation Inflation	-	-	(\$2,712)
USBP Facilities Design, Planning, and Minor Construction	-	-	(\$13,000)
Total, Pricing Decreases	-	-	(\$337,249)
Total Adjustments-to-Base	-	-	(\$13,093)
FY 2021 Current Services	24,028	22,605	\$4,905,451
Autonomous Surveillance Towers	-	-	\$12,400
Awards Spending Increase	-	-	\$41,359
Border Patrol Hiring	876	438	\$95,712
Border Patrol Hiring Rebaseline	250	250	\$31,163
Care for Migrants at Southern Border	-	-	\$32,219
Facilities Maintenance	-	-	\$46,596
Processing Coordinator Hiring	300	150	\$13,888
Small Unmanned Aircraft System (sUAS)	-	-	\$513

Operations and Support
Border Security Operations - PPA

Budget Formulation Activity <i>(Dollars in Thousands)</i>	Positions	FTE	Amount
Southwest Border Wall System	-	-	\$7,100
USBP Relocations and Retention	-	-	\$25,098
Total, Program Increases	1,426	838	\$306,048
Agent Portable Surveillance Systems	-	-	(\$560)
Cross Border Tunnel Threat	-	-	(\$1,150)
Integrated Fixed Towers (IFT)	-	-	(\$2,330)
Mobile Video Surveillance Systems (MVSS)	-	-	(\$6,737)
Northern Border Remote Video Surveillance System (NB RVSS)	-	-	(\$1,906)
Rebaseline Workforce	(1,346)	(771)	(\$92,468)
Remote Surveillance	-	-	(\$1,957)
Remote Video Surveillance System (RVSS)	-	-	(\$13,793)
Tactical Aerostats	-	-	(\$29,000)
Tactical Infrastructure	-	-	(\$14,113)
Unattended Ground Sensors/Imaging Sensors	-	-	(\$10,000)
Total, Program Decreases	(1,346)	(771)	(\$174,014)
FY 2021 Request	24,108	22,672	\$5,037,485
FY 2020 To FY 2021 Change	80	67	\$118,941

Border Security Operations – PPA Personnel Compensation and Benefits

Pay Summary

Organization (Dollars in Thousands)	FY 2019 Enacted				FY 2020 Enacted				FY 2021 President's Budget				FY 2020 to FY 2021 Total			
	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate
US Border Patrol	21,160	21,096	\$3,648,017	\$172.92	23,799	22,370	\$3,717,120	\$166.17	23,879	22,437	\$3,889,055	\$173.33	80	67	\$171,935	\$7.16
Office of Training and Development	239	238	\$43,184	\$181.45	229	235	\$41,251	\$175.54	229	235	\$44,670	\$190.09	-	-	\$3,419	\$14.55
Total	21,399	21,334	\$3,691,201	\$173.02	24,028	22,605	\$3,758,371	\$166.26	24,108	22,672	\$3,933,725	\$173.51	80	67	\$175,354	\$7.25
Discretionary - Appropriation	21,399	21,334	\$3,691,201	\$173.02	24,028	22,605	\$3,758,371	\$166.26	24,108	22,672	\$3,933,725	\$173.51	80	67	\$175,354	\$7.25

Pay by Object Class

Pay Object Classes (Dollars in Thousands)	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget	FY 2020 - FY 2021 Change
11.1 Full-time Permanent	\$1,870,279	\$1,875,911	\$1,996,967	\$121,056
11.3 Other than Full-Time Permanent	\$648	\$649	\$649	-
11.5 Other Personnel Compensation	\$551,470	\$550,369	\$551,598	\$1,229
12.1 Civilian Personnel Benefits	\$1,268,804	\$1,331,442	\$1,359,413	\$27,971
12.2 Military Personnel Benefits	-	-	\$25,098	\$25,098
Total - Personnel Compensation and Benefits	\$3,691,201	\$3,758,371	\$3,933,725	\$175,354
Positions and FTE				
Positions - Civilian	21,399	24,028	24,108	80
FTE - Civilian	21,334	22,605	22,672	67

Border Security Operations – PPA Non Pay Budget Exhibits

Non Pay Summary

Organization (Dollars in Thousands)	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget	FY 2020 to FY 2021 Total Changes
US Border Patrol	\$1,030,835	\$1,141,188	\$1,071,731	(\$69,457)
Office of Training and Development	\$17,165	\$18,985	\$32,029	\$13,044
Total	\$1,048,000	\$1,160,173	\$1,103,760	(\$56,413)
Discretionary - Appropriation	\$1,048,000	\$1,160,173	\$1,103,760	(\$56,413)

Non Pay by Object Class

Non-Pay Object Classes (Dollars in Thousands)	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget	FY 2020 to FY 2021 Change
21.0 Travel and Transportation of Persons	\$24,592	\$36,141	\$33,804	(\$2,337)
22.0 Transportation of Things	\$2,833	\$5,220	\$2,508	(\$2,712)
23.1 Rental Payments to GSA	\$87,363	\$61,057	\$66,093	\$5,036
23.2 Rental Payments to Others	\$22,910	\$22,910	\$22,910	-
23.3 Communications, Utilities, and Misc. Charges	\$28,874	\$27,406	\$27,429	\$23
25.2 Other Services from Non-Federal Sources	\$391,682	\$433,258	\$382,323	(\$50,935)
25.3 Other Goods and Services from Federal Sources	\$52	-	-	-
25.4 Operation and Maintenance of Facilities	\$78,298	\$133,903	\$177,612	\$43,709
25.7 Operation and Maintenance of Equipment	\$88,892	\$72,627	\$21,792	(\$50,835)
26.0 Supplies and Materials	\$111,932	\$181,087	\$181,207	\$120
31.0 Equipment	\$210,465	\$186,496	\$188,014	\$1,518
91.0 Unvouchered	\$107	-	-	-
94.0 Financial Transfers	-	\$68	\$68	-
Total - Non Pay Object Classes	\$1,048,000	\$1,160,173	\$1,103,760	(\$56,413)

*US Border Patrol – PPA Level II***Budget Comparison and Adjustments****Comparison of Budget Authority and Request**

Organization (Dollars in Thousands)	FY 2019 Enacted			FY 2020 Enacted			FY 2021 President's Budget			FY 2020 to FY 2021 Total Changes		
	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount
Operations	21,160	21,096	\$3,884,735	23,799	22,370	\$4,161,450	23,879	22,437	\$4,205,954	80	67	\$44,504
Assets and Support	-	-	\$794,117	-	-	\$696,858	-	-	\$754,832	-	-	\$57,974
Total	21,160	21,096	\$4,678,852	23,799	22,370	\$4,858,308	23,879	22,437	\$4,960,786	80	67	\$102,478
Subtotal Discretionary - Appropriation	21,160	21,096	\$4,678,852	23,799	22,370	\$4,858,308	23,879	22,437	\$4,960,786	80	67	\$102,478

PPA Level II Description

Through the coordinated use of the operational capabilities and assets of the USBP funded in this PPA, CBP prevents terrorists and terrorist weapons, undocumented aliens, smugglers, narcotics, and other contraband from moving across the southern, northern, and coastal borders of the United States.

This PPA contains the following Level III PPAs:

Operations: This PPA includes pay and non-pay funding for all USBP personnel.

Assets and Support: This PPA includes non-pay operations and maintenance funding for USBP technology, facilities, and vehicles.

US Border Patrol – PPA Level II Summary of Budget Changes

Budget Formulation Activity (Dollars in Thousands)	Positions	FTE	Amount
FY 2019 Enacted	21,160	21,096	\$4,678,852
FY 2020 Enacted	23,799	22,370	\$4,858,308
FY 2021 Base Budget	23,799	22,370	\$4,858,308
Transfer PMOD from CBP/MS/ES to CBP/USBP	-	-	\$7,534
Total Transfers	-	-	\$7,534
2020 Pay Raise	-	-	\$99,115
2021 Pay Raise	-	-	\$30,934
Adjustments to Prior Year Programming Base	-	-	\$126,891
FERS Agency Contribution	-	-	\$39,351
FPS Fee Adjustment	-	-	\$113
GSA Rent	-	-	\$5,036
Total, Pricing Increases	-	-	\$301,440
Adjustments to Prior Year Enactments	-	-	(\$68,261)
Delay in Hiring	-	-	(\$19,420)
Integrated Fixed Towers (IFT)	-	-	(\$5,765)
Mobile Surveillance Capabilities (MSC)	-	-	(\$4,553)
Non-Recur of the Emergency Appropriations	-	-	(\$203,000)
Transportation Inflation	-	-	(\$2,712)
USBP Facilities Design, Planning, and Minor Construction	-	-	(\$13,000)
Total, Pricing Decreases	-	-	(\$316,711)
Total Adjustments-to-Base	-	-	(\$7,737)
FY 2021 Current Services	23,799	22,370	\$4,850,571
Autonomous Surveillance Towers	-	-	\$12,400
Awards Spending Increase	-	-	\$41,115
Border Patrol Hiring	876	438	\$78,336
Border Patrol Hiring Rebaseline	250	250	\$25,371
Care for Migrants at Southern Border	-	-	\$32,219
Facilities Maintenance	-	-	\$46,596
Processing Coordinator Hiring	300	150	\$13,265
Small Unmanned Aircraft System (sUAS)	-	-	\$513

Border Security Operations - PPA
US Border Patrol – PPA II

Budget Formulation Activity <i>(Dollars in Thousands)</i>	Positions	FTE	Amount
Southwest Border Wall System	-	-	\$7,100
USBP Relocations and Retention	-	-	\$25,098
Total, Program Increases	1,426	838	\$282,013
Agent Portable Surveillance Systems	-	-	(\$560)
Cross Border Tunnel Threat	-	-	(\$1,150)
Integrated Fixed Towers (IFT)	-	-	(\$2,330)
Mobile Video Surveillance Systems (MVSS)	-	-	(\$6,737)
Northern Border Remote Video Surveillance System (NB RVSS)	-	-	(\$1,906)
Rebaseline Workforce	(1,346)	(771)	(\$90,252)
Remote Surveillance	-	-	(\$1,957)
Remote Video Surveillance System (RVSS)	-	-	(\$13,793)
Tactical Aerostats	-	-	(\$29,000)
Tactical Infrastructure	-	-	(\$14,113)
Unattended Ground Sensors/Imaging Sensors	-	-	(\$10,000)
Total, Program Decreases	(1,346)	(771)	(\$171,798)
FY 2021 Request	23,879	22,437	\$4,960,786
FY 2020 To FY 2021 Change	80	67	\$102,478

**US Border Patrol – PPA Level II
Personnel Compensation and Benefits**

Pay Summary

Organization <i>(Dollars in Thousands)</i>	FY 2019 Enacted				FY 2020 Enacted				FY 2021 President's Budget				FY 2020 to FY 2021 Total			
	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate
Operations	21,160	21,096	\$3,648,017	\$172.92	23,799	22,370	\$3,717,120	\$166.17	23,879	22,437	\$3,889,055	\$173.33	80	67	\$171,935	\$7.16
Total	21,160	21,096	\$3,648,017	\$172.92	23,799	22,370	\$3,717,120	\$166.17	23,879	22,437	\$3,889,055	\$173.33	80	67	\$171,935	\$7.16
Discretionary - Appropriation	21,160	21,096	\$3,648,017	\$172.92	23,799	22,370	\$3,717,120	\$166.17	23,879	22,437	\$3,889,055	\$173.33	80	67	\$171,935	\$7.16

Pay by Object Class

Pay Object Classes <i>(Dollars in Thousands)</i>	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget	FY 2020 - FY 2021 Change
11.1 Full-time Permanent	\$1,847,758	\$1,850,982	\$1,968,318	\$117,336
11.3 Other than Full-Time Permanent	\$648	\$649	\$649	-
11.5 Other Personnel Compensation	\$546,409	\$547,647	\$548,876	\$1,229
12.1 Civilian Personnel Benefits	\$1,253,202	\$1,317,842	\$1,346,114	\$28,272
12.2 Military Personnel Benefits	-	-	\$25,098	\$25,098
Total - Personnel Compensation and Benefits	\$3,648,017	\$3,717,120	\$3,889,055	\$171,935
Positions and FTE				
Positions - Civilian	21,160	23,799	23,879	80
FTE - Civilian	21,096	22,370	22,437	67

US Border Patrol – PPA Level II Non Pay Budget Exhibits

Non Pay Summary

Organization (Dollars in Thousands)	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget	FY 2020 to FY 2021 Total Changes
Operations	\$236,718	\$444,330	\$316,899	(\$127,431)
Assets and Support	\$794,117	\$696,858	\$754,832	\$57,974
Total	\$1,030,835	\$1,141,188	\$1,071,731	(\$69,457)
Discretionary - Appropriation	\$1,030,835	\$1,141,188	\$1,071,731	(\$69,457)

Non Pay by Object Class

Non-Pay Object Classes (Dollars in Thousands)	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget	FY 2020 to FY 2021 Change
21.0 Travel and Transportation of Persons	\$20,516	\$20,440	\$17,923	(\$2,517)
22.0 Transportation of Things	\$2,447	\$3,673	\$961	(\$2,712)
23.1 Rental Payments to GSA	\$87,363	\$61,057	\$66,093	\$5,036
23.2 Rental Payments to Others	\$22,910	\$22,910	\$22,910	-
23.3 Communications, Utilities, and Misc. Charges	\$28,787	\$27,406	\$27,406	-
25.2 Other Services from Non-Federal Sources	\$387,034	\$432,511	\$368,975	(\$63,536)
25.3 Other Goods and Services from Federal Sources	\$52	-	-	-
25.4 Operation and Maintenance of Facilities	\$78,298	\$133,903	\$177,612	\$43,709
25.7 Operation and Maintenance of Equipment	\$88,892	\$72,627	\$21,792	(\$50,835)
26.0 Supplies and Materials	\$107,938	\$180,701	\$180,701	-
31.0 Equipment	\$206,491	\$185,892	\$187,290	\$1,398
91.0 Unvouchered	\$107	-	-	-
94.0 Financial Transfers	-	\$68	\$68	-
Total - Non Pay Object Classes	\$1,030,835	\$1,141,188	\$1,071,731	(\$69,457)

*Operations – PPA Level III***Budget Comparison and Adjustments****Comparison of Budget Authority and Request**

Organization (Dollars in Thousands)	FY 2019 Enacted			FY 2020 Enacted			FY 2021 President's Budget			FY 2020 to FY 2021 Total Changes		
	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount
Operations	21,160	21,096	\$3,884,735	23,799	22,370	\$4,161,450	23,879	22,437	\$4,205,954	80	67	\$44,504
Total	21,160	21,096	\$3,884,735	23,799	22,370	\$4,161,450	23,879	22,437	\$4,205,954	80	67	\$44,504
Subtotal Discretionary - Appropriation	21,160	21,096	\$3,884,735	23,799	22,370	\$4,161,450	23,879	22,437	\$4,205,954	80	67	\$44,504

PPA Level III Description

This PPA funds the following USBP program areas:

Patrol, Surveillance, and Interdiction: The Patrol, Surveillance, and Interdiction program includes Line Watch, Canines, and Horse Patrol.

- *Line Watch*: USBP Agents patrol the Nation's land borders between the POEs to detect and apprehend illegal entrants into the United States, including smugglers of persons, drugs, cash, terrorist weapons and other contraband.
- *Canines*: USBP canines detect concealed humans and narcotics in vehicles, primarily at traffic checkpoints. Search and rescue canines perform large area searches and tracking operations to assist agents with rescue and apprehension missions in arduous environments.
- *Horse Patrol*: USBP horse patrol assets provide access to remote regions of the border that are inaccessible by foot/vehicle while minimizing ecological impacts to property. These areas include expansive portions of the border that contain environmentally protected lands, wildlife refuges, park land, and reservations.

Enforcement Processing, Adjudication, and Resolution: This program supports activities related to processing migrants, including supplies and equipment for temporary detention.

Intelligence Collection and Gathering: This program supports agents working on tactical intelligence activities relating to emerging threats within CBP's border security mission on the domestic and international fronts. Such activities allow USBP to more effectively plan, collect, identify, analyze, and disseminate relevant tactical intelligence-related information. This includes the Confidential Human Source (CHS) and the Law Enforcement (LE) Technical Collections programs.

Domain Awareness and Interdiction: This program supports USBP agents working in coordination with AMO to detect, interdict, and prevent acts of terrorism and the unlawful movement of people, illegal drugs, and other contraband toward or across the borders of the United States.

Mission Support Functions: This program includes the following activities:

- *Policy, Planning, Governance:* Supports ongoing strategic planning, oversight, printing services, and annual services including printing, records management, legal and public affairs.
- *Human Resources (HR):* Supports hiring, formulating HR policy, maintaining HR systems, managing employee compensation and benefits, and operational costs of travel and training. It also includes passport/visa expenses for international agent work in conjunction with the Office of International Affairs (INA) and the Department of State.
- *Information Technology Management:* Provides agent support equipment, including night vision goggles (NVG), long range thermal detectors, short range thermal detectors, handheld GPS units, handheld binoculars, and flashlights; and provides infrastructure services (equipment maintenance and replacement), including computer workstations, laptops, printer/scanners, phone licenses, recurring costs for data circuits, and annual wireless services for USBP Headquarters.
- *Executive Office and Finance:* Provides pay funding to provide support to the field operations, direct operations and operational programs, oversee planning and analysis, manage funding, lead the workforce, and report to Congress and all stakeholders.
- *Border Patrol Technology Management:* Provides staff to support USBP's various technologies via the administration of policy and management oversight for major acquisition programs. The staff provides direct and indirect technical and operational advice; manages and oversees the in-service engineering activity charged to manage system technical baselines deployed to the field; and provides oversight and guidance on all business and financial management related aspects.

Operations – PPA Level III Summary of Budget Changes

Budget Formulation Activity <i>(Dollars in Thousands)</i>	Positions	FTE	Amount
FY 2019 Enacted	21,160	21,096	\$3,884,735
FY 2020 Enacted	23,799	22,370	\$4,161,450
FY 2021 Base Budget	23,799	22,370	\$4,161,450
Transfer for SW Field Office from CBP/BSO/USB/OPS to CBP/BSO	-	-	(\$2,142)
Total Transfers	-	-	(\$2,142)
2020 Pay Raise	-	-	\$99,115
2021 Pay Raise	-	-	\$30,934
Adjustments to Prior Year Programming Base	-	-	\$81,147
FERS Agency Contribution	-	-	\$39,351
Total, Pricing Increases	-	-	\$250,547
Adjustments to Prior Year Enactments	-	-	(\$50,767)
Delay in Hiring	-	-	(\$19,420)
Non-Recur of the Emergency Appropriations	-	-	(\$203,000)
Total, Pricing Decreases	-	-	(\$273,187)
Total Adjustments-to-Base	-	-	(\$24,782)
FY 2021 Current Services	23,799	22,370	\$4,136,668
Awards Spending Increase	-	-	\$41,115
Border Patrol Hiring	876	438	\$49,331
Border Patrol Hiring Rebaseline	250	250	\$15,703
Care for Migrants at Southern Border	-	-	\$20,000
Processing Coordinator Hiring	300	150	\$8,291
USBP Relocations and Retention	-	-	\$25,098
Total, Program Increases	1,426	838	\$159,538
Rebaseline Workforce	(1,346)	(771)	(\$90,252)
Total, Program Decreases	(1,346)	(771)	(\$90,252)
FY 2021 Request	23,879	22,437	\$4,205,954
FY 2020 To FY 2021 Change	80	67	\$44,504

Operations – PPA Level III
Personnel Compensation and Benefits

Pay Summary

Organization (Dollars in Thousands)	FY 2019 Enacted				FY 2020 Enacted				FY 2021 President's Budget				FY 2020 to FY 2021 Total			
	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate
Operations	21,160	21,096	\$3,648,017	\$172.92	23,799	22,370	\$3,717,120	\$166.17	23,879	22,437	\$3,889,055	\$173.33	80	67	\$171,935	\$7.16
Total	21,160	21,096	\$3,648,017	\$172.92	23,799	22,370	\$3,717,120	\$166.17	23,879	22,437	\$3,889,055	\$173.33	80	67	\$171,935	\$7.16
Discretionary - Appropriation	21,160	21,096	\$3,648,017	\$172.92	23,799	22,370	\$3,717,120	\$166.17	23,879	22,437	\$3,889,055	\$173.33	80	67	\$171,935	\$7.16

Pay by Object Class

Pay Object Classes (Dollars in Thousands)	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget	FY 2020 - FY 2021 Change
11.1 Full-time Permanent	\$1,847,758	\$1,850,982	\$1,968,318	\$117,336
11.3 Other than Full-Time Permanent	\$648	\$649	\$649	-
11.5 Other Personnel Compensation	\$546,409	\$547,647	\$548,876	\$1,229
12.1 Civilian Personnel Benefits	\$1,253,202	\$1,317,842	\$1,346,114	\$28,272
12.2 Military Personnel Benefits	-	-	\$25,098	\$25,098
Total - Personnel Compensation and Benefits	\$3,648,017	\$3,717,120	\$3,889,055	\$171,935
Positions and FTE				
Positions - Civilian	21,160	23,799	23,879	80
FTE - Civilian	21,096	22,370	22,437	67

Operations – PPA Level III Non Pay Budget Exhibits

Non Pay Summary

Organization (Dollars in Thousands)	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget	FY 2020 to FY 2021 Total Changes
Operations	\$236,718	\$444,330	\$316,899	(\$127,431)
Total	\$236,718	\$444,330	\$316,899	(\$127,431)
Discretionary - Appropriation	\$236,718	\$444,330	\$316,899	(\$127,431)

Non Pay by Object Class

Non-Pay Object Classes (Dollars in Thousands)	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget	FY 2020 to FY 2021 Change
21.0 Travel and Transportation of Persons	\$19,083	\$18,684	\$18,684	-
22.0 Transportation of Things	\$2,447	\$2,436	\$2,436	-
23.2 Rental Payments to Others	\$9,500	\$9,500	\$9,500	-
23.3 Communications, Utilities, and Misc. Charges	\$8,281	\$7,900	\$7,900	-
25.2 Other Services from Non-Federal Sources	\$10,516	\$139,680	\$12,249	(\$127,431)
25.3 Other Goods and Services from Federal Sources	\$52	-	-	-
25.7 Operation and Maintenance of Equipment	\$6,971	\$4,726	\$4,726	-
26.0 Supplies and Materials	\$107,268	\$180,093	\$180,093	-
31.0 Equipment	\$72,493	\$81,243	\$81,243	-
91.0 Unvouchered	\$107	-	-	-
94.0 Financial Transfers	-	\$68	\$68	-
Total - Non Pay Object Classes	\$236,718	\$444,330	\$316,899	(\$127,431)

*Assets and Support – PPA Level III***Budget Comparison and Adjustments****Comparison of Budget Authority and Request**

Organization (Dollars in Thousands)	FY 2019 Enacted			FY 2020 Enacted			FY 2021 President's Budget			FY 2020 to FY 2021 Total Changes		
	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount
Assets and Support	-	-	\$794,117	-	-	\$696,858	-	-	\$754,832	-	-	\$57,974
Total	-	-	\$794,117	-	-	\$696,858	-	-	\$754,832	-	-	\$57,974
Subtotal Discretionary - Appropriation	-	-	\$794,117	-	-	\$696,858	-	-	\$754,832	-	-	\$57,974

PPA Level III Description

This PPA provides funding for USBP asset and acquisition management for equipment, technologies, facilities, and vehicles. Much of this funding is for procurement of items with an end-item unit cost below the PC&I threshold – for those items, totaling \$196,983, the budget requests a two-year period of availability.

Assets and Support – PPA Level III Summary of Budget Changes

Budget Formulation Activity <i>(Dollars in Thousands)</i>	Positions	FTE	Amount
FY 2019 Enacted	-	-	\$794,117
FY 2020 Enacted	-	-	\$696,858
FY 2021 Base Budget	-	-	\$696,858
Transfer for SW Field Office from CBP/BSO/USB/OPS to CBP/BSO	-	-	\$2,142
Transfer PMOD from CBP/MS/ES to CBP/USBP	-	-	\$7,534
Total Transfers	-	-	\$9,676
Adjustments to Prior Year Programming Base	-	-	\$45,744
FPS Fee Adjustment	-	-	\$113
GSA Rent	-	-	\$5,036
Total, Pricing Increases	-	-	\$50,893
Adjustments to Prior Year Enactments	-	-	(\$17,494)
Integrated Fixed Towers (IFT)	-	-	(\$5,765)
Mobile Surveillance Capabilities (MSC)	-	-	(\$4,553)
Transportation Inflation	-	-	(\$2,712)
USBP Facilities Design, Planning, and Minor Construction	-	-	(\$13,000)
Total, Pricing Decreases	-	-	(\$43,524)
Total Adjustments-to-Base	-	-	\$17,045
FY 2021 Current Services	-	-	\$713,903
Autonomous Surveillance Towers	-	-	\$12,400
Border Patrol Hiring	-	-	\$29,005
Border Patrol Hiring Rebaseline	-	-	\$9,668
Care for Migrants at Southern Border	-	-	\$12,219
Facilities Maintenance	-	-	\$46,596
Processing Coordinator Hiring	-	-	\$4,974
Small Unmanned Aircraft System (sUAS)	-	-	\$513
Southwest Border Wall System	-	-	\$7,100
Total, Program Increases	-	-	\$122,475
Agent Portable Surveillance Systems	-	-	(\$560)
Cross Border Tunnel Threat	-	-	(\$1,150)
Integrated Fixed Towers (IFT)	-	-	(\$2,330)

US Border Patrol – PPA II
Assets and Support - PPA III

Budget Formulation Activity <i>(Dollars in Thousands)</i>	Positions	FTE	Amount
Mobile Video Surveillance Systems (MVSS)	-	-	(\$6,737)
Northern Border Remote Video Surveillance System (NB RVSS)	-	-	(\$1,906)
Remote Surveillance	-	-	(\$1,957)
Remote Video Surveillance System (RVSS)	-	-	(\$13,793)
Tactical Aerostats	-	-	(\$29,000)
Tactical Infrastructure	-	-	(\$14,113)
Unattended Ground Sensors/Imaging Sensors	-	-	(\$10,000)
Total, Program Decreases	-	-	(\$81,546)
FY 2021 Request	-	-	\$754,832
FY 2020 To FY 2021 Change	-	-	\$57,974

Assets and Support – PPA Level III
Non Pay Budget Exhibits

Non Pay Summary

Organization <i>(Dollars in Thousands)</i>	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget	FY 2020 to FY 2021 Total Changes
Assets and Support	\$794,117	\$696,858	\$754,832	\$57,974
Total	\$794,117	\$696,858	\$754,832	\$57,974
Discretionary - Appropriation	\$794,117	\$696,858	\$754,832	\$57,974

Non Pay by Object Class

Non-Pay Object Classes <i>(Dollars in Thousands)</i>	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget	FY 2020 to FY 2021 Change
21.0 Travel and Transportation of Persons	\$1,433	\$1,756	(\$761)	(\$2,517)
22.0 Transportation of Things	-	\$1,237	(\$1,475)	(\$2,712)
23.1 Rental Payments to GSA	\$87,363	\$61,057	\$66,093	\$5,036
23.2 Rental Payments to Others	\$13,410	\$13,410	\$13,410	-
23.3 Communications, Utilities, and Misc. Charges	\$20,506	\$19,506	\$19,506	-
25.2 Other Services from Non-Federal Sources	\$376,518	\$292,831	\$356,726	\$63,895
25.4 Operation and Maintenance of Facilities	\$78,298	\$133,903	\$177,612	\$43,709
25.7 Operation and Maintenance of Equipment	\$81,921	\$67,901	\$17,066	(\$50,835)
26.0 Supplies and Materials	\$670	\$608	\$608	-
31.0 Equipment	\$133,998	\$104,649	\$106,047	\$1,398
Total - Non Pay Object Classes	\$794,117	\$696,858	\$754,832	\$57,974

*Office of Training and Development – PPA Level II***Budget Comparison and Adjustments****Comparison of Budget Authority and Request**

Organization <i>(Dollars in Thousands)</i>	FY 2019 Enacted			FY 2020 Enacted			FY 2021 President's Budget			FY 2020 to FY 2021 Total Changes		
	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount
Office of Training and Development	239	238	\$60,349	229	235	\$60,236	229	235	\$76,699	-	-	\$16,463
Total	239	238	\$60,349	229	235	\$60,236	229	235	\$76,699	-	-	\$16,463
Subtotal Discretionary - Appropriation	239	238	\$60,349	229	235	\$60,236	229	235	\$76,699	-	-	\$16,463

PPA Level II Description

This PPA funds the training costs of the USBP agents responsible for the front line border security mission of CBP, the associated operating costs of the Border Patrol Academy in Artesia, NM, and the associated operating costs of the Canine Center in El Paso, TX. This includes curriculum development, design, and instruction for Basic Training; Driver Training; BP Operations/Planning; Canine; Special Operations Groups; Riverine Training; and Instructor Training.

Office of Training and Development – PPA Level II

Summary of Budget Changes

Budget Formulation Activity <i>(Dollars in Thousands)</i>	Positions	FTE	Amount
FY 2019 Enacted	239	238	\$60,349
FY 2020 Enacted	229	235	\$60,236
FY 2021 Base Budget	229	235	\$60,236
2020 Pay Raise	-	-	\$1,074
2021 Pay Raise	-	-	\$312
Adjustments to Prior Year Programming Base	-	-	\$13,367
FERS Agency Contribution	-	-	\$429
Total, Pricing Increases	-	-	\$15,182
Adjustments to Prior Year Enactments	-	-	(\$20,322)
Delay in Hiring	-	-	(\$216)
Total, Pricing Decreases	-	-	(\$20,538)
Total Adjustments-to-Base	-	-	(\$5,356)
FY 2021 Current Services	229	235	\$54,880
Awards Spending Increase	-	-	\$244
Border Patrol Hiring	-	-	\$17,376
Border Patrol Hiring Rebaseline	-	-	\$5,792
Processing Coordinator Hiring	-	-	\$623
Total, Program Increases	-	-	\$24,035
Rebaseline Workforce	-	-	(\$2,216)
Total, Program Decreases	-	-	(\$2,216)
FY 2021 Request	229	235	\$76,699
FY 2020 To FY 2021 Change	-	-	\$16,463

Office of Training and Development – PPA Level II
Personnel Compensation and Benefits

Pay Summary

Organization (Dollars in Thousands)	FY 2019 Enacted				FY 2020 Enacted				FY 2021 President's Budget				FY 2020 to FY 2021 Total			
	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate
Office of Training and Development	239	238	\$43,184	\$181.45	229	235	\$41,251	\$175.54	229	235	\$44,670	\$190.09	-	-	\$3,419	\$14.55
Total	239	238	\$43,184	\$181.45	229	235	\$41,251	\$175.54	229	235	\$44,670	\$190.09	-	-	\$3,419	\$14.55
Discretionary - Appropriation	239	238	\$43,184	\$181.45	229	235	\$41,251	\$175.54	229	235	\$44,670	\$190.09	-	-	\$3,419	\$14.55

Pay by Object Class

Pay Object Classes (Dollars in Thousands)	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget	FY 2020 - FY 2021 Change
11.1 Full-time Permanent	\$22,521	\$24,929	\$28,649	\$3,720
11.5 Other Personnel Compensation	\$5,061	\$2,722	\$2,722	-
12.1 Civilian Personnel Benefits	\$15,602	\$13,600	\$13,299	(\$301)
Total - Personnel Compensation and Benefits	\$43,184	\$41,251	\$44,670	\$3,419
Positions and FTE				
Positions - Civilian	239	229	229	-
FTE - Civilian	238	235	235	-

Office and Training and Development – PPA Level II

Non Pay Budget Exhibits

Non Pay Summary

Organization (Dollars in Thousands)	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget	FY 2020 to FY 2021 Total Changes
Office of Training and Development	\$17,165	\$18,985	\$32,029	\$13,044
Total	\$17,165	\$18,985	\$32,029	\$13,044
Discretionary - Appropriation	\$17,165	\$18,985	\$32,029	\$13,044

Non Pay by Object Class

Non-Pay Object Classes (Dollars in Thousands)	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget	FY 2020 to FY 2021 Change
21.0 Travel and Transportation of Persons	\$4,076	\$15,701	\$15,881	\$180
22.0 Transportation of Things	\$386	\$1,547	\$1,547	-
23.3 Communications, Utilities, and Misc. Charges	\$87	-	\$23	\$23
25.2 Other Services from Non-Federal Sources	\$4,648	\$747	\$13,348	\$12,601
26.0 Supplies and Materials	\$3,994	\$386	\$506	\$120
31.0 Equipment	\$3,974	\$604	\$724	\$120
Total - Non Pay Object Classes	\$17,165	\$18,985	\$32,029	\$13,044

*Trade and Travel Operations – PPA***Budget Comparison and Adjustments****Comparison of Budget Authority and Request**

Organization (Dollars in Thousands)	FY 2019 Enacted			FY 2020 Enacted			FY 2021 President's Budget			FY 2020 to FY 2021 Total Changes		
	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount
Office of Field Operations	19,007	18,401	\$4,240,629	18,243	17,374	\$4,444,156	18,243	17,259	\$4,518,232	-	(115)	\$74,076
Office of Trade	905	903	\$260,395	1,004	1,022	\$279,362	1,053	1,046	\$292,557	49	24	\$13,195
Office of Training and Development	175	169	\$61,677	206	207	\$65,515	200	201	\$63,163	(6)	(6)	(\$2,352)
Total	20,087	19,473	\$4,562,701	19,453	18,603	\$4,789,033	19,496	18,506	\$4,873,952	43	(97)	\$84,919
Subtotal Discretionary - Appropriation	20,087	19,473	\$4,562,701	19,453	18,603	\$4,789,033	19,496	18,506	\$4,873,952	43	(97)	\$84,919

PPA Level I Description

The Trade and Travel Operations program allows the Department to better intercept potential threats prior to and upon arrival at the POEs, before they can cause harm, while simultaneously expediting legal trade and travel. The program includes a multi-layered system of people, technology, intelligence, risk information, targeting, international cooperation, and expanded shipper and traveler vetting that provides greater flexibility and capacity to accomplish these functions prior to arrival at the U.S. border.

CBP extends security and strategic and tactical awareness beyond U.S. physical borders through bilateral cooperation with other nations, private-sector partnerships, expanded targeting, and advance scrutiny of information on people and products coming into this country.

Several of the inspection activities performed at POEs and their associated costs are authorized to be reimbursed by user fee revenues that are remitted to CBP on quarterly or monthly basis. To manage the funding relationship between O&S appropriated funds and user fees, CBP leverages the O&S appropriation to fund activities related to the performance of customs, immigration, and agriculture inspections at POEs and then reimburses O&S to the extent fees are available from the Immigrations Inspection, Agriculture Quarantine Inspection, and Consolidated Omnibus Reconciliation Act (COBRA) inspections fees, along with reimbursable programs and public-private partnerships.

This PPA contains the following Level II PPAs:

Office of Field Operations (OFO): This PPA includes all appropriated O&S funding for OFO, which represents approximately 63.8% of total OFO funding, as well as related funding for facilities maintenance and information technology.

Office of Trade (OT): OT plays a critical role in defending America’s economic security by enforcing current trade laws and implementing new measures to align to the Administration’s vision of protecting American industry and creating jobs by leveling the playing field for American businesses.

Office of Training and Development (OTD): OTD is responsible for providing all levels of training so that CBPOs and OT personnel can carry out their assigned missions.

Trade and Travel Operations – PPA Budget Authority and Obligations

Budget Authority <i>(Dollars in Thousands)</i>	FY 2019	FY 2020	FY 2021
Enacted/Request	\$4,562,701	\$4,789,033	\$4,873,952
Carryover and/or Recoveries (Actual/Estimates/Projections)	\$28,385	\$123,565	\$391,849
Rescissions to Current Year/Budget Year	(\$3,705)	-	-
Net Sequestered Resources	-	-	-
Reprogrammings/Transfers	(\$39,116)	-	-
Supplementals	\$45,220	-	-
Total Budget Authority	\$4,593,485	\$4,912,598	\$5,265,801
Collections – Reimbursable Resources	\$42,703	\$42,703	\$42,703
Total Budget Resources	\$4,636,188	\$4,955,301	\$5,308,504
Obligations (Actual/Estimates/Projections)	\$4,512,623	\$4,639,949	\$4,916,655
Personnel: Positions and FTE			
Enacted/Request Positions	20,087	19,453	19,496
Enacted/Request FTE	19,473	18,603	18,506
Onboard and Actual FTE; Includes Collections - Reimbursable Resources			
Onboard (Actual/Estimates/Projections)	20,087	19,565	19,608
FTE (Actual/Estimates/Projections)	19,473	18,715	18,618

Trade and Travel Operations – PPA Summary of Budget Changes

Budget Formulation Activity <i>(Dollars in Thousands)</i>	Positions	FTE	Amount
FY 2019 Enacted	20,087	19,473	\$4,562,701
FY 2020 Enacted	19,453	18,603	\$4,789,033
FY 2021 Base Budget	19,453	18,603	\$4,789,033
Transfer for Air and Marine Academy Personnel from CBP/TTO/O	(6)	(6)	(\$1,124)
Total Transfers	(6)	(6)	(\$1,124)
2020 Pay Raise	-	-	\$131,359
2021 Pay Raise	-	-	\$24,712
Adjustments to Prior Year Enactments	-	-	\$19,534
Annualization of FY 2020 National Vetting Center Hiring	-	10	\$692
Annualization of FY 2020 OFO Staff Hiring	-	133	\$8,859
FERS Agency Contribution	-	-	\$24,932
FPS Fee Adjustment	-	-	\$2,607
GSA Rent	-	-	\$20,194
National Targeting Center	-	-	\$1,257
Total, Pricing Increases	-	143	\$234,146
Adjustments to Prior Year Programming Base	-	-	(\$103,232)
Automated Commercial Environment (ACE)	-	-	(\$5,036)
Delay in Hiring	-	-	(\$29,398)
Gordie Howe International Bridge Land Border Integration	-	-	(\$5,000)
Intelligent Enforcement	-	-	(\$9,200)
Non-Recur FY 2020 National Vetting Center Hiring Costs	-	-	(\$37)
Non-Recur FY 2020 OFO Staff Hiring Costs	-	-	(\$5,156)
Opioid Detection Equipment and Safeguards	-	-	(\$2,731)
Total, Pricing Decreases	-	-	(\$159,790)
Total Adjustments-to-Base	(6)	137	\$73,232
FY 2021 Current Services	19,447	18,740	\$4,862,265
Advanced Trade Analytics Platform	3	2	\$5,000
Awards Spending Increase	-	-	\$15,965
Facilities Maintenance	-	-	\$2,043
National Vetting Center	-	-	\$35,423

Operations and Support

Trade and Travel Operations – PPA I

Budget Formulation Activity <i>(Dollars in Thousands)</i>	Positions	FTE	Amount
Non-Intrusive Inspection (NII) Systems	-	-	\$25,000
Trade Agreement, Remedies & Enforcement Personnel	50	25	\$8,052
Total, Program Increases	53	27	\$91,483
Arrival & Departure Information System (ADIS)	-	-	(\$3,684)
Electronic Visa Update System	-	-	(\$13,830)
Increase Reliance on User Fees	-	(258)	(\$53,300)
Rebaseline Workforce	(4)	(3)	(\$4,170)
Vehicles Reduction	-	-	(\$4,812)
Total, Program Decreases	(4)	(261)	(\$79,796)
FY 2021 Request	19,496	18,506	\$4,873,952
FY 2020 To FY 2021 Change	43	(97)	\$84,919

Trade and Travel Operations – PPA Personnel Compensation and Benefits

Pay Summary

Organization (Dollars in Thousands)	FY 2019 Enacted				FY 2020 Enacted				FY 2021 President's Budget				FY 2020 to FY 2021 Total			
	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate
Office of Field Operations	19,007	18,401	\$3,046,281	\$165.55	18,243	17,374	\$3,171,293	\$182.53	18,243	17,259	\$3,163,035	\$183.27	-	(115)	(\$8,258)	\$0.74
Office of Trade	905	903	\$143,182	\$158.56	1,004	1,022	\$161,309	\$157.84	1,053	1,046	\$173,346	\$165.72	49	24	\$12,037	\$7.88
Office of Training and Development	175	169	\$39,649	\$234.61	206	207	\$40,107	\$193.75	200	201	\$40,364	\$200.82	(6)	(6)	\$257	\$7.07
Total	20,087	19,473	\$3,229,112	\$165.83	19,453	18,603	\$3,372,709	\$181.3	19,496	18,506	\$3,376,745	\$182.47	43	(97)	\$4,036	\$1.17
Discretionary - Appropriation	20,087	19,473	\$3,229,112	\$165.83	19,453	18,603	\$3,372,709	\$181.3	19,496	18,506	\$3,376,745	\$182.47	43	(97)	\$4,036	\$1.17

Pay by Object Class

Pay Object Classes (Dollars in Thousands)	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget	FY 2020 - FY 2021 Change
11.1 Full-time Permanent	\$1,984,258	\$2,130,080	\$2,137,553	\$7,473
11.3 Other than Full-Time Permanent	\$7,952	\$7,202	\$7,250	\$48
11.5 Other Personnel Compensation	\$274,108	\$295,624	\$295,624	-
12.1 Civilian Personnel Benefits	\$962,794	\$939,803	\$936,318	(\$3,485)
Total - Personnel Compensation and Benefits	\$3,229,112	\$3,372,709	\$3,376,745	\$4,036
Positions and FTE				
Positions - Civilian	20,087	19,453	19,496	43
FTE - Civilian	19,473	18,603	18,506	(97)

Trade and Travel Operations – PPA Non Pay Budget Exhibits

Non Pay Summary

Organization (Dollars in Thousands)	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget	FY 2020 to FY 2021 Total Changes
Office of Field Operations	\$1,194,348	\$1,272,863	\$1,355,197	\$82,334
Office of Trade	\$117,213	\$118,053	\$119,211	\$1,158
Office of Training and Development	\$22,028	\$25,408	\$22,799	(\$2,609)
Total	\$1,333,589	\$1,416,324	\$1,497,207	\$80,883
Discretionary - Appropriation	\$1,333,589	\$1,416,324	\$1,497,207	\$80,883

Non Pay by Object Class

Non-Pay Object Classes (Dollars in Thousands)	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget	FY 2020 to FY 2021 Change
21.0 Travel and Transportation of Persons	\$49,580	\$53,061	\$53,061	-
22.0 Transportation of Things	\$5,249	\$5,948	\$5,948	-
23.1 Rental Payments to GSA	\$383,878	\$441,139	\$452,334	\$11,195
23.2 Rental Payments to Others	\$6,401	\$13,039	\$13,039	-
23.3 Communications, Utilities, and Misc. Charges	\$30,951	\$21,037	\$30,036	\$8,999
24.0 Printing and Reproduction	\$18	\$34	\$34	-
25.2 Other Services from Non-Federal Sources	\$689,492	\$723,603	\$752,542	\$28,939
25.3 Other Goods and Services from Federal Sources	\$7	\$1,007	\$1,007	-
25.4 Operation and Maintenance of Facilities	\$29,611	\$28,240	\$65,190	\$36,950
25.7 Operation and Maintenance of Equipment	\$16	\$971	\$3,314	\$2,343
26.0 Supplies and Materials	\$25,889	\$20,931	\$20,931	-
31.0 Equipment	\$112,497	\$107,314	\$99,771	(\$7,543)
Total - Non Pay Object Classes	\$1,333,589	\$1,416,324	\$1,497,207	\$80,883

*Office of Field Operations – PPA Level II***Budget Comparison and Adjustments****Comparison of Budget Authority and Request**

Organization <i>(Dollars in Thousands)</i>	FY 2019 Enacted			FY 2020 Enacted			FY 2021 President's Budget			FY 2020 to FY 2021 Total Changes		
	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount
Domestic Operations	17,319	16,772	\$2,942,710	16,315	15,687	\$3,074,199	16,315	15,562	\$3,060,903	-	(125)	(\$13,296)
International Operations	840	836	\$155,217	940	675	\$144,940	940	675	\$152,390	-	-	\$7,450
Targeting Operations	848	793	\$250,528	988	1,012	\$241,449	988	1,022	\$288,031	-	10	\$46,582
Assets and Support	-	-	\$892,174	-	-	\$983,568	-	-	\$1,016,908	-	-	\$33,340
Total	19,007	18,401	\$4,240,629	18,243	17,374	\$4,444,156	18,243	17,259	\$4,518,232	-	(115)	\$74,076
Subtotal Discretionary - Appropriation	19,007	18,401	\$4,240,629	18,243	17,374	\$4,444,156	18,243	17,259	\$4,518,232	-	(115)	\$74,076

PPA Level II Description

The Office of Field Operations (OFO) is the law enforcement component responsible for carrying out CBP's complex and demanding border security mission at all POEs. OFO facilitates the lawful access of people and goods to the Nation by securing and expediting international trade and travel.

In addition to appropriated funds, OFO receives funding from 27 sources, including user fees, trust funds, and other reimbursable private sources. In some cases, such as with the Virgin Islands Deposit Fund (VIDF), the funding source is dedicated to a specific activity and cannot, by law, be supplemented with appropriations. However, in many cases, CBP does not recover the full cost of activities, which were designed to be fully reimbursed from their respective funding sources. For that reason, it is important to understand the relationship between appropriations and a number of other funding sources that support CBP and OFO's mission. User Fees and other reimbursable activities will be addressed in full in their appropriate sections of CBP's Budget Justification; however, the fees will also be referenced in moderation throughout the Trade and Travel PPA annual appropriations sections in order to provide this full funding scope and profile context to appropriators.

Office of Field Operations – PPA Level II

Summary of Budget Changes

Budget Formulation Activity (Dollars in Thousands)	Positions	FTE	Amount
FY 2019 Enacted	19,007	18,401	\$4,240,629
FY 2020 Enacted	18,243	17,374	\$4,444,156
FY 2021 Base Budget	18,243	17,374	\$4,444,156
2020 Pay Raise	-	-	\$125,838
2021 Pay Raise	-	-	\$23,087
Adjustments to Prior Year Enactments	-	-	\$42,667
Annualization of FY 2020 National Vetting Center Hiring	-	10	\$692
Annualization of FY 2020 OFO Staff Hiring	-	133	\$8,859
FERS Agency Contribution	-	-	\$23,112
FPS Fee Adjustment	-	-	\$2,607
GSA Rent	-	-	\$20,194
National Targeting Center	-	-	\$1,257
Total, Pricing Increases	-	143	\$248,313
Adjustments to Prior Year Programming Base	-	-	(\$130,323)
Delay in Hiring	-	-	(\$28,295)
Gordie Howe International Bridge Land Border Integration	-	-	(\$5,000)
Non-Recur FY 2020 National Vetting Center Hiring Costs	-	-	(\$37)
Non-Recur FY 2020 OFO Staff Hiring Costs	-	-	(\$5,156)
Opioid Detection Equipment and Safeguards	-	-	(\$2,731)
Total, Pricing Decreases	-	-	(\$171,542)
Total Adjustments-to-Base	-	143	\$76,771
FY 2021 Current Services	18,243	17,517	\$4,520,927
Awards Spending Increase	-	-	\$13,818
Facilities Maintenance	-	-	\$2,043
National Vetting Center	-	-	\$35,423
Non-Intrusive Inspection (NII) Systems	-	-	\$25,000
Total, Program Increases	-	-	\$76,284
Arrival & Departure Information System (ADIS)	-	-	(\$3,684)
Electronic Visa Update System	-	-	(\$13,830)
Increase Reliance on User Fees	-	(258)	(\$53,300)

Trade and Travel Operations – PPA

Office of Field Operations – PPA II

Budget Formulation Activity <i>(Dollars in Thousands)</i>	Positions	FTE	Amount
Rebaseline Workforce	-	-	(\$3,353)
Vehicles Reduction	-	-	(\$4,812)
Total, Program Decreases	-	(258)	(\$78,979)
FY 2021 Request	18,243	17,259	\$4,518,232
FY 2020 To FY 2021 Change	-	(115)	\$74,076

Office of Field Operations – PPA Level II
Personnel Compensation and Benefits

Pay Summary

Organization (Dollars in Thousands)	FY 2019 Enacted				FY 2020 Enacted				FY 2021 President's Budget				FY 2020 to FY 2021 Total			
	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate
Domestic Operations	17,319	16,772	\$2,769,180	\$165.11	16,315	15,687	\$2,900,133	\$184.87	16,315	15,562	\$2,883,912	\$185.32	-	(125)	(\$16,221)	\$0.45
International Operations	840	836	\$119,889	\$143.41	940	675	\$117,612	\$174.24	940	675	\$121,701	\$180.3	-	-	\$4,089	\$6.06
Targeting Operations	848	793	\$157,212	\$198.25	988	1,012	\$153,548	\$151.73	988	1,022	\$157,422	\$154.03	-	10	\$3,874	\$2.3
Total	19,007	18,401	\$3,046,281	\$165.55	18,243	17,374	\$3,171,293	\$182.53	18,243	17,259	\$3,163,035	\$183.27	-	(115)	(\$8,258)	\$0.74
Discretionary - Appropriation	19,007	18,401	\$3,046,281	\$165.55	18,243	17,374	\$3,171,293	\$182.53	18,243	17,259	\$3,163,035	\$183.27	-	(115)	(\$8,258)	\$0.74

Pay by Object Class

Pay Object Classes (Dollars in Thousands)	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget	FY 2020 - FY 2021 Change
11.1 Full-time Permanent	\$1,856,122	\$1,973,994	\$1,970,759	(\$3,235)
11.3 Other than Full-Time Permanent	\$7,492	\$6,742	\$6,790	\$48
11.5 Other Personnel Compensation	\$272,244	\$294,675	\$294,675	-
12.1 Civilian Personnel Benefits	\$910,423	\$895,882	\$890,811	(\$5,071)
Total - Personnel Compensation and Benefits	\$3,046,281	\$3,171,293	\$3,163,035	(\$8,258)
Positions and FTE				
Positions - Civilian	19,007	18,243	18,243	-
FTE - Civilian	18,401	17,374	17,259	(115)

Office of Field Operations – PPA Level II
Non Pay Budget Exhibits

Non Pay Summary

Organization <i>(Dollars in Thousands)</i>	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget	FY 2020 to FY 2021 Total Changes
Domestic Operations	\$173,530	\$174,066	\$176,991	\$2,925
International Operations	\$35,328	\$27,328	\$30,689	\$3,361
Targeting Operations	\$93,316	\$87,901	\$130,609	\$42,708
Assets and Support	\$892,174	\$983,568	\$1,016,908	\$33,340
Total	\$1,194,348	\$1,272,863	\$1,355,197	\$82,334
Discretionary - Appropriation	\$1,194,348	\$1,272,863	\$1,355,197	\$82,334

Non Pay by Object Class

Non-Pay Object Classes <i>(Dollars in Thousands)</i>	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget	FY 2020 to FY 2021 Change
21.0 Travel and Transportation of Persons	\$40,866	\$40,899	\$40,899	-
22.0 Transportation of Things	\$4,119	\$4,119	\$4,119	-
23.1 Rental Payments to GSA	\$382,765	\$440,994	\$452,189	\$11,195
23.2 Rental Payments to Others	\$6,294	\$11,517	\$11,517	-
23.3 Communications, Utilities, and Misc. Charges	\$30,796	\$20,718	\$29,717	\$8,999
24.0 Printing and Reproduction	\$18	\$34	\$34	-
25.2 Other Services from Non-Federal Sources	\$604,764	\$620,821	\$653,554	\$32,733
25.4 Operation and Maintenance of Facilities	\$29,611	\$28,240	\$65,190	\$36,950
26.0 Supplies and Materials	\$20,934	\$20,924	\$20,924	-
31.0 Equipment	\$74,181	\$84,597	\$77,054	(\$7,543)
Total - Non Pay Object Classes	\$1,194,348	\$1,272,863	\$1,355,197	\$82,334

Domestic Operations – PPA Level III**Budget Comparison and Adjustments****Comparison of Budget Authority and Request**

Organization (Dollars in Thousands)	FY 2019 Enacted			FY 2020 Enacted			FY 2021 President's Budget			FY 2020 to FY 2021 Total Changes		
	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount
Domestic Operations	17,319	16,772	\$2,942,710	16,315	15,687	\$3,074,199	16,315	15,562	\$3,060,903	-	(125)	(\$13,296)
Total	17,319	16,772	\$2,942,710	16,315	15,687	\$3,074,199	16,315	15,562	\$3,060,903	-	(125)	(\$13,296)
Subtotal Discretionary - Appropriation	17,319	16,772	\$2,942,710	16,315	15,687	\$3,074,199	16,315	15,562	\$3,060,903	-	(125)	(\$13,296)

PPA Level III Description

Domestic Operations is responsible for border security, including anti-terrorism, immigration, anti-smuggling, trade compliance, and agriculture protection – while simultaneously facilitating the lawful trade and travel at U.S. POEs. This PPA provides funding for OFO’s Domestic Operations, including Passenger, Trade, Agriculture, and other programs and activities that support OFO’s Domestic safety, security, and facilitation mission; and for CBPOs, Agriculture Specialists, Trade and Revenue staff, and mission and operational support staff, who are deployed to POEs, Field Offices, and the NTC. These deployments and a combination of technology, intelligence, risk information and segmentation, targeting, and international cooperation enable CBP to screen international travelers and cargo, both inbound and outbound.

CBP’s fee-funded activities, while generally intended to operate based on full cost recovery, are supplemented to varying extents by appropriated funds in this PPA. This depends upon workload volumes, the availability of user fee eligible expenses, and other mission priorities.

To maximize employee resources, OFO utilizes overtime to address core operational staffing requirements as well as surge requirements in lieu of hiring additional personnel. Overtime provides the agency with greater flexibility to ensure sufficient frontline personnel assigned to address peak travel times. CBP’s *Customs Officer Pay Reform Act* (COPRA) overtime system is rooted in law (19 U.S.C. § 267) and provides for CBPOs to be paid at two times their basic hourly rate for work in excess of eight hours per day.

Office of Field Operations – PPA II
Programs Funded by Appropriations:

Domestic Operations - PPA III

OFO Domestic Operations Funding Profile				
Program Name <i>(Dollars in Thousands)</i>	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget	FY 2020 to FY 2021 Total Changes
Frontline Operations Pay and Support	\$2,860,090	\$3,005,416	\$3,005,950	\$534
Entry Exit and Biometric Programs	\$12,284	\$12,284	\$12,284	\$0
ADIS Program Office	\$1,409	\$1,403	\$1,403	\$0
EVUS	\$27,661	\$13,830	\$0	(\$13,830)
Radios	\$741	\$741	\$741	\$0
C-TPAT	\$40,525	\$40,525	\$40,525	\$0
TOTAL	\$2,942,710	\$3,074,199	\$3,060,903	(\$13,296)

Frontline Operations Pay and Support: Includes funding that supports the majority of CBPOs, Trade and Revenue personnel, and mission support positions in the POEs, Centers, and Headquarters.

Entry Exit & Biometrics Programs: CBP is the leading organization within DHS responsible for developing and implementing a comprehensive Entry/Exit system to confirm the identity of travelers arriving and departing the United States utilizing biometrics. CBP's comprehensive Entry/Exit Strategy is focused on three primary efforts (1) closing biographic entry/exit gaps; (2) leveraging existing technology for near-term targeted biometric operations, and (3) long-term entry/exit transformation.

CBP is accelerating the deployment of a biometric exit system in the air environment building upon existing operational platforms and using proven biometric technologies. Although funding is appropriated, the effort is primarily resourced through user fees collected by companies sponsoring H-1B and L-1 visas. More information can be found below and in the 9-11 Response and Biometric Exit Account fee section.

Arrival and Departure Information System (ADIS): ADIS is a system for the storage and use of biographics, biometric indicator, and encounter data on aliens who have applied for entry, entered, or departed the United States. ADIS is primarily funded in the OFO/Assets and Support PPA and is described fully in that section of this document. Funds in this PPA support the staffing and management of the ADIS program office.

Electronic Visa Update System (EVUS): EVUS is CBP's electronic system for periodic updates of biographic information, currently applicable only for nationals of the People's Republic of China (PRC) possessing 10-year B-1, B-2, or B-1/B-2 nonimmigrant visas. In FY 2021, CBP proposes fee-funding of EVUS, rather than funding through appropriation.

Radios: TALMEC manages the overall radio program. This portion of the program includes resources for radios and radio communications, including maintenance, repair, and replacement.

Customs Trade Partnership against Terrorism (CTPAT): CTPAT is a voluntary public-private partnership between CBP and over 11,550 private trade industry partners that enhances border security through ensuring the security of the international supply chain, allowing CBP to focus its limited resources on higher-risk targets. The program conducts regular validations and revalidation of supply chain security efforts annually.

CTPAT provides an unprecedented, dual-focused strategy that enhances security, while also expediting the flow of cargo and conveyances. CTPAT benefits include a reduced rate of security examination, priority processing when business resumes after an incident, priority consideration by the Centers of Excellence and Expertise, and penalty mitigation. CTPAT sea carriers and supply chain partners enjoy benefits under the Advanced Qualified Unlading Approval (AQUA) Lane. Membership in CTPAT also provides partners with access to a Supply Chain Security Specialist.

Programs Funded by User Fees Supporting Domestic Trade and Travel

The following programs are primarily funded via user fees, but not all are full cost recovery. As a result, appropriated funding is used to close the resource gap.

Biometric Exit Account: The Biometric Exit User fee is authorized by the *FY 2016 DHS Appropriations Act* (P.L. 114-113), and authorizes up to \$1.0 billion over a period of 10 years, dependent on actual fee collections, for the implementation of a Biometric Entry-Exit Program. Actual collections are 44% below the Congressional Budget Office (CBO) original estimates of \$115M a year. DHS began collecting fee funds via the 9/11 Response and Biometric Exit Account in the second fiscal quarter of FY 2016, resulting in a total collection of \$78.4 million for FY 2016, \$62.3 million in FY 2017, \$59.5 million in FY 2018, and \$59.1 million in FY 2019 for a total of \$259.3 million collected through the end of FY 2019. FY 2020 collections are estimated to match the FY 2019 collections level of \$59 million based on current collections. CBP does not expect to receive \$1.0 billion over 10 years based on the current collection trend.

CBP will continue to deploy a biometric exit capability in the air environment. In line with CBP's vision for biometric exit, CBP will continue to work in partnership with the air travel industry in the implementation of the Biometric Entry-Exit Program. CBP has developed a device-agnostic back-end system and infrastructure that allows for private-sector investment in front-end infrastructure, such as biometrically enabled self-service baggage kiosks, facial recognition self-boarding gates, and other biometrically enabled services.

Electronic System for Travel Authorization (ESTA): The implementation of the ESTA program enabled greater security of U.S. borders and allows the United States to receive updated traveler information for Visa Waiver Program travelers coming from 38 countries participating in the program. As of January 22, 2020, ESTA has received over 153 million applications with a continuing compliance rate of over 99%. Fees paid by ESTA applicants fully support costs associated with the program. More information about the fee, including the operational processing fee (\$4) and surcharge fee (\$17), can be found in the ESTA User Fee section.

Trusted Traveler Programs (TTP): CBP operates four voluntary Trusted Traveler Programs (TTP), including Global Entry, NEXUS, the Secure Electronic Network for Travelers Rapid Inspection (SENTRI), and Free and Secure Trade (FAST) programs, which allow certain pre-approved travelers dedicated processing at ports of entry. These programs permit CBP to expedite the processing of known, low risk, vetted travelers arriving into the United States, allowing CBP officers additional time to focus on higher risk, unknown travelers. More information about SENTRI, NEXUS, Global Entry, and FAST can be found in their respective User Fee budget justifications.

Express Consignment Carrier Facilities: CBP operations at Express Consignment Facilities (ECFs) are primarily funded through the Express Consignment Carrier Fee (ECCF), supplemented by appropriated funds. Express courier shipments represent a significant threat to national security and are at high risk for drugs, illicit documents, international property rights violations, and undeclared monetary instruments compared to a standard POE. For more information, please see the COBRA/Express Consignment User Fee Budget Justification.

The Agricultural Quarantine and Inspection (AQI) Fee and OFO Domestic Agriculture Operations: The AQI fee is collected by USDA. A portion of the collected fee is transferred to CBP to fund the AQI program that is managed by the Agriculture Programs and Trade Liaison (APTL) Directorate. APTL is responsible for the CBP agriculture mission of pest exclusion and safeguarding U.S. agriculture from the risks associated with the entry, establishment, or spread of plant pests and pathogens, noxious weeds, and exotic foreign animal diseases. At the POEs, AQI fee funds strengthen targeting and screening capabilities of agriculture commodities, continue CBP systems enhancements for agriculture data and risk management of arriving cargo and travelers, support agriculture canine teams training, and increase CBP awareness of illicit importation of potentially biohazardous biologicals. The AQI user fee has historically funded Agriculture Specialists and AQI activities at the POEs, with the exception of land border inspections of vehicles, pedestrians, and buses, where AQI user fees are currently not collected.

Electronic Visa Update System (EVUS): EVUS is CBP's electronic system for periodic updates of biographic information for visitors from the People's Republic of China (PRC), possessing 10-year B1/B2 visas. PRC citizens have extremely limited access to embassies, consulates, or other means of sharing info with U.S. government agencies. EVUS provides anyone with access to the internet the capability to update biographic info in near real-time and receive a permission to travel within 72 hours. The system enables CBP to facilitate admissibility determinations post-visa issuance before passengers initiate travel to the US. EVUS receives, captures, and adjudicates these enrollees in near-real time, 24 hours a day, and 365 days a year; greatly enhancing CBP's ability to achieve its mission of safeguarding America's borders.

In conjunction with the FY 2021 President's Budget, CBP is submitting a legislative proposal to Congress that would require a \$10 fee be assessed for each EVUS submission. The fee would maintain the program in lieu of relying upon annual appropriations.

Programs Funded Through Alternative Sources

Reimbursable Services Program (RSP): The RSP enables partnerships between CBP and private sector or government entities, allowing CBP to provide additional inspection services on a reimbursable basis upon request for stakeholders. This program does not fund base service levels or resources but supplements enhancement requests for service that CBP would not perform without these agreements. These services can include customs, immigration, agricultural processing, border security, and support at any facility where CBP provides or will provide services. CBP submits an annual report to Congress titled Public Private Partnership Activities and Fee Agreements as set forth in Section 481 of the *Homeland Security Act of 2002*, which was amended by the *Cross-Border Trade Enhancement Act of 2016*.

Donation Acceptance Program: The Donation Acceptance Program enables CBP and the General Services Administration to accept real property, personal property, monetary, and non-personal services donations from private sector and government entities for POE construction, alterations, and operations and maintenance-related activities.

Opioid Smuggling

Seizures of fentanyl and other smuggled opioids decreased from 1,875 pounds in FY 2017 to 1,747 pounds in FY 2018. In FY 2019, a total of 2,560 pounds of fentanyl was seized, an increase of 46.5 percent from FY 2018. In response to the rise in seizures of fentanyl and other opioids, CBP instituted employee training on presumptive testing devices to improve OFO's capability to identify and interdict fentanyl and other opioids. Deploying presumptive testing devices along the land border POEs and at the airports has become a critical aspect of CBP's strategy to quickly identify the presence of fentanyl and other opiates.

OFO is also focusing some effort on improving detection of fentanyl and other opioids. OFO canines are successfully trained to detect fentanyl. OFO is also researching, with the DHS Science and Technology Directorate (S&T), new technologies that may be successful in detecting fentanyl and other opioids in the express consignment and international mail environment.

Centers of Excellence and Expertise

The Centers of Excellence and Expertise (Centers) are permanent organizational offices that have national authority to make trade decisions and manage over \$2.0 trillion in imports across all POEs. Import Specialists, Entry Specialists, Liquidation Specialists, CBP Technicians, Center Directors, Assistant Directors, Program Managers, National Account Managers, and Operations Specialists are working collaboratively to meet CBP's goals of strengthening America's economic competitiveness, enhancing industry knowledge and expertise, developing innovative trade processing procedures, and applying strategic and impactful trade enforcement actions.

The Centers centralize transactional level activity for an importer of shipments and imports that enter through various POEs. The Centers make decisions and determinations that can be applied to all transactions, such as entry summary validations and protests on a national basis. Generally, each importer is assigned to an industry sector administered by a specific Center based on the predominant commodity being imported. Account-based processing provides the trade with a greater level of predictability and positions CBP to increase uniformity in the decision making process.

The Centers' missions are to strengthen America's economic competitiveness and security through integrated industry knowledge and expertise, innovative trade processing procedures and trend analysis, global collaboration, and strategic and impactful trade enforcement actions. The Centers mission complements CBP's trade mission and is accomplished through the following focused stratagems:

- Strategically process post-release trade activities within industry sectors on a national basis.
- Focus on industry-specific efficiencies to facilitate trade, reduce transaction costs, and increase uniformity and consistency.
- Serve as an industry-focused resource for the public and private sectors.
- Assess trade risks on an account and industry-wide basis to increase compliance with import laws, protect the American public and economy, and enhance the effectiveness of enforcement efforts.

Domestic Operations – PPA Level III Summary of Budget Changes

Budget Formulation Activity <i>(Dollars in Thousands)</i>	Positions	FTE	Amount
FY 2019 Enacted	17,319	16,772	\$2,942,710
FY 2020 Enacted	16,315	15,687	\$3,074,199
FY 2021 Base Budget	16,315	15,687	\$3,074,199
2020 Pay Raise	-	-	\$118,621
2021 Pay Raise	-	-	\$20,846
Adjustments to Prior Year Enactments	-	-	\$44,138
Annualization of FY 2020 OFO Staff Hiring	-	133	\$8,859
FERS Agency Contribution	-	-	\$21,177
Total, Pricing Increases	-	133	\$213,641
Adjustments to Prior Year Programming Base	-	-	(\$136,758)
Delay in Hiring	-	-	(\$26,879)
Non-Recur FY 2020 OFO Staff Hiring Costs	-	-	(\$5,156)
Opioid Detection Equipment and Safeguards	-	-	(\$2,731)
Total, Pricing Decreases	-	-	(\$171,524)
Total Adjustments-to-Base	-	133	\$42,117
FY 2021 Current Services	16,315	15,820	\$3,116,316
Awards Spending Increase	-	-	\$10,830
Rebaseline Workforce	-	-	\$887
Total, Program Increases	-	-	\$11,717
Electronic Visa Update System	-	-	(\$13,830)
Increase Reliance on User Fees	-	(258)	(\$53,300)
Total, Program Decreases	-	(258)	(\$67,130)
FY 2021 Request	16,315	15,562	\$3,060,903
FY 2020 To FY 2021 Change	-	(125)	(\$13,296)

**Domestic Operations – PPA Level III
Personnel Compensation and Benefits**

Pay Summary

Organization (Dollars in Thousands)	FY 2019 Enacted				FY 2020 Enacted				FY 2021 President's Budget				FY 2020 to FY 2021 Total			
	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate
Domestic Operations	17,319	16,772	\$2,769,180	\$165.11	16,315	15,687	\$2,900,133	\$184.87	16,315	15,562	\$2,883,912	\$185.32	-	(125)	(\$16,221)	\$0.45
Total	17,319	16,772	\$2,769,180	\$165.11	16,315	15,687	\$2,900,133	\$184.87	16,315	15,562	\$2,883,912	\$185.32	-	(125)	(\$16,221)	\$0.45
Discretionary - Appropriation	17,319	16,772	\$2,769,180	\$165.11	16,315	15,687	\$2,900,133	\$184.87	16,315	15,562	\$2,883,912	\$185.32	-	(125)	(\$16,221)	\$0.45

Pay by Object Class

Pay Object Classes (Dollars in Thousands)	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget	FY 2020 - FY 2021 Change
11.1 Full-time Permanent	\$1,689,099	\$1,802,270	\$1,792,824	(\$9,446)
11.3 Other than Full-Time Permanent	\$7,242	\$6,568	\$6,608	\$40
11.5 Other Personnel Compensation	\$252,334	\$273,900	\$273,900	-
12.1 Civilian Personnel Benefits	\$820,505	\$817,395	\$810,580	(\$6,815)
Total - Personnel Compensation and Benefits	\$2,769,180	\$2,900,133	\$2,883,912	(\$16,221)
Positions and FTE				
Positions - Civilian	17,319	16,315	16,315	-
FTE - Civilian	16,772	15,687	15,562	(125)

Domestic Operations – PPA Level III

Non Pay Budget Exhibits

Non Pay Summary

Organization (Dollars in Thousands)	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget	FY 2020 to FY 2021 Total Changes
Domestic Operations	\$173,530	\$174,066	\$176,991	\$2,925
Total	\$173,530	\$174,066	\$176,991	\$2,925
Discretionary - Appropriation	\$173,530	\$174,066	\$176,991	\$2,925

Non Pay by Object Class

Non-Pay Object Classes (Dollars in Thousands)	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget	FY 2020 to FY 2021 Change
21.0 Travel and Transportation of Persons	\$18,094	\$17,197	\$17,197	-
22.0 Transportation of Things	\$2,147	\$2,147	\$2,147	-
23.1 Rental Payments to GSA	\$495	\$495	\$495	-
23.2 Rental Payments to Others	\$232	\$232	\$232	-
23.3 Communications, Utilities, and Misc. Charges	\$15,509	\$15,474	\$15,474	-
24.0 Printing and Reproduction	\$1	-	-	-
25.2 Other Services from Non-Federal Sources	\$106,833	\$99,455	\$105,111	\$5,656
26.0 Supplies and Materials	\$17,788	\$17,784	\$17,784	-
31.0 Equipment	\$12,431	\$21,282	\$18,551	(\$2,731)
Total - Non Pay Object Classes	\$173,530	\$174,066	\$176,991	\$2,925

International Operations – PPA Level III**Budget Comparison and Adjustments****Comparison of Budget Authority and Request**

Organization (Dollars in Thousands)	FY 2019 Enacted			FY 2020 Enacted			FY 2021 President's Budget			FY 2020 to FY 2021 Total Changes		
	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount
International Operations	840	836	\$155,217	940	675	\$144,940	940	675	\$152,390	-	-	\$7,450
Total	840	836	\$155,217	940	675	\$144,940	940	675	\$152,390	-	-	\$7,450
Subtotal Discretionary - Appropriation	840	836	\$155,217	940	675	\$144,940	940	675	\$152,390	-	-	\$7,450

PPA Level III Description

CBP leverages programs like Preclearance, the Immigration Advisory Program (IAP), and the Container Security Initiative (CSI) to extend security, strategic awareness and tactical awareness beyond U.S. physical borders. CBP uses bilateral cooperation with other nations, private sector partnerships, expanded targeting, and advance scrutiny of information on people and products coming into this country to achieve this mission. Funding in this PPA is distributed among the following programs:

OFO International Operations Funding Profile				
Program Name (Dollars in Thousands)	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget	FY 2020 to FY 2021 Total Changes
Container Security Initiative	\$70,191	\$60,730	\$68,180	\$7,450
Preclearance	\$70,816	\$70,000	\$70,000	\$0
Immigration Advisory Program & Joint Security Program	\$14,210	\$14,210	\$14,210	\$0
Total	\$155,217	\$144,940	\$152,390	\$7,450

Container Security Initiative: The Container Security Initiative (CSI) addresses threats to border security and global trade posed by the potential for the terrorist use of a maritime container to introduce weapons of mass effect or weapons of mass destruction. In addition, CSI addresses additional threats such as narcotics, dual use commodities, weapons, and trade violations. CBP deploys CBPOs in CSI-designated foreign seaports to ensure that the highest risk cargo is examined prior to being laden on a vessel destined for the U.S. Approximately 80 percent of containerized maritime cargo destined for the U.S. originates in or transits through a CSI port. FY 2021 funding will maintain CSI's current operational status in 62 ports in 36 countries.

Preclearance: Preclearance is the strategic stationing of CBPOs at foreign airports to inspect and process travelers prior to boarding U.S.-bound flights. FY 2021 funding supports more than 600 law enforcement officers and agriculture specialists stationed at 15 air Preclearance locations in six countries.

CBP performs the same immigration, customs, and agriculture inspections of international air travelers at Preclearance locations before departure from foreign airports instead of when those passengers arrive at domestic POEs. Removing existing flights from domestic CBP queues not only frees up capacity, it also improves the overall passenger experience. For example, Preclearance in Dublin, Ireland, saves passengers nearly 50% of passenger wait time by reducing the overall time spent in queue awaiting CBP processing.

Immigration Advisory Program (IAP) and Joint Security Program (JSP): The IAP is designed to prevent terrorists and other high-risk travelers from boarding commercial aircraft destined to the United States. JSP offices work side-by-side with host government law enforcement to engage travelers arriving into, transiting, and departing from the host country, and to identify air passengers linked to terrorism, narcotics, illicit currency, and human smuggling. To carry out IAP, small CBP officer teams are deployed to work with foreign law enforcement and air carriers at key airports in host countries. IAP teams work collaboratively to identify high-risk passengers based on either advance targeting information or an assessment of a passenger's documents to provide air carriers with boarding recommendations, effectively extending the zone of security beyond the physical borders of the United States. With advance targeting support from the NTC, IAP and JSP officers are uniquely positioned to identify watch listed travelers and to assess the potential risk of non-watch listed individuals identified through roving and intelligence-based targeting.

IAP and JSP expanded its operational base to include the deployment of Police Liaison Officers (LO) to foreign partner law enforcement agencies to enhance information exchange posed by terrorist and criminal travel. In FY 2021, CBP will continue its staggered transition of TDY IAP, JSP, and LO deployments to PCS personnel to enhance continuity of operations and to secure diplomatic protections and immunities for its overseas staff.

International Operations – PPA Level III

Summary of Budget Changes

Budget Formulation Activity <i>(Dollars in Thousands)</i>	Positions	FTE	Amount
FY 2019 Enacted	840	836	\$155,217
FY 2020 Enacted	940	675	\$144,940
FY 2021 Base Budget	940	675	\$144,940
2020 Pay Raise	-	-	\$3,066
2021 Pay Raise	-	-	\$897
Adjustments to Prior Year Programming Base	-	-	\$12,002
FERS Agency Contribution	-	-	\$997
Total, Pricing Increases	-	-	\$16,962
Adjustments to Prior Year Enactments	-	-	(\$8,641)
Delay in Hiring	-	-	(\$614)
Total, Pricing Decreases	-	-	(\$9,255)
Total Adjustments-to-Base	-	-	\$7,707
FY 2021 Current Services	940	675	\$152,647
Awards Spending Increase	-	-	\$1,195
Total, Program Increases	-	-	\$1,195
Rebaseline Workforce	-	-	(\$1,452)
Total, Program Decreases	-	-	(\$1,452)
FY 2021 Request	940	675	\$152,390
FY 2020 To FY 2021 Change	-	-	\$7,450

International Operations – PPA Level III Personnel Compensation and Benefits

Pay Summary

Organization (Dollars in Thousands)	FY 2019 Enacted				FY 2020 Enacted				FY 2021 President's Budget				FY 2020 to FY 2021 Total			
	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate
International Operations	840	836	\$119,889	\$143.41	940	675	\$117,612	\$174.24	940	675	\$121,701	\$180.3	-	-	\$4,089	\$6.06
Total	840	836	\$119,889	\$143.41	940	675	\$117,612	\$174.24	940	675	\$121,701	\$180.3	-	-	\$4,089	\$6.06
Discretionary - Appropriation	840	836	\$119,889	\$143.41	940	675	\$117,612	\$174.24	940	675	\$121,701	\$180.3	-	-	\$4,089	\$6.06

Pay by Object Class

Pay Object Classes (Dollars in Thousands)	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget	FY 2020 - FY 2021 Change
11.1 Full-time Permanent	\$72,996	\$72,662	\$75,694	\$3,032
11.3 Other than Full-Time Permanent	\$250	\$174	\$182	\$8
11.5 Other Personnel Compensation	\$8,160	\$9,025	\$9,025	-
12.1 Civilian Personnel Benefits	\$38,483	\$35,751	\$36,800	\$1,049
Total - Personnel Compensation and Benefits	\$119,889	\$117,612	\$121,701	\$4,089
Positions and FTE				
Positions - Civilian	840	940	940	-
FTE - Civilian	836	675	675	-

International Operations – PPA Level III
Non Pay Budget Exhibits

Non Pay Summary

Organization <i>(Dollars in Thousands)</i>	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget	FY 2020 to FY 2021 Total Changes
International Operations	\$35,328	\$27,328	\$30,689	\$3,361
Total	\$35,328	\$27,328	\$30,689	\$3,361
Discretionary - Appropriation	\$35,328	\$27,328	\$30,689	\$3,361

Non Pay by Object Class

Non-Pay Object Classes <i>(Dollars in Thousands)</i>	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget	FY 2020 to FY 2021 Change
21.0 Travel and Transportation of Persons	\$6,328	\$7,450	\$7,450	-
22.0 Transportation of Things	\$683	\$683	\$683	-
23.2 Rental Payments to Others	\$5,100	\$5,100	\$5,100	-
23.3 Communications, Utilities, and Misc. Charges	\$3,800	\$1,800	\$1,800	-
25.2 Other Services from Non-Federal Sources	\$18,617	\$11,496	\$14,857	\$3,361
26.0 Supplies and Materials	\$370	\$370	\$370	-
31.0 Equipment	\$430	\$429	\$429	-
Total - Non Pay Object Classes	\$35,328	\$27,328	\$30,689	\$3,361

Targeting Operations – PPA Level III**Budget Comparison and Adjustments****Comparison of Budget Authority and Request**

Organization (Dollars in Thousands)	FY 2019 Enacted			FY 2020 Enacted			FY 2021 President's Budget			FY 2020 to FY 2021 Total Changes		
	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount
Targeting Operations	848	793	\$250,528	988	1,012	\$241,449	988	1,022	\$288,031	-	10	\$46,582
Total	848	793	\$250,528	988	1,012	\$241,449	988	1,022	\$288,031	-	10	\$46,582
Subtotal Discretionary - Appropriation	848	793	\$250,528	988	1,012	\$241,449	988	1,022	\$288,031	-	10	\$46,582

PPA Level III Description

This PPA provides funding for the CBP's targeting operations, including the NTC. Funding in this PPA is distributed among the following programs:

OFO Targeting Operations Funding Profile				
Program Name (Dollars in Thousands)	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget	FY 2020 to FY 2021 Total Changes
National Targeting Center	\$215,614	\$196,535	\$207,039	\$10,504
NTC Counter Network Division	\$17,577	\$17,577	\$17,577	\$0
National Vetting Center	-	\$10,000	\$46,078	\$36,078
Analytical Framework for Intelligence (AFI)	\$17,337	\$17,337	\$17,337	\$0
Annual O&S Total	\$250,528	\$241,449	\$288,031	\$46,582

National Targeting Center: The NTC is a key component of CBP's comprehensive border security and management strategy to safeguard travelers and cargo. NTC is the point within the agency where advance data, access to law enforcement and intelligence resources, and enterprise systems converge to conduct the vetting necessary to identify travelers and shipments that pose the highest risk potential to U.S. security, economy, and public safety. Targeting traveler and cargo information plays a pivotal role of CBP's layered security strategy by extending our borders outward in order to identify and mitigate threats before they board (or are laden on) conveyances destined for the U.S.

In response to highly adaptive, increasingly sophisticated terrorist and criminal organizations, CBP employs a layered enforcement strategy, leveraging the NTC's agile targeting tools such as the ATS and subject-matter expertise to analyze, assess, and segment risk at every stage of the trade and travel life cycles. NTC uses classified, law enforcement, commercial, and open-source information in innovative ways to identify high-risk travelers and shipments at the earliest point possible, and coordinates with POEs, interagency partners and foreign partners to take appropriate action.

Office of Field Operations – PPA II

Targeting Operations - PPA III

NTC provides real-time actionable information to strategic foreign and domestic partners through programs such as the Container Security Initiative, Immigration Advisory Program/Joint Security Program, International Targeting Center, and other NTC-staffed designated liaison locations abroad. These programs effectively enhance global domain awareness and targeting capabilities while enabling the interdiction of travel and cargo threats at their origin.

Various NTC operations in both the traveler and cargo environments provide technical efficiencies, automation, and advance vetting and risk segmentation, which results in reducing workloads at POEs. Reduced workloads equate to a corresponding cost avoidance at POEs.

In addition, the NTC is responsible for developing and implementing CBP's counterterrorism strategy and serves as the primary conduit to field personnel for CT-related matters. The FY 2021 President's Budget sustains NTC's ability to:

- Streamline efforts to address evolving threats to U.S. national security and its interests.
- Oversee CBP's role in participating in the Joint Terrorism Task Force (JTTF).
- Provide operational oversight on Tactical Terrorism Response Team (TTRT) personnel, specialized in counterterrorism response and enforcement operations throughout the field.

NTC serves as the central organization responsible for developing and implementing the agency's Targeting Rules program, a key threat mitigation capability for the agency. NTC also oversees multiple classified passenger targeting programs that have significantly enhanced CBP's ability to identify passengers with previously unknown, analytically significant connections to terrorism information.

NTC Counter Network Division (CND)

NTC serves as a coordinating mechanism for CBP's counter network targeting, analysis, and operations. The NTC Counter Network Division (CND) provides intelligence-driven and risk-based process enhances CBP's understanding of illicit networks. CND is structured across multiple lines of effort to illuminate opportunities for CBP and its partners to identify and disrupt transnational threats including countering terrorism, narcotics, illicit trade, special interest aliens, state-sponsored, and providing analysis in support of the current immigration crisis. The FY 2021 President's Budget sustains CND's ability to further integrate CBP capabilities to provide actionable, tactical and strategic intelligence to:

- CBP officers and agents in the field.
- Existing partners across the U.S. law enforcement and intelligence communities.
- Interagency partnerships (across agencies, organizations).
- International partnerships for action, as appropriate.

NTC's Information Systems Data Enterprise and Analytical Capabilities: NTC's Information Systems Data Enterprise and Analytical Capabilities enhance CBP's ability to conduct operations at the border. The FY 2021 President's Budget supports CBP's ability to process large volumes of data efficiently through NTC managed Targeting Enterprise Systems which include but are not limited to the Automated Targeting System (ATS), AFI, Intelligence Reporting System – Next Generation (IRS-NG), and Toolbox, which has resulted in streamlined information sharing. In addition to

enhanced technology, advance information, and specialized staff, NTC hosts numerous interagency and foreign government liaisons to further integrate and synchronize operations and lead a whole-government approach to its national security mission.

- Develop and enhance targeting and information sharing capabilities, including tools, modeling, analytics, and training.
- Centralize data for more efficient processing of cross-platform applications.
- Explore new capabilities in publicly available information and enhance analytical framework for intelligence and other data systems.
- Manage requirements, training, and rollout for IT systems to the field.
- Improve vetting response capabilities for international travelers.

National Vetting Center: The National Vetting Center (NVC) was created to provide a common technology platform and process to allow for a coordinated and comprehensive review of relevant classified and other sensitive information. The creation of the NVC does not expand, impair, or otherwise affect the authority granted by U.S. law to any executive branch department or agency, and the NVC does not duplicate or exercise the authority of any department or agency that makes determinations about travel or immigration benefits. Rather, the NVC improves the accessibility of information about potential threats to national security, border security, homeland security, and public safety in accordance with law and policy, and in a manner that protects individuals' privacy, civil rights, and civil liberties by:

- *Creating a Whole of Government Approach* to vetting by strengthening relationships with national security partners to ensure all relevant data is available to adjudicators.
- *Expanding the Scope* of vetting beyond terrorism to focus on screening for all national security, border security, homeland security and public safety threats.
- *Implementing a Baseline* of vetting across the U.S. government by standardizing processes and ensuring adjudicators have access to relevant information consistent with law and policy.
- *Automating Vetting Support* to provide more accurate, timely and comprehensive information to adjudicators, with manual review of all automated outcomes to ensure individuals' privacy, civil rights, and civil liberties are protected.
- *Supporting Consistent Analytical and Risk Decisions* by developing a common vetting tradecraft and providing consistent decisions on risk tradeoffs.
- *Protecting Individuals' Rights* through transparency with the public and multiple levels of governance and oversight by legal and privacy, civil rights, and civil liberties experts.
- *Improving Vetting* by deploying metrics to reinforce what works and adjust what does not to ensure flexible operations.

Targeting Operations – PPA Level III

Summary of Budget Changes

Budget Formulation Activity <i>(Dollars in Thousands)</i>	Positions	FTE	Amount
FY 2019 Enacted	848	793	\$250,528
FY 2020 Enacted	988	1,012	\$241,449
FY 2021 Base Budget	988	1,012	\$241,449
2020 Pay Raise	-	-	\$4,151
2021 Pay Raise	-	-	\$1,344
Adjustments to Prior Year Programming Base	-	-	\$24,775
Annualization of FY 2020 National Vetting Center Hiring	-	10	\$692
FERS Agency Contribution	-	-	\$938
National Targeting Center	-	-	\$1,257
Total, Pricing Increases	-	10	\$33,157
Adjustments to Prior Year Enactments	-	-	(\$20,164)
Delay in Hiring	-	-	(\$802)
Non-Recur FY 2020 National Vetting Center Hiring Costs	-	-	(\$37)
Total, Pricing Decreases	-	-	(\$21,003)
Total Adjustments-to-Base	-	10	\$12,154
FY 2021 Current Services	988	1,022	\$253,603
Awards Spending Increase	-	-	\$1,793
National Vetting Center	-	-	\$35,423
Total, Program Increases	-	-	\$37,216
Rebaseline Workforce	-	-	(\$2,788)
Total, Program Decreases	-	-	(\$2,788)
FY 2021 Request	988	1,022	\$288,031
FY 2020 To FY 2021 Change	-	10	\$46,582

Targeting Operations – PPA Level III Personnel Compensation and Benefits

Pay Summary

Organization (Dollars in Thousands)	FY 2019 Enacted				FY 2020 Enacted				FY 2021 President's Budget				FY 2020 to FY 2021 Total			
	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate
Targeting Operations	848	793	\$157,212	\$198.25	988	1,012	\$153,548	\$151.73	988	1,022	\$157,422	\$154.03	-	10	\$3,874	\$2.3
Total	848	793	\$157,212	\$198.25	988	1,012	\$153,548	\$151.73	988	1,022	\$157,422	\$154.03	-	10	\$3,874	\$2.3
Discretionary - Appropriation	848	793	\$157,212	\$198.25	988	1,012	\$153,548	\$151.73	988	1,022	\$157,422	\$154.03	-	10	\$3,874	\$2.3

Pay by Object Class

Pay Object Classes (Dollars in Thousands)	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget	FY 2020 - FY 2021 Change
11.1 Full-time Permanent	\$94,027	\$99,062	\$102,241	\$3,179
11.5 Other Personnel Compensation	\$11,750	\$11,750	\$11,750	-
12.1 Civilian Personnel Benefits	\$51,435	\$42,736	\$43,431	\$695
Total - Personnel Compensation and Benefits	\$157,212	\$153,548	\$157,422	\$3,874
Positions and FTE				
Positions - Civilian	848	988	988	-
FTE - Civilian	793	1,012	1,022	10

Targeting Operations – PPA Level III
Non Pay Budget Exhibits

Non Pay Summary

Organization <i>(Dollars in Thousands)</i>	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget	FY 2020 to FY 2021 Total Changes
Targeting Operations	\$93,316	\$87,901	\$130,609	\$42,708
Total	\$93,316	\$87,901	\$130,609	\$42,708
Discretionary - Appropriation	\$93,316	\$87,901	\$130,609	\$42,708

Non Pay by Object Class

Non-Pay Object Classes <i>(Dollars in Thousands)</i>	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget	FY 2020 to FY 2021 Change
21.0 Travel and Transportation of Persons	\$5,584	\$5,584	\$5,584	-
22.0 Transportation of Things	\$614	\$614	\$614	-
23.1 Rental Payments to GSA	\$17	\$17	\$17	-
23.2 Rental Payments to Others	\$51	\$51	\$51	-
23.3 Communications, Utilities, and Misc. Charges	\$146	\$146	\$146	-
25.2 Other Services from Non-Federal Sources	\$85,461	\$80,046	\$122,754	\$42,708
26.0 Supplies and Materials	\$240	\$240	\$240	-
31.0 Equipment	\$1,203	\$1,203	\$1,203	-
Total - Non Pay Object Classes	\$93,316	\$87,901	\$130,609	\$42,708

*Assets and Support – PPA Level III***Budget Comparison and Adjustments****Comparison of Budget Authority and Request**

Organization (Dollars in Thousands)	FY 2019 Enacted			FY 2020 Enacted			FY 2021 President's Budget			FY 2020 to FY 2021 Total Changes		
	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount
Assets and Support	-	-	\$892,174	-	-	\$983,568	-	-	\$1,016,908	-	-	\$33,340
Total	-	-	\$892,174	-	-	\$983,568	-	-	\$1,016,908	-	-	\$33,340
Subtotal Discretionary - Appropriation	-	-	\$892,174	-	-	\$983,568	-	-	\$1,016,908	-	-	\$33,340

PPA Level III Description

This PPA provides funding for several cross cutting support programs, such as the NII Technology Program, Mobile Technology, IT Infrastructure, and Border Security Deployment Program. Some of the funding in this PPA is for procurement of items with an end-item unit cost below the PC&I threshold. For those items, the Budget provides a two-year period of availability.

Assets and Support – PPA Level III Summary of Budget Changes

Budget Formulation Activity <i>(Dollars in Thousands)</i>	Positions	FTE	Amount
FY 2019 Enacted	-	-	\$892,174
FY 2020 Enacted	-	-	\$983,568
FY 2021 Base Budget	-	-	\$983,568
Adjustments to Prior Year Enactments	-	-	\$27,334
FPS Fee Adjustment	-	-	\$2,607
GSA Rent	-	-	\$20,194
Total, Pricing Increases	-	-	\$50,135
Adjustments to Prior Year Programming Base	-	-	(\$30,342)
Gordie Howe International Bridge Land Border Integration	-	-	(\$5,000)
Total, Pricing Decreases	-	-	(\$35,342)
Total Adjustments-to-Base	-	-	\$14,793
FY 2021 Current Services	-	-	\$998,361
Facilities Maintenance	-	-	\$2,043
Non-Intrusive Inspection (NII) Systems	-	-	\$25,000
Total, Program Increases	-	-	\$27,043
Arrival & Departure Information System (ADIS)	-	-	(\$3,684)
Vehicles Reduction	-	-	(\$4,812)
Total, Program Decreases	-	-	(\$8,496)
FY 2021 Request	-	-	\$1,016,908
FY 2020 To FY 2021 Change	-	-	\$33,340

Assets and Support – PPA Level III

Non Pay Budget Exhibits

Non Pay Summary

Organization (Dollars in Thousands)	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget	FY 2020 to FY 2021 Total Changes
Assets and Support	\$892,174	\$983,568	\$1,016,908	\$33,340
Total	\$892,174	\$983,568	\$1,016,908	\$33,340
Discretionary - Appropriation	\$892,174	\$983,568	\$1,016,908	\$33,340

Non Pay by Object Class

Non-Pay Object Classes (Dollars in Thousands)	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget	FY 2020 to FY 2021 Change
21.0 Travel and Transportation of Persons	\$10,860	\$10,668	\$10,668	-
22.0 Transportation of Things	\$675	\$675	\$675	-
23.1 Rental Payments to GSA	\$382,253	\$440,482	\$451,677	\$11,195
23.2 Rental Payments to Others	\$911	\$6,134	\$6,134	-
23.3 Communications, Utilities, and Misc. Charges	\$11,341	\$3,298	\$12,297	\$8,999
24.0 Printing and Reproduction	\$17	\$34	\$34	-
25.2 Other Services from Non-Federal Sources	\$393,853	\$429,824	\$410,832	(\$18,992)
25.4 Operation and Maintenance of Facilities	\$29,611	\$28,240	\$65,190	\$36,950
26.0 Supplies and Materials	\$2,536	\$2,530	\$2,530	-
31.0 Equipment	\$60,117	\$61,683	\$56,871	(\$4,812)
Total - Non Pay Object Classes	\$892,174	\$983,568	\$1,016,908	\$33,340

*Office of Trade – PPA Level II***Budget Comparison and Adjustments****Comparison of Budget Authority and Request**

Organization (Dollars in Thousands)	FY 2019 Enacted			FY 2020 Enacted			FY 2021 President's Budget			FY 2020 to FY 2021 Total Changes		
	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount
Office of Trade	905	903	\$260,395	1,004	1,022	\$279,362	1,053	1,046	\$292,557	49	24	\$13,195
Total	905	903	\$260,395	1,004	1,022	\$279,362	1,053	1,046	\$292,557	49	24	\$13,195
Subtotal Discretionary - Appropriation	905	903	\$260,395	1,004	1,022	\$279,362	1,053	1,046	\$292,557	49	24	\$13,195

PPA Level II Description

CBP's trade mission safeguards America's national security and leads to economic benefits by protecting revenue and market share for domestic industry, protecting jobs for American workers, and collecting over \$40.0 billion annually for the U.S. Government.

The Office of Trade (OT) plays a critical role in defending America's economic security by enforcing current trade laws and implementing new measures to align to the Administration's vision of protecting American industry and creating jobs by leveling the playing field for American businesses.

Office of Trade – PPA Level II Summary of Budget Changes

Budget Formulation Activity <i>(Dollars in Thousands)</i>	Positions	FTE	Amount
FY 2019 Enacted	905	903	\$260,395
FY 2020 Enacted	1,004	1,022	\$279,362
FY 2021 Base Budget	1,004	1,022	\$279,362
2020 Pay Raise	-	-	\$4,426
2021 Pay Raise	-	-	\$1,359
Adjustments to Prior Year Programming Base	-	-	\$29,099
FERS Agency Contribution	-	-	\$1,630
Total, Pricing Increases	-	-	\$36,514
Adjustments to Prior Year Enactments	-	-	(\$7,848)
Automated Commercial Environment (ACE)	-	-	(\$5,036)
Delay in Hiring	-	-	(\$872)
Intelligent Enforcement	-	-	(\$9,200)
Total, Pricing Decreases	-	-	(\$22,956)
Total Adjustments-to-Base	-	-	\$13,558
FY 2021 Current Services	1,004	1,022	\$292,920
Advanced Trade Analytics Platform	3	2	\$5,000
Awards Spending Increase	-	-	\$1,811
Trade Agreement, Remedies & Enforcement Personnel	50	25	\$8,052
Total, Program Increases	53	27	\$14,863
Rebaseline Workforce	(4)	(3)	(\$15,226)
Total, Program Decreases	(4)	(3)	(\$15,226)
FY 2021 Request	1,053	1,046	\$292,557
FY 2020 To FY 2021 Change	49	24	\$13,195

Office of Trade – PPA Level II
Personnel Compensation and Benefits

Pay Summary

Organization (Dollars in Thousands)	FY 2019 Enacted				FY 2020 Enacted				FY 2021 President's Budget				FY 2020 to FY 2021 Total			
	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate
Office of Trade	905	903	\$143,182	\$158.56	1,004	1,022	\$161,309	\$157.84	1,053	1,046	\$173,346	\$165.72	49	24	\$12,037	\$7.88
Total	905	903	\$143,182	\$158.56	1,004	1,022	\$161,309	\$157.84	1,053	1,046	\$173,346	\$165.72	49	24	\$12,037	\$7.88
Discretionary - Appropriation	905	903	\$143,182	\$158.56	1,004	1,022	\$161,309	\$157.84	1,053	1,046	\$173,346	\$165.72	49	24	\$12,037	\$7.88

Pay by Object Class

Pay Object Classes (Dollars in Thousands)	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget	FY 2020 - FY 2021 Change
11.1 Full-time Permanent	\$106,782	\$130,092	\$140,332	\$10,240
11.3 Other than Full-Time Permanent	\$460	\$460	\$460	-
11.5 Other Personnel Compensation	\$341	\$341	\$341	-
12.1 Civilian Personnel Benefits	\$35,599	\$30,416	\$32,213	\$1,797
Total - Personnel Compensation and Benefits	\$143,182	\$161,309	\$173,346	\$12,037
Positions and FTE				
Positions - Civilian	905	1,004	1,053	49
FTE - Civilian	903	1,022	1,046	24

Office of Trade – PPA Level II
Non Pay Budget Exhibits

Non Pay Summary

Organization <i>(Dollars in Thousands)</i>	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget	FY 2020 to FY 2021 Total Changes
Office of Trade	\$117,213	\$118,053	\$119,211	\$1,158
Total	\$117,213	\$118,053	\$119,211	\$1,158
Discretionary - Appropriation	\$117,213	\$118,053	\$119,211	\$1,158

Non Pay by Object Class

Non-Pay Object Classes <i>(Dollars in Thousands)</i>	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget	FY 2020 to FY 2021 Change
21.0 Travel and Transportation of Persons	\$3,516	\$4,296	\$4,296	-
23.1 Rental Payments to GSA	\$1,113	\$145	\$145	-
23.2 Rental Payments to Others	\$107	\$1,522	\$1,522	-
23.3 Communications, Utilities, and Misc. Charges	-	\$107	\$107	-
25.2 Other Services from Non-Federal Sources	\$78,569	\$88,120	\$86,935	(\$1,185)
25.3 Other Goods and Services from Federal Sources	\$7	\$1,007	\$1,007	-
25.7 Operation and Maintenance of Equipment	\$16	\$971	\$3,314	\$2,343
26.0 Supplies and Materials	\$278	\$287	\$287	-
31.0 Equipment	\$33,607	\$21,598	\$21,598	-
Total - Non Pay Object Classes	\$117,213	\$118,053	\$119,211	\$1,158

*Office of Training and Development – PPA Level II***Budget Comparison and Adjustments****Comparison of Budget Authority and Request**

Organization <i>(Dollars in Thousands)</i>	FY 2019 Enacted			FY 2020 Enacted			FY 2021 President's Budget			FY 2020 to FY 2021 Total Changes		
	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount
Office of Training and Development	175	169	\$61,677	206	207	\$65,515	200	201	\$63,163	(6)	(6)	(\$2,352)
Total	175	169	\$61,677	206	207	\$65,515	200	201	\$63,163	(6)	(6)	(\$2,352)
Subtotal Discretionary - Appropriation	175	169	\$61,677	206	207	\$65,515	200	201	\$63,163	(6)	(6)	(\$2,352)

PPA Level II Description

This PPA funds the training costs for CBPOs, Agriculture Specialists, Canine, and Entry Specialists assigned to the POEs, the associated operating costs of the Field Operations Academy in Glynco (GA) and the associated operating costs of the Canine Center in Front Royal. This includes curriculum development, design, and instruction for Basic Training; Diver Training; Skills Enhancement; Canine Training; Anti-Terrorism/Counter-Terrorism/Targeting; Trade Training; Agriculture Training; Special Response Training; and Instructor Training.

Office of Training and Development – PPA Level II
Summary of Budget Changes

Budget Formulation Activity <i>(Dollars in Thousands)</i>	Positions	FTE	Amount
FY 2019 Enacted	175	169	\$61,677
FY 2020 Enacted	206	207	\$65,515
FY 2021 Base Budget	206	207	\$65,515
Transfer for Air and Marine Academy Personnel from CBP/TTO/O	(6)	(6)	(\$1,124)
Total Transfers	(6)	(6)	(\$1,124)
2020 Pay Raise	-	-	\$1,095
2021 Pay Raise	-	-	\$266
FERS Agency Contribution	-	-	\$190
Total, Pricing Increases	-	-	\$1,551
Adjustments to Prior Year Enactments	-	-	(\$15,285)
Adjustments to Prior Year Programming Base	-	-	(\$2,008)
Delay in Hiring	-	-	(\$231)
Total, Pricing Decreases	-	-	(\$17,524)
Total Adjustments-to-Base	(6)	(6)	(\$17,097)
FY 2021 Current Services	200	201	\$48,418
Awards Spending Increase	-	-	\$336
Rebaseline Workforce	-	-	\$14,409
Total, Program Increases	-	-	\$14,745
FY 2021 Request	200	201	\$63,163
FY 2020 To FY 2021 Change	(6)	(6)	(\$2,352)

Office of Training and Development – PPA Level II
Personnel Compensation and Benefits

Pay Summary

Organization (Dollars in Thousands)	FY 2019 Enacted				FY 2020 Enacted				FY 2021 President's Budget				FY 2020 to FY 2021 Total			
	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate
Office of Training and Development	175	169	\$39,649	\$234.61	206	207	\$40,107	\$193.75	200	201	\$40,364	\$200.82	(6)	(6)	\$257	\$7.07
Total	175	169	\$39,649	\$234.61	206	207	\$40,107	\$193.75	200	201	\$40,364	\$200.82	(6)	(6)	\$257	\$7.07
Discretionary - Appropriation	175	169	\$39,649	\$234.61	206	207	\$40,107	\$193.75	200	201	\$40,364	\$200.82	(6)	(6)	\$257	\$7.07

Pay by Object Class

Pay Object Classes (Dollars in Thousands)	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget	FY 2020 - FY 2021 Change
11.1 Full-time Permanent	\$21,354	\$25,994	\$26,462	\$468
11.5 Other Personnel Compensation	\$1,523	\$608	\$608	-
12.1 Civilian Personnel Benefits	\$16,772	\$13,505	\$13,294	(\$211)
Total - Personnel Compensation and Benefits	\$39,649	\$40,107	\$40,364	\$257
Positions and FTE				
Positions - Civilian	175	206	200	(6)
FTE - Civilian	169	207	201	(6)

Office of Training and Development – PPA Level II

Non Pay Budget Exhibits

Non Pay Summary

Organization (Dollars in Thousands)	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget	FY 2020 to FY 2021 Total Changes
Office of Training and Development	\$22,028	\$25,408	\$22,799	(\$2,609)
Total	\$22,028	\$25,408	\$22,799	(\$2,609)
Discretionary - Appropriation	\$22,028	\$25,408	\$22,799	(\$2,609)

Non Pay by Object Class

Non-Pay Object Classes (Dollars in Thousands)	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget	FY 2020 to FY 2021 Change
21.0 Travel and Transportation of Persons	\$5,198	\$7,866	\$7,866	-
22.0 Transportation of Things	\$1,130	\$1,829	\$1,829	-
23.3 Communications, Utilities, and Misc. Charges	\$155	\$212	\$212	-
25.2 Other Services from Non-Federal Sources	\$6,159	\$14,662	\$12,053	(\$2,609)
26.0 Supplies and Materials	\$4,677	(\$280)	(\$280)	-
31.0 Equipment	\$4,709	\$1,119	\$1,119	-
Total - Non Pay Object Classes	\$22,028	\$25,408	\$22,799	(\$2,609)

*Integrated Operations – PPA***Budget Comparison and Adjustments****Comparison of Budget Authority and Request**

Organization (Dollars in Thousands)	FY 2019 Enacted			FY 2020 Enacted			FY 2021 President's Budget			FY 2020 to FY 2021 Total Changes		
	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount
Air and Marine Operations	1,658	1,631	\$869,962	1,920	1,880	\$884,843	1,803	1,818	\$913,569	(117)	(62)	\$28,726
Office of International Affairs	165	163	\$41,700	170	174	\$42,134	170	174	\$44,051	-	-	\$1,917
Office of Intelligence	264	262	\$59,148	397	315	\$61,685	335	286	\$65,724	(62)	(29)	\$4,039
Office of Training and Development	-	-	\$6,546	-	-	\$6,886	10	10	\$7,989	10	10	\$1,103
Operations Support	428	423	\$112,235	532	434	\$173,569	516	438	\$153,555	(16)	4	(\$20,014)
Total	2,515	2,479	\$1,089,591	3,019	2,803	\$1,169,117	2,834	2,726	\$1,184,888	(185)	(77)	\$15,771
Subtotal Discretionary - Appropriation	2,515	2,479	\$1,089,591	3,019	2,803	\$1,169,117	2,834	2,726	\$1,184,888	(185)	(77)	\$15,771

PPA Level I Description

The Integrated Operations PPA includes CBP's programs that ensure domain awareness through the sustainment of command and control, coordination, information sharing, and situational awareness in support of multiple mission programs. It also supports occupational health and safety.

This PPA contains the following Level II PPAs:

Air and Marine Operations (AMO): AMO protects the American people and Nation's critical infrastructure through the coordinated use of air and marine assets to detect, interdict and prevent acts of terrorism and the unlawful movement of people, illegal drugs, and other contraband toward or across the borders of the United States.

Office of International Affairs (INA): INA supports CBP's efforts to secure the global supply chain and travel network, and to facilitate the movement of legitimate cargo and passengers.

Office of Intelligence (OI): OI integrates CBP's diverse intelligence capabilities into a single, cohesive intelligence enterprise.

Office of Training and Development (OTD): OTD delivers basic training to Air and Marine Interdiction Agents, and basic/advanced training for employees assigned to intelligence functions within CBP.

Operations Support (OS): OS brings together functions that directly support the operational offices to help them accomplish the CBP mission. These specialized capabilities play a critical role in making CBP stronger and more nimble.

Integrated Operations – PPA

Budget Authority and Obligations

Budget Authority (Dollars in Thousands)	FY 2019	FY 2020	FY 2021
Enacted/Request	\$1,089,591	\$1,169,117	\$1,184,888
Carryover and/or Recoveries (Actual/Estimates/Projections)	\$61,818	\$196,923	\$196,020
Rescissions to Current Year/Budget Year	(\$78)	-	-
Net Sequestered Resources	-	-	-
Reprogrammings/Transfers	\$9,081	-	-
Supplementals	\$8,036	-	-
Total Budget Authority	\$1,168,448	\$1,366,040	\$1,380,908
Collections – Reimbursable Resources	\$104,549	\$104,549	\$104,549
Total Budget Resources	\$1,272,997	\$1,470,589	\$1,485,457
Obligations (Actual/Estimates/Projections)	\$1,076,074	\$1,274,569	\$1,302,553
Personnel: Positions and FTE			
Enacted/Request Positions	2,515	3,019	2,834
Enacted/Request FTE	2,479	2,803	2,726
Onboard and Actual FTE; Includes Collections - Reimbursable Resources			
Onboard (Actual/Estimates/Projections)	2,515	3,050	2,865
FTE (Actual/Estimates/Projections)	2,479	2,834	2,757

Integrated Operations – PPA Summary of Budget Changes

Budget Formulation Activity <i>(Dollars in Thousands)</i>	Positions	FTE	Amount
FY 2019 Enacted	2,515	2,479	\$1,089,591
FY 2020 Enacted	3,019	2,803	\$1,169,117
FY 2021 Base Budget	3,019	2,803	\$1,169,117
Transfer for Air and Marine Academy Personnel from CBP/TTO/O	6	6	\$1,124
Transfer for Trainers from CBP/IO/AMO/OPS to CBP/IO/OTD	(4)	(4)	(\$719)
Transfer for Trainers from CBP/IO/AMO/OPS to CBP/IO/OTD	4	4	\$719
Total Transfers	6	6	\$1,124
2020 Pay Raise	-	-	\$12,554
2021 Pay Raise	-	-	\$3,735
Adjustments to Prior Year Programming Base	-	-	\$12,258
Annualization of FY 2020 9mm Weapons Transition Hiring	-	12	\$831
Annualization of FY 2020 Counter Intelligence and Insider Threat	-	3	\$529
FERS Agency Contribution	-	-	\$4,959
FPS Fee Adjustment	-	-	\$260
GSA Rent	-	-	\$3,916
Total, Pricing Increases	-	15	\$39,042
Adjustments to Prior Year Enactments	-	-	(\$13,732)
AMOC Systems and Information Sharing	-	-	(\$1,500)
Delay in Hiring	-	-	(\$2,502)
Information & Incident Coordination Center	-	-	(\$1,598)
Non-Recur FY 2020 9mm Weapons Transition Hiring Costs	-	-	(\$44)
Non-Recur FY 2020 Counter Intelligence Insider Threat Hiring	-	-	(\$318)
Opioid Detection Equipment and Safeguards	-	-	(\$7,100)
P-3 Aircraft Technology Refresh	-	-	(\$3,540)
Total, Pricing Decreases	-	-	(\$30,334)
Total Adjustments-to-Base	6	21	\$9,832
FY 2021 Current Services	3,025	2,824	\$1,178,949
Air and Marine Operations Center	-	-	\$4,822
AMO Training	-	-	\$469
Awards Spending Increase	-	-	\$3,330

Operations and Support
Integrated Operations - PPA

Budget Formulation Activity <i>(Dollars in Thousands)</i>	Positions	FTE	Amount
DHC-8 Patrol Aircraft	-	-	\$14,300
Facilities Maintenance	-	-	\$8,026
Laboratory Personnel	12	6	\$1,109
Medium Lift Helicopter (MLH)	-	-	\$5,561
Multi-Role Enforcement Aircraft (MEA)	-	-	\$3,263
Total, Program Increases	12	6	\$40,880
Rebaseline Workforce	(203)	(104)	(\$34,941)
Total, Program Decreases	(203)	(104)	(\$34,941)
FY 2021 Request	2,834	2,726	\$1,184,888
FY 2020 To FY 2021 Change	(185)	(77)	\$15,771

Integrated Operations – PPA
Personnel Compensation and Benefits
Pay Summary

Organization (Dollars in Thousands)	FY 2019 Enacted				FY 2020 Enacted				FY 2021 President's Budget				FY 2020 to FY 2021 Total			
	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate
Air and Marine Operations	1,658	1,631	\$309,559	\$189.8	1,920	1,880	\$319,286	\$169.83	1,803	1,818	\$320,147	\$176.1	(117)	(62)	\$861	\$6.27
Office of International Affairs	165	163	\$30,489	\$187.05	170	174	\$29,423	\$169.1	170	174	\$30,684	\$176.34	-	-	\$1,261	\$7.24
Office of Intelligence	264	262	\$44,007	\$167.97	397	315	\$45,446	\$144.27	335	286	\$47,816	\$167.19	(62)	(29)	\$2,370	\$22.92
Office of Training and Development	-	-	-	-	-	-	-	-	10	10	\$1,162	\$116.2	10	10	\$1,162	\$116.2
Operations Support	428	423	\$68,995	\$163.11	532	434	\$80,121	\$184.61	516	438	\$81,314	\$185.65	(16)	4	\$1,193	\$1.04
Total	2,515	2,479	\$453,050	\$182.76	3,019	2,803	\$474,276	\$169.2	2,834	2,726	\$481,123	\$176.49	(185)	(77)	\$6,847	\$7.29
Discretionary - Appropriation	2,515	2,479	\$453,050	\$182.76	3,019	2,803	\$474,276	\$169.2	2,834	2,726	\$481,123	\$176.49	(185)	(77)	\$6,847	\$7.29

Pay by Object Class

Pay Object Classes (Dollars in Thousands)	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget	FY 2020 - FY 2021 Change
11.1 Full-time Permanent	\$263,663	\$281,159	\$290,362	\$9,203
11.3 Other than Full-Time Permanent	\$666	\$883	\$883	-
11.5 Other Personnel Compensation	\$44,258	\$40,435	\$38,458	(\$1,977)
12.1 Civilian Personnel Benefits	\$144,463	\$151,799	\$151,420	(\$379)
Total - Personnel Compensation and Benefits	\$453,050	\$474,276	\$481,123	\$6,847
Positions and FTE				
Positions - Civilian	2,515	3,019	2,834	(185)
FTE - Civilian	2,479	2,803	2,726	(77)

Integrated Operations – PPA Non Pay Budget Exhibits

Non Pay Summary

Organization (Dollars in Thousands)	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget	FY 2020 to FY 2021 Total Changes
Air and Marine Operations	\$560,403	\$565,557	\$593,422	\$27,865
Office of International Affairs	\$11,211	\$12,711	\$13,367	\$656
Office of Intelligence	\$15,141	\$16,239	\$17,908	\$1,669
Office of Training and Development	\$6,546	\$6,886	\$6,827	(\$59)
Operations Support	\$43,240	\$93,448	\$72,241	(\$21,207)
Total	\$636,541	\$694,841	\$703,765	\$8,924
Discretionary - Appropriation	\$636,541	\$694,841	\$703,765	\$8,924

Non Pay by Object Class

Non-Pay Object Classes <i>(Dollars in Thousands)</i>	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget	FY 2020 to FY 2021 Change
21.0 Travel and Transportation of Persons	\$24,548	\$27,504	\$27,344	(\$160)
22.0 Transportation of Things	\$3,282	\$3,427	\$3,427	-
23.1 Rental Payments to GSA	\$1,476	\$10,692	\$5,551	(\$5,141)
23.2 Rental Payments to Others	\$12,614	\$8,801	\$8,801	-
23.3 Communications, Utilities, and Misc. Charges	\$12,316	\$11,882	\$15,921	\$4,039
24.0 Printing and Reproduction	\$3	\$3	\$3	-
25.1 Advisory and Assistance Services	-	\$2,238	\$2,707	\$469
25.2 Other Services from Non-Federal Sources	\$133,214	\$144,436	\$123,945	(\$20,491)
25.3 Other Goods and Services from Federal Sources	\$40,790	\$50,045	\$64,213	\$14,168
25.4 Operation and Maintenance of Facilities	\$11,104	\$21,574	\$34,948	\$13,374
25.6 Medical Care	-	\$66	\$66	-
25.7 Operation and Maintenance of Equipment	\$233,261	\$230,239	\$232,879	\$2,640
26.0 Supplies and Materials	\$105,885	\$107,059	\$112,529	\$5,470
31.0 Equipment	\$58,048	\$76,875	\$71,431	(\$5,444)
Total - Non Pay Object Classes	\$636,541	\$694,841	\$703,765	\$8,924

*Air and Marine Operations – PPA Level II***Budget Comparison and Adjustments****Comparison of Budget Authority and Request**

Organization (Dollars in Thousands)	FY 2019 Enacted			FY 2020 Enacted			FY 2021 President's Budget			FY 2020 to FY 2021 Total Changes		
	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount
Operations	1,495	1,468	\$306,506	1,675	1,640	\$314,425	1,602	1,622	\$306,541	(73)	(18)	(\$7,884)
Assets and Support	-	-	\$525,867	-	-	\$533,768	-	-	\$565,554	-	-	\$31,786
Air and Marine Operations Center	163	163	\$37,589	245	240	\$36,650	201	196	\$41,474	(44)	(44)	\$4,824
Total	1,658	1,631	\$869,962	1,920	1,880	\$884,843	1,803	1,818	\$913,569	(117)	(62)	\$28,726
Subtotal Discretionary - Appropriation	1,658	1,631	\$869,962	1,920	1,880	\$884,843	1,803	1,818	\$913,569	(117)	(62)	\$28,726

PPA Level II Description

As America's frontline border agency, CBP is responsible for securing America's borders against threats while facilitating the lawful flow of people and goods entering the United States. AMO is a critical component of CBP's border security mission and the DHS risk-based and multi-layered approach to homeland security. AMO applies advanced capabilities and employs unique skill sets to protect the Nation's borders and preserve America's security interests.

AMO is the lead operational component within CBP to be responsible for air and maritime border security. AMO consists of 953 Federal agents, 1655 total employees, 234 aircraft, and 315 marine vessels operating from 74 locations throughout the continental United States and Puerto Rico.

AMO partners with USBP on land border security to provide close tactical ground support. Through operations such as wide-area surveillance, investigations, patrols and tactical response in diverse environments, AMO is effectively able to perform counter-narcotics, prevention of imported and exported illegal merchandise or contraband, and other anti-smuggling/trafficking missions.

This PPA contains the following Level III PPAs:

Operations: This PPA provides funding for the personnel, equipment, and services needed to operate and support the tactical aircraft and marine vessels employed for border security operations, air-to-air intercept of suspect general aviation aircraft, maritime interdiction, search and rescue, air mobility for ground agents and special tactical teams, national special security events, and investigations (including covert operations).

Assets and Support: This PPA provides funding to complete phased and unplanned maintenance on aircraft and marine vessels, and funds material support, including fuel, spare parts, consumable supplies, component and engine repair services, and base support for aircraft located at 36 bases and marine vessels located at 69 operating locations.

Air and Marine Operations Center (AMOC): AMOC is CBP’s Federal LE operations center for multi-domain awareness.

Air and Marine Operations – PPA Level II

Summary of Budget Changes

Budget Formulation Activity (Dollars in Thousands)	Positions	FTE	Amount
FY 2019 Enacted	1,658	1,631	\$869,962
FY 2020 Enacted	1,920	1,880	\$884,843
FY 2021 Base Budget	1,920	1,880	\$884,843
Transfer for Trainers from CBP/IO/AMO/OPS to CBP/IO/OTD	(4)	(4)	(\$719)
Total Transfers	(4)	(4)	(\$719)
2020 Pay Raise	-	-	\$8,375
2021 Pay Raise	-	-	\$2,493
Adjustments to Prior Year Programming Base	-	-	\$12,690
FERS Agency Contribution	-	-	\$3,369
FPS Fee Adjustment	-	-	\$260
GSA Rent	-	-	\$3,916
Total, Pricing Increases	-	-	\$31,103
Adjustments to Prior Year Enactments	-	-	(\$1,695)
AMOC Systems and Information Sharing	-	-	(\$1,500)
Delay in Hiring	-	-	(\$1,668)
P-3 Aircraft Technology Refresh	-	-	(\$3,540)
Total, Pricing Decreases	-	-	(\$8,403)
Total Adjustments-to-Base	(4)	(4)	\$21,981
FY 2021 Current Services	1,916	1,876	\$906,824
Air and Marine Operations Center	-	-	\$4,822
Awards Spending Increase	-	-	\$1,801
DHC-8 Patrol Aircraft	-	-	\$14,300
Facilities Maintenance	-	-	\$8,026
Medium Lift Helicopter (MLH)	-	-	\$5,561
Multi-Role Enforcement Aircraft (MEA)	-	-	\$3,263
Total, Program Increases	-	-	\$37,773
Rebaseline Workforce	(113)	(58)	(\$31,028)
Total, Program Decreases	(113)	(58)	(\$31,028)
FY 2021 Request	1,803	1,818	\$913,569
FY 2020 To FY 2021 Change	(117)	(62)	\$28,726

Air and Marine Operations – PPA Level II Personnel Compensation and Benefits

Pay Summary

Organization (Dollars in Thousands)	FY 2019 Enacted				FY 2020 Enacted				FY 2021 President's Budget				FY 2020 to FY 2021 Total			
	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate
Operations	1,495	1,468	\$285,273	\$194.33	1,675	1,640	\$297,196	\$181.22	1,602	1,622	\$296,555	\$182.83	(73)	(18)	(\$641)	\$1.61
Air and Marine Operations Center	163	163	\$24,286	\$148.99	245	240	\$22,090	\$92.04	201	196	\$23,592	\$120.37	(44)	(44)	\$1,502	\$28.33
Total	1,658	1,631	\$309,559	\$189.8	1,920	1,880	\$319,286	\$169.83	1,803	1,818	\$320,147	\$176.1	(117)	(62)	\$861	\$6.27
Discretionary - Appropriation	1,658	1,631	\$309,559	\$189.8	1,920	1,880	\$319,286	\$169.83	1,803	1,818	\$320,147	\$176.1	(117)	(62)	\$861	\$6.27

Pay by Object Class

Pay Object Classes (Dollars in Thousands)	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget	FY 2020 - FY 2021 Change
11.1 Full-time Permanent	\$166,448	\$175,547	\$177,592	\$2,045
11.3 Other than Full-Time Permanent	-	\$217	\$217	-
11.5 Other Personnel Compensation	\$39,353	\$35,530	\$35,530	-
12.1 Civilian Personnel Benefits	\$103,758	\$107,992	\$106,808	(\$1,184)
Total - Personnel Compensation and Benefits	\$309,559	\$319,286	\$320,147	\$861
Positions and FTE				
Positions - Civilian	1,658	1,920	1,803	(117)
FTE - Civilian	1,631	1,880	1,818	(62)

Air and Marine Operations – PPA Level II

Non Pay Budget Exhibits

Non Pay Summary

Organization (Dollars in Thousands)	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget	FY 2020 to FY 2021 Total Changes
Operations	\$21,233	\$17,229	\$9,986	(\$7,243)
Assets and Support	\$525,867	\$533,768	\$565,554	\$31,786
Air and Marine Operations Center	\$13,303	\$14,560	\$17,882	\$3,322
Total	\$560,403	\$565,557	\$593,422	\$27,865
Discretionary - Appropriation	\$560,403	\$565,557	\$593,422	\$27,865

Non Pay by Object Class

Non-Pay Object Classes <i>(Dollars in Thousands)</i>	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget	FY 2020 to FY 2021 Change
21.0 Travel and Transportation of Persons	\$18,047	\$20,378	\$20,378	-
22.0 Transportation of Things	\$3,143	\$3,187	\$3,187	-
23.1 Rental Payments to GSA	\$27	\$8,594	\$3,488	(\$5,106)
23.2 Rental Payments to Others	\$12,158	\$8,290	\$8,290	-
23.3 Communications, Utilities, and Misc. Charges	\$10,823	\$10,290	\$14,415	\$4,125
25.2 Other Services from Non-Federal Sources	\$98,188	\$103,612	\$96,117	(\$7,495)
25.3 Other Goods and Services from Federal Sources	\$40,789	\$47,932	\$62,232	\$14,300
25.4 Operation and Maintenance of Facilities	\$11,104	\$21,574	\$34,948	\$13,374
25.6 Medical Care	-	\$66	\$66	-
25.7 Operation and Maintenance of Equipment	\$230,180	\$227,158	\$229,793	\$2,635
26.0 Supplies and Materials	\$96,831	\$95,006	\$101,170	\$6,164
31.0 Equipment	\$39,113	\$19,470	\$19,338	(\$132)
Total - Non Pay Object Classes	\$560,403	\$565,557	\$593,422	\$27,865

*Operations – PPA Level III***Budget Comparison and Adjustments****Comparison of Budget Authority and Request**

Organization (Dollars in Thousands)	FY 2019 Enacted			FY 2020 Enacted			FY 2021 President's Budget			FY 2020 to FY 2021 Total Changes		
	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount
Operations	1,495	1,468	\$306,506	1,675	1,640	\$314,425	1,602	1,622	\$306,541	(73)	(18)	(\$7,884)
Total	1,495	1,468	\$306,506	1,675	1,640	\$314,425	1,602	1,622	\$306,541	(73)	(18)	(\$7,884)
Subtotal Discretionary - Appropriation	1,495	1,468	\$306,506	1,675	1,640	\$314,425	1,602	1,622	\$306,541	(73)	(18)	(\$7,884)

PPA Level III Description

This PPA primarily consists of AMO personnel and associated non-pay items needed to conduct AMO operations.

Operations – PPA Level III Summary of Budget Changes

Budget Formulation Activity <i>(Dollars in Thousands)</i>	Positions	FTE	Amount
FY 2019 Enacted	1,495	1,468	\$306,506
FY 2020 Enacted	1,675	1,640	\$314,425
FY 2021 Base Budget	1,675	1,640	\$314,425
Transfer for staffing realignment from IO/AMOC to IO/Operations	44	44	-
Transfer for Trainers from CBP/IO/AMO/OPS to CBP/IO/OTD	(4)	(4)	(\$719)
Total Transfers	40	40	(\$719)
2020 Pay Raise	-	-	\$7,723
2021 Pay Raise	-	-	\$2,234
Adjustments to Prior Year Programming Base	-	-	\$19,657
FERS Agency Contribution	-	-	\$3,089
Total, Pricing Increases	-	-	\$32,703
Adjustments to Prior Year Enactments	-	-	(\$17,243)
Delay in Hiring	-	-	(\$1,553)
Total, Pricing Decreases	-	-	(\$18,796)
Total Adjustments-to-Base	40	40	\$13,188
FY 2021 Current Services	1,715	1,680	\$327,613
Awards Spending Increase	-	-	\$1,456
Total, Program Increases	-	-	\$1,456
Rebaseline Workforce	(113)	(58)	(\$22,528)
Total, Program Decreases	(113)	(58)	(\$22,528)
FY 2021 Request	1,602	1,622	\$306,541
FY 2020 To FY 2021 Change	(73)	(18)	(\$7,884)

Operations – PPA Level III
Personnel Compensation and Benefits

Pay Summary

Organization (Dollars in Thousands)	FY 2019 Enacted				FY 2020 Enacted				FY 2021 President's Budget				FY 2020 to FY 2021 Total			
	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate
Operations	1,495	1,468	\$285,273	\$194.33	1,675	1,640	\$297,196	\$181.22	1,602	1,622	\$296,555	\$182.83	(73)	(18)	(\$641)	\$1.61
Total	1,495	1,468	\$285,273	\$194.33	1,675	1,640	\$297,196	\$181.22	1,602	1,622	\$296,555	\$182.83	(73)	(18)	(\$641)	\$1.61
Discretionary - Appropriation	1,495	1,468	\$285,273	\$194.33	1,675	1,640	\$297,196	\$181.22	1,602	1,622	\$296,555	\$182.83	(73)	(18)	(\$641)	\$1.61

Pay by Object Class

Pay Object Classes (Dollars in Thousands)	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget	FY 2020 - FY 2021 Change
11.1 Full-time Permanent	\$149,735	\$162,382	\$163,195	\$813
11.3 Other than Full-Time Permanent	-	\$217	\$217	-
11.5 Other Personnel Compensation	\$37,692	\$34,096	\$34,096	-
12.1 Civilian Personnel Benefits	\$97,846	\$100,501	\$99,047	(\$1,454)
Total - Personnel Compensation and Benefits	\$285,273	\$297,196	\$296,555	(\$641)
Positions and FTE				
Positions - Civilian	1,495	1,675	1,602	(73)
FTE - Civilian	1,468	1,640	1,622	(18)

Operations – PPA Level III Non Pay Budget Exhibits

Non Pay Summary

Organization (Dollars in Thousands)	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget	FY 2020 to FY 2021 Total Changes
Operations	\$21,233	\$17,229	\$9,986	(\$7,243)
Total	\$21,233	\$17,229	\$9,986	(\$7,243)
Discretionary - Appropriation	\$21,233	\$17,229	\$9,986	(\$7,243)

Non Pay by Object Class

Non-Pay Object Classes (Dollars in Thousands)	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget	FY 2020 to FY 2021 Change
21.0 Travel and Transportation of Persons	\$587	\$386	\$386	-
22.0 Transportation of Things	\$2,124	\$2,423	\$2,423	-
23.1 Rental Payments to GSA	\$27	\$384	\$384	-
23.2 Rental Payments to Others	\$122	\$40	\$40	-
23.3 Communications, Utilities, and Misc. Charges	\$281	-	-	-
25.2 Other Services from Non-Federal Sources	\$13,617	\$13,395	\$6,152	(\$7,243)
25.3 Other Goods and Services from Federal Sources	-	\$60	\$60	-
26.0 Supplies and Materials	\$1,475	\$176	\$176	-
31.0 Equipment	\$3,000	\$365	\$365	-
Total - Non Pay Object Classes	\$21,233	\$17,229	\$9,986	(\$7,243)

*Assets and Support – PPA Level III***Budget Comparison and Adjustments****Comparison of Budget Authority and Request**

Organization (Dollars in Thousands)	FY 2019 Enacted			FY 2020 Enacted			FY 2021 President's Budget			FY 2020 to FY 2021 Total Changes		
	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount
Assets and Support	-	-	\$525,867	-	-	\$533,768	-	-	\$565,554	-	-	\$31,786
Total	-	-	\$525,867	-	-	\$533,768	-	-	\$565,554	-	-	\$31,786
Subtotal Discretionary - Appropriation	-	-	\$525,867	-	-	\$533,768	-	-	\$565,554	-	-	\$31,786

PPA Level III Description

This PPA provides funding to complete phased and unplanned maintenance on aircraft and marine vessels. The PPA also funds material support, including fuel, spare parts, consumable supplies, component and engine repair services, and base support for aircraft located at 36 bases and marine vessels located at 69 operating locations.

The following tables illustrate some of the outcomes funded with AMO Assets and Support funds.

Air Activity

	FY 2017	FY 2018	FY 2019
Total Launches	33,398	34,672	36,632
Total No Launches	11,395	7,029	6,301
Launch %	82%	83%	85%
Flight Hours	96,760	95,801	92,848
Criminal Arrests	2,573	1,619	1,281
Apprehensions	37,009	46,773	51,025
Contraband (lbs.) Seized	660,970	745,738	533,333
Currency (USD) Seized	\$26.12M	\$30.49M	\$21.1M
Conveyances Seized	725	550	445

Marine Activity

	FY 2017	FY 2018	FY 2019
Total Launches	6,916	7,186	6,889
Total No Launches	1076	999	411
Launch %	93%	88%	94%
Underway Hours	34,461	36,110	33,315
Criminal Arrests	929	754	294
Apprehensions	543	971	1011
Contraband (lbs.) Seized	66,354	20,984	23,310
Currency (USD) Seized	\$5.8M	\$3.7M	\$13.1M
Conveyances Seized	182	148	117
Weapons Seized	157	147	68

Flight Hour Program: In FY 2021, AMO plans to fly 95,000 flight hours by emphasizing AS-350 and UH-60 hours and flying over 7,000 P-3 aircraft flight hours. AMO uses data obtained from the Tasking and Operations Management Information System (TOMIS) and external providers to determine current and future requirements, ranging from hiring personnel to acquiring aircraft and vessels. TOMIS provides timely and accurate flight hour accountability and tracking for AMO and USBP leadership and external requestors, as well as coordinating and communicating the flight hours between the regional leadership and headquarters leadership.

The following table provides flight hours by region for FY 2019:

FY 2019 Flight Hours by Region	Enforcement	Maintenance	Non-Enforcement	Training	Total
Training, Safety, and Standards (National Air Training Center (NATC) –Oklahoma City)	12	89	96	2,326	2,523
National Air Security Operations (NASO)	14,905	403	569	2,145	18,021
Northern Region	9,178	326	931	1,270	11,705
Southeast Region	9,001	432	1,504	2,963	13,900
Southwest Region	40,759	1,109	1,518	3,312	46,698
Total	73,855	2,359	4,618	12,015	92,848

The below table reflects actual hours flown in coordination with AMO’s law enforcement partners by fiscal year. In FY 2021, it is anticipated that AMO will continue this support at approximately the same levels as the past three fiscal years.

Flight Hours Flown in Coordination with AMO's Law Enforcement Partners

	U.S. Border Patrol	Air & Marine Operations	State and Local	Immigration and Customs Enforcement	All Other Federal	Total
FY 2017	43,770	28,980	1,889	7,188	14,933	96,760
FY 2018	45,384	26,895	1,838	7,755	13,929	95,801
FY 2019	46,406	26,247	1,442	5,870	12,881	92,846

Assets and Support – PPA Level III Summary of Budget Changes

Budget Formulation Activity <i>(Dollars in Thousands)</i>	Positions	FTE	Amount
FY 2019 Enacted	-	-	\$525,867
FY 2020 Enacted	-	-	\$533,768
FY 2021 Base Budget	-	-	\$533,768
Adjustments to Prior Year Enactments	-	-	\$15,000
FPS Fee Adjustment	-	-	\$260
GSA Rent	-	-	\$3,916
Total, Pricing Increases	-	-	\$19,176
Adjustments to Prior Year Programming Base	-	-	(\$15,000)
P-3 Aircraft Technology Refresh	-	-	(\$3,540)
Total, Pricing Decreases	-	-	(\$18,540)
Total Adjustments-to-Base	-	-	\$636
FY 2021 Current Services	-	-	\$534,404
DHC-8 Patrol Aircraft	-	-	\$14,300
Facilities Maintenance	-	-	\$8,026
Medium Lift Helicopter (MLH)	-	-	\$5,561
Multi-Role Enforcement Aircraft (MEA)	-	-	\$3,263
Total, Program Increases	-	-	\$31,150
FY 2021 Request	-	-	\$565,554
FY 2020 To FY 2021 Change	-	-	\$31,786

Assets and Support – PPA Level III

Non Pay Budget Exhibits

Non Pay Summary

Organization (Dollars in Thousands)	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget	FY 2020 to FY 2021 Total Changes
Assets and Support	\$525,867	\$533,768	\$565,554	\$31,786
Total	\$525,867	\$533,768	\$565,554	\$31,786
Discretionary - Appropriation	\$525,867	\$533,768	\$565,554	\$31,786

Non Pay by Object Class

Non-Pay Object Classes (Dollars in Thousands)	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget	FY 2020 to FY 2021 Change
21.0 Travel and Transportation of Persons	\$17,200	\$19,712	\$19,712	-
22.0 Transportation of Things	\$1,017	\$761	\$761	-
23.1 Rental Payments to GSA	-	\$8,210	\$2,913	(\$5,297)
23.2 Rental Payments to Others	\$12,036	\$8,250	\$8,250	-
23.3 Communications, Utilities, and Misc. Charges	\$8,377	\$8,120	\$12,245	\$4,125
25.2 Other Services from Non-Federal Sources	\$80,366	\$81,657	\$78,142	(\$3,515)
25.3 Other Goods and Services from Federal Sources	\$40,789	\$47,572	\$61,872	\$14,300
25.4 Operation and Maintenance of Facilities	\$11,104	\$21,574	\$34,948	\$13,374
25.6 Medical Care	-	\$66	\$66	-
25.7 Operation and Maintenance of Equipment	\$224,424	\$226,393	\$229,028	\$2,635
26.0 Supplies and Materials	\$95,136	\$94,550	\$100,714	\$6,164
31.0 Equipment	\$35,418	\$16,903	\$16,903	-
Total - Non Pay Object Classes	\$525,867	\$533,768	\$565,554	\$31,786

*Air and Marine Operations Center – PPA Level III***Budget Comparison and Adjustments****Comparison of Budget Authority and Request**

Organization (Dollars in Thousands)	FY 2019 Enacted			FY 2020 Enacted			FY 2021 President's Budget			FY 2020 to FY 2021 Total Changes		
	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount
Air and Marine Operations Center	163	163	\$37,589	245	240	\$36,650	201	196	\$41,474	(44)	(44)	\$4,824
Total	163	163	\$37,589	245	240	\$36,650	201	196	\$41,474	(44)	(44)	\$4,824
Subtotal Discretionary - Appropriation	163	163	\$37,589	245	240	\$36,650	201	196	\$41,474	(44)	(44)	\$4,824

PPA Level III Description

AMOC is a key element in CBP's counter-network strategy, responsible for assessing and countering terrorism, transnational organized crime, and other illegal activities. The AMOC advises, guides, and directs the operational employment of sensor technologies for DHS and CBP, managing the air and maritime domain awareness architecture. It integrates multiple sensor technologies, intelligence, law enforcement databases, open source information, and an extensive communications network. It monitors the airspace of major security events, and houses and collaborates with the Office of Intelligence via its Processing, Exploitation, and Dissemination cell that collects and analyzes multi-domain intelligence from a variety of sources, including CBP and USCG aircraft.

Air and Marine Operations Center – PPA Level III

Summary of Budget Changes

Budget Formulation Activity <i>(Dollars in Thousands)</i>	Positions	FTE	Amount
FY 2019 Enacted	163	163	\$37,589
FY 2020 Enacted	245	240	\$36,650
FY 2021 Base Budget	245	240	\$36,650
Transfer for staffing realignment from IO/AMOC to IO/Operations	(44)	(44)	-
Total Transfers	(44)	(44)	-
2020 Pay Raise	-	-	\$652
2021 Pay Raise	-	-	\$259
Adjustments to Prior Year Enactments	-	-	\$548
Adjustments to Prior Year Programming Base	-	-	\$8,033
FERS Agency Contribution	-	-	\$280
Total, Pricing Increases	-	-	\$9,772
AMOC Systems and Information Sharing	-	-	(\$1,500)
Delay in Hiring	-	-	(\$115)
Total, Pricing Decreases	-	-	(\$1,615)
Total Adjustments-to-Base	(44)	(44)	\$8,157
FY 2021 Current Services	201	196	\$44,807
Air and Marine Operations Center	-	-	\$4,822
Awards Spending Increase	-	-	\$345
Total, Program Increases	-	-	\$5,167
Rebaseline Workforce	-	-	(\$8,500)
Total, Program Decreases	-	-	(\$8,500)
FY 2021 Request	201	196	\$41,474
FY 2020 To FY 2021 Change	(44)	(44)	\$4,824

Air and Marine Operations Center – PPA Level III

Personnel Compensation and Benefits

Pay Summary

Organization (Dollars in Thousands)	FY 2019 Enacted				FY 2020 Enacted				FY 2021 President's Budget				FY 2020 to FY 2021 Total			
	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate
Air and Marine Operations Center	163	163	\$24,286	\$148.99	245	240	\$22,090	\$92.04	201	196	\$23,592	\$120.37	(44)	(44)	\$1,502	\$28.33
Total	163	163	\$24,286	\$148.99	245	240	\$22,090	\$92.04	201	196	\$23,592	\$120.37	(44)	(44)	\$1,502	\$28.33
Discretionary - Appropriation	163	163	\$24,286	\$148.99	245	240	\$22,090	\$92.04	201	196	\$23,592	\$120.37	(44)	(44)	\$1,502	\$28.33

Pay by Object Class

Pay Object Classes (Dollars in Thousands)	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget	FY 2020 - FY 2021 Change
11.1 Full-time Permanent	\$16,713	\$13,165	\$14,397	\$1,232
11.5 Other Personnel Compensation	\$1,661	\$1,434	\$1,434	-
12.1 Civilian Personnel Benefits	\$5,912	\$7,491	\$7,761	\$270
Total - Personnel Compensation and Benefits	\$24,286	\$22,090	\$23,592	\$1,502
Positions and FTE				
Positions - Civilian	163	245	201	(44)
FTE - Civilian	163	240	196	(44)

Air and Marine Operations Center – PPA Level III

Non Pay Budget Exhibits

Non Pay Summary

Organization (Dollars in Thousands)	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget	FY 2020 to FY 2021 Total Changes
Air and Marine Operations Center	\$13,303	\$14,560	\$17,882	\$3,322
Total	\$13,303	\$14,560	\$17,882	\$3,322
Discretionary - Appropriation	\$13,303	\$14,560	\$17,882	\$3,322

Non Pay by Object Class

Non-Pay Object Classes (Dollars in Thousands)	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget	FY 2020 to FY 2021 Change
21.0 Travel and Transportation of Persons	\$260	\$280	\$280	-
22.0 Transportation of Things	\$2	\$3	\$3	-
23.1 Rental Payments to GSA	-	-	\$191	\$191
23.3 Communications, Utilities, and Misc. Charges	\$2,165	\$2,170	\$2,170	-
25.2 Other Services from Non-Federal Sources	\$4,205	\$8,560	\$11,823	\$3,263
25.3 Other Goods and Services from Federal Sources	-	\$300	\$300	-
25.7 Operation and Maintenance of Equipment	\$5,756	\$765	\$765	-
26.0 Supplies and Materials	\$220	\$280	\$280	-
31.0 Equipment	\$695	\$2,202	\$2,070	(\$132)
Total - Non Pay Object Classes	\$13,303	\$14,560	\$17,882	\$3,322

*Office of International Affairs – PPA Level II***Budget Comparison and Adjustments****Comparison of Budget Authority and Request**

Organization <i>(Dollars in Thousands)</i>	FY 2019 Enacted			FY 2020 Enacted			FY 2021 President's Budget			FY 2020 to FY 2021 Total Changes		
	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount
Office of International Affairs	165	163	\$41,700	170	174	\$42,134	170	174	\$44,051	-	-	\$1,917
Total	165	163	\$41,700	170	174	\$42,134	170	174	\$44,051	-	-	\$1,917
Subtotal Discretionary - Appropriation	165	163	\$41,700	170	174	\$42,134	170	174	\$44,051	-	-	\$1,917

PPA Level II Description

This PPA provides funding for the Office of International Affairs (INA), and supports CBP's efforts to secure the global supply chain and travel network, and to facilitate the movement of legitimate cargo and passengers. Funding in this PPA is distributed among the following programs:

Office of International Affairs Funding Profile				
Program Name <i>(Dollars in Thousands)</i>	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget	FY 2020 to FY 2021 Total Changes
International Partnerships Programs	\$13,679	\$5,045	\$6,962	\$1,917
Overseas Program	\$28,021	\$37,089	\$37,089	\$0
Total	\$41,700	\$42,134	\$44,051	\$1,917

International Partnership Programs: International Partnership Programs help to develop and expand the focus on building and strengthening bilateral and multilateral relationships to achieve international agreements and joint efforts that both facilitate and secure legitimate trade and travel. Customs Mutual Assistance Agreements (CMAAs) are used to prevent, detect, and investigate customs offenses and crimes, including goods crossing international borders, duty evasion, trafficking, proliferation, money laundering, and terrorism-related activities. The U.S. currently has 80 CMAAs with foreign customs administrations across the world. The use of CMAAs help CBP to continually develop and expand opportunities to build and strengthen international partnerships.

Overseas Program: CBP's Overseas Program helps CBP mitigate international threats including the proliferation of terrorism, transnational organized crime, illegal migration, and economic crime. CBP stations attachés, International Relations Specialists and Mission Support staff at foreign posts and headquarters, to lead the agency's implementation of a multilayered risk based strategy combating these international threats. Office locations in countries and regions of high geopolitical and commercial value to the United States extend the zone of the U.S. border security.

Office of International Affairs – PPA Level II

Summary of Budget Changes

Budget Formulation Activity <i>(Dollars in Thousands)</i>	Positions	FTE	Amount
FY 2019 Enacted	165	163	\$41,700
FY 2020 Enacted	170	174	\$42,134
FY 2021 Base Budget	170	174	\$42,134
2020 Pay Raise	-	-	\$799
2021 Pay Raise	-	-	\$231
Adjustments to Prior Year Programming Base	-	-	\$4,608
FERS Agency Contribution	-	-	\$327
Total, Pricing Increases	-	-	\$5,965
Adjustments to Prior Year Enactments	-	-	(\$1,648)
Delay in Hiring	-	-	(\$161)
Total, Pricing Decreases	-	-	(\$1,809)
Total Adjustments-to-Base	-	-	\$4,156
FY 2021 Current Services	170	174	\$46,290
Awards Spending Increase	-	-	\$305
Total, Program Increases	-	-	\$305
Rebaseline Workforce	-	-	(\$2,544)
Total, Program Decreases	-	-	(\$2,544)
FY 2021 Request	170	174	\$44,051
FY 2020 To FY 2021 Change	-	-	\$1,917

Office of International Affairs – PPA Level II
Personnel Compensation and Benefits

Pay Summary

Organization <i>(Dollars in Thousands)</i>	FY 2019 Enacted				FY 2020 Enacted				FY 2021 President's Budget				FY 2020 to FY 2021 Total			
	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate
Office of International Affairs	165	163	\$30,489	\$187.05	170	174	\$29,423	\$169.1	170	174	\$30,684	\$176.34	-	-	\$1,261	\$7.24
Total	165	163	\$30,489	\$187.05	170	174	\$29,423	\$169.1	170	174	\$30,684	\$176.34	-	-	\$1,261	\$7.24
Discretionary - Appropriation	165	163	\$30,489	\$187.05	170	174	\$29,423	\$169.1	170	174	\$30,684	\$176.34	-	-	\$1,261	\$7.24

Pay by Object Class

Pay Object Classes <i>(Dollars in Thousands)</i>	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget	FY 2020 - FY 2021 Change
11.1 Full-time Permanent	\$19,468	\$17,897	\$18,951	\$1,054
11.3 Other than Full-Time Permanent	\$50	\$50	\$50	-
11.5 Other Personnel Compensation	\$1,023	\$1,023	\$1,023	-
12.1 Civilian Personnel Benefits	\$9,948	\$10,453	\$10,660	\$207
Total - Personnel Compensation and Benefits	\$30,489	\$29,423	\$30,684	\$1,261
Positions and FTE				
Positions - Civilian	165	170	170	-
FTE - Civilian	163	174	174	-

Office of International Affairs – PPA Level II
Non Pay Budget Exhibits

Non Pay Summary

Organization <i>(Dollars in Thousands)</i>	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget	FY 2020 to FY 2021 Total Changes
Office of International Affairs	\$11,211	\$12,711	\$13,367	\$656
Total	\$11,211	\$12,711	\$13,367	\$656
Discretionary - Appropriation	\$11,211	\$12,711	\$13,367	\$656

Non Pay by Object Class

Non-Pay Object Classes <i>(Dollars in Thousands)</i>	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget	FY 2020 to FY 2021 Change
21.0 Travel and Transportation of Persons	\$1,036	\$1,036	\$1,036	-
23.1 Rental Payments to GSA	\$1,225	\$1,875	\$1,875	-
23.2 Rental Payments to Others	\$451	\$451	\$451	-
23.3 Communications, Utilities, and Misc. Charges	\$520	\$520	\$520	-
25.2 Other Services from Non-Federal Sources	\$7,563	\$8,435	\$9,091	\$656
26.0 Supplies and Materials	\$162	\$162	\$162	-
31.0 Equipment	\$254	\$232	\$232	-
Total - Non Pay Object Classes	\$11,211	\$12,711	\$13,367	\$656

*Office of Intelligence – PPA Level II***Budget Comparison and Adjustments****Comparison of Budget Authority and Request**

Organization (Dollars in Thousands)	FY 2019 Enacted			FY 2020 Enacted			FY 2021 President's Budget			FY 2020 to FY 2021 Total Changes		
	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount
Office of Intelligence	264	262	\$59,148	397	315	\$61,685	335	286	\$65,724	(62)	(29)	\$4,039
Total	264	262	\$59,148	397	315	\$61,685	335	286	\$65,724	(62)	(29)	\$4,039
Subtotal Discretionary - Appropriation	264	262	\$59,148	397	315	\$61,685	335	286	\$65,724	(62)	(29)	\$4,039

PPA Level II Description

The Office of Intelligence (OI) provides CBP frontline operators and decision-makers with current, relevant situational awareness and facilitates operational responses for all crimes, threats, and hazards throughout the various CBP areas of responsibility. OI includes Field Intelligence Groups (FIG) in Miami, San Juan, Rio Grande Valley, Corpus Christi, Laredo, San Antonio, El Paso, Tucson, San Diego, Pacific Northwest, Detroit and New York. It also includes the 24x7 National Intelligence Watch, and OI personnel at the El Paso Intelligence Center. Funding for this PPA is distributed as follows:

Office (Dollars in Thousands)	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget	FY 2020 to FY 2021 Total Changes
Strategic Intelligence and Analysis Division	\$5,021	\$5,163	\$5,459	\$296
Analytic Standards and Programs Division	\$2,435	\$2,548	\$2,748	\$200
Collection Division	\$23,982	\$24,798	\$25,498	\$700
Field Intelligence Division	\$6,934	\$7,120	\$7,580	\$460
Current and Emerging Threat Intelligence Division	\$2,087	\$2,087	\$2,398	\$311
Operational Field Testing Division	\$1,813	\$1,813	\$2,103	\$290
Confidential Human Source Division	\$1,700	\$2,700	\$2,923	\$223
Business Support (Assistant Commissioner's Office, Training, Security, RMD, Policy)	\$6,940	\$7,220	\$8,020	\$800
Weapons of Mass Destruction Division	\$1,200	\$1,200	\$1,359	\$159
Counterintelligence Division	\$1,126	\$1,126	\$1,526	\$400
Analytical Framework for Intelligence Division	\$5,910	\$5,910	\$6,110	\$200
Total	\$59,148	\$61,685	\$65,724	\$4,039

Strategic Intelligence and Analysis Division: The Strategic Intelligence and Analysis Division (SIAD) leverages CBP's unique authorities, capabilities, data, access, and partnerships to deliver comprehensive and analytically sound intelligence products to U.S and international government officials positioned to make strategic policy, operational, and resource decisions on border issues. FY 2021 shows a slight increase due to a payroll adjustment.

Analytic Standards and Programs Division: The Analytic Standards and Programs Division (ASPD) promotes analytic programs (analytic evaluation, data exploitation, illicit finance, and administrative and programmatic sustainment) and production management (tradecraft standards and mentoring, program of analysis, production plan development and tracking, editing and formatting, and dissemination) to ensure OI products are of the highest quality, and OI professionals remain well versed in tradecraft best practices, cutting-edge analytic techniques and tools, and customer focused production planning. FY 2021 shows a slight increase due to a payroll adjustment.

Collection Division: The Collection Division facilitate, manage, and conduct intelligence planning, collection management, and the processing, exploitation, and dissemination process – using CBP, DHS, and IC assets and resources – in support of the CBP Intelligence Enterprise and the greater Intelligence Community. FY 2021 shows a slight increase due to a payroll adjustment.

Field Intelligence Division: The HQ Field Intelligence Division (FID) serves as the key conduit to provide intelligence capabilities to CBP's components in the field and the organizations that partner with them enabling them to conduct effective law enforcement operations. HQ FID provides programmatic oversight of the Field Intelligence Groups (FIG) and Field Intelligence Elements (FIE) and serves as the central point of access for the bi-directional coordination of intelligence between HQ OI, HQ component offices, and FIGs/FIEs. FY 2021 shows a slight increase due to a payroll adjustment.

Current and Emerging Threat Division: The Current and Emerging Threat Division (CETD) provides critical and relevant intelligence on current and emerging threats to support CBP's strategic and tactical mission through daily intelligence briefs, tailored threat briefs, and intelligence products. CETD includes the National Intelligence Watch and Tactical Intelligence Cell (TIC). The National Intelligence Watch maintains awareness of national and international emerging issues that have potential impact on CBP operations and interests, and facilitates intelligence collaboration and dissemination of intelligence to field components and intelligence community members. FY 2021 shows a slight increase due to a payroll adjustment.

Operational Field Testing Division: The Operational Field Testing Division (OFTD) provides training operations in support of the USBP, OFO, Domestic Nuclear Detection Office and Inspector General. FY 2021 shows a slight increase due to a payroll adjustment.

Confidential Human Source Division: The Confidential Human Source Division (CHS) serves as the CBP National CHS Program Manager. CHS is responsible for the proper management, oversight, auditing, and operational approval of CBP's Human Intelligence collection to enhance CBP's ability to counter terrorism and transnational crime. FY 2021 shows a slight increase due to a payroll adjustment.

Business Support (Assistant Commissioner’s Office, Policy, Resource Management, Security and Training): Business Support provides policy formulation, planning, programming, budgeting, human resource management, security services and tailored intelligence training to ensure the effective execution of Office of Intelligence programs and priorities, and, in accord with the Commissioner’s priority directive, supports the CBP Intelligence Enterprise (IE). FY 2021 shows a slight increase due to a payroll adjustment.

Weapons of Mass Destruction Division: The Weapons of Mass Destruction Division (WMD) develops, coordinates and implements intelligence-driven operational responses to risks posed by Chemical, Biological, Radiological, Nuclear and Explosive (CBRNE) threats and hazards at and between the POEs. FY 2021 shows a slight increase due to a payroll adjustment.

Counterintelligence Division: The Counterintelligence Division (CI) develops and implements capabilities to detect and mitigate efforts of adversary intelligence from compromising CBP’s personnel, sensitive information, systems, operations, and programs. FY 2021 shows a slight increase due to a payroll adjustment.

Analytical Framework for Intelligence: The Analytical Framework for Intelligence (AFI) system provides capabilities for gathering, analysis, information sharing, and reporting of critical intelligence information within DHS and other law enforcement partners. FY 2021 shows a slight increase due to a payroll adjustment.

Office of Intelligence – PPA Level II

Summary of Budget Changes

Budget Formulation Activity <i>(Dollars in Thousands)</i>	Positions	FTE	Amount
FY 2019 Enacted	264	262	\$59,148
FY 2020 Enacted	397	315	\$61,685
FY 2021 Base Budget	397	315	\$61,685
2020 Pay Raise	-	-	\$1,287
2021 Pay Raise	-	-	\$422
Adjustments to Prior Year Enactments	-	-	\$7,948
Annualization of FY 2020 Counter Intelligence and Insider Threat	-	3	\$529
FERS Agency Contribution	-	-	\$512
Total, Pricing Increases	-	3	\$10,698
Adjustments to Prior Year Programming Base	-	-	(\$2,811)
Delay in Hiring	-	-	(\$248)
Non-Recur FY 2020 Counter Intelligence Insider Threat Hiring	-	-	(\$318)
Total, Pricing Decreases	-	-	(\$3,377)
Total Adjustments-to-Base	-	3	\$7,321
FY 2021 Current Services	397	318	\$69,006
Awards Spending Increase	-	-	\$560
Total, Program Increases	-	-	\$560
Rebaseline Workforce	(62)	(32)	(\$3,842)
Total, Program Decreases	(62)	(32)	(\$3,842)
FY 2021 Request	335	286	\$65,724
FY 2020 To FY 2021 Change	(62)	(29)	\$4,039

Office of Intelligence – PPA Level II
Personnel Compensation and Benefits

Pay Summary

Organization (Dollars in Thousands)	FY 2019 Enacted				FY 2020 Enacted				FY 2021 President's Budget				FY 2020 to FY 2021 Total			
	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate
Office of Intelligence	264	262	\$44,007	\$167.97	397	315	\$45,446	\$144.27	335	286	\$47,816	\$167.19	(62)	(29)	\$2,370	\$22.92
Total	264	262	\$44,007	\$167.97	397	315	\$45,446	\$144.27	335	286	\$47,816	\$167.19	(62)	(29)	\$2,370	\$22.92
Discretionary - Appropriation	264	262	\$44,007	\$167.97	397	315	\$45,446	\$144.27	335	286	\$47,816	\$167.19	(62)	(29)	\$2,370	\$22.92

Pay by Object Class

Pay Object Classes (Dollars in Thousands)	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget	FY 2020 - FY 2021 Change
11.1 Full-time Permanent	\$30,000	\$30,470	\$32,610	\$2,140
11.3 Other than Full-Time Permanent	\$83	\$83	\$83	-
11.5 Other Personnel Compensation	\$1,050	\$1,050	\$1,050	-
12.1 Civilian Personnel Benefits	\$12,874	\$13,843	\$14,073	\$230
Total - Personnel Compensation and Benefits	\$44,007	\$45,446	\$47,816	\$2,370
Positions and FTE				
Positions - Civilian	264	397	335	(62)
FTE - Civilian	262	315	286	(29)

Office of Intelligence – PPA Level II
Non Pay Budget Exhibits

Non Pay Summary

Organization <i>(Dollars in Thousands)</i>	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget	FY 2020 to FY 2021 Total Changes
Office of Intelligence	\$15,141	\$16,239	\$17,908	\$1,669
Total	\$15,141	\$16,239	\$17,908	\$1,669
Discretionary - Appropriation	\$15,141	\$16,239	\$17,908	\$1,669

Non Pay by Object Class

Non-Pay Object Classes <i>(Dollars in Thousands)</i>	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget	FY 2020 to FY 2021 Change
21.0 Travel and Transportation of Persons	\$1,227	\$1,332	\$1,152	(\$180)
22.0 Transportation of Things	\$2	\$200	\$200	-
23.1 Rental Payments to GSA	\$73	\$73	\$38	(\$35)
23.2 Rental Payments to Others	\$5	\$60	\$60	-
23.3 Communications, Utilities, and Misc. Charges	\$143	\$154	\$154	-
24.0 Printing and Reproduction	\$3	\$3	\$3	-
25.2 Other Services from Non-Federal Sources	\$7,346	\$6,073	\$8,025	\$1,952
25.3 Other Goods and Services from Federal Sources	\$1	\$1,981	\$1,981	-
25.7 Operation and Maintenance of Equipment	\$3,081	\$3,081	\$3,081	-
26.0 Supplies and Materials	\$546	\$557	\$524	(\$33)
31.0 Equipment	\$2,714	\$2,725	\$2,690	(\$35)
Total - Non Pay Object Classes	\$15,141	\$16,239	\$17,908	\$1,669

*Office of Training and Development – PPA Level II***Budget Comparison and Adjustments****Comparison of Budget Authority and Request**

Organization <i>(Dollars in Thousands)</i>	FY 2019 Enacted			FY 2020 Enacted			FY 2021 President's Budget			FY 2020 to FY 2021 Total Changes		
	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount
Office of Training and Development	-	-	\$6,546	-	-	\$6,886	10	10	\$7,989	10	10	\$1,103
Total	-	-	\$6,546	-	-	\$6,886	10	10	\$7,989	10	10	\$1,103
Subtotal Discretionary - Appropriation	-	-	\$6,546	-	-	\$6,886	10	10	\$7,989	10	10	\$1,103

PPA Level II Description

This PPA funds the costs of delivering basic training to Air and Marine Interdiction Agents, and basic/advanced training for employees assigned to intelligence functions within CBP. Resources in this PPA support the delivery of over 44 sessions of 14 separate courses, via the AMO Academy, designed to promote integrated and transformative intelligence training to fulfill CBP's goals of advancing National Security, Public Safety, and Comprehensive Border Security and Management.

Office of Training and Development – PPA Level II

Summary of Budget Changes

Budget Formulation Activity <i>(Dollars in Thousands)</i>	Positions	FTE	Amount
FY 2019 Enacted	-	-	\$6,546
FY 2020 Enacted	-	-	\$6,886
FY 2021 Base Budget	-	-	\$6,886
Transfer for Air and Marine Academy Personnel from CBP/TTO/O	6	6	\$1,124
Transfer for Trainers from CBP/IO/AMO/OPS to CBP/IO/OTD	4	4	\$719
Total Transfers	10	10	\$1,843
2020 Pay Raise	-	-	\$12
2021 Pay Raise	-	-	\$13
Adjustments to Prior Year Programming Base	-	-	\$425
Total, Pricing Increases	-	-	\$450
Adjustments to Prior Year Enactments	-	-	(\$1,677)
Total, Pricing Decreases	-	-	(\$1,677)
Total Adjustments-to-Base	10	10	\$616
FY 2021 Current Services	10	10	\$7,502
AMO Training	-	-	\$469
Awards Spending Increase	-	-	\$18
Total, Program Increases	-	-	\$487
FY 2021 Request	10	10	\$7,989
FY 2020 To FY 2021 Change	10	10	\$1,103

Office of Training and Development – PPA Level II
Personnel Compensation and Benefits

Pay Summary

Organization (Dollars in Thousands)	FY 2019 Enacted				FY 2020 Enacted				FY 2021 President's Budget				FY 2020 to FY 2021 Total			
	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate
Office of Training and Development	-	-	-	-	-	-	-	-	10	10	\$1,162	\$116.2	10	10	\$1,162	\$116.2
Total	-	-	-	-	-	-	-	-	10	10	\$1,162	\$116.2	10	10	\$1,162	\$116.2
Discretionary - Appropriation	-	-	-	-	-	-	-	-	10	10	\$1,162	\$116.2	10	10	\$1,162	\$116.2

Pay by Object Class

Pay Object Classes (Dollars in Thousands)	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget	FY 2020 - FY 2021 Change
11.1 Full-time Permanent	-	-	\$646	\$646
12.1 Civilian Personnel Benefits	-	-	\$516	\$516
Total - Personnel Compensation and Benefits	-	-	\$1,162	\$1,162
Positions and FTE				
Positions - Civilian	-	-	10	10
FTE - Civilian	-	-	10	10

Office of Training and Development – PPA Level II
Non Pay Budget Exhibits

Non Pay Summary

Organization <i>(Dollars in Thousands)</i>	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget	FY 2020 to FY 2021 Total Changes
Office of Training and Development	\$6,546	\$6,886	\$6,827	(\$59)
Total	\$6,546	\$6,886	\$6,827	(\$59)
Discretionary - Appropriation	\$6,546	\$6,886	\$6,827	(\$59)

Non Pay by Object Class

Non-Pay Object Classes <i>(Dollars in Thousands)</i>	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget	FY 2020 to FY 2021 Change
21.0 Travel and Transportation of Persons	\$1,626	\$1,661	\$1,661	-
22.0 Transportation of Things	\$107	\$10	\$10	-
23.3 Communications, Utilities, and Misc. Charges	-	\$7	\$7	-
25.1 Advisory and Assistance Services	-	-	\$469	\$469
25.2 Other Services from Non-Federal Sources	\$1,667	\$5,206	\$4,678	(\$528)
26.0 Supplies and Materials	\$1,570	-	-	-
31.0 Equipment	\$1,576	\$2	\$2	-
Total - Non Pay Object Classes	\$6,546	\$6,886	\$6,827	(\$59)

*Operations Support – PPA Level II***Budget Comparison and Adjustments****Comparison of Budget Authority and Request**

Organization (Dollars in Thousands)	FY 2019 Enacted			FY 2020 Enacted			FY 2021 President's Budget			FY 2020 to FY 2021 Total Changes		
	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount
Operations Support	428	423	\$112,235	532	434	\$173,569	516	438	\$153,555	(16)	4	(\$20,014)
Total	428	423	\$112,235	532	434	\$173,569	516	438	\$153,555	(16)	4	(\$20,014)
Subtotal Discretionary - Appropriation	428	423	\$112,235	532	434	\$173,569	516	438	\$153,555	(16)	4	(\$20,014)

PPA Level II Description

Operations Support (OS) provides oversight and guidance to CBP's planning, analysis, requirements, policy, law enforcement safety and compliance, intelligence, international affairs, scientific, and information and incident coordination functions.

This PPA provides funding for the the Joint Task Force – West and the Operations Support Office, which includes the Office of the Executive Assistant Commissioner, Office of Intelligence (funded separately), Office of International Affairs (funded separately), Information and Incident Coordination Center; Planning Analysis and Requirements Evaluation Directorate; Law Enforcement Safety & Compliance; and Laboratories & Scientific Services.

Office Descriptions

Joint Task Force – West (JTF-W): JTF-W is headquartered in San Antonio, TX and focuses cross-department operations on strategic objectives and unity of effort across four geographical corridors: California Corridor (San Diego, CA); Arizona Corridor (Tucson, AZ); New Mexico/West Texas Corridor (El Paso, TX); and South Texas Corridor (McAllen, TX). JTF-W partners with DHS Components, other U.S. Federal, State, and local agencies, and international partners to plan and implement enhanced counter network operations directed at disrupting and dismantling TCOs and targeting individuals and organizations whose criminal conduct undermines border security. By identifying evolving threats, critical intelligence gaps, and vulnerabilities, these operations develop comprehensive common operational and intelligence pictures that ultimately enhance DHS's ability to disrupt, degrade, and dismantle TCOs exploiting the homeland.

JTF-W Arizona Corridor also includes the Joint Intelligence and Operations Center (JIOC), which serves as a command, control, and 24x7 communications center, providing situational awareness to all Arizona-based CBP operational components and their respective commands.

The Office of the Executive Assistant Commissioner (EAC): The EAC provides executive leadership and management and administration guidance across the business line. The Office of the EAC is supported by the Chief of Staff and Communications staff.

Information and Incident Coordination Center: The Information and Incident Coordination Center provides CBP senior leadership with real-time, 24/7 operational situational awareness. It is responsible for managing information flow regarding all significant incidents involving CBP personnel and operations, and is the primary entity responsible for coordination information sharing across all CBP operational offices, DHS, and CBP's interagency partners.

Planning, Analysis, & Requirements Evaluations Directorate (PARE): PARE manages CBP's risk management framework that enables CBP leadership to make fully informed analytics-based and risk-based decisions. PARE integrates CBP operations through comprehensive understanding of the operating environment, risk management, analytics-based decision support, and operational needs.

Law Enforcement Safety and Compliance (LESC): LESC supports CBP's law enforcement mission through use of force policy development and incident review, advanced firearms and defensive tactics training and the testing, evaluation, contracting, and maintenance for CBP weapons, ammunition and body armor. The LESC manages the Use of Force Decisional Simulator program, which provides CBP law enforcement personnel with an opportunity to engage in full spectrum scenarios that are specific to the CBP mission. It also funds overall mission support associated with known and new training mandates for more than 45,000 CBP law enforcement personnel.

Laboratories and Scientific Services (LSS): LSS operates eight nationally accredited laboratories and four forward-deployed satellite laboratories that conduct advanced forensic and technical analyses in support of frontline CBP officers for law enforcement activities and trade enforcement. In FY 2018, LSS analyzed over 41,000 forensic samples and 15,000 trade samples. LSS's 24/7 Teleforensics Center (TC) provides near real-time reach back for technical advice and adjudication of presumptive screening results to field officers and agents who encounter suspect RAD/NUC threats and narcotics (chemical) threats. The TC handles on average 55 reachback calls daily and provides advice or adjudication within 30 minutes. The LSS INTERDICT Center is the focal point for CBP's opioid strategy to identify current and new synthetic opioids and psychoactive substances. Through the INTERDICT Center's work on new discoveries, LSS will be the repository for chemical signature libraries for the deployed handheld trace detection technologies utilized by CBP officers and agents. LSS Headquarters manages CBP-wide scientific and technical programs such as DOMEX, Test and Evaluation for NII, Lead Technical Authority for NII and Tunnel programs, and national LSS joint (mobile) operations. Additionally, LSS facilitates coordination and matures partnerships with external Federal, State and local entities to include the Office of National Drug Control Policy the Organized Crime Drug Enforcement Task Force, and the U.S. Food and Drug Administration to augment our capabilities to provide rapid, reliable, and actionable information in support of the border security mission.

Operations Support – PPA Level II

Summary of Budget Changes

Budget Formulation Activity <i>(Dollars in Thousands)</i>	Positions	FTE	Amount
FY 2019 Enacted	428	423	\$112,235
FY 2020 Enacted	532	434	\$173,569
FY 2021 Base Budget	532	434	\$173,569
2020 Pay Raise	-	-	\$2,081
2021 Pay Raise	-	-	\$576
Annualization of FY 2020 9mm Weapons Transition Hiring	-	12	\$831
FERS Agency Contribution	-	-	\$751
Total, Pricing Increases	-	12	\$4,239
Adjustments to Prior Year Enactments	-	-	(\$16,660)
Adjustments to Prior Year Programming Base	-	-	(\$2,654)
Delay in Hiring	-	-	(\$425)
Information & Incident Coordination Center	-	-	(\$1,598)
Non-Recur FY 2020 9mm Weapons Transition Hiring Costs	-	-	(\$44)
Opioid Detection Equipment and Safeguards	-	-	(\$7,100)
Total, Pricing Decreases	-	-	(\$28,481)
Total Adjustments-to-Base	-	12	(\$24,242)
FY 2021 Current Services	532	446	\$149,327
Awards Spending Increase	-	-	\$646
Laboratory Personnel	12	6	\$1,109
Rebaseline Workforce	(28)	(14)	\$2,473
Total, Program Increases	(16)	(8)	\$4,228
FY 2021 Request	516	438	\$153,555
FY 2020 To FY 2021 Change	(16)	4	(\$20,014)

Operations Support – PPA Level II
Personnel Compensation and Benefits

Pay Summary

Organization (Dollars in Thousands)	FY 2019 Enacted				FY 2020 Enacted				FY 2021 President's Budget				FY 2020 to FY 2021 Total			
	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate
Operations Support	428	423	\$68,995	\$163.11	532	434	\$80,121	\$184.61	516	438	\$81,314	\$185.65	(16)	4	\$1,193	\$1.04
Total	428	423	\$68,995	\$163.11	532	434	\$80,121	\$184.61	516	438	\$81,314	\$185.65	(16)	4	\$1,193	\$1.04
Discretionary - Appropriation	428	423	\$68,995	\$163.11	532	434	\$80,121	\$184.61	516	438	\$81,314	\$185.65	(16)	4	\$1,193	\$1.04

Pay by Object Class

Pay Object Classes (Dollars in Thousands)	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget	FY 2020 - FY 2021 Change
11.1 Full-time Permanent	\$47,747	\$57,245	\$60,563	\$3,318
11.3 Other than Full-Time Permanent	\$533	\$533	\$533	-
11.5 Other Personnel Compensation	\$2,832	\$2,832	\$855	(\$1,977)
12.1 Civilian Personnel Benefits	\$17,883	\$19,511	\$19,363	(\$148)
Total - Personnel Compensation and Benefits	\$68,995	\$80,121	\$81,314	\$1,193
Positions and FTE				
Positions - Civilian	428	532	516	(16)
FTE - Civilian	423	434	438	4

Operations and Support – PPA Level II
Non Pay Budget Exhibits

Non Pay Summary

Organization <i>(Dollars in Thousands)</i>	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget	FY 2020 to FY 2021 Total Changes
Operations Support	\$43,240	\$93,448	\$72,241	(\$21,207)
Total	\$43,240	\$93,448	\$72,241	(\$21,207)
Discretionary - Appropriation	\$43,240	\$93,448	\$72,241	(\$21,207)

Non Pay by Object Class

Non-Pay Object Classes <i>(Dollars in Thousands)</i>	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget	FY 2020 to FY 2021 Change
21.0 Travel and Transportation of Persons	\$2,612	\$3,097	\$3,117	\$20
22.0 Transportation of Things	\$30	\$30	\$30	-
23.1 Rental Payments to GSA	\$151	\$150	\$150	-
23.3 Communications, Utilities, and Misc. Charges	\$830	\$911	\$825	(\$86)
25.1 Advisory and Assistance Services	-	\$2,238	\$2,238	-
25.2 Other Services from Non-Federal Sources	\$18,450	\$21,110	\$6,034	(\$15,076)
25.3 Other Goods and Services from Federal Sources	-	\$132	-	(\$132)
25.7 Operation and Maintenance of Equipment	-	-	\$5	\$5
26.0 Supplies and Materials	\$6,776	\$11,334	\$10,673	(\$661)
31.0 Equipment	\$14,391	\$54,446	\$49,169	(\$5,277)
Total - Non Pay Object Classes	\$43,240	\$93,448	\$72,241	(\$21,207)

Department of Homeland Security

U.S. Customs and Border Protection

Procurement, Construction, and Improvements



Fiscal Year 2021
Congressional Justification

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U.S. Customs and Border Protection**Procurement, Construction, and Improvements**

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Procurement, Construction, and Improvements

Budget Comparison and Adjustments

Comparison of Budget Authority and Request

Organization (Dollars in Thousands)	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget	FY 2020 to FY 2021 Total Changes
Mission Support Assets and Infrastructure	\$18,544	\$45,673	\$32,629	(\$13,044)
Border Security Assets and Infrastructure	\$1,475,000	\$1,508,788	\$2,060,413	\$551,625
Trade and Travel Assets and Infrastructure	\$625,000	\$88,124	\$22,510	(\$65,614)
Integrated Operations Assets and Infrastructure	\$127,112	\$199,519	\$38,409	(\$161,110)
Construction and Facility Improvements	\$270,222	\$62,364	\$127,399	\$65,035
Total	\$2,515,878	\$1,904,468	\$2,281,360	\$376,892
Discretionary - Appropriation	\$2,515,878	\$1,904,468	\$2,281,360	\$376,892

U.S. Customs and Border Protection's (CBP) Procurement, Construction, and Improvements (PC&I) appropriation provides funds necessary for the planning, operational development, engineering, and purchase of one or more assets prior to sustainment, including operational, mission support, and management and administration (M&A) costs directly associated with those acquisition activities. The Program, Project, and Activity (PPA) details listed below provide a programmatic breakout of how these costs are aligned across the agency.

Mission Support Assets and Infrastructure: Enterprise assets and information technology systems and services that support business administrative services and back office operations.

Border Security Assets and Infrastructure: Technology and assets necessary to conduct CBP's border security mission, including:

- Detection and surveillance equipment to enhance situational awareness to facilitate border enforcement; and,
- Pedestrian and vehicle fencing, wall structures, roads, lighting, low water crossings, bridges, drainage and grate systems, marine ramps, and other related border infrastructure.

Trade and Travel Assets and Infrastructure: Technology and assets needed to conduct the trade and travel mission, including integrated screening, scanning, biometrics, and transaction processing systems to enhance the interception of potential threats before they can cause harm while simultaneously expediting legal trade and travel.

Integrated Operations Assets and Infrastructure: Air and marine assets and the related support systems and infrastructure to operate these assets.

Construction and Facility Improvements: Improvement of existing owned or leased facilities and real property, and the construction of new facilities.

Procurement, Construction, and Improvements

Budget Authority and Obligations

Budget Authority (Dollars in Thousands)	FY 2019	FY 2020	FY 2021
Enacted/Request	\$2,515,878	\$1,904,468	\$2,281,360
Carryover and/or Recoveries (Actual/Estimates/Projections)	\$722,000	\$1,988,000	\$1,722,699
Rescissions to Current Year/Budget Year	-	-	-
Net Sequestered Resources	-	-	-
Reprogrammings/Transfers	(\$6,000)	-	-
Supplementals	-	-	-
Total Budget Authority	\$3,231,878	\$3,892,468	\$4,004,059
Collections – Reimbursable Resources	-	-	-
Total Budget Resources	\$3,231,878	\$3,892,468	\$4,004,059
Obligations (Actual/Estimates/Projections)	\$2,034,049	\$2,518,000	\$2,409,000
Personnel: Positions and FTE			
Enacted/Request Positions	-	-	-
Enacted/Request FTE	-	-	-
Onboard and Actual FTE; Includes Collections - Reimbursable Resources			
Onboard (Actual/Estimates/Projections)	-	-	-
FTE (Actual/Estimates/Projections)	-	-	-

*Obligations and carryover data do not match the President's Budget Appendix.

Procurement, Construction, and Improvements

Summary of Budget Changes

Budget Formulation Activity <i>(Dollars in Thousands)</i>	Positions	FTE	Amount
FY 2019 Enacted	-	-	\$2,515,878
FY 2020 Enacted	-	-	\$1,904,468
FY 2021 Base Budget	-	-	-
Advanced Trade Analytics Platform	-	-	\$12,510
Air & Marine Facilities	-	-	\$27,399
Aircraft Sensor Upgrades	-	-	\$13,000
Automated Commercial Environment (ACE)	-	-	\$10,000
Autonomous Surveillance Towers	-	-	\$28,000
Border Enforcement Coordination Network (BECN)	-	-	\$15,000
Border Patrol Facilities	-	-	\$100,000
Border Wall System Program	-	-	\$1,964,813
COSS Transformation Initiative (CTI)	-	-	\$9,456
Human Resources IT Modernization	-	-	\$10,000
Minotaur Mission Systems	-	-	\$7,509
Modular Mobile Surveillance System (M2S2) (MVSS Phase 2)	-	-	\$15,000
P3 Recapitalization	-	-	\$2,400
Revenue Modernization	-	-	\$13,173
RVSS	-	-	\$7,600
Small Unmanned Aircraft System (sUAS)	-	-	\$10,000
UH-60 Medium Lift Helicopter	-	-	\$15,500
Unified Immigration Portal	-	-	\$20,000
Total Investment Elements	-	-	\$2,281,360
FY 2021 Request	-	-	\$2,281,360
FY 2020 To FY 2021 Change	-	-	\$376,892

Procurement, Construction, and Improvements

Non Pay Budget Exhibits

Non Pay by Object Class

Non-Pay Object Classes <i>(Dollars in Thousands)</i>	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget	FY 2020 to FY 2021 Change
25.2 Other Services from Non-Federal Sources	\$68,502	\$102,199	\$90,538	(\$11,661)
25.3 Other Goods and Services from Federal Sources	-	-	\$15,500	\$15,500
25.7 Operation and Maintenance of Equipment	\$15,000	\$46,525	\$12,510	(\$34,015)
26.0 Supplies and Materials	\$458	-	-	-
31.0 Equipment	\$786,696	\$318,380	\$70,600	(\$247,780)
32.0 Land and Structures	\$1,645,222	\$1,437,364	\$2,092,212	\$654,848
Total - Non Pay Object Classes	\$2,515,878	\$1,904,468	\$2,281,360	\$376,892

Procurement, Construction, and Improvements

Capital Investments Exhibits

Capital Investments

Investment <i>(Dollars in Thousands)</i>	Unique Item Identifier	Acquisition Level	Procurement/ Construction	IT/Non-IT	MAOL	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget
UH-60 Medium Lift Helicopter	N024-000005259	1	Procurement	Non-IT	Yes	\$15,000	\$46,525	\$15,500
Automated Commercial Environment (ACE)	024-000005053	1	Procurement	IT	Yes	\$10,000	\$10,000	\$10,000
Remote Video Surveillance Systems (RVSS)	024-000005220	1	Procurement	IT	Yes	\$49,577	\$40,740	\$7,600
Non-Intrusive Inspection (NII) Systems Program	024-000005119	1	Procurement	IT	Yes	\$564,000	\$59,124	-
KA350-CER Multi-Role Enforcement Aircraft (MEA)	N024-000005258	1	Procurement	Non-IT	Yes	\$86,112	\$85,146	-
Integrated Fixed Towers (IFT)	024-000005217	2	Procurement	IT	Yes	\$13,579	\$1,142	-
Mobile Video Surveillance System (MVSS)	N024-000005254	2	Procurement	IT	Yes	\$8,844	\$14,800	-
Revenue Modernization	024-000005257	3	Procurement	IT	Yes	\$18,544	\$15,673	\$13,173
COSS Transformation Initiative	024-000005262	3	Procurement	IT	Yes	-	-	\$9,456
Coastal Interceptor Vessels	N024-000005260	3	Procurement	Non-IT	Yes	\$14,500	\$14,830	-
Border Security Assets and Infrastructure End Items	N/A	Non-Major	Procurement	IT	No	\$28,000	\$77,106	\$88,000
Airframes and Sensors End Items	N/A	Non-Major	Procurement	IT	No	\$11,500	\$18,900	\$22,909
Trade and Travel Assets and Infrastructure End Items	N/A	Non-Major	Procurement	IT	No	\$51,000	\$19,000	\$12,510
Mission Support Assets and Infrastructure End Items	N/A	Non-Major	Procurement	IT	No	-	\$30,000	\$10,000
Light Enforcement Helicopters	-	-	Procurement	Non-IT	No	-	\$34,118	-
Border Wall System Program	N024-000005266	1	Construction	Non-IT	Yes	\$1,375,000	\$1,375,000	\$1,964,813
Border Patrol Facilities	N024-000005113	1	Construction	Non-IT	No	\$255,447	\$25,000	\$100,000
OFO Facilities	N024-000005173	2	Construction	Non-IT	No	\$14,775	\$22,364	-
Air & Marine Facilities	N024-000005172	3	Construction	Non-IT	No	-	\$6,000	\$27,399
Construction and Facility Improvements End Items	N/A	Non-Major	Construction	Non-IT	No	-	\$9,000	-

*Mission Support Assets and Infrastructure – PPA***Budget Comparison and Adjustments****Comparison of Budget Authority and Request**

Organization <i>(Dollars in Thousands)</i>	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget	FY 2020 to FY 2021 Total Changes
Revenue Modernization	\$18,544	\$15,673	\$13,173	(\$2,500)
Mission Support Assets and Infrastructure End Items	-	\$30,000	\$10,000	(\$20,000)
COSS Transformation Initiative	-	-	\$9,456	\$9,456
Total	\$18,544	\$45,673	\$32,629	(\$13,044)
Discretionary - Appropriation	\$18,544	\$45,673	\$32,629	(\$13,044)

PPA Level I Description

The Mission Support Assets and Infrastructure PPA provides enterprise assets and information technology systems and services that support business administrative services and operations. This PPA captures investments that support enterprise leadership, management and/or business administration services. The PPA also describes the capabilities and activities that facilitate the day-to-day management functions that enable CBP to operate efficiently and effectively.

This PPA is comprised of the following investments/activities:

Revenue Modernization: CBP's Revenue Modernization initiative is a multi-year acquisition program intended to create a long-term solution for the improved management of approximately \$54.0B in total annual collections collected by CBP. When Revenue Modernization is fully implemented (estimated FY 2023), CBP will be able to redirect 650,000 hours of CBP Officer (CBPO) time currently spent on collections and shift them toward mission critical duties of border security and trade facilitation.

COSS Transformation Initiative: The CBP Overtime Scheduling System (COSS) Transformation Initiative (CTI) will retire the antiquated, inefficient, and unsustainable COSS and replace it with a modern, integrated scheduling and timekeeping solution that includes webTA. CTI closes the performance gaps of efficiency, sustainability, and responsiveness to mission need.

Mission Support Assets and Infrastructure End Items: These procurements include funding for multiple technologies and assets for mission support offices within CBP. They include programs that exceed the \$250,000 PC&I threshold for end items, or items that have been previously appropriated to PC&I, but are not part of the DHS Master Acquisition Oversight List (MAOL).

Mission Support Assets and Infrastructure – PPA

Budget Authority and Obligations

Budget Authority (Dollars in Thousands)	FY 2019	FY 2020	FY 2021
Enacted/Request	\$18,544	\$45,673	\$32,629
Carryover and/or Recoveries (Actual/Estimates/Projections)	\$4,193	\$25,656	-
Rescissions to Current Year/Budget Year	-	-	-
Net Sequestered Resources	-	-	-
Reprogrammings/Transfers	-	-	-
Supplementals	-	-	-
Total Budget Authority	\$22,737	\$71,329	\$32,629
Collections – Reimbursable Resources	-	-	-
Total Budget Resources	\$22,737	\$71,329	\$32,629
Obligations (Actual/Estimates/Projections)	\$21,000	\$71,329	\$31,000
Personnel: Positions and FTE			
Enacted/Request Positions	-	-	-
Enacted/Request FTE	-	-	-
Onboard and Actual FTE; Includes Collections - Reimbursable Resources			
Onboard (Actual/Estimates/Projections)	-	-	-
FTE (Actual/Estimates/Projections)	-	-	-

Mission Support Assets and Infrastructure – PPA

Summary of Budget Changes

Budget Formulation Activity <i>(Dollars in Thousands)</i>	Positions	FTE	Amount
FY 2019 Enacted	-	-	\$18,544
FY 2020 Enacted	-	-	\$45,673
FY 2021 Base Budget	-	-	-
COSS Transformation Initiative (CTI)	-	-	\$9,456
Human Resources IT Modernization	-	-	\$10,000
Revenue Modernization	-	-	\$13,173
Total Investment Elements	-	-	\$32,629
FY 2021 Request	-	-	\$32,629
FY 2020 To FY 2021 Change	-	-	(\$13,044)

Mission Support Assets and Infrastructure – PPA**Non Pay Budget Exhibits****Non Pay by Object Class**

Non-Pay Object Classes <i>(Dollars in Thousands)</i>	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget	FY 2020 to FY 2021 Change
25.2 Other Services from Non-Federal Sources	\$18,544	\$45,673	\$32,629	(\$13,044)
Total - Non Pay Object Classes	\$18,544	\$45,673	\$32,629	(\$13,044)

Mission Support Assets and Infrastructure – PPA

Capital Investments Exhibits

Capital Investments

Investment <i>(Dollars in Thousands)</i>	Unique Item Identifier	Acquisition Level	Procurement/ Construction	IT/Non-IT	MAOL	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget
Revenue Modernization	024-000005257	3	Procurement	IT	Yes	\$18,544	\$15,673	\$13,173
COSS Transformation Initiative	024-000005262	3	Procurement	IT	Yes	-	-	\$9,456
Mission Support Assets and Infrastructure End Items	N/A	Non-Major	Procurement	IT	No	-	\$30,000	\$10,000

Revenue Modernization – Investment Capital Investments Exhibits

Procurement/Acquisition Programs

Revenue Modernization

Procurement, Construction, and Improvements Funding

Investment <i>(Dollars in Thousands)</i>	Unique Item Identifier	Acquisition Level	Procurement/ Construction	IT/Non-IT	MAOL	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget
Revenue Modernization	024-000005257	3	Procurement	IT	Yes	\$18,544	\$15,673	\$13,173

Investment Description

CBP's Revenue Modernization initiative is a multi-year acquisition program intended to create a long-term solution for the improved management of revenue collections at Ports of Entry (POEs). More than \$2.5B is collected through checks and cash, of which \$1.5B (approximately 60 percent) is collected at CBP POEs. The manual process creates inefficiencies at the ports, delays cargo and traveler movement, and keeps CBP Officers (CBPOs) from focusing on critical law enforcement and trade facilitation duties.

The Revenue Modernization program is currently transitioning manual field collections to automated electronic systems. This will lead to increased electronic financial transaction capabilities, the development of flexible electronic billing and payment options, and ensure real-time access to reliable financial and operational data. The planned Revenue Modernization solution includes a combination of business process reengineering, user-facing solutions, and systems automation and implementation to address needed improvements. CBP is leveraging existing systems, including the Automated Commercial Environment (ACE) Collections system and Systems, Applications and Products (SAP), to improve efficiency with technology interfaces such as online payment options, mobile applications, and mobile tablets thereby creating a modernized revenue collection framework.

Justification

The Fiscal Year (FY) 2021 President's Budget includes \$13.2M for Revenue Modernization. This funding will support development activities as follows:

- \$4.3M to increase electronic payment capabilities into collection processes, such as online payment capabilities
- \$1.0M to initiate development of system integration to other revenue collections systems, such as the ACE and ACE-Collections
- \$4.2M to expand the location and collection capabilities of the Mobile Collections & Receipts (MCR) project
- \$3.7M to support the Point of Sale (POS) upgrade solution expansion to cash register POEs and integration with ACE-Collections

CBP's legacy collection processes have led to fragmented databases and labor-intensive methods to sustain core operations. These inefficient manual processes yield substantial operational risks and cost implications for CBP. Revenue Modernization will eliminate these inefficiencies while providing:

- Online payment and payment monitoring capabilities for trade and travel industry partners.
- Mobile applications at POEs that will enable the automation of receipts for fees currently collected manually.
- Automated Agricultural Inspection Reimbursable Overtime bills, which enable more accurate reimbursable amounts, as well as faster billing and better billing details for importers and Customs Brokers.
- System integration, streamlining, and data validation, which reduce errors and provide data to support security-related decision-making by the CBPOs.

When Revenue Modernization is fully implemented, anticipated to occur in 2028, CBP will be able to redirect approximately 650,000 hours of CBPO time that is currently spent on collections and shift them toward mission critical duties of border security and trade facilitation, generating \$11.4M cost avoidance annually. Revenue Modernization allows CBP to support key Congressional and Administration priorities.

FY 2019 Key Milestone Events

- Achieved Acquisition Decision Event (ADE)-2A/B/C or "Obtain Phase", which provides the program with the ability to begin deployment for operational testing of selected solutions.
- Deployed Release 3.0 and 3.1 of the MCR technology demonstrator to provide an enhanced user interface and feature enhancements.
- Completed rollout of MCR to 20 of 186 POEs.
- Implemented the Electronic Payment Options (ePO) project's eCBP online payment portal for Broker Exam Fees.
- Initiated Smart Safe regional procurement for two of seven regions.
- Completed POS upgrade development and initiate testing.
- Migrated Decal and Transponder Online Procurement System (DTOPS) Inventory Procurement System (DIPS) from LAN to the more secure SAP financial system.

FY 2020 Planned Key Milestone Events

- Continue MCR expansion to an additional 64 POEs for a total of 84 of 186 POEs.
- Implement eCBP online payment capabilities for one additional fee.
- Rollout the POS upgrade solution to 88 of 174 cash register POEs.
- Develop integration of online payments with ACE-Collections.
- Initiate ACE-Collections integration development.
- Implement Smart Safe for two of seven regions contingent on successful contract award.

FY 2021 Planned Key Milestone Events

- Continue MCR expansion to an additional 40 POEs for a total of 124 of 186 POEs.
- Implement eCBP online payment capabilities for one additional fee.
- Rollout the POS upgrade solution to the remaining 86 of 174 cash register POEs.
- Complete ACE-Collections integration development.

Overall Investment Funding

<i>(Dollars in Thousands)</i>	Prior Years	FY 2019	FY 2020	FY 2021
Operations and Support	\$1,983	\$1,993	\$1,993	\$1,300
Procurement, Construction, and Investments	\$46,443	\$18,544	\$15,673	\$13,173
Research and Development	-	-	-	-
Legacy Appropriation	\$30,000			
Project Funding	\$78,426*	\$20,537	\$17,666	\$14,473
Obligations	\$54,773	\$15,081		
Expenditures	\$35,600	\$4,971		

*Actual Project Funding for Prior Years is approximately \$54.8M and includes a \$20.0M rescission to unobligated carryover per the FY 2017 Department of Homeland Security Appropriations Act, a \$2.0M reprogramming from Revenue Modernization to ACE in FY 2017, and \$1.6M reprogramming from Revenue Modernization to ACE-Collections in FY 2018.

Contract Information (Current/Execution Year, Budget Year)

Contract Number	Contractor	Type	Award Date (mo/yr)	Start Date (mo/yr)	End Date (mo/yr)	EVM in Contract	Total Value* (Dollars in Thousands)
HSBP1017F00010	CSRA	Time and Materials	12/2016	12/2016	09/2019	No	\$17,201
HSBP1014J00280	Grant Thornton LLP	Time and Materials	07/2014	07/2014	09/2019	No	\$47,460
HSBP1013D00021	Unisys	Cost Plus Fixed Fee	07/2013	07/2013	12/2019	No	\$560,250
HSBP1016F00032	CSRA	Time and Materials	02/2016	02/2016	07/2019	No	\$7,403

*The listed contracts support multiple activities and programs CBP-wide, and are not limited to the Revenue Modernization program. The Total Value is from all CBP sources

Significant Changes to Investment since Prior Year Enacted

Of the \$16.4M provided in FY 2018 Enacted PC&I funding, \$1.6M was reprogrammed from Revenue Modernization to ACE-Collections, under the authorities provided in Section 503 of the Department's annual appropriations enactment. The reprogramming impact will delay the completion of some Revenue Modification's projects by up to six months. This will postpone the planned benefits of the redirected efforts from revenue collection activities to law enforcement and trade facilitation duties. This delay enables synchronization between Revenue Modernization's interdependency to ACE-Collections functionality.

Investment Schedule

Description	Design Work		Project Work	
	Initiated	Completed	Initiated	Completed
	FY 2019			
MCR software enhancements			FY 2018 Q4	FY 2019 Q4
Expand MCR pilot to 20 of 186 POEs			FY 2019 Q2	FY 2019 Q4
Implement eCBP online payment portal for Broker Exam Fees			FY 2019 Q1	FY 2019 Q4
Migration of Indy Local Area Network (LAN) collections to SAP completed for DIPS			FY 2017 Q1	FY 2019 Q4
Complete initial POS upgrade software development			FY 2017 Q2	FY 2019 Q4
Initiate Smart Safe regional procurement for 2 of 7 regions			FY 2019 Q1	FY 2019 Q4
	FY 2020			
Expand MCR pilot to additional 64 of 186 POEs			FY 2020 Q1	FY 2020 Q4
Implement eCBP online payment capabilities for one additional fee			FY 2020 Q1	FY 2020 Q4
Rollout the POS upgrade solution to 88 of 174 cash register POEs			FY 2020 Q1	FY 2020 Q4
Develop integration of online payments with ACE Collections			FY 2020 Q1	FY 2020 Q4
Initiate ACE Collections integration development			FY 2020 Q2	FY 2021 Q2
Implement Smart Safe for 2 of 7 regions			FY 2020 Q1	FY 2020 Q4
	FY 2021			
Continue MCR expansion to additional 40 of 186 POEs			FY 2021 Q1	FY 2021 Q4
Implement eCBP online payment capabilities for one additional fee			FY 2021 Q1	FY 2021 Q4
Rollout the POS upgrade solution to remaining 86 of 174 cash register POEs			FY 2021 Q1	FY 2021 Q4
Complete ACE Collections integration development			FY 2020 Q2	FY 2021 Q2

COSS Transformation Initiative – Investment Capital Investments Exhibits

Procurement/Acquisition Programs

COSS Transformation Initiative

Procurement, Construction, and Improvements Funding

Investment <i>(Dollars in Thousands)</i>	Unique Item Identifier	Acquisition Level	Procurement/ Construction	IT/Non-IT	MAOL	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget
COSS Transformation Initiative	024-000005262	3	Procurement	IT	Yes	-	-	\$9,456

Investment Description

The CBP Overtime Scheduling System (COSS) Transformation Initiative (CTI) will retire the antiquated, inefficient, and unsustainable COSS and replace it with a modern, integrated scheduling and timekeeping solution that includes webTA. CTI closes the performance gaps of efficiency, sustainability, and responsiveness to mission need. The current COSS application is inefficient – it requires Officers and Agents to enter their timecard data multiple times in multiple systems, and requires manual, labor-intensive support for daily scheduling. COSS is unsustainable because it is coded in an antiquated programming language that is no longer supported in the marketplace, it does not support the CBP’s daily operational scheduling requirements, and it does not track or support the decision-making process around schedule changes and overtime selection that lead to costly and time-consuming grievances. The CTI solution will provide: better and more accessible scheduling and timekeeping data; increased availability and operational flexibility of manpower to better support the CBP mission; avoidance of duplicate data entry; and faster and more accurate distribution of CBP’s \$2.0B in reimbursed user fees. The reallocation of CBPOs from 24x7 scheduling duties back to their law enforcement duties will support a \$20.0M cost avoidance, as well as an increased economic impact as approximately 158 CBPOs return to their border security and trade facilitation duties.

Justification

The FY 2021 President’s Budget supports development of an Automated Scheduling Tool (AST) solution to replace COSS and provide enhancement above current capabilities for the Office of Field Operations (OFO). For example, the AST will automatically call employees for overtime opportunities in the order determined by the negotiated Union rules of the POE, thereby reducing the costs to adjudicate grievances associated with employee overtime (currently estimated at \$3.0M annually). AST will also be connected to webTA via the CTI Data Integration Hub, allowing schedule data to be automatically uploaded into employees' timecards. The FY 2021 funding supports the following:

- \$4.7M for development of OFO AST Timecard Data: Development of an automated timecard solution for OFO. Utilizing Commercial Off the Shelf (COTS) AST and not undermining its COTS standard service and support package, CTI will develop a solution to inject the required accounting string, cost management information system (CMIS) codes, and other required timecard data into the OFO AST data packet prior to passing the data to webTA.
- \$4.8M for development of OFO AST Data Integration: Development of an automated solution to integrate OFO's AST and Extraction, Load, and Transfer of the full calendar year of scheduling data, required for automated overtime callout selection.

FY 2019 Key Milestone Events:

- Initiated OFO AST requirements and planning.

FY 2020 Planned Key Milestone Events:

- Migration and maintenance of mission support personnel from COSS to webTA (funded in the O&S appropriation).

FY 2021 Planned Key Milestone Events:

- Award task order on contract for Development of OFO AST Timecard Data.
- Award task order on contract for Development of OFO AST Data Integration.
- Begin Development of OFO AST Integration.
- Begin Development of OFO AST Timecard Data Integration.

Overall Investment Funding

<i>(Dollars in Thousands)</i>	Prior Years	FY 2019	FY 2020	FY 2021
Operations and Support	-	-	\$7,199	\$8,000
Procurement, Construction, and Investments	-	-	-	\$9,456
Research and Development	-	-	-	-
Legacy Appropriation	-			
Project Funding	-	-	\$7,199	\$17,540
Obligations	-	-		
Expenditures	-	-		

Contract Information (Current/Execution Year, Budget Year)

Contract Number	Contractor	Type	Award Date (mo/yr)	Start Date (mo/yr)	End Date (mo/yr)	EVM in Contract	Total Value* (Dollars in Thousands)
70B01C19A00000022	CSRA LLC	Firm-Fixed Price	06/2019	06/2019	06/2024	No	\$108,555

*The listed contract supports multiple activities and programs CBP-wide, and are not limited to the CTI program. The Total Value is from all CBP sources.

Significant Changes to Investment since Prior Year Enacted

N/A

Investment Schedule

Description	Design Work		Project Work	
	Initiated	Completed	Initiated	Completed
	FY 2019			
Initiate OFO AST Requirements and Planning	FY 2019 Q1	FY 2020 Q3		
	FY 2020			
Migration of Mission Support personnel from COSS to webTA			FY 2019 Q1	FY 2020 Q2
	FY 2021			
Award task order on contract for Development of OFO AST			FY 2021 Q1	FY 2021 Q2
Award task order on contract for Development of OFO AST			FY 2021 Q1	FY 2021 Q2
Development of OFO AST Integration			FY 2021 Q2	FY 2022 Q4
Development of OFO AST Timecard Data Integration			FY 2021 Q2	FY 2022 Q4

Mission Support Assets and Infrastructure End Items - Investment Capital Investments Exhibits

End Item Purchases

Mission Support Assets and Infrastructure End Items Procurement, Construction, and Improvements Funding

Investment <i>(Dollars in Thousands)</i>	Unique Item Identifier	Acquisition Level	Procurement/ Construction	IT/Non-IT	MAOL	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget
Mission Support Assets and Infrastructure End Items	N/A	Non-Major	Procurement	IT	No	-	\$30,000	\$10,000

Investment Description

Mission Support Assets and Infrastructure End Items includes funding for the development, procurement, and deployment of multiple technologies and assets for Mission Support Offices within CBP. It includes programs that exceed the \$250,000 PC&I threshold for end items, or items that have been previously appropriated to PC&I, but are not part of the DHS MAOL. This includes the following investments:

End Items Breakdown <i>(Dollars in Thousands)</i>	FY 2019 Enacted		FY 2020 Enacted		FY 2021 President's Budget	
	Quantity	Amount	Quantity	Amount	Quantity	Amount
Human Resources IT Modernization	-	-	-	-	N/A	\$10,000
Health Records System	-	-	N/A	\$30,000	-	-
Total	-	-	-	\$30,000	N/A	\$10,000

- **Human Resources IT Modernization:** Funding for Human Resources (HR) IT modernization will prevent CBP from losing critical processing and case management functionality related to recruiting, hiring, retaining, and supporting the CBP workforce by transitioning from the legacy platform to a modern, cloud-based platform. The legacy platform is at end-of-life and must be replaced. Modernization will enable cost avoidance and prevent increased risks due to the growing lack of expertise and resource availability supporting the legacy platform. Additionally, this multi-year effort enables CBP to comply with the the Federal Government's Federal Cloud Computing Strategy.

Deployment on a modernized platform will offer better capabilities, reducing the development and delivery times needed to rapidly innovate personnel recruitment and hiring functions. This includes enabling the CBP system of record to seamlessly integrate with other systems required to facilitate and expedite HR processes. These hiring processes include medical examination and adjudication, fitness testing, drug testing, and background investigation coordination and communication with the DHS system of record.

Funding this transition off of the end-of-life platform will:

- Enable CBP’s planned migration out of the data centers.
 - Enable robust out of the box reporting capabilities to users for quick analysis of workloads, workflows, and transactions.
 - Increase applicant processing speed by approximately 20 percent through elimination of manual tasks.
 - Provide a single, 360-degree view of all interactions an individual has with CBP, enabling optimized customer and employee experience.
- Health Records System: CBP has recognized the operational and medical benefits of establishing electronic health record functionality as part of its expanded medical support efforts. The technology should be capable of sharing data across platforms with other organizations, particularly U.S. Government agencies, and downstream mission partners. Operational requirements include electronic/digital entry, storage, and query of health information collected by CBP as part of current/ongoing medical support efforts, electronic/digital entry of standardized health intake interview forms and medical assessments, user authentication, security standards for storage, establishment of performance standards, and mobile functionality. The FY 2021 President’s Budget does not request funding for this initiative, which received \$30.0M in enacted FY 2020 funding.

Border Security Assets and Infrastructure PPA**Budget Comparison and Adjustments****Comparison of Budget Authority and Request**

Organization <i>(Dollars in Thousands)</i>	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget	FY 2020 to FY 2021 Total Changes
Integrated Fixed Towers (IFT)	\$13,579	\$1,142	-	(\$1,142)
Remote Video Surveillance Systems (RVSS)	\$49,577	\$40,740	\$7,600	(\$33,140)
Mobile Video Surveillance System (MVSS)	\$8,844	\$14,800	-	(\$14,800)
Border Security Assets and Infrastructure End Items	\$28,000	\$77,106	\$88,000	\$10,894
Border Wall System Program	\$1,375,000	\$1,375,000	\$1,964,813	\$589,813
Total	\$1,475,000	\$1,508,788	\$2,060,413	\$551,625
Discretionary - Appropriation	\$1,475,000	\$1,508,788	\$2,060,413	\$551,625

PPA Level I Description

The Border Security Assets and Infrastructure PPA provides funding for the technology and assets needed to conduct CBP's border security mission. CBP protects the Nation through the coordinated use of personnel, technology, and infrastructure to detect, interdict, and prevent acts of terrorism and the unlawful movement of people, illegal drugs, and other contraband across the borders of the United States. This PPA funds investments in detection and surveillance equipment to enhance situational awareness and to facilitate border enforcement; pedestrian and vehicle fencing; and other tactical border infrastructures such as wall structures, roads, lighting, low water crossings, bridges, drainage, and grate systems, and marine ramps.

This PPA is comprised of the following investments/activities:

Integrated Fixed Towers (IFT): This investment provides automated, persistent wide-area surveillance for the detection, tracking, identification, and classification of illegal entries in threat areas where mobile surveillance systems are not a viable and/or long-term solution.

Remote Video Surveillance Systems (RVSS): This investment consists of permanently mounted remotely controlled systems of daylight or infrared cameras, which enhance situational awareness of border activity and facilitate proper law enforcement resolution.

Mobile Video Surveillance Systems (MVSS): This investment consists of sensor equipment mounted on a telescoping mast of a light-duty pickup truck. MVSS can be rapidly deployed to provide the best visual range for surveillance of several miles and provide situational awareness to the U.S. Border Patrol (USBP).

Border Security Assets and Infrastructure End Items: These procurements include funding for multiple technologies and assets for the USBP. They include programs that exceed the \$250,000 PC&I threshold for end items, or items that have been previously appropriated to the PC&I account, but are not part of the DHS MAOL.

Border Wall System Program: This investment includes real estate and environmental planning, land acquisition, wall system design, construction, and construction oversight of a physical barrier system, which supports the USBP operation requirement for impedance and denial.

Border Security Assets and Infrastructure – PPA

Budget Authority and Obligations

Budget Authority (Dollars in Thousands)	FY 2019	FY 2020	FY 2021
Enacted/Request	\$1,475,000	\$1,508,788	\$2,060,413
Carryover and/or Recoveries (Actual/Estimates/Projections)	\$455,679	\$970,139	\$518,197
Rescissions to Current Year/Budget Year	-	-	-
Net Sequestered Resources	-	-	-
Reprogrammings/Transfers	-	-	-
Supplementals	-	-	-
Total Budget Authority	\$1,930,679	\$2,478,927	\$2,578,610
Collections – Reimbursable Resources	-	-	-
Total Budget Resources	\$1,930,679	\$2,478,927	\$2,578,610
Obligations (Actual/Estimates/Projections)	\$1,557,030	\$2,029,671	\$2,188,490
Personnel: Positions and FTE			
Enacted/Request Positions	-	-	-
Enacted/Request FTE	-	-	-
Onboard and Actual FTE; Includes Collections - Reimbursable Resources			
Onboard (Actual/Estimates/Projections)	-	-	-
FTE (Actual/Estimates/Projections)	-	-	-

Border Security Assets and Infrastructure – PPA

Summary of Budget Changes

Budget Formulation Activity <i>(Dollars in Thousands)</i>	Positions	FTE	Amount
FY 2019 Enacted	-	-	\$1,475,000
FY 2020 Enacted	-	-	\$1,508,788
FY 2021 Base Budget	-	-	-
Autonomous Surveillance Towers	-	-	\$28,000
Border Enforcement Coordination Network (BECN)	-	-	\$15,000
Border Wall System Program	-	-	\$1,964,813
Modular Mobile Surveillance System (M2S2) (MVSS Phase 2)	-	-	\$15,000
RVSS	-	-	\$7,600
Small Unmanned Aircraft System (sUAS)	-	-	\$10,000
Unified Immigration Portal	-	-	\$20,000
Total Investment Elements	-	-	\$2,060,413
FY 2021 Request	-	-	\$2,060,413
FY 2020 To FY 2021 Change	-	-	\$551,625

Border Security Assets and Infrastructure – PPA**Non Pay Budget Exhibits****Non Pay by Object Class**

Non-Pay Object Classes <i>(Dollars in Thousands)</i>	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget	FY 2020 to FY 2021 Change
25.2 Other Services from Non-Federal Sources	\$28,000	\$22,626	\$25,000	\$2,374
31.0 Equipment	\$72,000	\$111,162	\$70,600	(\$40,562)
32.0 Land and Structures	\$1,375,000	\$1,375,000	\$1,964,813	\$589,813
Total - Non Pay Object Classes	\$1,475,000	\$1,508,788	\$2,060,413	\$551,625

Border Security Assets and Infrastructure – PPA

Capital Investments Exhibits

Capital Investments

Investment <i>(Dollars in Thousands)</i>	Unique Item Identifier	Acquisition Level	Procurement/ Construction	IT/Non-IT	MAOL	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget
Remote Video Surveillance Systems (RVSS)	024-000005220	1	Procurement	IT	Yes	\$49,577	\$40,740	\$7,600
Integrated Fixed Towers (IFT)	024-000005217	2	Procurement	IT	Yes	\$13,579	\$1,142	-
Mobile Video Surveillance System (MVSS)	N024-000005254	2	Procurement	IT	Yes	\$8,844	\$14,800	-
Border Security Assets and Infrastructure End Items	N/A	Non-Major	Procurement	IT	No	\$28,000	\$77,106	\$88,000
Border Wall System Program	N024-000005266	1	Construction	Non-IT	Yes	\$1,375,000	\$1,375,000	\$1,964,813

Remote Video Surveillance Systems (RVSS) – Investment Capital Investments Exhibits

Procurement/Acquisition Programs

Remote Video Surveillance Systems

Procurement, Construction, and Improvements Funding

Investment <i>(Dollars in Thousands)</i>	Unique Item Identifier	Acquisition Level	Procurement/ Construction	IT/Non-IT	MAOL	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget
Remote Video Surveillance Systems (RVSS)	024-000005220	1	Procurement	IT	Yes	\$49,577	\$40,740	\$7,600

Investment Description

RVSS is a remotely controlled system of daylight and infrared cameras mounted to an elevated structure (i.e., fixed/relocatable towers, buildings). The images are transmitted, monitored, and recorded at a central location. The C2CEN, Network Operations Center/Security Operations Centers, and LAN rooms are also part of the system. The RVSS is deployed to monitor large spans of the international border or critical transit nodes. The program will address technology obsolescence by upgrading selected existing RVSS sites with new surveillance capability and deploying assets to new sites in areas trafficked with illegal activities along the southwest border. Increased situational awareness through persistent surveillance and detection facilitates law enforcement resolution.

Justification

The FY 2021 President's Budget includes \$7.6M, which will permit the RVSS Upgrade Program to continue deploying beyond the RGV Sector.

FY 2019 Key Milestone Events

- Relocatable towers were deployed at Rio Grande City, McAllen, Weslaco, Harlingen, McAllen Station, Brownsville, and Fort Brown.
- Completed Rio Grande City and Weslaco C2 renovation.
- Completed the approval of laydown in Laredo Sector at Laredo West, Zapata, and Hebbronville.
- Continue the approval of laydown for the remainder of Laredo Sector.

FY 2020 Planned Key Milestone Events

- Complete deployments of relocatable towers to Rio Grande City, McAllen, Weslaco, Fort Brown, Harlingen, and Brownsville Station AORs in the Rio Grande Valley (RGV) sector.
- Complete construction and deployment at facilities in FTB, BRP, Kingsville, and Falfurrias Station AORs in RGV sector.

Border Security Assets and Infrastructure – PPA**Remote Video Surveillance Systems (RVSS)**

- Fund real estate procurement for tower sites in Laredo Sector to support the new technology contract deployments.
- Upon Programmatic approval, begin technology deployment of fixed towers in Laredo West, Zapata, and Hebbronville Station AORs.

FY 2021 Planned Key Milestone Events

- Complete real estate acquisitions for Laredo West, Zapata, and Hebbronville Border Patrol Stations in Laredo Sector
- Complete technology acquisitions (relocatable towers and relocatable C2 facilities) for Laredo West, Zapata, and Hebbronville Border Patrol Stations in Laredo Sector.
- Complete transition to centralized NOC/SOC for Nogales, Naco, Yuma, Ajo, and Douglas Border Patrol Stations.
- Complete build out of permanent C2 facility at Harlingen Border Patrol Station.

Overall Investment Funding

<i>(Dollars in Thousands)</i>	Prior Years	FY 2019	FY 2020	FY 2021
Operations and Support	\$37,882	\$28,792	\$46,714	\$31,015
Procurement, Construction, and Investments	\$134,193	\$49,577	\$40,740	\$7,600
Research and Development	-	-	-	-
Legacy Appropriation	\$169,896			
Project Funding	\$341,971	\$78,369	\$87,454	\$40,521
Obligations	\$306,547	\$23,535		
Expenditures	\$204,968	\$1,064		

Contract Information (Current/Execution Year, Budget Year)

Contract Number	Contractor	Type	Award Date (mo/yr)	Start Date (mo/yr)	End Date (mo/yr)	EVM in Contract	Total Value (Dollars in Thousands)
HSBP1013C00042	General Dynamics One Source (GDOS), LLC	Firm Fixed Price	07/2013	07/2013	09/2023	No	\$127,345
HSBP1014X00155	Federal Aviation Administration (FAA) Logistics Center	IAA	10/2014	10/2014	09/2020	No	\$98,003
HSBP1012X00125	Federal Aviation Administration (FAA) Logistics Center	IAA	10/2012	10/2012	08/2020	No	\$19,693
HSBP1014X00124	U.S. Army Corps of Engineers (USACE)	IAA	10/2014	10/2014	07/2020	No	\$6,459

Significant Changes to Investment since Prior Year Enacted

N/A

Investment Schedule

Description	Design Work		Project Work	
	Initiated	Completed	Initiated	Completed
	FY 2019			
RGV Rio Grande City AOR C2 Permanent Construction	FY 2018 Q1	FY2018 Q3	FY 2018 Q3	FY 2019 Q1
RGV Weslaco AOR C2 Permanent Construction	FY 2018 Q3	FY 2019 Q1	FY 2019 Q1	FY 2019 Q4
RGV Ft Brown AOR C2 Permanent Construction	FY 2019 Q2	FY 2019 Q4	FY 2019 Q4	FY 2020 Q3
RGV Harlingen AOR C2 Permanent Construction	FY 2019 Q2	FY 2019 Q4	FY 2019 Q4	FY 2020 Q4
RGV Brownsville and Kingsville AOR C2 Permanent Construction	FY 2019 Q2	FY 2019 Q4	FY 2019 Q4	FY 2020 Q2
RGV Falfurrias AOR C2 Permanent Construction	FY 2019 Q2	FY 2019 Q4	FY 2019 Q4	FY 2020 Q4
	FY 2020			
Complete RGV Real Estate Acquisition			FY 2017 Q3	FY 2020 Q2-4
Complete Re-locatable GDOS Technology Deployment (McAllen)			FY 2018 Q2	FY 2020 Q3
Complete Re-locatable GDOS Technology Deployment (Rio Grande City and Weslaco AORs)			FY 2018 Q2	FY 2020 Q2-4
Complete Re-locatable GDOS Technology Deployment (Fort Brown)			FY 2019 Q2	FY 2020 Q2-4
Complete Re-locatable GDOS Technology Deployment (Harlingen and Brownsville AORs)			FY 2019 Q2	FY 2020 Q3-4
New Sensor Tower Construction (Kingsville AOR)			FY 2020 Q3	FY 2020 Q3
New Sensor Tower Construction (Falfurrias AOR)			FY 2020 Q3	FY 2020 Q4
GDOS Technology Deployment (Fixed) (Kingsville and Falfurrias AORs)			FY 2019 Q2	FY 2020 Q4
Re-locatable GDOS Technology Deployment (Laredo West)			FY 2020 Q1	FY 2021 Q3
Re-locatable GDOS Technology Deployment (Hebbronville)			FY 2020 Q1	FY 2020 Q3
Re-locatable GDOS Technology Deployment (Zapata)			FY 2020 Q1	FY 2020 Q4

Border Security Assets and Infrastructure – PPA**Remote Video Surveillance Systems (RVSS)**

Description	Design Work		Project Work	
	Initiated	Completed	Initiated	Completed
RGV McAllen, Weslaco, Rio Grande City, Ft. Brown, Harlingen, and Brownsville Technology Deployment (Fixed Towers) (Dependent on Border Wall System Program Schedule)			FY 2020 Q2	FY 2022 Q1
Laredo Real Estate Acquisition (Laredo West, Hebbronville, Zapata)			FY 2020 Q1	FY 2021 Q3
Laredo Real Estate Acquisition (Remaining Laredo AORs)			FY 2020 Q2	FY 2022 Q3
Laredo C2 Design and Construction	FY 2020 Q1	FY 2020 Q2	FY 2020 Q2	FY 2022 Q3
	FY 2021			
Relocatable sensor towers and C2CEN technology at Del Rio (5), Santa Teresa (5), and Brown Field (5)			FY 2021 Q2	FY 2021 Q4

Integrated Fixed Towers (IFTs) – Investment Capital Investments Exhibits

Procurement/Acquisition Programs

Integrated Fixed Towers

Procurement, Construction, and Improvements Funding

Investment <i>(Dollars in Thousands)</i>	Unique Item Identifier	Acquisition Level	Procurement/ Construction	IT/Non-IT	MAOL	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget
Integrated Fixed Towers (IFT)	024-000005217	2	Procurement	IT	Yes	\$13,579	\$1,142	-

Investment Description

IFT technology provides automated, persistent wide-area surveillance for the detection, tracking, identification, and classification of illegal entries in threat areas where mobile surveillance systems are not a viable and/or long-term solution. When multiple IFT fixed surveillance tower units (FSTU) are integrated into a system with a common operating picture (COP), the USBP is able to increase situational awareness and monitor a larger area of interest.

Each IFT FSTU consists of a surveillance radar and electro-optical/infrared (EO/IR) camera, power generation, and communications to a Command and Control Center (C2CEN). The IFT system integrates and displays data from all IFT FSTUs to continuously detect and track items of interest (IoIs) across a Border Patrol Station Area of Responsibility (AoR). The information is provided to the COP for identification and classification by a trained operator. The near real-time high quality video of operator-selected IoIs is used to identify and classify the interest. Radar IoI data from one or more IFT FSTUs across the AoR is shown geospatially on workstations that also display the video of operator-selected IoIs.

Justification

The FY 2021 President's Budget does not include PC&I funding for this investment.

FY 2019 Key Milestone Events

- Initiated Casa Grande/Ajo-2 AoR Deployment for Tohono O'odham Nation (TON).
- Completed Tucson/Tus-1 AoR Deployment.
- Completed Ajo-1 AoR Deployment.

FY 2020 Planned Key Milestone Events

- Complete selected Deferred-Operational Requirements Document (D-ORD) Requirements Development.
- Complete HD Camera Upgrade for Nogales AoR.
- Initiate Pre-Deployment Planning for Southwest Border Plan Phase 1B/2.
- Complete IFT COP Technical Demonstration.

FY 2021 Planned Key Milestone Events

- Complete Casa Grande/Ajo-2 AoR Deployment for the TON.
- Achieve IFT Acquisition Program Baseline (APB) Full Operational Capability (FOC).

Overall Investment Funding

<i>(Dollars in Thousands)</i>	Prior Years	FY 2019	FY 2020	FY 2021
Operations and Support	\$22,181	\$22,970	\$32,132	\$24,037
Procurement, Construction, and Investments	\$82,697	\$13,579	\$1,142	-
Research and Development	-	-	-	-
Legacy Appropriation	\$220,676			
Project Funding	\$325,554	\$36,549	\$33,274	\$24,037
Obligations	\$313,792	\$13,326		
Expenditures	\$227,318	\$2,772		

Contract Information (Current/Execution Year, Budget Year)

Contract Number	Contractor	Type	Award Date (mo/yr)	Start Date (mo/yr)	End Date (mo/yr)	EVM in Contract	Total Value (Dollars in Thousands)
HSBP1014C00004	EFW, Inc.	Firm Fixed Price	02/2014	02/2014	08/2020	No	\$222,959
W912PL19R0011	FM&E/USACE	IAA	08/2019	08/2019	06/2020	No	\$28,946
70B02C19K00000103	USNAVY NIWC	IAA	08/2019	08/2019	09/2020	No	\$310

Significant Changes to Investment since Prior Year Enacted

N/A

Investment Schedule

Description	Design Work		Project Work	
	Initiated	Completed	Initiated	Completed
	FY 2019			
Casa Grande/Ajo-2 Road Construction			FY 2019 Q4	FY 2020 Q3
Casa Grande/Ajo-2 AoR Deployment			FY 2019 Q3	FY 2021 Q2
Complete Tuscon-1 AoR Deployment			FY 2016 Q4	FY 2019 Q1
Complete Ajo-1 AoR Deployment			FY 2017 Q1	FY 2019 Q3
	FY 2020			
D-ORD Requirements Development			FY 2018 Q4	FY 2020 Q4
HD Camera Upgrade for Nogales AoR			FY 2018 Q4	FY 2020 Q4
	FY 2021			
Complete Casa Grande/Ajo-2 Deployment			FY 2019 Q3	FY 2021 Q2

Mobile Video Surveillance System – Investment Capital Investments Exhibits

Procurement/Acquisition Programs

Mobile Video Surveillance System (MVSS)

Procurement, Construction, and Improvements Funding

Investment <i>(Dollars in Thousands)</i>	Unique Item Identifier	Acquisition Level	Procurement/ Construction	IT/Non-IT	MAOL	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget
Mobile Video Surveillance System (MVSS)	N024-000005254	2	Procurement	IT	Yes	\$8,844	\$14,800	-

Investment Description

The MVSS is an integrated suite of sensor equipment mounted on a telescoping mast and attached to the cargo bed of a light-duty pickup truck. It includes an operator workstation located inside the truck cab. MVSS technology enables the visual detection, identification, classification, and tracking of IoIs in both urban and remote areas along the border that are not covered by fixed surveillance technology deployments. MVSS contributes to improved situational awareness, rapid response, and BPA safety. The MVSS can rapidly move and deploy to provide the best visual range for surveillance of several miles. MVSS units provide day and night real-time video and geo-location data that allows the user or operator to determine if there are IoIs or suspicious criminal activities occurring with the area of coverage. The system also provides situational awareness to the interdicting BPA.

Justification

No PC&I funding is included in the FY 2021 President's Budget for this investment. Funding received in prior fiscal years will achieve the full operational capacity (FOC)¹ of 165 units for the MVSS acquisition plan and will provide surveillance coverage along the southern border in Texas. The Modular Mobile Surveillance System (M2S2) (also referred to as MVSS Phase 2) will be the successor to MVSS and will add radar capability to the MVSS system. Acquisition planning efforts are ongoing for M2S2, and funding requested for M2S2 is included in a separate section of this document.

FY 2019 Planned Key Milestone Events

- Obtained Full-Rate Production Decision (ADE-3).
- Completed RGV Sector deployment of 28 MVSS units and one Test Bed.

¹ MVSS FOC Objective - Q4 FY2021; Threshold – Q1 FY2022

Border Security Assets and Infrastructure – PPA**Mobile Video Surveillance System**

- Order 137 MVSS units for Laredo, Del Rio, Big Bend, and El Paso sectors.
- Completed Alternatives Analysis validation of MVSS Phase 2 operational requirements.

FY 2020 Planned Key Milestone Events

- Complete Laredo deployment of 30 MVSS units.
- Complete Acquisition Plan for follow-on MVSS Phase 2 contract.

FY 2021 Planned Key Milestone Events

- Complete Del Rio deployment of 40 MVSS units.
- Complete Big Bend deployment of 18 MVSS units.
- Complete El Paso deployment of 49 MVSS units.
- Declare FOC for MVSS.

Overall Investment Funding

<i>(Dollars in Thousands)</i>	Prior Years	FY 2019 Enacted	FY 2020	FY 2021
Operations and Support	\$5,327	\$13,870	\$13,973	\$7,236
Procurement, Construction, and Investments	\$68,338	\$8,844	\$14,800	-
Research and Development	-	-	-	-
Legacy Appropriation	-			
Project Funding	\$73,665	\$22,714	\$28,773	\$13,472
Obligations	\$18,803	\$2,720		
Expenditures	\$14,112	\$670		

Contract Information (Current/Execution Year, Budget Year)

Contract Number	Contractor	Type	Award Date (mo/yr)	Start Date (mo/yr)	End Date (mo/yr)	EVM in Contract	Total Value <i>(Dollars in Thousands)</i>
HSBP1016J00526	NTT Data	IRWA	08/2016	08/2019	08/2020	No	\$117,875
HSBP1016D00002/70B02C18 F1465	Tactical Micro, Inc.	IDIQ/FFP	09/2018	09/2019	02/2021	No	\$5,743
HSBP1016D00002/70B02C18 F0164	Tactical Micro, Inc.	IDIQ/FFP	03/2018	03/2019	02/2020	No	\$4,175
HSBP1014X00155	FAA Logistic Center	IAA/FFS	09/2014	09/2019	09/2024	No	\$717

Significant Changes to Investment since Prior Year Enacted

N/A

Investment Schedule

Description	Design Work		Project Work	
	Initiated	Completed	Initiated	Completed
	FY 2019			
Government Acceptance of MVSS units #15 – 28 and 1 test bed			FY 2019 Q3	FY 2019 Q4
Complete RGV deployment of 28 MVSS units.			FY 2019 Q2	FY 2019 Q4
Obtain Full-Rate Production Decision (ADE-3) for MVSS			FY 2019 Q1	FY 2019 Q4
Order 137 MVSS units for Laredo, Del Rio, Big Bend and El Paso Sectors			FY 2019 Q4	FY 2019 Q4
	FY 2020			
Complete Laredo deployment of 30 MVSS Units (#29-#58)			FY 2020 Q2	FY 2020 Q3
	FY 2021			
Complete Del Rio deployment of 40 MVSS units			FY 2020 Q4	FY2021 Q1
Complete Big Bend deployment of 18 MVSS units			FY 2021 Q1	FY2021 Q2
Complete El Paso deployment of 49 MVSS units			FY 2021 Q3	FY2021 Q4
Declare Full Operational Capability for MVSS			FY 2021 Q4	FY2021 Q4

Border Security Assets and Infrastructure End Items – Investment Itemized Procurements

End Items Purchases

Border Security Assets and Infrastructure End Items

Procurement, Construction, and Improvements Funding

Investment <i>(Dollars in Thousands)</i>	Unique Item Identifier	Acquisition Level	Procurement/ Construction	IT/Non-IT	MAOL	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget
Border Security Assets and Infrastructure End Items	N/A	Non-Major	Procurement	IT	No	\$28,000	\$77,106	\$88,000

Investment Description

Border Security Assets and Infrastructure End Items includes funding for the development, procurement, and deployment of multiple technologies and assets for the USBP. It includes programs that exceed the \$250,000 PC&I threshold for end items, or items that have been previously appropriated to PC&I, but are not part of the DHS MAOL. This includes the following investments:

End Items Breakdown <i>(Dollars in Thousands)</i>	FY 2019 Enacted		FY 2020 Enacted		FY 2021 President's Budget	
	Quantity	Amount	Quantity	Amount	Quantity	Amount
Border Enforcement Coordination Network (BECN)	-	-	N/A	\$15,006	N/A	\$15,000
Remote Surveillance Technology Innovation	39	\$28,000	17	\$12,100	-	-
Autonomous Surveillance Towers	-	-	25	\$20,000	30	\$28,000
Mobile Modular Surveillance System (M2S2)	-	-	10-15	\$15,000	10-15	\$15,000
Unified Immigration Portal	-	-	-	-	N/A	\$20,000
Small Unmanned Aircraft System (sUAS)	-	-	104	\$15,000	45	\$10,000
Total	--	\$28,000	-	\$77,106		\$88,000

- **Border Enforcement Coordination Network (BECN):** This end item supports the modernization of the Border Patrol Enforcement System (BPES) and is composed of IT systems, equipment, and services that support the planning, detection, classification, and analysis of illegal border activity, providing program confluence and database architecture enhancement. FY 2021 funding supports a comprehensive modernization of the BPES that will focus on development of improvements in the user experience environment (e.g., UX/UI redesign) and data integration (e.g., back-end architecture) of mission critical border enforcement technology. This includes the hardware, software development, and program management

requirements associated with the incremental planning, development, testing and deployment of BECN functionality.

- Remote Surveillance Technology Innovation: No PC&I funding is included in the FY 2021 President’s Budget for this activity. With previous years’ funding, USBP is seeking to leverage advancements in COTS technology to deploy low cost, fully autonomous surveillance and detection capabilities.
- Autonomous Surveillance Towers: The \$28.0M PC&I funding in FY 2021 will result in the procurement of a total of 30 towers. This technology is an autonomous solution capable of detecting, identifying, and tracking illicit cross border activity. Each tower is a reliable, scalable, cost-effective, rapidly re-locatable mid-range surveillance solution (1.5 miles for human targets, >2.5 miles for vehicles). The autonomous nature of the system reduces the sensor/operator ratio as compared to other analogous surveillance systems, and operates with 100 percent renewable/off-grid power. CBP plans to deploy a program total of 200 towers through previously enacted appropriations and the FY 2021 funding.
- Unified Immigration Portal (UIP): The FY 2021 President’s Budget provides \$20.0M in PC&I funding to continue development of the Unified Immigration Portal (UIP), which began with \$30 million received through the Emergency Supplemental Appropriations for Humanitarian Assistance and Security at the Southern Border Act, 2019. The UIP serves as CBP’s solution to the current challenges regarding immigration data sharing, which is intended as a ledger of common data elements written and shared by agencies which contribute to the immigration mission. UIP will provide a “single window” view into immigration related events and data that will offer all stakeholders an unprecedented level of transparency and enhance the ability for agencies to know where and how to target their resources to assist people transiting through the immigration process. The federated nature of the solution will allow agencies to manage their unique domains of the immigration mission, while also accessing complete, real-time information on a common platform. The quantitative benefits from efficiency gains, a decrease in immigration processing times and cost, and the qualitative security and humanitarian benefits make UIP a justified and viable investment.
- Small Unmanned Aircraft System (sUAS): The FY 2021 President’s Budget includes \$10.0M to support the procurement of 45 Fixed-Wing airframes, which cost approximately \$178K each, for a total of approximately \$8.0M, as well as initial spares, training, test & evaluation, etc. which costs approximately \$2.0M. The sUAS provides USBP the capability to detect, identify, classify, and track Items of Interest using an aerial platform over rugged and remote terrain resulting in additional situational awareness and increased agent safety.
- Mobile Modular Surveillance System (M2S2): The \$15.0M PC&I funding in FY 2021 will result in the procurement of 10-15 Non-Developmental Items (NDI)/COTS surveillance systems based on a light-truck chassis, with radar and camera sensors, and backhaul communication capability. The surveillance system will be modular, therefore giving USBP the ability to tailor the configuration to different mission needs along northern and southern borders.

Border Wall System Program – Investment Capital Investments Exhibits

Procurement

Border Wall System Program

Procurement, Construction, and Improvements Funding

Investment <i>(Dollars in Thousands)</i>	Unique Item Identifier	Acquisition Level	Procurement/ Construction	IT/Non-IT	MAOL	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget
Border Wall System Program	N024-000005266	1	Construction	Non-IT	Yes	\$1,375,000	\$1,375,000	\$1,964,813

Investment Description

This investment funds CBP’s construction of a border wall system, which consists of a combination of various types of infrastructure, such as an internally hardened steel-bollard barrier, all-weather roads, lighting, enforcement cameras, and other related technology. Border wall system prevents and denies illicit cross-border activity by creating and conveying a certainty of apprehension and consequence that dissuades the illegal border crossers from attempting to enter the United States between POEs. Absent denying the adversary, border wall system impedes illicit cross-border activity by allowing law enforcement an increased response time and greater opportunity for successful law enforcement resolution. CBP will continue to construct a new border wall system in USBP’s top priority areas. Actions to be conducted with this funding include real estate and environmental planning, land acquisition, wall system design, construction, and construction oversight.

Justification

The FY 2021 President’s Budget provides \$2.0B to support the construction of approximately 82 miles of border wall system. Since 2014, USBP has regularly engaged its field commanders in a rigorous, repeatable analysis to identify capability gaps that must be addressed in order to fulfill its critical homeland security mission. Field commanders consistently identify four core capability gaps – (1) impedance and denial, (2) domain awareness, (3) access and mobility, and (4) mission readiness – as the highest priorities for future investment.

As part of the Administration’s proposal for the border wall system, this funding will support construction at the locations deemed operationally necessary by CBP and in accordance with the priorities laid out in CBP’s revised Border Security Improvement Plan (BSIP). Investment in the border wall system supports the USBP operational requirement for impedance and denial. Detailed cost estimates and other program details will be further delineated once wall alignments are designed and finalized.

FY 2019 Key Milestone Events

- Awarded construction contracts for the FY 2018-funded RGV Levee Wall System (~13 miles), SDC Secondary Wall (14 miles), and Wall Replacement projects (~37 miles).
- Advertise RFP and award construction contracts for FY 2019-funded RGV Levee Wall System (~11 miles) and FY 2019-funded RGV Wall System (~44 miles).
- Advertise RFP and award construction contract for FY 2018-funded 14 miles of RGV Wall System.

FY 2020 Planned Key Milestone Events

- Award construction contracts and start construction for the remaining (unawarded) FY 2019-funded miles.
- SDC Secondary Wall (14 miles), and Wall Replacement projects (~31 miles).
- Award construction contracts for FY20-funded Laredo Wall System (~69 miles)
- Initiate FY 2021 project planning and design requirements.

FY 2021 Planned Key Milestone Events

- Complete construction of FY 2018-funded RGV Wall System (14 miles).
- Complete construction of FY 2019-funded RGV Levee Wall System (~11 miles) and FY 2019-funded RGV Wall System (~44 miles)
- Initiate FY 2022 project planning and design requirements.
- Complete construction of FY 2018-funded RGV Levee Wall System (13 miles).

Overall Investment Funding

<i>(Dollars in Thousands)</i>	Prior Years	FY 2019	FY 2020	FY 2021
Operations and Support	-	-	-	\$7,100
Procurement, Construction, and Investments	\$1,375,000	\$1,375,000	\$1,375,000	\$1,964,813
Research and Development	-	-	-	-
Legacy Appropriation	-			
Project Funding	\$1,375,000	\$1,375,000	\$1,375,000	\$1,999,913
Obligations	\$1,290,368	\$1,297,746		
Expenditures	\$38,599	\$21		

Contract Information (Current/Execution Year, Budget Year)

Contract Number	Contractor	Type	Award Date (mo/yr)	Start Date (mo/yr)	End Date (mo/yr)	EVM in Contract	Total Value (Dollars in Thousands)
1017X00059	USACE	IAA	04/2017	04/2017	04/2021	No	\$1,672,284
HSBP1014C00072	Cerrudo Services, LLC	Cost Plus Fixed Fee	09/2015	09/2015	10/2019	No	\$57,769
HSBP1017F00134	Gulf South Research Corp	Delivery Order	06/2017	06/2017	12/2018	No	\$400

Significant Changes to Investment since Prior Year Enacted

N/A

Investment Schedule

Description	Design Work		Project Work	
	Initiated	Completed	Initiated	Completed
	FY 2019			
Award construction contract and design 8 miles of RGV Levee Wall (RGV-02) – FY 2018 Funded	FY 2019 Q1	FY 2019 Q2		
Award construction contract and design 5 miles of RGV Levee Wall (RGV-03) – FY 2018 Funded	FY 2019 Q1	FY 2019 Q2		
Advertise and Award construction contract and design 3 miles of RGV Border Wall System (RGV-05) – FY 2019 Funded	FY 2019 Q3	FY 2019 Q4		
Advertise and Award construction contract and design 14 miles of SDC Secondary – FY 2018 Funded	FY 2019 Q1	FY 2019 Q1		
Award construction contract and design 22-26 miles of Wall replacement (Arizona) – FY 2018 Funded	FY 2019 Q1	FY 2019 Q2		
Award construction contract and design 15 miles of Wall replacement (California) – FY 2018 Funded	FY 2019 Q1	FY 2019 Q3		
	FY 2020			
Advertise and Award construction contract and design 11 miles of RGV Levee Wall (RGV-04) – FY 2019 Funded	FY 2019 Q4	FY 2020 Q1		
Construct 14 miles of SDC Secondary – FY 2018 Funded			FY 2019 Q2	FY 2020 Q2
Construct 8 miles of RGV Levee Wall (RGV-02) – FY 2018 Funded			FY 2019 Q4	FY 2020 Q2

Border Security Assets and Infrastructure – PPA**Border Wall System Program**

Description	Design Work		Project Work	
	Initiated	Completed	Initiated	Completed
Construct 5 miles of RGV Levee Wall (RGV-03) – FY2018 Funded			FY 2019 Q3	FY 2020 Q1
Construct 3 miles of RGV Wall System (RGV-05) – FY2019 Funded			FY 2020 Q1	FY 2020 Q3
Construct 22-26 miles of Wall replacement– FY 2018 Funded			FY 2019 Q3	FY 2020 Q3
Award construction contract and design 4 miles of RGV Levee Wall (RGV-06) – FY 2019 Funded	FY2019 Q4	FY2020 Q1		
Award construction contract and design 21 miles of RGV Levee Wall (RGV-08) – FY 2019 Funded	FY2019 Q4	FY2020 Q2		
Award construction contract and design 22 miles of RGV Levee Wall (RGV-09) – FY 2019 Funded	FY2019 Q4	FY2020 Q2		
Award construction contract and design 22 miles of RGV Levee Wall (RGV-10) – FY 2019 Funded	FY2019 Q4	FY2020 Q2		
Construct 4 miles of RGV Wall System (RGV-06) – FY 2019 Funded			FY2020 Q1	FY2020 Q3
Award construction contract and design 14 miles of RGV Wall System (RGV-07) – FY 2018 Funded	FY2020 Q1	FY2020 Q2		
	FY 2021			
Construct 15 miles of Wall replacement (California) – FY 2018 Funded			FY 2019 Q3	FY 2021 Q1
Construct 11 miles of RGV Levee Wall (RGV-04) – FY2019 Funded			FY 2020 Q1	FY 2021 Q1
Construct 21 miles of RGV Levee Wall (RGV-08) – FY 2019 Funded			FY 2020 Q2	FY 2021 Q2
Construct 22 miles of RGV Levee Wall (RGV-09) – FY 2019 Funded			FY 2020 Q2	FY 2021 Q2
Construct 22 miles of RGV Levee Wall (RGV-10) – FY 2019 Funded			FY 2020 Q2	FY 2021 Q2

*Trade and Travel Assets and Infrastructure – PPA***Budget Comparison and Adjustments****Comparison of Budget Authority and Request**

Organization <i>(Dollars in Thousands)</i>	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget	FY 2020 to FY 2021 Total Changes
Automated Commercial Environment (ACE)	\$10,000	\$10,000	\$10,000	-
Non-Intrusive Inspection (NII) Systems Program	\$564,000	\$59,124	-	(\$59,124)
Trade and Travel Assets and Infrastructure End Items	\$51,000	\$19,000	\$12,510	(\$6,490)
Total	\$625,000	\$88,124	\$22,510	(\$65,614)
Discretionary - Appropriation	\$625,000	\$88,124	\$22,510	(\$65,614)

PPA Level I Description

The Trade and Travel Assets and Infrastructure PPA provides funding for the technology and assets needed to conduct the Trade and Travel mission. CBP uses the resources of this PPA to procure tools and technology that are critical to the mitigation of threats from terrorists and to the prevention of contraband from entering the U.S. while facilitating the legal flow of people and trade. This includes integrated screening, scanning, and transaction processing systems to enhance the interception of potential threats before they can cause harm while expediting legal trade and travel.

This PPA is comprised of the following investments/activities:

Automated Commercial Environment (ACE): A comprehensive system that enables CBP to interact, manage, and oversee import and export data, enforcement systems, and cargo related financial processes.

Non-Intrusive Inspection (NII) Systems Program: Includes Large Scale (LS) systems that enable CBPOs and BPAs to quickly and effectively examine large volumes of traffic at U.S. POEs and border crossings. Small Scale (SS) systems procurements, as well as the sustainment of all NII, are funded within CBP's Operations and Support (O&S) appropriation.

Trade and Travel Assets and Infrastructure End Items: Include multiple technologies and assets that mitigate threats from terrorists and prevent contraband from entering the U.S. It includes programs that exceed the \$250,000 PC&I threshold for end items, or were previously appropriated to PC&I, but are not part of the DHS MAOL.

Trade and Travel Assets and Infrastructure PPA

Budget Authority and Obligations

Budget Authority (Dollars in Thousands)	FY 2019	FY 2020	FY 2021
Enacted/Request	\$625,000	\$88,124	\$22,510
Carryover and/or Recoveries (Actual/Estimates/Projections)	\$85,534	\$630,371	\$613,435
Rescissions to Current Year/Budget Year	-	-	-
Net Sequestered Resources	-	-	-
Reprogrammings/Transfers	-	-	-
Supplementals	-	-	-
Total Budget Authority	\$710,534	\$718,495	\$635,945
Collections – Reimbursable Resources	-	-	-
Total Budget Resources	\$710,534	\$718,495	\$635,945
Obligations (Actual/Estimates/Projections)	\$105,019	\$105,000	\$34,510
Personnel: Positions and FTE			
Enacted/Request Positions	-	-	-
Enacted/Request FTE	-	-	-
Onboard and Actual FTE; Includes Collections - Reimbursable Resources			
Onboard (Actual/Estimates/Projections)	-	-	-
FTE (Actual/Estimates/Projections)	-	-	-

Trade and Travel Assets and Infrastructure – PPA

Summary of Budget Changes

Budget Formulation Activity <i>(Dollars in Thousands)</i>	Positions	FTE	Amount
FY 2019 Enacted	-	-	\$625,000
FY 2020 Enacted	-	-	\$88,124
FY 2021 Base Budget	-	-	-
Advanced Trade Analytics Platform	-	-	\$12,510
Automated Commercial Environment (ACE)	-	-	\$10,000
Total Investment Elements	-	-	\$22,510
FY 2021 Request	-	-	\$22,510
FY 2020 To FY 2021 Change	-	-	(\$65,614)

Trade and Travel Assets and Infrastructure – PPA**Non Pay Budget Exhibits****Non Pay by Object Class**

Non-Pay Object Classes <i>(Dollars in Thousands)</i>	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget	FY 2020 to FY 2021 Change
25.2 Other Services from Non-Federal Sources	\$10,000	\$15,000	\$10,000	(\$5,000)
25.7 Operation and Maintenance of Equipment	-	-	\$12,510	\$12,510
31.0 Equipment	\$615,000	\$73,124	-	(\$73,124)
Total - Non Pay Object Classes	\$625,000	\$88,124	\$22,510	(\$65,614)

Trade and Travel Assets and Infrastructure – PPA

Capital Investments Exhibits

Capital Investments

Investment <i>(Dollars in Thousands)</i>	Unique Item Identifier	Acquisition Level	Procurement/ Construction	IT/Non-IT	MAOL	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget
Automated Commercial Environment (ACE)	024-000005053	1	Procurement	IT	Yes	\$10,000	\$10,000	\$10,000
Non-Intrusive Inspection (NII) Systems Program	024-000005119	1	Procurement	IT	Yes	\$564,000	\$59,124	-
Trade and Travel Assets and Infrastructure End Items	N/A	Non-Major	Procurement	IT	No	\$51,000	\$19,000	\$12,510

Automated Commercial Environment (ACE) – Investment Capital Investments Exhibits

Procurement/Acquisition Programs

Automated Commercial Environment (ACE)

Procurement, Construction, and Improvements Funding

Investment <i>(Dollars in Thousands)</i>	Unique Item Identifier	Acquisition Level	Procurement/ Construction	IT/Non-IT	MAOL	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget
Automated Commercial Environment (ACE)	024-000005053	1	Procurement	IT	Yes	\$10,000	\$10,000	\$10,000

Investment Description

Automated Commercial Environment (ACE) forms a comprehensive system that enables CBP to interact, manage, and oversee import and export data, enforcement systems, and cargo related financial processes in order to provide end-to-end visibility of the entire trade cycle. ACE replaces the legacy Automated Commercial System (ACS). ACE is the primary means by which agencies with trade-related responsibilities receive from the trade community the standard set of data and other relevant documentation required for the release of imported cargo and the clearance of cargo for export.

With more automated tools and information, CBP is able to decide, before a shipment reaches U.S. borders, what cargo to target for further investigative action because it poses a potential risk, as well as what cargo to expedite because it complies with U.S. laws. The Cargo Release project through ACE provides full and integrated import processing, which was not possible in the legacy cargo system. Full and integrated import processing includes being the single window for the international trade community to electronically transmit import data needed by CBP and Partner Government Agencies to assess the safety, security, and compliance of cargo, track and hold suspect cargo, and quickly approve the release of legitimate cargo into the United States.

Justification

CBP is the second-largest revenue-collecting agency in the Federal Government, enforcing the collection of over \$84B in duties, taxes, and fees. Today, that revenue is collected in ACS, a +30 year-old COBOL system housed on a mainframe. The ACE Collections project modernizes the collections system and allows CBP to retire ACS off of the mainframe. The development includes a cloud-based platform that reduces costs to the Agency, provides a common framework that aligns with other initiatives, and is flexible enough to allow for future technological enhancements. The incorporation of the entire Collections Entry Lifecycle in one ecosystem and the ability to utilize trade data from ACE modules will provide a holistic view for both the trade community and the Agency. In the interim as ACE Collections development continues, CBP will move to Mainframe as a Service (MaaS) and then to the cloud. Moving to MaaS allows the agency to reduce mainframe Operations and Maintenance (O&M) costs from \$36.0M to an estimated \$23.2M and its associated contractor and software license costs starting in FY20. The estimated annual savings of \$12.8M

have already been allocated to other CBP programs. Collections Release 1 was completed in September 2019, and the program intends to utilize FY2021 PC&I funds to continue the development of Collections in ACE in order to expedite the retirement of the legacy mainframe.

FY 2019 Key Milestone Events

- Completed Generalized System of Preference (GSP) Enhancement.
- Completed Section 321 Enhancement.
- Completed Mass Processing Phase 1.
- Completed Broker Fee Automation Enhancement.
- Deployed Collections Release 1 (Q4 FY 2019).

FY 2020 Planned Key Milestone Events

- Deploy Truck Phase 1 (Q1 FY 2020).
- Deploy Mass Processing Phase 2 (Q1 FY 2020).
- Begin development of Truck Phase 2.
- Begin House Bill development.
- Begin development of enhancements to support USMCA.

FY 2021 Planned Key Milestone Events

- Continue House Bill development.
- Continue development of enhancements to support USMCA.
- Continue ACE Collections Development.

Overall Investment Funding

<i>(Dollars in Thousands)</i>	Prior Years	FY 2019	FY 2020	FY 2021
Operations and Support	\$162,945	\$100,872	\$109,361	\$104,325
Procurement, Construction, and Improvements	\$56,027	\$10,000	\$10,000-	\$10,000
Research and Development	-	-	-	-
Legacy Appropriation	\$3,706,886			
Project Funding	\$3,925,858	\$110,872	\$119,361	\$114,325
Obligations	\$3,889,359	\$85,658		
Expenditures	\$3,816,021	\$60,018		

Contract Information (Current/Execution Year, Budget Year)

Contract Number	Contractor	Type	Award Date (mo/yr)	Start Date (mo/yr)	End Date (mo/yr)	EVM in Contract	Total Value (Dollars in Thousands)
70B06C18F00000600	CSRA/GDIT	Time and Materials	07/2018	07/2018	05/2020	No	\$30,074
70B04C18F00000071	Unisys	Time and Materials	03/2018	03/2018	09/2019	No	\$407,366
70B04C18F00001288	Blue Tech	Firm Fixed Price	09/2018	09/2018	09/2019	No	\$2,631
70B04C18P00000712	Impyrian	Time and Materials	09/2018	09/2018	09/2019	No	\$3,905
70B05C18F00001153	Panamerica Computers	Firm Fixed Price	09/2018	09/2018	06/2019	No	\$5,113

Significant Changes to Investment since Prior Year Enacted

Core ACE has shifted into its sustainment phase following its February 24, 2018 deployment. For FY 2019 and beyond, the program will conduct sustainment activities for the Core system. CBP will continue to plan for and execute post-Core enhancements to the system, including the planning and development for ACE-Collections.

Investment Schedule

Description	Design Work		Project Work	
	Initiated	Completed	Initiated	Completed
	FY 2019			
GSP Enhancement	FY 2019 Q1	FY 2019 Q1	FY 2019 Q1	FY 2019 Q2
Section 321 Enhancement (Entry Type 86)	FY 2019 Q1	FY 2019 Q1	FY 2019 Q1	FY 2019 Q4
Consolidated Express Filings	FY 2019 Q2	FY 2019 Q2	FY 2019 Q2	FY 2019 Q4
Mass Processing Phase 1	FY 2019 Q1	FY 2019 Q1	FY 2019 Q2	FY 2019 Q4
Broker Fee Automation	FY 2019 Q2	FY 2019 Q2	FY 2019 Q2	FY 2019 Q4
Deploy Collections Release 1	FY 2019 Q1	FY 2019 Q1	FY 2019 Q1	FY 2019 Q4
	FY 2020			
Deploy Truck Phase 1	FY 2019 Q1	FY 2019 Q1	FY 2019 Q1	FY 2020 Q1
Deploy Mass Processing Phase 2	FY 2019 Q1	FY 2019 Q1	FY 2019 Q1	FY 2020 Q1
	FY 2021			
N/A	N/A	N/A	N/A	N/A

Non-Intrusive Inspection (NII) Systems Program – Investment Capital Investments Exhibits

Procurement/Acquisition Programs

Non-Intrusive Inspection (NII) Systems Program

Procurement, Construction, and Improvements Funding

Investment <i>(Dollars in Thousands)</i>	Unique Item Identifier	Acquisition Level	Procurement/ Construction	IT/Non-IT	MAOL	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget
Non-Intrusive Inspection (NII) Systems Program	024-000005119	1	Procurement	IT	Yes	\$564,000	\$59,124	-

Investment Description

NII Systems include Large Scale (LS) and Small Scale (SS) systems that enable CBPOs and BPAs to quickly and effectively examine large volumes of traffic at U.S. POEs. Currently, CBP utilizes over 300 LS systems and over 4,000 SS systems across POEs. These systems are used to examine 98 percent of rail cars, 15 percent of arrival commercial trucks, one percent of passenger vehicles, and two percent of sea containers. Similarly, CBP utilizes over 1,250 radiation portal monitors to scan over 99 percent of arrival commercial trucks, passenger vehicles, and sea containers for radiation.

CBP's current acquisition priority for NII systems is to procure and deploy solutions that are capable of interoperability and integration with CBP's trade and travel operations, technology, and processes. The focus is to maintain or improve NII scanning rates to increase the probability of detection across its operating environments, while offering efficiencies and increased capabilities to operators. To do this, projects are focused on identifying solutions that address capability gaps, including (1) aging technology, (2) standalone technology (e.g., systems are not on the CBP network and processing of NII examination data is a manual time-intensive activity), and (3) data integration with other CBP enforcement systems. Addressing these capability gaps enhances CBP's domain awareness, scanning, and screening functions through automation and streamlined processes. CBP tracks performance in these areas by measuring the percentage of conveyances examined with NII, the number of and total weight of contraband seizures identified due to an NII image anomaly, operational availability of deployed equipment, and the number of man-hours avoided through the use of NII technology versus physical exam.

Justification

The FY 2021 President's Budget does not include PC&I funding for NII. FY 2019 PC&I funds will be obligated through year-end FY 2021. Funding will primarily be executed against multiple-year LS NII system contracts planned for award in Q4 FY2020, and system deployment will initiate in FY 2021. The planned LS NII system procurements will address the need to increase scanning capacity and system recapitalization.

FY 2019 Key Milestone Events

- Deployed LS NII Systems procured with prior year funding.
- Continued to conduct operational assessments focused on examining a greater portion of commercially owned vehicles (COV) at land border POEs.
- Initiated assessment focused on examining a greater portion of privately owned vehicles (POV) at land border POEs.

FY 2020 Planned Key Milestone Events

- Achieve Acquisition Decision Event 1 for the NII-Integration Program (Complete).
- Continue to conduct operational assessments focused on examining a greater portion of COV and POV in the land border environment, and non-intrusive contraband interdiction on pedestrians and passengers.
- Award contract for High-Energy NII Systems to initiate NII technology recapitalization at rail border crossings.
- Award contract for Drive-Through NII Technology for COVs.
- Award contract for Drive-Through NII Technology for POVs.
- Continue to deploy LS NII Systems procured with prior year funding.

FY 2021 Planned Key Milestone Events

- Continue deploying LS NII Systems procured with prior year funding.

Overall Investment Funding

<i>(Dollars in Thousands)</i>	Prior Years	FY 2019	FY 2020	FY 2021
Operations and Support	\$248,346	\$121,092	\$132,652	\$157,652
Procurement, Construction, and Investments	\$268,455	\$564,000	\$59,124	
Research and Development	-	-	-	-
Legacy Appropriation	\$2,220,258			
Project Funding	\$2,737,059	\$685,092	\$191,776	\$157,652
Obligations	\$2,737,059	\$130,986		
Expenditures	\$2,526,433	\$15,149		

Contract Information (Current/Execution Year, Budget Year)

Contract Number	Contractor	Type	Award Date (mo/yr)	Start Date (mo/yr)	End Date (mo/yr)	EVM in Contract	Total Value (Dollars in Thousands)
HSBP1016C00103	Leidos	Firm Fixed Price	09/2016	09/2016	08/2021	No	\$154,749
70B04C18D00000016	AS&E	Firm Fixed Price	07/2018	07/2018	06/2023	No	\$51,659
HSBP1016D00015	AS&E	Firm Fixed Price	09/2016	09/2016	09/2021	No	\$31,253
HSBP1015D00024	Leidos	Firm Fixed Price	09/2016	09/2018	06/2020	No	\$64,000
70B03C18D00000035	AS&E	Firm Fixed Price	09/2018	09/2018	09/2023	No	\$62,635

Significant Changes to Investment since Prior Year Enacted

N/A

Investment Schedule

Description	Design Work		Project Work	
	Initiated	Completed	Initiated	Completed
	FY 2019			
Contract / Delivery Order Awards: LS NII			FY 2018 Q3	FY 2018 Q4
System Deployment(s): LS NII			FY 2019 Q1	FY 2019 Q4
Technology Demonstration: Commercial Cargo - Drive Through, Multi-Energy Portals (joint effort with DHS S&T)			FY 2018 Q3	FY 2020 Q4
	FY 2020			
Contract Award: High Energy Rail			FY 2019 Q2	FY 2020 Q4
Contract Award: Drive-Through NII for COV			FY 2019 Q4	FY 2020 Q4
Contract Award: Drive-Through NII for POV			FY 2019 Q4	FY 2020 Q4
System Deployment(s): LS NII			FY 2020 Q1	FY 2020 Q4
	FY 2021			
Contract / Delivery Order Awards: LS NII (Rail, COV, POV)			FY 2021 Q3	FY 2021 Q4
System Deployment(s): LS NII			FY 2021 Q1	FY 2021 Q4

Trade and Travel Assets and Infrastructure End Items – Investment Capital Investments Exhibits

End Items Purchases

Trade and Travel Assets and Infrastructure End Items Procurement, Construction, and Improvements Funding

Investment <i>(Dollars in Thousands)</i>	Unique Item Identifier	Acquisition Level	Procurement/ Construction	IT/Non-IT	MAOL	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget
Trade and Travel Assets and Infrastructure End Items	N/A	Non-Major	Procurement	IT	No	\$51,000	\$19,000	\$12,510

Investment Description

Trade and Travel Assets and Infrastructure End Items includes funding for the development, procurement, and deployment of multiple technologies and assets that mitigate threats from terrorists and prevent contraband from entering the U.S. It includes programs that exceed the \$250,000 PC&I threshold, but are not part of the DHS MAOL. This includes the following investments:

End Items Breakdown <i>(Dollars in Thousands)</i>	FY 2019 Enacted		FY 2020 Enacted		FY 2021 President's Budget	
	Quantity	Amount	Quantity*	Amount	Quantity	Amount
Advanced Trade Analytics Platform (ATAP)	-	-	-	\$12,000	N/A	\$12,510
POE Technology	-	\$51,000	3	\$7,000	-	-
Total		\$51,000		\$19,000		\$12,510

*Quantity represents the number of processing lanes to which facilitative technology will be deployed.

- Advanced Trade Analytics Platform (ATAP): Allows CBP to utilize a newly formed data set to provide intelligent enforcement of the security risks around current and future trade enforcement mission challenges. The FY 2021 President's Budget includes \$10.0M to procure contractor support to begin development of the ATAP system, software licenses for trade data that industry collects, and hardware costs to support data and system storage. FY2021 funding also includes \$2.5M to support operational training and deployment of data analytics capabilities. This acquisition will use the current CBP enterprise IT architecture to avoid duplication and augment existing architecture to fulfil gaps in capabilities. Completing the ATAP program will enable CBP to:
 - Create enhanced data sets.
 - Unlock new data reporting and visualization capabilities not previously realized within the agency.
 - Conduct enhanced analytics to provide greater insight into priority trade initiatives.
 - Integrate case work with greater holistic capabilities.

- Allow for the discovery of new areas of risk and potential priority trade initiatives.
- Position CBP to better respond to the needs of stakeholders, from the highest levels of government to front line officers on the border.
- POE Technology: Provides inspecting and facilitative technology for the Gordie Howe International Bridge (GHIB) Land POE (LPOE) including, but not limited to, technology to support inspection operations at inbound primary, secondary, and outbound lanes. The GHIB project is structured as a Private-Public Partnership, in which CBP is responsible for outfitting the GHIB LPOE. No funding is included in the FY 2021 President's Budget for this end item.

Integrated Operations Assets and Infrastructure – PPA**Budget Comparison and Adjustments****Comparison of Budget Authority and Request**

Organization <i>(Dollars in Thousands)</i>	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget	FY 2020 to FY 2021 Total Changes
Airframes and Sensors	\$112,612	\$184,689	\$38,409	(\$146,280)
Watercraft	\$14,500	\$14,830	-	(\$14,830)
Total	\$127,112	\$199,519	\$38,409	(\$161,110)
Discretionary - Appropriation	\$127,112	\$199,519	\$38,409	(\$161,110)

PPA Level I Description

The Integrated Operations Assets and Infrastructure PPA funds air and marine assets and the related support systems and infrastructure to operate these assets. This PPA is further allocated into the following Level II PPAs:

Airframes and Sensors: This Level II PPA funds air assets and the related support systems and infrastructure to operate these assets.

Watercraft: This Level II PPA funds marine assets and the related support systems and infrastructure to operate these assets.

Integrated Operations Assets and Infrastructure – PPA
Budget Authority and Obligations

Budget Authority <i>(Dollars in Thousands)</i>	FY 2019	FY 2020	FY 2021
Enacted/Request	\$127,112	\$199,519	\$38,409
Carryover and/or Recoveries (Actual/Estimates/Projections)	\$134,264	\$87,280	\$59,115
Rescissions to Current Year/Budget Year	-	-	-
Net Sequestered Resources	-	-	-
Reprogrammings/Transfers	-	-	-
Supplementals	-	-	-
Total Budget Authority	\$261,376	\$286,799	\$97,524
Collections – Reimbursable Resources	-	-	-
Total Budget Resources	\$261,376	\$286,799	\$97,524
Obligations (Actual/Estimates/Projections)	\$197,000	\$238,000	\$36,000
Personnel: Positions and FTE			
Enacted/Request Positions	-	-	-
Enacted/Request FTE	-	-	-
Onboard and Actual FTE; Includes Collections - Reimbursable Resources			
Onboard (Actual/Estimates/Projections)	-	-	-
FTE (Actual/Estimates/Projections)	-	-	-

Integrated Operations Assets and Infrastructure – PPA

Summary of Budget Changes

Budget Formulation Activity <i>(Dollars in Thousands)</i>	Positions	FTE	Amount
FY 2019 Enacted	-	-	\$127,112
FY 2020 Enacted	-	-	\$199,519
FY 2021 Base Budget	-	-	-
Aircraft Sensor Upgrades	-	-	\$13,000
Minotaur Mission Systems	-	-	\$7,509
P3 Recapitalization	-	-	\$2,400
UH-60 Medium Lift Helicopter	-	-	\$15,500
Total Investment Elements	-	-	\$38,409
FY 2021 Request	-	-	\$38,409
FY 2020 To FY 2021 Change	-	-	(\$161,110)

Integrated Operations Assets and Infrastructure – PPA**Non Pay Budget Exhibits****Non Pay by Object Class**

Non-Pay Object Classes <i>(Dollars in Thousands)</i>	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget	FY 2020 to FY 2021 Change
25.2 Other Services from Non-Federal Sources	\$11,958	\$18,900	\$22,909	\$4,009
25.3 Other Goods and Services from Federal Sources	-	-	\$15,500	\$15,500
25.7 Operation and Maintenance of Equipment	\$15,000	\$46,525	-	(\$46,525)
26.0 Supplies and Materials	\$458	-	-	-
31.0 Equipment	\$99,696	\$134,094	-	(\$134,094)
Total - Non Pay Object Classes	\$127,112	\$199,519	\$38,409	(\$161,110)

Integrated Operations Assets and Infrastructure – PPA

Capital Investments Exhibits

Capital Investments

Investment <i>(Dollars in Thousands)</i>	Unique Item Identifier	Acquisition Level	Procurement/ Construction	IT/Non-IT	MAOL	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget
UH-60 Medium Lift Helicopter	N024-000005259	1	Procurement	Non-IT	Yes	\$15,000	\$46,525	\$15,500
KA350-CER Multi-Role Enforcement Aircraft (MEA)	N024-000005258	1	Procurement	Non-IT	Yes	\$86,112	\$85,146	-
Coastal Interceptor Vessels	N024-000005260	3	Procurement	Non-IT	Yes	\$14,500	\$14,830	-
Airframes and Sensors End Items	N/A	Non-Major	Procurement	IT	No	\$11,500	\$18,900	\$22,909
Light Enforcement Helicopters	-	-	Procurement	Non-IT	No	-	\$34,118	-

*Airframes and Sensors – PPA Level II***Budget Comparison and Adjustments****Comparison of Budget Authority and Request**

Organization <i>(Dollars in Thousands)</i>	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget	FY 2020 to FY 2021 Total Changes
KA350-CER Multi-Role Enforcement Aircraft (MEA)	\$86,112	\$85,146	-	(\$85,146)
UH-60 Medium Lift Helicopter	\$15,000	\$46,525	\$15,500	(\$31,025)
Airframes and Sensors End Items	\$11,500	\$18,900	\$22,909	\$4,009
Light Enforcement Helicopters	-	\$34,118	-	(\$34,118)
Total	\$112,612	\$184,689	\$38,409	(\$146,280)
Discretionary - Appropriation	\$112,612	\$184,689	\$38,409	(\$146,280)

PPA Level II Description

The Airframes and Sensors PPA funds air assets and the related support systems and infrastructure to operate these assets. This Level II PPA is comprised of the following investments/activities:

KA350-CER Multi-Role Enforcement Aircraft (MEA): This investment is a multi-purpose, fixed-wing, multi-engine aircraft used for maritime and land detection, surveillance missions, and transportation of cargo and people.

UH-60 Medium Lift Helicopter (MLH): This investment is a turbo-shaft twin-engine helicopter designed to carry a crew of four, and a fully equipped team of 11 agents; it is capable of transporting cargo and external loads up to 9,000 pounds.

Airframes and Sensors End Items: These procurements include multiple technologies and assets for the Air and Marine Operations (AMO). It includes programs that exceed the \$250,000 PC&I threshold, or were previously appropriated PC&I funding, but are not part of the DHS MAOL.

Light Enforcement Helicopter (LEH): The LEH is a multi-mission helicopter used for aerial surveillance, tactical support, patrol of high risk areas, and transport and insertion of agents responding to illegal border incursions and serving search and arrest warrants.

Airframes and Sensors – PPA Level II

Budget Authority and Obligations

Budget Authority (Dollars in Thousands)	FY 2019	FY 2020	FY 2021
Enacted/Request	\$112,612	\$184,689	\$38,409
Carryover and/or Recoveries (Actual/Estimates/Projections)	\$131,592	\$71,703	\$59,115
Rescissions to Current Year/Budget Year	-	-	-
Net Sequestered Resources	-	-	-
Reprogrammings/Transfers	-	-	-
Supplementals	-	-	-
Total Budget Authority	\$244,204	\$256,392	\$97,524
Collections – Reimbursable Resources	-	-	-
Total Budget Resources	\$244,204	\$256,392	\$97,524
Obligations (Actual/Estimates/Projections)	\$195,405	\$207,593	\$31,725
Personnel: Positions and FTE			
Enacted/Request Positions	-	-	-
Enacted/Request FTE	-	-	-
Onboard and Actual FTE; Includes Collections - Reimbursable Resources			
Onboard (Actual/Estimates/Projections)	-	-	-
FTE (Actual/Estimates/Projections)	-	-	-

Airframes and Sensors – PPA Level II

Summary of Budget Changes

Budget Formulation Activity <i>(Dollars in Thousands)</i>	Positions	FTE	Amount
FY 2019 Enacted	-	-	\$112,612
FY 2020 Enacted	-	-	\$184,689
FY 2021 Base Budget	-	-	-
Aircraft Sensor Upgrades	-	-	\$13,000
Minotaur Mission Systems	-	-	\$7,509
P3 Recapitalization	-	-	\$2,400
UH-60 Medium Lift Helicopter	-	-	\$15,500
Total Investment Elements	-	-	\$38,409
FY 2021 Request	-	-	\$38,409
FY 2020 To FY 2021 Change	-	-	(\$146,280)

Airframes and Sensors – PPA Level II**Non Pay Budget Exhibits****Non Pay by Object Class**

Non-Pay Object Classes <i>(Dollars in Thousands)</i>	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget	FY 2020 to FY 2021 Change
25.2 Other Services from Non-Federal Sources	\$11,958	\$18,900	\$22,909	\$4,009
25.3 Other Goods and Services from Federal Sources	-	-	\$15,500	\$15,500
25.7 Operation and Maintenance of Equipment	\$15,000	\$46,525	-	(\$46,525)
26.0 Supplies and Materials	\$458	-	-	-
31.0 Equipment	\$85,196	\$119,264	-	(\$119,264)
Total - Non Pay Object Classes	\$112,612	\$184,689	\$38,409	(\$146,280)

Airframes and Sensors – PPA Level II

Capital Investments Exhibits

Capital Investments

Investment <i>(Dollars in Thousands)</i>	Unique Item Identifier	Acquisition Level	Procurement/ Construction	IT/Non-IT	MAOL	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget
UH-60 Medium Lift Helicopter	N024-000005259	1	Procurement	Non-IT	Yes	\$15,000	\$46,525	\$15,500
KA350-CER Multi-Role Enforcement Aircraft (MEA)	N024-000005258	1	Procurement	Non-IT	Yes	\$86,112	\$85,146	-
Airframes and Sensors End Items	N/A	Non-Major	Procurement	IT	No	\$11,500	\$18,900	\$22,909
Light Enforcement Helicopters	-	-	Procurement	Non-IT	No	-	\$34,118	-

KA350-CER Multi-Role Enforcement Aircraft (MEA) – Investment

Capital Investments Exhibits

Procurement/Acquisition Programs

KA350-CER Multi-Role Enforcement Aircraft (MEA) Procurement, Construction, and Improvements Funding

Investment (Dollars in Thousands)	Unique Item Identifier	Acquisition Level	Procurement/ Construction	IT/Non-IT	MAOL	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget
KA350-CER Multi-Role Enforcement Aircraft (MEA)	N024-000005258	1	Procurement	Non-IT	Yes	\$86,112	\$85,146	-

Investment Description

The MEA is a multi-purpose, fixed wing, multi-engine aircraft used for maritime and land detection, surveillance missions, and transportation of cargo and people. The MEA utilizes EO/IR sensors, basic and advanced law enforcement technical collection packages, and Ku-band and Iridium downlink system capabilities that allow for communication by voice and sensor data anywhere in its operating area in near real time. The MEA is also equipped with the Minotaur Mission Management System, which links sensors, cameras, radar and communications equipment into a single, more automated system, allowing operators to more efficiently identify and track any suspicious or illegal activity on both land and sea.

Justification

The MEA is the optimal sensor-equipped aircraft for surveillance operations in regions such as the northern and southern Borders as well as maritime environments where water, terrain, weather, and distance pose significant obstacles to border security operations between POEs and in the littorals. In addition to detection and surveillance, the MEA serves as a force multiplier for law enforcement and emergency response personnel because the MEA provides rapid-response deployment of equipment, canines, and personnel. In the southeast region in southern California, the MEA is the only DHS airborne radar capability for the protection of littoral approaches to the country. This area currently has active maritime smuggling activity, and CBP uses the MEA aircraft to actively counter the threat.

The FY2021 President's Budget does not include funding for the MEA. The FY 2020 enacted amount of \$85.1M funded the procurement of one more MEA than proposed in the FY 2020 President's Budget, which resulted in accelerating the program forward by one aircraft.

FY 2019 Key Milestone Events

- Placed delivery order for MEA #21, #22, and #23.
- Delivered MEA #17 and #18.

FY 2020 Planned Key Milestone Events

- Place delivery order for MEA #24 and #25.
- Deliver MEA #19 and #20.

FY 2021 Planned Key Milestone Events

- Deliver MEA #21, #22, and #23.

Overall Investment Funding

<i>(Dollars in Thousands)</i>	Prior Years	FY 2019	FY 2020	FY 2021
Operations and Support	-	\$10,406	\$10,406	\$13,669
Procurement, Construction, and Investments	\$144,430	\$86,112	\$85,146	\$0
Research and Development	-	-	-	-
Legacy Appropriation	\$291,465			
Project Funding	\$435,895	\$96,518	\$95,552	\$13,669
Obligations*	\$427,682	\$71,257		
Expenditures*	\$405,039	\$34,463		

*Obligations and Expenditures do not include O&S funding – O&S funding is not currently tracked by end item.

Contract Information (Current/Execution Year, Budget Year)

Contract Number	Contractor	Type	Award Date (mo/yr)	Start Date (mo/yr)	End Date (mo/yr)	EVM in Contract	Total Value (Dollars in Thousands)
HSBP1016D00011	Sierra Nevada Corporation	Firm/Fixed Price	09/2016	09/2016	09/2021	No	\$281,151

Significant Changes to Investment since Prior Year Enacted

The FY 2020 enacted amount of \$85.1M funded the procurement of one more MEA than proposed in the FY 2020 President's Budget, which resulted in accelerating the program forward by one aircraft.

Investment Schedule

Description	Design Work		Project Work	
	Initiated	Completed	Initiated	Completed
	FY 2019			
Aircraft Delivery MEA #17			FY 2017 Q4	FY 2019 Q3
Aircraft Delivery MEA #18			FY 2017 Q4	FY 2019 Q4
	FY 2020			
Aircraft Delivery MEA #19			FY 2018 Q4	FY 2020 Q1
Aircraft Delivery MEA #20			FY 2018 Q4	FY 2020 Q2
	FY 2021			
Aircraft Delivery MEA #21			FY 2019 Q4	FY 2021 Q4
Aircraft Delivery MEA #22			FY 2019 Q4	FY 2021 Q4
Aircraft Delivery MEA #23			FY 2019 Q4	FY 2021 Q4

UH-60 Medium Lift Helicopter – Investment Capital Investments Exhibits

Procurement/Acquisition Programs

UH-60 Medium Lift Helicopter

Procurement, Construction, and Improvements Funding

Investment <i>(Dollars in Thousands)</i>	Unique Item Identifier	Acquisition Level	Procurement/ Construction	IT/Non-IT	MAOL	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget
UH-60 Medium Lift Helicopter	N024-000005259	1	Procurement	Non-IT	Yes	\$15,000	\$46,525	\$15,500

Investment Description

The UH-60 MLH is a turbo-shaft twin engine helicopter designed to carry a crew of four, and a fully equipped team of 11 agents. It is capable of transporting cargo and external loads up to 9,000 pounds. The MLH is equipped with EO/IR sensors and can be fitted with stub wings to carry additional fuel tanks, weapons, supplies or equipment; these technologies provide the MLH flexibility to support diverse missions.

Justification

The FY 2021 President's Budget provides \$15.5M to convert one airframe. The platform carries out essential relocation and transport missions, tactical response missions, rescue missions, and investigative surveillance missions.

These aircraft are critical to border security operations, as they are the only helicopters with medium-lift capability, rugged enough to support interdiction and life-saving operations in very hostile environments, at high altitudes in the desert, over open water, and in extreme cold. The UH-60 can carry Border Patrol Search, Trauma, and Rescue (BORSTAR) and Border Patrol Tactical Unit (BORTAC) life-saving and tactical teams into hostile situations, having been designed as combat support aircraft; the aircraft have crash-survivable sub-systems, and they are critical to the successful execution of national special security events.

The expansiveness of the northern and southern Borders poses significant challenges to border security. Smugglers have used vehicles, horses, and humans to transport drugs and people into the United States. CBP AMO has responded to this threat by working in concert with the USBP and other law enforcement agencies to assist in the identification and apprehension of undocumented aliens. Investment in the aviation fleet, to include the additional UH-60 MLH, will enable AMO to provide improved air support for the USBP and other law enforcement partners. The newly converted aircraft will also allow CBP to move to a standardized fleet of medium-lift capability, which increases operational reliability and availability as well as decreases sparring inventories.

FY 2019 Key Milestone Events

- Aircraft #4, #5 and #6 inducted into military De-modification.
- Initiated UH-60M and UH-60L ADS-B installation effort

FY 2020 Planned Key Milestone Events

- Accept completed aircraft #2 and #3.
- Complete ADS-B integration for UH-60L and UH-60M.
- Induct aircraft #7, #8 and #9.

FY 2021 Planned Key Milestone Events

- Accept Aircraft #4, #5 and #6.
- Fund conversion of aircraft #10.
- Complete ADS-B retrofits.

Overall Investment Funding

<i>(Dollars in Thousands)</i>	Prior Years	FY 2019	FY 2020	FY 2021
Operations and Support	-	\$15,555	\$15,555	\$21,116
Procurement, Construction, and Investments	\$28,792	\$15,000	\$46,525	\$15,500
Research and Development	-	-	-	-
Legacy Appropriation	\$258,286			
Project Funding	\$287,078	\$30,555	\$62,080	\$36,616
Obligations*	\$286,942	\$15,000		
Expenditures*	\$245,829	\$1,424		

*Obligations and Expenditures do not include O&S funding - O&S is not tracked by end item.

Contract Information (Current/Execution Year, Budget Year)

Contract Number	Contractor	Type	Award Date (mo/yr)	Start Date (mo/yr)	End Date (mo/yr)	EVM in Contract	Total Value (Dollars in Thousands)
70B02C19K00000043	U.S. Army Utility Helicopter Program Office Interagency Agreement*	Firm Fixed Price	02/2019	06/2019	09/2023	No	\$280,264

*CBP enters into an IAA with U.S. Army Corps, who contracts the work to the vendor.

Significant Changes to Investment since Prior Year Enacted

N/A

Investment Schedule

Description	Design Work		Project Work	
	Initiated	Completed	Initiated	Completed
	FY 2019			
Induction of A/C #4-6 for de-modification/primary integration			FY 2019 Q3	FY 2020 Q4
ADS-B Retrofit of UH-60L			FY 2019 Q4	FY 2021 Q1
	FY 2020			
Induction of A/C #7-9 for de-modification/primary integration			FY 2020 Q2	FY 2021 Q3
	FY 2021			
Induction of A/C #10 for de-modification/primary integration			FY 2021 Q2	FY 2022 Q4

Airframes and Sensors End Items – Investment Capital Investments Exhibits

End Items Purchases

Airframes and Sensors End Items

Procurement, Construction, and Improvements Funding

Investment <i>(Dollars in Thousands)</i>	Unique Item Identifier	Acquisition Level	Procurement/ Construction	IT/Non-IT	MAOL	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget
Airframes and Sensors End Items	N/A	Non-Major	Procurement	IT	No	\$11,500	\$18,900	\$22,909

Investment Description

Integrated Operations Assets and Infrastructure End Items includes funding for the development, procurement, and deployment of multiple technologies and assets for the AMO. It includes programs that exceed the \$250,000 PC&I threshold and/or were previously appropriated PC&I funds, but are not part of the DHS MAOL. This includes the following investments:

End Items Breakdown <i>(Dollars in Thousands)</i>	FY 2019 Enacted		FY 2020 Enacted		FY 2021 President's Budget	
	Quantity*	Amount	Quantity*	Amount	Quantity*	Amount
Aircraft Sensor Upgrades	Up to 8	\$7,800	Up to 8	\$13,500	Up to 8	\$13,000
FAA Next Generation (NextGen)	N/A	\$3,700	N/A	\$3,000	-	-
Light Enforcement Platform (LEP)	-	-	N/A	\$2,400	-	-
Minotaur Mission Systems	-	-	-	-	1	\$7,509
P-3 Recapitalization	-	-	-	-	N/A	\$2,400
Total	-	\$11,500	-	\$18,900	-	\$22,909

*CBP determines which specific aircraft will be upgraded in the year of execution based on overall funding level and the highest priority aircraft that require an upgrade.

- Aircraft Sensor Upgrades: Funding will provide replacement of obsolete, out-of-production, difficult, and expensive to support aircraft sensor integrated mission systems. Systems targeted for replacement/refresh include but are not limited to non-High Definition (HD) Electro Optic/Infrared (EO/IR) sensors, outdated mapping systems, non-HD video displays, recorders, and data links. All of the preceding are necessary to detect and identify suspected objects of interest for interdiction, examination, and applicable resolution as required. The FY 2021 President's Budget funds the following:

EO/IR Sensor	\$9,133
Maps	\$2,300
Video Displays	\$264
Recorders	\$264
Datalink	\$1,038
Total	\$13,000

- FAA NextGen: No funding is included in the FY 2021 President’s Budget. FAA regulations require that aircraft operating in specified classes of airspace must have ADS-B avionics to safely and efficiently operate aircraft. ADS-B transmits information about an aircraft in flight or while on the ground.
- LEP: No funding is provided in the FY 2021 President’s Budget. As LEA aircraft are retired and the fleet approaches its end of life, follow-on programs must be established to continue operations and meet mission requirements. The analytical products and testing activities will better ensure future acquisitions can proceed in a timely manner and that AMO fully understands the most effective and efficient means of meeting AMO fleet-mix priorities.
- Minotaur Mission Systems: The FY 2021 President’s Budget includes \$7.5M for development of one Minotaur Mission System Operational Readiness Trainer (MSORT). The MSORT is a ground-based sensor system and mission management training platform which provides realistic mission training to Tactical Flight Officers (TFO) operating mission systems on multiple Minotaur-equipped aircraft platforms. Simulated aircraft equipment will replicate systems installed on the Multirole Enforcement Aircraft (MEA), DHC-8, and P-3 Orion airframes. The MSORT is a reconfigurable platform, capable of providing distributive interactive simulation to the extent required for system interoperability with each supported Air and Marine Operations platform. Procurement of one Minotaur MSORT will reduce the number of flight hours dedicated to Minotaur training, thereby freeing up those flight hours for operational missions.
- P-3 Recapitalization: The FY 2021 President’s Budget provides \$2.4M for a P-3 Recapitalization Analysis of Alternatives (AoA). CBP AMO's P-3 program provides CBP's primary source and transit zone domain awareness capability. The P-3 aircraft and its onboard radar are aging systems and Navy support for both are scheduled to sunset over the 2020s. AMO's P-3 Program needs to update requirements and examine alternatives, including further service life extension of the current platform or radar and new systems. Funding requested would support an AoA and pre-requirements analysis to Service Life Extension Program (SLEP) or replace the P-3 maritime patrol aircraft.

Light Enforcement Helicopter (LEH) – Investment Capital Investments Exhibits

Procurement/Acquisition Programs

Light Enforcement Helicopter (LEH)

Procurement, Construction, and Improvements Funding

Investment <i>(Dollars in Thousands)</i>	Unique Item Identifier	Acquisition Level	Procurement/ Construction	IT/Non-IT	MAOL	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget
Light Enforcement Helicopter	N/A	Non-Major	Procurement	Non-IT	No	\$0	\$34,118	\$0

Investment Description

The LEH is a multi-mission helicopter used for aerial surveillance, tactical support, patrol of high-risk areas, and transport and insertion of agents responding to illegal border incursions and serving search and arrest warrants. It is crewed by an Air Interdiction Agent and an Air Enforcement Agent who operates a sophisticated day and nighttime camera system. The LEH combines sensor capability, including EO/IR sensors, cameras, and video downlinks with an airframe capable of operating in rugged terrain, hot temperature, and high-density altitude while transporting one or more passengers. Images captured from the aircraft's sensor system can be networked to other operators or fused to an intelligence center to monitor the border and directly support Federal, State, and local public safety officials.

The LEH is the workhorse of AMO. It is used to support law enforcement border security operations that detect and interdict illegal aliens, terrorists and means of terrorism, drugs, and other contraband. LEHs also support other diversified missions, such as insertion of agents serving search and arrest warrants and aerial surveillance. The LEH is a highly capable, cost-effective aircraft used frequently for a variety of missions. The LEH enhances the physical security of the southern border through improved aerial surveillance and air support to Border Patrol and other law enforcement partners.

Justification

No PC&I funding is included in the FY 2021 President's Budget for this investment. CBP is in the process of developing a recapitalization plan and determining out-year requirements. CBP is utilizing prior year funding to replace seven AS-350 LEHs that were damaged or lost to mishap, as well as replacement of legacy EC-120 Helicopters. Currently, AMO is only authorized to acquire seventeen aircraft, all of which have been funded via FY 2017, FY 2018, and FY 2020 funds.

FY 2019 Key Milestone Events

- Delivery Order issued for up to 10 H-125s intended to replace EC-120s.

FY 2020 Planned Key Milestone Events

- Delivery of Aircraft #1, 2 and 3

FY 2021 Planned Key Milestone Events

- Issue Delivery Order for four H-125 utilizing FY2020 appropriated funds.
- Delivery of Aircraft #4-15

Overall Investment Funding

<i>(Dollars in Thousands)</i>	Prior Years	FY 2019	FY 2020	FY 2021
Operations and Support	-	\$10,406	\$10,406	\$13,669
Procurement, Construction, and Investments	\$105,621	\$0	\$34,118	\$0
Research and Development	-	-	-	-
Legacy Appropriation	-			
Project Funding	\$105,621	\$0	\$44,524	\$13,669
Obligations*	\$105,109	\$0		
Expenditures*	\$15,283	-		

*Obligations and Expenditures do not include O&S funding. O&S is not currently tracked by end item.

Contract Information (Current/Execution Year, Budget Year)

Contract Number	Contractor	Type	Award Date (mo/yr)	Start Date (mo/yr)	End Date (mo/yr)	EVM in Contract	Total Value (Dollars in Thousands)
70B02C18F00000452	Davenport Aviation, Inc.	Firm Fixed Price	07/2018	07/2018	10/2020	No	\$45,959
70B02C19F00000617	Davenport Aviation, Inc.	Firm Fixed Price	07/2019	07/2019	06/2021	No	\$65,721

Significant Changes to Investment since Prior Year Enacted

N/A

Investment Schedule

Description	Design Work		Project Work	
	Initiated	Completed	Initiated	Completed
	FY 2019			
N/A				
	FY 2020			
Aircraft Delivery 1				08/2020
Aircraft Delivery 2				09/2020
Aircraft Delivery 3				09/2020
	FY 2021			
Aircraft Delivery 4				10/2020
Aircraft Delivery 5				10/2020
Aircraft Delivery 6				11/2020
Aircraft Delivery 7				11/2020
Aircraft Delivery 8				12/2020
Aircraft Delivery 9				1/2021
Aircraft Delivery 10				3/2021
Aircraft Delivery 11				05/2021
Aircraft Delivery 12				06/2021
Aircraft Delivery 13				07/2021
Aircraft Delivery 14				08/2021
Aircraft Delivery 15				09/2021

*Watercraft – PPA Level II***Budget Comparison and Adjustments****Comparison of Budget Authority and Request**

Organization <i>(Dollars in Thousands)</i>	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget	FY 2020 to FY 2021 Total Changes
Coastal Interceptor Vessels	\$14,500	\$14,830	-	(\$14,830)
Total	\$14,500	\$14,830	-	(\$14,830)
Discretionary - Appropriation	\$14,500	\$14,830	-	(\$14,830)

PPA Level II Description

The Watercraft PPA funds marine assets and the related support systems and infrastructure to operate these assets. This PPA is comprised of the following investments/activities:

Coastal Interceptor Vessel (CIV): This investment funds law enforcement watercraft operated in coastal waters and offshore areas of the United States and its territories in varying sea and weather conditions.

Watercraft – PPA Level II

Budget Authority and Obligations

Budget Authority (Dollars in Thousands)	FY 2019	FY 2020	FY 2021
Enacted/Request	\$14,500	\$14,830	-
Carryover and/or Recoveries (Actual/Estimates/Projections)	\$1,730	\$15,577	-
Rescissions to Current Year/Budget Year	-	-	-
Net Sequestered Resources	-	-	-
Reprogrammings/Transfers	-	-	-
Supplementals	-	-	-
Total Budget Authority	\$16,230	\$30,407	-
Collections – Reimbursable Resources	-	-	-
Total Budget Resources	\$16,230	\$30,407	-
Obligations (Actual/Estimates/Projections)	\$653	\$30,407	\$4,275
Personnel: Positions and FTE			
Enacted/Request Positions	-	-	-
Enacted/Request FTE	-	-	-
Onboard and Actual FTE; Includes Collections - Reimbursable Resources			
Onboard (Actual/Estimates/Projections)	-	-	-
FTE (Actual/Estimates/Projections)	-	-	-

Watercraft – PPA Level II
Summary of Budget Changes

Budget Formulation Activity <i>(Dollars in Thousands)</i>	Positions	FTE	Amount
FY 2019 Enacted	-	-	\$14,500
FY 2020 Enacted	-	-	\$14,830
FY 2021 Base Budget	-	-	-
FY 2021 Request	-	-	-
FY 2020 To FY 2021 Change	-	-	(\$14,830)

Watercraft – PPA Level II**Non Pay Budget Exhibits**

Non Pay by Object Class

Non-Pay Object Classes <i>(Dollars in Thousands)</i>	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget	FY 2020 to FY 2021 Change
31.0 Equipment	\$14,500	\$14,830	-	(\$14,830)
Total - Non Pay Object Classes	\$14,500	\$14,830	-	(\$14,830)

Coastal Interceptor Vessels Capital Investments Exhibits

Capital Investments

Coastal Interceptor Vessels**Procurement, Construction, and Improvements Funding**

Investment <i>(Dollars in Thousands)</i>	Unique Item Identifier	Acquisition Level	Procurement/ Construction	IT/Non-IT	MAOL	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget
Coastal Interceptor Vessels	N024-000005260	3	Procurement	Non-IT	Yes	\$14,500	\$14,830	-

Investment Description

The Coastal Interceptor Vessel (CIV) program incorporates several enhancements to operator safety while providing Marine Interdiction Agents (MIA) with additional speed and greater range for interdiction operations than the current fleet provides. Equipped with the latest enhancements in communications and sensor technology, the CIV will be operated in coastal waters and offshore areas of the United States and its territories in varying sea and weather conditions. The CIV will be used to support the following CBP mission areas: patrol, interdiction, special operations, and port security support.

Justification

The FY 2021 President's Budget does not include funding for additional Coastal Interceptor Vessels. The full program of record (Vessels #1-52) was funded in prior fiscal years.

FY 2019 Key Milestone Events

- Placed delivery order for vessels #30-36.
- Accepted delivery of vessels #16-25

FY 2020 Planned Key Milestone Events

- Place delivery order for vessels #37-50
- Accept delivery of vessels #26-38.

FY 2021 Planned Key Milestone Events

- Place delivery order for vessels #51-52
- Accept delivery of vessels #39-52.

Overall Investment Funding

<i>(Dollars in Thousands)</i>	Prior Years	FY 2019	FY 2020	FY 2021
Operations and Support	-	-	-	-
Procurement, Construction, and Investments	\$8,573	\$14,500	\$14,830	-
Research and Development	-	-	-	-
Legacy Appropriation	\$26,299			
Project Funding	\$34,872	\$14,500	\$14,830	
Obligations	\$33,753	\$653		
Expenditures	\$20,808	\$50		

Contract Information (Current/Execution Year, Budget Year)

Contract Number	Contractor	Type	Award Date (mo/yr)	Start Date (mo/yr)	End Date (mo/yr)	EVM in Contract	Total Value (Dollars in Thousands)
HSBP1015D00010	SAFE Boats International Inc.	Firm Fixed Price	06/2015	06/2015	09/2020	No	\$48,400
HSBP1017F00043	Donatini, Inc.	Firm Fixed Price	02/2017	02/2017	02/2020	No	\$3,746
GS35F0213W	ACG Systems, Inc.	Firm Fixed Price	07/2018	07/2018	07/2023	No	\$476
GS35F0314M	ACG Systems, Inc.	Firm Fixed Price	07/2018	07/2018	07/2023	No	\$1,467

Significant Changes to Investment since Prior Year Enacted

N/A

Investment Schedule

Description	Design Work		Project Work	
	Initiated	Completed	Initiated	Completed
	FY 2019			
CIV #21	FY 2016 Q4	FY 2017 Q2	FY 2019 Q1	FY 2019 Q4
CIV #22	FY 2016 Q4	FY 2017 Q2	FY 2019 Q1	FY 2019 Q4
CIV #23	FY 2016 Q4	FY 2017 Q2	FY 2019 Q2	FY 2019 Q4
CIV #24	FY 2016 Q4	FY 2017 Q2	FY 2019 Q2	FY 2020 Q1
CIV #25	FY 2016 Q4	FY 2017 Q2	FY 2019 Q3	FY 2020 Q1
CIV #26	FY 2016 Q4	FY 2017 Q2	FY 2019 Q3	FY 2020 Q1
CIV #27	FY 2016 Q4	FY 2017 Q2	FY 2019 Q4	FY 2020 Q2
CIV #28	FY 2016 Q4	FY 2017 Q2	FY 2019 Q4	FY 2020 Q2
	FY 2020			
CIV #29	FY 2016 Q4	FY 2017 Q2	FY 2020 Q1	FY 2020 Q2
CIV #30	FY 2016 Q4	FY 2017 Q2	FY 2020 Q1	FY 2020 Q3
CIV #31	FY 2016 Q4	FY 2017 Q2	FY 2020 Q1	FY 2020 Q3
CIV #32	FY 2016 Q4	FY 2017 Q2	FY 2020 Q2	FY 2020 Q3
CIV #33	FY 2016 Q4	FY 2017 Q2	FY 2020 Q2	FY 2020 Q4
CIV #34	FY 2016 Q4	FY 2017 Q2	FY 2020 Q2	FY 2020 Q4
CIV #35	FY 2016 Q4	FY 2017 Q2	FY 2020 Q3	FY 2021 Q1
CIV #36	FY 2016 Q4	FY 2017 Q2	FY 2020 Q3	FY 2021 Q1
CIV #37	FY 2016 Q4	FY 2017 Q2	FY 2020 Q3	FY 2021 Q1
CIV #38	FY 2016 Q4	FY 2017 Q2	FY 2020 Q4	FY 2021 Q2
CIV #39	FY 2016 Q4	FY 2017 Q2	FY 2020 Q4	FY 2021 Q2
CIV #40	FY 2016 Q4	FY 2017 Q2	FY 2020 Q4	FY 2021 Q2
	FY 2021			
CIV #41	FY 2016 Q4	FY 2017 Q2	FY 2021 Q1	FY 2021 Q3
CIV #42	FY 2016 Q4	FY 2017 Q2	FY 2021 Q1	FY 2021 Q3
CIV #43	FY 2016 Q4	FY 2017 Q2	FY 2021 Q1	FY 2021 Q3
CIV #44	FY 2016 Q4	FY 2017 Q2	FY 2021 Q2	FY 2021 Q4

Watercraft – PPA II**Coastal Interceptor Vessels**

Description	Design Work		Project Work	
	Initiated	Completed	Initiated	Completed
CIV #45	FY 2016 Q4	FY 2017 Q2	FY 2021 Q2	FY 2021 Q4
CIV #46	FY 2016 Q4	FY 2017 Q2	FY 2021 Q2	FY 2021 Q4
CIV #47	FY 2016 Q4	FY 2017 Q2	FY 2021 Q3	FY 2022 Q1
CIV #48	FY 2016 Q4	FY 2017 Q2	FY 2021 Q3	FY 2022 Q1
CIV #49	FY 2016 Q4	FY 2017 Q2	FY 2021 Q3	FY 2022 Q1
CIV #50	FY 2016 Q4	FY 2017 Q2	FY 2021 Q4	FY 2022 Q2
CIV #51	FY 2016 Q4	FY 2017 Q2	FY 2021 Q4	FY 2022 Q2
CIV #52	FY 2016 Q4	FY2017 Q2	FY 2021 Q4	FY 2022 Q2

*Construction and Facility Improvements – PPA***Budget Comparison and Adjustments****Comparison of Budget Authority and Request**

Organization <i>(Dollars in Thousands)</i>	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget	FY 2020 to FY 2021 Total Changes
Border Patrol Facilities	\$255,447	\$25,000	\$100,000	\$75,000
OFO Facilities	\$14,775	\$22,364	-	(\$22,364)
Air & Marine Facilities	-	\$6,000	\$27,399	\$21,399
Construction and Facility Improvements End Items	-	\$9,000	-	(\$9,000)
Total	\$270,222	\$62,364	\$127,399	\$65,035
Discretionary - Appropriation	\$270,222	\$62,364	\$127,399	\$65,035

PPA Level I Description

The Construction and Facility Improvements PPA supports the improvement of existing owned or leased facilities and real property, and the construction of new facilities. Constructing and improving CBP's physical infrastructure is essential to keeping facilities operationally viable for frontline and mission support functions. CBP supports a vast and diverse real property portfolio, consisting of more than 4,300 owned and leased buildings, over 28 million square feet (SF) of facility space and approximately 4,600 acres of land throughout the United States.

This PPA is comprised of the following investments/activities:

Border Patrol Facilities: This investment supports capital construction, expansion and modernization of the USBP facilities along the northern and southern borders including Border Patrol stations and a Humanitarian Care Center (HCC) in the RVG Sector.

Office of Field Operations (OFO) Facilities: This investment supports capital construction, expansion and modernization of LPOEs along the northern and southern borders.

Air & Marine Facilities: This investment supports capital construction, expansion and modernization of AMO facilities.

Construction and Facility Improvements End Items: This investment supports the one-time construction and/or the procurement of infrastructure or equipment exceeding the PC&I Thresholds.

Construction and Facility Improvements – PPA

Budget Authority and Obligations

Budget Authority (Dollars in Thousands)	FY 2019	FY 2020	FY 2021
Enacted/Request	\$270,222	\$62,364	\$127,399
Carryover and/or Recoveries (Actual/Estimates/Projections)	\$42,330	\$274,554	\$531,952
Rescissions to Current Year/Budget Year	-	-	-
Net Sequestered Resources	-	-	-
Reprogrammings/Transfers	(\$6,000)	-	-
Supplementals	-	-	-
Total Budget Authority	\$306,552	\$336,918	\$659,351
Collections – Reimbursable Resources	-	-	-
Total Budget Resources	\$306,552	\$336,918	\$659,351
Obligations (Actual/Estimates/Projections)	\$154,000	\$74,000	\$119,000
Personnel: Positions and FTE			
Enacted/Request Positions	-	-	-
Enacted/Request FTE	-	-	-
Onboard and Actual FTE; Includes Collections - Reimbursable Resources			
Onboard (Actual/Estimates/Projections)	-	-	-
FTE (Actual/Estimates/Projections)	-	-	-

Construction and Facility Improvements – PPA

Summary of Budget Changes

Budget Formulation Activity <i>(Dollars in Thousands)</i>	Positions	FTE	Amount
FY 2019 Enacted	-	-	\$270,222
FY 2020 Enacted	-	-	\$62,364
FY 2021 Base Budget	-	-	-
Air & Marine Facilities	-	-	\$27,399
Border Patrol Facilities	-	-	\$100,000
Total Investment Elements	-	-	\$127,399
FY 2021 Request	-	-	\$127,399
FY 2020 To FY 2021 Change	-	-	\$65,035

Construction and Facility Improvements – PPA**Non Pay Budget Exhibits**

Non Pay by Object Class

Non-Pay Object Classes <i>(Dollars in Thousands)</i>	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget	FY 2020 to FY 2021 Change
32.0 Land and Structures	\$270,222	\$62,364	\$127,399	\$65,035
Total - Non Pay Object Classes	\$270,222	\$62,364	\$127,399	\$65,035

Construction and Facility Improvements – PPA

Capital Investments Exhibits

Capital Investments

Investment <i>(Dollars in Thousands)</i>	Unique Item Identifier	Acquisition Level	Procurement/ Construction	IT/Non-IT	MAOL	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget
Border Patrol Facilities	N024-000005113	1	Construction	Non-IT	No	\$255,447	\$25,000	\$100,000
OFO Facilities	N024-000005173	2	Construction	Non-IT	No	\$14,775	\$22,364	-
Air & Marine Facilities	N024-000005172	3	Construction	Non-IT	No	-	\$6,000	\$27,399
Construction and Facility Improvements End Items	N/A	Non-Major	Construction	Non-IT	No	-	\$9,000	-

Border Patrol Facilities - Investment Capital Investments Exhibits

Construction

Border Patrol Facilities

Procurement, Construction, and Improvements Funding

Investment <i>(Dollars in Thousands)</i>	Unique Item Identifier	Acquisition Level	Procurement/ Construction	IT/Non-IT	MAOL	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget
Border Patrol Facilities	N024-000005113	1	Construction	Non-IT	No	\$255,447	\$25,000	\$100,000

Construction Description

CBP is proceeding with capital construction, expansion, and modernization of the USBP facilities along the northern and southern borders to remediate significant operational and infrastructure deficiencies, including life-safety and capacity issues. Funding will be used to construct a Humanitarian Care Center (HCC) in RGV Sector to meet future migrant needs. Additionally, USBP facility deficiencies have reached a critical point of failure and the degradation is negatively impacting operations and employee morale. The immediate funding of USBP's prioritized critical facilities projects is vital in order to restore and improve operational capability and working conditions.

Justification

The FY 2021 President's Budget includes \$100.0M to support the following projects:

Project #1: Replacement of Niagara Falls, NY USBP Station

Funding Requirement: The FY 2021 President's Budget includes \$30.0M to support the design and construction of a replacement a Border Patrol Station in Niagara Falls, NY. CBP will design, acquire, construct, and fit-out a new 75-Agent Station, approximately 46,200 SF in size.

Description: The facility will include the main building (approximately 17,530 SF), an enclosed parking facility (approximately 15,180 SF), and approximately 13,650 SF in other mission-supporting infrastructures such as an ATV/snowmobile storage building, short-stay kennels, a marine patrol facility, a fuel island, and a car wash. This new facility will replace the current Border Patrol Station, which is an antiquated building owned by CBP that no longer meets USBP's operational requirements.

Justification: The current Niagara Falls Border Patrol Station is overcrowded and operationally obsolete. The existing building, was originally built in 1952 to support only 32 Agents and is only 8,044 SF with an attached three-bay garage. The Station, currently supporting 52 Agents and additional mission support personnel, had only slight renovations completed in 2000 in an attempt to upgrade infrastructure to meet USBP’s modern mission. The current site also does not have adequate parking. Due to insufficient on-site parking, Agents must walk approximately a quarter of a mile each way through a high crime neighborhood to retrieve their assigned government vehicles, thus posing officer safety concerns.

Impact: A new facility will provide modernized space and infrastructure to support USBP’s border security mission and capacity requirements along the northern border. CBP will benefit from a new facility that is appropriately located in the area of responsibility, is designed and constructed to allow USBP to efficiently and effectively execute its mission, and provides the supporting infrastructure needed for Agents and assigned personnel to safely perform their day-to-day duties. Without this replacement facility, CBP will be forced to continue performing duties from a mission obsolete, unsecure facility that does not have the infrastructure to support today’s mission or the number of personnel assigned.

Construction / Lease Award Schedule:

Activity	Estimated Schedule
Contract Solicitation	FY 2021 Q1
Design Award	FY 2021 Q1
Design Complete	FY 2022 Q1
Construction Award	FY 2022 Q2
Construction Start	FY 2022 Q2
Construction Complete	FY 2024 Q2

Project #2: Replacement of Champlain, NY USBP Station

Funding Requirement: The FY 2021 President’s Budget includes \$30.0M to support the design and construction of a replacement Border Patrol Station in Champlain, NY. CBP will design, acquire, construct and fit-out a new 50-Agent Station, approximately 30,000 SF in size.

Description: The facility will include the main building (approximately 15,550 SF), an enclosed parking facility (approximately 15,180 SF), and approximately 14,800 SF in other mission-supporting infrastructure such as a vehicle maintenance facility, short-stay kennels, a marine patrol facility, ATV/snowmobile storage, a wash rack, and a fuel island. Activities that will be funded include programming, design, environmental compliance, construction and out-fitting resulting in a complete and usable Border Patrol Station.

Justification: The current Champlain Border Patrol Station, which is leased directly from a local lessor for approximately \$135,000 per year, is overcrowded and operationally obsolete. CBP began leasing the existing main building in 2005 and now the Station supports approximately 40 Agents in less than 8,000 SF. Since first occupying the site there have been no major upgrades to the facility to accommodate the significant growth

Construction and Facility Improvement – PPA

Border Patrol Facilities

in personnel. There is also not enough parking for USBP's government, personnel and all-terrain vehicles. This over-capacity infrastructure has led to operational inefficiencies for Agents supporting critical drug interdiction activities along the northern border. In a recent Physical Security Vulnerability Assessment, it was determined the current station lacks security fencing, adequate processing and holding facilities, sally port, CCTV System, and access control as doors are manually operated using a punch code. The current Champlain BPS lacks an adequate holding facility, as there are only two small holding cells.

Impact: A new facility will provide modernized space and infrastructure to support USBP's border security mission and capacity requirements along the northern border. CBP will benefit from a new facility that is appropriately located in the area of responsibility, is designed and constructed to allow USBP to efficiently and effectively execute its mission, and provides the supporting infrastructure needed for Agents and assigned personnel to perform their day-to-day duties. Without this replacement facility, CBP will be forced to continue performing duties from a mission obsolete facility that does not have the infrastructure to support today's mission or the number of personnel assigned.

Construction / Lease Award Schedule:

Activity	Estimated Schedule
Contract Solicitation	FY 2021 Q1
Design Award	FY 2021 Q1
Design Complete	FY 2022 Q1
Construction Award	FY 2022 Q2
Construction Start	FY 2022 Q2
Construction Complete	FY 2024 Q2

Project #3: Construct Humanitarian Care Center (HCC) in Rio Grande Valley Sector

Funding Requirement: The FY 2021 President's Budget includes \$40.0M to support the acquisition and construction of an HCC in RGV Sector.

Description: The RGV HCC will be a permanent facility with a capacity of up to 450 people for in-take and processing by CBP. The facility will include an enclosed, warehouse-like space that can be temporarily built out to address emergent crises, designated areas for attorney/client meetings, administrative and support space for dedicated and detailed CBP personnel, and supporting site infrastructure. When required, the HCC can be built out to include design elements and furnishings suitable to the care of children and families in CBP custody. Activities that will be funded include programming, design, real estate market surveys, environmental compliance, site utilization and acquisition, and construction, resulting in complete and usable facilities.

Justification: In FY 2019, the Border Patrol experienced what was arguably the busiest year in the agency's history, apprehending over 850,000 people illegally crossing the southern border. Family units from Central America accounted for most of the increased flow, with Border Patrol apprehending more than four times as many family unit members in FY 2019 (over 473,682) than in FY 2018 (107,212), which itself saw the highest

number of family unit apprehensions in the organization’s history up to that point. Apprehensions of Unaccompanied Alien Children (UACs) increased approximately 50 percent, and single adult apprehensions increased approximately 30 percent. Total southern border apprehensions surpassed 90,000 per month from March through June, more than double the total of any month in FY 2018, and more than any monthly total since 2008.

The sheer numbers combined with the demographic shift from Mexican single adults to Central American families and UACs overwhelmed Border Patrol personnel, facilities, and operations. The mass migration occurred most acutely in the Border Patrol’s RGV and El Paso Sectors, but the impacts of the surge were felt throughout the agency and the Department, as personnel throughout DHS were called upon to assist the Border Patrol with managing the flow of people across the southern border. The situation quickly became a humanitarian crisis as USBP facilities became overcrowded and unsanitary, and a security crisis as Border Patrol Agents were pulled off patrol duties to help care for the vulnerable populations in custody.

This project will provide permanent infrastructure dedicated to the proper processing and care of individuals, especially children and families, crossing the border between POEs in the RGV Sector, the Sector with the highest number of illegal crossings. The space will allow the Border Patrol to more easily react to another migrant crisis in the RGV in future years, and can also be used as an operations center for a response to natural and man-made disasters in the RGV.

Impact: The HCC will ensure there is a permanent and dedicated space that can quickly be activated and built out to meet crisis requirements. This agile asset will position USBP to be proactive to the need and transition away from CBP’s current reactive capabilities.

Construction / Lease Award Schedule:

Activity	Estimated Schedule
Contract Solicitation	Q1 FY 2021
Design Award	Q2 FY 2021
Design Complete	Q4 FY 2021
Construction Award	Q1 FY 2022
Construction Start	Q1 FY 2022
Construction Complete	Q3 FY 2023

OFO Facilities - Investment Capital Investments Exhibits

Construction

OFO Facilities

Procurement, Construction, and Improvements Funding

Investment <i>(Dollars in Thousands)</i>	Unique Item Identifier	Acquisition Level	Procurement/ Construction	IT/Non-IT	MAOL	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget
OFO Facilities	N024-000005173	2	Construction	Non-IT	No	\$14,775	\$22,364	-

Construction Description

This investment supports capital construction, expansion and modernization of land POEs (LPOEs) along the northern and southern borders. CBP is proceeding with the modernization and enhancements of POEs in the air, sea, and land environments to meet CBP's expanding capacity requirements and mission demands.

Justification

The FY 2021 President's Budget does not include any PC&I funding. FY 2020 PC&I funds will be used for LPOEs based on the construction schedule below. CBP will meet the Furniture, Fixtures and Equipment (FF&E) requirements for LPOE modernization projects through its O&S appropriation in alignment with the DHSFMPM guidance. CBP also continues to coordinate with the GSA in pursuing a prospectus-level replacement lease that meets the operational demands at the John F. Kennedy International Airport as specified in CBP's FY 2020 Enacted Budget.

Construction / Lease Award Schedule:

Activity	Estimated Schedule
Contract Solicitation	FY 2020 Q2
Design Award	FY 2020 Q3
Design Complete	FY 2020 Q3
Construction Award	FY 2020 Q3
Construction Start	FY 2020 Q3
Construction Complete	FY 2022 Q3

Air & Marine Facilities - Investment Capital Investments Exhibits

Construction

Air & Marine Facilities

Procurement, Construction, and Improvements Funding

Investment (Dollars in Thousands)	Unique Item Identifier	Acquisition Level	Procurement/ Construction	IT/Non-IT	MAOL	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget
Air & Marine Facilities	N024-000005172	3	Construction	Non-IT	No	-	\$6,000	\$27,399

Construction Description

The FY 2021 President's Budget includes \$27.4M to design and construct a new Laredo, TX Air Unit.

Project #1: Construct Air Unit in Laredo, TX

Funding Requirement: The \$27.4M will be used to design and construct a new facility, which will include administrative, operational, and hangar space; aircraft and vehicle parking; and other necessary site improvements to meet CBP's mission.

Description: This proposed project will provide a comprehensive strategy to address AMO's mission capability. It will provide hangar space for aircraft storage, maintenance, and administrative space for CBP operations. It will allow the Agency to address the top real property capital requirement for Air and Marine and vacate the current hangar and administrative space that is inadequate for CBP's modern-day mission.

Justification: CBP is currently located in an overcrowded direct leased facility at the Laredo International Airport. CBP first occupied the hangar over 25 years ago and there have been no major expansions nor upgrades to the hangar or attached administrative space since then despite CBP's mission growth in the area. Over 20 CBP and contract personnel occupy a 14,000 SF overcrowded workspace that does not provide a safe or secure working environment. Due to overcrowding, CBP has had to add over 2,000 SF in modular space on the property. The hangar is not weather tight, which results in water leaking on maintenance equipment when it rains. Inadequate air conditioning in the hangar leads to unsafe working conditions for maintenance personnel, especially during the summer temperatures. CBP also just recently had to mitigate significant black mold throughout the hangar and administrative space.

Impact: The completion of the project will provide a build-to-suit solution for CBP’s Air and Marine Operations in Laredo that meets the space, security and mission requirements of this critical Air Unit along the southern border. CBP will be able to vacate the existing inadequate facility and provide CBP personnel and assets with the appropriate permanent space, both in size and layout, to execute its mission.

Construction / Lease Award Schedule:

Activity	Estimated Schedule
Contract Solicitation	FY 2021 Q1
Design Award	FY 2021 Q1
Design Complete	FY 2022 Q3
Construction Award	FY 2022 Q4
Construction Start	FY 2022 Q4
Construction Complete	FY 2024 Q4

Construction and Facility Improvements End Items - Investment Capital Investments Exhibits

End Items Purchases

Construction and Facility Improvements End Items

Procurement, Construction, and Improvements Funding

Investment <i>(Dollars in Thousands)</i>	Unique Item Identifier	Acquisition Level	Procurement/ Construction	IT/Non-IT	MAOL	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget
Construction and Facility Improvements End Items	N/A	Non-Major	Construction	Non-IT	No	-	\$9,000	-

Investment Description

The FY 2021 President’s Budget does not include funding for this activity. The FY 2020 enactment of \$9.0M is funding the construction of a 19,964 sq ft Law Enforcement Safety and Compliance (LESC) Facility, located at the Advanced Training Center, which will support 77 employees and up to 60 students.

Department of Homeland Security

U.S. Customs and Border Protection

Immigration Inspection User Fee



Fiscal Year 2021
Congressional Justification

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Immigration Inspection User Fee

Budget Comparison and Adjustments

Comparison of Budget Authority

Organization <i>(Dollars in Thousands)</i>	FY 2019 Enacted*			FY 2020 Enacted*			FY 2021 President's Budget			FY 2020 to FY 2021 Total Changes		
	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount
Immigration Inspection User Fee	4,479	4,509	\$769,636	4,179	4,179	\$826,447	4,179	4,179	\$793,876	-	-	(\$32,571)
Total	4,479	4,509	\$769,636	4,179	4,179	\$826,447	4,179	4,179	\$793,876	-	-	(\$32,571)
Subtotal Mandatory - Fee	4,479	4,509	\$769,636	4,179	4,179	\$826,447	4,179	4,179	\$793,876	-	-	(\$32,571)

*The amounts shown in this and subsequent tables do not represent actual collections for FY 2019 or the Administration's estimate for FY 2020 collections. The figures shown align to estimates provided in the Joint Explanatory Statement (JES) for P.L. 116-93. Similarly, calculated carryover and total budget authority figures in the tables throughout this document are based on the 2019 and 2020 estimated collections provided in the JES. For 2019 actuals and 2020 Administration estimated collections, refer to the President's FY 2021 Budget Appendix.

The Immigration Inspection User Fee (IIUF) is primarily used to fund immigration inspection and detention services at air and sea ports of entry. The revenue supports operations of both CBP and Immigration and Customs Enforcement (ICE).

After the creation of DHS, most of the immigration inspection functions were transferred to CBP; however, the functions funded by the IIUF for detention and removal of inadmissible aliens and other investigative activities were transferred to ICE. As a result, a Memorandum of Agreement (MOA) was established between CBP and ICE in 2004 to determine the allocation of fee collections between the two DHS Components. This MOA remains in effect to determine the allocation of fee collections. CBP retains 100 percent of Administrative Carrier Fines. CBP receives 82.6 percent of the Airline and Vessel User Fees, and the remaining 17.4 percent of the existing Airline and Vessel User Fees go to ICE. The amounts in the table above only include CBP's portion of IIUF collections. As established in the MOA between CBP and ICE, the portion of the funds from the IIUF account used by ICE recover some of the costs of immigration investigative services, detention, and removal of inadmissible aliens related to the inspection and pre-inspection services for commercial aircraft or vessels.

Fee Authority: 8 U.S.C. § 1356(h); Pub. L. No. 99-500, § 205 (1986) (amending Section 286 of the Immigration and Nationality Act).

The Departments of Commerce, Justice, and State, the Judiciary, and Related Agencies Appropriations Act, 1987 (P.L. 99-591) authorized the collection of user fees from certain international passengers arriving in the United States.

Fee Uses: The IIUF is used to fund a portion of the salaries, benefits, and overtime for CBP Officers (CBPOs) performing immigration inspections, detention, and removal of inadmissible aliens. By statute, CBP appropriations can be reimbursed for the following activities:

- Providing immigration inspection and pre-inspection services;
- Providing overtime inspection services;
- Administration of debt recovery;

- Detection of fraudulent documents;
- Detention and removal of inadmissible aliens; and
- Asylum proceedings at airports and seaports.

Change Mechanism: The IIUF is established in legislative language. Therefore, any rate adjustments require a statutory change to 8 U.S.C. § 1356(d), (e)(3). Codified as 8 U.S.C. 1356(h), the statute established the immigration inspection fees to generate revenues which would reimburse the “expenses incurred by the Attorney General in providing immigration inspection and pre-inspection services for commercial aircraft or vessels.”

Previous Changes: Date of last fee rate adjustment was November 2001. CBP submitted legislative proposals with the President’s Budget submission for fiscal years 2014-2020 to increase the fee from \$7 to \$9. The proposals have not been accepted to date. CBP will once again submit a legislative proposal in the FY 2021 President’s Budget, if the FY 2020 submission is not granted.

These fees are an important source of revenue for CBP field operations and the current rate of \$7 has not kept pace with the rising cost of providing inspection services. The rate increase will allow CBP to recover more of their costs through user fees, rather than annual appropriations, providing a funding source more closely aligned with trends in travel demand. The collection amounts reflected in this chapter do not include any revenues associated with the legislative proposals.

Recovery Rate: The IIUF is codified into law at 8 U.S.C. 1356(d-h); (h)(1)(a) provides the following: “receipts collected from the fee charged for the succeeding two years equal, as closely as possible, the cost of providing these services.” CBP understands this to mean that IUF is intended by Congress to be full cost recovery; therefore, the fees collected should be adjusted to cover the increasing costs of providing the required immigration inspection services and to achieve full cost recovery.

Cost Recovery Rate

(Dollars in Thousands)

	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	TOTAL
Amount of Fee Collected	\$673,521	\$692,686	\$719,263	\$778,246	\$767,893	\$3,631,609
Total of Eligible Expenses	\$969,169	\$1,080,262	\$1,090,408	\$1,151,382	\$1,241,336	\$5,532,557
Cost Recovery %	69.5%	64.1%	66.0%	67.6%	61.9%	65.6%

Historical Collections

(Dollars in Thousands)

	FY 2010	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
IIUF	\$526,425	\$545,007	\$566,919	\$586,055	\$610,182	\$673,521	\$692,686	\$719,263	\$778,246	\$767,893

Immigration Inspection User Fee Budget Authority and Obligations

Budget Authority (Dollars in Thousands)	FY 2019	FY 2020	FY 2021
Enacted/Request	\$769,636	\$826,447	\$793,876
Carryover and/or Recoveries (Actual/Estimates/Projections)	\$213,356	\$226,642	\$226,642
Rescissions to Current Year/Budget Year	-	-	-
Net Sequestered Resources	\$3,755	(\$1,151)	\$48,760
Reprogrammings/Transfers	-	-	-
Supplementals	-	-	-
Total Budget Authority	\$986,747	\$1,051,938	\$1,069,278
Collections – Reimbursable Resources	\$13,508	\$20,000	\$20,000
Total Budget Resources	\$1,000,255	\$1,071,938	\$1,089,278
Obligations (Actual/Estimates/Projections)	\$773,613	\$845,296	\$862,636
Personnel: Positions and FTE			
Enacted/Request Positions	4,479	4,179	4,179
Enacted/Request FTE	4,509	4,179	4,179
Onboard and Actual FTE; Includes Collections - Reimbursable Resources			
Onboard (Actual/Estimates/Projections)	4,479	4,179	4,179
FTE (Actual/Estimates/Projections)	4,509	4,179	4,179

Immigration Inspection User Fee Summary of Budget Changes

Budget Formulation Activity <i>(Dollars in Thousands)</i>	Positions	FTE	Amount
FY 2019 Enacted	4,479	4,509	\$769,636
FY 2020 Enacted	4,179	4,179	\$826,447
FY 2021 Base Budget	4,179	4,179	\$826,447
Change in User Fee Collections	-	-	(\$32,571)
Total, Pricing Decreases	-	-	(\$32,571)
Total Adjustments-to-Base	-	-	(\$32,571)
FY 2021 Current Services	4,179	4,179	\$793,876
FY 2021 Request	4,179	4,179	\$793,876
FY 2020 To FY 2021 Change	-	-	(\$32,571)

Immigration Inspection User Fee Justification of Pricing Changes

Pricing Changes <i>(Dollars in Thousands)</i>	FY 2021 President's Budget		
	Positions	FTE	Amount
Pricing Change 1 - Change in User Fee Collections	-	-	(\$32,571)
Total Pricing Changes	-	-	(\$32,571)

Pricing Change 1 - Change in User Fee Collections: CBP estimates that IIUF collections will decrease by \$32.6M from FY 2020 to FY 2021.

Immigration Inspection User Fee Personnel Compensation and Benefits

Pay Summary

Organization <i>(Dollars in Thousands)</i>	FY 2019 Enacted				FY 2020 Enacted				FY 2021 President's Budget				FY 2020 to FY 2021 Total			
	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate
Immigration Inspection User Fee	4,479	4,509	\$663,531	\$147.16	4,179	4,179	\$733,269	\$175.47	4,179	4,179	\$704,370	\$168.55	-	-	(\$28,899)	(\$6.92)
Total	4,479	4,509	\$663,531	\$147.16	4,179	4,179	\$733,269	\$175.47	4,179	4,179	\$704,370	\$168.55	-	-	(\$28,899)	(\$6.92)
Mandatory - Fee	4,479	4,509	\$663,531	\$147.16	4,179	4,179	\$733,269	\$175.47	4,179	4,179	\$704,370	\$168.55	-	-	(\$28,899)	(\$6.92)

Pay by Object Class

Pay Object Classes <i>(Dollars in Thousands)</i>	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget	FY 2020 - FY 2021 Change
11.1 Full-time Permanent	\$381,281	\$420,387	\$403,819	(\$16,568)
11.5 Other Personnel Compensation	\$105,444	\$112,775	\$108,330	(\$4,445)
12.1 Civilian Personnel Benefits	\$176,806	\$200,107	\$192,221	(\$7,886)
Total - Personnel Compensation and Benefits	\$663,531	\$733,269	\$704,370	(\$28,899)
Positions and FTE				
Positions - Civilian	4,479	4,179	4,179	-
FTE - Civilian	4,509	4,179	4,179	-

Pay Cost Drivers

Pay Cost Drivers <i>(Dollars in Thousands)</i>	FY 2019 Enacted			FY 2020 President's Budget			FY 2021 President's Budget			FY 2020 to FY 2021 Total Changes		
	FTE	Amount	Rate	FTE	Amount	Rate	FTE	Amount	Rate	FTE	Amount	Rate
Civilian Pay	4,509	\$663,531	\$147.16	4,179	\$733,269	\$175.47	4,179	\$704,370	\$168.55	0	(\$28,899)	(\$6.92)
Total Pay Cost Drivers	4,509	\$663,531	\$147.16	4,179	\$733,269	\$175.47	4,179	\$704,370	\$168.55	0	(\$28,899)	(\$6.92)

Explanation of Pay Cost Driver

Civilian Pay: FTEs associated with this user fee represents the anticipated number of hours of fee-related work performed by CBP employees during FY 2021. Activity-based costing is used to assign direct and indirect costs to activities identified as reimbursable from user fees, including costs for compensation and benefits.

IUF User fee collections fluctuate based on the number of entrants to the United States and correspond to the number of inspections that OFO must perform. Collections are not correlated to increases in CBP's cost per FTE. As such, CBP will adjust the composition of premium pay and benefits expenses between appropriated and user fee funding to continue supporting inspections.

**Immigration Inspection User Fee
Permanent Positions by Grade Appropriation**

Grades and Salary Range <i>(Dollars in Thousands)</i>	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget	FY 2020 to FY 2021 Change
Fee Funded Positions	4,479	4,179	4,179	-
Total Permanent Positions	4,479	4,179	4,179	-
Unfilled Positions EOY	300	-	-	-
Total Perm. Employment (Filled Positions) EOY	4,179	4,179	4,179	-
Position Locations				
U.S. Field	4,479	4,179	4,179	-

Immigration Inspection User Fee

Non Pay Budget Exhibits

Non Pay Summary

Organization (Dollars in Thousands)	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget	FY 2020 to FY 2021 Total Changes
Immigration Inspection User Fee	\$106,105	\$93,178	\$89,506	(\$3,672)
Total	\$106,105	\$93,178	\$89,506	(\$3,672)
Mandatory - Fee	\$106,105	\$93,178	\$89,506	(\$3,672)

Non Pay by Object Class

Non-Pay Object Classes (Dollars in Thousands)	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget	FY 2020 to FY 2021 Change
21.0 Travel and Transportation of Persons	\$323	\$231	\$222	(\$9)
23.1 Rental Payments to GSA	\$26,535	\$24,324	\$23,365	(\$959)
23.3 Communications, Utilities, and Misc. Charges	\$6,918	\$6,194	\$5,950	(\$244)
24.0 Printing and Reproduction	\$1,176	\$905	\$869	(\$36)
25.2 Other Services from Non-Federal Sources	\$19,385	\$14,157	\$13,599	(\$558)
25.3 Other Goods and Services from Federal Sources	\$669	\$612	\$588	(\$24)
25.7 Operation and Maintenance of Equipment	\$7,510	\$6,039	\$5,801	(\$238)
26.0 Supplies and Materials	\$1,714	\$1,376	\$1,322	(\$54)
31.0 Equipment	\$41,875	\$39,340	\$37,790	(\$1,550)
Total - Non Pay Object Classes	\$106,105	\$93,178	\$89,506	(\$3,672)

Non Pay Cost Drivers

Non Pay Cost Drivers (Dollars in Thousands)	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget	FY 2020 to FY 2021 Total Changes
Equipment	\$41,875	\$39,340	\$37,790	(\$1,550)
Rental Payments to GSA	\$26,535	\$24,324	\$23,365	(\$959)
Other Services from Non-Federal Sources	\$19,385	\$14,157	\$13,599	(\$558)
Communications, Utilities, and Misc. Charges	\$6,918	\$6,194	\$5,950	(\$244)
Other Costs	\$11,392	\$9,163	\$8,802	(\$361)
Total – Non Pay Cost Drivers	\$106,105	\$93,178	\$89,506	(\$3,672)

Explanation of Non Pay Cost Drivers

IIUF funds the operating budget for the Carrier Liaison program. CBP anticipates a decrease of approximately \$3.7M in non-pay funding based on forecasted decreases in trade and travel volume.

Equipment: CBP reimburses a variety of equipment costs with this fee. The majority of the equipment costs reimbursed are within CBP's Office of Information Technology and include hardware, software, servers, and some communications equipment.

Rental Payments to GSA: CBP funds approximately 660 Office of Field Operations (OFO) facilities through this fee. The fee also supports a portion of CBP's indirect facilities costs, which are attributed to the fee through the activity-based costing model.

Other Services from Non-Federal Sources: CBP incurs a significant level of non-pay cost in indirect expenses, which are aligned to user fee activities via CBP's activity-based costing model. This fee reimburses the costs of contractual support to operate and maintain some of CBP's major IT systems.

Communications, Utilities, and Misc. Charges: CBP incurs costs for telephone (landline and mobile), electrical, water, and gas utilities, and other miscellaneous expenses (e.g., cleaning services) in the 660 OFO facilities.

Other Costs: CBP incurs a number of additional non-pay costs in support of the frontline activities associated with this user fee. These other costs include transportation and travel requirements for training and operations, supplies and materials for office management within CBP's program offices, and portions of indirect costs that are associated with the fee activity workloads.

Department of Homeland Security

U.S. Customs and Border Protection

Immigration Enforcement Fines



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Immigration Enforcement Fines

Budget Comparison and Adjustments

Comparison of Budget Authority

Organization (Dollars in Thousands)	FY 2019 Enacted*			FY 2020 Enacted*			FY 2021 President's Budget			FY 2020 to FY 2021 Total Changes		
	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount
Immigration Enforcement Fines	5	4	\$676	3	3	\$305	2	2	\$227	(1)	(1)	(\$78)
Total	5	4	\$676	3	3	\$305	2	2	\$227	(1)	(1)	(\$78)
Subtotal Mandatory - Fee	5	4	\$676	3	3	\$305	2	2	\$227	(1)	(1)	(\$78)

*The amounts shown in this and subsequent tables do not represent actual collections for FY 2019 or the Administration's estimate for FY 2020 collections. The figures shown align to estimates provided in the Joint Explanatory Statement (JES) for P.L. 116-93. Similarly, calculated carryover and total budget authority figures in the tables throughout this document are based on the 2019 and 2020 estimated collections provided in the JES. For 2019 actuals and 2020 Administration estimated collections, refer to the President's FY 2021 Budget Appendix.

CBP levies Immigration Enforcement Fines (IEF) against foreign nationals for failure to depart the United States if so ordered. CBP also levies fines on carriers transporting passengers to the United States that violate elements of immigration law. These fines fund activities to enhance enforcement under Title 8 of the U.S. Code, including the identification, investigation, apprehension, detention, and removal of criminal aliens.

Fee Authority: Section 273 of the Immigration and Nationality Act and Section 16 of the Immigration Act of 1924. The Immigration Enforcement Account was established under Section 382 of the *Illegal Immigration Reform and Immigrant Responsibility Act of 1996* (P.L. 104-208: IIRIRA). Fines are levied on carriers transporting passengers to the United States that violate elements of immigration law.

Fee Uses: This account is comprised of a variety of fines and penalties, rather than a fee for service. The eventual service recipient is the U.S. government and the U.S. population. The fines are not intended to fully recover program costs but rather are intended to serve as a deterrent and monetary penalty for foreign national passengers and carriers that violate immigration laws.

Subject to the availability of funds, IEF revenues are used to support the following CBP activities: identification, investigation, apprehension, detention, and removal of criminal aliens; the maintenance and updating of systems to track criminal and illegal aliens on the border in areas with high apprehensions to deter illegal entry, and the repair, maintenance, and construction of border facilities.

U.S. Customs and Border Protection**Immigration Enforcement Fines**

The current circumstances and penalties associated with Immigration Enforcement Account are as follows:

INA SECTION	CIRCUMSTANCE	PENALTY
231(a)	No I-94 or wrong type of I-94 upon arrival.	\$1,394
231(b)	Non-submission or improper completion of I-94 at departure.	\$1,394
234	No advance notice of aircraft arrival or aircraft landing at unauthorized place.	\$3,788
243(c)	Failure to remove passenger as ordered [ref. 241(d) (3)].	\$3,195
	Failure to pay passenger removal expenses as ordered [ref. 241(e)].	\$3,195
	Failure to receive back passenger as ordered [ref. 241(d) (1)].	\$3,195
	Failure to detain stowaway until inspected [ref. 241(d) (2)].	\$3,195
	Failure to pay for stowaway removal as ordered [ref. 241(e)].	\$3,195
	Failure to remove stowaway as ordered [ref. 241(d) (2) (C)].	\$7,987
251	Failure to provide complete list of alien crew upon arrival.	\$378
	Failure to report illegally-landed alien crew.	\$378
	Failure to provide complete list of alien crew upon departure.	\$378
	Performance of unauthorized longshore work by alien crew [ref. 258].	\$9,472
254(a)(1)	Failure to detain alien crewman prior to inspection.	\$947-5,683
254(a)(2)	Failure to detain alien crewman as ordered.	\$947-5,683
254(a)(3)	Failure to remove alien crewman as ordered.	\$947-5,683
255	Employment on passenger vessels of crewmen with certain afflictions.	\$1,895
256	Improper discharge of alien crewman.	\$2,841-5,683
257	Bringing alien to the U.S. as crewman with intent to evade U.S. Immigration and Naturalization Service (INS) laws.	\$18,943
271	Failure to prevent unauthorized landing of aliens.	\$5,683
272	Bringing an alien subject to refusal on a health-related ground.	\$5,683
273(a)(1)	Bringing an alien without a valid unexpired entry document.	\$5,683
273(a)(2)	Taking a fee, deposit or consideration in attempt to avoid 273(a) (1) liability.	\$5,683

Change Mechanism: In accordance with the requirements of Section 4 of the Federal Civil Monetary Penalties Inflation Adjustment Act of 1990 (P.L. 101-410), as amended by the Debt Collection Improvement Act of 1996, the U.S. Department of the Justice (DOJ) published a regulation adjusting for inflation for the civil monetary penalties assessed or enforced by DOJ. This rule became effective September 29, 1999.

The Federal Civil Monetary Penalties Inflation Adjustment Act of 1990 (P.L. 101-410) (Adjustment Act) provides for the regular evaluation of civil monetary penalties to ensure that they continue to maintain their deterrent effect and that penalty amounts due the Federal Government are properly accounted for and collected. Debt Collection Improvement Act of 1996 (Public Law 104-134) requires the head of each agency “by regulation [to] adjust each civil monetary penalty provided by law within the jurisdiction of the Federal agency” and to “publish each such regulation in the Federal Register” not later than 180 days after enactment of the Improvement Act.

Section 31001 of the Debt Collection Improvement Act also provides that the first adjustment of a civil monetary penalty made pursuant to the amendment may not exceed 10 percent of such penalty. Adjustment for inflation must occur every 4 years. Legacy Immigration and Naturalization Service (INS) published its increase in fines in 8 CFR § 280.53(c).

Previous Changes: CBP last changed the penalties in April 2019.

Recovery Rate: The fines are supposed to serve as a deterrent and are not expected to be full cost recovery.

Historical Collections

(Dollars in Thousands)

	FY 2010	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY2018	FY2019
IEF	\$208	\$632	\$721	\$699	\$593	\$812	\$818	\$654	\$293	\$219

Immigration Enforcement Fines Budget Authority and Obligations

Budget Authority <i>(Dollars in Thousands)</i>	FY 2019	FY 2020	FY 2021
Enacted/Request	\$676	\$305	\$227
Carryover and/or Recoveries (Actual/Estimates/Projections)	\$319	\$45	\$45
Rescissions to Current Year/Budget Year	-	-	-
Net Sequestered Resources	\$5	(\$4)	\$18
Reprogrammings/Transfers	-	-	-
Supplementals	-	-	-
Total Budget Authority	\$1,000	\$346	\$290
Collections – Reimbursable Resources	(\$456)	-	-
Total Budget Resources	\$544	\$346	\$290
Obligations (Actual/Estimates/Projections)	\$499	\$301	\$245
Personnel: Positions and FTE			
Enacted/Request Positions	5	3	2
Enacted/Request FTE	4	3	2
Onboard and Actual FTE; Includes Collections - Reimbursable Resources			
Onboard (Actual/Estimates/Projections)	5	3	2
FTE (Actual/Estimates/Projections)	4	3	2

Immigration Enforcement Fines Summary of Budget Changes

Budget Formulation Activity <i>(Dollars in Thousands)</i>	Positions	FTE	Amount
FY 2019 Enacted	5	4	\$676
FY 2020 Enacted	3	3	\$305
FY 2021 Base Budget	3	3	\$305
Change in User Fee Collections	(1)	(1)	(\$78)
Total, Pricing Decreases	(1)	(1)	(\$78)
Total Adjustments-to-Base	(1)	(1)	(\$78)
FY 2021 Current Services	2	2	\$227
FY 2021 Request	2	2	\$227
FY 2020 To FY 2021 Change	(1)	(1)	(\$78)

Immigration Enforcement Fines Justification of Pricing Changes

Pricing Changes (Dollars in Thousands)	FY 2021 President's Budget		
	Positions	FTE	Amount
Pricing Change 1 - Change in User Fee Collections	(1)	(1)	(\$78)
Total Pricing Changes	(1)	(1)	(\$78)

Pricing Change 1 – Change in User Fee Collections: CBP estimates a decrease in collections in immigration enforcement fines in FY 2021 based on FY 2019 actual annual collections.

Immigration Enforcement Fines Personnel Compensation and Benefits

Pay Summary

Organization (Dollars in Thousands)	FY 2019 Enacted				FY 2020 Enacted				FY 2021 President's Budget				FY 2020 to FY 2021 Total			
	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate
Immigration Enforcement Fines	5	4	\$676	\$169	3	3	\$305	\$101.67	2	2	\$227	\$113.5	(1)	(1)	(\$78)	\$11.83
Total	5	4	\$676	\$169	3	3	\$305	\$101.67	2	2	\$227	\$113.5	(1)	(1)	(\$78)	\$11.83
Mandatory - Fee	5	4	\$676	\$169	3	3	\$305	\$101.67	2	2	\$227	\$113.5	(1)	(1)	(\$78)	\$11.83

Pay by Object Class

Pay Object Classes (Dollars in Thousands)	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget	FY 2020 - FY 2021 Change
11.1 Full-time Permanent	\$461	\$206	\$153	(\$53)
12.1 Civilian Personnel Benefits	\$215	\$99	\$74	(\$25)
Total - Personnel Compensation and Benefits	\$676	\$305	\$227	(\$78)
Positions and FTE				
Positions - Civilian	5	3	2	(1)
FTE - Civilian	4	3	2	(1)

Immigration Enforcement Fines Permanent Positions by Grade – Appropriation

Grades and Salary Range (Dollars in Thousands)	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget	FY 2020 to FY 2021 Change
Fee Funded Positions	5	3	2	-1
Total Permanent Positions	5	3	2	-1
Total Perm. Employment (Filled Positions) EOY	5	3	2	-1
Position Locations				
U.S. Field	5	3	2	-1

Pay Cost Drivers

Cost Drivers (Dollars in Thousands)	FY 2019 Enacted			FY 2020 Enacted			FY 2021 President's Budget			FY 2020 to FY 2021 Total Changes		
	FTE	Amount	Rate	FTE	Amount	Rate	FTE	Amount	Rate	FTE	Amount	Rate
Civilian Pay	4	\$676	\$169.00	3	\$305	\$101.67	2	\$227	\$113.50	(1)	(\$78)	\$11.83
Total – Pay Cost Drivers	4	\$676	\$169.00	3	\$305	\$101.67	2	\$227	\$113.50	(1)	(\$78)	\$11.83

Explanation of Pay Cost Driver

Civilian Pay: FTEs associated with this user fee represent the anticipated number of hours of fee-related work performed by CBP employees during FY 2021. CBP anticipates a similar rate of personnel compensation and benefits in FY 2021, remaining consistent with actual reimbursed expenditure patterns in FY 2019 and anticipated expenditure patterns in FY 2020.

Department of Homeland Security
U.S. Customs and Border Protection
Electronic System for Travel Authorization (ESTA) Fee



Fiscal Year 2021
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Electronic System for Travel Authorization (ESTA) Fee

Budget Comparison and Adjustments

Comparison of Budget Authority

Organization <i>(Dollars in Thousands)</i>	FY 2019 Enacted*			FY 2020 Enacted*			FY 2021 President's Budget			FY 2020 to FY 2021 Total Changes		
	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount
Electronic System for Travel Authorization (ESTA) Fee	94	94	\$61,417	94	94	\$64,384	94	94	\$63,417	-	-	(\$967)
Total	94	94	\$61,417	94	94	\$64,384	94	94	\$63,417	-	-	(\$967)
Subtotal Mandatory - Fee	94	94	\$61,417	94	94	\$64,384	94	94	\$63,417	-	-	(\$967)

*The amounts shown in this and subsequent tables do not represent actual collections for FY 2019 or the Administration's estimate for FY 2020 collections. The figures shown align to estimates provided in the Joint Explanatory Statement (JES) for P.L. 116-93. Similarly, calculated carryover and total budget authority figures in the tables throughout this document are based on the 2019 and 2020 estimated collections provided in the JES. For 2019 actuals and 2020 Administration estimated collections, refer to the President's FY 2021 Budget Appendix.

The Electronic System for Travel Authorization (ESTA) Fee enables CBP to recover the full cost of operating and maintaining an electronic system to pre-screen travelers prior to their arrival in the United States.

Fee Authority: The *Implementing Recommendations of the 9/11 Commission Act of 2007* (P. L. 110-53) authorized the establishment and use of an electronic travel authorization system to pre-screen travelers prior to arrival in the United States. This mandate was made operational by the creation of the ESTA in January 2009. ESTA operates under enforced compliance, requiring all Visa Waiver Program (VWP) travelers to obtain authorization prior to travel. The VWP allows visitors from designated countries to travel to the U.S. for business or pleasure for 90 days or less without obtaining a visa.

Fee Uses: The ESTA fee is made up of a processing fee and a surcharge:

- **Processing Fee (\$4):** All applicants requesting an electronic travel authorization (the authorization to travel to the United States is valid for a 2-year period, and travelers pay only once during the 2-year period) are charged \$4 for the application processing. The Processing Fee revenue funds the operational costs to administer the ESTA program and maintain information technology (IT) equipment, including the costs of the revenue program, and the salaries and benefits of personnel, overtime, and the costs to maintain the system. CBP estimates the total processing fee collections will be approximately \$63M in FY 2021.

Change Mechanism: Regulation. Section 711(d)(1)(E) of P. L. 110-53 authorizes the Secretary of the Department of Homeland Security to establish a fee via regulation to recover the full cost of operating and administering ESTA.

Previous Changes: None.

Recovery Rate: ESTA is intended to be a full cost recovery program. However, costs are currently rising as CBP adjusts to the increased volume of ESTA applicants. Over FY 2020 and FY 2021, CBP will evaluate whether the costs will continue to outpace collections, as seen in FY 2017, 2018 and 2019), and whether CBP needs to propose changes to the fee structure to return to full cost recovery.

Cost Recovery Rate

(Dollars in Thousands)

	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	TOTAL
Amount of Fee Collected	\$55,547	\$58,705	\$59,784	\$60,586	\$61,341	\$295,963
Total of Eligible Expenses	\$36,071	\$54,835	\$68,420	\$77,187	\$92,132	\$328,645
Cost Recovery %	154.0%	107.1%	87.4%	78.5%	66.6%	90.1%

Historical Collections

(Dollars in Thousands)

	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
ESTA	\$55,547	\$58,705	\$59,784	\$60,586	\$61,341

Electronic System for Travel Authorization (ESTA) Fee Budget Authority and Obligations

Budget Authority <i>(Dollars in Thousands)</i>	FY 2019	FY 2020	FY 2021
Enacted/Request	\$61,417	\$64,384	\$63,417
Carryover and/or Recoveries (Actual/Estimates/Projections)	\$61,159	\$38,821	\$38,821
Rescissions to Current Year/Budget Year	-	-	-
Net Sequestered Resources	\$196	\$7	\$3,796
Reprogrammings/Transfers	-	-	-
Supplementals	-	-	-
Total Budget Authority	\$122,772	\$103,212	\$106,034
Collections – Reimbursable Resources	-	-	-
Total Budget Resources	\$122,772	\$103,212	\$106,034
Obligations (Actual/Estimates/Projections)	\$83,951	\$64,391	\$67,213
Personnel: Positions and FTE			
Enacted/Request Positions	94	94	94
Enacted/Request FTE	94	94	94
Onboard and Actual FTE; Includes Collections - Reimbursable Resources			
Onboard (Actual/Estimates/Projections)	94	94	94
FTE (Actual/Estimates/Projections)	94	94	94

Electronic System for Travel Authorization (ESTA) Fee Summary of Budget Changes

Budget Formulation Activity <i>(Dollars in Thousands)</i>	Positions	FTE	Amount
FY 2019 Enacted	94	94	\$61,417
FY 2020 Enacted	94	94	\$64,384
FY 2021 Base Budget	94	94	\$64,384
Reduction in User Fee Collections	-	-	(\$967)
Total, Pricing Decreases	-	-	(\$967)
Total Adjustments-to-Base	-	-	(\$967)
FY 2021 Current Services	94	94	\$63,417
FY 2021 Request	94	94	\$63,417
FY 2020 To FY 2021 Change	-	-	(\$967)

Electronic System for Travel Authorization (ESTA) Fee
Justification of Pricing Changes

Pricing Changes <i>(Dollars in Thousands)</i>	FY 2021 President's Budget		
	Positions	FTE	Amount
Pricing Change 1 - Reduction in User Fee Collections	-	-	(\$967)
Total Pricing Changes	-	-	(\$967)

Pricing Change 1 – Reduction in User Fee Collections: CBP estimates that ESTA fee collections will decrease by \$1.0M from FY 2020 to FY 2021.

Electronic System for Travel Authorization (ESTA) Fee

Personnel Compensation and Benefits

Pay Summary

Organization (Dollars in Thousands)	FY 2019 Enacted				FY 2020 Enacted				FY 2021 President's Budget				FY 2020 to FY 2021 Total			
	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate
Electronic System for Travel Authorization (ESTA) Fee	94	94	\$11,802	\$125.55	94	94	\$12,666	\$134.74	94	94	\$12,476	\$132.72	-	-	(\$190)	(\$2.02)
Total	94	94	\$11,802	\$125.55	94	94	\$12,666	\$134.74	94	94	\$12,476	\$132.72	-	-	(\$190)	(\$2.02)
Mandatory - Fee	94	94	\$11,802	\$125.55	94	94	\$12,666	\$134.74	94	94	\$12,476	\$132.72	-	-	(\$190)	(\$2.02)

Pay by Object Class

Pay Object Classes (Dollars in Thousands)	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget	FY 2020 - FY 2021 Change
11.1 Full-time Permanent	\$7,212	\$8,764	\$8,632	(\$132)
11.3 Other than Full-Time Permanent	\$52	-	-	-
11.5 Other Personnel Compensation	\$951	\$561	\$553	(\$8)
11.6 Military Personnel-Basic Allowance for Housing	-	\$295	\$291	(\$4)
12.1 Civilian Personnel Benefits	\$3,587	\$3,046	\$3,000	(\$46)
Total - Personnel Compensation and Benefits	\$11,802	\$12,666	\$12,476	(\$190)
Positions and FTE				
Positions - Civilian	94	94	94	-
FTE - Civilian	94	94	94	-

Pay Cost Drivers

Pay Cost Drivers <i>(Dollars in Thousands)</i>	FY 2019 Enacted			FY 2020 Enacted			FY 2021 President's Budget			FY 2020 to FY 2021 Total Changes		
	FTE	Amount	Rate	FTE	Amount	Rate	FTE	Amount	Rate	FTE	Amount	Rate
Civilian Pay	94	\$11,802	\$125.55	94	\$12,666	\$134.74	94	\$12,476	\$132.72	-	(\$190)	(\$2.02)
Total – Pay Cost Drivers	94	\$11,802	\$318.97	94	\$12,666	\$134.74	94	\$12,476	\$132.72	-	(\$190)	(\$2.02)

Explanation of Pay Cost Driver

Civilian Pay: FTEs associated with this user fee represent the anticipated number of hours of fee-related work performed by CBP employees during FY 2021.

Electronic System for Travel Authorization (ESTA) Fee Permanent Positions by Grade – Appropriation

Grades and Salary Range <i>(Dollars in Thousands)</i>	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget	FY 2020 to FY 2021 Change
Fee Funded Positions	94	94	94	-
Total Permanent Positions	94	94	94	-
Total Perm. Employment (Filled Positions) EOY	94	94	94	-
Position Locations				
U.S. Field	94	94	94	-

Electronic System for Travel Authorization (ESTA) Fee**Non Pay Budget Exhibits****Non Pay Summary**

Organization <i>(Dollars in Thousands)</i>	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget	FY 2020 to FY 2021 Total Changes
Electronic System for Travel Authorization (ESTA) Fee	\$49,615	\$51,718	\$50,941	(\$777)
Total	\$49,615	\$51,718	\$50,941	(\$777)
Mandatory - Fee	\$49,615	\$51,718	\$50,941	(\$777)

Non Pay by Object Class

Non-Pay Object Classes <i>(Dollars in Thousands)</i>	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget	FY 2020 to FY 2021 Change
21.0 Travel and Transportation of Persons	\$2,657	\$2,745	\$2,704	(\$41)
22.0 Transportation of Things	\$71	\$71	\$70	(\$1)
23.3 Communications, Utilities, and Misc. Charges	\$14	\$14	\$14	-
25.2 Other Services from Non-Federal Sources	\$32,002	\$34,052	\$33,540	(\$512)
25.5 Research and Development Contracts	\$35	-	-	-
25.7 Operation and Maintenance of Equipment	\$2,931	\$2,931	\$2,887	(\$44)
26.0 Supplies and Materials	\$5	\$5	\$5	-
31.0 Equipment	\$11,900	\$11,900	\$11,721	(\$179)
Total - Non Pay Object Classes	\$49,615	\$51,718	\$50,941	(\$777)

Non Pay Cost Drivers

Non Pay Cost Drivers <i>(Dollars in Thousands)</i>	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget	FY 2020 to FY 2021 Total Changes
Other Services from Non-Federal Sources	\$32,002	\$34,052	\$33,540	(\$512)
Equipment	\$11,900	\$11,900	\$11,721	(\$179)
Other Costs	\$5,713	\$5,766	\$5,680	(\$86)
Total – Non Pay Cost Drivers	\$49,615	\$51,718	\$50,941	(\$777)

Explanation of Non Pay Cost Drivers

Other Services from Non-Federal Sources: CBP engages contractual support to facilitate oversight and tracking of programs.

Equipment: Equipment for the ESTA program includes equipping CBPOs who work in the National Targeting Center, as well as providing servers and software to support the programs.

Other Costs: CBP incurs a number of additional non pay costs in support of the frontline activities associated with this user fee. These other costs include transportation and travel requirements for trainings and operations, supplies and materials for office management within CBP's program offices, and portions of indirect costs which are associated with the fee activity workloads.

Department of Homeland Security

U.S. Customs and Border Protection

Land Border Inspection Fee



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Land Border Inspection Fee

Budget Comparison and Adjustments

Comparison of Budget Authority

Organization (Dollars in Thousands)	FY 2019 Enacted*			FY 2020 Enacted*			FY 2021 President's Budget			FY 2020 to FY 2021 Total Changes		
	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount
Land Border Inspection Fee	202	202	\$53,512	202	202	\$56,467	202	202	\$59,364	-	-	\$2,897
Total	202	202	\$53,512	202	202	\$56,467	202	202	\$59,364	-	-	\$2,897
Subtotal Mandatory - Fee	202	202	\$53,512	202	202	\$56,467	202	202	\$59,364	-	-	\$2,897

*The amounts shown in this and subsequent tables do not represent actual collections for FY 2019 or the Administration's estimate for FY 2020 collections. The figures shown align to estimates provided in the Joint Explanatory Statement (JES) for P.L. 116-93. Similarly, calculated carryover and total budget authority figures in the tables throughout this document are based on the 2019 and 2020 estimated collections provided in the JES. For 2019 actuals and 2020 Administration estimated collections, refer to the President's FY 2021 Budget Appendix.

The Land Border Inspection Fee (LBIF) is collected to recover the cost of trusted traveler programs (TTPs) at the Land Ports of Entry. These programs provide participants expedited processing, after undergoing advanced screening.

Fee Authority: The *Department of Justice Appropriation Act of 1990* (P.L. 101-515), as codified in 8 U.S.C. 1356(q), authorizes the LBIF to fully recover the costs incurred in operating the trusted traveler programs provided at land border ports of entry (POEs).

Fee Uses: Collections from LBIF fund the salaries and benefits of CBP Officers (CBPOs) supporting the TTPs, as well as operational and maintenance costs for the TTPs, including NEXUS Highway and Air, Secure Electronic Network for Travelers Rapid Inspection (SENTRI), and Free and Secure Trade Program (FAST). These programs help to improve the targeting, screening, and apprehension of high-risk international travelers, thereby preventing potential terrorist attacks and criminal activities while expediting the processing of legitimate travelers.

NEXUS is a joint program with the Canadian Border Services Agency that allows pre-screened travelers expedited processing when entering the United States and Canada for land, air, and marine crossings. FAST is a Border Accord Initiative between the U.S., Mexico, and Canada designed to ensure security and safety while enhancing the economic prosperity of each country. Canada receives quarterly payments for their portion of the NEXUS and FAST programs. The collections and disbursements to Canada are reported within the LBIF account.

The following fees are deposited into the LBIF account:

- Arrival/Departure Land Border (I-94): \$6
- Non-Immigrant Visa Waiver (I-94W): \$6
- Canadian Boat Landing Permit Individual (I-68): \$16 (individual); \$32 (family)

U.S. Customs and Border Protection**Land Border Inspection Fee**

- NEXUS: \$50 (every 5 years)
 - NEXUS replacement card: \$25
- FAST Driver Application Fee: \$50 (every 5 years)
- SENTRI: \$122.25 (individuals); \$160 maximum (families). Fee is for a 5-year membership. In addition to application fee, SENTRI includes the following:
 - Dedicated Commuter Lane Fees: \$80
 - Dedicated Commuter Lane additional vehicle (DCL): \$42
- Replacement of Papers for Nonresident Mexican Crossing (I-190): \$26

Change Mechanism: The rates for processing the forms were set by legacy Immigration and Naturalization Service (INS) in the U.S. Department of Justice regulations, and may be adjusted for inflation, by publication of an inflation adjustment. A notice in the Federal Register will announce the inflation adjustment. NEXUS, SENTRI, and FAST rates were set in part by negotiations with Canada and Mexico.

Previous Changes: CBP last changed the fee in October 2006.

Recovery Rate: LBIF is intended to recover the full costs incurred by operating the Trusted Traveler Programs at land border POEs. It does not recover the cost of inspecting and processing all pedestrian and vehicle entrants at land POEs.

Cost Recovery Rate

(Dollars in Thousands)

	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	TOTAL
Amount of Fee Collected	\$43,949	\$46,248	\$50,010	\$55,999	\$57,421	\$253,627
Total of Eligible Expenses*	\$785,681	\$780,052	\$852,502	\$961,714	\$1,007,602	\$4,387,551
Cost Recovery %	5.6%	5.9%	5.9%	5.8%	5.7%	5.8%

*Eligible expenses reflect all costs of inspecting pedestrian and vehicle entrants at the land POEs, while collections are specific to the TTPs.

Historical Collections

(Dollars in Thousands)

	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
LBIF	\$43,949	\$46,248	\$50,010	\$55,999	\$57,421

Land Border Inspection Fee Budget Authority and Obligations

Budget Authority (Dollars in Thousands)	FY 2019	FY 2020	FY 2021
Enacted/Request	\$53,512	\$56,467	\$59,364
Carryover and/or Recoveries (Actual/Estimates/Projections)	\$16,893	\$7,444	\$8,137
Rescissions to Current Year/Budget Year	-	-	-
Net Sequestered Resources	\$594	(\$191)	\$3,509
Reprogrammings/Transfers	-	-	-
Supplementals	-	-	-
Total Budget Authority	\$70,999	\$63,720	\$71,010
Collections – Reimbursable Resources	\$3,909	-	-
Total Budget Resources	\$74,908	\$63,720	\$71,010
Obligations (Actual/Estimates/Projections)	\$67,464	\$55,583	\$59,873
Personnel: Positions and FTE			
Enacted/Request Positions	202	202	202
Enacted/Request FTE	202	202	202
Onboard and Actual FTE; Includes Collections - Reimbursable Resources			
Onboard (Actual/Estimates/Projections)	202	202	202
FTE (Actual/Estimates/Projections)	202	202	202

Land Border Inspection Fee Summary of Budget Changes

Budget Formulation Activity <i>(Dollars in Thousands)</i>	Positions	FTE	Amount
FY 2019 Enacted	202	202	\$53,512
FY 2020 Enacted	202	202	\$56,467
FY 2021 Base Budget	202	202	\$56,467
Change in User Fee Collections	-	-	\$2,897
Total, Pricing Increases	-	-	\$2,897
Total Adjustments-to-Base	-	-	\$2,897
FY 2021 Current Services	202	202	\$59,364
FY 2021 Request	202	202	\$59,364
FY 2020 To FY 2021 Change	-	-	\$2,897

**Land Border Inspection Fee
Justification of Pricing Changes**

Pricing Changes <i>(Dollars in Thousands)</i>	FY 2021 President's Budget		
	Positions	FTE	Amount
Pricing Change 1 - Change in User Fee Collections	-	-	\$2,897
Total Pricing Changes	-	-	\$2,897

Pricing Change 1 – Change in User Fee Collections: CBP estimates that LBIF collections will increase by \$2.9M from FY 2020 to FY 2021, based on forecasted changes in trade and travel volume.

Land Border Inspection Fee Personnel Compensation and Benefits

Pay Summary

Organization <i>(Dollars in Thousands)</i>	FY 2019 Enacted				FY 2020 Enacted				FY 2021 President's Budget				FY 2020 to FY 2021 Total			
	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate
Land Border Inspection Fee	202	202	\$53,512	\$264.91	202	202	\$43,202	\$213.87	202	202	\$46,099	\$228.21	-	-	\$2,897	\$14.34
Total	202	202	\$53,512	\$264.91	202	202	\$43,202	\$213.87	202	202	\$46,099	\$228.21	-	-	\$2,897	\$14.34
Mandatory - Fee	202	202	\$53,512	\$264.91	202	202	\$43,202	\$213.87	202	202	\$46,099	\$228.21	-	-	\$2,897	\$14.34

Pay by Object Class

Pay Object Classes <i>(Dollars in Thousands)</i>	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget	FY 2020 - FY 2021 Change
11.1 Full-time Permanent	\$34,712	\$26,493	\$28,521	\$2,028
11.5 Other Personnel Compensation	\$2,190	\$2,190	\$2,190	-
12.1 Civilian Personnel Benefits	\$16,610	\$14,519	\$15,388	\$869
Total - Personnel Compensation and Benefits	\$53,512	\$43,202	\$46,099	\$2,897
Positions and FTE				
Positions - Civilian	202	202	202	-
FTE - Civilian	202	202	202	-

Pay Cost Drivers

Pay Cost Drivers <i>(Dollars in Thousands)</i>	FY 2019 Enacted			FY 2020 Enacted			FY 2021 President's Budget			FY 2020 to FY 2021 Total Changes		
	FTE	Amount	Rate	FTE	Amount	Rate	FTE	Amount	Rate	FTE	Amount	Rate
Civilian Pay	202	\$53,512	\$264.91	202	\$43,202	\$213.87	202	\$46,099	\$228.21	-	\$2,897	\$14.34
Total – Pay Cost Drivers	202	\$53,512	\$264.91	202	\$43,202	\$213.87	202	\$46,099	\$228.21	-	\$2,897	\$14.34

Explanation of Pay Cost Driver

Civilian Pay: FTEs associated with this user fee represent the anticipated number of hours of fee-related work performed by CBP employees during FY 2021. Activity-based costing is used to assign direct and indirect costs to activities identified as reimbursable from user fees, including costs for compensation and benefits.

Land Border Inspection Fee
Permanent Positions by Grade – Appropriation

Grades and Salary Range <i>(Dollars in Thousands)</i>	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget	FY 2020 to FY 2021 Change
Fee Funded Positions	202	202	202	-
Total Permanent Positions	202	202	202	-
Total Perm. Employment (Filled Positions) EOY	202	202	202	-
Position Locations				
U.S. Field	202	202	202	-

Land Border Inspection Fee Non Pay Budget Exhibits

Non Pay Summary

Organization (Dollars in Thousands)	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget	FY 2020 to FY 2021 Total Changes
Land Border Inspection Fee	-	\$13,265	\$13,265	-
Total	-	\$13,265	\$13,265	-
Mandatory - Fee	-	\$13,265	\$13,265	-

Non Pay by Object Class

Non-Pay Object Classes (Dollars in Thousands)	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget	FY 2020 to FY 2021 Change
94.0 Financial Transfers	-	\$13,265	\$13,265	-
Total - Non Pay Object Classes	-	\$13,265	\$13,265	-

Non Pay Cost Drivers

Non Pay Cost-Drivers (Dollars in Thousands)	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget	FY 2020 to FY 2021 Total Changes
Financial Transfers	-	\$13,265	\$13,265	-
Total - Non Pay Cost-Drivers	-	\$13,265	\$13,265	-

Explanation of Non Pay Cost Drivers

Financial Transfers: CBP transfers non-pay funds quarterly to Canada to support the NEXUS and FAST programs for land, air and marine crossings. The program is designed to safeguard the borders between U.S., Mexico, and Canada.

Department of Homeland Security

U.S. Customs and Border Protection

COBRA Customs Fees



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COBRA Customs Fees

Budget Comparison and Adjustments

Comparison of Budget Authority

Organization (Dollars in Thousands)	FY 2019 Enacted*			FY 2020 Enacted*			FY 2021 President's Budget			FY 2020 to FY 2021 Total Changes		
	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount
COBRA Customs Fees	2,538	2,293	\$594,978	2,538	2,538	\$615,975	3,188	3,446	\$681,412	650	908	\$65,437
Total	2,538	2,293	\$594,978	2,538	2,538	\$615,975	3,188	3,446	\$681,412	650	908	\$65,437
Subtotal Mandatory - Fee	2,538	2,293	\$594,978	2,538	2,538	\$615,975	3,188	3,446	\$681,412	650	908	\$65,437

*The amounts shown in this and subsequent tables do not represent actual collections for FY 2019 or the Administration's estimate for FY 2020 collections. The figures shown align to estimates provided in the Joint Explanatory Statement (JES) for P.L. 116-93. Similarly, calculated carryover and total budget authority figures in the tables throughout this document are based on the 2019 and 2020 estimated collections provided in the JES. For 2019 actuals and 2020 Administration estimated collections, refer to the President's FY 2021 Budget Appendix.

Fee Authority: Revenue from the *Consolidated Omnibus Budget Reconciliation Act of 1985* (COBRA) Fees, P.L. 99-272, helps CBP recover costs incurred in the performance of customs inspection to facilitate legitimate trade and travel through U.S. ports of entry (POEs) while keeping the United States secure. Collections from COBRA user fees are made available through permanent/indefinite appropriation created by the aforementioned user fee statute.

Fee Uses: COBRA user fee collections fund customs inspection activities that are mandated by law. Supported by fee revenues, CBP officers (CBPOs) help ensure that all carriers, passengers, and their personal effects entering the United States are compliant with U.S. customs laws. The COBRA and Express Consignment Carrier Facility (ECCF) user fees financially support the inspection functions performed by CBP at POEs. To the extent that revenues are available, the estimated FY 2021 collections will fund the activities in the COBRA statute.

Consolidated Omnibus Budget Reconciliation Act (COBRA) User Fee

COBRA Fees established a series of user fees to recover costs incurred when processing air and sea passengers, commercial trucks, railroad cars, private aircraft and vessels, commercial vessels, dutiable mail packages, broker permits, barges and bulk carriers from Canada and Mexico, cruise vessel passengers, and ferry vessel passengers at U.S. POEs.

Effective November 5, 2011, certain exemptions under COBRA were eliminated. Section 601 of the *United States-Colombia Trade Promotion Agreement Implementation Act of 2011* (P.L. 112-42) lifted the exemption that excluded air and sea passengers from Canada, Mexico, the Caribbean,

and adjacent islands from having to pay the COBRA air, sea, and cruise vessel (ferry passengers are still exempted) passenger fees.¹ Fees from these passengers are accounted for in CBP's COBRA Free Trade Agreement (FTA) fee account (see separate justification document).

Express Consignment Carrier Facility User Fee

Section 337 of the *Trade Act of 2002* (P.L. 107-210), codified as 19 USC 58c (b) (9) (A) (ii) and (b) (9) (B), authorizes the establishment and use of the Express Consignment Carrier Facilities (ECCF) fee to fully reimburse CBP for the processing costs incurred at those facilities. Since the ECCF fees are deposited in the Customs User Fee Account for budgetary purposes, they are reported with the COBRA user fees. Congress mandated that 50 percent of the ECCF fee collection be transferred to the Treasury's General Fund. The balance is used to reimburse CBP for the cost of providing inspection services to express consignment operators or carriers. ECCF fees are intended to be full cost recovery and are not subject to the COBRA hierarchy.

An express consignment operator or carrier is an entity operating in any mode that moves cargo by special express commercial service under closely integrated administrative control. Services are offered to the public under advertised, reliable, and timely door-to-door delivery. An express consignment operator assumes liability for the articles in the same manner as if it is the sole carrier.

CBP inspections are carried out at Express Carrier Operation facilities, which are akin to bonded warehouses and have the ability to handle high volume parcel flows into and through the United States. International couriers and high-volume parcel shippers to the U.S. (including high volume e-commerce parcel flows to the U.S.) can access these facilities for cost efficient clearance and domestic injection.

Change Mechanism: COBRA and Express Consignments fees are set legislatively and any rate adjustments require a statutory change to 19 U.S.C. § 58c. Congress can adjust the fee rates or give CBP the ability to adjust fee rates within specified parameters. Section 32201 of the Fixing America's Surface Transportation (FAST) Act amended 19 U.S.C. 58c by requiring certain customs COBRA user fees to increase rates in concert with inflation and directing those inflation increases to the Federal Highways Fund.

As reflected in the President's Budget requests since FY 2014, CBP will again submit a legislative proposal to raise the COBRA fees. The revenue raised from these fee increases will allow CBP to recover more costs associated with customs-related inspections, as well as permit CBP to hire additional CBPOs to better support the required workload. The charts in this document reflect the baseline anticipated collections and do not include revenues associated with adoption of the legislative proposal.

Previous Changes: The table below lists the current COBRA rates as of FY 2020. The rates are adjusted yearly in alignment with The Fixing America's Surface Transportation Act (FAST Act, Pub. L. 114-94). The FAST Act, enacted on December 4, 2015, authorizes CBP to adjust the COBRA fee rates to reflect increases in the Consumer Price Index, beginning on April 1, 2016, and at the start of each fiscal year thereafter. Accordingly, CBP increased the COBRA rates in FYs 2018 - 2020. CBP will determine whether an adjustment should be made for FY 2021 closer to

¹ Anguilla, Antigua and Barbuda, Aruba, Bahamas, Barbados, Bermuda-United Kingdom, British VI-United Kingdom, Cayman Islands, Cuba, Dominica, Dominican Republic, Grenada and South Grenadines, Guadeloupe-France, Haiti, Jamaica, Martinique-France, Netherlands Antilles, St. Kitts and Nevis, St. Lucia, St. Vincent and North Grenadines, Trinidad and Tobago, Turks and Caicos Islands-United Kingdom

the start of that fiscal year. Per the FAST Act, the collections are not available to fund CBP operations unless appropriated. CBP is not seeking an appropriation of FAST Act collections related to the mandatory COBRA fee in FY 2021. Therefore, any collections associated with FAST Act inflationary adjustments will be deposited in the General Fund of the Treasury.

Current COBRA Fee Rate Table

Fee	Rate (Per Occurrence/ Annual Limit)
Commercial Vessels	\$468.32/\$6,381.77
Commercial Trucks	\$5.85/\$107.17
Railroad Cars	\$8.84/\$107.17
Private Aircraft (Decal)	\$29.47
Private Vessel (Decal)	\$29.47
Commercial Aircraft Passenger (User Fee)	\$5.89
Commercial Vessel Passenger (User Fee – Non Exempt)	\$5.89
Commercial Vessel Passenger - Exempt	\$2.07
Dutiable Mail	\$5.89
Broker Permit	\$147.89
Barges and Other Bulk Carriers	\$117.88/\$1,607.50
Carriers operating Express Consignment Facilities are required to pay the fee.	\$1.07 (.535 CBP + .535 Treasury)

Recovery Rate: The ECCF fee is intended to be full cost recovery. However, the COBRA legislation includes a hierarchy which identifies the costs that may be reimbursed. Due to the hierarchy structure, CBP is unable to recover fully the cost of providing services.

Cost Recovery Rate

(Dollars in Thousands)

	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	TOTAL
Amount of Fee Collected	\$498,997	\$528,051	\$555,952	\$594,402	\$649,988	\$2,827,390
Total of Eligible Expenses	\$789,246	\$808,090	\$839,094	\$721,796	\$771,288	\$3,929,514
Cost Recovery %	63.2%	65.3%	66.3%	82.4%	84.3%	72.0%

Historical Collections*(Dollars in Thousands)*

	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
COBRA	\$466,263	\$492,969	\$515,496	\$545,797	\$594,294
ECCF	\$32,734	\$35,082	\$40,456	\$48,606	\$55,694
Total	\$498,997	\$528,051	\$555,952	\$594,402	\$649,988

COBRA Customs Fees Budget Authority and Obligations

Budget Authority <i>(Dollars in Thousands)</i>	FY 2019	FY 2020	FY 2021
Enacted/Request	\$594,978	\$615,975	\$681,412
Carryover and/or Recoveries (Actual/Estimates/Projections)	\$250,482	\$316,486	\$316,486
Rescissions to Current Year/Budget Year	-	-	-
Net Sequestered Resources	(\$4,276)	\$3,956	\$36,343
Reprogrammings/Transfers	-	-	-
Supplementals	-	-	-
Total Budget Authority	\$841,184	\$936,417	\$1,034,241
Collections – Reimbursable Resources	\$81,878	-	-
Total Budget Resources	\$923,062	\$936,417	\$1,034,241
Obligations (Actual/Estimates/Projections)	\$606,576	\$619,931	\$717,755
Personnel: Positions and FTE			
Enacted/Request Positions	2,538	2,538	3,188
Enacted/Request FTE	2,293	2,538	3,446
Onboard and Actual FTE; Includes Collections - Reimbursable Resources			
Onboard (Actual/Estimates/Projections)	2,538	2,538	3,188
FTE (Actual/Estimates/Projections)	2,293	2,538	3,446

COBRA Customs Fees Summary of Budget Changes

Budget Formulation Activity <i>(Dollars in Thousands)</i>	Positions	FTE	Amount
FY 2019 Enacted	2,538	2,293	\$594,978
FY 2020 Enacted	2,538	2,538	\$615,975
FY 2021 Base Budget	2,538	2,538	\$615,975
Change in Fee Funded Personnel	650	650	\$65,437
Increased Reliance on User Fee	-	258	-
Total, Pricing Increases	650	908	\$65,437
Total Adjustments-to-Base	650	908	\$65,437
FY 2021 Current Services	3,188	3,446	\$681,412
FY 2021 Request	3,188	3,446	\$681,412
FY 2020 To FY 2021 Change	650	908	\$65,437

COBRA Customs Fees Justification of Pricing Changes

Pricing Changes <i>(Dollars in Thousands)</i>	FY 2021 President's Budget		
	Positions	FTE	Amount
Pricing Change 1 - Change in Fee Funded Personnel	650	650	\$65,437
Pricing Change 2 - Increased Reliance on User Fee	-	258	-
Total Pricing Changes	650	908	\$65,437

Pricing Change 1 – Change in User Fee Collections: CBP estimates that COBRA fee collections and obligations will increase by \$65.4M from FY 2020 to FY 2021, based on forecasted increases in trade and travel volume. Additional positions and FTE are supported by the COBRA user fee.

Pricing Change 2 – Increased Reliance User Fee Collections: CBP plans to use more CCF collections to fund additional FTE.

COBRA Customs Fees

Personnel Compensation and Benefits

Pay Summary

Organization (Dollars in Thousands)	FY 2019 Enacted				FY 2020 Enacted				FY 2021 President's Budget				FY 2020 to FY 2021 Total			
	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate
COBRA Customs Fees	2,538	2,293	\$538,512	\$234.85	2,538	2,538	\$565,733	\$222.91	3,188	3,446	\$625,833	\$181.61	650	908	\$60,100	(\$41.3)
Total	2,538	2,293	\$538,512	\$234.85	2,538	2,538	\$565,733	\$222.91	3,188	3,446	\$625,833	\$181.61	650	908	\$60,100	(\$41.3)
Mandatory - Fee	2,538	2,293	\$538,512	\$234.85	2,538	2,538	\$565,733	\$222.91	3,188	3,446	\$625,833	\$181.61	650	908	\$60,100	(\$41.3)

Pay by Object Class

Pay Object Classes (Dollars in Thousands)	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget	FY 2020 - FY 2021 Change
11.1 Full-time Permanent	\$210,701	\$209,817	\$232,107	\$22,290
11.5 Other Personnel Compensation	\$197,220	\$198,275	\$219,338	\$21,063
12.1 Civilian Personnel Benefits	\$130,591	\$157,641	\$174,388	\$16,747
Total - Personnel Compensation and Benefits	\$538,512	\$565,733	\$625,833	\$60,100
Positions and FTE				
Positions - Civilian	2,538	2,538	3,188	650
FTE - Civilian	2,293	2,538	3,446	908

Pay Cost Drivers

Pay Cost Drivers (Dollars in Thousands)	FY 2019 Enacted			FY 2020 Enacted			FY 2021 President's Budget			FY 2020 to FY 2021 Total Changes		
	FTE	Amount	Rate	FTE	Amount	Rate	FTE	Amount	Rate	FTE	Amount	Rate
Civilian Pay	2,293	\$538,512	\$234.85	2,538	\$565,733	\$222.91	3,446	\$625,833	\$199.44	908	\$60,100	(\$41.30)
Total – Pay Cost Drivers	2,293	\$538,512	\$234.85	2,538	\$565,733	\$222.91	3,446	\$625,833	\$199.44	908	\$60,100	(\$41.30)

Explanation of Pay Cost Drivers

Civilian Pay: Additional positions and FTE are supported by the COBRA user fee, as enacted in *Homeland Security Appropriations Act 2020*.

FTEs associated with this user fee represent the anticipated number of hours of fee-related work performed by CBP employees during FY 2021.

CBP expects that increased collections in the COBRA fees, resulting from volume growth in trade and travel, will permit additional reimbursement of personnel compensation and benefit costs. Anticipated increases in Personnel, Costs and Benefits (PC&B) will reimburse the following (in hierarchical order):

- All inspectional overtime;
- Premium Pay;
- Excess Preclearance;
- Foreign language proficiency awards (FLAP); and
- Enhanced positions – enhance customs services for those persons or entities that are required to pay COBRA fees.

The average cost per FTE is determined by dividing the pay compensation and benefits amount by the number of FTE. The personnel compensation and benefits for COBRA contain a significant portion of the overtime for trade and travel activities, meaning that the average cost may seem higher than for other fees or appropriated accounts. Activity-based costing is used to assign direct and indirect costs to activities identified as reimbursable from user fees, including costs for compensation and benefits.

COBRA Customs Fees
Permanent Positions by Grade – Appropriation

Grades and Salary Range <i>(Dollars in Thousands)</i>	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget	FY 2020 to FY 2021 Change
Fee Funded Positions	2,538	2,538	3,188	650
Total Permanent Positions	2,538	2,538	3,188	650
Unfilled Positions EOY	-	-	50	50
Total Perm. Employment (Filled Positions) EOY	2,538	2,538	3,138	600
Position Locations				
U.S. Field	2,538	2,538	3,188	650

COBRA Customs Fees Non Pay Budget Exhibits

Non Pay Summary

Organization (Dollars in Thousands)	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget	FY 2020 to FY 2021 Total Changes
COBRA Customs Fees	\$56,466	\$50,242	\$55,579	\$5,337
Total	\$56,466	\$50,242	\$55,579	\$5,337
Mandatory - Fee	\$56,466	\$50,242	\$55,579	\$5,337

Non Pay by Object Class

Non-Pay Object Classes (Dollars in Thousands)	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget	FY 2020 to FY 2021 Change
21.0 Travel and Transportation of Persons	\$5,436	\$4,048	\$4,478	\$430
22.0 Transportation of Things	\$12,311	\$9,723	\$10,756	\$1,033
23.2 Rental Payments to Others	\$6,262	\$4,751	\$5,256	\$505
23.3 Communications, Utilities, and Misc. Charges	\$3,050	\$2,261	\$2,501	\$240
25.2 Other Services from Non-Federal Sources	\$11,363	\$14,381	\$15,909	\$1,528
25.3 Other Goods and Services from Federal Sources	\$12,077	\$10,663	\$11,796	\$1,133
25.5 Research and Development Contracts	\$4,219	\$3,220	\$3,562	\$342
25.6 Medical Care	\$89	\$89	\$98	\$9
25.7 Operation and Maintenance of Equipment	\$209	\$154	\$170	\$16
26.0 Supplies and Materials	\$557	\$422	\$467	\$45
31.0 Equipment	\$893	\$530	\$586	\$56
Total - Non Pay Object Classes	\$56,466	\$50,242	\$55,579	\$5,337

Non Pay Cost Drivers

Non Pay Cost Drivers <i>(Dollars in Thousands)</i>	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget	FY 2020 to FY 2021 Total Changes
Other Services from Non-Federal Sources	\$11,363	\$14,381	\$15,909	\$1,528
Other Goods and Services from Federal Sources	\$12,077	\$10,663	\$11,796	\$1,133
Transportation of Things	\$12,311	\$9,723	\$10,756	\$1,033
Rental Payments to Others	\$6,262	\$4,751	\$5,256	\$505
Other Costs	\$14,453	\$10,724	\$11,862	\$1,138
Total – Non Pay Cost Drivers	\$56,466	\$50,242	\$55,579	\$5,337

Explanation of Non Pay Cost Drivers

Non-pay associated with the COBRA and ECCF account funds the operating budgets for preclearance and express consignment carrier facility locations. CBP anticipates an overall increase of \$5.3M in non-pay costs in FY 2021, as a result of anticipated increases in overall COBRA and ECCF collections.

Other Services from Non-Federal Sources: CBP engages contractual support to facilitate oversight and tracking of preclearance activities.

Other Goods and Services from Federal Sources: CBP employees working in preclearance locations overseas receive assistance from the Department of State. CBP funds this assistance via inter-agency agreement.

Transportation of Things: This funds the relocation of goods associated with permanent change of station movements for preclearance employees.

Rental Payments to Others: COBRA non-pay funds CBP's preclearance non-pay requirements, which include rental payments for space in CBP's Abu Dhabi and other preclearance locations.

Other Costs: CBP incurs a number of additional non-pay costs in support of the frontline activities associated with this user fee. These other costs include transportation and travel requirements for trainings and operations, supplies and materials for office management within CBP's program offices, and portions of indirect costs which are associated with the fee activity workloads.

Department of Homeland Security

U.S. Customs and Border Protection

COBRA FTA



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COBRA FTA

Budget Comparison and Adjustments

Comparison of Budget Authority

Organization (Dollars in Thousands)	FY 2019 Enacted*			FY 2020 Enacted*			FY 2021 President's Budget			FY 2020 to FY 2021 Total Changes		
	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount
COBRA FTA	1,587	1,640	\$255,000	1,287	1,287	\$267,000	1,287	1,287	\$322,258	-	-	\$55,258
Total	1,587	1,640	\$255,000	1,287	1,287	\$267,000	1,287	1,287	\$322,258	-	-	\$55,258
Subtotal Discretionary - Fee	1,587	1,640	\$255,000	1,287	1,287	\$267,000	1,287	1,287	\$322,258	-	-	\$55,258

*The amounts shown in this and subsequent tables do not represent actual collections for FY 2019 or the Administration's estimate for FY 2020 collections. The figures shown align to estimates provided in the Joint Explanatory Statement (JES) for P.L. 116-93. Similarly, calculated carryover and total budget authority figures in the tables throughout this document are based on the 2019 and 2020 estimated collections provided in the JES. For 2019 actuals and 2020 Administration estimated collections, refer to the President's FY 2021 Budget Appendix.

Fee Authority: *The Consolidated Omnibus Budget Reconciliation Act 1985—Free Trade Agreement* (COBRA FTA; P.L. 99-272) fee authority is used by CBP to recover costs it incurs in the performance of its mission of facilitating legitimate trade and travel through U.S. ports of entry (POEs) while keeping the United States secure.

Fee Uses: COBRA FTA established a series of customs user fees to recover costs incurred when processing air and sea passengers, commercial trucks, railroad cars, private aircraft and vessels, commercial vessels, dutiable mail packages, broker permits, barges and bulk carriers from Canada and Mexico, cruise vessel passengers, and ferry vessel passengers at U.S. POEs.

Effective November 5, 2011, certain exemptions under COBRA FTA were eliminated. Section 601 of the *United States-Colombia Trade Promotion Agreement Implementation Act of 2011* (P.L. 112-42) lifted the exemption that excluded air and sea passengers from Canada, Mexico, and the Caribbean, adjacent islands from having to pay the COBRA FTA air, sea, and cruise vessel (ferry passengers are still exempted) passenger fees.¹ Collections from these locations fund the COBRA FTA fee.

COBRA FTA fees are deposited in the Customs User Fee Account, which is a no-year fund, and are available only to the extent provided in annual appropriations acts. The *Fiscal Year (FY) 2019 Consolidated Appropriations Act* made the FY 2019 FTA fees available through a General Provision. The FY 2020 President's Budget included similar appropriations language that allows for the COBRA FTA collections to be made available for obligation.

¹ Anguilla, Antigua and Barbuda, Aruba, Bahamas, Barbados, Bermuda-United Kingdom, British VI-United Kingdom, Cayman Islands, Cuba, Dominica, Dominican Republic, Grenada and South Grenadines, Guadeloupe-France, Haiti, Jamaica, Martinique-France, Netherlands Antilles, St. Kitts and Nevis, St. Lucia, St. Vincent and North Grenadines, Trinidad and Tobago, Turks and Caicos Islands-United Kingdom

Change Mechanism: COBRA fees are set legislatively and any rate adjustments require a statutory change to 19 U.S.C. § 58c. Congress can adjust the fee rates or give CBP the ability to adjust fee rates within specified parameters. Section 32201 of the Fixing America's Surface Transportation (FAST) Act amended 19 U.S.C. 58c by requiring certain customs COBRA user fees to increase rates in concert with inflation. CBP has the authority to adjust the COBRA FTA fee rates to reflect increases in the Consumer Price Index via regulation.

As reflected in the FY 2020 President's Budget, CBP submitted a legislative proposal to raise the COBRA fees, including COBRA FTA. The increased fees will reduce the shortfall between the costs of CBP's customs inspection activities and the collections received. The fees currently collected support the cost of customs inspections (including personnel performing such inspections), the maintenance and updating of systems that track criminal and illegal aliens in areas with high apprehensions, preclearance, and the repair and maintenance of equipment, among other purposes. The extra revenue raised from these fee increases will allow CBP to recover more costs associated with customs-related inspections as well as permit CBP to hire additional CBP officers to better support the required workload. The chart above reflects the baseline anticipated collections and does not include revenues associated with adoption of the legislative proposal.

Previous Changes: The table below lists the current COBRA rates as of FY 2020. The rates are adjusted yearly in alignment with *The Fixing America's Surface Transportation Act* (FAST Act, [Pub. L. 114-94](#)), which authorizes CBP to adjust the COBRA fee rates to reflect increases in the Consumer Price Index, beginning on April 1, 2016, and at the start of each fiscal year thereafter. Accordingly, CBP increased the COBRA rates in FY 2018 - 2020. CBP will determine whether an adjustment should be made for FY 2021 closer to the start of that fiscal year.

COBRA Fee Rate Table

Fee	Rate (Per Occurrence/ Annual Limit)
Commercial Vessels	\$468.32/\$6,381.77
Commercial Trucks	\$5.85/\$107.17
Railroad Cars	\$8.84/\$107.17
Private Aircraft (Decal)	\$29.47
Private Vessel (Decal)	\$29.47
Commercial Aircraft Passenger (User Fee)	\$5.89
Commercial Vessel Passenger (User Fee – Non Exempt)	\$5.89
Commercial Vessel Passenger - Exempt	\$2.07
Dutiable Mail	\$5.89
Broker Permit	\$147.89
Barges and Other Bulk Carriers	\$117.88/\$1,607.50
Carriers operating Express Consignment Facilities are required to pay the fee.	\$1.07 (.535 CBP + .535 Treasury)

Recovery Rate: The COBRA legislation includes a hierarchy which identifies the costs that may be reimbursed. The legislation does not provide authority for the user fees to fully recover the cost of providing services.

Historical Collections*(Dollars in Thousands)*

	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
COBRA FTA	\$223,356	\$235,241	\$250,453	\$264,925	\$288,705

COBRA FTA

Budget Authority and Obligations

Budget Authority <i>(Dollars in Thousands)</i>	FY 2019	FY 2020	FY 2021
Enacted/Request	\$255,000	\$267,000	\$322,258
Carryover and/or Recoveries (Actual/Estimates/Projections)	\$111,988	\$145,875	\$145,875
Rescissions to Current Year/Budget Year	-	-	-
Net Sequestered Resources	-	-	-
Reprogrammings/Transfers	-	-	-
Supplementals	-	-	-
Total Budget Authority	\$366,988	\$412,875	\$468,133
Collections – Reimbursable Resources	\$37,121	-	-
Total Budget Resources	\$404,109	\$412,875	\$468,133
Obligations (Actual/Estimates/Projections)	\$258,234	\$267,000	\$322,258
Personnel: Positions and FTE			
Enacted/Request Positions	1,587	1,287	1,287
Enacted/Request FTE	1,640	1,287	1,287
Onboard and Actual FTE; Includes Collections - Reimbursable Resources			
Onboard (Actual/Estimates/Projections)	1,587	1,287	1,287
FTE (Actual/Estimates/Projections)	1,640	1,287	1,287

COBRA FTA
Summary of Budget Changes

Budget Formulation Activity <i>(Dollars in Thousands)</i>	Positions	FTE	Amount
FY 2019 Enacted	1,587	1,640	\$255,000
FY 2020 Enacted	1,287	1,287	\$267,000
FY 2021 Base Budget	1,287	1,287	\$267,000
Change in User Fee Collections	-	-	\$55,258
Total, Pricing Increases	-	-	\$55,258
Total Adjustments-to-Base	-	-	\$55,258
FY 2021 Current Services	1,287	1,287	\$322,258
FY 2021 Request	1,287	1,287	\$322,258
FY 2020 To FY 2021 Change	-	-	\$55,258

COBRA FTA
Justification of Pricing Changes

Pricing Changes <i>(Dollars in Thousands)</i>	FY 2021 President's Budget		
	Positions	FTE	Amount
Pricing Change 1 - Change in User Fee Collections	-	-	\$55,258
Total Pricing Changes	-	-	\$55,258

Pricing Change 1 – Change in User Fee Collections: CBP estimates that COBRA FTA fee collections will increase by \$55.3M from FY 2020 to FY 2021, based on forecasted increases in trade and travel volume.

COBRA FTA
Personnel Compensation and Benefits
Pay Summary

Organization (Dollars in Thousands)	FY 2019 Enacted				FY 2020 Enacted				FY 2021 President's Budget				FY 2020 to FY 2021 Total			
	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate
COBRA FTA	1,587	1,640	\$255,000	\$155.49	1,287	1,287	\$267,000	\$207.46	1,287	1,287	\$322,258	\$250.39	-	-	\$55,258	\$42.93
Total	1,587	1,640	\$255,000	\$155.49	1,287	1,287	\$267,000	\$207.46	1,287	1,287	\$322,258	\$250.39	-	-	\$55,258	\$42.93
Discretionary - Fee	1,587	1,640	\$255,000	\$155.49	1,287	1,287	\$267,000	\$207.46	1,287	1,287	\$322,258	\$250.39	-	-	\$55,258	\$42.93

Pay by Object Class

Pay Object Classes (Dollars in Thousands)	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget	FY 2020 - FY 2021 Change
11.1 Full-time Permanent	\$128,374	\$139,217	\$168,029	\$28,812
11.5 Other Personnel Compensation	\$57,027	\$50,300	\$60,710	\$10,410
12.1 Civilian Personnel Benefits	\$69,599	\$77,483	\$93,519	\$16,036
Total - Personnel Compensation and Benefits	\$255,000	\$267,000	\$322,258	\$55,258
Positions and FTE				
Positions - Civilian	1,587	1,287	1,287	-
FTE - Civilian	1,640	1,287	1,287	-

Pay Cost Drivers

Pay Cost Drivers (Dollars in Thousands)	FY 2019 Enacted			FY 2020 President's Budget			FY 2021 President's Budget			FY 2020 to FY 2021 Total Changes		
	FTE	Amount	Rate	FTE	Amount	Rate	FTE	Amount	Rate	FTE	Amount	Rate
Civilian Total	1,640	\$255,000	\$155.49	1,287	\$267,000	\$207.46	1,287	\$322,258	\$250.39	-	\$55,258	\$42.94
Total – Pay Cost Drivers	1,640	\$255,000	\$155.49	1,287	\$267,000	\$207.46	1,287	\$322,258	\$250.39	-	\$55,258	\$42.94

Explanation of Pay Cost Drivers

Civilian Pay: FTEs associated with this user fee represent the anticipated number of hours of fee-related work performed by CBP employees during FY 2021.

CBP expects that increased collections in the COBRA fees, resulting from volume growth in trade and travel, will permit additional reimbursement of personnel compensation and benefit costs. Anticipated increases in Personnel, Costs and Benefits (PC&B) will reimburse the following (in hierarchical order):

- All inspectional overtime;
- Premium Pay;
- Excess Preclearance;
- Foreign language proficiency awards (FLAP); and
- Enhanced positions – enhance customs services for those persons or entities that are required to pay COBRA fees.

The average cost per FTE is determined by dividing the pay compensation and benefits amount by the number of FTE. The personnel compensation and benefits for COBRA contain a significant portion of the overtime for trade and travel activities, meaning that the average cost may seem higher than for other fees or appropriated accounts. Activity-based costing is used to assign direct and indirect costs to activities identified as reimbursable from user fees, including costs for compensation and benefits.

CBP is continuing to evaluate the appropriate split between base salary and overtime reimbursed by the COBRA fees, as well as right-sizing the alignment across the COBRA and COBRA FTA user fees. The average cost per COBRA FTE continues to rise as those performing customs inspections are generally part of CBP's mature workforce.

COBRA FTA
Permanent Positions by Grade – Appropriation

Grades and Salary Range <i>(Dollars in Thousands)</i>	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget	FY 2020 to FY 2021 Change
Fee Funded Positions	1,587	1,287	1,287	-
Total Permanent Positions	1,587	1,287	1,287	-
Unfilled Positions EOY	300	-	-	-
Total Perm. Employment (Filled Positions) EOY	1,287	1,287	1,287	-
Position Locations				
U.S. Field	1,587	1,287	1,287	-

Department of Homeland Security

U.S. Customs and Border Protection

Agricultural Quarantine and Inspection Fees



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Agricultural Quarantine and Inspection Fees

Budget Comparison and Adjustments

Comparison of Budget Authority

Organization <i>(Dollars in Thousands)</i>	FY 2019 Enacted*			FY 2020 Enacted*			FY 2021 President's Budget			FY 2020 to FY 2021 Total Changes		
	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount
Agricultural Quarantine and Inspection Fees	3,061	3,208	\$539,325	3,061	3,061	\$539,325	3,361	3,361	\$582,187	300	300	\$42,862
Total	3,061	3,208	\$539,325	3,061	3,061	\$539,325	3,361	3,361	\$582,187	300	300	\$42,862
Subtotal Mandatory - Fee	3,061	3,208	\$539,325	3,061	3,061	\$539,325	3,361	3,361	\$582,187	300	300	\$42,862

*The amounts shown in this and subsequent tables do not represent actual collections for FY 2019 or the Administration's estimate for FY 2020 collections. The figures shown align to estimates provided in the Joint Explanatory Statement (JES) for P.L. 116-93. Similarly, calculated carryover and total budget authority figures in the tables throughout this document are based on the 2019 and 2020 estimated collections provided in the JES. For 2019 actuals and 2020 Administration estimated collections, refer to the President's FY 2021 Budget Appendix.

Agricultural Quarantine Inspection (AQI) Program user fees, also known as the Animal and Plant Health Inspection Service (APHIS) user fees, help CBP recover the costs of agriculture inspection activities for international passengers, conveyances, animals, plants, and agricultural goods at U.S. ports of entry (POE).

Fee Authority: Section 2509 of the *Food, Agriculture, Conservation and Trade Act of 1990* (P.L. 101-624) authorizes the U.S. Department of Agriculture (USDA) to collect user fees for various services. The law, codified as 21 U.S.C. 136a, established seven types of Plant Protection and Quarantine (PPQ) user fees, six of which are the AQI fees.

Fee Uses: These fees are collected to recover the costs of inspection activities for international passengers, conveyances, animals, plants, and agricultural goods at POEs. This authority established fees on five modes of international passenger and conveyance transportation:

- International air passengers.
- Commercial aircraft.
- Commercial vessels.
- Commercial trucks.
- Commercially loaded rail cars.

The *Homeland Security Act of 2002* (P.L. 107-296) transferred certain inspection functions from USDA to DHS; Section 421(f) mandates that CBP and USDA agree on a periodic transfer of funds. Therefore, CBP and USDA agree annually upon a codicil, which outlines the portion of the AQI collections each agency will receive that fiscal year.

In FY 2015, USDA amended the Code of Federal Regulations (CFR) to better align AQI rates to the activities that incur those costs. The updated fee rates align with actual program costs, which led USDA to reduce some fees while increasing others (Exhibit 1).

Exhibit 1: Current and Previous AQI User Fee Rates

User Fee Class <i>(Actual Dollars)</i>	Previous	Current
Air passenger	\$5.00	\$3.96
Commercial aircraft	\$70.75	\$225.00
Commercial cargo vessel	\$496.00	\$825.00
Commercial truck	\$5.25	\$7.55
Commercial truck with transponder (one annual payment)	\$105.00	\$301.67
Commercial cargo railcar	\$7.75	\$2.00
Sea passenger	No Fee	\$1.75

Change Mechanism: The USDA has primary responsibility for AQI user fees, but works collaboratively with CBP on rate setting methodology. USDA and CBP sign a codicil yearly.

Previous Changes: The USDA last adjusted the rates in December 2015.

Recovery Rate: USDA, which is the business owner of the AQI program, sets policies and rates for this fee. CBP cannot independently pursue rate adjustments. The revenues from these updated fees were projected to enable CBP to recover 100 percent of the cost of AQI activities, plus allow for additional program costs and hiring in the year of enactment. The current APHIS final rule was published on October 29, 2015, and the fee rate changes were effective December 28, 2015. Estimated collections were determined by the USDA. Under Codicil between CBP and USDA, CBP receives approximately 60.6 percent of the collections from the AQI user fee. While the APHIS final rule was projected to allow CBP to reach full cost recovery, the collections were lower than projected, and CBP is still not full cost recovery in AQI. Since providing the adjustment for the final rule (the adjustments were submitted in FY 2014), costs have increased.

Cost Recovery Rate

(Dollars in Thousands)

	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	TOTAL
Amount of Fee Collected	\$467,463	\$449,857	\$534,515	\$539,000	\$539,000	\$2,529,835
Total of Eligible Expenses	\$528,456	\$550,931	\$671,033	\$705,206	\$790,441	\$2,246,067
Cost Recovery %	88.5%	81.7%	79.7%	76.4%	68.2%	77.9%

Agricultural Quarantine and Inspection Fees

Budget Authority and Obligations

Budget Authority (Dollars in Thousands)	FY 2019	FY 2020	FY 2021
Enacted/Request	\$539,325	\$539,325	\$582,187
Carryover and/or Recoveries (Actual/Estimates/Projections)	\$24,549	\$48,836	\$48,836
Rescissions to Current Year/Budget Year	-	-	-
Net Sequestered Resources	-	-	-
Reprogrammings/Transfers	-	-	-
Supplementals	-	-	-
Total Budget Authority	\$563,874	\$588,161	\$631,023
Collections – Reimbursable Resources	-	-	-
Total Budget Resources	\$563,874	\$588,161	\$631,023
Obligations (Actual/Estimates/Projections)	\$515,038	\$539,325	\$582,187
Personnel: Positions and FTE			
Enacted/Request Positions	3,061	3,061	3,361
Enacted/Request FTE	3,208	3,061	3,361
Onboard and Actual FTE; Includes Collections - Reimbursable Resources			
Onboard (Actual/Estimates/Projections)	3,061	3,061	3,361
FTE (Actual/Estimates/Projections)	3,208	3,061	3,361

Agricultural Quarantine and Inspection Fees Summary of Budget Changes

Budget Formulation Activity <i>(Dollars in Thousands)</i>	Positions	FTE	Amount
FY 2019 Enacted	3,061	3,208	\$539,325
FY 2020 Enacted	3,061	3,061	\$539,325
FY 2021 Base Budget	3,061	3,061	\$539,325
Change in User Fee Collections	300	300	\$42,862
Total, Pricing Increases	300	300	\$42,862
Total Adjustments-to-Base	300	300	\$42,862
FY 2021 Current Services	3,361	3,361	\$582,187
FY 2021 Request	3,361	3,361	\$582,187
FY 2020 To FY 2021 Change	300	300	\$42,862

Agricultural Quarantine and Inspection Fees Justification of Pricing Changes

Pricing Changes <i>(Dollars in Thousands)</i>	FY 2021 President's Budget		
	Positions	FTE	Amount
Pricing Change 1 - Change in User Fee Collections	300	300	\$42,862
Total Pricing Changes	300	300	\$42,862

Pricing Change 1 – Change in User Fee Collections: The increase in AQI funding results from an increase in trade volumes, which in turn requires additional inspectional activities. As such, USDA has agreed to increase CBP’s funding commensurately.

Agricultural Quarantine and Inspection Fees

Personnel Compensation and Benefits

Pay Summary

Organization (Dollars in Thousands)	FY 2019 Enacted				FY 2020 Enacted				FY 2021 President's Budget				FY 2020 to FY 2021 Total			
	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate
Agricultural Quarantine and Inspection Fees	3,061	3,208	\$463,204	\$144.39	3,061	3,061	\$463,204	\$151.32	3,361	3,361	\$500,016	\$148.77	300	300	\$36,812	(\$2.55)
Total	3,061	3,208	\$463,204	\$144.39	3,061	3,061	\$463,204	\$151.32	3,361	3,361	\$500,016	\$148.77	300	300	\$36,812	(\$2.55)
Mandatory - Fee	3,061	3,208	\$463,204	\$144.39	3,061	3,061	\$463,204	\$151.32	3,361	3,361	\$500,016	\$148.77	300	300	\$36,812	(\$2.55)

Pay by Object Class

Pay Object Classes (Dollars in Thousands)	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget	FY 2020 - FY 2021 Change
11.1 Full-time Permanent	\$270,158	\$270,158	\$291,628	\$21,470
11.5 Other Personnel Compensation	\$66,398	\$66,398	\$71,675	\$5,277
12.1 Civilian Personnel Benefits	\$126,648	\$126,648	\$136,713	\$10,065
Total - Personnel Compensation and Benefits	\$463,204	\$463,204	\$500,016	\$36,812
Positions and FTE				
Positions - Civilian	3,061	3,061	3,361	300
FTE - Civilian	3,208	3,061	3,361	300

Pay Cost Drivers

Cost Drivers <i>(Dollars in Thousands)</i>	FY 2019 Enacted			FY 2020 Enacted			FY 2021 President's Budget			FY 2020 to FY 2021 Total Changes		
	FTE	Amount	Rate	FTE	Amount	Rate	FTE	Amount	Rate	FTE	Amount	Rate
Civilian Pay	3,208	\$463,204	\$144.39	3,061	\$463,204	\$151.32	3,061	\$500,016	\$163.35	-	\$36,812	\$12.03
Total – Pay Cost Drivers	3,208	\$463,204	\$144.39	3,061	\$463,204	\$151.32	3,061	\$500,016	\$163.35	-	\$36,812	\$12.03

Explanation of Pay Cost Driver

Civilian Pay: FTEs associated with this user fee represents the anticipated number of hours of fee-related work performed by CBP employees during FY 2021.

Agricultural Quarantine and Inspection Fees
Permanent Positions by Grade – Appropriation

Grades and Salary Range <i>(Dollars in Thousands)</i>	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget	FY 2020 to FY 2021 Change
Fee Funded Positions	3,061	3,061	3,361	300
Total Permanent Positions	3,061	3,061	3,361	300
Total Perm. Employment (Filled Positions) EOY	3,061	3,061	3,361	300
Position Locations				
U.S. Field	3,061	3,061	3,361	300

Agricultural Quarantine and Inspection Fees**Non Pay Budget Exhibits****Non Pay Summary**

Organization <i>(Dollars in Thousands)</i>	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget	FY 2020 to FY 2021 Total Changes
Agricultural Quarantine and Inspection Fees	\$76,121	\$76,121	\$82,171	\$6,050
Total	\$76,121	\$76,121	\$82,171	\$6,050
Mandatory - Fee	\$76,121	\$76,121	\$82,171	\$6,050

Non Pay by Object Class

Non-Pay Object Classes <i>(Dollars in Thousands)</i>	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget	FY 2020 to FY 2021 Change
21.0 Travel and Transportation of Persons	\$937	\$937	\$1,011	\$74
23.1 Rental Payments to GSA	\$24,110	\$24,110	\$26,026	\$1,916
23.3 Communications, Utilities, and Misc. Charges	\$3,002	\$3,002	\$3,241	\$239
24.0 Printing and Reproduction	\$1,044	\$1,044	\$1,127	\$83
25.2 Other Services from Non-Federal Sources	\$21,419	\$21,419	\$23,121	\$1,702
25.3 Other Goods and Services from Federal Sources	\$925	\$925	\$999	\$74
25.5 Research and Development Contracts	\$57	\$57	\$62	\$5
25.7 Operation and Maintenance of Equipment	\$1,251	\$1,251	\$1,350	\$99
26.0 Supplies and Materials	\$4,100	\$4,100	\$4,426	\$326
31.0 Equipment	\$19,276	\$19,276	\$20,808	\$1,532
Total - Non Pay Object Classes	\$76,121	\$76,121	\$82,171	\$6,050

Non Pay Cost Drivers

Non Pay Cost Drivers <i>(Dollars in Thousands)</i>	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget	FY 2020 to FY 2021 Total Changes
Rental Payments to GSA	\$24,110	\$24,110	\$26,026	\$1,916
Other Services from Non-Federal Sources	\$21,419	\$21,419	\$23,121	\$1,702
Equipment	\$19,276	\$19,276	\$20,808	\$1,532
Other Costs	\$11,316	\$11,316	\$12,216	\$900
Total – Non Pay Cost Drivers	\$76,121	\$76,121	\$82,171	\$6,050

Explanation of Non Pay Cost Drivers

Non-pay cost drivers support indirect expenses that support AQI activities. CBP uses an activity-based costing model to align direct and indirect costs to user fee related activities. This model is used to identify costs that can be reimbursed by the user fees.

Rental Payments to GSA: CBP has approximately 660 Office of Field Operations (OFO) facilities, which are partially funded through this fee. The fee also supports a portion of CBP's indirect facilities costs, which are attributed to the fee through the activity based costing model.

Other Services from Non- Sources: CBP incurs a significant level of non-pay costs in indirect expenses, which are aligned to user fee activities via CBP's activity-based costing model. This fee reimburses the costs of contractual support to operate and maintain some of CBP's major IT systems.

Equipment: CBP reimburses a variety of equipment costs with this fee. The majority of the equipment costs reimbursed are within CBP's Office of Information Technology, and include hardware, software, servers, and some communications equipment.

Other Costs: CBP incurs a number of additional non-pay costs in support of the frontline activities associated with this user fee. These other costs include transportation and travel requirements for trainings and operations, supplies and materials for office management within CBP's program offices, and portions of indirect costs which are associated with the fee activity workloads.

Department of Homeland Security

U.S. Customs and Border Protection

Global Entry Fee



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Global Entry Fee

Budget Comparison and Adjustments

Comparison of Budget Authority

Organization (Dollars in Thousands)	FY 2019 Enacted*			FY 2020 Enacted*			FY 2021 President's Budget			FY 2020 to FY 2021 Total Changes		
	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount
Global Entry Fee	416	416	\$165,961	416	416	\$184,937	416	416	\$199,939	-	-	\$15,002
Total	416	416	\$165,961	416	416	\$184,937	416	416	\$199,939	-	-	\$15,002
Subtotal Discretionary - Offsetting Fee	416	416	\$165,961	416	416	\$184,937	416	416	\$199,939	-	-	\$15,002

*The amounts shown in this and subsequent tables do not represent actual collections for FY 2019 or the Administration's estimate for FY 2020 collections. The figures shown align to estimates provided in the Joint Explanatory Statement (JES) for P.L. 116-93. Similarly, calculated carryover and total budget authority figures in the tables throughout this document are based on the 2019 and 2020 estimated collections provided in the JES. For 2019 actuals and 2020 Administration estimated collections, refer to the President's FY 2021 Budget Appendix.

Global Entry (GE) fee revenue enables CBP to expedite clearance of pre-approved, low-risk air travelers into the U.S. Through the use of automated kiosks placed in the Federal Inspection Services area of each identified airport, enrolled travelers are able to bypass queues and process through Passport Control without having to see a CBP officer (CBPO). This program facilitates entry into the United States and is especially beneficial to frequent international flyers. Global Entry membership is valid for five years. As of January 2020, more than 7 million travelers are directly enrolled in GE with an additional 2 million travelers receiving GE benefits through enrollment in NEXUS and SENTRI. GE kiosks are available at 61 U.S. airports and 15 Preclearance locations.

Fee Authority: The Global Entry Program is authorized by the *Intelligence Reform and Terrorism Prevention Act of 2004* (8 U.S.C. 1365b(k)3), as amended by the *Consolidated Appropriations Act of 2008* (P.L. 110-161) Section 565(3)(A). The program established an international registered traveler program that incorporates technologies, such as biometrics, e-passports, and security threat assessments in order to expedite the screening and processing of international travelers, including U.S. citizens and residents. All applicants must be pre-approved and must undergo a rigorous background check and interview before enrollment.

Subsection (k)(3) of the *Intelligence Reform and Terrorism Prevention Act of 2004*, as amended, authorizes the imposition of a fee to recover the full cost of the program. The implementing regulation to establish an application fee was issued in November 2009 (74 FR 59936 (2009-11-19)). On the basis of cost estimates and enrollment projections, a charge of \$100 per application was deemed appropriate to generate necessary revenue to cover the full cost of processing applications. GE collections include FBI Fingerprint Fee (applicable to SENTRI, NEXUS, FAST, and Global Entry) - \$14.50 per applicant (\$17.25 for SENTRI applicants). This fee is charged to cover costs of FBI Fingerprint check for applications to SENTRI, NEXUS, FAST, and GE programs.

Fee Uses: Global Entry fees directly fund personnel costs with direct ties to the Global Entry program, operational costs of issuing GE memberships, and maintenance of Global Entry's automated kiosks.

Change Mechanism: Regulation; Authority to Adjust Fees under DHS/CBP.

Previous Changes: CBP last changed the rates in June 2008. CBP is currently evaluating its cost requirements for FY 2021 and beyond. CBP will continue to monitor costs in concert with anticipated collections to determine whether any fee rate adjustments are needed.

Recovery Rate: CBP has achieved full cost recovery in this fee over the past 5 years.

Cost Recovery Rate

(Dollars in Thousands)

	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	TOTAL
Amount of Fee Collected	\$89,283	\$150,967	\$155,099	\$174,151	\$233,158	\$802,657
Total of Eligible Expenses	\$76,495	\$97,504	\$122,360	\$146,049	\$151,362	\$593,770
Cost Recovery %	116.7%	154.8%	126.8%	119.2%	154.0%	135.2%

Historical Collections

(Dollars in Thousands)

	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
Global Entry	\$72,594	\$124,491	\$128,388	\$143,644	\$193,395
FBI Fingerprinting	\$16,689	\$25,993	\$26,711	\$30,507	\$39,763
Total	\$89,263	\$150,484	\$155,099	\$174,151	\$233,158

Global Entry Fee Budget Authority and Obligations

Budget Authority <i>(Dollars in Thousands)</i>	FY 2019	FY 2020	FY 2021
Enacted/Request	\$165,961	\$184,937	\$199,939
Carryover and/or Recoveries (Actual/Estimates/Projections)	\$182,391	\$238,706	\$238,706
Rescissions to Current Year/Budget Year	-	-	-
Net Sequestered Resources	-	-	-
Reprogrammings/Transfers	-	-	-
Supplementals	-	-	-
Total Budget Authority	\$348,352	\$423,643	\$438,645
Collections – Reimbursable Resources	\$68,935	-	-
Total Budget Resources	\$417,287	\$423,643	\$438,645
Obligations (Actual/Estimates/Projections)	\$178,581	\$184,937	\$199,939
Personnel: Positions and FTE			
Enacted/Request Positions	416	416	416
Enacted/Request FTE	416	416	416
Onboard and Actual FTE; Includes Collections - Reimbursable Resources			
Onboard (Actual/Estimates/Projections)	416	416	416
FTE (Actual/Estimates/Projections)	150	416	416

Global Entry Fee Summary of Budget Changes

Budget Formulation Activity <i>(Dollars in Thousands)</i>	Positions	FTE	Amount
FY 2019 Enacted	416	416	\$165,961
FY 2020 Enacted	416	416	\$184,937
FY 2021 Base Budget	416	416	\$184,937
Change in User Fee Collections	-	-	\$15,002
Total, Pricing Increases	-	-	\$15,002
Total Adjustments-to-Base	-	-	\$15,002
FY 2021 Current Services	416	416	\$199,939
FY 2021 Request	416	416	\$199,939
FY 2020 To FY 2021 Change	-	-	\$15,002

**Global Entry Fee
Justification of Pricing Changes**

Pricing Changes <i>(Dollars in Thousands)</i>	FY 2021 President's Budget		
	Positions	FTE	Amount
Pricing Change 1 - Change in User Fee Collections	-	-	\$15,002
Total Pricing Changes	-	-	\$15,002

Pricing Change 1 – Change in User Fee Collections: CBP anticipates an increase of \$15.0M in fee collections due to projected applicant growth.

Global Entry Fee

Personnel Compensation and Benefits

Pay Summary

Organization (Dollars in Thousands)	FY 2019 Enacted				FY 2020 Enacted				FY 2021 President's Budget				FY 2020 to FY 2021 Total			
	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate
Global Entry Fee	416	416	\$48,868	\$117.47	416	416	\$51,566	\$123.96	416	416	\$55,749	\$134.01	-	-	\$4,183	\$10.05
Total	416	416	\$48,868	\$117.47	416	416	\$51,566	\$123.96	416	416	\$55,749	\$134.01	-	-	\$4,183	\$10.05
Discretionary - Offsetting Fee	416	416	\$48,868	\$117.47	416	416	\$51,566	\$123.96	416	416	\$55,749	\$134.01	-	-	\$4,183	\$10.05

Pay by Object Class

Pay Object Classes (Dollars in Thousands)	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget	FY 2020 - FY 2021 Change
11.1 Full-time Permanent	\$17,535	\$18,761	\$20,283	\$1,522
11.5 Other Personnel Compensation	\$22,277	\$22,510	\$24,336	\$1,826
12.1 Civilian Personnel Benefits	\$9,056	\$10,295	\$11,130	\$835
Total - Personnel Compensation and Benefits	\$48,868	\$51,566	\$55,749	\$4,183
Positions and FTE				
Positions - Civilian	416	416	416	-
FTE - Civilian	416	416	416	-

Pay Cost Drivers

Pay Cost-Drivers <i>(Dollars in Thousands)</i>	FY 2019 Enacted			FY 2020 Enacted			FY 2021 President's Budget			FY 2020 to FY 2021 Total Changes		
	FTE	Amount	Rate	FTE	Amount	Rate	FTE	Amount	Rate	FTE	Amount	Rate
Civilian Pay	416	\$48,868	\$117.47	416	\$51,566	\$123.96	416	\$55,749	\$134.01	-	\$4,183	\$10.05
Total – Pay Cost Drivers	416	\$48,868	\$117.47	416	\$51,566	\$123.96	416	\$55,749	\$134.01	-	\$4,183	\$10.05

Explanation of Pay Cost Drivers

Civilian Pay: FTEs associated with this user fee represent the anticipated number of hours of fee-related work performed by CBP employees during FY 2021. This increase is supported by projected growth in applicants, which is expected to create a corresponding increase in workload. Increased collections would address rising overtime for GE program requirements.

Global Entry Fee
Permanent Positions by Grade – Appropriation

Grades and Salary Range <i>(Dollars in Thousands)</i>	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget	FY 2020 to FY 2021 Change
Fee Funded Positions	416	416	416	-
Total Permanent Positions	416	416	416	-
Total Perm. Employment (Filled Positions) EOY	416	416	416	-
Position Locations				
U.S. Field	416	416	416	-

Global Entry Fee
Non Pay Budget Exhibits
Non Pay Summary

Organization <i>(Dollars in Thousands)</i>	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget	FY 2020 to FY 2021 Total Changes
Global Entry Fee	\$117,093	\$133,371	\$144,190	\$10,819
Total	\$117,093	\$133,371	\$144,190	\$10,819
Discretionary - Offsetting Fee	\$117,093	\$133,371	\$144,190	\$10,819

Non Pay by Object Class

Non-Pay Object Classes <i>(Dollars in Thousands)</i>	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget	FY 2020 to FY 2021 Change
21.0 Travel and Transportation of Persons	\$2,504	\$2,989	\$3,231	\$242
22.0 Transportation of Things	\$223	\$225	\$243	\$18
23.1 Rental Payments to GSA	-	\$13	\$14	\$1
23.2 Rental Payments to Others	\$43	\$85	\$92	\$7
23.3 Communications, Utilities, and Misc. Charges	\$4,425	\$4,614	\$4,988	\$374
24.0 Printing and Reproduction	\$32,995	\$43,129	\$46,628	\$3,499
25.2 Other Services from Non-Federal Sources	\$66,192	\$71,536	\$77,339	\$5,803
25.4 Operation and Maintenance of Facilities	\$343	\$343	\$371	\$28
25.5 Research and Development Contracts	\$53	-	-	-
25.7 Operation and Maintenance of Equipment	\$6,135	\$6,188	\$6,690	\$502
26.0 Supplies and Materials	\$16	\$70	\$76	\$6
31.0 Equipment	\$3,521	\$3,536	\$3,823	\$287
32.0 Land and Structures	\$643	\$643	\$695	\$52
Total - Non Pay Object Classes	\$117,093	\$133,371	\$144,190	\$10,819

Non Pay Cost Drivers

Non Pay Cost-Drivers <i>(Dollars in Thousands)</i>	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget	FY 2020 to FY 2021 Total Changes
Other Services from Non-Federal Sources	\$66,192	\$71,536	\$77,339	\$5,803
Printing and Reproduction	\$32,995	\$43,129	\$46,628	\$3,499
Operation and Maintenance of Equipment	\$6,135	\$6,188	\$6,690	\$502
Other Costs	\$11,771	\$12,518	\$13,533	\$1,015
Total – Non Pay Cost Drivers	\$117,093	\$133,371	\$144,190	\$10,819

Explanation of Non Pay Cost Drivers

Other Services from Non-Federal Sources: CBP engages contractual support related to employee training, destruction of seized items, custodial services, maintenance of equipment, and vehicle maintenance. As a result of anticipated increases in overall GE collections, CBP anticipates an increase to these other service requirements in FY 2021.

Printing and Reproduction: Printing and reproduction costs for GE fund the creation of GE cards and documents that are issued to travelers enrolled in the program. CBP has had a significant increase in applicants since FY 2015. Each applicant receives documentation (a Global Entry card, as well as information about the program) upon enrollment. The increase in applicants has resulted in higher printing and reproduction costs, as more GE cards must be generated.

Operation and Maintenance of Equipment: This funding is used to pay for the operation and maintenance of GE kiosks at airport locations, as well as maintenance of equipment at enrollment centers and headquarters locations.

Other Costs: CBP incurs a number of additional non-pay costs in support of the frontline activities associated with this user fee. These other costs include transportation and travel requirements for trainings and operations, supplies and materials for office management within CBP's program offices, and portions of indirect costs, which are associated with the fee activity workloads.

Department of Homeland Security

U.S. Customs and Border Protection

Puerto Rico Trust Fund



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Puerto Rico Trust Fund

Budget Comparison and Adjustments

Comparison of Budget Authority

Organization <i>(Dollars in Thousands)</i>	FY 2019 Enacted*			FY 2020 Enacted*			FY 2021 President's Budget			FY 2020 to FY 2021 Total Changes		
	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount
Puerto Rico Trust Fund	268	268	\$31,941	268	268	\$94,507	268	268	\$152,291	-	-	\$57,784
Total	268	268	\$31,941	268	268	\$94,507	268	268	\$152,291	-	-	\$57,784
Subtotal Mandatory - Fee	268	268	\$31,941	268	268	\$94,507	268	268	\$152,291	-	-	\$57,784

*The amounts shown in this and subsequent tables do not represent actual collections for FY 2019 or the Administration's estimate for FY 2020 collections. The figures shown align to estimates provided in the Joint Explanatory Statement (JES) for P.L. 116-93. Similarly, calculated carryover and total budget authority figures in the tables throughout this document are based on the 2019 and 2020 estimated collections provided in the JES. For 2019 actuals and 2020 Administration estimated collections, refer to the President's FY 2021 Budget Appendix.

Puerto Rico was acquired by the United States in 1898 via the Spanish-American War and is within the customs, agriculture, and immigration territories of the United States. Congress required the former U.S. Customs Service (USCS) to collect customs duties on behalf of Puerto Rico.

Fee Authority: Title 48 U.S.C. 740 authorizes the collection of duties and taxes in Puerto Rico and the creation of the PRTF. Collections in Puerto Rico, less the costs of collecting duties, taxes, and drawback, are transferred to Puerto Rico's Treasury (also known as Hacienda) when available to be expended as required by law for the Government of Puerto Rico. The Government of Puerto Rico may establish other taxes and fees applicable to goods imported into the territory that CBP may collect on behalf of the Government of Puerto Rico.

Fee Uses: CBP administers the PRTF and retains a portion of the funds to pay for expenses associated with the collection of duty in Puerto Rico. For CBP, PRTF collections support the cost of performing collection duties in Puerto Rico. This includes the salaries and benefits of personnel, contractual services, information technology, equipment, and technical support. The Government of Puerto Rico has a biennial agreement with ICE meant to reimburse ICE for investigative and enforcement services in Puerto Rico. CBP administers the funds transfer to ICE on behalf of the Government of Puerto Rico. Historically, the payment has represented approximately 35 percent of collections.

Change Mechanism: Legislative Proposal

Previous Changes: None.

Recovery Rate: The PRTF is intended to be full cost recovery. Over the FY 2013 – FY 2017 timeframe, PRTF was not recovering full costs due to drawbacks, recoveries, and variability in collections; however, the collections have since returned to full cost recovery.

Cost Recovery Rate*(Dollars in Thousands)*

	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	TOTAL
Total Collections	\$89,689	\$91,346	\$82,999	\$134,942	\$217,452	\$616,428
CBP Portion	-	-	\$62,299	\$104,942	\$183,972	\$351,213
ICE Portion	-	-	\$20,700	\$30,000	\$33,480	\$84,180
Total of Eligible Expenses	\$247,702	\$160,714	\$104,217	\$134,914	\$156,808	\$804,355
Cost Recovery %	36.2%	56.8%	79.6%	100.0%	138.7%	76.6%

CBP has seen variability in collections within the account prior to FY 2018, with an initial drop in collections, but increased collections later in FY 2018 (compared to the same timeframe in the prior year). This was largely due to post hurricane rebuilding efforts on the islands. The composition of imports creating the collections appears to have changed, consistent with the importation of rebuilding materials.

Additionally, due to the bankruptcy proceedings in PR, in addition to the debt that PRTF owes to the Treasury Refund and Drawback account, CBP has been advised that any collections above FY 2018, not used to offset CBP's operational expenses, must be set aside for reimbursement to the Treasury Refund and Drawback account. At this point in time, these obligations will not be outlayed until there is resolution with the DOJ proceedings; therefore, the bulk of the contingent liabilities will be outlayed in FY 2021.

Historical Collections*(Dollars in Thousands)*

	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
Custodial Liabilities	-	\$13,792	-	\$50,307	-
PRTF – Collections	\$89,689	\$77,554	\$82,989	\$84,635	\$217,452
Total	\$89,689	\$91,346	\$82,989	\$134,942	\$217,452

Note: In FY 2013 through FY 2014, an importer started bringing a new product into Puerto Rico, creating significant additional revenues in the PRTF account due to the customs classification of the good (a pharmaceutical product). The importer disputed the duties collected by CBP at the Court of International Trade. The disputed duties were recognized as contingencies in the event they must be paid out to the importer following the dispute. This is reflected in the chart above and is in compliance with the Statement of Federal Financial Accounting Standards, which requires Federal agencies to recognize any future cash outflow that is likely to occur and is measurable as a custodial liability. The activity creating disputed duties ceased in May 2014 and is not expected to continue.

Puerto Rico Trust Fund Budget Authority and Obligations

Budget Authority <i>(Dollars in Thousands)</i>	FY 2019	FY 2020	FY 2021
Enacted/Request	\$31,941	\$94,507	\$152,291
Carryover and/or Recoveries (Actual/Estimates/Projections)	\$16,689	\$73,335	-
Rescissions to Current Year/Budget Year	-	-	-
Net Sequestered Resources	(\$4,576)	\$7,906	\$5,576
Reprogrammings/Transfers	-	-	-
Supplementals	-	-	-
Total Budget Authority	\$44,054	\$175,748	\$157,867
Collections – Reimbursable Resources	\$186,089	-	-
Total Budget Resources	\$230,143	\$175,748	\$157,867
Obligations (Actual/Estimates/Projections)	\$156,808	\$175,748	\$157,867
Personnel: Positions and FTE			
Enacted/Request Positions	268	268	268
Enacted/Request FTE	268	268	268
Onboard and Actual FTE; Includes Collections - Reimbursable Resources			
Onboard (Actual/Estimates/Projections)	268	268	268
FTE (Actual/Estimates/Projections)	268	268	268

**Puerto Rico Trust Fund
Summary of Budget Changes**

Budget Formulation Activity <i>(Dollars in Thousands)</i>	Positions	FTE	Amount
FY 2019 Enacted	268	268	\$31,941
FY 2020 Enacted	268	268	\$94,507
FY 2021 Base Budget	268	268	\$94,507
Change in User Fee Collections	-	-	\$57,784
Total, Pricing Increases	-	-	\$57,784
Total Adjustments-to-Base	-	-	\$57,784
FY 2021 Current Services	268	268	\$152,291
FY 2021 Request	268	268	\$152,291
FY 2020 To FY 2021 Change	-	-	\$57,784

Puerto Rico Trust Fund
Justification of Pricing Changes

Pricing Changes <i>(Dollars in Thousands)</i>	FY 2021 President's Budget		
	Positions	FTE	Amount
Pricing Change 1 - Change in User Fee Collections	-	-	\$57,784
Total Pricing Changes	-	-	\$57,784

Pricing Change 1 – Change in User Fee Collections: CBP estimates that PRTF fee collections will increase by \$57.8M from FY 2020 to FY 2021 due to increased economic activity on the island.

**Puerto Rico Trust Fund
Personnel Compensation and Benefits**

Pay Summary

Organization (Dollars in Thousands)	FY 2019 Enacted				FY 2020 Enacted				FY 2021 President's Budget				FY 2020 to FY 2021 Total			
	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate
Puerto Rico Trust Fund	268	268	\$24,661	\$92.02	268	268	\$24,661	\$92.02	268	268	\$24,661	\$92.02	-	-	-	-
Total	268	268	\$24,661	\$92.02	268	268	\$24,661	\$92.02	268	268	\$24,661	\$92.02	-	-	-	-
Mandatory - Fee	268	268	\$24,661	\$92.02	268	268	\$24,661	\$92.02	268	268	\$24,661	\$92.02	-	-	-	-

Pay by Object Class

Pay Object Classes (Dollars in Thousands)	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget	FY 2020 - FY 2021 Change
11.1 Full-time Permanent	\$13,160	\$13,160	\$13,160	-
11.5 Other Personnel Compensation	\$4,049	\$4,049	\$4,049	-
12.1 Civilian Personnel Benefits	\$7,452	\$7,452	\$7,452	-
Total - Personnel Compensation and Benefits	\$24,661	\$24,661	\$24,661	-
Positions and FTE				
Positions - Civilian	268	268	268	-
FTE - Civilian	268	268	268	-

Pay Cost Drivers

Pay Cost Drivers (Dollars in Thousands)	FY 2019 Enacted			FY 2020 Enacted			FY 2021 President's Budget			FY 2020 to FY 2021 Total Changes		
	FTE	Amount	Rate	FTE	Amount	Rate	FTE	Amount	Rate	FTE	Amount	Rate
Civilian Pay	268	\$24,661	\$92.02	268	\$24,661	\$92.02	268	\$24,661	\$92.02	-	-	-
Total - Pay Cost Drivers	268	\$24,661	\$92.02	268	\$24,661	\$92.02	268	\$24,661	\$92.02	-	-	-

Explanation of Pay Cost Driver

Civilian Pay: FTEs associated with this user fee represent the anticipated number of hours of fee-related work performed by CBP employees performing collection duties in Puerto Rico.

Puerto Rico Trust Fund
Permanent Positions by Grade – Appropriation

Grades and Salary Range <i>(Dollars in Thousands)</i>	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget	FY 2020 to FY 2021 Change
Fee Funded Positions	268	268	268	-
Total Permanent Positions	268	268	268	-
Total Perm. Employment (Filled Positions) EOY	268	268	268	-
Position Locations				
U.S. Field	268	268	268	-

**Puerto Rico Trust Fund
Non Pay Budget Exhibits**

Non Pay Summary

Organization <i>(Dollars in Thousands)</i>	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget	FY 2020 to FY 2021 Total Changes
Puerto Rico Trust Fund	\$7,280	\$69,846	\$127,630	\$57,784
Total	\$7,280	\$69,846	\$127,630	\$57,784
Mandatory - Fee	\$7,280	\$69,846	\$127,630	\$57,784

Non Pay by Object Class

Non-Pay Object Classes <i>(Dollars in Thousands)</i>	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget	FY 2020 to FY 2021 Change
21.0 Travel and Transportation of Persons	\$460	\$550	\$886	\$336
22.0 Transportation of Things	-	\$2	\$3	\$1
23.1 Rental Payments to GSA	\$1,200	\$1,987	\$3,202	\$1,215
23.2 Rental Payments to Others	\$369	\$756	\$1,218	\$462
23.3 Communications, Utilities, and Misc. Charges	\$2,095	\$2,760	\$4,448	\$1,688
24.0 Printing and Reproduction	\$13	\$17	\$27	\$10
25.2 Other Services from Non-Federal Sources	\$2,185	\$36,667	\$71,277	\$34,610
25.3 Other Goods and Services from Federal Sources	-	\$25,471	\$43,933	\$18,462
25.4 Operation and Maintenance of Facilities	\$334	\$405	\$653	\$248
25.6 Medical Care	\$4	\$4	\$6	\$2
25.7 Operation and Maintenance of Equipment	\$178	\$183	\$295	\$112
26.0 Supplies and Materials	\$317	\$537	\$865	\$328
31.0 Equipment	\$125	\$507	\$817	\$310
Total - Non Pay Object Classes	\$7,280	\$69,846	\$127,630	\$57,784

Non Pay Cost Drivers

Non Pay Cost Drivers <i>(Dollars in Thousands)</i>	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget	FY 2020 to FY 2021 Total Changes
Other Services from Non-Federal Sources	\$2,185	\$36,667	\$71,277	\$34,610
Other Goods and Services from Federal Sources	\$0	\$25,471	\$43,933	\$18,462
Other Costs	\$5,095	\$7,708	\$12,420	\$4,712
Total – Non Pay Cost Drivers	\$7,280	\$69,846	\$127,630	\$57,784

Explanation of Non Pay Cost Drivers

Other Services from Non-Federal Sources: CBP engages contractual support to facilitate oversight and tracking of trust funds on behalf of the Government of Puerto Rico. Additional costs related to CBP facilities and maintenance support contracts.

Other Goods and Services from Federal Sources: CBP manages PRTF collections and transfers funding to ICE via an inter-agency agreement to cover operational expenses incurred by ICE.

Other Costs: CBP incurs a number of additional non-pay costs in support of the frontline activities associated with this user fee. These other costs include transportation and travel requirements for trainings and operations, supplies and materials for office management within CBP's program offices, and portions of indirect costs that are associated with the fee activity workloads.

Department of Homeland Security
U.S. Customs and Border Protection
Virgin Island Deposit Fund



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Virgin Island Deposit Fund

Budget Comparison and Adjustments

Comparison of Budget Authority

Organization (Dollars in Thousands)	FY 2019 Enacted			FY 2020 Enacted			FY 2021 President's Budget			FY 2020 to FY 2021 Total Changes		
	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount
Virgin Islands Deposit Fund	63	63	\$7,795	63	63	\$11,537	63	63	\$11,442	-	-	(\$95)
Total	63	63	\$7,795	63	63	\$11,537	63	63	\$11,442	-	-	(\$95)
Subtotal Mandatory - Fee	63	63	\$7,795	63	63	\$11,537	63	63	\$11,442	-	-	(\$95)

*The amounts shown in this and subsequent tables do not represent actual collections for FY 2019 or the Administration's estimate for FY 2020 collections. The figures shown align to estimates provided in the Joint Explanatory Statement (JES) for P.L. 116-93. Similarly, calculated carryover and total budget authority figures in the tables throughout this document are based on the 2019 and 2020 estimated collections provided in the JES. For 2019 actuals and 2020 Administration estimated collections, refer to the President's FY 2021 Budget Appendix.

The U.S. Virgin Islands (USVI) is an unincorporated territory of the United States. This unincorporated status establishes the USVI as within the immigration and agriculture jurisdiction, but outside of the customs territory of the U.S. based on Section 36 of the Organic Act of the Virgin Islands of the United States of 1936, codified as 48 U.S.C. § 1406i.

Fee Authority: 1917 Organic Act of the Virgin Islands of the U.S.; 1936 Organic Act of the Virgin Islands of the U.S.; 954 Revised Organic Act of the Virgin Islands of the U.S.; and Title 19 U.S.C. § 1469c. On behalf of the USVI, CBP collects duties and taxes that are deposited into the Virgin Islands Deposit Fund (VIDF).

Fee Uses: The fund supports costs related to CBP operations in the USVI, and any remaining funds are remitted to the USVI. In addition to the cost of collecting USVI customs duties and taxes, the VIDF funds the costs of pre-departure inspection services for passengers departing the USVI for the Continental United States and Puerto Rico.

Change Mechanism: Changes are under the Authority of USVI's purview. The enactment of the *Homeland Security Act of 2002* provides CBP the authority to collect duties and taxes levied by the USVI Legislature that are deposited into the VIDF for use as revenue for the USVI. Under the authority of 19 U.S.C. § 1469c, CBP may also provide reimbursable services to the USVI at the USVI's behest. The mechanics of this arrangement are denoted in a 2014 Memorandum of Agreement (MOA), which operationalizes existing statutes which govern the services provided by CBP and the funding sources to be used in their execution. Per these two statutes, CBP deducts the cost of collection and other agreed upon services in the 2014 MOA from the VIDF, and then remits any remaining funds to the USVI. In addition to the cost of collecting USVI customs duties and taxes, the VIDF may fund the cost of pre-departure inspection services for passengers departing the USVI for the Continental United States (CONUS) and Puerto Rico, though the VIDF has not funded these operations since 2015 due to an additional agreement between the USVI and CBP.

Previous Changes: None.

Recovery Rate: CBP and the United States Government do not control the cost recovery of this fee. USVI duties and taxes are levied by the USVI legislature. USVI duties and taxes are levied by the USVI legislature up to a six percent ad valorem rate. The cap of six percent is controlled by the U.S. Congress. Any duty exemptions are wholly within the purview of the USVI Legislature to enact.

Historical Collections

(Dollars in Thousands)

	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
USVI	\$11,187	\$11,395	\$11,711	\$12,476	\$13,455

Virgin Island Deposit Fund Budget Authority and Obligations

Budget Authority (Dollars in Thousands)	FY 2019	FY 2020	FY 2021
Enacted/Request	\$7,795	\$11,537	\$11,442
Carryover and/or Recoveries (Actual/Estimates/Projections)	-	-	-
Rescissions to Current Year/Budget Year	-	-	-
Net Sequestered Resources	-	-	-
Reprogrammings/Transfers	-	-	-
Supplementals	-	-	-
Total Budget Authority	\$7,795	\$11,537	\$11,442
Collections – Reimbursable Resources	\$2,474	-	-
Total Budget Resources	\$10,269	\$11,537	\$11,442
Obligations (Actual/Estimates/Projections)	\$10,269	\$11,537	\$11,442
Personnel: Positions and FTE			
Enacted/Request Positions	63	63	63
Enacted/Request FTE	63	63	63
Onboard and Actual FTE; Includes Collections - Reimbursable Resources			
Onboard (Actual/Estimates/Projections)	63	63	63
FTE (Actual/Estimates/Projections)	63	63	63

**Virgin Island Deposit Fund
Summary of Budget Changes**

Budget Formulation Activity <i>(Dollars in Thousands)</i>	Positions	FTE	Amount
FY 2019 Enacted	63	63	\$7,795
FY 2020 Enacted	63	63	\$11,537
FY 2021 Base Budget	63	63	\$11,537
Change in User Fee Collections	-	-	(\$95)
Total, Pricing Decreases	-	-	(\$95)
Total Adjustments-to-Base	-	-	(\$95)
FY 2021 Current Services	63	63	\$11,442
FY 2021 Request	63	63	\$11,442
FY 2020 To FY 2021 Change	-	-	(\$95)

Virgin Island Deposit Fund
Justification of Pricing Changes

Pricing Changes <i>(Dollars in Thousands)</i>	FY 2021 President's Budget		
	Positions	FTE	Amount
Pricing Change 1 - Change in User Fee Collections	-	-	(\$95)
Total Pricing Changes	-	-	(\$95)

Pricing Change 1 – Change in User Fee Collections: CBP estimates that VIDF fee collections will decrease by \$0.1M from FY 2020 to FY 2021 due to changes in economic activity on the island.

**Virgin Island Deposit Fund
Personnel Compensation and Benefits**

Pay Summary

Organization (Dollars in Thousands)	FY 2019 Enacted				FY 2020 Enacted				FY 2021 President's Budget				FY 2020 to FY 2021 Total			
	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate
Virgin Islands Deposit Fund	63	63	\$6,019	\$95.54	63	63	\$9,481	\$150.49	63	63	\$9,403	\$149.25	-	-	(\$78)	(\$1.24)
Total	63	63	\$6,019	\$95.54	63	63	\$9,481	\$150.49	63	63	\$9,403	\$149.25	-	-	(\$78)	(\$1.24)
Mandatory - Fee	63	63	\$6,019	\$95.54	63	63	\$9,481	\$150.49	63	63	\$9,403	\$149.25	-	-	(\$78)	(\$1.24)

Pay by Object Class

Pay Object Classes (Dollars in Thousands)	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget	FY 2020 - FY 2021 Change
11.1 Full-time Permanent	\$3,212	\$5,253	\$5,210	(\$43)
11.5 Other Personnel Compensation	\$988	\$1,283	\$1,272	(\$11)
12.1 Civilian Personnel Benefits	\$1,819	\$2,945	\$2,921	(\$24)
Total - Personnel Compensation and Benefits	\$6,019	\$9,481	\$9,403	(\$78)
Positions and FTE				
Positions - Civilian	63	63	63	-
FTE - Civilian	63	63	63	-

Pay Cost Drivers

Pay Cost Drivers <i>(Dollars in Thousands)</i>	FY 2019 Enacted			FY 2020 Enacted			FY 2021 President's Budget			FY 2020 to FY 2021 Total Changes		
	FTE	Amount	Rate	FTE	Amount	Rate	FTE	Amount	Rate	FTE	Amount	Rate
Civilian Pay	37	\$6,019	\$162.68	63	\$9,481	\$150.49	63	\$9,403	\$149.25	-	(\$78)	(\$1.24)
Total – Pay Cost Drivers	37	\$6,019	\$162.68	63	\$9,481	\$150.49	63	\$9,403	\$149.25	-	(\$78)	(\$1.24)

Explanation of Pay Cost Driver

Civilian Pay: FTEs associated with this user fee represent the anticipated number of hours of fee-related work by CBP employees performing pre-departure inspection services of passengers departing the USVI for the Continental United States and Puerto Rico.

Virgin Island Deposit Fund
Permanent Positions by Grade – Appropriation

Grades and Salary Range <i>(Dollars in Thousands)</i>	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget	FY 2020 to FY 2021 Change
Fee Funded Positions	63	63	63	-
Total Permanent Positions	63	63	63	-
Total Perm. Employment (Filled Positions) EOY	63	63	63	-
Position Locations				
U.S. Field	63	63	63	-

Virgin Island Deposit Fund
Non Pay Budget Exhibits
Non Pay Summary

Organization <i>(Dollars in Thousands)</i>	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget	FY 2020 to FY 2021 Total Changes
Virgin Islands Deposit Fund	\$1,776	\$2,056	\$2,039	(\$17)
Total	\$1,776	\$2,056	\$2,039	(\$17)
Mandatory - Fee	\$1,776	\$2,056	\$2,039	(\$17)

Non Pay by Object Class

Non-Pay Object Classes <i>(Dollars in Thousands)</i>	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget	FY 2020 to FY 2021 Change
21.0 Travel and Transportation of Persons	\$112	\$118	\$117	(\$1)
23.1 Rental Payments to GSA	\$293	\$441	\$437	(\$4)
23.2 Rental Payments to Others	\$90	\$135	\$134	(\$1)
23.3 Communications, Utilities, and Misc. Charges	\$511	\$539	\$535	(\$4)
24.0 Printing and Reproduction	\$3	\$3	\$3	-
25.2 Other Services from Non-Federal Sources	\$533	\$568	\$563	(\$5)
25.4 Operation and Maintenance of Facilities	\$82	\$82	\$81	(\$1)
25.6 Medical Care	\$1	\$1	\$1	-
25.7 Operation and Maintenance of Equipment	\$43	\$43	\$43	-
26.0 Supplies and Materials	\$77	\$86	\$85	(\$1)
31.0 Equipment	\$31	\$40	\$40	-
Total - Non Pay Object Classes	\$1,776	\$2,056	\$2,039	(\$17)

Non Pay Cost Drivers

Non Pay Cost Drivers <i>(Dollars in Thousands)</i>	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget	FY 2020 to FY 2021 Total Changes
Other Services from Non-Federal Sources	\$533	\$568	\$563	(\$5)
Communications, Utilities, and Misc. Charges	\$511	\$539	\$535	(\$4)
Rental Payments to GSA	\$293	\$441	\$437	(\$4)
Other Costs	\$439	\$508	\$504	(\$4)
Total – Non Pay Cost Drivers	\$1,776	\$2,056	\$2,039	(\$17)

Explanation of Non Pay Cost Drivers

Other Services from Non-Federal Sources: CBP engages contractual support to facilitate oversight and tracking of deposit funds on behalf of the USVI government.

Communications, Utilities, and Misc. Charges: CBP pays for communications equipment, telecommunication services and utility charges.

Rental Payments to GSA: CBP does not have its own facility in the USVI, but rather rents space from GSA for its USVI offices.

Other Costs: CBP incurs several additional non-pay costs in support of the frontline activities associated with this user fee. These other costs include transportation and travel requirements for trainings and operations, supplies and materials for office management within CBP's program offices, and portions of indirect costs which are associated with the fee activity workloads.

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User Fee Facilities



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User Fee Facilities

Budget Comparison and Adjustments

Comparison of Budget Authority

Organization (Dollars in Thousands)	FY 2019 Enacted*			FY 2020 Enacted*			FY 2021 President's Budget			FY 2020 to FY 2021 Total Changes		
	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount
User Fee Facilities	80	118	\$8,941	80	80	\$9,000	80	80	\$10,074	-	-	\$1,074
Total	80	118	\$8,941	80	80	\$9,000	80	80	\$10,074	-	-	\$1,074
Subtotal Discretionary - Fee	80	118	\$8,941	80	80	\$9,000	80	80	\$10,074	-	-	\$1,074

*The amounts shown in this and subsequent tables do not represent actual collections for FY 2019 or the Administration's estimate for FY 2020 collections. The figures shown align to estimates provided in the Joint Explanatory Statement (JES) for P.L. 116-93. Similarly, calculated carryover and total budget authority figures in the tables throughout this document are based on the 2019 and 2020 estimated collections provided in the JES. For 2019 actuals and 2020 Administration estimated collections, refer to the President's FY 2021 Budget Appendix.

Fee collections through the User Fee Facilities (UFF) program provide funding, on a reimbursable basis, for CBP inspection services at participating small airports and other facilities in support of homeland security requirements.

Fee Authority: CBP services provided at UFF are authorized under 19 U.S.C. 58b and administered under U.S.C. 58c (b)(9)(A)(i). The fee charged under this program is set forth in a Memorandum of Agreement (MOA) between each user fee airport and CBP. The fee may be adjusted as costs and requirements change.

Fee Uses: UFF is for participating small airports and designated facilities that have been approved by the CBP Commissioner, that are built by a sponsor to CBP specifications, and that receive CBP Officer (CBPO) services for a fee. Applicants for UFF status must meet certain criteria for consideration. An approved UFF receiving CBP services is responsible for payment of the following fees:

- Per CBPO: \$140,874 for the first year and \$123,438 for succeeding years
- Automatic Data Processing costs per CBPO: \$17,042 to \$21,062 (1st year) and \$13,620 to \$17,640 thereafter, depending on the location
- Other Associated Costs: Such as overtime, supplies, travel, and transportation.

Change Mechanism: The policies and procedures governing operation of the User Fee Facilities are provided for under a Memorandum of Agreement (MOA) between the facility and CBP. The MOA includes provisions for payment of a flat initial start-up fee and an annual recurring fee. The fees charged are based on actual costs incurred by CBP for each CBPO assigned to the airport on a full-time basis and associated travel, transportation, and training costs, as well as per diem and cost-of-living allowances. The fees do not cover overtime or certain computer and telecommunications costs that are paid separately by the facility requesting services.

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The fee charges may be adjusted annually when facilities request support for additional or fewer CBPOs, and fee charges may also change when an existing agreement ends or a new one is established. The majority of these agreements are paid in advance of receiving services. However, the largest facility (Tijuana Cross Border Terminal (TCBT)) pays in arrears after services are rendered.

Previous Changes: Various, depending on the negotiated UFF agreement.

Recovery Rate: CBP is currently pursuing full cost recovery by renegotiating UFF agreements. This process will take several years as UFF agreements typically cover a multi-year period and expire at various times.

Cost Recovery Rate

(Dollars in Thousands)

	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	TOTAL
Amount of Fee Collected	\$15,368	\$19,030	\$20,077	\$27,525	\$26,374	\$108,374
Total of Eligible Expenses	\$16,531	\$21,883	\$23,935	\$27,882	\$30,413	\$120,644
Cost Recovery %	93.0%	87.0%	83.9%	98.7%	86.7%	89.8%

User Fee Facilities Budget Authority and Obligations

Budget Authority <i>(Dollars in Thousands)</i>	FY 2019	FY 2020	FY 2021
Enacted/Request	\$8,941	\$9,000	\$10,074
Carryover and/or Recoveries (Actual/Estimates/Projections)	\$8,377	\$1,249	\$1,249
Rescissions to Current Year/Budget Year	-	-	-
Net Sequestered Resources	-	-	-
Reprogrammings/Transfers	-	-	-
Supplementals	-	-	-
Total Budget Authority	\$17,318	\$10,249	\$11,323
Collections – Reimbursable Resources	\$14,344	\$13,000	\$13,000
Total Budget Resources	\$31,662	\$23,249	\$24,323
Obligations (Actual/Estimates/Projections)	\$30,413	\$22,000	\$23,074
Personnel: Positions and FTE			
Enacted/Request Positions	80	80	80
Enacted/Request FTE	118	80	80
Onboard and Actual FTE; Includes Collections - Reimbursable Resources			
Onboard (Actual/Estimates/Projections)	80	80	80
FTE (Actual/Estimates/Projections)	80	80	80

User Fee Facilities Summary of Budget Changes

Budget Formulation Activity <i>(Dollars in Thousands)</i>	Positions	FTE	Amount
FY 2019 Enacted	80	118	\$8,941
FY 2020 Enacted	80	80	\$9,000
FY 2021 Base Budget	80	80	\$9,000
Change in User Fee Collections	-	-	\$1,074
Total, Pricing Increases	-	-	\$1,074
Total Adjustments-to-Base	-	-	\$1,074
FY 2021 Current Services	80	80	\$10,074
FY 2021 Request	80	80	\$10,074
FY 2020 To FY 2021 Change	-	-	\$1,074

**User Fee Facilities
Justification of Pricing Changes**

Pricing Changes <i>(Dollars in Thousands)</i>	FY 2021 President's Budget		
	Positions	FTE	Amount
Pricing Change 1 - Change in User Fee Collections	-	-	\$1,074
Total Pricing Changes	-	-	\$1,074

Pricing Change 1 - Change in User Fee Collections: CBP regularly adjusts UFF fees as a facility requests changes in CBPO support, establishes a new agreement, or augments an existing agreement. Consistent with historical trends, CBP anticipates a collections increase in FY 2021.

User Fee Facilities
Personnel Compensation and Benefits
Pay Summary

Organization <i>(Dollars in Thousands)</i>	FY 2019 Enacted				FY 2020 Enacted				FY 2021 President's Budget				FY 2020 to FY 2021 Total			
	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate
User Fee Facilities	80	118	\$8,739	\$74.06	80	80	\$8,797	\$109.96	80	80	\$9,846	\$123.08	-	-	\$1,049	\$13.12
Total	80	118	\$8,739	\$74.06	80	80	\$8,797	\$109.96	80	80	\$9,846	\$123.08	-	-	\$1,049	\$13.12
Discretionary - Fee	80	118	\$8,739	\$74.06	80	80	\$8,797	\$109.96	80	80	\$9,846	\$123.08	-	-	\$1,049	\$13.12

Pay by Object Class

Pay Object Classes <i>(Dollars in Thousands)</i>	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget	FY 2020 - FY 2021 Change
11.1 Full-time Permanent	\$5,471	\$5,523	\$6,182	\$659
11.5 Other Personnel Compensation	\$540	\$547	\$612	\$65
12.1 Civilian Personnel Benefits	\$2,728	\$2,727	\$3,052	\$325
Total - Personnel Compensation and Benefits	\$8,739	\$8,797	\$9,846	\$1,049
Positions and FTE				
Positions - Civilian	80	80	80	-
FTE - Civilian	118	80	80	-

Pay Cost Drivers

Pay Cost Drivers <i>(Dollars in Thousands)</i>	FY 2019 Enacted			FY 2020 Enacted			FY 2021 President's Budget			FY 2020 to FY 2021 Total Changes		
	FTE	Amount	Rate	FTE	Amount	Rate	FTE	Amount	Rate	FTE	Amount	Rate
Civilian Pay	118	\$8,739	\$74.06	80	\$8,797	\$109.96	80	\$9,846	\$123.08	-	\$1,049	\$13.12
Total – Pay Cost Drivers	118	\$8,739	\$74.06	80	\$8,797	\$109.96	80	\$9,846	\$123.08	-	\$1,049	\$13.12

Explanation of Pay Cost Driver

Civilian Pay: FTEs associated with this user fee represent the anticipated number of hours of fee-related work performed by CBP employees during FY 2021. The increase in collections is supported by projected growth in UFF locations, which is expected to create a corresponding increase in workload.

User Fee Facilities
Permanent Positions by Grade – Appropriation

Grades and Salary Range <i>(Dollars in Thousands)</i>	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget	FY 2020 to FY 2021 Change
Fee Funded Positions	80	80	80	-
Total Permanent Positions	80	80	80	-
Total Perm. Employment (Filled Positions) EOY	80	80	80	-
Position Locations				
U.S. Field	80	80	80	-

User Fee Facilities
Non Pay Budget Exhibits

Non Pay Summary

Organization <i>(Dollars in Thousands)</i>	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget	FY 2020 to FY 2021 Total Changes
User Fee Facilities	\$202	\$203	\$228	\$25
Total	\$202	\$203	\$228	\$25
Discretionary - Fee	\$202	\$203	\$228	\$25

Non Pay by Object Class

Non-Pay Object Classes <i>(Dollars in Thousands)</i>	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget	FY 2020 to FY 2021 Change
21.0 Travel and Transportation of Persons	\$59	\$60	\$68	\$8
23.1 Rental Payments to GSA	-	\$3	\$3	-
23.3 Communications, Utilities, and Misc. Charges	\$19	\$20	\$23	\$3
25.2 Other Services from Non-Federal Sources	\$99	\$91	\$102	\$11
25.7 Operation and Maintenance of Equipment	\$4	\$4	\$4	-
26.0 Supplies and Materials	\$19	\$22	\$25	\$3
31.0 Equipment	\$2	\$3	\$3	-
Total - Non Pay Object Classes	\$202	\$203	\$228	\$25

Non Pay Cost Drivers

Non Pay Cost Drivers <i>(Dollars in Thousands)</i>	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget	FY 2020 to FY 2021 Total Changes
Other Services from Non-Federal Sources	\$99	\$91	\$102	\$11
Travel and Transportation of Persons	\$59	\$60	\$68	\$8
Supplies and Materials	\$19	\$22	\$25	\$3
Other Costs	\$25	\$30	\$33	\$3
Total – Non Pay Cost Drivers	\$202	\$203	\$228	\$25

Explanation of Non Pay Cost Drivers

Other Services from Non-Federal Sources: CBP engages contractual support to facilitate oversight and tracking of UFF.

Travel and Transportation of Persons: This funds travel requirements for employees to travel to UFF locations (who may regularly work at larger ports of entry), enables supervisory staff to visit UFF facilities, and permits employees located at UFF to travel for training.

Supplies and Materials: This funding covers items used in the UFF to process passengers, such as CBPO inspectional equipment and office supplies.

Other Costs: CBP incurs a number of additional non pay costs in support of the frontline activities associated with this user fee. These other costs include transportation and travel requirements for training and operations, supplies and materials for office management within CBP's program offices, and portions of indirect costs which are associated with the fee activity workloads.

Department of Homeland Security

U.S. Customs and Border Protection

9-11 Response and Biometric Exit Account



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9-11 Response and Biometric Exit Account

Budget Comparison and Adjustments

Comparison of Budget Authority

Organization (Dollars in Thousands)	FY 2019 Enacted*			FY 2020 Enacted*			FY 2021 President's Budget			FY 2020 to FY 2021 Total Changes		
	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount
9-11 Response and Biometric Exit Account	-	-	\$71,000	92	92	\$61,000	92	92	\$61,000	-	-	-
Total	-	-	\$71,000	92	92	\$61,000	92	92	\$61,000	-	-	-
Subtotal Mandatory - Fee	-	-	\$71,000	92	92	\$61,000	92	92	\$61,000	-	-	-

*The amounts shown in this and subsequent tables do not represent actual collections for FY 2019 or the Administration's estimate for FY 2020 collections. The figures shown align to estimates provided in the Joint Explanatory Statement (JES) for P.L. 116-93. Similarly, calculated carryover and total budget authority figures in the tables throughout this document are based on the 2019 and 2020 estimated collections provided in the JES. For 2019 actuals and 2020 Administration estimated collections, refer to the President's FY 2021 Budget Appendix.

The Biometric program supports the air (and eventually sea and land) entry and exit processing of passengers, making travel easier and more efficient for these travelers. CBP, in partnership with the air travel industry, will lead the transformation of air travel using biometrics as the key to enhancing security and unlocking benefits that dramatically improve the entire traveler experience. CBP will also build a “Biometric Pathway Backbone,” which will allow for private sector investment in front-end infrastructure, such as facial recognition self-boarding gates, self-service baggage drop off kiosks, and other equipment.

Fee Authority: Title III of the *FY 2016 Consolidated Appropriations Act* (P.L. 114-113) authorized funding of up to \$1.0B to be collected through fee surcharges over a period of 10 years for the implementation of a biometric exit program. The Biometric fee revenue comes from applicants for H1-B and L-1 visas, which are collected by the USCIS.

Fee Uses: The 9-11 Response and Biometric Entry and Exit (Biometric) fees enable CBP to fund activities related to the establishment of a biometric entry and exit system to help the U.S. Government monitor arrivals and departures and to more easily and efficiently track travelers.

The \$1.0B for the biometric entry/exit program was designed to be disbursed over a period of 10 years as the program is implemented. Fully implementing the biometric entry/exit program will incur costs to deploy technology, train users, and communicate within CBP and externally with airlines and other key stakeholders. The primary investment, though, will be in technology. The key phases of the Biometric program implementation are outlined below:

- Phase One is to build the foundation for the biometric exit solution, focusing on departure information systems. This phase consists of initiating real time electronic biometric matching at the boarding gate, which will result in a biometric confirmation being written into the crossing record.

- Phase Two will center on enterprise services and generating the biometric exit solution. This phase consists of building biometric matching and other services which will support biometric exit and can later be leveraged for entry processing. The purpose of phase two is to develop the overall IT infrastructure which front-end cameras can “plug in to” once CBP is ready to deploy the collection capability.
- Phase Three encompasses the transition to scalable infrastructure to support onboarding, so the solutions can be deployed across the U.S.

Change Mechanism: Statutory. USCIS is the custodian of the biometric account. USCIS, in partnership with DHS and CBP, initiates fee changes.

Previous Changes: Amended through H-1B Visa Reform Act of 2004.

Recovery Rate: Biometric is designed to be full cost recovery. Collections have dropped substantially since February 2017, due to the changing economic and political environment for the workforces and companies that utilize the H-1B and L-1 visas.

FY 2018 collections of \$59.5M were significantly lower than the original estimate of \$115.0M. FY 2019 collections of \$59.1M are below the original estimate of \$115.0M (which was subsequently reduced by USCIS to \$71.0M) and did not reach FY 2018 collection levels. Based on the current USCIS collection estimates, CBP now anticipates collecting approximately \$700.0M over ten years, 30 percent less than the original \$1.0B estimate. The reduced collections will likely impact CBP’s ability to expand the program beyond the air environment within the 10-year period.

Cost Recovery Rate

(Dollars in Thousands)

	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	TOTAL
Amount of Fee Collected	N/A	78,400	62,337	59,540	59,126	259,404
Total of Eligible Expenses	-	-	70,669	81,303	72,056	224,028
Cost Recovery %			88.2%	73.2%	82.1%	115.8%

9-11 Response and Biometric Exit Account Budget Authority and Obligations

Budget Authority (Dollars in Thousands)	FY 2019	FY 2020	FY 2021
Enacted/Request	\$71,000	\$61,000	\$61,000
Carryover and/or Recoveries (Actual/Estimates/Projections)	\$52,879	\$58,456	\$58,456
Rescissions to Current Year/Budget Year	-	-	-
Net Sequestered Resources	\$264	\$67	\$3,599
Reprogrammings/Transfers	-	-	-
Supplementals	-	-	-
Total Budget Authority	\$124,143	\$119,523	\$123,055
Collections – Reimbursable Resources	\$6,369	-	-
Total Budget Resources	\$130,512	\$119,523	\$123,055
Obligations (Actual/Estimates/Projections)	\$72,056	\$61,067	\$64,599
Personnel: Positions and FTE			
Enacted/Request Positions	-	92	92
Enacted/Request FTE	-	92	92
Onboard and Actual FTE; Includes Collections - Reimbursable Resources			
Onboard (Actual/Estimates/Projections)	-	92	92
FTE (Actual/Estimates/Projections)	-	92	92

9-11 Response and Biometric Exit Account

Summary of Budget Changes

Budget Formulation Activity <i>(Dollars in Thousands)</i>	Positions	FTE	Amount
FY 2019 Enacted	-	-	\$71,000
FY 2020 Enacted	92	92	\$61,000
FY 2021 Base Budget	92	92	\$61,000
FY 2021 Current Services	92	92	\$61,000
FY 2021 Request	92	92	\$61,000
FY 2020 To FY 2021 Change	-	-	-

9-11 Response and Biometric Exit Account
Personnel Compensation and Benefits
Pay Summary

Organization (Dollars in Thousands)	FY 2019 Enacted				FY 2020 Enacted				FY 2021 President's Budget				FY 2020 to FY 2021 Total			
	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate
9-11 Response and Biometric Exit Account	-	-	-	-	92	92	\$7,000	\$76.09	92	92	\$7,000	\$76.09	-	-	-	-
Total	-	-	-	-	92	92	\$7,000	\$76.09	92	92	\$7,000	\$76.09	-	-	-	-
Mandatory - Fee	-	-	-	-	92	92	\$7,000	\$76.09	92	92	\$7,000	\$76.09	-	-	-	-

Pay by Object Class

Pay Object Classes (Dollars in Thousands)	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget	FY 2020 - FY 2021 Change
11.1 Full-time Permanent	-	\$4,500	\$4,500	-
12.1 Civilian Personnel Benefits	-	\$2,500	\$2,500	-
Total - Personnel Compensation and Benefits	-	\$7,000	\$7,000	-
Positions and FTE				
Positions - Civilian	-	92	92	-
FTE - Civilian	-	92	92	-

9-11 Response and Biometric Exit Account
Permanent Positions by Grade – Appropriation

Grades and Salary Range <i>(Dollars in Thousands)</i>	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget	FY 2020 to FY 2021 Change
Fee Funded Positions	-	92	92	-
Total Permanent Positions	-	92	92	-
Total Perm. Employment (Filled Positions) EOY	-	92	92	-
Position Locations				
U.S. Field	-	92	92	-

Pay Cost Drivers

Pay Cost Drivers <i>(Dollars in Thousands)</i>	FY 2019 Enacted			FY 2020 Enacted			FY 2021 President's Budget			FY 2020 to FY 2021 Total Changes		
	FTE	Amount	Rate	FTE	Amount	Rate	FTE	Amount	Rate	FTE	Amount	Rate
Civilian Pay	-	-	-	92	\$7,000	\$76.09	92	\$7,000	\$76.09	-	-	-
Total – Pay Cost Drivers	-	-	-	92	\$7,000	\$76.09	92	\$7,000	\$76.09	-	-	-

Explanation of Pay Cost Driver

Civilian Pay: In FY 2020, CBP began using Biometric funding to support positions for the program.

9-11 Response and Biometric Exit Account

Non Pay Budget Exhibits

Non Pay Summary

Organization (Dollars in Thousands)	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget	FY 2020 to FY 2021 Total Changes
9-11 Response and Biometric Exit Account	\$71,000	\$54,000	\$54,000	-
Total	\$71,000	\$54,000	\$54,000	-
Mandatory - Fee	\$71,000	\$54,000	\$54,000	-

Non Pay by Object Class

Non-Pay Object Classes (Dollars in Thousands)	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget	FY 2020 to FY 2021 Change
21.0 Travel and Transportation of Persons	\$328	\$211	\$211	-
23.2 Rental Payments to Others	\$1,005	\$711	\$711	-
25.2 Other Services from Non-Federal Sources	\$48,982	\$38,726	\$38,726	-
25.7 Operation and Maintenance of Equipment	\$18,477	\$1,000	\$1,000	-
26.0 Supplies and Materials	\$9	\$25	\$25	-
31.0 Equipment	\$2,199	\$13,327	\$13,327	-
Total - Non Pay Object Classes	\$71,000	\$54,000	\$54,000	-

Non Pay Cost Drivers

Non Pay Cost Drivers <i>(Dollars in Thousands)</i>	FY 2019 Enacted	FY 2020 Enacted	FY 2021 President's Budget	FY 2020 to FY 2021 Total Changes
Other Services from Non-Federal Sources	\$48,982	\$38,726	\$38,726	-
Equipment	\$2,199	\$13,327	\$13,327	-
Other Costs	\$19,819	\$1,947	\$1,947	-
Total – Non Pay Cost Drivers	\$71,000	\$54,000	\$54,000	-

Explanation of Non Pay Cost Drivers

Other Services from Non-Federal Sources: CBP engages contractual support to facilitate oversight and tracking of the implementation of the biometric program. While implementation start-up costs were higher as the program investment began, the investment costs decrease over time.

Equipment / Operation and Maintenance of Equipment: Biometric equipment includes infrastructure, networks, storage, and hardware to support the implementation of the biometric program. This funding is used to purchase, operate, and maintain equipment used in the biometric program.

Other Costs: CBP incurs a number of additional non-pay costs in support of the frontline activities associated with this user fee. These other costs include transportation and travel requirements for trainings and operations, supplies and materials for office management within CBP's program offices, and portions of indirect costs that are associated with the fee activity workloads.