



DHS Exhibit 300 Public Release BY09 (Form) / CBP - Traveler Enforcement Compliance System - Modernization (TECS Modernization) (2009) (Item)

Form Report, printed by: Administrator, System, Feb 6, 2008

OVERVIEW

General Information

1. Date of Submission:	Sep 7, 2007
2. Agency:	Department of Homeland Security
3. Bureau:	Security, Enforcement and Investigations (SEI)
4. Name of this Capital Asset:	CBP - Traveler Enforcement Compliance System - Modernization (TECS Modernization) (2009)
5. Unique ID:	024-50-01-02-01-5072-00
(For IT investments only, see section 53. For all other, use agency ID system.)	

All investments

6. What kind of investment will this be in FY2009? (Please NOTE: Investments moving to O&M ONLY in FY2009, with Planning/Acquisition activities prior to FY2009 should not select O&M. These investments should indicate their current status.)
Planning
7. What was the first budget year this investment was submitted to OMB?
FY2009
8. Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap. [LIMIT: 2500 char]
The "Traveler Enforcement Compliance System" (TECS) is a key border enforcement system that supports the screening of travelers entering the U.S. as well as for the screening requirements of other Federal Agencies. The objective of this project is to enhance CBP and ICE mission capabilities by developing and deploying a modernized system to replace the current one.
9. Did the Agency's Executive/Investment Committee approve this request?
Yes
9.a. If "yes," what was the date of this approval?
Sep 23, 2005
10. Did the Project Manager review this Exhibit?
Yes
12. Has the agency developed and/or promoted cost effective, energy-efficient and environmentally sustainable techniques or practices for this project?
Yes
12.a. Will this investment include electronic assets (including computers)?
Yes
12.b. Is this investment for new construction or major retrofit of a Federal building or facility? (answer applicable to non-IT assets only)
12.b.1. If "yes," is an ESPC or UESC being used to help fund this investment?
12.b.2. If "yes," will this investment meet sustainable design principles?
12.b.3. If "yes," is it designed to be 30% more energy efficient than relevant code?
13. Does this investment support one of the PMA initiatives?
Yes
If "yes," select the initiatives that apply:

Human Capital	Yes
Budget Performance Integration	
Financial Performance	
Expanded E-Government	Yes
Competitive Sourcing	
Faith Based and Community	
Real Property Asset Management	
Eliminating Improper Payments	

Privatization of Military Housing	
R and D Investment Criteria	
Housing and Urban Development Management and Performance	
Broadening Health Insurance Coverage through State Initiatives	
Right Sized Overseas Presence	
Coordination of VA and DoD Programs and Systems	

13.a. Briefly and specifically describe for each selected how this asset directly supports the identified initiative(s)? (e.g. If E-Gov is selected, is it an approved shared service provider or the managing partner?)

Human Capital: It will promote hiring new employees with modern technology knowledge and promote knowledge transfer before staff with legacy TECS skills/knowledge retires, reduces the time it takes to make decisions and changes the span of control. E-Gov: Supports sharing of information more quickly between the federal and state, local, and tribal governments; improves collaboration with foreign governments and institutions, and the automation of internal processes to reduce costs across DHS

14. Does this investment support a program assessed using Program Assessment Rating Tool (PART)?

Yes

14.a. If "yes," does this investment address a weakness found during the PART review?

Yes

14.b. If "yes," what is the name of the PART program assessed by OMB's Program Assessment Rating Tool?

CBP - Border Security Inspections and Trade Facilitation at POE's Program

14.c. If "yes," what rating did the PART receive?

Effective

15. Is this investment for information technology (See section 53 for definition)?

Yes

For information technology investments only:

16. What is the level of the IT Project (per CIO Council's PM Guidance)?

Level 3

17. What project management qualifications does the Project Manager have? (per CIO Council's PM Guidance)

(2) Project manager qualification is under review for this investment

18. Is this investment identified as "high risk" on the Q4-FY 2007 agency high risk report (per OMB Memorandum M-05-23)

No

19. Is this a financial management system?

No

19.a. If "yes," does this investment address a FFMIA compliance area?

19.a.1. If "yes," which compliance area: [LIMIT: 250 char]

19.a.2. If "no," what does it address? [LIMIT: 500 char]

19.b. If "yes," please identify the system name(s) and system acronym(s) as reported in the most recent financial systems inventory update required by Circular A-11 section 52. [LIMIT: 2500 char]

20. What is the percentage breakout for the total FY2009 funding request for the following? (This should total 100%)

Area	Percentage	
Hardware	15.18	
Software	28.65	
Services	53.14	
Other	3.04	
Total	100.00	★

21. If this project produces information dissemination products for the public, are these products published to the Internet in conformance with OMB Memorandum 05-04 and included in your agency inventory, schedules and priorities?

No

23. Are the records produced by this investment appropriately scheduled with the National Archives and Records Administration's approval?

No

Question 24 must be answered by all Investments:

24. Does this investment directly support one of the GAO High Risk Areas?

Yes

SUMMARY OF SPENDING

SUMMARY OF SPENDING FOR PROJECT PHASES (In Millions)

1. Provide the total estimated life-cycle cost for this investment by completing the following table. All amounts represent budget authority in millions, and are rounded to three decimal places. Federal personnel costs should be included only in the row designated "Government FTE Cost," and should be excluded from the amounts shown for "Planning," "Full Acquisition," and "Operation/Maintenance." The total estimated annual cost of the investment is the sum of costs for "Planning," "Full Acquisition," and "Operation/Maintenance." For Federal buildings and facilities, life-cycle costs should include long term energy, environmental, decommissioning, and/or restoration costs. The costs associated with the entire life-cycle of the investment should be included in this report.

All amounts represent Budget Authority

	PY-1 & Earlier	PY	CY	BY
	-2006	2007	2008	2009
Planning:				
Budgetary Resources	2.800	2.000	4.760	11.425
Acquisition:				
Budgetary Resources	0.000	0.000	23.626	49.247
TOTAL, sum of stages:				
Budgetary Resources	2.800	2.000	28.386	60.672
Maintenance:				
Budgetary Resources	0.000	0.000	0.000	4.904
TOTAL, All Stages				
Budgetary Resources	2.800	2.000	28.386	65.576
Government FTE Costs	0.000	0.000	0.124	0.124
# of FTEs	0.00	0.00	1.00	1.00
Total, BR + FTE Cost	2.800	2.000	28.510	65.700

Note: For the multi-agency investments, this table should include all funding (both managing partner and partner agencies).

Government FTE Costs should not be included as part of the TOTAL represented.

2. Will this project require the agency to hire additional FTE's?

Yes

2.a. If "yes," how many and in what year? [LIMIT: 500 char]

1 FTE in FY08

3. If the summary of spending has changed from the FY2008 President's budget request, briefly explain those changes. [LIMIT: 2500 char]

Initially, TECS Modernization had an authorized budget in the FYHSP of \$25.000M a year to cover development and associate follow-on maintenance starting in FY2008. Based on the RAD decision of August 2007, CBP was approved for an additional \$25.000M in FY2009, \$31.000M in FY2010, \$42.000M in FY2011, \$46.000M in FY2012 and for \$48.800M for FY2013. In addition to this approved budget and due to TECS Modernization is a consolidated business case with Immigrations and Customs Enforcement, ICE was approved for the modernization of TECS case management module for the amount of \$21.300M in FY2009, \$37.180M in FY2010, \$37.710M in FY2011, \$38.870M in FY2012 and \$40.240M for FY2013 and beyond.