



DHS Exhibit 300 Public Release BY09 (Form) / CBP - Western Hemisphere Travel Initiative (WHTI) (2009) (Item)

Form Report, printed by: Administrator, System, Feb 6, 2008

OVERVIEW

General Information

1. Date of Submission:	Sep 6, 2007
2. Agency:	Department of Homeland Security
3. Bureau:	Security, Enforcement and Investigations (SEI)
4. Name of this Capital Asset:	CBP - Western Hemisphere Travel Initiative (WHTI) (2009)
5. Unique ID:	024-50-01-02-01-5116-00

(For IT investments only, see section 53. For all other, use agency ID system.)

All investments

6. What kind of investment will this be in FY2009?

(Please NOTE: Investments moving to O&M ONLY in FY2009, with Planning/Acquisition activities prior to FY2009 should not select O&M. These investments should indicate their current status.)

Full-Acquisition

7. What was the first budget year this investment was submitted to OMB?

FY2008

8. Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap. [LIMIT: 2500 char]

The Western Hemisphere Travel Initiative (WHTI) investment will make modifications to vehicle primary processing lanes at the 39 highest volume ports of entry that support 95% of land border traffic and install Radio Frequency Identification (RFID) technology at land border primary inspection lanes. WHTI will allow CBP to effectively address the new requirements imposed by the Western Hemisphere Travel Initiative (Section 7209 of the Intelligence Reform and Terrorism Prevention Act of 2004 (IRTPA), Public Law 108-458), as amended, by the deadline of June 1, 2009. WHTI requires travelers to present a passport or other authorized travel document that denotes identity and citizenship when entering the United States. Currently, U.S. and Canadian Citizens are not required to have travel documents to cross the land border – WHTI changes this current policy.

Without substantial improvements (such as RFID technology), primary processing time will increase dramatically due to the new requirements and cause significant border crossing delays. As an alternative, CBP could hire a significant number of new officers to participate in primary processing inspections. This investment provides a smarter, more cost effective alternative to meeting the requirements of the WHTI. CBP uses similar technology in its Trusted Traveler programs (such as NEXUS and SENTRI) to automate border crossings inspections and leverage CBP officer personnel and time for more value added activities. NEXUS and SENTRI lanes have resulted in shorter lines at the border with a fraction of the staff otherwise required to do the same job. This investment will leverage CBP's experience with Trusted Traveler programs to meet WHTI requirements.

The Department of State (DOS) has agreed to issue a "passport card" to US Citizens that will incorporate a radio frequency identification (RFID) tag. DOS has indicated that they are willing to work on including RFID technology in Border Crossing Cards and other visas in the future. DHS is also likely to designate NEXUS and SENTRI cards as acceptable documentation under WHTI. This may increase the demand for NEXUS and SENTRI enrollment. Although NEXUS and SENTRI have been using RFID technology for several years to facilitate inspections in primary lane vehicle inspections, the technology will need to be upgraded to the latest standards for WHTI.

9. Did the Agency's Executive/Investment Committee approve this request?

Yes

9.a. If "yes," what was the date of this approval?

Nov 9, 2006

10. Did the Project Manager review this Exhibit?

Yes

12. Has the agency developed and/or promoted cost effective, energy-efficient and environmentally sustainable techniques or practices for this project?

Yes

12.a. Will this investment include electronic assets (including computers)?

Yes

12.b. Is this investment for new construction or major retrofit of a Federal building or facility? (answer applicable to non-IT assets only)

12.b.1. If "yes," is an ESPC or UESC being used to help fund this investment?

12.b.2. If "yes," will this investment meet sustainable design principles?

12.b.3. If "yes," is it designed to be 30% more energy efficient than relevant code?

13. Does this investment support one of the PMA initiatives?

Yes

If "yes," select the initiatives that apply:

Human Capital	Yes
Budget Performance Integration	
Financial Performance	
Expanded E-Government	Yes
Competitive Sourcing	
Faith Based and Community	
Real Property Asset Management	
Eliminating Improper Payments	
Privatization of Military Housing	
R and D Investment Criteria	
Housing and Urban Development Management and Performance	
Broadening Health Insurance Coverage through State Initiatives	
Right Sized Overseas Presence	
Coordination of VA and DoD Programs and Systems	

13.a. Briefly and specifically describe for each selected how this asset directly supports the identified initiative(s)? (e.g. If E-Gov is selected, is it an approved shared service provider or the managing partner?)

HUMAN CAPITAL: RFID technology to preposition information on vehicle primary will enable CBPOs to verify identity and nationality of all travelers while reducing wait times. The addition of new CBPOs will provide service to citizens in the areas of enrollment in trusted traveler programs and secondary inspections.

EXPANDED E_GOVERNMENT: WHTI will support electronic collaboration between DHS and DOS, Canada and Mexico, and those states who implement enhanced driver's licenses.

14. Does this investment support a program assessed using Program Assessment Rating Tool (PART)?

Yes

14.a. If "yes," does this investment address a weakness found during the PART review?

Yes

14.b. If "yes," what is the name of the PART program assessed by OMB's Program Assessment Rating Tool?

CBP - Border Security Inspections and Trade Facilitation at POE's Program

14.c. If "yes," what rating did the PART receive?

Effective

15. Is this investment for information technology (See section 53 for definition)?

Yes

For information technology investments only:

16. What is the level of the IT Project (per CIO Council's PM Guidance)?

Level 3

17. What project management qualifications does the Project Manager have? (per CIO Council's PM Guidance)

(2) Project manager qualification is under review for this investment

18. Is this investment identified as "high risk" on the Q4-FY 2007 agency high risk report (per OMB Memorandum M-05-23)

No

19. Is this a financial management system?

No

19.a. If "yes," does this investment address a FFMIA compliance area?

19.a.1. If "yes," which compliance area: [LIMIT: 250 char]

19.a.2. If "no," what does it address? [LIMIT: 500 char]

19.b. If "yes," please identify the system name(s) and system acronym(s) as reported in the most recent financial systems inventory update required by Circular A-11 section 52. [LIMIT: 2500 char]

20. What is the percentage breakout for the total FY2009 funding request for the following? (This should total 100%)

Area	Percentage	
Hardware	32.01	
Software	8.64	
Services	46.71	
Other	12.64	
Total	100.00	★

21. If this project produces information dissemination products for the public, are these products published to the Internet in conformance with OMB Memorandum 05-04 and included in your agency inventory, schedules and priorities?

N/A

23. Are the records produced by this investment appropriately scheduled with the National Archives and Records Administration's approval?

No

Question 24 must be answered by all Investments:

24. Does this investment directly support one of the GAO High Risk Areas?

No

SUMMARY OF SPENDING

SUMMARY OF SPENDING FOR PROJECT PHASES (In Millions)

1. Provide the total estimated life-cycle cost for this investment by completing the following table. All amounts represent budget authority in millions, and are rounded to three decimal places. Federal personnel costs should be included only in the row designated "Government FTE Cost," and should be excluded from the amounts shown for "Planning," "Full Acquisition," and "Operation/Maintenance." The total estimated annual cost of the investment is the sum of costs for "Planning," "Full Acquisition," and "Operation/Maintenance." For Federal buildings and facilities, life-cycle costs should include long term energy, environmental, decommissioning, and/or restoration costs. The costs associated with the entire life-cycle of the investment should be included in this report.

All amounts represent Budget Authority

	PY-1 & Earlier	PY	CY	BY
	-2006	2007	2008	2009
Planning:				
Budgetary Resources	0.000	10.000	0.000	0.000
Acquisition:				
Budgetary Resources	0.000	16.000	202.871	93.150
TOTAL, sum of stages:				
Budgetary Resources	0.000	26.000	202.871	93.150
Maintenance:				
Budgetary Resources	0.000	0.000	0.000	64.357
TOTAL, All Stages				
Budgetary Resources	0.000	26.000	202.871	157.507
Government FTE Costs	0.000	0.000	22.129	9.916
# of FTEs	0.00	0.00	102.00	45.00
Total, BR + FTE Cost	0.000	26.000	225.000	167.423

Note: For the multi-agency investments, this table should include all funding (both managing partner and partner agencies).

Government FTE Costs should not be included as part of the TOTAL represented.

2. Will this project require the agency to hire additional FTE's?

Yes

2.a. If "yes," how many and in what year? [LIMIT: 500 char]

205 in FY08 and 89 in FY09. FTEs In SoS is 1 for 2 actual.

3. If the summary of spending has changed from the FY2008 President's budget request, briefly explain those changes. [LIMIT: 2500 char]