

## OIG Recovery Act Plan Overview

<b>OIG Name:</b>	U.S. Department of Homeland Security (DHS), Office of Inspector General (OIG)
<b>OIG Broad Recovery Act Goals:</b>	DHS-OIG's oversight strategy is designed to help ensure that American Recovery and Reinvestment Act of 2009 (Recovery Act or ARRA) funds are spent timely and effectively and to detect and prevent errors, waste, fraud, and abuse of tax payer funds. The approach to the oversight of Recovery Act funds consists of three phases. Phase I consisted of assessing relative prior audit findings that would impact DHS administration of Recovery Act funds and evaluating DHS and component spending plans for practicality and comprehensiveness. Phase II provides for reviewing higher risk programs to ensure compliance with established program plans. Phase III involves evaluating outcomes of the individual initiatives to determine whether the department's program goals were achieved. In addition, the DHS-OIG will monitor and follow up on valid OIG hotline allegations and whistle blower complaints pertaining to Recovery Act issues.
<b>OIG Broad Training and Outreach Recovery Act Goals:</b>	DHS-OIG's outreach goals are to: <ol style="list-style-type: none"> <li>1. Disseminate information about DHS Recovery Act-funded programs through audit reports, oversight plans, and weekly reports on the DHS-OIG website; attendance and delivery of presentations on Recovery Act-related topics at affiliated professional associations; and incorporation of Recovery Act-related topics into personnel training and development plans.</li> <li>2. Participate in training on fraud awareness and whistle blower protection with DHS administrators and recipients of Recovery Act funds.</li> </ol>
<b>OIG Recovery Act Risk Assessment Process:</b>	DHS-OIG's risk assessment covered the six DHS components administering 11 Recovery Act projects totaling \$2.75 billion. Risk factors included prior audit findings, controls, adequacy of spending plans, dollar value and nature of program, program delivery systems, newness of the program, and public interest. Based on our assessment, available resources, and planned coverage by the Government Accountability Office of DHS ARRA projects, we selected the projects identified in the attached workplan for review during 2010. Those projects account for approximately 63 percent of the \$2.75 billion in ARRA funds received by DHS. During the audit phases, DHS-OIG will periodically reassess the risks of DHS programs funded by the Recovery Act and adjust oversight plans as appropriate.
<b>OIG Staff Dedicated to Recovery Act Oversight:</b>	DHS-OIG will staff Recovery Act work with a combination of new hires and current OIG employees. We plan to hire seven new employees who will be dedicated full-time to Recovery Act work. In addition, we will assign six current staff to certain Recovery Act assignments that represent the use of Recovery Act funds on existing DHS programs. In fiscal year 2010, we estimate 13 OIG audit staff will be working on Recovery Act-related assignments.
<b>OIG Recovery Act Funds:</b>	\$5,000,000
<b>Expiration Date of OIG Recovery Act Funds:</b>	30-Sep-12
<b>OIG Recovery Act Funds Allocated to Contracts:</b>	No
<b>Purpose of Recovery Act Contracts:</b>	
<b>Types of Recovery Act Contracts Awarded to Date:</b>	
<b>Link to OIG Recovery Act Work Plan:</b>	<a href="http://www.dhs.gov/xoig/gc_1238090716408.shtm">http://www.dhs.gov/xoig/gc_1238090716408.shtm</a>