

9th PCIE Hurricane Katrina Report: To Date As of 03-31-06

	DHS	DOC	DOD	DOE(3)	DOI	DOJ	DOL	DOT	ED	EPA	GSA	HHS	HUD	NASA	SBA	SSA	TIGTA	USDA	USPS	VA	TOTAL
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Section 01. OIG STAFF ASSIGNED

A. Full-Time Equivalent																					
1. Administrative	3.50	-	0.07	-	-	-	-	-	-	-	-	-	1.00	1.00	-	-	-	1.00	-	-	6.57
2. Auditors	57.50	-	51.34	3.00	6.50	3.50	21.00	14.00	25.50	13.00	6.25	32.00	5.00	8.00	12.00	3.00	44.00	44.00	28.00	5.00	382.59
3. Consultants/Contractors	3.00	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	3.00
4. Inspectors	10.00	-	-	-	-	-	-	-	-	-	-	8.00	-	-	-	-	-	-	-	-	18.00
5. Investigators	25.00	-	0.37	-	1.25	0.50	12.00	2.00	-	3.00	1.00	-	7.00	2.00	1.00	8.00	-	3.00	7.00	1.00	74.12
6. Other (e.g. Technical, Specialists)	-	-	0.91	-	-	-	4.00	-	-	-	-	-	6.00	-	-	-	-	-	3.00	-	13.91
Total	99.00	0.00	52.69	3.00	7.75	4.00	37.00	16.00	25.50	16.00	7.25	40.00	19.00	11.00	13.00	11.00	44.00	48.00	38.00	6.00	498.19

Section 02. CONTRACT: AGENCY ACTIONS

A. # Contracts Awarded																						
1. <= \$500K	2,686	-	-	-	560	46	4	56	-	170	1,418	155	11	26	-	12	16	278	24	-	5,462	
2. > \$500K (1)																						
a. Full and Open Competition	144	-	see DoD comments	-	5	-	-	7	-	74	42	22	-	5	-	1	-	46	2	-	348	
b. "Limited" Competition	1	-		-	-	-	-	2	-	-	19	-	4	-	-	-	-	-	-	-	-	26
c. No Bid/Sole Source	420	-		-	2	3	2	6	-	13	147	6	3	2	-	-	-	-	-	3	-	607
d. Other	205	-		-	2	1	-	-	-	-	11	-	3	-	-	-	-	-	-	-	-	222
Sub-Total of > \$500K (1)	770	-	-	-	9	4	2	15	-	87	219	28	10	7	-	1	-	46	5	-	1,203	
Total # Contracts Awarded	3,456	-	-	-	569	50	6	71	-	257	1,637	183	21	33	-	13	16	324	29	-	6,665	

B. \$ Value Contracts Awarded (in thousands)																					
1. <= \$500K	\$ 227,203	\$ 5,300	\$ -	\$ -	\$ 7,328	\$ 1,874	\$ 2,712	\$ 7,080	\$ -	\$ 12,946	\$ 80,464	\$ 13,225	\$ 1,260	\$ 4,596	\$ -	\$ 1,083	\$ 318	\$ 28,798	\$ 2,176	\$ -	\$ 396,363
2. > \$500K (1)	\$ 5,151,589	\$ 1,200	\$ 2,774,623	\$ -	\$ 10,854	\$ 7,335	\$ 2,048	\$ 327,836	\$ -	\$ 222,467	\$ 554,108	\$ 77,011	\$ 16,690	\$ 84,564	\$ -	\$ 600	\$ -	\$ 63,205	\$ 6,173	\$ -	\$ 9,300,303
Total \$ Value of Contracts Awarded	\$ 5,378,792	\$ 6,500	\$ 2,774,623	\$ -	\$ 18,182	\$ 9,209	\$ 4,760	\$ 334,916	\$ -	\$ 235,413	\$ 634,572	\$ 90,236	\$ 17,950	\$ 89,160	\$ -	\$ 1,683	\$ 318	\$ 92,003	\$ 8,349	\$ -	\$ 9,696,666

Section 03. CONTRACT: OIG REVIEWS

A. # Of Contract Reviews																						
1. In-Process	65	1	8	-	-	1	6	1	-	1	182	10	2	11	-	-	-	-	-	1	-	289
2. Completed	94	-	1	-	-	-	-	-	-	126	-	-	-	22	-	-	-	-	2	22	1	246
Total	159	1	9	-	-	1	6	1	-	127	182	10	2	33	-	-	-	-	3	23	1	535

B. \$ Value of Reviews (in thousands)																						
1. In-Process	\$ 2,377,075	\$ 6,500	\$ 2,489,707	\$ -	\$ -	\$ 5,180	\$ 4,760	\$ 210,421	\$ -	\$ 981	\$ 542,549	\$ 35,136	\$ 19,000	\$ 11,243	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 5,702,552
2. Completed	\$ 1,195,343	\$ -	\$ 249,000	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 219,100	\$ -	\$ -	\$ -	\$ 77,917	\$ -	\$ -	\$ -	\$ -	\$ 8,349	\$ 709,440	\$ -	\$ 2,459,149
Total	\$ 3,572,418	\$ 6,500	\$ 2,738,707	\$ -	\$ -	\$ 5,180	\$ 4,760	\$ 210,421	\$ -	\$ 220,081	\$ 542,549	\$ 35,136	\$ 19,000	\$ 89,160	\$ -	\$ -	\$ -	\$ -	\$ 8,349	\$ 709,440	\$ -	\$ 8,161,701

Section 04. PURCHASE CARD: AGENCY TRANSACTIONS

A. Total \$ spent to date (in thousands)																					
	\$ 22,687	\$ 289	see DoD comments	\$ -	\$ 22,323	\$ 8,967	\$ 540	\$ 821	\$ -	\$ 1,480	\$ 107	\$ 2,175	\$ -	\$ 135	\$ -	\$ 224	\$ 74	\$ 20,061	\$ 1,917	\$ -	\$ 81,800

Section 05. PURCHASE CARD: OIG REVIEWS

A. % of PCard Transaction \$s reviewed to date																					
	29%	0%	see DoD comments	-	0%	0%	0%	0%	-	50%	0%	0%	-	99%	-	0%	0%	0%	100%	-	

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Section 06. NON-CONTRACT: OIG REVIEWS																					
A. # Grant Reviews																					
1. In-Process	21	1	-	-	-	-	3	5	9	-	-	1	-	-	-	-	-	1	-	-	41
2. Completed	7	-	-	-	-	1	4	-	-	-	-	-	-	-	-	-	-	-	-	-	12
B. # Mission Assignment Reviews																					
1. In-Process	2	-	3	-	-	-	-	4	-	3	1	-	-	2	-	1	-	3	-	-	19
2. Completed	-	-	-	-	-	-	-	2	-	-	-	-	-	-	-	-	-	4	1	-	7
C. # Other Non- Contract Reviews																					
1. In-Process	16	-	11	1	1	-	4	1	-	-	1	3	-	-	2	-	7	-	3	1	51
2. Completed	6	-	-	1	-	-	3	1	-	-	-	-	-	-	3	-	3	-	4	-	21
Section 07. OIG REPORTING																					
A. # Reports Issued	29	-	1	1	-	1	7	2	-	3	1	-	-	-	-	-	-	-	-	-	45
B. # Reported \$ Value (in thousands)																					(in thousands)
1. Questioned Costs	\$ 7,631	-	\$ -	\$ -	-	-	\$ -	\$ -	-	\$ -	\$ -	-	-	-	-	-	-	-	\$ -	-	\$ 7,631
(a). Unsupported Costs	\$ -	-	\$ -	\$ -	-	-	\$ -	\$ 33,292	-	\$ -	\$ -	-	-	-	-	-	-	-	\$ -	-	\$ 33,292
2. Funds Put to Better Use	\$ 13,922	-	\$ -	\$ -	-	-	\$ -	\$ -	-	\$ -	\$ -	-	-	-	-	-	-	-	\$ -	-	\$ 13,922
Section 08. OIG CONGRESSIONAL RESPONSES																					
A. # Congressionals Received	18	-	7	-	-	-	-	-	-	-	-	1	1	-	1	1	-	-	1	-	30
B. # OIG Congressional Responses																					
1. In-Process	7	-	6	-	-	-	-	-	-	-	-	-	1	-	-	-	-	-	-	-	14
2. Completed	11	-	1	-	-	-	-	-	-	-	-	-	-	-	1	1	-	-	1	-	15
Section 09. OIG INVESTIGATIVE STATISTICS																					
A. # Hotline Complaints (2)	4,533	1	9,664	2	-	-	10	1	-	6	-	2	81	-	12	22	-	1	50	-	14,385
B. # Cases Opened	466	-	6	-	1	6	206	10	-	6	2	17	18	11	3	17	2	11	3	-	785
C. # Arrests	117	-	1	-	-	-	14	2	-	-	-	-	6	5	-	4	1	2	-	-	152
D. # Indictments	140	-	-	-	-	-	13	3	-	-	-	-	5	1	-	9	1	2	-	-	174
E. # Convictions	40	-	2	-	-	-	1	1	-	-	-	-	2	-	-	1	-	1	-	-	48
LEGEND:																					
(1) DOD = over \$1 million																					
(2) DOD = # of complaints received on Katrina Hotline. On March 20, 2006, the Hurricane Relief Fraud Hotline was transitioned from Defense to the Hurricane Katrina Fraud Relief Task Force Command Center in Baton Rouge, Louisiana.																					
(3) DOE = To date, DOE has received only a small portion of the funds allocated for hurricane relief-related activities. Future efforts of the OIG will depend on the amount of money the Department receives and how those funds are used.																					

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Section 01. OIG STAFF ASSIGNED - Managers are reported within the appropriate staff category

Agency:	Comment/Footnote:
DOC	Final audit report in process
DoD	The FTEs are understated for the following reasons: The number of FTEs reported does not include AFAA. We did not obtain FTE data from AFAA in time for submission. OIG - Only a portion of FTEs from the DoD Hotline were captured.
EPA	Auditors and Program Evaluators are combined under the "Auditor" category. Also included is the Auditor who until recently was working on the DoDOIG Hotline.
GSA	The 6.25 FTE for auditors includes one GS-14, four GS-13, and one GS-12 assigned to the audit full-time as well as a GS-15 part-time. The one FTE for investigators includes two part-time investigators.
HUD	Other: The 6 specialists (other) listed are forensic auditors assigned to the Office of Investigations.
TIGTA	Reflects audit staff assigned to 7 open audits as of COB 03/31/06.
USPS	Auditors include 6 managers; Investigators include 2 managers.

Section 02. CONTRACT: AGENCY ACTIONS

Agency:	Comment/Footnote:
DOC	Final audit report in process
DoD	The total dollar value of contracts was based on data provided by OSD Acquisition, Technology and Logistic and is current as of 11-09-05. We have not yet been able to determine the overall number of DoD contracts awarded not have we been able to determine the individual contract dollar values. We are working to determine this information for the next reporting period.
DOI	The total \$ value of contracts awarded excludes those transactions completed by purchase cards. This figure also includes transactions completed by DOI for other agencies.
DOJ	One contract is listed in the "Other" category because the component has not provided information on how the contract was awarded.
DOT	These numbers were reported by DOT management and have not been verified or audited by the OIG. Purchase card transaction misidentified as a contract on prior reports are corrected in this report.
EPA	Number of Contracts awarded include new awards and other actions on existing contracts such as delivery and purchase orders.
GSA	Under Emergency Support Function 7 (ESF-7), GSA awards contracts on behalf of FEMA and FEMA is responsible for the receiving of the orders and paying the contractor directly. As these contracts do not affect GSA financially, they are not input into any of GSA's procurement or financial systems. As GSA has no central data repository for these awards, we are using data from the Federal Procurement Data System as the basis for reporting as it is the only data that includes the data elements being reported. However, this data may include GSA procurements in addition to the procurements made in support of FEMA under ESF-7. Some adjustments to the data have been made to the FPDS data to provide a better assessment. For example, FPDS line items with \$0 and negative amounts were removed from counts of contract actions (but were included in "\$ Value Contracts Awarded") as these are primarily modifications to contract actions already being reported. Independent of the FPDS information, GSA has maintained informal procurement logs at ESF-7 locations. The logs are not uniform and vary with regard to the information included. According to the logs we have obtained, GSA made over 1,300 procurements for FEMA valued at over \$900 million.
USPS	We found 4 facilities contracts that were not originally listed on the supply management list.

Section 03. CONTRACT: OIG REVIEWS

Agency:	Comment/Footnote:
DHS	The # of Contract Reviews have decreased due to Hurricane Wilma numbers in prior reports.
DOC	Final audit report in process
GSA	The GSA OIG is performing a programmatic review that looks at GSA's support for FEMA in its entirety, including GSA's procurements for FEMA under ESF-7. This review will include examining the procurements for price reasonableness, the level of competition used for the award, and management controls related to the procurement award. In accordance with guidelines from the PCIE Katrina Audit Task Force, all contract actions over \$500,000 are being reviewed. These contracts were awarded by GSA under ESF-7 at the joint field offices (JFO) in Jackson, MS and Baton Rouge, LA as well as in Atlanta, GA; Chicago, IL, and Ft. Worth, TX. These contracts were identified primarily from procurement "logs" kept at the contracting locations. To date, there are 182 contract actions under review valued at more than \$542 million.
HUD	Noted - the HUD OIG is performing 2 audits one external audit and one internal audit. The external audit involves a M&M contractor (\$1.1 mil) with the objective of evaluating the reasonableness of subcontractor costs. The internal audit will look at all disaster contract dollars (\$17.9mil) to ensure that effective contracting practices are being used. The M&M contract amount is included in the total contract amount, but the reviews are separate and have different objectives. However, because of this overlapping, the total of these reviews is \$18.4 mil which exceeds the total contract dollars of \$17.9 mil because of the \$ value of the individual reviews.
USPS	Added one review based on finding 4 facilities contracts not listed on the supply management list.
VA	The contract was a sole source but was not awarded.

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Section 04. PURCHASE CARD: AGENCY TRANSACTIONS

DoD	A total universe cannot be derived for purchase card transactions related to Hurricane Katrina.
DOI	The purchase card total is a total for all "charge cards - travel and purchase" and includes both reimbursable and non-reimbursable transactions.
DOL	The \$540,000 was the Department's early estimate of purchase card transactions. The Department cannot readily break out purchase card transactions from other Katrina-related acquisitions. However, we will be working with the Department to come up with a reliable figure to report for the period ended June 30, 2006.
DOT	These numbers were reported by DOT management and have not been verified or audited by the OIG. The increase in the value of transactions reported is due to updates processed in FAA's accounting system which now more clearly identifies hurricane related transactions.
GSA	Under the procurement flexibilities allowed for contracting in response to the Hurricane Katrina, GSA raised the procurement ceiling for charge cards to \$15,000 and only for a limited number of cardholders. Generally, GSA does not utilize purchase cards to make procurements in support of FEMA. The data reported is based on the FPDS database.

Section 05. PURCHASE CARD: OIG REVIEWS

Agency:	Comment/Footnote:
DoD	We cannot determine the percentage of purchase card transactions reviewed without a total universe.
DOJ	We are currently conducting a purchase card audit. However, we are finishing preliminary work and have not started testing individual transactions yet.
DOT	Agency is currently researching this issue area.
GSA	There have been no reviews to date.

Section 06. NON-CONTRACT: OIG REVIEWS

Agency:	Comment/Footnote:
DOC	Final audit in process.
DoD	Although we reported only 3 ongoing reviews related to mission assignments, each review encompasses multiple mission assignments.
GSA	The GSA OIG is performing a programmatic review that looks at GSA's support for FEMA in its entirety. This review will include a look at GSA's procurements for FEMA under ESF-7, charges against mission assignments, as well as management controls and processes internal to GSA.
HHS	06 B. and C. Decrease reflects correction of prior reporting.
USPS	Although these reviews are not mission assignments as defined by the DHS, these reviews are assessments of mission essential functions for the USPS.

Section 07. OIG REPORTING

Agency:	Comment/Footnote:
DOC	Final audit report in process
DoD	NAS-1 Report Issued: Chartered Cruise Ships (Audit Report N2006-0015), 16 FEB 06
GSA	The GSA OIG has issued an interim audit memorandum regarding standard operating procedures for GSA.

Section 08. OIG CONGRESSIONAL RESPONSES

Agency:	Comment/Footnote:
DoD	7 Congressionals received includes 6 audit requests and 1 testimony. The 1 completed Congressional was a request that was transferred to Department of Homeland Security. The DoD OIG considered this request closed as it required no further action once transferred. The Congressional data being reported is cumulative.

Section 09. OIG INVESTIGATIVE STATISTICS

Agency:	Comment/Footnote:
DoD	The Hotline Statistics being reported are cumulative. On March 20, 2006, the Hurricane Relief Fraud Hotline was transitioned from Defense to the Hurricane Katrina Fraud Relief Task Force Command Center in Baton Rouge, Louisiana. OIG: The number of convictions being reported are based on criminal information in which 2 defendants pled guilty to conspiracy to commit bribery. The convictions were NOT the result of indictments.