

**10th PCIE Hurricane Katrina Report: To Date As of 06-30-06**

	CNCS	DHS	DOC	DOD	DOE <sup>3</sup>	DOI	DOJ	DOL	DOT	ED	EPA	GSA	HHS	HUD	NASA	SBA	SSA	TIGTA	TREAS	USDA	USPS	VA	TOTAL
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**Section 01. OIG STAFF ASSIGNED**

<b>A. Full-Time Equivalent</b>																							
1. Administrative	-	5.00	-	-	-	-	-	-	-	-	-	-	-	2.00	1.00	-	-	-	-	-	-	-	6.50
2. Auditors	0.50	58.00	-	127.00	3.00	6.50	3.50	20.00	8.00	24.50	3.50	4.75	19.00	5.00	8.00	9.00	3.00	27.00	2.00	45.00	28.00	8.00	383.75
3. Consultants/Contractors	-	4.00	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	3.00
4. Inspectors	-	-	-	-	-	-	-	-	-	-	-	-	8.00	-	-	-	-	-	-	-	-	-	8.00
5. Investigators	-	28.00	1.00	9.00	-	1.25	0.50	68.00	2.00	1.00	4.00	1.00	-	9.00	2.00	1.00	8.00	-	-	5.00	7.00	1.00	70.75
6. Other (e.g. Technical, Specialists)	-	-	-	-	-	-	-	14.00	-	-	-	-	-	6.00	-	-	-	-	-	-	3.00	-	9.00
<b>Total</b>	0.50	95.00	1.00	136.00	3.00	7.75	4.00	102.00	10.00	25.50	7.50	5.75	27.00	22.00	11.00	10.00	11.00	27.00	2.00	50.00	38.00	9.00	481.00

**Section 02. CONTRACT: AGENCY ACTIONS**

<b>A. # Contracts Awarded</b>																							
1. <= \$500K	-	3,203	-	-	-	670	50	4	56	-	191	1,592	161	11	26	-	13	19	-	403	24	-	6,419
2. > \$500K (1)																							
a. Full and Open Competition	-	160	-	-	-	11	-	-	7	-	76	42	22	-	6	-	1	-	-	51	2	-	378
b. "Limited" Competition	-	1	-	see DoD comments	-	2	-	-	2	-	19	-	4	2	-	-	1	-	-	1	-	-	31
c. No Bid/Sole Source	-	391	-	-	-	11	3	2	6	-	13	151	7	3	-	-	-	-	-	4	3	-	592
d. Other	-	218	-	-	-	-	3	-	-	-	12	-	-	3	-	-	-	-	-	-	-	-	236
Sub-Total of > \$500K (1)	-	770	-	-	-	24	6	2	15	-	89	224	29	10	8	-	1	-	-	56	5	-	1,237
<b>Total # Contracts Awarded</b>	-	3,973	-	-	-	694	56	6	71	-	280	1,816	190	21	34	-	14	19	-	459	29	-	7,662

<b>B. \$ Value Contracts Awarded (in thousands)</b>																							
1. <= \$500K	\$ -	\$ 256,657	\$ 5,300	\$ 94,568	\$ -	\$ 17,330	\$ 2,383	\$ 2,712	\$ 7,592	\$ -	\$ 14,161	\$ 80,713	\$ 14,061	\$ 1,060	\$ 4,596	\$ -	\$ 1,454	\$ 356	\$ -	\$ 42,798	\$ 2,176	\$ -	(in thousands) \$ 547,917
2. > \$500K (1)	\$ -	\$ 6,109,893	\$ 1,200	\$ 2,460,066	\$ -	\$ 28,616	\$ 9,991	\$ 2,048	\$ 355,616	\$ -	\$ 261,194	\$ 548,474	\$ 82,160	\$ 18,325	\$ 127,015	\$ -	\$ 600	\$ -	\$ -	\$ 83,605	\$ 6,173	\$ -	\$ 10,094,976
<b>Total \$ Value of Contracts Awarded</b>	\$ -	\$ 6,366,550	\$ 6,500	\$ 2,554,634	\$ -	\$ 45,946	\$ 12,374	\$ 4,760	\$ 363,208	\$ -	\$ 275,355	\$ 629,187	\$ 96,221	\$ 19,385	\$ 131,611	\$ -	\$ 2,054	\$ 356	\$ -	\$ 126,403	\$ 8,349	\$ -	\$ 10,642,893

**Section 03. CONTRACT: OIG REVIEWS**

<b>A. # Of Contract Reviews</b>																							
1. In-Process	-	63	1	10	-	-	-	6	1	-	2	182	63	2	11	-	-	-	-	-	-	-	341
2. Completed	-	105	-	1	-	-	1	-	-	-	134	-	9	1	22	-	-	-	-	-	-	3	277
<b>Total</b>	-	168	1	11	-	-	1	6	1	-	136	182	72	3	33	-	-	-	-	-	-	3	618

<b>B. \$ Value of Reviews (in thousands)</b>																							
1. In-Process	\$ -	\$ 2,472,995	\$ 6,500	\$ 2,438,733	\$ -	\$ -	\$ -	\$ 4,760	\$ -	\$ 981	\$ 542,550	\$ 66,466	\$ 17,900	\$ 11,243	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	(in thousands) \$ 5,562,128
2. Completed	\$ -	\$ 1,218,558	\$ -	\$ 249,000	\$ -	\$ 5,180	\$ -	\$ 238,036	\$ -	\$ 258,428	\$ -	\$ 26,216	\$ 1,100	\$ 77,917	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 8,349	\$ 709,440	\$ -	\$ 2,792,224
<b>Total</b>	\$ -	\$ 3,691,553	\$ 6,500	\$ 2,687,733	\$ -	\$ 5,180	\$ -	\$ 4,760	\$ 238,036	\$ -	\$ 259,409	\$ 542,550	\$ 92,682	\$ 19,000	\$ 89,160	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 8,349	\$ 709,440	\$ 8,354,352

**Section 04. PURCHASE CARD: AGENCY TRANSACTIONS**

<b>A. Total \$ spent to date (in thousands)</b>				see DoD comments																			(in thousands) \$ 83,740
	\$ -	\$ 22,687	\$ 289		\$ -	\$ 24,475	\$ 8,228	\$ 488	\$ 816	\$ -	\$ 1,480	\$ 107	\$ 2,201	\$ -	\$ 135	\$ -	\$ 224	\$ 79	\$ -	\$ 20,601	\$ 1,930	\$ -	

**Section 05. PURCHASE CARD: OIG REVIEWS**

<b>A. % of PCard Transaction \$s reviewed to date</b>	0%	100%	0%	see DoD comments	-	0%	46%	0%	0%	0%	50%	0%	0%	0%	91%	0%	0%	0%	0%	0%	100%	0%
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**Section 06. NON-CONTRACT: OIG REVIEWS**

<b>A. # Grant Reviews</b>																							
1. In-Process	-	22	1	-	-	-	-	3	4	10	-	-	1	-	-	-	-	-	-	1	-	-	42
2. Completed	-	21	-	-	-	-	1	4	-	-	-	-	-	-	-	-	-	-	-	-	-	-	26
<b>B. # Mission Assignment Reviews</b>																							
1. In-Process	-	2	-	3	-	-	-	-	2	-	1	-	-	-	5	-	1	-	-	9	-	-	24
2. Completed	-	-	-	-	-	-	-	4	-	-	3	-	-	-	-	-	-	-	-	4	1	-	12
<b>C. # Other Non-Contract Reviews</b>																							
1. In-Process	1	16	-	12	1	1	-	10	1	-	-	1	3	-	-	5	-	2	2	12	1	3	71
2. Completed	-	6	-	-	1	-	-	3	1	-	-	-	-	-	-	4	-	8	-	-	7	-	30

**10th PCIE Hurricane Katrina Report: To Date As of 06-30-06**

	CNCS	DHS	DOC	DOD	DOE <sup>(3)</sup>	DOI	DOJ	DOL	DOT	ED	EPA	GSA	HHS	HUD	NASA	SBA	SSA	TIGTA	TREAS	USDA	USPS	VA	TOTAL
<b>Section 07. OIG REPORTING</b>																							
A. # Reports Issued	-	44	-	1	-	-	2	7	3	-	4	2	9	-	-	-	-	5	-	-	-	-	77
B. Reported \$ Value (in thousands)																							(in thousands)
1. Questioned Costs	\$ -	\$ 13,966	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 79	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 14,045
(a). Unsupported Costs	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 33,292	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 33,292
2. Funds Put to Better Use	\$ -	\$ 75,670	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 75,670
<b>Section 08. OIG CONGRESSIONAL RESPONSES</b>																							
A. # Congressionals Received	-	23	-	9	-	-	-	-	-	-	-	-	1	1	-	1	1	-	-	-	-	1	37
B. # OIG Congressional Responses																							
1. In-Process	-	7	-	5	-	-	-	-	-	-	-	-	-	1	-	-	-	-	-	-	-	-	13
2. Completed	-	16	-	1	-	-	-	-	-	-	-	-	-	-	-	1	1	-	-	-	-	1	20
<b>Section 09. OIG INVESTIGATIVE STATISTICS</b>																							
A. # Hotline Complaints <sup>(2)</sup>	-	6,205	2	9,664	2	-	-	13	1	-	7	-	4	89	-	15	25	-	-	5	50	-	16,082
B. # Cases Opened	-	979	1	7	-	1	6	234	18	-	8	2	17	40	11	8	44	3	2	11	3	-	1,395
C. # Arrests	-	189	-	1	-	-	-	22	2	-	-	-	-	6	5	-	9	1	2	2	-	-	239
D. # Indictments	-	223	-	-	-	-	-	29	3	-	-	-	-	6	2	-	14	1	1	4	-	-	283
E. # Convictions	-	60	-	2	-	-	-	9	1	-	-	-	-	2	-	-	3	1	1	1	-	-	80

**LEGEND:**  
**(1) DOD** = over \$1 million  
**(2) DOD** = # complaints received on Katrina Hotline;  
**(3) DOE** = To date, DOE has received only a small portion of the funds allocated for hurricane

**10th PCIE Hurricane Katrina Report: To Date As of 06-30-06****Section 01. OIG STAFF ASSIGNED - Managers are reported within the appropriate staff category**

Agency:	Comment/Footnote:
CNCS	The Office of Inspector General (OIG), Corporation for National and Community Service (Corporation), currently has one auditor assigned half-time(.5) to this review.
DoD	Numbers reported reflect staff assigned not FTEs
DOL	A.2 represents staff assigned as of 6-30-06. Figure is not annualized. A.5/A.6 represent cumulative staff through 6-30-06. Figures are not annualized.
EPA	Auditors and Program Evaluators are combined under the "Auditor" category.
GSA	The 4.75 FTE for auditors includes three GS-13s, and one GS-12 assigned to the audit full-time, as well as 2 GS-15s part time. The one FTE investigator is comprised of two part-time investigators.
USPS	Auditors include 6 managers; Investigators include 2 managers.

**Section 02. CONTRACT: AGENCY ACTIONS**

Agency:	Comment/Footnote:
DoD	The total dollar value of contracts or contract actions was based on data provided by the Defense Management Data Center and is current as of March 30, 2006. The reported dollar amount has not been audited and does not include contract data from the U.S. Army Corp of Engineers.
DOI	The total \$ value of contract awarded excludes those transactions completed by purchase card. This figure also includes transactions completed by DOI for other agencies.
DOJ	Three contracts were listed in the "Other" category because we have no information on the process used to award these contracts.
DOT	These numbers were reported by DOT management and have not been verified or audited by the OIG
EPA	Number of contracts awarded includes new awards and other actions on existing contracts such as delivery and purchase orders.
GSA	Under Emergency Support Function 7 (ESF-7), GSA awards contracts on behalf of FEMA and FEMA is responsible for the receiving the orders and paying the contractor directly. As these contracts do not affect GSA financially, they are not input into any of GSA's procurement or financial systems. As GSA has no central data repository for these awards, we are using data from the Federal Procurement Data System as the basis for reporting as it is the only data that includes the data elements being reported. This data may include GSA procurements in addition to the procurements made in support of FEMA under ESF-7. Some adjustments to the data have been made to the FPDS data to provide a better assessment. FPDS items with \$0 and negative amounts were removed from counts of contract actions (but were included in "\$ Value Contracts Awarded") as these are primarily modifications to contract actions already being reported. Independent of the FPDS information, GSA has maintained informal procurement logs at ESF-7 locations. The logs are not uniform and vary with regard to the information included. According to the logs we have obtained, GSA made over 1,300 procurements for FEMA valued at over \$900 million.
HUD	Other: The 6 specialists (other) listed are forensic auditors assigned to the Office of Investigations.
USPS	We found 4 facilities contracts that were not originally listed on the supply management list.

**Section 03. CONTRACT: OIG REVIEWS**

Agency:	Comment/Footnote:
DoD	The one completed review resulted in Audit Report No. N2006-0015, Chartered Cruise Ships," dated 16 February 2006. The dollar value of contract reviews reflects audits involving the US Corps of Engineers. These dollars are not included in Section 2 of this report regarding agency actions.
GSA	The GSA OIG is performing a programmatic review that looks at GSA's support for FEMA in its entirety, including GSA's procurements for FEMA under ESF-7. This review will include examining the procurements for price reasonableness, the level of competition used for the award, and management controls related to the procurement award. In accordance with guidelines from the PCIE Katrina Audit Task Force, all contract actions over \$500,000 are being reviewed. These contracts were awarded by GSA under ESF-7 at the joint field offices (JFO) in Jackson, MS and Baton Rouge, LA as well as in Atlanta, GA; Chicago, IL, and Ft. Worth, TX. These contracts were identified primarily from procurement "logs" kept at the contracting locations. To date, there are 182 contract actions under review valued at more than \$542 million.
HUD	Noted - the HUD OIG is performing 2 audits one external audit and one internal audit. The external audit involves a M&M contractor (\$1.1 mil) with the objective of evaluating the reasonableness of subcontractor costs. The internal audit will look at all disaster contract dollars (\$17.9 mil) to ensure that effective contracting practices are being used. The M&M contract amt is included in the total contract amt, but the reviews are separate and have different objectives. However, because of this overlapping, the total of these reviews is \$19. mil which exceeds the total contract dollars of \$17.9 mil because of the \$ value of the individual reviews. The one M&M audit report was issued on 6-23-06
USPS	Added one review based on finding 4 facilities contracts not listed on the supply management list.

**Section 04. PURCHASE CARD: AGENCY TRANSACTIONS**

Agency:	Comment/Footnote:
DoD	A total universe cannot be derived for purchase card transactions related to Hurricane Katrina.
DOI	The purchase card total is a total for all "charge cards - travel and purchase" and includes both reimbursable and non-reimbursable transactions.
DOL	Figure represents results of a Departmentwide survey. Previously reported figure was a cumulative figure derived from an early Departmental report.
DOT	These numbers were reported by DOT management and have not been verified or audited by the OIG. The decrease in the value of transactions reported is due to updates processed in FAA's accounting system which now more clearly identifies hurricane related transactions.
GSA	Under the procurement flexibilities allowed for contracting in response to the Hurricane Katrina, GSA raised the procurement ceiling for charge cards to \$15,000 and only for a limited number of cardholders. Generally, GSA does not utilize purchase cards to make procurements in support of FEMA. The data reported is based on the FPDS database.
USPS	Although these reviews are not mission assignments as defined by the DHS, these reviews are assessments of mission essential functions for the USPS.

**Section 05. PURCHASE CARD: OIG REVIEWS**

Agency:	Comment/Footnote:
DoD	Without a total universe, we cannot determine the percentage of purchase card transactions reviewed,

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DOJ	While testing transactions during the audit, we determined that expenditures totaling \$811,958 were not purchase card transactions - a purchase order was used rather than a credit card. In addition, two transactions totaling \$11,580 were either canceled or the item purchased had not been received. Therefore, \$823,538 was subtracted from the total dollar amount spent that is reported in Section 04. Our report will be issued during the upcoming reporting period.
DOL	Figure represents results of a Departmentwide survey. Previously reported figure was a cumulative figure derived from an early Departmental report.
GSA	There have been no reviews to date.

**Section 06. NON-CONTRACT: OIG REVIEWS**

Agency:	Comment/Footnote:
CNCS	The Office of Inspector General (OIG), Corporation for National and Community Service (Corporation), currently has one auditor assigned to conduct an agreed-upon procedures review of the mission assignments issued by the Federal Emergency Management Agency (FEMA) to the Corporation. It will review the Corporation's current policies, procedures, and standard guidance for processing billings and reimbursements for mission assignments. This study will also determine the adequacy of the Corporation's internal review procedures to ensure that hurricane recovery volunteers were utilized in the capacities intended and were in their assigned locations for the reported periods. We will further determine if the Corporation has complied with FEMA and other Federal guidelines and has provided supporting documentation for expenditures for reimbursement in a timely manner. Lastly, we will identify any potential deobligations of funds associated with the mission assignments.
DoD	Although we reported only 3 ongoing reviews related to mission assignments, each review encompasses multiple mission assignments.
DOE	"Lessons Learned In Response to Hurricane Katrina and Rita" Draft issued June 14, 2006. Department has made significant progress towards implementing the OIG recommendations to enhance its Emergency Support Function-12 (ESF-12) mission capabilities. Specifically, the Department clarified communication processes during ESF-12 deployments, addressed responder equipment needs, and augmented staffing levels to meet ESF-12 mission requirements. While the Department took effective action to address the prior report's recommendations, we concluded that there are additional opportunities to improve the Department's efforts to identify emergency response assets in advance of such natural disasters.
DOI	The Inspector General is conducting a complete review of Hurricane relief efforts, this includes contracts, purchase cards, internal controls and disaster response. The review is being conducted as one effort.
GSA	The GSA OIG is performing a programmatic review that looks at GSA's support for FEMA in its entirety. This review will include a look at GSA's procurements for FEMA under ESF-7, charges against mission assignments, as well as management controls and processes internal to GSA.
TIGTA	The Exempt Organizations Function Effectively Processed Requests for Tax-Exempt Status from Charitable Organizations Supporting Hurricane Relief (Report Reference Number 2006-10-089) issued June 5, 2006. 'Tax Products and Computer Programs for Individual Income Tax Returns Were Accurately Updated for the 2006 Filing Season (Report Reference Number 2006-40-088), issued May 19, 2006.

**Section 07. OIG REPORTING**

Agency:	Comment/Footnote:
DoD	Audit Report No. N2006-0015, Chartered Cruise Ships," 16 February 2006. This number reflects only final audit reports issued and does not include draft reports issued.
GSA	The GSA OIG has issued an interim audit memorandum regarding standard operating procedures for GSA.
HHS	Audit of Selected Procurements Under Contract 200-2005-11531 with Sanofi Pasteur, Inc. (Report Number A-04-06-01024). Audit of Selected Procurements Under Contract 200-2005-11533 with Merck Vaccine Division (Report Number A-04-06-01025). Audit of Selected Procurements Under Contract 200-2005-12567 with Merck Vaccine Division (Report Number A-04-06-01026). Audit of Selected Procurements Under Contract 200-2005-12645 with GlaxoSmithKline (Report Number A-04-06-01027). Audit of Selected Procurements Under Contract 200-2005-11532 with GlaxoSmithKline (Report Number A-04-06-01028). Audit of Selected Procurements Under Contract 200-2005-11534 with Wyeth (Report Number A-04-06-01029). Audit of Program Support Center's Procurement Process for Contract HHSP233200500328A with Cardinal Health 200, Inc. (A-03-06-00503). Audit of Program Support Center's Procurement Process for Contract Action HHSP233200500508G with Alaska Structures, Inc. (A-03-06-00504). Audit of Program Support Center's Procurement Process for Contract Action HHSP23320060085U with Analytic Services, Inc. (A-03-06-00511).

**Section 08. OIG CONGRESSIONAL RESPONSES**

Agency:	Comment/Footnote:
DoD	The 9 Congressionals received include 6 audit requests and 3 requests for testimony. The 1 completed Congressional was a request that was transferred to the Department of Homeland Security. The DoD OIG considered this request completed as it does not require further action of behalf of the DoD OIG. Congressional data being reported is cumulative.
HHS	Nursing Home Evaluation Planning, OEI-06-06-00020, Requested by Senator Kohl. This is also included in the Non-Contract review section. This report is about to be signed as a draft, and is expected to be final in July 2006

**Section 09. OIG INVESTIGATIVE STATISTICS**

Agency:	Comment/Footnote:
DoD	The Hotline statistics being reported are cumulative and are current as of last reporting period. The Hurricane Relief Fraud Hotline was transferred from the DoD OIG to the Hurricane Katrina Fraud Task Force Command Center in Baton Rouge, LA on March 20, 2006. In addition to the one arrest reported, a second defendant surrendered to authorities. The two reported convictions are not the result of an indictment but rather the filing of criminal information.